

IBM WebSphere Application Server Network Deployment  
for Distributed Platforms, Version 8.0

*Overview*



**Note**

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# Chapter 1. Learn about WebSphere applications: Overview and new features

Use the **Learn about WebSphere applications** section as a starting point to study the programming model, encompassing the many parts used in and by various application types supported by the application server.

The programming model for applications deployed on this product has the following aspects.

- Java specifications and other open standards for developing applications
- WebSphere® programming model extensions to enhance application functionality
- Containers and services in the application server, used by deployed applications, and which sometimes can be extended

The diagram shows a single application server installation. The parts pertaining to the programming model are discussed here. Other parts comprise the product architecture, independent of the various application types outlined by the programming model. See “WebSphere Application Server architecture” on page 14.

## Java EE application components

The product supports application components that conform to Java Platform, Enterprise Edition (Java EE) specifications.

### Web applications run in the web container

The web container is the part of the application server in which web application components run. Web applications are comprised of one or more related servlets, JavaServer Pages technology (JSP files), and Hyper Text Markup Language (HTML) files that you can manage as a unit. Combined, they perform a business logic function.

The web container processes servlets, JSP files, and other types of server-side includes. Each application server runtime has one logical web container, which can be modified, but not created or removed. Each web container provides the following.

#### Web container transport chains

Requests are directed to the web container using the web container inbound transport chain. The chain consists of a TCP inbound channel that provides the connection to the network, an HTTP inbound channel that serves HTTP requests, and a web container channel over which requests for servlets and JSP files are sent to the web container for processing.

#### Servlet processing

When handling servlets, the web container creates a request object and a response object, then invokes the servlet service method. The web container invokes the servlet's destroy method when appropriate and unloads the servlet, after which the JVM performs garbage collection.

*Servlets* can perform such tasks as supporting dynamic web page content, providing database access, serving multiple clients at one time, and filtering data.

*JSP files* enable the separation of the HTML code from the business logic in web pages. IBM® extensions to the JSP specification make it easy for HTML authors to add the power of Java technology to web pages, without being experts in Java programming.

#### HTML and other static content processing

Requests for HTML and other static content that are directed to the web container are

served by the web container inbound chain. However, in most cases, using an external web server and web server plug-in as a front end to the web container is more appropriate for a production environment.

### **Session management**

Support is provided for the `javax.servlet.http.HttpSession` interface as described in the Servlet application programming interface (API) specification.

An *HTTP session* is a series of requests to a servlet, originating from the same user at the same browser. Sessions allow applications running in a web container to keep track of individual users. For example, many web applications allow users to dynamically collect data as they move through the site, based on a series of selections on pages they visit. Where the user goes next, or what the site displays next, might depend on what the user has chosen previously from the site. To maintain this data, the application stores it in a "session."

### **SIP applications and their container**

SIP applications are Java programs that use at least one Session Initiation Protocol (SIP) servlet. SIP is used to establish, modify, and terminate multimedia IP sessions including IP telephony, presence, and instant messaging.

### **Portlet applications and their container**

Portlet applications are special reusable Java servlets that appear as defined regions on portal pages. Portlets provide access to many different applications, services, and web content.

## **EJB applications run in the EJB container**

The EJB container provides all of the runtime services needed to deploy and manage enterprise beans. It is a server process that handles requests for both session and entity beans.

*Enterprise beans* are Java components that typically implement the business logic of Java EE applications, as well as accessing data. The enterprise beans, packaged in EJB modules, installed in an application server do not communicate directly with the server. Instead, the EJB container is an interface between EJB components and the application server. Together, the container and the server provide the enterprise bean runtime environment.

The container provides many low-level services, including threading and transaction support. From an administrative perspective, the container handles data access for the contained beans. A single container can host more than one EJB Java archive (JAR) file.

## **Client applications and other types of clients**

In a client-server environment, clients communicate with applications running on the server. *Client applications* or *application clients* generally refers to clients implemented according to a particular set of Java specifications, and which run in the client container of a Java EE-compliant application server. Other clients in the WebSphere Application Server environment include clients implemented as web applications (*web clients*), clients of web services programs (*web services clients*), and clients of the product systems administration (*administrative clients*).

### **Client applications and their container**

The client container is installed separately from the application server, on the client machine. It enables the client to run applications in an EJB-compatible Java EE environment. The diagram shows a Java client running in the client container.

This product provides a convenient `launchClient` tool for starting the application client, along with its client container runtime.

Depending on the source of technical information, client applications sometimes are called application clients. In this documentation, the two terms are synonymous.

## **Web clients, known also as web browser clients**

The diagram shows a web browser client, which can be known simply as a web client, making a request to the web container of the application server. A web client or web browser client runs in a web browser, and typically is a web application.

## **Web services clients**

Web services clients are yet another kind of client that might exist in your application serving environment. The diagram does not depict a web services client. The web services information includes information about this type of client.

## **Administrative clients**

The diagram shows two kinds of administrative clients: a scripting client and the administrative console that is the graphical user interface (GUI) for administering this product. Both are accessing parts of the systems administration infrastructure. In the sense that they are basically the same for whatever kind of applications you are deploying on the server, administrative clients are part of the product architecture. However, because many of these clients are programs you create, they are discussed as part of the programming model for completeness.

## **Web services**

### **Web services**

The diagram shows the web services engine, part of the web services support in the application server runtime. Web services are self-contained, modular applications that can be described, published, located, and invoked over a network. They implement a service-oriented architecture (SOA), which supports the connecting or sharing of resources and data in a flexible and standardized manner. Services are described and organized to support their dynamic, automated discovery and reuse.

The product acts as both a web services provider and as a requestor. As a provider, it hosts web services that are published for use by clients. As a requestor, it hosts applications that invoke web services from other locations. The diagram shows the web services engine in this capacity, contacting a web services provider or gateway.

## **SCA composites**

### **Service Component Architecture (SCA)**

SCA composites consist of components that implement business functions in the form of services.

## **Data access, messaging, and Java EE resources**

### **Data access resources**

Connection management for access to enterprise information systems (EIS) in the application server is based on the Java EE Connector Architecture (JCA) specification. The diagram shows JCA services helping an application to access a database in which the application retrieves and persists data.

The connection between the enterprise application and the EIS is done through the use of EIS-provided resource adapters, which are plugged into the application server. The architecture specifies the connection management, transaction management, and security contracts between the application server and EIS.

The Connection Manager (not shown) in the application server pools and manages connections. It is capable of managing connections obtained through both resource adapters defined by the JCA specification and data sources defined by the JDBC 2.0 Extensions specification.

*JDBC resources* (JDBC providers and data sources) are a type of *Java EE resource* used by applications to access data. Although data access is a broader subject than that of JDBC resources, this information often groups data access under the heading of Java EE resources for simplicity.

*JCA resource adapters* are another type of Java EE resource used by applications. The JCA defines the standard architecture for connecting the Java EE platform to heterogeneous EIS. Imagine an ERP, mainframe transaction processing, database systems, and legacy applications not written in the Java programming language.

The JCA resource adapter is a system-level software driver supplied by EIS vendors or other third-party vendors. It provides the connectivity between Java EE application servers or clients and an EIS. To use a resource adapter, install the resource adapter code and create configurations that use that adapter. The product provides a predefined relational resource adapter for your use.

### **Messaging resources and messaging engines**

JMS support enables applications to exchange messages asynchronously with other JMS clients by using JMS destinations (queues or topics). Applications can use message-driven beans to automatically retrieve messages from JMS destinations and JCA endpoints without explicitly polling for messages.

For inbound non-JMS requests, message-driven beans use a Java EE Connector Architecture (JCA) 1.5 resource adapter written for that purpose. For JMS messaging, message-driven beans can use a JCA-based messaging provider such as the default messaging provider that is part of the product.

The messaging engine supports the following types of message providers.

#### **Default messaging provider (service integration bus)**

The default messaging provider uses the service integration bus for transport. The default message provider provides point-to-point functions, as well as publish and subscribe functions. Within this provider, you define JMS connection factories and destinations that correspond to service integration bus destinations.

#### **WebSphere MQ provider**

You can use WebSphere MQ as the external JMS provider. The application server provides the JMS client classes and administration interface, while WebSphere MQ provides the queue-based messaging system.

#### **Generic JMS provider**

You can use another messaging provider as long as it implements the ASF component of the JMS 1.0.2 specification. JMS resources for this provider cannot be configured using the administrative console.

**transition:** Version 6 replaces the Version 5 concept of a JMS server with a messaging engine built into the application server, offering the various kinds of providers mentioned previously. The Version 5 messaging provider is offered for configuring resources for use with Version 5 embedded messaging. You also can use the Version 5 default messaging provider with a service integration bus.

EJB 2.1 introduces an `ActivationSpec` for connecting message-driven beans to destinations. For compatibility with Version 5, you still can configure JMS message-driven beans (EJB 2.0) against a listener port. For those message-driven beans, the message listener service provides a listener manager that controls and monitors one or more JMS listeners, each of which monitors a JMS destination on behalf of a deployed message-driven bean.

### **Service integration bus**

The service integration bus provides a unified communication infrastructure for messaging and service-oriented applications. The service integration bus is a JMS provider that provides reliable message transport and uses intermediary logic to adapt message flow intelligently into the network. It supports the attachment of web services requestors and providers. Its capabilities are fully integrated into product architecture, including the security, system administration, monitoring, and problem determination subsystems.

The service integration bus is often referred to as just a bus. When used to host JMS applications, it is often referred to as a messaging bus. It consists of the following parts (not shown at this level of detail in the diagram).

#### **Bus members**

Application servers added to the bus.

#### **Messaging engine**

The component that manages bus resources. It provides a connection point for clients to produce or from where to consume messages.

#### **Destinations**

The place within the bus to which applications attach to exchange messages. Destinations can represent web services endpoints, messaging point-to-point queues, or messaging publish and subscribe topics. Destinations are created on a bus and hosted on a messaging engine.

#### **Message store**

Each messaging engine uses a set of tables in a supported data store (such as a JDBC database) to hold information such as messages, subscription information, and transaction states.

Through the service integration bus web services enablement, you can:

- Make an internal service that is already available at a service destination available as a web service.
- Make an external web service available at a service destination.
- Use the web services gateway to map an existing service, either an internal service or an external web service, to a new web service that appears to be provided by the gateway.

#### **Mail, URLs, and other Java EE resources**

The following kinds of Java EE resources are used by applications deployed on a J2EE-compliant application server.

- JDBC resources and other technology for data access (previously discussed)
- JCA resource adapters (previously discussed)
- JMS resources and other messaging support (previously discussed)
- JavaMail support, for applications to send Internet mail

The **JavaMail APIs** provide a platform and protocol-independent framework for building Java-based mail client applications. The APIs require service providers, known as protocol providers, to interact with mail servers that run on the appropriate protocols.

A mail provider encapsulates a collection of protocol providers, including Simple Mail Transfer Protocol (SMTP) for sending mail; Post Office Protocol (POP) for receiving mail; and Internet Message Access Protocol (IMAP) as another option for receiving mail. To use another protocol, you must install the appropriate service provider for the protocol.

JavaMail requires not only service providers, but also the JavaBeans Activation Framework (JAF), as the underlying framework to handle complex data types that are not plain text, such as Multipurpose Internet Mail Extensions (MIME), URL pages, and file attachments.

- URLs, for describing logical locations

URL providers implement the functionality for a particular URL protocol, such as HTTP, enabling communication between the application and a URL resource that is served by a particular protocol. A default URL provider is included for use by any URL resource with protocols based on the supported Java Platform, Standard Edition (Java SE) specification, such as HTTP, FTP, or File. You also can plug in your own URL providers that implement additional protocols.

- Resource environment entries, for mapping logical names to physical names

The `java:comp/env` environment provides a single mechanism by which both the JNDI name space objects and local application environment objects can be looked up. The product provides numerous local environment entries by default.

The Java EE specification also provides a mechanism for defining customer environment entries by defining entries in the standard deployment descriptor of an application. The Java EE specification uses the following methods to separate the definition of the resource environment entry from the application.

- Requiring the application server to provide a mechanism for defining separate administrative objects that encapsulate a resource environment entry. The administrative objects are accessible using JNDI in the application server local name space (`java:comp/env`).
- Specifying the administrative object's JNDI lookup name and expected returned object type. This specification is performed in the aforementioned resource environment entry in the deployment descriptor.

The product supports the use of resource environment entries with the following administrative concepts.

- A *resource environment entry* defines the binding target (JNDI name), factory class, and return object type (via the link to a referenceable) of the resource environment entry.
- A *referenceable* defines the class name of the factory that returns object instances implementing a Java interface.
- A *resource environment provider* groups together the referenceable, resource environment entries and any required custom properties.

## Security

### Security programming model and infrastructure

The product provides security infrastructure and mechanisms to protect sensitive Java EE resources and administrative resources and to address enterprise end-to-end security requirements on authentication, resource access control, data integrity, confidentiality, privacy, and secure interoperability.

Security infrastructure and mechanisms protect Java Platform, Enterprise Edition (Java EE) resources and administrative resources, addressing your enterprise security requirements. In turn, the security infrastructure of this product works with the existing security infrastructure of your multiple-tier enterprise computing framework. Based on open architecture, the product provides many plug-in points to integrate with enterprise software components to provide end-to-end security.

The security infrastructure involves both a programming model and elements of the product architecture that are independent of the application type.

## Additional services for use by applications

### Naming and directory

Each application server provides a naming service that in turn provides a Java Naming and Directory Interface (JNDI) name space. The service is used to register resources hosted on the application server. The JNDI implementation is built on top of a Common Object Request Broker Architecture (CORBA) naming service (CosNaming).

JNDI provides the client-side access to naming and presents the programming model used by application developers. CosNaming provides the server-side implementation and is where its name space is actually stored. JNDI essentially provides a client-side wrapper of the name space stored in CosNaming, and interacts with the CosNaming server on behalf of the client.

Clients of the application server use the naming architecture to obtain references to objects related to those applications. The objects are bound into a mostly hierarchical structure called the name



space. It consists of a set of name bindings, each one of which is a name relative to a specific context and the object bound with that name. The name space can be accessed and manipulated through a name server.

This product provides the following naming and directory features.

- Distributed name space, for additional scalability
- Transient and persistent partitions, for binding at various scopes
- Federated name space structure across multiple servers
- Configured bindings for defining bindings bound by the system at server startup
- Support for CORBA Interoperable Naming Service (INS) object URLs

Note that with the addition of virtual member manager to provide federated repository support for product security, the product now offers more extensive and sophisticated identity management capabilities than ever before, especially in combination with other WebSphere and Tivoli® products.

### **Object Request Broker (ORB)**

The product uses an ORB to manage interaction between client applications and server applications, as well as among product components. An ORB uses IIOP to enable clients to make requests and receive requests from servers in a network distributed environment.

The ORB provides a framework for clients to locate objects in the network and call operations on those objects as though the remote objects were located in the same running process as the client, providing location transparency.

Although not shown in the diagram, one place in which the ORB comes into play is where the client container is contacting the EJB container on behalf of a Java client.

### **Transactions**

Part of the application server is the transaction service. The product provides advanced transactional capabilities to help application developers avoid custom coding. It provides support for the many challenges related to integrating existing software assets with a Java EE environment. These measures include ActivitySessions (described below).

Applications running on the server can use transactions to coordinate multiple updates to resources as one unit of work such that all or none of the updates are made permanent. Transactions are started and ended by applications or the container in which the applications are deployed.

The application server is a transaction manager that supports coordination of resource managers and participates in distributed global transactions with other compliant transaction managers.

The server can be configured to interact with databases, JMS queues, and JCA connectors through their local transaction support when distributed transaction support is not required.

How applications use transactions depends on the type of application, for example:

- A session bean either can manage its transactions itself, or delegate the management of transactions to the container.
- Entity beans use container-managed transactions.
- Web components, such as servlets, use bean-managed transactions.

The product handles transactions with the following components.

- A transaction manager supports the enlistment of recoverable XAResources and ensures each resource is driven to a consistent outcome, either at the end of a transaction, or after a failure and restart of the application server.
- A container manages the enlistment of XAResources on behalf of deployed applications when it performs updates to transactional resource managers such as databases. Optionally, the container can control the demarcation of transactions for EJB applications that have enterprise beans configured for container-managed transactions.

- An API handles bean-managed enterprise beans and servlets, allowing such application components to control the demarcation of their own transactions.

## WebSphere extensions

WebSphere programming model extensions are the programming model benefits you gain by purchasing this product. They represent leading edge technology to enhance application capability and performance, and make programming and deployment faster and more productive.

In addition, your applications can use the Eclipse extension framework. Your applications are extensible as soon as you define an extension point and provide the extension processing code for the extensible area of the application. You can also plug an application into another extensible application by defining an extension that adheres to the target extension point requirements. The extension point can find the newly added extension dynamically and the new function is seamlessly integrated in the existing application. It works on a cross Java Platform, Enterprise Edition (Java EE) module basis. The application extension registry uses the Eclipse plug-in descriptor format and application programming interfaces (APIs) as the standard extensibility mechanism for WebSphere applications. Developers who build WebSphere application modules can use WebSphere Application Server extensions to implement Eclipse tools and to provide plug-in modules to contribute functionality such as actions, tasks, menu items, and links at predefined extension points in the WebSphere application. For more information about this feature, see Application extension registry.

The various WebSphere programming model extensions, and the corresponding application services that support them in the application server runtime, can be considered in three groups: Business Object Model extensions, Business Process Model extensions, and extensions for producing Next Generation Applications.

### Extensions pertaining to the Business Object Model

Business object model extensions operate with business objects, such as enterprise bean (EJB) applications.

#### Application profiling

Application profiling is a WebSphere extension for defining strategies to dynamically control concurrency, prefetch, and read-ahead.

Application profiling and access intent provide a flexible method to fine-tune application performance for enterprise beans without impacting source code. Different enterprise beans, and even different methods in one enterprise bean, can have their own intent to access resources. Profiling the components based on their access intent increases performance in the application server runtime.

#### Dynamic query

Dynamic query is a WebSphere programming extension for unprecedented application flexibility. It lets you dynamically build and submit queries that select, sort, join, and perform calculations on application data at runtime. Dynamic Query service provides the ability to pass in and process EJB query language queries at runtime, eliminating the need to hard-code required queries into deployment descriptors during application development.

Dynamic query improves enterprise beans by enabling the client to run custom queries on EJB components during runtime. Until now, EJB lookups and field mappings were implemented at development time and required further development or reassembly in order to be changed.

#### Dynamic cache

The dynamic cache service improves performance by caching the output of servlets, commands, and JSP files. This service within the application server intercepts calls to cacheable objects and either stores the output of the object or serves the content of the object from the dynamic cache.

Because Java EE applications have high read-write ratios and can tolerate small degrees of latency in the currency of their data, the dynamic cache can create opportunity for significant gains in server response time, throughput, and scalability.

Features include cache replication among clusters, cache disk offload, Edge side include caching, and external caching - the ability to control caches outside of the application server, such as that of your Web server.

## **Extensions pertaining to the Business Process Model**

Business process model extensions provide process, workflow functionality, and services for the application server. Use them in conjunction with business integration capabilities.

### **ActivitySessions**

ActivitySessions are a WebSphere extension for reducing the complexity of dealing with commitment rules and limitations associated with one-phase commit resources.

ActivitySessions provide the ability to extend the scope of multiple local transactions, and to group them. This enables them to be committed based on deployment criteria or through explicit program logic.

### **Web services**

Web services are self-contained, modular applications that can be described, published, located, and invoked over a network. They implement a services oriented architecture (SOA), which supports the connecting or sharing of resources and data in a very flexible and standardized manner. Services are described and organized to support their dynamic, automated discovery and reuse.

## **Extensions for creating next generation applications**

*Next generation extensions* can be used in applications that need the specific extensions. These enable next generation development by leveraging the latest innovations that build on today's Java EE standards. This provides greater control over application development, execution, and performance than was ever possible before.

### **Asynchronous beans**

Asynchronous beans offer performance enhancements for resource-intensive tasks by enabling single tasks to run as multiple tasks. Asynchronous scheduling facilities can also be used to process parallel processing requests in "batch mode" at a designated time. The product provides full support for asynchronous execution and invocation of threads and components within the application server. The application server provides execution and security context for the components, making them an integral part of the application.

### **Startup beans**

Startup beans allow the automatic execution of business logic when the application server starts or stops. For example, they might be used to pre-fill application-specific caches, initialize application-level connection pools, or perform other application-specific initialization and termination procedures.

### **Object pools**

Object pools provide an effective means of improving application performance at runtime, by allowing multiple instances of objects to be reused. This reuse reduces the overhead associated with instantiating, initializing, and garbage-collecting the objects. Creating an object pool allows an application to obtain an instance of a Java object and return the instance to the pool when it has finished using it.

### **Internationalization**

The internationalization service is a WebSphere extension for improving developer productivity. It allows you to automatically recognize the time zone and location information of the calling client,

so that your application can act appropriately. The technology enables you to deliver each user, around the world, the right date and time information, the appropriate currencies and languages, and the correct date and decimal formats.

### **Scheduler**

The scheduler service is a WebSphere programming extension responsible for starting actions at specific times or intervals. It helps minimize IT costs and increase application speed and responsiveness by maximizing utilization of existing computing resources. The scheduler service provides the ability to process workloads using parallel processing, set specific transactions as high priority, and schedule less time-sensitive tasks to process during low traffic off-hours.

### **Work areas**

Work areas are a WebSphere extension for improving developer productivity. Work areas provide a capability much like that of "global variables." They provide a solution for passing and propagating contextual information between application components.

Work areas enable efficient sharing of information across a distributed application. For example, you might want to add profile information as each customer enters your application. By placing this information in a work area, it will be available throughout your application, eliminating the need to hand-code a solution or to read and write information to a database.

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## **Guided activities for the administrative console**

The topic describes the *guided activities* that are available in the administrative console. Guided activities lead you through common administrative tasks that require you to visit multiple administrative console pages.

*Table 1. Quick reference: Accessing the guided activities. The following table gives you the web address for the guided activities in the administrative console.*

The guided activities are available from the main page of the administrative console. The page is displayed after you log into the administrative console. To open the console, enter this web address in your web browser:
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<code>http://your_fully_qualified_server_name:9060/ibm/console</code>
---

Depending on your configuration, your web address might differ. Other factors can affect your ability to access the console. See Starting and logging off the administrative console for details, as needed.
--

Guided activities display each administrative console page that you need to perform a task, surrounded by the following information to help you perform the task successfully.

- An introduction to the task, introducing essential concepts and describing when and why to perform the task
- Other tasks to do before and after performing the task
- The main steps to complete during this task
- Hints and tips to help you avoid and recover from problems
- Links to field descriptions and extended task information in the online documentation

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## **Tutorials**

This topic describes how to find tutorials and their accompanying samples, for learning how to accomplish your goals with the product.

### **IBM Education Assistant tutorials**

The IBM Education Assistant site provides education resources that you can use at your convenience.

### **developerWorks tutorials and training**

The Tutorials and Training page of developerWorks provides tutorials and other training resources that you can use at your convenience.

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## Accessing the samples

The product offers samples that demonstrate common enterprise application tasks. Many samples also provide instructions for deployment and coding examples.

The product provides samples in two ways:

### Plants By WebSphere sample installed with the product

If you select to install samples when installing the product and when creating an application server profile, the Plants By WebSphere application is included with the product. The application demonstrates several Java Platform, Enterprise Edition (Java EE) functions, using an online store that specializes in plant and garden tool sales.

See [Installing the Plants By WebSphere sample](#).

### Samples downloadable from the Samples, Version 8.0 information center

The product provides component-specific samples that you can download at any time from a download site.

- Available samples
- Downloading samples

## Installing the Plants By WebSphere sample

To install the Plants By WebSphere sample, perform the following steps.

1. Install the product.

When specifying installation or profile options, select to install the sample applications.

By default, only the Plants By WebSphere sample is installed in the `app_server_root/samples` directory. A Plants By WebSphere pre-built enterprise archive named `pbw-ear.ear` is in the `/samples/PlantsByWebSphere/pbw-ear/target` directory.

Installation instructions are in the `/samples/PlantsByWebSphere/docs` directory.

You can build or modify the sample source code to support your project. The source code is in a `src` directory.

2. To run the sample in a distributed WebSphere Application Server, Network Deployment environment, install and configure the samples in a stand-alone application server profile installation, and then add the stand-alone application server profile as a managed node of the deployment manager cell.

You can use a deployment manager administrative console or `wsadmin addNode` command to make an application server a managed node of a deployment manager. For the `wsadmin addNode` command, use the `dmgr_host` argument with the `-includeapps` and `-includebuses` options.

For example:

```
addNode.sh/bat dmgr_hostname -includeapps -includebuses
```

where `dmgr_hostname` is name of the computer that hosts your deployment manager profile.

3. Start the application server.

## Available samples

Samples that you can download include, for example, the following materials:

### Service Component Architecture (SCA) samples

The SCA samples support SCA specifications. SCA services are packaged in Java archive (JAR) files that you import as assets to the product repository and then add as composition units to business-level applications.

Download **SCA.zip**, or individual sample files, to a directory on your workstation. You might create the `/samples/sca` directory path on your workstation and download SCA sample files to that directory path.

You must deploy SCA sample files as assets of a business-level application to a Version 8.0 server or cluster or to a Version 7.0 target that is enabled for the Feature Pack for SCA. The `SCA/installableApps` directory of **SCA.zip** contains prebuilt archives that you can deploy as assets. The other directories contain sample-specific source files, scripts, and instructions for building deployable archives.

### Communications Enabled Applications (CEA) samples

The CEA sample applications provide two main services, telephony access and multi-modal web interaction. Use this collection of sample applications to explore the services and to use as a starting point when developing your own communication enabled applications.

### OSGi samples

The OSGi samples help you develop and deploy modular applications that use both Java EE and OSGi technologies.

### XML samples

The XML samples demonstrate use of the XML API and supported specifications.

### Internationalization service sample

The Internationalization service sample demonstrates how to use the internationalization service in Java EE applications, specifically within servlets and enterprise beans.

### Web services samples

These samples demonstrate both Java API for XML-based RPC (JAX-RPC) and Java API for XML Web Services (JAX-WS) web services that use Java Platform, Enterprise Edition (Java EE) beans and JavaBeans components.

The JAX-WS web service samples demonstrate the implementation of one-way and two-way web services that highlight the use of web services standards such as WS-Addressing (WS-A) , WS-Reliable Messaging (WS-RM), and WS-Secure Conversation (WS-SC) and the SOAP Message Transmission Optimization Mechanism (MTOM) technology.

### Service Data Objects (SDO) sample

This sample demonstrates data access to a relational database through Service Data Objects (SDO) and Java DataBase Connectivity (JDBC) Mediator technologies.

## Downloading samples

You can download samples from the **Samples, Version 8.0** information center.

1. Go to the **Samples, Version 8.0** information center.
2. Determine which samples you want to download.
3. On the **Downloads** tab for the samples that you want, click a **Download Sample** link.
4. In the authentication window, click **OK**.
5. Download the compressed file, or individual sample files, to a directory on your workstation.

You might create the `/samples/sample_type` directory path on your workstation and download the sample files to that directory path.

Many sample compressed files have an `/installableApps` directory that contains deployable prebuilt archives. Other directories contain files such as sample-specific source archives, scripts, and instructions for building deployable archives.

To deploy them to the application server, you can use the administrative console or use the `install` script in the `app_server_root/samples/bin` directory.

## Limitations of the samples

- The samples are for demonstration purposes only.

The code that is provided is not intended to run in a secured production environment. The samples support Java 2 Security, therefore the samples implement policy-based access control that checks for permissions on protected system resources, such as file I/O.

The samples also support administrative security.

- Many of the samples connect to an Apache Derby database using the embedded framework of Apache Derby. The embedded framework of Apache Derby has a limitation that only one Java virtual machine (JVM) can access a given database instance. As a result, in a clustered application server environment, the second server in the node fails to start the sample applications, because the first server (JVM) already holds a connection to that database instance.

For applications that require multiple Java virtual machines to access the same Apache Derby instance, use the Apache Derby networkServer framework.

## Additional samples and examples

### Samples on developerWorks®

Additional product samples are available on WebSphere developerWorks

### Samples in tutorials

Many product tutorials rely on sample code. To find tutorials that demonstrate specific technologies, browse the links in "Tutorials" on page 10.

### Examples in the product documentation

The product documentation contains many code snippets and examples. To locate these examples easily, see the developer examples in the **Reference** section of the information center navigation for the product edition that you are using.

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## Assembly tools

WebSphere Application Server supports *assembly tools* that you can use to develop, assemble, and deploy Java Platform, Enterprise Edition (Java EE) modules.

The IBM Rational® Application Developer for WebSphere Software product and the IBM Assembly and Deploy Tools for WebSphere Administration product are supported assembly tools. Although this information center refers to the products as the *assembly tools*, you can use the products to do more than assemble modules.

The Rational Application Developer product provides an integrated development environment to design, develop, analyze, test, profile, and deploy web, service-oriented architecture (SOA), Java, and Java EE applications. It contains tools for software developers, including many simple wizards and visual editors, that support the Java EE programming model.

The Rational Application Developer product provides Service Component Architecture (SCA) Development Tools that you can use to assemble SOA components based on open SCA specifications. Use the tools to do the following:

- Develop SCA service components implemented with annotated Java code.
- Wire components together graphically to form new composite services.
- Associate protocol bindings and quality of service intents to SCA components.
- Package SCA assets and deploy them to a WebSphere Application Server server or cluster in a business-level application.

A subset of the capability in the Rational Application Developer product is available in Assembly and Deploy Tools for WebSphere Administration, which is available with WebSphere Application Server. With these tools, you can assemble and deploy applications to a WebSphere Application Server server or cluster .

For documentation on the tools, see "Rational Application Developer documentation." Topics on application assembly in this information center supplement that documentation.

The assembly tools are available in the WebSphere Application Server disc package with two licenses. The license for IBM Assembly and Deploy Tools for WebSphere Administration does not expire. The license for IBM Rational Application Developer for WebSphere Software is available on a Trial basis and is only available for a limited time.

The Trial download for Rational Application Developer is available at <http://www.ibm.com/developerworks/downloads/r/rad/>.

**Important:** The assembly tools run on Windows and Linux Intel platforms. Users of WebSphere Application Server on all platforms must assemble their modules using an assembly tool installed on Windows or Linux Intel platforms. To install an assembly tool, follow instructions available with the tool.

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## WebSphere Application Server architecture

This topic introduces the parts of the WebSphere Application Server.

### Servers

**WebSphere Application Server.** An application server is a Java virtual machine (JVM) running user applications. Application servers use Java technology to extend web server capabilities to handle web application requests. An application server makes it possible for a server to generate a dynamic, customized response to a client request. The WebSphere Application Server provides application servers.

For more introduction, refer to “Introduction: Application servers” on page 1017.

**Generic servers.** In distributed platforms, you can use the generic servers feature to create a generic server as an application server instance within the product administration, and associate it with a non-WebSphere server or process. The generic server can be associated with any server or process that is necessary to support the application server environment.

For more information, refer to Server collection.

**Web servers.** In the WebSphere Application Server, an application server works with a web server to handle requests for web applications. The application server and web server communicate using an HTTP plug-in for the web server.

For more information, refer to Implementing a web server plug-in.

### Clusters

**Clusters.** In the WebSphere Application Server, Network Deployment product, clusters and cluster members help you monitor application servers and manage the workloads of servers.

For more information, refer to Balancing workloads.

### Core groups

**Core groups settings.** A core group is a statically defined component of the high availability manager. The high availability manager is a product function that monitors the application server environment and provides peer-to-peer failover of application server components.

**Core group bridge settings.** A core group bridge is a configurable service for communication between core groups.

For more information, refer to Core groups (high availability domains).



## Resources

**JMS providers.** The product supports messaging by providing a range of Java Message Service (JMS) providers that conform to the JMS specifications. There are three main types of JMS provider that can be configured in WebSphere Application Server: The WebSphere Application Server default messaging provider (uses service integration as the provider), the WebSphere MQ messaging provider (uses your WebSphere MQ system as the provider) and 3rd party messaging providers (use another company's product as the provider).

For more information, refer to “Introduction: Messaging resources” on page 1098.

## Environment

Cell-wide settings help handle requests among Web applications, web containers, and application servers in a logical administrative domain called a cell.

**Virtual hosts.** A virtual host is a configuration enabling a single host to resemble multiple logical hosts. Each virtual host has a logical name and a list of one or more DNS aliases by which it is known. A DNS alias is the TCP/IP host name and port number that are used to request the servlet, for example: *hostname:80*. The DNS alias might be the host name and port of a web server that routes to the application server or the actual host name and port on which the application server is listening. Java Platform, Enterprise Edition (Java EE) web modules are mapped to a virtual host at installation time. Web modules that use the same virtual host can dispatch to resources within one another.

For more information, refer to Virtual hosts.

**WebSphere variables.** Variables are used to control settings and properties relating to the server environment. WebSphere variables are used to configure product path names such as JAVA\_HOME, cell-wide customization values, and the WebSphere Application Server for z/OS® location service.

For more information, refer to WebSphere variables.

**Shared libraries.** Shared libraries are files used by multiple applications. You can define a shared library at the cell, node, or server level. You can then associate the library to an application or server in order for the classes represented by the shared library to be loaded in either a server-wide or application-specific class loader.

For more information, refer to Managing shared libraries.

**Replication domains.** Replication is a service that transfers data, objects, or events among application servers. Data replication service (DRS) is the internal WebSphere Application Server component that replicates data. Replication domains transfer data, objects, or events for session manager, dynamic cache, or stateful session beans among application servers in a cluster.

For more information, refer to Data replication.

## System administration

**Administrative console.** The administrative console is a graphical interface that provides many features to guide you through deployment and systems administration tasks. Use it to explore available management options.

For more introduction, refer to “Introduction: Administrative console” on page 1014.

**Scripting client (wsadmin).** The WebSphere administrative (wsadmin) scripting program is a powerful, non-graphical command interpreter environment enabling you to run administrative operations in a

scripting language. You can also submit scripting language programs to run. The wsadmin tool is intended for production environments and unattended operations.

For more introduction, refer to “Introduction: Administrative scripting (wsadmin)” on page 1014.

**Administrative programs (Java Management Extensions).** The product supports a Java programming interface for developing administrative programs. All of the administrative tools that are supplied with the product are written according to the API, which is based on the industry standard Java Management Extensions (JMX) specification.

For more introduction, refer to “Introduction: Administrative programs” on page 1015.

**Command line tools.** Command-line tools are simple programs that you run from an operating system command-line prompt to perform specific tasks, as opposed to general purpose administration. Using the tools, you can start and stop application servers, check server status, add or remove nodes, and complete similar tasks.

For more introduction, refer to “Introduction: Administrative commands” on page 1015.

**Configuration files.** Product configuration data resides in XML files that are manipulated by the previously mentioned administrative clients.

For more introduction, refer to “Introduction: Administrative configuration data” on page 1015.

**Domains (cells, nodes).** Servers, nodes and node agents, cells, and the deployment manager are fundamental concepts in the administrative universe of the product. It is also important to understand the various processes in the administrative topology and the operating environment in which they apply.

For more introduction, refer to “Welcome to basic administrative architecture” on page 1012.

## Monitoring and tuning

**Monitoring tools.** Performance monitoring is an activity in which you collect and analyze data about the performance of your applications and their environments. Performance monitoring tools include :

- Performance Monitoring Infrastructure (PMI) for monitoring to understand overall system health. For more information, see Performance Monitoring Infrastructure (PMI).
- Request metrics for monitoring to understand resource usage. For more information, see Why use request metrics?.
- Tivoli Performance Viewer (TPV) for viewing the performance data that you collected. For more information, see Why use Tivoli Performance Viewer?.

**Tuning tools.** Tuning the product helps you obtain the best performance from your website. Tuning the product involves analyzing performance data and determining the optimal server configuration. This determination requires considerable knowledge about the various components in the application server and their performance characteristics. The performance advisors encapsulate this knowledge, analyze the performance data and provide configuration recommendations to improve the application server performance. Therefore, the performance advisors provide a starting point to the application server tuning process and help you without requiring that you become an expert.

For more information, refer to Obtaining advice from the advisors.

## Troubleshooting

**Diagnostic tools.** Diagnostic tools help you isolate the source of problems. Many diagnostic tools are available for this product.

For more information, refer to Working with troubleshooting tools.

**Support and self-help** IBM Support can assist in deciphering the output of diagnostic tools. Refer to the WebSphere Application Server Technical Support website for current information on known problems and their resolution. Documents at this site can save you time gathering information that is needed to resolve a problem.

For more information, refer to the WebSphere Application Server Support page.

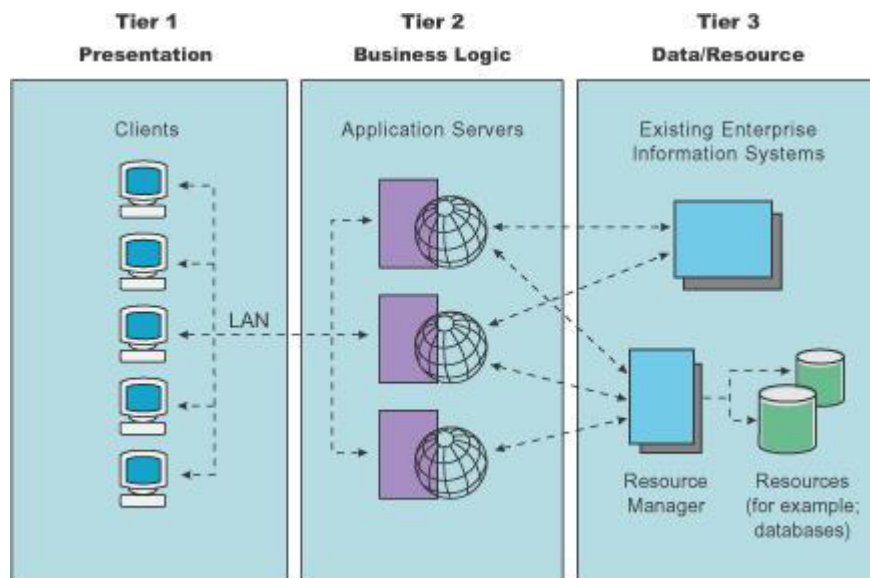
## Three-tier architectures

WebSphere Application Server provides the application logic layer in a three-tier architecture, enabling client components to interact with data resources and legacy applications.

Collectively, three-tier architectures are programming models that enable the distribution of application functionality across three independent systems, typically:

- Client components running on local workstations (tier one)
- Processes running on remote servers (tier two)
- A discrete collection of databases, resource managers, and mainframe applications (tier three)

These tiers are logical tiers. They might or might not be running on the same physical server.



**First tier.** Responsibility for presentation and user interaction resides with the first-tier components. These client components enable the user to interact with the second-tier processes in a secure and intuitive manner. WebSphere Application Server supports several client types. Clients do not access the third-tier services directly. For example, a client component provides a form on which a customer orders products. The client component submits this order to the second-tier processes, which check the product databases and perform tasks that are needed for billing and shipping.

**Second tier.** The second-tier processes are commonly referred to as the *application logic layer*. These processes manage the business logic of the application, and are permitted access to the third-tier services. The application logic layer is where most of the processing work occurs. Multiple client components can access the second-tier processes simultaneously, so this application logic layer must manage its own transactions.

In the previous example, if several customers attempt to place an order for the same item, of which only one remains, the application logic layer must determine who has the right to that item, update the

database to reflect the purchase, and inform the other customers that the item is no longer available. Without an application logic layer, client components access the product database directly. The database is required to manage its own connections, typically locking out a record that is being accessed. A lock can occur when an item is placed into a shopping cart, preventing other customers from considering it for purchase. Separating the second and third tiers reduces the load on the third-tier services, supports more effective connection management, and can improve overall network performance.

**Third tier.** The third-tier services are protected from direct access by the client components residing within a secure network. Interaction must occur through the second-tier processes.

**Communication among tiers.** All three tiers must communicate with each other. Open, standard protocols and exposed APIs simplify this communication. You can write client components in any programming language, such as Java or C++. These clients run on any operating system, by speaking with the application logic layer. Databases in the third tier can be of any design, if the application layer can query and manipulate them. The key to this architecture is the application logic layer.

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## Chapter 2. ActivitySessions

This page provides a starting point for finding information about ActivitySessions, a WebSphere extension for reducing the complexity of commitment rules and limitations that are associated with one-phase commit resources.

Use ActivitySessions to extend the scope and group multiple local transactions. With this capability, you can commit these transactions based on either deployment criteria or through explicit program logic.

More introduction...

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### The ActivitySession service

The ActivitySession service provides an alternative unit-of-work (UOW) scope to that provided by global transaction contexts. An ActivitySession context can be longer-lived than a global transaction context and can encapsulate global transactions.

Support for the ActivitySession service is shown in the following figure:

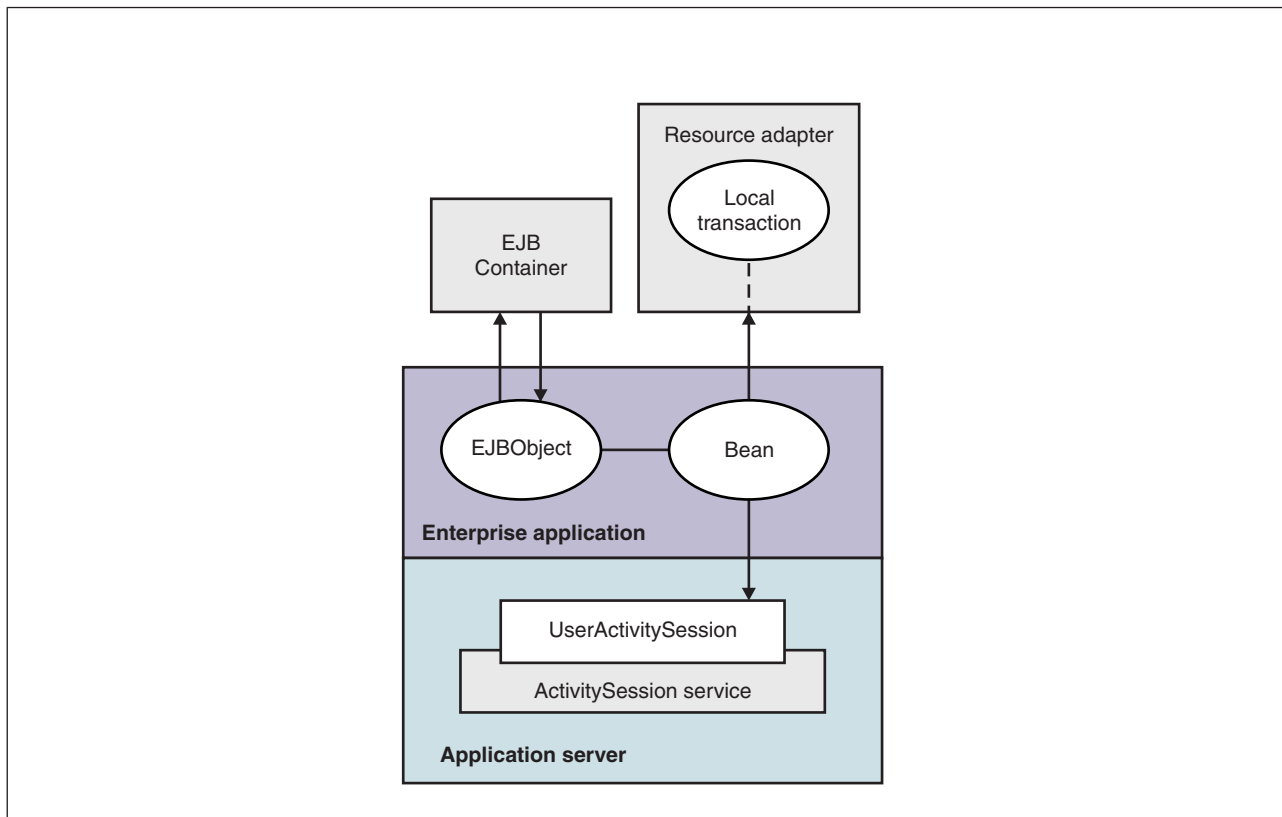


Figure 1. The ActivitySession service. This figure shows the main components of the ActivitySession service in WebSphere Application server. For an overview of these components, see the text that accompanies this figure.

Although the purpose of a global transaction is to coordinate multiple resource managers, enterprise applications often use global transaction context as a “session” context through which to access Enterprise JavaBeans (EJB) instances. An ActivitySession context is such a session context, and can be used in preference to a global transaction in cases where coordination of two-phase commit resource managers is not needed. Further, an ActivitySession can be associated with an HttpSession to extend a “client session” to an HTTP client.

ActivitySession support is available to Web, EJB, and Java platform for enterprise applications client components. EJB components can be divided into beans that exploit container-managed ActivitySessions and beans that use bean-managed ActivitySessions.

The ActivitySession service provides a UserActivitySession application programming interface available to enterprise application components that use bean-managed ActivitySessions for application-managed demarcation of ActivitySession context. The ActivitySession service also provides a system programming interface for container-managed demarcation of ActivitySession context and for container-managed enlistment of one-phase resources (resource manager local transactions (RMLTs)) in such contexts.

The UserActivitySession interface is obtained by a Java Naming and Directory Interface (JNDI) lookup of `java:comp/websphere/UserActivitySession`. This interface is not available to enterprise beans that use container-managed ActivitySessions, and any attempt by such beans to obtain the interface results in a `NotFound` exception.

A common scenario is an enterprise application accessing one or more enterprise beans backed by non-transactional (one-phase commit) resources. The application, or its container, uses the `UserActivitySession` interface to define the demarcation boundaries within which operations against the enterprise beans are grouped and to control whether those grouped operations should be checkpointed or discarded. The business logic of the enterprise beans does not need to use any `ActivitySession` interfaces. The container into which the enterprise beans are deployed ensures that updates to the underlying one-phase resource managers are coordinated.

The application can checkpoint an `ActivitySession` to create a new point of consistency within the `ActivitySession` without ending the `ActivitySession`. The application can also use a reset operation to return work performed in the `ActivitySession` back to the last point of consistency. The application can end the `ActivitySession` with an operation to either checkpoint or reset all resources.

## Usage model for using ActivitySessions with HTTP sessions

This topic describes how a Web application that runs in the WebSphere Web container can participate in an `ActivitySession` context.

If the Web application is designed such that several servlet invocations occur as part of the same logical application, then the servlets can use the `HttpSession` to preserve state across servlet invocations. The `ActivitySession` context is one state that can be suspended into the `HttpSession` and resumed on a future invocation of a servlet that accesses the `HttpSession`.

An `ActivitySession` is associated automatically with an `HttpSession`, so can be used to extend access to the `ActivitySession` over multiple HTTP invocations, over inclusion or forwarding of servlets, and to support Enterprise JavaBeans (EJB) activation periods that can be determined by the lifecycle of the Web HTTP client. An `ActivitySession` context stored in an `HttpSession` can also be used to relate work for the `ActivitySession` back to a specific Web HTTP client.

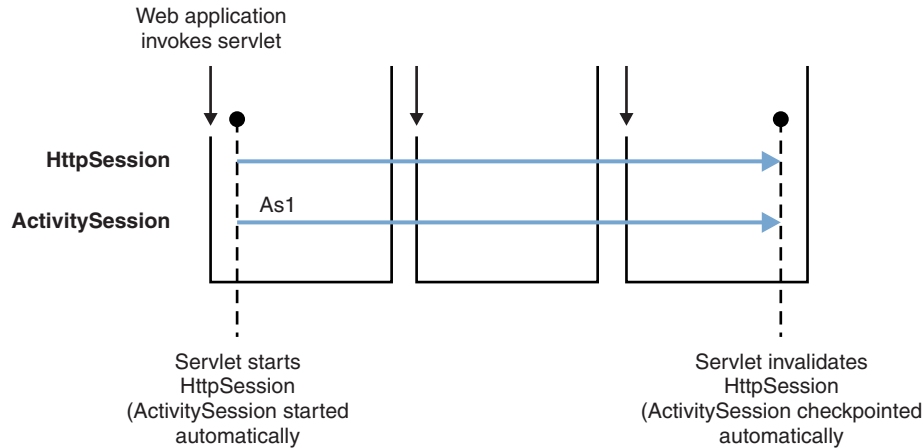
The Web container manages `ActivitySessions` based on deployment descriptor attributes associated with servlets in the Web application module. The two usage models are:

- The Web container starts and ends `ActivitySessions`.

The Web application invokes a servlet that has been configured for container control of `ActivitySessions`.

- If an `HttpSession` exists then it has an associated `ActivitySession`.
- If an `HttpSession` does not exist, the servlet can start an `HttpSession`, which causes an `ActivitySession` to be started automatically and associated with the `HttpSession`.

A servlet cannot start a new `HttpSession` until an existing `HttpSession` has been ended. Within an `HttpSession`, the Web application can invoke other servlets that can use the associated `ActivitySession` context. When the Web application invokes a servlet that ends the `HttpSession`, the `ActivitySession` is ended automatically. This is shown in the following diagram:



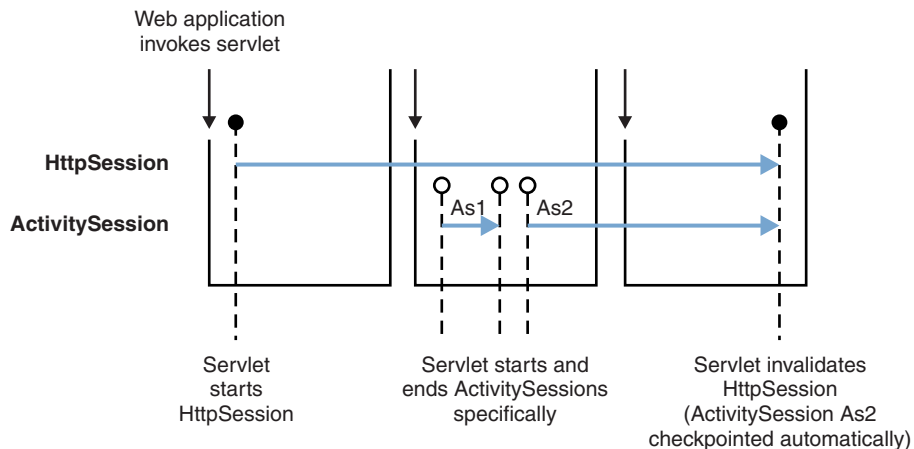
- The Web application starts and ends `ActivitySessions`.

The Web application invokes a servlet that has been configured for application control of `ActivitySessions`.

- If an `HttpSession` exists and has an associated `ActivitySession`, the servlet can use or end that `ActivitySession` context.
- If an `HttpSession` does not exist, the servlet can start an `HttpSession`, but this does not automatically start an `ActivitySession`.
- If an `HttpSession` exists but does not have an associated `ActivitySession`, the servlet can start a new `ActivitySession`. This automatically associates the `ActivitySession` with the `HttpSession`. The `ActivitySession` lasts either until the `ActivitySession` is specifically ended or until the `HttpSession` is ended.

The servlet cannot start a new `ActivitySession` until an existing `ActivitySession` has been ended. The servlet cannot start a new `HttpSession` until an existing `HttpSession` has been ended.

Within an `HttpSession`, the Web application can invoke other servlets that can use or end an existing `ActivitySession` context or, if no `ActivitySession` exists start a new `ActivitySession`. When the Web application invokes a servlet that ends the `HttpSession`, the `ActivitySession` is ended automatically. This is shown in the following diagram:



A Web application can invoke servlets configured for either usage model.

The following points apply to both usage models:

- To end an `HttpSession` (and any associated `ActivitySession`), the Web application must invalidate that session. This causes the `ActivitySession` to be checkpointed.
- Any downstream enterprise beans activated within the context of an `ActivitySession` can be held in memory rather than passivated between servlet invocations, because the client effectively becomes the Web HTTP client.
- Web applications can be composed of many servlets, and each servlet in the Web application can be configured with a value for `ActivitySessionControl`. `ActivitySessionControl` determines whether the servlet or its container starts any `ActivitySessions`.
- An `ActivitySession` context that encapsulates an active transaction context cannot be associated with an `HttpSession`, because a transaction can hold database locks and should be designed to be shortlived. If an application moves an active transaction to an `HttpSession`, the transaction is rolled back and the `ActivitySession` is suspended into the `HttpSession`. In general, you should design applications to use `ActivitySessions` or other constructs as the long-lived entities and ACID transactions as short-duration entities within these.
- Only one `ActivitySession` can be associated with an `HttpSession` at any time, for the duration of the `ActivitySession`. An `ActivitySession` associated with an `HttpSession` remains associated for the duration of that `ActivitySession`, and cannot be replaced with another until the first `ActivitySession` is completed. The `ActivitySession` can be accessed by multiple servlets if they have shared access to the `HttpSession`.
- `ActivitySessions` are not persistent. If a persistent `HttpSession` exists longer than the server hosting it, any cached `ActivitySession` is terminated when the hosting server ends.
- If the `HttpSession` times out before the associated `ActivitySession` has ended, then the `ActivitySession` is reset<sup>1</sup>. This rolls back the `ActivitySession` resources to the last point of consistency:
  - If the Web application invoked a servlet that has been configured for container control of `ActivitySessions`, the `ActivitySession` resources are rolled back completely.
  - If the Web application invoked a servlet that has been configured for application control of `ActivitySessions`, the `ActivitySession` resources are rolled back to the last checkpoint taken by the servlet, or completely if no checkpoint has been taken.
- If the `ActivitySession` times out, it is reset to the last point of consistency (see previous item), then the `HttpSession` is ended.

## ActivitySession and transaction contexts

This topic describes the hierarchical relationship between transaction and `ActivitySession` contexts. This relationship, defined by the `ActivitySession` service, requires that any transaction context be either wholly inside or wholly outside an `ActivitySession` context.

An `ActivitySession` context is very similar to a transaction context and extends the lifecycle choices for activation of enterprise beans; it can encapsulate one or more transactions. The `ActivitySession` context is a distributed context that, like the transaction context, can be bean- or container-managed. An `ActivitySession` context is used mainly by a client to scope the lifecycle of an enterprise bean that it uses either beyond or in the absence of individual transactions started by that client.

`ActivitySessions` have a lower overhead than transactions and can be used instead of transactions that are only used to scope the lifecycle of a called enterprise bean. For a bean with an activation policy of `ActivitySession`, the duration of any resource manager local transactions (RMLTs) started by that bean can be bounded by the duration of the `ActivitySession` instead of the bean method in which the RMLT was started. This provides flexibility and potential for using RMLTs in an enterprise bean beyond the scenarios described in the Enterprise JavaBeans (EJB) specifications. The EJB specifications define that RMLTs need to be completed before the end of the bean method, because the bean method is the only containment boundary for local transactions available in those specifications.

---

1. Resetting an `ActivitySession` causes all the resources involved in the current `ActivitySession` to be rolled back to the last point of consistency, but allows further work within the `ActivitySession`. When the reset completes, the thread is associated with the same `ActivitySession` as it was before the reset was called. The `ActivitySession` resources remain associated with the `ActivitySession` although they cannot participate further in the `ActivitySession`.



The following rules defines the relationship between transactions and ActivitySessions.

- The EJB or Web container always uses a local transaction containment (LTC) if there is no global transaction present. An LTC can be method-scoped or ActivitySession-scoped.
- Before a method dispatch, the container ensures that there is always either an LTC or global transaction context, but never both contexts.
- ActivitySessions cannot be nested within each other. Any attempt to start a nested ActivitySession results in a `com.ibm.websphere.ActivitySession.NotSupportedException` on `UserActivitySession.beginSession()`.
- An ActivitySession can wholly encapsulate one or more global transactions.
- The application can end an ActivitySession with an operation to either checkpoint or reset all resources. The `endSession(EndModeCheckpoint)` operation checkpoints the work coordinated under the ActivitySession then ends the context. The `endSession(EndModeReset)` operation resets, to the last point of consistency, the work coordinated under the ActivitySession then ends the context.
- An ActivitySession cannot be encapsulated by a global transaction nor should ActivitySession and global transaction boundaries overlap. Any attempt to start an ActivitySession in the presence of a global transaction context results in a `com.ibm.websphere.ActivitySession.NotSupportedException` on `UserActivitySession.beginSession()`. Any attempt to call `endSession(EndModeCheckpoint)` on an ActivitySession that contains an incomplete global transaction results in a `com.ibm.websphere.ActivitySession.ContextPendingException`. Neither the global transaction nor the ActivitySession context are affected. If `endSession(EndModeReset)` is called then the ActivitySession is reset and the global transactions marked `rollback_only`.
- Each global transaction wholly encapsulated by an ActivitySession is independent of every other global transaction within that ActivitySession. A rollback of one global transaction does not affect any others or the ActivitySession itself.
- ActivitySession and global transaction contexts can coexist with an ActivitySession encapsulating one or more serially-running global transactions.
- EJB home methods cannot participate in an ActivitySession because this situation might cause deadlocks. EJB home methods run in their own independent LTC.

## ActivitySession and transaction container policies in combination

This topic provides details about the relationship between the deployment descriptor properties that determine how the container manages ActivitySession boundaries.

If an enterprise bean uses ActivitySessions, how the EJB container manages ActivitySession boundaries when delegating a method invocation depends on both the **ActivitySession kind** and **Container transaction type** deployment descriptor attributes configured for the enterprise bean. The following table lists the relationship between these two properties.

In each row, the final column describes the behavior that the EJB container takes with respect to global transaction and ActivitySession context, based on the following abbreviations:

**Sn** An ActivitySession, where *n* indicates the ActivitySession instance.

**Tn** A transaction, where *n* indicates the transaction instance.

In every case where the container does not start or leave a global transaction context associated with the thread, it starts (or obtains from the bean instance) a local transaction containment and associates that with the thread. The duration of the local transaction containment is determined by a combination of the local-transaction boundary descriptor (configured as part of the application deployment descriptor, and not shown in the following table) and the presence or not of an ActivitySession context, as described in ActivitySessions and transaction contexts.

The rows highlighted in bold are not allowed.

Table 2. Container behavior for activitysession and transaction policies deployment settings

Bean ActivitySession policy(ActivitySession kind)	Bean transaction policy(Container transaction type)	Received contexts	Container behavior
Required	Required	None	Start S1, Start T1
		S1	Start T1
		T1	Suspend T1, Start S1, Start T2
		S1, T1	No Action
	Requires new	None	Start S1, Start T1
		S1	Start T1
		T1	Suspend T1, Start S1, Start T2
		S1, T1	Suspend T1, Start T2
	Supports	None	Start S1
		S1	No Action
		T1	Suspend T1, Start S1
		S1, T1	No Action
	Not supported	None	Start S1
		S1	No Action
		T1	Suspend T1, Start S1
		S1, T1	Suspend T1
Mandatory	None	Exception	
	S1	Exception	
	T1	Exception	
	S1, T1	No action	
Never	None	Start S1	
	S1	No Action	
	T1	Suspend T1, Start S1	
	S1, T1	Exception	

Table 2. Container behavior for activitysession and transaction policies deployment settings (continued)

Bean ActivitySession policy(ActivitySession kind)	Bean transaction policy(Container transaction type)	Received contexts	Container behavior
Requires new	Required	None	Start S1 + T1
		S1	Suspend S1, Start S2 + T1
		T1	Suspend T1, Start S1 + T2
		S1 + T1	Suspend S1 + T1, Start S2 + T2
	Requires new	None	Start S1 + T1
		S1	Suspend S1, Start S2 + T1
		T1	Suspend T1, Start S1 + T2
		S1 + T1	Suspend S1 + T1, Start S2 + T2
	Supports	None	Start S1
		S1	Suspend S1, Start S2
		T1	Suspend T1, Start S1
		S1, T1	Suspend S1 + T1, Start S2
	Not supported	None	Start S1
		S1	Suspend S1, Start S2
		T1	Suspend T1, Start S1
		S1, T1	Suspend S1 + T1, Start S2
<b>Mandatory</b>	<b>None</b>	<b>Exception</b>	
	<b>S1</b>	<b>Exception</b>	
	<b>T1</b>	<b>Exception</b>	
	<b>S1, T1</b>	<b>Exception</b>	
Never	None	Start S1	
	S1	Suspend S1, Start S2	
	T1	Suspend T1, Start S1	
	S1, T1	Suspend S1 + T1, Start S2	

Table 2. Container behavior for activitysession and transaction policies deployment settings (continued)

Bean ActivitySession policy(ActivitySession kind)	Bean transaction policy(Container transaction type)	Received contexts	Container behavior
Supports	Required	None	Start T1
		S1	Start T1
		T1	No Action
		S1, T1	No Action
	Requires new	None	Start T1
		S1	Start T1
		T1	Suspend T1, Start T2
		S1, T1	Suspend T1, Start T2
	Supports	None	No Action
		S1	No Action
		T1	No Action
		S1, T1	No Action
	Not supported	None	No Action
		S1	No Action
		T1	Suspend T1
		S1, T1	Suspend T1
	Mandatory	None	Exception
		S1	Exception
		T1	No Action
		S1, T1	No Action
Never	None	No Action	
	S1	No Action	
	T1	Exception	
	S1, T1	Exception	

Table 2. Container behavior for activitysession and transaction policies deployment settings (continued)

Bean ActivitySession policy(ActivitySession kind)	Bean transaction policy(Container transaction type)	Received contexts	Container behavior
Not supported	Required	None	Start T1
		S1	Suspend S1, Start T1
		T1	No Action
		S1, T1	Suspend S1 + T1, Start T2
	Requires new	None	Start T1
		S1	Suspend S1, Start T1
		T1	Suspend T1, Start T2
		S1, T1	Suspend S1 + T1, Start T2
	Supports	None	No Action
		S1	Suspend S1
		T1	No Action
		S1, T1	Suspend S1 + T1
	Not supported	None	No Action
		S1	Suspend S1
		T1	Suspend T1
		S1, T1	Suspend S1 + T1
	Mandatory	None	Exception
		S1	Exception
		T1	No Action
		S1,T1	Exception
Never	None	No Action	
	S1	Suspend S1	
	T1	Exception	
	S1, T1	Suspend S1 + T1	

Table 2. Container behavior for activitysession and transaction policies deployment settings (continued)

Bean ActivitySession policy(ActivitySession kind)	Bean transaction policy(Container transaction type)	Received contexts	Container behavior
Mandatory	Required	None	Exception
		S1	Start T1
		T1	Exception
		S1, T1	No Action
	Requires new	None	Exception
		S1	Start T1
		T1	Exception
		S1, T1	Suspend T1, Start T2
	Supports	None	Exception
		S1	No Action
		T1	Exception
		S1, T1	No Action
	Not supported	None	Exception
		S1	No Action
		T1	Exception
		S1, T1	Suspend T1
	Mandatory	None	Exception
		S1	Exception
		T1	Exception
		S1, T1	No Action
Never	None	Exception	
	S1	No Action	
	T1	Exception	
	S1,T1	Exception	

Table 2. Container behavior for activitysession and transaction policies deployment settings (continued)

Bean ActivitySession policy(ActivitySession kind)	Bean transaction policy(Container transaction type)	Received contexts	Container behavior
Never	Required	None	Start T1
		S1	Exception
		T1	No Action
		S1, T1	Exception
	Requires new	None	Start T1
		S1	Exception
		T1	Suspend T1, Start T2
		S1,T1	Exception
	Supports	None	No Action
		S1	Exception
		T1	No Action
		S1,T1	Exception
	Not supported	None	No Action
		S1	Exception
		T1	Suspend T1
		S1,T1	Exception
	Mandatory	None	Exception
		S1	Exception
		T1	No Action
		S1,T1	Exception
Never	None	No Action	
	S1	Exception	
	T1	Exception	
	S1,T1	Exception	
Bean managed	Bean managed	None	No Action
		S1	Suspend S1
		T1	Suspend T1
		S1, T1	Suspend S1 + T1

## ActivitySession samples

WebSphere Application Server provides some ActivitySession samples.

### MasterMind sample

This sample is based on the game MasterMind. It consists of the following components:

- A servlet, configured with the ActivitySession control kind attribute set to Container, that accesses a stateful session bean.
- A stateful session bean, configured with an activation policy of ActivitySession containing transient state data.

The servlet begins an HttpSession at the start of each new game, and ends it at the end of each game; therefore an ActivitySession lasts for the duration of each game. The ActivitySession activation policy stops the bean from being passivated and therefore the transient data remains in memory. This sample demonstrates the association between HttpSession and ActivationSession in the web container, and an ActivitySession-scoped activation policy.

### Enterprise application client container and a CMP entity bean backed by a one-phase commit data source

In this sample, the entity bean is configured with the following properties:

- TX\_NOT\_SUPPORTED
- An ActivitySession container managed policy of REQUIRES
- An LTC boundary of ActivitySession
- An LTC Resolution Control of ContainerAtBoundary

The client accesses the UserActivitySession, begins an ActivitySession, updates two instances of the bean, then ends the ActivitySession. It does this twice using EndModeReset then EndModeCheckpoint. This sample demonstrates the following functionality:

- Client access to the UserActivitySession interface
- Multiple resource manager local transactions (RMLTs) being scoped to the ActivitySession and taking their completion direction automatically from that of the ActivitySession

The entity bean also holds a transient variable that each method call increments (gets and sets for the persistent data). This value is checked before the end of the ActivitySession to show that the same bean instance is used. The client checks for the correct results.

### **An enterprise application client container and two session beans with different ActivitySession types**

This sample consists of an enterprise application client container and the following session beans:

- SLB1, a stateless session bean configured with an ActivitySession Type of Bean.
- SFB2, a stateful session bean configured with ActivitySession Type of Requires, an LTC boundary of ActivitySession, LTC Resolution Control of APPLICATION, and an LTC Unresolved Action of ROLLBACK.

Both beans are configured with TX\_NOTSUPPORTED.

This sample uses the following steps:

1. The client starts SLB1
2. SLB1 accesses the UserActivitySession interface, begins an ActivitySession, then calls a method on SFB2
3. SFB2 accesses the UserActivitySession interface, begins an ActivitySession, calls a method on SFB2
4. SFB2 gets a connection (setAutoCommit false) then uses JDBC to update a single-phase data source.
5. Optionally, SLB1 calls a separate method on SFB2 to finish the work, either committing or rolling back the RMLT.
6. SLB1 then ends the ActivitySession with an EndModeCheckpoint.

This sample demonstrates the following functionality:

- The ActivitySession completion direction is unconnected to the direction of the RMLTs, although the containment of the RMLTs is bound to the ActivitySession.
- The container using the unresolved action when an RMLT is not completed.
- A bean-managed ActivitySessions bean using the UserActivitySession interface.

The sample checks for correct results and reports them back to the client.

## **ActivitySession service: Resources for learning**

Use the links in this topic to find relevant supplemental information about ActivitySessions. The information resides on IBM and non-IBM Internet sites, whose sponsors control the technical accuracy of the information.

These links are provided for convenience. Often, the information is not specific to the IBM WebSphere Application Server product, but is useful all or in part for understanding the product. When possible, links are provided to technical papers and Redbooks® that supplement the broad coverage of the release documentation with in-depth examinations of particular product areas.

### **Programming model and decisions**

- The application programming interface (API) reference information.



### **Programming specifications**

- J2EE Activity Service for Extended Transactions
- Java Transaction API (JTA) 1.0.1

### **Other**

- WebSphere Business Integration Server Foundation
- List of IBM WebSphere Redbooks
- WebSphere technical library, including links to white papers



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## Chapter 3. Application profiling

This page provides a starting point for finding information about application profiling, a WebSphere extension for defining strategies to dynamically control concurrency, prefetch, and read-ahead.

Application profiling and access intent provide a flexible method to fine-tune application performance for enterprise beans without impacting source code. Different enterprise beans, and even different methods in one enterprise bean, can have their own intent to access resources. Profiling the components based on their access intent increases performance in the application server run time.

The application profiling service is not available for Enterprise JavaBeans (EJB) that are contained in a web archive (WAR). As a result, application profiling tasks can not be accessed from an EJB in a WAR.

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### Application profiling

You can use application profiling to identify particular units of work to the product runtime environment. The run time can tailor its support to the exact requirements of that unit of work.

Application profiling requires accurate knowledge of an application's transactional configuration and the interaction of the application with its persistent state during the course of each transaction.

You can execute the analysis in either closed world or open world mode. A closed-world analysis assumes that all possible clients of the application are included in the analysis and that the resulting analysis is complete and correct. The results of a closed-world analysis report the set of all transactions that can be invoked by a web, JMS, or application client. The results exclude many potential transactions that never execute at run time.

An open-world analysis assumes that not all clients are available for analysis or that the analysis cannot return complete or accurate results. An open-world analysis returns the complete set of possible transactions.

The results of an analysis persist as an application profiling configuration. The assembly tool establishes container managed tasks for servlets, JavaServer Pages (JSP) files, application clients, and Message Driven Beans (MDBs). Application profiles for the tasks are constructed with the appropriate access intent for the entities enlisted in the transaction represented by the task. However, in practice, there are many situations where the tool returns at best incomplete results. Not all applications are amenable to static analysis. Some factory and command patterns make it impossible to determine the call graphs. The tool does not support the analysis of *ActivitySessions*.

You should examine the results of the analysis very carefully. In many cases you must manually modify them to meet the requirements of the application. However, the tool can be an effective starting place for most applications and may offer a complete and quick configuration of application profiles for some applications.

Access intent is the only runtime component that makes use of the application profiling functionality. For example, you can configure one transaction to load an entity bean with strong update locks and configure another transaction to load the same entity bean without locks.

Application profiling introduces two new concepts in order to achieve this function: *tasks* and *profiles*.

**Tasks** A task is a configurable name for a unit of work. *Unit of work* in this case means either a transaction or an ActivitySession. The task name is typically assigned declaratively on a J2EE component that can initiate a unit of work. Most commonly, the task is configured on a method of an Enterprise JavaBeans file that is declared either for container-managed transactions or bean-managed transactions. Any unit of work that begins in the scope of a configured task is associated with that task name. A unit of work can only be named when it is initiated, and the

name cannot change for the lifetime of that unit of work. A unit of work ignores any subsequent task name configurations at any point after it has begun. The task is used for the duration of its unit of work to identify configured policies specific to that unit of work.

**Note:** If you select the 5.x Compatibility Mode attribute on the Application Profile Service's console page, then tasks configured on J2EE 1.3 applications are not necessarily associated with units of work and can arbitrarily be applied and overridden. This is not a recommended mode of operation and can lead to unexpected deadlocks during database access. Tasks are not communicated on requests between applications that are running under the Application Profiling 5.x Compatibility Mode and applications that are not running under the compatibility mode.

For a Version 6.x client to interact with applications run under the Application Profiling 5.x Compatibility Mode, you must set the *appprofileCompatibility* system property to *true* in the client process. You can do this by specifying the *-CCDappprofileCompatibility=true* option when invoking the *launchClient* command.

## Profiles

A profile is simply a mapping of a task to a set of access intent policies that are configured on entity beans. When an invocation on a bean (whether by a finder method, a CMR getter, or a dynamic query) requires data to be retrieved from the back end system, the current task associated with the request is used to determine the exact requirement of the transaction. The same bean loads and behaves differently in the context of the task-to-profile mapping. Each profile provides the developer an opportunity to reconfigure the application's access intent. If a request is operating in the absence of a task, the runtime environment uses either a method-level access intent (if any) or a bean-level default access intent.

**Note:** The application profile configuration is application scope configuration data. If any Enterprise JavaBean (EJB) module contains an application profile configuration, all other EJB modules are implicitly regulated by the Application Profiling service even if they do not contain application profile configuration data.

For example, an application has two EJB modules: *EJBModule1* and *EJBModule2*.

The *EJBModule1* has an application profile named *AppProfile1*. This *AppProfile1* is registered by a task named *task1*. This *task1* becomes a *known-to-application task* and is honored when associated with a unit of work within this application. With the presence of any known-to-application task, method level access intent configurations are ignored and only bean level access intent configurations are applied.

The *EJBModule2* contains no application profile configuration data. All entity beans are **not** configured with bean level access intent explicitly, but some methods have method level access intent configurations. If an entity bean in the *EJBModule2* is loaded in a unit of work that is associated with *task1*, the bean-level access intent configuration is applied and method level access intent configuration is ignored. Because the bean level access intent is not set explicitly, the default bean level access intent, which is *wsPessimisticUpdate-WeakestLockAtLoad*, is applied.

## Tasks and units of work considerations

The application profiling function works under the unit of work (UOW) concept. UOW in this case means either a transaction or an *ActivitySession*.

The task name on a method is used only when a UOW is begun, because of that method being invoked. This gives it a more predictable data access pattern based on the active unit of work. To be more specific, this approach ensures that a bean type with only one configured access intent is loaded within a UOW,

because a bean is configured with only one access intent within an application profile. This configured access intent for a bean type is determined at assembly time and is enforced by the Application Profile service.

A task name is always associated with a unit of work, and that task name does not change for the duration of that UOW. When a UOW associated with a method is begun because of that method being invoked, if a task name is associated with the method then that task name is used to name the UOW. A task assigned to a unit of work is considered a named UOW.

If a task name is not associated with the method that began the UOW, then a default access intent is used and the UOW is unnamed. A unit of work can only be named when the UOW is begun and that task name remains for the life of the UOW. Furthermore, the task assigned to a UOW can never be changed for the life of that UOW. Any task names associated with a method are ignored if that method does not begin a UOW (either container managed or component managed).

It is not possible to change the task name assigned to a unit of work. However, it is possible that in a call sequence consisting of many different application calls a different task name might need to be used for different calls. In this case it is important for the deployer to begin a new UOW and associate with the UOW the necessary task name. For example, assume you have the following beans: sb1 is a session bean, eb2 and eb3 are container managed persistence (CMP) entity beans. When sb1 is called, a transaction is begun and task 't1' is associated with it. Further assume that sb1 then calls eb2 and eb3. If neither eb2 or eb3 create a unit of work, then these beans execute within the UOW context from sb1 and as such its task name (t1). If eb2 or eb3 need to execute within a task name other than t1, then these beans must define a unit of work and associate with it the appropriate task name.

Note that if an application deployer does not specifically configure a transaction on a method, WebSphere Application Server creates a global transaction by default. This is important because if a task is defined on a method, but a UOW is not specifically configured on that method, the EJB container automatically creates a global transaction on behalf of that method. As such, this task name is associated with the UOW and any application profiles mapped to this task are used.

**Note:** If you select the 5.x Compatibility Mode attribute on the Application Profile Service's console page, then tasks configured on J2EE 1.3 applications are not necessarily associated with units of work and can arbitrarily be applied and overridden. This is not a recommended mode of operation and can lead to unexpected deadlocks during database access. Tasks are not communicated on requests between applications that are running under the Application Profiling 5.x Compatibility Mode and applications that are not running under the compatibility mode.

## Application profiles

An application profile is the set of access intent policies that should be selectively applied for a particular unit of work (a transaction or *ActivitySession*).

Application profiling enables applications to run under different sets of policies depending on the active task under which the application is operating.

The active task depends upon the current unit of work mechanism. If the current unit of work is a global transaction, then the task is the name associated with that transaction. If the global transaction was not named when it was initiated, then there is no active task anywhere in the scope of that transaction.

If the current unit of work is a local transaction associated with an *ActivitySession*, then the task is the name associated with that *ActivitySession*. If the *ActivitySession* was not named when it was initiated, then there is no active task for any local transaction bound to that *ActivitySession*. If the current unit of work is a local transaction that is not associated with an *ActivitySession*, then the task is the name associated with that local transaction. If the local transaction was not associated with a task when the local transaction was initiated, then there is no active task for the duration of that local transaction. In other words, the

active task is the task associated with the unit of work on the thread that is coordinating database resources. If the controlling unit of work was not associated with a task when that unit of work was initiated, then there is no active task in the scope of that unit of work.

**Note:** If you select the 5.x Compatibility Mode attribute on the Application Profile Service's console page, then tasks configured on J2EE 1.3 applications are not necessarily associated with units of work and can arbitrarily be applied and overridden. This is not a recommended mode of operation and can lead to unexpected deadlocks during database access. Tasks are not communicated on requests between applications that are running under the Application Profiling 5.x Compatibility Mode and applications that are not running under the compatibility mode.

For a Version 6.x client to interact with applications run under the Application Profiling 5.x Compatibility Mode, you must set the *appprofileCompatibility* system property to *true* in the client process. You can do this by specifying the *-CCDappprofileCompatibility=true* option when invoking the *launchClient* command.

Consider an application that centralizes the student records for a school district. These records are frequently accessed by the school district's central office in order to generate reports. The report generation process would be optimized if it held no locks with the back end system, and if the records could be read into memory with as few back end operations as possible. Occasionally, however, the records are updated by the students' instructors. Without the ability to distinguish between transactions, the developer is forced to assume a worst-case scenario and, wishing to use pessimistic concurrency, lock the records for all transactions.

Using the application profiling service, the developer can configure in as many ways as necessary the access intent under which the students' records are loaded. Under one profile, the records can be configured with an exclusive pessimistic update intent, not only locking-out competing transactions but ensuring that the student is not removed from the system before the transaction completes. Under another profile, the records can be configured with an optimistic intent as part of an object graph that is read from the back end system in a single database operation. The task represented by the pessimistic profile receives the strong-locking semantics required for certain transactions, while the task represented by the optimistic profile receives the performance benefits appropriate for other transactions.

## Application profiling tasks

Tasks are named units of work. They are the mechanism by which the runtime environment determines which access intent policies to apply when an entity bean's data is loaded from the back end system.

Application profiles enable developers to configure an entity bean with multiple access intent policies; if there are *n* instances of profiles in a given application, each bean can be configured with as many as *n* access intent policies.

A task is associated with a transaction or an *ActivitySession* at the initiation of the unit of work. The task, which cannot change for the lifetime of the unit of work, is always available anywhere within the scope of that unit of work to apply the access intent policy configured for that particular unit of work.

If an enterprise application is configured to use application profiling in any part of the application, then application profiling is active and method-level access intent configurations are ignored when units of works are associated with known-to-application tasks.

If an entity bean is loaded in a unit of work that is not associated with a task, or is associated with a task that is unassociated with an application profile, the default bean-level access intent or the method-level access intent configuration is applied. If a unit of work is associated with a task that is configured with an application profile, the bean-level access intent configuration within the appropriate application profile is applied.

**Note:** The application profile configuration is application scope configuration data. If any Enterprise Javabeans (EJB) module contains an application profile configuration, all other EJB modules are implicitly regulated by the Application Profiling service even if they do not contain application profile configuration data.

For example, an application has two EJB modules: EJBModule1 and EJBModule2.

The EJBModule1 has an application profile named AppProfile1. This AppProfile1 is registered by a task named task1. This task1 becomes a *known-to-application task* and is honored when associated with a unit of work within this application. With the presence of any known-to-application task, method level access intent configurations are ignored and only bean level access intent configurations are applied.

The EJBModule2 contains no application profile configuration data. All entity beans are **not** configured with bean level access intent explicitly, but some methods have method level access intent configurations. If an entity bean in the EJBModule2 is loaded in a unit of work that is associated with task1, the bean-level access intent configuration is applied and method level access intent configuration is ignored. Because the bean level access intent is not set explicitly, the default bean level access intent, which is wsPessimisticUpdate-WeakestLockAtLoad, is applied.

The active task depends upon the current unit of work mechanism. If the current unit of work is a global transaction, then the task is the name associated with that transaction. If the global transaction was not named when it was initiated, then there is no active task anywhere in the scope of that transaction.

If the current unit of work is a local transaction associated with an ActivitySession, then the task is the name associated with that ActivitySession. If the ActivitySession was not named when it was initiated, then there is no active task for any local transaction bound to that ActivitySession. If the current unit of work is a local transaction that is not associated with an ActivitySession, then the task is the name associated with that local transaction. If the local transaction was not associated with a task when the local transaction was initiated, then there is no active task for the duration of that local transaction. In other words, the active task is the task associated with the unit of work on the thread that is coordinating database resources. If the controlling unit of work was not associated with a task when that unit of work was initiated, then there is no active task in the scope of that unit of work.

For example, consider a school district application that calls through a session bean in order to interact with student records. One method on the session bean allows administrators to modify the students' records; another method supports student requests to view their own records. Without application profiling, the two tasks would operate anonymously and the runtime environment would be unable to distinguish work operating on behalf of one task or the other. To optimize the application, a developer can configure one of the methods on the session bean with the task "updateRecords" and the other method on the session bean with the task "readRecords". When registered with an application profile that has the student bean configured with the appropriate locking access intent, the "updateRecords" task is assured that it is not unnecessarily blocking transactions that need to only read the records. For more information about the relationships between tasks and units of work, see "Tasks and units of work considerations" on page 34.

Tasks can be configured to be managed by the container or to be programmatically established by the application. Container managed tasks can be configured on servlets, JavaServer Pages (JSP) files, application clients, and the methods of Enterprise JavaBeans (EJB). Configured container-managed tasks are only associated with units of work that the container initiates after the task name is set. Application managed tasks can be configured on all J2EE components. In the case of enterprise beans they must be bean managed transactions."

**best-practices:** If you select the 5.x Compatibility Mode attribute on the Application Profile Service's console page, then tasks configured on J2EE 1.3 applications are not necessarily associated with units of work and can arbitrarily be applied and overridden. This is not a recommended mode of operation and can lead to unexpected deadlocks during database

access. Tasks are not communicated on requests between applications that are running under the Application Profiling 5.x Compatibility Mode and applications that are not running under the compatibility mode.

For a Version 6.x client to interact with applications run under the Application Profiling 5.x Compatibility Mode, you must set the *appprofileCompatibility* system property to *true* in the client process. You can do this by specifying the *-CCDappprofileCompatibility=true* option when invoking the `launchClient` command.



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## Chapter 4. Asynchronous beans

This page provides a starting point for finding information about asynchronous beans.

Asynchronous beans and asynchronous scheduling facilities offer performance enhancements for resource-intensive tasks by enabling single tasks to run as multiple tasks.

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### Asynchronous beans

An asynchronous bean is a Java object or enterprise bean that can run asynchronously by a Java Platform, Enterprise Edition (Java EE) application, using the Java EE context of the asynchronous bean creator.

Asynchronous beans can improve performance by enabling a Java EE program to decompose operations into parallel tasks. Asynchronous beans support the construction of stateful, active Java EE applications. These applications address a segment of the application space that Java EE has not previously addressed (that is, advanced applications that require application threading, active agents within a server application, or distributed monitoring capabilities).

Asynchronous beans can run using the Java EE security context of the creator Java EE component. These beans also can run with copies of other Java EE contexts, such as:

- Internationalization context
- Application profiles, which are not supported for Java EE 1.4 applications and deprecated for Java EE 1.3 applications
- Work areas

### Asynchronous bean interfaces

Four types of asynchronous beans exist:

#### Work object

There are two work interfaces that essentially accomplish the same goal. The legacy Asynchronous Beans work interface is `com.ibm.websphere.asynchbeans.Work`, and the CommonJ work interface is `commonj.work.Work`. A work object runs parallel to its caller using the work manager `startWork` or `schedule` method (`startWork` for legacy Asynchronous Beans and `schedule` for CommonJ). Applications implement work objects to run code blocks asynchronously. For more information on the Work interface, refer to the generated API documentation.

#### Timer listener

This interface is an object that implements the `commonj.timers.TimerListener` interface. Timer listeners are called when a high-speed transient timer expires. For more information on the `TimerListener` interface, refer to the generated API documentation.

#### Alarm listener

An alarm listener is an object that implements the `com.ibm.websphere.asynchbeans.AlarmListener` interface. Alarm listeners are called when a high-speed transient alarm expires. For more information on the `AlarmListener` interface, refer to the generated API documentation.

#### Event listener

An event listener can implement any interface. An event listener is a lightweight, asynchronous notification mechanism for asynchronous events within a single Java virtual machine (JVM). An event listener typically enables Java EE components within a single application to notify each other about various asynchronous events.

### Supporting interfaces

#### Work manager

Work managers are thread pools that administrators create for Java EE applications. The administrator specifies the properties of the thread pool and a policy that determines which Java EE contexts the asynchronous bean inherits.

## CommonJ Work manager

The CommonJ work manager is similar to the work manager. The difference between the two is that the CommonJ work manager contains a subset of the asynchronous beans work manager methods. Although CommonJ work manager functions in a Java EE 1.4 environment, each JNDI lookup of a work manager does not return a new instance of the `WorkManager`. All the JNDI lookup of work managers within a scope have the same instance.

## Timer manager

Timer managers implement the `commonj.timers.TimerManager` interface, which enables Java EE applications, including servlets, EJB applications, and JCA Resource Adapters, to schedule future timer notifications and receive timer notifications. The timer manager for Application Servers specification provides an application-server supported alternative to using the J2SE `java.util.Timer` class, which is inappropriate for managed environments.

## Event source

An event source implements the `com.ibm.websphere.asynchbeans.EventSource` interface. An event source is a system-provided object that supports a generic, type-safe asynchronous notification server within a single JVM. The event source enables event listener objects, which implement any interface to be registered. For more information on the `EventSource` interface, refer to the generated API documentation.

## Event source events

Every event source can generate its own events, such as listener count changed. An application can register an event listener object that implements the class `com.ibm.websphere.asynchbeans.EventSourceEvents`. This action enables the application to catch events such as listeners being added or removed, or a listener throwing an unexpected exception. For more information on the `EventSourceEvents` class, refer to the generated API documentation.

Additional interfaces, including alarms and subsystem monitors, are introduced in the *Developing Asynchronous scopes* topic, which discusses some of the advanced applications of asynchronous beans.

## Transactions

Every asynchronous bean method is called using its own transaction, much like container-managed transactions in typical enterprise beans. It is very similar to the situation when an Enterprise Java Beans (EJB) method is called with `TX_NOT_SUPPORTED`. The runtime starts a local transaction containment before invoking the method. The asynchronous bean method is free to start its own global transaction if this transaction is possible for the calling Java EE component. For example, if an enterprise bean creates the component, the method that creates the asynchronous bean must be `TX_BEAN_MANAGED`.

When you call an entity bean from within an asynchronous bean, for example, you must have a global transactional context available on the current thread. Because asynchronous bean objects start local transactional contexts, you can encapsulate all entity bean logic in a session bean that has a method marked as `TX_REQUIRES` or equivalent. This process establishes a global transactional context from which you can access one or more entity bean methods.

If the asynchronous bean method throws an exception, any local transactions are rolled back. If the method returns normally, any incomplete local transactions are completed according to the unresolved action policy configured for the bean. EJB methods can configure this policy using their deployment descriptor. If the asynchronous bean method starts its own global transaction and does not commit this global transaction, the transaction is rolled back when the method returns.

## Access to Java EE component metadata

If an asynchronous bean is a Java EE component, such as a session bean, its own metadata is active when a method is called. If an asynchronous bean is a simple Java object, the Java EE component metadata of the creating component is available to the bean. Like its creator, the asynchronous bean can look up the `java:comp` namespace. This look up enables the bean to access connection factories and

enterprise beans, just as it would if it were any other Java EE component. The environment properties of the creating component also are available to the asynchronous bean.

The `java:comp` namespace is identical to the one available for the creating component; the same restrictions apply. For example, if the enterprise bean or servlet has an EJB reference of `java:comp/env/ejb/MyEJB`, this EJB reference is available to the asynchronous bean. In addition, all of the connection factories use the same resource-sharing scope as the creating component.

## Connection management

An asynchronous bean method can use the connections that its creating Java EE component obtained using `java:comp` resource references. (For more information on resource references, refer to the [References](#) topic). However, the bean method must access those connections using a `get`, `use` or `close` pattern. There is no connection caching between method calls on an asynchronous bean. The connection factories or datasources can be cached, but the connections must be retrieved on every method call, used, and then closed. While the asynchronous bean method can look up connection factories using a global Java Naming and Directory Interface (JNDI) name, this is not recommended for the following reasons:

- The JNDI name is hard coded in the application (for example, as a property or string literal).
- The connection factories are not shared because there is no way to specify a sharing scope.

For code examples that demonstrate both the correct and the incorrect ways to access connections from asynchronous bean methods, refer to the [Example: Asynchronous bean connection management](#) topic.

## Deferred start of Asynchronous Beans

Asynchronous beans support deferred start by allowing serialization of Java EE service context information. The `WorkWithExecutionContext` `createWorkWithExecutionContext(Work r)` method on the `WorkManager` interface will create a snapshot of the Java EE service contexts enabled on the `WorkManager`. The resulting `WorkWithExecutionContext` object can then be serialized and stored in a database or file. This is useful when it is necessary to store Java EE service contexts such as the current security identity or `Locale` and later inflate them and run some work within this context. The `WorkWithExecutionContext` object can run using the `startWork()` and `doWork()` methods on the `WorkManager` interface.

All `WorkWithExecutionContext` objects must be deserialized by the same application that serialized it. All EJBs and classes must be present in order for Java to successfully inflate the objects contained within.

## Deferred start and security

The asynchronous beans security service context might require Common Secure Interoperability Version 2 (CSIv2) identity assertion to be enabled. Identity assertion is required when a `WorkWithExecutionContext` object is deserialized and run to Java Authentication and Authorization Service (JAAS) subject identity credential assignment. Review the following topics to better understand if you need to enable identity assertion, when using a `WorkWithExecutionContext` object:

- [Configuring Common Secure Interoperability Version 2 and Security Authentication Service authentication protocol](#)
- [Identity Assertion](#)

There are also issues with interoperating with `WorkWithExecutionContext` objects from different versions of the product. Refer to the [Interoperating with asynchronous beans](#) topic.

## JPA-related limitations

Use of asynchronous beans within a JPA extended persistence context is not supported.

A JPA extended persistence context is inconsistent with the scheduling and multi-threading capabilities of asynchronous beans and will not be accessible from an asynchronous bean thread.

Likewise, an asynchronous bean should not be created such that it takes a `javax.persistence.EntityManager` (or subclass) as a parameter since `EntityManager` instances are not intended to be thread safe.

## Work managers

A work manager is a thread pool created for Java Platform, Enterprise Edition (Java EE) applications that use asynchronous beans.

Using the administrative console, an administrator can configure any number of work managers. The administrator specifies the properties of the work manager, including the Java EE context inheritance policy for any asynchronous beans that use the work manager. The administrator binds each work manager to a unique place in Java Naming and Directory Interface (JNDI). You can use work manager objects in any one of the following interfaces:

- Asynchronous beans
- CommonJ work manager (For details, see the CommonJ work manager section in this article.)

The selected type of interface is resolved during the JNDI lookup time. The interface type is the value that you specify in the `ResourceRef`, rather than the interface type specified in the configuration object. For example, you can have one `ResourceRef` for each interface per configuration object, and each `ResourceRef` lookup returns that appropriate type of instance.

The work managers provide a programming model for the Java EE 1.4 applications. For more information, see the Programming model section in this article.

**Important:** The `javax.resource.spi.work.WorkManager` class is a Java interface to be used by Java EE Connector Architecture (JCA) resource adapters. It is not an actual implementation of the `WorkManager` which is used by Java EE applications.

When writing a Web or Enterprise JavaBeans (EJB) component that uses asynchronous beans, the developer should include a resource reference in each component that needs access to a work manager. For more information on resource references, refer to the References topic. The component looks up a work manager using a logical name in the component, `java:comp` namespace, just as it looks up a data source, enterprise bean or connection factory.

The deployer binds physical work managers to logical work managers when the application is deployed.

For example, if a developer needs three thread pools to partition work between bronze, silver, and gold levels, the developer writes the component to pick a logical pool based on an attribute in the client application profile. The deployer has the flexibility to decide how to map this request for three thread pools. The deployer might decide to use a single thread pool on a small machine. In this case, the deployer binds all three resource references to the same work manager instance (that is, the same JNDI name). A larger machine might support three thread pools, so the deployer binds each resource reference to a different work manager. Work managers can be shared between multiple Java EE applications installed on the same server.

An application developer can use as many logical work managers as necessary. The deployer chooses whether to map one physical work manager or several to the logical work manager defined in the application.

All Java EE components that need to share asynchronous scope objects must use the same work manager. These scope objects have an affinity with a single work manager. An application that uses asynchronous scopes should verify that all of the components using scope objects use the same work manager.

When multiple work managers are defined, the underlying thread pools are created in a Java virtual machine (JVM) only if an application within that JVM looks up the work manager. For example, there might be ten thread pools (work managers) defined, but none are actually created until an application looks these pools up.

**Important:** Asynchronous beans do not support submitting work to remote JVMs.

## CommonJ Work Manager

The CommonJ work manager is similar to the work manager. The difference between the two is that the CommonJ work manager contains a subset of the asynchronous beans work manager methods. Although CommonJ work manager functions in a Java EE 1.4 environment, the interface does not return a new instance for each JNDI naming lookup, since this specification is not included in the Java EE specification.

**Remote start of work.** The CommonJ Work specification optional feature for work running remotely is not supported. Even if a unit of work implements the `java.io.Serializable` interface, the unit of work does not run remotely.

## How to look up a work manager

An application can look up a work manager as follows. Here, the component contains a resource reference named `wm/myWorkManager`, which was bound to a physical work manager when the component was deployed:

```
InitialContext ic = new InitialContext();
WorkManager wm = (WorkManager)ic.lookup("java:comp/env/wm/myWorkManager");
```

## Inheritance Java EE contexts

Asynchronous beans can inherit the following Java EE contexts.

### Internationalization context

When this option is selected and the internationalization service is enabled, and the internationalization context that exists on the scheduling thread is available on the target thread.

### Work area

When this option is selected, the work area context for every work area partition that exists on the scheduling thread is available on the target thread.

### Application profile (deprecated)

Application profile context is not supported and not available for Java EE 1.4 applications. For Java EE 1.3 applications, when this option is selected, the application profile service is enabled, and the application profile service property, **5.x compatibility mode**, is selected. The application profile task that is associated with the scheduling thread is available on the target thread for Java EE 1.3 applications. For Java EE 1.4 applications, the application profile task is a property of its associated unit of work, rather than a thread. This option has no effect on the behavior of the task in Java EE 1.4 applications. The scheduled work that runs in a Java EE 1.4 application does not receive the application profiling task of the scheduling thread.

### Security

The asynchronous bean can be run as anonymous or as the client authenticated on the thread that created it. This behavior is useful because the asynchronous bean can do only what the caller can do. This action is more useful than a `RUN_AS` mechanism, for example, which prevents this kind of behavior. When you select the **Security** option, the JAAS subject that exists on the scheduling thread is available on the target thread. If not selected, the thread runs anonymously.

## Component metadata

Component metadata is relevant only when the asynchronous bean is a simple Java object. If the bean is a Java EE component, such as an enterprise bean, the component metadata is active.

The contexts that can be inherited depend on the work manager used by the application that creates the asynchronous bean. Using the administrative console, the administrator defines the sticky context policy of a work manager by selecting the services on which the work manager is to be made available.

## Programming model

Work managers support the following programming models.

- **CommonJ Specification.** The Application Server Version 6.0 CommonJ programming model uses the WorkManager and TimerManager to manage threads and timers asynchronously in the Java EE 1.4 environment.
- **Asynchronous beans and CommonJ specification extensions.** The current asynchronous beans Event Source, asynchronous scopes, subsystem monitors and Java EE Context interfaces are a part of the CommonJ extension.

The following table describes the method mapping between the CommonJ and Asynchronous beans APIs. You can change the current asynchronous beans interfaces to use the CommonJ interface, while maintaining the same functions.

Table 3. Method mapping between the CommonJ and Asynchronous beans APIs. Method mapping between the CommonJ and Asynchronous beans APIs

CommonJ package	API	Asynchronous beans package	API
Work manager		Work manager	
Asynchronous beans	Field - IMMEDIATE (long)		Field - IMMEDIATE (int)
	Field - INDEFINITE		Field - INDEFINITE
	schedule(Work) throws WorkException, IllegalArgumentException		startWork(Work) throws WorkException, IllegalArgumentException
	schedule(Work, WorkListener) throws WorkException, IllegalArgumentException <b>Important:</b> Configure the work manager work timeout property to the value you previously specified as timeout_ms on startWork. The default timeout value is INDEFINITE.		startWork(Work, timeout_ms, WorkListener) throws WorkException, IllegalArgumentException
	waitForAll(workItems, timeout_ms)		join(workItems, JOIN_AND, timeout_ms)
	waitForAny(workItems, timeout_ms)		join(workItems, JOIN_OR, timeout_ms)
WorkItem		WorkItem	
	getResult		getResult
	getStatus		getStatus
WorkListener		WorkListener	
	workAccepted(WorkEvent)		workAccepted(WorkEvent)

Table 3. Method mapping between the CommonJ and Asynchronous beans APIs (continued). Method mapping between the CommonJ and Asynchronous beans APIs

	workCompleted(WorkEvent)		workCompleted(WorkEvent)
	workRejected(WorkEvent)		workRejected(WorkEvent)
	workStarted(WorkEvent)		workStarted(WorkEvent)
WorkEvent		WorkEvent	
	Field - WORK_ACCEPTED		Field - WORK_ACCEPTED
	Field - WORK_COMPLETED		Field - WORK_COMPLETED
	Field - WORK_REJECTED		Field - WORK_REJECTED
	Field - WORK_STARTED		Field - WORK_STARTED
	getException		getException
	getType		getType
	getWorkItem().getResult() <b>Important:</b> This API is valid only after the work is complete.		getWork
Work	(extends Runnable)	Work	(Extends Runnable)
	isDaemon		*
	release		release
RemoteWorkItem	RemoteWorkItem capability is not provided by WebSphere Application Server. Use Distributed WorkManager in the WebSphere Extended Deployment product.	NA	
TimerManager		AlarmManager	
	resume		*
	schedule(Listener, Date)		create(Listener, context, time) ** need to convert the parameters
	schedule(Listener, Date, period)		
	schedule(Listener, delay, period)		
	scheduleAtFixedRate (Listener, Date, period)		
	scheduleAtFixedRate (Listener, delay, period)		
	stop		
	suspend		
Timer		Alarm	
	cancel		cancel
	getPeriod		
	getTimerListener		getAlarmListener
	scheduledExecutionTime		
TimerListener		AlarmListener	

Table 3. Method mapping between the CommonJ and Asynchronous beans APIs (continued). Method mapping between the CommonJ and Asynchronous beans APIs

	timerExpired(timer)		fired(alarm)
StopTimerListener		Not applicable	
	timerStop(timer)		
CancelTimerListener		Not applicable	
	timerCancel(timer)		
WorkException	(Extends Exception)	WorkException	(Extends WsException)
WorkCompletedException	(Extends WorkException)	WorkCompletedException	(Extends WorkException)
WorkRejectedException	(Extends WorkException)	WorkRejectedException	(Extends WorkException)

For more information on work manager APIs, refer to the Javadoc.

## Work manager examples

Table 4. Look up work manager. Work manager

Asynchronous beans	CommonJ
<pre>InitialContext ctx = new InitialContext(); com.ibm.websphere.asynchbeans.WorkManager wm = (com.ibm.websphere.asynchbeans.WorkManager)     ctx.lookup("java:comp/env/wm/MyWorkMgr");</pre>	<pre>InitialContext ctx = new InitialContext(); commonj.work.WorkManager wm = (commonj.work.WorkManager)     ctx.lookup("java:comp/env/wm/MyWorkMgr");</pre>

Table 5. Create your work using MyWork. MyWork

Asynchronous beans	CommonJ
<pre>public class MyWork implements com.ibm.websphere.asynchbeans.Work { public void release() {     ..... } public void run() {     System.out.println("Running....."); } }</pre>	<pre>public class MyWork implements commonj.work.Work{     public boolean isDaemon() {         return false;     }     public void release () {         .....     }     public void run () {         System.out.println("Running.....");     } }</pre>

Table 6. Submit the work. Submit work

Asynchronous beans	CommonJ



Table 6. Submit the work (continued). Submit work

<pre> MyWork work1 = new MyWork(); MyWork work2 = new MyWork();  WorkItem item1; WorkItem item2; Item1=wm.startWork(work1); Item2=wm.startWork(work2);  // case 1: block until all items are done ArrayList coll = new ArrayList(); Coll.add(item1); Coll.add(item2); wm.join(coll, WorkManager.JOIN_AND, WorkManager.INDEFINITE); // when the works are done System.out.println("work1 data="+work1.getData()); System.out.println("work2 data="+work2.getData());  // you should complete case 1 before case 2 //case 2: wait up to 1000 milliseconds for any of the items to complete. Boolean ret = wm.join(coll,     WorkManager.JOIN_OR, 1000); </pre>	<pre> MyWork work1 = new MyWork(); MyWork work2 = new MyWork();  WorkItem item1; WorkItem item2; Item1=wm.schedule(work1 ); Item2=wm.schedule(work2);  // case 1: block until all items are done Collection coll = new ArrayList(); coll.add(item1); coll.add(item2); wm.waitForAll(coll, WorkManager.INDEFINITE); // when the works are done System.out.println("work1 data="+work1.getData()); System.out.println("work2 data="+work2.getData());  // // you should complete case 1 before case 2 //case 2: wait up to 1000 milliseconds for any of the items to complete. Collection finished = wm.waitForAny(coll, // check the workItems status if (finished != null) {     Iterator I = finished.iterator();     if (i.hasNext()) {         WorkItem wi = (WorkItem) i.next();         if (wi.equals(item1)) {             System.out.println("work1 = "+ work1.getData());         } else if (wi.equals(item2)) {             System.out.println("work1 = "+ work1.getData());         }     } } } </pre>
--	--

Table 7. Create a timer manager. Timer manager

Asynchronous beans	CommonJ
<pre> InitialContext ctx = new InitialContext(); com.ibm.websphere.asynchbeans.WorkManager wm =     (com.ibm.websphere.asynchbeans.WorkManager)     ctx.lookup("java:comp/env/wm/MyWorkMgr");  AsynchScope ascope; Try {     Ascope = wm.createAsynchScope("ABScope"); } Catch (DuplicateKeyException ex) {     Ascope = wm.findAsynchScope("ABScope");     ex.printStackTrace(); }  // get an AlarmManager AlarmManager aMgr= ascope.getAlarmManager(); </pre>	<pre> InitialContext ctx = new InitialContext(); Commonj.timers.TimerManager tm =     (commonj.timers.TimerManager)     ctx.lookup("java:comp/env/tm/MyTimerManager"); </pre>

Table 8. Fire the timer. Fire timer

Asynchronous beans	CommonJ
--------------------	---------

Table 8. Fire the timer (continued). Fire timer

<pre>// create alarm ABAlarmListener listener = new ABAlarmListener(); Alarm am =     aMgr.create(listener, "SomeContext", 1000*60);</pre>	<pre>// create Timer TimerListener listener =     new StockQuoteTimerListener("qqq",     "johndoe@example.com"); Timer timer = tm.schedule(listener, 1000*60);  // Fixed-delay: schedule timer to expire in // 60 seconds from now and repeat every // hour thereafter. Timer timer = tm.schedule(listener, 1000*60,     1000*30);  // Fixed-rate: schedule timer to expire in // 60 seconds from now and repeat every // hour thereafter Timer timer = tm.scheduleAtFixedRate(listener,     1000*60, 1000*30);</pre>
--	---

## Timer managers

The timer manager combines the functions of the asynchronous beans alarm manager and asynchronous scope. So, when a timer manager is created, it internally uses an asynchronous scope to provide the timer manager life cycle functions.

You can look up the timer manager in the Java Naming and Directory Interface (JNDI) name space. This capability is different from the alarm manager that is retrieved through the asynchronous beans scope. Each lookup of the timer manager returns a new logical timer manager that can be destroyed independently of all other timer managers.

A timer manager can be configured with a number of thread pools through the administrative console. For deployment you can bind this timer manager to a resource reference at assembly time, so the resource reference can be used by the application to look up the timer manager.

The Java code to look up the timer manager is:

```
InitialContext ic = new InitialContext();
TimerManager tm = (TimerManager)ic.lookup("java:comp/env/tm/TimerManager");
```

The programming model for setting up the alarm listener and the timer listener is different. The following code example shows that difference.

Table 9. Set up the timer listener. Programming model for setting up the timer listener

<b>Asynchronous beans</b>	<b>CommonJ</b>
---------------------------	----------------

Table 9. Set up the timer listener (continued). Programming model for setting up the timer listener

<pre>public class ABAlarmListener implements AlarmListener {     public void fired(Alarm alarm) {         System.out.println("Alarm fired. Context =" + alarm.getContext());     } }</pre>	<pre>public class StockQuoteTimerListener implements TimerListener {     String context;     String url;     public StockQuoteTimerListener(String context, String url){         this.context = context;         This.url = url;     }     public void timerExpired(Timer timer) {         System.out.println("Timer fired. Context =" + ((StockQuoteTimerListener)timer.getTimerListener()) .getContext());     }     public String getContext() {         return context;     } }</pre>
--	---

## Example: Using connections with asynchronous beans

An asynchronous bean method can use the connections that its creating Java Platform, Enterprise Edition (Java EE) component obtained using `java:comp` resource references.

For more information on resource references, refer to the References topic. The following is an example of an asynchronous bean that uses connections correctly:

```
class GoodAsynchBean
{
    DataSource ds;
    public GoodAsynchBean()
        throws NamingException
    {
        // ok to cache a connection factory or datasource
        // as class instance data.
        InitialContext ic = new InitialContext();
        // it is assumed that the created Java EE component has this
        // resource reference defined in its deployment descriptor.
        ds = (DataSource)ic.lookup("java:comp/env/jdbc/myDataSource");
    }
    // When the asynchronous bean method is called, get a connection,
    // use it, then close it.
    void anEventListener()
    {
        Connection c = null;
        try
        {
            c = ds.getConnection();
            // use the connection now...
        }
        finally
        {
            if(c != null) c.close();
        }
    }
}
```

The following example of an asynchronous bean that uses connections incorrectly:

```
class BadAsynchBean
{
    DataSource ds;
    // Do not do this. You cannot cache connections across asynch method calls.
```

```

Connection c;

public BadAsynchBean()
    throws NamingException
{
    // ok to cache a connection factory or datasource as
    // class instance data.
    InitialContext ic = new InitialContext();
    ds = (DataSource)ic.lookup("java:comp/env/jdbc/myDataSource");
    // here, you broke the rules...
    c = ds.getConnection();
}
// Now when the asynch method is called, illegally use the cached connection
// and you likely see J2C related exceptions at run time.
// close it.
void someAsynchMethod()
{
    // use the connection now...
}
}

```

---

## Chapter 5. Batch applications

This page provides a starting point for finding information about batch applications.

The Java Platform, Enterprise Edition (Java EE) applications that are typically hosted by WebSphere Application Server perform short, lightweight, transactional units of work. In most cases, an individual request can be completed with seconds of processor time and relatively little memory. Many applications, however, must complete batch work that is computational and resource intensive.

---

### Batch concepts

Learn about what the batch function is, the major components, the batch environment, and the grid endpoints.

### Batch overview

The Java Platform, Enterprise Edition (Java EE) applications that are typically hosted by WebSphere Application Server perform short, lightweight, transactional units of work. In most cases, an individual request can be completed with seconds of processor time and relatively little memory. Many applications, however, must complete batch work that is computational and resource intensive.

The batch function extends the application server to accommodate applications that must perform grid work alongside transactional applications, as shown in the following graphic. Grid work might take hours or even days to finish and uses large amounts of memory or processing power while it runs.

Batch support includes a Web-based application for managing jobs, called the job management console. Through this console, you can submit jobs, monitor job execution, perform operational actions against jobs, and view job logs.

Jobs express units of grid work. A job describes the work, which application must perform the work, and can include additional information to help WebSphere Application Server handle the work effectively and efficiently. Jobs are specified in an XML dialect called xJCL and can be submitted programmatically or through a command-line interface. As part of a job submission, the job is persisted in an external database and given to the job scheduler. The job scheduler distributes waiting jobs to available grid endpoints to run.

### Learn more about batch

After you install the product in your environment, you might want to learn about more advanced system configurations and function. The following websites and tools are provided, in addition to the information center, to help you learn more:

- Administrative console for the product

Use the following administrative console features to learn more about the product:

- Guided activities, available as a navigation section in the administrative console, help you to complete a complex task that involves multiple console pages. The guided activities bring tasks together in one place, so that you can easily complete fields and follow basic directions to achieve a goal.
- The help files provide field-level help to use administrative console panels and overview information about the product in your environment.

- IBM Education Assistant

The IBM Education Assistant for the product integrates narrated presentations, Show Me demonstrations, tutorials, and resource links to help you successfully use the batch capability. You can also use IBM Education Assistant for other IBM software products.

- Redbooks.

IBM Redbooks are developed and published by International Technical Support Organization (ITSO) of IBM. They deliver skills, technical knowledge, and materials to technical professionals of IBM Business Partners and customers, and to the marketplace in general.

### Getting started with batch

The major components of batch include the command-line interface, Enterprise JavaBeans (EJB) interface, web services interface, job scheduler, and grid endpoint.

The following diagram shows the major components of batch. The batch components in the diagram include the command-line interface, EJB interface, web services interface, and Job management console. These components each communicate with the job scheduler. The job scheduler has a job database that contains all the jobs. The job scheduler in the diagram communicates with two node endpoints, while an application server that is doing transactional work runs on another node.

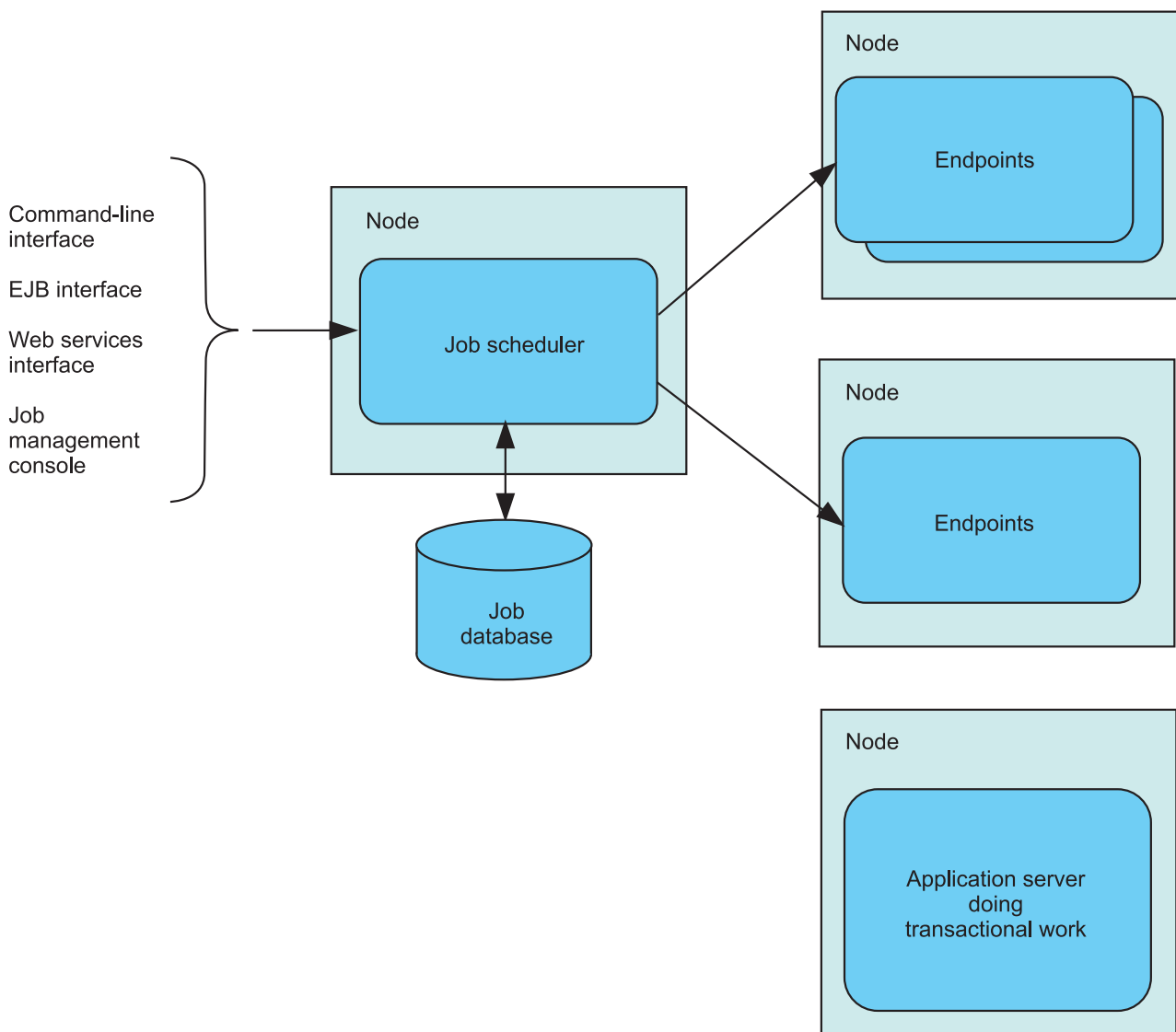


Figure 2. Batch components

The job management console provides a graphical user interface (GUI) with which you can perform job management functions. Most of the function from other interfaces is also available from the job management console.

With the command-line interface, you can submit and control the batch jobs in the system. The enterprise bean and web services interfaces provide similar function to both Java Platform, Enterprise Edition (Java EE) and non-Java EE programs through programmatic interfaces. The administrative console provides a graphical user interface (GUI) with which you can configure the job scheduler, and view the location of endpoint servers.

Batch administrators and submitters can use the job management console to view, manage and perform job-related actions that include submitting a job, viewing of jobs, canceling or suspending a job, and resuming a suspended job.

The job scheduler accepts and schedules the execution of batch jobs. It manages the job database, assigns job IDs, and selects where jobs run.

The grid endpoints are application servers that are augmented to provide the runtime environments needed by batch applications.

- The grid endpoints support batch applications that are compute-intensive. Compute-intensive batch applications are built using a simple programming model based on asynchronous beans. Read about compute-intensive programming for more information.
- The batch system supports transactional batch applications. These applications perform record processing like more traditional Java EE applications, but are driven by batch inputs rather than interactive users. This environment builds on familiar Plain Old Java Objects (POJOs) to provide batch applications with a rich programming model that supports container-managed restartable processing and the ability to pause and cancel running jobs. Read about the batch programming model for more information.

### ***Understanding the elements in the batch environment:***

This topic describes elements that comprise a typical batch environment.

The basic batch environment is composed of the elements depicted in the following diagram:

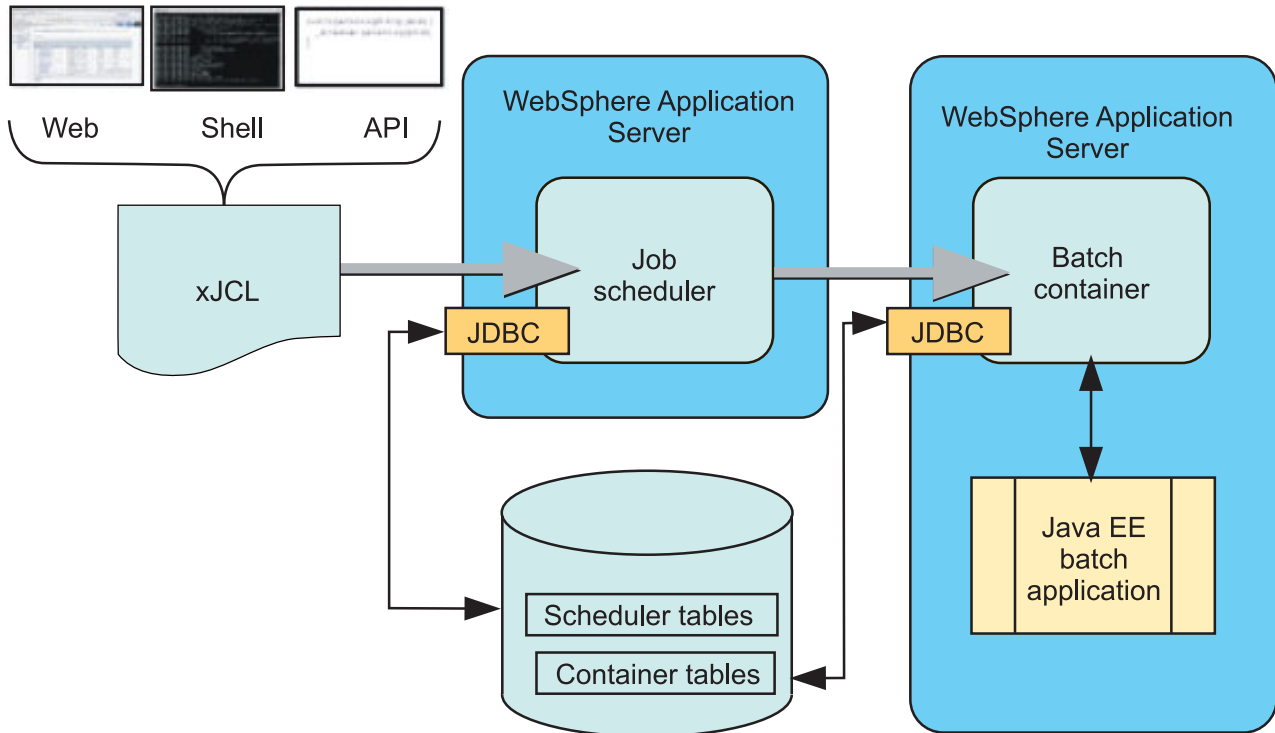


Figure 3. The batch elements

The following list describes the items in the previous diagram:

- **Job scheduler**

The job scheduler is the batch component that provides all job management functions, such as submit, cancel, and restart. It maintains a history of all jobs, including those waiting to run, those running, and those having already run. The job scheduler is hosted in a WebSphere Application Server or cluster in a WebSphere Network Deployment environment.

- **Batch container**

The batch container is the batch component that provides the execution environment for the batch jobs. Java Platform, Enterprise Edition (Java EE) based batch applications run inside the batch container. The batch container is hosted in a WebSphere Application Server or cluster in a WebSphere Network Deployment environment.

- **Java EE batch application**

Java EE batch applications are regular WebSphere Java EE applications, deployed as Enterprise Archive (EAR) files, that contain implementations of one or more Java batch applications. These Java batch applications follow either the transactional batch or compute-intensive programming models.

- **xJCL**

Jobs are described using a job control language. The batch jobs use an XML-based job control language. The job description identifies which application to run, its inputs, and outputs.

- **Web, Shell, API**

The job scheduler exposes three API types to access its management functions: A web interface called the job management console, a shell command line called Ircmd, and APIs, available as either web services and EJBs.

- **Scheduler tables**

The job scheduler uses a relational database to store job information. It can be any relational database supported by WebSphere Application Server. If the job scheduler is clustered, the database must be a network database, such as DB2®.



- **Container tables**

The batch container uses a relational database to store checkpoint information for transactional batch applications. The database can be any relational database supported by WebSphere Application Server. If the batch container is clustered, the database must be a network database, such as DB2.

- **JDBC**

The JDBC is standard JDBC connectivity to the scheduler and container tables, as supported by the WebSphere Application Server connection manager.

***Batch applications, jobs, and job definitions:***

A batch application is a Java Platform, Enterprise Edition (Java EE) application that conforms to one of the batch programming models. Grid work is expressed as jobs. Jobs are made up of steps. All steps in a job are processed sequentially.

All jobs contain the following information:

- The identity of the batch application that performs the work
- One or more job steps that must be performed to complete the work
- The identity of an artifact within the application that provides the logic for each job step
- Key and value pairs for each job step to provide additional context to the application artifacts

Jobs for batch applications contain additional information specific to the batch programming model:

- Definitions of sources and destinations for data
- Definitions of checkpoint algorithms

**xJCL - job definition**

Jobs are expressed using an XML dialect called XML Job Control Language (xJCL). This dialect has constructs for expressing all of the information needed for both compute-intensive and batch jobs, although some elements of xJCL are only applicable to compute-intensive or batch jobs. See the xJCL provided with the Sample applications and the xJCL schema document for more information about xJCL. The xJCL definition of a job is not part of the batch application. This definition is constructed separately and submitted to the job scheduler to run. The job scheduler uses information in the xJCL to determine where and when the job runs.

**Interfaces used to submit and control jobs**

xJCL jobs can be submitted and controlled through the following interfaces:

- A command-line interface
- An EJB interface described by the `com.ibm.ws.batch.JobScheduler` interface. For more information, see the API documentation for this interface.
- A Web service interface
- The job management console

**The grid endpoint**

Batch applications run in a special runtime environment. This runtime environment is provided by a product-provided Java EE application, the batch execution environment. This application is deployed automatically by the system when a batch application is installed. The application serves as an interface between the job scheduler and batch applications. It provides the runtime environment for both compute-intensive and transactional batch applications.

***Grid endpoints:***

The product packages and deploys batch applications as Java Platform, Enterprise Edition (Java EE) Enterprise Archive (EAR) files.

Deploying a batch application is like deploying a transactional (Java EE) application. A batch application is hosted in grid endpoints.

The deployment target is automatically enabled on the grid endpoints when you install or deploy a batch application, whether it is a compute-intensive or a batch application.

***Batch frequently asked questions:***

When you use batch, you might have questions about the functionality of some of its features.

**What are the criteria that the job scheduler uses to select a JVM to run the job?**

Availability, capability, and capacity.

**Can the job scheduler be run in an active-active mode? What kind of control is used to synchronize the state between them?**

Yes, you can have multiple active-active job scheduler instances. For this, you must provide a network database (like DB2) for the job scheduler to persist job and job definition information.

**From a user-interface perspective, what control does the administrator have to control batch jobs and the job scheduler?**

The product provides a job console that you can use to submit, monitor, and manage all jobs in the domain from a single location. This job management console also includes support for creating and managing job schedules (jobs that are run at a specific time or day once or repeatedly).

---

## Chapter 6. Bean Validation

The Bean Validation API is introduced with the Java Enterprise Edition 6 platform as a standard mechanism to validate Enterprise JavaBeans in all layers of an application, including, presentation, business and data access. Before the Bean Validation specification, the JavaBeans were validated in each layer. To prevent the reimplementations of validations at each layer, developers bundled validations directly into their classes or copied validation code, which was often cluttered. Having one implementation that is common to all layers of the application simplifies the developers work and saves time.

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### Bean Validation

The Bean Validation API is introduced with the Java Enterprise Edition 6 platform as a standard mechanism to validate JavaBeans in all layers of an application, including presentation, business, and data access.

Before the Bean Validation specification, JavaBeans were validated in each layer. To prevent the reimplementations of validations at each layer, developers bundled validations directly into their classes or copied validation code, which was often cluttered. Having one implementation that is common to all layers of the application simplifies the developers work and saves time.

The Bean Validation specification defines a metadata model and an API that are used to validate JavaBeans for data integrity. The metadata source is the constraint annotations defined that can be overridden and extended using XML validation descriptors. The set of APIs provides an ease of use programming model allowing any application layer to use the same set of validation constraints. Validation constraints are used to check the value of annotated fields, methods, and types to ensure that they adhere to the defined constraint.

Constraints can be built in or user-defined. Several built-in annotations are available in the `javax.validation.constraints` package. They are used to define regular constraint definitions and for composing constraints. For a list of built-in constraints, see the topic, [Bean validation built-in constraints](#). For more details about the Bean Validation metadata model and APIs see the [JSR 303 Bean Validation specification document](#).

The following example is a simple Enterprise JavaBeans (EJB) class that is decorated with built-in constraint annotations.

```
public class Home {
    @Size(Max=20)
    String builder;
    @NotNull @Size(Max=20)
    String address;

    public String getAddress() {
        return address;
    }

    public String getBuilder() {
        return address;
    }
    public String setAddress(String newAddress) {
        return address = newAddress;
    }

    public String setBuilder(String newBuilder) {
        return builder = newBuilder;
    }
}
```

The @Size annotations on builder and address specify that the string value assigned should not be greater 20 characters. The @NotNull annotation on address indicates that it cannot be null. When the Home object is validated, the builder and address values are passed to the validator class defined for the @Size annotation. The address value is also be passed to the @NotNull validator class. The validator classes handle checking the values for the proper constraints and if any constraint fails validation, a ConstraintViolation object is created, and is returned in a set to the caller validating the Home object.

## Validation APIs

The javax.validation package contains the bean validation APIs that describe how to programmatically validate JavaBeans.

ConstraintViolation is the class describing a single constraint failure. A set of ConstraintViolation classes is returned for an object validation. The constraint violation also exposes a human readable message describing the violation.

ValidationException are raised if a failure happens during validation.

The Validator interface is the main validation API and a Validator instance is the object that is able to validate the values of the Java object fields, methods, and types. The bootstrapping API is the mechanism used to get access to a ValidatorFactory that is used to create a Validator instance. For applications deployed on the product, bootstrapping is done automatically. There are two ways for applications to get the validator or the ValidatorFactory. One way is injection, for example, using the @Resource annotation, and the other way is the java: lookup.

The following example uses injection to obtain a ValidatorFactory and a Validator:

```
@Resource ValidatorFactory _validatorFactory;  
@Resource Validator _validator;
```

**Attention:** When using @Resource to obtain a Validator or ValidatorFactory, the authenticationType and shareable elements must not be specified.

The following example uses JNDI to obtain a ValidatorFactory and a Validator:

```
ValidatorFactory validatorFactory = (ValidatorFactory)context.lookup("java:comp/ValidatorFactory");  
Validator validator = (Validator)context.lookup("java:comp/Validator");
```

## Constraint metadata request APIs

The metadata APIs support tool providers, provides integration with other frameworks, libraries, and Java Platform, Enterprise Edition technologies. The metadata repository of object constraints is accessed through the Validator instance of a given class.

## XML deployment descriptors

Besides declaring constraints in annotations, support exists for using XML to declare your constraints.

The validation XML description is composed of two kinds of xml files. The META-INF/validation.xml file describes the bean validation configuration for the module. The other XML file type describes constraints declarations and closely matches the annotations declaration method. By default, all constraint declarations expressed through annotations are ignored for classes described in XML. It is possible to force validation to use both the annotations and the XML constraint declarations by using the ignore-annotation="false" setting on the bean. The product ensures that application modules deployed containing a validation.xml file and constraints defined in XML files are isolated from other module validation.xml and constraint files by creating validator instances specific to the module containing the XML descriptors.

## Advanced bean validation concepts

The Bean Validation API provides a set of built-in constraints and an interface that enables you to declare custom constraints. This is accomplished by creating constraint annotations and declaring an annotation on a bean type, field, or property. Composing constraints is also done by declaring the constant on another constraint definition.

### Custom constraint and validator example

The following example shows creating a `CommentChecker` constraint that is defined to ensure a comment string field is not null. The comment text is enclosed by brackets, such as `[text]`.

```
package com.my.company;
import java.lang.annotation.Documented;
import java.lang.annotation.Retention;
import java.lang.annotation.Target;
import static java.lang.annotation.ElementType.METHOD;
import static java.lang.annotation.ElementType.FIELD;
import static java.lang.annotation.RetentionPolicy.RUNTIME;

import javax.validation.Constraint;
import javax.validation.Payload;
@Documented
@Constraint(validatedBy = CommentValidator.class)
@Target({ METHOD, FIELD })
@Retention(RUNTIME)
public @interface CommentChecker {
    String message() default "The comment is not valid.";
    Class<?>[] groups() default {};
    Class<? extends Payload>[] payload() default {};
    ...}

```

The next example shows the constraint validator that handles validating elements with the `@CommentChecker` annotation. The constraint validator implements the `ConstraintValidator` interface provided by the Bean Validation API.

```
package com.my.company
import javax.validation.ConstraintValidator;
import javax.validation.ConstraintValidatorContext;
public class CommentValidator implements ConstraintValidator<CommentChecker, String> {
    public void initialize(CommentChecker arg0) {
    }
    public boolean isValid(String comment, ConstraintValidatorContext context) {
        if (comment == null) {
            // Null comment is not allowed, fail the constraint.
            return false;
        }
        if (!comment.contains("[") && !comment.contains("]")) {
            // Can't find any open or close brackets, fail the constraint
            return false;
        }
        // Ignore leading and trailing spaces
        String trimmedComment = comment.trim();
        return // validate '[' prefix condition
            trimmedComment.charAt(0) == '[' &&
            // validate ']' suffix condition
            trimmedComment.charAt(trimmedComment.size()-1) == ']';
    }
}

```

After the `@CommentChecker` is defined, it can be used to ensure that the comment string field is a valid comment based on the `CommentValidator` `isValid()` implementation. The following example shows the use of the `@CommentChecker` constraint. When the `myChecker` bean is validated, the comment string is validated by the `CommentValidator` class to ensure the constraints defined are met.

```
package com.my.company;
public myChecker {

    @CommentChecker
    String comment = null;
    ...
}
```

### Using a different bean validation provider

The product provides a specific bean validation provider, but it might be necessary for an application to use or require another provider.

This method can be accomplished by using the validator methods to set the provider programmatically and create a validation factory. Or, by using the validation.xml default-provider element. The specific provider that is defined and used to create the validation factory and not the default provider provided by the application server in the default implementation. If you want to ensure that the user-provided implementation does not conflict with the default implementation, the server or application class loading parameter, the class loader order should be set to be loaded with local class loader first (parent last). See additional information in the class loading documentation on how to set this setting.

### Validation.xml deployment descriptor and class loading

The Bean Validation specification indicates that if more than one validation.xml file is found in the class path, a ValidationException occurs. However, WebSphere Application Server supports an environment where multiple teams develop modules that are assembled and deployed into the Application Server together. In this environment, all EJB modules within an application are loaded with the same class loader and it is possible to configure the application class loaders so that all EJB and web archive (WAR) modules are loaded by a single class loader. Because of this, the product provides support for multiple validation.xml files in the same class path.

When an application using bean validation and XML descriptors contains multiple EJB modules and web modules, each validation.xml file is associated with a validation factory that is specific to that module. In this environment, any constraint-mapping elements that are defined are only looked up in the module where the validation.xml file is defined. For example, if an EJB module building.jar contains a META-INF/validation.xml file and the validation.xml file defined the following constraints, both the META-INF/constraints-house.xml and META-INF/constraints-rooms.xml files must also be located in the building.jar file:

```
<constraint-mapping>META-INF/constraints-house.xml</constaint-mapping>
<constraint-mapping>META-INF/constraints-rooms.xml</constraint-mapping>
```

The exception to this behavior is when all bean validation constraints classes and configuration are visible to all application modules. It is the case where a single validation.xml file is defined in an EAR file and no other validation.xml files are visible a modules class path. In this environment any module creating a validator factory or validator uses the same validation.xml file. This makes it possible for other modules to create a validator factory that uses the validation.xml file of another module as long the class path has been configured so that both modules are visible on the same class path and only one validation.xml file is visible.

For a more detailed understanding about the Bean Validation APIs and metadata see the JSR 303 Bean Validation specification document.

---

## Chapter 7. Communications Enabled Applications

Communications Enabled Applications (CEA) is a functionality that provides the ability to add dynamic web communications to any application or business process. The product provides a suite of integrated telephony and collaborative web services that extends the interactivity of enterprise and web commerce applications. With the CEA capability, enterprise solution architects and developers can use a single core application to enable multiple modes of communication. Enterprise developers do not need to have extensive knowledge of telephony or Session Initiation Protocol (SIP) to implement CEA. The CEA capability delivers call control, notifications, and interactivity and provides the platform for more complex communications.

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### Communications Enabled Applications concepts

Communications Enabled Applications (CEA) is a programming model that provides the ability to add dynamic web communications to any application or business process.

**Note:** You can take advantage of integrated telephony and collaborative web services to extend the interactivity of Enterprise and web commerce applications. With the CEA capability, Enterprise solution architects and developers can use a single core application to enable multiple modes of communication. Enterprise developers do not need to have extensive knowledge of telephony or Session Initiation Protocol (SIP) to implement CEA. The CEA capability delivers call control, notifications, and interactivity and provides the platform for more complex communications.

Using this simplified programming model for adding web-based communications, Enterprise developers can do the following:

- Enable any application to quickly add communications support; for example, click-to-call integration
- Enable shared sessions between end users and the company
- Push relevant session data for application use; for example, customer phone numbers
- Deliver automated notifications and instant messaging support
- Provide enterprise-grade security, scalability, and high availability
- Integrate with customer private branch exchange (PBX) systems

CEA has two main services, telephony access and multimodal web interaction:

- Telephony access allows you to create a unified communications environment from within business applications to increase the efficiency of processes, reduce communications errors, and optimize business interactions in real time. CEA provides telephony access through a REST interface, through a web services client, and by using click-to-call widgets.
- Multimodal web interaction allows you to provide session linking (shared sessions) between users browsing the same website from different locations. With session linking, users can interact dynamically in collaborative ways, such as cobrowsing or coshopping web sessions. Commerce web sites can use this service to provide product or customer support, while protecting information on the internal site. Commerce sites can use the collaborative shopping experience to attract more customers to their sites. With a combination of click-to-call functionality and multimodal interaction, you can support two-way synchronized text forms between the user and a customer service representative (CSR).

CEA is based on SIP-enabled services that use Representation State Transfer (REST) servlets and web services in a converged HTTP and SIP application. CEA includes a library of Dojo-style widgets for use in web applications. Dojo widgets are prepackaged components of JavaScript and HTML code that add interactive features that work across platforms and browsers. CEA widgets are extensible, allowing developers to customize them to handle more advanced tasks.

The CEA samples package includes three different sample applications that you can use to explore the telephony access and multi-modal web interaction services. To learn more, see the information on accessing the samples and setting up the communications enabled application samples.

## CEA call flow

In a Web telephony session, users can make phone calls using the ClicktoCall widget in their Web browsers. The call flow diagram illustrates the Communications Enabled Applications (CEA) call flow.

**Note:** The system application must first be configured with the IP private branch exchange (PBX) address.

The following configuration applies to this call flow:

1. A user clicks the ClickToCall widget, which sends an HTTP REST request.
2. The Web container calls the system application.
  - An HTTP servlet interprets the REST request.
3. The system application sends SIP messages to the IP PBX using the ECMA TR/87 standard protocol.

In addition to TR/87, PBX vendors can choose to expose a web service interface based on a Web Services Description Language (WSDL) file that is provided with CEA. This WSDL file is included in the installation path, *app\_server\_root/systemApps/commsvc.ear/commsvc.rest.war/WEB-INF/wsd/ControllerService.wsdl*. Using this WSDL file enables you to configure CEA to call out to a PBX through a web service instead of relying on TR/87.

**Restriction:** The IP PBX must support the ECMA TR/87 protocol or the CEA web service interface.

4. The IP PBX notifies the user agent client (UAC) to call the user agent server (UAS).
5. A call is established between the two users.

**Note:** UAC and UAS are SIP instances.



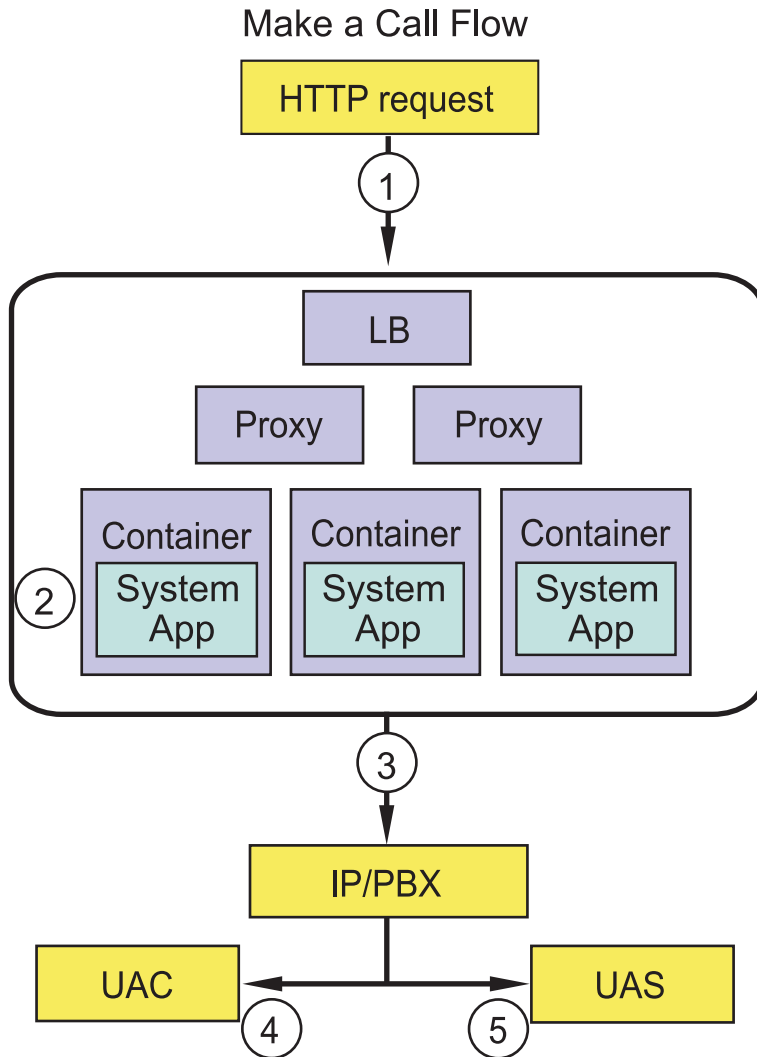


Figure 4. CEA call flow

## CEA collaboration flow

A Communications Enabled Applications (CEA) Web collaboration session allows dynamic interaction between users sharing linked browser sessions. Users connect their Web sessions using the CEA collaboration widget. Use the collaboration flow illustrated in this topic to understand how live Web collaboration works.

1. User A initiates collaboration by clicking the collaboration widget, which sends a specific HTTP REST request.
2. The container calls the system application:
  - User A is placed in the user registry.
  - User A's session is established.
3. The response to User A includes a uniform resource locator (URI) for peers to start collaboration.
4. User A sends User B the "for peer collaboration URI."
  - The "for peer collaboration URI" contains a *nonce*, a unique identifier which is particular to the collaboration session. The nonce helps ensure security in the collaboration session.
5. User B responds by sending a request with that URI.

6. The container calls the system application:
  - User B is placed in the user registry.
  - User A is found in the user registry.
  - A "link" is established between them.
7. A response is sent to User B.
  - Includes URI to exchange data.
  - Activates modal windows in each widget.
8. User B highlights text, scrolls, or fills in a form.
9. User B's widget sends these events through the send URI.
10. The container sends data to User A's session.
11. User A's widget polls for events with the fetch URI.
12. User B's events are captured in User A's widget.

The following diagram shows the collaboration flow between linked user sessions.

### Collaboration Flow

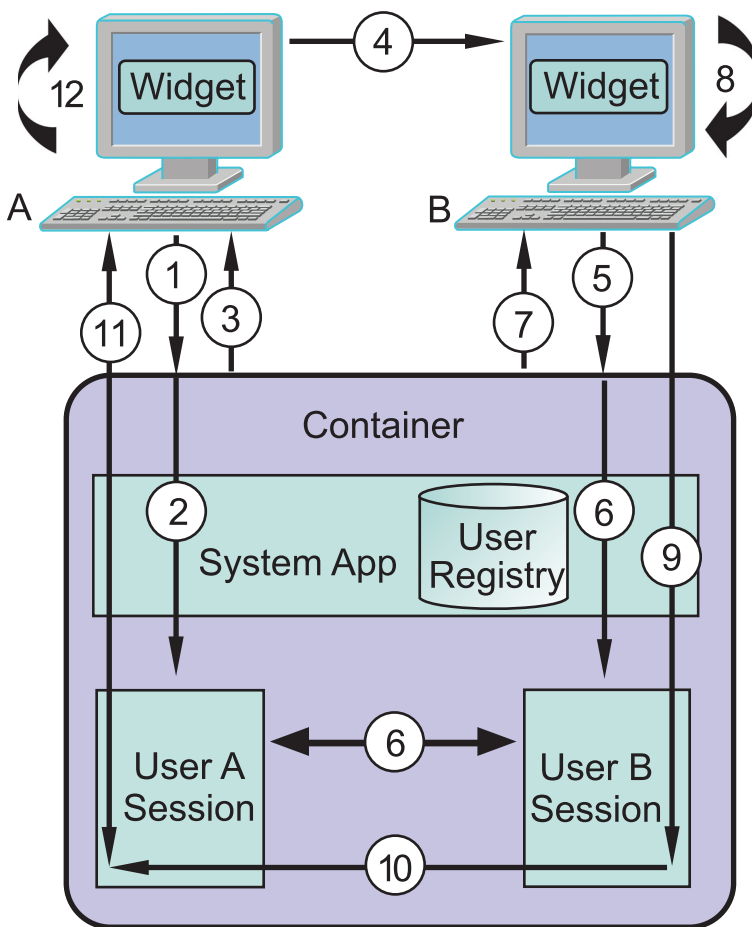


Figure 5. CEA Web collaboration

## CEA iWidgets

An iWidget is a browser-oriented component designed to work within the framework defined by the iWidget specification. Such a component only occupies a portion of the overall working canvas and is typically designed in a way that makes it easy for the canvas assembler to connect the iWidget to other iWidgets on the canvas.

The ClickToCall, CallNotification and Cobrowse widgets have each been wrapped according to the iWidget specification and packaged together in an iWidget package (WAR file). This package is included in the installation path, *app\_server\_root/installableApps/cea.war*.

**Attention:** For instructions on how to publish this iWidget package, see the documentation for the iWidget container that you plan to use.

After you publish the iWidget package and place the iWidget on a page, the widget loads to the following state:

The service is currently unavailable.

For most scenarios the iWidget container runs separately from the Communications Enabled Applications (CEA) application server. This type of environment requires the use of a proxy to proxy the request from the widget to the Representational State Transfer (REST) service. The proxy mapping must be configured to proxy GET, POST, PUT, and DELETE methods to:

```
cea_server:cea_server_port:/commsvc.rest/CommServlet/*
```

After the proxy is configured, go to the edit settings, view and then choose to edit the widget settings.

From the edit settings page you can configure the various widget attributes to be used by the widget on the current page. From this page modify the *ceaContextRoot* setting to point the proxy URL for the REST service. After you specify the correct *ceaContextRoot* value, save the settings for the widget to update to the default state.

For some scenarios it might make sense to hard code the widget attributes before publishing the iWidget package. To do so, open the *cea.war* file and modify *itemSet "userPrefs"* in *clickToCall.xml*, *callNotification.xml*, or *cobrowse.xml*. Save the changes, and publish the *cea.war* file to the iWidget container.

```
<iw:itemSet id="userPrefs">
  <iw:item id="ceaContextRoot" value="/commsvc.rest"/>
  <iw:item id="widgetNumber" value=""/>
  <iw:item id="enableCollaboration" value="false"/>
  <iw:item id="defaultCollaborationUri" value=""/>
  <iw:item id="canControlCollaboration" value="false"/>
  <iw:item id="highlightElementList" value="DIV,SPAN,TR,TH,TD,P"/>
  <iw:item id="isHighlightableCallback" value=""/>
  <iw:item id="isClickableCallback" value=""/>
</iw:itemSet>
```

For more information about iWidgets, see the IBM Mashup Center wiki.

## Collaboration Dialog

The Collaboration Dialog allows two users to share information over linked browser sessions. Use this topic to understand how the Collaboration Dialog works.

The Collaboration Dialog widget is used by the ClickToCall, CallNotification, and Cobrowse widgets to provide peer-to-peer page sharing and allow one user to control a collaboration session. With the Collaboration Dialog, page sharing is not screen sharing because each browser makes its own connection to the server for the content.

The Collaboration Dialog interface has three parts. At the top of a web page, the widget toolbar shows the browser and collaboration controls for driving the peer-to-peer session. The content pane is in the middle of the page, which is the area that loads the pages that can be shared with the peer. A footer bar at the bottom of the page displays status information for the collaboration session.

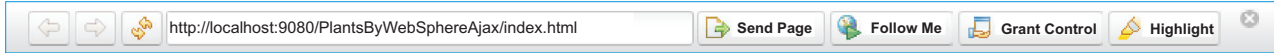


Figure 6. Collaboration Dialog toolbar



Figure 7. Collaboration Dialog footer bar

When two users are involved in a collaboration session, the initiator of the session has control and is the active peer. The passive peer can see the actions taken by the active peer. If the **Grant Control** option (see Collaboration controls) is used, then the active and passive roles can switch. Only one user can have control at a time.

## Browser controls

The Collaboration Dialog give users the following controls to enable page navigation:

- Click the **Back** icon to go back one page. If **Follow Me** is enabled, the peer's window is also updated to load the same page.
- Click the **Forward** icon to go forward one page. If **Follow Me** is enabled, the peer's window is also updated to load the same page.
- Click the **Refresh** icon to reload the current page. If **Follow Me** is enabled, the peer's window is also updated to reload the same page.
- Type a URL into the Location bar and press enter to browse to the page. This URL must be on the same domain as the page used to display the Collaboration Dialog.

## Collaboration controls

The Collaboration Dialog toolbar contains the following collaboration controls: **Send Page**, **Follow Me**, **Grant Control**, and **Highlight**.

- The **Send Page** icon allows the active peer, the user driving the collaboration session, to send the URL of the current page to the passive peer. The send page function is useful when the active peer only needs to show the peer certain pages and not the path taken to browse to that page. To send the page, click the **Send Page** icon and the current URL of the page is sent to the passive peer.

**Remember:** The following behavior applies to the send page function:

- The URL of the current page is sent and not the current text in the Location bar.
  - Any customization on the current page, for example, a highlight or any changes to the page made from Ajax requests, are reset when the page reloads.
- The **Follow Me** icon allows the active peer to send clicks to the passive peer. The Collaboration Dialog widget captures any clicks that the active peer makes on the page when Follow Me is enabled. This information is then sent to the passive peer to simulate the clicks in their Collaboration Dialog. To stop sending this information, the active peer toggles the **Follow Me** control. The follow me function is useful when:
    - The active peer needs to show the passive peer how to navigate to a page.

- Navigating sites that are built using Web 2.0 technologies; for example, sites using Ajax-style requests to update content instead of full page refreshes.
- The **Grant Control** icon allows the active peer to transfer control to the passive peer, providing the passive peer has the appropriate permission to drive the collaboration session. Once the active and passive roles are switched, the new active peer will have their collaboration controls enabled. If the passive peer does not have permission to drive the collaboration session, the **Grant Control** icon remains disabled.
- The **Highlight** icon allows the active peer to highlight a section of the page currently displayed by the Collaboration Dialog. This information is then sent to the peer, and the same section is highlighted on their page. Both the active peer and the passive peer can perform highlights. To perform a highlight, click the **Highlight** icon, and then move the cursor over the section of the page to be highlighted. As the cursor moves, the sections change color to show what can be highlighted. Once the user is at the section they want to highlight, left-click to send the highlight to the peer.

## Collaboration Dialog status

The Collaboration Dialog has the following status indicators in the footer bar:

- The **Connection** status icon displays the connection status of the Collaboration Dialog. When either peer disconnects, this status is updated to show the disconnected status. States included are: Connected and Disconnected.
- The **Peer Window** status icon updates as either peer opens and closes their Collaboration Dialog. States included are: Peer window is open and Peer window is closed.
- The **Collaboration Action** status icon displays collaboration action and whether the user is currently controlling the session. States included are: Controlling navigation, Cobrowsing web, Follow me, and Coauthoring form.

### gotcha:

- When URLs are passed between the Collaboration Dialog peers using the Send Page function, the entire URL is sent to the peer. If the browser for the active peer is on the same machine as the server, and the active peer uses local host to access the server, this environment causes issues for the passive peer if they are on separate machines. Because the entire URL is passed, the passive peer attempts to access the page using local host and a failure occurs.

When you test the Collaboration Dialog, and the browsers are on separate machines, you must specify the host name or IP address of the server that is accessible to both peers in the URL instead of the local host. This process is necessary under the following conditions:

- When you access a page that contains an embedded widget
- When you enter addresses into the Location Bar of the Collaboration Dialog

**Note:** The `sendPageUrlRewriteCallback` attribute is added to all the widgets to enable the application to provide the name of a callback JavaScript function:

#### **sendPageUrlRewriteCallback**

A string containing the name of the callback function to execute when send page is called to rewrite the current URL.

This is useful when the peers are accessing the application on different domains or through a proxy.

- If you want to browse pages by way of Hypertext Transfer Protocol Secure (HTTPS) using the Collaboration Dialog, the page containing the embedded CEA widget must also be accessed by means of HTTPS. If you launch one of the CEA widgets from a page accessed through HTTP, and then during the Collaboration Dialog session you view a page through HTTPS, the Follow Me and Highlight functionality will not work for that page due to the JavaScript same

origin policy. This same issue occurs if you load the page containing the embedded CEA widget through HTTPS, and then you try to view a page in the Collaboration Dialog through HTTP.

## Collaborative two-way forms

You can use attributes to customize web-based two-way forms.

The Communication Enabled Applications (CEA) two-way form widget is used to create HTML forms in which two people can collaboratively input text and validate entries. Both users can see the same form. The fields in the form change in response to input provided by either person.

The *writer* is the user who is responsible for driving the interaction between the two users.

The *reader* is the user who is responsible for providing information to the writer. The reader can provide information verbally to the writer, who copies the information into the form's fields. Since updates to the fields are visible to the reader, the reader can confirm or validate the correctness of the information. The reader can be prompted to enter sensitive information into the form, such as credit card numbers. Such private information is generally filtered so that the writer cannot see it.

### Two-way form widgets

Any Dijit form widgets and their subclass widgets that are part of a two-way form automatically support two-way editing. A widget supporting two-way editing must have an ID specified. You can specify additional attributes on the widgets to expand their capabilities.

### ceaCollabWriteAccess

In a two-way form, fields might exist that only one user should have write access to. For example, a two-way interaction might involve a salesperson who is responsible for submitting the form, designated as the writer, and a customer who is responsible for filling out certain portions of the form, designated as the reader. The reader might be prompted to enter credit card information, for example, in a particular field. This field must not be editable by the writer. To ensure this, specify the input field setting for the `ceaCollabWriteAccess` attribute to "reader":

```
<input type="text" name="textName" id="textName" value="" size="30"
  ceadojoType="dijit.form.TextBox" ceaCollabWriteAccess="reader" />
```

There might also be input fields that the writer fills out that the reader must not have access to. In this case, specify the input field setting for the `ceaCollabWriteAccess` attribute to "writer":

```
<input type="text" name="textName" id="textName" value="" size="30"
  ceadojoType="dijit.form.TextBox" ceaCollabWriteAccess="writer" />
```

**Important:** Use this attribute in conjunction with the `ceaCollabValidation` attribute to ensure that only one user can change a particular field; thereby preventing both users from being able to validate the same field.

### ceaCollabFilter

The `ceaCollabFilter` attribute is used to specify a JavaScript method that is used to mask values. This is useful for fields that contain sensitive information that only one user should be allowed to see (for example, Social Security numbers). If the attribute has the value `default`, a default masking function is used that replaces every character of input with an asterisk. Otherwise, the value of the attribute is used to call a method that takes a string (the value of the input field) and is expected to return a masked version of that value.

For example, consider the following JavaScript method, used here as a masking method:

```
function mask(value) {
  return "XXXX";
}
```

You can specify that this masking function be used with a text input field by specifying it in the `ceaCollabFilter` attribute:

```
<input type="text" name="textName" id="textName" value="" size="30"
  ceadojoType="dijit.form.TextBox" ceaCollabFilter="mask" />
```

### **ceaCollabValidation**

Two-way form functionality allows for validation to occur on any input field. This means that any change to the input field by one user will require another user to accept or decline the changes. You can submit the form only after all input fields that require validation have been accepted.

By default, the CEA `TwoWayForm` widget provides for a simple validation widget that appears alongside an input field widget when validation is required. To enable this widget, simply set the value of the `ceaCollabValidation` attribute on the input field widget to `default`, for example:

```
<input type="text" name="textName" id="textName" value="" size="30"
  ceadojoType="dijit.form.TextBox" ceaCollabValidation="default" />
```

In some cases, you might not want to use the default validation widget. You can create your own by subclassing `cea.widget.validation.ValidationWidget` and overriding methods related to creating, showing, and hiding the validation widget. For more information about how a custom class is implemented, see the JavaScript comments in `app_server_root/etc/cea/javascript/ceadojo/cea/widget/validation/ValidationWidget.js`.

### **ceaCollabName**

When validation is defined for an input field, an alert notifies the writer when one or more input fields have not been validated by the reader. By default, this alert only lists the input field IDs. If a more descriptive label is needed, use the `ceaCollabName` attribute on the input field widget, for example:

```
<input type="text" name="textName" id="textName" value="" size="30"
  ceadojoType="dijit.form.TextBox" ceaCollabValidation="default" />
```

### **ceaCollabHandleRemoveNotification/ceaCollabHandleShowNotification**

When any field in a two-way form changes, the user gets a notification. By default, the field is highlighted, and the appearance of the highlighting is determined by the two-way form CSS (the `TwoWayForm.css` file referred to earlier in this topic). However, you can change the highlight styling in the following two ways:

- Changing the styling in `TwoWayForm.css` or, creating a new CSS file that redefines the styles present in `TwoWayForm.css`
- Defining alternative notification methods

Defining alternative notification methods gives you more control over how notifications are presented to the user, if at all. Define two methods, both of which accept a Dijit widget object. The first method is used to control removing the notification, and the second is used to control showing the notification. For example, consider the following JavaScript methods:

```
function removeFunc(widget) {
  ceadijit.hideTooltip (widget.domNode);
}
function showFunc(widget) {
  ceadijit.showTooltip ("Value changed", widget.domNode);
}
```

The alternative notification methods handle showing and hiding a tooltip instead of the default notification method that involves highlighting a field. To use these methods, specify them in the `ceaCollabHandleRemoveNotification` and `ceaCollabHandleShowNotification` attributes on the desired input field:

```
<input type="text" name="textName" id="textName" value="" size="30"
  ceadojoType="dijit.form.TextBox"
  ceaCollabHandleRemoveNotification="removeFunc"
  ceaCollabHandleShowNotification="showFunc" />
```

## REST APIs in CEA

You can use the Communications Enabled Applications (CEA) feature to integrate either web telephony or web collaboration into applications using a Representational State Transfer (REST) API. REST is a network architecture that defines how resources on the Internet are accessed. REST is not a standard, but uses common Internet standards such as HTTP and HTML.

### REST API overview

The following tables show the REST APIs and commands used in CEA, including a generic list of each aspect of the requests and responses. Also see the information on accessing telephony and data sharing browser sessions using REST APIs.

Table 10. REST API overview.

This table shows an overview of the REST API.

HTTP Method and URI	Description
PUT /collaborationSession	Enable collaboration
GET /collaborationSession; <encodedSession>	Get collaboration status
GET /collaborationSession; <encodedPeerAddressOfRecord>	Start a collaboration session with a peer
DELETE /collaborationSession; <encodedSession>	End a collaboration session
POST /collaborationSession/data; <encodedSession>	Send data to the collaboration peer
GET /event; <encodedSession>	Retrieve event data (call status, collaboration status, collaboration data)
PUT /call	Make a call
GET /call; <encodedSession>	Get status on an active call
DELETE /call; <encodedSession>	End a call
PUT /callNotification	Register for call notification
GET /callNotification; <encodedSession>	Get call notification information
DELETE /callNotification; <encodedSession>	Unregister for call notification

### URI parameters

URI parameters are used by the REST API. These parameters are located after the path portion of the request URI; for example:

`http://host:port/commsvc.rest/CommServlet/collaborationSession?JSON=true&addressOfRecord=tel:987654321`

Table 11. URI parameters.

This table shows URI parameters and their descriptions.

Parameter	Applicable HTTP Method and URI	Description
JSON	All	Optional parameter that you can use on any REST request. When the JSON parameter is detected and set to true, the REST response is formatted as JSON; otherwise XML. The presence of this parameter does not affect the format of the request.



Table 11. URI parameters (continued).

This table shows URI parameters and their descriptions.

Parameter	Applicable HTTP Method and URI	Description
addressOfRecord	PUT /collaborationSession	Optional parameter when enabling collaboration. It allows the specified value to be used as an identifier of the session. This identifier gets exposed to other users of collaboration. If absent, a random identifier is created. Whether specified or not, the identifier is returned in the response as the collaborationId.
peerAddressOfRecord	GET /collaborationSession	Required parameter when starting a collaboration session with a peer. It represents the identifier of the peer to which a collaboration must be established. This identifier is the collaborationId that was returned to the peer when the peer enabled collaboration.
returnAllEvents	GET /event; <encodedSession>	Optional parameter used to get new events. If set to true, all available events are returned. Otherwise, only the next single event is returned. If this parameter is not used, the default is to return a single event.
ceaVersion	All	Required parameter used to declare the version of the client sending the request. It is used to support backward compatibility.
replaceRequest	GET /event; <encodedSession>	Optional parameter used to force a new GET /event request to replace an outstanding GET /event request. The outstanding request gets a 304 error response.

## Request fields

With some REST APIs, additional information is provided in the body of the HTTP message, which must be formatted as either XML or JSON. The following table explains those request fields. Some fields are specific to web telephony or web collaboration while others apply to both. As another reference, see the schema that describes the REST requests and response format. It is called CommServletSchema.xsd and can be found in the WebSphere Application Server installation directory under /etc/cea/schema.

Table 12. Request fields.

This table shows request fields and their descriptions.

Request fields	Applicable HTTP Method and URI	Description
addressOfRecord	PUT /call PUT /callNotification	Required when making a call or registering for call notification. It represents the address of record of the phone making the call, or the phone registering for call notification. Common to all address of record references in this REST API, the values can be either SIP or TEL URIs.
peerAddressOfRecord	PUT /call	This field is required when making a call. It represents the address of record of the phone to be called.
collaborationData	POST /event; <encodedSession>	This field is required when sending data to a collaboration peer. It represents the data being sent.
enableCollaboration	PUT /call PUT /callNotification	Optional when either making a call or registering for call notification. It allows collaboration to be enabled at the same time.
peerDeviceControlled	PUT /call	By default, PUT /call causes the device associated with the addressOfRecord to be controlled and originate the call. When peerDeviceControlled is set to true, the device associated with the peerAddressOfRecord is controlled and originates the call.

## Response fields

The response to the REST API is described in the following table. As with the request fields, some response fields are specific to web telephony or web collaboration, while others apply to both. Many of the response fields include URIs that can be used in follow on REST requests. They are encoded to ensure that subsequent requests, related to the originally passed in address of record, remain associated with the same session. As another reference, see the schema that describes the REST requests and response format. It is called CommServletSchema.xsd and can be found in the WebSphere Application Server installation directory under /etc/cea/schema.

Table 13. Response fields.

This table shows response fields and their descriptions.

Response field	Applicable HTTP Method and URI	Description
infoMsg	All	Message indicating the results of the requested operation.
returnCode	All	Number that represents the result of the requested operation. For example, when a request operation completes successfully, the response is 200. This full list of return codes can be seen in the REST interface schema. See table 5 for a complete list.

Table 13. Response fields (continued).

This table shows response fields and their descriptions.

Response field	Applicable HTTP Method and URI	Description
eventList	GET /event	<p>Array of events (web collaboration data available, web collaboration status or call status changes) used in response to GET /event. Each event has three fields: type, data, and infoMsg. The different types include call status, collaboration status and collaboration data. The data is dependent on the event type, but is similar to the REST response fields of callStatus and collaborationStatus, or the data sent with POST /event. The infoMsg is additional information about the event. The following is a JSON formatted eventList:</p> <pre>[{"type":2, "data":   "information from peer",   "infoMsg": "Successfully   fetched data"}]</pre> <p>Possible event types based on their enum values include:</p> <p>0: Data Event            1: Call Status Event            2: Web Collab Status Event            3: Failover Event</p>
eventUri	All except GET /event	<p>This encoded URI is returned from multiple REST APIs. It can be used to post new data in a collaboration session or to poll an event, such as a change in call status, change in collaboration status, or new data becoming available in a collaboration session. It doesn't return immediately. It will wait for an event to occur before a response is sent, or when a configured amount of time transpires. You can configure the time from the administrative console and is called the Maximum hold time.</p>
callerAddressOfRecord	All including /call or /callNotification in the URI	Address of record for the calling phone.
calleeAddressOfRecord	All including /call or /callNotification in the URI	Address of record for the phone that was called.
callServiceUri	All including /call or /callNotification in the URI	Encoded URI is returned from the make a call request, used for getting status on, or ending a call.
callNotifyUri	All except GET /event	This encoded URI is returned from registering for call notification, used for monitoring a phone to see if a call has arrived or to unregister call notification.

Table 13. Response fields (continued).

This table shows response fields and their descriptions.

Response field	Applicable HTTP Method and URI	Description
callId	All including /call or /callNotification in the URI when a call is active	Call ID associated with the current active call.
callFailureReason	GET /call	Upon a failed call, this is a message indicating the reason for the failure.
callStatus	GET /call	Represents the status of the call (initiated, established, failed, cleared).
collaborationStatus	All except GET /event	Represents the status of a web collaboration session. Valid states are as follows: ESTABLISHED, NOT_ESTABLISHED, STARTING, and READY.
collaborationServiceUri	All except GET /event when collaboration is enabled	This encoded URI is returned from the enable collaboration request, used for getting status or ending a web collaboration session.
collaborationId	All except GET /event when collaboration is enabled	Unique identifier of a user collaboration session. If an optional address of record is provided when enabling collaboration, then the collaborationId matches that value.
forPeerCollaborationUri	All except GET /event when collaboration is enabled	URI that a user can send to a peer. The peer uses it to establish a web collaboration session. It includes the peerAddressOfRecord parameter.
peerCollaborationUri	All except GET /event when collaboration is enabled and the peer in the collaboration session has been found	This encoded URI can be used to establish a web collaboration with the other user on the phone call.
ceaVersion	All	This string represents the version of the server.

## Return codes

The following table lists the possible return codes seen in the REST response returnCode field.

Table 14. Return codes.

This table shows possible return codes and their descriptions.

Code number	Description
200	Similar to an HTTP 200 OK. No problems were detected.
201	Response to the GET /event request if no new events are found. This return does not imply an error because an event might not have been generated.
300	REST request was invalid. For example, the HTTP method and URI did not match what is supported by the API.

Table 14. Return codes (continued).

This table shows possible return codes and their descriptions.

Code number	Description
301	REST request was a follow-on request, such as getting call or collaboration status, but no existing session information was found.
302	An error occurred when trying to parse the REST request. An error exists in the format of the JSON or XML provided.
303	For telephony-related REST requests, a user name was not found in the credentials. This would only happen if the configuration indicates that the user name associated with a caller should be retrieved from the request credentials.
304	Response to an outstanding GET /event request if, before a response is sent, a redundant GET /event request is received.
305	REST request is missing required parameters or fields. For example, this return results if the API makes a call that was sent without providing an addressOfRecord identifying the caller.
306	An unexpected error occurred.
307	A telephony related REST request was made that did not locate a call.
308	A collaboration-related REST request was made, but collaboration was not enabled for in the session.

## Sample REST requests and responses

### Enabling collaboration with JSON

```

REST Request:
  PUT http://host:port/commsvc.rest/CommServlet/collaborationSession?JSON=true&ceaVersion=1.0.0.1

REST Response:
  {
    "returnCode":200,
    "infoMsg":"Successfully enabled collaboration",
    "collaborationId":"local.1242138965934_1",
    "callNotifyUri":"CommServlet/callerNotification;ibmappid=local.1242138965934_1",
    "collaborationStatus":"NOT_ESTABLISHED",
    "collaborationServiceUri":"CommServlet/collaborationSession;ibmappid=local.1242138965934_1",
    "forPeerCollaborationUri":"CommServlet/collaborationSession?addressOfRecord=local.1242138965934_1",
    "eventUri":"CommServlet/event;ibmappid=local.1242138965934_1"
    "ceaVersion":"1.0.0.1"
  }

```

### Enabling collaboration with XML

```

REST Request:
  PUT http://host:port/commsvc.rest/CommServlet/collaborationSession?ceaVersion=1.0.0.1

REST Response:
  <?xml version="1.0" encoding="UTF-8" standalone="yes"?>
  <CommRestResponse xmlns="http://jaxb.servlet.commsvc.ws.ibm.com/">
    <returnCode>200</returnCode>
    <infoMsg>Successfully enabled collaboration</infoMsg>
    <callNotifyUri>CommServlet/callerNotification;ibmappid=local.1242140626552_1</callNotifyUri>
    <collaborationId>local.1242140626552_1</collaborationId>
    <collaborationStatus>NOT_ESTABLISHED</collaborationStatus>
    <collaborationServiceUri>CommServlet/collaborationSession;ibmappid=local.1242140626552_1</collaborationServiceUri>
    <forPeerCollaborationUri>CommServlet/collaborationSession?addressOfRecord=local.1242140626552_1</forPeerCollaborationUri>
    <eventUri>CommServlet/event;ibmappid=local.1242140626552_1</eventUri>
    <ceaVersion>1.0.0.1</ceaVersion>
  </CommRestResponse>

```

## Making a call with JSON

```
REST Request
PUT http://host:port/commsvc.rest/CommServlet/call?JSON=true&ceaVersion=1.0.0.1
{
  "enableCollaboration": false,
  "addressOfRecord": "sip:phone1@192.168.1.100",
  "peerAddressOfRecord": "sip:phone2@192.168.1.100"
}

REST Response:
{
  "returnCode":200,
  "infoMsg":"Call attempted between sip:phone1@192.168.1.100 and sip:phone2@192.168.1.100.",
  "callerAddressOfRecord":"sip:phone1@192.168.1.100",
  "calleeAddressOfRecord":"sip:phone2@192.168.1.100",
  "callServiceUri":"CommServlet/call;ibmappid=local.1242140626552_42",
  "callNotifyUri":"CommServlet/callerNotification;ibmappid=local.1242140626552_42",
  "collaborationStatus":"NOT_ESTABLISHED",
  "eventUri":"CommServlet/event;ibmappid=local.1242140626552_42"
  "ceaVersion":"1.0.0.1"
}
```

## Making a call with XML

```
REST Request
PUT http://host:port/commsvc.rest/CommServlet/call?ceaVersion=1.0.0.1
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
<CommRestRequest xmlns="http://jaxb.servlet.commsvc.ws.ibm.com/">
  <addressOfRecord>sip:phone1@192.168.1.100</addressOfRecord>
  <peerAddressOfRecord>sip:phone2@192.168.1.100</peerAddressOfRecord>
  <enableCollaboration>true</enableCollaboration>
</CommRestRequest>

REST Response
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
<CommRestResponse xmlns="http://jaxb.servlet.commsvc.ws.ibm.com/">
  <returnCode>200</returnCode>
  <infoMsg>Call attempted between sip:phone1@192.168.1.100 and sip:phone2@192.168.1.100.</infoMsg>
  <callerAddressOfRecord>sip:phone1@192.168.1.100</callerAddressOfRecord>
  <calleeAddressOfRecord>sip:phone2@192.168.1.100</calleeAddressOfRecord>
  <callServiceUri>CommServlet/call;ibmappid=local.1242140626552_33</callServiceUri>
  <callNotifyUri>CommServlet/callerNotification;ibmappid=local.1242140626552_33</callNotifyUri>
  <collaborationStatus>NOT_ESTABLISHED</collaborationStatus>
  <eventUri>CommServlet/event;ibmappid=local.1242140626552_33</eventUri>
  <ceaVersion>1.0.0.1</ceaVersion>
</CommRestResponse>
```

## Directory conventions

References in product information to *app\_server\_root*, *profile\_root*, and other directories imply specific default directory locations. This topic describes the conventions in use for WebSphere Application Server.

### Default product locations (distributed)

The following file paths are default locations. You can install the product and other components or create profiles in any directory where you have write access. Multiple installations of WebSphere Application Server Network Deployment products or components require multiple locations. Default values for installation actions by root and nonroot users are given. If no nonroot values are specified, then the default directory values are applicable to both root and nonroot users.

*app\_client\_root*

Table 15. Default installation root directories for the Application Client for IBM WebSphere Application Server.

This table shows the default installation root directories for the Application Client for IBM WebSphere Application Server.

User	Directory
Root	<p><b>AIX</b> /usr/IBM/WebSphere/AppClient (Java EE Application client only)</p> <p><b>HP-UX</b> <b>Linux</b> <b>Solaris</b> /opt/IBM/WebSphere/AppClient (Java EE Application client only)</p> <p><b>Windows</b> C:\Program Files\IBM\WebSphere\AppClient</p>
Nonroot	<p><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> <i>user_home</i>/IBM/WebSphere/AppClient (Java EE Application client only)</p> <p><b>Windows</b> C:\IBM\WebSphere\AppClient</p>

### app\_server\_root

Table 16. Default installation directories for WebSphere Application Server.

This table shows the default installation directories for WebSphere Application Server Network Deployment.

User	Directory
Root	<p><b>AIX</b> /usr/IBM/WebSphere/AppServer</p> <p><b>HP-UX</b> <b>Linux</b> <b>Solaris</b> /opt/IBM/WebSphere/AppServer</p> <p><b>Windows</b> C:\Program Files\IBM\WebSphere\AppServer</p>
Nonroot	<p><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> <i>user_home</i>/IBM/WebSphere/AppServer</p> <p><b>Windows</b> <i>user_home</i>\IBM\WebSphere\AppServer</p>

### component\_root

The component installation root directory is any installation root directory described in this topic. Some programs are for use across multiple components—in particular, the Web Server Plug-ins, the Application Client, and the IBM HTTP Server. All of these components are part of the product package.

### gskit\_root

IBM Global Security Kit (GSKit) can now be installed by any user. GSKit is installed locally inside the installing product's directory structure and is no longer installed in a global location on the target system. The following list shows the default installation root directory for Version 8 of the GSKit, where *product\_root* is the root directory of the product that is installing GSKit, for example IBM HTTP Server or the web server plug-in.

**AIX** **HP-UX** **Linux** **Solaris**  
*product\_root*/gsk8

**Windows**  
*product\_root*\gsk8

### profile\_root

Table 17. Default profile directories.

This table shows the default directories for a profile named `profile_name` on each distributed operating system.

User	Directory
Root	<p><b>AIX</b> /usr/IBM/WebSphere/AppServer/profiles/<code>profile_name</code></p> <p><b>HP-UX</b> <b>Linux</b> <b>Solaris</b> /opt/IBM/WebSphere/AppServer/profiles/<code>profile_name</code></p> <p><b>Windows</b> C:\Program Files\IBM\WebSphere\AppServer\profiles\<code>profile_name</code></p>
Nonroot	<p><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b>  <code>user_home/IBM/WebSphere/AppServer/profiles</code></p> <p><b>Windows</b> <code>user_home\IBM\WebSphere\AppServer\profiles</code></p>

*plugins\_root*

Table 18. Default installation root directories for the Web Server Plug-ins.

This table shows the default installation root directories for the Web Server Plug-ins for WebSphere Application Server.

User	Directory
Root	<p><b>AIX</b> /usr/IBM/WebSphere/Plugins</p> <p><b>HP-UX</b> <b>Linux</b> <b>Solaris</b> /opt/IBM/WebSphere/Plugins</p> <p><b>Windows</b> C:\Program Files\IBM\WebSphere\Plugins</p>
Nonroot	<p><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b>  <code>user_home/IBM/WebSphere/Plugins</code></p> <p><b>Windows</b> C:\IBM\WebSphere\Plugins</p>

*wct\_root*

Table 19. Default installation root directories for the WebSphere Customization Toolbox.

This table shows the default installation root directories for the WebSphere Customization Toolbox.

User	Directory
Root	<p><b>AIX</b> /usr/IBM/WebSphere/Toolbox</p> <p><b>HP-UX</b> <b>Linux</b> <b>Solaris</b> /opt/IBM/WebSphere/Toolbox</p> <p><b>Windows</b> C:\Program Files\IBM\WebSphere\Toolbox</p>
Nonroot	<p><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b>  <code>user_home/IBM/WebSphere/Toolbox</code></p> <p><b>Windows</b> C:\IBM\WebSphere\Toolbox</p>

*web\_server\_root*



Table 20. Default installation root directories for the IBM HTTP Server.

This table shows the default installation root directories for the IBM HTTP Server.

User	Directory
Root	<b>AIX</b> /usr/IBM/HTTPServer
	<b>HP-UX</b> <b>Linux</b> <b>Solaris</b> /opt/IBM/HTTPServer
	<b>Windows</b> C:\Program Files\IBM\HTTPServer
Nonroot	<b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> user_home/IBM/HTTPServer
	<b>Windows</b> C:\IBM\HTTPServer



## Chapter 8. Client applications

This page provides a starting point for finding information about application clients and client applications. Application clients provide a framework on which application code runs, so that your client applications can access information on the application server.

For example, an insurance company can use application clients to help offload work on the server and to perform specific tasks. Suppose an insurance agent wants to access and compile daily reports. The reports are based on insurance rates that are located on the server. The agent can use application clients to access the application server where the insurance rates are located. More introduction...

### Types of client applications

You can write client applications that run separately from your application server. A client application uses the framework provided by an underlying client to access the resources provided by WebSphere Application Server.

Several types of clients are installed either with WebSphere Application Server or, optionally, with the Application Client for WebSphere Application Server.

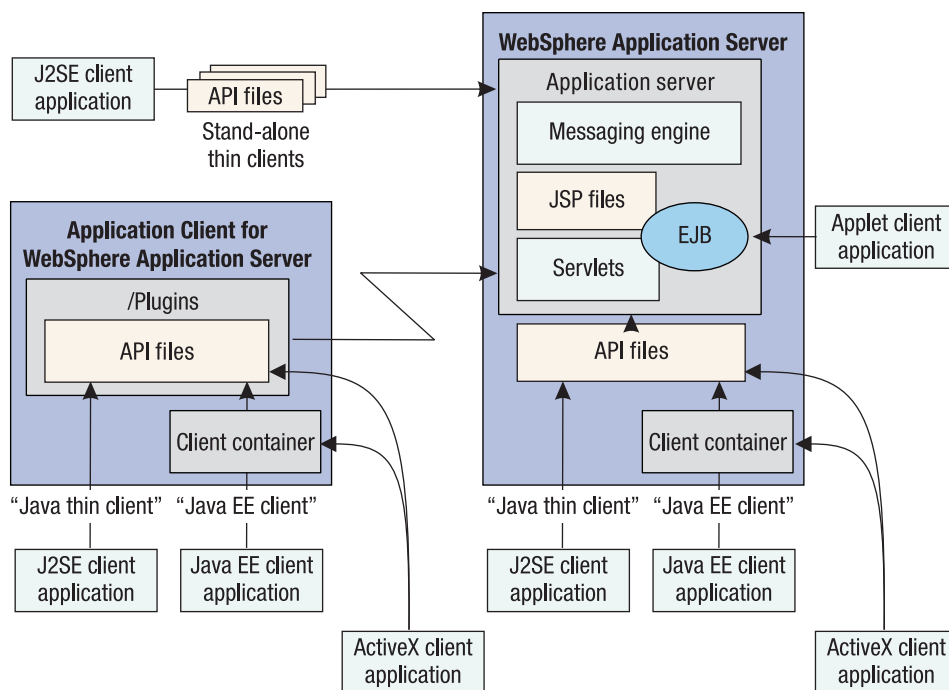


Figure 8. Clients provided for WebSphere Application Server

#### Stand-alone thin clients and resource adapter for JMS

The stand-alone thin clients are small, embeddable Java SE clients that you can run either on their own or, to provide different features, with one or more other stand-alone thin clients. The resource adapter for JMS is a stand-alone resource adapter that provides third party application servers with full connectivity to service integration resources running inside WebSphere Application Server.

#### Java EE client

The Java Platform, Enterprise Edition (Java EE) client is a Java EE mode of using the runtime

environment of either an Application Client installation or a WebSphere Application Server installation. The Java EE client uses the Client Container in the runtime environment to simplify access to system services such as security, transactions, naming, and database access for use by Java EE client applications.

### Java thin client

The Java thin client is a Java Platform, Standard Edition (Java SE) mode of using the runtime environment of either an Application Client installation or a WebSphere Application Server installation. The Java thin client runtime environment provides the support needed by full-function Java SE client applications for object resolution, security, Reliability Availability and Serviceability (RAS), and other services. However, the Java thin client does not support a Client Container that provides easy access to these services.

### Applet client

The Applet client model has a Java applet embedded in a HyperText Markup Language (HTML) document residing on a remote client machine from the WebSphere Application Server (base). With this type of client, the user accesses an enterprise bean in the WebSphere Application Server (base) through the Java applet in the HTML document.

### ActiveX to Enterprise JavaBeans (EJB) Bridge (Windows only)

The ActiveX application client model uses the Java Native Interface (JNI) architecture to programmatically access the Java virtual machine (JVM) API. Therefore the JVM code exists in the same process space as the ActiveX application (Visual Basic, VBScript, or Active Server Pages (ASP) files) and remains attached to the process until that process terminates.

### Pluggable Application Client for WebSphere Application Server (Windows only)

This client provides a subset of Application Client for WebSphere Application Server features. This client is supported in Windows only using a Sun JRE in a J2SE mode of operation.

**Important:** The Pluggable Application Client is deprecated. It is replaced by the stand-alone thin client, IBM Thin Client for EJB.

The following table provides a comparison of the different types of clients that are available.

*Table 21. Client comparison. The first column of this table lists the attributes that are being compared, and the remaining seven columns show the comparison information for each of the different types of client that are available.*

	Stand-alone thin clients	Resource Adapter for JMS	Java EE client (Java EE mode of Application Client)	Java thin client (Java SE mode of Application Client)	Java EE client (Java EE mode of Application Server) <sup>1</sup>	Java thin client (Java SE mode of Application Server) <sup>1</sup>	Pluggable Application Client (deprecated)
Unique characteristics	Embeddable single jar with small footprint	JCA v1.5 resource adapter with small footprint	Large client footprint with many files	Large client footprint with many files	Very large server footprint with many files	Very large server footprint with many files	Large client footprint with many files (subset of Application Client for WebSphere Application Server)
Supported execution environment	Java SE	Java EE v1.4 application server: Apache Geronimo, WebSphere Application Server Community Edition, JBoss	Java EE client container	Java SE	Java EE client container	Java SE	Java SE

Table 21. Client comparison (continued). The first column of this table lists the attributes that are being compared, and the remaining seven columns show the comparison information for each of the different types of client that are available.

	Stand-alone thin clients	Resource Adapter for JMS	Java EE client (Java EE mode of Application Client)	Java thin client (Java SE mode of Application Client)	Java EE client (Java EE mode of Application Server) <sup>1</sup>	Java thin client (Java SE mode of Application Server) <sup>1</sup>	Pluggable Application Client (deprecated)
Supported Java vendors	IBM, Sun, and HP-UX	As per J2EE vendor	Supplied IBM application server	Supplied IBM application server	Supplied IBM application server	Supplied IBM application server	Sun
Supported Java version	See Table 22 on page 84	<ul style="list-style-type: none"> <li>• 1.5</li> <li>• 1.6</li> </ul>	Supplied IBM JRE	Supplied IBM JRE	Supplied IBM JRE	Supplied IBM JRE	1.5+
Supported transactions	No transactions and local transactions	No transactions, local transactions, and XA transactions for JMS	No transactions, and local transactions for JMS	No transactions, and local transactions for JMS	No transactions, local transactions for JMS	No transactions, and local transactions for JMS	No transactions, and local transactions for JMS
Easily embedded	Yes	No	No	No	No	No	No
Include JNDI lookup capability to WebSphere Application Server	Available through the Thin Client for Enterprise JavaBeans (EJB)	Not applicable (relies on host application server JNDI)	Yes	Yes	Yes	Yes	Yes
Connectivity support	TCP and SSL	TCP and SSL	TCP, HTTP, and SSL	TCP, HTTP, and SSL	TCP, HTTP, and SSL	TCP, HTTP, and SSL	TCP and HTTP
Notable restrictions	Thin Client for JMS does not support HTTP connectivity.  For web services, the use of SOAP/JMS is not supported by the thin client environment	No HTTP connectivity	None	None	None	None	No SSL support
License type	IPLA (unlimited copy but no redistribution), and ILAN (redistribution)	IPLA (unlimited copy but no redistribution), and ILAN (redistribution)	IPLA (unlimited copy but no redistribution), and ILAN (redistribution)	IPLA (unlimited copy but no redistribution), and ILAN (redistribution)	IPLA	IPLA	IPLA (unlimited copy but no redistribution), and ILAN (redistribution)

<sup>1</sup> The information in this column relates to WebSphere Application Server when used as the client runtime environment.

The following table provides additional information on the supported JRE versions for stand-alone thin clients.

Table 22. Supported JRE versions. The first column of this table lists the stand-alone thin clients, and the second column lists the supported JRE versions for each of the stand-alone thin clients.

Type	JRE Versions
Enterprise JavaBeans thin client	<ul style="list-style-type: none"> <li>• 1.5</li> <li>• 1.6</li> </ul>
Java Message Service thin client	<ul style="list-style-type: none"> <li>• 1.5</li> <li>• 1.6</li> </ul>
Java API for XML-based RPC (JAX-RPC) thin client	<ul style="list-style-type: none"> <li>• 1.6</li> </ul>
Java API for XML-Based Web Services (JAX-WS) thin client	<ul style="list-style-type: none"> <li>• 1.6</li> </ul>
Administrative thin client	<ul style="list-style-type: none"> <li>• 1.6</li> </ul>
Java Persistence API (JPA)	<ul style="list-style-type: none"> <li>• 1.5</li> <li>• 1.6</li> </ul>

## Terms used for clients

Clients provided by WebSphere Application Server, and client applications that you develop, are referred to by similar terms. The terms described in this topic should help you better understand other client-related information.

### Application Client

Application Client for WebSphere Application Server is the package that you can use to install a variety of clients.

Application Client also forms the runtime for Java EE clients and Java thin clients on a system that does not have the Application Server installed.

**client** Provides a framework on which an application runs, so that the application can access information on an application server. Clients are provided as part of the Application Client for WebSphere Application Server or as part of a WebSphere Application Server installation.

Clients are sometimes referred to as “application clients”.

### client application

The application program that you develop to access information on an application server. The application is built on the framework provided by one or more clients.

### Java EE client

The Java Platform, Enterprise Edition (Java EE) client is a Java EE mode of using the runtime environment of either an Application Client installation or a WebSphere Application Server installation. The Java EE client uses the Client Container in the runtime environment to simplify access to system services such as security, transactions, naming, and database access for use by Java EE client applications.

The Java EE client is sometimes referred to as the “Java EE application client” or “J2EE application client”.

### Java thin client

The Java thin client is a JavaPlatform, Standard Edition (Java SE) mode of using the runtime environment of either an Application Client installation or a WebSphere Application Server installation. The Java thin client runtime environment provides the support needed by full-function Java SE client applications for object resolution, security, Reliability Availability and Servicability (RAS), and other services. However, the Java thin client does not support a Client Container that provides easy access to these services.

The Java thin client is sometimes referred to as the “Java thin application client”.

### stand-alone thin client

Small embeddable Java SE clients that you can use either on their own or, to provide different

features, with one or more other stand-alone thin clients. Stand-alone thin clients are provided as embeddable JAR files, and have names such as “IBM Thin Client for *feature*”; for example, “IBM Thin Client for Java Messaging Service (JMS)”.

## Application Client for WebSphere Application Server

Application Client for WebSphere Application Server is the package that you can use to install a variety of clients. Application Client also forms the runtime for Java EE clients and Java thin clients on a system that does not have the Application Server installed.

The Application Client for WebSphere Application Server is packaged with the following components:

- Java Runtime Environment (JRE) (or an optional full Software Development Kit) that IBM i provides.
- The runtime environment for Java EE client applications (that use services provided by the Java EE Client Container)
- The runtime environment for Java thin client applications (Java SE applications that do not use services provided by the Java EE Client Container)
- **Windows** An ActiveX to EJB Bridge for ActiveX programs to access enterprise beans through a set of ActiveX automation objects. (Windows only)
- **Windows** IBM plug-in for Java platforms for Applet client applications (Windows only)
- A variety of stand-alone thin clients, as embeddable JAR files

## Stand-alone thin clients

Small embeddable Java SE clients that you can use either on their own (stand-alone) or, to provide different features, with one or more other stand-alone thin clients.

The stand-alone thin clients are provided as embeddable JAR files in the `%WAS_HOME%/runtimes` directory of either an Application Client installation or a WebSphere Application Server installation.

### IBM Thin Client for Java Messaging Service (JMS)

The Thin Client for JMS is a Java service integration bus JMS client designed to run as an embeddable client in Java SE applications under the IBM, Sun and HP Java run-time environments (JREs). The client supports no transaction and local transaction models.

### IBM Thin Client for Enterprise JavaBeans (EJB)

The Thin Client for EJB allows Java SE applications to access remote Enterprise Java Beans on a server through Java Naming and Directory Interface (JNDI) look up. It can be embedded in a Java SE application running under the IBM, Sun, or HP JREs.

### IBM Thin Client for Java API for XML-based Web Services (JAX-WS)

The Thin Client for JAX-WS allows Java SE client applications to use JAX-WS to invoke web services that are hosted by an application server. Such unmanaged client applications can use JAX-WS APIs to directly inspect a WSDL file and formulate the calls to web services

### IBM Thin Client for Java API for XML-based RPC (JAX-RPC)

The Thin Client for JAX-RPC allows Java SE client applications to use JAX-RPC to invoke web services that are hosted by an application server. Such unmanaged client applications can access a web service as if the web service is a local object mapped into the client address space even though the web service provider is located in another part of the world.

### IBM Thin Client for Java API for RESTful Web Services (JAX-RS)

The Thin Client for JAX-RS is a stand-alone Java SE 6 client environment that enables running unmanaged JAX-RS RESTful web services client applications in a non-WebSphere environment to invoke JAX-RS RESTful web services that are hosted by the application server.

### IBM Thin Client for Java Persistence API (JPA)

The Thin Client for JPA allows Java SE client applications to use the Java Persistence API (JPA) to store and retrieve persistent data without the use of an application server.

If you are running two or more of these stand-alone thin clients together, you must obtain all the clients that you are using from the same installation of Application Client for WebSphere Application Server, the same installation of the WebSphere Application Server product, or the same service refresh.

Although the stand-alone thin clients can coexist with each other, none of them can coexist with the Administration Thin Client for WebSphere Application Server.

WebSphere Application Server and IBM Application Client for WebSphere Application Server also provide a resource adapter for JMS that enables a third-party application server to be a stand-alone JMS client of WebSphere Application Server:

#### **IBM Resource Adapter for JMS with WebSphere Application Server**

A Java EE Connector Architecture (JCA) V1.5-compliant resource adapter that runs in a supported Java EE V1.4 compliant application server. The resource adapter provides full two-phase transaction support through an XA interface, supports inbound messages through message-driven beans (MDBs) and supports connection pooling with lazy association.

## **Java EE client**

The Java Platform, Enterprise Edition (Java EE) client is a Java EE mode of using the runtime environment of either an Application Client installation or a WebSphere Application Server installation. The Java EE client uses the Client Container in the runtime environment to simplify access to system services such as security, transactions, naming, and database access for use by Java EE client applications.

The Java EE client is sometimes referred to as the “Java EE application client” or “J2EE application client”.

The Client Container enables Java EE client applications to use logical names (“nicknames”) for enterprise beans and local resources, and to leave the resolution of those names to a look up in the Java Naming and Directory Interface (JNDI) namespace of an application server. Besides simplifying resolution to enterprise beans and local resources references, this use of logical names and JNDI lookups eliminates changes to the client application code if the underlying object or resource either changes or moves to a different application server.

The Java EE client initializes the runtime environment for a Java EE client application. A deployment descriptor defines the unique initialization for a client application, and defines the logical names used by the application.

The logical names are defined within the deployment descriptor of a Java EE client application. These logical deployment descriptors identify enterprise beans or local resources (Java Database Connectivity (JDBC) data sources, J2C connection factories, Java Message Service (JMS) resources, and JavaMail and URL APIs) for simplified resolution through JNDI lookup.

Storing the resource information separately from the client application program makes the client application program portable and more flexible. If you develop a client application using and adhering to the Java EE platform, you can port the client application from one Java EE platform implementation to another. The code of the client application does not change, but the application package might need redeployment using the deployment tool of the new Java EE platform.

**Attention:** The Java EE client does not support connection pools. The application client calls the database directly, without a datasource. If you want to use the `getConnection()` request from a Java EE client application, configure the JDBC provider in the application deployment descriptors, using Rational® Application Developer or an assembly tool. The connection is established between the client application and the database.

The Java EE client uses the Java Remote Method Invocation technology over Internet Inter-Orb Protocol (RMI-IIOP). Using this protocol enables a Java EE client application to access enterprise bean references



and to use Common Object Request Broker Architecture (CORBA) services provided by the Java EE client runtime. Use of the RMI-IIOP protocol and the accessibility of CORBA services assist users in developing a Java EE client application that requires access to both enterprise bean references and CORBA object references.

## Java thin client

The Java thin client is a JavaPlatform, Standard Edition (Java SE) mode of using the runtime environment of either an Application Client installation or a WebSphere Application Server installation. The Java thin client runtime environment provides the support needed by full-function Java SE client applications for object resolution, security, Reliability Availability and Servicability (RAS), and other services. However, the Java thin client does not support a Client Container that provides easy access to these services.

The Java thin client is sometimes referred to as the “Java thin application client”.

The Java thin client is designed to support those users who want a full-function Java SE client application programming environment, to use the supplied IBM JRE, without the overhead of the Java Platform, Enterprise Edition (Java EE) platform on the client machine.

The Java thin client does not perform initialization of any of the services that the client application might require. For example, the client application is responsible for the initialization of the naming service, either through CosNaming or JNDI APIs.

The Java thin client does not support the use of use of logical names (“nicknames”) for enterprise beans and local resources. When a client application resolves a reference for an enterprise bean (using either Java Naming and Directory Interface (JNDI) or CosNaming), the application must know the location of the name server and the fully-qualified name used when the reference was bound into the name space. When a client application resolves a reference for a local resource, the client application cannot resolve to the resource through a JNDI lookup. Instead the client application must explicitly create the connection to the resource using the appropriate API; for example, JDBC or Java Message Service (JMS). If the location of an enterprise bean or resource changes, the thin client application must also change the value placed on the lookup() statement.

The Java thin client runtime environment provides support for Java SE client applications to access remote enterprise beans, and provides the implementation for various enterprise bean services. Client applications can also use the Java thin client runtime environment to access CORBA objects and CORBA based services.

The Java thin client uses the RMI-IIOP protocol, which enables the client application to access both enterprise bean references and CORBA object references. Using this protocol also allows the client application to use any supported CORBA services. Using the RMI-IIOP protocol along with the accessibility of CORBA services can help you develop a client application that needs to access both enterprise bean references and CORBA object references.

If you choose to use both enterprise beans and CORBA programming models in the same client application, you need to understand the differences between those programming models to manage both environments. For example, the CORBA programming model requires the CORBA CosNaming name service for object resolution in a name space. The enterprise beans programming model requires the JNDI name service. The client application must initialize and properly manage these two naming services.

Another difference applies to the enterprise bean model, for which the JNDI implementation initializes the Object Request Broker (ORB); the client application is unaware that an ORB is present. The CORBA model, however, requires the client application to explicitly initialize the ORB through the ORB.init() static method.

**Note:** The CORBA model does not allow for workload management (WLM) functionality and cluster failover. Use the enterprise bean model (with JNDI) to access objects in a clustered environment.

The Java thin application client provides a batch command that you can use to set the CLASSPATH and JAVA\_HOME environment variables to enable the Java thin application client run time.

## Applet client

The Applet client provides a browser-based Java run time capable of interacting with enterprise beans directly, instead of indirectly through a servlet.

This client is designed to support users who want a browser-based Java client application programming environment that provides a richer and more robust environment than the one offered by the **Applet > Servlet > enterprise bean** model.

The programming model for this client is a hybrid of the Java application thin client and a servlet client. When accessing enterprise beans from this client, the applet can consider the enterprise bean object references as CORBA object references.

No tooling support exists for this client to develop, assemble or deploy the applet. You are responsible for developing the applet, generating the necessary client bindings for the enterprise beans and CORBA objects, and bundling these pieces together to install or download to the client machine. The Java applet client provides the necessary run time to support communication between the client and the server. The applet client run time is provided through the Java applet browser plug-in that you install on the client machine.

Generate client-side bindings using an assembly tool. An applet can utilize these bindings, or you can generate client-side bindings using the **rmic** command. This command is part of the IBM Developer Kit, Java edition that is installed with the WebSphere Application Server.

The applet client uses the RMI-IIOP protocol. Using this protocol enables the applet to access enterprise bean references and CORBA object references, but the applet is restricted in using some supported CORBA services.

If you combine the enterprise bean and CORBA environments in one applet, you must understand the differences between the two programming models, and you must use and manage each model appropriately.

The applet environment restricts access to external resources from the browser runtime environment. You can make some of these resources available to the applet by setting the correct security policy settings in the WebSphere Application Server `client.policy` file. If given the correct set of permissions, the applet client must explicitly create the connection to the resource using the appropriate API. This client does not perform initialization of any service that the client applet can need. For example, the client application is responsible for the initialization of the naming service, either through the CosNaming, or the Java Naming and Directory Interface (JNDI) APIs.

## ActiveX to Enterprise JavaBeans (EJB) Bridge

WebSphere Application Server provides an ActiveX to EJB bridge that enables ActiveX programs to access enterprise beans through a set of ActiveX automation objects.

The bridge accomplishes this access by loading the Java virtual machine (JVM) into any ActiveX automation container such as Visual Basic, VBScript, and Active Server Pages (ASP).

There are two main environments in which the ActiveX to EJB bridge runs:

- **Client applications**, such as Visual Basic and VBScript, are programs that a user starts from the command line, desktop icon, or Start menu shortcut.

- **Client services**, such as Active Server Pages, are programs started by some automated means like the Services control panel applet.

The ActiveX to EJB bridge uses the Java Native Interface (JNI) architecture to programmatically access the JVM code. Therefore the JVM code exists in the same process space as the ActiveX application (Visual Basic, VBScript, or ASP) and remains attached to the process until that process terminates. To create JVM code, an ActiveX client program calls the XJBInit() method of the XJB.JClassFactory object.

After an ActiveX client program has initialized the JVM code, the program calls several methods to create a proxy object for the Java class. When accessing a Java class or object, the real Java object exists in the JVM code; the automation container contains the proxy for that Java object. The ActiveX program can use the proxy object to access the Java class, object fields, and methods.

To convert primitive data types, the client program uses the COM IDispatch interface (use of the IUnknown interface is not directly supported). Primitive data types are automatically converted between native automation types and Java types. All other types are handled automatically by the proxy objects.

Any exceptions thrown in Java code are encapsulated and thrown again as a COM error, from which the ActiveX program can determine the actual Java exceptions.

The ActiveX to EJB bridge supports both free-threaded and apartment-threaded access and implements the free threaded marshaler (FTM) to work in a hybrid environment such as Active Server Pages.

For more information about ActiveX client programming with the ActiveX to EJB bridge, refer to the Developing ActiveX client application code topic.

## Pluggable Application Client

The Pluggable Application Client for WebSphere Application Server provides a downloadable run time for Java client applications to run with the Sun Java Runtime Environment (JRE) on the Windows platform.

**Important:** The Pluggable Application Client is deprecated. It is replaced by the stand-alone thin client, IBM Thin Client for EJB.

The Pluggable Application Client runs only on the Windows platform and requires that you have previously installed the Sun Java Runtime Environment (JRE) files. In all other aspects, the Pluggable Application Client and the Java thin application client are similar.



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## Chapter 9. Data access resources

This page provides a starting point for finding information about data access. Various enterprise information systems (EIS) use different methods for storing data. These backend data stores might be relational databases, procedural transaction programs, or object-oriented databases.

The flexible IBM WebSphere Application Server provides several options for accessing an information system backend data store:

- Programming directly to the database through the JDBC 4.0 API, JDBC 3.0 API, or JDBC 2.0 optional package API.
- Programming to the procedural backend transaction through various J2EE Connector Architecture (JCA) 1.0 or 1.5 compliant connectors.
- Programming in the bean-managed persistence (BMP) bean or servlets indirectly accessing the backend store through either the JDBC API or JCA-compliant connectors.
- Using container-managed persistence (CMP) beans.
- Using the IBM data access beans, which also use the JDBC API, but give you a rich set of features and function that hide much of the complexity associated with accessing relational databases.

Service Data Objects (SDO) simplify the programmer experience with a universal abstraction for messages and data, whether the programmer thinks of data in terms of XML documents or Java objects. For programmers, SDOs eliminate the complexity of the underlying data access technology such as JDBC, RMI/IIOP, JAX-RPC, and JMS, and message transport technology such as, `java.io.Serializable`, DOM Objects, SOAP, and JMS.

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### Data concepts

#### Relational resource adapters and JCA

A resource adapter is a system-level software driver that a Java application uses to connect to an enterprise information system (EIS). A resource adapter plugs into an application server and provides connectivity between the EIS, the application server, and the enterprise application.

WebSphere Application Server supports JCA versions 1.0, 1.5 and 1.6, including additional configurable features for JCA 1.5 resource adapters with activation specifications that handle inbound requests. The JCA Version 1.6 specification also adds support for Java annotations in RAR modules. For more information on annotation support see the topic, [JCA 1.6 support for annotations in RAR modules](#).

Data access for container-managed persistence (CMP) beans is indirectly managed by the WebSphere Persistence Manager. The JCA specification supports persistence manager delegation of the data access to the JCA resource adapter without knowing the specific backend store. For the relational database access, the persistence manager uses the relational resource adapter to access the data from the database.

You can find the supported database platforms for the JDBC API at the [WebSphere Application Server prerequisite website](#).

#### Java EE Connector Architecture and WebSphere relational resource adapters

An application server vendor extends its system once to support the Java Platform, Enterprise Edition Connector Architecture (JCA) and is then assured of seamless connectivity to multiple EISs. Likewise, an EIS vendor provides one standard resource adapter with the capability to plug into any application server that supports the connector architecture.

The product supports any resource adapter that implements version 1.0, 1.5 and 1.6 of this specification. IBM includes WebSphere MQ and the Service Integration Bus with the Application Server, and IBM supplies resource adapters for many enterprise systems separately from the WebSphere Application Server package, which include but are not limited to, the Customer Information Control System (CICS®), Host On-Demand (HOD), Information Management System (IMS™), and Systems, Applications, and Products (SAP) R/3 .

The general approach to writing an application that uses a JCA resource adapter is to develop EJB session beans or services with tools such as Rational Application Developer. The session bean uses the *javax.resource.cci* interfaces to communicate with an enterprise information system through the resource adapter.

## WebSphere Relational Resource Adapter

WebSphere Application Server provides the WebSphere Relational Resource Adapter implementation. This resource adapter provides data access through JDBC calls to access the database dynamically. The connection management is based on the JCA connection management architecture and provides connection pooling, transaction, and security support. The WebSphere RRA is installed and runs as part of WebSphere Application Server, and needs no further administration.

The RRA supports both the configuration and use of JDBC data sources and JCA connection factories. The RRA supports the configuration and use of data sources implemented as either JDBC data sources or Java EE Connector Architecture connection factories. Data sources can be used directly by applications, or they can be configured for use by container-managed persistence (CMP) entity beans.

For more information about the WebSphere Relational Resource Adapter, see the following topics:

- For information about resource adapters and data access, see the topic [Data access portability features](#).
- For RRA settings, see the topic [WebSphere relational resource adapter settings](#).
- For information about enterprise beans, see the topic [EJB applications](#).

## Using a single instance of a resource adapter

You can restrict certain resource adapters to a single runtime instance inside the Java Virtual Machine (JVM).

### Before you begin

Enabling this setting imposes a highly restrictive environment on the system and should be used with caution.

### About this task

Using the single-instance resource adapter configuration option on some resource adapters can enable you to set up an environment that optimally behaves. Some resource adapters that support inbound communications from the enterprise information system (EIS) might require single-instance behavior. By enabling this setting, server startup time can be optimized. Other resource adapters might not require this setting. You need to determine if you should configure the resource adapter for single-instance behavior.

Consider using the single-instance resource adapter configuration for testing and troubleshooting problems. Placing the single-instance restriction on some resource adapters might work as a corrective action for problems; enabling single-instance behavior on one or more resource adapters thought to be involved in a problem can help isolate the specific issue.

This design does not allow two resource adapter JavaBeans instances that would return true from the equals method to coexist in the same JVM, if any one of them is configured as single-instance. For

example, if two applications that have embedded the same resource adapter, or one application that embeds a resource adapter and the same resource adapter is installed in the server as a stand-alone resource adapter, are configured on the same server such that even though some of their config attributes are different, the ones that the equals() method evaluates are equal, this will no longer be allowed, and will return a ResourceException.

**Note:** The vendor of a resource adapter which cannot tolerate multiple instances does not have a JCA-defined method of communicating this. Therefore, it is up to the deployer to recognize the need, and configure resource adapter(s) for single-instance behavior.

## WebSphere relational resource adapter settings

Use this page to view the settings of the WebSphere relational resource adapter. This adapter is preinstalled in the product to provide access to relational databases.

**Restriction:** Although the default relational resource adapter settings are viewable, you cannot make changes to them.

To view this administrative console page, click **Resources > Resource adapters > Resource adapters**. Expand the **Preferences** section at the top of the page. Select **Show built-in resources**. The table of configured resource adapters now displays the **WebSphere Relational Resource Adapter**.

### **Name:**

Specifies the name of the resource provider.

**Data type** String

### **Description:**

Specifies a description of the relational resource adapter.

**Data type** String

### **Scope:**

Specifies the scope of the relational resource adapter.

**Data type** String

## Data access portability features

These interfaces work with the relational resource adapter (RRA) to make database-specific functions operable on connections between the application server and that database.

In other words, your applications can access data from different databases, and use functions that are specific to the database, without any code changes. Additionally, WebSphere Application Server enables you to plug in a data source that is not supported by WebSphere persistence. However, the data source *must* be implemented as either the *XDataSource* type or the *ConnectionPoolDataSource* type, and it must be in compliance with the JDBC 2.x specification.

You can achieve application portability through the following:

### **DataStoreHelper interface**

With this interface, each data store platform can plug in its own private datastore specific functions that the relational resource adapter runtime uses. WebSphere Application Server provides an implementation for each supported JDBC provider.

The interface also provides a `GenericDataStoreHelper` class for unsupported data sources to use. You can subclass the `GenericDataStoreHelper` class or other WebSphere provided helpers to support any new data source.

**Note:** If you are configuring data access through a user-defined JDBC provider, do not implement the `DataStoreHelper` interface directly. Either subclass the `GenericDataStoreHelper` class or subclass one of the `DataStoreHelper` implementation classes provided by IBM (if your database behavior or SQL syntax is similar to one of these provided classes).

For more information, see the API documentation **DataStoreHelper** topic (as listed in the API documentation index).

The following code segment shows how a new data store helper is created to add new error mappings for an unsupported data source.

```
public class NewDSHelper extends GenericDataStoreHelper
{
    public NewDSHelper(java.util.Properties dataStoreHelperProperties)
    {
        super(dataStoreHelperProperties);
        java.util.Hashtable myErrorMap = null;
        myErrorMap = new java.util.Hashtable();
        myErrorMap.put(new Integer(-803), myDuplicateKeyException.class);
        myErrorMap.put(new Integer(-1015), myStaleConnectionException.class);
        myErrorMap.put("S1000", MyTableNotFoundException.class);
        setUserDefinedMap(myErrorMap);
        ...
    }
}
```

### WSCallHelper class

This class provides two methods that enable you to use vendor-specific methods and classes that do not conform to the standard JDBC APIs (and are not part of WebSphere Application Server extension packages).

- **jdbcCall() method**

By using the static `jdbcCall()` method, you can invoke vendor-specific, nonstandard JDBC methods on your JDBC objects. (For more information, see the API documentation **WSCallHelper** topic.) The following code segment illustrates using this method with a DB2 data source:

```
Connection conn = ds.getConnection();
// get connection attribute
String connectionAttribute =(String) WSCallHelper.jdbcCall(DataSource.class, ds,
    "getConnectionAttribute", null, null);
// setAutoClose to false
WSCallHelper.jdbcCall(java.sql.Connection.class,
    conn, "setAutoClose",
    new Object[] { new Boolean(false)},
    new Class[] { boolean.class });
// get data store helper
DataStoreHelper dsHelper = WSCallHelper.getDataStoreHelper(ds);
```

- **jdbcPass() method**

Use this method to exploit the nonstandard JDBC classes that some database vendors provide. These classes contain methods that require vendors' proprietary JDBC objects to be passed as parameters.

In particular, implementations of Oracle can involve use of nonstandard classes furnished by the vendor. Methods contained within these classes include:

```
oracle.sql.ArrayDescriptor ArrayDescriptor.createDescriptor(java.lang.String, java.sql.Connection)
oracle.sql.ARRAY new ARRAY(oracle.sql.ArrayDescriptor, java.sql.Connection, java.lang.Object)
oracle.xml.sql.query.OracleXMLQuery(java.sql.Connection, java.lang.String)
oracle.sql.BLOB.createTemporary(java.sql.Connection, boolean, int)
oracle.sql.CLOB.createTemporary(java.sql.Connection, boolean, int)
oracle.xdb.XMLType.createXML(java.sql.Connection, java.lang.String)
```



The following code sample demonstrates how to use `jdbcPass` to call the Oracle method `XMLType.createXML` on a connection. This Oracle function creates an XML type object out of the XML data that the database passes to your application.

```
XMLType poXML = (XMLType)(WSCallHelper.jdbcPass(XMLType.class,
"createXML", new Object[]{conn,poString},
    new Class[]{java.sql.Connection.class, java.lang.String.class},
    new int[]{WSCallHelper.CONNECTION,WSCallHelper.IGNORE}));
```

For more examples of using `jdbcPass` and a complete list of method parameters, see the API documentation for the `WSCallHelper` class. In this information center, access the API documentation with the following steps:

1. Click **Reference > Developer API documentation > Application programming interfaces**
2. Click **com.ibm.websphere.rsadapter**
3. Under the Class Summary heading, click **WSCallHelper**

The first section on `jdbcPass` discusses using the method to call database static methods. The second section on `jdbcPass` addresses database non-static methods.

**CAUTION:** Use of the `jdbcPass()` method causes the JDBC object to be used outside of the protective mechanisms of WebSphere Application Server. Performing certain operations (such as setting `autoCommit`, or transaction isolation settings, etc.) outside of these protective mechanisms will cause problems with the future use of these pooled connections. IBM does not guarantee stability of the object after invocation of this method; it is the user's responsibility to ensure that invocation of this method does not perform operations that harm the object. Use at your own risk.

Because of these potential problems, WebSphere Application Server strictly controls which methods are allowed to be invoked using the `jdbcPass()` method support. If you require support for a method that is not listed previously in this document, contact WebSphere Application Server Support with information on the method you require.

## JDBC providers

Installed applications use JDBC providers to interact with relational databases.

The JDBC provider object supplies the specific JDBC driver implementation class for access to a specific vendor database. To create a pool of connections to that database, you associate a data source with the JDBC provider. Together, the JDBC provider and the data source objects are functionally equivalent to the Java Platform, Enterprise Edition (Java EE) Connector Architecture (JCA) connection factory, which provides connectivity with a non-relational database.

For a current list of supported providers, see the WebSphere Application Server prerequisite website. For detailed descriptions of the providers, including the supported data source classes and their required properties, refer to the topics on data source required minimum required settings, by vendor.

## Configuring QueryTimeout

You can configure a timeout on the data source of an application so that the transaction or statement timeout occurs if a query fails to return, because of a deadlock or blocked transactions.

## Before you begin

Traditional Java Database Connectivity (JDBC) provides a standard interface, called `java.sql.Statement.setQueryTimeout`, to limit the number of seconds that a JDBC driver waits for a statement to execute. This can be used by an application to control the maximum amount of time the application waits for an SQL statement to complete before the request is interrupted. With earlier versions of WebSphere Application Server, the only way of setting a query timeout is by programmatically

establishing an SQL query timeout in the application by invoking the `java.sql.Statement.setQueryTimeout` interface on every statement.

## About this task

In Version 8, you can configure this query timeout using either of the following two custom properties at the data source level:

- `webSphereDefaultQueryTimeout` establishes a default query timeout, which is the number of seconds that an SQL statement may execute before timing out. This default value is overridden during a Java Transaction API (JTA) transaction if the `syncQueryTimeoutWithTransactionTimeout` custom property is enabled.
- `syncQueryTimeoutWithTransactionTimeout` uses the time remaining (if any) in a JTA transaction as the default query timeout for SQL statements.

By default, query time is disabled. Based on the presence and value of the two new data source custom properties, a timeout value is calculated of either:

- the time remaining in the current JTA transaction based on the TM timeout setting - `syncQueryTimeoutWithTransactionTimeout`
- the absolute number of seconds specified by configuration - `webSphereDefaultQueryTimeout`

The calculated timeout is then used in conjunction with the JDBC API to set a query timeout value on each statement.

## Procedure

1. Open the administrative console.
2. Go to the **WebSphere Application Server Data Source properties** panel for the data source.
  - a. Click **Resources > JDBC > Data Sources > *data\_source***
  - b. Click **WebSphere Application Server Data Source properties**.
3. Click **Custom properties** under Additional Properties.
4. Click **New**.
5. Enter `webSphereDefaultQueryTimeout` in the **Name** field.
6. Enter the number of seconds to use for the default query timeout in the **Value** field. The timeout value is in seconds. A value of 0 (zero) indicates no timeout.
7. Click **OK**.
8. Click **New**.
9. Enter `syncQueryTimeoutWithTransactionTimeout` in the **Name** field.
10. Enter `true` or `false` in the **Value** field. A value of `true` indicates to use the time remaining in a JTA transaction as the default query timeout.
11. Click **OK**.
12. Save your changes. The updates go into effect after the server is restarted.

## Results

You have configured the query timeout on the data source of your application.

## Example

The following example illustrates using `webSphereDefaultQueryTimeout = 20` and `syncQueryTimeoutWithTransactionTimeout = true`:

```

statement = connection.createStatement();
statement.executeUpdate(sqlcommand1); // query timeout of 20 seconds is used
statement.executeUpdate(sqlcommand2); // query timeout of 20 seconds is used
transaction.setTransactionTimeout(30);
transaction.begin();
try
{
    statement.executeUpdate(sqlcommand3); // query timeout of 30 seconds is used
    // assume the above operation took 5 seconds, remaining time = 30 - 5 seconds
    statement.executeUpdate(sqlcommand4); // query timeout of 25 seconds is used
    // assume the above operation took 10 seconds, , remaining time = 25 - 10 seconds
    statement.executeUpdate(sqlcommand5); // query timeout of 15 seconds is used
}
finally
{
    transaction.commit();
}
statement.executeUpdate(sqlcommand6); // query timeout of 20 seconds is used

```

The following example illustrates using `webSphereDefaultQueryTimeout = 20` and `syncQueryTimeoutWithTransactionTimeout = false`:

```

statement = connection.createStatement();
statement.executeUpdate(sqlcommand1); // query timeout of 20 seconds is used
statement.executeUpdate(sqlcommand2); // query timeout of 20 seconds is used
transaction.setTransactionTimeout(30);
transaction.begin();
try
{
    statement.executeUpdate(sqlcommand3); // query timeout of 20 seconds is used
    // assume the above operation took 5 seconds
    statement.executeUpdate(sqlcommand4); // query timeout of 20 seconds is used
    // assume the above operation took 10 seconds
    statement.executeUpdate(sqlcommand5); // query timeout of 20 seconds is used
}
finally
{
    transaction.commit();
}
statement.executeUpdate(sqlcommand6); // query timeout of 20 seconds is used

```

You can override the query timeout for a statement at any time by invoking the `java.sql.Statement.setQueryTimeout` interface from your application code.

## Data sources

Installed applications use a *data source* to obtain connections to a relational database. A data source is analogous to the Java Platform, Enterprise Edition (Java EE) Connector Architecture (JCA) connection factory, which provides connectivity to other types of enterprise information systems (EIS).

A data source is associated with a JDBC provider, which supplies the driver implementation classes that are required for JDBC connectivity with your specific vendor database. Application components transact directly with the data source to obtain connection instances to your database. The connection pool that corresponds to each data source provides connection management.

You can create multiple data sources with different settings, and associate them with the same JDBC provider. For example, you might use multiple data sources to access different databases within the same vendor database application. WebSphere Application Server requires JDBC providers to implement one or both of the following data source interfaces, which are defined by Sun Microsystems. These interfaces enable the application to run in a single-phase or two-phase transaction protocol.

- *ConnectionPoolDataSource* - a data source that supports application participation in local and global transactions, excepting two-phase commit transactions. When a connection pool data source is involved in a global transaction, transaction recovery is not provided by the transaction manager. The application is responsible for providing the backup recovery process if multiple resource managers are involved.

**Note:** A connection pool data source does support two-phase commit transactions in these cases:

- the data source is making use of *Last participant* support. Last participant support enables a single one-phase commit resource to participate in a global transaction with one or more two-phase commit resources.

For more information, consult the article, Using one-phase and two-phase commit resources in the same transaction.

- *XADataSource* - a data source that supports application participation in any single-phase or two-phase transaction environment. When this data source is involved in a global transaction, the product transaction manager provides transaction recovery.

Prior to version 5.0 of the application server, the function of data access was provided by a single connection manager (CM) architecture. This connection manager architecture remains available to support Java 2 Platform, Enterprise Edition (J2EE) 1.2 applications, but another connection manager architecture is provided, based on the JCA architecture supporting the J2EE 1.3 application style, J2EE 1.4 and Java EE applications.

These architectures are represented by two types of data sources. To choose the right data source, administrators must understand the nature of their applications, EJB modules, and enterprise beans.

- Data source (WebSphere Application Server V4) - This data source runs under the original CM architecture. Applications using this data source behave as if they were running in Version 4.0.
- Data source - This data source uses the JCA standard architecture to provide support for J2EE version 1.3 and 1.4, as well as Java EE applications. It runs under the JCA connection manager and the relational resource adapter.

### Choice of data source

- J2EE 1.2 application - all EJB 1.1 enterprise beans, JDBC applications, or Servlet 2.2 components must use the **4.0** data source.
- J2EE 1.3 (and subsequent releases) application -
  - EJB 1.1 module - all EJB 1.x beans must use the **4.0** data source.
  - EJB 2.0 (and subsequent releases) module - enterprise beans that include container-managed persistence (CMP) Version 1.x, 2.0, and beyond must use the **new** data source.
  - JDBC applications and Servlet 2.3+ components - must use the **new** data source.

### Data access beans

Data access beans provide a rich set of features and function, while hiding much of the complexity associated with accessing relational databases.

They are Java classes written to the Enterprise JavaBeans specification.

You can use the data access beans in JavaBeans-compliant tools, such as the IBM *Rational Application Developer*. Because the data access beans are also Java classes, you can use them like ordinary classes.

The data access beans (in the package *com.ibm.db*) offer the following capabilities:

#### Feature

##### Details

#### Caching query results

You can retrieve SQL query results all at once and place them in a cache. Programs using the result set can move forward and backward through the cache or jump directly to any result row in the cache.

For large result sets, the data access beans provide ways to retrieve and manage *packets*, subsets of the complete result set.

### **Updating through result cache**

Programs can use standard Java statements (rather than SQL statements) to change, add, or delete rows in the result cache. You can propagate changes to the cache in the underlying relational table.

### **Querying parameter support**

The base SQL query is defined as a Java String, with parameters replacing some of the actual values. When the query runs, the data access beans provide a way to replace the parameters with values made available at run time. Default mappings for common data types are provided, but you can specify whatever your Java program and database require.

### **Supporting metadata**

A *StatementMetaData* object contains the base SQL query. Information about the query (*metadata*) enables the object to pass parameters into the query as Java data types.

Metadata in the object maps Java data types to SQL data types (as well as the reverse). When the query runs, the Java-datatype parameters are automatically converted to SQL data types as specified in the metadata mapping.

When results return, the metadata object automatically converts SQL data types back into the Java data types specified in the metadata mapping.

## **Connection management architecture**

The connection management architecture for both relational and procedural access to enterprise information systems (EIS) is based on the Java Platform, Enterprise Edition (Java EE) Connector Architecture (JCA) specification. The Connection Manager (CM), which pools and manages connections within an application server, is capable of managing connections obtained through both resource adapters (RAs) defined by the JCA specification, and data sources defined by the Java Database Connectivity (JDBC) 2.0 (and later) Extensions specification.

To make data source connections manageable by the CM, the WebSphere Application Server provides a resource adapter (the WebSphere Relational Resource Adapter) that enables JDBC data sources to be managed by the same CM that manages JCA connections. From the CM point of view, JDBC data sources and JCA connection factories look the same. Users of data sources do not experience any programmatic or behavioral differences in their applications because of the underlying JCA architecture. JDBC users still configure and use data sources according to the JDBC programming model.

Applications migrating from previous versions of WebSphere Application Server might experience some behavioral differences because of the specification changes from various Java EE requirements levels. These differences are not related to the adoption of the JCA architecture.

If you have Java 2 Platform, Enterprise Edition (J2EE) 1.2 applications using the JDBC API that you wish to run in WebSphere Application Server 6.0 and later, the JDBC CM from Application Server version 4.0 is still provided as a configuration option. Using this configuration option enables J2EE 1.2 applications to run unaltered. If you migrate a Version 4.0 application to Version 6.0 or later, using the latest migration tools, the application automatically uses the Version 4.0 connection manager after migration. However, EJB 2.x modules in J2EE 1.3, J2EE 1.4 and Java Platform, Enterprise Edition (Java EE) applications cannot use the JDBC CM from WebSphere Application Server Version 4.0.

### **Connection pooling**

Using connection pools helps to both alleviate connection management overhead and decrease development tasks for data access.

Each time an application attempts to access a backend store (such as a database), it requires resources to create, maintain, and release a connection to that datastore. To mitigate the strain this process can place on overall application resources, the Application Server enables administrators to establish a pool of

backend connections that applications can share on an application server. Connection pooling spreads the connection overhead across several user requests, thereby conserving application resources for future requests.

The application server supports JDBC 4.0 APIs for connection pooling and connection reuse. The connection pool is used to direct JDBC calls within the application, as well as for enterprise beans using the database.

## Benefits of connection pooling

Connection pooling can improve the response time of any application that requires connections, especially Web-based applications. When a user makes a request over the web to a resource, the resource accesses a data source. Because users connect and disconnect frequently with applications on the Internet, the application requests for data access can surge to considerable volume. Consequently, the total datastore overhead quickly becomes high for Web-based applications, and performance deteriorates. When connection pooling capabilities are used, however, web applications can realize performance improvements of up to 20 times the normal results.

With connection pooling, most user requests do not incur the overhead of creating a new connection because the data source can locate and use an existing connection from the pool of connections. When the request is satisfied and the response is returned to the user, the resource returns the connection to the connection pool for reuse. The overhead of a disconnection is avoided. Each user request incurs a fraction of the cost for connecting or disconnecting. After the initial resources are used to produce the connections in the pool, additional overhead is insignificant because the existing connections are reused.

## When to use connection pooling

Use connection pooling in an application that meets any of the following criteria:

- It cannot tolerate the overhead of obtaining and releasing connections whenever a connection is used.
- It requires Java Transaction API (JTA) transactions within the Application Server.
- It needs to share connections among multiple users within the same transaction.
- It needs to take advantage of product features for managing local transactions within the application server.
- It does not manage the pooling of its own connections.
- It does not manage the specifics of creating a connection, such as the database name, user name, or password

## How connections are pooled together

When you configure a unique data source or connection factory, you must give it a unique Java Naming and Directory Interface (JNDI) name. This JNDI name, along with its configuration information, is used to create the connection pool. A separate connection pool exists for each configured data source or connection factory.

Furthermore, the application server creates a separate instance of the connection pool in each application server that uses the data source or connection factory. For example:

- If you run a three server cluster in which all of the servers use *myDataSource*, and *myDataSource* has a Maximum Connections setting of 10, then you can generate up to 30 connections (three servers times 10 connections).

Consider how this behavior potentially impacts the number of connections that your backend resource can support. See the topic, Connection pool settings, for more information.

Other considerations for determining the maximum connections setting:

- Each entity bean transaction requires an additional database connection, dedicated to handling the transaction.
- If clones are used, one data pool exists for each clone.
- **AIX** **HP-UX** **Solaris** On supported UNIX systems, a separate DB2 process is created for each connection; these processes quickly affect performance on systems with low memory and cause errors.

It is also important to note that when using connection sharing, it is only possible to share connections obtained from the same connection pool.

### **Connection and connection pool statistics:**

WebSphere Application Server supports use of PMI APIs to monitor the performance of data access applications.

Performance Monitoring Infrastructure (PMI) method calls that are supported in the two existing Connection Managers (JDBC and J2C) are supported in this version of WebSphere Application Server. The calls include:

- ManagedConnectionsCreated
- ManagedConnectionsAllocated
- ManagedConnectionFreed
- ManagedConnectionDestroyed
- BeginWaitForConnection
- EndWaitForConnection
- ConnectionFaults
- Average number of ManagedConnections in the pool
- Percentage of the time that the connection pool is using the maximum number of ManagedConnections
- Average number of threads waiting for a ManagedConnection
- Average percent of the pool that is in use
- Average time spent waiting on a request
- Number of ManagedConnections that are in use
- Number of Connection Handles
- FreePoolSize
- UseTime

Java Specification Request (JSR) 77 requires statistical data to be accessed through managed beans (Mbeans) to facilitate this. The Connection Manager passes the ObjectNames of the Mbeans created for this pool. In the case of Java Message Service (JMS) *null* is passed in. The interface used is:

```
PmiFactory.createJ2CPerf(
    String pmiName, // a unique Identifier for JCA /JDBC. This is the
                  // ConnectionFactory name.

    ObjectName providerName, // the ObjectName of the J2CResourceAdapter
                            // or JDBCProvider Mbean

    ObjectName factoryName // the ObjectName of the J2CConnectionFactory
                          // or DataSourceMbean.
)
```

The following Unified Modeling Language (UML) diagram shows how JSR 77 requires statistics to be reported:

JCAConnectionPoolStats and JDBCConnectionPoolStats objects do not have a direct implementing Mbean; the statistics are gathered through a call to PMI. A J2C resource adapter, and JDBC provider each contain a list of ConnectionFactory or DataSource ObjectNames, respectively. The ObjectNames are used by PMI to find the appropriate connection pool in the list of PMI modules.

The JCA 1.5 Specification allows an exception from the `matchManagedConnection()` method that indicates that the resource adapter requests that the connection not be pooled. In that case, statistics for that connection are provided separately from the statistics for the connection pool.

## Connection life cycle

A `ManagedConnection` object is always in one of three states: *DoesNotExist*, *InFreePool*, or *InUse*.

Before a connection is created, it must be in the *DoesNotExist* state. After a connection is created, it can be in either the *InUse* or the *InFreePool* state, depending on whether it is allocated to an application.

Between these three states are *transitions*. These transitions are controlled by *guarding conditions*. A guarding condition is one in which *true* indicates when you can take the transition into another legal state. For example, you can make the transition from the *InFreePool* state to *InUse* state only if:

- the application has called the data source or connection factory `getConnection()` method (the *getConnection* condition)
- a free connection is available in the pool with matching properties (the *freeConnectionAvailable* condition)
- and one of the two following conditions are true:
  - the `getConnection()` request is on behalf of a resource reference that is marked unsharable
  - the `getConnection()` request is on behalf of a resource reference that is marked shareable but no shareable connection in use has the same properties.

This transition description follows:

```
InFreePool > InUse:
getConnection AND
freeConnectionAvailable AND
NOT(shareableConnectionAvailable)
```

Here is a list of guarding conditions and descriptions.

Table 23. Guarding conditions. Here is a list of guarding conditions and descriptions.

Condition	Description
<code>ageTimeoutExpired</code>	Connection is older than its <code>ageTimeout</code> value.
<code>close</code>	Application calls <code>close</code> method on the <code>Connection</code> object.
<code>fatalErrorNotification</code>	A connection has just experienced a fatal error.
<code>freeConnectionAvailable</code>	A connection with matching properties is available in the free pool.
<code>getConnection</code>	Application calls <code>getConnection</code> method on a data source or connection factory object.
<code>markedStale</code>	Connection is marked as stale, typically in response to a fatal error notification.
<code>noOtherReferences</code>	There is only one connection handle to the managed connection, and the <code>Transaction Service</code> is not holding a reference to the managed connection.
<code>noTx</code>	No transaction is in force.
<code>poolSizeGTMin</code>	Connection pool size is greater than the minimum pool size (minimum number of connections)
<code>poolSizeLTMax</code>	Pool size is less than the maximum pool size (maximum number of connections)
<code>shareableConnectionAvailable</code>	The <code>getConnection()</code> request is for a shareable connection, and one with matching properties is in use and available to share.



Table 23. Guarding conditions (continued). Here is a list of guarding conditions and descriptions.

Condition	Description
TxEnds	The transaction has ended.
unshareableConnectionRequest	The getConnection() request is for an unshareable connection.
unusedTimeoutExpired	Connection is in the free pool and not in use past its unused timeout value.

## Getting connections

The first set of transitions covered are those in which the application requests a connection from either a data source or a connection factory. In some of these scenarios, a new connection to the database results. In others, the connection might be retrieved from the connection pool or shared with another request for a connection.

### DoesNotExist

Every connection begins its life cycle in the DoesNotExist state. When an application server starts, the connection pool does not exist. Therefore, there are no connections. The first connection is not created until an application requests its first connection. Additional connections are created as needed, according to the guarding condition.

```
getConnection AND
NOT(freeConnectionAvailable) AND
poolSizeLTMax AND
(NOT(shareableConnectionAvailable) OR
unshareableConnectionRequest)
```

This transition specifies that a connection object is not created unless the following conditions occur:

- The application calls the getConnection() method on the data source or connection factory
- No connections are available in the free pool (NOT(freeConnectionAvailable))
- The pool size is less than the maximum pool size (poolSizeLTMax)
- If the request is for a sharable connection and there is no sharable connection already in use with the same sharing properties (NOT(shareableConnectionAvailable)) OR the request is for an unsharable connection (unshareableConnectionRequest)

All connections begin in the DoesNotExist state and are only created when the application requests a connection. The pool grows from 0 to the maximum number of connections as applications request new connections. The pool is **not** created with the minimum number of connections when the server starts.

If the request is for a sharable connection and a connection with the same sharing properties is already in use by the application, the connection is shared by two or more requests for a connection. In this case, a new connection is not created. For users of the JDBC API these sharing properties are most often *userid/password* and *transaction context*; for users of the Resource Adapter Common Client Interface (CCI) they are typically *ConnectionSpec*, *Subject*, and *transaction context*.

### InFreePool

The transition from the InFreePool state to the InUse state is the most common transition when the application requests a connection from the pool.

```
InFreePool>InUse:
getConnection AND
freeConnectionAvailable AND
(unshareableConnectionRequest OR
NOT(shareableConnectionAvailable))
```

This transition states that a connection is placed in use from the free pool if:

- the application has issued a `getConnection()` call
- a connection is available for use in the connection pool (`freeConnectionAvailable`),
- and one of the following is true:
  - the request is for an unsharable connection (`unsharableConnectionRequest`)
  - no connection with the same sharing properties is already in use in the transaction. (`NOT(sharableConnectionAvailable)`).

Any connection request that a connection from the free pool can fulfill does not result in a new connection to the database. Therefore, if there is never more than one connection used at a time from the pool by any number of applications, the pool never grows beyond a size of one. This number can be less than the minimum number of connections specified for the pool. One way that a pool grows to the minimum number of connections is if the application has multiple concurrent requests for connections that must result in a newly created connection.

## InUse

The idea of connection sharing is seen in the transition on the InUse state.

```
InUse>InUse:  
getConnection AND  
ShareableConnectionAvailable
```

This transition indicates that if an application requests a shareable connection (`getConnection`) with the **same** sharing properties as a connection that is already in use (`ShareableConnectionAvailable`), the existing connection is shared.

The same user (*user name* and *password*, or *subject*, depending on authentication choice) can share connections but only within the same transaction and only when all of the sharing properties match. For JDBC connections, these properties include the *isolation level*, which is configurable on the resource-reference (IBM WebSphere extension) to data source default. For a resource adapter factory connection, these properties include those specified on the `ConnectionSpec` object. Because a transaction is normally associated with a single thread, you should **never** share connections across threads.

**Note:** It is possible to see the same connection on multiple threads at the same time, but this situation is an error state usually caused by an application programming error.

## Returning connections

All of the transitions discussed previously involve getting a connection for application use. With that goal, the transitions result in a connection closing, and either returning to the free pool or being destroyed. Applications should explicitly close connections (note: the connection that the user gets back is really a connection handle) by calling `close()` on the connection object. In most cases, this action results in the following transition:

```
InUse>InFreePool:  
(close AND  
noOtherReferences AND  
NoTx AND  
UnshareableConnection)  
OR  
(ShareableConnection AND  
TxEnds)
```

Conditions that cause the transition from the InUse state are:

- If the application or the container calls `close()` (producing the close condition) and there are no references (the `noOtherReferences` condition) either by the application (in the application sharing

condition) or by the transaction manager (in the NoTx condition, meaning that the transaction manager holds a reference when the connection is enlisted in a transaction), the connection object returns to the free pool.

- If the connection was enlisted in a transaction but the transaction manager ends the transaction (the txEnds condition), and the connection was a shareable connection (the ShareableConnection condition), the connection closes and returns to the pool.

When the application calls close() on a connection, it is returning the connection to the pool of free connections; it is **not** closing the connection to the data store. When the application calls close() on a currently shared connection, the connection is *not returned* to the free pool. Only after the application drops the last reference to the connection, and the transaction is over, is the connection returned to the pool. Applications using unsharable connections must take care to close connections in a timely manner. Failure to do so can starve out the connection pool, making it impossible for any application running on the server to get a connection.

When the application calls close() on a connection enlisted in a transaction, the connection is not returned to the free pool. Because the transaction manager must also hold a reference to the connection object, the connection cannot return to the free pool until the transaction ends. Once a connection is enlisted in a transaction, you cannot use it in any other transaction by any other application until after the transaction is complete.

There is a case where an application calling close() can result in the connection to the data store closing and bypassing the connection return to the pool. This situation happens if one of the connections in the pool is considered stale. A connection is considered stale if you can no longer use it to contact the data store. For example, a connection is marked stale if the data store server is shut down. When a connection is marked as stale, the entire pool is cleaned out by default because it is very likely that all of the connections are stale for the same reason (or you can set your configuration to clean just the failing connection). This cleansing includes marking all of the currently InUse connections as stale so they are destroyed upon closing. The following transition states the behavior on a call to close() when the connection is marked as stale:

```
InUse>DoesNotExist:  
close AND  
markedStale AND  
NoTx AND  
noOtherReferences
```

This transition states that if the application calls close() on the connection and the connection is marked as stale during the pool cleansing step (markedStale), the connection object closes to the data store and is not returned to the pool.

Finally, you can close connections to the data store and remove them from the pool.

This transition states that there are three cases in which a connection is removed from the free pool and destroyed.

1. If a fatal error notification is received from the resource adapter (or data source). A fatal error notification (FatalErrorNotification) is received from the resource adaptor when something happens to the connection to make it unusable. All connections currently in the free pool are destroyed.
2. If the connection is in the free pool for longer than the unused timeout period (UnusedTimeoutExpired) and the pool size is greater than the minimum number of connections (poolSizeGTMin), the connection is removed from the free pool and destroyed. This mechanism enables the pool to shrink back to its minimum size when the demand for connections decreases.
3. If an age timeout is configured and a given connection is older than the timeout. This mechanism provides a way to recycle connections based on age.

## Unshareable and shareable connections

The application server supports both unshareable and shareable connections. An unshareable connection is not shared with other components in the application. The component using this connection has full control of this connection.

Access to a resource marked as unshareable means that there is a one-to-one relationship between the connection handle a component is using and the physical connection with which the handle is associated. This access implies that every call to the `getConnection` method returns a connection handle solely for the requesting user. Typically, you must choose unshareable if you might do things to the connection that could result in unexpected behavior occurring in another application that is sharing the connection (for example, unexpectedly changing the isolation level).

Marking a resource as shareable allows for greater scalability. Instead of retrieving a new physical connection from the connection pool for every `getConnection()` invocation, the physical connection (that is, managed connection) is shared through multiple connection handles, as long as each `getConnection` request has the same connection properties. However, sharing a connection means that each user must not do anything to the connection that could change its behavior and disrupt a sharing partner (for example, changing the isolation level). The user also cannot code an application that assumes sharing to take place because it is up to the run time to decide whether or not to share a particular connection.

## Connection property requirements

To permit sharing of connections used within the same transaction, the following data source properties must be the same:

- Java Naming and Directory Interface (JNDI) name. While not actually a connection property, this requirement simply means that you can only share connections from the same data source in the same server.
- Resource authentication
- In relational databases:
  - Isolation level (corresponds to access intent policies applied to CMP beans)
  - Readonly
  - Catalog
  - TypeMap

For more information on sharing a connection with a CMP bean, see the topic [Sharing a connection with a CMP bean](#).

To permit sharing of connections within the same transaction, the following properties must be the same for the connection factories:

- JNDI name. While not actually a connection property, this requirement simply means that you can only share connections from the same connection factory in the same server.
- Resource authentication

In addition, the `ConnectionSpec` object that is used to get the connection must also be the same.

**Note:** Java Message Service (JMS) connections cannot be shared with non-JMS connections.

JMS connections for the WebSphere MQ JMS Provider cannot be shareable because they are non-transactional, and the Java™ EE Connector Architecture (JCA) specification only allows transactional resources to be shareable. If the `res-sharing-scope` is set to shareable in a JMS resource reference, the setting will be ignored and unshareable connections will be used. However, JMS sessions for MQ are transactional, and can be shareable. JMS sessions are shareable by default, and APAR PK59605 provides the ability to specify unshareable sessions.

JMS connections for the Default Messaging Provider are different. With the Default Messaging Provider, connections can be shareable. Sessions, on the other hand, are not managed by a connection pool, and therefore cannot be shareable or unshareable.

## Sharing a connection with a CMP bean

The application server allows you to share a physical connection among a CMP bean, a BMP bean, and a JDBC application to reduce the resource allocation or deadlock scenarios. There are several ways to ensure that all of these entity beans and the JDBC applications are sharing the same physical connection.

- **Sharing a connection between CMP beans or methods**

When all CMP bean methods use the same access intent, they all share the same physical connection. A different access intent policy triggers the allocation of a different physical connection. For example, a CMP bean has two methods; method 1 is associated with `wsPessimisticUpdate` intent, whereas method 2 has `wsOptimisticUpdate` access intent. Method 1 and method 2 cannot share the same physical connection within a transaction. In other words, an XA data source is required to run in a global transaction.

You can experience some deadlocks from a database if both methods try to access the same table. Therefore, sharing a connection is determined by the access intents that are defined in the CMP methods.

- **Sharing a connection between CMP and BMP beans**

Remember to first verify that the `getConnection` methods of both the BMP bean and the CMP bean set the same connection properties. To match the authentication type of the CMP bean resource, set the authentication type of the BMP bean resource to container-managed, which is designated in the deployment descriptor as `res-auth = Container`.

Additionally, use one of the following options to ensure connection-sharing between the bean types:

- Define the same access intent on both CMP and BMP bean methods. Because both use the same access intent, they share the same physical connection. The advantage to using this option is that the backend is transparent to a BMP bean. However, this option also makes the BMP non-portable because it uses the WebSphere extended API to handle the isolation level. For more information, refer to the code example in the topic, [Example: Accessing data using IBM extended APIs to share connections between container-managed and bean-managed persistence beans](#).
- Determine the isolation level that the access intent uses on a CMP bean method, then use the corresponding isolation level that is specified on the resource reference to look up a data source and a connection. This option is more of a manual process, and the isolation level might be different from database to database. For more information refer to the isolation level and access intent mapping table in the topic, [Access intent isolation levels and update locks](#), and the topic, [Isolation level and resource reference section](#).

- **Sharing a connection between CMP and a JDBC application that is used by a servlet or a session bean** Determine the isolation level that the access intent uses on a CMP bean method, then use the corresponding isolation level specified on the resource reference to look up a data source and a connection. For more information see the topic, [Access intent isolation levels](#), and the topic, [Isolation level and resource reference section](#).

## Factors that determine sharing

The listing here is not an exhaustive one. The product might or might not share connections under different circumstances.

- Only connections acquired with the same resource reference (resource-ref) that specifies the `res-sharing-scope` as `shareable` are candidates for sharing. The resource reference properties of `res-sharing-scope` and `res-auth` and the IBM extension `isolationLevel` help determine if it is possible to share a connection. IBM extension `isolationLevel` is stored in IBM deployment descriptor extension file; for example: `ibm-ejb-jar-ext.xmi`.

**Note:** For IBM extension and binding files, the .xmi or .xml file name extension is different depending on whether you are using a pre-Java EE 5 application or module or a Java EE 5 or later application or module. An IBM extension or binding file is named `ibm-*-ext.xmi` or `ibm-*-bnd.xmi` where \* is the type of extension or binding file such as `app`, `application`, `ejb-jar`, or `web`. The following conditions apply:

- For an application or module that uses a Java EE version prior to version 5, the file extension must be `.xmi`.
- For an application or module that uses Java EE 5 or later, the file extension must be `.xml`. If `.xmi` files are included with the application or module, the product ignores the `.xmi` files.

However, a Java EE 5 or later module can exist within an application that includes pre-Java EE 5 files and uses the `.xmi` file name extension.

The `ibm-webservices-ext.xmi`, `ibm-webservices-bnd.xmi`, `ibm-webservicesclient-bnd.xmi`, `ibm-webservicesclient-ext.xmi`, and `ibm-portlet-ext.xmi` files continue to use the `.xmi` file extensions.

- You can only share connections that are requested with the same properties.
- Connection sharing only occurs between different component instances if they are within a transaction (container- or user-initiated transaction).
- Connection sharing only occurs within a sharing boundary. Current® sharing boundaries include *Transactions* and *LocalTransactionContainment* (LTC) boundaries.
- Connection sharing rules within an LTC Scope:

- For shareable connections, only *Connection Reuse* is allowed within a single component instance. Connection reuse occurs when the following actions are taken with a connection: `get`, `use`, `commit/rollback`, `close`; `get`, `use`, `commit/rollback`, `close`. Note that if you use the LTC resolution-control of *ContainerAtBoundary* then no `start/commit` is needed because that action is handled by the container.

The connection returned on the second `get` is the same connection as that returned on the first `get` (if the same properties are used). Because the connection use is serial, only one connection handle to the underlying physical connection is used at a time, so true connection sharing does not take place. The term *"reuse"* is more accurate.

**More importantly**, the *LocalTransactionContainment* boundary enclosing both `get` actions is not complete; no `cleanUp()` method is invoked on the `ManagedConnection` object. Therefore the second `get` action inherits all of the connection properties set during the first `getConnection()` call.

- Shareable connections between transactions (either container-managed transactions (CMT), bean-managed transactions (BMT), or LTC transactions) follow these caching rules:
  - In general, setting properties on shareable connections is not allowed because a user of one connection handle might not anticipate a change made by another connection handle. This limitation is part of the Java Platform, Enterprise Edition (Java EE) standard.
  - General users of resource adapters can set the connection properties on the connection factory `getConnection()` call by passing them in a `ConnectionSpec`.

However, the properties set on the connection during one transaction are not guaranteed to be the same when used in the next transaction. Because it is not valid to share connections outside of a sharing scope, connection handles are moved off of the physical connection with which they are currently associated when a transaction ends. That physical connection is returned to the free connection pool. Connections are cleaned before going in the free pool. The next time the handle is used, it is automatically associated with an appropriate connection. The appropriateness is based on the security login information, connection properties, and (for the JDBC API) the isolation level specified in the extended resource reference, passed in on the original request that returned the current handle. Any properties set on the connection after it was retrieved are lost.

- For JDBC users, the application server provides an extension to enable passing the connection properties through the `ConnectionSpec`.

Use caution when setting properties and sharing connections in a local transaction scope. Ensure that other components with which the connection is shared are expecting the behavior resulting from your settings.

- You cannot set the isolation level on a shareable connection for the JDBC API using a relational resource adapter in a global transaction. The product provides an extension to the resource reference to enable you to specify the isolation level. If your application requires the use of multiple isolation levels, create multiple resource references and map them to the same data source or connection factory.

## Maximal connection sharing

To maximize connection sharing opportunities for an application, ensure that each component has the local transaction containment (LTC) Resolver attribute set to **ContainerAtBoundary**. This setting specifies that the component container, rather than the application code, resolves all resource manager local transactions (RMLTs) within the LTC scope. The container begins an RMLT when a connection is first used within the LTC scope, and completes it automatically at the end of the LTC scope.

See the topic, *Configuring transactional deployment attributes*, for instructions on setting the transaction resolution control and other attributes.

## Connection sharing violations

There is a new exception, the sharing violation exception, that the resource adapter can issue whenever an operation violates sharing requirements. Possible violations include changing connection attributes, security settings, or isolation levels, among others. When such a mutable operation is performed against a managed connection, the sharing violation exception can occur when both of the following conditions are true:

- The number of connection handles associated with the managed connection is more than one.
- The managed connection is associated with a transaction, either local or XA.

Both the component and the J2C run time might need to detect this sharing violation exception, depending on when and how the managed connection becomes unshareable. If the managed connection becomes unshareable because of an operation through the connection handle (for example, you change the isolation level), then the component needs to process the exception. If the managed connection becomes unshareable without being recognized by the application server (due to some component interaction with the connection handle), then the resource adapter can reject the creation of a connection handle by issuing the sharing violation exception.

## Connection handles

A connection handle is a representation of a physical connection. To use a backend resource, such as a relational database in WebSphere Application Server, you must get a connection to that resource. When you call the *getConnection()* method, you get a *connection handle* returned. The handle is not the physical connection. The physical connection is managed by the connection manager.

There are two significant configurations that affect how connection handles are used and how they behave. The first is the *res-sharing-scope*, which is defined by the resource-reference used to look up the DataSource or Connection Factory. This property tells the connection manager whether or not you can share this connection.

The second factor that affects connection handle behavior is the *usage pattern*. There are essentially two usage patterns. The first is called the *get/use/close* pattern. It is used within a single method and without calling another method that might get a connection from the same data source or connection factory. An application using this pattern does the following:

1. gets a connection
2. does its work
3. commits (if appropriate)
4. closes the connection.

The second usage pattern is called the *cached handle* pattern. This is where an application:

1. gets a connection
2. begins a global transaction
3. does work on the connection
4. commits a global transaction
5. does work on the connection again

A cached handle is a connection handle that is held across transaction and method boundaries by an application. Keep in mind the following considerations for using cached handles:

- Cached handle support requires some additional connection handle management across these boundaries, which can impact performance. For example, in a JDBC application, *Statements*, *PreparedStatement*s, and *ResultSet*s are closed implicitly after a transaction ends, but the connection remains valid.
- You are encouraged **not** to cache the connection across the transaction boundary for shareable connections; the *get/use/close* pattern is preferred.
- Caching of connection handles across servlet methods is limited to JDBC and Java Message Service (JMS) resources. Other non-relational resources, such as Customer Information Control System (CICS) or IMS objects, currently cannot have their connection handles cached in a servlet; you need to get, use, and close the connection handle within each method invocation. (This limitation only applies to single-threaded servlets because multithreaded servlets do not allow caching of connection handles.)
- You **cannot** pass a cached connection handle from one instance of a data access client to another client instance. Transferring between client instances creates the problematic contingency of one instance using a connection handle that is referenced by another. This relationship can only cause problems because connection handle management code processes tasks for each client instance *separately*. Hence, connection handle transfers result in run-time scenarios that trigger exceptions. For example:
  1. The application code of a client instance that receives a transferred handle closes the handle.
  2. If the client instance that retains the original reference to the handle tries to reclaim it, the application server issues an exception.

The following code segment shows the cached connection pattern.

```
Connection conn = ds.getConnection();
ut.begin();
conn.prepareStatement("....."); --> Connection runs in global transaction mode
...
ut.commit();
conn.prepareStatement("....."); ---> Connection still valid but runs in autoCommit(True);
...
```

## Unshareable connections

Some characteristics of connection handles retrieved with a *res-sharing-scope* of **unshareable** are described in the following sections.

- **The possible benefits of unshared connections**
  - Your application always maintains a direct link with a physical connection (managed connection).
  - The connection always has a one-to-one relationship between the connection handle and the managed connection.
  - In most cases, the connection does not close until the application closes it.
  - You can use a cached unshared connection handle across multiple transactions.
  - The connection can have a performance advantage in some cached handle situations. Because unshared connections do not have the overhead of moving connection handles off managed connections at the end of the transaction, there is less overhead in using a cached unshared connection.
- **The possible drawbacks of unshared connections**



- Inefficient use of your connection resources. For example, if within a single transaction you get more than one connection (with the same properties) using the same data source or connection factory (same resource-ref) then you use multiple physical connections when you use unshareable connections.
- Wasted connections. It is important not to keep the connection handle open (that is, your application does not call the *close()* method) any longer than it is needed. As long as an unshareable connection is open, the physical connection is unavailable to any other component, even if your application is not currently using that connection. Unlike a shareable connection, an unshareable connection is not closed at the end of a transaction or servlet call.
- Deadlock considerations. Depending on how your components interact with the database within a transaction, using unshared connections can lead to deadlock in the database. For example, within a transaction, component A gets a connection to data source X and updates table 1, and then calls component B. Component B gets another connection to data source X, and updates/reads table 1 (or even worse the same row as component A). In some circumstances, depending on the particular database, its locking scheme, and the transaction isolation level, a deadlock can occur.

In the same scenario, but with a *shared* connection, deadlock does not occur because all the work is done on the same connection. It is worth noting that when writing code that uses shared connections, you use a strategy that calls for multiple work items to be performed on the same connection, possibly within the same transaction. If you decide to use an unshareable connection, you must set the *maximum connections* property on the connection factory or data source correctly. An exception might occur for waiting connection requests if you exceed the maximum connections value, and unshareable connections are not being closed before the connection wait time-out is exceeded.

## Shareable connections

Some characteristics of connection handles that are retrieved with a *res-sharing-scope* of **shareable** are described in the following sections.

### • The possible benefits of shared connections

- Within an instance of connection sharing, application components can share a managed connection with one or more connection handles, depending on how the handle is retrieved and which connection properties are used.
- They can more efficiently use resources. Shareable connections are not valid outside of their sharing boundary. For this reason, at the end of a sharing boundary (such as a transaction) the connection handle is no longer associated with the managed connection it was using within the sharing boundary (this applies only when using the cached handle pattern). The managed connection is returned to the free connection pool for reuse. Connection resources are not held longer than the end of the current sharing scope.

If the cached handle pattern is used, then the next time the handle is used within a new sharing scope, the application server run time ensures that the handle is reassociated with a managed connection that is appropriate for the current sharing scope, and has the same properties with which the handle was originally retrieved. Remember that it is not appropriate to change properties on a shareable connection. If properties are changed, other components that share the same connection might experience unexpected behavior. Furthermore, when using cached handles, the value of the changed property might not be remembered across sharing scopes.

### • The possible drawbacks of shared connections

- Sharing within a single component (such as an enterprise bean and its related Java objects) is not always supported. The current specification allows resource adapters the choice of only allowing one active connection handle at a time.

If a resource adapter chooses to implement this option then the following scenario results in an *invalid handle exception*: A component using shareable connections gets a connection and uses it. Without closing the connection, the component calls a utility class (Java object) that gets a connection handle to the same managed connection and uses it. Because the resource adapter only

supports one active handle, the first connection handle is no longer valid. If the utility object returns without closing its handle, the first handle is not valid and triggers an exception at any attempt to use it.

**Note:** This exception occurs only when calling a utility object (a Java object).

Not all resource adapters have this limitation; it occurs only in certain implementations. The WebSphere Relational Resource Adapter (RRA) does not have this limitation. Any data source used through the RRA does not have this limitation. If you encounter a resource adapter with this limitation you can work around it by serializing your access to the managed connection. If you always close your connection handle before getting another (or close your handle before calling code that gets another handle), and before returning from a method, you can allow two pieces of code to share the same managed connection. You simply cannot use the connection for both events at the same time.

- Trying to change the *isolation level* on a shareable JDBC-based connection in a global transaction (that is supported by the RRA) causes an exception. The correct way to get connections with different transaction isolation levels is by configuring the IBM extended resource-reference.
- Closing connection handles for shareable connections by an application is NOT supported and causes errors. However, you can avoid this limitation by using the Relational Resource Adapter.

## Lazy connection association optimization

The Java Platform, Enterprise Edition (Java EE) Connector (J2C) connection manager implemented *smart handle* support. This technology enables allocation of a connection handle to an application while the managed connection associated with that connection handle is used by other applications (assuming that the connection is not being used by the original application). This concept is part of the Java EE Connector Architecture (JCA) 1.5 specification. (You can find it in the JCA 1.5 specification document in the section entitled "Lazy Connection Association Optimization.") Smart handle support introduces use a method on the ConnectionManager object, the *LazyAssociatableConnectionManager()* method, and a new marker interface, the *DissociatableManagedConnection* class. You must configure the provider of the resource adapter to make this functionality available in your environment. (In the case of the RRA, WebSphere Application Server itself is the provider.) The following code snippet shows how to include smart handle support:

```
package javax.resource.spi;
import javax.resource.ResourceException;

interface LazyAssociatableConnectionManager { // application server
    void associateConnection(
        Object connection, ManagedConnectionFactory mcf,
        ConnectionRequestInfo info) throws ResourceException;
}

interface DissociatableManagedConnection { // resource adapter
    void dissociateConnections() throws ResourceException;
}
```

This DissociatableManagedConnection interface introduces another state to the Connection object: *inactive*. A Connection can now be active, closed, and inactive. The connection object enters the inactive state when a corresponding ManagedConnection object is cleaned up. The connection stays inactive until an application component attempts to re-use it. Then the resource adapter calls back to the connection manager to re-associate the connection with an active ManagedConnection object.

## Transaction type and connection behavior

All connection usage occurs within the scope of either a global transaction or a local transaction containment (LTC) boundary. Each transaction type places different requirements on connections and impacts connection settings differently.

## Connection sharing and reuse

You can share connections within a global transaction scope (assuming other sharing rules are met). You can also share connections within a shareable LTC. You can *serially reuse* connections within an LTC scope. A *get/use/close* connection pattern followed by another instance of *get/use/close* (to the same data source or connection factory) enables you to reuse the same connection. See the topic, Unshareable and shareable connections for more details.

## JDBC AutoCommit behavior

All JDBC connections, when first obtained through a `getConnection()` call, contain the setting `AutoCommit = TRUE` by default. However, different transaction scope and settings can result in changing, or simply overriding, the `AutoCommit` value.

- If you operate within an LTC and have its resolution-control set to *Application*, `AutoCommit` remains *TRUE* unless changed by the application.
- If you operate within an LTC and have its resolution-control set to *ContainerAtBoundary*, the application must **not** touch the `AutoCommit` setting. The WebSphere Application Server run time sets the `AutoCommit` value to *FALSE* before work begins, then commits or rolls back the work, as appropriate, at the end of the LTC scope.
- If you use a connection within a global transaction, the database ignores the `AutoCommit` setting so that the transaction service that controls the commit and rollback processing can manage the transaction. This action takes place upon first use of the connection to do work, regardless of the user changing the `AutoCommit` setting. After the transaction completes, the `AutoCommit` value returns to the value it had before the first use of the connection. So even if the `AutoCommit` value is set to *TRUE* before the connection is used in a global transaction, you need not set the value to *FALSE* because the value is ignored by the database. In this example, after the transaction completes, the `AutoCommit` value of the connection returns to *TRUE*.
- If you use multiple distinct connections within a global transaction, all work is guaranteed to commit or roll back together. This is not the case for a local transaction containment (LTC scope). Within an LTC, work done on one connection commits or rolls back independently from work done on any other connection within the LTC.

## One-phase commit and two-phase commit connections

The type and number of resource managers, such as a database server, that must be accessed by an application often determines the application transaction requirements. Consequently each type of resource manager places different requirements on connection behavior.

- A two-phase commit resource manager can support two-phase coordination of a transaction. That support is necessary for transactions that involve other resource managers; these transactions are global transactions. See the topic, Transaction support in WebSphere Application Server for further explanation.
- A one-phase commit resource manager supports only one-phase transactions, or LTC transactions, in which that resource is the sole participating datastore. See the topic, Transaction support in WebSphere Application Server for further explanation.

One-phase commit resources are such that work being done on a one phase connection cannot mix with other connections and ensure that the work done on all of the connections completes or fails atomically. The product does not allow more than one one-phase commit connection in a global transaction. Furthermore, it does not allow a one-phase commit connection in a global transaction with one or more two-phase commit connections. You can coordinate only multiple two-phase commit connections within a global transaction.

WebSphere Application Server provides *last participant support*, which enables a single one-phase commit resource to participate in a global transaction with one or more two-phase commit resources.

Note that any time that you do multiple `getConnection()` calls using a resource reference that specifies `res-sharing-scope=Unshareable`, you get multiple physical connections. This situation also occurs when `res-sharing-scope=Shareable`, but the sharing rules are broken. In either case, if you run in a global transaction, ensure the resources involved are enabled for two-phase commit (also sometimes referred to as *JTA Enabled*). Failure to do so results in an XA exception that logs the following message:

```
WTRN0063E: An illegal attempt to enlist a one phase capable resource with existing two phase capable resources has occurred.
```

## Application scoped resources

Use this page to view brief descriptions of the resources that are bundled with your application. You can view individual resource settings by clicking the resource name.

To view this administrative console page, click **Applications > Application Types > WebSphere enterprise applications > *application\_name* > Application scoped resources**.

The **Application scoped resources** link is not present if there are no application scoped resources.

Each table row corresponds to a resource that is bundled with your application. Click a resource name or the corresponding provider name to view an administrative console page where you can edit the object configuration settings.

### **Name:**

Specifies the administrative name that was assigned to this resource.

Click this name to view a page where you can edit the configuration settings.

### **JNDI name:**

Specifies the Java Naming and Directory Interface (JNDI) name of the resource.

<b>Data type</b>	String
------------------	--------

### **Resource type:**

Specifies the type of resource, such as a data source or a J2C connection factory.

### **Provider:**

Specifies the resource provider that supplies the class information for this resource object.

Click the provider name to view a page where you can edit the configuration settings.

### **Description:**

Specifies a text description of the resource.

## Data access: Resources for learning

Use the following links to find relevant supplemental information about data access. The information resides on IBM and non-IBM Internet sites, whose sponsors control the technical accuracy of the information.

These links are provided for convenience. Often, the information is not specific to this product, but it can be useful for understanding concepts or functions used by the application server. When possible, links are

provided to technical papers and Redbooks that supplement the broad coverage of the release documentation with in-depth examinations of particular product areas.

View links to additional information:

- “Technologies for data access”
- “Databases”
- “Tools”

## Technologies for data access

- JDBC 3.0 API Documentation
- **Java Persistence API:**
  - Java Persistence API FAQ
  - Introduction to Spring 2 and JPA
- J2EE Connector Architecture Version 1.5 specification
- Enterprise JavaBeans Technology (Source for download of the Enterprise Javabeans 3.0 specification)
- Java 2 Platform, Enterprise Edition (J2EE)
- **Container-managed relationships:** Enterprise JavaBeans 2.0 Container-Managed Persistence Example. Although this article addresses the EJB 2.0 specification, you might find parts of it pertinent to your environment.
- **Resource adapters:** The J2EE Connector Architecture Resource Adapter Developer Technical Articles & Tips -- Articles: Database Access (Sun Developer Network)
- Java Management Extensions (JMX)
- **Miscellaneous articles from the Sun Developer Network and IBM developerWorks websites:**
  - Sharing connections in WebSphere Application Server V5 This article is still pertinent to WebSphere Application Server Version 6.0 and later. However, be aware that the container-managed authentication type is deprecated.
  - Database authentication in WebSphere Application Server V5 This article is still pertinent to WebSphere Application Server Version 6.0 and later. However, be aware that the container-managed authentication type is now deprecated.
  - Understanding WebSphere Application Server EJB access intents
- Supported hardware, software, and APIs

## Databases

- **Cloudscape:**
  - IBM Cloudscape product information
  - IBM Cloudscape information center
- DB2 database software
- Informix®

## Tools

- Rational Application Developer for WebSphere Software

## Service Data Objects: Resources for learning

Use the following links to find relevant supplemental information about the service data object and various other functions that can be used with it. The information resides on IBM and non-IBM Internet sites, whose sponsors control the technical accuracy of the information.

These links are provided for convenience. Often, the information is not specific to this product but is useful all or in part for understanding the product. When possible, links are provided to technical papers and Redbooks that supplement the broad coverage of the release documentation with in-depth examinations of particular product areas.

## Service Data Objects

For an introduction to Service Data Objects, refer to:

- [Introduction to Service Data Objects](#)

For an overview of the Service Data Objects specification, refer to:

- [Service Data Objects](#)

A good place to start to learn about the Eclipse Modeling Framework is:

- [EMF Eclipse Modeling Framework](#)

Information about XSD to SDO/EMF mapping for Version 6 can be found at:

- [Authoring XML Schemas for use with EMF](#)

## Web application presentation layer technologies

For a brief overview of JavaServer Faces, refer to:

- [Java Sun J2EE 1.4 tutorial](#)

Good places to start to learn about JavaServer Pages Standard Tag Library are:

- [JavaServer Pages Standard Tag Library](#)
- [A JSTL primer, Part 1: The expression language](#)

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## Java Persistence API (JPA) architecture

Data persistence is the ability to maintain data between application executions. Persistence is vital to enterprise applications because of the required access to relational databases. Applications that are developed for this environment must manage persistence themselves or use third-party solutions to handle database updates and retrievals with persistence. The Java Persistence API (JPA) provides a mechanism for managing persistence and object-relational mapping and functions for the EJB 3.0 and EJB 3.1 specifications.

The JPA specification defines the object-relational mapping internally, rather than relying on vendor-specific mapping implementations. JPA is based on the Java programming model that applies to Java EE environments, but JPA can function within a Java SE environment for testing application functions.

JPA represents a simplification of the persistence programming model. The JPA specification explicitly defines the object-relational mapping, rather than relying on vendor-specific mapping implementations. JPA standardizes the important task of object-relational mapping by using annotations or XML to map objects into one or more tables of a database. To further simplify the persistence programming model:

- The EntityManager API can persist, update, retrieve, or remove objects from a database
- The EntityManager API and object-relational mapping metadata handle most of the database operations without requiring you to write JDBC or SQL code to maintain persistence
- JPA provides a query language, extending the independent EJB querying language (also known as JPQL), that you can use to retrieve objects without writing SQL queries specific to the database you are working with.

JPA is designed to operate both inside and outside of a Java Enterprise Edition (Java EE) container. When you run JPA inside a container, the applications can use the container to manage the persistence context. If there is no container to manage JPA, the application must handle the persistence context management

itself. Applications that are designed for container-managed persistence do not require as much code implementation to handle persistence, but these applications cannot be used outside of a container. Applications that manage their own persistence can function in a container environment or a Java SE environment.

## Elements of a JPA Persistence Provider

Java EE containers that support the EJB 3.x programming model must support a JPA implementation, also called a persistence provider. A JPA persistence provider uses the following elements to allow for easier persistence management in an EJB 3.x environment:

- **Persistence unit:** Consists of the declarative metadata that describes the relationship of entity class objects to a relational database. The `EntityManagerFactory` uses this data to create a persistence context that can be accessed through the `EntityManager`.
- **EntityManagerFactory:** Used to create an `EntityManager` for database interactions. The application server containers typically supply this function, but the `EntityManagerFactory` is required if you are using JPA application-managed persistence. An instance of an `EntityManagerFactory` represents a Persistence Context.
- **Persistence context:** Defines the set of active instances that the application is manipulating currently. The persistence context can be created manually or through injection.
- **EntityManager:** The resource manager that maintains the active collection of entity objects that are being used by the application. The `EntityManager` handles the database interaction and metadata for object-relational mappings. An instance of an `EntityManager` represents a Persistence Context. An application in a container can obtain the `EntityManager` through injection into the application or by looking it up in the Java component name-space. If the application manages its persistence, the `EntityManager` is obtained from the `EntityManagerFactory`.

**Attention:** Injection of the `EntityManager` is only supported for the following artifacts:

- EJB 3.x session beans
  - EJB 3.x message-driven beans
  - Servlets, Servlet Filters, and Listeners
  - JSP tag handlers which implement interfaces `javax.servlet.jsp.tagext.Tag` and `javax.servlet.jsp.tagext.SimpleTag`
  - JavaServer Faces (JSF) managed beans
  - the main class of the application client.
- **Entity objects:** a simple Java class that represents a row in a database table in its simplest form. Entities objects can be concrete classes or abstract classes. They maintain states by using properties or fields.

For more information about persistence, see the section on Java Persistence API Architecture and the section on Persistence in the Apache OpenJPA User Guide. For more information and examples on specific elements of persistence, see the sections on the `EntityManagerFactory`, and the `EntityManager` in the Apache OpenJPA User Guide.

## JPA for WebSphere Application Server

Java Persistence API (JPA) 2.0 for WebSphere Application Server is built on the Apache OpenJPA 2.x open source project.

### Apache OpenJPA and JPA for WebSphere Application Server

Apache OpenJPA is a compliant implementation of the Oracle JPA specification. Using OpenJPA as a base implementation, WebSphere Application Server employs extensions to provide additional features and utilities for WebSphere Application Server customers. Because JPA for WebSphere Application Server is built from OpenJPA, all OpenJPA function, extensions, and configurations are unaffected by the

WebSphere Application Server extensions. You do not need to make changes to OpenJPA applications to use these applications in WebSphere Application Server.

JPA for WebSphere Application Server provides more than compatibility with OpenJPA. JPA for WebSphere Application Server contains a set of tools for application development and deployment. Other features of JPA for WebSphere Application Server include support for DB2 Optim™ pureQuery Runtime, DB2 optimizations, JPA Access Intent, enhanced tracing capabilities, command scripts, and translated message files. The provider of JPA for WebSphere Application Server is `com.ibm.websphere.persistence.PersistenceProviderImpl`.

Apache OpenJPA supports the use of properties to configure the persistent environment. JPA for WebSphere Application Server properties can be specified with either the `openjpa` or `wsjpa` prefix. You can mix the `openjpa` and `wsjpa` prefixes as you wish for a common set of properties. Exceptions to the rule are `wsjpa` specific configuration properties, which use the `wsjpa` prefix. When a JPA for WebSphere Application Server-specific property is used with the `openjpa` prefix, a warning message is logged indicating that the offending property is treated as a `wsjpa` property. The reverse does not hold true for the `openjpa` prefix. In that case, the offending property is ignored.

## wsjpaversion command

Use this command-line tool to find out information about the installed version of Java Persistence API (JPA) for WebSphere Application Server.

Run the JPA commands (.bat on Windows or .sh on UNIX) from the `<profile_root>/bin` directory, to make sure that you have the latest version of the commands for your release.

## Syntax

The command syntax is as follows:

**AIX**   **HP-UX**   **Linux**   **Solaris**  
wsjpaversion.sh

**Windows**  
wsjpaversion.bat

## Usage

The version tool can be useful when debugging problems with JPA in applications and providing customer support teams with the information about the current JPA environment.

The command is run from the `<profile_root>` directory.

## Examples

Find the version information of your JPA installation example output:

```
[root@atlanta bin]# ./wsjpaversion.sh
WSJPA 2.1.0-SNAPSHOT
version id: WSJPA-2.1.0-SNAPSHOT-r1119:2233
WebSphere JPA svn revision: 1119:2233

OpenJPA 2.1.0-SNAPSHOT
version id: openjpa-2.1.0-SNAPSHOT-r422266:1069208
Apache svn revision: 422266:1069208

os.name: Linux
os.version: 2.6.18-238.1.1.el5
os.arch: x86
```



```

java.version: 1.6.0
java.vendor: IBM Corporation

java.class.path:
/root/tc/WASX/as/dev/JavaEE/j2ee.jar
/root/tc/WASX/as/plugins/com.ibm.ws.jpa.jar
/root/tc/WASX/as/plugins/com.ibm.ws.prereq.common-collections.jar

/root/tc/WASX/as/profiles/AppSrv01/bin
[root@atlanta bin]#

```

On Windows operating systems, the output looks like the following:

```

D:\Users\user\WASV8\IBM\WebSphere\AppServer\bin>wsjpaversion.bat
WSJPA 2.1.0-SNAPSHOT
version id: WSJPA-2.1.0-SNAPSHOT-r1119:2216
WebSphere JPA svn revision: 1119:2216
OpenJPA 2.1.0-SNAPSHOT
version id: openjpa-2.1.0-SNAPSHOT-r422266:1063829
Apache svn revision: 422266:1063829
os.name: Windows 7
os.version: 6.1
os.arch: amd64
java.version: 1.6.0
java.vendor: IBM Corporation
java.class.path:
    D:\Users\user\WASV8\IBM\WebSphere\AppServer\dev\JavaEE\j2ee.jar
    D:\Users\user\WASV8\IBM\WebSphere\AppServer\plugins\com.ibm.ws.jpa.jar
    D:\Users\user\WASV8\IBM\WebSphere\AppServer\plugins\com.ibm.ws.prereq.
common-collections.jar
    .
    C:\Program Files (x86)\IBM\Java60\jre\lib\ext\QTJava.zip
user.dir: D:\Users\user\WASV8\IBM\WebSphere\AppServer\bin
D:\Users\user\WASV8\IBM\WebSphere\AppServer\bin>

```

## Examples

Find the version information of your JPA installation example output:

```

C:\was70-GM>profiles\al002.07\bin\wsjpaversion.bat
WSJPA 2.0.0-SNAPSHOT
version id: WSJPA-2.0.0-SNAPSHOT-r1118:1843
revision: 1118:1843

OpenJPA 2.0.0-SNAPSHOT
version id: openjpa-2.0.0-SNAPSHOT-r422266:897308
Apache svn revision: 422266:897308

os.name: Windows XP
os.version: 5.1 build 2600 Service Pack 2
os.arch: x86

java.version: 1.6.0
java.vendor: IBM Corporation

java.class.path:
    C:\was70-GM\feature_packs\jpa\dev\JavaEE\j2ee.jar
    C:\was70-GM\feature_packs\jpa\plugins\com.ibm.ws.jpa.jar
    C:\was70-GM\plugins\com.ibm.ws.prereq.common-collections.jar

user.dir: C:\was70-GM\plugins\com.ibm.ws.jpa.jar

```

## wsjpa properties

The extension properties of Java Persistence API (JPA) for WebSphere Application Server can be specified with the openjpa or wsjpa prefix. This topic features the wsjpa properties.

### **wsjpa.AccessIntent**

Use this property to define a TaskName that in the persistence.xml file using the wsjpa.AccessIntent property name in a persistence unit. The property value is a list of TaskNames, entity types and access intent definitions.

For more information and examples on how the wsjpa.AccessIntent property is used, see the topic Specifying TaskName in a JPA persistence unit.

### **wsjpa.jdbc.Schema**

Specifies the schema name in a DB2 package collection when using multiple DB2 package collections.

For more information about using the wsjpa.jdbc.Schema property see the topic, Configuring pureQuery to use multiple DB2 package collections.

### **wsjpa.jdbc.CollectionId**

Specifies the collection Id name in a DB2 package collection when using multiple DB2 package collections.

For more information about using the wsjpa.jdbc.CollectionId property see the topics, Configuring pureQuery to use multiple DB2 package collections and Configuring data source JDBC providers to use pureQuery in a Java SE environment.

---

## **Transaction support in WebSphere Application Server**

Support for transactions is provided by the transaction service within WebSphere Application Server. The way that applications use transactions depends on the type of application component.

A transaction is unit of activity, within which multiple updates to resources can be made atomic (as an indivisible unit of work) such that all or none of the updates are made permanent. For example, during the processing of an SQL COMMIT statement, the database manager atomically commits multiple SQL statements to a relational database. In this case, the transaction is contained entirely within the database manager and can be thought of as a *resource manager local transaction (RMLT)*. In some contexts, a transaction is referred to as a *logical unit of work (LUW)*. If a transaction involves multiple resource managers, for example multiple database managers, an external transaction manager is required to coordinate the individual resource managers. A transaction that spans multiple resource managers is referred to as a *global transaction*. WebSphere Application Server is a transaction manager that can coordinate global transactions, can be a participant in a received global transaction, and can also provide an environment in which resource manager local transactions can run.

The way that applications use transactions depends on the type of application component, as follows:

- A session bean can use either container-managed transactions (where the bean delegates management of transactions to the container) or bean-managed transactions (component-managed transactions where the bean manages transactions itself).
- Entity beans use container-managed transactions.
- Web components (servlets) and application client components use component-managed transactions.

WebSphere Application Server is a transaction manager that supports the coordination of resource managers through their XAResource interface, and participates in distributed global transactions with transaction managers that support the CORBA Object Transaction Service (OTS) protocol or Web Service Atomic Transaction (WS-AtomicTransaction) protocol. WebSphere Application Server also participates in transactions imported through Java EE Connector 1.5 resource adapters. You can also configure WebSphere applications to interact with databases, JMS queues, and JCA connectors through their *local transaction* support, when you do not require distributed transaction coordination.

Resource managers that offer transaction support can be categorized into those that support two-phase coordination (by offering an XAResource interface) and those that support only one-phase coordination (for

example through a LocalTransaction interface). The WebSphere Application Server transaction support provides coordination, within a transaction, for any number of two-phase capable resource managers. It also enables a single one-phase capable resource manager to be used within a transaction in the absence of any other resource managers, although a WebSphere transaction is not necessary in this case.

Under normal circumstances, you cannot mix one-phase commit capable resources and two-phase commit capable resources in the same global transaction, because one-phase commit resources cannot support the prepare phase of two-phase commit. There are some special circumstances where it is possible to include mixed-capability resources in the same global transaction:

- In scenarios where there is only a single one-phase commit resource provider that participates in the transaction and where all the two-phase commit resource-providers that participate in the transaction are used in a read-only fashion. In this case, the two-phase commit resources all vote read-only during the prepare phase of two-phase commit. Because the one-phase commit resource provider is the only provider to complete any updates, the one-phase commit resource does not have to be prepared.
- In scenarios where there is only a single one-phase commit resource provider that participates in the transaction with one or more two-phase commit resource providers and where *last participant support* is enabled. Last participant support enables the use of a single one-phase commit capable resource with any number of two-phase commit capable resources in the same global transaction. For more information about last participant support, see Using one-phase and two-phase commit resources in the same transaction.

The ActivitySession service provides an alternative unit-of-work (UOW) scope to that provided by global transaction contexts. It is a distributed context that can be used to coordinate multiple one-phase resource managers. The WebSphere EJB container and deployment tooling support ActivitySessions as an extension to the Java EE programming model. Enterprise beans can be deployed with lifecycles that are influenced by ActivitySession context, as an alternative to transaction context. An application can then interact with a resource manager for the period of a client-scoped ActivitySession, rather than only the duration of an EJB method, and have the resource manager local transaction outcome directed by the ActivitySession. For more information about ActivitySessions, see Using the ActivitySession service.

## Resource manager local transaction (RMLT)

A resource manager local transaction (RMLT) is a resource manager view of a local transaction; that is, it represents a unit of recovery on a single connection that is managed by the resource manager.

Resource managers include:

- Enterprise Information Systems that are accessed through a resource adapter, as described in the Java EE Connector Architecture.
- Relational databases that are accessed through a JDBC datasource.
- JMS queue and topic destinations.

Resource managers offer specific interfaces to enable control of their RMLTs. Resource adapter components of the Java EE connector architecture that include support for local transactions provide a LocalTransaction interface. The LocalTransaction interface enables applications to request that the resource adapter commits or rolls back RMLTs. JDBC datasources provide a Connection interface for the same purpose.

The boundary at which all RMLTs must be complete is defined in WebSphere Application Server by a local transaction containment (LTC).

## Global transactions

If an application uses two or more resources, an external transaction manager is needed to coordinate the updates to all the resource managers in a global transaction.

Global transaction support is available to web and enterprise bean components and, with some limitations, to application client components. Enterprise bean components can be subdivided into two categories: beans that use container-managed transactions (CMT) and beans that use bean-managed transactions (BMT).

BMT enterprise beans, application client components, and web components can use the Java Transaction API (JTA) `UserTransaction` interface to define the demarcation of a global transaction. To obtain the `UserTransaction` interface, use a Java Naming and Directory Interface (JNDI) lookup of `java:comp/UserTransaction`, or use the `getUserTransaction` method from the `SessionContext` object.

The `UserTransaction` interface is not available to CMT enterprise beans. If CMT enterprise beans attempt to obtain this interface, an exception is thrown, in accordance with the Enterprise JavaBeans (EJB) specification.

Ensure that programs that perform a JNDI lookup of the `UserTransaction` interface use an `InitialContext` that resolves to a local implementation of the interface. Also ensure that such programs use a JNDI location that is appropriate for the EJB version.

WebSphere Application Server Version 4 and later releases bind the `UserTransaction` interface at the JNDI location that is specified in the EJB Version 1.1 specification. This location is `java:comp/UserTransaction`.

A web component or enterprise bean (CMT or BMT) can use additional interfaces that provide JTA support. These interfaces provide the transaction identity and a mechanism to receive notification of transaction completion. The interfaces include the `TransactionSynchronizationRegistry` interface, the `ExtendedJTATransaction` interface, and the `UOWSynchronizationRegistry` interface.

## Local transaction containment

A *local transaction containment (LTC)* is used to define the application server behavior in an unspecified transaction context.

Unspecified transaction context is defined in the Enterprise JavaBeans specification, Version 2.0 and later. For example, see the specification for this technology.

An LTC is a bounded unit-of-work scope, within which zero or more resource manager local transactions (RMLT) can be accessed. The LTC defines the boundary at which all RMLTs must be complete; any incomplete RMLTs are resolved, according to policy, by the container. By default, an LTC is local to a bean instance; it is not shared across beans, even if those beans are managed by the same container. LTCs are started by the container before dispatching a method on an enterprise application component, such as an enterprise bean or servlet, whenever the dispatch occurs in the absence of a global transaction context. LTCs are completed by the container depending on the application-configured LTC boundary; for example, at the end of the method dispatch. There is no programmatic interface to the LTC support; LTCs are managed exclusively by the container. The application deployer configures LTCs on individual application components, either web application or EJB, by using transaction attributes in the application deployment descriptor.

A local transaction containment (LTC) might be configured as part of an application component's deployment descriptor to be shareable across multiple application components, including web application components and enterprise beans that use container-managed transactions, so that those components can share connections without using a global transaction. Sharing a single resource manager between application components improves performance, increases scalability, and reduces lock contention for resources.

LTCs can be shared across multiple components, including web application components and enterprise beans that use container-managed transactions. This sharing is useful in situations such as frequent use of web component `include()` calls, where a thread can have several connections blocked by LTCs in

different web modules. In this situation, the application might encounter code deadlocks under load, when threads start to wait for themselves to free connections. To overcome this issue without using a global transaction, specify that application components can share LTCs by setting the Shareable attribute in the deployment descriptor of each component. You must use a deployment descriptor; you cannot specify this attribute if annotation has been used.

When you set the Shareable attribute, the extended deployment descriptor XML file includes the following line of code:

```
<local-transaction boundary="BEAN_METHOD" resolver="CONTAINER_AT_BOUNDARY"
unresolved-action="COMMIT" shareable="true"/>
```

To obtain the full benefits of a shared LTC, also ensure that the resource reference for each component defaults to shareable connections.

In the following diagram, components 1, 2 and 3 are deployed with the Shareable attribute and component 4 is not. If components 2 and 3 both obtain connections to data source B, and their resource references for data source B default to shareable connections, they share the connection, but component 4 does not.

Applications that use shareable LTCs cannot explicitly commit or roll back resource manager connections that are used in a shareable LTC. Although, they can use connections that have an autoCommit capability. This ensures correct encapsulation of connection usage by each component and protects one component from having to make any assumptions about the behavior of other components that share the connection.

If an application starts any non-autocommit work in an LTC for which the Resolver attribute is set to Application and the Shareable attribute is set to true, an exception occurs at run time. For example, on a JDBC connection, non-autocommit work is work that the application performs after using the setAutoCommit(false) method to disable the autocommit option on the connection. Enterprise beans that use bean managed transactions (BMT) cannot be assembled with the Shareable attribute set on the LTC configuration.

A local transaction containment cannot exist concurrently with a global transaction. If application component dispatch occurs in the absence of a global transaction, the container always establishes an LTC for enterprise application components at J2EE 1.3 or later. The only exceptions to this are the following items:

- Application component dispatch occurs without container interposition, for example, for a stateless session bean create method or a servlet-initiated thread.
- J2EE 1.2 web components.
- J2EE 1.2 bean-managed transaction (BMT) enterprise beans.

A local transaction containment can be scoped to an ActivitySession context that exists longer than the enterprise bean method in which it is started, as described in the topic about ActivitySessions and transaction contexts.

## Local transaction containment

IBM WebSphere Application Server supports local transaction containment (LTC), which you can configure using local transaction extended deployment descriptors. LTC support provides certain advantages to application programmers. Use the scenarios provided, and the list of points to consider, to help you decide the best way to configure transaction support for local transactions.

The following sections describe the advantages that LTC support provides, and how to set the local transaction extended deployment descriptors in each situation.

**You can develop an enterprise bean or servlet that accesses one or more databases that are independent and require no coordination.**

If an enterprise bean does not have to use global transactions, it is often more efficient to deploy the bean with the deployment descriptor for the container transaction type set to `NotSupported` instead of `Required`.

With the extended local transaction support of the application server, applications can perform the same business logic in an unspecified transaction context as they can in a global transaction. An enterprise bean, for example, runs in an unspecified transaction context if it is deployed with a container transaction type of `NotSupported` or `Never`.

The extended local transaction support provides a container-managed, implicit local transaction boundary, within which the container commits application updates and cleans up their connections. You can design applications with more independence from deployment concerns. This makes using a container transaction type of `Supports` much simpler, for example, when the business logic might be called either with or without a global transaction context.

An application can follow a get-use-close pattern of connection usage, regardless of whether the application runs in a transaction. The application can depend on the close action behaving in the same way in all situations, that is, the close action does not cause a rollback to occur on the connection if there is no global transaction.

There are many scenarios where ACID coordination of multiple resource managers is not needed. In such scenarios, running business logic in a Transaction policy of `NotSupported` performs better than in a policy of `Required`. This benefit is applied through setting the deployment descriptor, in the Local Transactions section, of the Resolver attribute to `ContainerAtBoundary`. With this setting, application interactions with resource providers, such as databases, are managed within implicit resource manager local transactions (RMLT) that the container both starts and ends. The container commits RMLTs at the containment boundary that is specified by the Boundary attribute in the Local Transactions section; for example, at the end of a method. If the application returns control to the container by an exception, the container rolls back any RMLTs that it has started.

This usage applies to both servlets and enterprise beans.

**You can use local transactions in a managed environment that guarantees cleanup.**

Applications that want to control RMLTs, by starting and ending them explicitly, can use the default setting of `Application` for the Resolver extended deployment descriptor in the Local Transactions section. In this situation, the container ensures connection cleanup at the boundary of the local transaction context.

Java platform for enterprise applications specifications that describe application use of local transactions do so in the manner provided by the default settings of `Application` for the Resolver extended deployment descriptor, and `Rollback` for the Unresolved action extended deployment descriptor, in the Local Transactions section. When the Unresolved action extended deployment descriptor in the Local Transactions section is set to `Commit`, the container commits any RMLTs that the application starts but that do not complete when the local transaction containment ends (for example, when the method ends). This usage applies to both servlets and enterprise beans.

**You can extend the duration of a local transaction beyond the duration of an EJB component method.**

The Enterprise JavaBeans (EJB) specifications restrict the use of RMLTs to single EJB methods. This restriction is because the specifications have no scoping device, beyond a container-imposed method boundary, to which an RMLT can be extended. You can use the `Boundary` extended deployment setting in the Local Transactions section to give the following advantages:

- Significantly extend the use cases of RMLTs.
- Make conversational interactions with one-phase resource managers possible through `ActivitySession` support.

You can use an `ActivitySession` to provide a distributed context with a boundary that is longer than a single method. You can extend the use of RMLTs over the longer `ActivitySession` boundary, which a client can control. The `ActivitySession` boundary reduces the need to use distributed

transactions where ACID operations on multiple resources are not needed. This benefit is applied through the Boundary extended deployment setting, in the Local transactions section, of ActivitySession. Such extended RMLTs can remain under the control of the application, or be managed by the container, depending on the setting of the Resolver deployment descriptor in the Local Transactions section.

**You can coordinate multiple one-phase resource managers.**

For resource managers that do not support XA transaction coordination, a client can use ActivitySession-bounded local transaction contexts. Such contexts give a client the same ability to control the completion direction of the resource updates by the resource managers as the client has for transactional resource managers. A client can start an ActivitySession and call its entity beans in that context. Those beans can perform their RMLTs within the scope of that ActivitySession and return without completing the RMLTs. The client can later complete the ActivitySession in a commit or rollback direction and cause the container to drive the ActivitySession-bounded RMLTs in that coordinated direction.

**You can use shareable LTCs to reduce the number of connections you require.**

Application components can share LTCs. If components obtain connections to the same resource manager, they can share that connection if they run under the same global transaction or shareable LTC. To configure two components to run under the same shareable LTC, set the Shareable attribute of the Local Transactions section in the deployment descriptor of each component. Make sure that the resource reference in the deployment descriptor for each component uses the default value of Shareable for the res-sharing-scope element, if this element is specified. A shareable LTC can reduce the numbers of RMLTs an application uses. For example, an application that makes frequent use of web module include calls can share resource manager connections between those web modules, exploiting either shareable LTCs, or a global transaction, reducing lock contention for resources.

## Examples of local transaction support configurations

The following list gives scenarios that use local transactions, and points to consider when deciding the best way to configure the transaction support for an application.

- You want to start and end global transactions explicitly in the application (bean-managed transaction session beans and servlets only).

For a session bean, set the Transaction type to Bean (to use bean-managed transactions) in the deployment descriptor of the component. You do not have to do this for servlets.

- You want to access only one XA or non-XA resource in a method.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to ContainerAtBoundary. In the Container Transactions section, set the container transaction type to Supports.

- You want to access several XA resources atomically across one or more bean methods.

In the deployment descriptor of the component, in the Container Transactions section, set the container transaction type to Required, RequiresNew, or Mandatory.

- You want to access several non-XA resources in a method without needing to manage your own local transactions.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to ContainerAtBoundary. In the Container Transactions section, set the container transaction type to NotSupported.

- You want to access several non-XA resources in a method and want to manage them independently.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to Application and set the Unresolved action attribute to Rollback. In the Container Transactions section, set the container transaction type to NotSupported.

- You want to access one or more non-XA resources across multiple EJB method calls without needing to manage your own local transactions.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to ContainerAtBoundary and set the Boundary attribute to ActivitySession. In the Bean Cache

section, set the `Activate at` attribute to `ActivitySession`. In the `Container Transactions` section, set the container transaction type to `NotSupported` and set the `ActivitySession kind` attribute to `Required`, `RequiresNew`, or `Mandatory`.

- You want to access several non-XA resources across multiple EJB method calls and want to manage them independently.

In the deployment descriptor of the component, in the `Local Transactions` section, set the `Resolver` attribute to `Application` and set the `Boundary` attribute to `ActivitySession`. In the `Bean Cache` section, set the `Activate at` attribute to `ActivitySession`. In the `Container Transactions` section, set the container transaction type to `NotSupported` and set the `ActivitySession kind` attribute to `Required`, `RequiresNew`, or `Mandatory`.

## Local and global transactions

Applications use resources, such as Java Database Connectivity (JDBC) data sources or connection factories, that are configured through the `Resources` view of the administrative console. How these resources participate in a global transaction depends on the underlying transaction support of the resource provider.

For example, most JDBC providers can provide either XA or non-XA versions of a data source. A non-XA data source can support only resource manager local transactions (RMLT), but an XA data source can support two-phase commit coordination, as well as local transactions.

If an application uses two or more resource providers that support only RMLTs, atomicity cannot be assured because of the one-phase nature of these resources. To ensure atomic behavior, the application must use resources that support XA coordination and must access those resources in a global transaction.

If an application uses only one RMLT, atomic behavior can be guaranteed by the resource manager, which can be accessed in a local transaction containment (LTC) context.

An application can also access a single resource manager in a global transaction context, even if that resource manager does not support the XA coordination. An application can do this because the application server performs an “only resource optimization” and interacts with the resource manager in a RMLT. In a global transaction context, any attempt to use more than one resource provider that supports only RMLTs causes the global transaction to be rolled back.

At any moment, an instance of an enterprise bean can have work outstanding in either a global transaction context or a local transaction containment context, but not both. An instance of an enterprise bean can change from running in one type of context to the other (in either direction), if all outstanding work in the original context is complete. Any violation of this principle causes an exception to be thrown when the enterprise bean tries to start the new context.

## Client support for transactions

Application clients can, within certain limits, support the use of transactions.

Application clients running in an enterprise application client container can explicitly demarcate transaction boundaries, as described in the topic about using component-managed transactions. Application clients cannot perform, directly in the client container, transactional work in the context of any global transaction that they start, because the client container is not a recoverable process.

Application clients can make requests to remote objects, such as enterprise beans, in the context of a client-initiated transaction. Any transactional work performed in a remote, recoverable, server process is coordinated as part of the client-initiated transaction. The transaction coordinator is created on the first server process to which the client-initiated transaction is propagated.

A client can begin a transaction, then, for example, access a JDBC data source directly in the client process. In such cases, any work performed through the JDBC provider is not coordinated as part of the



global transaction. Instead, the work runs under a resource manager local transaction. The client container process is non-recoverable and contains no transaction coordinator with which a resource manager can be enlisted.

A client can begin a transaction, then call a remote application component such as an enterprise bean. In such cases, the client-initiated transaction context is implicitly propagated to the remote application server, where a transaction coordinator is created. Any resource managers accessed on the recoverable application server (or any other application server hosting application components invoked by the client) are enlisted in the global transaction.

Client application components must be aware that locally-accessed resource managers are not coordinated by client-initiated transactions. Client applications acknowledge this through a deployment option that enables access to the UserTransaction interface in the client container. By default, access to the UserTransaction interface in the client container is not enabled. To enable UserTransaction demarcation for an application client component, set the “Allow JTA Demarcation” extension property in the client deployment descriptor. For information about editing the client deployment descriptor, refer to the Rational Application Developer information.

## **Commit priority for transactional resources**

You can specify the order in which transactional resources are processed during two-phase commit processing.

If you control the order in which transactional resources are processed during two-phase commit processing, there are two main benefits:

- One-phase commit optimization occurs more often.
- Potential problems caused by transaction isolation are resolved.

To control the order in which transactional resources are processed during two-phase commit processing, you specify the commit priority of a resource by setting the commit priority attribute on a resource reference. The larger the commit priority, the earlier the resource is processed. For example, if a resource has a commit priority of 10, it is processed before a resource with a commit priority of 1. The commit priority value is of type int and can be between -2147483648 and 2147483647.

If you do not specify a commit priority value, a default value of zero is assigned to the resource and is used when ordering resources at run time. If two or more resources are configured with the same priority, including the default priority, they are processed in an unspecified order with respect to each other.

You can specify the commit priority attribute on a resource reference by using Rational Application Developer tools. For detailed information, see the Rational Application Developer information center. The application component must have a deployment descriptor; you cannot specify this attribute if annotation has been used.

## **One-phase commit optimization**

In a transaction with a two-phase commit, if every resource except the last one enlisted in the transaction votes read-only, indicating that those resources are not interested in the outcome of the transaction, a one-phase commit can occur. This means that the transaction service does not have to store resource and transaction information that it would need to roll back a two-phase commit, and therefore performance is improved.

You can control the order in which transactional resources are processed during two-phase commit, so you can process the resources that are most likely to vote read-only first. Therefore, you increase the chance that a one-phase commit might occur.

Typically, for a given transactional resource, you know the work that is performed at run time, so if you can control the order in which the resources in a transaction are processed, you can increase the likelihood of a one-phase commit optimization occurring.

## Transaction isolation

When resources are involved in a global transaction, updates that are made as part of a transaction are not visible outside the transaction until the transaction commits, that is, those resources are isolated. This isolation can cause problems with other application components that act on the updates after they are committed. For example, further processing can fail, or can fail intermittently, because updates are order and time dependent. This problem does not occur with service integration bus messaging work in WebSphere Application Server, but can be a problem for other messaging providers, for example WebSphere MQ.

If you specify the order in which transactional resources are committed, problems caused by isolation are resolved for all transactional systems, not just messaging providers and service integration bus in particular.

The following example describes how problems might occur when you cannot specify the order in which transactional resources are committed. An application updates a row in a database table, then sends a JMS message that triggers additional processing of the row. Both of these actions are performed in the same global transaction, so they are isolated until their respective resources are committed. If the update to the row is committed before the message is sent, the processing that is triggered by the message can access the updated row and process it. If the action to send the message is committed first, this action might trigger the additional processing of the row before the database has committed the update to the row. In this situation, the updated row is still isolated and is not visible, so the additional processing of the row fails.

This problem can be more complicated because it is ordering and timing dependent. If the database is committed first, the problem does not occur. If the action to send the message is committed first, the problem might occur, but it depends whether the database work is committed before the message triggers the further processing of the row. Therefore, the problem can be intermittent, so it is harder to identify its cause.

## Restrictions with earlier versions of WebSphere Application Server

If you specify the commit priority of a resource, that is, specify any value other than the default value 0, the commit priority is added to the partner log in a recoverable unit section. This section in the log file is recognized in WebSphere Application Server Version 7.0 or later, but not in earlier versions of the application server.

Therefore, if an application uses the commit priority attribute, you cannot install that application into a mixed-version cluster where one or more servers in the cluster are at versions of WebSphere Application Server that are earlier than Version 7.0.

Also, if an application that uses the commit priority attribute is installed in a cluster, you cannot subsequently add a server to that cluster if the server is at a version of WebSphere Application Server that is earlier than Version 7.0.

For general information about different versions of the product, see the topic “Overview of migration, coexistence, and interoperability”.

## Sharing locks between transaction branches

You can specify that multiple application components on different application servers can share access to data in a single DB2 database under the same global transaction. You specify that the different transaction branches share locks under the global transaction.

To do this, you set the branch coupling attribute on the resource references for the shared DB2 connections in the application.

**Note:** Lock sharing in WebSphere Application Server Version 8 is only supported on DB2; setting lock sharing on a resource reference for a non-DB2 database will result in an exception.

Usually, application components can share locks only when those application components are collocated on the same server.

Sharing locks between transaction branches means that multiple DB2 Java Database Connectivity (JDBC) connections to the same database that are in the same transaction, from the same or different servers, can share locks when accessing data. In this way, multiple components can access the data without causing timeouts or other unwanted situations.

Sharing locks between transaction branches provides the benefit that two Enterprise JavaBeans (EJBs) on two servers can share the visibility of data, and the locks to that data, within a distributed transaction. Therefore, shared access to data does not depend on the location of the application component.

To specify that transaction branches share locks, you set the branch coupling attribute on the DB2 resource reference of the application to a value of tight. For example:

```
<resource-ref name="jdbc/DataSource_LockSharing" branch-coupling="TIGHT"/>
```

If you do not specify a branch coupling value, the default value of loose is used, that is, transaction branches do not share locks.

You can set the branch coupling attribute on the DB2 resource reference of the application by using Rational Application Developer tools. For detailed information, see the Rational Application Developer information center. The application component must have a deployment descriptor; you cannot specify this attribute if annotation has been used.

To share locks between transaction branches in this way, the following conditions apply:

- The database must be DB2 on a distributed or z/OS operating system.
- The JDBC provider must be DB2 Using IBM JCC Driver Version 3.51 and later, Version 3.6 and later, or Version 4.1 and later.
- Connections must use JDBC type 4 connectivity to one of the following:
  - DB2 Universal Database™ (DB2 UDB) Version 8 and later
  - DB2 UDB for z/OS Version 8 with program temporary fix (PTF) UK27815 and later
  - DB2 UDB for z/OS Version 9.1 with Fix Pack 4 and later
  - DB2 UDB for z/OS Version 9.5 and later

**Note:** An IBM Support Technote is available that provides a complete list of which DB2 versions support lock sharing. Search the IBM Support Portal for relevant information.

## Transactional high availability

The high availability of the transaction service enables any server in a cluster to recover the transactional work for any other server in the same cluster. This facility forms part of the overall WebSphere Application Server high availability (HA) strategy.

As a vital part of providing recovery for transactions, the transaction service logs information about active transactional work in the *transaction recovery log*. The transaction recovery log stores the information in a persistent form, which means that any transactional work in progress at the time of a server failure can be resolved when the server is restarted. This activity is known as *transaction recovery processing*. In addition to completing outstanding transactions, this processing also ensures that any locks held in the associated resource managers are released.

## Peer recovery processing

The standard recovery process that is performed when an application server restarts is for the server to retrieve and process the logged transaction information, recover transactional work and complete indoubt transactions. Completion of the transactional work (and hence the release of any database locks held by the transactions) takes place after the server successfully restarts and processes its transaction logs. If the server is slow to recover or requires manual intervention, the transactional work cannot be completed and access to associated databases is disrupted.

To minimize such disruption to transactional work and the associated databases, WebSphere Application Server provides a high availability strategy known as *transaction peer recovery*.

Peer recovery is provided within a server cluster. A peer server (another cluster member) can process the recovery logs of a failed server while the peer continues to manage its own transactional workload. You do not have to wait for the failed server to restart, or start a new application server specifically to recover the failed server.

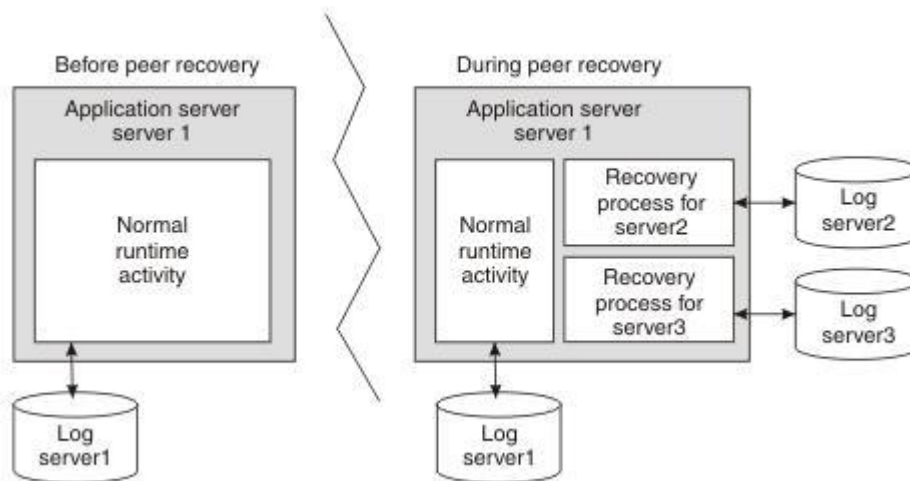


Figure 9. Peer recovery

The peer recovery process is the logical equivalent to restarting the failed server, but does not constitute a complete restart of the failed server within the peer server. The peer recovery process provides an opportunity to complete outstanding work; it cannot start new work beyond recovery processing. No forward processing is possible for the failed server.

Peer recovery moves the high availability requirements away from individual servers and onto the server cluster. After such failures, the management system of the cluster dispatches new work onto the remaining servers; the only difference is the potential drop in overall system throughput. If a server fails, all that is required is to complete work that was active on the failed server and redirect requests to an alternate server.

By default, peer recovery is disabled until you enable failover of transaction log recovery in the cluster configuration, and restart the cluster members. After you enable transaction log recovery, WebSphere

Application Server supports two styles for the initiation of transaction peer recovery: automated and manual. You determine which style is more appropriate, based on your deployment, and specify that style by configuring the appropriate high availability policy. This high availability policy is referred to elsewhere in these topics as the *policy for the transaction service*.

### Automated peer recovery

This style is the default for peer recovery initiation. If an application server fails, WebSphere Application Server automatically selects a server to undertake peer recovery processing on its behalf, and passes recovery back to the failed server when it restarts. To use this model, enable transaction log recovery and configure the recovery log location for each cluster member.

### Manual peer recovery

You must explicitly configure this style of peer recovery. If an application server fails, you use the administrative console to select a server to perform recovery processing on its behalf.

In a HA environment, you must configure the compensation logs as well as the transaction logs. For each server in the cluster, use the compensation service settings to configure a unique compensation log location, and ensure that all cluster members can access those compensation logs.

### Peer recovery example

The following diagrams illustrate the peer recovery process that takes place if a single server fails. Figure 2 shows three stable servers running in a WebSphere Application Server cluster. The workload is balanced between these servers, which results in locks held by the back-end database on behalf of each server.

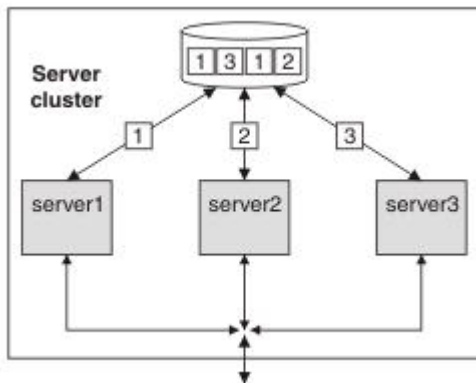


Figure 10. Server cluster up and running, just before server failure

Figure 3 shows the state of the system after server 1 fails without clearing locks from the database. Servers 2 and 3 can run their existing transactions to completion and release existing locks in the back-end database, but further access might be impaired because of the locks still held on behalf of server 1. In practice, some level of access by servers 2 and 3 is still possible, assuming appropriately configured lock granularity, but for this example assume that servers 2 and 3 attempt to access locked records and become blocked.

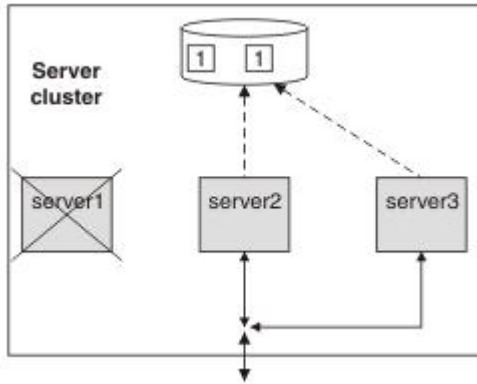


Figure 11. Server 1 fails. Servers 2 and 3 become blocked as a result

Figure 4 shows a peer recovery process for server 1 running inside server 3. The transaction service portion of the recovery process retrieves the information that is stored by server 1, and uses that information to complete any indoubt transactions. In this figure, the peer recovery process is partially complete as some locks are still held by the database on behalf of server 1.

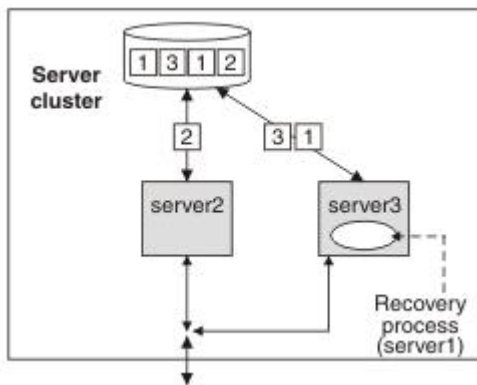


Figure 12. Peer recovery process started in server 3

Figure 5 shows the state of the server cluster when the peer recovery process is complete. The system is in a stable state with just two servers, between which the workload is balanced. Server 1 can be restarted, and will have no recovery processing of its own to perform.

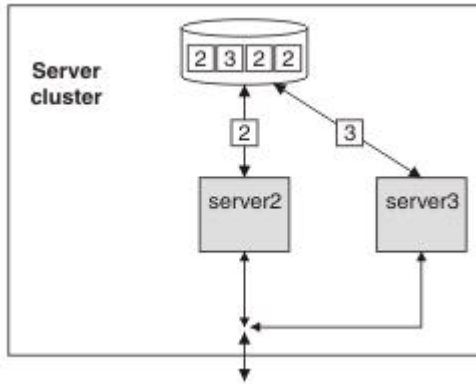


Figure 13. Server cluster stable again with just two servers: server 2 and server 3

## Deployment for transactional high availability

Before you use the high availability (HA) function, you must consider deployment issues such as your file system type, or where you plan to store the transaction recovery logs. In particular, your file system type can have important consequences for your recovery configuration.

### Common configuration

Transaction peer recovery requires a common configuration of the resource providers between the participating server members to undertake peer recovery between servers. Therefore, peer recovery processing can only take place between members of the same server cluster. Although a cluster can contain servers that are at different versions of WebSphere Application Server, peer recovery can only be performed between servers in the cluster that are at Version 6 or later.

### Physical storage

For application servers to perform transaction peer recovery for each other, they must be able to access the transaction recovery logs of all the other members in the cluster. Ensure that the log files are stored on a medium that is accessible by all members of the cluster, and that each cluster member has a unique log file location on this medium. This medium, and access to it, for example through a local area network (LAN), must support the file-based force operation that is used by the recovery log service to force data to disk. After the force operation is complete, information must be persistently stored on physical disk media.

In a HA environment, application servers must also be able to access the compensation logs. Ensure that the compensation log files are stored on a medium that is accessible by all members of the cluster, and that each cluster member has a unique log file location on this medium.

For example, you can use IBM Network attached storage (NAS) (<http://www.ibm.com/servers/storage/nas/index.html>) mounted on each node, and shared SCSI drives, but not simple network share. All nodes must have read and write access to the recovery logs.

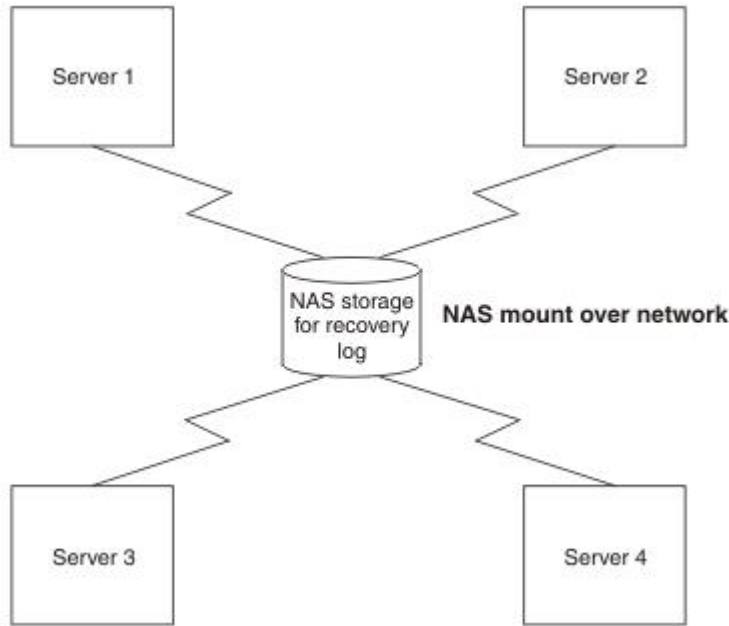


Figure 14. Recovery logs on NAS storage are available to all servers

In addition, configure the mechanism by which the remote log files are accessed, to exploit any fault tolerance in the underlying file system. For example, by using the Network File System (NFS) and hard mounting the remote directory containing the log files by using the `-o hard` option of the NFS mount command, the NFS client will try a failed operation repeatedly until the NFS server becomes available again.

Two types of potential server failure exist: software failure and hardware failure. Software failures generally do not affect other application servers directly. Even servers on the same physical hardware can undertake peer recovery processing. If a hardware failure occurs, all the servers that are deployed on the failed hardware become unavailable. Servers on other hardware are required to handle peer recovery processing. Any HA configuration requires that servers are deployed across multiple and discrete hardware systems.

## File system

The file system type is an important deployment consideration as it is the main factor in deciding whether to use automated or manual peer recovery. For more information, see “How to choose between automated and manual transaction peer recovery.”

### ***How to choose between automated and manual transaction peer recovery:***

Your type of file system is the dominant factor in deciding which kind of transaction peer recovery to use. Different file systems have different behaviors, and the file locking behavior in particular is important when choosing between automated and manual peer recovery.

WebSphere Application Server high availability (HA) support uses a heartbeat mechanism to determine whether servers are still running. Servers are considered failed if they stop responding to heartbeat requests. Some scenarios, such as system overloading and network partitioning (explained elsewhere in this topic), can cause servers to stop responding to heartbeats, even though the servers are still running. WebSphere Application Server uses file locking technology to prevent such events from causing concurrent access to transaction recovery logs, because access to a recovery log by more than one server can lead to loss of data integrity.



However, not all file systems provide the necessary file locking semantics, specifically that file locks are released when a server fails. For example, Network File System Version 4 (NFSv4) provides this release behavior, whereas Network File System Version 3 (NFSv3) does not.

NFSv4 releases locks held on behalf of a host in case that host fails. Peer recovery can occur automatically without restarting the failed hardware. Therefore, this version of NFS is better suited for use with automated peer recovery.

NFSv3 holds file locks on behalf of a failed host until that host can restart. In this context, the host is the physical machine running the application server that requested the lock and it is the restart of the host, not the application server, that eventually triggers the locks to release.

To illustrate file locking on NFSv3, consider the behavior when a cluster member fails:

1. Server H is running on host H and holds an exclusive file lock for its own recovery log files.
2. Server P is running on host P and holds an exclusive file lock for its own recovery log files.
3. Host H fails, taking server H with it. The NFS lock manager on the file server holds the locks that are granted to server H on its behalf.
4. A peer recovery event is triggered in server P for server H by WebSphere Application Server.
5. Server P attempts to gain an exclusive file lock for this peer recovery log, but is unable to do so as it is held on behalf of server H. The peer recovery process is blocked.
6. At an unspecified time, host H is restarted. The locks held on its behalf are released.
7. The peer recovery process in server P is unblocked and granted the exclusive file locks that are needed to undertake peer recovery.
8. Peer recovery takes place in server P for server H.
9. Server H is restarted.
10. If peer recovery is still in progress in server P, the recovery is halted.
11. Server P releases the exclusive lock on the recovery logs and returns ownership of the recovery logs back to server H.
12. Server H obtains the exclusive lock and can now undertake standard transaction logging.

Because of this behavior, on NFSv3 you must disable file locking to use automated peer recovery. Disabling file locking can lead to concurrent access to recovery logs so it is vital that you protect your system from system overloading and network partitioning first. Alternatively, you can configure manual peer recovery, where you prevent concurrent access by manually triggering peer recovery processing only for servers that have failed.

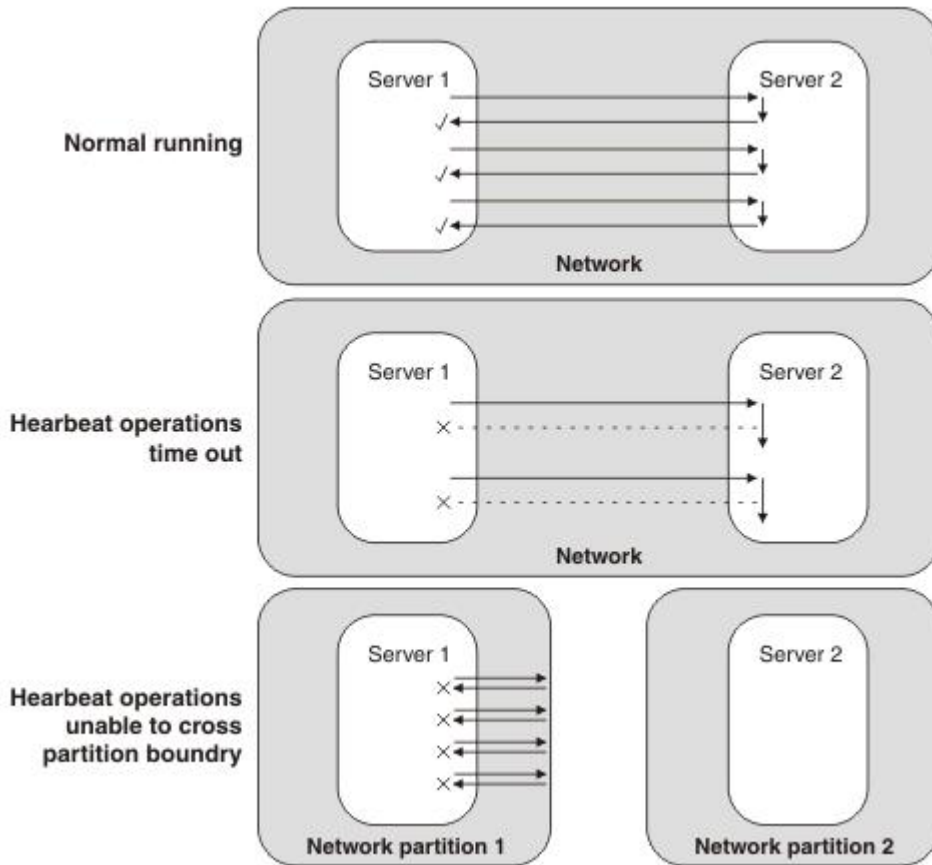
### **System overloading**

System overloading occurs when a machine becomes very heavily loaded such that response times are extremely poor and requests begin to time out. Several potential causes exist for such overloading, including:

- The server is underpowered and cannot handle the workload.
- The server received a temporary surge of requests.
- Insufficient physical memory is available. As a result, the operating system is too busy paging to give the application server the required CPU time.

### **Network partitioning**

Network partitioning occurs when a communications failure in a network results in two smaller networks that are independent and cannot contact each other.



During normal running, two servers on the network exchange heartbeats. During system overloading, heartbeat operations time out, giving the appearance of a server failure. After network partitioning, each server is in a separate network and heartbeats cannot pass between them, also giving the appearance of a server failure.

Figure 15. Heartbeats in a system running normally, compared to heartbeats after the apparent server failures of system overloading and network partitioning

## High availability policies for the transaction service

WebSphere Application Server provides integrated high availability (HA) support in which system subcomponents, such as the transaction service, are made highly available. An HA policy provides the logic that governs the manner in which each WebSphere Application Server HA component behaves within the overall HA framework. For the transaction service, the transaction HA policy provides the logic to determine which servers own a recovery log at any time.

Typically, transaction policies assign ownership of a recovery log to the server that originally created it (the home server) and that server can then use the recovery log for both recovery and normal transactional activity. In the event that the home server is unavailable or fails, ownership can pass to a peer server to undertake recovery processing.

Conceptually, a policy can be thought of as consisting of two key components, a policy type and a policy configuration.

## Policy type

The policy type determines whether peer recovery initiation is manual or automated. The policy essentially provides the logic for determining updated recovery log ownership in the event of a server failure. The following WebSphere Application Server policy types are used for transaction peer recovery (other HA policy types exist, but are not used by the transaction service):

**Static** Ownership of the recovery log is defined in the WebSphere Application Server configuration. At run time, the static policy assigns ownership accordingly. Any changes to ownership require a change to the static configuration and therefore this policy type is used for manually initiated peer recovery.

### One-of-N

Ownership of the recovery log is determined dynamically by the WebSphere Application Server HA framework and assigned to exactly one of the N cluster members. This policy type is used for automated peer recovery.

## Transaction compensation and business activity support

A *business activity* is a collection of tasks that are linked together so that they have an agreed outcome. Unlike atomic transactions, activities such as sending an email can be difficult or impossible to roll back atomically, and therefore require a compensation process in the event of an error. The WebSphere Application Server business activity support provides this compensation ability through *business activity scopes*.

### When to use business activity support

Use the business activity support when you have an application that requires compensation. An application requires compensation if its operations cannot be atomically rolled back. Typically, this scenario is because of one of the following reasons:

- The application uses multiple non-extended-architecture (XA) resources.
- The application uses more than one atomic transaction, for example, enterprise beans that have **Requires new** as the setting for the **Transaction** field in the container transaction deployment descriptor.
- The application does not run under a global transaction.

The following diagram shows a simple web service application that uses the business activity support. The Retailer, Warehouse and Manufacturing services are running in non-WebSphere Application Server environments. The Retailer service calls the Supplier service, running on WebSphere Application Server, which delegates tasks to the Warehouse and Manufacturing services. The implementation of the Supplier service contains a stateless session bean, which calls other stateless session beans that are associated with the Warehouse and Manufacturing services, and that undertake work that can be compensated. These other session beans each have a *compensation handler*, a piece of logic that is associated with an application component at run time, and performs compensation activity such as resending an email.

## Application design

Business activity contexts are propagated with application messages, and can therefore be distributed between application components that are not co-located in the same server. Unlike atomic transaction contexts, business activity contexts are propagated on both synchronous (blocking) call-response messages and asynchronous one-way messages. An application component that runs under a business activity scope is responsible for ensuring that any asynchronous work it initiates is complete before the component's own processing is complete. An application that initiates asynchronous work by using a

fire-and-forget message pattern must not use business activity scopes, because such applications have no means of detecting whether this asynchronous processing has completed.

Only enterprise beans that have container-managed transactions can use the business activity functions. Enterprise beans that exploit business activity scopes can offer web service interfaces, but can also offer standard enterprise bean local or remote Java interfaces. Business activity context is propagated in web service messages by using a standard, interoperable Web Services Business Activity (WS-BA) `CoordinationContext` element. WebSphere Application Server can also propagate business activity context on RMI calls to enterprise beans when Web services are not being used, but this form of the context is not interoperable with non-WebSphere Application Server environments. You might want to use this homogeneous scenario if you require compensation for an application that is internal to your business. If you want to use business activity compensation in a heterogeneous environment, expose your application components as web services.

Business activity contexts can be propagated across firewalls and outside the WebSphere Application Server domain. The topology that you use to achieve this propagation can affect the high availability and affinity behavior of the business activity transaction.

## Application development and deployment

WebSphere Application Server provides a programming model for creating business activity scopes, and for associating compensation handlers with those business activity scopes. WebSphere Application Server also provides an application programming interface to specify compensation data, and check or alter the status of a business activity. To use the business activity support you must set certain application deployment descriptors appropriately, provide a compensation handler class if required, and enable business activity support on any servers that run the application.

**Note:** Applications can exploit the business activity support only if you deploy them to a WebSphere Application Server at Version 6.1 or later. Applications cannot use the business activity support if you deploy them to a cluster that includes WebSphere Application ServerVersion 6.0.x servers.

## Business activity scopes

The scope of a business activity is that of a main WebSphere Application Server unit of work: a global transaction, an activity session, or local transaction containment (LTC). A business activity scope is not a new unit of work (UOW); it is an attribute of an existing main UOW. Therefore, a one-to-one relationship exists between a business activity scope and a UOW.

In a WS-BA deployment, the UOW must be container-managed:

- The UOW can be a container-managed transaction (CMT) enterprise bean that creates a global transaction.
- The UOW can be a local transaction containment (LTC) where the container is responsible for initiating and ending resource manager local transactions (RMLTs). That is, in the transactional deployment descriptor attributes, the Local Transaction attribute Resolver must be set to `ContainerAtBoundary`. To use WS-BA, you must not set the Resolver attribute to `Application`.

Any main UOW can have a business activity scope associated with it. If a component running under a UOW that is associated with a business activity scope calls another component, that request propagates the business activity scope; any work done by the new component is associated with the same business activity scope as the calling component. The called component can create a new UOW, for example if an enterprise bean has a **Transaction** setting of **Requires new**, or runs under the same UOW as the calling component. If a new UOW is started then a new business activity scope is created and associated with the new UOW. The newly created business activity scope is a child of the business activity scope associated with the calling UOW. In the following diagram, EJB1a running under UOW1 calls two components: EJB1b that also runs under UOW1, and EJB2 that creates a new UOW, UOW2. The

enterprise bean EJB1b, calls another enterprise bean, EJB3, which creates another new UOW, UOW3. Because each new UOW is created by a calling component whose UOW already has an association with business activity scope BAScope1, the newly created UOWs are associated with new inner business activity scopes, BAScope2 and BAScope3.

Inner business activity scopes must complete before the outer business activity scope completes. Inner business activity scopes, for example BAScope2, have an association with the outer business activity scope, in this case BAScope1. Each business activity scope is directed to close if its associated UOW completes successfully, or to compensate if its associated UOW fails. If BAScope2 completes successfully, any active compensation handlers that are owned by BAScope2 are moved to BAScope1, and are directed in the same way as the completion direction of BAScope1: either compensate or close. If BAScope2 fails, the active compensation handlers are compensated automatically, and nothing is moved to the outer BAScope1. When an inner business activity scope fails, as a result of its associated UOW failing, an application server exception is thrown to the calling application component, running in the outer UOW.

For example, if the inner UOW fails it might throw a `TransactionRolledBackException` exception. If the calling application can handle the exception, for example by trying the called component again or by calling another component, then the calling UOW, and its associated business activity scope, can complete successfully even though the inner business activity scope failed. If the application design requires the calling UOW to fail, and for its associated business activity scope to be compensated, then the calling application component must cause its UOW to fail, for example by allowing any system exception from the UOW that failed to be handled by its container.

When the outer business activity scope completes, its success or failure determines the completion direction (close or compensate) of any active compensation handlers that are owned by the outer business activity scope, including those promoted by the successful completion of inner business activity scopes. If the outer business activity scope completes successfully, it drives all active compensation handlers to close. If the outer business activity scope fails, it drives all active compensation handlers to compensate.

This compensation behavior is summarized in the following table.

*Table 24. Compensation behavior for a single business activity scope. The table lists the possible combinations of success and failure for the inner and outer business activity scopes, and the compensation behavior associated with each combination.*

<b>Inner business activity scope</b>	<b>Outer business activity scope</b>	<b>Compensation behavior</b>
Succeeds	Succeeds	Any compensation handlers that are owned by the inner business activity scope wait for the outer UOW to complete. When the outer UOW succeeds, the outer business activity scope drives all compensation handlers to close.
Fails	Succeeds	Any active compensation handlers that are owned by the inner business activity scope are compensated. An exception is thrown to the outer UOW; if this exception is caught, when the outer UOW succeeds, the outer business activity scope drives all remaining active compensation handlers to close.
Fails	Fails	Any active compensation handlers that are owned by the inner business activity scope are compensated. An exception is thrown to the outer UOW; if this exception is not caught, the outer business activity scope fails. When the outer business activity scope fails, either because of the unhandled exception or for some other reason, all remaining active compensation handlers are compensated.
Succeeds	Fails	Any compensation handlers that are owned by the inner business activity scope wait for the outer UOW to complete. When the outer UOW fails, the outer business activity scope drives all compensation handlers to compensate.

When a UOW with an associated business activity scope completes, the business activity scope always completes in the same direction as the UOW that it is associated with. The only way that you can influence the direction of the business activity scope is to influence the UOW that it is associated with, which you can do by using the `setCompensateOnly` method of the business activity API.

A compensation handler that is registered within a transactional UOW might initially be inactive, depending on the method invoked from the business activity API. Inactive handlers in this situation become active when the UOW in which that handler is declared completes successfully. A compensation handler that is registered outside a transactional UOW always becomes active immediately. For more information, see the topic about the business activity API.

Each business activity scope in the diagram represents a business activity. For example, the outer business activity running under `BAScope1` can be a holiday booking scenario, with `BAScope2` being a flight booking activity and `BAScope3` a hotel booking. If either the flight or hotel bookings fail, the overall holiday booking by default also fails. Alternatively if, for example, the flight booking fails, you might want your application to try booking a flight by using another component that represents a different airline. If the overall holiday booking fails, the application can use compensation handlers to cancel any flights or hotels that are already successfully booked.

## Use of business activity scopes by application components

Application components do not use business activity scopes by default. You use the WebSphere Application Server assembly tools to specify the use of a business activity scope and to identify any compensation handler class for the component:

### Default configuration

If a business activity context is present on a request received by a component with no business activity scope configuration, the context is stored by the container but never used during the method scope of the target component. A new business activity scope is not created. If the target component invokes another component, the stored business activity context is propagated and can be used by other compensating components.

### Run enterprise bean methods under a business activity scope

Any business activity context present on the incoming request is received by the container and made available to the target component. If a new UOW is created for the target method, for example because the enterprise bean method has a **Transaction** setting of **Requires new**, the received business activity scope becomes an outer business activity scope to a newly created business activity. If the UOW is propagated from the calling component and used by the method, then the received business activity scope is used by the method. If a business activity scope does not exist on the invocation, a new business activity scope is created and used by the method.

To create a business activity scope when an enterprise bean is invoked, you must configure the enterprise bean to run enterprise bean methods under a business activity scope. You must also configure the deployment descriptors for the method being invoked, to specify the creation of a new UOW upon invocation. For details, see the topic about creating an application that uses the WS-BA support.

## JTA support

Java Transaction API (JTA) support provides application programming interfaces (APIs) in addition to the `UserTransaction` interface that is defined in the JTA 1.1 specification.

These interfaces include the `TransactionSynchronizationRegistry` interface, which is defined in the JTA 1.1 specification, and the following API extensions:

- `SynchronizationCallback` interface
- `ExtendedJTATransaction` interface
- `UOWSynchronizationRegistry` interface
- `UOWManager` interface

The APIs provide the following functions:

- Access to global and local transaction identifiers associated with the thread.

The global identifier is based on the transaction identifier in the `CosTransactions::PropagationContext` object and the local identifier identifies the transaction uniquely in the local Java virtual machine (JVM).

- A transaction synchronization callback that any enterprise application component can use to register an interest in transaction completion.

Advanced applications can use this callback to flush updates before transaction completion and clear up state after transaction completion. Java EE (and related) specifications position this function typically as the domain of the enterprise application containers.

Components such as persistence managers, resource adapters, enterprise beans, and web application components can register with a JTA transaction.

The following information is an overview of the interfaces that the JTA support provides. For more detailed information, see the generated API documentation.

## SynchronizationCallback interface

An object implementing this interface is enlisted once through the `ExtendedJTATransaction` interface, and receives notification of transaction completion.

Although an object implementing this interface can run on a Java platform for enterprise applications server, there is no specific enterprise application component active when this object is called. So, the object has limited direct access to any enterprise application resources. Specifically, the object has no access to the `java: namespace` or to any container-mediated resource. Such an object can cache a reference to an enterprise application component (for example, a stateless session bean) that it delegates to. The object would then have all the usual access to enterprise application resources. For example, you might use the object to acquire a Java Database Connectivity (JDBC) connection and flush updates to a database during the `beforeCompletion` method.

## ExtendedJTATransaction interface

This interface is a WebSphere programming model extension to the Java EE JTA support. An object implementing this interface is bound, by enterprise application containers in WebSphere Application Server that support this interface, at `java:comp/websphere/ExtendedJTATransaction`. Access to this object, when called from an Enterprise JavaBeans (EJB) container, is not restricted to component-managed transactions.

An application uses a Java Naming and Directory Interface (JNDI) lookup of `java:comp/websphere/ExtendedJTATransaction` to get an `ExtendedJTATransaction` object, which the application uses as shown in the following example:

```
ExtendedJTATransaction exJTA = (ExtendedJTATransaction)ctx.lookup("
    java:comp/websphere/ExtendedJTATransaction");
SynchronizationCallback sync = new SynchronizationCallback();
exJTA.registerSynchronizationCallback(sync);
```

The `ExtendedJTATransaction` object supports the registration of one or more application-provided `SynchronizationCallback` objects. Depending on how the callback is registered, each registered callback is called at one of the following points:

- At the end of every transaction that runs on the application server, whether the transaction is started locally or imported
- At the end of the transaction for which the callback was registered

**Note:** In this release, the `registerSynchronizationCallbackForCurrentTran` method is deprecated. Use the `registerInterposedSynchronization` method of the `TransactionSynchronizationRegistry` interface instead.

## TransactionSynchronizationRegistry interface

This interface is defined in the JTA 1.1 specification. System-level application components, such as persistence managers, resource adapters, enterprise beans, and web application components, can use this interface to register with a JTA transaction. Then, for example, the component can flush a cache when a transaction completes.

To obtain the TransactionSynchronizationRegistry interface, use a JNDI lookup of `java:comp/TransactionSynchronizationRegistry`.

**Note:** Use the `registerInterposedSynchronization` method to register a synchronization instance, rather than the `registerSynchronizationCallbackForCurrentTran` method of the `ExtendedJTATransaction` interface, which is deprecated in this release.

## UOWSynchronizationRegistry interface

This interface provides the same functions as the TransactionSynchronizationRegistry interface, but applies to all types of units of work (UOWs) that WebSphere Application Server supports:

- JTA transactions
- local transaction containments (LTCs)
- ActivitySession contexts

System-level application server components such as persistence managers, resource adapters, enterprise beans, and web application components can use this interface to register with a JTA transaction. The component can do the following:

- Register synchronization objects with special ordering semantics.
- Associate resource objects with the UOW.
- Get the context of the current UOW.
- Get the current UOW status.
- Mark the current UOW for rollback.

To obtain the UOWSynchronizationRegistry interface, use a JNDI lookup of `java:comp/websphere/UOWSynchronizationRegistry`. This interface is available only in a server environment.

The following example registers an interposed synchronization with the current UOW:

```
// Retrieve an instance of the UOWSynchronizationRegistry interface from JNDI.
final InitialContext initialContext = new InitialContext();
final UOWSynchronizationRegistry uowSyncRegistry =
    (UOWSynchronizationRegistry)initialContext.lookup("java:comp/websphere/UOWSynchronizationRegistry");

// Instantiate a class that implements the javax.transaction.Synchronization interface
final Synchronization sync = new SynchronizationImpl();

// Register the Synchronization object with the current UOW.
uowSyncRegistry.registerInterposedSynchronization(sync);
```

## UOWManager interface

The UOWManager interface is equivalent to the JTA TransactionManager interface, which defines the methods that allow an application server to manage transaction boundaries. Applications can use the UOWManager interface to manipulate UOW contexts in the product. The UOWManager interface applies to all types of UOWs that WebSphere Application Server supports; that is, JTA transactions, local transaction containments (LTCs), and ActivitySession contexts. Application code can run in a particular type of UOW without needing to use an appropriately configured enterprise bean. Typically, the logic that is performed in the scope of the UOW is encapsulated in an anonymous inner class. System-level application server components such as persistence managers, resource adapters, enterprise beans, and web application components can use this interface.



WebSphere Application Server does not provide a `TransactionManager` interface in the API or the system programming interface (SPI). The `UOWManager` interface provides equivalent functions, but WebSphere Application Server maintains control and integrity of the UOW contexts.

To obtain the `UOWManager` interface in a container-managed environment, use a JNDI lookup of `java:comp/websphere/UOWManager`. To obtain the `UOWManager` interface outside a container-managed environment, use the `UOWManagerFactory` class. This interface is available only in a server environment.

You can use the `UOWManager` interface to migrate a web application to use web components rather than enterprise beans, but maintain control over the UOWs. For example, a web application currently uses the `UserTransaction` interface to begin a global transaction, makes a call to a method on a session enterprise bean that is configured as not supported to undertake some non-transactional work, and then completes the global transaction. You can move the logic that is encapsulated in the session EJB method to the `run` method of a `UOWAction` implementation. Then, you replace the code in the web component that calls the session enterprise bean with a call to the `runUnderUOW` method of a `UOWManager` interface to request that this logic is run in a local transaction. In this way, you maintain the same level of control over the UOWs as you had with the original application.

The following example performs some transactional work in the scope of a new global transaction. The transactional work is performed in an anonymous inner-class that implements the `run` method of the `UOWAction` interface. Any checked exceptions that the `run` method creates do not affect the outcome of the transaction.

```
// Retrieve an instance of the UOWManager interface from JNDI.
final InitialContext initialContext = new InitialContext();
final UOWManager uowManager = (UOWManager)initialContext.lookup("java:comp/websphere/UOWManager");

try
{
    // Invoke the runUnderUOW method, indicating that the logic should be run in a global
    // transaction, and that any existing global transaction should not be joined, that is,
    // the work must be performed in the scope of a new global transaction.
    uowManager.runUnderUOW(UOWSynchronizationRegistry.UOW_TYPE_GLOBAL_TRANSACTION, false, new UOWAction()
    {
        public void run() throws Exception
        {
            // Perform transactional work here.
        }
    });
}

catch (UOWActionException uowae)
{
    // Transactional work resulted in a checked exception being thrown.
}

catch (UOWException uowe)
{
    // The completion of the UOW failed unexpectedly. Use the getCause method of the
    // UOWException to retrieve the cause of the failure.
}
```

## SCA transaction intents

Service Component Architecture (SCA) provides declarative mechanisms in the form of *intents* for describing the transactional environment required by components.

This topic covers:

- “Using a global transaction” on page 144
- “Using local transaction containment” on page 145
- “Transaction intent default behavior” on page 146
- “Mapping of SCA intents on services to EJB or Spring transaction attributes” on page 146
- “Obtaining the transaction manager in Spring applications” on page 147

## Using a global transaction

Components that use a synchronous interaction style can be part of a single, distributed ACID transaction within which all transaction resources are coordinated to either atomically commit or roll back. This is specified by using the `managedTransaction.global` intent in the `requires` attribute of the `<implementation.java>` element as shown below.

```
<component name="DataAccessComponent">
  <implementation.java class="example.DataAccessImpl"
    requires="managedTransaction.global"/>
</component>
```

For `implementation.spring` components, specify the transaction attribute in the Spring application context file. For `implementation.jee` components, specify the transaction attribute in the Enterprise JavaBeans (EJB) deployment descriptor.

It is possible to control whether a component's service runs under its client's global transaction by specifying either the `propagatesTransaction` or `suspendsTransaction` intent on the component's `<service>` element.

### **propagatesTransaction**

The service runs under its client's global transaction. If the client is not running in a global transaction or chose not to propagate its global transaction, the service runs in its own global transaction.

### **suspendsTransaction**

The service runs in its own global transaction separate from the client transaction.

Specify the `propagatesTransaction` or `suspendsTransaction` intent on the component's `<service>` element only for services in `implementation.java` components. For `implementation.spring` components, specify the transaction attribute in the Spring application context file. For `implementation.jee` components, specify the transaction attribute in the EJB deployment descriptor.

It is also possible to control whether a component global transaction is propagated to a referenced service by specifying either the `propagatesTransaction` or `suspendsTransaction` intent on the component `<reference>` element.

### **propagatesTransaction**

The component's global transaction is made available to the referenced service. The referenced service might or may not use this transaction depending on how it is configured.

### **suspendsTransaction**

The component's global transaction is not made available to the referenced service.

You can specify the `propagatesTransaction` or `suspendsTransaction` intent on the component's `<reference>` element for references in all implementation types.

Transaction context is never propagated on `@OneWay` methods. The SCA run time ignores `propagatesTransaction` for `OneWay` methods.

Further, the product does not support `propagatesTransaction` intent on the `binding.atom` or `binding.jsonrpc` elements.

The following example shows the use of the `managedTransaction.global`, `propagatesTransaction`, and `suspendsTransaction` intents. The `DataUpdateComponent` runs in its own global transaction, not in its client's transaction, because `suspendsTransaction` is specified on its `<service>` element. Its global transaction is propagated to the referenced service `DataAccessComponent` because `propagatesTransaction` is specified on its `<reference>` element.

```
<component name="DataUpdateComponent">
  <implementation.java class="example.DataUpdateImpl"
    requires="managedTransaction.global"/>
  <reference name="DataAccessComponent"
    propagatesTransaction="true"/>
  <service name="DataUpdateComponent"
    suspendsTransaction="true"/>
</component>
```

```

<service name="DataUpdateService"
  requires="suspendsTransaction"/>
<reference name="myDataAccess" target="DataAccessComponent"
  requires="propagatesTransaction"/>
</component>

```

Propagating transactions over the web service binding requires the use of a WebSphere policy set that contains the WS-Transaction policy type. You can set up this policy set in one of the following ways:

- You can import the WSTransaction policy set that is provided with the product.
- You can create your own policy set and include the WS-Transaction policy type.

The following example assumes the use of the WSTransaction policy set.

```

<composite name="WSDataUpdateComposite"
  xmlns="http://www.osoa.org/xmlns/sca/1.0"
  xmlns:ws="http://www.ibm.com/xmlns/prod/websphere/sca/1.0/2007/06">
  <component name="WSDataUpdateComponent">
    <implementation.java class="example.DataUpdateImpl"
      requires="managedTransaction.global"/>
    <service name="DataUpdateService"
      requires="propagatesTransaction">
      <binding.ws ws:wsPolicySet="WSTransaction"/>
    </service>
    <reference name="myDataBuddy" target="DataBuddyComponent"
      requires="propagatesTransaction">
      <binding.ws ws:wsPolicySet="WSTransaction"/>
    </reference>
  </component>
</composite>

```

**Tip:** Transaction propagating might not result in a managed connection. Use a qualifying Java EE module for a managed connection and connection sharing.

## Using local transaction containment

Business logic might have to access transactional resource managers without the presence of a global transaction. A component can be configured to run under local transaction containment (LTC). The SCA runtime starts an LTC before dispatching a method on the component and completes the LTC at the end of the method dispatch. The component's interactions with resource providers (such as databases) are managed within resource manager local transactions (RMLTs). A resource manager local transaction (RMLT) represents a unit of recovery on a single connection that is managed by the resource manager.

The local transaction containment policy is configured by using an intent. There are two choices:

### **managedTransaction.local**

Use this intent when each interaction with a resource manager should be part of an extended local transaction that is committed at the end of the method. The SCA runtime wraps interactions with each resource manager in a resource manager local transaction (RMLT). The SCA runtime commits each RMLT at the end of method dispatch, unless an unchecked exception occurs, in which case the SCA runtime stops each RMLT. The component might not use resource manager commit/rollback interfaces or set `AutoCommit` to `true`. If multiple resource managers are used, the RMLTs are committed independently so it is possible for some to fail and some to succeed. If this behavior is not what you want, use a global transaction.

### **noManagedTransaction**

The SCA runtime does not wrap interactions with resource managers in a RMLT. The component implementation manages the start and end of its own RMLTs or gets `AutoCommit` behavior (which commits after each use of a resource) by default. The component must complete any RMLTs before the end of the method dispatch otherwise the SCA runtime stops them.

The intent is specified by using the `requires` attribute on the `<implementation.java>` element. An example is shown below.

```

<component name="DataAccessLocalComponent">
  <implementation.java class="example.DataAccessImpl"
    requires="managedTransaction.local"/>
</component>

```

A local transaction cannot be propagated from one component to another. It is an error to specify `propagatesTransaction` on a component's `<service>` if the component uses the `managedTransaction.local` or `noManagedTransaction` intent.

## Rollback

The SCA run time performs a rollback under the following circumstances:

- When `managedTransaction.global` is used, the SCA run time performs a rollback if the component method that started the global transaction throws an unchecked exception. An unchecked exception is a subclass of `java.lang.RuntimeException` or `java.lang.Error`. A checked exception does not force a rollback.
- When `managedTransaction.local` is used, the SCA run time performs a rollback if the component method throws an unchecked exception. An unchecked exception is a subclass of `java.lang.RuntimeException` or `java.lang.Error`. A checked exception does not force a rollback.
- When `noManagedTransaction` is used, the SCA run time performs a rollback of any RMLT that has not been committed by the component method, regardless of whether the method throws an exception or not.

When `managedTransaction.global` or `managedTransaction.local` is used, the business logic can force a rollback by using the `UOWSynchronization` interface.

```
com.ibm.websphere.uow.UOWSynchronizationRegistry uowSyncRegistry =  
    com.ibm.wsspi.uow.UOWManagerFactory.getUOWManager();  
    uowSyncRegistry.setRollbackOnly();
```

## Transaction intent default behavior

If transactional intents are not specified, the default behavior is vendor-specific. If a transactional intent is not specified for the implementation, the default is `managedTransaction.global`. If a transactional intent is not specified for a service or reference, the default is `suspendsTransaction`. It is recommended to specify the required intents rather than to rely on default behavior so that the application is portable.

## Using @Requires annotation to specify transaction intents

You can also specify transaction intents in the implementation class by using the `@Requires` annotation. The general form of the annotation is:

```
@Requires("{http://www.osoa.org/xmlns/sca/1.0}intent")
```

For example, you can use the following in the implementation class:

```
@Requires("{http://www.osoa.org/xmlns/sca/1.0}managedTransaction.global")
```

You can specify required intents on various elements, including the composite, component, implementation, service and reference elements. An element inherits the required intents of its parent element except when they conflict. For example, if a composite element requires `managedTransaction.global` and a component element requires `managedTransaction.local`, then the component uses `managedTransaction.local`.

You cannot use the `@Requires` annotation for `implementation.spring` components.

## Mapping of SCA intents on services to EJB or Spring transaction attributes

The following table contains information from Section 5.3 of the SCA Java EE Integration specification and lists the mapping of SCA intents on services to EJB or Spring transaction attributes.

Table 25. Mapping of EJB transaction attributes to SCA transaction implementation policies. See Section 5.3 of the SCA Java EE Integration specification.

EJB transaction attribute	SCA Transaction Policy required intents on services	SCA Transaction Policy required intents on implementations
NOT_SUPPORTED	suspendsTransaction	
REQUIRED	propagatesTransaction	managedTransaction.global
SUPPORTS	propagatesTransaction	managedTransaction.global
REQUIRES_NEW	suspendsTransaction	managedTransaction.global
MANDATORY	propagatesTransaction	managedTransaction.global
NEVER	suspendsTransaction	

For MANDATORY and NEVER attributes, policy mapping might not be accurate. These attributes express responsibilities of the EJB container as well as the EJB implementer rather than express a requirement on the service consumer.

## Obtaining the transaction manager in Spring applications

The product does not support local JNDI lookups in Spring applications that are referenced from SCA components. Thus, you cannot use `<tx:jta-transaction-manager/>` in the Spring application context file to obtain the WebSphere transaction manager.

To obtain the WebSphere transaction manager, add the following definition explicitly to the Spring `application-context.xml` file:

```
<bean id="WASTranMgr" class="com.ibm.wsspi.uow.UOWManagerFactory" factory-method="getUOWManager"/>
<bean id="transactionManager"
  class="org.springframework.transaction.jta.WebSphereUowTransactionManager">
  <property name="uowManager" ref="WASTranMgr"/>
  <property name="autodetectUserTransaction" value="false"/>
</bean>
```



---

## Chapter 10. Dynamic caching

This page provides a starting point for finding information about the dynamic cache service, which improves performance by caching the output of servlets, commands, web services, and JavaServer Pages (JSP) files.

Dynamic caching features include replication of cache entries, cache disk offload, Edge-Side Include caching, web services, and external caching. Use external caching to control caches outside of the application server.

---

### Dynamic cache service eviction policies

#### Disk cache infrastructure enhancements

Several performance enhancements are available for the dynamic cache service.

The dynamic cache service supports persisting objects to disk (specified by a file system location) so that objects that are evicted from the memory cache are not regenerated by the application server. Objects are written to disk when they are evicted from memory using a Least Recently Used (LRU) eviction algorithm. The objects in the memory cache may also be flushed to disk on normal server shutdown. Java objects that need to be offloaded to the disk should be serializable.

The disk offload function includes the following functions:

- An internal disk cache format for faster deletions and support for new options to limit disk cache size
- The disk cache garbage collector, which evicts objects out of the cache when a configured high threshold is reached
- Four new performance modes to tune your disk cache performance:
  - High performance/memory usage mode - keeps all metadata in system memory and provides the highest performance
  - Balanced performance/memory usage mode - provides optimal balance of performance and memory usage by keeping some metadata in system memory
  - Custom performance/memory usage mode - allows explicit configuration of the memory usage and customization of performance requirements
  - Low performance/memory usage mode - stores most of the metadata on disk for users who are very constrained on system memory

**Limiting the disk cache.** The dynamic cache service provides mechanisms to limit the use of the disk cache by specifying the size of the disk cache in gigabytes, in addition to the maximum number of entries that are persisted to the disk. The disk cache is considered full when either of these limits is reached and forms the basis for eviction of objects from the disk. If the cache subsystem cannot offload any more data to disk, due to either an out-of-disk space condition, insufficient space on disk, or an exception when writing data to disk as a result of a possibly corrupt disk, the disk offload capability is disabled to prevent data integrity problems. The event is logged and the disk cache subsystem is deleted. This prevents serving corrupt data from the cache on a restart. If the option to persist cache data is turned on, some information such as dependency and template information is flushed to disk on a server shutdown. If a disk full situation occurs during this shutdown process, any partially-persisted and un-persisted dependency or template data is removed from the cache. A side effect of this, to preserve integrity, is to invalidate the cached objects that are associated with the dependency or template data.

**Disk cache size in GB.** The disk cache size in GB option pertains primarily to the object data (which includes the cached object, its identifier, and metadata such as expiration time), template information and dependency information that are written to disk. The cache subsystem allocates separate storage and volumes (each of which can grow to 1 GB) for object data, templates and dependencies, as needed.

When the total number of volumes on disk exceeds the specified cache size, any subsequent data that is written to disk is discarded until more space is made available by the disk cache garbage collector. To preserve data integrity, any information that is related to discarded objects is invalidated as well. The thresholds for garbage collection (described below) and the disk cache full state are associated with the space available for object data. It is also possible that in certain, rare scenarios, as information is flushed to disk, critical system data needs to be written to disk, which may cause the total file system space required to exceed up to 5% of the specified maximum limit. It is recommended that there be at least 25% of actual file system space available for disk caching over and above the specified disk cache size in GB. It is also required that each cache instance has a unique disk offload location and it is recommended that each offload location be on a dedicated disk partition. The cache file system employs a logical file manager to manage storage allocation for cached objects, therefore the file system size or the size of the files in the cache directory may not be an accurate gauge of the available space for the cache subsystem. At the same time, because of the adjusted limit, the cache subsystem may encounter a cache full state prior to the approaching the specified maximum limit as measured in allocated file system space. The PMI counters provide a better picture of how full the cache is.

**Disabling the creation of ExtensionRegistry cache files.** Whenever a servant restarts, a new ExtensionRegistry cache file is created in the dynacache directory. These files keep accumulating because they are never deleted. If you do not need the data that is collected in these cache files, you can add the `disable.dynacache.offload` property to the extension registry properties file, and set the value of this property to `true`. Setting this property to `true` disables the creation of ExtensionRegistry cache files for that server. The extension registry properties file is located at the server configuration level under each profile:

```
profile_home/config/cells/cell_name/nodes/node_name  
/servers/server_name/extensionregistry.properties
```

## Eviction policies using the disk cache garbage collector

The disk cache garbage collector is responsible for evicting objects out of the disk cache, based on a specified eviction policy.

The garbage collector keeps a certain amount of space on disk available, which is governed by the configuration attribute that limits the amount of disk space that is used for caching objects. To enable the eviction policy, enable the `Limit disk cache size in GB` and/or `Limit disk cache size in entries` options in the administrative console.

The garbage collector is triggered when the disk space reaches a specified high threshold (a percentage of the `Limit disk cache size in entries` or in GB) and evicts objects, based on the eviction policy, from the disk in the background until the disk cache size reaches a specified low threshold (a percentage of the `Limit disk cache size in entries` or in GB). Eviction triggers when one or both of the high thresholds is reached for `Limit disk cache size in GB` and `Limit disk cache size in entries`. The supported policies are:

- **None:** This is the default policy. Objects are evicted only when they expire, or if they are invalidated.
- **Random:** The expired objects are removed first. If the disk size still has not reached the low threshold limit, objects are picked from the disk cache in random order and removed until the disk size reaches a low threshold limit.
- **Size:** The expired objects are removed first. If the disk size still has not reached the low threshold limit, then largest-sized objects are removed until the disk size reaches a low threshold limit.

`Limit disk cache size in GB` and `High Threshold` determines when to trigger eviction and when the disk cache is considered near full. It is computed as a function of the user-specified limit. If the specified limit is 10 GB (3 GB is the minimum), the cache subsystem initially creates three files that can grow to 1 GB in size for cache data, dependency ID information, and template information. Each time more space is needed to contain cache data, dependency ID information, or template information, a new file is created. Each of these files grow in 1 GB increments until the total number of files that are created is equal to disk cache in size in GB (in this case ten). Although the initial size of the new file may be much smaller than 1 GB, the dynamic cache service always rounds up to the next GB.



Eviction triggers when the cache data size reaches the high threshold and continues until the cache data size reaches the low threshold. Calculation of cache data size is dynamic. The following formula describes how to calculate the actual cache data size limit:

$$\text{cache data size limit} = \text{disk cache size (in GB)} - \text{number of dependency files per GB} - \text{number of template files}$$

When the cache data size limit is defined, the trigger point is calculated as follows:

$$\text{eviction trigger point} = \text{cache data size limit} * \text{high threshold}$$
$$\text{size of evicted entries} = \text{cache data size} * (\text{high threshold} - \text{low threshold})$$

Consider the following scenarios:

- **Scenario 1**

- Disk cache size in GB = 10 GB
- High threshold = 90%
- Low Threshold = 80%

Initially, there is one file for dependency ID and template ID.

$$\text{cache data size limit} = 10 - (1+1) = 8 \text{ GB}$$
$$\text{eviction trigger point} = 8 * 90\% = 7.2 \text{ GB}$$
$$\text{size of evicted entries} = 8 * (90\% - 80\%) = 0.8 \text{ GB}$$

In the above scenario, eviction starts when the data cache size reaches 7.2 GB and continues until the cache size is 6.4 GB (7.2 - 0.8).

- **Scenario 2**

In scenario 1, if the dependency files grow to more than 1 GB, an additional dependency file generates. The eviction trigger point launches dynamically as follows:

$$\text{cache data size limit} = 10 - (2+1) = 7 \text{ GB}$$
$$\text{eviction trigger point} = 7 * 90\% = 6.3 \text{ GB}$$
$$\text{size of evicted entries} = 7 * (90\% - 80\%) = 0.7 \text{ GB}$$

In the above scenario, eviction starts when the data cache size reaches 6.3 GB, and continues until the cache size in 5.6 GB (6.3 - 0.7).

**Disk cache eviction for limit disk cache size in entries.** Consider the following scenario:

- Disk cache size in entries = 100000
- High threshold = 90%
- Low threshold = 80%

$$\text{eviction trigger point} = 100000 * 90\% = 90000$$
$$\text{number of entries evicted} = 100000 * (90\% - 80\%) = 10000$$

In this scenario, eviction starts when the number of cache entries reaches 90000 and 10000 entries are evicted from the cache.

## Example: Caching web services

This topic includes examples of building a set of cache policies and SOAP messages for a web services application.

The following is a example of building a set of cache policies for a simple web services application. The application in this example stores stock quotes and has operations to read, update the price of, and buy a given stock symbol.

Following are two SOAP message examples that the application can receive, with accompanying HTTP Request headers.

The first message sample contains a SOAP message for a GetQuote operation, requesting a quote for IBM. This is a read-only operation that gets its data from the back end, and is a good candidate for caching. In this example the SOAP message is cached and a timeout is placed on its entries to guarantee the quotes it returns are current.

### Message example 1

```
POST /soap/servlet/soaprouter
HTTP/1.1
Host: www.myhost.com
Content-Type: text/xml; charset="utf-8"
SOAPAction: urn:stockquote-lookup
<SOAP-ENV:Envelope xmlns:SOAP-ENV="http://schemas.xmlsoap.org/soap/envelope/"
SOAP-ENV:encodingStyle="http://schemas.xmlsoap.org/soap/encoding/">
<SOAP-ENV:Body>
<m:getQuote xmlns:m="urn:stockquote">
<symbol>IBM</symbol>
</m:getQuote>
</SOAP-ENV:Body>
</SOAP-ENV:Envelope>
```

The SOAPAction HTTP header in the request is defined in the SOAP specification and is used by HTTP proxy servers to dispatch requests to particular HTTP servers. WebSphere Application Server dynamic cache can use this header in its cache policies to build IDs without having to parse the SOAP message.

Message example 2 illustrates a SOAP message for a BuyQuote operation. While message 1 is cacheable, this message is not, because it updates the back end database.

### Message example 2

```
POST /soap/servlet/soaprouter
HTTP/1.1
Host: www.myhost.com
Content-Type: text/xml; charset="utf-8"
SOAPAction: urn:stockquote-update
<SOAP-ENV:Envelope xmlns:SOAP-ENV="http://schemas.xmlsoap.org/soap/envelope/"
SOAP-ENV:encodingStyle="http://schemas.xmlsoap.org/soap/encoding/">
<SOAP-ENV:Body>
<m:buyStock xmlns:m="urn:stockquote">
<symbol>IBM</symbol>
</m:buyStock>
</SOAP-ENV:Body>
</SOAP-ENV:Envelope>
```

The following graphic illustrates how to invoke methods with the SOAP messages. In web services terms, especially Web Services Description Language (WSDL), a service is a collection of operations such as getQuote and buyStock. A body element namespace (urn:stockquote in the example) defines a service, and the name of the first body element indicates the operation.

The following is an example of WSDL for the getQuote operation:

```
<?xml version="1.0"?>
<definitions name="StockQuoteService-interface"
targetNamespace="http://www.getquote.com/StockQuoteService-interface"
xmlns:tns="http://www.getquote.com/StockQuoteService-interface"
xmlns:xsd="http://www.w3.org/2001/XMLSchema"
xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/"
xmlns="http://schemas.xmlsoap.org/wsdl/"
<message name="SymbolRequest">
<part name="return" type="xsd:string"/>
</message>
<portType name="StockQuoteService">
<operation name="getQuote">
```

```

<input message="tns:SymbolRequest"/>
<output message="tns:QuoteResponse"/>
</operation>
</portType>
<binding name="StockQuoteServiceBinding"
type="tns:StockQuoteService">
<soap:binding style="rpc" transport="http://schemas.xmlsoap.org/soap/http"/>
<operation name="getQuote">
<soap:operation soapAction="urn:stockquote-lookup"/>
<input>
<soap:body use="encoded" namespace="urn:stockquote"
encodingStyle="http://schemas.xmlsoap.org/soap/encoding"/>
</input>
<output>
<soap:body use="encoded" namespace="urn:stockquotes"
encodingStyle="http://schemas.xmlsoap.org/soap/encoding"/>
</output>
</operation>
</binding>
</definition>

```

To build a set of cache policies for a web services application, configure WebSphere Application Server dynamic cache to recognize cacheable service operation of the operation.

WebSphere Application Server inspects the HTTP request to determine whether or not an incoming message can be cached based on the cache policies defined for an application. In this example, buyStock and stock-update are not cached, but stockquote-lookup is cached. In the cachespec.xml file for this web application, the cache policies need defining for these services so that the dynamic cache can handle both SOAPAction and service operation.

WebSphere Application Server uses the operation and the message body in web services cache IDs, each of which has a component associated with them. Therefore, each web services <cache-id> rule contains only two components. The first is for the operation. Because you can perform the stockquote-lookup operation by either using a SOAPAction header or a service operation in the body, you must define two different <cache-id> elements, one for each method. The second component is of type "body", and defines how WebSphere Application Server should incorporate the message body into the cache ID. You can use a hash of the body, although it is legal to use the literal incoming message in the ID.

The incoming HTTP request is analyzed by WebSphere Application Server to determine which of the <cache-id> rules match. Then, the rules are applied to form cache or invalidation IDs.

The following is sample code of a cachespec.xml file defining SOAPAction and servicesOperation rules:

```

<cache>
<cache-entry>
<class>webservice</class>
<name>/soap/servlet/soaprouter</name>
<sharing-policy>not-shared</sharing-policy>
<cache-id>
<component id="" type="SOAPAction">
<value>urn:stockquote-lookup</value>
</component>
<component id="Hash" type="SOAPEnvelope"/>
<timeout>3600</timeout>
<priority>1</priority>
</component>
</cache-id>
<cache-id>
<component id="" type="serviceOperation">
<value>urn:stockquote:getQuote</value>
</component>
<component id="Hash" type="SOAPEnvelope"/>
<timeout>3600</timeout>

```

```
<priority>1</priority>
</component>
</cache-id>
</cache-entry>
</cache>
```

## Caching with Servlet 3.0

Dynamic cache provides servlet caching support for the Servlet 3.0 specification.

Be aware of the following API characteristics when using dynamic cache with Servlet 3.0:

- Dynamic cache wraps the `ServletRequest` and `ServletResponse` objects with its own cache application wrapper objects that extend `ServletRequestWrapper` and `ServletResponseWrapper` objects.
- Dynamic cache is always the first `AsyncListener` added to the `ServletRequest`.
- Users of `startAsync (ServletRequest req, ServletResponse res)` and public `AsyncContext startAsync()` should flush the response before calling this method. Flushing the response ensures that any data that is written to the wrapped cache response is not lost.
- Do not read from or write to the request and response objects that are passed into public void `addListener (AsyncListener, req, res)`. Additional wrapping might have occurred since the given `AsyncListener` was registered, and might be used to release any resources that are associated with them.
- The `do-not-consume` property is not supported for Servlet 3.0 when using dynamic cache. The runtime forces the parent servlet to consume subfragments and the `do-not-consume` property is ignored.

---

## Chapter 11. EJB applications

This page provides a starting point for finding information about enterprise beans.

Based on the Enterprise JavaBeans (EJB) specification, enterprise beans are Java components that typically implement the business logic of Java 2 Platform, Enterprise Edition (J2EE) applications as well as access data.

---

### Enterprise beans

An enterprise bean is a Java component that can be combined with other resources to create Java applications. There are three types of enterprise beans, *entity* beans, *session* beans, and *message-driven* beans.

All beans reside in Enterprise JavaBeans (EJB) containers, which provide an interface between the beans and the application server on which they reside.

EJB 2.1 and earlier versions of the specification define entity beans as a means to store permanent data, so they require connections to a form of persistent storage. This storage might be a database, an existing legacy application, a file, or another type of persistent storage.

The EJB 3.0 specification deprecates EJB 1.1-style entity beans. The Java Persistence API (JPA) specification is intended to replace the deprecated enterprise beans. While the JPA replacement is called an entity class, it should not be confused with entity enterprise beans. A JPA entity is not an enterprise bean and is not required to run in an EJB container.

Session beans typically contain the high-level and mid-level business logic for an application. Each method on a session bean performs a particular high-level operation. For example, submitting an order or transferring money between accounts. Session beans often invoke methods on entity beans in the course of their business logic.

Session beans can be either *stateful*, *stateless*, or *singleton*. A stateful bean instance is intended for use by a single client during its lifetime, where the client performs a series of method calls that are related to each other in time for that client. One example is a *shopping cart* where the client adds items to the cart over the course of an online shopping session. In contrast, a stateless bean instance is typically used by many clients during its lifetime, so stateless beans are appropriate for business logic operations that can be completed in the span of a single method invocation. Stateful beans should be used only where absolutely necessary. Using stateless beans improves the ability to debug, maintain, and scale the application.

The EJB 3.1 specification introduces singleton session beans. The EJB container initializes only one instance of a singleton session bean, and that instance is shared by all clients. Because a single instance is shared by all clients, singleton session beans have special life cycle and concurrency semantics. Singleton session beans can have business local, business remote, and web service client views; they cannot have EJB 2.1 local or remote client views.

The EJB 3.x specifications support stateless and stateful session beans. They follow a simple pattern such as:

- Define the business interface.
- Define the class that implements it.
- Add metadata with annotations or with XML deployment descriptors.

The result of a simple EJB 3.x stateful session bean looks like the following:

```

package ejb3demo;

@Stateful
public class Cart3Bean implements ShoppingCart {
    private ArrayList contents = new ArrayList();

    public void addToCart (Object o) {
        contents.add(o);
    }

    public Collection getContents() {
        return contents;
    }
}

```

EJB components can use annotations such as `@EJB` and other injectable `@Resource` references if the module is an EJB 3.x module.

Web application clients and application clients can use deployment descriptor-defined EJB references. If the reference is for an EJB 3.x session bean without a home interface, the reference should be defined with a null `<home>` or `<local-home>` setting in the deployment descriptor.

Web application clients and application clients can also use `@EJB` injections for references to EJB session beans within the same enterprise archive (EAR) file, but the binding must either use the AutoLink support within the container or the annotation must use the name of the reference that is defined by the deployment descriptor and bound when the application is installed. For more information about AutoLink, see the topic, "EJB 3.x application bindings support."

Message-driven beans enable asynchronous message servicing.

- The EJB container and a Java Message Service (JMS) provider work together to process messages. When a message arrives from another application component through JMS, the EJB container forwards it through an `onMessage` method call to a message-driven bean instance, which then processes the message. In other respects, message-driven beans are similar to stateless session beans.
- The EJB container and a Java Connector Architecture (JCA) resource adapter work together to process messages from an enterprise information system (EIS). When a message arrives from an EIS, the resource adapter receives the message and forwards it to a message-driven bean, which then processes the message. The message-driven bean is provided services such as transaction support by the EJB container in the same way that other enterprise beans are provided service.

Beans that require data access use *data sources*, which are administrative resources that define pools of connections to persistent storage mechanisms.

---

## Java EE application resource declarations

You can configure your Java Enterprise Edition (Java EE) applications to declare dependencies on external resources and configuration parameters. These resources might be injected into the application code, or might be accessed by the application through the Java Naming and Directory Interface (JNDI).

Resource references allow an application to define and use logical names that you can bind to resources when the application is deployed.

The following resource types can be declared by Java EE applications: simple environment entries, Enterprise JavaBeans (EJB) references, web service references, resource manager connection factory references, resource environment references, message destination references, persistence unit references, and persistence context references.

## Simple Environment Entries

You can define configuration parameters in your Java EE applications to customize business logic using simple environment entries. As described in the Java EE 6 application, simple environment entry values might be one of the following Java types: String, Character, Byte, Short, Integer, Long, Boolean, Double, Float, Class, and any subclass of Enum.

**Note:** The Java type, Class, and any subclass of Enum are new in Java EE 6.

The application provider must declare all of the simple environment entries accessed from the application code. The simple environment entries are declared using either annotations (`javax.annotation.Resource`) in the application code, or using `env-entry` elements in the XML deployment descriptor.

In the following example from an application, annotations declare environment entries:

```
// Retry interval in milliseconds
@Resource long retryInterval = 3000;
```

In the previous example, the field default value is 3000. You can use an `env-entry-value`, which you define in the XML deployment descriptor to change this value.

In the following example, an application declares a simple environment entry of type Class, and defines the Class to be injected using an `env-entry-value` element in the XML deployment descriptor.

```
@Resource(name=TraceFormatter) Class<?> traceFormatter;
```

```
<env-entry>
  <env-entry-name>TraceFormatter</env-entry-name>
  <env-entry-value>com.sample.trace.StdoutTraceFormatter</env-entry-value>
</env-entry>
```

In the previous example, the field value is set to the `com.sample.trace.StdoutTraceFormatter` Class object.

In the following example, an application which declares a simple environment entry called `validationMode` as a subclass of Enum in the `com.sample.Order` class, and configures the Enum value of `CALLBACK` to inject using elements in the XML deployment descriptor.

```
<env-entry>
  <env-entry-name>JPAValidation</env-entry-name>
  <env-entry-type>javax.persistence.ValidationMode</env-entry-type>
  <env-entry-value>CALLBACK</env-entry-value>
  <injection-target>
    <injection-target-class>com.sample.Order</injection-target-class>
    <injection-target-name>validationMode</injection-target-name>
  </injection-target>
</env-entry>
```

In the previous example, the `validationMode` field is set to the `CALLBACK` Enum value. Use the same approach when you use annotations and XML code to declare simple environment entries; for example:

```
@Resource (name=JPAValidation)
javax.persistence.ValidationMode validationMode;
```

```
<env-entry>
  <env-entry-name>JPAValidation</env-entry-name>
  <env-entry-value>CALLBACK</env-entry-value>
</env-entry>
```

**Note:** The simple environment entry support of the Java type, Class, and any subclass of Enum is new for Java EE 6. Previously, you might have developed your applications to declare these types as application resources using the `resource-env-ref` element in the XML deployment descriptor or using

the `javax.annotation.Resource` annotation. For applications that were using these Java types with the `javax.annotation.Resource` annotation, the `com.ibm.websphere.ejbcontainer.EE5Compatibility` system property must be enabled. Without the `EE5Compatibility` system property, the `binding-name` element of the `resource-env-ref` element in the `ibm-ejb-jar-bnd.xml` file is ignored, since the data type is now treated as a simple environment entry and not a resource environment reference.

**Note:** The `<lookup-name>` deployment descriptor element and the `lookup` annotation attribute are new in Java EE 6. They specify the JNDI name of a referenced EJB or resource, relative to the `java:comp/env` naming context. If either is used in a simple environment entry, you cannot use an `<env-entry-value>` in the same `<env-entry>`.

## Enterprise JavaBeans (EJB) References

As described in the Java EE 6 specification, you can develop your Java EE applications to declare references to enterprise bean homes or enterprise bean instances using logical names called EJB references.

When an application declares a reference to an EJB, the EJB that you reference will be resolved with one of the following techniques.

- Specify an EJB binding in the `ibm-ejb-jar-bnd.xml` file or `ibm-web-bnd.xml` file
- Specify an `<ejb-link>` element in `ejb-jar.xml` file or `web.xml` file
- Specify a `beanName` attribute on the `javax.ejb.EJB` annotation
- Specify a `<lookup-name>` element in `ejb-jar.xml` file or `web.xml` file
- Specify a `lookup` attribute on the `javax.ejb.EJB` annotation
- Locate an enterprise bean that implements the interface declared as the type of the EJB reference (referred to as `AutoLink`).

The EJB container attempts to resolve the EJB reference using the previous techniques in the order they are listed.

**Note:** If `<lookup-name>` or `lookup` is used in an EJB reference, you cannot use `<ejb-link>` or `beanName` in the same EJB reference.

**Note:** All of the following EJB reference examples assume the `SampleCart` bean has only a single interface. If the `SampleCart` bean had multiple interfaces, then add the following suffix to the end of the binding, `<ejb-link>` element, or `beanName` attribute : `!com.sample.Cart`.

In the following example, an application declares an EJB reference using an annotation, and provides a binding for resolution.

```
@EJB(name="Cart")
Cart shoppingCart;
```

```
<ejb-ref name="Cart" binding-name="java:app/SampleEJB/SampleCart"/>
```

In the following example, an application declares an EJB reference using an annotation, and provides an `ejb-link` element for resolution.

```
@EJB(name="Cart")
Cart shoppingCart;
```

```
<ejb-local-ref>
  <ejb-ref-name>Cart</ejb-ref-name>
  <ejb-link>SampleEJB/SampleCart</ejb-link>
</ejb-local-ref>
```



In the following example, an application declares an EJB reference using an annotation, and provides a lookup attribute for resolution, from the source bean `com.sample.SourceBean`.

```
@EJB(name="Cart" lookup="java:app/SampleEJB/SampleCart")
Cart shoppingCart;
```

The application could alternatively declare the EJB reference using the `<lookup-name>` element in the XML deployment descriptor, as in the following example.

```
<ejb-local-ref>
  <ejb-ref-name>Cart</ejb-ref-name>
  <lookup-name>java:app/SampleEJB/SampleCart</lookup-name>
  <injection-target>
    <injection-target-class>com.sample.SourceBean</injection-target-class>
    <injection-target-name>ShoppingCart</injection-target-name>
  </injection-target>
</ejb-local-ref>
```

In the following example, an application declares an EJB reference using an annotation, and provides a `beanName` attribute for resolution.

```
@EJB(name="Cart" beanName="SampleEJB/SampleCart")
Cart shoppingCart;
```

## Resource Environment References

As described in the Java EE 6 specification, you can develop applications to declare references to administered objects that are associated with a resource, such as a Connector CCI `InteractionSpec` instance, or other object types managed by the EJB container, including `javax.transaction.UserTransaction`, `javax.ejb.EJBContext`, `javax.ejb.TimerService`, `org.omg.CORBA.ORB`, `javax.validation.Validator`, `javax.validation.ValidatorFactory`, or `javax.enterprise.inject.spi.BeanManager`.

When an application declares a reference to an administered object, you must provide a binding to the administered object when the application is deployed. You can provide the binding using the administrative console when you deploy the application, or you can add the binding to the WebSphere binding XML file, `ibm-ejb-jar-bnd.xml` or `ibm-web-bnd.xml`.

In the following example, an application declares a resource environment reference, and provides a binding to the resource:

```
@Resource(name="jms/ResponseQueue")
Queue responseQueue;
```

```
<session name="StatelessSampleBean">
  <resource-env-ref name="jms/ResponseQueue" binding-name="Jetstream/jms/ResponseQueue"/>
</session>
```

The application could alternatively declare the resource environment reference using the lookup attribute, and not require a binding, as in the following example:

```
@Resource(name="jms/ResponseQueue", lookup="Jetstream/jms/ResponseQueue")
Queue responseQueue;
```

```
<resource-env-ref>
  <resource-env-ref-name>jms/ResponseBean</resource-env-ref-name>
  <resource-env-ref-type>javax.jms.Queue</resource-env-ref-type>
</resource-env-ref>
```

When an application declares a reference to a container managed object type, a binding is not used. The container provides the correct instance of the referenced object. In the following example, an application declares a resource environment reference to a container-managed object:

```
@Resource
javax.validation.Validator validator;
```

## Resource References to Resource References

A new lookup field on the `@Resource` annotation is added with Java EE 6. You can now declare a resource reference to a resource reference as shown in the following example:

```
@Resource(name="java:global/env/jdbc/ds1ref",
          lookup="java:global/env/jdbc/ds1",
          authenticationType=Resource.AuthenticationType.APPLICATION,
          shareable=false)
DataSource ds1ref;
@Resource(name="java:global/env/jdbc/ds1refref",
          lookup="java:global/env/jdbc/ds1ref",
          authenticationType=Resource.AuthenticationType.APPLICATION,
          shareable=true)
DataSource ds1refref;
```

The lookup uses the innermost nesting of references, which in this case is "java:global/env/jdbc/ds1ref".

---

## Message-driven beans - automatic message retrieval

WebSphere Application Server supports the use of message-driven beans as asynchronous message consumers.

The following figure shows an incoming message being passed automatically to the `onMessage()` method of a message-driven bean that is deployed as a listener for the destination. The message-driven bean processes the message, in this case passing the message on to a business logic bean for business processing.

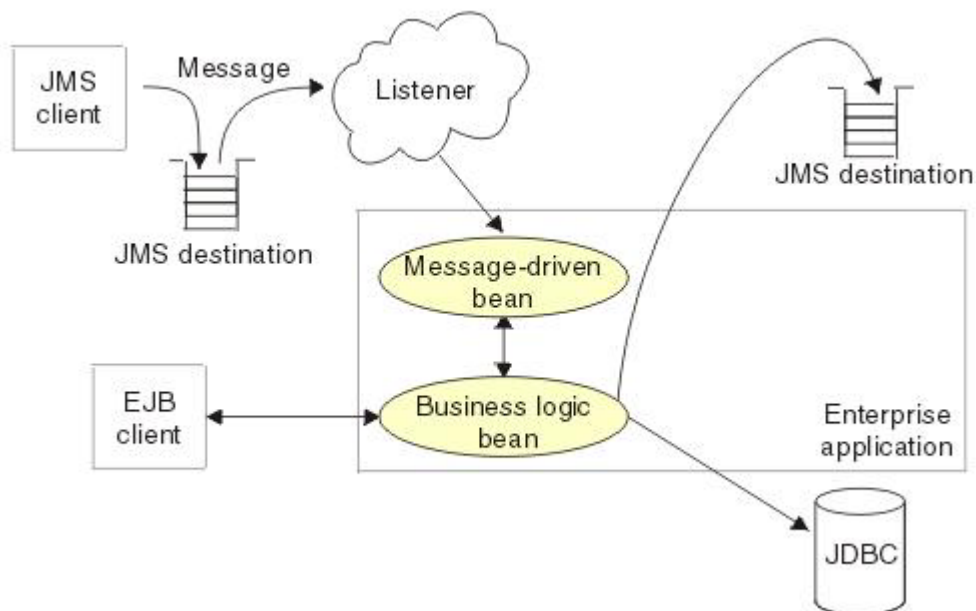


Figure 16. Messaging with message-driven beans

A client sends messages to the destination (or endpoint) for which the message-driven bean is deployed as the message listener. When a message arrives at the destination, the EJB container invokes the message-driven bean automatically without an application having to explicitly poll the destination. The message-driven bean implements some business logic to process incoming messages on the destination.

It can be helpful to separate the business logic of your application from the communication interfaces, such as the JMS request and response handling. To achieve this separation, you can design your message-driven bean to delegate the business processing of incoming messages to another enterprise bean. Separating message handling and business processing enables different users to access the same business logic in different ways, either through incoming messages or, for example, from a WebSphere J2EE client.

Message-driven beans can be configured as listeners on a Java EE Connector Architecture (JCA) 1.5 resource adapter or against a listener port (as for WebSphere Application Server Version 5). With a JCA 1.5 resource adapter, message-driven beans can handle generic message types, not just JMS messages. This makes message-driven beans suitable for handling generic requests inbound to WebSphere Application Server from enterprise information systems through the resource adapter. In the JCA 1.5 specification, such message-driven beans are commonly called *message endpoints* or just *endpoints*.

All message-driven beans must implement the MessageDrivenBean interface. For JMS messaging, a message-driven bean must also implement the message listener interface, `javax.jms.MessageListener`.

Messages arriving at a destination being processed by a message-driven bean have no client credentials associated with them; the messages are anonymous. Security depends on the role specified by the RunAs Identity for the message-driven bean as an EJB component. For more information about EJB security, see *Securing enterprise bean applications*.

For JMS messaging, message-driven beans can use a JMS provider that has a JCA 1.5 resource adapter, for example the default messaging provider that is part of WebSphere Application Server or the WebSphere MQ messaging provider. With a JCA 1.5 resource adapter, you deploy EJB 2.1 message-driven beans as JCA 1.5-compliant resources, to use a J2C activation specification. If the JMS provider does not have a JCA 1.5 resource adapter, for example the V5 default messaging provider, you must configure JMS message-driven beans against a listener port.

## Message-driven beans, activation specifications, and listener ports

Guidelines, related to versions of WebSphere Application Server, to help you choose when to configure your message-driven beans to work with listener ports rather than activation specifications.

You can configure the following resources for message-driven beans:

- Activation specifications for message-driven beans that comply with Java EE Connector Architecture (JCA) Version 1.5.
- The message listener service, listener ports, and listeners for any message-driven beans that you want to deploy against listener ports.

Activation specifications are the standardized way to manage and configure the relationship between an MDB running in WebSphere Application Server and a destination in WebSphere MQ. They combine the configuration of connectivity, the Java Message Service (JMS) destination and the runtime characteristics of the MDB, within a single object.

Activation specifications supersede the use of listener ports, which became a stabilized feature in WebSphere Application Server Version 7.0 (for more information, see “Stabilized features” on page 1185). There are several advantages to using activation specifications over listener ports:

- Activation specifications are simple to configure, because they only require two objects: the activation specification and a message destination. Listener ports require three objects: a connection factory, a message destination, and the message listener port itself.
- Activation specifications are not limited to the server scope. They can be defined at any administrative scope in WebSphere Application Server. Message listener ports must be configured at the server scope. This means that each server in a node requires its own listener port. For example, if a node is made up

of three servers, three separate listener ports must be configured. Activation specifications can be configured at the node scope, so in the example only one activation specification would be needed.

- Activation specifications are part of the Java Platform, Enterprise Edition Connector Architecture 1.5 standards specification (JCA 1.5). Listener port support in WebSphere Application Server makes use of the application server facilities interfaces defined in the JMS specification, but is not part of any specification itself.

For WebSphere Application Server Version 7 and later, listener ports are stabilized. For more information, read the article on stabilized features. You should plan to migrate your WebSphere MQ message-driven bean deployment configurations from using listener ports to using activation specifications. However, you should not begin this migration until you are sure the application does not have to work on application servers earlier than WebSphere Application Server Version 7. For example, if you have an application server cluster with some members at Version 6.1 and some at Version 7, you should not migrate applications on that cluster to use activation specifications until after you migrate all the application servers in the cluster to Version 7.

If you want to use message-driven beans with a messaging provider that does not have a JCA 1.5 resource adapter, you cannot use activation specifications and therefore you must configure your beans against a listener port. There are also a few scenarios in which, although you could use activation specifications, you might still choose to use listener ports. For example, for compatibility with existing message-driven bean applications. Here are some guidelines, related to versions of WebSphere Application Server, to help you choose when to use listener ports rather than activation specifications:

- WebSphere Application Server Version 4 does not support message-driven beans, so listener ports and activation specifications are not applicable. WebSphere Application Server Version 4 does support message beans, but these are not message-driven beans.
- WebSphere Application Server Version 5 supports EJB 2.0 (JMS only) message-driven beans that are deployed using listener ports. This deployment technology is sometimes called application server facility (ASF).
- WebSphere Application Server Version 6 continues to support message-driven beans that are deployed to use listener ports, and also supports JCA, which you can use to deploy message-driven beans that use activation specifications. This gives you the following options for deploying message-driven beans on WebSphere Application Server Version 6:
  - You must deploy default messaging (service integration bus) message-driven beans to use activation specifications.
  - You must deploy WebSphere MQ message-driven beans to use listener ports.
  - You can deploy third-party messaging message-driven beans to use either listener ports or activation specifications, depending on the facilities available from your third-party messaging provider.
- WebSphere Application Server Version 7.0 or later continues to support the same options for message-driven bean deployment that WebSphere Application Server Version 6 supports, and adds a new option for WebSphere MQ message-driven beans. This gives you the following options for deploying message-driven beans on Version 7.0 or later:
  - You must deploy default messaging (service integration bus) message-driven beans to use activation specifications.
  - You can deploy new and existing WebSphere MQ message-driven beans to use listener ports (as on WebSphere Application Server Version 6) or to use activation specifications.
  - You can deploy third-party messaging message-driven beans to use either listener ports or activation specifications, depending on the facilities available from your third-party messaging provider.

To assist in migrating listener ports to activation specifications, the WebSphere Application Server administrative console provides a **Convert listener port to activation specification** wizard on the Message listener port collection panel. This allows you to convert existing listener ports into activation

specifications. However, this function only creates a new activation specification with the same configuration used by the listener port. It does not modify application deployments to use the newly created activation specification.

## Message processing in ASF mode and non-ASF mode

Application Server Facilities (ASF) mode is the default method by which the message listener service in WebSphere Application Server processes messages. This topic explains how WebSphere Application Server processes messages in ASF mode and how it processes messages when ASF mode is turned off.

For WebSphere Application Server Version 7 and later, listener ports are stabilized. For more information, read the article on stabilized features. You should plan to migrate your WebSphere MQ message-driven bean deployment configurations from using listener ports to using activation specifications. However, you should not begin this migration until you are sure the application does not have to work on application servers earlier than WebSphere Application Server Version 7. For example, if you have an application server cluster with some members at Version 6.1 and some at Version 7, you should not migrate applications on that cluster to use activation specifications until after you migrate all the application servers in the cluster to Version 7.

**Note:** If you are using WebSphere MQ as your messaging provider, in the examples in this topic, JMS provider refers to your WebSphere MQ queue manager.

## Main features of ASF mode

By default, message-driven beans (MDBs) that are deployed on WebSphere Application Server for use with listener ports, use ASF mode to monitor JMS destinations and to process messages.

In ASF mode, a thread is allocated for work when a message is detected at the destination for it to process. The number of threads that can be active concurrently is dictated by the value specified for the **Maximum Sessions** property for the listener port.

In client connection (socket attach) mode, each active thread is an individual physical network connection. You should keep this in mind when you are deciding whether to use ASF or non-ASF mode in your configuration. If you are using WebSphere MQ Version 7.0 as your messaging provider, it is possible to have up to ten threads sharing a single physical network connection.

If WebSphere MQ is your messaging provider, there are several configurations you can use in ASF mode. With the following configurations each thread uses a separate physical network connection:

- A WebSphere MQ Version 6.0 queue manager.
- A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider version** property set to 6.
- A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider version** property set to 7 or unspecified, connecting over a WebSphere MQ channel that has the **SHARECNV** (sharing conversations) parameter set to 0.

With the following configuration, threads share a user-defined number of physical network connections:

- A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider version** property set to 7 or unspecified, connecting over a WebSphere MQ channel that has the **SHARECNV** (sharing conversations) parameter set to 1 or higher. In this case each thread represents an individual connection to a queue manager. However, each thread does not have its own physical network connection. Instead, the threads share the number of network connections specified in the **SHARECNV** (sharing conversations) parameter.

## | **Main features of non-ASF mode**

| In non-ASF mode threads are active from the moment that the listener port is turned on. The number of active threads is dictated by the value specified for the **Maximum Sessions** property on the listener port. The number of threads specified in **Maximum Sessions** are active, regardless of the number of messages that are available to be processed.

| In non-ASF mode, when a listener port browses for messages at the destination, it will take the message that is first in the queue at the destination for processing. This means that messages are processed close to the order in which they arrive at the destination.

| In client connection (socket attach) mode, each active thread is an individual physical network connection. You should keep this in mind when you are deciding whether to use ASF or non-ASF mode in your configuration. If you are using WebSphere MQ Version 7.0 as your messaging provider, it is possible to have up to ten threads sharing a single physical network connection.

| If WebSphere MQ is your messaging provider, there are several configurations you can use in non-ASF mode. With the following configurations each thread uses a separate physical network connection:

- | • A WebSphere MQ Version 6.0 queue manager.
- | • A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider version** property set to 6.
- | • A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider version** property set to 7 or unspecified, connecting over a WebSphere MQ channel that has the **SHARECNV** (sharing conversations) parameter set to 0.

| With the following configuration, threads share a user-defined number of physical network connections:

- | • A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider version** property set to 7 or unspecified, connecting over a WebSphere MQ channel that has the **SHARECNV** (sharing conversations) parameter set to 1 or higher. In this case each thread represents an individual connection to a queue manager. However, each thread does not have its own physical network connection. Instead, the threads share the number of network connections specified in the **SHARECNV** (sharing conversations) parameter.

| **Note:** Non-ASF mode cannot be selected on z/OS systems.

## | **How messages are processed in ASF mode**

| In ASF mode, server sessions and threads are only allocated for work when a message that is suitable for the message-driven bean (MDB) is detected.

| The default value for **Maximum Sessions** on listener ports is 1. This means that the MDB can only process one message at a time. The example shows how messages are processed in ASF mode when **Maximum Sessions** is set to 1:

- | 1. When the listener port is started, it opens a connection to the JMS provider and creates an internal queue agent.
- | 2. The queue agent listens to the JMS destination for messages.
- | 3. The queue agent detects a message.
- | 4. The queue agent checks whether the message is suitable for the MDB that is using the listener port.
- | 5. If the message is suitable for the MDB, the listener port allocates a thread from the message listener service thread pool, and allocates a server session from the application server's server session pool. If this is the first time the server session has been used since the listener port has been started, it opens a connection to the JMS provider. The allocated server session runs on the allocated thread.

6. The queue agent passes the ID of the message to the server session. It then starts listening for messages again.
7. The server session uses the message ID to retrieve the message from the destination.
8. The server session processes the message by calling the `onMessage()` method of the MDB.
9. When the message is processed, the server session exits and returns to the application server session pool. The connection that the server session has opened to the JMS provider remains open so that the server session does not need to re-establish the connection the next time it is used.
10. The thread exits and returns to the message listener service thread pool.

ASF mode enables you to process more than one message concurrently. To do this, set **Maximum Sessions** to a value higher than 1. If, for example, **Maximum Sessions** is set to 2, messages are processed in the following way:

1. The queue agent detects the first message and allocates a thread and a server session as in the first example. The message is processed using the `onMessage()` method of the MDB.
2. Whilst the first message is processing, the queue agent starts listening for messages again.
3. The queue agent detects the second message and allocates a second thread and a second server session. The message is processed using the `onMessage()` method of the MDB.
4. When the first message is processed, the first server session exits and returns to the server session pool. The first thread exits and returns to the thread pool.
5. When the second message is processed, the second server session exits and returns to the server session pool. The second thread exits and returns to the thread pool.

## How messages are processed in non-ASF mode

In non-ASF mode threads are active from the moment that the listener port is started. The number of active threads is dictated by the value specified for **Maximum Sessions**. The number of threads specified in **Maximum Sessions** are active, regardless of the number of messages that are available to be processed. Each active thread is an individual physical network connection. If you are using WebSphere MQ Version 7.0 as your messaging provider, it is possible to have up to ten threads sharing a single physical network connection.

To activate non-ASF mode you must specify a non-zero value for the **NON.ASF.RECEIVE.TIMEOUT** message listener service custom property. The **NON.ASF.RECEIVE.TIMEOUT** custom property acts as a switch that turns off ASF mode, and also as a timeout value for the `receive()` method.

The following message listener service custom properties do not work in non-ASF mode:

- **SERVER.SESSION.POOL.REAP**
- **SERVER.SESSION.POOL.UNUSED.TIMEOUT**
- **SERVER.SESSION.POOL.UNUSED.TIMEOUT.Ipaname**

The default value for **Maximum Sessions** is 1. This means that the MDB can only process one message at a time. Non-ASF mode processes messages in the following way when **Maximum Sessions** is set to 1:

1. When the listener port is started, it gets one thread from the message listener service thread pool.
2. The listener port opens a connection to the JMS provider on the thread and creates a JMS message consumer. The message consumer listens to the JMS destination which the listener port is configured to listen to.
3. The listener port creates a transaction to manage the message processing.
4. The thread calls the `receive()` method on the message consumer to listen for messages at the destination. If the `receive()` method does not detect a message in the time specified for **NON.ASF.RECEIVE.TIMEOUT**, the application server rolls back the active transaction and starts a new one. The thread then starts calling the `receive()` method again.

5. When the queue agent detects a message it checks whether the message is suitable for the MDB that is using the listener port.
6. If the message is suitable, the `receive()` method takes it off the destination and sends it to the thread.
7. The thread invokes the `onMessage()` method of the MDB on the message consumer, and the message is processed.
8. If the message finishes processing successfully, the transaction commits. If the message does not process successfully, the transaction rolls back.
9. A new transaction is started and the message consumer calls the `receive()` method to listen for new messages.

Non-ASF mode enables you to process more than one message at once. To do this, set **Maximum Sessions** to a value higher than 1. If, for example, **Maximum Sessions** is set to 2, messages are processed in the following way:

1. When the listener port is started, it gets two threads from the message listener service thread pool.
2. The listener port creates a message consumer and a transaction on each thread. The message consumers listen to the destination which the listener port is configured to listen to.
3. Both message consumers call the `receive()` method to listen for messages on the destination. The consumers compete to get messages from the destination.
4. When one of the consumers successfully retrieves the message, it processes it by calling the `onMessage()` method of the MDB. The other message consumer keeps on calling the `receive()` method to listen for messages on the destination.

If your messaging system is running in non-ASF mode, to avoid unwanted transaction timeouts, you must make sure that the time that you specify for the **NON.ASF.RECEIVE.TIMEOUT** message listener service custom property is smaller than the sum of the maximum amount of time that the `onMessage()` method of the message-driven bean (MDB) takes to process the message, plus the time you specify for the **Total transaction lifetime timeout** transaction service property.

If these properties are not correctly configured, transactions can time out before they are completed. This is because the thread begins calling the `receive()` method as soon as the transaction is created. In the following example, **NON.ASF.RECEIVE.TIMEOUT** is set to 110000 milliseconds (110 seconds), **Total transaction lifetime timeout** is set to 120 seconds and the `onMessage()` method of the MDB takes 15 seconds to process a message. The example supposes that a message does not appear at the destination until the `receive()` method has almost timed out:

1. The listener port starts. It allocates a thread from the thread pool and creates a transaction and a message consumer on the thread.
2. The thread calls the `receive()` method to listen for messages.
3. After 110 seconds a message appears at the destination.
4. The thread removes the message from the destination and calls the `onMessage()` method of the MDB to begin processing the message.
5. 10 seconds later, the transaction timeout is reached. The application server marks the transaction for rollback.
6. 5 seconds later, the `onMessage()` method finishes processing the message and tries to commit the transaction.
7. The total amount of time that has elapsed since the transaction was started is 125 seconds (110 seconds waiting for a message, plus 15 seconds to process the message). As this is longer than the transaction timeout, the application server prevents the transaction from being committed, and it is rolled back.

For further information about how to configure the **NON.ASF.RECEIVE.TIMEOUT** and **Total transaction lifetime timeout** properties to avoid unwanted transaction time outs, see the related tasks.



## Message-driven beans - JCA components

There are several administrative components that you configure for message-driven beans as listeners on a Java EE Connector Architecture (JCA) 1.5 resource adapter.

### Components for a JCA resource adapter

When a resource adapter is installed, it provides definitions and classes for administered objects such as activation specifications. The administrator creates and configures activation specifications with Java Naming and Directory Interface (JNDI) names that are then available for applications to use.

The JCA resource adapter uses an activation specification to configure a particular endpoint. Each application that configures one or more endpoints must specify the resource adapter that sends messages to the endpoint. The application uses the activation specification to provide configuration properties for the processing of inbound messages.

### JMS components used with a JCA messaging provider

Message-driven beans that implement the `javax.jms.MessageListener` interface can be used with JMS messaging.

An application that uses JMS messaging needs access at runtime to configured objects such as connection factories and destinations:

- When the JMS provider is the default JMS provider or the WebSphere MQ messaging provider, the administrator configures these objects for the JMS provider. For example, to configure a JMS activation specification for the WebSphere MQ messaging provider, in the WebSphere Application Server administrative console navigate to **Resources > JMS->Activation specifications**.
- Otherwise the administrator configures these objects for the JMS resource adapter, which connects the application to a JMS provider, by navigating to **Resources > Resource Adapters**.

If the application contains one or more message-driven beans, the administrator must configure either a JMS activation specification or a message listener port. For JCA-compliant messaging providers, the administrator usually configures an activation specification. But for the WebSphere MQ messaging provider there is a choice; the administrator can configure an activation specification or, for compatibility with previous versions of WebSphere Application Server, the administrator can configure a message listener port.

The JMS activation specification provides the deployer with information about the configuration properties of a message-driven bean related to the processing of the inbound messages. For example, a JMS activation specification specifies the name of the service integration bus to connect to, information about the message acknowledgement modes, message selectors, destination types, and whether durable subscriptions are shared across connections with members of a server cluster.

The activation specification identifies a JMS destination by specifying its JNDI name. The message-driven bean acts as a listener on a specific JMS destination.

The JMS destination refers to a service integration bus destination (or WebSphere MQ destination) that the administrator must also configure. For more information about JMS resources and service integration, see “Default messaging” on page 218.

### J2C activation specification configuration and use

Configure J2C activation specifications, and use them in the deployment of message-driven beans for JCA 1.5 resources.

J2C activation specifications are part of the configuration of inbound messaging support that can be part of a JCA 1.5 resource adapter. Each JCA 1.5 resource adapter that supports inbound messaging defines one or more types of message listener in its deployment descriptor (`messageListener` in the `ra.xml`). The message listener is the interface that the resource adapter uses to communicate inbound messages to the message endpoint. A message-driven bean (MDB) is a message endpoint and implements one of the message listener interfaces provided by the resource adapter. By allowing multiple types of message listener, a resource adapter can support a variety of different protocols. For example, the interface `javax.jms.MessageListener`, is a type of message listener that supports JMS messaging. For each type of message listener that a resource adapter implements, the resource adapter defines an associated activation specification (`activationSpec` in the `ra.xml`). The activation specification is used to set configuration properties for a particular use of the inbound support for the receiving endpoint.

When an application containing a message-driven bean is deployed, the deployer must select a resource adapter that supports the same type of message listener that the message-driven bean implements. As part of the message-driven bean deployment, the deployer needs to specify the properties to set on the J2C activation specification. Later, during application startup, a J2C activation specification instance is created, and these properties are set and used to activate the endpoint (that is, to configure the resource adapter inbound support for the specific message-driven bean).

Applications with message-driven beans can also specify all, some, or none of the configuration properties needed by the `ActivationSpec` class, to override those defined by the resource adapter-scoped definition. These properties, specified as activation-config properties in the deployment descriptor for the application, are configured when the application is assembled. To change any of these properties requires redeploying the application. These properties are unique to this application's use and are not shared with other message-driven beans. Any properties defined in the application deployment descriptor take precedence over those defined by the resource adapter-scoped definition. This allows application developers to choose the best defaults for their applications.

## Activation specification optional binding properties

Binding properties that you can specify for activation specifications to be deployed on WebSphere Application Server.

### J2C authentication alias

If you provide values for user name and password as custom properties on an activation specification, you might not want to have those values exposed in clear text for security reasons. You can use WebSphere security to securely define an authentication alias for such cases. Configuration of activation specifications, both as an administrative object and during application deployment, enable you to use the authentication alias instead of providing the user name and password.

If you set the authentication alias field, then you should not set the user name and password custom properties fields. Also, authentication alias properties set as part of application deployment take precedence over properties set on an activation specification administrative object.

Only the authentication alias is ever written to file in an unencrypted form, even for purposes of transaction recovery logging. The security service is used to protect the real user name and password.

During application startup, when the activation specification is being initialized as part of endpoint activation, the server uses the authentication alias to retrieve the real user name and password from security then set it on the activation specification instance.

### Destination JNDI name

For resource adapters that support JMS you must associate `javax.jms.Destinations` with an activation specification, such that the resource adapter can service messages from the JMS destination. In this case, the administrator configures a J2C Administered Object that implements the `javax.jms.Destination` interface and binds it into JNDI.

You can configure a J2C Administered Object to use an ActivationSpec class that implements a `setDestination(javax.jms.Destination)` method. In this case, you can specify the destination JNDI name (that is, the JNDI name for the J2C Administered object that implements the `javax.jms.Destination`).

A destination JNDI name set as part of application deployment take precedence over properties set on an activation specification administrative object.

During application startup, when the activation specification is being initialized as part of endpoint activation, the server uses the destination JNDI name to look up the destination administered object then set it on the activation specification instance.

## Message-driven beans - transaction support

Message-driven beans can handle messages on destinations (or endpoints) within the scope of a transaction.

### Transaction handling when using the Message Listener Service with WebSphere MQ JMS

There are three possible cases, based on the message-driven bean deployment descriptor setting you choose: container-managed transaction (required), container-managed transaction (not supported), and bean-managed transaction.

In the message-driven bean deployment descriptor settings, you can choose whether the message-driven bean manages its own transactions (bean-managed transaction), or whether a container manages transactions on behalf of the message-driven bean (container-managed transaction). If you choose container-managed transactions, in the deployment descriptor notebook, you can select a container transaction type for each method of the bean to determine whether container transactions are required or not supported. The default container transaction type is required.

#### Container-managed transaction (required)

In this case, the application server starts a global transaction before it reads any incoming message from the destination, and before the `onMessage()` method of the message-driven bean is invoked by the application server. This means that other EJBs that are invoked in turn by the message, and interactions with resources such as databases can all be scoped inside this single global transaction, within which the incoming message was obtained.

If this application flow completes successfully, the global transaction is committed. If the flow does not complete successfully, (if the transaction is marked for rollback or if a runtime exception occurs), the transaction is rolled back, and the incoming message is rolled back onto the message-driven bean destination.

#### Container-managed transaction (not supported)

In this case there is no global transaction, but the JMS provider can still deliver a message from a message-driven bean destination to the application server in a unit of work. You can consider this as a local transaction, because it does not involve other resources in its transactional scope.

The application server acknowledges message delivery on successful completion of the `onMessage()` dispatch of the message-driven bean (using the acknowledgement mode specified by the assembler of the message-driven bean).

However, the application server does not perform an acknowledge, if an unchecked runtime exception is thrown from the `onMessage()` method. So, does the message roll back onto the message-driven bean destination (or is it acknowledged and deleted)?

The answer depends on whether a syncpoint is used by the WebSphere MQ JMS provider and can vary depending on the operating platform (in particular the z/OS operating platform can impart different behavior here).

If WebSphere MQ establishes a syncpoint around the message-driven bean message consumption in this container-managed transaction (not supported) case, the message is rolled back onto the destination after an unchecked exception.

If a syncpoint is not used, then the message is deleted from the destination after an unchecked exception.

For related information, see the technote 'MDB behavior is different on z/OS than on distributed when getting nonpersistent messages within syncpoint' at <http://www.ibm.com/support/docview.wss?uid=swg21231549>.

### **Bean-managed transaction**

In this case, the action is similar to the container-managed transaction (not supported) case. Even though there might be a user transaction in this case, any user transaction started within the `onMessage` dispatch of the message-driven bean does not include consumption of the message from the message-driven bean destination within the transaction scope. To do this, use the container-managed transaction (required) scenario.

### **Message redelivery**

In each of the previous three cases, a message that is rolled back onto the message-driven bean destination is eventually re-dispatched. If the original rollback was due to a temporary system problem, you would expect the re-dispatch of the message-driven bean with this message to succeed on re-dispatch. If, however, the rollback was due to a specific message-related problem, the message would repeatedly be rolled back and re-dispatched. This would be an inefficient use of processing resources.

The application server handles this scenario which is known as a poison message scenario, by tracking the frequency with which a message is dispatched, and by stopping the associated listener port after a specified number of redeliveries has occurred. This is a configurable value on the Maximum Retries property on a listener port. For more information, see Listener port settings.

**Note:** A Maximum Retries value of zero stops the listener port after a single failure to successfully complete an `onMessage()`.

Because stopping the listener port stops the processing for all message-driven beans mapped to that listener port, this solution is rather unspecific. Instead of relying on the WebSphere Application Server message listener service to stop the listener port if a poison message scenario occurs, the other solution is to set up a backout queue (BOQUEUE), and a backout threshold value (BOTHRESH). If you do this, WebSphere MQ handles the poison message. For more information about handling poison messages, see the WebSphere MQ *Using Java* section of the WebSphere MQ library.

### **Inbound resource adapter transaction handling**

An MDB can be configured for bean or container transaction handling. The owner of the resource adapter must tell the MDB developer how to set up the MDB for transaction handling.

### **Message-driven beans - listener port components**

The WebSphere Application Server support for message-driven beans deployed against listener ports is based on JMS message listeners and the message listener service, and builds on the application server facility (ASF) support in the JMS provider.

**Note:** From WebSphere Application Server Version 7, listener ports are stabilized. For more information, read the article on stabilized features. For information about the facilities available to aid migration of configuration information from a listener port to an activation specification for use with the Websphere MQ messaging provider, refer to related tasks.

The main components of WebSphere Application Server support for message-driven beans are shown in the following figure and described after the figure:

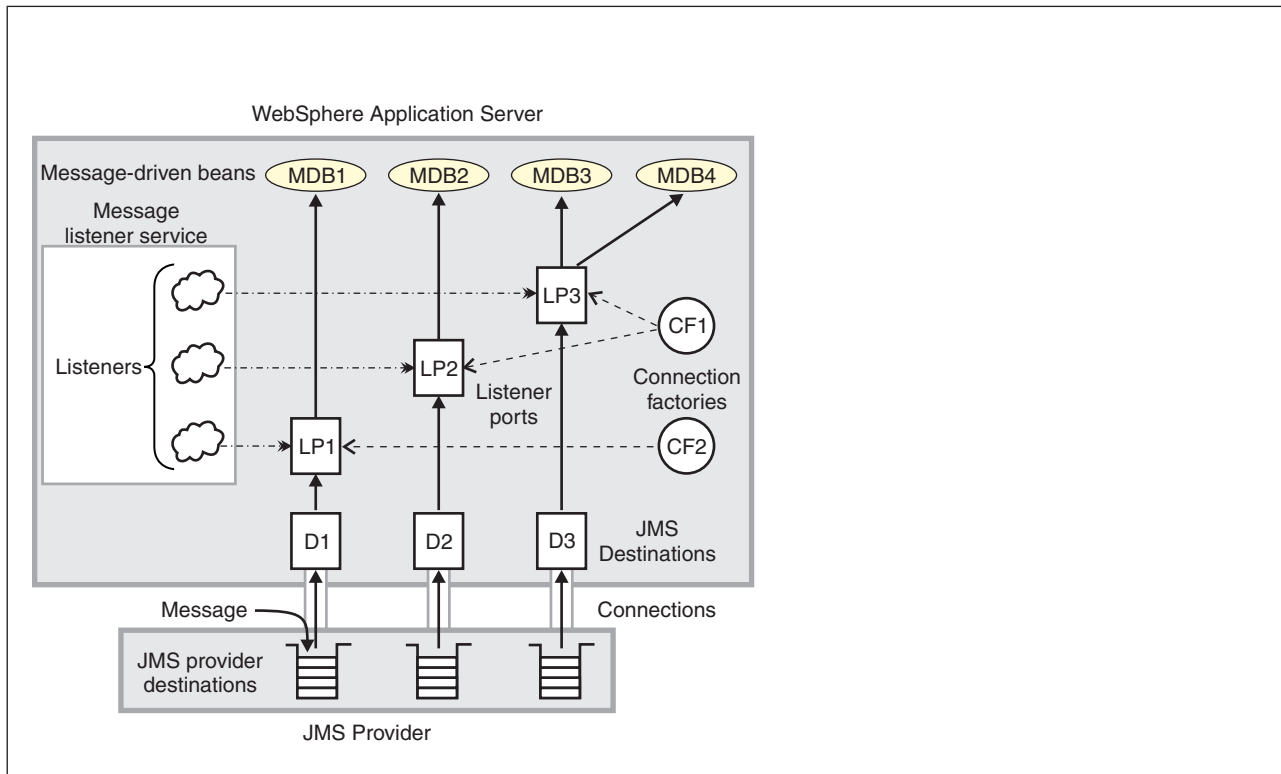


Figure 17. The main components for message-driven beans

The message listener service is an extension to the JMS functions of the JMS provider and provides a listener manager, which controls and monitors one or more JMS listeners. Each listener monitors either a JMS queue destination (for point-to-point messaging) or a JMS topic destination (for publish/subscribe messaging).

A *connection factory* is used to create connections with the JMS provider for a specific JMS queue or topic destination. Each connection factory encapsulates the configuration parameters needed to create a connection to a JMS destination.

A listener port defines the association between a connection factory, a destination, and a deployed message-driven bean. Listener ports are used to simplify the administration of the associations between these resources.

When you deploy a message-driven bean, you associate the bean with a listener port. When a message arrives on the destination, the listener passes the message to a new instance of a message-driven bean for processing.

When an application server is started, it initializes the message listener service based on the configuration data. The message listener service creates a dynamic session thread pool for use by listeners, creates and starts listeners, and during server termination controls the cleanup of message listener service resources. Each listener completes several steps for the JMS destination that it is to monitor, including:

- Creating a JMS server session pool, and allocating JMS server sessions and session threads for incoming messages.
- Interfacing with JMS ASF to create JMS connection consumers to listen for incoming messages.
- If specified, starting a transaction and requesting that it is committed (or rolled back) when the EJB method has completed.

- Processing incoming messages by invoking the `onMessage()` method of the specified enterprise bean.

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## Access intent policies for EJB 2.x entity beans

An access intent policy is a named set of properties or access intents that govern data access for Enterprise JavaBeans (EJB) persistence. You can assign policies to an entity bean and to individual methods on an entity bean's home, remote, or local interfaces during assembly. You can set access intents only within EJB Version 2.x-compliant and later modules for entity beans with CMP Version 2.x.

This product supplies a number of access intent policies that specify permutations of read intent and concurrency control; the pessimistic and update policy can be qualified further. The selected policy determines the appropriate isolation level and locking strategy used by the run time environment.

**transition:** Access intent policies are specifically designed to supplement the use of isolation level and access intent method-level modifiers found in the extended deployment descriptor for EJB version 1.1 enterprise beans. You cannot specify isolation level and read-only modifiers for EJB version 2.x and later enterprise beans.

Access intent policies configured on an entity basis define the default access intent for that entity. The default access intent controls the entity unless you specify a different access intent policy based on either method-level configuration or application profiling.

**Note:** Method level access intents were deprecated in Version 6.x.

You can use application profiling or method level access intent policies to control access intent more precisely. Method-level access intent policies are named and defined at the module level. A module can have one or many policies. Policies are assigned, and apply, to individual methods of the declared interfaces of entity beans and their associated home interfaces. A method-based policy is acted upon by the combination of the EJB container and persistence manager when the method causes the entity to load.

For entity beans that are backed by tables with nullable columns, use an optimistic policy with caution. The top down default mapping excludes nullable fields. You can override this when doing a meet-in-the-middle mapping. The fields used in overqualified updates are specified in the `ejb-rdb` mapping. If nullable columns are selected as overqualified columns, partial update should also be selected.

**Note:** When using DB2 for z/OS Version 8, nullable OCC columns create no problems. This is true for JDBC and SQLJ deploy options, and partial and full update.

An entity that is configured with a read-only policy that causes a bean to be activated can cause problems if updates are attempted within the same transaction. Those changes are not committed, and the process displays an exception because data integrity might be compromised.

## Concurrency control

Concurrency control is the management of contention for data resources. A concurrency control scheme is considered *pessimistic* when it locks a given resource early in the data access transaction and does not release it until the transaction is closed. A concurrency control scheme is considered *optimistic* when locks are acquired and released over a short period of time at the end of a transaction.

The objective of optimistic concurrency is to minimize the time that a given resource is unavailable for use by other transactions. This is especially important with long-running transactions, which under a pessimistic scheme would lock up a resource for unacceptably long periods of time.

Under an optimistic scheme, locks are obtained immediately before a read operation and released immediately after. Update locks are obtained immediately before an update operation and held until the end of the transaction.

To enable optimistic concurrency, this product uses an *overqualified update scheme* to test if the underlying data source has been updated by another transaction since the beginning of the current transaction. With this scheme, the columns marked for update and their original values are added explicitly through a WHERE clause in the UPDATE statement so that the statement fails if the underlying column values have been changed. As a result, this scheme can provide column-level concurrency control; pessimistic schemes can control concurrency at the row level only.

Optimistic schemes typically perform this type of test only at the end of a transaction. If the underlying columns have not been updated since the beginning of the transaction, pending updates to container-managed persistence fields are committed and the locks are released. If locks cannot be acquired or if some other transaction has updated the columns since the beginning of the current transaction, the transaction is rolled back: All work performed within the transaction is lost.

Pessimistic and optimistic concurrency schemes require different transaction isolation levels. Enterprise beans that participate in the same transaction and require different concurrency control schemes cannot operate on the same underlying data connection.

**best-practices:** Whether to use optimistic concurrency depends on the type of transaction. Transactions with a high penalty for failure might be better managed with a pessimistic scheme. A high-penalty transaction is one for which recovery is risky or resource-intensive. For low-penalty transactions, it is often worth the risk of failure to gain efficiency through the use of an optimistic scheme. In general, optimistic concurrency is more efficient when update collisions are expected to be infrequent; pessimistic concurrency is more efficient when update collisions are expected to occur often.

## Read-ahead scheme hints

Read-ahead schemes enable applications to minimize the number of database round trips by retrieving a working set of container-managed persistence (CMP) beans for the transaction within one query. Read-ahead involves activating the requested CMP beans and caching the data for their related beans, which ensures that data is present for the beans that an application most likely needs next. A *read-ahead hint* is a representation of the related beans to read. The hint is associated with the *findByPrimaryKey* method for the requested bean type, which must be an EJB 2.x-compliant CMP entity bean.

A read-ahead hint takes the form of a character string. You do not have to provide the string; the wizard generates it for you based on the container-managed relationships (CMRs) that are defined for the bean. The following example is provided as supplemental information only. Suppose a CMP bean type A has a finder method that returns instances of bean A. A read-ahead hint for this method is specified using the following notation: *RelB.RelC; RelD*

Interpret the preceding notation as follows:

- Bean type A has a CMR with bean types B and D.
- Bean type B has a CMR with bean type C.

For each bean of type A that is retrieved from the database, its directly-related B and D beans and its indirectly-related C beans are also retrieved. The order of the retrieved bean data columns in each row of the result set is the same as the order in the read-ahead hint: an A bean, a B bean (or null), a C bean (or null), a D bean (or null). For hints in which the same relationship is mentioned more than once, for example, *RelB.RelC; RelB.RelE*, the data columns for a bean occur only once in the result set, at the position the bean first occupies in the hint.

The tokens shown in the notation, like *RelB*, must be CMR field names for the relationships, as defined in the deployment descriptor for the bean. In indirect relationships such as *RelB.RelC*, *RelC* is a CMR field name that is defined in the deployment descriptor for bean type B.

A single read-ahead hint cannot refer to the same bean type in more than one relationship. For example, if a Department bean has an *employees* relationship with the Employee bean and also has a *manager* relationship with the Employee bean, the read-ahead hint cannot specify both *employees* and *manager*.

For more information about how to set read-ahead hints, see the documentation for the Rational Application Developer product.

## Run-time behaviors of read-ahead hints

When developing your read-ahead hints, consider the following tips and limitations:

- Read-ahead hints on long or complex paths can result in a query that is too complex to be useful. Read-ahead hints on root or leaf inheritance mappings need particular care. Add up the number of tables that potentially comprise a read-ahead preload to gauge the complexity of the join operations that are required. Consider if the resulting statement constitutes a reasonable query on your target database.
- Read-ahead hints do not work in the following cases:
  - Preload paths across M:N relationships
  - Preload paths across recursive enterprise bean relationships or recursive fk relationships
  - When a read-head hint applies to a SELECT FOR UPDATE statement that requires a table join in a database that does not support the combination of those two operations.

Generally, the persistence manager issues a SELECT FOR UPDATE statement for a bean only if the bean has an access intent that enforces strict locking policies. Strict locking policies require SELECT FOR UPDATE statements for database select queries. If the database table design requires a join operation to fulfill the statement, many databases issue exceptions because these databases do not support table joins with SELECT FOR UPDATE statements. In those cases, WebSphere Application Server does not implement a read-ahead hint. If the database does provide that support, Application Server implements the read-ahead hints that you configure.

DB2 Universal Database V8.2 supports SELECT FOR UPDATE statements with table joins.

## Database deadlocks caused by lock upgrades

To avoid database deadlocks caused by lock upgrades, you can change the access intent policy for entity beans from the default of *wsPessimisticUpdate-WeakestLockAtLoad* to *wsPessimisticUpdate*, or you can use an optimistic locking approach.

When concurrently accessing data, ensure that the application is prepared for database locking that must occur to secure the integrity of the data.

If an entity bean performs a *findByPrimaryKey* method, which by default obtains a *Read* lock in the database, and the entity bean is updated within the same transaction, a lock upgrade to *Exclusive*.

If this scenario occurs concurrently on multiple threads, a deadlock can happen. This is because multiple read locks can be obtained at the same time but one exclusive lock can only be obtained when the other locks are dropped. Since all transactions are attempting the lock upgrade in this scenario, the one exclusive lock cannot be obtained.

To avoid this problem, you can change the access intent policy for the entity bean from the default of *wsPessimisticUpdate-WeakestLockAtLoad* method to *wsPessimisticUpdate* method. This change enables the application to inform the product and the database that the transaction has updated the enterprise bean. The *Update* lock is immediately obtained on the *findByPrimaryKey* method. This avoids the lock upgrade when the update is performed at a later time.



The preferred technique to define access intent policies is to change the access intent for the entire entity bean. You can change the access intent for the `findByPrimaryKey` method, but this was deprecated in Version 6. You might want to change the access intent for an individual method if, for example, the entity bean is involved in some transactions that are read only.

An alternative technique is to use an optimistic approach, where the `findByPrimaryKey` method does not hold a read lock, so there is no lock upgrade. However, this requires that the application is coded for this in order to handle rollbacks. Optimistic locking is intended for applications that do not expect database contention on a regular basis.

To change the access intent policy for an entity bean, you can use the assembly tool to set the bean level, as described in [Applying access intent policies to beans](#).

## Access intent assembly settings

Access intent policies contain data-access settings for use by the persistence manager. Default access intent policies are configured on the entity bean.

These settings are applicable only for EJB 2.x and EJB 3.x-compliant entity beans that are packaged in EJB 2.x and EJB 3.x-compliant modules. Connection sharing between beans with bean-managed persistence and those with container-managed persistence is possible if they all use the same access intent policy.

### Name

Specifies a name for a mapping between an access intent policy and one or more methods.

### Description

Contains text that describes the mapping.

### Methods - name

Specifies the name of an enterprise bean method, or the asterisk character (\*). The asterisk is used to denote all of the methods of an enterprise bean's remote and home interfaces.

### Methods - enterprise bean

Specifies which enterprise bean contains the methods indicated in the Name setting.

### Methods - type

Used to distinguish between a method with the same signature that is defined in both the home and remote interface. Use `Unspecified` if an access intent policy applies to all methods of the bean.

<b>Data type</b>	String
<b>Range</b>	Valid values are Home, Remote, Local, LocalHome or Unspecified

### Methods - parameters

Contains a list of fully qualified Java type names of the method parameters. This setting is used to identify a single method among multiple methods with an overloaded method name.

### Applied access intent

Specifies how the container must manage data access for persistence. Configurable both as a default access intent for an entity and as part of a method-level access intent policy.

<b>Data type</b>	String
<b>Default</b>	<code>wsPessimisticUpdate-WeakestLockAtLoad</code> . With Oracle, this is the same as <code>wsPessimisticUpdate</code> .

## Range

Valid settings are `wsPessimisticUpdate`, `wsPessimisticUpdate-NoCollision`, `wsPessimisticUpdate-Exclusive`, `wsPessimisticUpdate-WeakestLockAtLoad`, `wsPessimisticRead`, `wsOptimisticUpdate`, or `wsOptimisticRead`. Only `wsPessimisticRead` and `wsOptimisticRead` are valid when class-level caching is enabled in the EJB container.

This product supports lazy collections. For each segment of a collection, iterating through the collection (`next()`) does not trigger a remote method call to retrieve the next remote reference. Two policies (`wsPessimisticUpdate` and `wsPessimisticUpdate-Exclusive`) are extremely lazy; the collection increment size is set to 1 to avoid overlocking the application. The other policies have a collection increment size of 25.

If an entity is not configured with an access intent policy, the runtime environment typically uses `wsPessimisticUpdate-WeakestLockAtLoad` by default. If, however, the **Lifetime in cache** property is set on the bean, the default value of **Applied access intent** is `wsOptimisticRead`; updates are not permitted.

Additional information about valid settings follows:

Table 26. Access intents profiles. Here is additional information about valid settings:

Profile name	Concurrency control	Access type	Transaction isolation
<code>wsPessimisticRead</code> (Note 1)	pessimistic	read	For Oracle, read committed. Otherwise, repeatable read
<code>wsPessimisticUpdate</code> (Note 2)	pessimistic	update	For Oracle, read committed. Otherwise, repeatable read
<code>wsPessimisticUpdate-Exclusive</code> (Note 3)	pessimistic	update	serializable
<code>wsPessimisticUpdate-NoCollision</code> (Note 4)	pessimistic	update	read committed
<code>wsPessimisticUpdate-WeakestLockAtLoad</code> (Note 5)	pessimistic	update	Repeatable read
<code>wsOptimisticRead</code>	optimistic	read	read committed
<code>wsOptimisticUpdate</code> (Note 6)	optimistic	update	read committed

**Notes®:**

1. Read locks are held for the duration of the transaction.
2. The generated SELECT FOR UPDATE query grabs locks at the beginning of the transaction.
3. SELECT FOR UPDATE is generated; locks are held for the duration of the transaction.
4. A plain SELECT query is generated. No locks are held, but updates are permitted. Use cautiously. This intent enables execution without concurrency control.
5. Where supported by the backend, the generated SELECT query does not include FOR UPDATE; locks are escalated by the persistent store at storage time if updates were made. Otherwise, the same as `wsPessimisticUpdate`.
6. Generated overqualified-update query forces failure if CMP column values have changed since the beginning of the transaction.

Be sure to review the rules for forming overqualified-update query predicates. Certain column types (for example, BLOB) are ineligible for inclusion in the overqualified-update query predicate and might affect your design.

---

## Java Persistence API (JPA) architecture

Data persistence is the ability to maintain data between application executions. Persistence is vital to enterprise applications because of the required access to relational databases. Applications that are developed for this environment must manage persistence themselves or use third-party solutions to handle database updates and retrievals with persistence. The Java Persistence API (JPA) provides a mechanism for managing persistence and object-relational mapping and functions for the EJB 3.0 and EJB 3.1 specifications.

The JPA specification defines the object-relational mapping internally, rather than relying on vendor-specific mapping implementations. JPA is based on the Java programming model that applies to Java EE environments, but JPA can function within a Java SE environment for testing application functions.

JPA represents a simplification of the persistence programming model. The JPA specification explicitly defines the object-relational mapping, rather than relying on vendor-specific mapping implementations. JPA standardizes the important task of object-relational mapping by using annotations or XML to map objects into one or more tables of a database. To further simplify the persistence programming model:

- The EntityManager API can persist, update, retrieve, or remove objects from a database
- The EntityManager API and object-relational mapping metadata handle most of the database operations without requiring you to write JDBC or SQL code to maintain persistence
- JPA provides a query language, extending the independent EJB querying language (also known as JPQL), that you can use to retrieve objects without writing SQL queries specific to the database you are working with.

JPA is designed to operate both inside and outside of a Java Enterprise Edition (Java EE) container. When you run JPA inside a container, the applications can use the container to manage the persistence context. If there is no container to manage JPA, the application must handle the persistence context management itself. Applications that are designed for container-managed persistence do not require as much code implementation to handle persistence, but these applications cannot be used outside of a container. Applications that manage their own persistence can function in a container environment or a Java SE environment.

### Elements of a JPA Persistence Provider

Java EE containers that support the EJB 3.x programming model must support a JPA implementation, also called a persistence provider. A JPA persistence provider uses the following elements to allow for easier persistence management in an EJB 3.x environment:

- **Persistence unit:** Consists of the declarative metadata that describes the relationship of entity class objects to a relational database. The EntityManagerFactory uses this data to create a persistence context that can be accessed through the EntityManager.
- **EntityManagerFactory:** Used to create an EntityManager for database interactions. The application server containers typically supply this function, but the EntityManagerFactory is required if you are using JPA application-managed persistence. An instance of an EntityManagerFactory represents a Persistence Context.
- **Persistence context:** Defines the set of active instances that the application is manipulating currently. The persistence context can be created manually or through injection.
- **EntityManager:** The resource manager that maintains the active collection of entity objects that are being used by the application. The EntityManager handles the database interaction and metadata for object-relational mappings. An instance of an EntityManager represents a Persistence Context. An application in a container can obtain the EntityManager through injection into the application or by looking it up in the Java component name-space. If the application manages its persistence, the EntityManager is obtained from the EntityManagerFactory.

**Attention:** Injection of the EntityManager is only supported for the following artifacts:

- EJB 3.x session beans
  - EJB 3.x message-driven beans
  - Servlets, Servlet Filters, and Listeners
  - JSP tag handlers which implement interfaces javax.servlet.jsp.tagext.Tag and javax.servlet.jsp.tagext.SimpleTag
  - JavaServer Faces (JSF) managed beans
  - the main class of the application client.
- **Entity objects:** a simple Java class that represents a row in a database table in its simplest form. Entities objects can be concrete classes or abstract classes. They maintain states by using properties or fields.

For more information about persistence, see the section on Java Persistence API Architecture and the section on Persistence in the Apache OpenJPA User Guide. For more information and examples on specific elements of persistence, see the sections on the EntityManagerFactory, and the EntityManager in the Apache OpenJPA User Guide.

## JPA for WebSphere Application Server

Java Persistence API (JPA) 2.0 for WebSphere Application Server is built on the Apache OpenJPA 2.x open source project.

### Apache OpenJPA and JPA for WebSphere Application Server

Apache OpenJPA is a compliant implementation of the Oracle JPA specification. Using OpenJPA as a base implementation, WebSphere Application Server employs extensions to provide additional features and utilities for WebSphere Application Server customers. Because JPA for WebSphere Application Server is built from OpenJPA, all OpenJPA function, extensions, and configurations are unaffected by the WebSphere Application Server extensions. You do not need to make changes to OpenJPA applications to use these applications in WebSphere Application Server.

JPA for WebSphere Application Server provides more than compatibility with OpenJPA. JPA for WebSphere Application Server contains a set of tools for application development and deployment. Other features of JPA for WebSphere Application Server include support for DB2 Optim pureQuery Runtime, DB2 optimizations, JPA Access Intent, enhanced tracing capabilities, command scripts, and translated message files. The provider of JPA for WebSphere Application Server is `com.ibm.websphere.persistence.PersistenceProviderImpl`.

Apache OpenJPA supports the use of properties to configure the persistent environment. JPA for WebSphere Application Server properties can be specified with either the `openjpa` or `wsjpa` prefix. You can mix the `openjpa` and `wsjpa` prefixes as you wish for a common set of properties. Exceptions to the rule are `wsjpa` specific configuration properties, which use the `wsjpa` prefix. When a JPA for WebSphere Application Server-specific property is used with the `openjpa` prefix, a warning message is logged indicating that the offending property is treated as a `wsjpa` property. The reverse does not hold true for the `openjpa` prefix. In that case, the offending property is ignored.

## wsjpa version command

Use this command-line tool to find out information about the installed version of Java Persistence API (JPA) for WebSphere Application Server.

Run the JPA commands (`.bat` on Windows or `.sh` on UNIX) from the `<profile_root>/bin` directory, to make sure that you have the latest version of the commands for your release.

## Syntax

The command syntax is as follows:

AIX

HP-UX

Linux

Solaris

```
wsjpversion.sh
```

Windows

```
wsjpversion.bat
```

## Usage

The version tool can be useful when debugging problems with JPA in applications and providing customer support teams with the information about the current JPA environment.

The command is run from the *<profile\_root>* directory.

## Examples

Find the version information of your JPA installation example output:

```
[root@atlanta bin]# ./wsjpversion.sh
WSJPA 2.1.0-SNAPSHOT
version id: WSJPA-2.1.0-SNAPSHOT-r1119:2233
WebSphere JPA svn revision: 1119:2233

OpenJPA 2.1.0-SNAPSHOT
version id: openjpa-2.1.0-SNAPSHOT-r422266:1069208
Apache svn revision: 422266:1069208

os.name: Linux
os.version: 2.6.18-238.1.1.el5
os.arch: x86

java.version: 1.6.0
java.vendor: IBM Corporation

java.class.path:
 /root/tc/WASX/as/dev/JavaEE/j2ee.jar
 /root/tc/WASX/as/plugins/com.ibm.ws.jpa.jar
 /root/tc/WASX/as/plugins/com.ibm.ws.prereq.commons-collections.jar

/root/tc/WASX/as/profiles/AppSrv01/bin
[root@atlanta bin]#
```

On Windows operating systems, the output looks like the following:

```
D:\Users\user\WASV8\IBM\WebSphere\AppServer\bin>wsjpversion.bat
WSJPA 2.1.0-SNAPSHOT
version id: WSJPA-2.1.0-SNAPSHOT-r1119:2216
WebSphere JPA svn revision: 1119:2216
OpenJPA 2.1.0-SNAPSHOT
version id: openjpa-2.1.0-SNAPSHOT-r422266:1063829
Apache svn revision: 422266:1063829
os.name: Windows 7
os.version: 6.1
os.arch: amd64
java.version: 1.6.0
java.vendor: IBM Corporation
java.class.path:
 D:\Users\user\WASV8\IBM\WebSphere\AppServer\dev\JavaEE\j2ee.jar
 D:\Users\user\WASV8\IBM\WebSphere\AppServer\plugins\com.ibm.ws.jpa.jar
 D:\Users\user\WASV8\IBM\WebSphere\AppServer\plugins\com.ibm.ws.prereq.
commons-collections.jar
```

```
C:\Program Files (x86)\IBM\Java60\jre\lib\ext\QTJava.zip
user.dir: D:\Users\user\WASV8\IBM\WebSphere\AppServer\bin
D:\Users\user\WASV8\IBM\WebSphere\AppServer\bin>
```

## Examples

Find the version information of your JPA installation example output:

```
C:\was70-GM>profiles\al002.07\bin\wsjpaversion.bat
WSJPA 2.0.0-SNAPSHOT
version id: WSJPA-2.0.0-SNAPSHOT-r1118:1843
revision: 1118:1843
```

```
OpenJPA 2.0.0-SNAPSHOT
version id: openjpa-2.0.0-SNAPSHOT-r422266:897308
Apache svn revision: 422266:897308
```

```
os.name: Windows XP
os.version: 5.1 build 2600 Service Pack 2
os.arch: x86
```

```
java.version: 1.6.0
java.vendor: IBM Corporation
```

```
java.class.path:
  C:\was70-GM\feature_packs\jpa\dev\JavaEE\j2ee.jar
  C:\was70-GM\feature_packs\jpa\plugins\com.ibm.ws.jpa.jar
  C:\was70-GM\plugins\com.ibm.ws.prereq.common-collections.jar
```

```
user.dir: C:\was70-GM\plugins\com.ibm.ws.jpa.jar
```

## wsjpa properties

The extension properties of Java Persistence API (JPA) for WebSphere Application Server can be specified with the `openjpa` or `wsjpa` prefix. This topic features the `wsjpa` properties.

### wsjpa.AccessIntent

Use this property to define a `TaskName` that in the `persistence.xml` file using the `wsjpa.AccessIntent` property name in a persistence unit. The property value is a list of `TaskNames`, entity types and access intent definitions.

For more information and examples on how the `wsjpa.AccessIntent` property is used, see the topic [Specifying TaskName in a JPA persistence unit](#).

### wsjpa.jdbc.Schema

Specifies the schema name in a DB2 package collection when using multiple DB2 package collections.

For more information about using the `wsjpa.jdbc.Schema` property see the topic, [Configuring pureQuery to use multiple DB2 package collections](#).

### wsjpa.jdbc.CollectionId

Specifies the collection `Id` name in a DB2 package collection when using multiple DB2 package collections.

For more information about using the `wsjpa.jdbc.CollectionId` property see the topics, [Configuring pureQuery to use multiple DB2 package collections](#) and [Configuring data source JDBC providers to use pureQuery in a Java SE environment](#).

---

## Transaction support in WebSphere Application Server

Support for transactions is provided by the transaction service within WebSphere Application Server. The way that applications use transactions depends on the type of application component.

A transaction is unit of activity, within which multiple updates to resources can be made atomic (as an indivisible unit of work) such that all or none of the updates are made permanent. For example, during the processing of an SQL COMMIT statement, the database manager atomically commits multiple SQL statements to a relational database. In this case, the transaction is contained entirely within the database manager and can be thought of as a *resource manager local transaction (RMLT)*. In some contexts, a transaction is referred to as a *logical unit of work (LUW)*. If a transaction involves multiple resource managers, for example multiple database managers, an external transaction manager is required to coordinate the individual resource managers. A transaction that spans multiple resource managers is referred to as a *global transaction*. WebSphere Application Server is a transaction manager that can coordinate global transactions, can be a participant in a received global transaction, and can also provide an environment in which resource manager local transactions can run.

The way that applications use transactions depends on the type of application component, as follows:

- A session bean can use either container-managed transactions (where the bean delegates management of transactions to the container) or bean-managed transactions (component-managed transactions where the bean manages transactions itself).
- Entity beans use container-managed transactions.
- Web components (servlets) and application client components use component-managed transactions.

WebSphere Application Server is a transaction manager that supports the coordination of resource managers through their XAResource interface, and participates in distributed global transactions with transaction managers that support the CORBA Object Transaction Service (OTS) protocol or Web Service Atomic Transaction (WS-AtomicTransaction) protocol. WebSphere Application Server also participates in transactions imported through Java EE Connector 1.5 resource adapters. You can also configure WebSphere applications to interact with databases, JMS queues, and JCA connectors through their *local transaction* support, when you do not require distributed transaction coordination.

Resource managers that offer transaction support can be categorized into those that support two-phase coordination (by offering an XAResource interface) and those that support only one-phase coordination (for example through a LocalTransaction interface). The WebSphere Application Server transaction support provides coordination, within a transaction, for any number of two-phase capable resource managers. It also enables a single one-phase capable resource manager to be used within a transaction in the absence of any other resource managers, although a WebSphere transaction is not necessary in this case.

Under normal circumstances, you cannot mix one-phase commit capable resources and two-phase commit capable resources in the same global transaction, because one-phase commit resources cannot support the prepare phase of two-phase commit. There are some special circumstances where it is possible to include mixed-capability resources in the same global transaction:

- In scenarios where there is only a single one-phase commit resource provider that participates in the transaction and where all the two-phase commit resource-providers that participate in the transaction are used in a read-only fashion. In this case, the two-phase commit resources all vote read-only during the prepare phase of two-phase commit. Because the one-phase commit resource provider is the only provider to complete any updates, the one-phase commit resource does not have to be prepared.
- In scenarios where there is only a single one-phase commit resource provider that participates in the transaction with one or more two-phase commit resource providers and where *last participant support* is enabled. Last participant support enables the use of a single one-phase commit capable resource with any number of two-phase commit capable resources in the same global transaction. For more information about last participant support, see Using one-phase and two-phase commit resources in the same transaction.

The ActivitySession service provides an alternative unit-of-work (UOW) scope to that provided by global transaction contexts. It is a distributed context that can be used to coordinate multiple one-phase resource managers. The WebSphere EJB container and deployment tooling support ActivitySessions as an extension to the Java EE programming model. Enterprise beans can be deployed with lifecycles that are influenced by ActivitySession context, as an alternative to transaction context. An application can then interact with a resource manager for the period of a client-scoped ActivitySession, rather than only the duration of an EJB method, and have the resource manager local transaction outcome directed by the ActivitySession. For more information about ActivitySessions, see Using the ActivitySession service.

## Resource manager local transaction (RMLT)

A resource manager local transaction (RMLT) is a resource manager view of a local transaction; that is, it represents a unit of recovery on a single connection that is managed by the resource manager.

Resource managers include:

- Enterprise Information Systems that are accessed through a resource adapter, as described in the Java EE Connector Architecture.
- Relational databases that are accessed through a JDBC datasource.
- JMS queue and topic destinations.

Resource managers offer specific interfaces to enable control of their RMLTs. Resource adapter components of the Java EE connector architecture that include support for local transactions provide a LocalTransaction interface. The LocalTransaction interface enables applications to request that the resource adapter commits or rolls back RMLTs. JDBC datasources provide a Connection interface for the same purpose.

The boundary at which all RMLTs must be complete is defined in WebSphere Application Server by a local transaction containment (LTC).

## Global transactions

If an application uses two or more resources, an external transaction manager is needed to coordinate the updates to all the resource managers in a global transaction.

Global transaction support is available to web and enterprise bean components and, with some limitations, to application client components. Enterprise bean components can be subdivided into two categories: beans that use container-managed transactions (CMT) and beans that use bean-managed transactions (BMT).

BMT enterprise beans, application client components, and web components can use the Java Transaction API (JTA) UserTransaction interface to define the demarcation of a global transaction. To obtain the UserTransaction interface, use a Java Naming and Directory Interface (JNDI) lookup of `java:comp/UserTransaction`, or use the `getUserTransaction` method from the `SessionContext` object.

The UserTransaction interface is not available to CMT enterprise beans. If CMT enterprise beans attempt to obtain this interface, an exception is thrown, in accordance with the Enterprise JavaBeans (EJB) specification.

Ensure that programs that perform a JNDI lookup of the UserTransaction interface use an InitialContext that resolves to a local implementation of the interface. Also ensure that such programs use a JNDI location that is appropriate for the EJB version.

WebSphere Application Server Version 4 and later releases bind the UserTransaction interface at the JNDI location that is specified in the EJB Version 1.1 specification. This location is `java:comp/UserTransaction`.

A web component or enterprise bean (CMT or BMT) can use additional interfaces that provide JTA support. These interfaces provide the transaction identity and a mechanism to receive notification of



transaction completion. The interfaces include the TransactionSynchronizationRegistry interface, the ExtendedJTATransaction interface, and the UOWSynchronizationRegistry interface.

## Local transaction containment

A *local transaction containment (LTC)* is used to define the application server behavior in an unspecified transaction context.

Unspecified transaction context is defined in the Enterprise JavaBeans specification, Version 2.0 and later. For example, see the specification for this technology.

An LTC is a bounded unit-of-work scope, within which zero or more resource manager local transactions (RMLT) can be accessed. The LTC defines the boundary at which all RMLTs must be complete; any incomplete RMLTs are resolved, according to policy, by the container. By default, an LTC is local to a bean instance; it is not shared across beans, even if those beans are managed by the same container. LTCs are started by the container before dispatching a method on an enterprise application component, such as an enterprise bean or servlet, whenever the dispatch occurs in the absence of a global transaction context. LTCs are completed by the container depending on the application-configured LTC boundary; for example, at the end of the method dispatch. There is no programmatic interface to the LTC support; LTCs are managed exclusively by the container. The application deployer configures LTCs on individual application components, either web application or EJB, by using transaction attributes in the application deployment descriptor.

A local transaction containment (LTC) might be configured as part of an application component's deployment descriptor to be shareable across multiple application components, including web application components and enterprise beans that use container-managed transactions, so that those components can share connections without using a global transaction. Sharing a single resource manager between application components improves performance, increases scalability, and reduces lock contention for resources.

LTCs can be shared across multiple components, including web application components and enterprise beans that use container-managed transactions. This sharing is useful in situations such as frequent use of web component `include()` calls, where a thread can have several connections blocked by LTCs in different web modules. In this situation, the application might encounter code deadlocks under load, when threads start to wait for themselves to free connections. To overcome this issue without using a global transaction, specify that application components can share LTCs by setting the Shareable attribute in the deployment descriptor of each component. You must use a deployment descriptor; you cannot specify this attribute if annotation has been used.

When you set the Shareable attribute, the extended deployment descriptor XML file includes the following line of code:

```
<local-transaction boundary="BEAN_METHOD" resolver="CONTAINER_AT_BOUNDARY"
unresolved-action="COMMIT" shareable="true"/>
```

To obtain the full benefits of a shared LTC, also ensure that the resource reference for each component defaults to shareable connections.

In the following diagram, components 1, 2 and 3 are deployed with the Shareable attribute and component 4 is not. If components 2 and 3 both obtain connections to data source B, and their resource references for data source B default to shareable connections, they share the connection, but component 4 does not.

Applications that use shareable LTCs cannot explicitly commit or roll back resource manager connections that are used in a shareable LTC. Although, they can use connections that have an `autoCommit` capability. This ensures correct encapsulation of connection usage by each component and protects one component from having to make any assumptions about the behavior of other components that share the connection.

If an application starts any non-autocommit work in an LTC for which the Resolver attribute is set to Application and the Shareable attribute is set to true, an exception occurs at run time. For example, on a JDBC connection, non-autocommit work is work that the application performs after using the setAutoCommit(false) method to disable the autocommit option on the connection. Enterprise beans that use bean managed transactions (BMT) cannot be assembled with the Shareable attribute set on the LTC configuration.

A local transaction containment cannot exist concurrently with a global transaction. If application component dispatch occurs in the absence of a global transaction, the container always establishes an LTC for enterprise application components at J2EE 1.3 or later. The only exceptions to this are the following items:

- Application component dispatch occurs without container interposition, for example, for a stateless session bean create method or a servlet-initiated thread.
- J2EE 1.2 web components.
- J2EE 1.2 bean-managed transaction (BMT) enterprise beans.

A local transaction containment can be scoped to an ActivitySession context that exists longer than the enterprise bean method in which it is started, as described in the topic about ActivitySessions and transaction contexts.

## Local transaction containment

IBM WebSphere Application Server supports local transaction containment (LTC), which you can configure using local transaction extended deployment descriptors. LTC support provides certain advantages to application programmers. Use the scenarios provided, and the list of points to consider, to help you decide the best way to configure transaction support for local transactions.

The following sections describe the advantages that LTC support provides, and how to set the local transaction extended deployment descriptors in each situation.

### **You can develop an enterprise bean or servlet that accesses one or more databases that are independent and require no coordination.**

If an enterprise bean does not have to use global transactions, it is often more efficient to deploy the bean with the deployment descriptor for the container transaction type set to NotSupported instead of Required.

With the extended local transaction support of the application server, applications can perform the same business logic in an unspecified transaction context as they can in a global transaction. An enterprise bean, for example, runs in an unspecified transaction context if it is deployed with a container transaction type of NotSupported or Never.

The extended local transaction support provides a container-managed, implicit local transaction boundary, within which the container commits application updates and cleans up their connections. You can design applications with more independence from deployment concerns. This makes using a container transaction type of Supports much simpler, for example, when the business logic might be called either with or without a global transaction context.

An application can follow a get-use-close pattern of connection usage, regardless of whether the application runs in a transaction. The application can depend on the close action behaving in the same way in all situations, that is, the close action does not cause a rollback to occur on the connection if there is no global transaction.

There are many scenarios where ACID coordination of multiple resource managers is not needed. In such scenarios, running business logic in a Transaction policy of NotSupported performs better than in a policy of Required. This benefit is applied through setting the deployment descriptor, in the Local Transactions section, of the Resolver attribute to ContainerAtBoundary. With this setting, application interactions with resource providers, such as databases, are managed within implicit resource manager local transactions (RMLT) that the container both starts and ends. The container commits RMLTs at the containment boundary that is specified by the Boundary attribute

in the Local Transactions section; for example, at the end of a method. If the application returns control to the container by an exception, the container rolls back any RMLTs that it has started.

This usage applies to both servlets and enterprise beans.

**You can use local transactions in a managed environment that guarantees cleanup.**

Applications that want to control RMLTs, by starting and ending them explicitly, can use the default setting of Application for the Resolver extended deployment descriptor in the Local Transactions section. In this situation, the container ensures connection cleanup at the boundary of the local transaction context.

Java platform for enterprise applications specifications that describe application use of local transactions do so in the manner provided by the default settings of Application for the Resolver extended deployment descriptor, and Rollback for the Unresolved action extended deployment descriptor, in the Local Transactions section. When the Unresolved action extended deployment descriptor in the Local Transactions section is set to Commit, the container commits any RMLTs that the application starts but that do not complete when the local transaction containment ends (for example, when the method ends). This usage applies to both servlets and enterprise beans.

**You can extend the duration of a local transaction beyond the duration of an EJB component method.**

The Enterprise JavaBeans (EJB) specifications restrict the use of RMLTs to single EJB methods. This restriction is because the specifications have no scoping device, beyond a container-imposed method boundary, to which an RMLT can be extended. You can use the Boundary extended deployment setting in the Local Transactions section to give the following advantages:

- Significantly extend the use cases of RMLTs.
- Make conversational interactions with one-phase resource managers possible through ActivitySession support.

You can use an ActivitySession to provide a distributed context with a boundary that is longer than a single method. You can extend the use of RMLTs over the longer ActivitySession boundary, which a client can control. The ActivitySession boundary reduces the need to use distributed transactions where ACID operations on multiple resources are not needed. This benefit is applied through the Boundary extended deployment setting, in the Local transactions section, of ActivitySession. Such extended RMLTs can remain under the control of the application, or be managed by the container, depending on the setting of the Resolver deployment descriptor in the Local Transactions section.

**You can coordinate multiple one-phase resource managers.**

For resource managers that do not support XA transaction coordination, a client can use ActivitySession-bounded local transaction contexts. Such contexts give a client the same ability to control the completion direction of the resource updates by the resource managers as the client has for transactional resource managers. A client can start an ActivitySession and call its entity beans in that context. Those beans can perform their RMLTs within the scope of that ActivitySession and return without completing the RMLTs. The client can later complete the ActivitySession in a commit or rollback direction and cause the container to drive the ActivitySession-bounded RMLTs in that coordinated direction.

**You can use shareable LTCs to reduce the number of connections you require.**

Application components can share LTCs. If components obtain connections to the same resource manager, they can share that connection if they run under the same global transaction or shareable LTC. To configure two components to run under the same shareable LTC, set the Shareable attribute of the Local Transactions section in the deployment descriptor of each component. Make sure that the resource reference in the deployment descriptor for each component uses the default value of Shareable for the res-sharing-scope element, if this element is specified. A shareable LTC can reduce the numbers of RMLTs an application uses. For example, an application that makes frequent use of web module include calls can share resource manager connections between those web modules, exploiting either shareable LTCs, or a global transaction, reducing lock contention for resources.

## Examples of local transaction support configurations

The following list gives scenarios that use local transactions, and points to consider when deciding the best way to configure the transaction support for an application.

- You want to start and end global transactions explicitly in the application (bean-managed transaction session beans and servlets only).

For a session bean, set the Transaction type to Bean (to use bean-managed transactions) in the deployment descriptor of the component. You do not have to do this for servlets.

- You want to access only one XA or non-XA resource in a method.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to ContainerAtBoundary. In the Container Transactions section, set the container transaction type to Supports.

- You want to access several XA resources atomically across one or more bean methods.

In the deployment descriptor of the component, in the Container Transactions section, set the container transaction type to Required, RequiresNew, or Mandatory.

- You want to access several non-XA resources in a method without needing to manage your own local transactions.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to ContainerAtBoundary. In the Container Transactions section, set the container transaction type to NotSupported.

- You want to access several non-XA resources in a method and want to manage them independently.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to Application and set the Unresolved action attribute to Rollback. In the Container Transactions section, set the container transaction type to NotSupported.

- You want to access one or more non-XA resources across multiple EJB method calls without needing to manage your own local transactions.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to ContainerAtBoundary and set the Boundary attribute to ActivitySession. In the Bean Cache section, set the Activate at attribute to ActivitySession. In the Container Transactions section, set the container transaction type to NotSupported and set the ActivitySession kind attribute to Required, RequiresNew, or Mandatory.

- You want to access several non-XA resources across multiple EJB method calls and want to manage them independently.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to Application and set the Boundary attribute to ActivitySession. In the Bean Cache section, set the Activate at attribute to ActivitySession. In the Container Transactions section, set the container transaction type to NotSupported and set the ActivitySession kind attribute to Required, RequiresNew, or Mandatory.

## Local and global transactions

Applications use resources, such as Java Database Connectivity (JDBC) data sources or connection factories, that are configured through the Resources view of the administrative console. How these resources participate in a global transaction depends on the underlying transaction support of the resource provider.

For example, most JDBC providers can provide either XA or non-XA versions of a data source. A non-XA data source can support only resource manager local transactions (RMLT), but an XA data source can support two-phase commit coordination, as well as local transactions.

If an application uses two or more resource providers that support only RMLTs, atomicity cannot be assured because of the one-phase nature of these resources. To ensure atomic behavior, the application must use resources that support XA coordination and must access those resources in a global transaction.

If an application uses only one RMLT, atomic behavior can be guaranteed by the resource manager, which can be accessed in a local transaction containment (LTC) context.

An application can also access a single resource manager in a global transaction context, even if that resource manager does not support the XA coordination. An application can do this because the application server performs an “only resource optimization” and interacts with the resource manager in a RMLT. In a global transaction context, any attempt to use more than one resource provider that supports only RMLTs causes the global transaction to be rolled back.

At any moment, an instance of an enterprise bean can have work outstanding in either a global transaction context or a local transaction containment context, but not both. An instance of an enterprise bean can change from running in one type of context to the other (in either direction), if all outstanding work in the original context is complete. Any violation of this principle causes an exception to be thrown when the enterprise bean tries to start the new context.

## Client support for transactions

Application clients can, within certain limits, support the use of transactions.

Application clients running in an enterprise application client container can explicitly demarcate transaction boundaries, as described in the topic about using component-managed transactions. Application clients cannot perform, directly in the client container, transactional work in the context of any global transaction that they start, because the client container is not a recoverable process.

Application clients can make requests to remote objects, such as enterprise beans, in the context of a client-initiated transaction. Any transactional work performed in a remote, recoverable, server process is coordinated as part of the client-initiated transaction. The transaction coordinator is created on the first server process to which the client-initiated transaction is propagated.

A client can begin a transaction, then, for example, access a JDBC data source directly in the client process. In such cases, any work performed through the JDBC provider is not coordinated as part of the global transaction. Instead, the work runs under a resource manager local transaction. The client container process is non-recoverable and contains no transaction coordinator with which a resource manager can be enlisted.

A client can begin a transaction, then call a remote application component such as an enterprise bean. In such cases, the client-initiated transaction context is implicitly propagated to the remote application server, where a transaction coordinator is created. Any resource managers accessed on the recoverable application server (or any other application server hosting application components invoked by the client) are enlisted in the global transaction.

Client application components must be aware that locally-accessed resource managers are not coordinated by client-initiated transactions. Client applications acknowledge this through a deployment option that enables access to the UserTransaction interface in the client container. By default, access to the UserTransaction interface in the client container is not enabled. To enable UserTransaction demarcation for an application client component, set the “Allow JTA Demarcation” extension property in the client deployment descriptor. For information about editing the client deployment descriptor, refer to the Rational Application Developer information.

## Commit priority for transactional resources

You can specify the order in which transactional resources are processed during two-phase commit processing.

If you control the order in which transactional resources are processed during two-phase commit processing, there are two main benefits:

- One-phase commit optimization occurs more often.

- Potential problems caused by transaction isolation are resolved.

To control the order in which transactional resources are processed during two-phase commit processing, you specify the commit priority of a resource by setting the commit priority attribute on a resource reference. The larger the commit priority, the earlier the resource is processed. For example, if a resource has a commit priority of 10, it is processed before a resource with a commit priority of 1. The commit priority value is of type int and can be between -2147483648 and 2147483647.

If you do not specify a commit priority value, a default value of zero is assigned to the resource and is used when ordering resources at run time. If two or more resources are configured with the same priority, including the default priority, they are processed in an unspecified order with respect to each other.

You can specify the commit priority attribute on a resource reference by using Rational Application Developer tools. For detailed information, see the Rational Application Developer information center. The application component must have a deployment descriptor; you cannot specify this attribute if annotation has been used.

## One-phase commit optimization

In a transaction with a two-phase commit, if every resource except the last one enlisted in the transaction votes read-only, indicating that those resources are not interested in the outcome of the transaction, a one-phase commit can occur. This means that the transaction service does not have to store resource and transaction information that it would need to roll back a two-phase commit, and therefore performance is improved.

You can control the order in which transactional resources are processed during two-phase commit, so you can process the resources that are most likely to vote read-only first. Therefore, you increase the chance that a one-phase commit might occur.

Typically, for a given transactional resource, you know the work that is performed at run time, so if you can control the order in which the resources in a transaction are processed, you can increase the likelihood of a one-phase commit optimization occurring.

## Transaction isolation

When resources are involved in a global transaction, updates that are made as part of a transaction are not visible outside the transaction until the transaction commits, that is, those resources are isolated. This isolation can cause problems with other application components that act on the updates after they are committed. For example, further processing can fail, or can fail intermittently, because updates are order and time dependent. This problem does not occur with service integration bus messaging work in WebSphere Application Server, but can be a problem for other messaging providers, for example WebSphere MQ.

If you specify the order in which transactional resources are committed, problems caused by isolation are resolved for all transactional systems, not just messaging providers and service integration bus in particular.

The following example describes how problems might occur when you cannot specify the order in which transactional resources are committed. An application updates a row in a database table, then sends a JMS message that triggers additional processing of the row. Both of these actions are performed in the same global transaction, so they are isolated until their respective resources are committed. If the update to the row is committed before the message is sent, the processing that is triggered by the message can access the updated row and process it. If the action to send the message is committed first, this action might trigger the additional processing of the row before the database has committed the update to the row. In this situation, the updated row is still isolated and is not visible, so the additional processing of the row fails.

This problem can be more complicated because it is ordering and timing dependent. If the database is committed first, the problem does not occur. If the action to send the message is committed first, the problem might occur, but it depends whether the database work is committed before the message triggers the further processing of the row. Therefore, the problem can be intermittent, so it is harder to identify its cause.

## Restrictions with earlier versions of WebSphere Application Server

If you specify the commit priority of a resource, that is, specify any value other than the default value 0, the commit priority is added to the partner log in a recoverable unit section. This section in the log file is recognized in WebSphere Application Server Version 7.0 or later, but not in earlier versions of the application server.

Therefore, if an application uses the commit priority attribute, you cannot install that application into a mixed-version cluster where one or more servers in the cluster are at versions of WebSphere Application Server that are earlier than Version 7.0.

Also, if an application that uses the commit priority attribute is installed in a cluster, you cannot subsequently add a server to that cluster if the server is at a version of WebSphere Application Server that is earlier than Version 7.0.

For general information about different versions of the product, see the topic “Overview of migration, coexistence, and interoperability”.

## Sharing locks between transaction branches

You can specify that multiple application components on different application servers can share access to data in a single DB2 database under the same global transaction. You specify that the different transaction branches share locks under the global transaction.

To do this, you set the branch coupling attribute on the resource references for the shared DB2 connections in the application.

**Note:** Lock sharing in WebSphere Application Server Version 8 is only supported on DB2; setting lock sharing on a resource reference for a non-DB2 database will result in an exception.

Usually, application components can share locks only when those application components are collocated on the same server.

Sharing locks between transaction branches means that multiple DB2 Java Database Connectivity (JDBC) connections to the same database that are in the same transaction, from the same or different servers, can share locks when accessing data. In this way, multiple components can access the data without causing timeouts or other unwanted situations.

Sharing locks between transaction branches provides the benefit that two Enterprise JavaBeans (EJBs) on two servers can share the visibility of data, and the locks to that data, within a distributed transaction. Therefore, shared access to data does not depend on the location of the application component.

To specify that transaction branches share locks, you set the branch coupling attribute on the DB2 resource reference of the application to a value of tight. For example:

```
<resource-ref name="jdbc/DataSource_LockSharing" branch-coupling="TIGHT"/>
```

If you do not specify a branch coupling value, the default value of loose is used, that is, transaction branches do not share locks.

You can set the branch coupling attribute on the DB2 resource reference of the application by using Rational Application Developer tools. For detailed information, see the Rational Application Developer information center. The application component must have a deployment descriptor; you cannot specify this attribute if annotation has been used.

To share locks between transaction branches in this way, the following conditions apply:

- The database must be DB2 on a distributed or z/OS operating system.
- The JDBC provider must be DB2 Using IBM JCC Driver Version 3.51 and later, Version 3.6 and later, or Version 4.1 and later.
- Connections must use JDBC type 4 connectivity to one of the following:
  - DB2 Universal Database (DB2 UDB) Version 8 and later
  - DB2 UDB for z/OS Version 8 with program temporary fix (PTF) UK27815 and later
  - DB2 UDB for z/OS Version 9.1 with Fix Pack 4 and later
  - DB2 UDB for z/OS Version 9.5 and later

**Note:** An IBM Support Technote is available that provides a complete list of which DB2 versions support lock sharing. Search the IBM Support Portal for relevant information.

## Transactional high availability

The high availability of the transaction service enables any server in a cluster to recover the transactional work for any other server in the same cluster. This facility forms part of the overall WebSphere Application Server high availability (HA) strategy.

As a vital part of providing recovery for transactions, the transaction service logs information about active transactional work in the *transaction recovery log*. The transaction recovery log stores the information in a persistent form, which means that any transactional work in progress at the time of a server failure can be resolved when the server is restarted. This activity is known as *transaction recovery processing*. In addition to completing outstanding transactions, this processing also ensures that any locks held in the associated resource managers are released.

## Peer recovery processing

The standard recovery process that is performed when an application server restarts is for the server to retrieve and process the logged transaction information, recover transactional work and complete indoubt transactions. Completion of the transactional work (and hence the release of any database locks held by the transactions) takes place after the server successfully restarts and processes its transaction logs. If the server is slow to recover or requires manual intervention, the transactional work cannot be completed and access to associated databases is disrupted.

To minimize such disruption to transactional work and the associated databases, WebSphere Application Server provides a high availability strategy known as *transaction peer recovery*.

Peer recovery is provided within a server cluster. A peer server (another cluster member) can process the recovery logs of a failed server while the peer continues to manage its own transactional workload. You do not have to wait for the failed server to restart, or start a new application server specifically to recover the failed server.



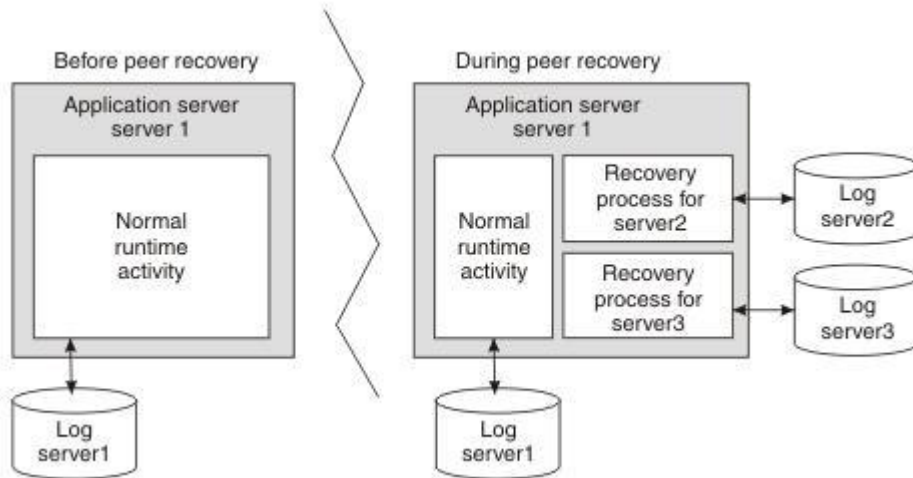


Figure 18. Peer recovery

The peer recovery process is the logical equivalent to restarting the failed server, but does not constitute a complete restart of the failed server within the peer server. The peer recovery process provides an opportunity to complete outstanding work; it cannot start new work beyond recovery processing. No forward processing is possible for the failed server.

Peer recovery moves the high availability requirements away from individual servers and onto the server cluster. After such failures, the management system of the cluster dispatches new work onto the remaining servers; the only difference is the potential drop in overall system throughput. If a server fails, all that is required is to complete work that was active on the failed server and redirect requests to an alternate server.

By default, peer recovery is disabled until you enable failover of transaction log recovery in the cluster configuration, and restart the cluster members. After you enable transaction log recovery, WebSphere Application Server supports two styles for the initiation of transaction peer recovery: automated and manual. You determine which style is more appropriate, based on your deployment, and specify that style by configuring the appropriate high availability policy. This high availability policy is referred to elsewhere in these topics as the *policy for the transaction service*.

#### Automated peer recovery

This style is the default for peer recovery initiation. If an application server fails, WebSphere Application Server automatically selects a server to undertake peer recovery processing on its behalf, and passes recovery back to the failed server when it restarts. To use this model, enable transaction log recovery and configure the recovery log location for each cluster member.

#### Manual peer recovery

You must explicitly configure this style of peer recovery. If an application server fails, you use the administrative console to select a server to perform recovery processing on its behalf.

In a HA environment, you must configure the compensation logs as well as the transaction logs. For each server in the cluster, use the compensation service settings to configure a unique compensation log location, and ensure that all cluster members can access those compensation logs.

#### Peer recovery example

The following diagrams illustrate the peer recovery process that takes place if a single server fails. Figure 2 shows three stable servers running in a WebSphere Application Server cluster. The workload is balanced between these servers, which results in locks held by the back-end database on behalf of each server.

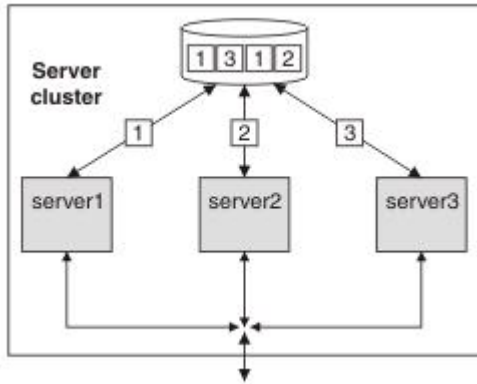


Figure 19. Server cluster up and running, just before server failure

Figure 3 shows the state of the system after server 1 fails without clearing locks from the database. Servers 2 and 3 can run their existing transactions to completion and release existing locks in the back-end database, but further access might be impaired because of the locks still held on behalf of server 1. In practice, some level of access by servers 2 and 3 is still possible, assuming appropriately configured lock granularity, but for this example assume that servers 2 and 3 attempt to access locked records and become blocked.

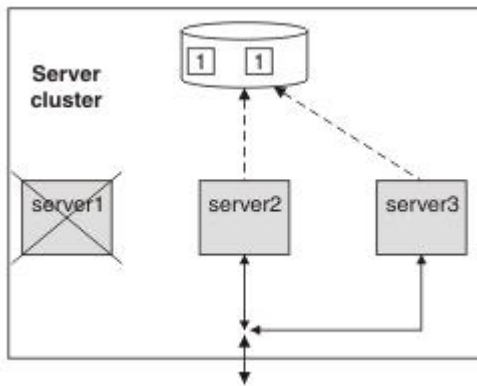


Figure 20. Server 1 fails. Servers 2 and 3 become blocked as a result

Figure 4 shows a peer recovery process for server 1 running inside server 3. The transaction service portion of the recovery process retrieves the information that is stored by server 1, and uses that information to complete any indoubt transactions. In this figure, the peer recovery process is partially complete as some locks are still held by the database on behalf of server 1.

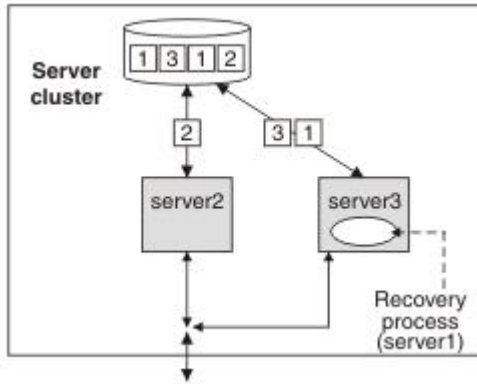


Figure 21. Peer recovery process started in server 3

Figure 5 shows the state of the server cluster when the peer recovery process is complete. The system is in a stable state with just two servers, between which the workload is balanced. Server 1 can be restarted, and will have no recovery processing of its own to perform.

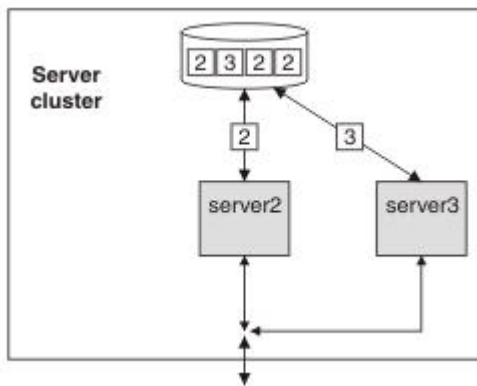


Figure 22. Server cluster stable again with just two servers: server 2 and server 3

## Deployment for transactional high availability

Before you use the high availability (HA) function, you must consider deployment issues such as your file system type, or where you plan to store the transaction recovery logs. In particular, your file system type can have important consequences for your recovery configuration.

### Common configuration

Transaction peer recovery requires a common configuration of the resource providers between the participating server members to undertake peer recovery between servers. Therefore, peer recovery processing can only take place between members of the same server cluster. Although a cluster can contain servers that are at different versions of WebSphere Application Server, peer recovery can only be performed between servers in the cluster that are at Version 6 or later.

### Physical storage

For application servers to perform transaction peer recovery for each other, they must be able to access the transaction recovery logs of all the other members in the cluster. Ensure that the log files are stored on a medium that is accessible by all members of the cluster, and that each cluster member has a unique log file location on this medium. This medium, and access to it, for example through a local area network

(LAN), must support the file-based force operation that is used by the recovery log service to force data to disk. After the force operation is complete, information must be persistently stored on physical disk media.

In a HA environment, application servers must also be able to access the compensation logs. Ensure that the compensation log files are stored on a medium that is accessible by all members of the cluster, and that each cluster member has a unique log file location on this medium.

For example, you can use IBM Network attached storage (NAS) (<http://www.ibm.com/servers/storage/nas/index.html>) mounted on each node, and shared SCSI drives, but not simple network share. All nodes must have read and write access to the recovery logs.

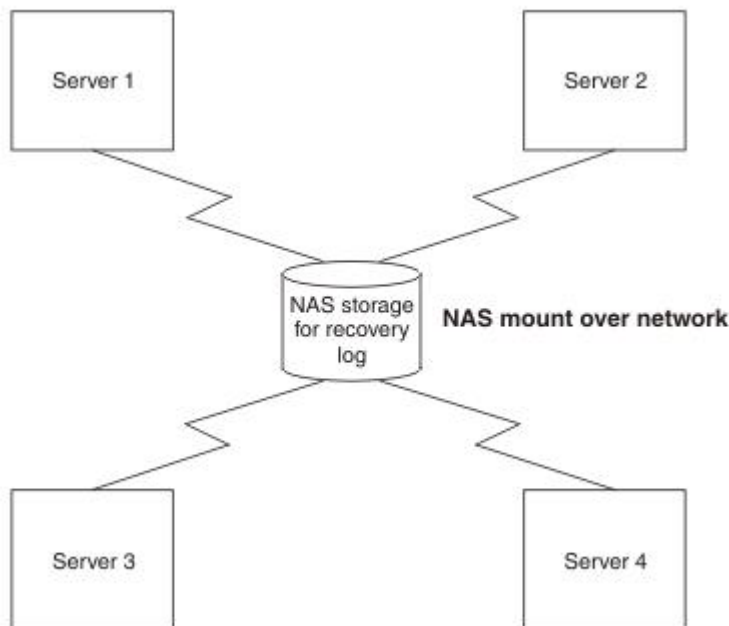


Figure 23. Recovery logs on NAS storage are available to all servers

In addition, configure the mechanism by which the remote log files are accessed, to exploit any fault tolerance in the underlying file system. For example, by using the Network File System (NFS) and hard mounting the remote directory containing the log files by using the `-o hard` option of the NFS mount command, the NFS client will try a failed operation repeatedly until the NFS server becomes available again.

Two types of potential server failure exist: software failure and hardware failure. Software failures generally do not affect other application servers directly. Even servers on the same physical hardware can undertake peer recovery processing. If a hardware failure occurs, all the servers that are deployed on the failed hardware become unavailable. Servers on other hardware are required to handle peer recovery processing. Any HA configuration requires that servers are deployed across multiple and discrete hardware systems.

## File system

The file system type is an important deployment consideration as it is the main factor in deciding whether to use automated or manual peer recovery. For more information, see “How to choose between automated and manual transaction peer recovery” on page 134.

### ***How to choose between automated and manual transaction peer recovery:***

Your type of file system is the dominant factor in deciding which kind of transaction peer recovery to use. Different file systems have different behaviors, and the file locking behavior in particular is important when choosing between automated and manual peer recovery.

WebSphere Application Server high availability (HA) support uses a heartbeat mechanism to determine whether servers are still running. Servers are considered failed if they stop responding to heartbeat requests. Some scenarios, such as system overloading and network partitioning (explained elsewhere in this topic), can cause servers to stop responding to heartbeats, even though the servers are still running. WebSphere Application Server uses file locking technology to prevent such events from causing concurrent access to transaction recovery logs, because access to a recovery log by more than one server can lead to loss of data integrity.

However, not all file systems provide the necessary file locking semantics, specifically that file locks are released when a server fails. For example, Network File System Version 4 (NFSv4) provides this release behavior, whereas Network File System Version 3 (NFSv3) does not.

NFSv4 releases locks held on behalf of a host in case that host fails. Peer recovery can occur automatically without restarting the failed hardware. Therefore, this version of NFS is better suited for use with automated peer recovery.

NFSv3 holds file locks on behalf of a failed host until that host can restart. In this context, the host is the physical machine running the application server that requested the lock and it is the restart of the host, not the application server, that eventually triggers the locks to release.

To illustrate file locking on NFSv3, consider the behavior when a cluster member fails:

1. Server H is running on host H and holds an exclusive file lock for its own recovery log files.
2. Server P is running on host P and holds an exclusive file lock for its own recovery log files.
3. Host H fails, taking server H with it. The NFS lock manager on the file server holds the locks that are granted to server H on its behalf.
4. A peer recovery event is triggered in server P for server H by WebSphere Application Server.
5. Server P attempts to gain an exclusive file lock for this peer recovery log, but is unable to do so as it is held on behalf of server H. The peer recovery process is blocked.
6. At an unspecified time, host H is restarted. The locks held on its behalf are released.
7. The peer recovery process in server P is unblocked and granted the exclusive file locks that are needed to undertake peer recovery.
8. Peer recovery takes place in server P for server H.
9. Server H is restarted.
10. If peer recovery is still in progress in server P, the recovery is halted.
11. Server P releases the exclusive lock on the recovery logs and returns ownership of the recovery logs back to server H.
12. Server H obtains the exclusive lock and can now undertake standard transaction logging.

Because of this behavior, on NFSv3 you must disable file locking to use automated peer recovery. Disabling file locking can lead to concurrent access to recovery logs so it is vital that you protect your system from system overloading and network partitioning first. Alternatively, you can configure manual peer recovery, where you prevent concurrent access by manually triggering peer recovery processing only for servers that have failed.

### **System overloading**

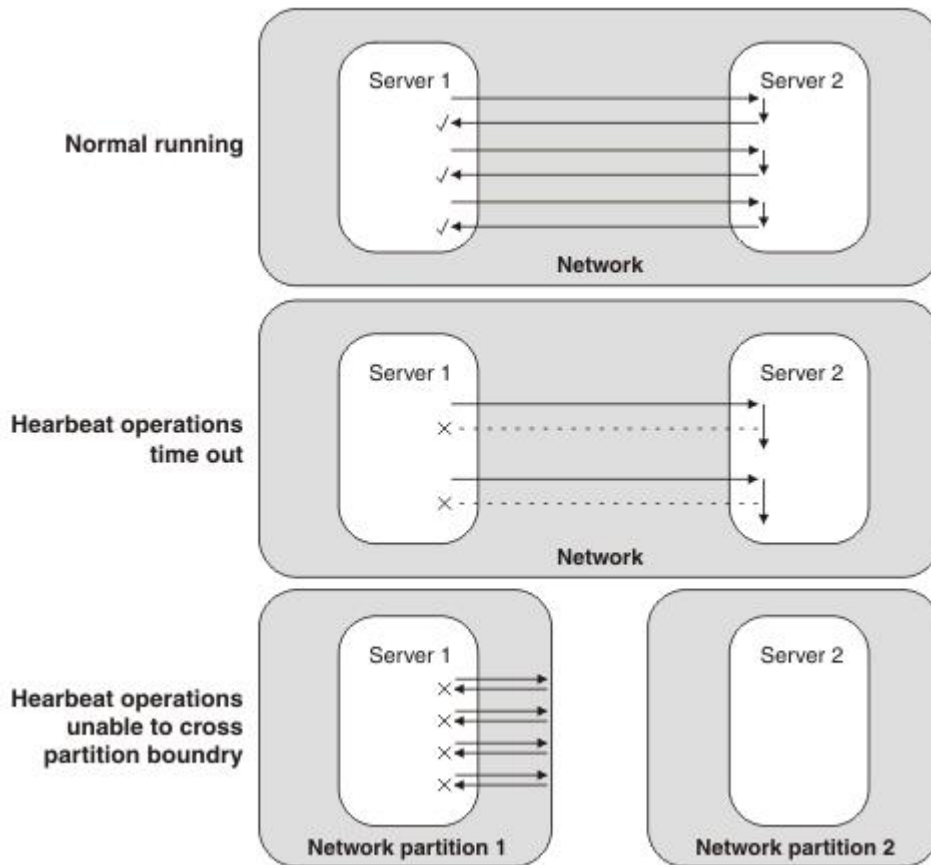
System overloading occurs when a machine becomes very heavily loaded such that response times are extremely poor and requests begin to time out. Several potential causes exist for such overloading, including:

- The server is underpowered and cannot handle the workload.

- The server received a temporary surge of requests.
- Insufficient physical memory is available. As a result, the operating system is too busy paging to give the application server the required CPU time.

### Network partitioning

Network partitioning occurs when a communications failure in a network results in two smaller networks that are independent and cannot contact each other.



During normal running, two servers on the network exchange heartbeats. During system overloading, heartbeat operations time out, giving the appearance of a server failure. After network partitioning, each server is in a separate network and heartbeats cannot pass between them, also giving the appearance of a server failure.

Figure 24. Heartbeats in a system running normally, compared to heartbeats after the apparent server failures of system overloading and network partitioning

### High availability policies for the transaction service

WebSphere Application Server provides integrated high availability (HA) support in which system subcomponents, such as the transaction service, are made highly available. An HA policy provides the logic that governs the manner in which each WebSphere Application Server HA component behaves within the overall HA framework. For the transaction service, the transaction HA policy provides the logic to determine which servers own a recovery log at any time.

Typically, transaction policies assign ownership of a recovery log to the server that originally created it (the home server) and that server can then use the recovery log for both recovery and normal transactional activity. In the event that the home server is unavailable or fails, ownership can pass to a peer server to undertake recovery processing.

Conceptually, a policy can be thought of as consisting of two key components, a policy type and a policy configuration.

## Policy type

The policy type determines whether peer recovery initiation is manual or automated. The policy essentially provides the logic for determining updated recovery log ownership in the event of a server failure. The following WebSphere Application Server policy types are used for transaction peer recovery (other HA policy types exist, but are not used by the transaction service):

**Static** Ownership of the recovery log is defined in the WebSphere Application Server configuration. At run time, the static policy assigns ownership accordingly. Any changes to ownership require a change to the static configuration and therefore this policy type is used for manually initiated peer recovery.

### One-of-N

Ownership of the recovery log is determined dynamically by the WebSphere Application Server HA framework and assigned to exactly one of the N cluster members. This policy type is used for automated peer recovery.

## Transaction compensation and business activity support

A *business activity* is a collection of tasks that are linked together so that they have an agreed outcome. Unlike atomic transactions, activities such as sending an email can be difficult or impossible to roll back atomically, and therefore require a compensation process in the event of an error. The WebSphere Application Server business activity support provides this compensation ability through *business activity scopes*.

## When to use business activity support

Use the business activity support when you have an application that requires compensation. An application requires compensation if its operations cannot be atomically rolled back. Typically, this scenario is because of one of the following reasons:

- The application uses multiple non-extended-architecture (XA) resources.
- The application uses more than one atomic transaction, for example, enterprise beans that have **Requires new** as the setting for the **Transaction** field in the container transaction deployment descriptor.
- The application does not run under a global transaction.

The following diagram shows a simple web service application that uses the business activity support. The Retailer, Warehouse and Manufacturing services are running in non-WebSphere Application Server environments. The Retailer service calls the Supplier service, running on WebSphere Application Server, which delegates tasks to the Warehouse and Manufacturing services. The implementation of the Supplier service contains a stateless session bean, which calls other stateless session beans that are associated with the Warehouse and Manufacturing services, and that undertake work that can be compensated. These other session beans each have a *compensation handler*, a piece of logic that is associated with an application component at run time, and performs compensation activity such as resending an email.

## Application design

Business activity contexts are propagated with application messages, and can therefore be distributed between application components that are not co-located in the same server. Unlike atomic transaction contexts, business activity contexts are propagated on both synchronous (blocking) call-response messages and asynchronous one-way messages. An application component that runs under a business

activity scope is responsible for ensuring that any asynchronous work it initiates is complete before the component's own processing is complete. An application that initiates asynchronous work by using a fire-and-forget message pattern must not use business activity scopes, because such applications have no means of detecting whether this asynchronous processing has completed.

Only enterprise beans that have container-managed transactions can use the business activity functions. Enterprise beans that exploit business activity scopes can offer web service interfaces, but can also offer standard enterprise bean local or remote Java interfaces. Business activity context is propagated in web service messages by using a standard, interoperable Web Services Business Activity (WS-BA) `CoordinationContext` element. WebSphere Application Server can also propagate business activity context on RMI calls to enterprise beans when Web services are not being used, but this form of the context is not interoperable with non-WebSphere Application Server environments. You might want to use this homogeneous scenario if you require compensation for an application that is internal to your business. If you want to use business activity compensation in a heterogeneous environment, expose your application components as web services.

Business activity contexts can be propagated across firewalls and outside the WebSphere Application Server domain. The topology that you use to achieve this propagation can affect the high availability and affinity behavior of the business activity transaction.

## Application development and deployment

WebSphere Application Server provides a programming model for creating business activity scopes, and for associating compensation handlers with those business activity scopes. WebSphere Application Server also provides an application programming interface to specify compensation data, and check or alter the status of a business activity. To use the business activity support you must set certain application deployment descriptors appropriately, provide a compensation handler class if required, and enable business activity support on any servers that run the application.

**Note:** Applications can exploit the business activity support only if you deploy them to a WebSphere Application Server at Version 6.1 or later. Applications cannot use the business activity support if you deploy them to a cluster that includes WebSphere Application ServerVersion 6.0.x servers.

## Business activity scopes

The scope of a business activity is that of a main WebSphere Application Server unit of work: a global transaction, an activity session, or local transaction containment (LTC). A business activity scope is not a new unit of work (UOW); it is an attribute of an existing main UOW. Therefore, a one-to-one relationship exists between a business activity scope and a UOW.

In a WS-BA deployment, the UOW must be container-managed:

- The UOW can be a container-managed transaction (CMT) enterprise bean that creates a global transaction.
- The UOW can be a local transaction containment (LTC) where the container is responsible for initiating and ending resource manager local transactions (RMLTs). That is, in the transactional deployment descriptor attributes, the Local Transaction attribute Resolver must be set to `ContainerAtBoundary`. To use WS-BA, you must not set the Resolver attribute to `Application`.

Any main UOW can have a business activity scope associated with it. If a component running under a UOW that is associated with a business activity scope calls another component, that request propagates the business activity scope; any work done by the new component is associated with the same business activity scope as the calling component. The called component can create a new UOW, for example if an enterprise bean has a **Transaction** setting of **Requires new**, or runs under the same UOW as the calling component. If a new UOW is started then a new business activity scope is created and associated with the new UOW. The newly created business activity scope is a child of the business activity scope



associated with the calling UOW. In the following diagram, EJB1a running under UOW1 calls two components: EJB1b that also runs under UOW1, and EJB2 that creates a new UOW, UOW2. The enterprise bean EJB1b, calls another enterprise bean, EJB3, which creates another new UOW, UOW3. Because each new UOW is created by a calling component whose UOW already has an association with business activity scope BAScope1, the newly created UOWs are associated with new inner business activity scopes, BAScope2 and BAScope3.

Inner business activity scopes must complete before the outer business activity scope completes. Inner business activity scopes, for example BAScope2, have an association with the outer business activity scope, in this case BAScope1. Each business activity scope is directed to close if its associated UOW completes successfully, or to compensate if its associated UOW fails. If BAScope2 completes successfully, any active compensation handlers that are owned by BAScope2 are moved to BAScope1, and are directed in the same way as the completion direction of BAScope1: either compensate or close. If BAScope2 fails, the active compensation handlers are compensated automatically, and nothing is moved to the outer BAScope1. When an inner business activity scope fails, as a result of its associated UOW failing, an application server exception is thrown to the calling application component, running in the outer UOW.

For example, if the inner UOW fails it might throw a `TransactionRolledBackException` exception. If the calling application can handle the exception, for example by trying the called component again or by calling another component, then the calling UOW, and its associated business activity scope, can complete successfully even though the inner business activity scope failed. If the application design requires the calling UOW to fail, and for its associated business activity scope to be compensated, then the calling application component must cause its UOW to fail, for example by allowing any system exception from the UOW that failed to be handled by its container.

When the outer business activity scope completes, its success or failure determines the completion direction (close or compensate) of any active compensation handlers that are owned by the outer business activity scope, including those promoted by the successful completion of inner business activity scopes. If the outer business activity scope completes successfully, it drives all active compensation handlers to close. If the outer business activity scope fails, it drives all active compensation handlers to compensate.

This compensation behavior is summarized in the following table.

*Table 27. Compensation behavior for a single business activity scope. The table lists the possible combinations of success and failure for the inner and outer business activity scopes, and the compensation behavior associated with each combination.*

<b>Inner business activity scope</b>	<b>Outer business activity scope</b>	<b>Compensation behavior</b>
Succeeds	Succeeds	Any compensation handlers that are owned by the inner business activity scope wait for the outer UOW to complete. When the outer UOW succeeds, the outer business activity scope drives all compensation handlers to close.
Fails	Succeeds	Any active compensation handlers that are owned by the inner business activity scope are compensated. An exception is thrown to the outer UOW; if this exception is caught, when the outer UOW succeeds, the outer business activity scope drives all remaining active compensation handlers to close.
Fails	Fails	Any active compensation handlers that are owned by the inner business activity scope are compensated. An exception is thrown to the outer UOW; if this exception is not caught, the outer business activity scope fails. When the outer business activity scope fails, either because of the unhandled exception or for some other reason, all remaining active compensation handlers are compensated.
Succeeds	Fails	Any compensation handlers that are owned by the inner business activity scope wait for the outer UOW to complete. When the outer UOW fails, the outer business activity scope drives all compensation handlers to compensate.

When a UOW with an associated business activity scope completes, the business activity scope always completes in the same direction as the UOW that it is associated with. The only way that you can influence the direction of the business activity scope is to influence the UOW that it is associated with, which you can do by using the `setCompensateOnly` method of the business activity API.

A compensation handler that is registered within a transactional UOW might initially be inactive, depending on the method invoked from the business activity API. Inactive handlers in this situation become active when the UOW in which that handler is declared completes successfully. A compensation handler that is registered outside a transactional UOW always becomes active immediately. For more information, see the topic about the business activity API.

Each business activity scope in the diagram represents a business activity. For example, the outer business activity running under `BAScope1` can be a holiday booking scenario, with `BAScope2` being a flight booking activity and `BAScope3` a hotel booking. If either the flight or hotel bookings fail, the overall holiday booking by default also fails. Alternatively if, for example, the flight booking fails, you might want your application to try booking a flight by using another component that represents a different airline. If the overall holiday booking fails, the application can use compensation handlers to cancel any flights or hotels that are already successfully booked.

## Use of business activity scopes by application components

Application components do not use business activity scopes by default. You use the WebSphere Application Server assembly tools to specify the use of a business activity scope and to identify any compensation handler class for the component:

### Default configuration

If a business activity context is present on a request received by a component with no business activity scope configuration, the context is stored by the container but never used during the method scope of the target component. A new business activity scope is not created. If the target component invokes another component, the stored business activity context is propagated and can be used by other compensating components.

### Run enterprise bean methods under a business activity scope

Any business activity context present on the incoming request is received by the container and made available to the target component. If a new UOW is created for the target method, for example because the enterprise bean method has a **Transaction** setting of **Requires new**, the received business activity scope becomes an outer business activity scope to a newly created business activity. If the UOW is propagated from the calling component and used by the method, then the received business activity scope is used by the method. If a business activity scope does not exist on the invocation, a new business activity scope is created and used by the method.

To create a business activity scope when an enterprise bean is invoked, you must configure the enterprise bean to run enterprise bean methods under a business activity scope. You must also configure the deployment descriptors for the method being invoked, to specify the creation of a new UOW upon invocation. For details, see the topic about creating an application that uses the WS-BA support.

## JTA support

Java Transaction API (JTA) support provides application programming interfaces (APIs) in addition to the `UserTransaction` interface that is defined in the JTA 1.1 specification.

These interfaces include the `TransactionSynchronizationRegistry` interface, which is defined in the JTA 1.1 specification, and the following API extensions:

- `SynchronizationCallback` interface
- `ExtendedJTATransaction` interface
- `UOWSynchronizationRegistry` interface
- `UOWManager` interface

The APIs provide the following functions:

- Access to global and local transaction identifiers associated with the thread.

The global identifier is based on the transaction identifier in the `CosTransactions::PropagationContext` object and the local identifier identifies the transaction uniquely in the local Java virtual machine (JVM).

- A transaction synchronization callback that any enterprise application component can use to register an interest in transaction completion.

Advanced applications can use this callback to flush updates before transaction completion and clear up state after transaction completion. Java EE (and related) specifications position this function typically as the domain of the enterprise application containers.

Components such as persistence managers, resource adapters, enterprise beans, and web application components can register with a JTA transaction.

The following information is an overview of the interfaces that the JTA support provides. For more detailed information, see the generated API documentation.

## SynchronizationCallback interface

An object implementing this interface is enlisted once through the `ExtendedJTATransaction` interface, and receives notification of transaction completion.

Although an object implementing this interface can run on a Java platform for enterprise applications server, there is no specific enterprise application component active when this object is called. So, the object has limited direct access to any enterprise application resources. Specifically, the object has no access to the `java: namespace` or to any container-mediated resource. Such an object can cache a reference to an enterprise application component (for example, a stateless session bean) that it delegates to. The object would then have all the usual access to enterprise application resources. For example, you might use the object to acquire a Java Database Connectivity (JDBC) connection and flush updates to a database during the `beforeCompletion` method.

## ExtendedJTATransaction interface

This interface is a WebSphere programming model extension to the Java EE JTA support. An object implementing this interface is bound, by enterprise application containers in WebSphere Application Server that support this interface, at `java:comp/websphere/ExtendedJTATransaction`. Access to this object, when called from an Enterprise JavaBeans (EJB) container, is not restricted to component-managed transactions.

An application uses a Java Naming and Directory Interface (JNDI) lookup of `java:comp/websphere/ExtendedJTATransaction` to get an `ExtendedJTATransaction` object, which the application uses as shown in the following example:

```
ExtendedJTATransaction exJTA = (ExtendedJTATransaction)ctx.lookup("
    java:comp/websphere/ExtendedJTATransaction");
SynchronizationCallback sync = new SynchronizationCallback();
exJTA.registerSynchronizationCallback(sync);
```

The `ExtendedJTATransaction` object supports the registration of one or more application-provided `SynchronizationCallback` objects. Depending on how the callback is registered, each registered callback is called at one of the following points:

- At the end of every transaction that runs on the application server, whether the transaction is started locally or imported
- At the end of the transaction for which the callback was registered

**Note:** In this release, the `registerSynchronizationCallbackForCurrentTran` method is deprecated. Use the `registerInterposedSynchronization` method of the `TransactionSynchronizationRegistry` interface instead.

## TransactionSynchronizationRegistry interface

This interface is defined in the JTA 1.1 specification. System-level application components, such as persistence managers, resource adapters, enterprise beans, and web application components, can use this interface to register with a JTA transaction. Then, for example, the component can flush a cache when a transaction completes.

To obtain the TransactionSynchronizationRegistry interface, use a JNDI lookup of `java:comp/TransactionSynchronizationRegistry`.

**Note:** Use the `registerInterposedSynchronization` method to register a synchronization instance, rather than the `registerSynchronizationCallbackForCurrentTran` method of the `ExtendedJTATransaction` interface, which is deprecated in this release.

## UOWSynchronizationRegistry interface

This interface provides the same functions as the TransactionSynchronizationRegistry interface, but applies to all types of units of work (UOWs) that WebSphere Application Server supports:

- JTA transactions
- local transaction containments (LTCs)
- ActivitySession contexts

System-level application server components such as persistence managers, resource adapters, enterprise beans, and web application components can use this interface to register with a JTA transaction. The component can do the following:

- Register synchronization objects with special ordering semantics.
- Associate resource objects with the UOW.
- Get the context of the current UOW.
- Get the current UOW status.
- Mark the current UOW for rollback.

To obtain the UOWSynchronizationRegistry interface, use a JNDI lookup of `java:comp/websphere/UOWSynchronizationRegistry`. This interface is available only in a server environment.

The following example registers an interposed synchronization with the current UOW:

```
// Retrieve an instance of the UOWSynchronizationRegistry interface from JNDI.
final InitialContext initialContext = new InitialContext();
final UOWSynchronizationRegistry uowSyncRegistry =
    (UOWSynchronizationRegistry)initialContext.lookup("java:comp/websphere/UOWSynchronizationRegistry");

// Instantiate a class that implements the javax.transaction.Synchronization interface
final Synchronization sync = new SynchronizationImpl();

// Register the Synchronization object with the current UOW.
uowSyncRegistry.registerInterposedSynchronization(sync);
```

## UOWManager interface

The UOWManager interface is equivalent to the JTA TransactionManager interface, which defines the methods that allow an application server to manage transaction boundaries. Applications can use the UOWManager interface to manipulate UOW contexts in the product. The UOWManager interface applies to all types of UOWs that WebSphere Application Server supports; that is, JTA transactions, local transaction containments (LTCs), and ActivitySession contexts. Application code can run in a particular type of UOW without needing to use an appropriately configured enterprise bean. Typically, the logic that is performed in the scope of the UOW is encapsulated in an anonymous inner class. System-level application server components such as persistence managers, resource adapters, enterprise beans, and web application components can use this interface.

WebSphere Application Server does not provide a `TransactionManager` interface in the API or the system programming interface (SPI). The `UOWManager` interface provides equivalent functions, but WebSphere Application Server maintains control and integrity of the UOW contexts.

To obtain the `UOWManager` interface in a container-managed environment, use a JNDI lookup of `java:comp/websphere/UOWManager`. To obtain the `UOWManager` interface outside a container-managed environment, use the `UOWManagerFactory` class. This interface is available only in a server environment.

You can use the `UOWManager` interface to migrate a web application to use web components rather than enterprise beans, but maintain control over the UOWs. For example, a web application currently uses the `UserTransaction` interface to begin a global transaction, makes a call to a method on a session enterprise bean that is configured as not supported to undertake some non-transactional work, and then completes the global transaction. You can move the logic that is encapsulated in the session EJB method to the `run` method of a `UOWAction` implementation. Then, you replace the code in the web component that calls the session enterprise bean with a call to the `runUnderUOW` method of a `UOWManager` interface to request that this logic is run in a local transaction. In this way, you maintain the same level of control over the UOWs as you had with the original application.

The following example performs some transactional work in the scope of a new global transaction. The transactional work is performed in an anonymous inner-class that implements the `run` method of the `UOWAction` interface. Any checked exceptions that the `run` method creates do not affect the outcome of the transaction.

```
// Retrieve an instance of the UOWManager interface from JNDI.
final InitialContext initialContext = new InitialContext();
final UOWManager uowManager = (UOWManager)initialContext.lookup("java:comp/websphere/UOWManager");

try
{
    // Invoke the runUnderUOW method, indicating that the logic should be run in a global
    // transaction, and that any existing global transaction should not be joined, that is,
    // the work must be performed in the scope of a new global transaction.
    uowManager.runUnderUOW(UOWSynchronizationRegistry.UOW_TYPE_GLOBAL_TRANSACTION, false, new UOWAction()
    {
        public void run() throws Exception
        {
            // Perform transactional work here.
        }
    });
}

catch (UOWActionException uowae)
{
    // Transactional work resulted in a checked exception being thrown.
}

catch (UOWException uowe)
{
    // The completion of the UOW failed unexpectedly. Use the getCause method of the
    // UOWException to retrieve the cause of the failure.
}
```

## SCA transaction intents

Service Component Architecture (SCA) provides declarative mechanisms in the form of *intents* for describing the transactional environment required by components.

This topic covers:

- “Using a global transaction” on page 144
- “Using local transaction containment” on page 145
- “Transaction intent default behavior” on page 146
- “Mapping of SCA intents on services to EJB or Spring transaction attributes” on page 146
- “Obtaining the transaction manager in Spring applications” on page 147

## Using a global transaction

Components that use a synchronous interaction style can be part of a single, distributed ACID transaction within which all transaction resources are coordinated to either atomically commit or roll back. This is specified by using the `managedTransaction.global` intent in the `requires` attribute of the `<implementation.java>` element as shown below.

```
<component name="DataAccessComponent">
  <implementation.java class="example.DataAccessImpl"
    requires="managedTransaction.global"/>
</component>
```

For `implementation.spring` components, specify the transaction attribute in the Spring application context file. For `implementation.jee` components, specify the transaction attribute in the Enterprise JavaBeans (EJB) deployment descriptor.

It is possible to control whether a component's service runs under its client's global transaction by specifying either the `propagatesTransaction` or `suspendsTransaction` intent on the component's `<service>` element.

### **propagatesTransaction**

The service runs under its client's global transaction. If the client is not running in a global transaction or chose not to propagate its global transaction, the service runs in its own global transaction.

### **suspendsTransaction**

The service runs in its own global transaction separate from the client transaction.

Specify the `propagatesTransaction` or `suspendsTransaction` intent on the component's `<service>` element only for services in `implementation.java` components. For `implementation.spring` components, specify the transaction attribute in the Spring application context file. For `implementation.jee` components, specify the transaction attribute in the EJB deployment descriptor.

It is also possible to control whether a component global transaction is propagated to a referenced service by specifying either the `propagatesTransaction` or `suspendsTransaction` intent on the component `<reference>` element.

### **propagatesTransaction**

The component's global transaction is made available to the referenced service. The referenced service might or may not use this transaction depending on how it is configured.

### **suspendsTransaction**

The component's global transaction is not made available to the referenced service.

You can specify the `propagatesTransaction` or `suspendsTransaction` intent on the component's `<reference>` element for references in all implementation types.

Transaction context is never propagated on `@OneWay` methods. The SCA run time ignores `propagatesTransaction` for `OneWay` methods.

Further, the product does not support `propagatesTransaction` intent on the `binding.atom` or `binding.jsonrpc` elements.

The following example shows the use of the `managedTransaction.global`, `propagatesTransaction`, and `suspendsTransaction` intents. The `DataUpdateComponent` runs in its own global transaction, not in its client's transaction, because `suspendsTransaction` is specified on its `<service>` element. Its global transaction is propagated to the referenced service `DataAccessComponent` because `propagatesTransaction` is specified on its `<reference>` element.

```
<component name="DataUpdateComponent">
  <implementation.java class="example.DataUpdateImpl"
    requires="managedTransaction.global"/>
  <reference name="DataAccessComponent"
    propagatesTransaction="true"/>
  <service name="DataUpdateComponent"
    suspendsTransaction="true"/>
</component>
```

```

    <service name="DataUpdateService"
      requires="suspendsTransaction"/>
    <reference name="myDataAccess" target="DataAccessComponent"
      requires="propagatesTransaction"/>
  </component>

```

Propagating transactions over the web service binding requires the use of a WebSphere policy set that contains the WS-Transaction policy type. You can set up this policy set in one of the following ways:

- You can import the WSTransaction policy set that is provided with the product.
- You can create your own policy set and include the WS-Transaction policy type.

The following example assumes the use of the WSTransaction policy set.

```

<composite name="WSDataUpdateComposite"
  xmlns="http://www.osoa.org/xmlns/sca/1.0"
  xmlns:ws="http://www.ibm.com/xmlns/prod/websphere/sca/1.0/2007/06">
  <component name="WSDataUpdateComponent">
    <implementation.java class="example.DataUpdateImpl"
      requires="managedTransaction.global"/>
    <service name="DataUpdateService"
      requires="propagatesTransaction">
      <binding.ws ws:wsPolicySet="WSTransaction"/>
    </service>
    <reference name="myDataBuddy" target="DataBuddyComponent"
      requires="propagatesTransaction">
      <binding.ws ws:wsPolicySet="WSTransaction"/>
    </reference>
  </component>
</composite>

```

**Tip:** Transaction propagating might not result in a managed connection. Use a qualifying Java EE module for a managed connection and connection sharing.

## Using local transaction containment

Business logic might have to access transactional resource managers without the presence of a global transaction. A component can be configured to run under local transaction containment (LTC). The SCA runtime starts an LTC before dispatching a method on the component and completes the LTC at the end of the method dispatch. The component's interactions with resource providers (such as databases) are managed within resource manager local transactions (RMLTs). A resource manager local transaction (RMLT) represents a unit of recovery on a single connection that is managed by the resource manager.

The local transaction containment policy is configured by using an intent. There are two choices:

### **managedTransaction.local**

Use this intent when each interaction with a resource manager should be part of an extended local transaction that is committed at the end of the method. The SCA runtime wraps interactions with each resource manager in a resource manager local transaction (RMLT). The SCA runtime commits each RMLT at the end of method dispatch, unless an unchecked exception occurs, in which case the SCA runtime stops each RMLT. The component might not use resource manager commit/rollback interfaces or set AutoCommit to true. If multiple resource managers are used, the RMLTs are committed independently so it is possible for some to fail and some to succeed. If this behavior is not what you want, use a global transaction.

### **noManagedTransaction**

The SCA runtime does not wrap interactions with resource managers in a RMLT. The component implementation manages the start and end of its own RMLTs or gets AutoCommit behavior (which commits after each use of a resource) by default. The component must complete any RMLTs before the end of the method dispatch otherwise the SCA runtime stops them.

The intent is specified by using the requires attribute on the <implementation.java> element. An example is shown below.

```

<component name="DataAccessLocalComponent">
  <implementation.java class="example.DataAccessImpl"
    requires="managedTransaction.local"/>
</component>

```

A local transaction cannot be propagated from one component to another. It is an error to specify `propagatesTransaction` on a component's `<service>` if the component uses the `managedTransaction.local` or `noManagedTransaction` intent.

## Rollback

The SCA run time performs a rollback under the following circumstances:

- When `managedTransaction.global` is used, the SCA run time performs a rollback if the component method that started the global transaction throws an unchecked exception. An unchecked exception is a subclass of `java.lang.RuntimeException` or `java.lang.Error`. A checked exception does not force a rollback.
- When `managedTransaction.local` is used, the SCA run time performs a rollback if the component method throws an unchecked exception. An unchecked exception is a subclass of `java.lang.RuntimeException` or `java.lang.Error`. A checked exception does not force a rollback.
- When `noManagedTransaction` is used, the SCA run time performs a rollback of any RMLT that has not been committed by the component method, regardless of whether the method throws an exception or not.

When `managedTransaction.global` or `managedTransaction.local` is used, the business logic can force a rollback by using the `UOWSynchronization` interface.

```
com.ibm.websphere.uow.UOWSynchronizationRegistry uowSyncRegistry =  
    com.ibm.wsspi.uow.UOWManagerFactory.getUOWManager();  
    uowSyncRegistry.setRollbackOnly();
```

## Transaction intent default behavior

If transactional intents are not specified, the default behavior is vendor-specific. If a transactional intent is not specified for the implementation, the default is `managedTransaction.global`. If a transactional intent is not specified for a service or reference, the default is `suspendsTransaction`. It is recommended to specify the required intents rather than to rely on default behavior so that the application is portable.

## Using @Requires annotation to specify transaction intents

You can also specify transaction intents in the implementation class by using the `@Requires` annotation. The general form of the annotation is:

```
@Requires("{http://www.oesa.org/xmlns/sca/1.0}intent")
```

For example, you can use the following in the implementation class:

```
@Requires("{http://www.oesa.org/xmlns/sca/1.0}managedTransaction.global")
```

You can specify required intents on various elements, including the composite, component, implementation, service and reference elements. An element inherits the required intents of its parent element except when they conflict. For example, if a composite element requires `managedTransaction.global` and a component element requires `managedTransaction.local`, then the component uses `managedTransaction.local`.

You cannot use the `@Requires` annotation for `implementation.spring` components.

## Mapping of SCA intents on services to EJB or Spring transaction attributes

The following table contains information from Section 5.3 of the SCA Java EE Integration specification and lists the mapping of SCA intents on services to EJB or Spring transaction attributes.



Table 28. Mapping of EJB transaction attributes to SCA transaction implementation policies. See Section 5.3 of the SCA Java EE Integration specification.

EJB transaction attribute	SCA Transaction Policy required intents on services	SCA Transaction Policy required intents on implementations
NOT_SUPPORTED	suspendsTransaction	
REQUIRED	propagatesTransaction	managedTransaction.global
SUPPORTS	propagatesTransaction	managedTransaction.global
REQUIRES_NEW	suspendsTransaction	managedTransaction.global
MANDATORY	propagatesTransaction	managedTransaction.global
NEVER	suspendsTransaction	

For MANDATORY and NEVER attributes, policy mapping might not be accurate. These attributes express responsibilities of the EJB container as well as the EJB implementer rather than express a requirement on the service consumer.

## Obtaining the transaction manager in Spring applications

The product does not support local JNDI lookups in Spring applications that are referenced from SCA components. Thus, you cannot use `<tx:jta-transaction-manager/>` in the Spring application context file to obtain the WebSphere transaction manager.

To obtain the WebSphere transaction manager, add the following definition explicitly to the Spring `application-context.xml` file:

```
<bean id="WASTranMgr" class="com.ibm.wsspi.uow.UOWManagerFactory" factory-method="getUOWManager"/>
<bean id="transactionManager"
  class="org.springframework.transaction.jta.WebSphereUowTransactionManager">
  <property name="uowManager" ref="WASTranMgr"/>
  <property name="autodetectUserTransaction" value="false"/>
</bean>
```



---

## Chapter 12. Mail, URLs, and other Java EE resources

This page provides a starting point for finding information about resources that are used by applications that are deployed on a Java Enterprise Edition (Java EE)-compliant application server. They include:

- JavaMail support for applications to send Internet mail
- URLs, for describing logical locations
- Resource environment entries, for mapping logical names to physical names
- Java DataBase Connectivity (JDBC) resources and other technology for data access (discussed elsewhere)
- Java Message Service (JMS) resources and other messaging system support (discussed elsewhere)

---

### Mail service providers and mail sessions

A mail service provider is a driver that supports mail interaction with mail servers that use a particular mail protocol. The application server includes service providers, which are also known as protocol providers, for mail protocols.

A mail provider encapsulates a collection of protocol providers. For example, the application server has a built-in mail provider that encompasses the most common protocol providers. These protocol providers are installed as the default and suffice for most applications. If you have a particular application that requires custom protocol providers, follow the steps that are outlined in the chapter on mail sessions in the JavaMail API Design Specification to install your own protocol providers.

Mail sessions are represented by the `javax.mail.Session` class. A mail session object authenticates users and controls access to messaging systems.

To create mail applications that are platform independent, use a resource factory reference to create a mail session. A resource factory is an object that provides access to resources in the deployed environment of a program. Resource factories use the naming conventions that are defined by the Java Naming and Directory Interface (JNDI).

**Note:** Ensure that every mail session is defined under a parent mail provider. Select a mail provider first and then create your new mail session.

### Mail: Resources for learning

Use the following links to find relevant supplemental information about the JavaMail API. The information resides on IBM and non-IBM Internet sites, whose sponsors control the technical accuracy of the information.

These links are provided for convenience. Often, the information is not specific to the IBM WebSphere Application Server product, but is useful all or in part for understanding the product. When possible, links are provided to technical papers and Redbooks that supplement the broad coverage of the release documentation with in-depth examinations of particular product areas.

### Programming model and decisions

- JavaMail documentation

### Programming specifications

- JavaMail 1.3 API documentation (Sun Java specifications)

## JavaMail support for Internet Protocol 6.0

WebSphere Application Server and its JavaMail component support Internet Protocol Version 6.0 (IPv6).

Support for IPv6, includes the following:

- Both can run on a pure IPv4 network, a pure IPv6 network, *or* a mixed IPv4 and IPv6 network.
- On either the pure IPv6 network or the mixed network, the JavaMail component works with mail servers, such as the SMTP mail transfer agent, and the IMAP and POP3 mail stores,
- that are also IPv6 compatible. Additionally, a JavaMail component that is run on the mixed IPv4 and IPv6 network can communicate with mail servers using IPv4.

### Use of brackets with IPv6 addresses

When you configure a mail session, you can specify the mail server hosts (also known as mail transport and mail store hosts) with domain-qualified host names or numerical IP addresses. Using host names is generally the preferred method. If you use IP addresses, however, consider enclosing IPv6 addresses in square brackets to prevent parsing inaccuracies. See the following example:

```
[fe80::202:57ff:fec4:2334]
```

The JavaMail API requires a combination of many host names or IP addresses with a port number, using the `host:port` number syntax . This extra colon can cause the port number to be read as part of an IPv6 address. Using brackets prevents your JavaMail implementation from processing the extra characters erroneously.

---

## URLs

A Uniform Resource Locator (URL) is an identifier that points to an electronically accessible resource, such as a directory file on a machine in a network, or a document stored in a database.

URLs appear in the format *scheme:scheme\_information*.

You can represent a *scheme* as HTTP, FTP, file, or another term that identifies the type of resource and the mechanism by which you can access the resource.

In a web browser location or address box, a URL for a file available using HyperText Transfer Protocol (HTTP) starts with `http:.` An example is `http://www.ibm.com`. Files available using File Transfer Protocol (FTP) start with `ftp:.` Files available locally start with `file:.`

The *scheme\_information* commonly identifies the Internet machine making a resource available, the path to that resource, and the resource name. The *scheme\_information* for HTTP, FTP and file generally starts with two slashes (`//`), then provides the Internet address separated from the resource path name with one slash (`/`). For example,

```
http://www.ibm.com/software/webservers/appserv/library.html.
```

For HTTP and FTP, the path name ends in a slash when the URL points to a directory. In such cases, the server generally returns the default index for the directory.

## URLs: Resources for learning

Use the following links to find relevant supplemental information about URLs. The information resides on IBM and non-IBM Internet sites, whose sponsors control the technical accuracy of the information.

These links are provided for convenience. Often, the information is not specific to the IBM WebSphere Application Server product, but is useful all or in part for understanding the product. When possible, links

are provided to technical papers and Redbooks that supplement the broad coverage of the release documentation with in-depth examinations of particular product areas.

### **Programming specifications**

- W3C Architecture - Naming and Addressing: URIs, URLs
- URL API documentation



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## Chapter 13. Managed beans

This page provides a starting point for finding information about Managed beans.

Managed beans are container-managed objects with minimal requirements, otherwise known as Plain Old Java Objects (POJO). They support a small set of basic services, such as resource injection, life cycle callbacks, and interceptors. Other, more advanced, aspects are introduced in companion specifications, to keep the basic model as simple and as universally useful as possible.

Managed beans offer a lightweight component model aligned with the rest of the Java Platform Enterprise Edition (Java EE). By supporting the common resource injection and life cycle services, Managed beans fit into the Java EE programming model. At the same time, the lightweight nature of Managed beans makes them a natural starting point to encapsulate application functionality, with the knowledge that they can be formed into more powerful components. In this sense, Managed beans can be seen as a Java EE platform-enhanced version of the JavaBeans component model found on the Java Platform Standard Edition (Java SE).

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### Managed beans

The Managed Beans specification (JSR -316) is used to define managed beans for the Java Platform Enterprise Edition (EE) and is a part of the Java EE 6 platform.

Managed beans are container-managed objects with minimal supported services, such as resource injection, life cycle callbacks and interceptors, and have the following characteristics:

- A managed bean does not have its own component-scoped `java:comp` namespace. Therefore, its resources can be defined in `java:app` and `java:module` only.
- Managed beans are local beans only and cannot be defined in `java:global`.
- Managed bean methods run in the same thread as the calling thread. For example, the method does not start its own thread.
- Managed bean methods use the same context as the calling thread.
- Managed beans are defined with the `javax.annotation.ManagedBean` annotation.
- A managed bean can have an optional name and is bound into `java:module` and `java:app` only if a name is present; for example:

```
@ManagedBean("myCart")
public class Cart { ... }
```

- A reference to a managed bean can be obtained through resource injection, or lookup in `java:module` or `java:app`, when a name is specified.
- Managed beans support the `PostConstruct` and `PreDestroy` life cycle callbacks.





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## Chapter 14. Messaging resources

This page provides a starting point for finding information about the use of asynchronous messaging resources for enterprise applications with WebSphere Application Server.

WebSphere Application Server supports asynchronous messaging based on the Java Message Service (JMS) and the Java EE Connector Architecture (JCA) specifications, which provide a common way for Java programs (clients and Java EE applications) to create, send, receive, and read asynchronous requests, as messages.

JMS support enables applications to exchange messages asynchronously with other JMS clients by using JMS destinations (queues or topics). Some messaging providers also allow WebSphere Application Server applications to use JMS support to exchange messages asynchronously with non-JMS applications; for example, WebSphere Application Server applications often need to exchange messages with traditional WebSphere MQ applications. Applications can explicitly poll for messages from JMS destinations, or they can use message-driven beans to automatically retrieve messages from JMS destinations without explicitly polling for messages.

WebSphere Application Server supports the following messaging providers:

- The WebSphere Application Server default messaging provider (which uses service integration as the provider).
- The WebSphere MQ messaging provider (which uses your WebSphere MQ system as the provider).
- Third-party messaging providers that implement either a JCA Version 1.5 resource adapter or the ASF component of the JMS Version 1.0.2 specification.

---

### Styles of messaging in applications

Applications can use point-to-point and publish/subscribe messaging. These styles of messaging can be used in the following ways: one-way; request and response; one-way and forward.

Applications can use the following styles of asynchronous messaging:

#### Point-to-point

Point-to-point applications typically use *queues* to pass messages between each other. An application sends a message to another application by identifying, implicitly or explicitly, a destination queue. The underlying messaging and queuing system receives the message from the sending application and routes the message to its destination queue. The receiving application can then retrieve the message from the queue.

#### Publish/subscribe

In publish/subscribe messaging, there are two types of application: publisher and subscriber.

A *publisher* supplies information in the form of messages. When a publisher publishes a message, it specifies a *topic*, which identifies the subject of the information inside the message.

A *subscriber* is a consumer of the information that is published. A subscriber specifies the topics it is interested in by sending subscription requests to a publish/subscribe broker. The broker receives published messages from publishers and subscription requests from subscribers, and it routes published messages to subscribers. A subscriber receives messages on only those topics to which it has subscribed.

Both styles of messaging can be used in the same application.

Applications can use asynchronous messaging in the following ways:

#### One-way

An application sends a message, and does not want a response. A message like this can be referred to as a *datagram*.

### **One-way and forward**

An application sends a request to another application, which sends a message to yet another application.

### **Request and response**

An application sends a request to another application and expects to receive a response in return.

A typical JMS messaging pattern involves a requesting application sending a message to a JMS queue for processing by a messaging service (for example, a message-driven bean). When the requesting application sends the request message, the message identifies another JMS queue to which the service should send a reply message. After sending the request message, the requesting application either waits for the reply message to arrive, or it reconnects later to retrieve the reply message.

These messaging techniques can be combined to produce a variety of asynchronous messaging scenarios.

For details of how WebSphere applications can use JMS and message-driven beans for asynchronous messaging, see the following topics:

- Chapter 17, “JMS interfaces - explicit polling for messages,” on page 339
- “Message-driven beans - automatic message retrieval” on page 160

For more information about these messaging techniques and the Java Message Service (JMS), see Sun's Java Message Service (JMS) specification documentation (<http://developer.java.sun.com/developer/technicalArticles/Networking/messaging/>).

For more information about message-driven bean and inbound messaging support, see Sun's Enterprise JavaBeans specification (<http://java.sun.com/products/ejb/docs.html>).

For information about JCA inbound messaging processing, see Sun's J2EE Connector Architecture specification (<http://java.sun.com/j2ee/connector/download.html>).

---

## **Types of messaging providers**

You can configure any of three main types of Java Message Service (JMS) providers in WebSphere Application Server: The WebSphere Application Server default messaging provider (which uses service integration as the provider), the WebSphere MQ messaging provider (which uses your WebSphere MQ system as the provider) and third-party messaging providers (which use another company's product as the provider).

### **Overview**

WebSphere Application Server supports JMS messaging through the following providers:

- “Default messaging provider” on page 217
- “WebSphere MQ messaging provider” on page 217
- “Third-party messaging provider” on page 218

Your applications can use messaging resources from any of these JMS providers. The choice of provider is most often dictated by requirements to use or integrate with an existing messaging system. For example, you might already have a messaging infrastructure based on WebSphere MQ. In this case, you can either connect directly by using the WebSphere MQ messaging provider, or configure a service integration bus with links to a WebSphere MQ network and then access the bus through the default messaging provider.

You can have more than one type of messaging provider configured in WebSphere Application Server:

- All types of provider can be configured within one cell.

- Different applications can use the same, or different, providers.
- One application can access multiple providers.

**Note:** The Version 5 default messaging provider is deprecated. For backwards compatibility with earlier releases, WebSphere Application Server continues to support this default messaging provider. Your applications that still use these resources can communicate with Version 5 nodes in mixed cells at later versions.

## Default messaging provider

If you mainly want to use messaging between applications in WebSphere Application Server, perhaps with some interaction with a WebSphere MQ system, the default messaging provider is a logical choice. This provider uses service integration functions and is part of the WebSphere Application Server runtime environment.

To use the default messaging provider, your applications connect to a service integration bus. You can assign JMS queues (for point-to-point messaging) or JMS topics (for publish/subscribe messaging) as destinations on the service integration bus.

The default messaging provider is characterized as follows:

- A service integration bus comprises messaging engines that run in WebSphere Application Server processes and dynamically connect to one another by using dynamic discovery. A messaging application connects to the bus through a messaging engine.
- Messaging engines use WebSphere Application Server clustering to provide high availability and scalability, and they use the same management framework as the rest of WebSphere Application Server.
- Bus client applications can run from within WebSphere Application Server (JMS), or run as stand-alone Java clients (using the J2SE Client for JMS) or run as non-Java clients (XMS).

There are two ways in which you can connect to a WebSphere MQ system through the default messaging provider:

- Connect a bus to a WebSphere MQ network, by using a *WebSphere MQ link*. The WebSphere MQ network appears to the service integration bus as a foreign bus, and the service integration bus appears to WebSphere MQ as another queue manager.
- Connect directly to WebSphere MQ queues located on WebSphere MQ queue managers or (for WebSphere MQ for z/OS) queue-sharing groups, by using a *WebSphere MQ server* bus member. Each WebSphere MQ queue is made available at a queue-type destination on the bus.

For more information about these two approaches, see “Interoperation with WebSphere MQ: Comparison of key features” on page 253.

To configure and manage messaging with the default messaging provider, see the information on managing messaging with the default messaging provider.

## WebSphere MQ messaging provider

Through the WebSphere MQ messaging provider in WebSphere Application Server, Java Message Service (JMS) messaging applications can use your WebSphere MQ system as an external provider of JMS messaging resources.

You can use WebSphere Application Server to configure WebSphere MQ resources for applications (for example queue connection factories) and to manage messages and subscriptions associated with JMS destinations. You administer security through WebSphere MQ.

WebSphere MQ is characterized as follows:

- Messaging is handled by a network of queue managers, each running in its own set of processes and having its own administration.
- Features such as shared queues (on WebSphere MQ for z/OS) and WebSphere MQ clustering simplify administration and provide dynamic discovery.
- Many IBM and partner products support WebSphere MQ with (for example) monitoring and control, high availability and clustering.
- WebSphere MQ clients can run within WebSphere Application Server (JMS), or almost any other messaging environment by using a variety of APIs.

For more information about the WebSphere MQ messaging provider, see “Interoperation using the WebSphere MQ messaging provider” on page 258. To configure and manage messaging with this provider, see Managing messaging with the WebSphere MQ messaging provider.

### Third-party messaging provider

You can configure any third-party messaging provider that supports the JMS Version 1.1 specification. You might want to do this, for example, if you have existing investments.

To administer a third-party messaging provider, you use either the resource adaptor (for a Java EE Connector Architecture (JCA) 1.5-compliant messaging provider) or the client (for a non-JCA messaging provider) that is supplied by the third party. You use the WebSphere Application Server administrative console to administer the activation specifications, connection factories and destinations that are within WebSphere Application Server, but you cannot use the administrative console to administer the JMS provider itself, or any of its resources that are outside of WebSphere Application Server.

To use message-driven beans, third-party messaging providers must either provide an inbound JCA 1.5-compliant resource adapter, or (for non-JCA messaging providers) include Application Server Facility (ASF), an optional feature that is part of the JMS Version 1.1 specification.

To work with a third-party provider, see Managing messaging with a third-party JCA 1.5-compliant messaging provider or Managing messaging with a third-party non-JCA messaging provider.

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## Default messaging

Use these topics to learn about using the default messaging provider to support the use of the Java Message Service (JMS) by enterprise applications deployed on WebSphere Application Server.

The default messaging provider is installed and runs as part of WebSphere Application Server.

The default messaging provider supports JMS 1.1 domain-independent interfaces (sometimes referred to as “unified” or “common” interfaces). This enables applications to use the same common interfaces for both point-to-point and publish/subscribe messaging. This also enables both point-to-point and publish/subscribe messaging within the same transaction. With JMS 1.1, this approach is recommended for new applications. The domain-specific interfaces are supported for backwards compatibility as described in section 1.5 of the JMS 1.1 specification.

The default messaging provider is based on service integration technologies. You can use the WebSphere Application Server administrative console to configure JMS resources:

- A JCA activation specification to enable a message-driven bean to communicate with the default messaging provider.
- A JMS connection factory to connect to a service integration bus
- A JMS queue or topic assigned to a bus destination on the bus. Such JMS queues and topics are available, over a long period of time, to all applications with access to the bus destination.

For more information about using the default messaging provider to support JMS messaging, see the following topics:

- “JCA activation specifications and service integration”
- “JMS connection factories and service integration”
- “JMS queue resources and service integration” on page 220
- “JMS topic resources and service integration” on page 221
- “Client access to JMS resources” on page 223
- “The createQueue or createTopic method and the default messaging provider” on page 225
- “How JMS applications connect to a messaging engine on a bus” on page 227

## JCA activation specifications and service integration

A Java EE Connector Architecture (JCA) 1.5 activation specification enables a message-driven bean to communicate with the default messaging provider.

You create a JMS activation specification if you want to use a message-driven bean to communicate with the default messaging provider through Java EE Connector Architecture (JCA) 1.5. JCA provides Java connectivity between application servers such as WebSphere Application Server, and enterprise information systems. It provides a standardized way of integrating JMS providers with Java EE application servers, and provides a framework for exchanging data with enterprise systems, where data is transferred in the form of messages.

One or more message-driven beans can share a single JMS activation specification.

All the activation specification configuration properties apart from **Name**, **JNDI name**, **Destination JNDI name**, and **Authentication alias** are overridden by appropriately named activation-configuration properties in the deployment descriptor of an associated EJB 2.1 or later message-driven bean. For an EJB 2.0 message-driven bean, the **Destination type**, **Subscription durability**, **Acknowledge mode** and **Message selector** properties are overridden by the corresponding elements in the deployment descriptor. For either type of bean the **Destination JNDI name** property can be overridden by a value specified in the message-driven bean bindings.

## JMS connection factories and service integration

A JMS connection factory is used to create connections to JMS resources on a service integration bus.

A “domain-independent” JMS connection factory supports the JMS 1.1 domain-independent interfaces (sometimes referred to as the “unified” or “common” interfaces). This enables applications to use the same, common, interfaces for both point-to-point and publish/subscribe messaging. This also enables both point-to-point and publish/subscribe messaging within the same transaction.

Due to the interface inheritance defined by the JMS specification, a JMS 1.1 application can use a JMS 1.0.2b, domain-specific, connection factory. However, a JMS 1.0.2b application cannot use a JMS 1.1 domain-independent connection factory.

You should use the connection factory type that matches the JMS level and domain pattern in which an application is developed. For example, use a domain-independent JMS connection factory for a JMS application developed to use JMS 1.1 domain-independent interfaces, and use a JMS queue connection factory for a JMS application developed to use domain-specific queue interfaces.

Applications running in a server that is a member of a bus can locate a messaging engine in that bus. Client applications running outside of an application server - for example, running in a client container or outside the WebSphere Application Server environment - cannot locate directly a suitable messaging

engine to connect to in the target bus. Similarly, an application running on a server in one cell to connect to a target bus in another cell cannot locate directly a suitable messaging engine to connect to in the target bus.

In these scenarios, the clients (or servers in another bus) must complete a bootstrap process through a *bootstrap server* that is a member of the target bus. A bootstrap server is an application server running the SIB Service, but does not have to be running any messaging engines. The bootstrap server selects a messaging engine that is running in an application server that supports the required *target transport chain*. For the bootstrap process to be possible, you must configure one or more provider end points in the connection factory used by the client.

## JMS queue resources and service integration

JMS queue resources (queues and queue connection factories) are provided by the default messaging provider for JMS point-to-point messaging and supported by a service integration bus.

The following figure shows a bus with two members, a server and cluster. The two members each have a JMS queue. An application sends messages to one JMS queue and retrieves messages from the other JMS queue. There are queue destinations on a service integration bus and the JMS connection factories. These objects are described in detail below.

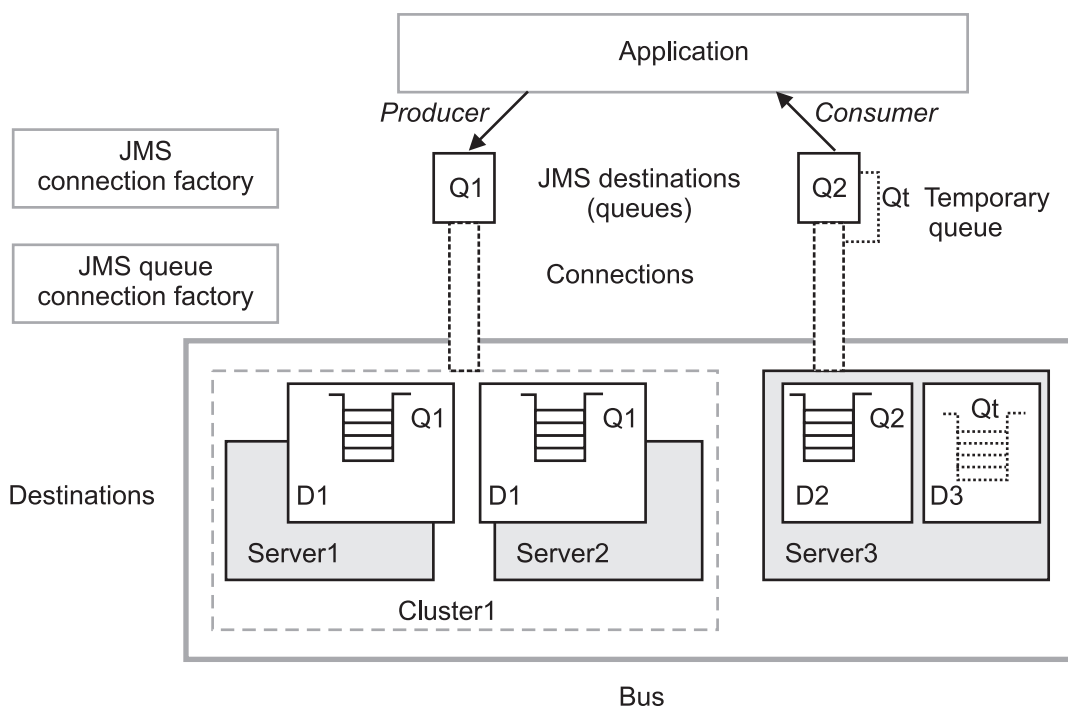


Figure 25. JMS point-to-point messaging and the default messaging provider

### JMS queue

The term “JMS queue” is used to refer to the JMS destination (an instance of `javax.jms.Queue`) that applications interact with, and that an administrator configures as a JMS resource of the default messaging provider.

An administrator can define a *JMS queue*, an administrative object that encapsulates the name of a queue destination on a service integration bus. Applications can obtain the JMS queue by looking its name up in the JNDI namespace.

Applications that uses JMS point-to-point messaging act as producers or consumers of messages with JMS queues, and have no need to know about the service integration resources that support JMS queues.

### **Queue**

The term “queue” is used as an abbreviation for “queue destination”, and refers to a service integration bus destination configured for point-to-point messaging.

The administrator assigns the queue to only one member (an application server or server cluster) of the bus. The messaging engine in the bus member hosts the message point for the queue, known as a queue point. The queue point is the location where messages for the queue are stored and processed on the bus.

If the bus member has more than one messaging engine, the queue is partitioned across the messaging engines. Each messaging engine hosts a separate queue point for the queue.

### **JMS connection factory**

A “JMS connection factory” creates connections to a messaging engine through which it can access messages on queue points anywhere on the bus.

With JMS 1.1, you are recommended to use domain-independent JMS connection factories for new applications. Domain-specific queue connection factories are supported for backwards compatibility for JMS applications developed to use domain-specific queue interfaces, as described in section 1.5 of the JMS 1.1 specification.

### **Temporary JMS queues**

In addition to using JMS queues that are created as administrative objects, an application can also create its own temporary JMS queues, which exist at runtime only for the duration of a connection. Only that connection can create MessageConsumers for the temporary JMS queue; for example, for use as the JMSReplyTo queue for service requests.

For more information about creating temporary JMS destinations, see section 4.43 of the JMS 1.1 specification.

For a temporary JMS queue, the service integration bus creates a temporary destination, which the administrator can list and browse but usually does not have to act on.

## **JMS topic resources and service integration**

JMS topic resources (topics, topic spaces, connection factories, durable subscriptions) are provided by the default messaging provider for JMS publish/subscribe messaging, and supported by a service integration bus.

JMS publish/subscribe messaging and the default messaging provider is shown in the following figure:

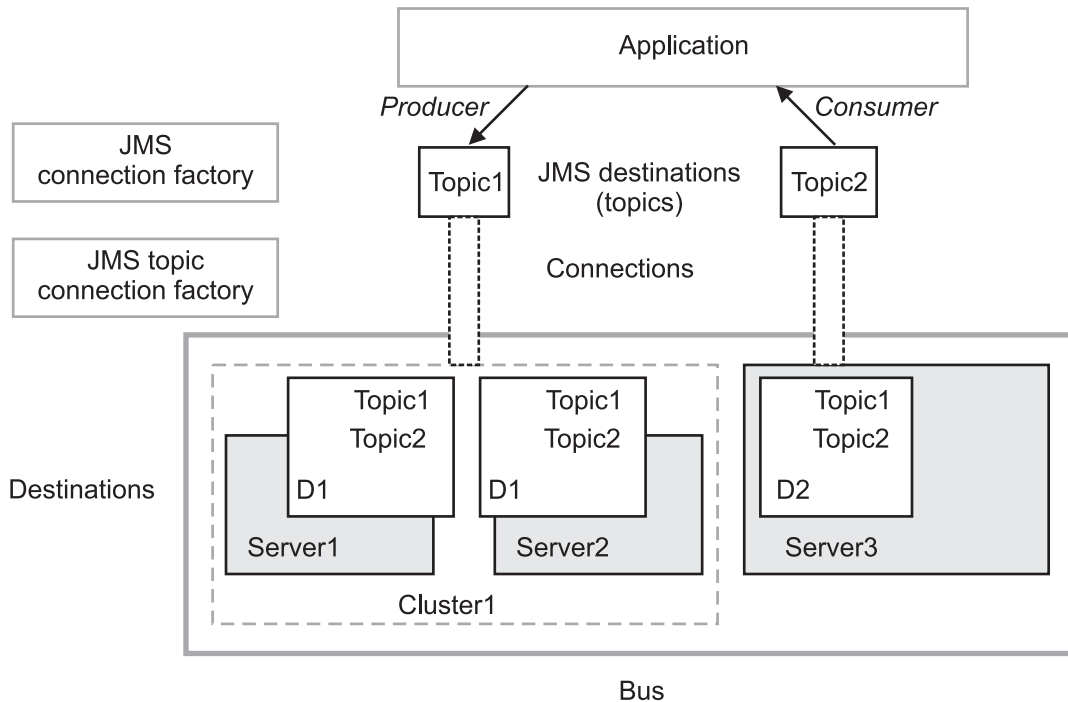


Figure 26. JMS publish/subscribe messaging and the default messaging provider

### JMS topic

The term “JMS topic” is used to refer to the JMS destination (an instance of `javax.jms.Topic`) that applications interact with, and that an administrator configures as a JMS resource of the default messaging provider.

An application that uses JMS publish/subscribe messaging acts as a producer or consumer of messages with JMS topics, and has no need to know about other service integration resources that support the JMS topic.

An administrator can define a *JMS topic*, an administrative object that encapsulates the name of a topic and a topic space on a service integration bus. Applications can obtain the JMS topic by looking its name up in the JNDI namespace.

JMS applications can publish messages to, and subscribe to messages from, JMS topics. Subscribing applications can usually receive messages published to a topic only when the subscriber is connected to the server.

The default messaging provider also supports the use of durable subscriptions to topics, which enable the subscriber to receive messages that were published when the subscriber was disconnected. For more information about durable subscriptions, see section 6.11.1 of the JMS 1.1 specification.

### Topic space

A *topic space* (a hierarchical collection of topics) is a virtual location on a service integration bus where messages are stored and processed for publish/subscribe messaging.

Unlike configuring queues, the administrator does not have to assign the topic space to a bus member. A topic space has a *publication point* defined automatically for each messaging engine in the bus. Messages for the topic space are stored and processed on all its publication points.

**Topic** The term “topic” refers to a discriminator within a topic space.

When subscribing to topics, applications can specify wildcard characters to select a range of topics.



### JMS connection factory

A “JMS connection factory” creates connections to a messaging engine that provides a publication point for the topic space.

With JMS 1.1, you are recommended to use domain-independent JMS connection factories for new applications. Domain-specific topic connection factories are supported for backwards compatibility for JMS applications developed to use domain-specific topic interfaces, as described in section 1.5 of the JMS 1.1 specification.

### Temporary JMS topics

In addition to using JMS topics that are created as administrative objects, an application can also create its own temporary JMS topics, which exist at runtime only for the duration of a connection. Only that connection can create MessageConsumers for the temporary JMS topic.

For more information about creating temporary JMS destinations, see section 4.43 of the JMS 1.1 specification.

For a temporary JMS topic, the service integration bus creates a temporary topic space, which the administrator can list and browse but usually does not have to act on. A temporary topic space is deleted automatically when the connection is closed.

### Durable subscriptions

A durable subscription on a JMS topic enables a subscriber to receive a copy of all messages published to that topic, even messages published during periods of time when the subscriber is not connected to the server. Therefore, subscriber applications can operate disconnected from the server for long periods of time, and then reconnect to the server and process messages that were published during their absence. If an application creates a durable subscription, it is added to the list that administrators can display and act on by using the administrative console.

## Client access to JMS resources

How WebSphere Application Server Version 5.1 application clients can access Java Message Service (JMS) resources provided by the default messaging provider.

Both Java EE application clients and thin application clients can access JMS resources provided by the default messaging provider:

- A Java EE application client supports the client container that provides easy access to services. The Java EE application client has the advantage of performing a simple Java Naming and Directory Interface (JNDI) namespace lookup to access the required service or resource.
- An application that uses a WebSphere Application Server thin client can use JNDI to obtain a connection factory from WebSphere Application Server, but the JNDI initial context must be set up by the application because no container exists for doing this for the application. Although the application can use the JMS API to explicitly create connection factories, it can still do JNDI lookups.

In addition to both types of current WebSphere Application Server application clients, WebSphere Application Server Version 5.1 Java EE application clients can use their existing Version 5.1-style JMS resources to access service integration bus destinations for the default messaging provider. The link between Java EE application clients developed for WebSphere Application Server Version 5.1, and later versions of the application server, is defined by a *WebSphere MQ client link* object. One or more WebSphere Application Server Version 5.1 clients can use the same WebSphere MQ client link.

As for other types of resources, a Java EE application client can use resource environment references and resource references to use logical names to lookup JMS resources.

- If you configure your Java EE application client to use resource environment references (to resources bound into the server JNDI namespace), you use the administrative console to define the resources.

- If you configure your Java EE application client to use resource references (to local resources), you use the Application Client Resource Configuration Tool (ACRCT) to define the resources. For more information about ACRCT, see Starting the Application Client Resource Configuration Tool and opening an EAR file

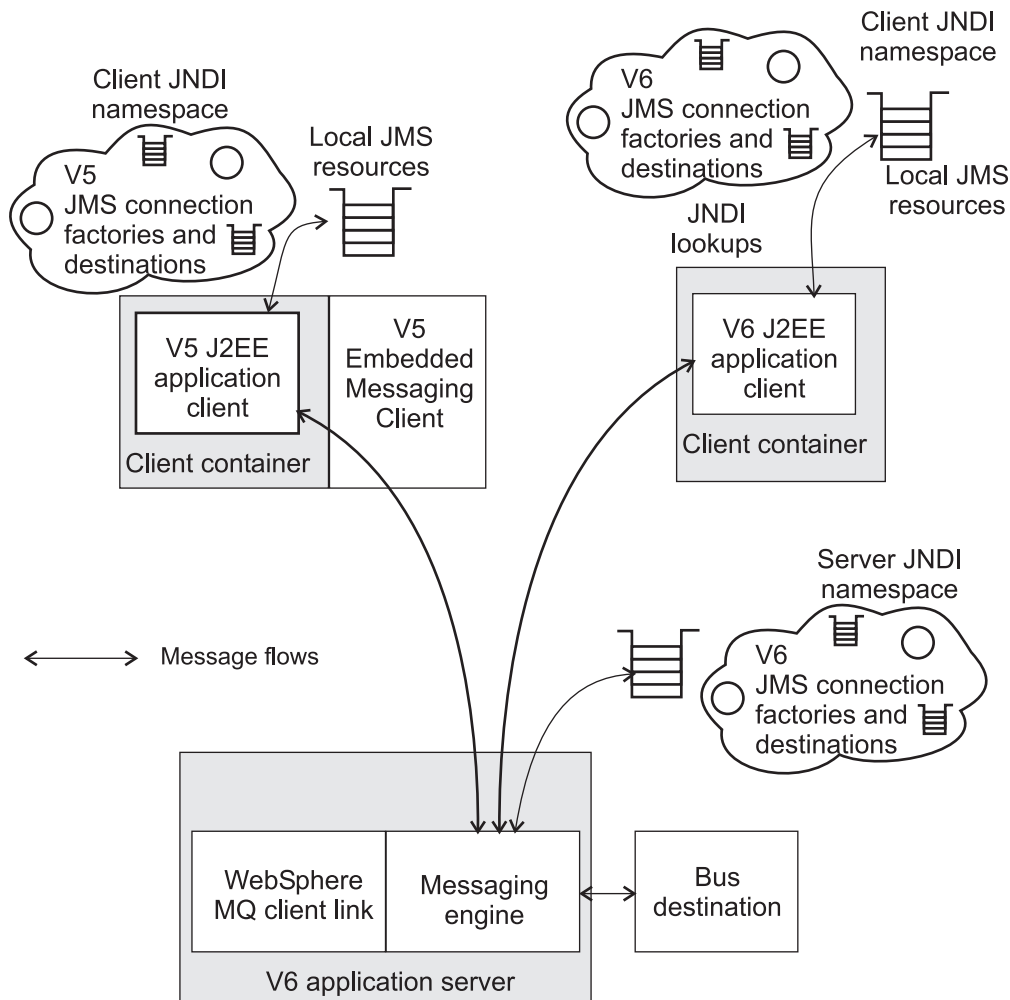


Figure 27. Message flows between WebSphere Application Server Version 5.1 Java EE application clients and a Version 6 or later application server

Here is an example of how a WebSphere Application Server thin application running in a J2SE environment can perform a JNDI lookup:

```
import javax.naming.*;
... Properties env = new Properties();
env.put(Context.PROVIDER_URL, "iiop://9.20.241.23:2809");
env.put(Context.INITIAL_CONTEXT_FACTORY, "com.ibm.websphere.naming.WsnInitialContextFactory");

InitialContext jndi = new InitialContext(env);

TopicConnectionFactory topicConnectionFactory = (TopicConnectionFactory)jndi.lookup("tcfIBM");
```

The Context.PROVIDER\_URL must be set to point to a WebSphere Application Server and the port server BOOTSTRAP\_ADDRESS.

## The createQueue or createTopic method and the default messaging provider

You can use the `Session.createQueue(String)` method or `Session.createTopic(String)` method instead of using JNDI lookup to create a JMS Queue or JMS Topic with the default messaging provider.

Applications can use the `InitialContext.lookup()` method to retrieve administered objects. An alternative, but less manageable, approach to obtaining administratively defined JMS destination objects by JNDI lookup is to use the `Session.createQueue(String)` method or `Session.createTopic(String)` method. For example,

```
Queue q = mySession.createQueue("Q1");
```

creates a JMS Queue instance that can be used to reference the existing destination Q1.

With the default messaging provider, the existing destination exists as a queue or topic space on the bus to which the session is connected.

### createQueue

The `Session.createQueue(String)` method is used to create a JMS Queue object representing an existing destination. This provides an alternative, but less manageable, approach to obtaining administratively-defined JMS Queue objects by JNDI lookup.

#### Simple form

In its simplest form, the parameter to the `createQueue` method is the name of an existing destination on the bus to which the session is connected. For example, if there exists a queue named Q1 then the following method creates a JMS Queue instance that can be used to reference that destination:

```
Queue q = mySession.createQueue("Q1");
```

#### URI form

For more complex situations, applications can use a URI-based format. The URI format allows an arbitrary number of name value pairs to be supplied to set various properties of the Queue object. The queue URI is identified by the prefix `queue://`, followed by the name of the destination. The simple form for Q1 above can be expressed with the following URI:

```
Queue q = mySession.createQueue("queue://Q1");
```

Name value pairs are introduced by a question mark `?`. For example, an application might connect a session to one bus then use the following format to create a JMS Queue instance for Q2 on a different bus, called `otherBus`:

```
Queue q = mySession.createQueue("queue://Q2?busName=otherBus");
```

Multiple name value pairs are separated by an ampersand character `&`, for example:

```
Queue q = mySession.createQueue("queue://Q2?busName=otherBus&deliveryMode=Application&readAhead=AsConnection&priority=6");
```

#### Properties

**busName**, **deliveryMode**, **priority**, **readAhead**, and **timeToLive**. See the generated API information for a description of these properties.

### createTopic

The `Session.createTopic(String)` method is used to create a JMS Topic object representing an existing destination. (Note that for topics it is the topic space rather than the topic that exists.) This provides an alternative, but less manageable, approach to obtaining administratively-defined JMS Topic objects by JNDI lookup.

#### Simple form

In its simplest form, the parameter to the `createTopic` method is the name of a topic in the default

topic space on the bus to which the session is connected. For example, if the default topic space exists, then a JMS Topic instance that can be used to reference the cats topic on the default topic space:

```
Topic t = mySession.createTopic("cats");
```

To specify a non-default topic space, the special syntax of the form `topicSpace:topic` can be used. For example:

```
Topic t = mySession.createTopic("kennelTopicSpace:dogs");
```

### URI form

For more complex situations a URI based format can be used. The topic URI is identified by the prefix `topic://` followed by the name of the topic. The examples above can be expressed as the following URIs:

```
Topic t = mySession.createTopic("topic://cats");
```

```
Topic t = mySession.createTopic("topic://dogs?topicSpace=kennelTopicSpace");
```

As for queues, multiple name value pairs are separated by an ampersand `&`.

### Properties

**busName**, **deliveryMode**, **priority**, **readAhead**, **timeToLive**, and **topicSpace**. See the generated API information for a description of these properties.

## Support for MA88 URIs

WebSphere Application Server Version 5.1 applications can use `createQueue` and `createTopic` methods to create JMS Queue and Topic objects with the Version 5 embedded messaging provider (the Version 5.1 JMS messaging provider). To assist you in migrating these applications, the default messaging provider (the service integration bus) supports a large subset of valid MA88-specific string parameters to the `createQueue` and `createTopic` methods.

### Default queue manager

An MA88 URI for a queue includes the name of the queue manager; for example:

```
queue://qm/queue
```

To specify the default queue manager, the queue manager name is left out; for example: `queue:///queue` (note the three forward slash characters, `///`). Because the interpretation of the default queue manager is logically consistent with the concept of a queue on the current bus, the bus tolerates the presence of three forward slash characters after the `queue:` prefix. This allows MA88 queue URIs with a default queue manager to be used by the bus without change.

### Non-default queue manager

If an MA88 queue URI specifies a non-default queue manager, as in `queue://qm/queue`, then this has an ambiguous interpretation in the bus. To highlight the potential problem and ensure that the destination is given consideration during the porting process, such a URI generates a `JMSEException` if passed to the `createQueue()` method.

### MA88 properties

As with the bus URIs, MA88 URIs can contain a number of name value pairs specifying destination properties. Many of the MA88 specific properties have no direct equivalent in the bus and are ignored silently. However, the following MA88 properties are mapped to bus equivalents:

MA88 name	Service integration bus name	Notes
<b>expiry</b>	<b>timeToLive</b>	
<b>persistence</b>	<b>deliveryMode</b>	1 = NonPersistent 2 = Persistent Anything else = Application

## Topic wildcard translation

A topic used for consuming messages can include wild cards. The wild card syntax used in MA88 differs from the XPath syntax used in the bus, so if an MA88 URI contains wild cards the bus attempts to convert them to XPath equivalents. The conversion performed depends on the presence of the **brokerVersion** property in the MA88 URI. The WebSphere Application Server Version 5.1 default messaging provider required any URI specifying a topic wild card to include `brokerVersion=1` in the name value pairs. The bus therefore uses `brokerVersion=1` as the trigger to undertake MQSI to XPath wild card conversion.

## Case sensitivity

All parts of the string parameter for `createQueue` and `createTopic` are case sensitive.

## Multiple instances of same property

If a URI contains multiple occurrences of a given property with conflicting values, it is not specified which value is used.

## Conflicting MA88 and bus properties

If a URI contains both a property and the MA88 equivalent of that property with conflicting values, it is not specified which value is used.

## Unknown properties

Any name value pairs for which the property name is not recognized are ignored without any error reporting.

## Escaping special characters

The following characters have special significance in the `createQueue` and `createTopic` string parameters:

### : (colon)

This is used as a separator between the topic space and the topic in short form topic strings

### ? (question mark)

This is used to indicate the start of the name value pairs.

### & (ampersand)

This is used to separate multiple name value pairs.

If you want to use any of these characters in a URI, you must prefix it with a backward slash `\`. The `\` character can also be escaped by doubling it; `\\`. Note that the `\` character is treated as a special character by the Java language, and so must be doubled when placed in character string constants; for example:

```
createTopic("myTop\\:ic")           creates a topic with the name "myTop:ic"
createTopic("topic://my\\?Topi\\\\c") creates a topic with the name "my?Topi\c"
createQueue("queue://q1?busName=silly\\&bus") creates a queue with bus name "silly&bus"
```

## How JMS applications connect to a messaging engine on a bus

There are several factors that affect how JMS applications connect to a service integration bus, so that they can use resources provided by the bus.

To connect to a service integration bus, an application actually connects to a messaging engine on the bus.

By default, the environment automatically connects applications to an available messaging engine on the bus. However you can specify extra configuration details to influence the connection process; for example to identify special bootstrap servers, or to limit connection to a subgroup of available messaging engines, or to improve availability or performance, or to ensure sequential processing of messages received.

## Applications running in an application server: Default configuration

Applications that are running in an application server are directed by the WebSphere Application Server environment to an available messaging engine.

If the messaging engine is found in the same server, a connection is created that provides the application with the fastest available connection to a messaging engine. Otherwise, if a messaging engine is found in another process - on the same or a different host - a remote connection is made. If no suitable messaging engine is found the application fails to connect to the bus.

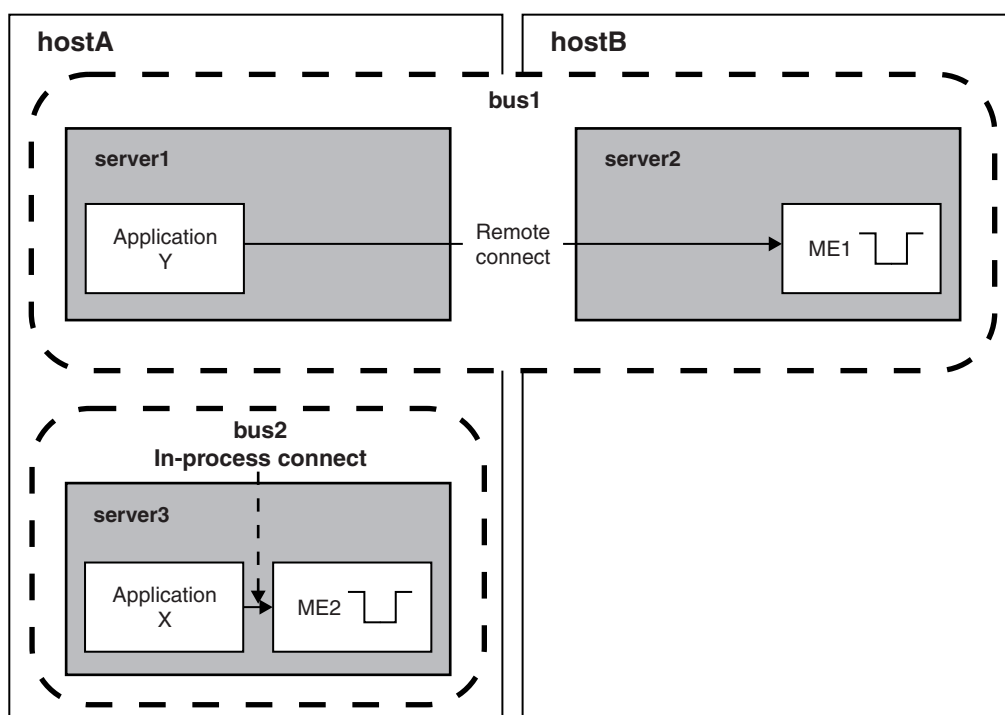


Figure 28. Default connection to a messaging engine - Applications running in an application server

The figure shows two applications running in application servers. Application X on server3 has connected to the messaging engine running in the same server. Application Y on server1 has connected to a messaging engine that is running in the same bus but on a different server and host, because server1 does not have a suitable messaging engine.

## Applications running outside an application server

Client applications running outside an application server (for example, running in a client container or outside the WebSphere Application Server environment) cannot locate a suitable messaging engine themselves and must complete a bootstrap process through a *bootstrap server*. A bootstrap server is an application server that is running the SIBService service, but is not necessarily running any messaging engines. The bootstrap server selects a messaging engine that is running in an application server that supports the required *target transport chain*.

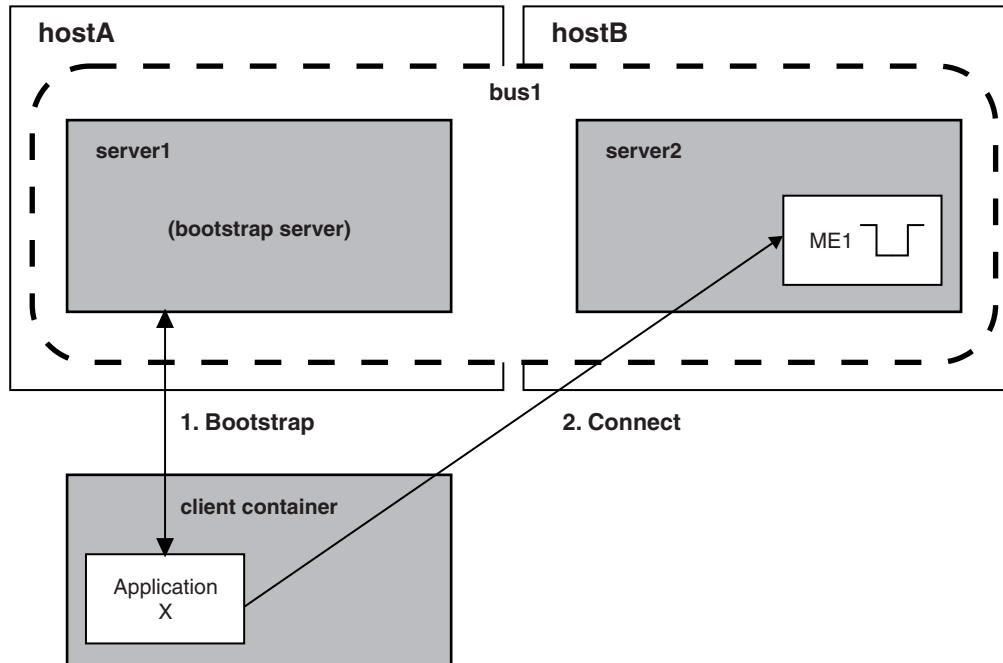


Figure 29. Connection to a messaging engine - Applications running outside an application server

This figure shows a client application running outside an application server. To connect to a messaging engine, the application connects first to a bootstrap server. The bootstrap server selects a messaging engine then tells the client application to connect to that messaging engine.

A bootstrap server uses a specific port and bootstrap transport chain, which with the host name form the *endpoint address* of the bootstrap server.

The properties of a JMS connection factory used by a client application control the selection of a suitable messaging engine and how the client connects to the selected messaging engine. By default, a connection factory expects to use a bootstrap server that has an endpoint address of `localhost:7276:BootstrapBasicMessaging`. That is: the client application expects to use a bootstrap server that is on the same host as the client, and that uses port 7276 and the predefined bootstrap transport chain called `BootstrapBasicMessaging`.

When you create an application server, it is automatically assigned a unique non-secure bootstrap port, `SIB_ENDPOINT_ADDRESS`, and a secure bootstrap port, `SIB_ENDPOINT_SECURE_ADDRESS`. If you want to use an application server as a bootstrap server, and the server has been assigned a non-secure port other than 7276, or you want to use the secure port, then you must specify the endpoint address of the server on the Provider endpoints property of the connection factory.

The endpoint addresses for bootstrap servers must be specified in every connection factory that is used by applications outside of an application server. To avoid having to specify a long list of bootstrap servers, you can provide a few highly-available servers as dedicated bootstrap servers. Then you only have to specify a short list of bootstrap servers on each connection factory.

## The messaging engine selection process

The selection process is used to choose a messaging engine that an application should connect to so that it can use the resources of a service integration bus. The information that controls the selection process is configured in one of the following places:

- For JMS client applications, this information is configured on the connection factory.
- For message-driven bean (MDB) applications, this information is configured on the activation specification.
- For other types of application, this information is configured programmatically by the application.

Although a connection can be made to any available messaging engine, the connection process applies a few simple rules to find the most suitable messaging engine. For an application running in an application server, the process is as follows:

1. If a messaging engine is running in the required bus within the same application server, then a connection is made from the application to the messaging engine. If there is no suitable messaging engine, the next rule is checked.
2. If a messaging engine is running on the same host as the application, then the application makes a remote connection to the selected messaging engine. If there is no suitable messaging engine, the next rule is checked.
3. If a messaging engine is running anywhere in the bus, then the application makes a remote connection to the selected messaging engine. If there is no suitable messaging engine, the connection attempt does not succeed.

For an application running outside an application server, connection requests are workload balanced across all the available messaging engines in the bus.

In both cases (that is, an application running in an application server and an application running outside an application server) you can restrict the range of messaging engines available for connection, to a subgroup of those available in the service integration bus. You do this by configuring the following connection properties of the connection factory or activation specification:

**Target** The name of a target that identifies a group of messaging engines. Specify the type of target using the Target type property.

Before the connection proximity search is performed to select a suitable messaging engine, the set of messaging engines that are members of the specified target group are selected. The connection proximity search is then restricted to these messaging engines. If a target group is not specified (the default), then all messaging engines in the bus are considered during the connection proximity search.

For example, if the Target type property is set to Bus member name, the Target property specifies the name of the bus member from which suitable messaging engines can be chosen.

#### **Target type**

The type of target named in the Target property.

##### **Bus member name**

The name of a bus member. This option retrieves the active messaging engines that are hosted by the named bus member (an application server or server cluster).

##### **Custom messaging engine group name**

The name of a custom group of messaging engines (that form a self-declaring cluster). This option retrieves the active messaging engines that have registered with the named custom group.

##### **Messaging engine name**

The name of a messaging engine. This option retrieves the available endpoints that can be used to reach the named messaging engine.

#### **Target significance**

This property defines whether the connection proximity search is restricted to only the messaging engines in the target group.

##### **Preferred**

It is preferred that a messaging engine is selected from the target group. A messaging



engine in the target group is selected if one is available. If a messaging engine is not available in the target group, a messaging engine outside the target group is selected if available in the same service integration bus.

#### **Required**

It is required that a messaging engine is selected from the target group. A messaging engine in the target group is selected if one is available. If a messaging engine is not available in the target group, the connection process fails.

#### **Target inbound transport chain**

The name of the messaging engine inbound transport chain that the application should target when connecting to a messaging engine in a separate process to the application.

These transport chains specify the communication protocols that can be used to communicate with the application server to which the client application is connected. If a messaging engine in another process is chosen, a connection can be made only if the messaging engine is in a server that runs the specified inbound transport chain.

The following predefined messaging engine inbound transport chains are provided:

##### **InboundBasicMessaging**

JFAP over TCP/IP

##### **InboundSecureMessaging**

JFAP over SSL over TCP/IP

#### **Connection proximity**

For an application running in an application server, this property defines the proximity of messaging engines relative to the application server. For an application running outside an application server, this property defines the proximity of messaging engines relative to the bootstrap server.

**Bus** Connections can be made to messaging engines in the same bus.

A suitable messaging engine in the same server is selected ahead of a suitable messaging engine in the same host, and in turn ahead of a suitable messaging engine in another host.

#### **Cluster**

Connections can be made to messaging engines in the same server cluster. If the application is not running in a clustered server, or the bootstrap server is not in a cluster, then there are no suitable messaging engines.

A suitable messaging engine in the same server is selected ahead of a suitable messaging engine in the same host, and in turn ahead of a suitable messaging engine in another host.

**Host** Connections can be made to messaging engines in the same host. A suitable messaging engine in the same server is selected ahead of a suitable messaging engine in the same host.

#### **Server**

Connections can be made to messaging engines in the same application server.

For MDB applications connecting to a cluster bus member, you can also enable either of the following additional configurations:

- All servers in the cluster can receive messages from the MDB application, to make full use of the processing power in the cluster.
- Just one server at a time can receive messages from the MDB application, to ensure sequential processing of the messages.

For more information, see “How a message-driven bean connects in a cluster.”

To create or modify a JMS connection factory, see the following topics:

- Configuring the messaging engine selection process for JMS applications.
- [../ae/tjn0001\\_.dita](#).
- `createSIBJMSConnectionFactory` command.
- `modifySIBJMSConnectionFactory` command.

To create or modify an activation specification, see the following topics:

- [../ae/tjn0025\\_.dita](#).
- [../ae/rjn\\_jmsas\\_create.dita](#).
- [../ae/rjn\\_jmsas\\_modify.dita](#).

## How a message-driven bean connects in a cluster

When an enterprise bean (EJB) application is deployed to an application server cluster, the application can run on any of the servers in the cluster to provide high availability and scalability of the application. When the EJB application is a message-driven bean (MDB), it can run on any of the servers in the cluster (for high availability) and can be invoked concurrently in multiple application servers in the cluster (for scalability). This behavior depends on the location of the MDB with respect to any service integration bus members, and on the configuration of the MDB itself.

**Note:** For ease of management, connect the MDB directly to messaging engines in the bus member that owns the bus queue or subscription that the MDB is servicing, rather than connecting through intermediate messaging engines. For optimum messaging performance, deploy the MDB to the same application server or cluster as the bus member.

By default, when an MDB application is deployed to an application server cluster that is also a service integration bus cluster bus member, the MDB application connects to one or more messaging engines on servers within the cluster. The default connection behavior, and the extra connection control that you can apply to any JMS application including message-driven beans, are described in “How JMS applications connect to a messaging engine on a bus” on page 227. However, if you use the configuration options described in that topic, the message-driven bean is only driven on those servers in the cluster that host a started messaging engine.

For MDB applications connecting to a cluster bus member, you can also enable either of the following additional configurations:

- All servers in the cluster can receive messages from the MDB application, to make full use of the processing power in the cluster.
- Just one server at a time can receive messages from the MDB application, to ensure sequential processing of the messages.

These configurations are described in more detail in the following sections:

- MDB connection behavior: Within a single cluster bus member
  - The message-driven bean is driven only on those servers in the cluster bus member that host a started messaging engine
  - All servers in a cluster bus member can receive messages from a message-driven bean
- MDB connection behavior: Between a cluster and a separate bus member
  - All servers in a cluster can receive messages from messaging engines in a cluster bus member
  - Just one server in a cluster can receive messages from a messaging engine in a cluster bus member

The diagrams in these sections follow this key:

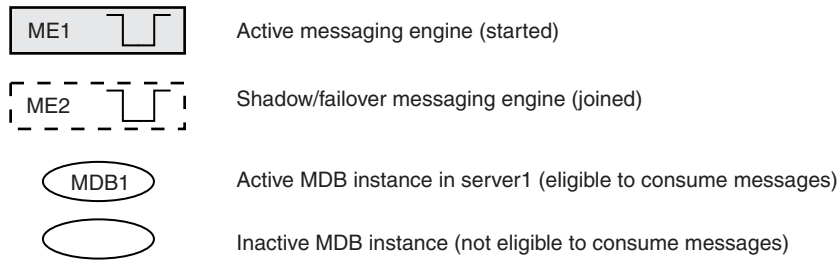


Figure 30. Topic diagram key

### MDB connection behavior: Within a single cluster bus member

**The message-driven bean is driven only on those servers in the cluster bus member that host a started messaging engine**

This is the default option. If the message-driven bean is deployed to a cluster bus member then only the MDB endpoints in servers that have a messaging engine started locally are eligible to be driven by available messages.

In figure 2, a cluster bus member contains three servers. server1 and server2 each contain an active and failover messaging engine. The MDB endpoints running in each of these two servers connect to their respective local messaging engines. server3 does not host a started messaging engine, but it is hosting two failover messaging engines. It does not have an active MDB endpoint and is not eligible to consume messages.

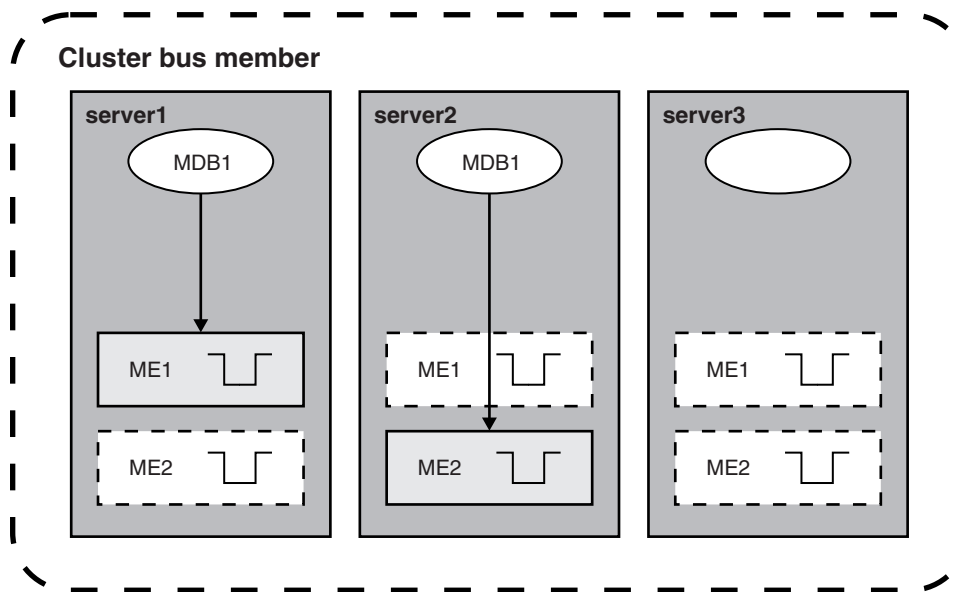


Figure 31. MDB is driven by servers in the cluster bus member that hosts a started messaging engine (setup 1)

This configuration also provides high availability of the MDB application, and the messages on the bus destination, if the messaging engines can fail over between servers in the cluster.

In figure 3, the cluster bus member is shown as in the previous figure. The messaging engine in server1 has failed over to server2. Consequently, server2 now contains two active messaging

engines and the MDB endpoint running in server2 now connects to both of the local messaging engines. The third server is not hosting a started messaging engine, does not have an active MDB endpoint and is not eligible to consume messages.

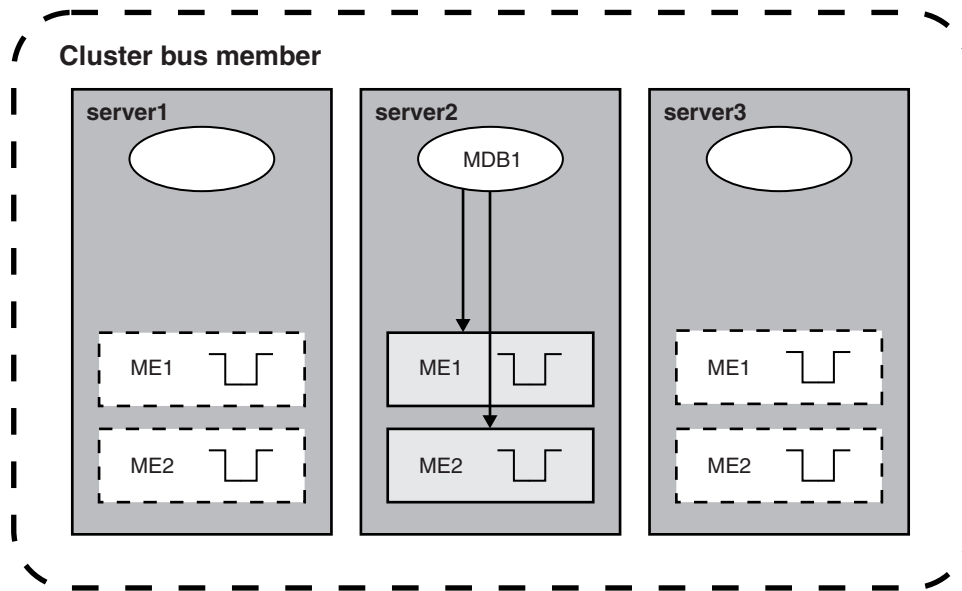


Figure 32. MDB is driven by servers in the cluster bus member that hosts a started messaging engine (setup 2)

This configuration is enabled unless you select the Always activate MDBs in all servers option on the activation specification.

#### All servers in a cluster bus member can receive messages from a message-driven bean

You can set the MDB endpoints in all the cluster servers as eligible to be driven by messages, regardless of whether there is a local started messaging engine. Any MDB endpoint in a server that does not have a started messaging engine connects directly to one of the messaging engines in one of the other servers in the cluster. This approach ensures that all the available resources of the cluster can be used to process the messages that are sent to the destinations.

In figure 4, a cluster bus member contains three servers. Two servers contain active messaging engines. The MDB endpoints in each of these two servers connect to their respective local messaging engines. The third server, that is not hosting a started messaging engine, is workload balanced across the available messaging engines in the cluster. The MDB endpoint in the third server is connected to a messaging engine running in one of the other two servers.

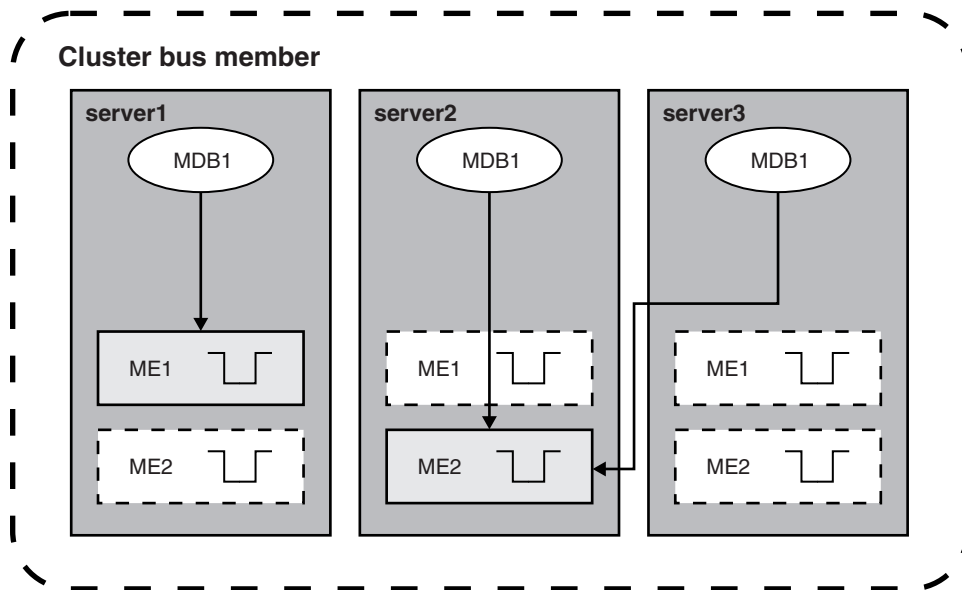


Figure 33. Servers in a cluster bus member receive messages from a message-driven bean

To choose this configuration you select the Always activate MDBs in all servers option on the activation specification.

**Note:** This configuration achieves the same effect, in terms of which MDB endpoints are driven, as the following configuration (also described in this topic): All servers in a cluster can receive messages from messaging engines in a cluster bus member.

### MDB connection behavior: Between a cluster and a separate bus member

#### All servers in a cluster can receive messages from messaging engines in a cluster bus member

If you deploy the MDB application to a cluster that is not a bus member, the MDB attempts to connect to the bus from every application server in the cluster, following the connection rules described in “How JMS applications connect to a messaging engine on a bus” on page 227. This usually results in all of the MDB endpoints in the cluster being driven concurrently by messages from an active messaging engine in the bus member. This approach ensures that all the available resources of the cluster can be used to process messages sent to destinations in the cluster bus member.

In figure 5, a cluster contains three servers, each with a MDB endpoint. A cluster bus member contains two servers, and one hosts an active messaging engine. Each of the cluster's three MDB endpoints connect to the active messaging engine in the cluster bus member.

**Note:** Under this configuration connections might not be made to all messaging engines, so there could be a messaging engine that has no connection, and this could result in marooned messages. This situation is less likely to occur if the activation specification used by the MDB is set to server scope.

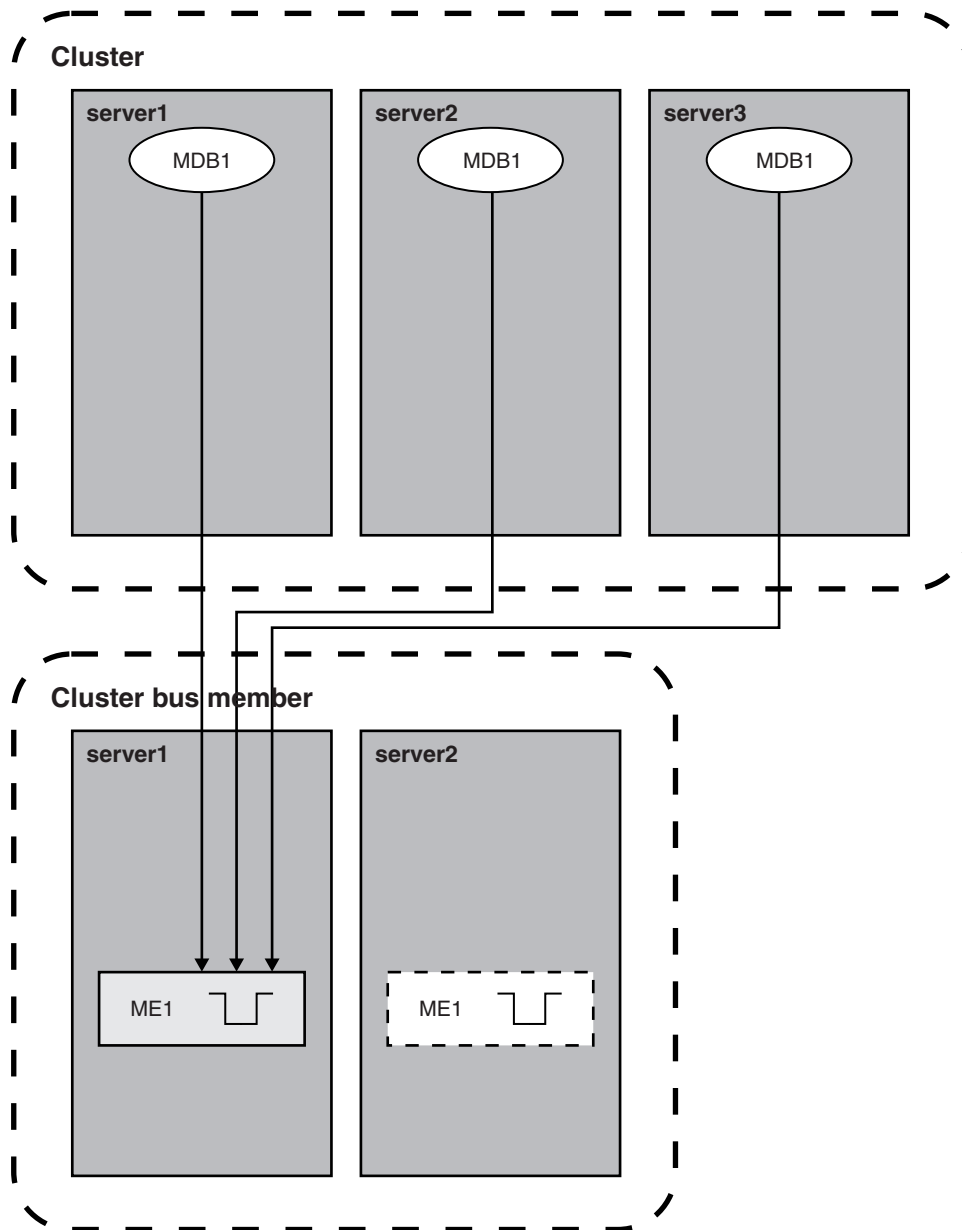


Figure 34. All servers in cluster receive messages from messaging engines in a cluster bus member

**Note:** This configuration achieves the same effect, in terms of which MDB endpoints are driven, as the following configuration (also described in this topic): All servers in a cluster bus member can receive messages from a message-driven bean.

#### Just one server in a cluster can receive messages from a messaging engine in a cluster bus member

To achieve sequential processing of the messages on the destination by a single server at a time, configure the system so that only a single MDB endpoint is driven by messages at any one time. In this pattern the other MDB endpoints and messaging engine are effectively in standby ready to take over processing of messages if server1 stops.

In figure 6, a cluster contains three servers, each with a MDB endpoint. A cluster bus member also contains two servers, one of which has an active messaging engine. Only one of the three MDB endpoints in the cluster is connected to the active messaging engine running in the cluster bus

member.

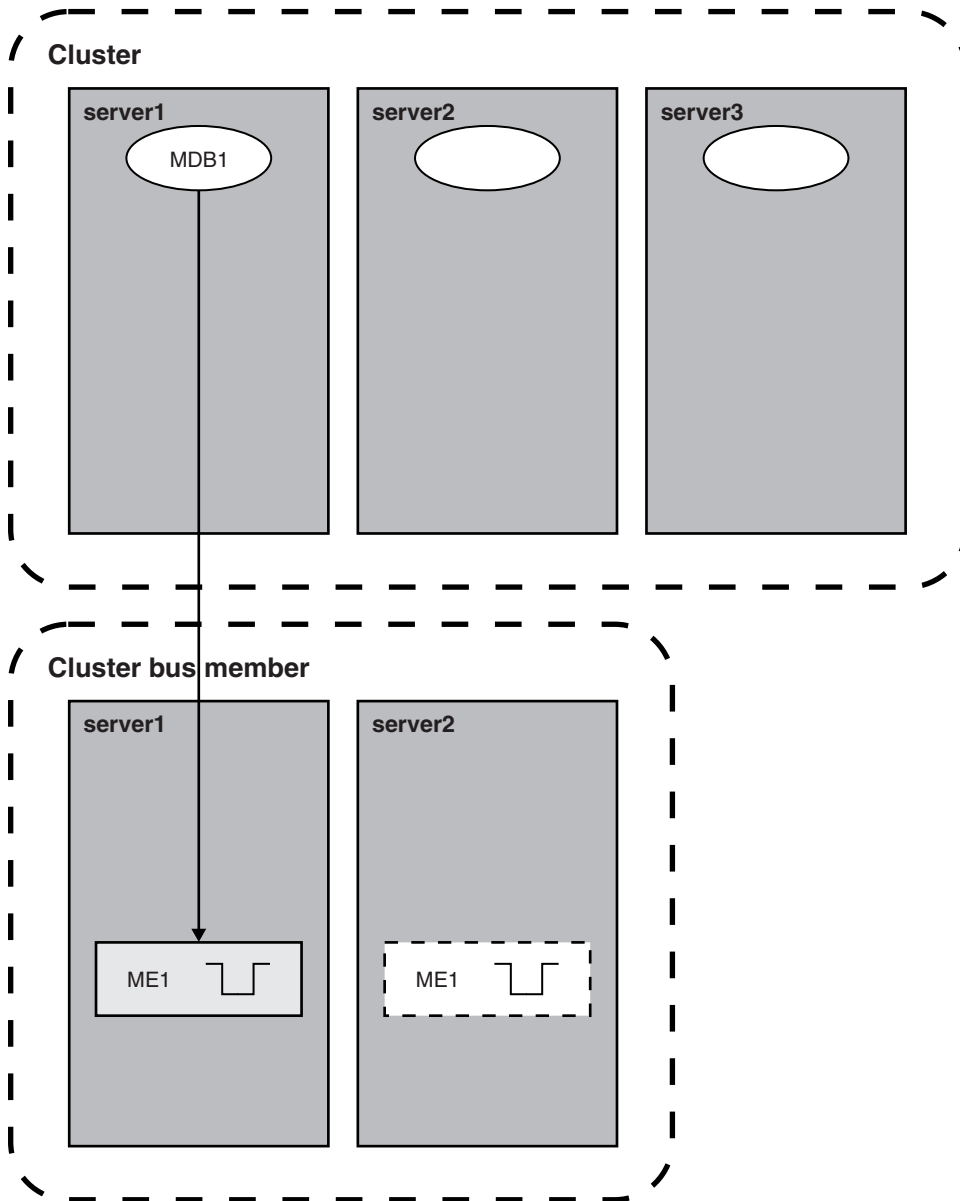


Figure 35. One server receiving messages from messaging engine in a cluster bus member

To choose this configuration you configure the activation specification so that the MDB endpoints in all the non-bus cluster servers are eligible to be driven by messages from a messaging engine in the cluster bus member, and set the **receive exclusive** option on the destination in the cluster bus member. When one of the MDB endpoints connects to the messaging engine, the engine stops all other available MDB endpoints from connecting and continues to process messages through the same MDB endpoint.

To achieve sequential processing of messages by an MDB further configuration might be required. For more information about ensuring sequential processing of the messages on a destination, see “Message ordering” on page 471.

## Why and when to pass the JMS message payload by reference

When large object messages or bytes messages are sent, the cost in memory and processor use of serializing, deserializing, and copying the message payload can be significant. If you enable the **pass message payload by reference** properties on a connection factory or activation specification, you tell the default messaging provider to override the JMS 1.1 specification and potentially reduce or bypass this data copying.

### Background

The JMS 1.1 specification states that object messages are passed by value. This means that a JMS provider such as the default messaging provider in WebSphere Application Server has to take a copy of the object in `ObjectMessage` at the time the object is set into the message payload, in case the client application modifies the object after setting it. In practice this means serializing it, as there is no other entirely safe way to take a copy. The specification also states that when a consumer application gets the data from the message, the JMS provider must create and return a copy of that data.

If you enable the “pass message payload by reference” properties, you might get memory and performance improvements for JMS messaging.

#### CAUTION:

- **The parts of the JMS specification that are bypassed by these properties are defined to ensure message data integrity.**
- **Any of your JMS applications that use these properties must strictly follow the rules that are described in detail below, or you risk losing data integrity.**
- **You should read and understand this entire topic before enabling these properties.**

To pass the message payload by reference, you set the following properties on connection factories and activation specifications:

#### **producerDoesNotModifyPayloadAfterSet (for connection factories) or forwarderDoesNotModifyPayloadAfterSet (for activation specifications)**

When this property is enabled, object or bytes messages produced by the connection factory or forwarded through the activation specification are not copied when set into the message and are only serialized when absolutely necessary. Applications sending such messages must not modify the data after it has been set into the message.

#### **consumerDoesNotModifyPayloadAfterGet**

When this property is enabled, object messages received through the connection factory or activation specification are only serialized when absolutely necessary. The data obtained from those messages must not be modified by applications.

### Potential benefits of passing the message payload by reference

The following table shows the conditions under which you might get performance benefits by enabling the “pass message payload by reference” properties. This table makes the following assumptions:

- Your JMS applications conform to the rules described in the next section of this topic.
- Your message producer and consumer applications run in the same JVM (server), along with the messaging engine that hosts the destination used by these applications.

#### Note:

- If your applications run in different servers, or on the z/OS platform (where WebSphere Application Server runs in multiple JVMs), then object messages are serialized and no performance benefits are gained for these messages. Bytes message benefits might still be gained.



- There are many internal runtime conditions that can cause your messages to be serialized, so even if your configuration meets all the conditions described in this topic you might gain little or no performance benefit from enabling the “pass message payload by reference” properties.

*Table 29. How configuration and runtime factors determine what data is copied, when it is copied, and the potential performance benefit.. The first column lists the degree of potential performance benefits. The second column includes the configuration and runtime events of the potential benefits. The third column provides information such as what data is copied and when the data is copied based on the configuration and runtime events of the potential benefits.*

Degree of potential performance benefit	Configuration and runtime events	When the data is copied
No potential benefit	The “pass message payload by reference” properties are not enabled (default behavior).	Object message data is copied as soon as it is set into the message and when it is retrieved from the message.  Bytes message data is copied as soon as it is set into the message and when it is retrieved from the message.
Some potential benefit	The “pass message payload by reference” properties are enabled, and either or both of the following conditions are true: <ul style="list-style-type: none"> <li>• The send or receive message is transacted.</li> <li>• The consumer is not available when the message is produced.</li> </ul>	Object message data is only copied when necessary.  Bytes message data is only copied when necessary.
Maximum potential benefit	The “pass message payload by reference” properties are enabled, and both of the following conditions are true: <ul style="list-style-type: none"> <li>• Neither the send nor the receive message is transacted.</li> <li>• The consumer is waiting for the message when it is produced (for example if the consumer is a message-driven bean).</li> </ul>	Object message data might never be copied.  Bytes message data is only copied when necessary.

## Rules that your JMS applications must obey

The parts of the JMS specification that are bypassed by the “pass message payload by reference” properties are defined to ensure message data integrity. If your JMS applications obey the rules given in the following table, then you can safely enable the “pass message payload by reference” properties on the connection factories and activation specifications that the applications use.

If you enable the “pass message payload by reference” properties for JMS applications that do not follow these rules, then the applications might receive exceptions or, more importantly, the integrity of the message data might be compromised.

*Table 30. Rules that your JMS applications must obey, by application type. The first column lists the JMS application types. The second column provides the rules that the JMS application must follow.*

Application type	Rules
JMS producer application	A JMS producer application that sends object messages must not alter the object after it is set into the payload of the message.  A JMS producer application that sends bytes messages: <ul style="list-style-type: none"> <li>• must write data into the message with a single call to <code>writeBytes(byte[])</code>.</li> <li>• must not alter the byte array after it is written into the message.</li> </ul>

Table 30. Rules that your JMS applications must obey, by application type (continued). The first column lists the JMS application types. The second column provides the rules that the JMS application must follow.

Application type	Rules
JMS consumer application	A JMS consumer application that receives object messages must not alter the payload it gets from the message.
JMS forwarder application <b>Note:</b> A JMS forwarder application receives a message (through a connection factory, or if it is a message-driven bean through an activation specification), then sends the message object on to another destination.	A JMS forwarder application that replaces the payload of the received message with a new payload: <ul style="list-style-type: none"> <li>• (for object messages) must not alter the object after it is set into the payload of the message.</li> <li>• (for bytes messages): <ul style="list-style-type: none"> <li>– must write data into the message with a single call to <code>writeBytes(byte[])</code>.</li> <li>– must not alter the byte array after it is written into the message.</li> </ul> </li> </ul>

## Ensuring that your object messages can be serialized

Under normal JMS messaging conditions (that is, when the “pass message payload by reference” properties are not enabled), the data in an object message is serialized as soon as the object is passed to the messaging system, for example on `set` or `send`. If the message payload cannot be serialized, then an exception message is immediately returned to the client application.

When the “pass message payload by reference” properties are enabled, the message payload is accepted from the client application without attempting to serialize it. If the system later discovers that the data cannot be serialized, the system can no longer inform the client application that sent the message - and because the data is not serializable, the system cannot persist or transmit the complete message. The message and its properties are stored, but the user data inside the message (the payload) cannot be stored and is discarded. If there are serialization problems when the system tries to convert an object message into a data graph for a mediation, the message payload is discarded and the mediation receives a message with the data value set to null.

If your data cannot be serialized, then it is lost. Therefore you should first test your configuration without enabling the “pass message payload by reference” properties, to check that all data sent into the system is serializable.

When the system discovers that an object message cannot be serialized, it writes the following error message (JMS\_IBM\_ErrorMessage) to the `SystemOut.log` file, where “{0}” is replaced by the class name of the failing object:

**Note:** This topic references one or more of the application server log files. Beginning in WebSphere Application Server Version 8.0 you can configure the server to use the High Performance Extensible Logging (HPEL) log and trace infrastructure instead of using `SystemOut.log`, `SystemErr.log`, `trace.log`, and `activity.log` files or native z/OS logging facilities. If you are using HPEL, you can access all of your log and trace information using the LogViewer command-line tool from your server profile bin directory. See the information about using HPEL to troubleshoot applications for more information on using HPEL.

**CWSIK0200E: An object of class “{0}” has been set into the message payload but it cannot be serialized.**

**Explanation:** An object message sent with the `producerDoesNotModifyPayloadAfterSet` flag enabled on its connection factory was sent with a payload that was not serializable by the system. This message data has been lost.

**Action:** Disable the `producerDoesNotModifyPayloadAfterSet` on the connection factory. Without the flag enabled, the JMS application that sets the object into the message will receive any serialization exception immediately.

The following exception properties are used to indicate that a data object cannot be serialized and has been discarded. JMS applications can find out what has happened from the `JMS_IBM_Exception` properties. Mediations can find out what has happened from the `JMS_IBM_Exception` and `SI_Exception` properties.

**JMS\_IBM\_ExceptionReason**

`SIRCConstants.SIRCO200_OBJECT_FAILED_TO_SERIALIZE`

**JMS\_IBM\_ExceptionTimestamp**

The time at which the object failed to serialize, in `System.currentTimeMillis()` form.

**JMS\_IBM\_ErrorMessage**

Message `CWSIK0200E`, as previously described.

**SI\_ExceptionReason**

`SIRCO200_OBJECT_FAILED_TO_SERIALIZE`

**SI\_ExceptionTimestamp**

The time at which the object failed to serialize, in `System.currentTimeMillis()` form.

**SI\_ExceptionInserts**

A string array containing one entry. The entry contains the class name of the object.

**Note:** The most likely explanation as to why your data objects cannot be serialized is that you have written your own `writeObject()` or `writeExternal()` methods and have not fully tested every option (for example `NullPointerException` exceptions, or `ArrayIndexOutOfBoundsException` exceptions).

***Pass message payload by reference: Potential benefits for each processing step:***

For each processing step taken by your JMS messaging application, check this table to see when and why there is a potential performance benefit in enabling the “pass message payload by reference” properties on the associated connection factory or activation specification.

When large object messages or bytes messages are sent, the cost in memory and processor use of serializing, deserializing, and copying the message payload can be significant. If you enable the **pass message payload by reference** properties on a connection factory or activation specification, you tell the default messaging provider to override the JMS 1.1 specification and potentially reduce or bypass this data copying.

**CAUTION:**

**The parts of the JMS Specification that are bypassed by these properties are defined to ensure message data integrity. Any of your JMS applications that use these properties must strictly follow the rules that are described in the topic Why and when to pass the JMS message payload by reference, or you risk losing data integrity.**

To pass the message payload by reference, you set the following properties on connection factories and activation specifications:

**producerDoesNotModifyPayloadAfterSet (for connection factories) or  
forwarderDoesNotModifyPayloadAfterSet (for activation specifications)**

When this property is enabled, object or bytes messages produced by the connection factory or forwarded through the activation specification are not copied when set into the message and are only serialized when absolutely necessary. Applications sending such messages must not modify the data after it has been set into the message.

**consumerDoesNotModifyPayloadAfterGet**

When this property is enabled, object messages received through the connection factory or activation specification are only serialized when absolutely necessary. The data obtained from those messages must not be modified by applications.

Table 31. Potential performance benefits for each processing step taken by your producer, consumer or forwarder application. The first column of the table lists the processing steps for the objects and bytes messages. The second column indicates if there is a possibility for performance improvement. The third column provides a brief explanation about the processes and the properties.

Processing step	Is there potential for performance improvement?	Explanation
<b>Object messages with producer and consumer applications</b>		
An object message is sent to a consumer application in the same JVM. The <code>producerDoesNotModifyPayloadAfterSet</code> and <code>consumerDoesNotModifyPayloadAfterGet</code> properties are both enabled.	Yes	Under certain conditions, the payload object is passed by reference to the consumer application.  If the message is not persistent or transacted, and the consumer application is immediately available, the payload object might never be serialized.
An object message is produced with the <code>producerDoesNotModifyPayloadAfterSet</code> property enabled, then received by a consumer application for which the <code>consumerDoesNotModifyPayloadAfterGet</code> property is not enabled.	No	No benefit is gained because the consumer application does not have the <code>consumerDoesNotModifyPayloadAfterGet</code> property enabled.
An object message is sent to a single consumer application in a different JVM. The <code>producerDoesNotModifyPayloadAfterSet</code> and <code>consumerDoesNotModifyPayloadAfterGet</code> properties are both enabled.	No	No benefit is gained because the single consumer application is in another JVM.
An object message is received by multiple consumer applications (a topic) that all have the <code>consumerDoesNotModifyPayloadAfterGet</code> property enabled and are all running in the same JVM.	Yes	All consumer applications with the <code>consumerDoesNotModifyPayloadAfterGet</code> property enabled might receive a reference to the same object, though this is not guaranteed.
<b>Object messages with forwarder applications</b>		
An object message is forwarded and the forwarder application accesses the payload of the message. The <code>consumerDoesNotModifyPayloadAfterGet</code> and <code>producer/forwarderDoesNotModifyPayloadAfterSet</code> properties are enabled.	Yes	There is a potential performance benefit in the following cases: <ul style="list-style-type: none"> <li>The producer of the forwarded message has the <code>producerDoesNotModifyPayloadAfterSet</code> property enabled and is in the same JVM as the forwarder application.</li> <li>The consumer of the forwarded message has the <code>consumerDoesNotModifyPayloadAfterGet</code> property enabled and is in the same JVM as the forwarder application.</li> </ul>
An object message is forwarded and the forwarder application accesses the payload of the message. Only the <code>producer/forwarderDoesNotModifyPayloadAfterSet</code> property is enabled.	Yes	There is a potential performance benefit if the consumer of the forwarded message has the <code>consumerDoesNotModifyPayloadAfterGet</code> property enabled and is in the same JVM as the forwarder application.
An object message is forwarded and the forwarder application does not access the payload of the message.	No	If the payload of the object message is not accessed by the forwarder application then the <code>producer/forwarderDoesNotModifyPayloadAfterSet</code> and <code>consumerDoesNotModifyPayloadAfterGet</code> properties have no effect for the forwarder application. Benefits gained from the original producer application and ultimate consumer application are maintained.
<b>Object messages with mediations</b>		
An object message is sent to a mediated service integration bus destination.	No	If the object message is sent to a mediated destination then no performance benefit is gained by enabling the <code>producerDoesNotModifyPayloadAfterSet</code> property.
<b>Bytes messages with consumer applications</b>		

Table 31. Potential performance benefits for each processing step taken by your producer, consumer or forwarder application (continued). The first column of the table lists the processing steps for the objects and bytes messages. The second column indicates if there is a possibility for performance improvement. The third column provides a brief explanation about the processes and the properties.

Processing step	Is there potential for performance improvement?	Explanation
A bytes message is sent to any consumer application. The <code>producerDoesNotModifyPayloadAfterSet</code> property is enabled.	Yes	The part of the JMS specification that mandates copying the data on setting into the message is bypassed, saving a copy of the bytes data.
A bytes message is received with the <code>consumerDoesNotModifyPayloadAfterGet</code> property enabled.	No	The JMS API does not allow bytes data to be passed by reference back to consumer code ( <code>BytesMessage.readBytes</code> methods).
A bytes message is received by multiple consumers (a topic) that all have the <code>consumerDoesNotModifyPayloadAfterGet</code> property enabled.	No	The JMS API does not allow bytes data to be passed by reference back to consumer code ( <code>BytesMessage.readBytes</code> methods).
<b>Bytes messages with forwarder applications</b>		
A bytes message is forwarded.	No	There is no benefit gained from enabling any of the “pass message payload by reference” properties.

**Pass message payload by reference: Example code for producer and consumer applications:**

Code your JMS applications so that you can safely pass message payloads by reference for asynchronous messaging between producer and consumer applications within a single server.

When large object messages or bytes messages are sent, the cost in memory and processor use of serializing, deserializing, and copying the message payload can be significant. If the producer and consumer applications are in the same JVM and you enable the **pass message payload by reference** properties on the associated connection factories and activation specifications, message payloads can be passed by reference from producer application to consumer application. This can reduce or bypass the data copying and improve performance and memory use.

In the following figure, messages pass from a JMS producer application, through a producer connection factory, to a queue on a messaging engine. They are then taken off the queue and passed through a consumer connection factory or activation specification, to a JMS consumer application.

**CAUTION:**

**The parts of the JMS Specification that are bypassed by these properties are defined to ensure message data integrity. Any of your JMS applications that use these properties must strictly follow the rules that are described below, or you risk losing data integrity.**

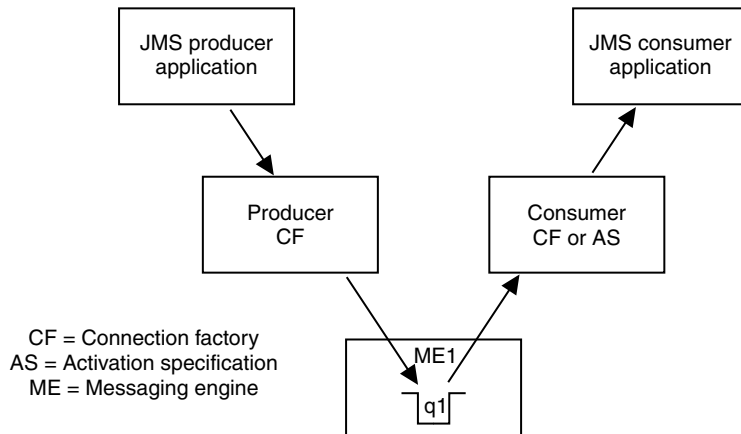


Figure 36. Producing and consuming messages

If you enable the **producerDoesNotModifyPayloadAfterSet** property for the producer connection factory, your producer application must guarantee not to modify the payload object after it has been set into object or bytes messages. To help you achieve this, here is some example code that you can adapt for use in your application:

```

DataObject data = new DataObject();
data.setXXX("xxx");
data.setYYY(yyy);
ObjectMessage message = session.createObjectMessage();
message.setObject(data);
data = null;
producer.send(message);
  
```

For bytes messages, your producer application must also guarantee to write only a single full byte array into the message. To help you achieve this, here is some example code that you can adapt for use in your application:

```

byte [] data = myByteData;
BytesMessage message = session.createBytesMessage();
message.writeBytes(data);
data = null;
producer.send(message);
  
```

If you enable the **consumerDoesNotModifyPayloadAfterGet** property for the consumer connection factory or activation specification, your consumer application must guarantee not to modify the payload it gets from the object message (consumption of bytes messages is not affected by the **consumerDoesNotModifyPayloadAfterGet** property). To help you achieve this, here is some example code that you can adapt for use in your application:

```

public void onMessage (Message message)
{
    ObjectMessage oMessage = (ObjectMessage) message;
    DataObject data = oMessage.getObject();
    System.out.print(data.getXXX());
    System.out.print(data.getYYY());
}
  
```

### ***Pass message payload by reference: Usage scenarios and example code for forwarding applications:***

A JMS forwarder application receives a message (through a connection factory, or if it is a message-driven bean through an activation specification), then sends the message object on to another destination.

Explore the different usage scenarios, then code your JMS forwarding applications so that you can safely pass message payloads by reference when forwarding messages from one queue to another within a single server.

When large object messages or bytes messages are sent, the cost in memory and processor use of serializing, deserializing, and copying the message payload can be significant. If you enable the **pass message payload by reference** properties on a connection factory or activation specification, you tell the default messaging provider to override the JMS 1.1 specification and potentially reduce or bypass this data copying.

In the following figure, messages pass from queue1 on a messaging engine, through a consumer activation specification or connection factory, to a JMS forwarding application. They are then forwarded through a producer connection factory to queue2 on the same messaging engine.

**CAUTION:**

**The parts of the JMS Specification that are bypassed by these properties are defined to ensure message data integrity. Any of your JMS applications that use these properties must strictly follow the rules that are described below, or you risk losing data integrity.**

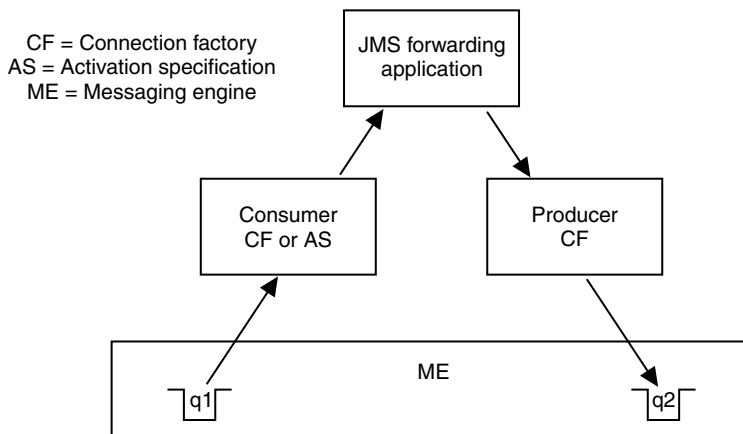


Figure 37. Forwarding messages

To understand the usage scenarios and associated example code given in this topic, you must note these important characteristics of a JMS forwarding application:

- A forwarding application does not replace the message object. This is useful if your application is just logging or otherwise recording (for example, printing out) the message before forwarding it, and also means that the forwarded message retains some useful message properties such as the `JMSCorrelationID`, `JMSReplyTo` and `JMSType` properties.
- A forwarding application can modify or replace the message payload. If it replaces the payload, it sets the new payload in the message object and changes the payload reference to point to the new message payload.
- For a forwarding application, the forwarded message is “created” and configured by the *consumer* connection factory or activation specification. The producer connection factory is used solely to route the forwarded message and has no effect upon the contents of the forwarded message.

The following table describes the four forwarding application usage scenarios that affect how you set the “pass message payload by reference” properties. Note that, because the producer connection factory has no effect upon the contents of the forwarded message, you set both the consumer properties and the producer/forwarder properties on the *consumer* connection factory or activation specification.

Table 32. Effect of the “pass message payload by reference” property settings on the forwarding application usage scenarios. The first column of the table lists the four forwarding application usage scenarios. The second column indicates the consumer property setting for the scenarios. The third column indicates the connection or the activation specification property setting for the scenarios.

Forwarding application usage scenario	consumerDoesNotModify PayloadAfterGet property setting	producerDoesNotModify PayloadAfterSet (for connection factories) or forwarderDoesNotModify PayloadAfterSet (for activation specifications) property setting
<b>Scenario 1:</b> The application receives a message, looks at the payload but does not modify it, and forwards the message on without modifying or replacing the payload.	Enabled	Not required, but can be enabled
<b>Scenario 2:</b> The application receives a message, looks at the payload but does not modify it, replaces the payload in the message with a new payload and forwards the message on without modifying the payload after the call to set it into the message.	Enabled	Enabled
<b>Scenario 3:</b> The application receives a message, looks at and modifies the payload, then sets the modified payload or some other data back into the message and forwards the message on without further modifying the payload after the call to set it into the message.	NOT enabled	Enabled
<b>Scenario 4:</b> The application receives a message, looks at and modifies the payload, then sets the modified payload or some other data back into the message, then further modifies the payload after the call to set it into the message.	NOT enabled	NOT enabled

For scenarios 1, 2 and 3 you can enable one or more of the “pass message payload by reference” properties, provided that your forwarding application can guarantee to behave as described in the scenario. To help you achieve this, here is some example code that you can adapt for use in your applications.

### Forwarding application: scenario 1

The application receives a message, looks at the payload but does not modify it, and forwards the message on without modifying or replacing the payload.

```
public void onMessage (Message message)
{
    ObjectMessage oMessage = (ObjectMessage) message;
    DataObject data = oMessage.getObject();
    System.out.print(data.getXXX());
    System.out.print(data.getYYY());

    // get a session to forward on the received message

    producer.send(message);
    session.close();
}
```



## Forwarding application: scenario 2

The application receives a message, looks at the payload but does not modify it, replaces the payload in the message with a new payload and forwards the message on without modifying the payload after the call to set it into the message.

```
public void onMessage (Message message)
{
    ObjectMessage oMessage = (ObjectMessage) message;
    DataObject data = oMessage.getObject();
    System.out.print(data.getXXX());
    System.out.print(data.getYYY());

    // get a session to forward on the received message

    message.setObject(newData);

    producer.send(message);
    session.close();
}
```

For bytes messages, your application must also guarantee to write only a single full byte array into the message.

```
byte [] data = myByteData;
BytesMessage message = session.createBytesMessage();
message.writeBytes(data);
data = null;
producer.send(message);
```

## Forwarding application: scenario 3

The application receives a message, looks at and modifies the payload, then sets the modified payload or some other data back into the message and forwards the message on without further modifying the payload after the call to set it into the message.

```
public void onMessage (Message message)
{
    ObjectMessage oMessage = (ObjectMessage) message;
    DataObject data = oMessage.getObject();
    System.out.print(data.getXXX());
    System.out.print(data.getYYY());

    // get a session to forward on the received message

    data.setXXX(xxx);
    data.setYYY(yyy);
    message.setObject(data);

    producer.send(message);
    session.close();
}
```

For bytes messages, your application must also guarantee to write only a single full byte array into the message.

```
byte [] data = myByteData;
BytesMessage message = session.createBytesMessage();
message.writeBytes(data);
data = null;
producer.send(message);
```



---

## Chapter 15. Interoperation with WebSphere MQ

You can enable JMS interaction with a WebSphere MQ network by using the WebSphere MQ messaging provider. Service integration can also provide interoperation through a WebSphere MQ link or a WebSphere MQ server. Each type of connectivity is designed for different situations, and provides different advantages.

- For a comparison of the different ways of interoperating, see “Interoperation with WebSphere MQ: Comparison of architectures” on page 250 and “Interoperation with WebSphere MQ: Comparison of key features” on page 253.
- If you are not familiar with WebSphere MQ concepts, see “Interoperation with WebSphere MQ: Key WebSphere MQ concepts” on page 256.
- To understand about using WebSphere MQ as an external JMS messaging provider, see “Interoperation using the WebSphere MQ messaging provider” on page 258.
- To understand how best to develop your applications for interoperating with a WebSphere MQ network, see “How messages are passed between service integration and a WebSphere MQ network” on page 284.
- To understand the WebSphere MQ link solution, see “Interoperation using a WebSphere MQ link” on page 289.
- To understand the WebSphere MQ server solution, see “Interoperation using a WebSphere MQ server” on page 312.

For more information about WebSphere MQ, see the WebSphere MQ library.

When a WebSphere Application Server process or an application client process starts, and while this process is running, an amount of processing is performed to allow it to support WebSphere MQ-related functionality such as the WebSphere MQ messaging provider. By default this processing is performed regardless of whether any WebSphere MQ-related functionality is ever used. If you do not need to take advantage of any WebSphere MQ functionality, it is possible to disable all WebSphere MQ functionality in an application server or client process to give increased performance. For more information, see Disabling WebSphere MQ functionality in WebSphere Application Server.

---

### Comparison of WebSphere Application Server and WebSphere MQ messaging

If you are not already an established user of either WebSphere Application Server or WebSphere MQ, and you are considering whether the service integration platform or WebSphere MQ better meets your messaging needs, use this table to compare the main features of the two platforms.

*Table 33. Comparison of service integration and WebSphere MQ main features. The first column of this table lists the main features of service integration (the default messaging provider for WebSphere Application Server), and the second column lists the features of WebSphere MQ.*

<b>Service integration (the default messaging provider for WebSphere Application Server)</b>	<b>WebSphere MQ</b>
Closely integrated with WebSphere Application Server, and combines well with the Java Platform, Enterprise Edition (Java EE)	Can connect to almost any platform, and supports a heterogeneous environment
Supports multiple languages through XMS clients, and multiple platforms	Supports multiple languages and multiple platforms
Limited tooling support, other than what is provided in WebSphere Application Server	Has many Independent Software Vendor (ISV) tools
Provides strong performance for both persistent and non-persistent messages for JMS	Supports JMS and non-JMS messaging interfaces, and provides strong performance for non-JMS applications

Table 33. Comparison of service integration and WebSphere MQ main features (continued). The first column of this table lists the main features of service integration (the default messaging provider for WebSphere Application Server), and the second column lists the features of WebSphere MQ).

Service integration (the default messaging provider for WebSphere Application Server)	WebSphere MQ
Designed for a maximum message size of about 40 megabytes on a 32-bit operating system (subject to heap usage)	Supports large message sizes up to about 100 megabytes
Underpins WebSphere Enterprise Service Bus and WebSphere Process Server	Underpins WebSphere MQ and WebSphere MQ File Transfer Edition
Included in a single administrative model for WebSphere Application Server, WebSphere Enterprise Service Bus, and WebSphere Process Server	Can integrate existing infrastructure and applications (for example, CICS)
Clustering is integrated with WebSphere Application Server clustering for high availability and scalability	WebSphere MQ clustering provides selective parallelism of clustered queues

**Note:** If your existing or planned messaging environment involves both WebSphere MQ and WebSphere Application Server systems, the messaging platform that you choose for a given task does not necessarily determine which JMS messaging provider you should use. For more information, see Choosing messaging providers for a mixed environment.

## Interoperation with WebSphere MQ: Comparison of architectures

The three different ways that you can send messages between WebSphere Application Server and a WebSphere MQ network are compared at a high level, showing the relative advantages and disadvantages of each approach.

### WebSphere MQ as an external messaging provider

The WebSphere MQ messaging provider does not use service integration. It provides JMS messaging access to WebSphere MQ from WebSphere Application Server. The WebSphere MQ messaging provider makes point-to-point messaging and publish/subscribe messaging available to WebSphere Application Server applications using the existing capabilities in the WebSphere MQ environment. WebSphere Application Server applications can interact with WebSphere MQ queues and topics to send, receive, publish, and subscribe to messages in the same way as any JMS application in the WebSphere MQ environment.

Using WebSphere MQ as an external messaging provider requires more WebSphere MQ administration, less WebSphere Application Server administration.

Table 34. Advantages and disadvantages of WebSphere MQ as an external messaging provider. The first column of this table shows the advantages of using WebSphere MQ as an external messaging provider, and the second column shows the disadvantages of using WebSphere MQ as an external messaging provider.

Advantages	Disadvantages
<ul style="list-style-type: none"> <li>You do not have to configure a service integration bus or messaging engines.</li> <li>You can connect directly to WebSphere MQ queue managers.</li> <li>You manage a single JMS messaging provider rather than two.</li> <li>You can connect to queue managers in client mode or bindings mode.</li> <li>You can use point-to-point messaging and publish/subscribe messaging.</li> </ul>	<ul style="list-style-type: none"> <li>Interaction between WebSphere Application Server and WebSphere MQ is not seamless.</li> <li>You cannot use service integration mediations for modifying messages, for routing, or for logging.</li> </ul>

## A WebSphere MQ network as a foreign bus (using WebSphere MQ links)

A WebSphere MQ link provides a server to server channel connection between a service integration bus and a WebSphere MQ queue manager or queue-sharing group, which acts as the gateway to the WebSphere MQ network. When you use a WebSphere MQ link, the messaging bus is seen by the WebSphere MQ network as a virtual queue manager, and the WebSphere MQ network is seen by service integration as a foreign bus. A WebSphere MQ link enables WebSphere Application Server applications to send point-to-point messages to WebSphere MQ queues (defined as destinations in the service integration bus), and allows WebSphere MQ applications to send point-to-point messages to destinations in the service integration bus (defined as remote queues in WebSphere MQ). You can also set up a publish/subscribe bridge so that WebSphere Application Server applications can subscribe to messages published by WebSphere MQ applications, and WebSphere MQ applications can subscribe to messages published by WebSphere Application Server applications. The link ensures that messages are converted between the formats used by WebSphere Application Server and those used by WebSphere MQ.

Using a WebSphere MQ network as a foreign bus (using WebSphere MQ links) requires more WebSphere Application Server administration, less WebSphere MQ administration.

*Table 35. Advantages and disadvantages of a WebSphere MQ network as a foreign bus (using WebSphere MQ links). The first column of this table shows the advantages of using a WebSphere MQ network as a foreign bus (using WebSphere MQ links), and the second column shows the disadvantages of using a WebSphere MQ network as a foreign bus (using WebSphere MQ links).*

Advantages	Disadvantages
<ul style="list-style-type: none"> <li>• A WebSphere MQ client facility is not required on the gateway WebSphere MQ queue manager.</li> <li>• Each end of the link appears in natural form to the other; WebSphere MQ appears to service integration to be a (foreign) bus, service integration appears to WebSphere MQ to be a (virtual) queue manager.</li> <li>• Better performance over the link is possible when compared with WebSphere MQ servers or direct connection to WebSphere MQ as an external JMS messaging provider.</li> <li>• A managed connection from one node to another is created, but not from every application server in the cell.</li> <li>• You do not have to define individual WebSphere MQ queues to the service integration bus.</li> <li>• Good security support is provided. For example, you can control which users are allowed to put messages onto queues.</li> <li>• WebSphere Application Server and WebSphere MQ can exist on separate hosts.</li> <li>• Interaction between WebSphere Application Server and WebSphere MQ is seamless.</li> <li>• You can configure a publish/subscribe bridge, through which WebSphere Application Server applications can subscribe to messages published by WebSphere MQ applications, and WebSphere MQ applications can subscribe to messages published by WebSphere Application Server applications.</li> </ul>	<ul style="list-style-type: none"> <li>• You must configure a service integration bus and messaging engines.</li> <li>• You cannot connect to queue managers in bindings mode.</li> <li>• Optimum load balancing is less easy to achieve because messages have to be “pushed” from either end of the link.</li> <li>• You cannot use service integration mediations for modifying messages, routing, or logging.</li> </ul>

## A WebSphere MQ server (a queue manager or queue-sharing group) as a bus member

A WebSphere MQ server provides a direct client connection between a service integration bus and queues on a WebSphere MQ queue manager or (for WebSphere MQ for z/OS) queue-sharing group. For interoperability with WebSphere Application Server Version 7 or later, the version of WebSphere MQ must be WebSphere MQ for z/OS Version 6 or later, or WebSphere MQ (distributed platforms) Version 7 or later. A WebSphere MQ server supports the high availability and optimum load-balancing characteristics provided by a WebSphere MQ for z/OS network. A WebSphere MQ server defines the connection and

quality of service properties used for the connection, and also ensures that messages are converted between the formats used by WebSphere Application Server and those used by WebSphere MQ. A WebSphere MQ server only represents queues for point-to-point messaging; it does not represent topics for publish/subscribe messaging.

Using a WebSphere MQ server (a queue manager or queue-sharing group) as a bus member requires more WebSphere Application Server administration, less WebSphere MQ administration.

*Table 36. Advantages and disadvantages of a WebSphere MQ server (a queue manager or queue-sharing group) as a bus member. The first column of this table shows the advantages of using a WebSphere MQ server (a queue manager or queue-sharing group) as a bus member, and the second column shows the disadvantages of using a WebSphere MQ server (a queue manager or queue-sharing group) as a bus member.*

Advantages	Disadvantages
<ul style="list-style-type: none"> <li>• WebSphere Application Server and WebSphere MQ can exist on separate hosts.</li> <li>• Each end of the connection appears in natural form to the other; WebSphere MQ queue manager appears to service integration to be a foreign bus, service integration appears to WebSphere MQ to be a client.</li> <li>• Close integration of applications is possible; service integration applications are able to consume messages directly from the WebSphere MQ network.</li> <li>• You can connect to queue managers in client mode or bindings mode.</li> <li>• You can use mediations for modifying messages, routing, or logging.</li> <li>• Good security support is provided. For example, you can control which users are allowed to put messages onto queues.</li> <li>• You can get messages from WebSphere MQ queues (GET).</li> <li>• Interaction between WebSphere Application Server and WebSphere MQ is seamless.</li> <li>• Queues on the WebSphere MQ network are automatically discovered.</li> </ul>	<ul style="list-style-type: none"> <li>• You must configure a service integration bus and messaging engines.</li> <li>• The queue managers and queue-sharing groups must be accessible from all the messaging engines in the bus.</li> <li>• You cannot use the WebSphere MQ server for publish/subscribe messaging with WebSphere MQ.</li> <li>• WebSphere MQ for z/OS Version 6 or later, or WebSphere MQ (distributed platforms) Version 7 or later, is a prerequisite.</li> <li>• If you are using different nodes with WebSphere MQ for z/OS, depending on the number of nodes and your version of WebSphere MQ for z/OS, you might require the Client Attachment feature (CAF) on z/OS.</li> <li>• You must explicitly define all destinations.</li> </ul>

## Interoperation with WebSphere MQ: Comparison of key features

There are three different ways that you can send messages between WebSphere Application Server and a WebSphere MQ network. This topic compares the key features of each of the three ways.

Table 37. Key features comparison between the three ways of interoperating with WebSphere MQ. The first column of this table shows the key features of interoperating using the WebSphere MQ messaging provider with no bus, the second column shows the key features of interoperating using the WebSphere MQ network as a foreign bus (using WebSphere MQ links), and the third column shows the key features of interoperating using a WebSphere MQ server (a queue manager or queue-sharing group) as a bus member.

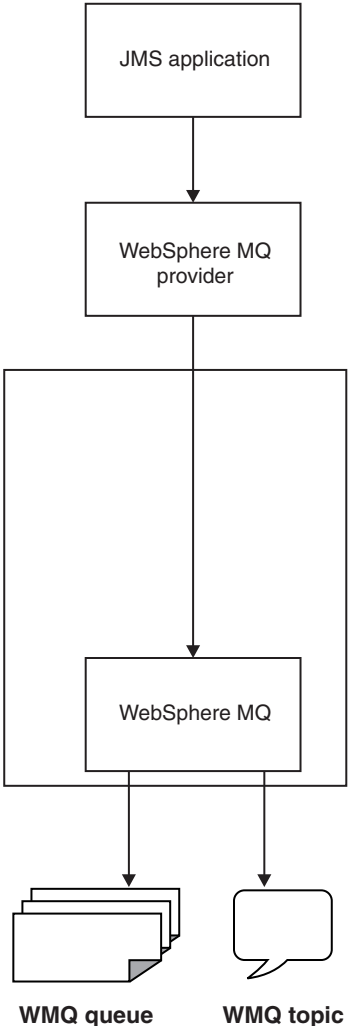
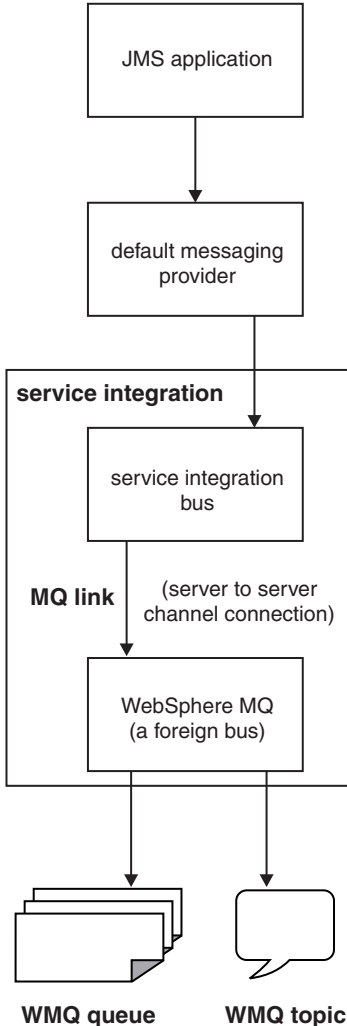
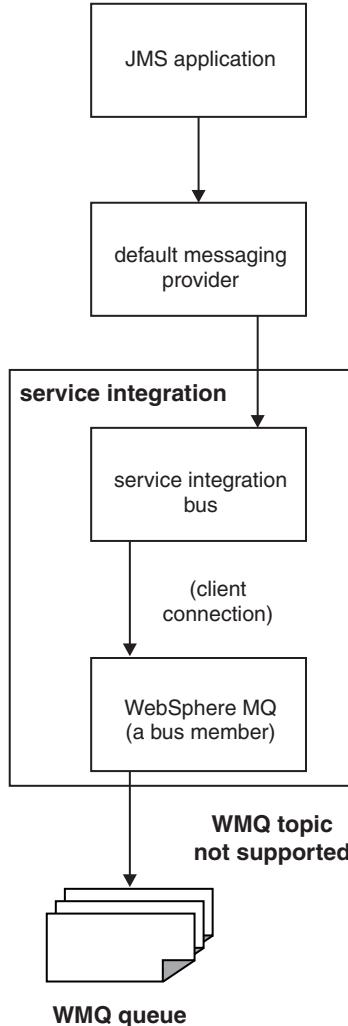
WebSphere MQ messaging provider (no bus)	A WebSphere MQ network as a foreign bus (using WebSphere MQ links)	A WebSphere MQ server (a queue manager or queue-sharing group) as a bus member
 <p>The diagram shows a JMS application box at the top, with an arrow pointing down to a WebSphere MQ provider box. Below the provider is a large box containing a WebSphere MQ server box. Arrows from the server point to two icons: a stack of papers labeled 'WMQ queue' and a speech bubble labeled 'WMQ topic'.</p>	 <p>The diagram shows a JMS application box at the top, with an arrow pointing down to a default messaging provider box. Below the provider is a large box labeled 'service integration' containing a service integration bus box. An arrow labeled 'MQ link (server to server channel connection)' points from the bus to a WebSphere MQ (a foreign bus) box. Arrows from the server point to two icons: a stack of papers labeled 'WMQ queue' and a speech bubble labeled 'WMQ topic'.</p>	 <p>The diagram shows a JMS application box at the top, with an arrow pointing down to a default messaging provider box. Below the provider is a large box labeled 'service integration' containing a service integration bus box. An arrow labeled '(client connection)' points from the bus to a WebSphere MQ (a bus member) box. An arrow from the server points to a stack of papers labeled 'WMQ queue'. The text 'WMQ topic not supported' is written below the server box.</p>
<b>Connectivity</b>		
Uses the WebSphere MQ messaging provider.	Uses the default messaging provider.	Uses the default messaging provider.
No use of service integration buses.	Uses a service integration bus.	Uses a service integration bus.

Table 37. Key features comparison between the three ways of interoperating with WebSphere MQ (continued). The first column of this table shows the key features of interoperating using the WebSphere MQ messaging provider with no bus, the second column shows the key features of interoperating using the WebSphere MQ network as a foreign bus (using WebSphere MQ links), and the third column shows the key features of interoperating using a WebSphere MQ server (a queue manager or queue-sharing group) as a bus member.

WebSphere MQ messaging provider (no bus)	A WebSphere MQ network as a foreign bus (using WebSphere MQ links)	A WebSphere MQ server (a queue manager or queue-sharing group) as a bus member
<p>WebSphere Application Server regards the WebSphere MQ messaging provider as a JMS messaging provider.</p> <p>The WebSphere MQ messaging provider is regarded by the WebSphere MQ network as a WebSphere MQ client attaching to the queue manager or queue-sharing group.</p>	<p>Each end of the WebSphere MQ link appears in a natural form to the other end, so the WebSphere MQ network appears to service integration as a foreign bus and the service integration bus appears as a virtual queue manager to the WebSphere MQ network.</p>	<p>The WebSphere MQ server regards the WebSphere MQ queue manager or queue-sharing group as a bus member, or a mechanism for queuing messages for the service integration bus. A queue is viewed as a bus destination.</p> <p>The WebSphere MQ server is regarded by the WebSphere MQ network as a WebSphere MQ client attaching to the queue manager or queue-sharing group.</p>
<p>Provides multiple connections between WebSphere Application Server application servers and WebSphere MQ queue managers or queue-sharing groups. Connections are established as and when required to allow WebSphere Application Server applications to access WebSphere MQ queues.</p>	<p>Provides a single connection between a service integration bus and a WebSphere MQ network (comprising one or more interconnected WebSphere MQ queue managers or queue-sharing groups). This single connection is used to transfer all the messages that are exchanged between the service integration network and the WebSphere MQ network. The link acts as a funnel, routing messages through the gateway messaging engine or queue manager. If you want to establish multiple links from a service integration network, you can define multiple foreign buses to represent different queue managers or queue-sharing groups on the WebSphere MQ network.</p>	<p>Provides multiple connections between messaging engines in a service integration bus and WebSphere MQ queue managers or queue-sharing groups. Connections are established as and when required, to allow WebSphere Application Server applications to access WebSphere MQ queues. A connection can be configured to use properties of the message bus to which it belongs, giving the potential for each WebSphere MQ server to be bus-specific.</p>
<p>Connection between the WebSphere Application Server and the WebSphere MQ network can use a TCP/IP communication link or, if the WebSphere Application Server is running on the same image as the WebSphere MQ queue manager, it can use a direct call interface (this is called <i>bindings mode</i>). The channel for the connection is a bidirectional MQI channel.</p>	<p>Connection between the service integration bus network and the WebSphere MQ network uses a TCP/IP communication link. The sender and receiver channels for the connection are message channels.</p>	<p>Connection between the service integration bus network and the WebSphere MQ network can use a TCP/IP communication link or, if the WebSphere Application Server application server is running on the same image as the WebSphere MQ queue manager, it can use a direct call interface (this is called <i>bindings mode</i>). The channel for the connection is a bidirectional MQI channel.</p>
<p>For WebSphere MQ for z/OS, messages can be stored on shared queues. If a queue manager fails, messages can still be retrieved from a different queue manager (so no single point of failure exists).</p>	<p>If the communication link fails temporarily, messages are stored by WebSphere MQ or the service integration bus and are delivered when the communication link recovers.</p>	<p>For WebSphere MQ for z/OS, messages can be stored on shared queues. If a queue manager fails, messages can still be retrieved from a different queue manager (so no single point of failure exists).</p>
<p><b>Applications</b></p>		
<p>Does not integrate the service integration bus with the WebSphere MQ network. Service integration bus mediations running in WebSphere Application Server cannot process messages from a WebSphere MQ queue, and WebSphere MQ applications cannot use WebSphere MQ servers to put messages to, or get messages from, service integration bus queue-type destinations.</p>	<p>Integrates the service integration bus with the WebSphere MQ network through a gateway queue manager. Traffic can be indirect, routed to a mapped queue.</p>	<p>Allows closer integration; messaging applications can directly produce messages to, and consume messages from WebSphere MQ queues.</p>



Table 37. Key features comparison between the three ways of interoperating with WebSphere MQ (continued). The first column of this table shows the key features of interoperating using the WebSphere MQ messaging provider with no bus, the second column shows the key features of interoperating using the WebSphere MQ network as a foreign bus (using WebSphere MQ links), and the third column shows the key features of interoperating using a WebSphere MQ server (a queue manager or queue-sharing group) as a bus member.

WebSphere MQ messaging provider (no bus)	A WebSphere MQ network as a foreign bus (using WebSphere MQ links)	A WebSphere MQ server (a queue manager or queue-sharing group) as a bus member
WebSphere Application Server applications can send messages to WebSphere MQ queues. Sent messages are immediately added to the queue. If the WebSphere MQ queue is unavailable, applications cannot send messages.	WebSphere Application Server applications can send messages to WebSphere MQ queues. Sent messages are stored by the service integration bus for transmission to WebSphere MQ (this is called <i>store and forward</i> messaging). Applications can continue to send messages if the WebSphere MQ queue is unavailable.	WebSphere Application Server applications can send messages to WebSphere MQ queues. Sent messages are immediately added to the queue. If the WebSphere MQ queue is unavailable, applications cannot send messages.
WebSphere Application Server applications can receive messages from WebSphere MQ queues. The applications can use message consumers to receive messages, and message-driven beans can be configured to process messages as soon as they arrive at the WebSphere MQ queue.	WebSphere Application Server applications cannot receive messages from WebSphere MQ queues, because the queues are destinations in a foreign bus. For messages to pass from WebSphere MQ to WebSphere Application Server applications, WebSphere MQ applications must send the messages to a suitable destination in the service integration bus used by the WebSphere Application Server applications.	WebSphere Application Server applications can receive messages from WebSphere MQ queues. The applications can use message consumers to receive messages, and message-driven beans can be configured to process messages as soon as they arrive at the WebSphere MQ queue. Also, service integration bus mediations running in WebSphere Application Server can process messages as they arrive at a WebSphere MQ queue.
WebSphere Application Server applications can publish messages to WebSphere MQ topics and subscribe to messages on WebSphere MQ topics in the same way as applications in the WebSphere MQ environment.	You can set up a publish/subscribe bridge on the WebSphere MQ link, so that WebSphere Application Server applications and WebSphere MQ applications can publish or subscribe to selected topics that exist in both the WebSphere MQ environment and the WebSphere Application Server environment.	A WebSphere MQ server provides connections with queues for point-to-point messaging. A topic for publish/subscribe messaging cannot be associated with a WebSphere MQ server.
Messages are stored on queues, not messaging engines; one or many WebSphere Application Server applications can access the messages, even when the applications are running on different servers.	Messages are stored on messaging engines.	Messages are stored on queues, not messaging engines; one or many WebSphere Application Server applications can access the messages, even when the applications are running on different servers.
Messages are pulled from the queue by a consuming application, and pushed by a producing application.	Messages are pushed across the link, regardless of whether a consumer is ready.	Messages are pulled from the queue by a WebSphere Application Server consumer, and pushed by a WebSphere Application Server producer.
Does not support mediations.	Does not support mediations.	Supports different mediation scenarios for modifying message content, or routing, and for logging.
Optimum load balancing is easier to achieve because applications can pull messages from the WebSphere MQ network.	Messages are pushed to applications from the WebSphere MQ network, but workload balancing options are available in WebSphere Application Server.	Optimum load balancing is easier to achieve because applications can pull messages from the WebSphere MQ network.
<b>Administration and security</b>		
Configured and managed by using the administrative console.	Configured and managed by using the administrative console.	Configured and managed by using the administrative console. Automatically discovers queues on the WebSphere MQ network during configuration and administration.

Table 37. Key features comparison between the three ways of interoperating with WebSphere MQ (continued). The first column of this table shows the key features of interoperating using the WebSphere MQ messaging provider with no bus, the second column shows the key features of interoperating using the WebSphere MQ network as a foreign bus (using WebSphere MQ links), and the third column shows the key features of interoperating using a WebSphere MQ server (a queue manager or queue-sharing group) as a bus member.

WebSphere MQ messaging provider (no bus)	A WebSphere MQ network as a foreign bus (using WebSphere MQ links)	A WebSphere MQ server (a queue manager or queue-sharing group) as a bus member
Administration is carried out in WebSphere MQ. In WebSphere Application Server you need to define JMS artefacts such as destinations, connection factories, listener ports, and activation specifications.	Cooperative administrative domains for WebSphere MQ and WebSphere Application Server: <ul style="list-style-type: none"> <li>• Mutually agree definitions of channels, foreign destinations and buses, to reflect WebSphere MQ connectivity</li> <li>• Both ends of the link must be started</li> <li>• Administrators can stop or start a link</li> </ul>	Independent administrative domains for WebSphere MQ and WebSphere Application Server: <ul style="list-style-type: none"> <li>• Separate authority</li> <li>• Temporal decoupling of administrative changes</li> </ul>
You might have to define server connection channels in WebSphere MQ.	You must define partner channel definitions in WebSphere MQ.	You might have to define server connection channels in WebSphere MQ.
Permission for WebSphere Application Server applications and mediations to send messages to, and receive messages from, a particular WebSphere MQ is controlled by WebSphere MQ administration.	Permission for WebSphere Application Server applications to send messages to a particular WebSphere MQ queue is controlled by service integration bus administration.  Permission for WebSphere MQ applications to send messages to service integration destinations is controlled by WebSphere MQ administration.	Permission for WebSphere Application Server applications and mediations to send messages to, and receive messages from, a particular WebSphere MQ queue is controlled by service integration bus administration.  Permission for WebSphere Application Server (which includes permission for its applications and mediations) to access WebSphere MQ queues is controlled by WebSphere MQ administration.

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## Interoperation with WebSphere MQ: Key WebSphere MQ concepts

If you are not familiar with basic WebSphere MQ concepts, read about the objects in WebSphere MQ that are important for interoperation with WebSphere Application Server.

### Queues and topics

A queue is a data structure used to store messages. Application programs can use JMS or WebSphere MQ API calls to put messages on WebSphere MQ queues. Other applications can get the messages from the queues.

A topic is the subject of the information that is published in a publish/subscribe message. Instead of putting a message on a specific queue, application programs can publish a message to a topic. Other applications obtain the messages by subscribing to the topic to receive all the messages published to that topic.

When an application puts a message on a queue, only one copy of the message exists. Even if more than one application can get messages from the queue, only one consumer can receive each message. However, when an application publishes a message to a topic, any number of subscribers can receive a copy of the message.

### Queue managers and queue-sharing groups

Each WebSphere MQ queue is owned by a queue manager. The queue manager is responsible for maintaining the queues it owns, and for placing all the messages it receives onto the appropriate queues. Application programs connect to a queue manager when they want to put messages on queues. Queue managers can also put messages on queues as part of their normal operation.

From WebSphere MQ Version 7, each topic in WebSphere MQ is also owned by a queue manager. The queue manager receives messages from publishers, and subscriptions from subscribers. The queue manager is responsible for routing the published messages to the subscribers that have registered an interest in the topic of the messages. In earlier versions of WebSphere MQ, publish/subscribe messaging is handled by a publish/subscribe broker, not by queue managers.

In WebSphere MQ for z/OS, you can set up shared queues that can be accessed by several queue managers in a sysplex. Messages that are put onto shared queues are stored in list structures in a zSeries® Coupling Facility, and large messages have their message data held in a shared DB2 table.

The queue managers that can access the same set of shared queues form a group called a queue-sharing group. Each member of the queue-sharing group connects to a DB2 system to access shared definitions for WebSphere MQ objects, including queues and channels. Any queue manager in the group can retrieve the messages held on a shared queue. An application that wants to access one of the shared queues can therefore connect to any of the queue managers within the queue-sharing group, so the application does not depend on the availability of a specific queue manager.

## **Local queues, remote queues, and clusters**

In a WebSphere MQ network, intercommunication is achieved by sending messages from one queue manager or (for WebSphere MQ for z/OS) queue-sharing group to another.

WebSphere MQ application programs can put messages onto a local queue, which is a queue on the queue manager to which the application is connected. A queue manager has a definition for each queue that it owns. A queue manager can also have definitions for the queues that other queue managers own. From the perspective of the local queue manager to which the application is connected, these other queues are remote queues, and the queue managers that own them are remote queue managers.

As well as putting messages onto a local queue, WebSphere MQ application programs connected to a local queue manager can put messages targeted at remote queues. WebSphere MQ must then transmit the messages to the remote queue managers that own the remote queues. When messages are destined for a WebSphere MQ queue on a remote queue manager, the local queue manager holds them in a transmission queue until it is ready to forward them to the remote queue manager. A transmission queue is a special type of local queue on which messages are stored until they can be successfully transmitted and stored at the remote queue manager.

WebSphere MQ queue managers can be connected to form a cluster, using any of the communications protocols that are available on your WebSphere MQ platform. When you group queue managers in a cluster, the queues are still hosted by the queue managers (so they are not shared queues). However, by connecting into the cluster, queue managers can send a message to any other queue manager in the cluster, and make some or all of the queues that they host available to every other queue manager in the cluster as cluster queues. You do not have to set up explicit definitions on each queue manager for each remote queue and for the connection to each remote queue manager. Each queue manager in the cluster also uses a single cluster transmission queue to hold messages for any of the other queue managers, so you do not have to set up a transmission queue for each remote queue manager.

From WebSphere MQ Version 7, you can also connect together WebSphere MQ queue managers that own topics for publish/subscribe messaging. You can group queue managers that own topics into a publish/subscribe cluster, with links between all members, or into a publish/subscribe hierarchy, with parent and child relationships between the connected queue managers. Publications and subscriptions to topics can be shared between all the queue managers in the cluster or hierarchy.

## **Message channels**

WebSphere MQ messages, whether they are put onto queues or published to topics, are transmitted between queue managers through message channels. A message channel is a one-way communication

link between two queue managers. It can carry messages destined for any number of queues or topics that the remote queue manager hosts, or for any number of target queue managers.

You can define the following types of message channel in WebSphere MQ:

- Sender-receiver channel
- Requester-server channel
- Requester-sender channel
- Server-receiver channel
- Cluster-sender channels
- Cluster-receiver channels

For example, to define the type of message channel called a sender-receiver channel, you define a sender channel at the sending end, which could be the local queue manager. Then you use the same name to define a receiver channel at the receiver end, which could be the remote queue manager. A message channel is unidirectional, so if you want messages to flow in both directions, you must define a second message channel in the opposite direction between the queue managers.

For queue managers in a cluster, you do not have to define message channels between each pair of queue managers. Instead, you define two message channels to connect each queue manager into the cluster: one cluster-receiver channel for receiving messages, and one cluster-sender channel by which the queue manager introduces itself and learns about the cluster. The queue manager can then send a message to any other queue manager in the cluster.

Do not confuse message channels with MQI channels. The MQI is the Message Queue Interface in WebSphere MQ, which applications use to interact with queue managers. An MQI channel is a type of connection that is used by a WebSphere MQ client application to connect to a queue manager that is running on another system, and to issue MQI calls to the queue manager.

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## Interoperation using the WebSphere MQ messaging provider

Through the WebSphere MQ messaging provider in WebSphere Application Server, Java Message Service (JMS) messaging applications can use your WebSphere MQ system as an external provider of JMS messaging resources.

WebSphere MQ is characterized as follows:

- Messaging is handled by a network of queue managers, each running in its own set of processes and having its own administration.
- Features such as shared queues (on WebSphere MQ for z/OS) and WebSphere MQ clustering simplify administration and provide dynamic discovery.
- Many IBM and partner products support WebSphere MQ with (for example) monitoring and control, high availability and clustering.
- WebSphere MQ clients can run within WebSphere Application Server (JMS), or almost any other messaging environment by using a variety of APIs.

If your business uses WebSphere MQ, and you want to integrate WebSphere Application Server messaging applications into a predominantly WebSphere MQ network, the WebSphere MQ messaging provider is a logical choice. However, there can be benefits in using another provider. If you are not sure which provider combination is best suited to your requirements, see *Choosing messaging providers for a mixed environment*.

The WebSphere MQ messaging provider supports JMS 1.1 domain-independent interfaces (sometimes referred to as “unified” or “common” interfaces). This enables applications to use the same interfaces for both point-to-point and publish/subscribe messaging, and also enables both point-to-point and

publish/subscribe messaging within the same transaction. With JMS 1.1, this approach is considered good practice for new applications. The domain-specific interfaces are supported for backwards compatibility for applications developed to use domain-specific queue interfaces, as described in section 1.5 of the JMS 1.1 specification.

The WebSphere MQ messaging provider also supports the Java EE Connector Architecture (JCA) 1.5 activation specification mechanism for message-driven beans (MDBs) across all platforms supported by WebSphere Application Server.

You can use WebSphere Application Server to configure WebSphere MQ resources for applications (for example queue connection factories) and to manage messages and subscriptions associated with JMS destinations. You administer security through WebSphere MQ.

In a mixed-version WebSphere Application Server cell, you can administer WebSphere MQ resources on nodes of all versions. However, some properties are not available on all versions. In this situation, only the properties of that particular node are displayed in the administrative console.

**Note:** WebSphere Application Server Version 8.0 provides first class support for connecting to multi-instance WebSphere MQ queue managers. You can provide host and port information in the form of a connection name list, which a connection factory or activation specification uses to connect to a multi-instance queue manager.

**Note:** Version 8.0 exposes WebSphere MQ queue or topic destination properties allowing you to specify:

- Whether an application processes the RFH version 2 header of a WebSphere MQ message as part of the JMS message body.
- The format of the JMSReplyTo field.
- Whether an application can read or write the values of MQMD fields from JMS messages that have been sent or received using the WebSphere MQ messaging provider.
- Which message context options are specified when sending messages to a destination.

**Note:** Version 8.0 exposes the following four WebSphere MQ connection properties that are used to configure the WebSphere MQ resource adapter used by the WebSphere MQ messaging provider. These properties affect the connection pool that is used by activation specifications:

- maxConnections
- connectionConcurrency
- reconnectionRetryCount
- reconnectionRetryInterval

For more information about using WebSphere MQ with WebSphere Application Server, see the white papers and IBM Redbooks publications provided by WebSphere MQ; for example, through the WebSphere MQ library web page.

## **Network topologies: Interoperating by using the WebSphere MQ messaging provider**

There are several network topologies, clustered and not clustered, that allow WebSphere Application Server to interoperate with WebSphere MQ by using WebSphere MQ as an external JMS messaging provider. For providing high availability, some topologies are more suitable than others.

For completeness, this topic describes a wide range of topologies, including clustered and highly available topologies. Note that, for clustering and high availability, you need to use the network deployment or z/OS version of the product.

**Note:** In this topic "application server" refers to an application server that is running on WebSphere Application Server and "queue manager" refers to a queue manager that is running on WebSphere MQ.

The WebSphere Application Server high availability framework eliminates single points of failure and provides peer to peer failover for applications and processes running within WebSphere Application Server. This framework also allows integration of WebSphere Application Server into an environment that uses other high availability frameworks, such as High Availability Cluster Multi-Processing (HACMP™), in order to manage non-WebSphere Application Server resources.

The following examples show the main network topologies for interoperating with WebSphere MQ using the WebSphere MQ messaging provider. Each of the four examples describes two network topologies, with varying locations for the application servers and queue managers.

- "Interoperation when WebSphere Application Server application server is not clustered and WebSphere MQ queue manager is not clustered"
  - The application server and the queue manager run on different hosts
  - The application server and the queue manager run on the same host
- "Interoperation when WebSphere Application Server application servers are clustered but WebSphere MQ queue manager is not clustered" on page 261
  - The queue manager runs on a different host from any of the application servers
  - The application servers run on several hosts, one of which hosts a queue manager
- "Interoperation when WebSphere Application Server application servers are clustered and WebSphere MQ queue managers are clustered" on page 264
  - The queue managers run on different hosts from the application servers
  - The queue manager runs on the same hosts as the application servers
- "Connecting WebSphere Application Server application servers to WebSphere MQ for z/OS with queue-sharing groups" on page 268
  - The application servers and the queue managers run in the same LPAR
  - The application servers and the queue managers run in different LPARs

### **Interoperation when WebSphere Application Server application server is not clustered and WebSphere MQ queue manager is not clustered**

Application servers running on WebSphere Application Server and queue managers running on WebSphere MQ can connect to each other when neither of them are clustered. However, this setup can be vulnerable to failure.

**Note:** In this topic "application server" refers to an application server that is running on WebSphere Application Server and "queue manager" refers to a queue manager that is running on WebSphere MQ.

There are two topology options:

- The application server and the queue manager run on different hosts
- The application server and the queue manager run on the same host

### **The application server and the queue manager run on different hosts**

The WebSphere MQ transport type for the connection is specified as "client". A "client" connection is used when the application server and queue manager are running on different hosts. This is a TCP/IP network connection that is used to communicate with the queue manager. A client connection is also known as "socket attach".

The following figure shows an application server and a queue manager running on different hosts.

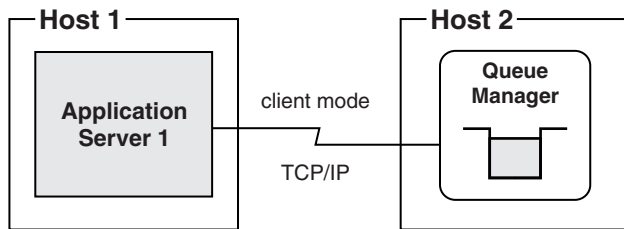


Figure 38. No clustering: client mode attachment to queue manager

This topology is vulnerable because inter-operation ceases if any of the following conditions occurs:

- The application server fails.
- The host on which the application server is running fails.
- The queue manager fails.
- The host on which the queue manager is running fails.

You can improve availability for this topology by using, for example, High Availability Cluster Multi-Processing (HACMP) to restart the failed component automatically.

### The application server and the queue manager run on the same host

The transport type for the connection is specified as "bindings". A "bindings" connection is used when the application server and the queue manager are running on the same host. This is a cross-memory connection that is used to communicate with a queue manager. A bindings connection is also known as "call attach".

The following figure shows a application server and a queue manager running on the same host.

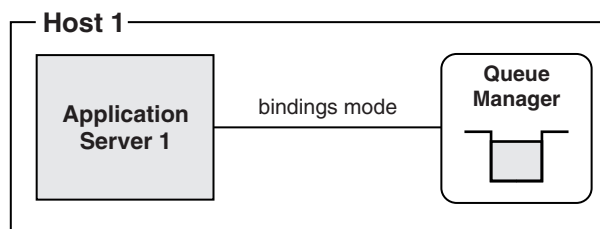


Figure 39. No clustering: bindings mode attachment to queue manager

The availability constraints for this topology are similar to the previous one. However, in some configurations bindings mode is faster and more processor efficient than client mode because the amount of processing is reduced.

### Interoperation when WebSphere Application Server application servers are clustered but WebSphere MQ queue manager is not clustered

Application servers running on WebSphere Application Server can be clustered together and connected to queue managers running on WebSphere MQ that are not clustered. This setup provides enhanced failover protection over non-clustered topologies.

**Note:** In this topic "application server" refers to an application server that is running on WebSphere Application Server and "queue manager" refers to a queue manager that is running on WebSphere MQ.

There are two topology options:

- The application servers run on several hosts, one of which hosts a queue manager
- The queue manager runs on a different host from any of the application servers

### The queue manager runs on a different host from any of the application servers

In the following figure:

- Application server 1, 2 and 3 are clustered in a WebSphere Application Server cluster.
- Application servers 1 and 3 are running on Host 1
- Application server 2 is running on Host 2
- Queue manager is running on Host 3
- A "client" connection is used when the application server and queue manager are running on different hosts. This is a TCP/IP network connection that is used to communicate with the queue manager. A client connection is also known as "socket attach".
  - Application servers 1, 2 and 3 are connected to queue manager in client mode.

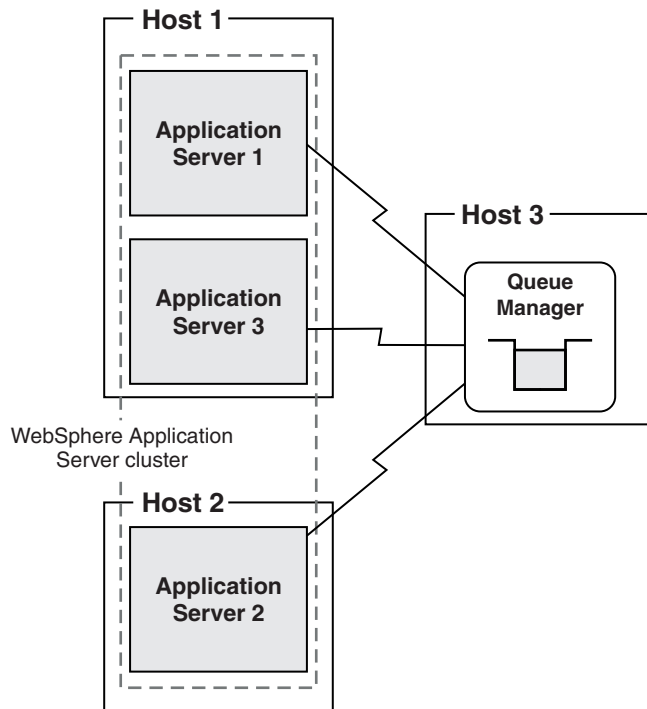


Figure 40. WebSphere Application Server clustering: client mode attachment to queue manager

- If any clustered application server fails, or the host on which it is running fails, the remaining application servers in the cluster can take over its workload.
- If the queue manager fails, or the host on which it is running fails, interoperation ceases.

You can improve availability for this topology by using, for example, High Availability Cluster Multi-Processing (HACMP) to restart the failed queue manager automatically.



## The application servers run on several hosts, one of which hosts a queue manager

The following figure shows some application servers that are running on the same host as the queue manager. Other application servers in the same WebSphere Application Server cluster run on a different host.

In the following figure:

- Application server 1, 2 and 3 are clustered in a WebSphere Application Server cluster.
- Application servers 1 and 3 are running on Host 1.
- Application server 2 is running on Host 2.
- Queue manager is running on Host 1.
- The transport type for the connection is specified as "bindings". A "bindings" connection is used when the application server and the queue manager are running on the same host. This is a cross-memory connection that is used to communicate with a queue manager. A bindings connection is also known as "call attach".
  - Application servers 1, and 3 are connected to queue manager in bindings mode.
- A "client" connection is used when the application server and queue manager are running on different hosts. This is a TCP/IP network connection that is used to communicate with the queue manager. A client connection is also known as "socket attach".
  - Application server 2 is connected to queue manager in client mode.

**Note:** For application servers that are running on the same host as a queue manager, the WebSphere MQ transport type for the connection is specified as "bindings then client" mode, that is, if an attempt at a bindings mode connection to the queue manager fails, a client mode connection is made. For application servers that are not running on the same host as the queue manager, the application server automatically uses client mode.

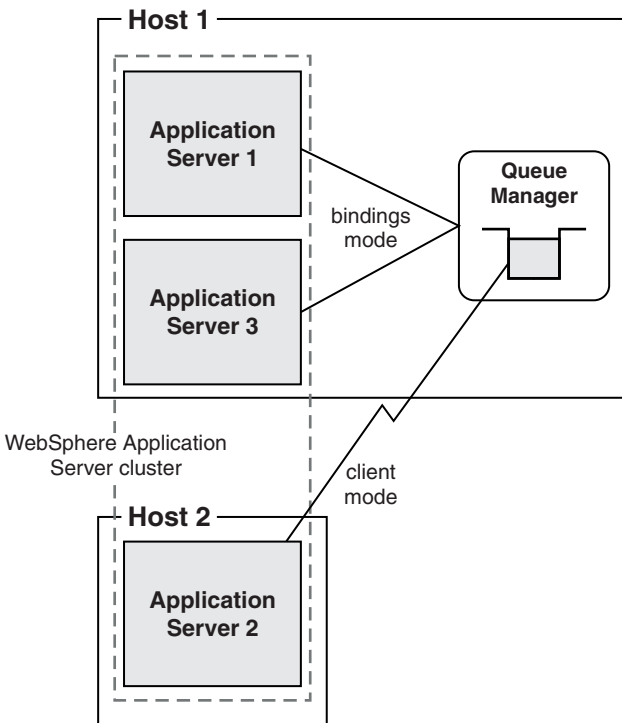


Figure 41. WebSphere Application Server clustering: bindings then client mode attachment to queue manager

- If one of the application servers fails, the remaining application servers in the cluster can take over its workload.
- If host 2 fails, application server 2 will stop. Application servers 1 and 3 can take over its workload.
- If the queue manager fails inter-operation ceases.
- If host 1 fails the queue manager, application server 1 and application server 3 will stop. Inter-operation will cease.

### **Interoperation when WebSphere Application Server application servers are clustered and WebSphere MQ queue managers are clustered**

WebSphere MQ queue managers are usually clustered in order to distribute the message workload and because, if one queue manager fails, the others can continue running.

**Note:** In this topic "application server" refers to an application server that is running on WebSphere Application Server and "queue manager" refers to a queue manager that is running on WebSphere MQ.

There are two topology options:

- The queue managers run on different hosts from the application servers
- The queue managers run on the same hosts as the application servers

#### **The queue managers run on different hosts from the application servers**

In the following figure:

- Application server 1, 2 and 3 are clustered in a WebSphere Application Server cluster.
- Application servers 1 and 3 are running on Host 1.
- Application server 2 is running on Host 2.
- Queue managers 1, 2 and 3 are part of the same WebSphere MQ cluster.
- Queue manager 1 is running on Host 3.
- Queue manager 2 is running on Host 4.
- Queue manager 3 is running on Host 5.
- Queue manager 3 is responsible for distributing messages between the cluster queues in a way that achieves workload balancing.
- A "client" connection is used when the application server and queue manager are running on different hosts. This is a TCP/IP network connection that is used to communicate with the queue manager. A client connection is also known as "socket attach".
  - Application servers 1 and 2 attach in client mode to queue manager 1.
  - Application server 3 attaches in client mode to queue manager 2.

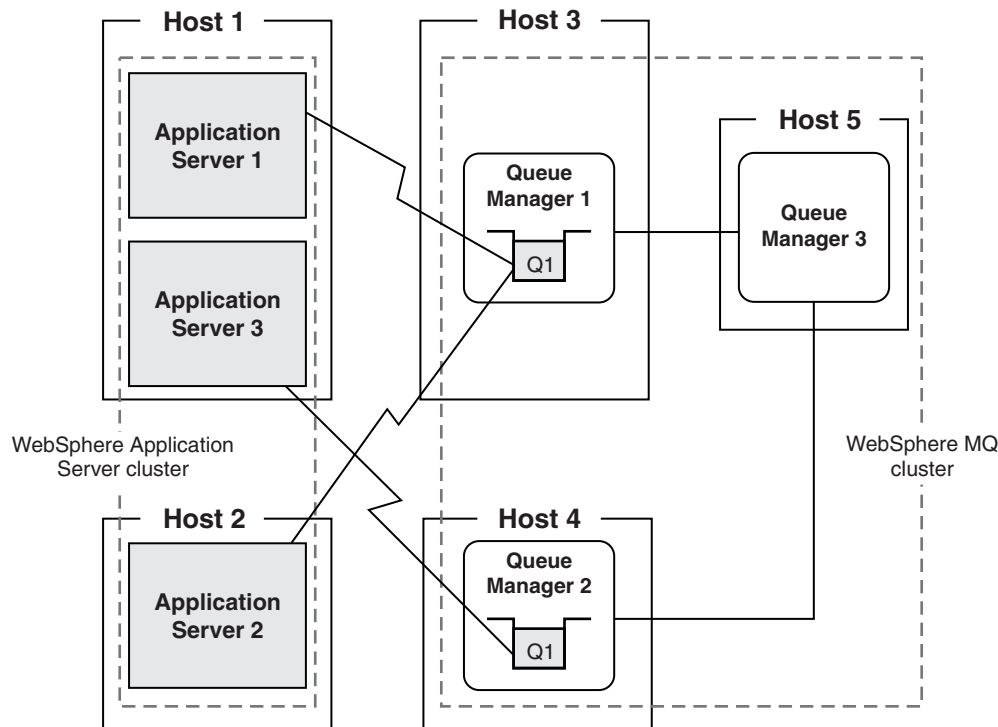


Figure 42. WebSphere Application Server clustering: client mode attachment to queue managers

**If application server 1 fails:**

Application server 2 can take over its workload because they are both attached to queue manager 1.

**If application server 2 fails:**

Application server 1 can take over its workload because they are both attached to queue manager 1.

**If application server 3 fails:**

You must restart it as soon as possible for the following reasons:

- Other application servers in the cluster can take over its external workload, but no other application server can take over its WebSphere MQ workload, because no other application server is attached to queue manager 2. The workload that was generated by application server 3 ceases.
- Queue manager 3 continues to distribute work between queue manager 1 and queue manager 2, even though the workload arriving at queue manager 2 cannot be processed by application server 1 or 2.

**Note:** If you choose not to restart, you can alleviate this situation by manually configuring Q1 on queue manager 2 so that the ability to put messages to it is inhibited. This results in all messages being sent to queue manager 1 where they are processed by the other application servers.

**If queue manager 1 fails:**

You should restart it as soon as possible for the following reasons:

- Messages that are on queue manager 1 when it fails are not processed until you restart queue manager 1.
- No new messages from WebSphere MQ applications are sent to queue manager 1, instead new messages are sent to queue manager 2 and consumed by application server 3.

- Because application servers 1 and 2 are not attached to queue manager 2, they cannot take on any of its workload.
- Because application servers 1, 2 and 3 are in the same WebSphere Application Server cluster, their non-WebSphere MQ workload continues to be distributed between them all, even though application servers 1 and 2 cannot use WebSphere MQ because queue manager 1 has failed.

Although this networking topology can provide availability and scalability, the relationship between the workload on different queue managers and the application servers to which they are connected is complex. You can contact your IBM representative to obtain expert advice.

## **The queue managers run on the same hosts as the application servers**

In the following figure:

- Application servers 1, 2 and 3 are part of the same WebSphere Application Server cluster.
- Application servers 1 and 3 are running on Host 1.
- Application server 2 is running on Host 2.
- Queue managers 1, 2 and 3 are part of the same WebSphere MQ cluster.
- Queue manager 1 is running on Host 1.
- Queue manager 2 is running on Host 2.
- Queue manager 3 is running on Host 3.
- Queue manager 3 is responsible for distributing messages between the cluster queues in a way that achieves workload balancing.
- The transport type for the connection is specified as "bindings". A "bindings" connection is used when the application server and the queue manager are running on the same host. This is a cross-memory connection that is used to communicate with a queue manager. A bindings connection is also known as "call attach".
  - Application servers 1 and 3 attach to queue manager 1 in bindings mode.
  - Application server 2 attaches to queue manager 2 in bindings mode.

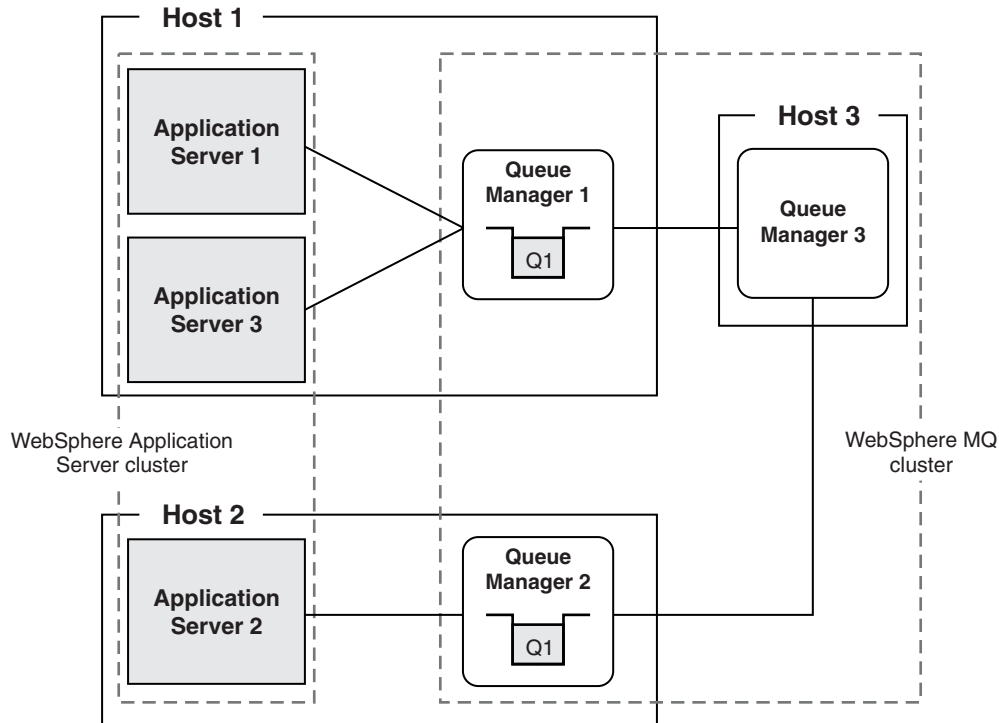


Figure 43. WebSphere Application Server clustering: bindings mode attachment to queue managers

**If application server 1 fails:**

Application server 3 can take over its workload because they are both attached to queue manager 1.

**If application server 3 fails:**

Application server 1 can take over its workload because they are both attached to queue manager 1.

**If application server 2 fails:**

You must restart it as soon as possible for the following reasons:

- Because no other application server is attached to queue manager 2 no other application server can take over its WebSphere MQ workload. The workload that was generated by application server 2 ceases. Other application servers in the cluster can, however, take over its external workload
- Queue manager 3 continues to distribute work between queue manager 1 and queue manager 2, even though the workload arriving at queue manager 2 cannot be taken on by application server 2.

**Note:** If you choose not to restart, you can alleviate this situation by manually configuring Q1 on queue manager 2 so that the ability to put messages to it is inhibited. This results in all messages being sent to queue manager 1 where they are processed by the other application servers.

**If queue manager 1 fails:**

You must restart it as soon as possible for the following reasons:

- Messages that are on queue manager 1 when it fails are not processed until you restart queue manager 1.
- Because application servers 1 and 3 are not attached to queue manager 2, they cannot take on any of its workload.

- No new messages from WebSphere MQ applications are sent to queue manager 1, instead new messages are sent to queue manager 2 and consumed by application server 2.
- Because application servers 1, 2 and 3 are in the same WebSphere Application Server cluster, their non-WebSphere MQ workload continues to be distributed between them all, even though application servers 1 and 3 cannot use WebSphere MQ because queue manager 1 has failed.

Although this networking topology can provide availability and scalability, the relationship between the workload on different queue managers and the application servers with which they are connected is complex. You can contact your IBM representative to obtain expert advice.

## Connecting WebSphere Application Server application servers to WebSphere MQ for z/OS with queue-sharing groups

On z/OS systems, an application server can connect to a queue manager that is a member of a WebSphere MQ for z/OS queue-sharing group. You can configure the connection so that it selects a specific named queue manager, or you can configure it to accept any queue manager in the queue-sharing group.

**Note:** In this topic "application server" refers to an application server that is running on WebSphere Application Server and "queue manager" refers to a queue manager that is running on WebSphere MQ.

If you configure a connection to select a specific named queue manager, your options for providing high availability are like those for connecting to WebSphere MQ on other platforms. However, you can improve availability if you configure the connection to accept any queue manager in the queue-sharing group. In this situation, when the application server reconnects following a WebSphere MQ queue manager failure, the application server can accept connection to a different queue manager that has not failed.

A connection that you configure to accept any queue manager must only be used to access shared queues. A shared queue is a single queue that all queue managers in the queue-sharing group can access. It does not matter which queue manager an application uses to access a shared queue. Even if the same application instance uses different queue managers to access the same shared queue, this always produces consistent results.

These examples show two topology options for connecting to WebSphere MQ for z/OS to benefit from queue-sharing groups:

- The application servers and the queue managers run in the same logical partition (LPAR)
- The application servers and the queue managers run in different logical partitions (LPARs)

### The application servers and the queue managers run in the same logical partition (LPAR)

In the following figure:

- Application servers 1 and 2 are part of a WebSphere Application Server cluster.
- Application server 1 is running in LPAR 1.
- Application sever 2 is running in LPAR 2.
- Queue managers 1 and 2 are members of a WebSphere MQ queue-sharing group that hosts a shared queue, Q1. The shared queue is located in a coupling facility.
- Queue manager 1 is running in LPAR 1.
- Queue manager 2 is running in LPAR 2.
- A "bindings" connection is used when the application server and the queue manager are running on the same host. This is a cross-memory connection is established to a queue manager running on the same host. A bindings connection is also known as "call attach".
  - Application server 1 and queue manager 1 are attached to each other in bindings mode.
  - Application server 2 and queue manager 2 are attached to each other in bindings mode.

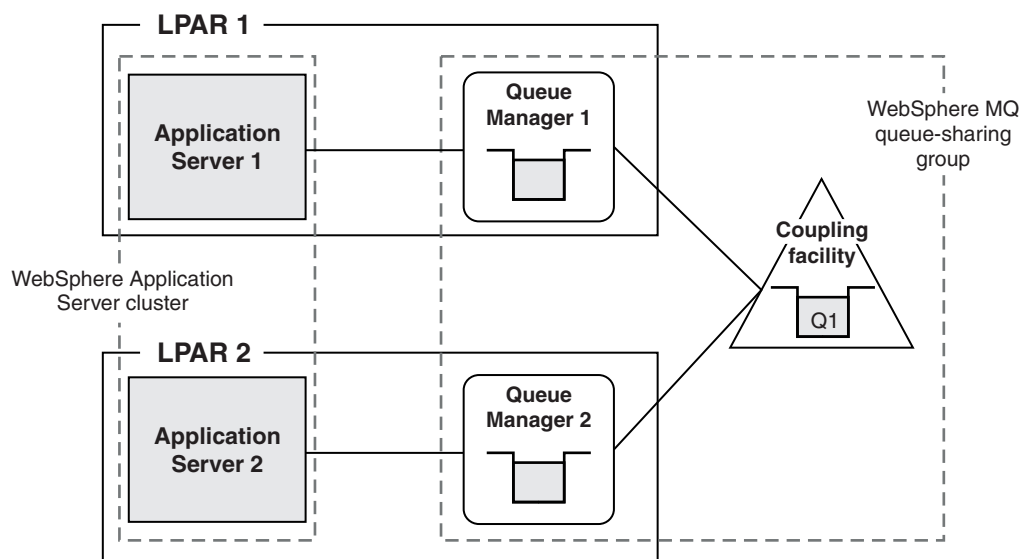


Figure 44. WebSphere Application Server with bindings mode connection to WebSphere MQ for z/OS

This networking topology can benefit from "pull" workload balancing if several application instances, including instances running in different LPARs, are processing messages from the same shared queue.

You can improve availability for this topology by using the z/OS Automatic Restart Manager (ARM) to restart failed application servers or queue managers. If a queue manager in an LPAR fails, ARM can restart an application server in a different LPAR, where the application server can connect to a running queue manager, instead of waiting for a restart of the queue manager that it was using previously. In the example used here, ARM can restart WebSphere Application Server application server 1 in LPAR 2, where it can connect to WebSphere MQ queue manager 2, instead of waiting for queue manager 1 to restart.

### The application servers and the queue managers run in different logical partitions (LPARs)

In the following figure:

- Queue managers 1 and 2 are members of a WebSphere MQ queue-sharing group that hosts a shared queue, Q1. The shared queue is located in a coupling facility. The two queue managers run in different LPARs.
- A "client" connection is used when the application server and queue manager are running on different hosts. This is a TCP/IP network connection that is used to communicate with the queue manager. A client connection is also known as "socket attach".
  - Multiple application servers have a client mode (TCP/IP) connection to the queue managers. All the client mode connections are managed by the z/OS sysplex distributor, which selects either queue manager 1 or queue manager 2 for each connection request.

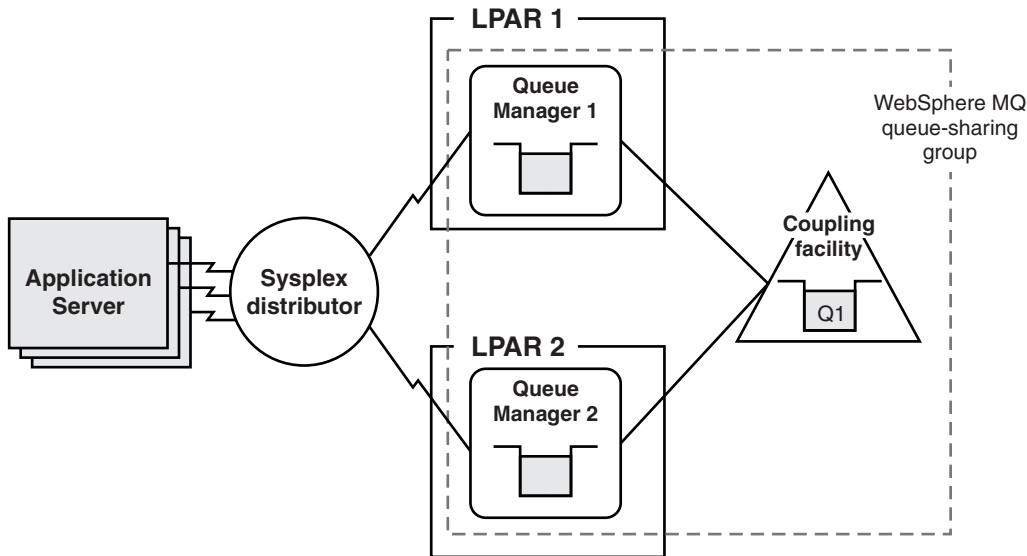


Figure 45. WebSphere Application Server with client mode connection to WebSphere MQ for z/OS

As with the bindings mode connection example, this networking topology can benefit from "pull" workload balancing if several application instances running in the same or different application servers are processing messages from the same shared queue.

The use of the z/OS sysplex distributor improves availability for this networking topology. If one of the queue managers fails, the z/OS sysplex distributor can connect applications running in the application servers to the other queue manager, without waiting for the failed queue manager to restart. In the example used here, if queue manager 1 fails, the z/OS sysplex distributor can select queue manager 2 for every connection request, until queue manager 1 restarts.

**Note:** In this networking topology, WebSphere MQ for z/OS GROUP units of recovery must be enabled on all the queue managers in the queue-sharing group. TCP/IP (client mode) connections that accept any queue manager use GROUP units of recovery. GROUP units of recovery are not supported by versions of WebSphere MQ for z/OS earlier than version 7.0.1. Bindings mode connections do not require GROUP units of recovery.

## WebSphere MQ messaging provider activation specifications

Activation specifications are used to configure inbound message delivery to message-driven beans (MDBs) running inside WebSphere Application Server. They supersede message listener ports, which are now a stabilized function.

### Activation specifications and message-driven beans

Activation specifications are the standardized way to manage and configure the relationship between an MDB running in WebSphere Application Server and a destination within WebSphere MQ. They combine the configuration of connectivity, the Java Message Service (JMS) destination and the runtime characteristics of the MDB, within a single object.

Message-driven beans are a special class of Enterprise Java Bean (EJB). They enable Java Platform, Enterprise Edition (JEE) applications to process messages asynchronously, with WebSphere Application Server managing the transactionality and concurrency of the application.

The following figure shows how an activation specification can be used to link a WebSphere MQ queue manager destination to an MDB running within WebSphere Application Server. The process of delivering a



message from a client to an MDB via an WebSphere MQ messaging provider activation specification occurs in this way:

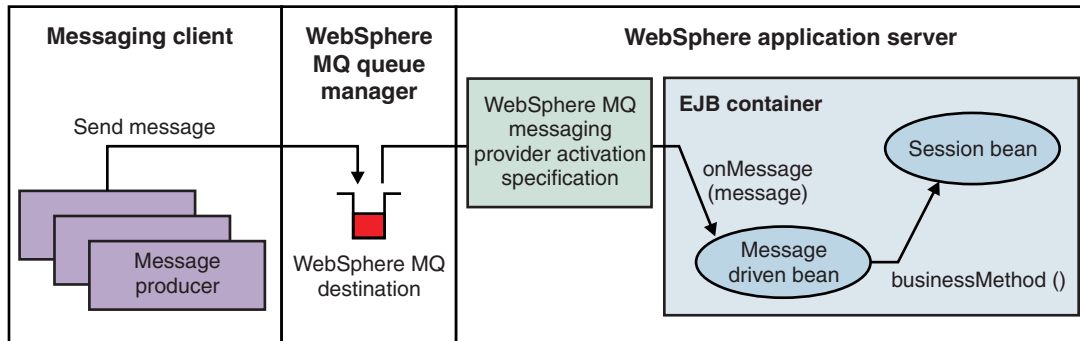


Figure 46. WebSphere MQ messaging provider activation specification in action

- A messaging client, either running in a stand-alone process or within an application server environment, sends a message using JMS (or any other messaging API, such as MQI) to a WebSphere MQ queue or topic defined in a WebSphere MQ queue manager.
- A WebSphere MQ activation specification is configured to listen on that destination for messages. When the new message is detected, it is removed from the destination (potentially under an XA transaction).
- The message is then passed to an MDB that has been configured to use the activation specification through its onMessage method.
- The MDB uses the information in the message to perform the relevant business logic.

## Activation specifications compared with listener ports

Versions of WebSphere Application Server earlier than Version 7.0, use listener ports to define the association between a connection factory, a destination, and an MDB.

Activation specifications supersede the use of listener ports, which became a stabilized feature in WebSphere Application Server Version 7.0 (for more information, see “Stabilized features” on page 1185). There are several advantages to using activation specifications over listener ports:

- Activation specifications are simple to configure, because they only require two objects: the activation specification and a message destination. Listener ports require three objects: a connection factory, a message destination, and the message listener port itself.
- Activation specifications are not limited to the server scope. They can be defined at any administrative scope in WebSphere Application Server. Message listener ports must be configured at the server scope. This means that each server in a node requires its own listener port. For example, if a node is made up of three servers, three separate listener ports must be configured. Activation specifications can be configured at the node scope, so in the example only one activation specification would be needed.
- Activation specifications are part of the Java Platform, Enterprise Edition Connector Architecture 1.5 standards specification (JCA 1.5). Listener port support in WebSphere Application Server makes use of the application server facilities interfaces defined in the JMS specification, but is not part of any specification itself.

It is still possible to use message listener ports to deliver messages to an MDB using the WebSphere MQ messaging provider. There are certain scenarios in which the use of listener ports is still preferable to using activation specifications. This usually is the case with configurations in which some of the servers are running on versions of WebSphere Application Server earlier than WebSphere Application Server Version 7.0. It is possible to configure both message listener ports (which make use of WebSphere MQ

messaging provider resources) and WebSphere MQ messaging provider activation specifications at the same time. For more information, see “Message-driven beans, activation specifications, and listener ports” on page 161.

To assist in migrating listener ports to activation specifications, the WebSphere Application Server administrative console provides a **Convert listener port to activation specification** wizard on the Message listener port collection panel. This allows you to convert existing listener ports into activation specifications. However, this function only creates a new activation specification with the same configuration used by the listener port. It does not modify application deployments to use the newly created activation specification.

## Enhanced features of the WebSphere MQ messaging provider

The WebSphere MQ messaging provider enables WebSphere Application Server applications and clients to connect to and use WebSphere MQ resources in a JMS-compliant manner. This provider includes the enhanced features described in this topic.

### Overview

The WebSphere MQ messaging provider has enhanced administrative options supporting the following functions:

- “WebSphere MQ channel compression”
- “WebSphere MQ client channel definition table”
- “Client channel exits” on page 273
- “Transport-level encryption by using SSL” on page 273
- “Automatic selection of the WebSphere MQ transport type” on page 273

### WebSphere MQ channel compression

Data sent over the network between WebSphere Application Server and WebSphere MQ can be compressed, reducing the amount of data that is transferred. Channel compression can be beneficial in the following situations:

- If a cost is incurred that is proportional to the amount of data transferred over a network. For example, nodes in a network might span a leased line for which a utilization charge is applied.
- If the rate at which messaging data can be transferred across a network is the limiting factor in the performance of an application.
- If compressing the data might reduce the cost of its encryption and decryption.

To use WebSphere MQ channel compression, configure the message compression properties of an existing connection factory or activation specification. For more information, see the appropriate step within Configuring JMS resources for the WebSphere MQ messaging provider.

For more information, see the WebSphere MQ topic *Channel compression* in the WebSphere MQ information center that is part of the WebSphere MQ library.

### WebSphere MQ client channel definition table

The client channel definition table reduces the effort required to configure a connection to a queue manager. Your WebSphere MQ administrator can create a single table of all the WebSphere MQ channels supported by queue managers in the enterprise, then in WebSphere Application Server you configure a connection to a queue manager by identifying the client channel definition table and providing any additional information not already contained within the table.

You can also use the client channel definition table to provide a basic failover capability, by specifying that a connection is attempted against several queue managers listed in the table. Each suitable channel definition is tried in turn until a queue manager connection is successfully established.

You can use the client channel definition table, with WebSphere MQ messaging provider activation specifications and connection factories, to select the client channel definition to use when establishing a connection to WebSphere MQ. The table can be configured to select from a number of queue managers, depending on their availability.

When you use a client channel definition table, note the following restrictions:

- If your client channel definition table can select from more than one queue manager, you might not be able to recover global transactions. Activation specifications and connection factories that specify a client channel definition table must either do so without ambiguity as to the target queue manager, or must avoid using the resources with applications that enlist in global transactions.
- If your client channel definition table contains channel definitions that reference native WebSphere MQ channel exits, the use of these channel definitions is not supported in the WebSphere Application Server environment.

For more information about client channel definition tables, see the developerWorks article [WebSphere MQ V6 Java and JMS clients and the client channel definition table](#), and the WebSphere MQ topic [Client channel definition table](#).

To use a client channel definition table, specify it when you create a new activation specification or connection factory.

## Client channel exits

Client channel exits are pieces of Java code that you develop, and that run in the application server at key points during the life cycle of a WebSphere MQ channel. Your code can change the runtime characteristics of the communications link between the WebSphere MQ messaging provider and the WebSphere MQ queue manager.

**Note:** Only client channel exits written in Java are supported for use within the WebSphere Application Server environment.

For more information about client channel exits, see the WebSphere MQ topic [Channel exit programs](#). For a list of the channel exits that work with the WebSphere MQ messaging provider, see the client connection channel row of the table in the WebSphere MQ topic [What are channel exit programs?](#).

To use client channel exits, configure the client transport properties of an existing connection factory or activation specification.

## Transport-level encryption by using SSL

Transport-level encryption by using SSL is the supported way to configure SSL for JMS resources associated with the WebSphere MQ messaging provider. The SSL configuration is associated with the communication link for the connection factory or activation specification. You either define the SSL information in the connection factory, or your WebSphere MQ administrator defines the SSL information in an associated client channel definition table.

## Automatic selection of the WebSphere MQ transport type

The WebSphere MQ messaging provider supports the following ways to connect to a WebSphere MQ queue manager:

**Bindings mode (or *call attach*)**

Bindings mode attachment is only possible if the queue manager is located on the same physical machine as the WebSphere Application Server. Bindings mode attachment, where available, typically offers better performance.

**Client mode (or *socket attach*)**

Client mode attachment can be used wherever the WebSphere MQ queue manager and WebSphere Application Server can establish a network connection to one another.

**Bindings mode, then client mode (automatic selection)**

This method tries a bindings mode connection first and, if that fails, a client mode connection is tried.

Every node in a WebSphere Application Server cluster shares identical configuration information. With automatic selection of the WebSphere MQ transport type, all the servers in a cluster can be configured to automatically select their transport. This has the effect that any clustered server that is co-located with a queue manager establishes a bindings mode connection to the queue manager, whereas other servers in the cluster establish client mode connection to the queue manager.

## **Strict message ordering with the WebSphere MQ messaging provider and message-driven bean (MDB) applications**

Message ordering is important to some asynchronous messaging applications; that is, it is important to process messages in the same order that the producer sends them. If this type of message ordering is important to your application, your design must take it into account.

For example, a messaging application that processes seat reservations might have producer components and a consumer component. A producer component sends a message to the consumer component when a customer reserves a seat. If the customer cancels the reservation then the producer (or possibly a different producer) sends a second message. Typically, the consumer component must process the first message (which reserves the seat) before it processes the second message (which cancels the reservation).

Some applications use a synchronous (request-response) pattern where the producer waits for a response to each message before it sends the next message. In this type of application, the consumer controls the order in which it receives the messages and can ensure that this is the same order as the producer or producers send them. Other applications use an asynchronous (fire and forget) pattern where the producer sends messages without waiting for responses. Even for this type of application, order is usually preserved; that is, a consumer can expect to receive messages in the same order as the producer or producers send them, especially when there is a significant time between sending consecutive messages. However your design must consider factors that can disrupt this order.

The order of messages is disrupted if your application sends messages with different priorities (higher priority messages can overtake lower priority messages) or if your application explicitly receives a message other than the first by specifying message selectors. Parallel processing and error or exception processing can also affect message ordering.

The following topics explain how strict message ordering can be achieved when deploying message-driven bean applications to the WebSphere MQ messaging provider for WebSphere Application Server when no special facilities have been coded into the application to handle messages arriving out of order:

- For information about configuring strict message ordering using non-application server facilities (ASF) listener ports, see “Strict message ordering using non-ASF listener ports” on page 275
- For information about configuring strict message ordering using application server facilities (ASF) listener ports and activation specifications with WebSphere MQ version 7.0 messaging provider, see “Strict message ordering using activation specifications or ASF listener ports connected to WebSphere MQ Version 7.0” on page 276

- For information about configuring strict message ordering using application server facilities (ASF) listener ports and activation specifications with WebSphere MQ version 6.0 messaging provider, see “Strict message ordering using activation specifications or ASF listener ports connected to WebSphere MQ Version 6.0” on page 278

For WebSphere Application Server Version 7 and later, listener ports are stabilized. For more information, read the article on stabilized features. You should plan to migrate your WebSphere MQ message-driven bean deployment configurations from using listener ports to using activation specifications. However, you should not begin this migration until you are sure the application does not have to work on application servers earlier than WebSphere Application Server Version 7. For example, if you have an application server cluster with some members at Version 6.1 and some at Version 7, you should not migrate applications on that cluster to use activation specifications until after you migrate all the application servers in the cluster to Version 7.

### Strict message ordering using non-ASF listener ports

Strict message ordering can be achieved when deploying message driven bean applications to the WebSphere MQ messaging provider when no special facilities have been coded into the application to handle messages arriving out of order.

For WebSphere Application Server Version 7 and later, listener ports are stabilized. For more information, read the article on stabilized features. You should plan to migrate your WebSphere MQ message-driven bean deployment configurations from using listener ports to using activation specifications. However, you should not begin this migration until you are sure the application does not have to work on application servers earlier than WebSphere Application Server Version 7. For example, if you have an application server cluster with some members at Version 6.1 and some at Version 7, you should not migrate applications on that cluster to use activation specifications until after you migrate all the application servers in the cluster to Version 7.

The following assumptions have been made in this scenario:

- The message-driven bean (MDB) application is transactional.
- The back-out threshold (BOTHRESH) on the WebSphere MQ queue has been set to 0.

### WebSphere Application Server configuration for ordered delivery

- Non-ASF mode must be activated by specifying a non-zero timeout value for the **NON.ASF.RECEIVE.TIMEOUT** WebSphere MQ message listener service custom property.
- The **Maximum sessions** setting for the listener port must be set to 1.
- A non-ASF listener port with **Maximum sessions** set to 1 has a single thread running inside the application server retrieving messages. When a message arrives the thread immediately delivers it to the MDB.
- The queue manager regards this thread as a single application retrieving messages, therefore messages are processed in sequence.

### Messages can be delivered out of order with this deployment during a transaction recovery

A specific set of events must occur in a specific order for this scenario to be encountered, and as such it is uncommon. However, if ordered message delivery is critical to the operation of your application, the you must consider it.

- Out of order message delivery can occur with this deployment option during recovery from a failure of one of the following components:
  - The application server hosting the MDB
  - The WebSphere MQ queue manager
  - A network connecting the application server and queue manager

- If one of these components fails in the middle of a two-phase commit of an MDB transaction, the application server transaction manager reestablishes its connection to the queue manager to resolve the transaction when the component is available again.
- This recovery process is asynchronous, and it is possible for delivery of new messages to the MDB to begin before the transaction recovery process is complete. If the outcome of the transaction recovery is to roll back the transaction, then the message will be returned to the WebSphere MQ queue and re-delivered to the application, possibly after new messages have already been delivered.

## Considerations for clustered deployment

When you are using non-ASF listener ports you can set the default share (**DEFSOPT**) option on the queue to exclusive. If you choose this option when you are performing a clustered deployment of an application, all but one of the cluster members fail to start their listener ports. The cluster members generate a 2042MORC\_OBJECT\_IN\_USE exception, in a WMSG0057E message.

When this exception occurs you can then establish automatic failover for the application by configuring the following message listener service custom property in WebSphere Application Server:

### MAX.RECOVERY.RETRIES

Configure a high value **MAX.RECOVERY.RETRIES** on the message listener services of all the servers in the cluster. The maximum value for **MAX.RECOVERY.RETRIES** is 2147483647.

The **MAX.RECOVERY.RETRIES** message listener service custom property must be accompanied by a suitable **MAX.RECOVERY.INTERVAL** message listener service custom property. The maximum amount of time a listener port can retry without being manually stopped and restarted is 2147483647 times the value specified for **MAX.RECOVERY.INTERVAL**. In this configuration each cluster member continuously attempts to start its listener port, until the active cluster member stops and the queue manager allows it to connect as a single exclusive consumer.

## Strict message ordering using activation specifications or ASF listener ports connected to WebSphere MQ Version 7.0

Strict message ordering can be achieved when deploying message driven bean applications to the WebSphere MQ messaging provider when no special facilities have been coded into the application to handle messages arriving out of order.

For WebSphere Application Server Version 7 and later, listener ports are stabilized. For more information, read the article on stabilized features. You should plan to migrate your WebSphere MQ message-driven bean deployment configurations from using listener ports to using activation specifications. However, you should not begin this migration until you are sure the application does not have to work on application servers earlier than WebSphere Application Server Version 7. For example, if you have an application server cluster with some members at Version 6.1 and some at Version 7, you should not migrate applications on that cluster to use activation specifications until after you migrate all the application servers in the cluster to Version 7.

The following assumptions have been made in this scenario:

- The message-driven bean (MDB) application is transactional.
- The back-out threshold (BOTHRESH) on the WebSphere MQ queue has been set to 0.
- You are using WebSphere MQ Version 7.0.

## WebSphere Application Server configuration for ordered delivery

- The WebSphere MQ queue manager must be running on WebSphere MQ version 7.0.
- The connection to the queue manager must use the WebSphere MQ messaging provider normal mode. See the *Rules for selecting the WebSphere MQ messaging provider mode* topic of the WebSphere MQ information center.

- If you are using listener ports **Maximum sessions** on the listener ports in WebSphere Application Server must be set to 1.
- If you are using activation specifications **Maximum server sessions** on the activation specifications in WebSphere Application Server must be set to 1.

### Important information about this configuration

- ASF listener ports and WebSphere MQ activation specifications contain two separate parts, which together perform message delivery. These two parts are seen as separate applications by the queue manager:
  - Part one detects messages as they arrive, but does not consume them. Instead it dispatches them to the second part.
  - Part two is a server session pool which allocates a thread to process the message within the application's transaction, and deliver it to the onMessage() method of the MDB.
- WebSphere MQ Version 7.0 provides a push model for detection of the messages, which is more efficient than the polling model used in previous versions of WebSphere MQ, and provides better ordering of message under normal operation.

### Circumstances in which messages can be delivered out of order

Messages can be delivered out of order with this configuration in the following circumstances:

- After a transaction rollback, the next message available on the queue might be delivered before the rolled back message is re-delivered:
  - For an ASF listener port, setting **Maximum retries** to zero prevents out of order delivery after a rollback by stopping the listener port when a rollback occurs. However, the listener port must then be restarted manually.
  - For an activation specification, selecting **Stop endpoint if message delivery fails** and setting **Number of sequential delivery failures before suspending endpoint** to 0 prevents out of order delivery after a rollback by pausing the message endpoint when a rollback occurs. However, the message endpoint for the MDB must then be resumed manually. For more information, see the WebSphere MQ information center.
- Messages can be delivered out of order during a transaction recovery:

**Note:** A specific set of events must occur in a specific order for this scenario to be encountered, and as such it is uncommon. However, if ordered message delivery is critical to the operation of your application, the you must consider it.

- Out of order message delivery can occur with this deployment option during recovery from a failure of one of the following components:
  - The application server hosting the MDB
  - The WebSphere MQ queue manager
  - A network connecting the application server and queue manager
- If one of these components fails in the middle of a two-phase commit of an MDB transaction, the application server transaction manager reestablishes its connection to the queue manager to resolve the transaction when the component is available again.
- This recovery process is asynchronous, and it is possible for delivery of new messages to the MDB to begin before the transaction recovery process is complete. If the outcome of the transaction recovery is to roll back the transaction, then the message will be returned to the WebSphere MQ queue and re-delivered to the application, possibly after new messages have already been delivered.

### Considerations for a clustered deployment

- You must activate the MDB on one cluster member only, as the application server does not have a facility which can manage this activation automatically.

- You can set the startup state of listener ports to stopped, separately to setting the startup state of an application.
- You can manually start and stop applications, ASF listener ports, and message endpoints with MBean interfaces by using wsadmin scripting, or by using the `com.ibm.websphere.management.AdminClient` interfaces from Java code.

### Strict message ordering using activation specifications or ASF listener ports connected to WebSphere MQ Version 6.0

Strict message ordering can be achieved when deploying message driven bean applications to the WebSphere MQ messaging provider for when no special facilities have been coded into the application to handle messages arriving out of order.

For WebSphere Application Server Version 7 and later, listener ports are stabilized. For more information, read the article on stabilized features. You should plan to migrate your WebSphere MQ message-driven bean deployment configurations from using listener ports to using activation specifications. However, you should not begin this migration until you are sure the application does not have to work on application servers earlier than WebSphere Application Server Version 7. For example, if you have an application server cluster with some members at Version 6.1 and some at Version 7, you should not migrate applications on that cluster to use activation specifications until after you migrate all the application servers in the cluster to Version 7.

The following assumptions have been made in this scenario:

- The message-driven bean (MDB) application is transactional.
- The back-out threshold (BOTHRESH) on the WebSphere MQ queue has been set to 0.
- You are using WebSphere MQ Version 6.0.

### WebSphere Application Server configuration for ordered delivery

- If you are using listener ports **Maximum sessions** on the listener ports in WebSphere Application Server must be set to 1.
- If you are using activation specifications **Maximum server sessions** on the activation specifications in WebSphere Application Server must be set to 1.

### Important information about this configuration

- ASF listener ports and WebSphere MQ activation specifications contain two separate parts, which together perform message delivery. These two parts are seen as separate applications by the queue manager:
  - One part detects messages as they arrive, but does not consume them. Instead it dispatches them to the second part.
  - Part two is a server session pool which allocates a thread to process the message within the application's transaction, and deliver it to the `onMessage()` method of the MDB.

**Note:** When an ASF listener port or activation specification is connected to a WebSphere MQ Version 6.0 or earlier queue manager, a less efficient polling mechanism (based on a browse cursor) is used to detect messages on the queue.

### Circumstances in which messages can arrive out of order

Messages can arrive out of order with this deployment in the following circumstances:

- Messages can be delivered out of order during a transaction recovery:

**Note:** A specific set of events must occur in a specific order for this scenario to be encountered, and as such it is uncommon. However, if ordered message delivery is critical to the operation of your application, the you must consider it.



- Out of order message delivery can occur with this deployment option during recovery from a failure of one of the following components:
  - The application server hosting the MDB
  - The WebSphere MQ queue manager
  - A network connecting the application server and queue manager
- If one of these components fails in the middle of a two-phase commit of an MDB transaction, the application server transaction manager reestablishes its connection to the queue manager to resolve the transaction when the component is available again.
- This recovery process is asynchronous, and it is possible for delivery of new messages to the MDB to begin before the transaction recovery process is complete. If the outcome of the transaction recovery is to roll back the transaction, then the message will be returned to the WebSphere MQ queue and re-delivered to the application, possibly after new messages have already been delivered.
- After a transaction rollback, the next message available on the queue might be delivered before the rolled back message is re-delivered:
  - For an ASF listener port, setting **Maximum retries** to zero prevents out of order delivery after a rollback by stopping the listener port when a rollback occurs. However, the listener port must then be restarted manually.
  - For an activation specification, selecting **Stop endpoint if message delivery fails** and setting **Number of sequential delivery failures before suspending endpoint** to 0 prevents out of order delivery after a rollback by pausing the message endpoint when a rollback occurs. However, the message endpoint for the MDB must then be resumed manually. For more information, see the WebSphere MQ information center.
- During normal operation, when multiple threads are sending messages to the destination (for different sequences) using transactions:
  - This behavior is due to the operation of the WebSphere MQ browse cursor.
  - When a message is committed to a WebSphere MQ queue, while another message sent to the destination is uncommitted (within a transaction that has not yet completed), the browse cursor moves onto the newer message on the queue and does not automatically return to the earlier message when it is eventually committed. This can cause messages to appear in the queue behind the browse cursor.
  - If this scenario occurs, newer messages within a sequence might be delivered to the MDB before the WebSphere MQ messaging provider re-scans the queue and detects the message that has appeared behind the browse cursor.
- If the WebSphere MQ queue being monitored by an activation specification or ASF listener port has the Message delivery sequence attribute (MSGDLYSEQ) set to priority, message ordering can fail due to the following reasons:
  - Messages of a lower priority might be delivered ahead of messages of a higher priority, when messages of multiple priorities are sent to a queue, this behavior is due to the operation of the WebSphere MQ browse cursor. The browse cursor moves through all available messages at the highest priority, and then moves to lower priority messages. If higher priority messages arrive when the browse cursor is currently browsing lower priority messages, those higher priority messages might not be delivered until after all lower priority messages on the queue have been delivered.
  - ASF listener ports or activation specifications that browse queues that have **Message delivery sequence** set to FIFO do not see this issue, as WebSphere MQ orders the messages on the queue in the order in which they arrive, rather than ordering them by priority.

### Considerations for a clustered deployment

- You can activate the MDB on one cluster member only, as the application server does not have a facility which can manage this activation automatically.
- You can set the startup state of listener ports to stopped, separately to setting the startup state of an application.

- You can manually start and stop applications, ASF listener ports, and message endpoints with MBean interfaces by using `wsadmin` scripting, or by using the `com.ibm.websphere.management.AdminClient` interfaces from Java code.

## WebSphere MQ custom properties

WebSphere Application Server supports the use of custom properties to define WebSphere MQ properties. This is useful because it enables WebSphere Application Server to work with later versions of WebSphere MQ that might have properties that are not exposed in the WebSphere Application Server administrative console.

For WebSphere Application Server Version 7.0 or later, the custom properties that you define are validated by the WebSphere MQ resource adapter contained in WebSphere Application Server. In earlier releases, this was done within WebSphere Application Server itself, and then by the WebSphere MQ client jar files. If you have defined a property that is not valid for WebSphere MQ, the WebSphere MQ resource adapter creates an exception, which is caught by WebSphere Application Server, and logged in the `Systemout.log` and `SystemErr.log` files. Examples of error messages are given at the end of this topic.

When a later version of WebSphere MQ is available that is supported by the WebSphere Application Server installation, new WebSphere MQ properties might be created that are not known to WebSphere Application Server. You can configure these as custom properties through WebSphere Application Server so that they are recognized by the WebSphere MQ resource adapter. You can also configure WebSphere Application Server to point to the WebSphere MQ resource adapter in the external JMS provider, as described in [Configuring the WebSphere MQ messaging provider with native libraries information](#).

For information on valid values for WebSphere MQ properties, refer to the *Using Java* and *System Administration* sections of the WebSphere MQ information center.

**Note:** This topic references one or more of the application server log files. Beginning in WebSphere Application Server Version 8.0 you can configure the server to use the High Performance Extensible Logging (HPEL) log and trace infrastructure instead of using `SystemOut.log`, `SystemErr.log`, `trace.log`, and `activity.log` files or native z/OS logging facilities. If you are using HPEL, you can access all of your log and trace information using the LogViewer command-line tool from your server profile bin directory. See the information about using HPEL to troubleshoot applications for more information on using HPEL.

The following scenarios illustrate how different cell configurations might be affected.

### Mixed node scenario

In this mixed node scenario, a cell consists of a WebSphere Application Server, Version 8.0 deployment manager, two WebSphere Application Server, Version 6 nodes, and two WebSphere Application Server, Version 8.0 nodes. If a WebSphere MQ connection factory is defined at cell level and has custom properties defined that exploit the new fields available in WebSphere MQ, then the connection factory is only bound into the WebSphere Application Server cells that are at Version 8.0 level. The WebSphere Application Server, Version 6 nodes do not know about the new WebSphere MQ properties and do not bind into the Java Naming and Directory Interface (JNDI). The enhancements made to WebSphere Application Server, Version 8.0 allow validation of the properties to be deferred to the WebSphere MQ resource adapter.

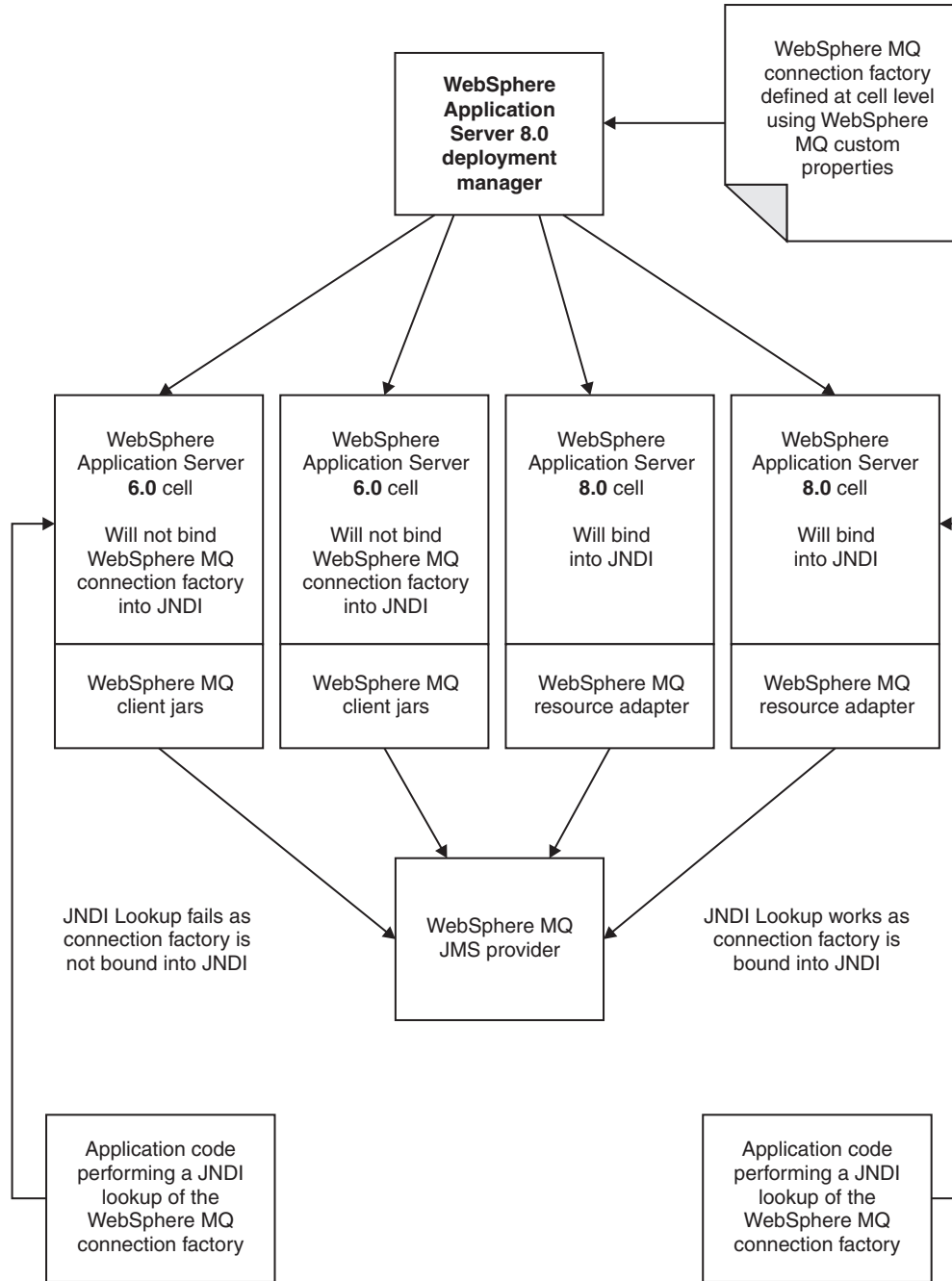


Figure 47. Mixed node scenario

### Future version of WebSphere MQ scenario

In this scenario a cell consists of WebSphere Application Server, Version 8.0 deployment manager and nodes. The WebSphere MQ messaging provider is running at a level later than Version 6. WebSphere Application Server is using the default WebSphere MQ resource adapter shipped with WebSphere Application Server Version 8.0. In this scenario the WebSphere MQ resource adapter is not aware of the new WebSphere MQ properties so the validation fails and the connection factory does not bind into the JNDI.

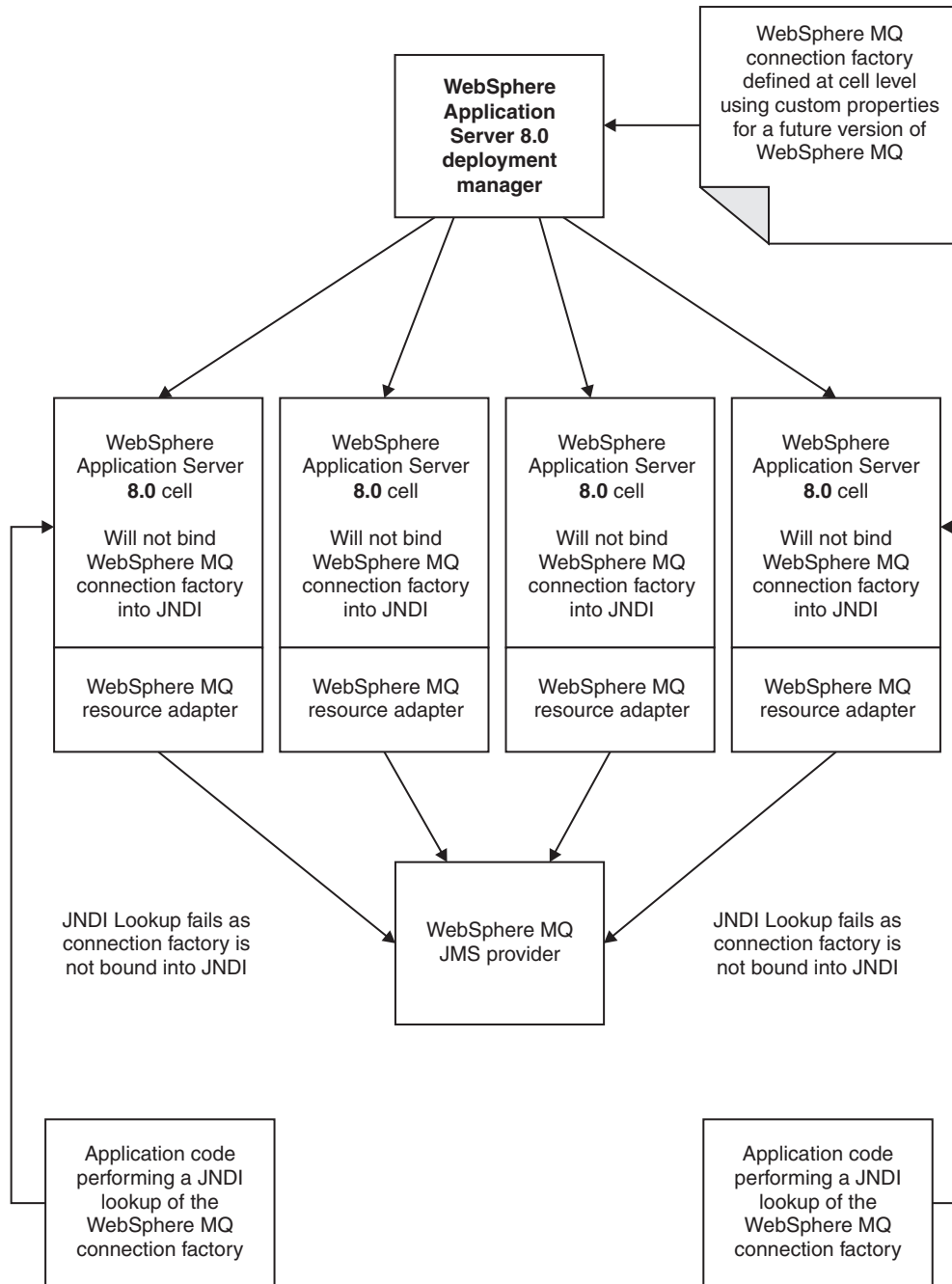


Figure 48. Future version of WebSphere MQ scenario

### Correctly configured scenario

In this scenario, which is similar to the previous one, a cell consists of WebSphere Application Server, Version 8.0 deployment manager and nodes. The WebSphere MQ messaging provider is running at a level later than Version 6. To successfully use the new WebSphere MQ properties it is necessary to configure the WebSphere Application Server to point to the WebSphere MQ resource adapter associated with the future version of WebSphere MQ.

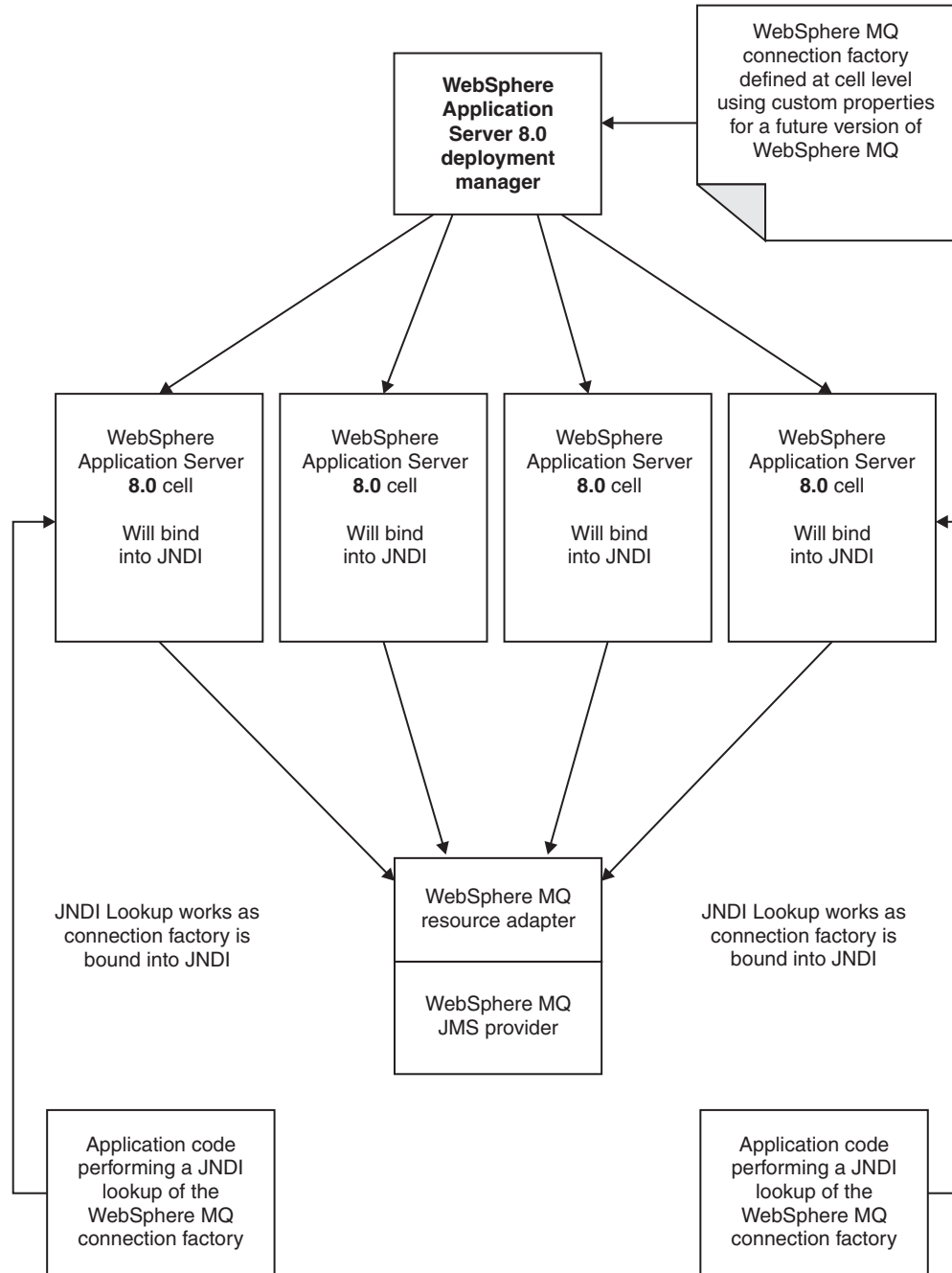


Figure 49. Correctly configured scenario

## Error message example

The exception created by the resource adapter contains error messages similar to the following example:

```
[09/02/06 15:40:06:377 GMT] 0000000a ContainerImpl E WSVR0501E: Error creating
component null [class com.ibm.ws.runtime.component.ApplicationServerImpl]
com.ibm.ws.exception.RuntimeWarning: com.ibm.ws.runtime.component.binder.
ResourceBindingException: invalid configuration passed to resource binding logic.
REASON: Failed to create connection factory: Error raised constructing AdminObject,
error code: XAQCF PropertyName : XAQCF PropertyName
```

where PropertyName is the name of the invalid property.

## WebSphere MQ messages

A WebSphere MQ message usually contains a message descriptor, one or more message headers, and a message payload. WebSphere MQ provides programming interfaces that can help your applications to process WebSphere MQ messages.

### Components of a WebSphere MQ message

A WebSphere MQ message contains one or more of the following components:

- **Message descriptor:** The message descriptor contains standard message properties, applicable to all WebSphere MQ messages. For example, the message descriptor contains the message identifier and the correlation identifier (similar to the JMSMessageID and the JMSCorrelationID). Every WebSphere MQ message contains a message descriptor (MQMD).
- **Message headers:** A message header usually contains additional message properties applicable to particular types of message. For example, WebSphere MQ messages sent to the CICS bridge include a CICS bridge header (MQCIH). One exception is the “WebSphere MQ rules and formatting header 2” (MQRFH2), which can be used to contain message properties for various message types, including JMS message properties. Which headers (if any) a WebSphere MQ message contains depends on the intended recipient.
- **Message payload:** The message payload is the data (if any) that follows the last message header, or that follows the MQMD if there are no message headers.

For more information about WebSphere MQ messages, see the WebSphere MQ documentation. For detailed information about the contents of the message descriptor and the message headers, see the WebSphere MQ Application Programming Reference.

### WebSphere MQ implementation of a JMS message

WebSphere MQ provides a programming interface called the Message Queue Interface (MQI). This interface allows applications to process the components of a WebSphere MQ message using a variety of programming languages. WebSphere MQ also provides a JMS programming interface which allows applications to process a WebSphere MQ message as a JMS message. WebSphere MQ supports JMS by using the MQMD and the MQRFH2 to contain JMS message properties and header fields. The JMS message body is usually the WebSphere MQ message payload, but can include WebSphere MQ message headers. For details of WebSphere MQ support for JMS, including details of how WebSphere MQ stores JMS message properties and header fields in the MQMD and the MQRFH2, see the Using Java section of the WebSphere MQ information center.

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## How messages are passed between service integration and a WebSphere MQ network

When you program a WebSphere Application Server application that interoperates with WebSphere MQ through the default messaging provider and a service integration bus, service integration automatically handles most aspects of the conversion and mapping for messages. Understanding how this process works and the differences between the two environments helps you to program and troubleshoot your applications more effectively.

The basic message conversion process is the same whether the service integration bus is interoperating with WebSphere MQ through a WebSphere MQ link or with a WebSphere MQ server. For further information about different types of messaging with WebSphere MQ links and WebSphere MQ servers, read whichever of the following sections applies to your system's architecture:

- Interoperation using a WebSphere MQ link
- Interoperation using a WebSphere MQ server

## Differences between service integration and a WebSphere MQ network

Applications can use both service integration and WebSphere MQ to convey messages. Service integration messaging uses messaging engines, whereas WebSphere MQ uses queue managers.

WebSphere MQ is a stand-alone messaging and queuing system, and is not a part of an application server. In WebSphere MQ, messaging and queuing services are provided by queue managers. An application attaches to a queue manager and uses an application programming interface to get messages on and from queues. One of these application interfaces is the Java Messaging Service (JMS) API. Applications can attach directly to a queue manager using a call interface or indirectly using a TCP/IP socket connection. The TCP/IP socket connection which an application uses to attach to a queue manager is called an MQI channel. The application uses the same programming interface for both direct (bindings mode) and indirect (client mode) attachments.

Service integration is part of WebSphere Application Server. In service integration, messaging and queuing services are provided by messaging engines (ME). A service integration messaging engine runs in a WebSphere Application Server server. A service integration messaging engine is similar to a WebSphere MQ queue manager plus its associated message channel agent (MCA), which is used to move messages from one queue manager to another. However, unlike a queue manager, a messaging engine also includes transformation and routing capabilities.

A WebSphere Application Server application connects to a messaging engine using JMS services, and uses the JMS application programming interface to send and receive messages from destinations. A JMS destination is similar to a WebSphere MQ queue or topic. A service integration messaging engine uses WebSphere Application Server communication capabilities for connecting clients outside the WAS server where it runs, and for communicating with other messaging engines. Service integration messaging engines provide services for transformation and routing, and support publish/subscribe messaging. A separate message broker is not required.

WebSphere MQ applications consume messages from queues that are locally defined on a queue manager or (for WebSphere MQ for z/OS) queue-sharing group. In service integration, the equivalent component to a WebSphere MQ locally-defined queue is a queue point on the local messaging engine. In service integration there is no similar restriction imposed on the queue point and the location in the bus where the consuming application is connected.

The JMS API is available to messaging applications in both WebSphere Application Server and WebSphere MQ. WebSphere MQ also has a native API called the Message Queue Interface (MQI). The JMS send and receive interfaces are similar to the MQI put and get interfaces.

Each WebSphere MQ queue manager usually has a dead-letter queue (also known as the undelivered message queue) defined for it. Messages are put on this queue if they cannot be delivered to their intended destination. In WebSphere Application Server service integration, the equivalents to dead-letter queues are exception destinations. A default exception destination is automatically created for each messaging engine. If messages cannot be delivered, messages are put on the specific exception destination for the queue, if it exists, or on the default exception destination.

## How service integration converts messages to and from WebSphere MQ format

Messages are converted between WebSphere MQ format and service integration format as they flow between the two systems.

## Exchanging messages between JMS programs through service integration and WebSphere MQ

Usually, you do not have to be aware of conversion between message formats to exchange JMS messages between service integration and WebSphere MQ, because service integration performs the

appropriate conversion automatically, including character and numeric encoding. However, you might have to learn about message conversion if your JMS applications do not behave as expected, or if your service integration configuration includes JMS programs or mediations that process messages to or from non-JMS WebSphere MQ programs.

If your service integration applications exchange MapMessage objects with WebSphere MQ applications, you might have to specify a non-default map message encoding format.

## When service integration converts messages to and from WebSphere MQ format

Service integration converts a service integration message into a WebSphere MQ message in the following circumstances:

- When service integration sends a message to WebSphere MQ by using a WebSphere MQ link.
- When a service integration mediation places the message on a queue point that is a WebSphere MQ queue.
- When a service integration application sends the message to a destination where the mediation point (if any) or the queue point (if there is no mediation) is a WebSphere MQ queue.

Service integration converts a WebSphere MQ message into a service integration message in the following circumstances:

- When WebSphere MQ sends the message to a service integration bus by using a WebSphere MQ link.
- When a service integration mediation receives the message from a mediation point that is a WebSphere MQ queue.
- When a service integration application receives the message from a destination where the queue point is a WebSphere MQ queue.

When you use the WebSphere MQ messaging provider, there is no conversion between WebSphere MQ format and service integration format.

## Overview of message conversion

When service integration converts a message to WebSphere MQ format, it usually constructs a WebSphere MQ message descriptor (MQMD), a rules and formatting header 2 (MQRFH2), and a message payload:

- **Message descriptor (MQMD):** Service integration sets fields in the MQMD based on the service integration message header fields and properties; these include JMS message header fields and properties applicable to the message. Service integration always constructs an MQMD.
- **Rules and formatting header 2 (MQRFH2):** Service integration sets fields in the MQRFH2 based on the service integration message header fields and properties. Some WebSphere MQ applications cannot process messages that contain an MQRFH2. To simplify interoperability, you can configure service integration to omit the MQRFH2 from messages for applications that cannot process the MQRFH2. However, be aware that when service integration omits the MQRFH2, it discards the corresponding service integration header fields and properties.
- **Message payload:** Service integration uses the body of the service integration message (if any) as the payload of the WebSphere MQ message.

When service integration converts a message from WebSphere MQ format:

- It sets the service integration message header fields and properties from the MQMD and (if present) the MQRFH2 in the WebSphere MQ message.
- It sets the service integration message body to the contents (if any) of the WebSphere MQ message that immediately follow the MQRFH2.
- If the message contains other headers, instead of, or as well as, the MQRFH2 header, those headers are treated as part of the JMS message body and the JMS message becomes a bytes message.



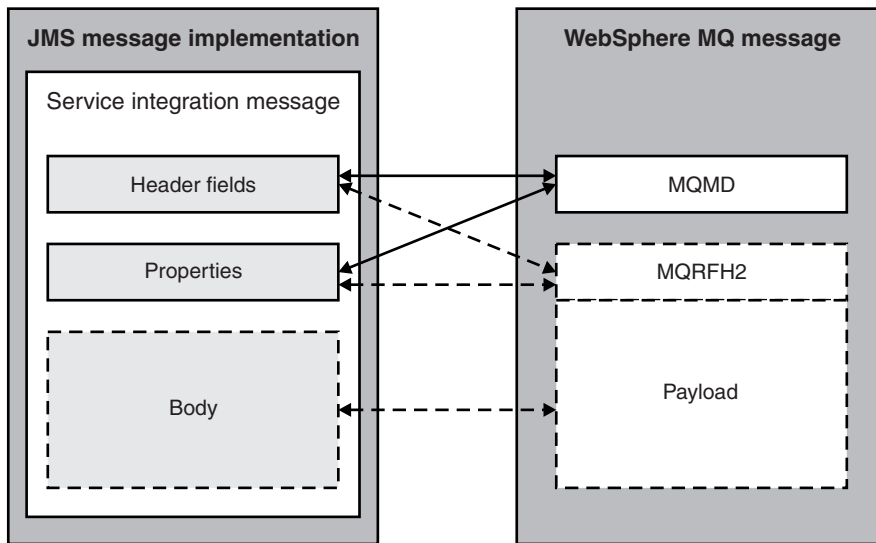


Figure 50. Message conversion to and from WebSphere MQ format

For reference information about the mappings for specific message header fields and properties between a service integration bus and WebSphere MQ, see the topics listed in the related reference. To help you program applications that interoperate with WebSphere MQ, these topics describe how the message formats are mapped between service integration messages and WebSphere MQ messages.

## How to address bus destinations and WebSphere MQ queues

To understand how to access a service integration bus destination from WebSphere MQ, and a WebSphere MQ queue from a service integration bus, it is important to understand the different conventions that govern how these two resources are addressed.

For queue-type destinations, WebSphere MQ has a two-level addressing structure:

- queue manager name
- queue name

The equivalents for the service integration bus are:

- bus name
- destination name (identifier)

In WebSphere MQ, the queue manager name and queue name are each limited to 48 characters, and use of certain characters is restricted. For more information, see WebSphere MQ naming restrictions. The service integration bus equivalents do not have these restrictions, so (for example) messages from a WebSphere MQ application sent to a bus destination with a name longer than 48 characters must have some means of using the shorter name (used in WebSphere MQ) to address the longer name (used in the service integration bus). The service integration bus uses an alias destination to map between the shorter name and the long name. Similarly, an alias can also be used to send a message from a WebSphere Application Server application by using a long name (greater than 48 characters) and route it to a WebSphere MQ queue. For more information about alias destinations, see “Foreign destinations and alias destinations” on page 456.

### Service integration *queue@queueManager* notation for WebSphere MQ queues

When service integration sends a message across a WebSphere MQ link, it must know the foreign bus that corresponds to the gateway queue manager or queue-sharing group; and when the send-to queue is

defined in a different queue manager or queue-sharing group (not the gateway), service integration must know the location of the send-to queue so that it can save the correct name in the MQXQH **RemoteQMgrName** field. One way to achieve this is to define two foreign buses, an indirectly-connected bus (where the queue is defined) and a directly-connected bus (the gateway).

The following figure shows an example of this. In the figure, the target queue for a message is Q2 in queue manager QM2. The service integration configuration in the local bus defines QM2 as an indirectly-connected foreign bus and QM1 as the directly-connected intermediate bus. It defines Q2 as a foreign destination with bus name QM2 and destination name (identifier) Q2. The service integration configuration for the local bus does not include any information about the connection between QM1 and QM2.

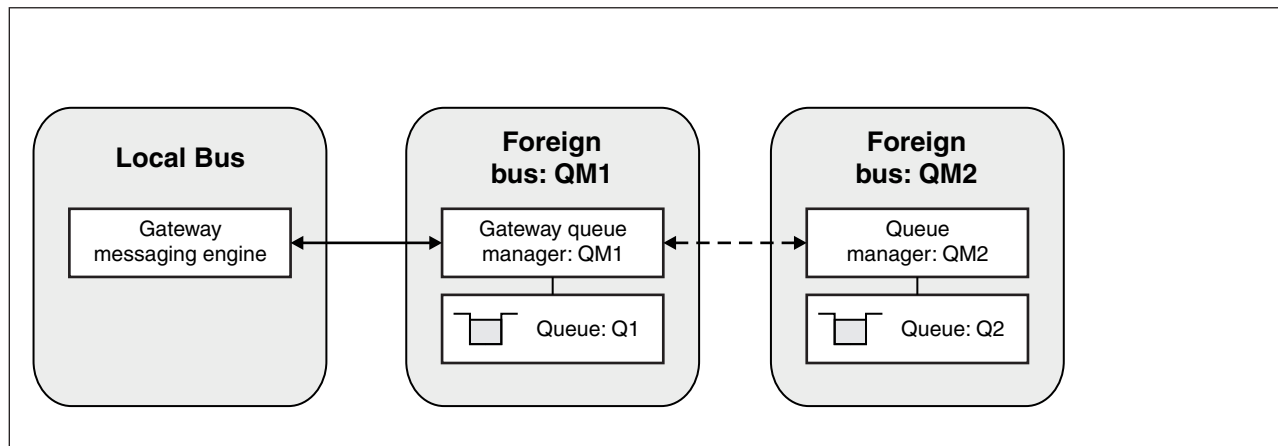


Figure 51. Addressing a WebSphere MQ queue in an indirectly connected foreign bus

Accessing a foreign WebSphere MQ queue in this way works perfectly well. However, when there are a large number of queue managers or queue-sharing groups that connect to the service integration bus through one gateway, you might find it inconvenient to define every one of them as an indirectly-connected foreign bus. Therefore service integration supports the following special destination name format for WebSphere MQ queues that includes both the queue name and the queue manager name joined by the at-sign (@): *queue@queueManager*. Using this special format, you do not have to define a separate indirectly-connected foreign bus for service integration because the name is part of the service integration destination name.

The following figure shows an example of this. In the figure, the target queue for a message is Q2 in queue manager QM2. The service integration configuration in the local bus does not define QM2 as a foreign bus. It defines Q2 as a foreign destination with bus name QM1 and destination name (identifier) Q2@QM2. The service integration configuration for the local bus does not include any information about the connection between QM1 and QM2.

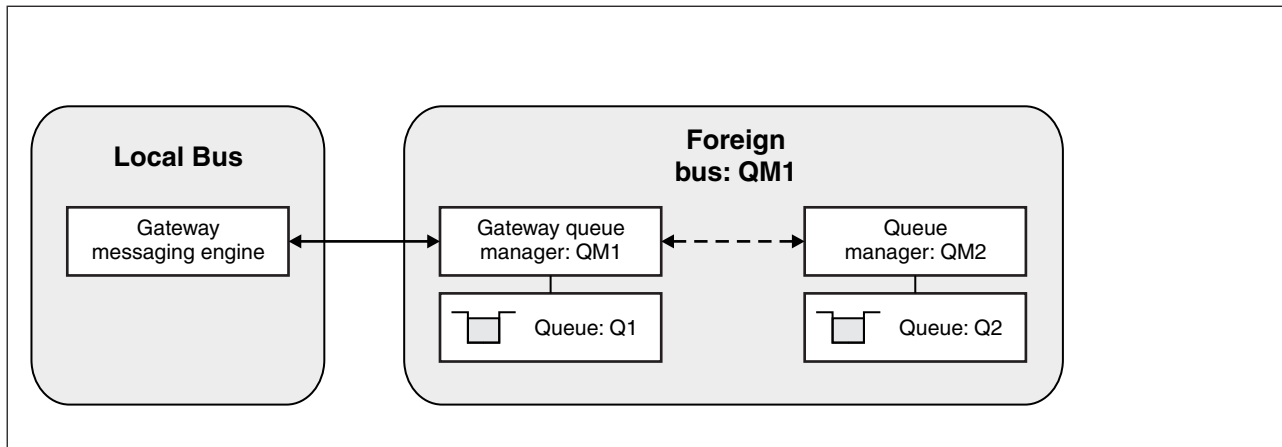


Figure 52. Addressing a WebSphere MQ queue by using `queue@queueManager` notation

### Automatic mapping of the JMSReplyTo field of a JMS message

There are two fields in the JMS API that are used for sharing information about the destination to which a message is sent (JMSDestination) and the destination to which replies should be sent (JMSReplyTo). The JMSReplyTo field of a JMS message passing from a service integration bus to WebSphere MQ (or from WebSphere MQ to a service integration bus) is automatically mapped so that a consuming application in WebSphere MQ can reply to the original WebSphere Application Server application.

### JNDI namespaces and connecting to different JMS provider environments

Interoperation with other JMS systems and clients is more straightforward if your messaging application connections are built using a connection factory and stored in a JNDI namespace. The JNDI namespace insulates your application from provider-specific information, and there are no differences that are significant for programming messaging applications.

The Java Naming and Directory Interface (JNDI) API enables JMS clients to look up configured JMS objects. By delegating all the provider-specific work to administrative tasks for creating and configuring these objects, the clients can be completely portable between environments. In addition, the applications are easier to administer because they have no specific administrative values embedded in their code.

There are two types of JMS administered objects:

- ConnectionFactory - the object a client uses to create a connection with a provider.
- Destination - the object a client uses to specify the destination for messages it is sending, and the source of messages it receives

The messaging environment to which the application connects depend on the implementation type of the ConnectionFactory object that is obtained from JNDI. For example, if the object is a WebSphere Application Server default messaging ConnectionFactory object, then a connection is made to the same service integration bus.

---

### Interoperation using a WebSphere MQ link

A WebSphere MQ link provides a server to server channel connection between a service integration bus and a WebSphere MQ queue manager or queue-sharing group, which acts as the gateway to the WebSphere MQ network.

A WebSphere MQ link enables WebSphere Application Server applications to send point-to-point messages to WebSphere MQ queues, which are defined as destinations in the service integration bus.

The link also enables WebSphere MQ applications to send point-to-point messages to destinations in the service integration bus, which are defined as remote queues in WebSphere MQ. With a publish/subscribe bridge, the link also enables WebSphere Application Server applications to subscribe to messages published by WebSphere MQ applications, and WebSphere MQ applications to subscribe to messages published by WebSphere Application Server applications.

The WebSphere MQ queue manager or (for WebSphere MQ for z/OS) queue-sharing group that provides the gateway for a WebSphere MQ link to connect to a WebSphere MQ network is known as the *gateway queue manager*. When you define a WebSphere MQ link, you nominate one WebSphere MQ queue manager or queue-sharing group to act as a gateway queue manager. This queue manager or queue-sharing group must exist and be active in the WebSphere MQ network

To the gateway queue manager, the messaging engine with the WebSphere MQ link (and hence the bus that the messaging engine is a member of) appears to be a WebSphere MQ queue manager. To the messaging engine with the WebSphere MQ link, the gateway queue manager (and any other queue managers connected to it) appears to be a foreign bus.

The WebSphere MQ link has sender and receiver channels defined on it. These channels communicate with, respectively, partner receiver and sender channels on the gateway queue manager. The WebSphere MQ link communicates with WebSphere MQ using the WebSphere MQ message formats and communication protocol. The WebSphere MQ link converts the service integration message formats to and from the WebSphere MQ message formats.

When WebSphere Application Server applications send messages over the WebSphere MQ link, the messages are transmitted through the WebSphere MQ link sender channel to the partner receiver channel on the gateway queue manager. The receiver puts the messages to the target destinations in the WebSphere MQ network.

Messages from the WebSphere MQ network, that are destined for WebSphere Application Server applications, are sent to the gateway queue manager. The sender channel on the gateway queue manager transmits the messages to the WebSphere MQ link receiver channel, from where they are distributed to the target destinations on WebSphere Application Server.

## **Network topologies for interoperation using a WebSphere MQ link**

These examples show a range of network topologies, from simple to complex, that enable WebSphere Application Server to interoperate with WebSphere MQ using a WebSphere MQ link.

- “Single WebSphere Application Server application server connected to a single WebSphere MQ queue manager”
- “WebSphere Application Server cell connected to a WebSphere MQ network” on page 291
- “High availability for a WebSphere Application Server cell connected to a WebSphere MQ network” on page 292
- “Multiple WebSphere Application Server cells connected to a WebSphere MQ network” on page 294

### **Single WebSphere Application Server application server connected to a single WebSphere MQ queue manager**

In this basic scenario, a WebSphere MQ link connects a single WebSphere Application Server application server to a WebSphere MQ queue manager. The WebSphere Application Server messaging engine that connects to WebSphere MQ by using the WebSphere MQ link is called the gateway messaging engine. The WebSphere MQ queue manager or queue-sharing group to which the WebSphere MQ link connects is called the gateway queue manager.

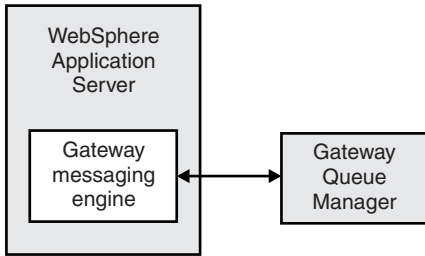


Figure 53. Single application server connected to a gateway queue manager

WebSphere MQ links always use TCP/IP connections, even if the WebSphere MQ queue manager is running on the same host as the application server. You do not need to specify a client or bindings transport type for the connection, as you do when WebSphere MQ is the messaging provider.

The WebSphere MQ link consists of one or two message channels to send messages to WebSphere MQ, receive messages from WebSphere MQ, or both. Each message channel uses one TCP/IP connection.

The message channels support point-to-point messaging between WebSphere Application Server applications and WebSphere MQ applications. You can also configure a publish/subscribe bridge on the WebSphere MQ link for publish/subscribe messaging between WebSphere Application Server applications and WebSphere MQ applications. For more details about the WebSphere MQ link and its message channels, see “Message exchange through a WebSphere MQ link” on page 295.

### WebSphere Application Server cell connected to a WebSphere MQ network

A single WebSphere MQ link can connect an entire WebSphere Application Server service integration bus, representing multiple application servers, to multiple WebSphere MQ queue managers. The messages that are exchanged between the two networks all pass through the WebSphere MQ link, which connects a single gateway messaging engine in WebSphere Application Server, and a single gateway queue manager in WebSphere MQ. The gateway messaging engine and gateway queue manager distribute the messages, which can be point-to-point or publish/subscribe messages, to the appropriate application servers and queue managers in their respective networks.

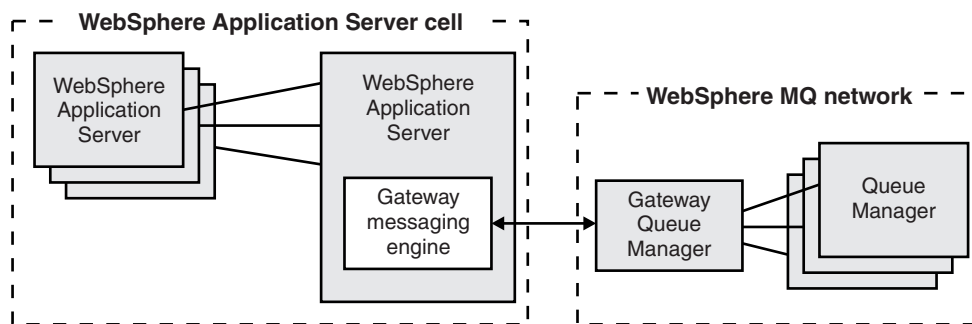


Figure 54. Multiple application servers connected to multiple queue managers

With this topology, interoperation ceases if any of the following conditions occurs:

- The WebSphere Application Server application server that contains the gateway messaging engine fails.
- The host on which that WebSphere Application Server application server is running fails.
- The WebSphere MQ gateway queue manager fails.
- The host on which the WebSphere MQ gateway queue manager is running fails.

In these situations, none of the application servers in the WebSphere Application Server cell can communicate with any of the queue managers in WebSphere MQ. In the event of a failure, messages are queued as follows:

- If the gateway messaging engine in WebSphere Application Server fails or can no longer communicate with WebSphere MQ, messages that were already queued in the gateway messaging engine, which has store and forward capability, are stored there and are sent when interoperation is restored.
- If the gateway messaging engine in WebSphere Application Server fails, messages that were queued in the messaging engines of other application servers are stored in those messaging engines and are sent when the gateway messaging engine is in operation.
- If the gateway queue manager in WebSphere MQ fails or can no longer communicate with WebSphere Application Server, messages that were already queued in the gateway queue manager are sent when interoperation is restored.
- If the gateway queue manager in WebSphere MQ fails, messages that were queued in other queue managers are sent when the gateway queue manager is in operation.

You can improve the robustness of this topology and introduce greater availability by setting up high availability frameworks in WebSphere Application Server and WebSphere MQ.

### **High availability for a WebSphere Application Server cell connected to a WebSphere MQ network**

The WebSphere Application Server high availability framework eliminates single points of failure and provides peer to peer failover for applications and processes running within WebSphere Application Server. This framework also allows integration of WebSphere Application Server into an environment that uses other high availability frameworks, such as High Availability Cluster Multi-Processing (HACMP), in order to manage non-WebSphere Application Server resources.

Both WebSphere Application Server application servers and WebSphere MQ queue managers can be arranged in clusters, so that if one fails, the others can continue running. In the network topology shown here, the WebSphere Application Server cell that contains the service integration bus now includes a WebSphere Application Server cluster which provides backup for the gateway messaging engine. If the gateway messaging engine fails, it can restart in another application server in the cluster, and it can then restart the WebSphere MQ link to the gateway queue manager. Similarly, the gateway queue manager is part of a WebSphere MQ high-availability cluster.

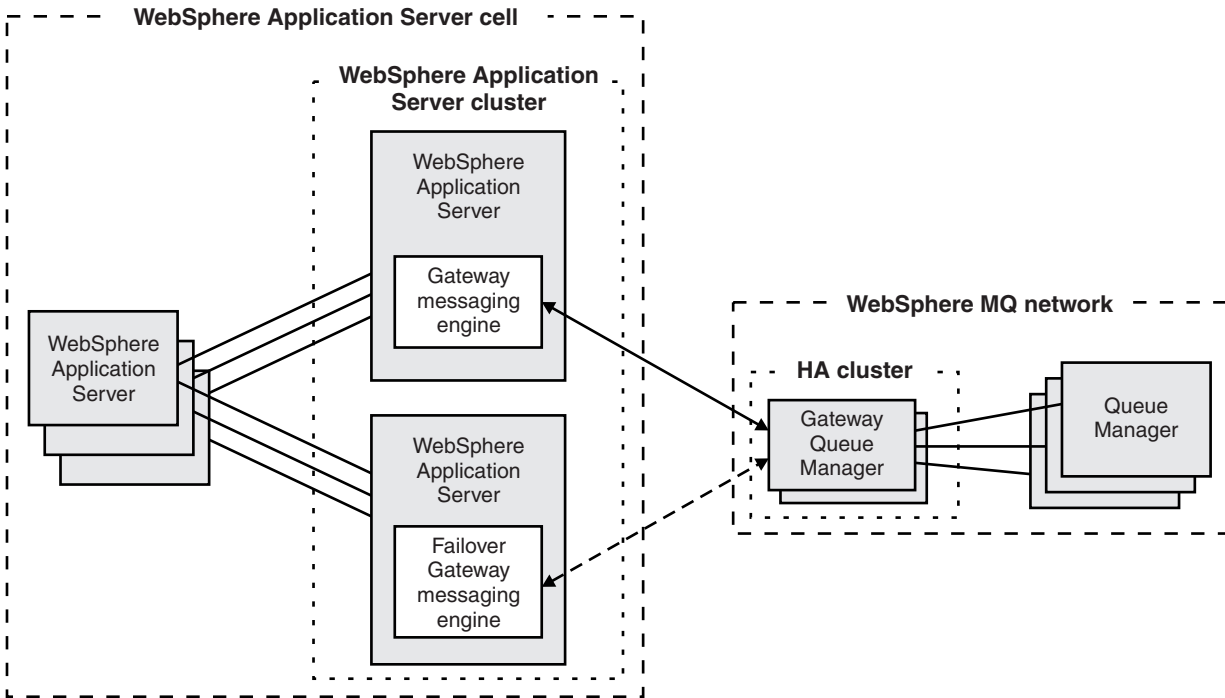


Figure 55. High availability for multiple application servers connected to multiple queue managers

For WebSphere Application Server and WebSphere MQ to interoperate in this network topology, you must add support for changes of IP address. The WebSphere MQ gateway queue manager uses one IP address to reach the WebSphere Application Server gateway messaging engine, and the WebSphere Application Server gateway messaging engine uses one IP address to reach the WebSphere MQ gateway queue manager. In a high availability configuration, if the gateway messaging engine fails over to a different application server, or the gateway queue manager fails and is replaced by a failover gateway queue manager, the connection to the original IP address for the failed component is lost. You must ensure that both products are able to reinstate their connection to the component in its new location.

To ensure that the connection to a failover WebSphere Application Server gateway messaging engine is reinstated, choose one of the following options:

1. If you are using a version of WebSphere MQ that is earlier than Version 7.0.1, install the SupportPac MR01 for WebSphere MQ. This SupportPac provides the WebSphere MQ queue manager with a list of alternative IP addresses and ports, so that the queue manager can connect with the WebSphere Application Server gateway messaging engine after the messaging engine fails over to a different IP address and port. In WebSphere Application Server you must set a high availability policy of “One of N” for the gateway messaging engine. For more information about the WebSphere MQ MR01 SupportPac, see MR01: Creating a HA Link between WebSphere MQ and a Service Integration Bus.
2. If you are using WebSphere MQ Version 7.0.1, use the connection name (CONNNAME) to specify a connection list. Although typically only one machine name is required, you can provide multiple machine names to configure multiple connections with the same properties. The connections are tried in the order in which they are specified in the connection list until a connection is successfully established. If no connection is successful, the channel starts retry processing. When using this option, specify the CONNNAME as a comma-separated list of names of machines for the stated TransportType, making sure that all the WebSphere Application Server cluster member IPs are listed directly in the CONNNAME. For further information about using the CONNNAME, see the WebSphere MQ information center.

**Note:** WebSphere MQ Version 7.0.1 does not require SupportPac MR01 because this release includes the equivalent function to that provided by SupportPac MR01 for earlier releases. The ability to use the CONNAME to specify a connection list was added as part of the support for multi-instance queue managers in WebSphere MQ Version 7.0.1, however, it can also be used as another option to ensure that the connection to a failover WebSphere Application Server gateway messaging engine is reinstated.

3. Use an external high availability framework, such as HACMP, to manage a resource group that contains the gateway messaging engine. When you use an external high availability framework, the IP address can be failed over to the machine that runs the application server to which the gateway messaging engine has moved. Follow this procedure to handle the IP address correctly:
  - Set a high availability policy of “No operation” for the messaging engine, so that the external high availability framework controls when and where the messaging engine runs.
  - Create resources for the messaging engine and its IP address in the resource group that is managed by the external high availability framework.
  - Consider locating the messaging engine data store in the same resource group as the resource that represents the messaging engine.

To ensure that the connection to a failover WebSphere MQ gateway queue manager is reinstated, choose one of the following options:

1. Set up multi-instance queue managers in WebSphere MQ, as described in the WebSphere MQ information center. In your definition for the WebSphere MQ link sender channel, select **Multiple Connection Names List**, and specify the host names (or IP addresses) and ports for the servers where the active and standby queue managers are located. If the active gateway queue manager fails, the service integration bus uses this information to reconnect to the standby gateway queue manager.
2. Create the WebSphere MQ high-availability cluster using an external high availability framework, such as HACMP, that supports IP address takeover. IP address takeover ensures that the gateway queue manager in its new location appears as the same queue manager to the service integration bus.

The gateway queue manager and the gateway messaging engine store status information that they use to prevent loss or duplication of messages when they restart communication following a failure. This means that the gateway messaging engine must always reconnect to the same gateway queue manager.

If you use WebSphere MQ for z/OS queue sharing groups, you can configure the WebSphere MQ link to use shared channels for the connection. Shared channels provide superior availability compared to the high-availability clustering options available on other WebSphere MQ platforms, because shared channels can reconnect to a different queue manager in the same queue sharing group. Reconnecting in the same queue sharing group is typically faster than waiting to restart the same queue manager in the same or a different location.

Although the network topology described in this section can provide availability and scalability, the relationship between the workload on different queue managers and the WebSphere Application Server application servers to which they are connected is complex. You can contact your IBM representative to obtain expert advice.

## Multiple WebSphere Application Server cells connected to a WebSphere MQ network

In this example scenario, a business has two geographically separated WebSphere Application Server cells, and wants to connect them to the same enterprise-wide WebSphere MQ network. Each service integration bus has its own gateway messaging engine, which connects using a WebSphere MQ link to a nearby WebSphere MQ gateway queue manager.



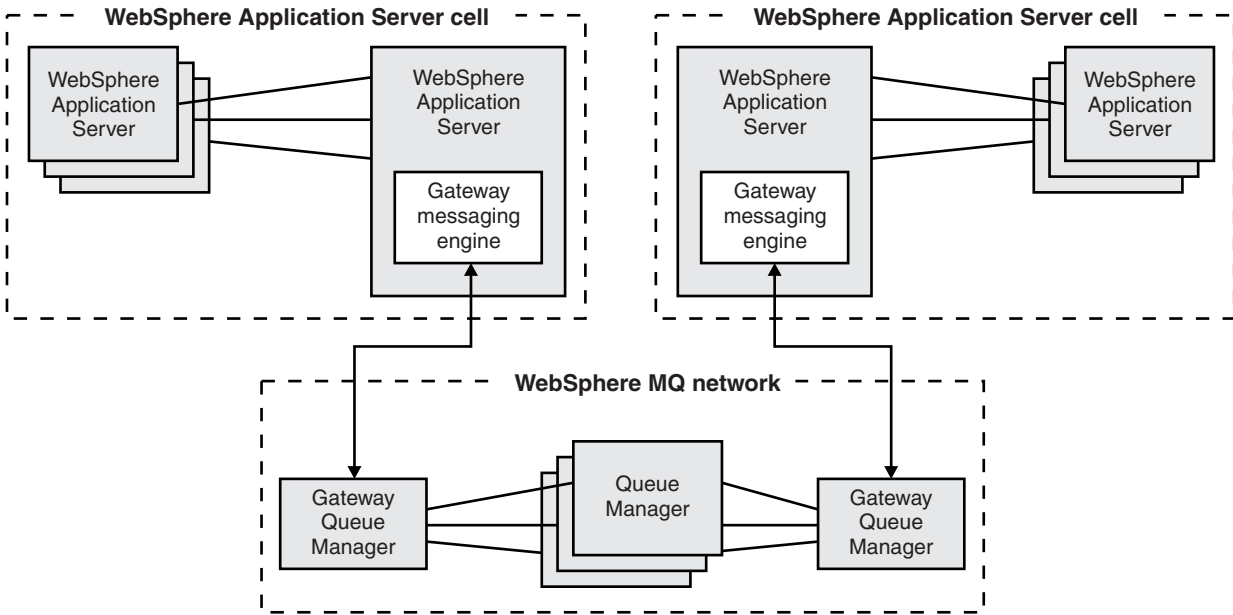


Figure 56. Geographically separated application servers connected to the same WebSphere MQ network

With this network topology, WebSphere Application Server applications running in either WebSphere Application Server cell can exchange point-to-point or (with a publish/subscribe bridge) publish/subscribe messages with WebSphere MQ applications. They can also use the facilities of the enterprise-wide WebSphere MQ network to exchange messages with WebSphere Application Server applications running in the other WebSphere Application Server cell. As in the previous scenario, the business can use high availability frameworks in WebSphere Application Server and WebSphere MQ to provide increased availability and scalability.

## Message exchange through a WebSphere MQ link

A WebSphere MQ link connects to a specific foreign bus that represents a WebSphere MQ network, and enables messaging engines on a service integration bus to exchange messages with queue managers on the WebSphere MQ network.

The figure below shows a high level view of the function of a WebSphere MQ link. Subsequent figures add more detail to this simple representation.

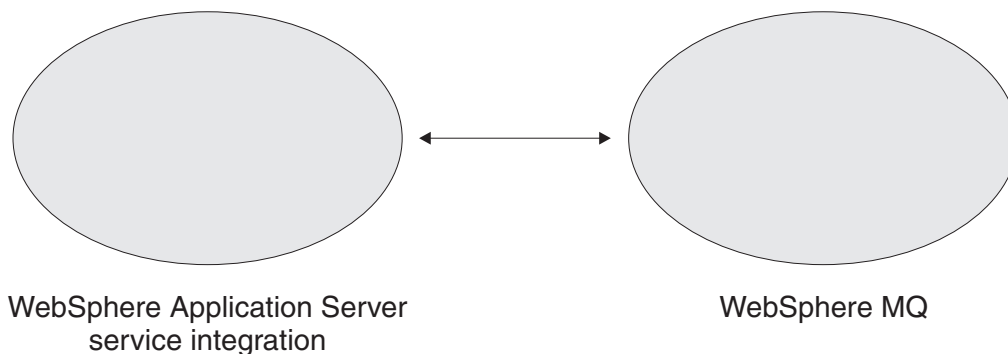


Figure 57. Exchanging messages between WebSphere Application Server and a WebSphere MQ network.

A WebSphere MQ link is a service integration technologies administrative object that describes the attributes required for a messaging engine to establish channel links to a WebSphere MQ queue manager or (for WebSphere MQ for z/OS) queue-sharing group.

The messaging engine that connects to WebSphere MQ by using a WebSphere MQ link is known as the *gateway messaging engine*. The WebSphere MQ queue manager or queue-sharing group to which a WebSphere MQ link connects is known as the *gateway queue manager*. To service integration, the gateway queue manager and any other queue managers connected to it appear to be a foreign bus, which is another bus that has a link to the local bus. To the gateway queue manager, the service integration bus appears to be a remote queue manager.

The figure below shows an application server that is a member of a bus and therefore contains a messaging engine. The messaging engine is a gateway messaging engine, which means it connects to a gateway queue manager within WebSphere MQ by using a WebSphere MQ link. The link appears to the gateway queue manager as a message channel - that is, a sender channel, a receiver channel, or a sender-receiver pair of channels.

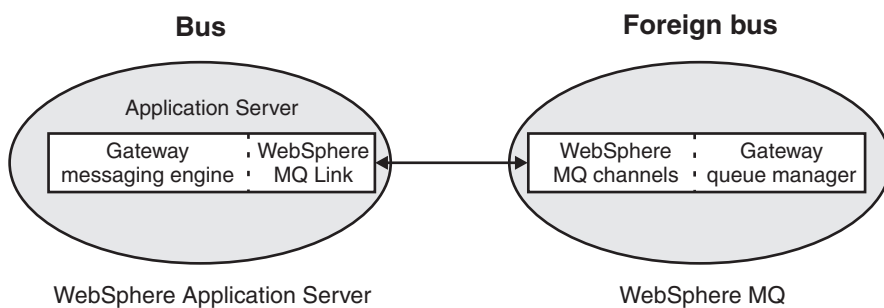


Figure 58. Exchanging messages between a service integration bus, and a foreign bus in a WebSphere MQ network.

Other messaging engines on the same service integration bus can use the gateway messaging engine to send messages to, and receive messages from, the gateway queue manager on WebSphere MQ. In a similar way, the gateway queue manager receives messages from the WebSphere MQ link and routes them to other queue managers in the WebSphere MQ network. The gateway queue manager and the other queue managers to which it connects are together represented as a foreign bus when you configure the WebSphere MQ link.

A WebSphere MQ link cannot use cluster-sender and cluster-receiver channels to connect to multiple queue managers in a WebSphere MQ cluster. Even if the gateway queue manager is a member of a cluster, the WebSphere MQ link must still connect directly to the gateway queue manager. The gateway queue manager manages routing of messages to other queue managers in the cluster.

The figure below shows how messages exchanged between the gateway messaging engine and the gateway queue manager, can be sent and received by other messaging engines on the same bus and other queue managers connected to the gateway queue manager.

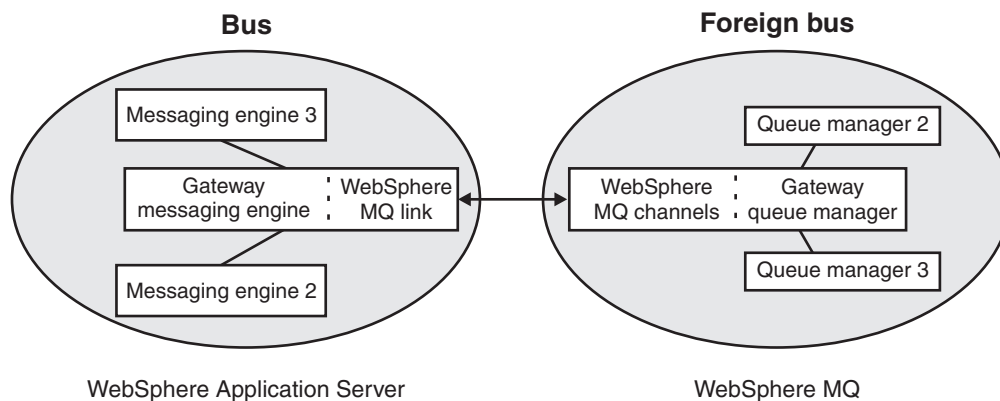


Figure 59. Exchanging messages between messaging engines on a bus and queue managers connected to the gateway queue manager on a foreign bus.

A WebSphere MQ link can have definitions for a WebSphere MQ link sender or a WebSphere MQ link receiver or both. The link sender and receiver emulate the behavior of WebSphere MQ sender and receiver channels. The MQ link sender therefore sends messages to the receiver channel of the gateway queue manager, and the MQ link receiver receives messages from the sender channel of the gateway queue manager.

The figure below shows the sender and receiver channels that enable the gateway messaging engine and the gateway queue manager to exchange messages.

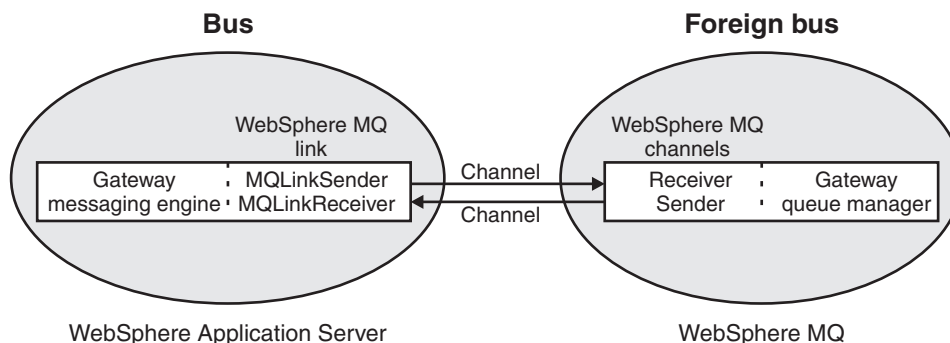


Figure 60. Exchanging messages between WebSphere MQ link sender and receiver channels, and a gateway queue manager with receiver and sender channels.

The figure below shows how an individual message passes from the gateway messaging engine with a WebSphere MQ link, to the target queue in the WebSphere MQ network, and how a response message is returned over the WebSphere MQ link to a reply-to destination in WebSphere Application Server.

1. A service integration JMS application sends a request message to a target destination, which is a JMS destination that points to a WebSphere MQ queue. The sending application includes the reply-to

destination in a header field in the request message. The reply-to destination is a JMS destination that points to a service integration destination in the same service integration bus to which the sending application is attached.

2. The messaging engine in the service integration bus uses the WebSphere MQ link to send the message to WebSphere MQ. WebSphere MQ puts the message on the target queue.
3. The WebSphere MQ application receives the message from the queue, processes it, and sends a response to the reply-to destination. This application might be, but is not always, a JMS application.

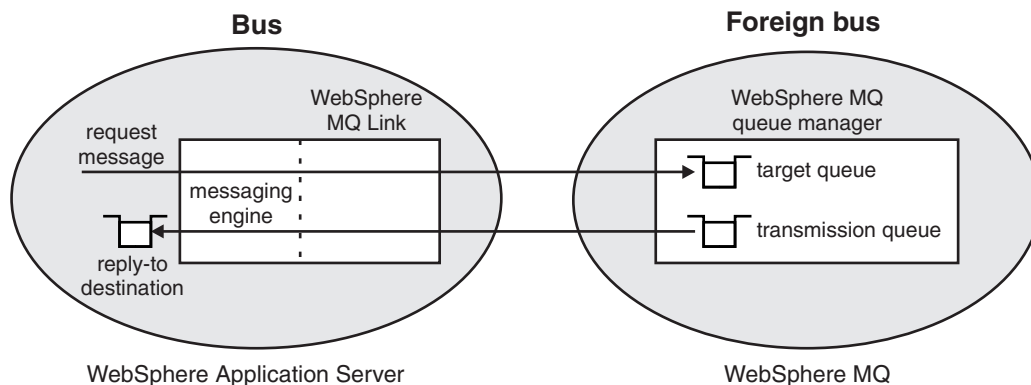


Figure 61. Paths taken by a message and response exchanged between a messaging engine on a bus and a queue manager in WebSphere MQ

You can configure a publish/subscribe bridge on a WebSphere MQ link. The bridge allows subscribing applications connected to the service integration bus to receive messages from publishing applications connected to the WebSphere MQ network. The same publish/subscribe bridge allows subscribing applications connected to the WebSphere MQ network to receive messages from publishing applications connected to the service integration bus.

If you want to specify service integration bus destination attributes for a WebSphere MQ queue, or if you want to control access to a WebSphere MQ queue from service integration bus applications, then you can define a foreign destination to represent the WebSphere MQ queue. If you want your service integration bus applications to use a different name for the WebSphere MQ queue then you can define an alias destination.

The WebSphere MQ link communicates with WebSphere MQ by using WebSphere MQ format and protocols. To identify a supported version of WebSphere MQ, see the Supported hardware and software web page at <http://www.ibm.com/support/docview.wss?rs=180&uid=swg27006921>.

### WebSphere MQ link sender

The WebSphere MQ link sender converts messages to WebSphere MQ format messages, and then sends them to a receiver channel on the WebSphere MQ gateway queue manager or (for WebSphere MQ for z/OS) queue-sharing group.

The WebSphere MQ link sender, which is part of the WebSphere MQ link, emulates the behavior of the sender channel in WebSphere MQ.

- You can define the attributes of the MQ link sender when you define the WebSphere MQ link. The foreign bus connection wizard helps you to do this.
- A number of MQ link sender and MQ link receiver attributes, which are grouped together on the same administrative console panel, are common. That is, the value you enter is used for both the sending and receiving ends of the link.

- If the initial state of the MQ link sender is set to Started, the MQ link sender starts when the WebSphere MQ link starts. When the WebSphere MQ link is set to Stopped, the MQ link sender stops.
- If an MQ link sender is stopped, the WebSphere MQ link becomes unavailable and messages are held in the service integration bus until the MQ link sender is started again.
- If the MQ link sender encounters a long reply-to destination name (that is, too long a name for WebSphere MQ to handle), it sends the message to an exception destination.
- Define an MQ link sender only if you want to send messages to a WebSphere MQ network. You do not need an MQ link sender if you want only to receive incoming messages.

On the WebSphere MQ link sender channel, there is a field called **Transport chain**. This field can take a value of OutboundBasicMQLink, which communicates with an unsecured channel, or OutboundSecureMQLink, which communicates with a secure SSL channel. The unsecured listener listens for inbound requests on port 5558 and the secure listener listens for inbound requests on port 5578.

### WebSphere MQ link receiver

The WebSphere MQ link receiver receives messages sent to a messaging engine over a WebSphere MQ link. The messages are sent from a sender channel on a WebSphere MQ gateway queue manager or (for WebSphere MQ for z/OS) queue-sharing group in a WebSphere MQ network to a WebSphere MQ link on a messaging engine.

The WebSphere MQ link receiver, which is part of the WebSphere MQ link, emulates the behavior of the receiver channel in WebSphere MQ.

- You can define the attributes of the MQ link receiver when you define the WebSphere MQ link. The foreign bus connection wizard helps you to do this.
- A number of MQ link sender and MQ link receiver attributes, which are grouped together on the same administrative console panel, are common. That is, the value you enter is used for both the sending and receiving ends of the link.
- The MQ link receiver communicates with a WebSphere MQ sender channel on the gateway queue manager or queue-sharing group, and converts messages in WebSphere MQ format to messages in service integration format.
- If the initial state of the MQ link receiver is set to Started, the MQ link receiver starts when the WebSphere MQ link starts, which means it is available when senders connect to it. When the WebSphere MQ link is set to Stopped, the MQ link receiver stops.
- An MQ link receiver can choose to balance inbound messages across partitioned destinations. To read about workload balancing, see “Workload sharing with queue destinations” on page 503
- If an MQ link receiver is stopped, the WebSphere MQ link becomes unavailable and messages are held on the transmission queue on the WebSphere MQ gateway queue manager or queue-sharing group in the WebSphere MQ network.
- Define an MQ link receiver only if you want to receive messages from a WebSphere MQ network. You do not need an MQ link receiver if you want only to send outgoing messages.

### Point-to-point messaging with a WebSphere MQ network

The WebSphere MQ link, defined on a messaging engine in the service integration bus, describes the attributes required to connect to, and send or receive messages to or from, a WebSphere MQ queue manager or (for WebSphere MQ for z/OS) queue sharing group that acts as a gateway to the WebSphere MQ network.

Point-to-point messaging might be:

- A request from WebSphere Application Server to WebSphere MQ, optionally followed by a WebSphere MQ reply.
- A request from a WebSphere MQ network, optionally followed by a WebSphere Application Server reply.

The following figure shows the flow of point-to-point messages across the WebSphere MQ link.

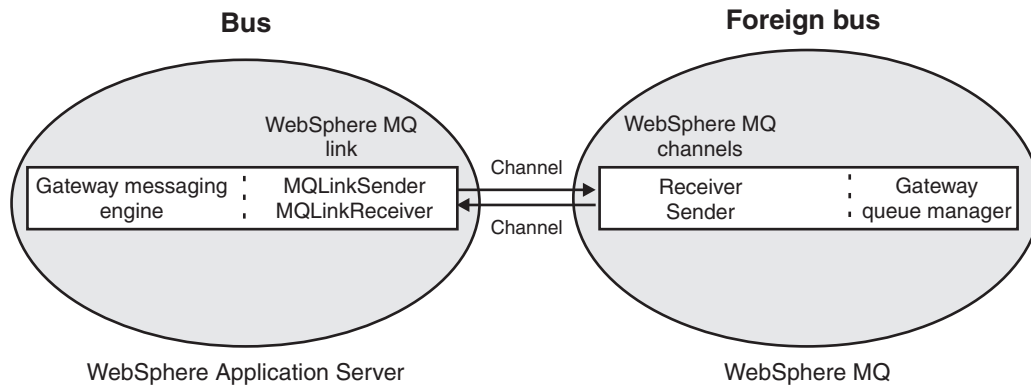


Figure 62. Exchanging messages between WebSphere MQ link sender and receiver channels, and a gateway queue manager with receiver and sender channels.

See “Request-reply messaging through a WebSphere MQ link” on page 306 for more information about the reply messages transmitted across the WebSphere MQ link.

Point-to-point messaging might also include:

- A request from WebSphere Application Server through a WebSphere MQ network to another WebSphere Application Server, and a reply from that WebSphere Application Server, again through WebSphere MQ. For details of this two-stage messaging flow model, see “Messaging between two application servers through WebSphere MQ” on page 310.
- A request from a WebSphere MQ network through a WebSphere Application Server to another WebSphere MQ network, and a reply from that WebSphere MQ network, again through a WebSphere Application Server. For details of this two-stage messaging flow model, see “Messaging between two WebSphere MQ networks through an application server” on page 311.

The following figure shows how messages can be exchanged between applications and messaging engines that are on the same bus, as well as between the WebSphere MQ link and queue managers connected to the gateway queue manager in the WebSphere MQ network.

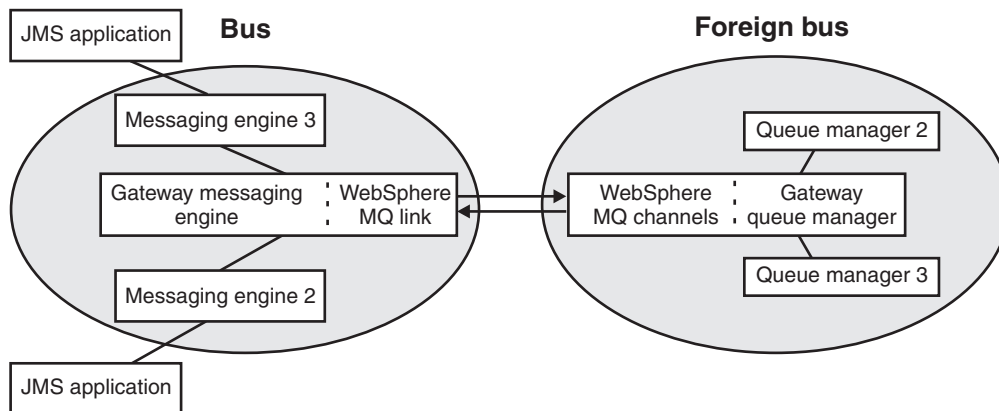


Figure 63. Exchanging messages between messaging engines on a bus that has a WebSphere MQ link that is connected to a gateway queue manager on a foreign bus.

## Notes:

- If your WebSphere Application Server application sends point-to-point messages to a WebSphere MQ application that is not JMS, such as a WebSphere MQ message-driven application in CICS (using the CICS MQ bridge) or IMS (using the IMS MQ bridge), then your WebSphere Application Server application has to use special techniques to ensure that the service integration messages (most likely JMS messages) are presented to the non-JMS application in a way that the application can understand. For more information, see Programming for interoperation with WebSphere MQ , “How service integration converts messages to and from WebSphere MQ format” on page 285, and `../ae/rjc_mqheader_proc.dita`, which describes WebSphere Application Server helper classes that assist in the creation of suitable headers and body content.
- Some WebSphere MQ applications can process messages that include an MQRFH2 header (generally these are JMS or XMS or WebSphere MQ Version 7 applications) and some applications cannot do so (generally these are WebSphere MQ applications that predate the MQRFH2 header). You must set the destination context to inhibit adding an MQRFH2 header when messages are destined for a WebSphere MQ application that cannot handle this header. For information about setting the destination context, see Specifying whether messages are forwarded to WebSphere MQ as JMS messages. The MQRFH2 header contains fields unique to the service integration bus. For details of these fields, see `../ae/rjc0010_.dita`.
- Any WebSphere MQ queue name is also valid as a bus destination name and, as a general rule, you should configure a bus destination that is a WebSphere MQ queue to use the WebSphere MQ queue name. If your bus applications have to use a different name, you can achieve this by using an alias destination.
- WebSphere MQ channel or conversion exits (for example, for data conversion) are not supported by the WebSphere MQ link.

## Publish/subscribe messaging through a WebSphere MQ link

On a WebSphere MQ link, you can set up publish/subscribe messaging between WebSphere Application Server and the WebSphere MQ publish/subscribe function, or WebSphere Message Broker, or WebSphere Event Broker.

The following product versions provide publish/subscribe capability that you can use with WebSphere Application Server over a WebSphere MQ link:

### WebSphere MQ Version 7

Provides publish/subscribe function that is integrated into WebSphere MQ queue managers. This capability is called integrated publish/subscribe. The publish/subscribe capability for earlier versions of WebSphere MQ is called queued publish/subscribe, because you communicate with a separate publish/subscribe broker by means of messages placed on queues.

### WebSphere Message Broker Version 6

Provides a separate publish/subscribe broker for queued publish/subscribe. This version of queued publish/subscribe uses MQRFH2 message headers. If you are using WebSphere MQ Version 6, you can use WebSphere Message Broker Version 6 to provide publish/subscribe function that interoperates over a WebSphere MQ link with WebSphere Application Server. From WebSphere Message Broker Version 7, this product no longer provides a separate publish/subscribe broker, and all topic-based publish/subscribe operations made through the product use WebSphere MQ facilities.

### WebSphere Event Broker

Provides a separate publish/subscribe broker for queued publish/subscribe. This version of queued publish/subscribe is the same as that in WebSphere Message Broker Version 6.

WebSphere MQ Version 6 (except Version 6 of WebSphere MQ for z/OS) provides queued publish/subscribe that is implemented by a publish/subscribe broker within WebSphere MQ. However, the publish/subscribe function provided by WebSphere MQ Version 6 uses MQRFH message headers, also

known as MQRFH1 message headers, and it does not support the MQRFH2 message headers that the WebSphere Application Server publish/subscribe bridge uses on the WebSphere MQ link. For WebSphere MQ Version 6 publish/subscribe to interoperate with WebSphere Application Server publish/subscribe over a WebSphere MQ link, you must use a separate message broker product that supports MQRFH2 message headers. Alternatively, instead of using a WebSphere MQ link, you can interoperate using the WebSphere MQ messaging provider, so that your applications use WebSphere MQ publish/subscribe function and do not use the service integration bus. This requirement also applies to earlier versions of WebSphere MQ where publish/subscribe function is provided by SupportPac MA0C.

Message headers and contents are mapped in the same way for both point-to-point messages and publish/subscribe messages. For more information about the mapping of messages see “How service integration converts messages to and from WebSphere MQ format” on page 285.

### **Publish/subscribe bridge on a WebSphere MQ link**

A publish/subscribe bridge enables publish/subscribe messaging between WebSphere Application Server and WebSphere MQ through a WebSphere MQ link. The publish/subscribe bridge provides a connection between the publish/subscribe function of a service integration bus and the publish/subscribe function of a WebSphere MQ network.

When you use WebSphere MQ integrated publish/subscribe, the publish/subscribe bridge can connect as a subscriber or publisher to queue managers in the WebSphere MQ network:

- To act as a subscriber, the publish/subscribe bridge connects to a WebSphere MQ queue manager with a durable subscription to the relevant topic, and so receives messages when they are published on that topic. The publish/subscribe bridge then passes the messages to subscribers connected to a service integration bus in WebSphere Application Server. These subscribers might be applications running in WebSphere Application Server, or they might be bus clients running in Java Platform, Standard Edition (Java SE) or third party application servers.
- To act as a publisher, the publish/subscribe bridge subscribes to messages that applications have published to a service integration JMS topic destination in WebSphere Application Server. The publish/subscribe bridge then publishes the messages on the relevant topic in WebSphere MQ, and the WebSphere MQ queue manager distributes the messages to the subscribers in the WebSphere MQ network. Service integration can also send the messages to other subscribers that are connected to the service integration bus in WebSphere Application Server.

The publish/subscribe bridge acts in the same way if you use a queued publish/subscribe capability provided by a compatibility interface within WebSphere MQ Version 7, or by a separate message broker product. The publish/subscribe bridge attaches to the publish/subscribe broker as either a subscriber or a publisher, and receives messages from the message broker or publishes them to the message broker. The message broker distributes published messages to its subscribers in the WebSphere MQ network.

If communication between the two ends of the publish/subscribe bridge stops, messages are held until communication is reestablished by the system or by the administrator. If you are using a separate message broker product, the messages might be held on the input queues for the broker, if the broker is not available, or on the transmission queue for WebSphere MQ, if WebSphere MQ is not available.

The publish/subscribe bridge consists of the broker profiles and topic mappings that you have defined on the WebSphere MQ link:

- A broker profile defines a connection to a single WebSphere MQ queue manager or separate publish/subscribe broker. For more information about broker profiles, see “Broker profile on a WebSphere MQ link” on page 303.
- A topic mapping defines how messages on a particular topic flow between the two ends of the publish/subscribe bridge. For more information about topic mappings, see “Topic mapping on a WebSphere MQ link” on page 303.



## Broker profile on a WebSphere MQ link

A broker profile on a WebSphere MQ link defines a connection through a WebSphere MQ link to a WebSphere MQ queue manager, for the purpose of publish/subscribe messaging with a WebSphere MQ network.

A broker profile applies to the connection between WebSphere Application Server and a single WebSphere MQ queue manager or separate publish/subscribe broker. It contains the following information:

- The name of the WebSphere MQ link.
- The name of the service integration bus in WebSphere Application Server.
- The name of the messaging engine in WebSphere Application Server.
- The name of the queue manager in WebSphere MQ. This queue manager does not have to be the same as the WebSphere MQ gateway queue manager, provided that it can be reached from the WebSphere MQ gateway queue manager. If you are using a separate publish/subscribe broker, this queue manager is the WebSphere MQ queue manager to which the message broker is connected. You must ensure that the service integration bus has sufficient authority to send subscription requests to the queue manager or separate publish/subscribe broker.

You can define multiple broker profiles on a single WebSphere MQ link, to connect to multiple queue managers in the WebSphere MQ network.

You define one or more topic mappings for a broker profile. A topic mapping links a specific topic in the WebSphere Application Server service integration bus with its equivalent in the WebSphere MQ network. For more information about topic mappings, see “Topic mapping on a WebSphere MQ link.”

The broker profiles, along with their topic mappings, form a publish/subscribe bridge with the WebSphere MQ network. The publish/subscribe bridge connects as a subscriber to receive messages from the WebSphere MQ network and pass them to applications in WebSphere Application Server, and it connects as a publisher to publish messages on topics in the WebSphere MQ network. For a description of how the publish/subscribe bridge operates, see “Publish/subscribe bridge on a WebSphere MQ link” on page 302.

## Topic mapping on a WebSphere MQ link

A topic mapping is an association that defines which messages published in WebSphere Application Server or the WebSphere MQ network, should be forwarded to the other publish/subscribe system.

You define one or more topic mappings for a broker profile. The broker profile defines the connection between WebSphere Application Server and a WebSphere MQ queue manager or separate publish/subscribe broker. The topic mapping links a specific topic in the WebSphere Application Server service integration bus with its equivalent in the WebSphere MQ network.

When you define a topic mapping, you choose if messages are to flow from WebSphere MQ to WebSphere Application Server, or from WebSphere Application Server to WebSphere MQ, or if the flow is two-way, or bidirectional. If a topic mapping is bidirectional, a message is safeguarded from being continually republished on alternating sides of the publish/subscribe bridge.

In your topic mapping, the topic name and its position in the hierarchy (or tree) of topics must be the same in WebSphere Application Server and in the WebSphere MQ network. You can use wild cards, as described in “Wild cards in topic mapping” on page 304. For example, if you set up a topic mapping for "stock/IBM" with a direction from the WebSphere Application Server service integration bus to WebSphere MQ, the publish/subscribe bridge subscribes to the topic "stock/IBM" in WebSphere Application Server, and receives the messages published to the topic. The publish/subscribe bridge then publishes the messages to the topic "stock/IBM" in the WebSphere MQ network.

Messages published by a service integration JMS client are transferred to a WebSphere MQ network if an appropriate topic mapping has been created. This is presented to the WebSphere MQ network as only the topic name, for example, "sports/football". A suitably configured WebSphere MQ JMS application can use

this information to publish further information to the same topic, but the original WebSphere Application Server JMS application receives these messages only if appropriate topic mapping has been configured.

If you delete a WebSphere MQ link, you must first unsubscribe and delete your topic mappings, to ensure there are no outstanding subscriptions in WebSphere Application Server or the WebSphere MQ network. For the process to unsubscribe, see *Preparing to remove a foreign bus connection between a service integration bus and a WebSphere MQ network*.

### ***Wild cards in topic mapping:***

Wild cards can be used in topic mapping on a WebSphere MQ link for publish/subscribe messaging, but there are differences between wild cards in WebSphere Application Server service integration, and wild cards in a WebSphere MQ network.

Publish/subscribe messaging in the WebSphere MQ network uses wild cards # and +. These wild cards are represented as /. and \* respectively in the service integration bus. However, the publish/subscribe bridge on the WebSphere MQ link supports only the /. wild card, and only at the end of the topic. For example, stock//. in the service integration bus is equivalent to stock/# in the WebSphere MQ network, meaning all messages with "stock" at the beginning of the topic.

When you use wild cards in the topic mappings that you enter on the WebSphere MQ link administrative console panels, you must use the publish/subscribe bridge symbols, not the equivalent WebSphere MQ network symbols. The publish/subscribe bridge handles conversion automatically.

### **Publish/subscribe messaging through a WebSphere MQ link: example**

A publish/subscribe bridge over a WebSphere MQ link enables subscribers on the service integration bus in WebSphere Application Server to receive the same published messages as subscribers in a WebSphere MQ network. The broker profile in WebSphere Application Server allows these two separate publish/subscribe domains to appear as a single entity.

Imagine that there are two businesses "GolfStats Inc." and "FootballFansData Inc." that each provide a results and news service for different types of sporting event. Both pay third parties to collect sports information (for golf and football respectively) and publish this data to their IT systems. GolfStats and FootballFansData then charge members of the public a monthly fee in exchange for an application that runs on a desktop computer, which pops up the results as they become available.

GolfStats also use their IT system to host a website and run other business applications, so their IT systems are based on WebSphere Application Server and the service integration bus. However, FootballFansData do not have any other business applications, and they use WebSphere MQ messaging for their publish/subscribe requirements.

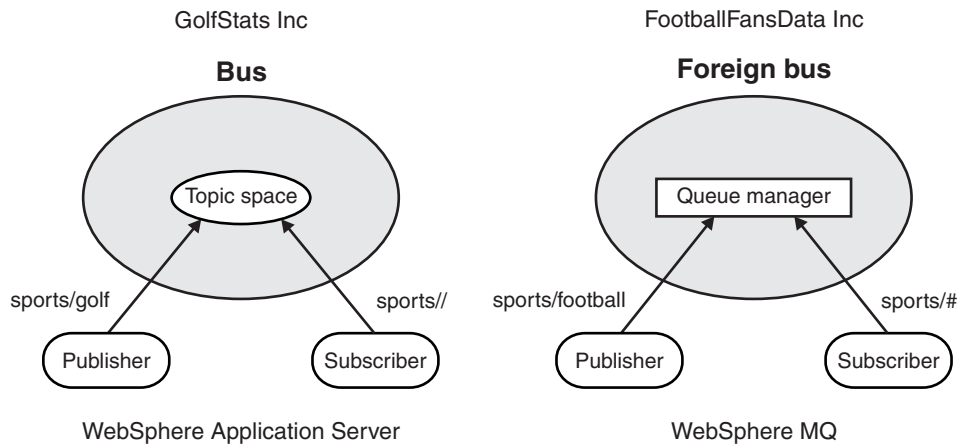


Figure 64. Two separate businesses that publish information to their respective audiences.

Figure 1 shows two separate businesses. GolfStats Inc has a third party that connects to their IT systems when a result becomes available and publishes information to a topic space on the topic "sports/golf", which is received by the subscribers subscribing to "sports//". (//. in the syntax used by the publish/subscribe bridge indicates all sports information). Publish/subscribe messaging in GolfStats Inc is handled by a service integration bus.

Similarly a third party supplier for FootballFansData Inc publishes information to the WebSphere MQ network on the topic "sports/football", which is received by a subscriber application subscribing to "sports/#" (WebSphere MQ syntax for all sports information). Publish/subscribe messaging in FootballFansData Inc is handled by a WebSphere MQ queue manager, which would be viewed by WebSphere Application Server as a foreign bus, although the two systems are not currently connected.

Recently GolfStats and FootballFansData have merged, and the new management want to join the existing IT systems together in order to provide information about golf and football to both sets of customers. One option is to migrate all FootballFansData's IT systems to use the service integration bus. However, this approach requires significant capital investment, as well as upgrading the third party and customer application code to be able to connect to the system. A simpler alternative is to bridge between the two systems by using the WebSphere MQ link and a broker profile.

The businesses take the following actions to bridge between the two systems:

1. Identify a WebSphere MQ queue manager or (for WebSphere MQ for z/OS) queue-sharing group, named (for example) QM\_GATEWAY on the FootballFansData system, that will act as the gateway to connect to the WebSphere MQ network.
2. Configure a Foreign bus connection for the GolfStats service integration bus to enable messages to be exchanged between the bus and the WebSphere MQ network.
3. Define a broker profile on the WebSphere MQ link that states the name of the queue manager in the WebSphere MQ network where the messages are published, named QM\_TWO in this example.
4. Define a topic mapping associated with the broker profile to allow publications to flow between the service integration bus and the WebSphere MQ network. The mapping will be bidirectional on a topic of "sports//.", which allows all publications in the sports branch of the topic hierarchy to be transferred.

When these tasks have been completed, and the application server that hosts the GolfStats service integration bus has been restarted, messages begin to flow between the two systems. This enables the FootballFansData customers to receive information about golf, and the GolfStats customers to receive information about football. The diagram below shows the logical path of a "golf" message published into

the GolfStats IT system being received by a subscriber on the FootballFansData system.

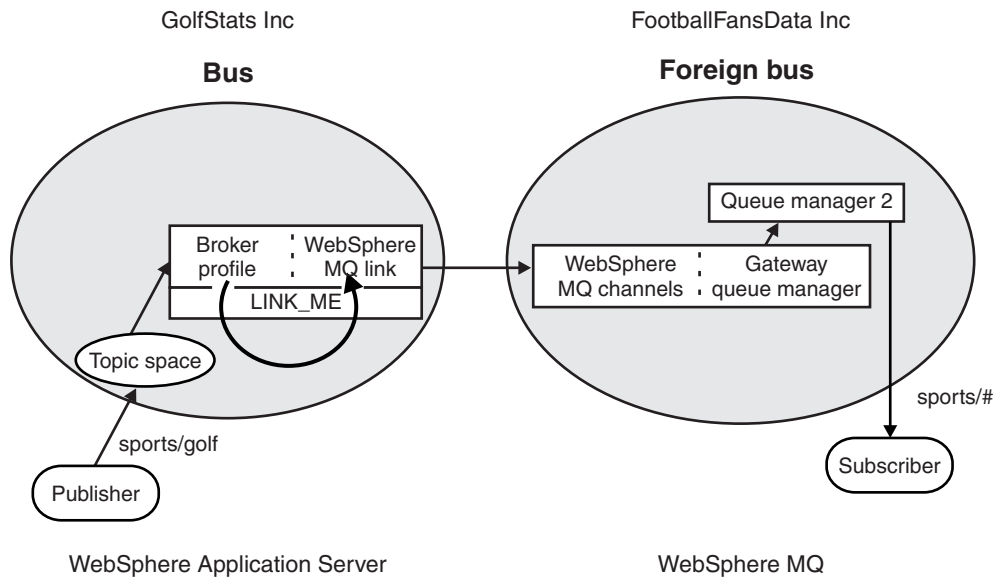


Figure 65. Two linked businesses with one of them publishing to the other.

If GolfStats used the same topic space to publish information on the topic “business/financials” for internal consumption by staff, then these messages would not be routed to the WebSphere MQ network of FootballFansData because a topic mapping has not been created for this topic. This ensures that the GolfStats team can limit the people who are able to receive these messages to people authorized to do so on the GolfStats system, and avoid unnecessary message traffic between the two systems.

## Request-reply messaging through a WebSphere MQ link

When a JMS producer sends a message, it can provide a reply-to destination. The reply-to destination is a JMS destination defined using the producer's messaging provider. This style of messaging is known as request-reply, or request and response. Request-reply messages can be exchanged across the WebSphere MQ link as either point-to-point or publish/subscribe messages.

The following combinations are possible for request-reply exchanges:

1. Publication messages (for publish/subscribe messaging).
  - a. Queue type reply-to destination.
  - b. Topic type reply-to destination. This can be a permanent reply-to topic, which is defined to the publish/subscribe bridge on the WebSphere MQ link, or a temporary topic reply, where the topic name is assigned at runtime.
2. Point-to-point messages.
  - a. Queue type reply-to destination.
  - b. Topic type reply-to destination. The reply-to destination must be a permanent reply-to topic; you cannot have a temporary topic reply for a point-to-point request message.

When the producer is a WebSphere Application Server application that uses the default messaging provider, the reply-to destination is a service integration JMS destination that typically either points to a service integration queue, or comprises a topic string and a service integration topic space.

- If the reply-to destination is a service integration queue, it is normally a queue in the same bus as the WebSphere Application Server application, so that the WebSphere Application Server application can consume the reply message from that reply-to queue.

- If the reply-to destination is a topic and the consumer is a WebSphere MQ program, you must configure the publish/subscribe bridge to ensure that the reply message can be routed back to the service integration bus so that the WebSphere Application Server application can receive it. Note that although WebSphere MQ JMS applications can reply to a topic, most other WebSphere MQ applications cannot.

When the producer is a WebSphere MQ JMS application, the reply-to destination is a WebSphere MQ JMS destination that typically either points to a WebSphere MQ queue or is a topic string.

- If the reply-to destination is a WebSphere MQ queue, it is normally a queue in the queue manager, or shared queue in the queue-sharing group, that the WebSphere MQ application is using, so that the WebSphere MQ application can consume the reply message from that reply-to queue.
- If the reply-to destination is a topic and the consumer is a WebSphere Application Server application, you must configure the publish/subscribe bridge to ensure that the reply message can be routed back to WebSphere MQ so that the WebSphere MQ application can receive it.

### Reply-to queues for request-reply messaging through a WebSphere MQ link

Reply-to queues indicate to a receiving application where a reply should be sent. You can use reply-to queues for point-to-point request messages (queues) and for publish/subscribe request messages.

The reply-to queue might be a predefined queue or a dynamically created temporary or permanent queue. If it is a dynamic queue, then it might have a unique name that is generated by WebSphere MQ. WebSphere Application Server messaging technology has the same concept of a temporary queue for replies, and generates a queue name of up to 48 characters to comply with the queue-name length limitation for WebSphere MQ.

Deciding to use reply-to queues is part of application design (see *Designing an application for interoperation with WebSphere MQ*). Your sending application must contain a definition of where replies are to be sent and attach this information to its messages. The replying application uses this data in the received message to discover the name of the queue to which to reply.

There are two fields in the JMS API that are used for sharing information about the destination to which a message is sent (**JMSDestination**) and the destination to which replies should be sent (**JMSReplyTo**). The **JMSReplyTo** field allows a response message to be returned if required. It contains enough detail for the receiving application to send a response message to the intended queue or topic so that it can be read by an application associated with the sender of the request. The **JMSReplyTo** field of a JMS message passing from a service integration bus to WebSphere MQ (or from WebSphere MQ to a service integration bus) is automatically mapped so that a consuming application in WebSphere MQ can reply to the original WebSphere Application Server application.

### Reply-to topics for request-reply messaging through a WebSphere MQ link

WebSphere Application Server and WebSphere MQ JMS applications can publish messages to a topic space with a reply-to topic. Applications in the other network can receive the message, obtain the reply destination, and publish a message on the reply topic. Topic type replies cross the WebSphere MQ link through the publish/subscribe bridge.

Topic type replies are of two varieties:

1. Permanent reply-to topics.
2. Temporary topic replies.

Topic type reply-to destinations cannot be used with most WebSphere MQ applications. WebSphere MQ JMS applications handle them correctly, but MQI applications do not.

### Permanent reply-to topics

For a reply message published to a permanent topic to cross between WebSphere Application Server and a WebSphere MQ network, the administrator must define an appropriate topic mapping for the reply topic

on the publish/subscribe bridge for the WebSphere MQ link. The topic mapping defines the topic name and specifies whether messages are to flow from WebSphere MQ to WebSphere Application Server, or from WebSphere Application Server to WebSphere MQ, or if the flow is two-way, or bidirectional.

For example, a WebSphere MQ JMS application is publishing messages on the topic "myTopic" in the WebSphere MQ network. The messages have a reply topic of "myReplyTopic". A WebSphere Application Server JMS application needs to receive the messages and publish replies to the reply topic. For this exchange of messages, you must specify two topic mappings on the publish/subscribe bridge:

- A topic mapping to make the publish/subscribe bridge subscribe to "myTopic" in the WebSphere MQ network. With this topic mapping, when the WebSphere MQ JMS application publishes messages to "myTopic", the messages are sent over the WebSphere MQ link, translated into the correct format, and delivered to the publish/subscribe bridge subscriber queue. There, they are processed and then sent on to the topic space as specified in the publish/subscribe topic mapping. The WebSphere Application Server JMS application receives the messages from the topic space.
- A topic mapping to make the publish/subscribe bridge forward messages published to "myReplyTopic" in WebSphere Application Server to the WebSphere MQ network. With this topic mapping, when the WebSphere Application Server JMS application publishes reply messages to "myReplyTopic" in WebSphere Application Server, the publish/subscribe bridge sends them to the WebSphere MQ network, where they are also published to "myReplyTopic".

### Temporary topic replies

For a temporary topic reply message to cross between WebSphere Application Server and a WebSphere MQ network, you do not define a separate topic mapping. A temporary topic name is assigned at runtime, and the reply message is automatically routed between WebSphere Application Server and a WebSphere MQ network by the publish/subscribe bridge.

However, for temporary topic reply messages to be routed from the service integration bus back to WebSphere MQ through the publish/subscribe bridge, you must configure the broker stream queue of the topic mapping on which the request message is sent. The broker stream queue is the queue where the messages are published. This field will already be specified for bi-directional topic mappings. Although this field is not mandatory for "From MQ" topic mappings, it must be completed if you want temporary topic reply messages to be routed.

Temporary topic replies are only supported to publication messages. Point-to-point request messages with temporary topic reply destinations are not supported.

### Strict message ordering using the strict message ordering facility of the WebSphere Application Server default messaging provider

Strict message ordering can be achieved when deploying message driven bean applications to the WebSphere MQ messaging provider for WebSphere Application Server when no special facilities have been coded into the application to handle messages arriving out of order by using the strict message ordering facility of the WebSphere Application Server default messaging provider.

The following assumptions have been made in this scenario:

- The message-driven bean (MDB) application is transactional.
- The back-out threshold (**BOTHRESH**) on the WebSphere MQ queue has been set to 0.

### Configuration for ordered delivery

- A service integration bus, with a WebSphere MQ link between the WebSphere MQ queue manager hosting the queue and the bus.
- If a mixture of persistent and nonpersistent messages might be sent within an ordered sequence, you must set the non-persistent message speed (**NPMSPEED**) on the WebSphere MQ sender channel to **NORMAL**.

- You must configure a destination in the bus with the **Strict message ordering** option selected, which the MDB application consumes from through a default messaging provider activation specification.
- You must replace the local queue definition with a remote queue definition within WebSphere MQ, so that messages that are sent to the destination queue are forwarded over the WebSphere MQ link to the bus.

**Note:** This configuration is just one possible option for configuring queue name resolution within the queue manager to forward messages over the link.

### Important information about this configuration

- This deployment option combines the message ordering capabilities of WebSphere MQ (which include when sending over a channel) with the additional messages ordering facilities provided by the default messaging provider for WebSphere Application Server (which prevent out of order delivery in transaction recovery scenarios).
- This deployment option is complex as it requires planning, and runtime administration, of a bus topology in addition to a WebSphere MQ topology.
- It also adds internal complexity as messages are converted automatically between the low level WebSphere MQ and default messaging provider formats as they travel over the WebSphere MQ link.

### Circumstances in which messages can arrive out of order

There are no circumstances in which this deployment is expected to cause messages to be delivered out of order.

### Considerations for clustered deployment

- Ordered delivery from the bus destination to the MDB is enforced automatically in a clustered environment when the **Strict message ordering** option is selected for the destination.
- The main consideration for a clustered environment is establishing high availability of the WebSphere MQ link between the queue manager and the bus. For more information about the options available for ensuring that the connection to a failover WebSphere Application Server gateway messaging engine is reinstated, see “High availability of messaging engines that are connected to WebSphere MQ” on page 524.

### Securing connections to a WebSphere MQ network

Connections between a WebSphere Application Server and a WebSphere MQ network can use the Secure Sockets Layer (SSL) protocol to increase the confidentiality and integrity of messages transferred between a messaging engine on a service integration bus and WebSphere MQ.

By default, new application servers are configured to accept inbound WebSphere MQ connections through two inbound transport chains. To read about inbound transport chains, see “Inbound transport options” on page 441. One of these chains is configured to accept SSL-based connections, making it possible to configure a sender channel in the WebSphere MQ network to connect through this channel chain and establish an SSL-based connection. For more information about securing WebSphere MQ sender channels, see the *Security* section of the WebSphere MQ information center. All WebSphere MQ interoperation resources hosted by an application server can be contacted by all inbound WebSphere MQ transports defined to that server, so you should restrict the inbound transports that are enabled. This is important because the default application server configuration has definitions for inbound WebSphere MQ transports that are not secured using SSL. For more information, see “Secure transport configuration requirements” on page 445).

When connecting a WebSphere Application Server to a WebSphere MQ queue manager or (for WebSphere MQ for z/OS) queue sharing group through a WebSphere MQ link sender channel definition, you might choose to secure the link through SSL. This is achieved by specifying a suitable transport chain for the **Transport chain** property of the WebSphere MQ link sender channel definition. The name of the

default SSL-based outbound transport chain suitable for securing a WebSphere MQ link sender channel is `OutboundSecureMQLink`. For more information, see “Outbound transport options” on page 443.

## Messaging between two application servers through WebSphere MQ

You can use WebSphere MQ links to send a WebSphere Application Server message from one application server to another through a WebSphere MQ network.

You can exchange messages between two application servers through an intermediate WebSphere MQ network, as shown in the following figure:

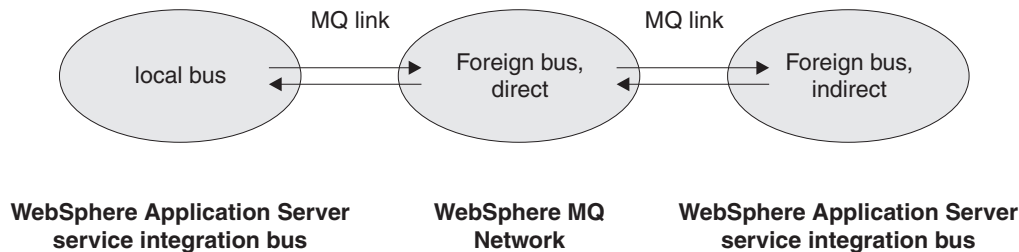


Figure 66. Exchanging messages between two application servers through an intermediate WebSphere MQ network.

In this case, the WebSphere MQ network includes two gateway queue managers. One connects to the local bus by using a WebSphere MQ sender-receiver pair of message channels, known to the local bus as a WebSphere MQ link. The other connects to the indirect foreign bus by using another WebSphere MQ sender-receiver pair of message channels, known to the indirect foreign bus as a WebSphere MQ link. In the simplest case, the same gateway queue manager connects to both the local bus and the indirect foreign bus.

The WebSphere MQ network must be configured to route messages as required between the local bus and the indirect foreign bus. Details of this configuration are not normally important to WebSphere Application Server administrators, but can be found in *WebSphere MQ Intercommunication*.

Configuration and operation of messaging between two service integration buses through an intermediate WebSphere MQ network is much more straightforward if you choose bus names that comply with WebSphere MQ queue manager naming restrictions, and if you choose bus destination names that comply with WebSphere MQ queue naming restrictions:

- Queue managers in the WebSphere MQ network “see” the local bus and the indirect foreign bus as queue managers, and refer to them by their virtual queue manager names. If the service integration bus names comply with WebSphere MQ restrictions for queue manager names, the virtual queue manager name that WebSphere MQ uses can (and should) be the same as the bus name used by service integration.

If the virtual queue manager name that WebSphere MQ uses for a foreign bus is not the same as the service integration bus name used by that foreign bus, the local bus must define the foreign bus by the virtual queue manager name of that foreign bus, not the actual service integration bus name (because the intermediate WebSphere MQ network does not know the actual service integration bus name and cannot route messages directed to that name). Reply-to destinations can always use the local bus name, because the WebSphere MQ link automatically substitutes the virtual queue manager name when passing the message to the WebSphere MQ network.

- While messages are being transported through the WebSphere MQ network, WebSphere MQ treats the names of service integration queue type destinations as WebSphere MQ queue names. This means that WebSphere MQ cannot transport service integration destination names that do not comply with WebSphere MQ queue name restrictions correctly.



If the target destination name does not comply with WebSphere MQ queue name restrictions, the local bus must define an alias destination that maps the actual bus destination name to a name that does comply with WebSphere MQ queue name restrictions. Alternatively, applications on the local bus can use the WebSphere MQ-compliant name instead of the actual bus destination name.

In either case, the remote bus must define an alias destination that maps the WebSphere MQ-compliant name to the actual bus destination name. If the reply-to destination name does not comply with WebSphere MQ queue name restrictions, applications on the local bus must use a WebSphere MQ-compliant name instead of the actual bus destination name. The local bus must define an alias destination that maps the WebSphere MQ-compliant name to the actual bus destination name.

While messages are being transported through the WebSphere MQ network, important context information is transported in the MQRFH2 header. You must configure the application so that the MQRFH2 header is included.

Messages with topic style reply-to destinations must have the appropriate publish/subscribe bridge topic mappings defined in the relevant direction so that reply messages can be transferred between a WebSphere MQ network and WebSphere Application Server. This is not automatic, as it is for messages with queue reply destinations.

## Messaging between two WebSphere MQ networks through an application server

You can use WebSphere MQ links to send a message from one WebSphere MQ network to another through a WebSphere Application Server application server.

You can exchange messages between two WebSphere MQ networks through an intermediate service integration bus, as shown in the following figure.

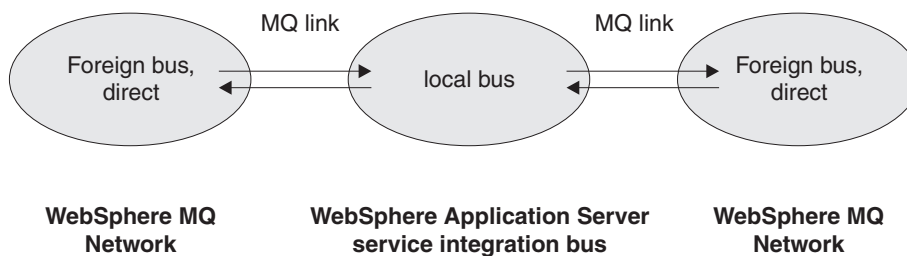


Figure 67. Exchanging messages between two WebSphere MQ networks through an intermediate application server.

Configuration and operation of messaging between two WebSphere MQ networks (buses) through an intermediate service integration bus is much simpler if you choose a service integration bus name that complies with WebSphere MQ queue manager naming restrictions:

- The queue managers in the WebSphere MQ networks “see” the intermediate service integration bus as a queue manager, and refer to it by the virtual queue manager name. Provided that the service integration bus name complies with WebSphere MQ restrictions for queue manager names, it is possible (and highly desirable) for the virtual queue manager name used by both WebSphere MQ networks to be the same as the bus name used by service integration.
- The service integration bus includes two gateway messaging engines, one connecting to each of the WebSphere MQ networks by using WebSphere MQ links (known to the WebSphere MQ gateway queue managers as a WebSphere MQ sender-receiver pair of message channels). The service integration bus must define the two WebSphere MQ networks as foreign buses with names the same as the WebSphere MQ names for the gateway queue managers.

Messages received by the service integration bus from one WebSphere MQ network and destined for another WebSphere MQ network specify both the target queue name and the target queue manager name. Service integration interprets the target queue manager name as a bus name. When the target queue manager is the gateway queue manager for the target WebSphere MQ network, service integration routes the message correctly. When the target queue manager is not the gateway queue manager for the target WebSphere MQ network, there are two options:

- In service integration, define the target queue manager as an indirect foreign bus, connected by the WebSphere MQ foreign bus defined with the name of the gateway queue manager.
- In service integration, define the target queue (destination name or identifier in service integration terminology) and queue manager (bus name in service integration terminology) combination with an alias destination that maps the combination to the target WebSphere MQ network (foreign bus in service integration terminology) with the destination name (identifier) in the form `target-queue-name@target-queue-manager-name`.

Messages received by the service integration bus from one WebSphere MQ network and destined for another WebSphere MQ network can include a reply-to queue. This is specified as the reply-to queue name and the reply-to queue manager name. When the service integration bus receives the message, the WebSphere MQ link replaces this reply-to information with a service integration bus destination comprising a bus name (which is the WebSphere MQ queue manager name of the gateway queue manager) and a destination name (identifier) of the form `reply-to-queue-name@reply-to-queue-manager-name`. This new reply-to information travels with the message to the receiving WebSphere MQ application. The combined length of the reply-to queue name, the "@" character, and the reply-to queue manager name must be less than or equal to the WebSphere MQ maximum queue name length of 48 characters.

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## Interoperation using a WebSphere MQ server

A WebSphere MQ server represents a WebSphere MQ queue manager or (for WebSphere MQ for z/OS) queue-sharing group. Service integration can communicate directly with a WebSphere MQ queue manager or queue sharing group where a WebSphere MQ queue is located. You can configure a service integration queue-type destination to add messages directly onto the WebSphere MQ queue, and retrieve messages directly from the queue.

For interoperation with WebSphere Application Server Version 7.0 or later, the version of WebSphere MQ must be WebSphere MQ for z/OS Version 6 or later, or WebSphere MQ (distributed platforms) Version 7 or later.

To configure WebSphere Application Server for this style of interoperation with WebSphere MQ, you define a WebSphere MQ server. This definition represents the WebSphere MQ queue manager or queue sharing group that hosts the queue which you want to access. The definition has cell scope. The same WebSphere MQ server definition works for all queues in the queue manager or queue sharing group.

Next, you add the WebSphere MQ server as a member of the service integration bus (or buses) that require access to the queues that the WebSphere MQ server hosts. If you have several service integration buses in your cell, you can add the same WebSphere MQ server as a bus member into more than one of these buses.

After you have done this, you can define queue-type destinations in the service integration bus so that service integration adds messages directly onto a WebSphere MQ queue located on the WebSphere MQ server, or retrieves messages directly from that queue, or both. To help you define your service integration destinations, you can (optionally) select the WebSphere MQ queue you want to use from a list which the administrative console gets directly from the WebSphere MQ queue manager or queue sharing group. This facility is called "queue discovery".

If you want to mediate a service integration queue-type destination, then you must define two queues within the destination. One is used to queue messages arriving at the destination ready for mediation; this

is called the mediation point. The other is used to queue messages after mediation is complete and the messages are ready to be consumed; this is called the queue point. Either the mediation point, or the queue point, or both, can be defined as WebSphere MQ queues (as previously described).

You can create and configure a WebSphere MQ server by using the administrative console or by using the wsadmin tool. If you use the administrative console, the server creation wizard can automatically discover resources in the WebSphere MQ network.

## Differences between a WebSphere MQ server and a WebSphere MQ link

With a WebSphere MQ link, you define a WebSphere MQ queue manager or queue-sharing group as a foreign bus. With a WebSphere MQ server, you define a WebSphere MQ queue manager or queue-sharing group as a member of the local service integration bus, and define queue-type destinations for each relevant WebSphere MQ queue.

When a WebSphere Application Server application sends a message to one of the queue-type destinations, service integration establishes a connection to the WebSphere MQ queue manager or queue sharing group where the queue is located, and requests WebSphere MQ to place the message directly on the queue. For a queue-type destination, service integration can connect to the WebSphere MQ network using either of the following methods:

- A call interface, or “bindings mode”. This method is only available when the application server is running in the same machine or logical partition (LPAR) as WebSphere MQ.
- A TCP/IP communication link, or “client mode”. This method is generally less efficient than the call interface, but it is available whether or not the application server is running in the same machine or logical partition (LPAR) as WebSphere MQ.

A WebSphere MQ link and a WebSphere MQ server have the following key differences:

### Support in WebSphere MQ

- With a WebSphere MQ link, you can interoperate with any supported version or release of WebSphere MQ, on any platform.
- With a WebSphere MQ server, you can only interoperate with WebSphere MQ for z/OS Version 6 or later, or WebSphere MQ Version 7 or later.

### Sharing connections

- With a WebSphere MQ link, all messages to the WebSphere MQ network travel over the same connection.
- With a WebSphere MQ server, each application uses its own connection to send messages.

In general, sharing the same network connection uses the network more efficiently.

### Connection mode

- With a WebSphere MQ link, all messages to the WebSphere MQ network travel over the TCP/IP network, regardless of where WebSphere MQ is running.
- With a WebSphere MQ server, service integration can use a call interface (“bindings mode”) if WebSphere MQ is running in the same machine or logical partition (LPAR).

In general, using a call interface is more efficient than using a TCP/IP network connection.

### Number of intermediaries

- With a WebSphere MQ link, depending on the configuration of your service integration bus and the WebSphere MQ network, the message might need to pass through several intermediate messaging engines or queue managers before it arrives at the queue. For example, service integration might need to pass the message from the application server where the messaging engine for the application is running, to a different application server where the gateway

messaging engine is running. Then the gateway queue manager might need to pass the message to a different queue manager where the queue is located.

- With a WebSphere MQ server, service integration passes the message directly from the application server where the messaging engine for the application is running to the WebSphere MQ queue manager where the queue is located.

Depending on your particular configuration, a lower number of intermediaries can give better performance.

### **Action when a WebSphere MQ queue is temporarily unavailable**

- With a WebSphere MQ link, service integration uses “store and forward” messaging. When the WebSphere MQ network is temporarily unavailable, service integration stores messages in the service integration bus for later transmission to WebSphere MQ when WebSphere MQ becomes available.
- With a WebSphere MQ server, service integration uses WebSphere MQ to put the messages directly on the WebSphere MQ queue. When the WebSphere MQ queue manager or queue sharing group is temporarily unavailable, this fails.

For some applications, “store and forward” messaging is an advantage because the application can proceed while the WebSphere MQ network is temporarily unavailable. However, other applications need to “know” that the message has been delivered before they can proceed, so they need to be notified of a queue failure.

### **Receiving messages from WebSphere MQ**

- With a WebSphere MQ link, you define a WebSphere MQ queue manager or queue-sharing group as a foreign bus. A WebSphere Application Server application cannot receive messages from a destination in a foreign bus. If you want messages to pass from WebSphere MQ to WebSphere Application Server applications over a WebSphere MQ link, WebSphere MQ applications must send the messages to a suitable destination in the service integration bus used by the WebSphere Application Server applications.
- With a WebSphere MQ server, you define a WebSphere MQ queue as a queue-type destination, and a WebSphere Application Server application can both send messages to that destination and receive messages from it.

### **Publish/subscribe messaging**

- With a WebSphere MQ link, you can set up a publish/subscribe bridge, so that WebSphere Application Server applications and WebSphere MQ applications can publish or subscribe to selected topics that exist in both the WebSphere MQ environment and the WebSphere Application Server environment.
- With a WebSphere MQ server, publish/subscribe messaging is not supported. A WebSphere MQ server provides connections directly to WebSphere MQ queues for point-to-point messaging, and a topic for publish/subscribe messaging cannot be represented as a WebSphere MQ server.

### **Mediations**

- With a WebSphere MQ link, mediations are not supported.
- With a WebSphere MQ server, mediations are supported. You can mediate a destination in a number of different ways, for example, by using a message broker flow to act as a mediation on a service integration destination. For more information about mediation scenarios, see “WebSphere MQ server and mediated exchange scenarios” on page 317

## **Exploiting WebSphere MQ for z/OS shared queues**

Because a WebSphere MQ server enables WebSphere Application Server applications to receive messages from WebSphere MQ queues, you can gain benefits by using a WebSphere MQ server to connect to a WebSphere MQ for z/OS queue sharing group. A WebSphere MQ link can connect

WebSphere Application Server applications to a queue sharing group, but the applications cannot realize the full benefits of shared queues because they cannot consume messages from them.

WebSphere MQ for z/OS queue sharing groups provide significant benefits through the use of shared queues. Multiple applications can send messages to and receive messages from the same shared queue by using different queue managers in the same queue sharing group. This gives the following advantages:

- The different applications (or different instances of the same application) compete to process messages on the same queue. An instance which is able to process messages more quickly -- perhaps because the instance is running on a more powerful or less heavily loaded processor -- automatically processes a higher proportion of the messages on the queue, giving better utilization of the available resources and better overall response times. This is called “pull workload balancing”.
- If one queue manager in a queue sharing group fails, applications can connect to a different queue manager and continue using the same shared queue. This provides superior availability for your applications. A special feature of queue sharing groups, called “peer level recovery”, handles the cases where an application receives a message from a shared queue but the queue manager fails before processing of the message is complete. Provided that the application is transactional, another queue manager in the same queue sharing group can return the message to the shared queue so that it can be processed without waiting for the failed queue manager to recover. Peer level recovery further enhances the availability of your applications.
- Queue sharing groups also allow service integration (or WebSphere MQ applications) to connect to the queue sharing group by using a single network address for the collection of queue managers in the queue sharing group. The connection is automatically redirected to a suitable queue manager in the queue sharing group, based on which queue managers are available, and which is able to provide the best response time. This feature enhances both the availability and the performance of your application.

You can provide these benefits to your service integration applications by defining service integration destinations on shared queues owned by a WebSphere MQ server that is a queue sharing group. The first of the following two diagrams shows a service integration messaging engine connecting to one queue manager (QM1) in a queue sharing group. The connection allows a service integration application to consume messages from a shared queue. Other service integration applications on the same or a different application server can use different connections (to the same or different queue managers -- QM2 or QM3 -- in the same queue sharing group) to consume messages from the same shared queue.

The second diagram shows that when a queue manager (QM1) in the queue sharing group is temporarily unavailable, service integration can connect to a different queue manager (QM2), allowing applications to continue processing messages from the queue.

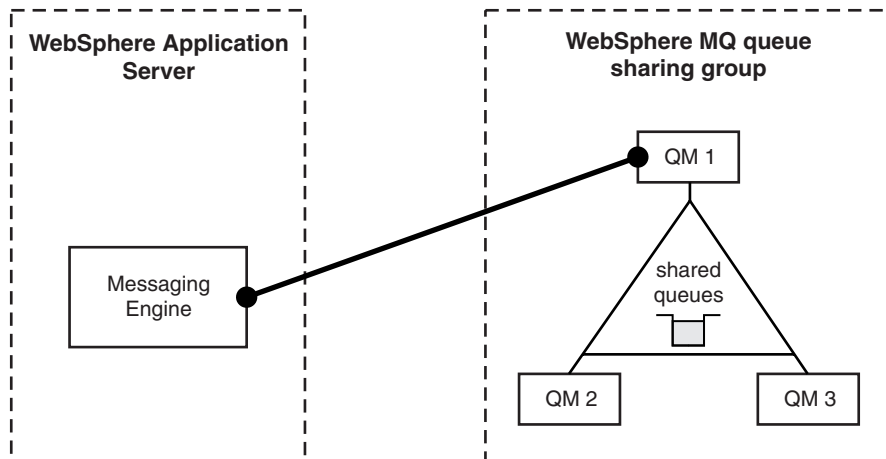


Figure 68. Connecting to a queue manager in a queue sharing group

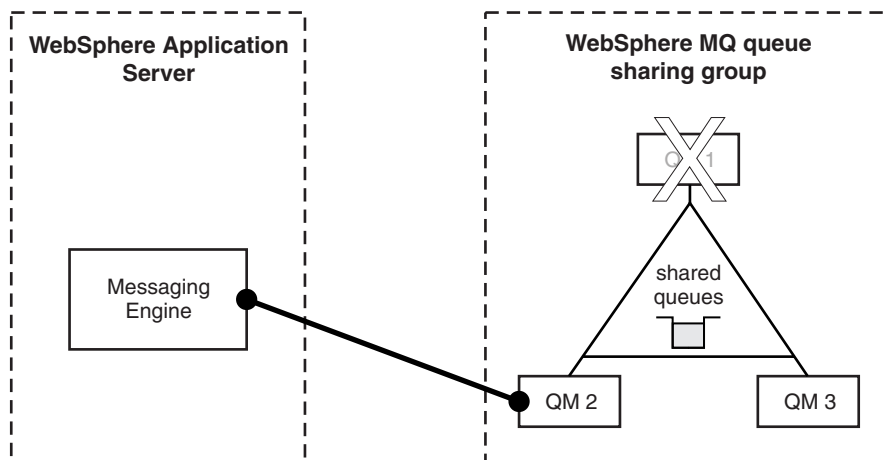


Figure 69. Connecting to a queue sharing group when a queue manager becomes unavailable

## WebSphere MQ queue points and mediation points

A WebSphere MQ queue point is used, by a bus destination on a WebSphere MQ server, to hold messages that are ready to be put onto a WebSphere MQ queue. If messages for the WebSphere MQ queue (or queue-sharing group) are processed by a mediation before being made available to WebSphere MQ, then the service integration destination uses a WebSphere MQ mediation point.

### WebSphere MQ queue point

A service integration queue-type destination includes one or more queue points. The destination can use these queue points to hold messages that are ready for a consumer to consume them. When you configure the destination on a bus member that is a WebSphere Application Server single server or cluster, these queue points are service integration message points. When you configure the destination on a bus member that is a WebSphere MQ server, the destination has a single queue point that is a WebSphere MQ queue and is called a WebSphere MQ queue point.

Message consumers, including message-driven beans, receive messages from a queue point. When the queue point is a WebSphere MQ queue point, message consumers receive the messages from the WebSphere MQ queue.

If there is no mediation associated with the destination, and the messages are not redirected to another destination or consumed by a message consumer, then message producers place messages on a queue point. If the queue point is a WebSphere MQ queue point, message producers place messages on the WebSphere MQ queue.

## WebSphere MQ mediation point

You can mediate a service integration queue-type destination. When you mediate a destination it is split into two parts called pre-mediated and post-mediated. The pre-mediated part comprises mediation points, the post-mediated part comprises queue points.

The mediation receives messages from the pre-mediated part. If the messages are not redirected to another destination or consumed by a message consumer, the mediation places messages on the post-mediated part. Messages on the post-mediated part are delivered to a message consumer.

When you mediate a destination on a bus member that is a WebSphere MQ server, the destination has a single mediation point that is a WebSphere MQ mediation point, and the post-mediated part is a single queue point that is a WebSphere MQ queue.

## WebSphere MQ server and mediated exchange scenarios

When you mediate a service integration bus destination, your mediation runs in a bus member and you specify a combination of mediation points and queue points to handle the messages that are mediated. When you interoperate with WebSphere MQ by using WebSphere MQ server, you can use one of several mediated exchange scenarios.

Queue-type destinations assigned to a WebSphere MQ server bus member can be mediated in the same way as destinations assigned to other bus members. In addition to the mediation task described in *Mediating a destination by using a WebSphere MQ queue as the mediation point*, WebSphere MQ server supports other mediation scenarios that you also set up by using the administrative console *Mediation wizard*.

**Note:** Although WebSphere MQ server extends the way in which queue-type destinations can be mediated, the way in which topic spaces are mediated does not change.

To mediate a service integration bus destination, you must specify a mediation point, a queue point and a mediation execution point:

### Mediation point

The location where messages are placed before they are mediated. It can be either a service integration bus member (an application server or a cluster) or a WebSphere MQ queue.

### Queue point

The location where messages are placed after they have been mediated. It can be either a service integration bus member (an application server or a cluster) or a WebSphere MQ queue. If there is a default forward routing path and the destination is a queue type destination, the queue point is unused. If the destination is a service type destination, the queue point is absent.

### Mediation execution point

The server where the mediation process runs. If the mediation point is a service integration bus member then the mediation execution point is the same bus member as the mediation point.

For more information, see “WebSphere MQ queue points and mediation points” on page 316.

WebSphere MQ server supports the following mediated exchange scenarios:

- Scenario 1: A WebSphere MQ mediation point and a service integration queue point. In this case, you must specify the mediation execution point.
- Scenario 2: A WebSphere MQ mediation point and a WebSphere MQ queue point. In this case, you can use a service integration mediation; you must specify the mediation execution point when you configure the mediation, as for scenario 1.
- Scenario 3: A service integration mediation point and a WebSphere MQ queue point. In this case, you do not have to specify the mediation execution point; WebSphere Application Server automatically allocates the bus member in which the mediation runs.
- Scenario 4: Alternatively, you can use a WebSphere MQ application or a WebSphere Message Broker flow to mediate the destination. In this case, the application or broker flow retrieves messages from the mediation point (which is a WebSphere MQ queue), mediates the messages, then places the mediated messages on the queue point (which is also a WebSphere MQ queue). You do not specify a mediation execution point when you configure the mediation; instead, you specify that there is an external mediation process.

For a queue point, message producers place messages on the queue point and consumers receive messages from the queue point. For a mediation point, message producers place messages on the mediation point. The messages are mediated then put on a post-mediation queue point. Consumers receive messages from the post-mediation queue point.

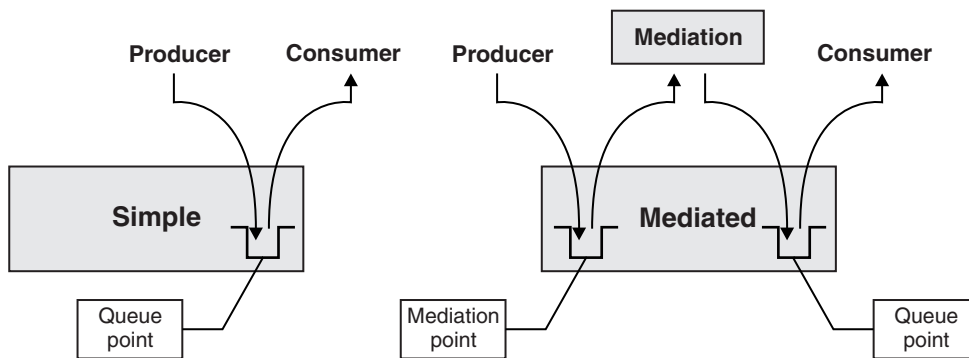


Figure 70. Queue-type destinations assigned to a service integration bus member. Queue points and mediation points are queues of service integration messages held in service integration

For a queue point, message producers place messages on the queue point and consumers receive messages from the queue point. If the queue point is a WebSphere MQ queue point, message producers place messages on the WebSphere MQ queue and consumers receive the messages from the WebSphere MQ queue. For a mediation point, message producers place messages on the mediation point (a WebSphere MQ queue). The messages are mediated, perhaps by an external WebSphere Message Broker flow, then put on a post-mediation queue point (another WebSphere MQ queue). Consumers receive messages from the post-mediation queue point.



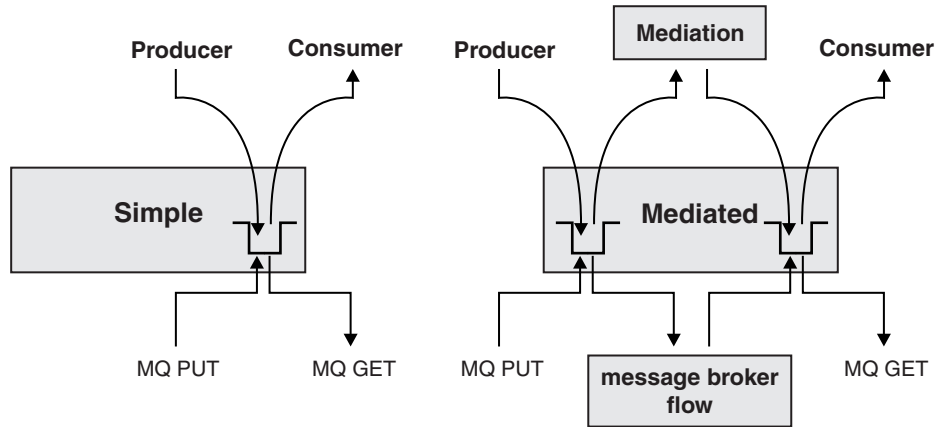


Figure 71. Queue-type destinations assigned to a WebSphere MQ server bus member. Queue points and mediation points can be queues of WebSphere MQ messages held in WebSphere MQ. A WebSphere Message Broker, or other WebSphere MQ application, can run mediations externally.

## Scenario 1

In this scenario, you want to mediate a conventional queue-type destination where the queue point is a service integration queue point, and assign a WebSphere MQ queue as the mediation point (the input side of the destination). As the mediation point is a WebSphere MQ queue, a queue point must also be specified.

Messages arriving at the WebSphere MQ queue are processed by the mediation running in an application server. When the messages have been processed by the mediation, they are placed onto the service integration queue point. The mediation itself runs in the service integration bus member that is assigned as the mediation point.

For this scenario, you must complete the following steps using the Mediate destination wizard. These example steps assume that the destination is assigned to a service integration bus member:

1. Navigate to the destinations collection panel for the bus that hosts the destination you want to mediate.
2. Select the queue-type destination that you want to mediate, then click **Mediate**. This starts the Mediate destination wizard.
3. Step 1: Select the mediation that you want to use to mediate the service integration destination.
4. Step 2: Select a WebSphere MQ server bus member to host the mediation point.
5. Step 3: Enter details of the WebSphere MQ queue that will be the mediation point.
6. Step 4: Select a bus member where you want the mediation code to run.
7. Step 5: Review the summary of changes you are about to make, then click **Finish**.

## Scenario 2

In this scenario, you want to mediate a WebSphere MQ queue type, with a WebSphere MQ queue point, and assign a WebSphere MQ queue as the mediation point (the input side of the destination). As the mediation point is a WebSphere MQ queue, a mediation execution point must also be specified.

Messages arriving at the destination are processed by the mediation, then placed on the WebSphere MQ queue. The mediation itself runs in the service integration bus member that is assigned as the mediation point.

For this scenario, you must complete the following steps using the Mediate destination wizard. These example steps assume that the destination is assigned to a WebSphere MQ server bus member:

1. Navigate to the destinations collection panel for the bus that hosts the destination you want to mediate.
2. Select the queue-type destination that you want to mediate, then click **Mediate**. This starts the Mediate destination wizard.
3. Step 1: Select the mediation that you want to use to mediate the service integration destination.
4. Step 2: Select a WebSphere MQ server bus member to host the mediation point.
5. Step 3: Enter details of the WebSphere MQ queue that will act as the mediation point.
6. Step 4: Select the service integration bus member where you want the mediation to run.
7. Step 5: Review the summary of changes you are about to make, then click **Finish**.

### Scenario 3

In this scenario, you want to mediate a WebSphere MQ queue type and assign a service integration mediation point.

Messages arriving at the destination are processed by the mediation, then placed on the WebSphere MQ queue. The mediation itself runs in the service integration bus member that is assigned as the mediation point.

For this scenario, you must complete the following steps using the Mediate destination wizard. These example steps assume that the destination is assigned to a WebSphere MQ server bus member:

1. Navigate to the destinations collection panel for the bus that hosts the destination you want to mediate.
2. Select the queue-type destination that you want to mediate, then click **Mediate**. This starts the Mediate destination wizard.
3. Step 1: Select the mediation that you want to use to mediate the service integration destination.
4. Step 2: Select the service integration bus member to host the mediation point. The mediation code also runs in this bus member.
5. Step 3: Review the summary of changes you are about to make, then click **Finish**.

### Scenario 4

In this scenario, you want to mediate a WebSphere MQ queue type destination and assign a WebSphere MQ queue as the mediation point (the input side of the destination).

The mediation of messages is performed by an external process. Messages arriving at the WebSphere MQ queue are processed by the external process, then placed by the external process on the WebSphere MQ queue-type destination.

For this scenario, you must complete the following steps using the Mediate destination wizard. These example steps assume that the destination is assigned to a WebSphere MQ server bus member:

1. Navigate to the destinations collection panel for the bus that hosts the destination you want to mediate.
2. Select the queue-type destination that you want to mediate, then click **Mediate**. This starts the Mediate destination wizard.
3. Step 1: Select an external process to use for mediating the destination.
4. Step 2: Enter details of the WebSphere MQ queue that you want to act as the mediation point.
5. Step 3: Review the summary of changes you are about to make, then click **Finish**.

## WebSphere MQ server: Connection and authentication

Each WebSphere MQ server definition includes the connection properties and authentication settings that service integration uses to connect to the associated WebSphere MQ queue manager or queue-sharing group, either for resource discovery or for messaging.

## Connection

Service integration connects to the WebSphere MQ network in the following situations:

- When, as part of the process of creating a WebSphere MQ server by using the administrative console, the automatic resource discovery process runs to capture resource information direct from WebSphere MQ. The wsadmin commands do not support automatic discovery of resources.
- When the WebSphere MQ server is used to pass messages between service integration and WebSphere MQ.

The connection access path is determined by the host, port, transport chain and WebSphere MQ connection channel that you specify when you create the WebSphere MQ server definition. You get this information from the WebSphere MQ system administrator. The connection access path is also affected by the connection mode that you specify:

- You can use client transport mode to establish a TCP/IP network connection between service integration and WebSphere MQ.
- If WebSphere Application Server and WebSphere MQ are co-located on the same system (or, for z/OS systems, on the same partition of the same system) it is more efficient to use bindings transport mode to connect between service integration and WebSphere MQ.

For more information about the mechanisms used to connect to WebSphere MQ for z/OS, see the *z/OS System Setup Guide* in the WebSphere MQ information center.

## Authentication

The WebSphere MQ system administrator will probably want service integration to authenticate with WebSphere MQ whenever it connects. This happens whenever message data needs to be exchanged with a queue point or a mediation point that is assigned to a WebSphere MQ server bus member, and when the automated resource discovery process runs while you are configuring a WebSphere MQ server by using the administrative console.

The WebSphere MQ system administrator might also want to set up two different user accounts on the WebSphere MQ system: one with only the privileges needed for resource discovery, and one with only the privileges needed for messaging. The WebSphere MQ server definition supports this requirement by allowing you to configure the MQ server with two authentication aliases, corresponding to these two accounts.

Authentication aliases are restricted to a maximum 12 characters in length, because the user ID that WebSphere MQ uses for checking the identity of new connections also has this restriction. If authentication aliases exceed 12 characters in length, they are truncated.

If you are using Resource Access Control Facility (RACF<sup>®</sup>) as the security manager on your WebSphere MQ for z/OS system, and using bindings transport mode, you must specify in uppercase characters the user names and passwords for authentication aliases. If you are using RACF and client transport mode, you can specify the user names and passwords in either upper or lowercase characters.

Where an authentication alias exists, the user name and password it contains are examined by WebSphere MQ by using a WebSphere MQ channel security exit. WebSphere MQ for z/OS provides a sample security exit CSQ4BCX3, which demonstrates how you can authenticate based on this information.

When messages are sent to WebSphere MQ for resource discovery, the MQPMO\_SET\_IDENTITY\_CONTEXT option is used. The credentials used to establish a messaging connection must have authority to assert this.

The connection mode you use for connecting to WebSphere MQ affects which credentials are used:

- For a client transport mode connection, the user ID and password from the authentication alias are used by WebSphere MQ. If an authentication alias is not specified in the WebSphere MQ server definition, WebSphere MQ is presented with an empty string for both the user ID and password.
- For a bindings transport mode connection, the credentials associated with the application server processes are used for authentication by WebSphere MQ. Therefore service integration instructs the application server processes to switch credentials and use the user ID and password that exist in the relevant WebSphere MQ server authentication alias. This in turn requires that the application server processes start with sufficient privileges to connect and perform the switch. If an authentication alias is not specified in the WebSphere MQ server definition, a switch of credentials is not attempted and the original credentials of the application server process are used.

## Overriding the connection and authentication settings

When you add the WebSphere MQ server definition to a service integration bus to make it a bus member, you can override the server settings and authentication alias used for messaging, with the connection settings and authentication alias used by the bus. You can use this option to create a bus-specific instance of that server and is useful in a multiple bus configuration. Typically you would do this to differentiate connections from different buses and, potentially, to apply different security settings.

## User identification

Service integration messages contain two user IDs - a system user ID and an application user ID. WebSphere MQ can set the **user identifier** field of the WebSphere MQ message descriptor (MQMD) from the system user ID used in the service integration message. Additional processing is required to preserve the service integration application user ID when interoperating with WebSphere MQ by using a WebSphere MQ server.

Service integration messages contain two user IDs:

- a system user ID: In general, the system user ID is set to the identity of the user that produced the message, which is specified when the user connects to the bus. The system user ID stored in the message cannot be modified by application code.
- an application user ID: This corresponds to the JMSXUserID message property and can be set by application code.

WebSphere MQ can be configured to set the user identifier field of the WebSphere MQ message descriptor (MQMD) from the system user ID used in the service integration message. However, there is only one field for user IDs in the MQMD. If the destination permits the use of MQRFH2 headers, the application user ID present in the message is placed into the <sub>sib</sub> folder of the RFH2 header using a key of jsApiUserId.

When a message is received from queue points or mediations points localized on a WebSphere MQ server bus member then, depending on whether the associated WebSphere MQ server definition permits the user IDs to be trusted, the following actions are completed:

- If the WebSphere MQ server is configured to trust user IDs, the system user ID in the service integration message is copied from the user ID in the MQMD.
- If the WebSphere MQ server is not configured to trust user IDs, the system user ID in the service integration message is set to the name of the WebSphere MQ server from which the message has been received.

Consider an example where the following objects have been configured:

- A WebSphere MQ server, QM1
- A WebSphere MQ server bus member with the **trustUserIds** attribute set to FALSE.
- A queue-type destination, Q1 assigned to the WebSphere MQ server bus member.

If you configured these objects, when a message is received from Q1, the user ID is always set to QM1 (ignoring the user ID that exists in the message). This happens because the WebSphere MQ server bus member does not trust the user IDs received in inbound messages, instead it always uses the name of the WebSphere MQ server that the message is received from.

Regardless of how the system user ID of the service integration message is set, the application user ID is always set from the `jsApiUserId` RFH2 value. If this is not present, either because the value pair is not present in the `<sib>` folder of the RFH2 header, or because the message does not have a RFH2 header, then this field will not be set.

As security user IDs are transported in the MQMD message descriptor, they are limited to 12 characters in length. Longer user IDs are truncated.

## Request-reply messaging using a WebSphere MQ server

You can provide a reply-to destination in a message sent to a destination that is assigned to a WebSphere MQ server bus member. If the reply comes from a WebSphere MQ application, for example a WebSphere MQ JMS application, some restrictions apply to the reply-to destination. You must also configure a WebSphere MQ link over which the reply can flow.

When a message with a reply-to destination is sent to a destination that is assigned to a WebSphere MQ server bus member, the reply-to destination is represented by the following WebSphere MQ message descriptor fields:

- Queue name: this is set to the name of the service integration destination that has been specified as a reply-to queue.
- Queue manager name: this is set either to the name of the service integration bus from which the message was sent or to the virtual queue manager name specified in the bus member configuration for the MQ server.

Queue names and queue manager names that are not recognized by WebSphere MQ are truncated at the first character that is not a valid WebSphere MQ character, or at the WebSphere MQ limit on the field length.

When you send a message from service integration using a WebSphere MQ server, a WebSphere MQ JMS application can only reply to the reply-to destination in the message when you meet these conditions:

- The reply-to destination name must be a valid WebSphere MQ queue name.
- The reply-to destination must be on a service integration bus that has a name that is a valid WebSphere MQ queue manager name, or the virtual queue manager name specified in the bus member configuration for the MQ server must be a valid WebSphere MQ queue manager name.
- The reply-to destination must be on the same service integration bus as the bus where the message originated.
- You must configure a WebSphere MQ link over which the reply can flow between the service integration bus and the WebSphere MQ network.
- The "Virtual queue manager name" that you allocate to the WebSphere MQ link must match the queue manager name specified for the reply-to destination, which can be either the name of the service integration bus to which the WebSphere MQ link points, or the virtual queue manager name specified in the bus member configuration for the MQ server.

## WebSphere MQ server: Transport chain security

System security for a connection between service integration and a WebSphere MQ network is provided by the Transport Level Security (TLS) and Secure Sockets Layer (SSL) protocols.

When WebSphere Application Server uses SSL, the administrator must create an SSL repertoire, a channel and a transport chain. The transport chain must be referenced by the WebSphere MQ server

through the server transport chain attribute, and must also be a trusted transport for the service integration bus to which the WebSphere MQ server belongs. The default setting is for service integration buses to trust only the SSL transport.

Two default transport chains are created on each WebSphere MQ server: OutboundBasicWMQClient and OutboundSecureWMQClient. The OutboundSecureWMQClient transport chain uses SSL and is configured to use the server default SSL repertoire. If you want to create your own transport chain, you must define it to every WebSphere MQ server that is a service integration bus member. Here is an example of how you might define your own transport chain by using JACL:

```
wsadmin>tcs = AdminConfig.list("TransportChannelService" ).splitlines()[0]
AdminConfig.create("TCPOutboundChannel" , tcs, [{"name" , "MyWMQChain.TCP"}])
wsadmin>ssl=...
wsadmin>AdminConfig.create("SSLOutboundChannel" , tcs , [{"name" , "MyWMQChain.SLL" ,
["sslConfigAlias" , "MyRepertoire"]}])
wsadmin>rmq=...
wsadmin>AdminConfig.create("RMQOutboundChannel" , tcs , [{"name" , "MyWMQChain.RMQ"}])
wsadmin>tcp=...
wsadmin>AdminConfig.create("Chain" , tcs , ["name" , "MyWMQChain" , ["enable" , "true"] ,
["transportChannels", [rmq , ssl , tcp]])
```

This example creates a transport chain suitable for connecting a WebSphere MQ server to WebSphere MQ by using SSL. The chain is called MyWMQChain, and uses an SSL repertoire called MyRepertoire.

WebSphere MQ uses a single cipher suite only for securing connections to a queue manager, although WebSphere Application Server SSL repertoires allow you to specify multiple cipher suites. Each cipher suite is tried sequentially until a successful connection is established, or until all the cipher suites have been tried. The most recent cipher suite that allowed a successful connection is cached on a WebSphere MQ server bus member basis, and is tried first on subsequent connection attempts.

When transport security is enabled, the transport chain used for connections to WebSphere MQ must be a permitted chain otherwise it is not possible to establish a connection to WebSphere MQ.

## WebSphere MQ server: Restrictions with mixed level cells and clusters

If you are using a WebSphere MQ server with cells or clusters that include more than one version of WebSphere Application Server, you might need to be aware of the application server versions when you deploy applications that communicate with WebSphere MQ queues.

With a WebSphere MQ server, applications reference service integration destinations that have queue points or mediation points set as WebSphere MQ queues. These service integration destinations are available to Version 6.1 and later versions of WebSphere Application Server, but are not available to Version 6.0 and earlier versions of WebSphere Application Server, which do not support them. There are some restrictions on the deployment of an application that references a service integration destination that is not available to earlier versions of WebSphere Application Server.

Take the following example situation:

- You define a service integration destination destination\_x that has a queue point set as a WebSphere MQ queue.
- Destination\_x is in bus bus\_y.

- Destination\_x is visible in all application servers at Version 6.1 or later, but it is not visible in application servers at Version 6.0 or earlier.
- You want to deploy an application appl\_z that references destination\_x.

In this example situation, the following restrictions apply:

- You must not deploy appl\_z on any application server that is a member of bus\_y unless that application server is at Version 6.1 or later.
- You must not deploy appl\_z on any WebSphere Application Server cluster that is a member of bus\_y unless every application server in that cluster is at Version 6.1 or later.
- You can deploy appl\_z on any application server or cluster that is not a member of bus\_y. However, if any of the application servers in that cell are at Version 6.0 or earlier, the following additional rules apply:
  - You must define a target group containing messaging engines in bus\_y that always run in application servers at Version 6.1 or later.
  - You must configure the JMS connection factory (if any) used by appl\_z to select that target group with Target significance Required. You must configure the JMS activation specification (if any) for appl\_z to select that target group with Target significance Required





## Chapter 16. Message-driven beans - automatic message retrieval

WebSphere Application Server supports the use of message-driven beans as asynchronous message consumers.

The following figure shows an incoming message being passed automatically to the `onMessage()` method of a message-driven bean that is deployed as a listener for the destination. The message-driven bean processes the message, in this case passing the message on to a business logic bean for business processing.

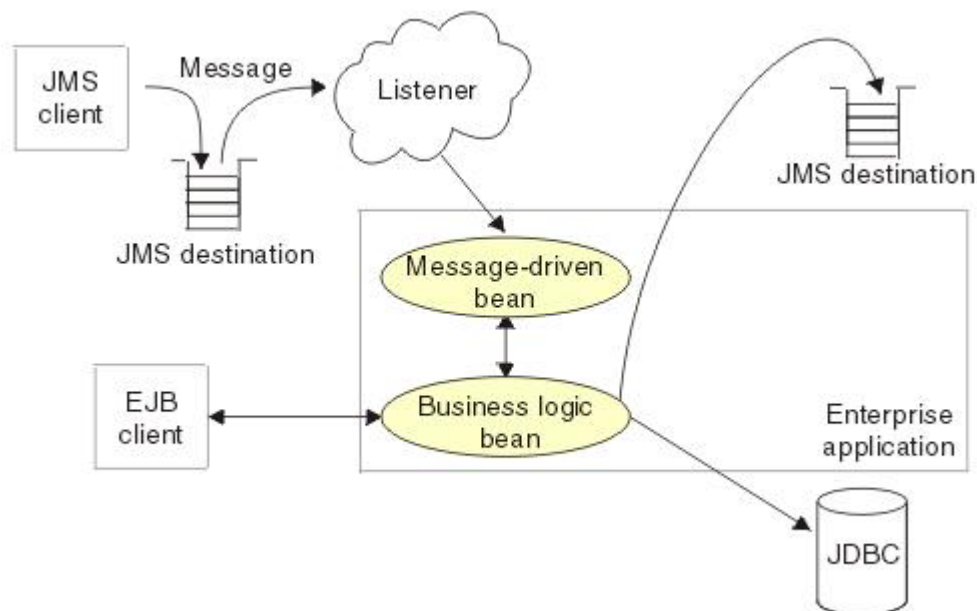


Figure 72. Messaging with message-driven beans

A client sends messages to the destination (or endpoint) for which the message-driven bean is deployed as the message listener. When a message arrives at the destination, the EJB container invokes the message-driven bean automatically without an application having to explicitly poll the destination. The message-driven bean implements some business logic to process incoming messages on the destination.

It can be helpful to separate the business logic of your application from the communication interfaces, such as the JMS request and response handling. To achieve this separation, you can design your message-driven bean to delegate the business processing of incoming messages to another enterprise bean. Separating message handling and business processing enables different users to access the same business logic in different ways, either through incoming messages or, for example, from a WebSphere J2EE client.

Message-driven beans can be configured as listeners on a Java EE Connector Architecture (JCA) 1.5 resource adapter or against a listener port (as for WebSphere Application Server Version 5). With a JCA 1.5 resource adapter, message-driven beans can handle generic message types, not just JMS messages. This makes message-driven beans suitable for handling generic requests inbound to WebSphere Application Server from enterprise information systems through the resource adapter. In the JCA 1.5 specification, such message-driven beans are commonly called *message endpoints* or just *endpoints*.

All message-driven beans must implement the `MessageDrivenBean` interface. For JMS messaging, a message-driven bean must also implement the message listener interface, `javax.jms.MessageListener`.

Messages arriving at a destination being processed by a message-driven bean have no client credentials associated with them; the messages are anonymous. Security depends on the role specified by the `RunAs` Identity for the message-driven bean as an EJB component. For more information about EJB security, see *Securing enterprise bean applications*.

For JMS messaging, message-driven beans can use a JMS provider that has a JCA 1.5 resource adapter, for example the default messaging provider that is part of WebSphere Application Server or the WebSphere MQ messaging provider. With a JCA 1.5 resource adapter, you deploy EJB 2.1 message-driven beans as JCA 1.5-compliant resources, to use a J2C activation specification. If the JMS provider does not have a JCA 1.5 resource adapter, for example the V5 default messaging provider, you must configure JMS message-driven beans against a listener port.

---

## Message-driven beans, activation specifications, and listener ports

Guidelines, related to versions of WebSphere Application Server, to help you choose when to configure your message-driven beans to work with listener ports rather than activation specifications.

You can configure the following resources for message-driven beans:

- Activation specifications for message-driven beans that comply with Java EE Connector Architecture (JCA) Version 1.5.
- The message listener service, listener ports, and listeners for any message-driven beans that you want to deploy against listener ports.

Activation specifications are the standardized way to manage and configure the relationship between an MDB running in WebSphere Application Server and a destination in WebSphere MQ. They combine the configuration of connectivity, the Java Message Service (JMS) destination and the runtime characteristics of the MDB, within a single object.

Activation specifications supersede the use of listener ports, which became a stabilized feature in WebSphere Application Server Version 7.0 (for more information, see “Stabilized features” on page 1185). There are several advantages to using activation specifications over listener ports:

- Activation specifications are simple to configure, because they only require two objects: the activation specification and a message destination. Listener ports require three objects: a connection factory, a message destination, and the message listener port itself.
- Activation specifications are not limited to the server scope. They can be defined at any administrative scope in WebSphere Application Server. Message listener ports must be configured at the server scope. This means that each server in a node requires its own listener port. For example, if a node is made up of three servers, three separate listener ports must be configured. Activation specifications can be configured at the node scope, so in the example only one activation specification would be needed.
- Activation specifications are part of the Java Platform, Enterprise Edition Connector Architecture 1.5 standards specification (JCA 1.5). Listener port support in WebSphere Application Server makes use of the application server facilities interfaces defined in the JMS specification, but is not part of any specification itself.

For WebSphere Application Server Version 7 and later, listener ports are stabilized. For more information, read the article on stabilized features. You should plan to migrate your WebSphere MQ message-driven bean deployment configurations from using listener ports to using activation specifications. However, you should not begin this migration until you are sure the application does not have to work on application servers earlier than WebSphere Application Server Version 7. For example, if you have an application server cluster with some members at Version 6.1 and some at Version 7, you should not migrate applications on that cluster to use activation specifications until after you migrate all the application servers in the cluster to Version 7.

If you want to use message-driven beans with a messaging provider that does not have a JCA 1.5 resource adapter, you cannot use activation specifications and therefore you must configure your beans against a listener port. There are also a few scenarios in which, although you could use activation specifications, you might still choose to use listener ports. For example, for compatibility with existing message-driven bean applications. Here are some guidelines, related to versions of WebSphere Application Server, to help you choose when to use listener ports rather than activation specifications:

- WebSphere Application Server Version 4 does not support message-driven beans, so listener ports and activation specifications are not applicable. WebSphere Application Server Version 4 does support message beans, but these are not message-driven beans.
- WebSphere Application Server Version 5 supports EJB 2.0 (JMS only) message-driven beans that are deployed using listener ports. This deployment technology is sometimes called application server facility (ASF).
- WebSphere Application Server Version 6 continues to support message-driven beans that are deployed to use listener ports, and also supports JCA, which you can use to deploy message-driven beans that use activation specifications. This gives you the following options for deploying message-driven beans on WebSphere Application Server Version 6:
  - You must deploy default messaging (service integration bus) message-driven beans to use activation specifications.
  - You must deploy WebSphere MQ message-driven beans to use listener ports.
  - You can deploy third-party messaging message-driven beans to use either listener ports or activation specifications, depending on the facilities available from your third-party messaging provider.
- WebSphere Application Server Version 7.0 or later continues to support the same options for message-driven bean deployment that WebSphere Application Server Version 6 supports, and adds a new option for WebSphere MQ message-driven beans. This gives you the following options for deploying message-driven beans on Version 7.0 or later:
  - You must deploy default messaging (service integration bus) message-driven beans to use activation specifications.
  - You can deploy new and existing WebSphere MQ message-driven beans to use listener ports (as on WebSphere Application Server Version 6) or to use activation specifications.
  - You can deploy third-party messaging message-driven beans to use either listener ports or activation specifications, depending on the facilities available from your third-party messaging provider.

To assist in migrating listener ports to activation specifications, the WebSphere Application Server administrative console provides a **Convert listener port to activation specification** wizard on the Message listener port collection panel. This allows you to convert existing listener ports into activation specifications. However, this function only creates a new activation specification with the same configuration used by the listener port. It does not modify application deployments to use the newly created activation specification.

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## Message processing in ASF mode and non-ASF mode

Application Server Facilities (ASF) mode is the default method by which the message listener service in WebSphere Application Server processes messages. This topic explains how WebSphere Application Server processes messages in ASF mode and how it processes messages when ASF mode is turned off.

For WebSphere Application Server Version 7 and later, listener ports are stabilized. For more information, read the article on stabilized features. You should plan to migrate your WebSphere MQ message-driven bean deployment configurations from using listener ports to using activation specifications. However, you should not begin this migration until you are sure the application does not have to work on application servers earlier than WebSphere Application Server Version 7. For example, if you have an application server cluster with some members at Version 6.1 and some at Version 7, you should not migrate applications on that cluster to use activation specifications until after you migrate all the application servers in the cluster to Version 7.

| **Note:** If you are using WebSphere MQ as your messaging provider, in the examples in this topic, JMS  
| provider refers to your WebSphere MQ queue manager.

## | **Main features of ASF mode**

| By default, message-driven beans (MDBs) that are deployed on WebSphere Application Server for use  
| with listener ports, use ASF mode to monitor JMS destinations and to process messages.

| In ASF mode, a thread is allocated for work when a message is detected at the destination for it to  
| process. The number of threads that can be active concurrently is dictated by the value specified for the  
| **Maximum Sessions** property for the listener port.

| In client connection (socket attach) mode, each active thread is an individual physical network connection.  
| You should keep this in mind when you are deciding whether to use ASF or non-ASF mode in your  
| configuration. If you are using WebSphere MQ Version 7.0 as your messaging provider, it is possible to  
| have up to ten threads sharing a single physical network connection.

| If WebSphere MQ is your messaging provider, there are several configurations you can use in ASF mode.  
| With the following configurations each thread uses a separate physical network connection:

- | • A WebSphere MQ Version 6.0 queue manager.
- | • A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider  
| version** property set to 6.
- | • A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider  
| version** property set to 7 or unspecified, connecting over a WebSphere MQ channel that has the  
| **SHARECNV** (sharing conversations) parameter set to 0.

| With the following configuration, threads share a user-defined number of physical network connections:

- | • A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider  
| version** property set to 7 or unspecified, connecting over a WebSphere MQ channel that has the  
| **SHARECNV** (sharing conversations) parameter set to 1 or higher. In this case each thread represents  
| an individual connection to a queue manager. However, each thread does not have its own physical  
| network connection, Instead, the threads share the number of network connections specified in the  
| **SHARECNV** (sharing conversations) parameter.

## | **Main features of non-ASF mode**

| In non-ASF mode threads are active from the moment that the listener port is turned on. The number of  
| active threads is dictated by the value specified for the **Maximum Sessions** property on the listener port.  
| The number of threads specified in **Maximum Sessions** are active, regardless of the number of messages  
| that are available to be processed.

| In non-ASF mode, when a listener port browses for messages at the destination, it will take the message  
| that is first in the queue at the destination for processing. This means that messages are processed close  
| to the order in which they arrive at the destination.

| In client connection (socket attach) mode, each active thread is an individual physical network connection.  
| You should keep this in mind when you are deciding whether to use ASF or non-ASF mode in your  
| configuration. If you are using WebSphere MQ Version 7.0 as your messaging provider, it is possible to  
| have up to ten threads sharing a single physical network connection.

| If WebSphere MQ is your messaging provider, there are several configurations you can use in non-ASF  
| mode. With the following configurations each thread uses a separate physical network connection:

- | • A WebSphere MQ Version 6.0 queue manager.

- A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider version** property set to 6.
- A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider version** property set to 7 or unspecified, connecting over a WebSphere MQ channel that has the **SHARECNV** (sharing conversations) parameter set to 0.

With the following configuration, threads share a user-defined number of physical network connections:

- A WebSphere MQ Version 7.0 queue manager, using a connection factory that has the **Provider version** property set to 7 or unspecified, connecting over a WebSphere MQ channel that has the **SHARECNV** (sharing conversations) parameter set to 1 or higher. In this case each thread represents an individual connection to a queue manager. However, each thread does not have its own physical network connection. Instead, the threads share the number of network connections specified in the **SHARECNV** (sharing conversations) parameter.

**Note:** Non-ASF mode cannot be selected on z/OS systems.

## How messages are processed in ASF mode

In ASF mode, server sessions and threads are only allocated for work when a message that is suitable for the message-driven bean (MDB) is detected.

The default value for **Maximum Sessions** on listener ports is 1. This means that the MDB can only process one message at a time. The example shows how messages are processed in ASF mode when **Maximum Sessions** is set to 1:

1. When the listener port is started, it opens a connection to the JMS provider and creates an internal queue agent.
2. The queue agent listens to the JMS destination for messages.
3. The queue agent detects a message.
4. The queue agent checks whether the message is suitable for the MDB that is using the listener port.
5. If the message is suitable for the MDB, the listener port allocates a thread from the message listener service thread pool, and allocates a server session from the application server's server session pool. If this is the first time the server session has been used since the listener port has been started, it opens a connection to the JMS provider. The allocated server session runs on the allocated thread.
6. The queue agent passes the ID of the message to the server session. It then starts listening for messages again.
7. The server session uses the message ID to retrieve the message from the destination.
8. The server session processes the message by calling the `onMessage()` method of the MDB.
9. When the message is processed, the server session exits and returns to the application server session pool. The connection that the server session has opened to the JMS provider remains open so that the server session does not need to re-establish the connection the next time it is used.
10. The thread exits and returns to the message listener service thread pool.

ASF mode enables you to process more than one message concurrently. To do this, set **Maximum Sessions** to a value higher than 1. If, for example, **Maximum Sessions** is set to 2, messages are processed in the following way:

1. The queue agent detects the first message and allocates a thread and a server session as in the first example. The message is processed using the `onMessage()` method of the MDB.
2. Whilst the first message is processing, the queue agent starts listening for messages again.
3. The queue agent detects the second message and allocates a second thread and a second server session. The message is processed using the `onMessage()` method of the MDB.
4. When the first message is processed, the first server session exits and returns to the server session pool. The first thread exits and returns to the thread pool.

5. When the second message is processed, the second server session exits and returns to the server session pool. The second thread exits and returns to the thread pool.

## How messages are processed in non-ASF mode

In non-ASF mode threads are active from the moment that the listener port is started. The number of active threads is dictated by the value specified for **Maximum Sessions**. The number of threads specified in **Maximum Sessions** are active, regardless of the number of messages that are available to be processed. Each active thread is an individual physical network connection. If you are using WebSphere MQ Version 7.0 as your messaging provider, it is possible to have up to ten threads sharing a single physical network connection.

To activate non-ASF mode you must specify a non-zero value for the **NON.ASF.RECEIVE.TIMEOUT** message listener service custom property. The **NON.ASF.RECEIVE.TIMEOUT** custom property acts as a switch that turns off ASF mode, and also as a timeout value for the `receive()` method.

The following message listener service custom properties do not work in non-ASF mode:

- **SERVER.SESSION.POOL.REAP**
- **SERVER.SESSION.POOL.UNUSED.TIMEOUT**
- **SERVER.SESSION.POOL.UNUSED.TIMEOUT.lpaname**

The default value for **Maximum Sessions** is 1. This means that the MDB can only process one message at a time. Non-ASF mode processes messages in the following way when **Maximum Sessions** is set to 1:

1. When the listener port is started, it gets one thread from the message listener service thread pool.
2. The listener port opens a connection to the JMS provider on the thread and creates a JMS message consumer. The message consumer listens to the JMS destination which the listener port is configured to listen to.
3. The listener port creates a transaction to manage the message processing.
4. The thread calls the `receive()` method on the message consumer to listen for messages at the destination. If the `receive()` method does not detect a message in the time specified for **NON.ASF.RECEIVE.TIMEOUT**, the application server rolls back the active transaction and starts a new one. The thread then starts calling the `receive()` method again.
5. When the queue agent detects a message it checks whether the message is suitable for the MDB that is using the listener port.
6. If the message is suitable, the `receive()` method takes it off the destination and sends it to the thread.
7. The thread invokes the `onMessage()` method of the MDB on the message consumer, and the message is processed.
8. If the message finishes processing successfully, the transaction commits. If the message does not process successfully, the transaction rolls back.
9. A new transaction is started and the message consumer calls the `receive()` method to listen for new messages.

Non-ASF mode enables you to process more than one message at once. To do this, set **Maximum Sessions** to a value higher than 1. If, for example, **Maximum Sessions** is set to 2, messages are processed in the following way:

1. When the listener port is started, it gets two threads from the message listener service thread pool.
2. The listener port creates a message consumer and a transaction on each thread. The message consumers listen to the destination which the listener port is configured to listen to.
3. Both message consumers call the `receive()` method to listen for messages on the destination. The consumers compete to get messages from the destination.

4. When one of the consumers successfully retrieves the message, it processes it by calling the `onMessage()` method of the MDB. The other message consumer keeps on calling the `receive()` method to listen for messages on the destination.

If your messaging system is running in non-ASF mode, to avoid unwanted transaction timeouts, you must make sure that the time that you specify for the **NON.ASF.RECEIVE.TIMEOUT** message listener service custom property is smaller than the sum of the maximum amount of time that the `onMessage()` method of the message-driven bean (MDB) takes to process the message, plus the time you specify for the **Total transaction lifetime timeout** transaction service property.

If these properties are not correctly configured, transactions can time out before they are completed. This is because the thread begins calling the `receive()` method as soon as the transaction is created. In the following example, **NON.ASF.RECEIVE.TIMEOUT** is set to 110000 milliseconds (110 seconds), **Total transaction lifetime timeout** is set to 120 seconds and the `onMessage()` method of the MDB takes 15 seconds to process a message. The example supposes that a message does not appear at the destination until the `receive()` method has almost timed out:

1. The listener port starts. It allocates a thread from the thread pool and creates a transaction and a message consumer on the thread.
2. The thread calls the `receive()` method to listen for messages.
3. After 110 seconds a message appears at the destination.
4. The thread removes the message from the destination and calls the `onMessage()` method of the MDB to begin processing the message.
5. 10 seconds later, the transaction timeout is reached. The application server marks the transaction for rollback.
6. 5 seconds later, the `onMessage()` method finishes processing the message and tries to commit the transaction.
7. The total amount of time that has elapsed since the transaction was started is 125 seconds (110 seconds waiting for a message, plus 15 seconds to process the message). As this is longer than the transaction timeout, the application server prevents the transaction from being committed, and it is rolled back.

For further information about how to configure the **NON.ASF.RECEIVE.TIMEOUT** and **Total transaction lifetime timeout** properties to avoid unwanted transaction time outs, see the related tasks.

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## Message-driven beans - JCA components

There are several administrative components that you configure for message-driven beans as listeners on a Java EE Connector Architecture (JCA) 1.5 resource adapter.

### Components for a JCA resource adapter

When a resource adapter is installed, it provides definitions and classes for administered objects such as activation specifications. The administrator creates and configures activation specifications with Java Naming and Directory Interface (JNDI) names that are then available for applications to use.

The JCA resource adapter uses an activation specification to configure a particular endpoint. Each application that configures one or more endpoints must specify the resource adapter that sends messages to the endpoint. The application uses the activation specification to provide configuration properties for the processing of inbound messages.

### JMS components used with a JCA messaging provider

Message-driven beans that implement the `javax.jms.MessageListener` interface can be used with JMS messaging.

An application that uses JMS messaging needs access at runtime to configured objects such as connection factories and destinations:

- When the JMS provider is the default JMS provider or the WebSphere MQ messaging provider, the administrator configures these objects for the JMS provider. For example, to configure a JMS activation specification for the WebSphere MQ messaging provider, in the WebSphere Application Server administrative console navigate to **Resources > JMS->Activation specifications**.
- Otherwise the administrator configures these objects for the JMS resource adapter, which connects the application to a JMS provider, by navigating to **Resources > Resource Adapters**.

If the application contains one or more message-driven beans, the administrator must configure either a JMS activation specification or a message listener port. For JCA-compliant messaging providers, the administrator usually configures an activation specification. But for the WebSphere MQ messaging provider there is a choice; the administrator can configure an activation specification or, for compatibility with previous versions of WebSphere Application Server, the administrator can configure a message listener port.

The JMS activation specification provides the deployer with information about the configuration properties of a message-driven bean related to the processing of the inbound messages. For example, a JMS activation specification specifies the name of the service integration bus to connect to, information about the message acknowledgement modes, message selectors, destination types, and whether durable subscriptions are shared across connections with members of a server cluster.

The activation specification identifies a JMS destination by specifying its JNDI name. The message-driven bean acts as a listener on a specific JMS destination.

The JMS destination refers to a service integration bus destination (or WebSphere MQ destination) that the administrator must also configure. For more information about JMS resources and service integration, see “Default messaging” on page 218.

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## J2C activation specification configuration and use

Configure J2C activation specifications, and use them in the deployment of message-driven beans for JCA 1.5 resources.

J2C activation specifications are part of the configuration of inbound messaging support that can be part of a JCA 1.5 resource adapter. Each JCA 1.5 resource adapter that supports inbound messaging defines one or more types of message listener in its deployment descriptor (`messageListener` in the `ra.xml`). The message listener is the interface that the resource adapter uses to communicate inbound messages to the message endpoint. A message-driven bean (MDB) is a message endpoint and implements one of the message listener interfaces provided by the resource adapter. By allowing multiple types of message listener, a resource adapter can support a variety of different protocols. For example, the interface `javax.jms.MessageListener`, is a type of message listener that supports JMS messaging. For each type of message listener that a resource adapter implements, the resource adapter defines an associated activation specification (`activationSpec` in the `ra.xml`). The activation specification is used to set configuration properties for a particular use of the inbound support for the receiving endpoint.

When an application containing a message-driven bean is deployed, the deployer must select a resource adapter that supports the same type of message listener that the message-driven bean implements. As part of the message-driven bean deployment, the deployer needs to specify the properties to set on the J2C activation specification. Later, during application startup, a J2C activation specification instance is created, and these properties are set and used to activate the endpoint (that is, to configure the resource adapter inbound support for the specific message-driven bean).

Applications with message-driven beans can also specify all, some, or none of the configuration properties needed by the `ActivationSpec` class, to override those defined by the resource adapter-scoped definition.



These properties, specified as activation-config properties in the deployment descriptor for the application, are configured when the application is assembled. To change any of these properties requires redeploying the application. These properties are unique to this applications use and are not shared with other message-driven beans. Any properties defined in the application deployment descriptor take precedence over those defined by the resource adapter-scoped definition. This allows application developers to choose the best defaults for their applications.

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## Activation specification optional binding properties

Binding properties that you can specify for activation specifications to be deployed on WebSphere Application Server.

### J2C authentication alias

If you provide values for user name and password as custom properties on an activation specification, you might not want to have those values exposed in clear text for security reasons. You can use WebSphere security to securely define an authentication alias for such cases. Configuration of activation specifications, both as an administrative object and during application deployment, enable you to use the authentication alias instead of providing the user name and password.

If you set the authentication alias field, then you should not set the user name and password custom properties fields. Also, authentication alias properties set as part of application deployment take precedence over properties set on an activation specification administrative object.

Only the authentication alias is ever written to file in an unencrypted form, even for purposes of transaction recovery logging. The security service is used to protect the real user name and password.

During application startup, when the activation specification is being initialized as part of endpoint activation, the server uses the authentication alias to retrieve the real user name and password from security then set it on the activation specification instance.

### Destination JNDI name

For resource adapters that support JMS you must associate `javax.jms.Destinations` with an activation specification, such that the resource adapter can service messages from the JMS destination. In this case, the administrator configures a J2C Administered Object that implements the `javax.jms.Destination` interface and binds it into JNDI.

You can configure a J2C Administered Object to use an `ActivationSpec` class that implements a `setDestination(javax.jms.Destination)` method. In this case, you can specify the destination JNDI name (that is, the JNDI name for the J2C Administered object that implements the `javax.jms.Destination`).

A destination JNDI name set as part of application deployment take precedence over properties set on an activation specification administrative object.

During application startup, when the activation specification is being initialized as part of endpoint activation, the server uses the destination JNDI name to look up the destination administered object then set it on the activation specification instance.

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## Message-driven beans - transaction support

Message-driven beans can handle messages on destinations (or endpoints) within the scope of a transaction.

## Transaction handling when using the Message Listener Service with WebSphere MQ JMS

There are three possible cases, based on the message-driven bean deployment descriptor setting you choose: container-managed transaction (required), container-managed transaction (not supported), and bean-managed transaction.

In the message-driven bean deployment descriptor settings, you can choose whether the message-driven bean manages its own transactions (bean-managed transaction), or whether a container manages transactions on behalf of the message-driven bean (container-managed transaction). If you choose container-managed transactions, in the deployment descriptor notebook, you can select a container transaction type for each method of the bean to determine whether container transactions are required or not supported. The default container transaction type is required.

### Container-managed transaction (required)

In this case, the application server starts a global transaction before it reads any incoming message from the destination, and before the `onMessage()` method of the message-driven bean is invoked by the application server. This means that other EJBs that are invoked in turn by the message, and interactions with resources such as databases can all be scoped inside this single global transaction, within which the incoming message was obtained.

If this application flow completes successfully, the global transaction is committed. If the flow does not complete successfully, (if the transaction is marked for rollback or if a runtime exception occurs), the transaction is rolled back, and the incoming message is rolled back onto the message-driven bean destination.

### Container-managed transaction (not supported)

In this case there is no global transaction, but the JMS provider can still deliver a message from a message-driven bean destination to the application server in a unit of work. You can consider this as a local transaction, because it does not involve other resources in its transactional scope.

The application server acknowledges message delivery on successful completion of the `onMessage()` dispatch of the message-driven bean (using the acknowledgement mode specified by the assembler of the message-driven bean).

However, the application server does not perform an acknowledge, if an unchecked runtime exception is thrown from the `onMessage()` method. So, does the message roll back onto the message-driven bean destination (or is it acknowledged and deleted)?

The answer depends on whether a syncpoint is used by the WebSphere MQ JMS provider and can vary depending on the operating platform (in particular the z/OS operating platform can impart different behavior here).

If WebSphere MQ establishes a syncpoint around the message-driven bean message consumption in this container-managed transaction (not supported) case, the message is rolled back onto the destination after an unchecked exception.

If a syncpoint is not used, then the message is deleted from the destination after an unchecked exception.

For related information, see the technote 'MDB behavior is different on z/OS than on distributed when getting nonpersistent messages within syncpoint' at <http://www.ibm.com/support/docview.wss?uid=swg21231549>.

### Bean-managed transaction

In this case, the action is similar to the container-managed transaction (not supported) case. Even though there might be a user transaction in this case, any user transaction started within the `onMessage` dispatch of the message-driven bean does not include consumption of the message

from the message-driven bean destination within the transaction scope. To do this, use the container-managed transaction (required) scenario.

## Message redelivery

In each of the previous three cases, a message that is rolled back onto the message-driven bean destination is eventually re-dispatched. If the original rollback was due to a temporary system problem, you would expect the re-dispatch of the message-driven bean with this message to succeed on re-dispatch. If, however, the rollback was due to a specific message-related problem, the message would repeatedly be rolled back and re-dispatched. This would be an inefficient use of processing resources.

The application server handles this scenario which is known as a poison message scenario, by tracking the frequency with which a message is dispatched, and by stopping the associated listener port after a specified number of redeliveries has occurred. This is a configurable value on the Maximum Retries property on a listener port. For more information, see Listener port settings.

**Note:** A Maximum Retries value of zero stops the listener port after a single failure to successfully complete an `onMessage()`.

Because stopping the listener port stops the processing for all message-driven beans mapped to that listener port, this solution is rather unspecific. Instead of relying on the WebSphere Application Server message listener service to stop the listener port if a poison message scenario occurs, the other solution is to set up a backout queue (BOQUEUE), and a backout threshold value (BOTHRESH). If you do this, WebSphere MQ handles the poison message. For more information about handling poison messages, see the WebSphere MQ *Using Java* section of the WebSphere MQ library.

## Inbound resource adapter transaction handling

An MDB can be configured for bean or container transaction handling. The owner of the resource adapter must tell the MDB developer how to set up the MDB for transaction handling.

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## Message-driven beans - listener port components

The WebSphere Application Server support for message-driven beans deployed against listener ports is based on JMS message listeners and the message listener service, and builds on the application server facility (ASF) support in the JMS provider.

**Note:** From WebSphere Application Server Version 7, listener ports are stabilized. For more information, read the article on stabilized features. For information about the facilities available to aid migration of configuration information from a listener port to an activation specification for use with the Websphere MQ messaging provider, refer to related tasks.

The main components of WebSphere Application Server support for message-driven beans are shown in the following figure and described after the figure:

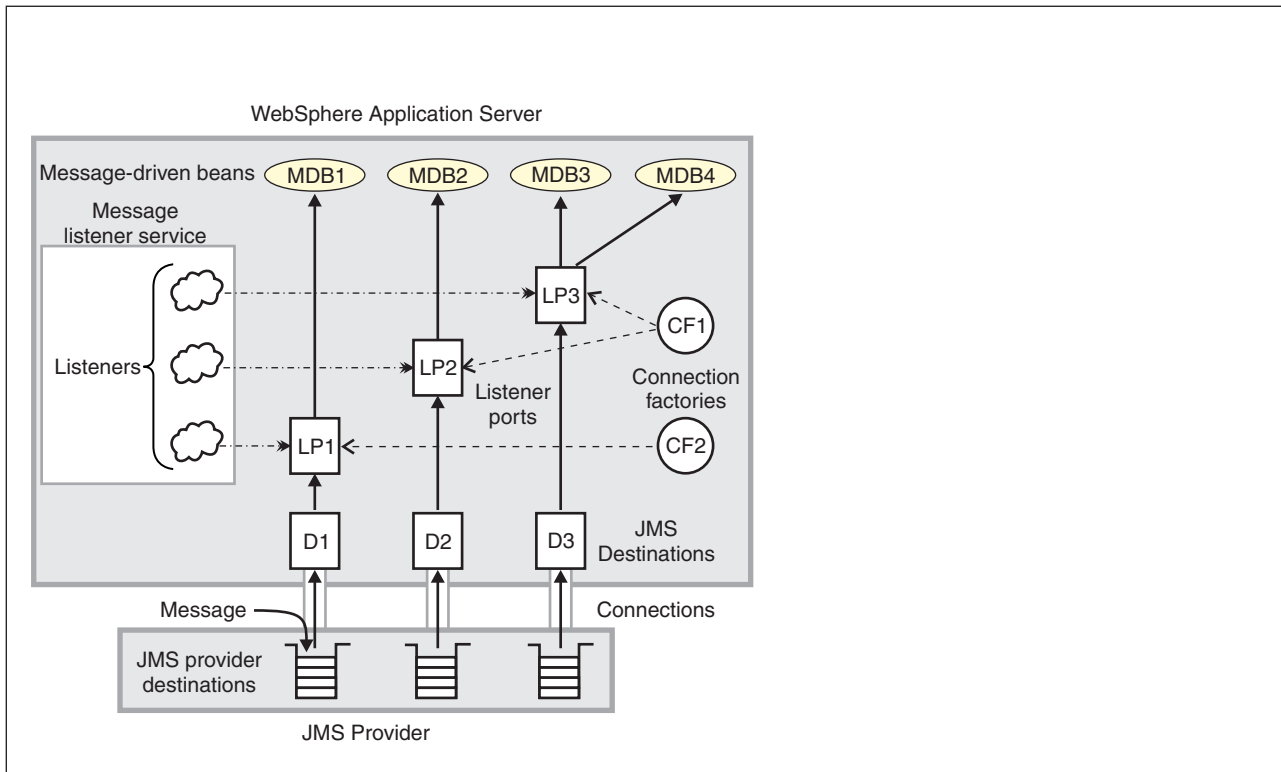


Figure 73. The main components for message-driven beans

The message listener service is an extension to the JMS functions of the JMS provider and provides a listener manager, which controls and monitors one or more JMS listeners. Each listener monitors either a JMS queue destination (for point-to-point messaging) or a JMS topic destination (for publish/subscribe messaging).

A *connection factory* is used to create connections with the JMS provider for a specific JMS queue or topic destination. Each connection factory encapsulates the configuration parameters needed to create a connection to a JMS destination.

A listener port defines the association between a connection factory, a destination, and a deployed message-driven bean. Listener ports are used to simplify the administration of the associations between these resources.

When you deploy a message-driven bean, you associate the bean with a listener port. When a message arrives on the destination, the listener passes the message to a new instance of a message-driven bean for processing.

When an application server is started, it initializes the message listener service based on the configuration data. The message listener service creates a dynamic session thread pool for use by listeners, creates and starts listeners, and during server termination controls the cleanup of message listener service resources. Each listener completes several steps for the JMS destination that it is to monitor, including:

- Creating a JMS server session pool, and allocating JMS server sessions and session threads for incoming messages.
- Interfacing with JMS ASF to create JMS connection consumers to listen for incoming messages.
- If specified, starting a transaction and requesting that it is committed (or rolled back) when the EJB method has completed.
- Processing incoming messages by invoking the `onMessage()` method of the specified enterprise bean.

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## Chapter 17. JMS interfaces - explicit polling for messages

Applications can use JMS to explicitly poll for messages on a destination, then retrieve messages for processing by business logic beans (enterprise beans).

WebSphere Application Server supports asynchronous messaging based on the Java Message Service (JMS) and Java EE Connector Architecture (JCA) specifications, which provide a common way for Java programs (clients and Java EE applications) to create, send, receive, and read asynchronous requests, as messages.

The base support for asynchronous messaging that uses JMS, shown in the following figure, provides the common set of JMS interfaces and associated semantics that define how a JMS client can access the facilities of a JMS provider. This enables WebSphere J2EE applications, as JMS clients, to exchange messages asynchronously with other JMS clients by using JMS destinations (queues or topics).

Applications can use both point-to-point and publish/subscribe messaging (referred to as “messaging domains” in the JMS specification), and support the different semantics of each domain.

WebSphere Application Server supports applications that use JMS 1.1 domain-independent interfaces (referred to as the “common interfaces” in the JMS specification). With JMS 1.1, the preferred approach for implementing applications is to use the common interfaces. The JMS 1.1 common interfaces provide a simpler programming model than domain-specific interfaces. Also, applications can create both queues and topics in the same session and coordinate their use in the same transaction.

The common interfaces are also parents of domain-specific interfaces. These domain-specific interfaces (provided for JMS 1.0.2 in WebSphere Application Server Version 5) are supported only to provide inter-operation and compatibility with applications that have already been implemented to use those interfaces.

A WebSphere application can use the JMS interfaces to explicitly poll a JMS destination to retrieve an incoming message, then pass the message to a business logic bean. The business logic bean uses standard JMS calls to process the message; for example, to extract data or to send the message on to another JMS destination.

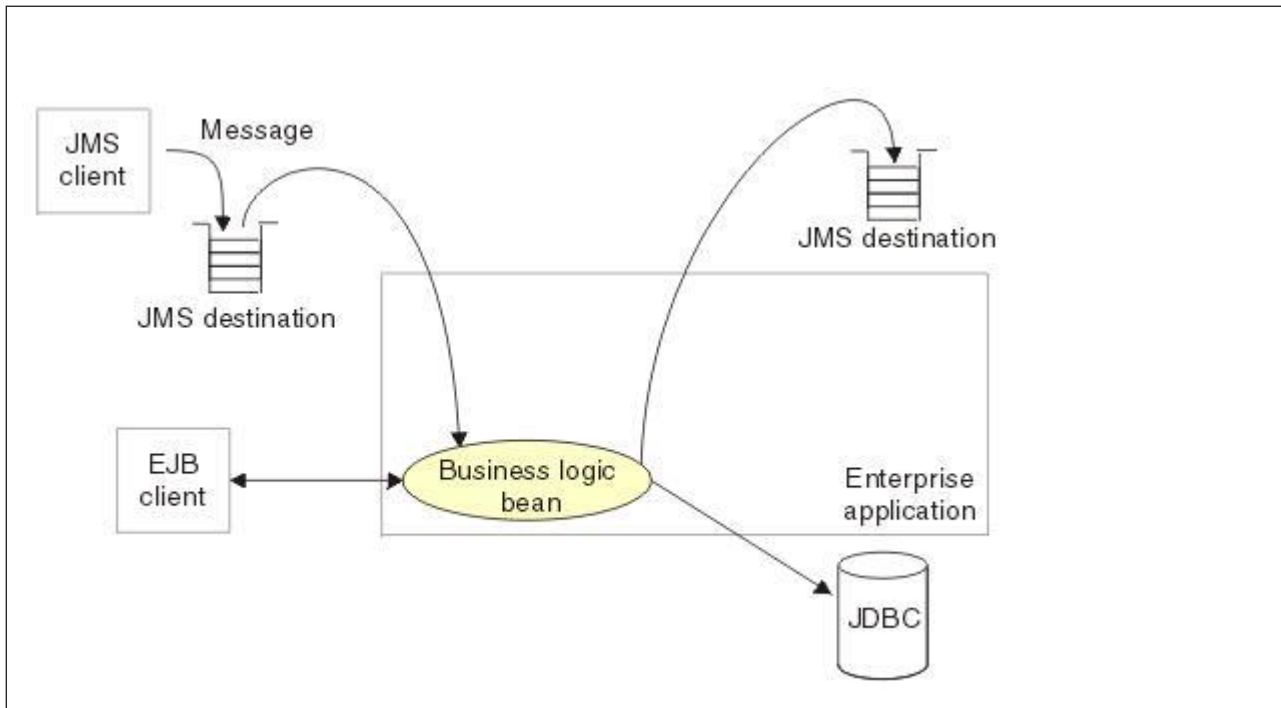


Figure 74. Asynchronous messaging by using JMS

WebSphere applications can use standard JMS calls to process messages, including any responses or outbound messaging. Responses can be handled by an enterprise bean acting as a sender bean, or handled in the enterprise bean that receives the incoming messages. Optionally, this process can use two-phase commit within the scope of a transaction.

WebSphere applications can also use message-driven beans, as described in the related topics about message-driven beans.

For more details about JMS, see Sun's Java Message Service (JMS) specification documentation.

## Chapter 18. JMS components on Version 5 nodes

To provide messaging support on a WebSphere Application Server Version 5 node, there is at most one JMS server and some number of JMS resources configured for the default messaging JMS provider on that node.

A *JMS server* on a Version 5 node serves the JMS resources (connection factories and destinations) for that node. The JMS server is managed as a separate process to application servers on the same node. Any application server within the domain can access JMS resources served by any JMS server on any node in the domain.

A *connection factory* encapsulates the configuration properties used to create connections with the JMS provider, to enable applications to access JMS destinations.

The main components of JMS support on a Version 5 node are shown in the following figure.

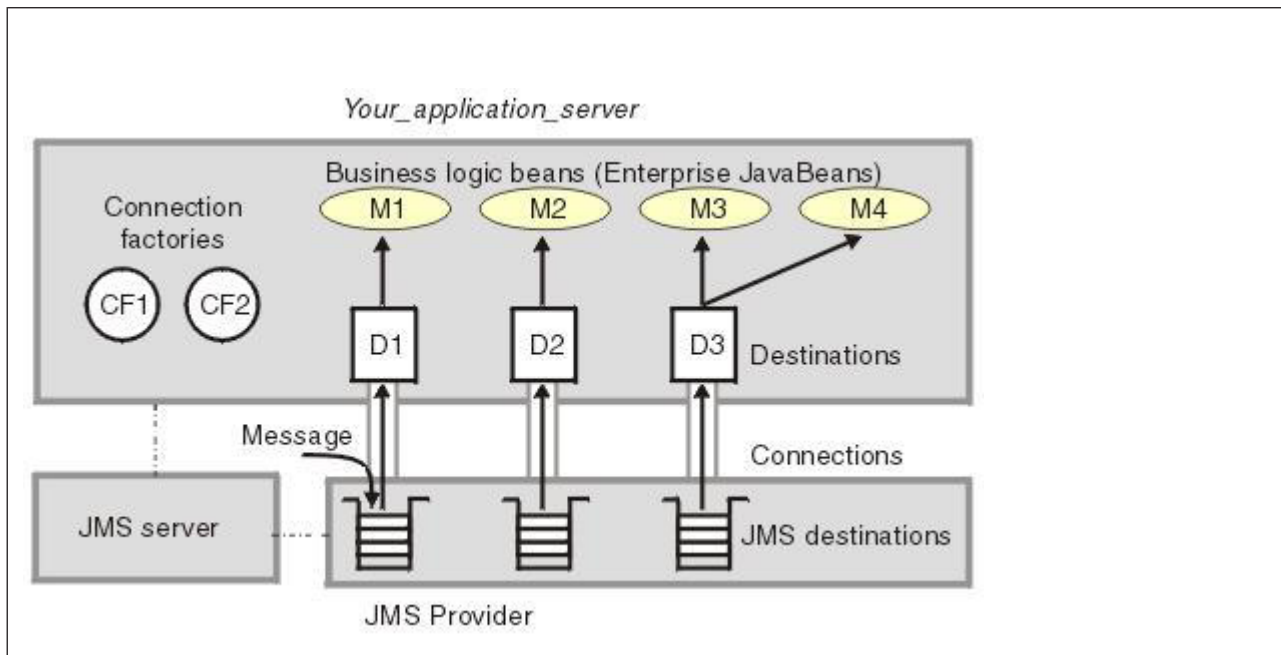


Figure 75. The main JMS components on a version 5 node





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## Chapter 19. Naming and directory

This page provides a starting point for finding information about naming support. Naming includes both server-side and client-side components. The server-side component is a Common Object Request Broker Architecture (CORBA) naming service (CosNaming). The client-side component is a Java™ Naming and Directory Interface (JNDI) service provider. JNDI is a core component in the Java Platform, Enterprise Edition (Java EE) programming model.

The WebSphere® JNDI service provider can be used to interoperate with any CosNaming name server implementation. Yet WebSphere name servers implement an extension to CosNaming, and the JNDI service provider uses those WebSphere extensions to provide greater capability than CosNaming alone. Some added capabilities are binding and looking up of non-CORBA objects.

Java EE applications use the JNDI service provider supported by WebSphere Application Server to obtain references to objects related to server applications, such as enterprise bean (EJB) homes, which have been bound into a CosNaming name space.

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### Naming

Naming is used by clients of WebSphere Application Server applications to obtain references to objects related to those applications, such as enterprise bean (EJB) homes.

These objects are bound into a mostly hierarchical structure, referred to as a *namespace*. In this structure, all non-leaf objects are called *contexts*. Leaf objects can be contexts and other types of objects. Naming operations, such as lookups and binds, are performed on contexts. All naming operations begin with obtaining an *initial context*. You can view the initial context as a starting point in the namespace.

The namespace structure consists of a set of *name bindings*, each consisting of a name relative to a specific context and the object bound with that name. For example, the name `myApp/myEJB` consists of one non-leaf binding with the name `myApp`, which is a context. The name also includes one leaf binding with the name `myEJB`, relative to `myApp`. The object bound with the name `myEJB` in this example happens to be an EJB home reference. The whole name `myApp/myEJB` is relative to the initial context, which you can view as a starting place when performing naming operations.

You can access and manipulate the namespace through a *name server*. Users of a name server are referred to as *naming clients*. Naming clients typically use the Java Naming and Directory Interface (JNDI) to perform naming operations. Naming clients can also use the Common Object Request Broker Architecture (CORBA) CosNaming interface.

You can use security to control access to the namespace. For more information, see *Naming roles*.

Typically, objects bound to the namespace are resources and objects associated with installed applications. These objects are bound by the system, and client applications perform lookup operations to obtain references to them. Occasionally, server and client applications bind objects to the namespace. An application can bind objects to transient or persistent partitions, depending on requirements.

In Java Platform, Enterprise Edition (Java EE) or Java Platform, Standard Edition (Java SE) environments, some JNDI operations are performed with `java:` URL names. Names bound under these names are bound to a completely different namespace which is local to the calling process. However, some lookups on the `java:` namespace may trigger indirect lookups to the name server.

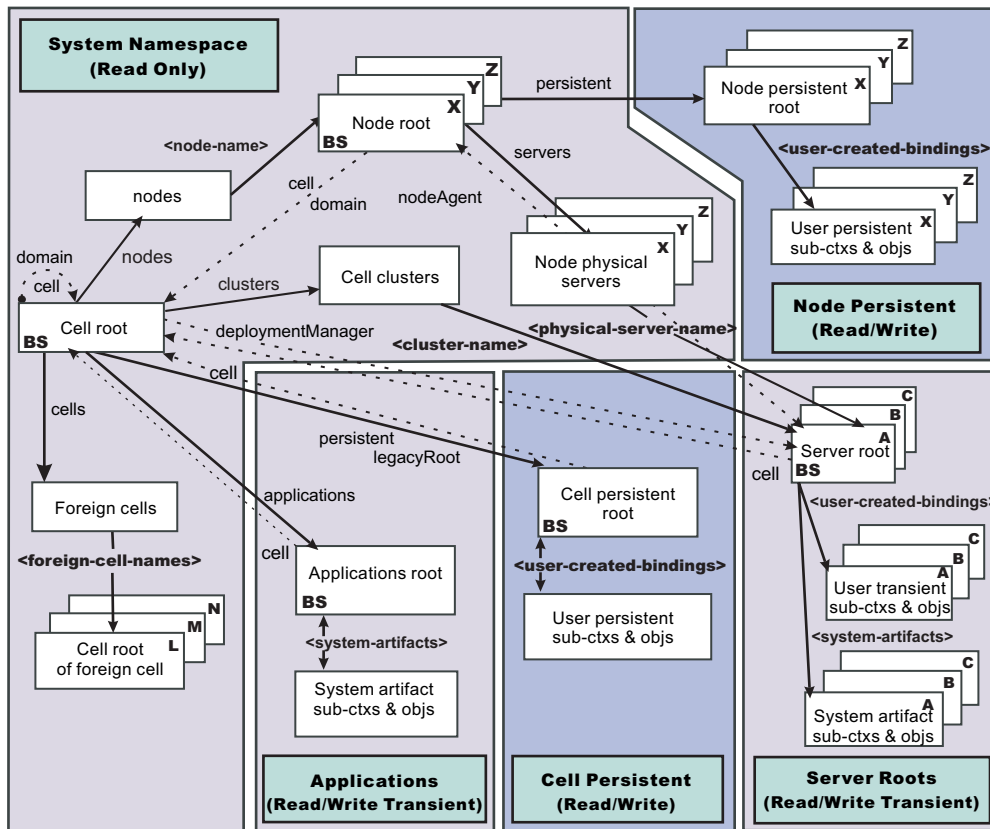
### Namespace logical view

The namespace for the entire cell is federated among all servers in the cell. Every server process contains a name server. All name servers provide the same logical view of the cell namespace.

The various server roots and persistent partitions of the namespace are interconnected by a system namespace. You can use the system namespace structure to traverse to any context in a cell's namespace.

A logical view of the namespace in a multiple-server installation is shown in the following diagram.

**Logical View of a Cell's Namespace**



The bindings in the preceding diagram appear with solid arrows, labeled in bold, and dashed arrows, labeled in gray. Solid arrows represent *primary bindings*. A primary binding is formed when the associated subcontext is created. Dashed arrows show *linked bindings*. A linked binding is formed when an existing context is bound under an additional name. Linked bindings are added for convenience or interoperability with previous WebSphere Application Server versions.

A cell namespace is composed of contexts which reside in servers throughout the cell. All name servers in the cell provide the same logical view of the cell namespace. A name server constructs this view at startup by reading configuration information. Each name server has its own local in-memory copy of the namespace and does not require another running server to function. There are, however, a few exceptions. Server roots for other servers are not replicated among all the servers. The respective server for a server root must be running to access that server root context.

In WebSphere Application Server Network Deployment cells, the cell and node persistent areas can be read even if the deployment manager and respective node agent are not running. However, the deployment manager must be running to update the cell persistent segment, and a node agent must be running to update its respective node persistent segment.

## Namespace partitions

There are five major partitions in a cell namespace:

- System namespace partition
- Server roots partition
- Cell persistent partition
- Node persistent partition
- Applications partition

### System namespace partition

The system namespace contains a structure of contexts based on the cell topology. The system structure supports traversal to all parts of a cell namespace and to the cell root of other cells, which are configured as foreign cells. The root of this structure is the cell root. In addition to the cell root, the system structure contains a node root for each node in the cell. You can access other contexts of interest specific to a node from the node root, such as the node persistent root and server roots for servers configured in that node.

All contexts in the system namespace are read-only. You cannot add, update, or remove any bindings.

### Server roots partition

Each server in a cell has a server root context. A server root is specific to a particular server. You can view the server roots for all servers in a cell as being in a transient read/write partition of the cell namespace. System artifacts, such as enterprise bean (EJB) homes for server applications and resources, are bound under the server root context of the associated server. A server application can also add bindings under its server root. These bindings are transient. Therefore, the server application creates all required bindings at application startup, so they exist anytime the application is running.

A server cluster is composed of many servers that are logically equivalent. Each member of the cluster has its own server root. These server roots are not replicated across the cluster. In other words, adding a binding to the server root of one member does not propagate it to the server roots of the other cluster members. To maintain the same view across the cluster, you should create all user bindings under the server root by the server application at application startup so that the bindings are present under the server root of each cluster member. Because of Workload Management (WLM) behavior, a JNDI client outside a cluster has no control over which cluster member's server root context becomes the target of the JNDI operation. Therefore, you should execute bind operations to the server root of a cluster member from within that cluster member process only.

Server-scoped configured name bindings are relative to a server's server root.

The name of a cluster member must be unique within a cell and must be different from the cell name.

### Cell persistent partition

The root context of the cell persistent partition is the cell persistent root. A binding created under the cell persistent root is saved as part of the cell configuration and continues to exist until it is explicitly removed. Applications that need to create additional persistent bindings of objects generally associated with the cell can bind these objects under the cell persistent root.

It is important to note that the cell persistent area is not designed for transient, rapidly changing bindings. The bindings are more static in nature, such as part of an application setup or configuration, and are not created at run time.

The cell persistent area can be read even if the deployment manager is not running. However, the deployment manager must be running to update the cell persistent segment. Because every server contains its own copy of the cell persistent partition, any server can look up locally objects bound in the cell persistent partition.

Cell-scoped configured name bindings are relative to a cell's cell persistent root.

## Node persistent partition

The node persistent partition is similar to the cell partition except that each node has its own node persistent root. A binding created under a node persistent root is saved as part of that node configuration and continues to exist until it is explicitly removed.

Applications that need to create additional persistent bindings of objects associated with a specific node can bind those objects under that particular node's node persistent root. As with the cell persistent area, it is important to note that the node persistent area is not designed for transient, rapidly changing bindings. These bindings are more static in nature, such as part of an application setup or configuration, and are not created at run time.

The node persistent area for a node can be read from any server in the node even if the respective node agent is not running. However, the node agent must be running to update the node persistent area, or for any server outside the node to read from that node persistent partition. Because every server in a node contains its own copy of the node persistent partition for its node, any server in the node can look up locally objects bound in that node persistent partition.

Node-scoped configured name bindings are relative to a node's node persistent root.

## Applications partition

The Java EE 6 specification introduces module, application, and global namespaces. Java URL JNDI names that have the prefixes `java:module`, `java:app`, and `java:global` can access the respective namespaces. In some situations, the namespaces are only locally accessible, and in other situations the namespaces are remotely accessible.

The applications partition contains namespaces that are remotely accessible. The root of the `java:global` namespace is the applications root context. The roots of other namespaces are under the `com.ibm.ws.AppNameSpaces` subcontext. For example, the `java:app` root context for the application, `MyApp`, is bound with the name, `MyApp/root` relative to `com.ibm.ws.AppNameSpaces`. Module and component namespaces are only accessible remotely when the module is a client module in a server-deployed mode or in a federated mode. For example, the `java:module` root context for the server-deployed client module `MyClientModule` in the application `MyApp` is bound with the name `MyApp/MyClientModule/root` relative to `com.ibm.ws.AppNameSpaces`. The component namespace, which contains `comp/env` bindings, for that same module is bound under `MyApp/MyClientModule/ClientComponent/root` relative to `com.ibm.ws.AppNameSpaces`.

Application resources—such as EJB references, resource references, and environment entries—with `java:global` names are bound into the `java:global` namespace when the defining application is installed. The application does not need to be running for those name bindings to be available to other applications.

Resources defined in applications with `java:global` names are bound in the application partition for all servers in the cell when the data is distributed to their respective nodes. Applications can look up those objects from any server in the cell. EJB homes are also bound in the `java:global` namespace with names of the form `java:global/appName/moduleName/beanName`, but only in servers in which the enterprise beans run. However, `java:global` lookups on any EJB can be resolved from any server in the cell.

## Initial context support

All naming operations begin with obtaining an initial context. You can view the initial context as a starting point in the namespace. Use the initial context to perform naming operations, such as looking up and binding objects in the namespace.

## Initial contexts registered with the ORB as initial references

The root contexts listed in the following table are registered with the name server's ORB and can be used as an initial context. An initial context is used by CORBA and enterprise bean applications as a starting point for namespace lookups. The keys for these roots as recognized by the ORB are shown in the following table:

<b>Server Root</b>	<b>NameServiceServerRoot</b>
<b>Cell Persistent Root</b>	NameServiceCellPersistentRoot
<b>Cell Root</b>	NameServiceCellRoot, NameService
<b>Node Root</b>	NameServiceNodeRoot
<b>Applications Root</b>	NameServiceApplicationsRoot

A server root initial context is the server root context for the specific server you are accessing. Similarly, a node root initial context is the node root for the server being accessed.

You can use the previously mentioned keys in CORBA INS object URLs (corbaloc and corbaname) and as an argument to an ORB `resolve_initial_references` call. For examples, see CORBA and JNDI programming examples, which show how to get an initial context.

## Default initial contexts

The default initial context depends on the type of client. Different categories of clients and the corresponding default initial context follow.

### WebSphere Application Server JNDI interface implementation

The JNDI interface is used by EJB applications to perform namespace lookups. WebSphere Application Server clients by default use the WebSphere Application Server CosNaming JNDI plug-in implementation. The default initial context for clients of this type is the server root of the server specified by the provider URL. For more details, refer to the JNDI programming examples on getting initial contexts.

### Other JNDI implementation

Some applications can perform namespace lookups with a non-product CosNaming JNDI plug-in implementation. Assuming the key `NameService` is used to obtain the initial context, the default initial context for clients of this type is the cell root.

### CORBA

The standard CORBA client obtains an initial `org.omg.CosNaming.NamingContext` reference with the key `NameService`. The initial context in this case is the cell root.

## Lookup names support in deployment descriptors and thin clients

Server application objects, such as enterprise bean (EJB) homes, are bound relative to the server root context for the server in which the application is installed. Other objects, such as resources, can also be bound to a specific server root. The names used to look up these objects must be qualified so as to select the correct server root. This topic discusses what relative and qualified names are, when they can be used, and how you can construct them.

**Note:** Beginning in Version 8.0, EJB homes are bound under the name, `java:global/appName/moduleName/beanName`. Names of that form are not topology-based and are fully-qualified already. Similarly, all application resources that are bound with `java:global`, `java:app`, or `java:module` names need no additional qualification when the `java:global`, `java:app`, or `java:module` lookup name is specified. Application resources include, for example, EJB references, resource references, and environment entries.

## Relative names

All names are relative to a context. Therefore, a name that can be resolved from one context in the namespace cannot necessarily be resolved from another context in the namespace. This point is significant because the system binds objects with names relative to the server root context of the server in which the application is installed. Each server has its own server root context. The initial Java Naming and

Directory Interface (JNDI) context is by default the server root context for the server identified by the provider URL used to obtain the initial context. (Typically, the URL consists of a host and port.) For applications running in a server process, the default initial JNDI context is the server root for that server. A relative name will resolve successfully when the initial context is obtained from the server which contains the target object, but it will not resolve successfully from an initial context obtained from another server.

If all clients of a server application run in the same server process as the application, all objects associated with that application are bound to the same initial context as the clients' initial context. In this case, only names relative to the server's server root context are required to access these server objects. Frequently, however, a server application has clients that run outside the application's server process. The initial context for these clients can be different from the server application's initial context, and lookups on the relative names for server objects may fail. These clients need to use the qualified name for the server objects. This point must be considered when setting up the `jndiName` values in a Java Platform, Enterprise Edition (Java EE) client application deployment descriptors and when constructing lookup names in thin clients. Qualified names resolve successfully from any initial context in the cell.

## Qualified names

All names are relative to a context. Here, the term *qualified name* refers to names that can be resolved from any initial context in a cell. This action is accomplished by using names that navigate to the same context, the cell root. The rest of the qualified name is then relative to the cell root and uniquely identifies an object throughout the cell. All initial contexts in a server (that is, all naming contexts in a server registered with the ORB as an initial reference) contain a binding with the name `cell`, which links back to the cell root context. All qualified names begin with the string `cell/` to navigate from the current initial context back to the cell root context.

A qualified name for an object is the same throughout the cell. The name can be topology-based, or some fixed name bound under the cell persistent root. Topology-based names, described in more detail below, navigate through the system namespace to reach the target object. A fixed name bound under the cell persistent root has the same qualified name throughout the cell and is independent of the topology. Creating a fixed name under the cell persistent root for a server application object requires an extra step when the server application is installed, but this step eliminates impacts to clients when the application is moved to a different location in the cell topology. The process for creating a fixed name is described later in this section.

Generally, you **must** use qualified names for EJB `jndiName` values in a Java EE client application deployment descriptors and for EJB lookup names in thin clients. The only exception is when the initial context is obtained from the server in which the target object resides. For example, a session bean which is a client to an entity bean can use a relative name if the two beans run in the same server. If the session bean and entity beans run in different servers, the `jndiName` for the entity bean must be qualified in the session bean's deployment descriptors. The same requirement may be true for resources as well, depending on the scope of the resource.

- **Topology-based names**

The system namespace partition in a cell's namespace reflects the cell's topology. This structure can be navigated to reach any object bound into the cell's namespace. Topology-based qualified names include elements from the topology which reflect the object's location within the cell.

For a system-bound object, such as an EJB home, the form for a topology-based qualified name depends on whether the object is bound to a single server or cluster. Both forms are described below.

### Single server

An object bound in a single server has a topology-based qualified name of the following form:

```
cell/nodes/nodeName/servers/serverName/relativeJndiName
```

where *nodeName* and *serverName* are the node name and server name for the server where the object is bound, and *relativeJndiName* is the unqualified name of the object; that is, the object's name relative to its server's server root context.

## Server cluster

An object bound in a server cluster has a topology-based qualified name of the following form:

```
cell/clusters/clusterName/relativeJndiName
```

where *clusterName* is the name of the server cluster where the object is bound, and *relativeJndiName* is the unqualified name of the object; that is, the object's name relative to a cluster member's server root context.

- **Fixed names**

It is possible to create a fixed name for a server object so that the qualified name is independent of the cell topology. This quality is desirable when clients of the application run in other server processes or as pure clients. Fixed names have the advantage of not changing if the object is moved to another server. The *jndiName* values in deployment descriptors for a Java EE client application can reference the qualified fixed name for a server object regardless of the cell topology on which the client or server application is being installed.

Defining a cell-wide fixed name for a server application object requires an extra step after the server application is installed. That is, a binding for the object must be created under the cell persistent root. A fixed name bound under the cell persistent root can be any name, but all names under the cell persistent root must be unique within the cell because the cell persistent root is global to the entire cell.

A qualified fixed name has the form:

```
cell/persistent/fixedName
```

where *fixedName* is an arbitrary fixed name.

The binding can be created programmatically (for example, using JNDI). However, it is probably more convenient to configure a cell-scoped binding for the server object.

You must keep the programmatic or configured binding up-to-date. Configured EJB bindings are based on the location of the enterprise bean within the cell topology, and moving the EJB application to another server, for example, requires the configured binding to be updated. Similar changes affect an EJB home reference programmatically bound so that the fixed name would need to be rebound with a current reference. However, for Java EE clients, the *jndiName* value for the object, and for thin clients, the lookup name for the object, remains the same. In other words, clients that access objects by fixed names are not affected by changes to the configuration of server applications they access.

## Using lookup names in deployment descriptor bindings

Java EE applications can contain deployment descriptors, such as *ejb-ref*, *resource-ref*, and *resource-env-ref*, that are used to declare various types of references. These reference declarations define *java:comp/env* lookup names that are available to corresponding Java EE components. Each *java:comp/env* lookup name must be mapped to a lookup name in the global name space, relative to the server root context, which is the default initial JNDI context.

If a reference maps to an object that is bound under the server root context for the same server as the component that is executing the lookup, you can use a relative lookup name. If a reference maps to an object that is bound under the server root context of another server, you must qualify the lookup name. For example, you must qualify a lookup name if a servlet, that is running on one server, declares an *ejb-ref* for an EJB that is running on another server. Similarly, if the reference maps to an object that is bound into a persistent partition of the name space, or to an object that is bound through a cell-scoped or node-scoped configured name space binding, you must use a qualified name.

You can specify deployment descriptor reference binding values when you install the application, and edit them after the application is installed. If you need to change the JNDI lookup name a reference maps to, in the administrative console, click **Applications > Application Types > WebSphere enterprise applications > *application\_name***. In the References section, there are links that correspond to the various reference types, such as EJB references and Resource environment entry references, that are declared by this application. Click on the link for the reference type that you need to change, and then

specify a new value in the **Target Resource JNDI Name** field.

## JNDI support in WebSphere Application Server

The product includes a name server to provide shared access to Java components, and an implementation of the `javax.naming` JNDI package which supports user access to the name server through the Java Naming and Directory Interface (JNDI) naming interface.

WebSphere Application Server does **not** provide implementations for:

- `javax.naming.directory` or
- `javax.naming.ldap` packages

Also, WebSphere Application Server does **not** support interfaces defined in the `javax.naming.event` package.

However, to provide access to LDAP servers, the development kit shipped with WebSphere Application Server supports the Sun Microsystems implementation of:

- `javax.naming.ldap` and
- `com.sun.jndi.ldap.LdapCtxFactory`

The WebSphere Application Server JNDI implementation is based on the JNDI interface, and was tested with the Sun Microsystems JNDI Service Provider Interface (SPI).

The default behavior of this JNDI implementation is adequate for most users. However, users with specific requirements can control certain aspects of JNDI behavior.

## Configured name bindings

Administrators can configure bindings into the namespace. A configured binding is different from a programmatic binding in that the system creates the binding every time a server is started, even if the target context is in a transient partition.

Administrators can add name bindings to the namespace through the configuration. Name servers add these configured bindings to the namespace view, by reading the configuration data for the bindings. Configuring bindings is an alternative to creating the bindings from a program. Configured bindings have the advantage of being created each time a server starts, even when the binding is created in a transient partition of the namespace. Cell-scoped configured bindings provide a fixed qualified name for server application objects.

### Scope

You can configure a binding at one of the following four scopes: cell, node, server, or cluster. Cell-scoped bindings are created under the cell persistent root context. Node-scoped bindings are created under the node persistent root context for the specified node. Server-scoped bindings are created under the server root context for the selected server. Cluster-scoped bindings are created under the server root context in each member of the selected cluster.

The scope you select for new bindings depends on how the binding is to be used. For example, if the binding is not specific to any particular node, cluster, or server, or if you do not want the binding to be associated with any specific node, cluster, or server, a cell-scoped binding is a suitable scope. Defining fixed names for enterprise beans to create fixed qualified names is just such an application. If a binding is to be used only by clients of an application running on a particular server (or cluster), or if you want to configure a binding with the same name on different servers (or clusters) which resolve to different objects, a server-scoped (or cluster-scoped) binding would be appropriate. Note that two servers or clusters can have configured bindings with the same name but resolve to different objects. At the cell scope, only one binding with a given name can exist.



## Intermediate contexts

Intermediate contexts created with configured bindings are read-only. For example, if an EJB home binding is configured with the name `some/compound/name/ejbHome`, the intermediate contexts `some`, `some/compound`, and `some/compound/name` will be created as read-only contexts. You cannot add, update, or remove any read-only bindings.

The configured binding name cannot conflict with existing bindings. However, configured bindings can use the same intermediate context names. Therefore, a configured binding with the name `some/compound/name2/ejbHome2` does not conflict with the previous example name.

## Configured binding types

Types of objects that you can bind follow:

### **EJB: EJB home installed in some server in the cell**

The following data is required to configure an EJB home binding:

- JNDI name of the EJB server or server cluster where the enterprise bean is deployed
- Target root for the configured binding (scope)
- The name of the configured binding, relative to the target root.

A cell-scoped EJB binding is useful for creating a fixed lookup name for an enterprise bean so that the qualified name is not dependent on the topology.

**Note:** In stand-alone servers, an EJB binding resolving to another server cannot be configured because the name server does not read configuration data for other servers. That data is required to construct the binding.

### **CORBA: CORBA object available from some CosNaming name server**

You can identify any CORBA object bound into some INS compliant CosNaming server with a `corbaname` URL. The referenced object does not have to be available until the binding is actually referenced by some application.

The following data is required in order to configure a CORBA object binding:

- The `corbaname` URL of the CORBA object
- An indicator if the bound object is a context or leaf node object (to set the correct CORBA binding type of context or object)
- Target root for the configured binding
- The name of the configured binding, relative to the target root

### **Indirect: Any object bound in WebSphere Application Server namespace accessible with JNDI**

Besides CORBA objects, this includes `javax.naming.Referenceable`, `javax.naming.Reference`, and `java.io.Serializable` objects. The target object itself is not bound to the namespace. Only the information required to look up the object is bound. Therefore, the referenced name server does not have to be running until the binding is actually referenced by some application. The following data is required in order to configure an indirect JNDI lookup binding:

- JNDI provider URL of name server where object resides
- JNDI lookup name of object
- Target root for the configured binding (scope)
- The name of the configured binding, relative to the target root.

A cell-scoped indirect binding is useful when creating a fixed lookup name for a resource so that the qualified name is not dependent on the topology. You can also achieve this topology by widening the scope of the resource definition.

### **String: String constant**

You can configure a binding of a string constant. The following data is required to configure a string constant binding:

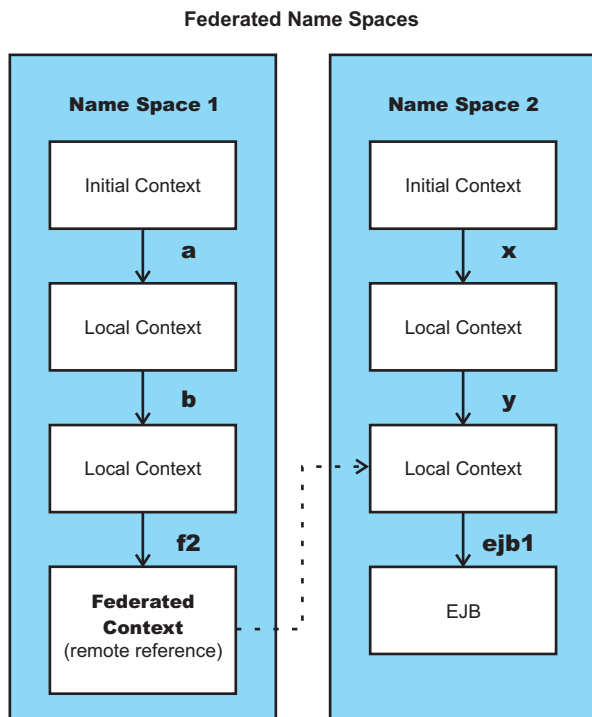
- String constant value

- Target root for the configured binding (scope)
- The name of the configured binding, relative to the target root

## Namespace federation

Federating namespaces involves binding contexts from one namespace into another namespace.

For example, assume that a namespace, Namespace 1, contains a context under the name a/b. Also assume that a second namespace, Namespace 2, contains a context under the name x/y. (See the following illustration.) If context x/y in Namespace 2 is bound into context a/b in Namespace 1 under the name f2, the two namespaces are federated. Binding f2 is a federated binding because the context associated with that binding comes from another namespace. From Namespace 1, a lookup of the name a/b/f2 returns the context bound under the name x/y in Namespace 2. Furthermore, if context x/y contains an enterprise bean (EJB) home bound under the name ejb1, the EJB home can be looked up from Namespace 1 with the lookup name a/b/f2/ejb1. Notice that the name crosses namespaces. This fact is transparent to the naming client.



In a product namespace, you can create federated bindings with the following restrictions:

- Federation is limited to CosNaming name servers. A product name server is a Common Object Request Broker Architecture (CORBA) CosNaming implementation. You can create federated bindings to other CosNaming contexts. You cannot, for example, bind contexts from an LDAP name server implementation.
- If you use JNDI to federate the namespace, you must use a WebSphere Application Server initial context factory to obtain the reference to the federated context. If you use some other initial context factory implementation, you might not be able to create the binding or the level of transparency might be reduced.
- A federated binding to a non-product naming context has the following functional limitations:
  - JNDI operations are restricted to the use of CORBA objects. For example, you can look up EJB homes, but you cannot look up non-CORBA objects such as data sources.

- JNDI caching is not supported for non-product namespaces. This restriction affects the performance of lookup operations only.
- If security is enabled, the product does not support federated bindings to non-product namespaces.
- Do not federate two product stand-alone server namespaces. Incorrect behavior might result. If you want to federate product namespaces, use servers running under the WebSphere Application Server, Network Deployment package of WebSphere Application Server.
- When federating the namespaces of two cells running a WebSphere Application Server, Network Deployment package of WebSphere Application Server, the names of the cells must be different. Otherwise, incorrect behavior can result.

## Naming roles

The Java 2 Platform, Enterprise Edition (J2EE) role-based authorization concept is extended to protect the CosNaming service.

CosNaming security offers increased granularity of security control over CosNaming functions. CosNaming functions are available on CosNaming servers such as the WebSphere Application Server. They affect the content of the name space. Generally two ways are acceptable in which client programs result in CosNaming calls. The first is through the Java Naming and Directory Interface (JNDI) methods. The second is CORBA clients invoking CosNaming methods directly.

The following security roles exist. However, the roles have an authority level from low to high as shown in the following list. The list also provides the security-related interface methods for each role. The interface methods that are not listed are either not supported or not relevant to security.

- **CosNamingRead.** Users who are assigned the CosNamingRead role can do queries of the name space, such as through the JNDI lookup method. The Everyone special-subject is the default policy for this role.

*Table 38. CosNamingRead role packages and interface methods. The following table lists the CosNamingRead role packages and interface methods:*

Package	Interface methods
javax.naming	<ul style="list-style-type: none"> <li>• Context.list</li> <li>• Context.listBindings</li> <li>• Context.lookup</li> <li>• NamingEnumeration.hasMore</li> <li>• NamingEnumeration.next</li> </ul>
org.omg.CosNaming	<ul style="list-style-type: none"> <li>• NamingContext.list</li> <li>• NamingContext.resolve</li> <li>• BindingIterator.next_one</li> <li>• BindingIterator.next_n</li> <li>• BindingIterator.destroy</li> </ul>

- **CosNamingWrite.** Users who are assigned the CosNamingWrite role can do write operations (such as JNDI bind, rebind, or unbind) plus CosNamingRead operations. As a default policy, Subjects are not assigned this role.

*Table 39. CosNamingWrite role packages and interface methods. The following table lists the CosNamingWrite role packages and interface methods:*

Package	Interface methods
javax.naming	<ul style="list-style-type: none"> <li>• Context.bind</li> <li>• Context.rebind</li> <li>• Context.rename</li> <li>• Context.unbind</li> </ul>
org.omg.CosNaming	<ul style="list-style-type: none"> <li>• NamingContext.bind</li> <li>• NamingContext.bind_context</li> <li>• NamingContext.rebind</li> <li>• NamingContext.rebind_context</li> <li>• NamingContext.unbind</li> </ul>

- **CosNamingCreate.** Users who are assigned the CosNamingCreate role are allowed to create new objects in the name space through JNDI createSubcontext operations plus CosNamingWrite operations. As a default policy, Subjects are not assigned this role.

Table 40. CosNamingCreate role packages, interface methods. The following table lists the CosNamingCreate role packages, interface methods:

Package	Interface methods
javax.naming	Context.createSubcontext
org.omg.CosNaming	NamingContext.bind_new_context

- **CosNamingDelete.** Users who are assigned the CosNamingDelete role can destroy objects in the name space, for example by using the JNDI destroySubcontext method and CosNamingCreate operations. As a default policy, Subjects are not assigned this role.

Table 41. CosNamingDelete role packages and interface methods. The following table lists the CosNamingDelete role packages and interface methods:

Package	Interface methods
javax.naming	Context.destroySubcontext
org.omg.CosNaming	NamingContext.destroy

**Important:** The javax.naming package applies to the CosNaming JNDI service provider only. All of the variants of a JNDI interface method have the same role mapping.

If the caller is not authorized, the packages listed in the previous tables exhibit the following behavior:

#### javax.naming

This package creates the javax.naming.NoPermissionException exception, which maps NO\_PERMISSION from the CosNaming method invocation to NoPermissionException.

#### org.omg.CosNaming

This package creates the org.omg.CORBA.NO\_PERMISSION exception.

Users, groups, or the AllAuthenticated and Everyone special subjects can be added or removed to or from the naming roles from the WebSphere Application Server administrative console at any time. However, you must restart the server for the changes to take effect. A best practice is to map groups or one of the special-subjects, rather than specific users, to Naming roles because it is more flexible and easier to administer in the long run. By mapping a group to a naming role, adding or removing users to or from the group occurs outside of WebSphere Application Server and does not require a server restart for the change to take effect.

If a user is assigned a particular naming role and that user is a member of a group that is assigned a different naming role, the user is granted the most permissive access between the role that is assigned and the role the group is assigned. For example, assume that the MyUser user is assigned the CosNamingRead role. Also, assume that the MyGroup group is assigned the CosNamingCreate role. If the MyUser user is a member of the MyGroup group, the MyUser user is assigned the CosNamingCreate role because the user is a member of the MyGroup group. If the MyUser user is not a member of the MyGroup group, is assigned the CosNamingRead role.

The CosNaming authorization policy is only enforced when administrative security is enabled. When administrative security is enabled, attempts to do CosNaming operations without the proper role assignment result in a org.omg.CORBA.NO\_PERMISSION exception from the CosNaming server.

In WebSphere Application Server, each CosNaming function is assigned to one role only. Therefore, users who are assigned the CosNamingCreate role cannot query the name space unless they also are assigned the CosNamingRead role. In most cases, a creator needs three roles assigned: CosNamingRead, CosNamingWrite, and CosNamingCreate. The CosNamingRead and CosNamingWrite roles assignment for

the creator example in above have been included in CosNamingCreate role. In most cases, WebSphere Application Server administrators do not have to change the roles assignment for every user or group when they move to this release from a previous one.

Although the ability exists to greatly restrict access to the name space by changing the default policy, doing so might result in unexpected org.omg.CORBA.NO\_PERMISSION exceptions at runtime. Typically, J2EE applications access the name space and the identity is that of the user that authenticated to WebSphere Application Server when the J2EE application is accessed. Unless the J2EE application provider clearly communicates the expected naming roles, fully consider changing the default naming authorization policy.

## Foreign cell bindings

If you have applications in a cell that access other applications in another cell, you can configure a foreign cell name binding for the other cell. A *foreign cell name binding* is a context binding that resolves to the Cell Root context of the other cell. All applications in the local cell can look up objects in the foreign cell through the foreign cell binding.

Foreign cell bindings limit bootstrap address information for a foreign cell to a single location, instead of placing in the local cell's application deployment data the bootstrap address information contained in every foreign cell reference. If the bootstrap address for the foreign cell changes, you only need to update the foreign cell binding. You do not need to update the deployment data for any application in the local cell that looks up application objects in the foreign cell through the foreign cell binding.

For example, assume the foreign cell CellB has a cell-scoped EJB namespace binding configured with a name in the namespace of ejb/AccountHome. Applications running in CellB would look up the home with a JNDI name of cell/persistent/ejb/AccountHome. (J2EE applications would actually use a java:comp/env name that maps to that JNDI name through deployment descriptor data.) If you configure a foreign cell binding to CellB in the local cell, applications running in the local cell can look up AccountHome with a JNDI name of cell/cells/CellB/persistent/ejb/AccountHome. In both lookup names, the suffix persistent/ejb/AccountHome is relative to the Cell Root context in CellB.

The foreign cell and the local cell must have different names.

## Naming and directories: Resources for learning

Additional information and guidance on naming and directories is available on various Internet sites.

Use the following links to find relevant supplemental information about naming and directories. The information resides on IBM and non-IBM Internet sites, whose sponsors control the technical accuracy of the information.

The naming service provided with WebSphere Application Server Versions 6.x and 7.0 is the same as that provided for Version 5, thus information on the Version 5.0 naming and directories applies to Versions 6.x and 7.0.

The following links are provided for convenience. Often, the information is not specific to the IBM WebSphere Application Server product, but is useful all or in part for understanding the product. When possible, links are provided to technical papers and Redbooks that supplement the broad coverage of the release documentation with in-depth examinations of particular product areas.

## Programming instructions and examples

- Naming in WebSphere Application Server V5: Impact on Migration and Interoperability, [http://www.ibm.com/developerworks/websphere/library/techarticles/0305\\_weiner/weiner.html](http://www.ibm.com/developerworks/websphere/library/techarticles/0305_weiner/weiner.html)
- *WebSphere Application Server V6.1: System Management Configuration Handbook*, SG24-7304-00, <http://www.redbooks.ibm.com/abstracts/SG247304.html?Open>

- *IBM WebSphere Developer Technical Journal: Co-hosting multiple versions of J2EE applications*, [http://www.ibm.com/developerworks/websphere/techjournal/0405\\_poddar/0405\\_poddar.html](http://www.ibm.com/developerworks/websphere/techjournal/0405_poddar/0405_poddar.html)

### **Programming specifications**

- Specifications and API documentation

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## Chapter 20. Object Request Broker (ORB)

This page provides a starting point for finding information about the Object Request Broker (ORB). The product uses an ORB to manage communication between client applications and server applications as well as among product components. These Java Platform, Enterprise Edition (Java EE) standard services are relevant to the ORB: Remote Method Invocation/Internet Inter-ORB Protocol (RMI/IIOP) and Java Interface Definition Language (Java IDL).

The ORB provides a framework for clients to locate objects in the network and call operations on those objects as though the remote objects were located in the same running process as the client, providing location transparency.

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### Object Request Brokers

An Object Request Broker (ORB) manages the interaction between clients and servers, using the Internet InterORB Protocol (IIOP). It enables clients to make requests and receive responses from servers in a network-distributed environment.

The ORB provides a framework for clients to locate objects in the network and to call operations on those objects as if the remote objects are located in the same running process as the client, providing location transparency. The client calls an operation on a local object, known as a *stub*. The stub forwards the request to the remote object, where the operation runs and the results are returned to the client.

The client-side ORB is responsible for creating an IIOP request that contains the operation and required parameters, and for sending the request on the network. The server-side ORB receives the IIOP request, locates the target object, invokes the requested operation, and returns the results to the client. The client-side ORB demarshals the returned results and passes the result to the stub, which, in turn, returns to the client application, as if the operation had been run locally.

This product uses an ORB to manage communication between client applications and server applications as well as communication among product components. During product installation, default property values are set when the ORB is initialized. These properties control the run-time behavior of the ORB and can also affect the behavior of product components that are tightly integrated with the ORB, such as security. This product does not support the use of multiple ORB instances.

### Logical pool distribution

The Logical pool distribution (LPD) thread pool mechanism implements a strategy for improving the performance of requests that have shorter run times. Do not configure LPD unless you have already configured it in a previous release of the product.

**depfat:** LPD is a deprecated function and will be removed in a future version of the product.

The need for LPD is indicated by a mixture of Enterprise JavaBeans (EJB) requests where the run times vary across the request types, and the ORB thread pool must be constrained for performance reasons. In this case, longer run time requests might tend to prolong the response times for shorter requests by denying them adequate access to threads in the thread pool. LPD provides a mechanism that allows shorter requests greater access to the threads.

LPD divides the Object Request Broker (ORB) thread pool into logical pools, as configured by the administrator using ORB custom properties starting that start with the following:

```
com.ibm.websphere.threadpool.strategy.*
```

The size of each pool is a percentage of the maximum number of ORB threads. The sum of the logical pool percentages must equal 100.

When LPD is active, incoming ORB requests are vectored, or pointed, to a pool based on historical run time history for the request type. The request type is determined by the method, which is qualified internally as unique across components. The LPD mechanism adjusts pool targets at runtime to optimize the distribution of requests across logical pools.

The LPD mechanism can be tuned after it is enabled. Response time, throughput measurements, and statistics produced by the LPD mechanism drive the tuning process.

## **Object Request Brokers: Resources for learning**

Use the following links to find relevant supplemental information about Object Request Brokers (ORBs). The information resides on IBM and non-IBM Internet sites, whose sponsors control the technical accuracy of the information.

These links are provided for convenience. Often, the information is not specific to this product but is useful all or in part for understanding the product. When possible, links are provided to technical papers and Redbooks that supplement the broad coverage of the release documentation with in-depth examinations of particular product areas.

View links to additional information about:

- “Planning, business scenarios, and IT architecture”
- “Administration”
- “Programming specifications”

### **Planning, business scenarios, and IT architecture**

- CORBA FAQ
  - Getting started with Object Request Brokers and CORBA.
- WebSphere Application Server CORBA Interoperability
  - This document describes WebSphere CORBA interoperability for WebSphere Application Server products.
- CORBA Interoperability Samples
  - These samples demonstrate the general principles by which WebSphere Application Server applications can interoperate with CORBA applications.

### **Administration**

- IANA Character Set Registry
  - This document contains a list of all valid character encoding schemes.
- developerWorks WebSphere

### **Programming specifications**

- Catalog Of OMG CORBA/IIOP Specifications
  - This document provides a catalog of OMG CORBA/IIOP specifications.



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## Chapter 21. About OSGi Applications

The OSGi Applications support in WebSphere Application Server helps you develop and deploy modular applications that use both Java EE and OSGi technologies. You can design and build applications and suites of applications from coherent, versioned, reusable OSGi modules that are accessed only through well-defined interfaces. This enables the same, or different, applications to use different versions of the same third party libraries without interference.

OSGi technology has long been associated with solving application development challenges around complexity, extensibility and maintenance. Since WebSphere Application Server Version 6.1, OSGi technologies have been used internally as an infrastructure foundation to build the application server product as a componentized runtime. To bring OSGi infrastructure benefits to enterprise application developers in the form of an enterprise Java programming model, the OSGi Alliance Enterprise Expert Group (EEG) was formed in 2007. The EEG has focused on the specification of common web applications technologies for an OSGi environment, including the Blueprint component model. This model standardizes many of the simplicity and unit test benefits of dependency injection frameworks such as the Spring Framework, and is now part of the OSGi Service Platform Release 4 Version 4.2 Enterprise Specification.

Apache Aries is an open community project that brings the modularity, dynamism, and versioning of the OSGi service platform to enterprise application developers by implementing key EEG specifications. Apache Aries delivers a simple to use and lightweight programming model for web applications that combines the standard Blueprint component model with familiar Java enterprise technologies. Apache Aries includes an implementation of the OSGi service platform Version 4.2 Blueprint component model for fine-grained assembly, and provides an assembly model for applications that consist of multiple modules.

The OSGi Applications support in WebSphere Application Server includes the following major features:

- Use the OSGi Service Platform Release 4 Version 4.2 Enterprise Specification Blueprint Container for declarative assembly of components. This simplifies unit test outside of the application server.
- Use extensions to the Blueprint component model for declarative transactions and container-managed Java Persistence API (JPA).
- Develop OSGi application projects using IBM Rational Application Developer, which enforces OSGi visibility rules so that projects can only access packages from other projects that explicitly declare them as part of the project externals. This provides environmental support to development best practices.
- Compose isolated enterprise applications using multiple, versioned bundles with dynamic life cycle.
- Deploy applications in archive files that contain only application-specific content and metadata that points to shared bundles. This means that application archive files can be smaller. It also means that, when a library is shared by several OSGi applications, only one copy of the library is loaded into memory.
- Use an integrated bundle repository, and configure the locations of external repositories, to support the provisioning of bundles to applications.
- Deploy existing web application archive (WAR) files as web application bundles (WABs). This allows web applications to use the OSGi module system.
- Deploy web applications that use Version 3.0 of the Java Servlet Specification.
- Simultaneously load multiple versions of classes in the same application, using standard OSGi mechanisms.
- Administratively update deployed applications in a modular fashion, at the bundle-level.
- Deploy applications that use their own versions of common utility classes, distinct from the versions that are used by the server runtime environment. Do this without needing to configure application Java EE class loader policies, such as PARENT\_LAST mode.
- Use federated lookup mechanisms between the local Java Naming and Directory Interface (JNDI) and the OSGi service registry.

- Extend and scale running applications, as business needs change, without changing the underlying application.
- Update a running application, only impacting those bundles affected by the update.

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## An introduction to OSGi Applications

The OSGi Applications feature of WebSphere Application Server integrates Apache Aries technologies, including the Blueprint Container and OSGi application assembly model, into WebSphere Application Server. The integration of Apache Aries into WebSphere Application Server addresses many of the challenges of developing and maintaining extensible web applications.

Using OSGi Applications, you can deploy and manage your web applications as a set of versioned OSGi bundles. You can also configure one or more bundle repositories, as part of the provisioning infrastructure, to host common bundles used by multiple applications, and to simplify the deployment of applications that use those common bundles.

## Business goals and OSGi Applications

The OSGi Applications support in WebSphere Application Server brings the modularity, dynamism, and versioning of the OSGi service platform to enterprise web application developers. This reduces complexity, and provides the greatest flexibility to maintain and evolve an application after its first release. You can use OSGi Applications to combine the standard Blueprint component model with familiar Java enterprise technologies.

OSGi Applications support is focused on the web-based technologies that many applications use. This includes the Spring Dynamic Modules project, which many web applications use for fine-grained component assembly and management, and which inspired the OSGi Blueprint component model. WebSphere Application Server provides an implementation of the OSGi Blueprint Container that was developed in the Apache Aries project. Applications that are composed from Blueprint components can rely on the Blueprint Container that the application server runtime environment provides, in contrast to Spring-based applications, which include the Spring container as part of the application itself.

OSGi modularity provides standard mechanisms to address common challenges with enterprise Java applications. The OSGi Applications support in WebSphere Application Server provides the following major benefits:

- It helps your applications to be more portable, easier to re-engineer, and more adaptable to changing requirements.
- It provides the declarative assembly and simplified unit test of dependency injection frameworks such as the Spring Framework, but in a standardized and IBM-supported form that is provided as part of the application server run time rather than being a third-party library deployed as part of the application.
- It integrates fully with the Java EE programming model, giving you the option of deploying a web application as a set of versioned OSGi bundles with dynamic life cycles.
- It supports administration of application bundle dependencies and versions, which simplifies and standardizes third-party library integration.
- It provides isolation for enterprise applications that are composed of multiple, versioned bundles with dynamic life cycles.
- It has a built-in bundle repository that can host common and versioned bundles shared between multiple applications, so that each application does not deploy its own copy of each common library.
- It can access external bundle repositories.
- It reinforces service-oriented design at the module level.
- It composes into coarser-grained Service Component Architecture (SCA) assemblies.

When you use the OSGi Applications support in WebSphere Application Server, you are using a standards-based programming model, and also gaining the well-understood benefits of WebSphere Application Server administration, performance and enterprise-level qualities of service.

## The modularization challenge

OSGi is a dynamic module system for Java. So how does it help?

Effective software modules have the following characteristics:

- **Self-contained:** Although a module is comprised of smaller parts, it is the whole module that can be moved around, installed, or uninstalled as a single unit, not the parts within it.
- **Highly cohesive:** Each module has a coherent logical function.
- **Loosely-coupled:** Modules have well-defined boundaries between them.

Modularized systems that have these characteristics are easier to maintain and extend.

Object-oriented languages such as Java support modularization. However, they focus on encapsulation of instance variables. This helps at the object and class level, but does not support higher forms of modularity. Java EE helps a little more by providing application-level isolation of application modules within an enterprise application.

Patterns such as SOA and Dependency Injection encourage modular design of large-scale enterprise applications. However, this modularity requires architectural governance rather than being encouraged or enforced by the runtime environment.

In the Java platform, data is encapsulated within a class, classes are scoped within a package, and packages are collected together in a Java archive (JAR) file. Java class visibility options are *private*, *package*, *protected*, and *public*. There is no access modifier that allows for a unit of deployment that is a JAR file rather than a package. Most JAR files consist of multiple packages, and if the JAR file represents a cohesive function, there is typically a need for classes in one package to access classes in another package in the same JAR file. This need requires *public* accessibility of that class, which also makes the class visible to classes in other JAR files. JAR files provide no visibility control. Even well-behaved applications that use only the classes a JAR file provider expects to be used externally are governed by the Java class path, because a required class might be available from multiple JAR files and the class that is loaded is the first available instance on the global class path.

JAR files cannot scope the visibility of what they contain, and also cannot declare their own dependencies. Many JAR files have implicit dependencies on other JAR files, which means these JAR files cannot be installed or moved around independently. If a JAR file is installed and its dependencies are missing, the problem is often not visible until run time.

Java class loading scans the class path to look inside each JAR file on the class path to locate the required class. This process has three main limitations:

- Class path ordering determines which instance of a class is loaded, and therefore which JAR file it is loaded from.
- Only one version of a class is available on the class path, again determined by the first instance that is found.
- If the dependencies of a class are not resolved, the first indication of the problem is often a runtime `ClassNotFoundException` exception.

These class path and JAR file shortcomings are often referred to as “JAR hell”. Java EE partly mitigates these problems. Java EE introduces the enterprise archive (EAR) file, both as the method by which an enterprise application is delivered, and as a runtime isolation scope for the modules that are part of that application. Java EE applications have a class loader hierarchy that is partly shared between the enterprise applications, and partly isolated between the applications. For example, in an enterprise

application that contains a web application archive (WAR) module, by default, the individual WAR modules are isolated from each other in the application, and isolated from anything in a different application.

While the “JAR hell” problems are reduced by managing different class paths with different enterprise applications, there are still limitations when you want to share libraries such as open source frameworks or utility libraries between applications. WebSphere Application Server offers some advanced options for configuring enterprise applications to access libraries that are not delivered as part of the EAR file:

- You can install an isolated library and administratively associate its classloader with one or more installed modules or applications, or associate the classloader with the server to make it visible to all application modules.
- You can configure the classloader delegation pattern to help resolve versioning compatibility problems. For example, you can specify the class loader delegation mode as parent-last so that an application-supplied class is loaded in preference to a server-supplied class.

However, these approaches only partially address the modularity requirements of applications. “The OSGi Framework” offers a better solution.

## The OSGi Framework

OSGi defines a dynamic module system for Java. The OSGi service platform has a layered architecture, and is designed to run on a variety of standard Java profiles.

OSGi Applications deployed to WebSphere Application Server run on an Enterprise Java profile that is provided as part of the server runtime environment. This environment also provides the OSGi framework in which OSGi Applications run. Eclipse Equinox is the reference implementation of the OSGi Service Platform Release 4 Version 4.2 Enterprise Specification, and WebSphere Application Server uses Equinox as the framework for OSGi Applications. The precise version of Equinox depends on the service level of WebSphere Application Server.

The integrated OSGi framework in WebSphere Application Server provides support for each of the layers of the OSGi Architecture:

- “Modules layer”
- “Life-cycle layer” on page 363
- “Services layer” on page 363

### Modules layer

The unit of deployment in OSGi is a bundle. The modules layer is where the OSGi Framework processes the modular aspects of a bundle. The metadata that enables the OSGi Framework to do this is provided in a bundle manifest file. For more information about this file, see “Example: OSGi bundle manifest file” on page 391.

One key advantage of OSGi is its class loader model, which uses the metadata in the manifest file. There is no global class path in OSGi. When bundles are installed into the OSGi Framework, their metadata is processed by the module layer and their declared external dependencies are reconciled against the versioned exports declared by other installed modules. The OSGi Framework works out all the dependencies, and calculates the independent required class path for each bundle. This approach resolves the shortcomings of plain Java class loading by ensuring that the following requirements are met:

- Each bundle provides visibility only to Java packages that it explicitly exports.
- Each bundle declares its package dependencies explicitly.
- Packages can be exported at specific versions, and imported at specific versions or from a specific range of versions.
- Multiple versions of a package can be available concurrently to different clients.

## Life-cycle layer

The bundle life-cycle management layer in OSGi enables bundles to be dynamically installed, started, stopped, and uninstalled, independent from the life cycle of the application server. The life-cycle layer ensures that bundles are started only if all their dependencies are resolved, reducing the occurrence of `ClassNotFoundException` exceptions at run time. If there are unresolved dependencies, the OSGi Framework reports these and does not start the bundle.

Each bundle can provide a bundle activator class, which is identified in the bundle manifest, that the framework calls on start and stop events. In this way, a bundle can provide special initialization and cleanup code if required, although most OSGi applications that are deployed to WebSphere Application Server should not need to do so. If a bundle needs a template bundle activator, you can use IBM Rational Application Developer Version 8 to generate one.

## Services layer

The services layer in OSGi intrinsically supports a service orientated architecture through its non-durable service registry component. Bundles publish services to the service registry, and other bundles can discover these services from the service registry.

These services are the primary means of collaboration between bundles. An OSGi service is a Plain Old Java Object (POJO), published to the service registry under one or more Java interface names, with optional metadata stored as custom properties (name/value pairs). A discovering bundle can look up a service in the service registry by an interface name, and can potentially filter the services that are being looked up based on the custom properties.

Services are fully dynamic, and typically have the same lifecycle as the bundle that provides them. OSGi Applications in WebSphere Application Server usually interact with the OSGi service registry through a Blueprint module definition. POJO bean components that are described in the Blueprint module definition can be registered as services through a `<service>` element, or can have service references injected into them through a `<reference>` element.

## Enterprise OSGi

OSGi for Java enterprise applications is one focus of Version 4.2 of the OSGi service platform specification, which introduces the OSGi Service Platform Enterprise Specification.

This specification includes the definition of the Blueprint component model, which is derived from the Spring Dynamic Modules project. The Blueprint component model forms an important part of the OSGi Applications programming model in WebSphere products. It describes how components can be wired together within a bundle, how components can be published as services to the service registry, and how components can have configuration and dependencies injected into them by a Blueprint component container that is part of the runtime environment.

Components and the references that they consume are declared in an XML module Blueprint file, which is a standardization of the Spring application context. This is extended for the OSGi environment so that components can be automatically published as services for the service registry, and references can be automatically resolved to services discovered from the service registry.

The Blueprint component model provides the simplicity of dependency injection frameworks such as the Spring Framework, including the ability to form a unit test that is separate from the server environment. Blueprint standardizes the configuration metadata, and brings governance to the specification of the component model.

The specification also describes how to use Java Naming and Directory Interface (JNDI) and Java Persistence API (JPA) in an OSGi framework, and web application bundles (WABs).

## The WebSphere programming model and OSGi

The OSGi Applications programming model in WebSphere Application Server enables you to develop, assemble, and deploy modular applications that use Java EE and OSGi technologies. You can use tooling to deploy an enterprise application as an OSGi application that consists of one or more OSGi bundles.

The benefits of deploying an application as a set of bundles are described in “Business goals and OSGi Applications” on page 360.

The OSGi Applications programming model in WebSphere Application Server enables both new and existing applications to be deployed as OSGi applications. Existing web applications that consist of one or more web application archives (WARs) can be deployed as an OSGi application in which each WAR is converted to a web application bundle (WAB) as described in “Conversion of an enterprise application to an OSGi application” on page 406. You can develop new applications as OSGi Application projects as described in Developing and deploying an OSGi application. A new application might consist of two bundles, where each one contains business logic in plain old Java objects (POJOs), wired together through a Blueprint module definition. The granularity of the bundle is such that each bundle has a coherent function in the context of an enterprise application. One bundle provides a service that the other bundle requires. The Blueprint Container in WebSphere Application Server wires the components in a bundle by creating component (bean) instances and injecting its dependencies. When a component in Bundle B offers a service that Bundle A requires, the Blueprint Container takes care of registering the service in the service registry and injecting a service reference into the consuming component in Bundle A.

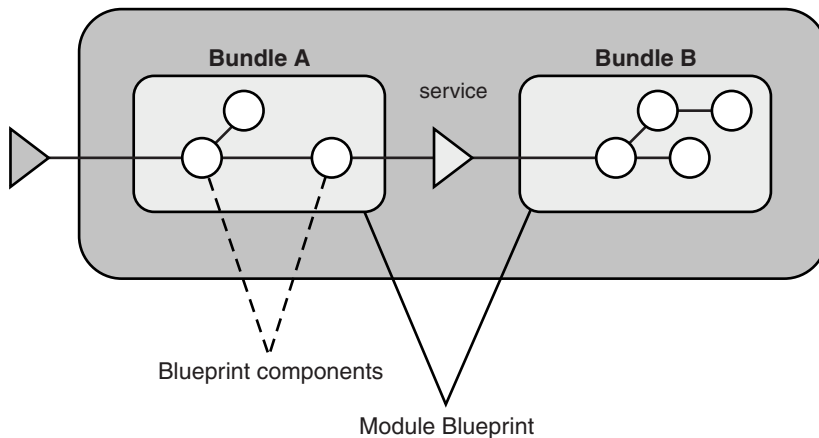


Figure 76. OSGi application with two bundles

For example, consider an application that represents a weblog service. A weblog business logic bundle exports a service that can be consumed by a web module that handles HTTP clients for this service. The weblog bundle depends on two other bundles; one to provide persistence to a database, and one to provide a service so that readers of the weblog can post comments to the weblog. The weblog business logic bundle consists internally of three components whose configuration and references are injected into them at run time.

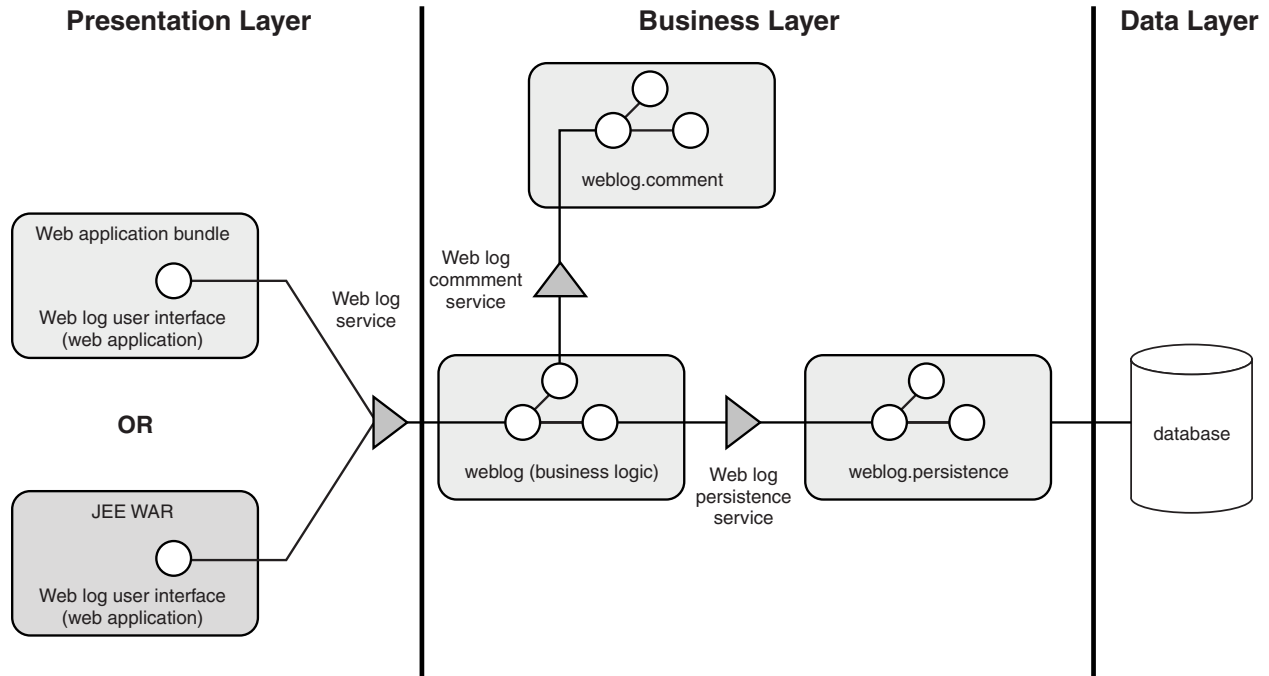


Figure 77. Example application for a weblog service

If you have used a dependency injection framework such as the Spring Framework, the Extensible Markup Language (XML) module Blueprint configuration for the components in the weblog business bundle will be familiar. Each component is defined, along with the references and configurations that need to be injected. Specifically:

- Each service that needs to be automatically published to the service registry is defined.
- Each reference that needs to be resolved automatically from the service registry for injection into a component is declared.

OSGi services provide a convenient way to represent dependencies between bundles. Services have the same life cycle as the bundle that provides them. The underlying server run time wires services dynamically when bundles are started and stopped.

## Unit test

Unit test for Blueprint components is simplified by the dependency injection pattern, which allows one bean to access another bean without having to implement any code to create the bean instance. The Blueprint Container creates the required bean instance, using information contained in the Blueprint configuration file. This eliminates compiled dependencies on either the OSGi Framework or the application server runtime environment. In the weblog application example, you can write a Java unit test for the weblog component that can run in a simple Java SE environment, or an integrated development environment (IDE), with no need to install the component to its target runtime environment. The unit test can include the following actions:

- Creation of a new weblog comment bean, rather than creation by the Blueprint Container.
- Injection of the configuration that is relevant to the test.
- Testing the function of the weblog comment bean.

## OSGi Applications support

An OSGi application is a collection of one or more OSGi modules that together provide a coherent business function. An OSGi application can consist of modules of many different types. For example, the

weblog example described earlier might consist of bundles with web content (web application bundles), bundles with Blueprint contexts, and bundles with JPA entities and persistence configuration (persistence bundles).

The modules that are contained in an OSGi application can offer OSGi services. The OSGi Applications support isolates those OSGi services so that they are not visible outside the application, unless they are explicitly configured to be exported from the application. OSGi applications have several ways to accept workloads:

- An OSGi application can include web bundles to process HTTP workloads.
- When the modules that are contained in an application offer OSGi services, an OSGi application can export one or more of those services to other OSGi applications.

An OSGi application isolates the OSGi services that are offered to modules that are contained in the application. The modules cannot consume services outside the application unless they are explicitly configured to import them. These imported services might be proxies to other OSGi application services or proxies to remote services (for example, web services).

In the following example, an OSGi application consists of three bundles. The application exposes one service, the web log service, and imports one service, the User authoring service. Within the application, the web log persistence service is exported by the weblog.persistence bundle and imported by the weblog bundle, but must not be exposed outside the application. The application isolation hides the web log persistence service from outside the application.

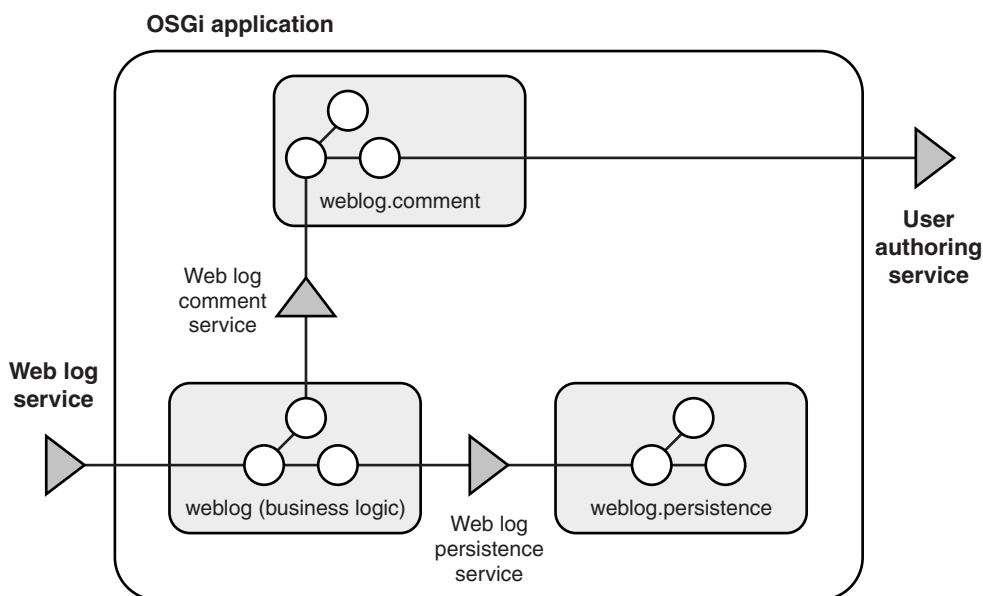


Figure 78. Bundles and services in an example application for a weblog service

OSGi Applications support provides a modularity construct at application level to describe an isolated application, including metadata that describes the constituent bundles of an application. A deployment system can use this to determine the constituent bundles that must be provisioned and deployed when the application is installed to a target server.

An OSGi application consists of a set of bundles, in an isolation scope that is defined by application metadata. An OSGi application is deployed as an enterprise bundle archive (EBA) file. This file contains the constituent bundles for the application, or the metadata required to get the constituent bundles from an OSGi bundle repository, or both. If an OSGi application produces any external services and references, these are explicitly made available by declaring them in an application manifest. Similarly, any external



services and references that the OSGi application must satisfy are declared in the application manifest. The application manifest describes modularity at the application level in a similar way to OSGi headers in a bundle manifest file that define modularity at the bundle level.

The following examples illustrate the flexible ways in which an OSGi application can be deployed as an EBA file, with and without application-level isolation, and optionally exploiting a shared OSGi bundle repository. Each example extends the previous one.

- The OSGi application has an application-specific copy of each bundle on disk and in memory. Each bundle is at version 1.0.0.
- The OSGi application references one bundle from a bundle repository, requiring version 1.0.0 or later to be present in the bundle repository. There is one less bundle on disk. However, the same number of bundles are loaded into memory, because each bundle is isolated for each application in memory so there is still one copy of each bundle for the application in memory.
- The OSGi application contains all the application bundles, but a later version of one bundle is in the configured bundle repository and is within the version range in the application manifest. The installed application is provisioned to use the bundle from the bundle repository, rather than the bundle that is included in the application. An example of typical use is using a governed bundle repository to deliver critical fixes to shared bundles.
- The OSGi application declares a dependency on a bundle that is not isolated to the application through the Use-Bundle header in the application manifest. Bundle dependencies that are declared this way are loaded from a bundle space that all applications share. This reduces the memory footprint for applications that do not need component instances from the bundle to be isolated in the OSGi application. This action is equivalent to configuring WebSphere Application Server Version 7 to associate an isolated shared library class loader with a JAR file.

---

## The Blueprint Container

The Blueprint Container specification defines a dependency injection framework for OSGi and is an OSGi Alliance standard. It provides a simple programming model to create dynamic applications in the OSGi environment.

The Blueprint Container specification deals with the dynamic nature of OSGi, where services can become available and unavailable at any time. The specification also works with plain old Java objects (POJOs), so that the same objects can be used inside and outside the OSGi framework. For example, you can write and unit test simple components in a Java Platform, Standard Edition (JSE) environment without needing to know how they are assembled.

Key factors in the Blueprint programming model are the Blueprint XML files that define and describe the assembly of various components. The specification describes how the components are instantiated and wired together to form a module that runs.

This information describes frequently-used aspects of the Blueprint Container. For more detail, see the OSGi Service Platform Release 4 Version 4.2 Enterprise Specification.

## Blueprint bundles

A Blueprint bundle is a bundle that contains one or more Blueprint XML files. The Blueprint Container specification uses an extender pattern. An extender bundle monitors the state of bundles in the framework and acts on behalf of those bundles, based on the state of those bundles.

The Blueprint extender bundle waits for the bundles to be activated and checks whether each one is a Blueprint bundle. The Blueprint XML files are at a fixed location in the OSGI-INF/blueprint/ directory, or are specified explicitly in the Bundle-Blueprint manifest header. When a bundle is a Blueprint bundle, the extender bundle creates a Blueprint Container for that bundle.

The Blueprint Container parses the Blueprint XML files, instantiates the components, wires components together, and registers services. During initialization, the Blueprint Container ensures that mandatory service references are satisfied, registers all the services into the service registry, and creates initial component instances.

When a Blueprint bundle is stopped, the Blueprint extender bundle destroys the Blueprint Container for that bundle.

## Blueprint XML

A Blueprint XML file is identified by a top-level blueprint element and contains definitions of component managers such as a bean manager, a service manager, and service reference managers.

A Blueprint XML file is identified by a top-level Blueprint element, as shown in the following `blueprint.xml` example code.

```
<?xml version="1.0" encoding="UTF-8"?>
<blueprint xmlns="http://www.osgi.org/xmlns/blueprint/v1.0.0">
  ...
</blueprint>
```

The XML namespace identifies that the document conforms to the Blueprint version 1.0.0. The top-level Blueprint element identifies the document as a Blueprint module definition.

The Blueprint XML file contains definitions of various component managers. The Blueprint Container specification defines four main component managers:

- A bean manager creates an instance of a Java object with the given arguments and properties. A bean manager can create single or multiple object instances, depending on the scope settings. It can also manage the life cycle of an object and notify it when all properties have been injected or when it is being destroyed.
- A service manager registers and unregisters a service in the OSGi service registry.
- Two service reference managers provide access to the services registered in the OSGi service registry:
  - A reference manager provides an object that is a proxy to the service that is registered in the service registry.
  - A reference-list manager provides a dynamic list of either service proxy objects or service reference objects that are currently in the service registry.

Each component manager creates components and manages the life cycle of those components. When requested, the managers provide a component instance. Each manager has a corresponding XML element that describes the manager properties. The managers can be top-level managers or declared inline within other manager definitions. All component managers can have the following attributes:

**id** This optional attribute defines the ID of a top-level manager. The ID must be unique for all top-level managers in the Blueprint Container. If you do not specify this attribute, a unique ID is generated automatically. Managers use the ID to refer to each other. For example, during injection, the manager asks the referenced managers to provide an object that is injected into the component that the manager is creating.

You do not set the `id` attribute for managers that are declared inline within other manager definitions, because these managers are considered to be anonymous.

### activation

This optional attribute defines the activation mode of the manager. The following activation modes are supported:

- **eager**. The manager is activated during initialization of the Blueprint Container. This is the default.

A service manager is published into the service registry and activates the bean manager that underlies the service.

- lazy. The manager is activated on demand. A manager is activated when it is requested to provide its first component instance.  
For a bean manager, the bean is instantiated only when another bean manager first accesses it.  
For a service manager, the service manager is published into the service registry, but it does not activate the bean manager that underlies the service.

To change the default activation mode for all managers in the Blueprint XML file, set the default-activation attribute on the Blueprint element.

Each manager has its own activation and deactivation steps. A manager is deactivated when the Blueprint Container is destroyed.

### dependsOn

This optional attribute defines the explicit dependencies of a manager. It specifies a list of manager IDs, where those managers must be activated before this manager is activated. A manager can also have implicit dependencies, which are defined by the references to other managers in a manager definition.

## Beans and the Blueprint Container

In the Blueprint programming model, you declare beans by using the bean element. You specify argument elements to provide the arguments that are used for object construction, and you specify property elements to provide the injected properties.

You can specify the value of argument and property elements by using a value or ref attribute, or you can specify them inline in an element. The ref attribute specifies the ID of a top-level manager, and is used to obtain an object from the referenced manager as the argument or property value. The inline value can be any XML value that is described in “Object values and the Blueprint Container” on page 375.

The following bean.xml example code defines a single bean called accountOne that is implemented by the org.apache.aries.simple.Account plain old Java object (POJO).

```
<?xml version="1.0" encoding="UTF-8"?>
<blueprint xmlns="http://www.osgi.org/xmlns/blueprint/v1.0.0">
  <bean id="accountOne" class="org.apache.aries.simple.Account" />
</blueprint>
```

### Bean Construction

To construct an object, first the Blueprint Container finds the correct constructor or factory method with a compatible set of parameters that match the arguments that are specified in the XML. By default, the Blueprint Container uses the number and order of the argument elements in XML to find the right constructor or method. If the argument elements in their current order do not map to the parameters, the Blueprint Container reorders the argument elements and attempts to find the best-fitting arrangement.

You can specify additional attributes, such as index or type, on the argument element so that it is easier for the Blueprint Container to find the correct constructor, method, or parameter arrangement. For example, the type attribute specifies a class name used to match the argument element to a parameter by the exact type.

To construct a bean, you can do one of the following:

- Use a class constructor.
- Use a static factory method .
- Use an instance factory method.

The following partial Java class and Blueprint XML example code shows how to construct a bean by using a class constructor. The class attribute specifies the name of the Java class to instantiate. The Blueprint Container creates the Account object by passing the value 1 as the argument to the constructor.

```

public class Account {
    public Account(long number) {
        ...
    }
    ...
}
<bean id="accountOne" class="org.apache.aries.simple.Account">
    <argument value="1"/>
</bean>

```

The following partial Java class and Blueprint XML example code shows how to construct a bean by using a static factory method. The class attribute specifies the name of the class that contains a static factory method. The factory-method attribute specifies the name of the static factory method. The Blueprint Container calls the createAccount() static method on the StaticAccountFactory class and passes the value 2 as the argument to create the Account object.

```

public class StaticAccountFactory {
    public static Account createAccount(long number) {
        return new Account(number);
    }
}
<bean id="accountTwo" class="org.apache.aries.simple.StaticAccountFactory"
    factory-method="createAccount">
    <argument value="2"/>
</bean>

```

The following partial Java class and Blueprint XML example code shows how to construct a bean by using an instance factory method. You use two managers; one manager is a factory, and the other uses the factory to create an object. The factory-ref attribute specifies the ID of a top-level bean or a reference manager that acts like a factory. The provided factory object must have a factory method, as specified by the factory-method attribute.

The accountFactory bean is the factory. The Blueprint Container first creates the AccountFactory instance with its own arguments and properties. In this example, a single argument, the factory name, is specified. The Blueprint Container then calls the createAccount() method on the AccountFactory instance and passes the value 3 as the argument to create the Account object.

```

public class AccountFactory {
    public AccountFactory(String factoryName) {
        ...
    }
    public Account createAccount(long number) {
        return new Account(number);
    }
}
<bean id="accountFactory" class="org.apache.aries.simple.AccountFactory">
    <argument value="account factory"/>
</bean>

<bean id="accountThree"
    factory-ref="accountFactory"
    factory-method="createAccount">
    <argument value="3"/>
</bean>

```

## Bean properties

You can use the property element to inject property values into beans. Properties are injected immediately after the bean is constructed. The following partial Java class and Blueprint XML example code creates the Account bean, then sets the description property by using the Java Beans naming convention.

```

public class Account {
    public Account(long number) {
        ...
    }
}

```

```

    }
    public void setDescription(String desc) {
        ...
    }
}
<bean id="accountOne" class="org.apache.aries.simple.Account">
  <argument value="1"/>
  <property name="description" value="#1 account"/>
</bean>

```

You can use property injection to wire beans together. In the following Blueprint XML example code, the `accountOne` bean is injected with a `Currency` bean.

```

public class Account {
    public Account() {
        ...
    }
    public void setCurrency(Currency c) {
        ...
    }
}

public class Currency {
    public Currency() {
        ...
    }
}

<bean id="accountOne" class="org.apache.aries.simple.Account">
  <property name="currency" ref="currency" />
</bean>

<bean id="currency" class="org.apache.aries.simple.Currency" />

```

## Services and the Blueprint Container

In the Blueprint programming model, you use a service element to define the registration of a service in the OSGi service registry. You use the `ref` attribute to reference the bean that provides the service object. You use the `interface` attribute to specify the interfaces under which the service is registered.

See the following partial Java class and Blueprint XML example code.

```

public class AccountImpl implements Account {
    public AccountImpl() {
        ...
    }
}

<service id="serviceOne" ref="account"
  interface="org.apache.aries.simple.Account" />

<bean id="account" class="org.apache.aries.simple.AccountImpl" />

```

You can specify the bean that provides the service object inline in the service element, as shown in the following Blueprint XML example code.

```

<service id="serviceTwo" interface="org.apache.aries.simple.Account">
  <bean class="org.apache.aries.simple.AccountImpl" />
</service>

```

You can use the `auto-export` attribute to set the interfaces under which a service is registered. The following Blueprint XML example code registers the service under all the interfaces of the bean.

```

<service id="serviceOne" ref="account" auto-export="interfaces" />

<bean id="account" class="org.apache.aries.simple.AccountImpl" />

```

The default value for the `auto-export` attribute is disabled. Other values are `class-hierarchy` and `all-classes`.

## Service properties

You can register a service with a set of properties by using the `service-properties` element. The `service-properties` element contains multiple entry elements that represent the individual properties. You specify the property key by using a `key` attribute. You specify the property value as a `value` attribute, or inline in the element. Service property values can be different types, but must be only OSGi service property types, that is, one of the following types:

- primitive
- primitive wrapper class
- collection
- array of primitive types

The following Blueprint XML example code shows a service registration with two service properties. The active service property has type of `java.lang.Boolean`. The mode property is of the default type, `String`.

```
<service id="serviceFour" ref="account" autoExport="all-classes">
  <service-properties>
    <entry key="active">
      <value type="java.lang.Boolean">true</value>
    </entry>
    <entry key="mode" value="shared"/>
  </service-properties>
</service>
```

## Service ranking

You can use service ranking to control the choice of service when there are multiple matches. If there are two services, the higher ranked service is returned before the lower ranked one. The default ranking value is 0. The following Blueprint XML example code shows how to specify service ranking by using the `ranking` attribute.

```
<service id="serviceFive" ref="account" auto-export="all-classes" ranking="3" />
```

## References and the Blueprint Container

In the Blueprint programming model, you use the `reference` element to find services in the service registry, and the `reference-list` element to find multiple matching services.

The following Blueprint XML example code shows the `accountRef` reference that refers to an `Account` service. If a service that matches this reference is found in the service registry, the service is set on the `accountClient` bean through the `account` property.

```
<bean id="accountClient" class="...">
  <property name="account" ref="accountRef" />
</bean>
```

```
<reference id="accountRef" interface="org.apache.aries.simple.Account" />
```

## Reference dynamism

The object that is injected for a reference is a proxy to the service that is registered in the service registry. By using a proxy, the injected object remains the same when the availability of the backing service varies, or the backing service is replaced. If there are calls on a proxy that does not have a backing service, those calls block until a service becomes available or a timeout occurs. The default timeout in the Blueprint component is 300000 milliseconds (5 minutes). If a timeout occurs, a `ServiceUnavailableException` exception is created and the Blueprint Container is destroyed. See the following example code.

```
try {
    balance = account.getBalance();
} catch (ServiceUnavailableException e) {
    ...
}
```

You can change the timeout for each bundle by using directives in the Bundle-SymbolicName header in the bundle manifest, META-INF/MANIFEST.MF. The following example switches the timeout for a bundle off (the default is true).

```
Bundle-SymbolicName: org.apache.aries.simple.account;  
    blueprint.graceperiod:=false
```

The following example sets the timeout for a bundle to 10000 milliseconds (10 seconds).

```
Bundle-SymbolicName: org.apache.aries.simple.account; blueprint.timeout=10000;
```

You can set the timeout for an individual reference by using the timeout attribute. The following example XML code sets the timeout for the accountRef reference to 20000 milliseconds (20 seconds).

```
<reference id="accountRef" timeout="20000"  
    interface="org.apache.aries.simple.Account" />
```

For both a bundle and a reference, a timeout value of 0 means wait indefinitely for the reference to be satisfied.

## Reference lists

You can use the reference-list element to find multiple matching services. The reference-list element provides a List object that contains the service proxy objects or ServiceReference objects, depending on the value of the member-type attribute (the default value is service-object). The List object that is provided is dynamic and expands or contracts when matching services are added or removed from the service registry. The List object is read-only and supports only a subset of the List API.

The proxies in a reference-list element target a specific service and do not have a timeout. If the backing service for a proxy becomes unavailable, a ServiceUnavailableException exception is created immediately.

The following Blueprint XML example code shows a reference-list that returns a list of service objects (proxies).

```
<reference-list id="accountRefs" member-type="service-object"  
    interface="org.apache.aries.simple.Account" />
```

The following Blueprint XML example code shows a reference-list that returns a list of ServiceReference objects.

```
<reference-list id="accountRefs" member-type="service-reference"  
    interface="org.apache.aries.simple.Account" />
```

## Availability

By default, a service reference manager requires that at least one suitable service exists before initialization of the Blueprint Container can continue. That is, a service that matches the selection criteria of the reference or reference list must exist. To control this behavior, you use the availability attribute of the reference or reference-list element. The availability attribute can have the following values:

### optional

The existence of a service that matches the selection criteria of the element during initialization of the Blueprint Container is optional. A service reference manager with optional availability is always considered satisfied, even if it does not have any matching services.

### mandatory

At least one service that matches the selection criteria of the element during initialization of the Blueprint Container must exist. For a service reference manager with mandatory availability, if it has a matching service, it is considered satisfied. This is the default.

Initialization of the Blueprint Container is delayed until all service reference managers with mandatory availability are satisfied.

The availability attribute applies only during initialization of the Blueprint Container. After initialization, a service reference manager that has mandatory availability can become unsatisfied at any time, when services become unavailable or available again.

To change the default availability setting for all service reference managers in the Blueprint XML, you use the default-availability attribute on the Blueprint element.

The following Blueprint XML example code shows a reference manager that has mandatory availability.

```
<reference id="accountRef" timeout="20000"
  interface="org.apache.aries.simple.Account"
  availability="mandatory"/>
```

## Service selection and proxies

The reference and reference-list managers share the following attributes that you can use to select services:

### interface

Use the interface attribute to specify an interface class. The interface attribute is optional, but if set, it must specify an interface class. The interface class is used to select services or return service proxies.

For service selection, the interface class is used to select services from the service registry registered with that interface name. For service proxies, the proxies that the service reference managers return must implement all the methods that the interface class defines. If the interface attribute is not specified, the proxy behaves as though it implements an interface without any methods.

### component-name

Use the component-name attribute to select services by adding an `osgi.service.blueprint.compname=component_name` expression to the selection filter.

**filter** Use the filter attribute to select services by specifying the raw OSGi filter expression to add to the selection filter.

The three attributes combine to create one OSGi filter expression to use to select services.

For example, the selection filter for the reference in the following Blueprint XML example code is `(&(objectClass=org.apache.aries.simple.Account)(osgi.service.blueprint.compname=myAccount)(mode=shared))`.

```
<reference id="accountRef"
  interface="org.apache.aries.simple.Account"
  component-name="myAccount"
  filter="(mode=shared)"/>
```

## Scopes and the Blueprint Container

In the Blueprint programming model, you use the scope setting to determine whether a bean manager creates single or multiple object instances.

The Blueprint Container specification defines two scopes:

### singleton

The bean manager creates a single instance of the bean and returns that instance every time that the manager is requested to provide an object. This is the default for top-level bean managers.



## prototype

The bean manager creates a new instance of the bean every time that the manager is requested to provide an object. This is the default for bean managers that are specified inline.

The following Blueprint XML example code shows how to set a singleton scope for a bean manager.

```
<bean id="singletonAccount" class="org.apache.aries.simple.Account"
      scope="singleton">
  <argument value="5"/>
</bean>
```

The following Blueprint XML example code shows how to set a prototype scope for a bean manager.

```
<bean id="prototypeAccount" class="org.apache.aries.simple.Account"
      scope="prototype">
  <argument value="4"/>
</bean>
```

## Object values and the Blueprint Container

The Blueprint Container specification defines XML elements that describe different types of object values and that you can use in manager definitions.

For example, you can use XML value elements in a bean manager to specify argument or property values, or in a service manager to specify the values of service properties. The XML value elements are converted into value objects and injected into the manager components. You can use the following XML value elements:

**ref** The `ref` element defines a reference to a top-level manager. The `component-id` attribute specifies an ID of a top-level manager. The injected value will be the object that the referenced manager returns.

The following partial Java class and Blueprint XML example code shows an example of the `ref` value element. The `accountOne` bean instance is injected into the `managedAccount` property of the `accountManagerTwo` bean.

```
public class AccountManager {
  ...
  public void setManagedAccount(Account account) {
    ...
  }
}

<bean id="accountOne" class="org.apache.aries.simple.Account">
  <argument value="1"/>
  <property name="description" value="#1 account"/>
</bean>

<bean id="accountManagerTwo" class="org.apache.aries.AccountManager">
  <property name="managedAccount">
    <ref component-id="accountOne"/>
  </property>
</bean>
```

**idref** The `idref` element defines an ID of a top-level manager. The injected value is the component-id, as specified by the `component-id` attribute. The `idref` element is used to ensure that a manager with the specified ID actually exists before the manager is activated.

**value** The `value` element represents an object to create from the string content of the element. Optionally, use the `type` attribute to specify a type to convert the string content to. If you do not specify the `type` attribute, the string content is converted to the type that it is injected into.

**null** The `null` element represents Java null.

**list** The `list` element is a collection and represents a `java.util.List` object. Any XML value element that

is described in this section can be a sub-element of this collection. Optionally, you can set the value-type attribute to specify a default type for the collection sub-elements.

**set** The set element is a collection and represents a `java.util.Set` object. Any XML value element that is described in this section can be a sub-element of this collection. Optionally, you can set the value-type attribute to specify a default type for the collection sub-elements.

**array** The array element is a collection and represents an `Object[]` array. Any XML value element that is described in this section can be a sub-element of this collection. Optionally, you can set the value-type attribute to specify a default type for the collection sub-elements.

The following Blueprint XML example code shows how you can combine XML value elements to create a list. The created list will contain the following items:

- The string 123
- A `java.math.BigInteger` object with a value of 456
- A null
- A `java.util.Set` object with two values that are of type `java.lang.Integer`

```
<list>
  <value>123</value>
  <value type="java.math.BigInteger">456</value>
  <null/>
  <set value-type="java.lang.Integer">
    <value>1</value>
    <value>2</value>
  </set>
</list>
```

**props** The props element represents a `java.util.Properties` object where the keys and values are of String type. The prop sub-elements represent the individual properties. To specify the property key, you use a key attribute, and to specify the property value, you use a value attribute or specify the content of the element.

The following Blueprint XML example code shows an example of the props value element.

```
<props>
  <prop key="yes">good</prop>
  <prop key="no" value="bad"/>
</props>
```

**map** The map element represents a `java.util.Map` object where the keys and the values can be arbitrary objects. The entry sub-elements represent the individual properties.

To specify the key, you use a key or key-ref attribute, or specify it inline in a key sub-element. You can specify an inline key by using any XML value element that is described in this section, except the null element. In the Blueprint Container specification, map elements cannot have null keys.

To specify the value, you use a value or value-ref attribute, or specify it inline. You can specify an inline value using any XML value element that is described in this section. However, the inlined key can be specified as any of the XML value elements except the null element.

The key-ref and value-ref attributes specify an ID of a top-level manager and are used to obtain an object from the specified manager as the property key or value. The map element can specify key-type and value-type attributes to define a default type for keys and values.

The following Blueprint XML example code shows an example of the map value element and how the entries of a map object can be constructed in several ways. The created map object will contain the following entries:

- A `myKey1` String key that is mapped to myValue String.
- A key that is an object that the account bean manager returns and that is mapped to myValue String.
- A key that is a `java.lang.Integer` object with a value of 123 and that is mapped to myValue String.

- A myKey2 String key is mapped to a value that is an object that the account bean manager returns.
- A myKey3 String key is mapped to a value that is a java.lang.Long object with a value of 345.
- A key that is a java.net.URI object with a value of urn:ibm and that is mapped to a value that is a java.net.URL object with a value of http://ibm.com value.

```
<map>
  <entry key="myKey1" value="myValue"/>

  <entry key-ref="account" value="myValue"/>
  <entry value="myValue">
    <key>
      <value type="java.lang.Integer">123</value>
    </key>
  </entry>

  <entry key="myKey2" value-ref="account">
  <entry key="myKey3">
    <value type="java.lang.Long">345</value>
  </entry>

  <entry>
    <key>
      <value type="java.net.URI">urn:ibm</value>
    </key>
    <value type="java.net.URL">http://ibm.com</value>
  </entry>
</map>
```

Each manager can also be specified inline as a value. The following Blueprint XML example code shows an inline bean manager.

```
<bean id="accountManagerThree" class="org.apache.aries.AccountManager">
  <property name="managedAccount">
    <bean class="org.apache.aries.simple.Account">
      <argument value="10"/>
      <property name="description" value="Inline Account"/>
    </bean>
  </property>
</bean>
```

## Object life cycles and the Blueprint Container

In the Blueprint programming model, a bean manager can manage the life cycle of the object that it creates. The bean manager can notify an object after all properties are injected, or when an object instance is destroyed.

The Blueprint Container specification defines the following callback methods:

### init-method

Specifies a method to be call after all properties are injected into an object. The method must be public, does not take any arguments, and does not return any value.

### destroy-method

Specifies a method to call when the Blueprint Container destroys the object instance. The method must be public, does not take any arguments, and does not return any value.

The destroy-method callback is not supported for beans with a scope of prototype. In this situation, the application is responsible for destroying those instances.

The following code examples show an example of a Java class with lifecycle methods and a Blueprint XML bean entry that specifies the init-method and destroy-method attributes.

```

public class Account {
    public Account(long number) {
        ...
    }
    public void init() {
        ...
    }
    public void destroy() {
        ...
    }
}

<bean id="accountFour" class="org.apache.aries.simple.Account"
    init-method="init" destroy-method="destroy">
    <argument value="6"/>
    <property name="description" value="#6 account"/>
</bean>

```

## Resource references and the Blueprint Container

Blueprint components can access WebSphere Application Server resource references by using resource reference declarations.

You declare each resource reference in a Blueprint XML file. Each bundle in an OSGi application can contain any number of resource reference declarations in its various Blueprint XML files. You can secure each resource reference by using a Java Platform, Enterprise Edition (Java EE) Connector Architecture (JCA) authentication alias.

Blueprint resource reference bindings are configured when you add the enterprise bundle archive (EBA) asset to a business-level application.

To use resource references, you use the following Blueprint namespace, which contains the resource-reference, res-auth, and res-sharing-scope elements:

<http://www.ibm.com/appserver/schemas/8.0/blueprint/resourcereference>

The following example defines a Blueprint component with a component ID of resourceRef. The component is like a service reference. This component will be bound to a Java Naming and Directory Interface (JNDI) resource that has the JNDI name jdbc/Account and type javax.resource.cci.ConnectionFactory.

```

<blueprint xmlns="http://www.osgi.org/xmlns/blueprint/v1.0.0" xmlns:rr=
    "http://www.ibm.com/appserver/schemas/8.0/blueprint/resourcereference">
    <rr:resource-reference id="resourceRef"
        interface="javax.resource.cci.ConnectionFactory"
        filter="(osgi.jndi.service.name=jdbc/Account)">
        <rr:res-auth>Application</rr:res-auth>
        <rr:res-sharing-scope>Shareable</rr:res-sharing-scope>
    </rr:resource-reference>
</blueprint>

```

To declare a resource reference, you must specify both the interface and filter attributes. The filter must contain a JNDI resource in the form `osgi.jndi.service.name=name`, where *name* is the JNDI name of the resource.

Use the res-auth element to set whether authorization is application-managed or container-managed. The res-auth element takes the following values:

### Application

Authorization is application-managed.

## Container

Authorization is container-managed. This is the default value.

Use the `res-sharing-scope` element to set whether a resource reference can be shared. The `res-sharing-scope` element takes the following values:

### Shareable

A resource reference can be shared

### Unshareable

A resource reference cannot be shared. This is the default value.

You can also use a resource reference to configure a data source for a persistence bundle by using a `blueprint:comp/resource_ref_id` JNDI name. For more information, see “JPA and OSGi Applications” on page 401.

When you secure resource references, those resource references can be bound only to JCA authentication aliases that exist on every server or cluster that the OSGi application is deployed to. An OSGi application can be deployed to multiple servers and clusters that are in the same security domain. Therefore, each JCA authentication alias must exist in either the security domain of the target servers and clusters, or the global security domain.

## Dynamism and the Blueprint Container

In the Blueprint programming model, you can use registration listeners or reference listeners so that a bundle can have control when services become available.

For example, a bundle might have an optional service that it wants to start using when the service becomes available, and stop using when the service becomes unavailable. You can use a reference listener so that the bundle is notified when this optional service becomes available or unavailable.

The service reference managers, that is, a reference manager or a reference-list manager, can have zero or more registration listeners, and zero or more reference listeners.

- Registration listeners are objects that have callback methods invoked immediately after a service is registered, or immediately before a service is unregistered.
- Reference listeners are objects that have callback methods invoked when a service is selected by the service reference manager, or a service is no longer used by the service reference manager.

### Registration listener

To specify a registration listener, use the `registration-listener` element, and use the `registration-method` and `unregistration-method` attributes specify the callback methods. You can specify the object that provides the callback methods as a reference to a top-level manager or inline in the `registration-listener` element.

If the service implements the `ServiceFactory` interface, the registration and unregistration callback methods must have the following signature, where *anyMethod* represents an arbitrary method name.

```
void anyMethod(ServiceFactory, Map)
```

If the service does not implement the `ServiceFactory` interface, the registration and unregistration callback methods must match the following signature.

```
void anyMethod(? super T, Map)
```

The first argument is an instance of the service object. The type `T` must be able to be assigned from the type of the service object. The second argument provides the registration properties that are associated with the service.

If a registration listener has multiple overloaded methods for a callback, every method with a matching signature is invoked.

The following partial Java class and Blueprint XML example code shows a simple registration listener.

```
public class RegistrationListener {
    public void register(Account account, Map properties) {
        ...
    }
    public void unregister(Account account, Map properties) {
        ...
    }
}

<service id="serviceSix" ref="myAccount" auto-export="all-classes">
    <registration-listener
        registration-method="register" unregistration-method="unregister">
        <bean class="org.apache.aries.RegistrationListener"/>
    </registration-listener>
</service>
```

## Reference listener

To specify a reference listener, you use the `reference-listener` element, and use the `bind-method` and `unbind-method` attributes to specify the callback methods. You can specify the object that provides the callback methods as a reference to a top-level manager or inline in the `reference-listener` element.

The `bind` and `unbind` callback methods can have any of the following signatures, where *anyMethod* represents an arbitrary method name:

- `void anyMethod(ServiceReference)`  
The argument is a `ServiceReference` object of the service that is bound or unbound.
- `void anyMethod(? super T)`  
The argument is the service object proxy that is bound or unbound. The type `T` must be able to be assigned from the service object.
- `void anyMethod(? super T, Map)`  
The first argument is the service object proxy that is bound or unbound. The type `T` must be able to be assigned from the service object. The second argument provides the service properties that are associated with the service.

If a reference listener has multiple overloaded methods for a callback, every method with a matching signature is invoked.

For a reference-list manager, the listener callbacks are invoked each time a matching service is added or removed from the service registry. However, for a reference manager, the `bind` callback is not invoked when the manager is already bound to a service and a matching service with lower ranking is added to the service registry. Similarly, the `unbind` callback is not called if the service that the manager is bound to goes away and it is replaced immediately with another matching service.

If you use a reference manager and interact with stateful services, it is important to use reference listeners to track the backing services of the proxy so that you can manage the state of the service appropriately.

The following partial Java class and Blueprint XML example code shows a simple registration listener. The `ReferenceListener` class has two `bind` and one `unbind` callback methods, which are called when the services are bound and unbound from the service reference-list manager.

```

public class ReferenceListener {
    public void bind(ServiceReference reference) {
        ...
    }
    public void bind(Serializable service) {
        ...
    }
    public void unbind(ServiceReference reference) {
        ...
    }
}
<reference-list id="serviceReferenceListTwo"
    interface="org.apache.aries.simple.Account" availability="optional">
    <reference-listener bind-method="bind" unbind-method="unbind">
        <bean class="org.apache.aries.ReferenceListener"/>
    </reference-listener>
</reference-list>

```

## Type converters and the Blueprint Container

During injection, the Blueprint Container converts the XML value elements into value objects that are injected into the manager components. The elements are converted based on the type of the injected property.

The Blueprint Container provides the following built-in conversions:

- - String values can be converted to all primitive types, wrapper types, or any types that have a public constructor that takes a String value.
  - Array elements can be converted to collection objects with compatible member types.
  - List or set elements can be converted to array objects with compatible member types.

The Blueprint Container also supports generics. If the generics information is available, the Blueprint Container uses that information for the conversions. For example, in the following Blueprint XML example code, the list element is converted into a list of `java.util.Long` objects.

```

public class AccountManager {
    ...
    public void setAccountNumbers(List<Long> accounts) {
        ...
    }
}
<bean id="accountManagerFour" class="org.apache.aries.AccountManager">
    <property name="accountNumbers">
        <list>
            <value>123</value>
            <value>456</value>
            <value>789</value>
        </list>
    </property>
</bean>

```

A Blueprint bundle can also provide its own converters. The custom converters are bean managers that provide an object that implements the Blueprint Converter interface. Specify the custom converters in the `type-converters` element under the Blueprint element. When the Blueprint Container is initialized, the type converters are initialized first, so that other managers can use the custom converters. For further details, see the Blueprint Container specification.

## JNDI lookup for blueprint components

If a bundle contains blueprint XML that declares a number of components each with a given ID, those components can be looked up using the Java Naming and Directory Interface (JNDI).

The code that you use has the following form:

```
Object component = new InitialContext().lookup("blueprint:comp/componentId");
```

This mechanism is useful in two different contexts:

- You can declare and configure any number of components of a web application bundle (WAB), which can then be looked up from servlets that are not themselves blueprint-managed.
- You can declare and configure data sources in blueprint XML, then reference them in a `persistence.xml` file.

## Declaring and configuring components of a WAB

A WAB can contain blueprint XML. This can be used to declare and configure any number of components, which can then be looked up from servlets that are not themselves blueprint-managed. One particular use of this is shown in the OSGi blog sample application, in which the web bundle contains the following blueprint code:

```
<blueprint xmlns="http://www.osgi.org/xmlns/blueprint/v1.0.0"
           xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <reference id="blogService"
            interface="com.ibm.samples.websphere.osgi.blog.api.BloggingService"/>
</blueprint>
```

The `JNDIHelper.getBloggingService()` method call includes this code fragment:

```
try {
    InitialContext ic = new InitialContext();
    return (BloggingService) ic.lookup("blueprint:comp/blogService");
} catch (NamingException e) {
```

This code looks up a blueprint-managed reference to an OSGi service. This is useful because blueprint-managed services are *damped* as described in section 121.10.1 of the OSGi Service Platform Release 4 Version 4.2 Enterprise Specification. If the `BloggingService` object is not available when the application code tries to use the reference, the application code waits until the service becomes available again.

Previous versions of the `getBloggingService` method used a lookup of the following form:

```
ic.lookup("osgi:service/com.ibm.samples.websphere.osgi.blog.api.BloggingService");
```

This is not so useful, because the application code can receive a `ServiceUnavailableException` exception if the service is not available when the application code tries to use the `BloggingService` object. For example: If the `BloggingService` object is temporarily unavailable because an in-place update is in progress, the user of the Blog web application can get an HTTP 500 (Internal Error) message in their web browser. With the new form of lookup, web requests wait for a short time (a second or two) until the update completes. Therefore it is easier to write a web application that remains continuously available, from a user perspective, even while the application is being updated in place.

## Declaring and configuring data sources

Data sources can be declared and configured in blueprint XML, then referenced in a `persistence.xml` file. For example, in Apache Aries the `org.apache.aries.jpa.container.itest.bundle/src/main/resources/OSGI-INF/blueprint/config.xml` file includes the following code:



```

<blueprint xmlns="http://www.osgi.org/xmlns/blueprint/v1.0.0">

  <bean id="nonjta" class="org.apache.derby.jdbc.EmbeddedDataSource">
    <property name="databaseName" value="memory:testDB"/>
    <property name="createDatabase" value="create"/>
  </bean>

  <service interface="javax.sql.XADataSource">
    <service-properties>
      <entry key="transactional" value="true"/>
    </service-properties>
    <bean class="org.apache.derby.jdbc.EmbeddedXADataSource">
      <property name="databaseName" value="memory:testDB"/>
      <property name="createDatabase" value="create"/>
    </bean>
  </service>

  <reference id="jta" availability="optional" interface="javax.sql.DataSource"
    filter="(transactional=true)"/>

</blueprint>

```

The `org.apache.aries.jpa.container.itest.bundle/src/main/resources/META-INF/persistence.xml` file includes the following corresponding code:

```

...
<persistence-unit name="bp-test-unit" transaction-type="JTA">
  <description>Test persistence unit for the JPA Container and Context iTests</description>
  <jta-data-source>blueprint:comp/jta</jta-data-source>
  <non-jta-data-source>blueprint:comp/nonjta</non-jta-data-source>
  <class>org.apache.aries.jpa.container.itest.entities.Car</class>
  <exclude-unlisted-classes>true</exclude-unlisted-classes>
  <properties>
    <!-- These properties are creating the database on the fly. -->
    <!-- We are using them to avoid the tests having to create a database -->
    <property name="openjpa.jdbc.SynchronizeMappings" value="buildSchema(ForeignKeys=true)"/>
    <property name="openjpa.jdbc.DBDictionary" value="derby"/>
  </properties>
</persistence-unit>
</persistence>

```

In this code fragment, the `jta-data-source` and `non-jta-datasource` elements are configured through `blueprint:comp/` namespace references.

---

## OSGi bundles and bundle archives

Applications can be deployed as versioned OSGi bundles, with each bundle typically having the granularity of an enterprise application module (for example, a webapplication). A bundle is a Java archive (JAR) or web application archive (WAR) file with standard OSGi metadata that describes aspects of the bundle, including the Java packages that the bundle exports, the Java packages that the bundle requires, and the bundle version.

### Enterprise bundle archives

An enterprise bundle archive (EBA) file contains a set of OSGi bundles that are deployed as a single OSGi application, and that are isolated from other OSGi applications.

Each OSGi application runs in its own isolated OSGi framework instance with its own OSGi service registry. Bundles in one OSGi application cannot see bundles, services, or packages that are defined in another OSGi application, unless the bundles, services, or packages are explicitly shared by both applications.

The bundles that are used by the OSGi application are either directly contained in the EBA file, or pulled in by reference from an OSGi bundle repository when the application is provisioned. Application metadata stored in the EBA file defines the isolation scope of the bundles that the OSGi application uses.

An EBA file is packaged as a single compressed archive file with a .eba file extension. It can contain an application manifest, and a set of application modules. An application module can be one of the following types of resource:

- An OSGi bundle, packaged as a Java archive (JAR) file.
- A composite bundle, packaged as a composite bundle archive (CBA) file.
- A web application, packaged as a web application archive (WAR) file.

Application modules are contained in the root of the EBA file. These modules are directly contained in the OSGi application, whereas all other bundles are provided by reference.

An OSGi application can also use metadata to permit some of its constituent bundles to be shared. Sharing in this way can reduce the memory and resource requirements of a system. Shared bundles must be provided by reference rather than contained directly in an application.

An OSGi application can also load packages and consume OSGi services from a shared bundle space, that is, from the OSGi framework instance that is the parent of all the isolated framework instances of the OSGi applications.

## Application manifest

The OSGi bundles in an EBA file share services with other OSGi applications by declaring them in an application manifest file, META-INF/APPLICATION.MF. Any external services and references that the OSGi application produces are exposed by declaring them in the manifest, and any external services and references that the application consumes are also declared in the manifest.

The application manifest specifies only the bundles that form the primary content of the application. A bundle that is listed in the primary content might use a package that is not included in the application, and therefore require other bundles to be pulled in. The application manifest might also specify an allowed version range for some bundles.

An EBA file does not have to contain an application manifest file. The contents of the EBA file is used in two different ways, depending on whether you have included an application manifest, and on whether an Application-Content header is defined in the application manifest:

- If an Application-Content header is not defined, or there is no application manifest, the EBA file content defines the OSGi application content.
- If an Application-Content header is defined, the EBA file content defines an initial bundle repository from which bundles can be provisioned.

See “Example: OSGi application manifest file” on page 393.

## Deployment manifest

A deployment manifest file, META-INF/DEPLOYMENT.MF, is created automatically when you import an EBA asset. The deployment manifest file lists, at specific versions, all the bundles and composite bundles that make up the application, including bundles that are determined following dependency analysis. The manifest file is used to ensure that each time an application server starts, the bundles that make up the application are the same.

You can export the current deployment manifest from an EBA asset, then import the deployment manifest into another asset that contains the same application. The target asset then uses the imported manifest instead of the generated manifest. This is useful during application development, when an application is

fully tested and moves to a production environment. By importing the deployment manifest from the test environment, you ensure that the bundles and their versions that make up the application in the production environment are exactly the same as the bundles that make up the application in the test environment.

See “OSGi deployment manifest file” on page 396 and Exporting and importing a deployment manifest file.

### **Enterprise bundle archive installation**

OSGi applications in enterprise bundle archive (EBA) files are installed using the business-level application framework.

Installation is a three step process:

1. Import the EBA file as an asset.
2. Create a new business-level application.  
The first two steps can be in either order.
3. Add the asset to the business-level application. The application is configured and associated with the server that it will run on.

During installation, if the EBA file does not contain a deployment manifest, a deployment manifest is generated. If the EBA file contains a deployment manifest, it is checked against the application manifest, and installation continues if the information in the manifests match.

### **Enterprise bundle archive update**

After you import an enterprise bundle archive (EBA) file as an asset, newer versions of the OSGi bundles that it uses might become available. If you want an OSGi application to use a later version of a bundle, you must specify this explicitly by configuring the asset.

The application manifest in an EBA file can define a list of application modules, together with a range of versions for each module. In this way, updates from the minimum to the maximum version of a module are allowed.

The deployment manifest, which is created automatically when you import the EBA file as an asset, specifies the exact version of each module, and ensures that each time an application server starts, the bundles that make up the application are the same. When newer versions of the bundles become available, they are not updated automatically, even if they are within the version range specified in the application manifest.

If an OSGi application requires a newer version of a bundle that has been installed into a configured bundle repository, the EBA asset must be explicitly updated to pull in that newer version. Otherwise, the application continues to use the original deployed bundle version.

You can use the administrative console to list the bundles that make up the application and see the current versions in use. All the bundles except those that are provisioned by the runtime environment are listed. If later versions of any bundles are available in the configured bundle repositories, these versions are also listed, so you can choose to update to one of the later versions.

You can change the version of one or more bundles, then preview whether the changes resolve successfully. You can update a single bundle, or update the whole application. After you preview the update, you can commit or cancel the changes. If you commit the changes, the deployment manifest of the asset is updated. The changes take effect the next time that the business-level application that contains the asset is started.

In a production environment, when you want to ensure that the same versions of bundles that are used for acceptance test are used in production, you can export the appropriate deployment manifest from the test environment to the production environment. When you do this, the application manifest and the deployment manifest are checked to make sure that they contain matching information.

## Composite bundles

A composite bundle groups shared bundles together into aggregates. It provides one or more packages at specific versions to an OSGi application. You can also extend a deployed application by adding one or more composite bundles to the composition unit for the application.

A composite bundle is packaged as a composite bundle archive (CBA) file. This is a compressed archive file with a .cba file extension. If the composite bundle is part of an enterprise OSGi application, the CBA file can be directly contained within the enterprise bundle archive (EBA) file for the application, or pulled in by reference from the internal bundle repository or from an external repository that can process composite bundles. A composite bundle can directly contain bundles in its CBA file. It can also include by reference bundles that are hosted alongside the CBA file within the same EBA file, or bundles that are installed in the same bundle repository.

A composite bundle has the following differences from an enterprise OSGi application:

- The bundles that a composite bundle contains or references are defined with exact versions. The bundles in an enterprise OSGi application can be defined with version ranges.
- A composite bundle has a composite bundle manifest, which is a modularity statement that asserts that bundles can be deployed, not that they will resolve. An enterprise OSGi application has an application manifest, which is a provisioning statement.
- A composite bundle can import or export packages. An enterprise OSGi application cannot do this.
- An enterprise OSGi application does not need to fully define its content. When the application is deployed, dependencies are analysed and additional bundles are provisioned.
- An enterprise OSGi application can define that bundles it directly contains are private to the application.

There are two main uses for a composite bundle:

- When you want to ensure consistent behavior from a set of shared bundles in an OSGi application, you use a composite bundle to provide that set of bundles to the application. If a required package or service is available at the same version from both a bundle and a composite bundle, the provisioning process selects the package or service from the composite bundle.
- When you want to extend a deployed business-level application that contains an OSGi application, and you don't want to stop the application or modify the underlying EBA asset, you add one or more composite bundles to the composition unit.

After you import the enterprise bundle archive (EBA) file for your OSGi application as an asset, you can update versions of existing bundles but you cannot add extra bundles to the asset. By adding one or more composite bundles to the composition unit, you can extend a business-level application without having to redevelop and redeploy the underlying OSGi application. When you save the changes to the composition unit, the associated business-level application is updated to use the new configuration. If the business-level application is running, the bundle and configuration updates are applied immediately.

An OSGi application can include a composite bundle just like any other bundle, either directly or by reference. If you want to include the composite bundle by reference, or use the composite bundle to extend a deployed application, the composite bundle must be available in the internal bundle repository or in an external repository that can process composite bundles. If you install a composite bundle in a bundle repository, and the composite bundle includes bundles by reference, you must ensure that the referenced bundles are also available in the same repository. If you use the internal bundle repository, and the composite bundle directly contains bundles, the contained bundles are not listed separately and are only available as part of the composite bundle.

### For transitioning users:

- In the WebSphere Application Server Version 7 Feature Pack for OSGi Applications and Java Persistence API 2.0, when you add a composite bundle to the internal bundle repository, and that composite bundle directly contains bundles (in compressed files in the root directory of the composite

bundle archive file), those bundles are added to the internal bundle repository both as part of the composite bundle and as individually-available bundles. If you subsequently delete the composite bundle from the repository, the individually-available copies of the bundles are not deleted. You might have used this mechanism as a convenient way to upload sets of bundles to the repository.

- In the current version, when you add to the repository a composite bundle that directly contains bundles, those bundles are not also added individually. If you want to add sets of bundles to the internal bundle repository, you package each set as a compressed archive file with a .zip file extension, then add the archive file to the repository. The system expands the file, and all the bundles in its root directory are added individually to the repository.

## Application bundles, use bundles and provision bundles

Application bundles are instance-specific, and each instance of an application includes its own instance of the bundle. Shared bundles are not instance-specific, and a single instance of a package or service from a shared bundle can be used by many applications. Shared bundles are further sub-divided into use bundles and provision bundles.

### Application bundles

*Application bundles* are bundles that you create specifically for your application. They are instance-specific or *isolated*; that is, they are not intended to be shared. They are referenced in the application manifest in the Application-Content header.

### Shared bundles

*Shared bundles* are not application-specific. A single instance of a package from a shared bundle can be used by many applications. Shared bundles cannot import packages or services from application bundles. Shared bundles must be provided by reference rather than contained directly in an application.

Shared bundles are further subdivided into *use bundles* and *provision bundles*:

#### Use bundles

A *use bundle* provides at least one package to an application bundle. Use bundles are shared bundles that are referenced in the application manifest in the Use-Bundle header.

By specifying a particular shared bundle as a use bundle, you can control which bundle is provisioned to provide a shared package. For example, if there are two possible providers of a package, bundle A and bundle B, and there is a use bundle statement for bundle A, then bundle A is always provisioned and used.

#### Provision bundles

A *provision bundle* provides at least one package or service to an application bundle, a use bundle or another provision bundle. Provision bundles are not referenced in the application manifest, and your application does not know how the requirement for each provision bundle is satisfied.

If you have two separate OSGi applications, and you want them to share the same API classes, you can package those classes as a shared bundle then reference that bundle in the Use-Bundle header of both application manifests. For administrators, another benefit of use bundles is that you can monitor and update them using the administrative console or wsadmin commands.

## Bundle usage and bundle provisioning terminology

OSGi bundles can be stored in any of the following locations:

- The enterprise bundle archive (EBA) file for the application.

- The internal bundle repository.
- External OSGi bundle repositories.

*Application bundles* can be stored either in the EBA file or in a repository. *Shared bundles* are stored in a repository (otherwise they cannot be shared).

The process of getting bundles from the repositories is known as *provisioning*. For provisioning purposes, the following terminology is used for bundles:

#### Referenced bundles

A *referenced bundle* is a bundle that is referenced in the application manifest, and stored in a repository.

#### Dependency bundles

A *dependency bundle* is a bundle that is not referenced in the application manifest, but that is used by bundles that *are* referenced in the application manifest, or by other dependency bundles.

This is how the terminology for bundle usage (that is, *application*, *use* and *provision bundles*) maps to the terminology for bundle provisioning (that is, *referenced* and *dependency bundles*):

- *Application bundles* that are not directly contained in the EBA file are instance-specific *referenced bundles*.
- *Use bundles* are shared *referenced bundles*.
- *Provision bundles* are shared *dependency bundles*.

## Web application bundles

A web application bundle (WAB) is a bundle that contains a web application and that can be deployed in an OSGi container. A WAB is an OSGi bundle version of a web application archive (WAR) file.

WABs are defined in the OSGi Service Platform Release 4 Version 4.2 Enterprise Specification.

You use a WAB in an OSGi application in much the same way that you use a WAR file in a Java enterprise application. For example, you use a WAB to host servlets, static content, or JavaServer Pages (JSPs) that are part of your application.

A WAB contains a manifest file, META-INF/MANIFEST.MF. This file contains the same minimum set of manifest headers that any OSGi bundle manifest contains (for example `Bundle-SymbolicName` and `Bundle-ManifestVersion`), and also extra headers that are specific to WABs. You use these headers to describe your web application in OSGi terms, and to specify the support that you want from the OSGi Applications runtime environment. For example, you do not need to package dependencies inside a WAB; you can instead specify these dependencies in a manifest header and let the runtime environment provision them for you. The only required extra header is `Web-ContextPath`. You use this header to specify the default context from which the web content is hosted.

You can convert an enterprise application that contains only WAR files to an OSGi application packaged as an enterprise bundle archive (EBA) file. When you import the EBA file as an asset, the WAR files are automatically converted to WABs.

## Considerations for using web applications and bundle fragments in web application bundles

There are a few specifics to consider when you develop a web-enabled OSGi application, especially if your application uses the Servlet 3.0 or Contexts and Dependency Injection (CDI) capabilities of the Java Platform, Enterprise Edition 6 (Java EE 6) specification.

- “Files in the root directory” on page 389
- “Fragment attach order” on page 389
- “Class path ordering in web fragments” on page 389
- “Web application bundles and CDI” on page 389

## Files in the root directory

Any files that are in the root directory of a web application can be viewed or downloaded using a browser. To prevent your bundles and bundle fragments from being accessed in this way, you should keep your `.class` files in a subdirectory such as `WEB-INF/classes`, and your `.jar` files in a subdirectory such as `WEB-INF/lib`, rather than in the root of the bundle.

## Fragment attach order

You can extend bundles by adding bundle fragments. However you cannot control the order in which bundle fragments are attached.

To support the Java Servlet 3.0 specification, this implementation lets you define a web application through annotations in bundles and bundle fragments, as well as (or instead of) through a `web.xml` file for the application. The configuration for a web application is built by scanning its class path for annotations, and the order of components on the class path depends upon the fragment attach order.

Because you cannot control the order in which bundle fragments are attached, you cannot predict the order of items on the class path. This means that the design for your web application must not rely on bundle fragments being attached in a particular order.

## Class path ordering in web fragments

The Java Servlet 3.0 specification lets you use web fragments to define the class path order. For OSGi applications, the bundle class path is the primary mechanism for specifying the ordering of components. If you use the Java Servlet 3.0 ordering capabilities for web fragments, you must ensure that the resulting class path order matches the bundle class path.

## Web application bundles and CDI

For Contexts and Dependency Injection (CDI) to work for a directory or Java archive (JAR) file on a bundle class path, you must add the file `META-INF/beans.xml` within that directory or JAR file. For example, consider the following bundle class path:

```
BundleClassPath:WEB-INF/myclasses,WEB-INF/yourclasses.jar,  
WEB-INF/hisclasses,WEB-INF/herclasses.jar
```

For CDI to work with a class `WEB-INF/myclasses/a.class`, you need to add the file `WEB-INF/myclasses/META-INF/beans.xml`. Similarly, for CDI to work with a class `WEB-INF/herclasses.jar/b.class`, you need to add the file `WEB-INF/herclasses.jar/META-INF/beans.xml`.

**Note:** If you convert a web application as described in [Converting an enterprise application to an OSGi application](#), and the web archive (WAR) file has classes in the `WEB-INF/classes` directory and has a `WEB-INF/beans.xml` file, then the conversion process automatically adds the file `WEB-INF/classes/META-INF/beans.xml` to the web application bundle (WAB) file that is hosted.

## Bundle and package versioning

Every bundle or export package has a version number in a specific format, and every import package statement has a version range. When you specify a version range, you need to consider current policy and best practices, and requirements for compatibility with both earlier and future versions.

## Version numbers

Every bundle or export package has a version number. This version number consists of up to three numbers, in the following format:

```
9.9.9
```

The first number specifies the *major* component. The second number (if present) specifies the *minor* component, and the third number (if present) specifies the *micro* component. If you omit a component level, you also omit the period character “.” that comes before that component level. For example, the following three numbers all specify the same version:

```
9
9.0
9.0.0
```

According to the OSGi versioning policy, when a new version of a bundle or export package is not compatible with earlier versions you increment the *major* version number of the bundle or package.

## Version ranges

Every import package statement specifies a version range. The OSGi Alliance recommends the following best practice for specifying a version range:

- For the lower boundary of the range, specify the minimum version of the package that the consuming bundle requires.
- For the upper boundary of the range, include any minor version but exclude any increment in the major version of the package.

Version ranges are specified using the following notation:

- A square bracket “[” or “]” means “include the end of the specified range”.
- A round bracket “(” or “)” means “exclude the end of the specified range”.

For example, the following import statement can resolve against any version of a package from version 1.0 up to, but not including, version 2.0.

```
Import-Package: com.myco.a.pkge;version="[1.0,2.0)"
```

A package at version 1.3 is expected to be compatible with a package at version 1.0, and so a bundle that requires at least version 1.0 of a package should work if it is resolved against version 1.3 of that package. However, the bundle might not work if it is resolved against version 2.0 of the package, so version 2.0 and later versions are excluded.

### Notes:

- When new methods are added to an interface, the minor version number is incremented for the export package header. An implementer will be broken by this, so a minor change can be a breaking change to an implementer of an interface. In this case, the bundle importing the package that contains the interface should specify (for example) “[1.0,1.1)”.
- The Java Community Process (JCP) does not define OSGi metadata such as package versions when it defines APIs. Currently, the OSGi versions are defined in the OSGi specifications that reference the Java technologies. Future versions of JCP specifications are expected to be compatible with earlier versions, so the OSGi package versioning policy for such future specifications could simply reflect the JCP specification version. This might result in a change of major version of a javax package even when the new version is compatible with the old. For example, the Java Persistence API (JPA) 2.0, which is compatible with the earlier version JPA 1.0, would follow the JCP specification name and use version 2.0, rather than follow OSGi versioning policy and use version 1.1.

Because JCP specifications always try to maintain compatibility with earlier versions, you should specify only the minimum package version for a javax.\* package and leave the upper boundary open. For example, if a future version of WebSphere Application Server has a default JPA provider that implements a JPA later than Version 2.0, it can export the javax.persistence package at that later version and still be resolved by applications that are written to work with JPA 1.0 or 2.0. Similarly, the following example import statement can resolve against any version of the javax.persistence package at 1.0 or higher:

```
Import-Package: javax.persistence;version="1.0"
```



---

## Manifest files

The metadata for an OSGi application is defined in manifest files. An OSGi bundle contains a bundle manifest; a composite bundle archive (CBA) contains a composite bundle manifest; an enterprise bundle archive (EBA) contains an application manifest; an EBA asset contains a deployment manifest. The deployment manifest is generated automatically when the EBA file is imported as an asset. You create the other manifest files when you create the bundles or application.

### Example: OSGi bundle manifest file

An OSGi bundle, which can be a JAR or web application archive (WAR) file, contains a bundle manifest file META-INF/MANIFEST.MF. The bundle manifest file contains additional headers to those in the manifest for a JAR or WAR file that is not an OSGi bundle. The metadata that is specified in these headers enables the OSGi Framework to process the modular aspects of the bundle.

Eclipse tooling provides convenient editors for the manifest file.

Here is an example bundle manifest file, META-INF/MANIFEST.MF:

```
Manifest-Version: 1.0
Bundle-ManifestVersion: 2
Bundle-Name: MyService bundle
Bundle-SymbolicName: com.sample.myservice
Bundle-Version: 1.0.0
Bundle-Activator: com.sample.myservice.Activator
Import-Package: org.apache.commons.logging;version="1.0.4"
Export-Package: com.sample.myservice.api;version="1.0.0"
Meta-Persistence: entities/persistence.xml,
    lib/thirdPartyEntities.jar!/META-INF/persistence.xml
```

The metadata in a bundle manifest file includes the following headers:

#### Bundle-Version

This header describes the version of the bundle, and enables multiple versions of a bundle to be active concurrently in the same framework instance.

#### Bundle-Activator

This header notifies the bundle of lifecycle changes.

#### Import-Package

This header declares the external dependencies of the bundle that the OSGi Framework uses to resolve the bundle. Specific versions or version ranges for each package can be declared. In this example manifest file, the org.apache.commons.logging package is required at Version 1.0.4 or later.

#### Export-Package

This header declares the packages that are visible outside the bundle. If a package is not declared in this header, it is visible only within the bundle.

#### Meta-Persistence

If your application uses the Java Persistence API (JPA), and this bundle is a persistence bundle, then the bundle manifest also contains a Meta-Persistence header. For more information, see “JPA and OSGi Applications” on page 401.

This header lists all the locations of persistence.xml files in the persistence bundle. When this header is present, the default location, META-INF/persistence.xml, is added by default. Therefore, when the persistence.xml files are in the default location, the Meta-Persistence header must be present, but its content can be empty (a single space).

## Example: OSGi composite bundle manifest file

A composite bundle groups shared bundles together into aggregates. A composite bundle is described in a composite bundle manifest file, META-INF/COMPOSITEBUNDLE.MF. This manifest file lists the OSGi bundles that are directly contained in the composite bundle, and the reference bundles that are hosted alongside the composite bundle in the same EBA file, or in the same bundle repository.

A composite bundle provides one or more packages at specific versions to an application. Therefore all the versions in a composite bundle manifest are exact.

Eclipse tooling provides convenient editors for the manifest file.

Here is an example composite bundle manifest file, META-INF/COMPOSITEBUNDLE.MF:

```
Manifest-Version: 1.0
CompositeBundle-ManifestVersion: 1
Bundle-Name: Blog Application
Bundle-SymbolicName: com.ibm.ws.osgi.example.Blog
Bundle-Version: 1.0
CompositeBundle-Content:
  com.ibm.ws.osgi.example.blog;version="[1.0,1.0]",
  com.ibm.ws.osgi.example.blog.persistence;version="[1.0,1.0]"
Import-Package: com.ibm.ws.other.pkge;version=1.0.0
Export-Package: com.ibm.ws.osgi.example.blog;version=1.0.0
CompositeBundle-ExportService:
  com.ibm.ws.osgi.example.blog.BloggingService;filter="(blog.type=community)"
CompositeBundle-ImportService:
  com.ibm.ws.osgi.example.auth.UserAuthService
```

The metadata in a composite bundle manifest file includes the following headers:

### **Manifest-Version**

A version number for the manifest format.

### **CompositeBundle-ManifestVersion**

The composite bundle manifest version to which this manifest conforms.

### **Bundle-Name**

A human-readable name of the composite bundle.

If you do not specify a value, the default value is the composite bundle symbolic name.

### **Bundle-SymbolicName**

A name that identifies the composite bundle uniquely. The name follows the same scheme as the Bundle-SymbolicName header in an OSGi bundle.

### **Bundle-Version**

A version number that identifies the version of the composite bundle uniquely.

### **CompositeBundle-Content**

A list of bundles in the composite bundle. All bundles must be available for deployment and must be contained in the .cba file, or exist in an available bundle repository. Bundles must have exact version numbers. If you require the same composite bundle with different versions of its content, you require different versions of the composite bundle, one version for each usage.

### **Import-Package**

A list of packages that the composite bundle wants to import. This list is developed from the import package lists in the individual bundle manifests within the composite bundle:

- If an individual bundle manifest specifies an import package, and the same package is contained in another bundle in the composite bundle, then the composite bundle need not import the package.

- If a bundle in the composite bundle specifies an import package that is not otherwise available within the composite bundle, then the package must be listed as an import package in the composite bundle manifest.

### Export-Package

A list of packages that the contents of the composite bundle provides to the shared bundle space.

### CompositeBundle-ExportService

A list of service interface names and optional filters that identify services that are present in the composite bundle and that can be exported for use outside the composite bundle. The interfaces that an exported service implements are usable outside the composite bundle if those interfaces are visible outside the composite bundle.

The format is a comma-separated list of services, in the form of a service interface name, followed by attributes or directives. The CompositeBundle-ExportService header has the following attribute:

**filter** An OSGi service filter.

### CompositeBundle-ImportService

A list of service interface names and optional filters that identify services that the contents of the composite bundle want to use from outside the composite bundle. At least one such service must exist at run time.

The format is a comma-separated list of services, in the form of a service interface name, followed by attributes or directives. The CompositeBundle-ImportService header has the following attribute:

**filter** An OSGi service filter.

## Example: OSGi application manifest file

The OSGi bundles in an enterprise bundle archive (EBA) file share services with other OSGi applications by declaring them in an application manifest file, META-INF/APPLICATION.MF. Any external services and references that the OSGi application produces are exposed by declaring them in the manifest, and any external services and references that the application consumes are also declared in the manifest.

The application manifest describes modularity at the application level. It uses configuration by exception, that is, you supply values only when you want to override the default. An EBA file does not have to contain an application manifest file. The default, when no application manifest is declared, is that the application content is the set of OSGi bundles contained in the OSGi application, and no external services or references are produced or consumed.

The application manifest specifies only the bundles that form the primary content of the application. A bundle that is listed in the primary content might use a package that is not included in the application, and therefore require other bundles to be pulled in. The application manifest might also specify an allowed version range for some bundles.

Eclipse tooling provides convenient editors for the manifest file.

Here is an example application manifest file, META-INF/APPLICATION.MF:

```
Manifest-Version: 1.0
Application-ManifestVersion: 1.0
Application-Name: My Club
Application-SymbolicName: com.myclub.app
Application-Version: 1.0
Application-Content:
  com.myclub.api; version=1.0.0,
  com.myclub.persistence; version=1.0.0,
  com.myclub.web; version="[1.2.0,1.2.5)",
  com.myclub.common; version="(1.2.0,2.0.0)"
```

```
Application-ImportService: com.myclub.security.authenticationService; filter="(security=strong)"
Application-ExportService: com.myclub.memberService
Use-Bundle: com.clubs.utils; version="[1.0.0,1.1.0]"
```

The metadata in an application manifest file includes the following headers:

### **Manifest-Version**

A version number for the manifest format.

### **Application-ManifestVersion**

The application manifest version to which this manifest conforms.

### **Application-Name**

A human-readable name of the application.

If you do not specify a value, the default value is the application symbolic name.

### **Application-SymbolicName**

A name that identifies the application uniquely. The name follows the same scheme as the Bundle-SymbolicName header in an OSGi bundle.

If you do not specify a value, the default value is the name of the EBA file.

### **Application-Version**

A version number that uniquely identifies the version of the application. The combination of the application symbolic name and version must be unique in an OSGi application runtime environment.

If you do not specify a value but the application name contains an underscore character “\_” followed by a valid version value, this value is used. For example, for the application name com.ibm.ws.eba.example\_1.2.3.eba, the default value is 1.2.3.

Otherwise, if you do not specify a value, the default value is 0.0.0.

### **Application-Content**

A list of application modules that comprise the primary content of the application. These can be modules that are contained directly in the EBA file, or bundles that are provided by reference, that is, the core bundles to provision for the application.

If you do not specify a value, the default is the modules that are contained directly in the root of the EBA file.

The format is a comma-separated list of module declarations, where each module declaration uses the following format:

```
bundle_symbolic_name;version
```

The version format is the same as that used for OSGi import (for example, in the Import-Package syntax).

The Application-Content header has the following attribute:

#### **version**

The version of the module, specified using OSGi syntax for a version range. Typically, you specify the version of the module when the application is written, and the latest version to which the application can be upgraded.

In OSGi syntax for a version range, brackets [ ] mean include the corresponding lower or upper limit, and parentheses ( ) mean exclude the corresponding lower or upper limit. For example, [1.0.0,2.0.0) means version 1.0.0 and all later versions, up to, but not including, version 2.0.0.

The Application-Content header defines the important applications that compose the business services, but it does not define the full list of bundles in the application. If a bundle that is listed in the content uses a package that is not included in the application, dependencies are analysed when the application is deployed and other bundles are provisioned. Any bundles that are

provisioned cannot provide services external to the application and cannot have security applied to them. Such bundles are provisioned to the shared bundle space, rather than being provisioned for each isolated application.

### Application-ImportService

A *remotable* service can be accessed outside the application. This header contains a list of service interface names and optional filters that identify remotable services that the application wants to consume from outside the application.

If you do not specify this header, no services are imported. If you do not specify a value, the default is no values.

The format is a comma-separated list of services, in the form of a service interface name, followed by attributes or directives. The Application-ImportService header has the following attribute:

**filter** An OSGi service filter.

Any services matching the Application-ExportService and Application-ImportService headers are made available for integration, but to actually do the integration you need to use Service Component Architecture (SCA). See Using OSGi applications as SCA component implementations.

Your remotable service must support pass-by-value semantics. To match against services that are imported by the Application-ImportService header, you must also include the following configuration:

- Your service must be configured as remotable (that is, registered with the **service.exported.interfaces** property) and matched against the Application-ExportService header.
- Your service references must look for the **service.imported** property.

This prevents accidental exposure or use of services that only support local calls and expect pass-by-reference semantics.

### Application-ExportService

A *remotable* service can be accessed outside the application. This header contains a list of service interface names and optional filters that identify remotable services that the application provides.

If you do not specify this header, no services are imported. If you do not specify a value, the default is no values.

The format is a comma-separated list of services, in the form of a service interface name, followed by attributes or directives. The Application-ExportService header has the following attribute:

**filter** An OSGi service filter.

Any services matching the Application-ExportService and Application-ImportService headers are made available for integration, but to actually do the integration you need to use Service Component Architecture (SCA). See Using OSGi applications as SCA component implementations.

Your remotable service must support pass-by-value semantics. To match against services that are imported by the Application-ImportService header, you must also include the following configuration:

- Your service must be configured as remotable (that is, registered with the **service.exported.interfaces** property) and matched against the Application-ExportService header.
- Your service references must look for the **service.imported** property.

This prevents accidental exposure or use of services that only support local calls and expect pass-by-reference semantics.

### Use-Bundle

A list of bundles or composite bundles to use to satisfy the package dependencies of bundles in the Application-Content list. Each bundle or composite bundle in the Use-Bundle list must provide

at least one package to at least one bundle in the Application-Content list. These bundles will be provisioned into the shared bundle space at run time.

Often, you do not require a Use-Bundle header, but there are some situations where it is useful. You can use it to restrict the level at which sharing is possible. For example, you can ensure that an application uses the same bundle for package imports that it was tested with. Alternatively, you can ensure that two applications use the same bundle for package imports. By setting the restriction at application level, the bundle can remain flexible.

## OSGi deployment manifest file

A deployment manifest file, META-INF/DEPLOYMENT.MF, is created automatically when you import an EBA asset. The deployment manifest file lists, at specific versions, all the bundles and composite bundles that make up the application, including bundles that are determined following dependency analysis. The manifest file is used to ensure that each time an application server starts, the bundles that make up the application are the same.

You can export the current deployment manifest file from an enterprise bundle archive (EBA) asset. You might want to do this to save the information, or to import it into another identical application.

**Note:** Do not edit an exported manifest file. Only use the export and import options in situations where you can treat the exported file as a “black box”.

---

## Provisioning for OSGi applications

When you import an enterprise bundle archive (EBA) file as an asset, or update an asset to use new bundle versions, or add a composite bundle as an extension to a composition unit, provisioning ensures that all the required OSGi bundles are available. An OSGi application can use bundles from external repositories, bundles from the internal repository, and bundles that are included in an EBA file or a composite bundle archive (CBA) file.

As an OSGi application becomes formalized, the developer creates an application manifest that lists all the bundles that the application uses directly. Of this set of bundles, the developer might choose to package up only newly-created bundles in the EBA file, expecting other bundles to be provisioned from the configured local and remote bundle repositories. Similarly, the developer of a composite bundle creates a composite bundle manifest that lists all the bundles that the composite bundle uses. Of this set of bundles, the developer might choose to package up some bundles to be directly available to the composite bundle, and expect other bundles to be provisioned from the configured bundle repositories.

OSGi bundles can be stored in the following locations:

- The EBA file.
- The CBA file.
- The internal bundle repository.

A WebSphere Application Server installation has one internal bundle repository. You can add an OSGi bundle or a composite bundle to the internal repository. If a bundle is used by many OSGi applications, consider adding that bundle to the internal repository.

- External bundle repositories.

You can specify the location of one or more external bundle repositories that contain the bundles that the application requires. Depending on how the external bundle repository is implemented, you might not be able to use it to provision services, or to store composite bundles or bundles referenced by composite bundles.

Provisioning gets the following types of bundle from a repository:

- *Referenced bundle*. This is a bundle that is referenced in the application or composite bundle manifest, and not directly contained in the EBA file or composite bundle.

- *Dependency bundle.* This is a bundle that is not referenced in the application or composite bundle manifest, but is needed by a bundle that *is* referenced in the application or composite bundle manifest. There might be more than one level of dependency for such a bundle. That is, a dependency bundle might itself be dependent on another dependency bundle.

Provisioning occurs for an EBA asset when the OSGi application is initially imported, and when the asset is subsequently updated. The asset is resolved; that is, the locations of the constituent application bundles, at appropriate versions, are determined by using the contents of the EBA file, the internal bundle repository, and the specified set of external bundle repositories. Similarly, provisioning occurs when you add a composite bundle as an extension to a composition unit. The locations of the constituent bundles, at appropriate versions, are determined by using the contents of the composite bundle and the available bundle repositories.

Provisioning also checks for dependencies and locates them from the relevant bundle repositories. Dependencies include imported packages, required bundles, services, and persistence providers. The provisioning process detects service dependencies by checking the <service>, <reference>, and <reference-list> elements in the Blueprint XML files for a bundle. Bundles are scanned for Blueprint XML files when the bundles are added to the internal bundle repository, or when they are provided in an EBA or CBA file. If your application code makes direct programmatic use of OSGi services, provisioning does not detect those service dependencies unless they are also specified in the Blueprint XML files.

If a bundle is referenced in the application manifest with a range of possible versions, provisioning locates a bundle at a version in that range. If more than one version of a specified bundle is available, the latest version in the specified range is selected, unless selecting a later version prevents the application from resolving.

If a required package or service is available at the same version from both a bundle and a composite bundle, the provisioning process selects the package or service from the composite bundle.

If the constituent application bundles of an OSGi application resolve successfully, a deployment manifest is generated. This manifest lists all the bundles that the application requires, with the actual version of each bundle that the OSGi application will use. The deployment manifest includes all the bundles that are declared in the application manifest, and also any dependency bundles.

**Note:** If the provisioning process establishes that a bundle that is listed in the Use-Bundle header in the application manifest does not provide any packages to bundles listed in the Deployed-Content header, that use bundle is not listed in the Deployed-UseBundle header in the deployment manifest. Also, unless that use bundle is required for another purpose, it is not listed in the Provision-Bundle header in the deployment manifest.

When the constituent application bundles resolve successfully and the configuration is saved, all the referenced bundles and dependency bundles are downloaded from either the internal bundle repository or external bundle repositories and stored locally in the bundle cache. This cache is cell-wide for network deployment configurations, and server-wide for single server configurations. If any constituent bundles cannot be located, the EBA asset does not resolve. Messages show which bundles cannot be located. Before you can continue with the import or update, you must make the relevant bundle available in one of the following ways:

- Add the bundle to the EBA or CBA file.
- Upload the bundle to the internal bundle repository.
- Find the bundle in an external bundle repository, and specify the location of that repository.

When all bundle downloads are complete, you can add the asset to a business-level application, or update the OSGi composition unit, so that the business-level application uses the newer configuration.

---

## OSGi application isolation and sharing

At run time, OSGi applications are isolated from each other, but their dependencies are shared.

In the runtime environment, OSGi applications are isolated from each other:

- OSGi applications cannot share packages.
- Each OSGi application runs in its own isolated OSGi framework with its own service registry.
- OSGi services can be imported and exported between frameworks by setting the appropriate headers in the application manifest.

For each application server that is running one or more OSGi applications, there is also one shared bundle space.

The primary content of an OSGi application runs in the framework of the application. The dependency bundles and the shared bundles in the application run in the shared bundle space.

When an application is started, the bundles that are listed in the deployment manifest of the application are loaded into the runtime environment. The bundles that are listed in the Deployed-Content header in the deployment manifest are loaded into the isolated framework. The bundles that are listed in the Deployed-Use-Bundle and the Provision-Bundle headers in the deployment manifest are loaded into the shared bundle space.

The dependency bundles in the shared bundle space can originate from the internal bundle repository, the external bundle repository, or the content of the application.

However, shared bundles in an application must be provided by reference rather than contained directly in an application. This is because if shared bundles are contained directly in an application, they are not available to other applications when the applications resolve, but are in the shared bundle space at run time, which might cause problems.

The following shows an example application manifest:

```
Manifest-Version: 1.0
Application-ManifestVersion: 1.0
Application-Name: Example Blog
Application-SymbolicName: example.blog.app
Application-Version: 1.0
Application-Content:
  example.blog.api;version="[1.0.0,2.0.0)",
  example.blog;version="[1.0.0,2.0.0)"
```

When dependencies are resolved, the deployed application needs to pull in an additional dependency bundle `blog.required.bundle` at version `1.2.0`. This bundle is available in package `blog.required.package`. This package is connected from the dependency bundle in the shared bundle space to bundles in both isolated application frameworks, as shown in the following diagram.



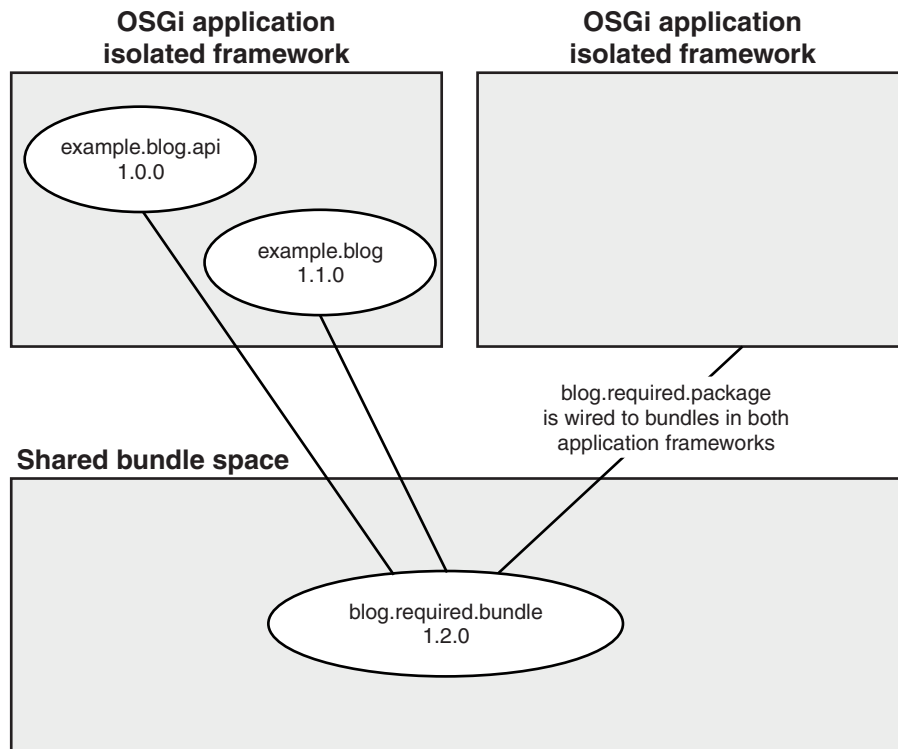


Figure 79. Application frameworks and the shared bundle space

## Java 2 security and OSGi Applications

You can use Java 2 security in OSGi applications in a similar way to Java 2 security in Java EE applications. This topic describes the aspects that are specific to using Java 2 security in an OSGi application.

The OSGi specifications allow you to have `permissions.perm` files in the `OSGI-INF` directory of each bundle, so that you can apply fine-grained control to the permissions for each bundle. The OSGi Applications implementation in WebSphere Application Server supports this specification, and also allows you to have a `permissions.perm` file in the `META-INF` directory of the OSGi application, which gives you coarser-grained control of the permissions for the application as a whole.

A `permissions.perm` file is a plain text file that contains comments or single-line permissions in the following form:

```
# Permissions file
( org.osgi.framework.AdminPermission "*" "*" )
( org.osgi.framework.PackagePermission "*" "exportonly,import" )
( org.osgi.framework.ServicePermission "*" "get,register" )
( org.osgi.framework.BundlePermission "*" "host,provide,fragment" )
```

### Relation to Java EE applications and `was.policy` files

These application-level `permissions.perm` files have a similar function to `was.policy` files in enterprise applications. When you convert an application from Java EE to OSGi, any existing `was.policy` file is converted into a `permissions.perm` file to be used with the OSGi permissions framework.

In the conversion, any codebases specified within the `was.policy` file are ignored, and all permissions specified are added to the `permissions.perm` file. This means that all permissions are promoted to the application level. If you need finer granularity, you can modify the file after conversion. In this case, you would remove the required permissions from the resulting `permissions.perm` file, and move them into permission files within the `OSGI-INF` directory for each affected bundle.

## Default restrictions and permissions

Every OSGi application has the following default restrictions and permissions, whether or not it has a `permissions.perm` file. You can use a `permissions.perm` file to add extra restrictions and permissions, or to override default restrictions and permissions.

Default restrictions:

```
("org.osgi.framework.ServicePermission", "org.osgi.service.condpermadmin.ConditionalPermissionAdmin", "*")
("org.osgi.framework.ServicePermission", "org.osgi.service.permissionadmin.PermissionAdmin", "*")
("org.osgi.framework.ServicePermission", "org.osgi.service.framework.CompositeBundleFactory", "*")
("org.osgi.framework.ServicePermission", "org.osgi.framework.hooks.service.*", "*")
("org.osgi.framework.ServicePermission", "org.osgi.service.packageadmin.PackageAdmin", "*")
```

Default permissions:

```
("org.osgi.framework.PackagePermission", "*", "import")
("org.osgi.framework.BundlePermission", "*", "host,provide,fragment")
```

Any OSGi application that has no `permissions.perm` file also has the following extra permissions:

```
("java.io.FilePermission", "<application_path>/-", "read,write")
("java.io.FilePermission", "<application_configpath>/-", "read")
("java.lang.RuntimePermission", "loadLibrary.*", "*")
("java.lang.RuntimePermission", "queuePrintJob", "*")
("java.net.SocketPermission", "*", "connect")
("java.util.PropertyPermission", "*", "read")
("org.osgi.framework.PackagePermission", "*", "exportonly,import")
("org.osgi.framework.ServicePermission", "*", "get,register")
```

---

## JMS and OSGi Applications

An OSGi application can send and receive Java Message Service (JMS) messages. Your OSGi application can use JMS to interact with Enterprise JavaBeans (EJBs).

OSGi applications can use JMS resources that are configured within WebSphere Application Server, in a similar way to using JMS resources with Java EE applications. For OSGi applications, each reference to a JMS resource is declared in a Blueprint XML file. Each bundle in an OSGi application can contain any number of resource reference declarations in its various Blueprint XML files.

Your OSGi application can bind to any of the following JMS resource types:

- Default messaging JMS queues destinations
- Default messaging JMS topic destinations
- Generic JMS connection factory
- JMS queue connection factory for the JMS provider of WebSphere MQ
- JMS queue destination for WebSphere MQ
- JMS topic connection factory for WebSphere MQ
- JMS topic destination for WebSphere MQ
- Unified JMS connection factory for WebSphere MQ

You bind JMS resource references to your OSGi application when you add the EBA asset to a business-level application.

**Note:** An OSGi bundle or a web application bundle (WAB) cannot look up and invoke an EJB directly. However, you can configure your OSGi application to send JMS messages to destinations, and configure the EJBs or message driven beans (MDBs) to retrieve the messages from those destinations and respond to them.

---

## JPA and OSGi Applications

You can use Java Persistence API (JPA) in OSGi Applications in a similar way to JPA in Java EE applications. This topic describes the differences when you use JPA with persistence bundles in an OSGi application.

OSGi applications use persistence bundles to define the entities and services that other bundles, or the persistence bundle itself, can use.

A persistence bundle is an OSGi bundle that contains one or more persistence descriptors (persistence.xml files) and has a Meta-Persistence header in the bundle manifest, META-INF/MANIFEST.MF. This header lists all the locations of persistence.xml files in the persistence bundle. When this header is present, the default location, META-INF/persistence.xml, is added by default. Therefore, when the persistence.xml files are in the default location, the Meta-Persistence header must be present, but its content can be empty (a single space).

The following example of a Meta-Persistence header defines a persistence bundle with two persistence descriptors, one in entities and one in the nested jar lib/thirdPartyEntities.jar. Any persistence.xml files that are in the default location can also be used.

```
Meta-Persistence: entities/persistence.xml,  
lib/thirdPartyEntities.jar!/META-INF/persistence.xml
```

JPA support for OSGi applications can be container-managed or application-managed. Unmanaged JPA is not supported for OSGi applications.

You can use JPA in web application bundles (WABs) in the same way that you use JPA in web application archives (WAR files), provided that all the persistence logic is contained in the web application.

For more information see the following sections, and the related topic about developing applications that use JPA.

### Persistence descriptors

In persistence.xml files for an OSGi application, the jta-data-source and non-jta-data-source elements access the data sources through a Java Naming and Directory Interface (JNDI) lookup, a JNDI lookup to the service registry, or through Blueprint. This behavior is instead of the Java Naming and Directory Interface (JNDI) lookups that are used in Java EE applications. The following examples show the syntax for a jta-data-source element:

```
<jta-data-source  
  jndi_name_of_the_data_source  
</jta-data-source>  
  
<jta-data-source  
  osgi:service/javax.sql.DataSource/(osgi.jndi.serviceName=  
  jndi_name_of_the_data_source)  
</jta-data-source>
```

The following example shows how to configure the JNDI name of a data source when the data source configuration uses a Blueprint resource reference.

```
<jta-data-source  
  blueprint:comp/blueprint_component_name  
</jta-data-source>
```

The following example shows how the data source that uses a Blueprint resource reference is configured:

```
<blueprint xmlns="..." ...
  xmlns:rr="http://www.ibm.com/appserver/schemas/8.0/blueprint/
  resourcereference">
  <rr:resource-reference id="blueprint_component_name"
    interface="javax.sql.DataSource"
    filter="(osgi.jndi.service.name=jndi_name_of_the_data_source)">
  <rr:res-auth>Container</rr:res-auth>
  <rr:res-sharing-scope>Shareable</rr:res-sharing-scope>
  </rr:resource-reference>
</blueprint>
```

To specify which version of a persistence provider is accepted, you can use a custom property in the persistence.xml file, as shown in the following example:

```
<persistence-unit name="myPU">
  <provider>org.apache.openjpa.persistence.PersistenceProviderImpl</provider>
  <properties>
    <property name="org.apache.aries.jpa.provider.version"
      value="[1.1.0,1.3.0]" />
  </properties>
</persistence-unit>
```

## Container-managed JPA

For Blueprint bundles, you can inject persistence contexts and units by using annotations or a blueprint extension.

You can inject persistence contexts and units by using `@PersistenceUnit` and `@PersistenceContext` annotations on a blueprint bean. Injection through annotation is supported only for blueprint-managed components.

The Apache Aries JPA container context bundle provides the following Blueprint namespace for dependency injection of managed JPA resources:

<http://aries.apache.org/xmlns/jpa/v1.0.0>.

You can inject persistence contexts and units by using Blueprint tags from this namespace. For example:

```
<blueprint xmlns:jpa="http://aries.apache.org/xmlns/jpa/v1.0.0" ...>
  ...
  <bean name="myPersistenceBean" class="...">
    <jpa:unit property="emf" unitname="myPU" />
  </bean>
  ...
</blueprint>
```

## Application-managed JPA

The OSGi JPA specification requires that an `EntityManagerFactory` instance is made available in the service registry for each persistence unit that is defined in the persistence descriptors.

The `EntityManagerFactory` service has three notable service properties:

### **osgi.unit.name**

The name of the persistence unit for the `EntityManagerFactory` service.

### **osgi.unit.version**

The version of the persistence bundle.

### **osgi.unit.provider**

The name of the persistence provider implementation class for the `EntityManagerFactory` service.

---

## SCA and OSGi Applications

You can use OSGi applications as component implementations in Service Component Architecture (SCA) composite applications. You can use SCA to link between OSGi applications, and between an OSGi application and another component type such as a Java EE application, a Java Message Service (JMS) resource, or a web service.

### Defining OSGi applications as SCA components

The SCA programming model supports the use of OSGi applications as SCA component implementations. You can expose an OSGi application as an SCA service by writing code that defines the application as an SCA component and enables the application to participate in an SCA composite. For more information, see Using OSGi applications as SCA component implementations.

### OSGi Applications and the SCA interaction intent model for transactions

You can declare transaction policy at the services level to describe the transactional contract that the service provider offers to the consumer, following the SCA interaction intents model for transactions. For an OSGi application, you declare the interaction intents for services in a module Blueprint file. The interaction intent is associated with a service entry in the standard OSGi manner: by recording the intent as a service property in the service registry. For more information, see “Transactions and OSGi Applications.”

### SCA and the configuration domain for an OSGi application

When a service is exported by an application, it is registered in the WebSphere Application Server global service registry. This registry is available throughout the configuration domain for the application, which means that it is available throughout the OSGi framework instance in which the exporting application is hosted, and throughout the OSGi framework instances of all other OSGi application containers in the same OSGi application configuration domain.

The scope of the configuration domain for an OSGi application depends upon the runtime provider:

- For WebSphere Application Server Version 7.0, the configuration domain is scoped to cell scope.
- For an SCA runtime provider, the configuration domain is scoped to the SCA domain.

If an OSGi application imports a service reference, that service must be resolved from the global service registry. To resolve references to services that are outside the configuration domain for the application, you can install proxy services into the global service registry.

### Enabling OSGi applications to invoke Enterprise JavaBeans (EJBs) through SCA

An OSGi bundle or a web application bundle (WAB) cannot look up and invoke an EJB directly. However, you can include your OSGi application in an SCA environment, and the SCA modules can be configured to bind to EJB modules.

---

## Transactions and OSGi Applications

You can use transactions in OSGi Applications in a similar way to transactions in Java EE applications. This topic describes the differences when you use transactions with an OSGi application.

You configure transactions at the component level, for example for a bean. You must specify both method and value attributes in the transaction element. Valid values are those that are defined by Java EE, that is, Required, RequiresNew, NotSupported, Mandatory, Supports or Never. For example:

```
<bean ...>
  <tx:transaction method="updateOrder" value="Required" />
</bean>
```

You can specify a list of methods where each method is separated by a space or a comma. For example:

```
<bean ...>
  <tx:transaction method="updateOrder remove" value="Required" />
</bean>
```

You can use an asterisk character (\*) as a wildcard in method names. You can use the wildcard character anywhere in a method name and you can use it multiple times. For example:

```
<bean ...>
  <tx:transaction method="update*Ord* remove" value="Required" />
</bean>
```

You can specify multiple method configurations in the same component. For example:

```
<bean ...>
  <tx:transaction method="update*Ord* remove" value="Required" />
  <tx:transaction method="recordStatus" value="RequiresNew" />
</bean>
```

Wildcard matching and selection behavior is determined by the following rules:

1. If more than one transaction element matches a method name, the elements with the least wildcard characters are selected. For example, to match the method `updateOrder`, the transaction element with the method attribute `update*` is selected in preference to one with the method attribute `update*Ord*`.
2. If more than one transaction element still matches, the elements with the longest method attribute are selected. For example, to match the method `updateOrder`, the transaction element with the method attribute `updateOrd*` is selected in preference to one with the method attribute `update*`.
3. If more than one transaction element still matches, an `IllegalStateException` exception is generated.

## Transactions and Service Component Architecture (SCA)

You can declare transaction policy at the services level to describe the transactional contract that the service provider offers to the consumer, following the SCA interaction intents model for transactions.

SCA defines two mutually exclusive interaction intents for transactions:

- `sca:propagatesTransaction`

A service with a policy `sca:propagatesTransaction` indicates that the service will join any transaction that its requester propagates. A service with the following policy indicates that an SCA service will use a transaction if it is supplied. This configuration is also valid for a transaction with a value of `Required` on a component implementation.

```
<sca:service name="Service1" requires="sca:propagatesTransaction">
</sca:service>
```

- `sca:suspendsTransaction`

A service with a policy `sca:suspendsTransaction` indicates that the service will not join any transaction that its requester propagates. The component that provides the service might or might not run under its own transaction. A service with the following policy indicates that the service will not use a transaction if it is supplied. This configuration is also valid for a transaction with a value of `RequiresNew` or `NotSupported` on a component implementation.

```
<sca:service name="Service1" requires="sca:suspendsTransaction">
</sca:service>
```

For an OSGi application, you declare the interaction intents for services in a module Blueprint file. When you write the Blueprint XML, you must consider whether any remote services should allow the caller to

provide a transaction, and whether any service you call remotely should be part of your transaction. If you want your application to be able to participate in a transaction from another application, set the interaction intent to `propagatesTransaction`. However, if your application should run in its own transaction or no transaction, set the property to `suspendsTransaction`. The default value is `suspendsTransaction`, so this value is used if no other value is specified.

The interaction intents for services that are declared in a module Blueprint must be consistent with the transaction definitions in a component. The following table shows the mapping between these two properties:

Transaction definition	Interaction intent
Required	<code>propagatesTransaction</code>
Mandatory	<code>propagatesTransaction</code>
RequiresNew	<code>suspendsTransaction</code>
Supports	<code>propagatesTransaction</code>
NotSupported	<code>suspendsTransaction</code>
Never	<code>suspendsTransaction</code>

The interaction intent is associated with a service entry in the standard OSGi manner: by recording the intent as a service property in the service registry. The OSGi intent property **`service.exported.intents`** is used. This property is defined in the “Remote Services” chapter of the OSGi Service Platform Release 4 Version 4.2 Enterprise Specification.

Services that are implemented by transactional components declare their interaction intent value in the **`service.exported.intents`** property. For example, a service in an OSGi application that is backed by a component with a transaction value of `Required` is registered with the property `service.exported.intents=propagatesTransaction`. This is equivalent to the following module Blueprint service definition:

```
<service ref="componentImplementation"
  interface="com.xyz.MyTransactionalServiceInterface">
  <service-properties>
    <entry key="service.exported.intents" value="propagatesTransaction"/>
  </service-properties>
</service>
```

SCA references specify their transactional requirements on a service by using the same intents:

```
<sca:reference name="Reference1" requires="sca:propagatesTransaction">
</sca:reference>
```

OSGi references specify their transactional requirements on a service by filtering on the OSGi service intents property. The following example code searches for an OSGi service that will participate in a global transaction:

```
<reference id="transactionalService"
  interface="com.xyz.MyTransactionalServiceInterface"
  filter="(service.exported.intents=propagatesTransaction)"/>
```

The default transaction policy is `Required`.

For more information about the SCA interaction intents model for transactions, see the “Using intents in an OSGi application” section of SCA programming model support in OSGi Applications, and SCA transaction intents.

## Handling exceptions

The following table shows how exceptions are handled for transactions in OSGi Applications.

Table 42. Exception handling for transactions in OSGi Applications

Transaction scope	Transaction strategies	Exception generated	Container action	Client view
Client-initiated transaction. The client starts a transaction and propagates it to the bean.	Required Mandatory Supports	Declared exception	Regenerate the declared exception.	The client receives an exception. The client transaction is not affected.
		All other exceptions and errors	Log the exception or error. Mark the transaction for rollback. Regenerate the exception or error.	The client receives an exception. The client transaction is marked for rollback.
Container-managed transaction. A transaction is started before the bean is invoked and ends when the method completes.	Required RequiresNew	Declared exception	Attempt to either commit or roll back the transaction, and regenerate the declared exception.	The client receives an exception. The client transaction is not affected.
		All other exceptions and errors	Log the exception or error. Mark the transaction for rollback. Regenerate the exception or error.	The client receives an exception. The client transaction is not affected.
The bean is not part of a transaction. Any client transaction is not propagated to the bean, and no new transaction is started.	Never NotSupported Supports	Declared exception	Regenerate the declared exception.	The client receives an exception. Any client transaction is not affected.
		All other exceptions and errors	Regenerate the exception.	The client receives an exception. Any client transaction is not affected.

## Local transactions

In WebSphere Application Server, Blueprint components always run in a transaction. If you do not configure a global transaction, by using the transaction Blueprint namespace, all methods run in their own local transaction. For more information, see Local transaction containment (LTC).

This local transaction is application-managed with default behaviour. That is, the Resolver attribute of the transaction is set to Application and the Unresolved action attribute is set to Rollback. The transaction is set to roll back any uncommitted changes. Therefore, any transactional work, such as a database update, that runs in a method of a Blueprint bean, and that is not committed when the method returns, is rolled back, and therefore discarded.

---

## Conversion of an enterprise application to an OSGi application

To convert an enterprise application to an OSGi application, you convert the enterprise archive (EAR) file to an enterprise bundle archive (EBA) file. Before you do this, consider whether the contents of the EAR file are suitable for automatic conversion.

Some enterprise applications can be converted automatically to an OSGi application, some require manual conversion steps, and some require only partial conversion.



It is assumed that the enterprise application that you convert is a single EAR file.

- You can automatically convert an enterprise application that contains only web applications. An EAR file that contains only web application archive (WAR) files can be converted to an EBA file. When you import the EBA file as an asset, the WAR files are automatically converted to web application bundles (WABs).
- You cannot automatically convert an enterprise application that contains utility JAR files. These utility JAR files might be in the EAR file, that is, referenced by using a Class-Path attribute, or in an external location, that is, referenced by using an Extension-List attribute. You need manual conversion steps.
- You cannot automatically convert an enterprise application that contains Enterprise JavaBeans (EJB). You can convert the EAR file to an EBA file and import that file as an asset. However, the EJB JAR files are not converted, and the EJB function is not directly accessible in the EJB container. You need manual conversion steps.

With a large or complex application, it might be appropriate to convert only aspects of the application. For example, the following areas of the application might be suitable for conversion:

- Areas that use a utility JAR file, so that the application footprint is reduced.
- Areas that benefit from the version control capabilities of OSGi.
- Areas that benefit from the dependency injection approach of OSGi.

## Manual conversion steps

If an enterprise application contains web applications and utility JAR files, and the utility JAR files are referenced by using a Class-Path attribute or an Extension-List attribute, conversion requires manual steps. You can do one of the following:

- Move the JAR file to the `WEB-INF/lib` directory of the WAR file. This option is simple, but you do not get all the benefits of OSGi. For example, the same library file might be duplicated in many WAR files.
- Convert the JAR file to an OSGi bundle. You can then include the bundle directly in the EBA file, or add it to a bundle repository and include it by reference in the EBA file. If you add the bundle to a repository, it is available to other OSGi applications, and can reduce the application footprint.

If an enterprise application contains EJB modules, you must rewrite the application logic. For example, you must remove any annotations and references to EJBs from a servlet, because an OSGi bundle or a web application bundle (WAB) cannot directly look up and invoke an EJB. It is possible to change the application to interact with EJB modules by sending Java Message Service (JMS) messages so that the EJBs or message driven beans (MDBs) can retrieve those messages from those destinations and reply to them. Also, all necessary JAR files must be in the `WEB-INF/lib` directory of the WAR file, or be converted to OSGi bundles.

If an enterprise application contains EJB modules, another approach is to consider using a Service Component Architecture (SCA) environment and specify bindings in that environment.

After you complete manual conversion steps, you can continue with automatic conversion.

## Conversion of Java 2 security settings

When you convert an application from Java EE to OSGi, any existing `was.policy` file is converted into a `permissions.perm` file to be used with the OSGi permissions framework, and all permissions are promoted to the application level. If you need finer granularity, you can modify the file after conversion. For more information, see “Java 2 security and OSGi Applications” on page 399.



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## Chapter 22. Portlet applications

This page provides a starting point for finding information about portlet applications, which are special reusable Java servlets that appear as defined regions on portal pages. Portlets provide access to many different applications, services, and web content.

---

### Portlet container

#### Portlets

*Portlets* are reusable web modules that provide access to Web-based content, applications, and other resources. Portlets can run on the application server because it has an embedded JSR 286 Portlet container. The JSR 286 API provides backwards compatibility. You can assemble portlets into a larger portal page, with multiple instances of the same portlet displaying different data for each user.

From a user's perspective, a portlet is a window on a portal site that provides a specific service or information, for example, a calendar or news feed. From an application development perspective, portlets are pluggable web modules that are designed to run inside a portlet container of any portal framework. You can either create your own portlets or select portlets from a catalog of third-party portlets.

Each portlet on the page is responsible for providing its output in the form of markup fragments to be integrated into the portal page. The portal is responsible for providing the markup surrounding each portlet. In HTML, for example, the portal can provide markup that gives each portlet a title bar with minimize, maximize, help, and edit icons.

You can also include portlets as fragments into servlets or JavaServer Pages files. This provides better communication between portlets and the Java Platform, Enterprise Edition (Java EE) web technologies provided by the application server.

If you use Rational Application Developer version 6 to create your portlets, you must remove the following reference to the `std-portlet.tld` from the `web.xml` file to run the portlets outside of Rational Application Developer:

```
<taglib id="PortletTLD">
  <taglib-uri>http://java.sun.com/portlet</taglib-uri>
  <taglib-location>/WEB-INF/tld/std-portlet.tld</taglib-location>
</taglib>
```

Also if you use Rational Application Developer version 6 to create portlets, note that portlets created by using the Struts Portlet Framework are not supported on WebSphere Application Server.

#### Portlet applications

If the portlet application is a valid web application written to the Java Portlet API, the portlet application can operate on both the Portal Server and the WebSphere Application Server without requiring any changes. JSR 168 and JSR 286 compliant portlet applications must not use extended services provided by WebSphere Portal to operate on the WebSphere Application Server.

#### Portlet filters

Since the release of JSR 286: Portlet Specification 2.0, it is possible to intercept and manipulate the request and response before they are delivered to a portlet in a given phase. Using *Portlet filters* you can block the rendering of a portlet if a specific condition occurs. Also, you can use portlet filters to decorate a request and a response within a wrapper to modify the behavior of the portlet.

## Portlet filter usage

To use the portlet filter feature, you must first complete the following actions:

- Implement one or more of the following interfaces of the `javax.portlet.filter` package:
  - `RenderFilter`
  - `ActionFilter`
  - `ResourceFilter`
  - `EventFilter`

You must implement the appropriate filter based on the method or phase of the portlet that you want to filter.

- Register the filter within the `portlet.xml` file for the portlets in your web application.

The following sample code illustrates a portlet filter to screen the `processAction` method of a portlet:

```
package my.pkg;

import java.io.IOException;

import javax.portlet.ActionRequest;
import javax.portlet.ActionResponse;
import javax.portlet.PortletException;
import javax.portlet.filter.ActionFilter;
import javax.portlet.filter.FilterChain;
import javax.portlet.filter.FilterConfig;

public class MyPortletFilter implements ActionFilter {
    public void init(FilterConfig config) throws PortletException {
        String myInitParameter = config.getInitParameter("myInitParameter");
        // ...
    }

    public void doFilter(ActionRequest request, ActionResponse response,
        FilterChain chain) throws IOException, PortletException {
        preProcess(request, response);
        chain.doFilter(request, response);
        postProcess(request, response);
    }

    private void preProcess(ActionRequest request, ActionResponse response) {
        //For example, create a javax.portlet.filter.PortletRequestWrapper here
    }

    public void destroy() {
        // free resources
    }
}
```

The following sample code illustrates how you can declare the previous portlet filter in the `portlet.xml` file:

```
<?xml version="1.0" encoding="UTF-8"?>
<portlet-app xmlns="http://java.sun.com/xml/ns/portlet/portlet-app_2_0.xsd"
version="2.0" id="demo_app_id">
  <portlet >
    <portlet-name>MyPortlet1</portlet-name>
    <!-- [...] -->
  </portlet>

  <portlet >
    <portlet-name>MyPortlet2</portlet-name>
    <!-- [...] -->
  </portlet>

  <filter>
    <filter-name>PortletFilter</filter-name>
    <filter-class>my.pkg.MyPortletFilter</filter-class>
    <lifecycle>ACTION_PHASE</lifecycle>
    <init-param>
      <name>myInitParameter</name>
      <value>myValue</value>
    </init-param>
  </filter>

  <filter-mapping>
    <filter-name>PortletFilter</filter-name>
    <portlet-name>MyPortlet1</portlet-name>
    <portlet-name>MyPortlet2</portlet-name>
  </filter-mapping>
</portlet-app>
```

If you implement the `RenderFilter` interface, for example, add the `<lifecycle>RENDER_PHASE</lifecycle>` code to the filter section. This addition is analogous to the other filter interfaces. The following values are valid for the `<lifecycle>` parameter:

- `RESOURCE_PHASE`
- `RENDER_PHASE`
- `EVENT_PHASE`
- `ACTION_PHASE`

## Global portlet filters

The portlet container for WebSphere Application Server extends the portlet filter feature, which is provided by JSR 286, to allow you to register filters on a global level. These global filters apply to all portlets that are running within the portlet container, including both plain portlets and console modules.

To use global portlet filters, add the following code to the root folder of your Java archive (JAR) file or in the `WEB-INF` directory of your web application and name it `plugin.xml` file:

```
<?xml version="1.0" encoding="UTF-8"?>
<?eclipse version="3.0"?>
<plugin id="portlet-filter-config" name="WS_Server" provider-name="IBM"
version="1.0.0">

  <extension point="com.ibm.ws.portletcontainer.portlet-filter-config">

    <portlet-filter-config class-name="my.pkg.PortletFilter" order="22000">
      <description>Description of global PortletFilterImpl</description>
      <lifecycle>ACTION_PHASE</lifecycle>
      <lifecycle>EVENT_PHASE</lifecycle>
      <lifecycle>RENDER_PHASE</lifecycle>
      <lifecycle>RESOURCE_PHASE</lifecycle>
      <init-param>
        <name>MyInitParam1</name>
        <value>MyInitValue1</value>
      </init-param>
      <init-param>
        <name>MyInitParam2</name>
        <value>MyInitValue2</value>
      </init-param>
    </portlet-filter-config>
  </extension>
</plugin>
```

The `order` attribute of the `portlet-filter-config` element defines when in the filter chain to run the filter. The higher the value, the later the filter runs in the filter chain. Global filters are triggered before local portlet filters.

### Note:

- Because global portlet filters are applied to all portlets within the container, when you call the `FilterConfig#getPortletContext` method within the `init` method the return value is null for global portlet filters.
- Do not confuse this feature with the portlet document filters for WebSphere Application Server. Those portlet document filters are, technically speaking, servlet filters that you can apply to rendered output only. For more information about the portlet document filters, see the documentation about converting portlet fragments to an HTML document.
- Because global portlet filters affect all portlets running in the given portlet container, the console modules that are contained in the Integrated Solutions Console are also filtered. It is important to test your filter implementation for undesired side effects on console modules or portlets. One approach is to test by checking the context path of the request in your filter logic.

## Portlet container

The *portlet container* is the runtime environment for portlets using the JSR 286 Portlet specification, in which portlets are instantiated, used, and finally destroyed. The JSR 286 Portlet application programming

interface (API) provides standard interfaces for portlets and backwards compatibility for JSR 168 portlets. Portlets that are based on this JSR 286 Portlet Specification are referred to as *standard portlets*.

The `PortletServlet` servlet provides a simple portal framework, which builds on top of the portlet container. This servlet registers itself with each web application that contains portlets. You can use the `PortletServlet` servlet to directly render a portlet into a full browser page by a URL request and invoke each portlet by its context root and name. For more information, see [Portlet Uniform Resource Locator \(URL\) addressability](#). Also, you can use the URL addressability feature to include remote portlet content using the remote request dispatcher (RRD).

If you want to aggregate multiple portlets on a page, you can use the dedicated aggregation tag library, which is based on the `PortletServlet` servlet. For more information, see [Portlet aggregation using JavaServer Pages](#) for additional information. For coordination between portlets on a given page, the aggregation tag library supports the use of the Public Render Parameters, as specified by the JSR 286 Portlet Specification.

The portal framework, which is provided with the `PortletServlet` servlet, enables you to render only one portlet at a time. Thus, only a subset of the optional features in the JSR 286 Portal Specification are supported. To determine which optional features are supported, see [Supported optional features of the JSR 286 Portlet Specification](#).

**Attention:** The brokering of events between portlets is out of the scope of the specification and is not handled by the `PortletServlet` servlet. For full portlet coordination support, you can deploy the portlets on comprehensive portal products, such as the WebSphere Portal Server.

You can disable the `PortletServlet` servlet in an extended portlet deployment descriptor called the `ibm-portlet-ext.xml` file. For more information, see [Example: Configuring the extended portlet deployment descriptor to disable `PortletServlet`](#).

---

## Chapter 23. SCA composites

This page provides a starting point for finding information about Service Component Architecture (SCA) composites, which consist of components that implement business functions in the form of services.

You typically do not deploy SCA composites directly onto a product server. To deploy SCA composites, you import SCA composites as assets to the product repository and add the assets to business-level applications.

---

### SCA in WebSphere Application Server: Overview

Support for Service Component Architecture (SCA) offers a way to construct applications based on Service-Oriented Architecture (SOA). The support uses the Apache Tuscany open-source technology to provide an implementation of the published SCA specifications.

SCA is defined in a set of open specifications produced by IBM and other industry leaders through the Open SOA Collaboration (OSOA).

You can use SCA to assemble and compose existing services in your enterprise. The key principle of SOA demonstrated by SCA support is the ability to use your existing services to create new ones.

Another key objective of SCA is to highlight the ease-of-use characteristics of SCA service development in Java. This is accomplished by demonstrating annotated Plain-Old Java-Object (POJO) components deployed using simple JAR packaging schemes, an easy to use assembly model, and wiring abstractions that enable service definition over different transports and protocols.

### Benefits of SCA

SCA enables your organization to move quickly into the world of SOA, as follows:

#### Improve flexibility in application deployment

- Adapt applications quickly to reflect changes in the business environment
- Reuse the components you create in other business processes and composite applications
- Easily compose services into more complex composite applications
- Adjust solutions to accommodate varying technology offerings (that is, protocols or deployment targets) without the need to rebuild business applications

#### Increase programmer productivity

- Stay focused on solving business problems, rather than getting bogged down in the individual complexities of the technologies that connect service consumers and service providers
- Use the same fundamental principles to uniformly represent existing assets and newly engineered components
- Organize service components into logical modules to hasten composite application development
- Leverage the loosely coupled service model with clear service definitions to enable developers to work independently and in parallel, for fast delivery of solutions

### OSOA support

SCA in WebSphere Application Server follows the definition of the technology as documented by OSOA. Defining a set of compliance test suites was not part of the OSOA charter, so the implementation provided in this product uses the following specifications as guiding principles. However, IBM provides an implementation that adheres strictly to our interpretation of the specifications.

- SCA Assembly Model

- SCA EJB Session Bean Binding
- SCA Java Component Implementation
- SCA Java Common Annotations and APIs
- SCA Java EE Integration
- SCA JMS Binding
- SCA Policy Framework
- SCA Spring Implementation
- SCA Transaction Policy
- SCA Web Service Binding

See the "Unsupported SCA specifications sections" topic for restrictions and limitations that are unsupported at this time.

## WebSphere support for SCA

As already noted, multiple specifications are defined at OSOA, as well as Tuscany extensions provided in open source that go beyond the basic mission of WebSphere Application Server. Each vendor can decide which aspects of SCA apply to their product. For WebSphere Application Server, the focus is on enabling compositions as services, Java components, and integration of key qualities of service-like transactions and security.

SCA can enable mediations, business rules, and business process execution language to be treated as any other service, and while WebSphere Application Server provides the mechanisms to wire services that are implemented in those languages and environments, the product does not provide native support to host those kinds of service implementations.

SCA support includes the following:

- POJO (Java Object) service-component implementations, including support for annotations
- Asynchronous capability
- Recursive composition model support
- Support for SCA specifications
- Support for SCA services developed from existing WSDL files or Java code
- Deployment of SCA composites in business-level applications
- SCA authorization and security identity policies
- PassByReference optimization for SCA applications
- Several binding types, including web services binding, SCA default binding, Enterprise JavaBeans (EJB), Java Message Service (JMS), Atom, and HTTP bindings
- Support for Java Architecture for XML Binding (JAXB) data bindings in SCA applications
- SCA annotations for Java Platform, Enterprise Edition (Java EE) web modules, session beans, and message-driven beans
- Preview of native SCA deployment
- Spring 2.5.5 containers in SCA applications
- OSGi applications as SCA implementations
- Service Data Objects 2.1.1
- Sample SCA composites compiled specifically for use with the product

---

## Learn about SCA composites

Find links to Service Component Architecture (SCA) resources for learning, including conceptual overviews, tutorials, samples, and "How do I?..." topics, pending their availability.



## How do I?...

### **Develop SCA composites**

- Select the implementation type for an SCA composite
- Develop SCA services from existing WSDL files
- Develop SCA services with existing Java code
- Develop SCA service clients
- Specify bindings for SCA components
- Create wire format handlers
- Use existing Java EE modules and components as SCA implementations
- Use OSGi applications as SCA component implementations
- Use Spring 2.5.5 containers in SCA applications

### **Deploy SCA composites in business-level applications**

- Create SCA business-level applications using the administrative console
- Create SCA business-level applications using wsadmin scripts

### **Administer deployed SCA composites**

- Update deployment settings for SCA composites
- Start business-level applications
- Stop business-level applications
- Update SCA composite artifacts
- View composite definitions
- View SCA domain information
- View JMS bindings on references and services of SCA composites
- Export WSDL and XSD documents
- Delete business-level applications
- Update business-level applications

## Conceptual overviews

- SCA overview
- SCA components
- SCA composites
- SCA application package deployment

## Samples

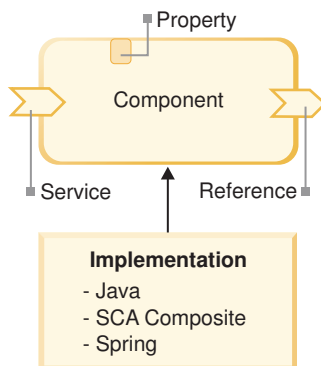
The product offers sample files that support SCA specifications. You can use these sample SCA files in business-level applications. The sample files are downloadable from the **Samples** information center. SCA services are packaged in Java archive (JAR) files that you import as assets to the product repository and then add as composition units to business-level applications. Samples include detailed deployment instructions in a `readme.html` file.

## SCA components

A Service Component Architecture (SCA) component is a configured instance of an implementation, which is program code that implements one or more business functions such as Java classes. Components provide and consume services. The business functions provide services. Components consume services by referring to services provided by other components. The component configuration sets values for properties that are declared by the implementation and specifies references that point to services provided by other components.

The following graphic shows the parts of a component:

- The chevron pointing towards the component represents a service, or business function, that the component provides to its client.
- The chevron pointing away from the component represents a reference to a service provided by another component.
- The box on the component represents a property value for a property that is declared by the implementation. The component reads the property value from the configuration file when the component is instantiated.



The implementation defines the service in code that is appropriate for the chosen language. For example, a Java component might describe its service using Java interfaces. Supported implementations include Java Pojo, Java Platform, Enterprise Edition (Java EE) integration, SCA composites, and Spring 2.5.5 containers.

More than one component can use the same implementation.

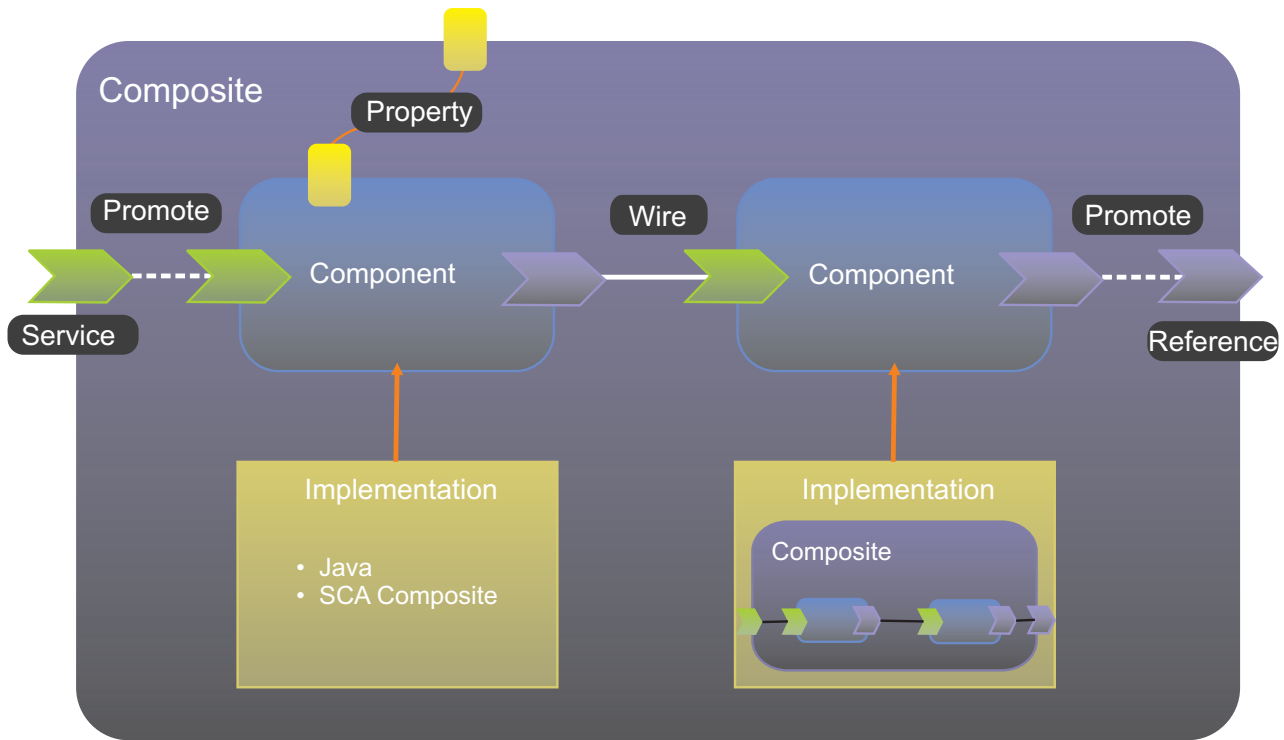
## SCA composites

A Service Component Architecture (SCA) composite is a composition unit within an SCA domain. An SCA composite can consist of components, services, references, and wires that connect them. A composite is the unit of deployment for SCA.

The following graphic shows the parts of a composite and its components:

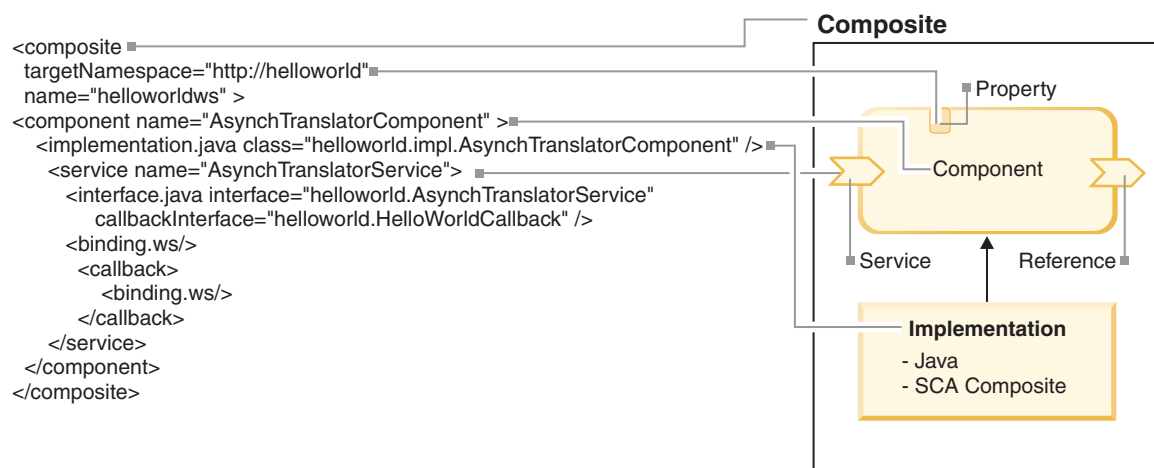
- The blue boxes on the composite represent components. A composite can have one or multiple components.
- A green chevron pointing towards a component represents a service, or business function, that a component provides to its client.
- A purple chevron pointing away from a component represents a reference to a service provided by another component.
- The yellow box on a component represents a property value for a property that is declared by a component implementation.
- The white solid line from the reference of one component to the service of another component represents a wire. A wire between a Component1 reference and a Component2 service indicates that Component1 requires the service provided by Component2.

- An implementation defines a component service in code that is appropriate for the chosen language. Supported implementations include Java Pojo and SCA composites.



An application can contain one composite or several different composites. The components of a composite can run in a single process on a single computer or be distributed across multiple processes on multiple computers. The components might all use the same implementation language, or use different languages.

An SCA composite is typically described in a configuration file, the name of which ends in `.composite`. The following graphic shows the composite definition of the `helloworldws` composition unit in the SCA sample application `HelloWorldAsync`. You can find the composite definition for the `helloworldws` composition unit in the `/META-INF/sca-deployables/default.composite` file.



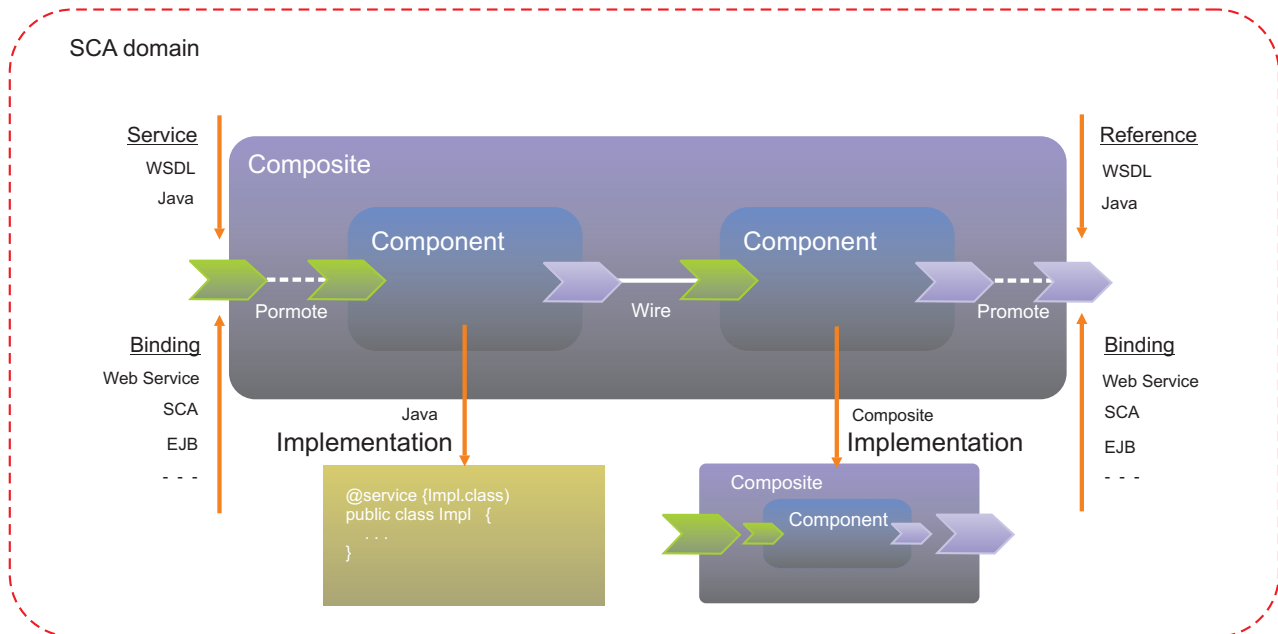
A composite file in a WAR file must be named `default.composite`. A composite file that is not in a WAR file can have any name.

The product supports composite as an implementation, as described in Section 1.6 of SCA Assembly Specification 1.0. See “Unsupported SCA specification sections” for information on parts of Section 1.6 that the product does not support.

## SCA domain

A Service Component Architecture (SCA) domain consists of the definitions of composites, components, their implementations, and the nodes on which they run. Components deployed into a domain can directly wire to other components within the same domain. On a single server, the domain is essentially the scope of the server. For a multiple-server configuration, the domain is essentially the cell.

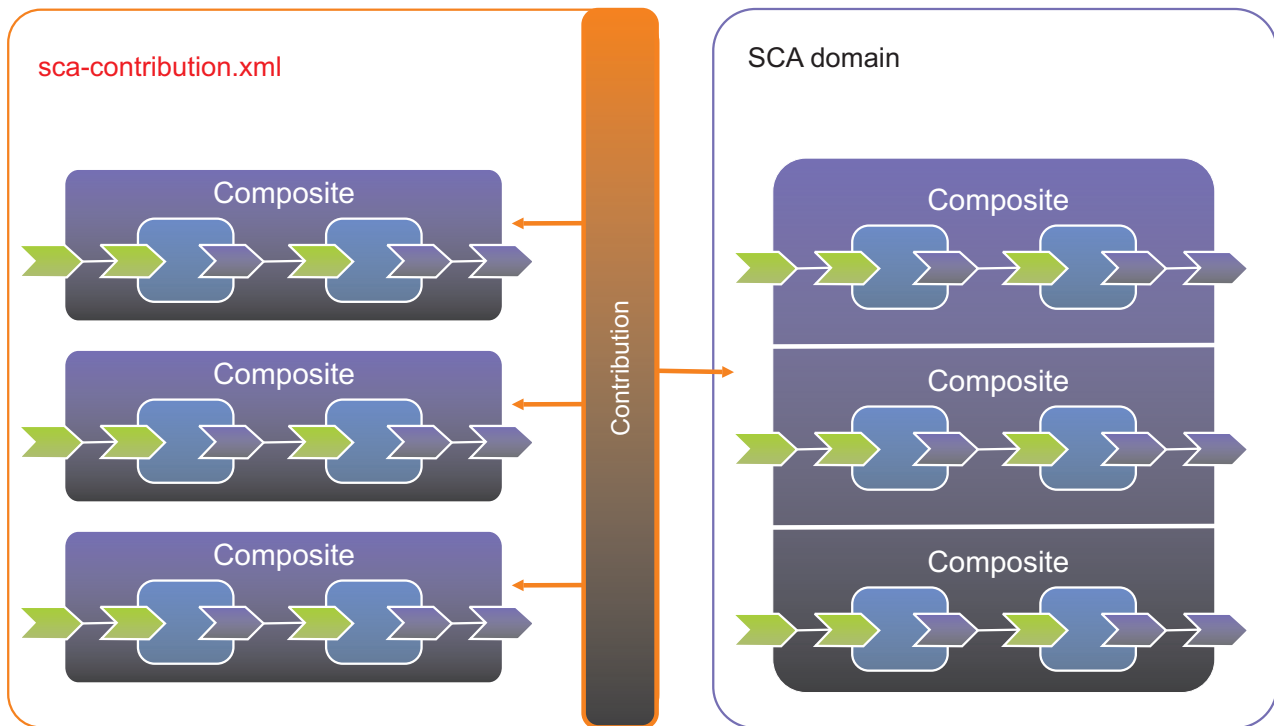
The following graphic shows one composite in an SCA domain.



## SCA contributions

A Service Component Architecture (SCA) contribution contains artifacts that are needed for an SCA domain. Contributions are sometimes self-contained, in that all of the artifacts necessary to run the contents of the contribution are found within the contribution itself. However, the contents of the contribution can make one or many references to artifacts that are not contained within the contribution. These references might be to SCA artifacts, or to other artifacts, such as Web Services Description Language (WSDL) files, XSD files, or to code artifacts such as Java class files.

The following graphic shows composites in an `sca-contribution.xml` file in an SCA domain.



An SCA contribution is typically described in a contribution file, named `sca-contribution.xml` in the META-INF directory. The contribution file for a `helloworldws` composition unit follows:

```
<?xml version="1.0" encoding="ASCII"?>
<contribution xmlns="http://www.osoa.org/xmlns/sca/1.0" xmlns:ns="http://helloworld">
  <deployable composite="ns:helloworldws"/>
</contribution>
```

The product supports contributions, as described in Chapter 1.10 of SCA Assembly Specification 1.0. The assembly specification defines the contribution metadata model to describe the runnable components for a given contribution, as well as the exported definitions and imported definitions to help resolve artifact dependencies across multiple contributions. See “Unsupported SCA specification sections” for information on parts of Chapter 1.10 that the product does not support.

Information about support for SCA contributions follows:

- Preconditions or inputs
- Postconditions or outputs for JAR packaged applications
- Postconditions or outputs for WAR packaged applications
- Contribution scenarios
- Scenarios for mapping multiple deployable composites to a composition unit
- Notes and limitations

## Preconditions or inputs

One SCA contribution, including multiple deployable composites, and with import or export definitions.

## Postconditions or outputs for JAR packaged applications

- After successful creation of an SCA contribution as an asset, you can do the following:
  - Create a business-level application, add the asset as a composition unit, and start and stop the application.
  - Target each deployable composite to a different server or cluster.
  - Delete the deployable composites individually.
- Support import or export namespace:

- WSDL can be defined in another contribution. Use `<import name = "name_space"/>` to declare dependencies.
- Schema XSD can be defined in another contribution. Use `<import name = "name_space"/>` to declare dependencies
- Use `<export name="name_space"/>` to make WSDL or XSD available to other contributions.
- Composite for recursive model can be defined in another contribution.
- Support `import.java` and `export.java` package name:
  - The Java package can be in another contribution. Use `<import.java name="java_package_name">` to declare dependencies.
  - Use `<export.java name="java_packages">` to make Java packages available to other contributions.

## Postconditions or outputs for WAR packaged applications

Successful installation of a WAR module with a single deployable composite in the contribution.

### Contribution scenarios

- There are multiple runnable deployable composites in a contribution. One extreme case is that there is no runnable for the contribution, so the contribution is used as a shared library for resources and classes.
- Declare an import or export namespace for resource resolution, such as WSDL, XSD, and composite definition.
- Declare `import.java` and `export.java` for `ClassLoader` dependencies.
- Support artifact resolution for contributions targeted on the same server.
- Support artifact resolution for contributions targeted to a different server or cluster in a multiple-server environment.
- Support partial updating of an installed contribution (asset). This support includes any updating other than a change to the `sca-contribution.xml` file. You should be able to restart the composition unit that has the assets as a dependent. Updating the archive attribute of an `implementation.jee` element in the component section of a composite is not supported.

### Scenarios for mapping multiple deployable composites to a composition unit

- Scenario 1:
  - When a contribution does not contain the `sca-contribution.xml` file, it can contain a deployable composite under the `META-INF/sca-deployables` directory. The composite file must be named `default.composite`. The `default.composite` file is automatically the deployable composite.
  - When creating a composition unit, because this composite is automatically the deployable composite, you do not see the console page to select the deployable composite.
  - After composition unit creation, each composition unit is mapped to one composite.
- Scenario 2:
 

An asset can contain an `sca-contribution.xml` file that has zero-to-many (0...n) deployable composites:

  - Use `sca-contribution.xml` deployable composites to create 0...n deployable units with the deployable composite's QName as the deployable unit name.
  - The asset is tagged as an SCA asset.
  - During asset creation, each deployable composite is identified as a deployable unit.
  - When creating a composition unit, you can select only one deployable unit. For SCA composition units, you cannot select multiple deployable composites. This is different from non-SCA business-level applications, for which you can select multiple deployable units.
    - Start or stop targets the deployable unit's composite.
  - If the composition unit is created as a shared library, or no deployable unit is selected, the default deployable unit is used:

- View or edit is not available for the composition unit.
- Start or stop is not available for the composition unit.

## Notes and limitations

Currently this topic focuses on JAR-packaged SCA applications. For WAR-packaged applications support is provided for only a single deployable composite in the contribution.

## Security configurations for SCA applications

Security for SCA components and bindings can be configured using intents, SCA policy sets, web services policy sets, and WebSphere Application Server security configuration.

The following sections describe how security can be configured for each of the different bindings and implementation types that SCA supports in a WebSphere Application Server environment. Refer to the topic *Using SCA authorization and security identity policies* for more information about authorization and security identity policies. Refer to the topic *Security: Resources for learning* for more general information about WebSphere Application Server security features.

## Security configurations for SCA bindings

The following list summarizes the security that can be configured for the bindings supported for SCA applications.

### **binding.sca**

No security-related intents or policy set attachments are supported. Your server configuration and CSiv2 settings control authentication and encryption. Refer to the topics *Setting up, enabling and migrating security*, and *Configuring Common Secure Interoperability Version 2 (CSIV2) inbound and outbound communication settings* for more information.

### **binding.ejb**

No security-related intents or policy set attachments are supported. Your server configuration and CSiv2 settings control authentication and encryption. Refer to the topics *Setting up, enabling and migrating security*, and *Configuring Common Secure Interoperability Version 2 (CSIV2) inbound and outbound communication settings* for more information.

### **binding.ws**

Transport layer authentication and confidentiality on the service side are configured using the `authentication.transport` and `confidentiality.transport` intents in the composite file. All other security is configured by attaching web services policy sets. Refer to the topics *Mapping abstract intents and managing policy sets*, and *Securing Web services using policy sets* for more information.

### **binding.jms**

An authentication alias can be specified on the reference binding or service binding in the composite file to authenticate with a secure bus. `binding.jms` does not propagate the identity of the client. Refer to the topic *Securing buses* for more information.

### **binding.atom**

On the service side, `authentication.transport` and `confidentiality.transport` intents in the composite file are used to configure transport layer authentication and encryption. On the reference side, an authentication alias can be configured to send a username and password with the request. If a LTPA token already exists in the security context, the LTPA token is propagated with the request. Refer to the topics *Securing data exposed by Atom bindings*, and *Configuring LTPA and working with keys* for more information.

### **binding.http**

On the service side, `authentication.transport` and `confidentiality.transport` intents in the composite file are used to configure transport layer authentication and encryption. On the reference side, if a

LTPA token already exists in the security context the LTPA token is propagated with the request. Refer to the topics *Securing services exposed by HTTP bindings*, and *Configuring LTPA and working with keys* for more information.

## Security configuration for SCA implementation types

The following list summarizes the security that can be configured for the implementation types supported for SCA applications.

### implementation.java

Authorization and security identity policies are specified by attaching an SCA policy set in the composite file or by using annotations in the composite implementation. Refer to the topic *Using SCA authorization and security identity policies* for more information.

### implementation.spring

Authorization and security identity policies are specified by attaching an SCA policy set in the composite file or by using annotations in the composite implementation. Refer to the topic *Using SCA authorization and security identity policies* for more information.

### implementation.osgiapp

You can attach an SCA policy set containing authorization policy statements to an implementation.osgiapp component. The policy set applies to all services of the component that are started through SCA service bindings, but not internally when the OSGi application uses its own services.

SCA does not support the use of the `org.osoa.sca.annotations.PolicySet` annotation or the annotations in the `javax.annotation.security` package in Blueprint implementation classes. The configuration of role-based security for SCA components is independent of the configuration of role-based security for a Web application bundle (WAB). The roles and role mappings used for SCA components and for WABs are separate.

### implementation.osgiapp

Authorization and security identity policies are specified by the deployment descriptors in the JEE application. See the topic *Securing enterprise bean applications* for more information.

### implementation.jee

Authorization and security identity policies are specified by the deployment descriptors in the JEE application. See the topic *Securing enterprise bean applications* for more information.

### implementation.web

HTTP authorization and security identity policy are not supported for implementation.web. You must use JEE security constraints in the `web.xml` file to configure authorization for the resources in the WAR package.

---

## Unsupported SCA specification sections

This topic lists the sections of Service Component Architecture (SCA) specifications not supported in the product.

- SCA Assembly Model
- SCA Policy Framework
- SCA Transaction Policy
- SCA Java Common Annotations and APIs
- SCA Web Service Binding
- SCA EJB Session Bean Binding
- SCA JMS Binding
- SCA Java EE Integration
- SCA Spring Component Implementation



The following tables list the unsupported sections of the indicated SCA specifications. The SCA Java Component Implementation specification is fully supported, so does not have a list of unsupported sections.

## SCA Assembly Model

Table 43. *Unsupported sections. The product does not support these sections of the SCA Assembly Model specification.*

Section	Not supported
1.3 Component	<ul style="list-style-type: none"> <li>Component attribute: <code>constrainingType</code></li> <li>A component element has zero implementation elements</li> <li>Reference attribute <code>wiredByImpl</code></li> </ul>
1.4.1 Component Type	<code>constrainingType</code>
1.5 Interface	WSDL 2.0 interfaces are not supported.
1.5.2 Bidirectional Interfaces	Callback is not supported for EJB binding.
1.5.3 Conversational Interfaces	Conversation is not supported.
1.5.4 SCA-Specific Aspects for WSDL Interfaces	Conversation is not supported.
1.6 Composite	<ul style="list-style-type: none"> <li>Composite Attribute: <code>local</code> (optional) – whether all the components within the composite must all run in the same operating system process. <code>local="true"</code> means that all the components must run in the same process. <code>local="false"</code>, which is the default, means that different components within the composite might run in different operating system processes. The product behavior is that, <code>local</code> or not, all components within the composite are deployed on the same Java virtual machine (JVM).</li> <li><code>constrainingType</code></li> </ul>
1.6.2 Reference	<ul style="list-style-type: none"> <li>Composite reference attribute: <code>wiredByImpl</code></li> <li>Autowire only supported for the components within the same composite</li> <li>The bindings defined on the component reference are still in effect for local wires within the composite that have the component reference as their source. The product limits the function wiring reference to outside service using binding specific endpoint URI (or using reference target). Wiring to <code>local componentService</code> is only supported for default binding.</li> </ul>
1.6.3 Service	The bindings defined on the component service are still in effect for local wires within the composite that target the component service. The product limits this function. Local component service can only be wired through default binding from a local component reference.
1.6.4 Wire	Wire is not supported.
1.6.5 Composite Implementations	<p>Services defined in an implementing composite must use the <code>&lt;binding.sca&gt;</code> binding type. Non-SCA service bindings are not supported on inner composites. Reference bindings do not have this restriction.</p> <p>Component implementations defined in an implementing composite must only be either <code>implementation.java</code> or <code>implementation.composite</code>. All other implementation types are not supported.</p>
1.6.8 ConstrainingType	<code>ConstrainingType</code>
1.7.2.1 Constructing Hierarchical URIs	For the default binding, the product does not support the <code>@uri</code> attribute on the service-side binding. In other words, using a non-default URI on a service exposed over the default binding is not supported. Specifically, the <code>@uri</code> attribute should not be used on a <code>&lt;binding.sca&gt;</code> element that is a child of a component <code>&lt;service&gt;</code> element.
1.10.2 Contributions	OSGi bundle as contribution
1.10.2.2 SCA Contribution Metadata Document	<code>sca-contribution-generated.xml</code>
1.10.4.2 add Deployment Composite & update Deployment Composite	Update Deployment Composite supported through the business-level application <code>updateAssets</code> command.

## SCA Policy Framework

Table 44. Unsupported sections. The product does not support these sections of the SCA Policy Framework specification.

Section	Not supported
1 Policy Framework	<ul style="list-style-type: none"> <li>• @policySets (except for authorization policy)</li> <li>• definitions.xml (except for authorization policy)</li> <li>• callbacks</li> <li>• &lt;operation&gt; element</li> <li>• componentType file</li> </ul>
1.9 Miscellaneous Intents	<p>The following miscellaneous intents are not supported:</p> <ul style="list-style-type: none"> <li>• SOAP</li> <li>• JMS</li> <li>• NoListener</li> <li>• BP.1_1</li> </ul>

## SCA Transaction Policy

Table 45. Unsupported sections. The product does not support this element of the SCA Transaction Policy specification.

Section	Not supported
	<operation> element

## SCA Java Common Annotations and APIs

Table 46. Unsupported sections. The product does not support these sections of the SCA Java Common Annotations and APIs specification.

Section	Not supported
1 Common Annotations, APIs, Client and Implementation Model	Conversation is not supported
1.2.4.2 - 1.2.4.3 Implementation Metadata	@SCOPE("COMPOSITE") is not supported in the clustered environment, which means that "All service requests are dispatched to the same implementation instance for the lifetime of the containing composite" is not supported in the clustered environment.

## SCA Web Services Binding

Table 47. Unsupported sections. The product does not support these sections of the SCA Web Services Binding specification.

Section	Not supported
2.1 Web Service Binding Schema	<ul style="list-style-type: none"> <li>• Line 47: wsdl.endpoint is not supported in /binding.ws/@wsdlElement</li> <li>• Line 55: wsdlLocation is not supported in /binding.ws/@wsdl:wsdlLocation</li> </ul>
2.1.1 Endpoint URI resolution	<ul style="list-style-type: none"> <li>• Lines 71-79: Ordering of implementation in the product is shown below:            ordering for reference side: reference target-&gt; location in wsdl -&gt;                EndPointReference -&gt; binding.ws uri            ordering for service side: binding.ws name -&gt; binding.ws uri -&gt;                implicit (component/service)</li> <li>• Line 73: URI in referenced WSDL (support limited to reference side)</li> <li>• Line 76: Explicit URI in binding.ws (support limited to reference side as absolute URI, on service side as relative URI (contextRoot))</li> <li>• Line 78: Implicit URI in binding.ws (support limited to service only)</li> </ul>

## SCA EJB Session Bean Binding

Table 48. Unsupported sections. The product does not support these sections of the SCA EJB Session Bean Binding specification.

Section	Not supported
2.1 Session Bean Binding Schema	<p>/binding.ejb/@session-type</p> <ul style="list-style-type: none"> <li>Because the product does not support conversations, although session-type is set to "stateful", the service still behaves as stateless.</li> </ul> <p>/binding.ejb/@uri</p> <ul style="list-style-type: none"> <li>Line 91: The product only supports the following formats: <ul style="list-style-type: none"> <li>For EJB2 <pre>corbaname:iiop:&lt;hostName&gt;:&lt;port&gt;/NameServiceServerRoot#ejb/sca/ejbbinding /&lt;componentName&gt;/&lt;serviceName&gt;</pre> </li> <li>For EJB3 <pre>corbaname:iiop:&lt;hostName&gt;:&lt;port&gt;/NameServiceServerRoot#ejb/sca/ejbbinding/ &lt;componentName&gt;/&lt;serviceName&gt;#&lt;serviceName&gt; Remote or corbaname:iiop:&lt;hostName&gt;:&lt;port&gt; /NameServiceServerRoot#&lt;serviceName&gt;Remote</pre> </li> </ul> </li> <li>Line 97: corbaname:rir:#ejb/MyHome</li> </ul>
2.3.1 Conversational Nature of Stateful Session Beans	Lines 197-229

## SCA JMS Binding

The product supports the SCA JMS Binding specification, with the exception of specification sections mentioned in the table. The OASIS Java Message Service (JMS) Binding specification was consulted for clarification of the SCA JMS Binding specification. However, the OASIS specification is not supported.

Table 49. Unsupported sections. The product does not support these sections of the SCA JMS Binding specification.

Section	Not supported
1.4 JMS Binding Schema	<ul style="list-style-type: none"> <li>Line 103: /binding.jms/@uri is not supported.</li> <li>Line 123: /binding.jms/@responseConnection is not supported.</li> <li>Line 141: /binding.jms/destination/property is not supported.</li> <li>Line 143: /binding.jms/connectionFactory is supported for reference bindings only. Request connection factories are not supported for service bindings. Response connection factories are supported for service bindings.</li> <li>Lines 144 and 156: plain connection factory name is not supported. You must provide a JNDI name, and not a plain name.</li> <li>Lines 149 and 161: plain activation spec name is not supported. You must provide a JNDI name, and not a plain name.</li> <li>Line 159: /binding.jms/response/activationSpec is not supported. However, line 155, /binding.jms/response/connectionFactory, is supported.</li> <li>Line 171: /binding.jms/resourceAdapter is not necessary to support JMS adapters. Thus, line 171 is not supported.</li> </ul>
1.7 Callback and Conversation Protocol	Lines 250, 252, and 254: conversation is not supported.
1.7.3 Conversations	Line 267: conversation is not supported.

## SCA Java EE Integration

The product supports all Java EE components consuming SCA services over default bindings within the context of annotations. The product supports the following specification sections:

- 5.2.1 Dependency Injection
- 5.4.1 Dependency Injection
- 5.2.4 Creating SCA Components that use Message Driven Beans as Implementation Types

- 5.4.4 Using SCA References from JSPs supported within the context of Section 5.2.1
- 5.4.5 Creating SCA Components that Use Web Modules as Implementation Types supported within the context of Section 5.4.1

Table 50. Unsupported sections. The product does not support these sections of the SCA Java EE Integration specification.

Section	Not supported
5.1.3 Dependency Injection	Lines 241-242: callback and conversation are not supported
5.1.5 Using a ComponentType Side-File	Not supported
5.1.6 Creating SCA components that use Session Beans as Implementation Types	Supported for components in <code>application.composite</code> within the EAR. Otherwise, not supported.
5.1.8 Use of Implementation Scopes with Session Beans	Conversational is not supported
5.1.9 SCA Conversational Behavior with Session Beans	Conversational is not supported
5.1.10 Non-Blocking Service Operations	Not supported
5.1.11 Accessing a Callback Service	Not supported
5.3 Mapping of EJB Transaction Demarcation to SCA Transaction Policies	Not supported
5.4.3 Providing additional Component Type Data for a Web Application	Files with <code>.componentType</code> extension are not supported
6.1.1 Java EE Archives as SCA Contributions	Not supported because contributions are not supported.
6.1.2 Local Assembly of SCA-enhanced Java EE Applications	Not supported
6.1.3 The Application Composite	Supported, except for the following: <ul style="list-style-type: none"> <li>• <code>application.composite</code> file as a deployable composite</li> <li>• Enterprise archive (EAR) as an SCA contribution</li> </ul>
6.1.4 Domain Level Assembly of SCA-enhanced Java EE Applications	Not supported
6.1.5 Import and Export of SCA Artifacts	Not supported
6.1.6 Resolution of WSDL and XSD artifacts	Not supported
7 Java EE Archives as Service Component Implementations	The product supports Java EE archives as service component implementations, except the SCA JAR and the EAR must be in separate packages or assets. The EAR can contain an optional <code>application.composite</code> file under its <code>META-INF</code> directory that defines the <code>componentType</code> of the EAR. The product supports dependency injection.  The product does not support the following: <ul style="list-style-type: none"> <li>• <code>application.composite</code> file as a deployable composite</li> <li>• <code>web.composite</code> and <code>ejb-jar.composite</code> files within the EAR</li> <li>• EAR as an SCA contribution</li> <li>• SCA JAR within the EAR</li> </ul>

## SCA Spring Component Implementation

The product supports the SCA Spring Component Implementation specification except for the specification sections, or parts of sections, listed in the table.

The product also supports Spring Component Implementation beyond what is described in the specification:

- Constructor injection
- <import> elements in application context files

For more information, see the topic on additional Spring component implementation features.

*Table 51. Unsupported sections. The product does not support these sections of the SCA Spring Component Implementation specification.*

Section	Not supported
1.2. Spring Application Context as Composite Implementation	<ul style="list-style-type: none"> <li>• As to section 1.2, only the SCA (default) binding, web service binding, EJB binding, and JMS binding are supported.</li> <li>• Exposing a Spring bean as a service is not supported when the bean implements multiple interfaces. A Specs JIRA is open for this issue; see <a href="http://www.osoa.org/jira/browse/JAVA-59">http://www.osoa.org/jira/browse/JAVA-59</a>. To resolve this problem, explicitly define a &lt;sca:service&gt; element in the Spring application context file. If no explicit definition of &lt;sca:service&gt; is available, the problems remains by default when you expose all the beans as defined in the Spring context as services.</li> <li>• Callbacks are not supported.</li> <li>• Pass by reference is not supported.</li> </ul>
1.2.1. Direct use of SCA references within a Spring configuration	<p>Under the specification, the &lt;implementation.spring&gt; location attribute can specify the target uniform resource indicator (URI) of a directory that contains the Spring application context files. The META-INF/MANIFEST.MF file is used to locate the Spring application context file. If there is no MANIFEST.MF file or no Spring-Context header within that file, then the default behavior is to build an application context using all the *.xml files in the META-INF/spring directory.</p> <p>Currently, if there is no MANIFEST.MF file or no Spring-Context header within that file, then the default behavior is to build an application context using the application-context.xml file in the META-INF/spring directory. If the META-INF/spring/application-context.xml file does not exist, then the application does not deploy.</p> <p>The product cannot support loading of *.xml files because the specification does not describe how to handle multiple spring context files.</p> <p>The product does not support use of an archive file for the location attribute.</p>



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## Chapter 24. Service integration

This page provides a starting point for finding information about service integration.

Service integration provides asynchronous messaging services. In asynchronous messaging, producing applications do not send messages directly to consuming applications. Instead, they send messages to destinations. Consuming applications receive messages from these destinations. A producing application can send a message and then continue processing without waiting until a consuming application receives the message. If necessary, the destination stores the message until the consuming application is ready to receive it.

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### Service integration technologies

Service integration is a set of technologies that provides asynchronous messaging services. Use this topic to learn about the technologies on which WebSphere Application Server service integration applications are developed and implemented.

#### Service integration buses and bus members

Application servers or clusters of application servers in a WebSphere Application Server cell can cooperate to provide asynchronous messaging services. Service integration provides asynchronous messaging services, and a group of servers or clusters that cooperate in this way is called a service integration bus. The application servers or server clusters in a bus are known as bus members. You can also add bus members that are WebSphere MQ servers; service integration uses these bus members to write messages to, and read messages from, WebSphere MQ queues.

Different service integration buses can, if required, be connected. This allows applications that use one bus (the local bus) to send messages to destinations in another bus (a foreign bus). Note, though, that applications cannot receive messages from destinations in a foreign bus.

#### Messaging engines

Each service integration server or cluster bus member contains a component called a messaging engine that processes messaging send and receive requests and that can host destinations. To host queue-type destinations, the messaging engine includes a message store where, if necessary, it can hold messages until consuming applications are ready to receive them, or preserve messages in case the messaging engine fails.

If the bus member is a server cluster, it can have additional messaging engines to provide high availability or workload sharing characteristics. If the bus member is a WebSphere MQ server, it does not have a messaging engine, but it lets you access WebSphere MQ queues directly from WebSphere MQ queue managers and (for WebSphere MQ for z/OS) queue-sharing groups.

#### Messaging providers

WebSphere Application Server applications invoke asynchronous messaging services by using the Java Messaging Service (JMS) application programming interface (API) to interface to a messaging provider. WebSphere Application Server supports a variety of JMS messaging providers, including service integration (which is the default messaging provider) and WebSphere MQ as an external JMS messaging provider.

For more information about what is provided by service integration within WebSphere Application Server, see the following topics:

- Administering service integration buses
- Administering messaging engines
- Administering data stores
- Administering bus destinations
- Administering mediations
- [../ae/tjn9999\\_.dita](#)

- Using WebSphere MQ links to connect a bus to a WebSphere MQ network
- Securing service integration
- High availability and workload sharing for service integration technologies
- Enabling web services through the service integration bus

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## Service integration buses

A *service integration bus* is a group of one or more application servers or server clusters in a WebSphere Application Server cell that cooperate to provide asynchronous messaging services. The application servers or server clusters in a bus are known as bus members. In the simplest case, a service integration bus consists of a single bus member, which is one application server.

Usually, a cell requires only one bus, but a cell can contain any number of buses. The server component that enables a bus to send and receive messages is a messaging engine.

A service integration bus provides the following capabilities:

- Any application can exchange messages with any other application by using a *destination* to which one application sends, and from which the other application receives.
- A message-producing application, that is, a *producer*, can produce messages for a destination regardless of which messaging engine the producer uses to connect to the bus.
- A message-consuming application, that is, a *consumer*, can consume messages from a destination (whenever that destination is available) regardless of which messaging engine the consumer uses to connect to the bus.

Different service integration buses can, if required, be connected. This allows applications that use one bus (the local bus) to send messages to destinations in another bus (a foreign bus). Note, though, that applications cannot receive messages from destinations in a foreign bus.

An application can connect to more than one bus. For example, although an application cannot receive messages from destinations in a foreign bus, if the application connects to that bus, the bus becomes a local bus and then the application can receive messages.

For example, in the following diagram, the application can send messages to destination A and destination B, but it cannot receive messages from destination B.



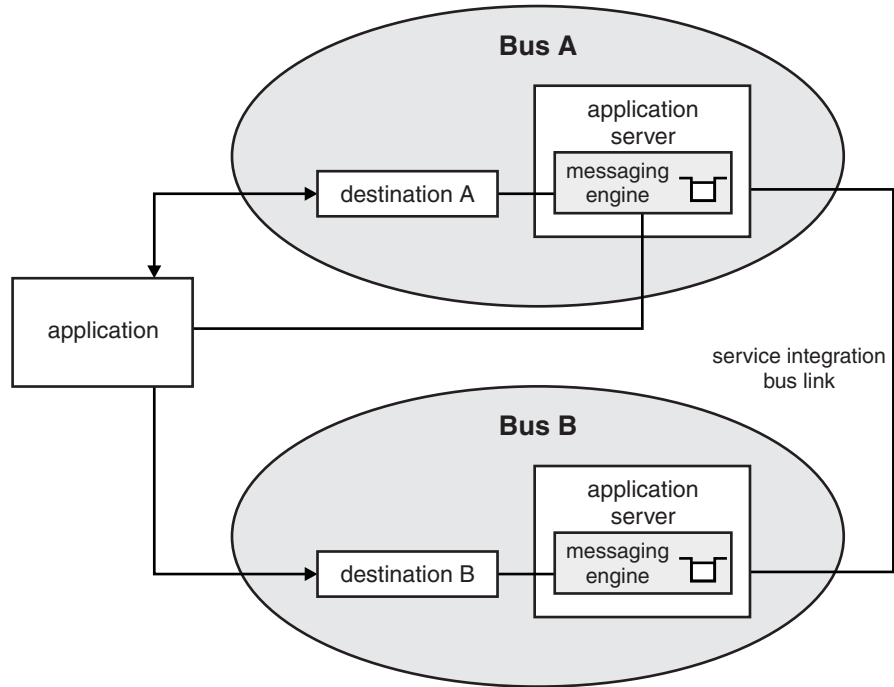


Figure 80. An application that is connected to bus A

In the following diagram, the application can send messages to, and receive messages from, destination A and destination B.

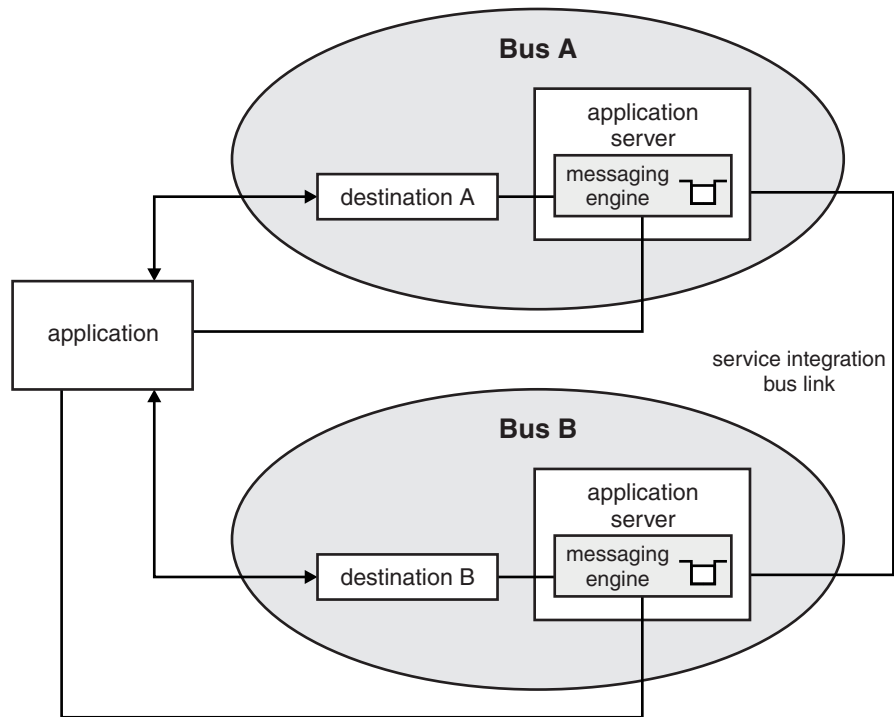


Figure 81. An application that is connected to bus A and bus B

A service integration bus comprises a *SIB Service*, which is available on each application server in the WebSphere Application Server environment. By default, the SIB Service is disabled. This means that when a server starts it cannot undertake any messaging. The SIB Service is enabled automatically when you add a server to a service integration bus. You can choose to disable the service again by configuring the server.

A service integration bus supports asynchronous messaging, that is, a program places a message on a message queue, then proceeds with its own processing without waiting for a reply to the message. Asynchronous messaging is possible regardless of whether the consuming application is running, or whether the destination is available. Also, point-to-point and publish/subscribe messaging are supported.

After an application connects to the bus, the bus behaves as a single logical entity and the connected application does not have to be aware of the bus topology. In many cases, connecting to the bus and defining bus resources is handled by an application programming interface (API) abstraction, for example the administered JMS connection factory and JMS destination objects.

The service integration bus is sometimes referred to as the *messaging bus* if it provides the messaging system for JMS applications that use the default messaging provider.

Many scenarios require a simple bus topology, for example, a single server. If you add multiple servers to a single bus, you increase the number of connection points for applications to use. If you add server clusters as members of a bus, you can increase scalability and achieve high availability. Servers, however, do not have to be bus members to connect to a bus. In more complex bus topologies, multiple buses are configured, and can be interconnected to form complex networks. An enterprise might deploy multiple interconnected buses for organizational reasons. For example, an enterprise with several independent departments might want separately administered buses in each location.

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## Bus members

The members of a service integration bus can be application servers, server clusters, or WebSphere MQ servers. Bus members that are application servers or server clusters contain messaging engines, which are the application server components that provide asynchronous messaging services. Bus members that are WebSphere MQ servers provide a direct client connection between a service integration bus and queues on a WebSphere MQ queue manager.

To use a service integration bus, you must add at least one application server or server cluster as a bus member. You can also add bus members that are WebSphere MQ servers; service integration uses these bus members to write messages to, and read messages from, WebSphere MQ queues.

When you add an application server or a server cluster as a bus member, a messaging engine for that bus member is created automatically. If the bus member is an application server, it can have only one messaging engine. To host queue-type destinations, the messaging engine includes a message store where, if necessary, it can hold messages until consuming applications are ready to receive them, or preserve messages in case the messaging engine fails. If the bus member is a server cluster, it can have additional messaging engines to provide high availability or workload sharing characteristics. If the bus member is a WebSphere MQ server, it does not have a messaging engine, but it lets you access WebSphere MQ queues directly from WebSphere MQ queue managers and (for WebSphere MQ for z/OS) queue-sharing groups.

A WebSphere Application Server application does not have to be running on a service integration bus member to use its messaging services. If necessary, WebSphere Application Server automatically provides a connection to a suitable bus member.

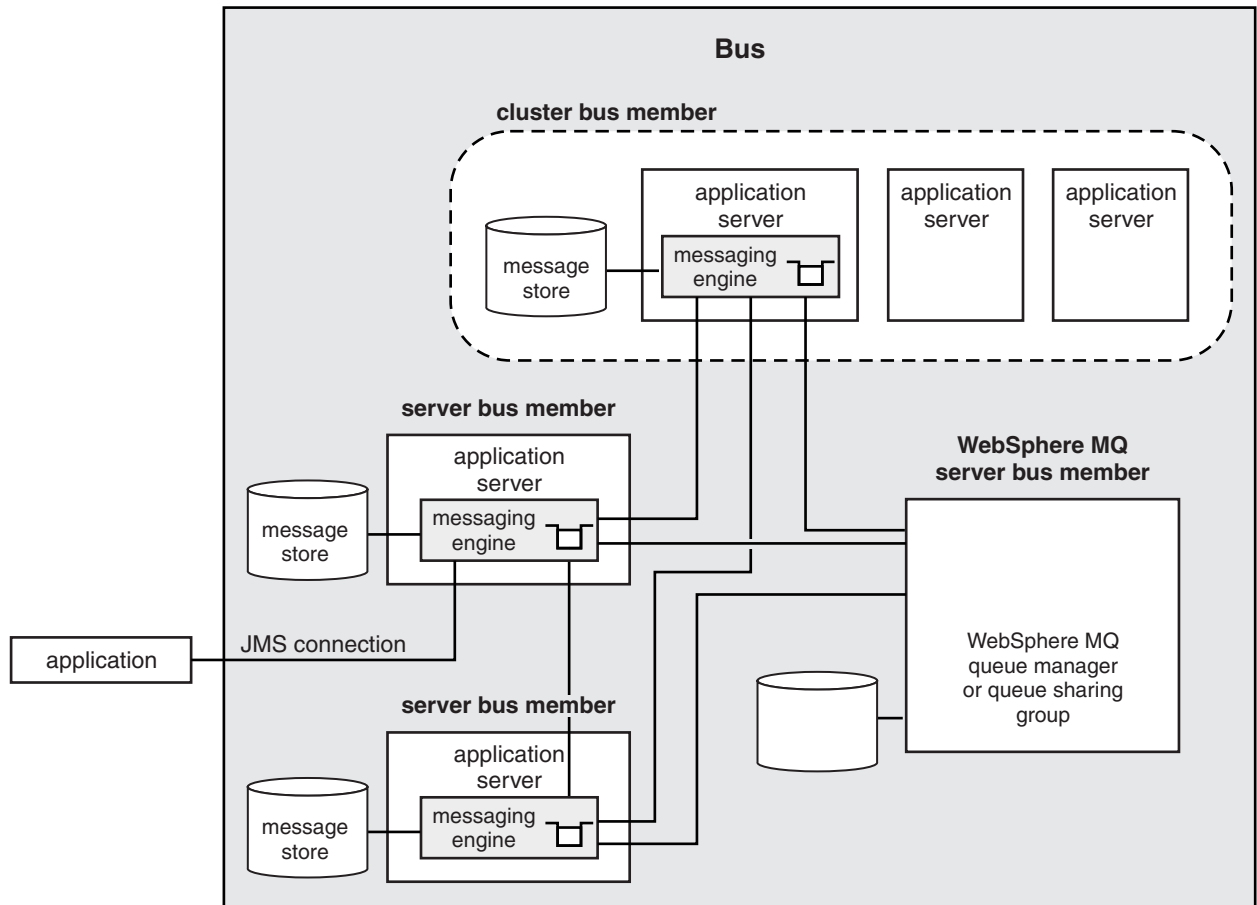


Figure 82. Bus members

If required, you can remove members from a bus. However, this action deletes any messaging engines that are associated with a bus member, including knowledge of any messages held by the message store for those messaging engines. Therefore, you must plan this action carefully.

When a bus member is deleted, the data source associated with this bus member is not automatically deleted, in case you are also using the data source for some other purpose. This also applies to bus members created using the default data source: the data source is not automatically deleted and you must remove it manually. You need not remove the default data sources because they use a universal unique identifier (UUID) in the name of the Apache Derby database. However, you might choose to delete the data source to avoid wasting disk space.

## Messaging engines

Each service integration server or cluster bus member contains a component called a messaging engine that processes messaging send and receive requests and that can host destinations.

When you add an application server or a server cluster as a bus member, a messaging engine is automatically created for this new member. If you add the same server as a member of multiple buses, the server is associated with multiple messaging engines (one messaging engine for each bus). If the bus member is a server cluster, it can have additional messaging engines to provide high availability or workload sharing characteristics. If the bus member is a WebSphere MQ server, it does not have a messaging engine, but it lets you access WebSphere MQ queues directly from WebSphere MQ queue managers and (for WebSphere MQ for z/OS) queue-sharing groups.

To host queue-type destinations, the messaging engine includes a message store where, if necessary, it can hold messages until consuming applications are ready to receive them, or preserve messages in case the messaging engine fails. There are two types of message store, file store and data store. For further information, see [../ae/tjm0003\\_.dita](#).

Messaging engines are given a name which is based on the name of the bus member. Each messaging engine also has a universal unique identifier (UUID) that provides a unique identity for the messaging engine.

**Note:** If you delete and recreate a messaging engine, it will have a different UUID and will not be recognized by the bus as the same engine, even though it might have the same name. For example, the recreated messaging engine will not be able to access the message store that the earlier instance used. If you accidentally delete a messaging engine configuration, and save the updated incorrect configuration, you must restore the configuration from a previous configuration backup.

## Mechanisms for stopping messaging engines

There are several different mechanisms that you can use to stop messaging engines. You can also specify two different degrees of urgency: immediate and force. Stopping a messaging engine prevents it from sending messages.

You can stop messaging engines by:

- Using the administrative console to stop the messaging engine
- Using The JMX stop command
- Using the stopServer command to stop the application server that hosts the messaging engine

You can stop a messaging engine in two modes: immediate and force.

### Immediate

In immediate mode, the messaging engine is stopped on completion of all the messaging operations that are current at the time of the stop request. No notification is sent to the application to indicate that the messaging engine is stopping. After a stop command has been issued, the messaging engine does not allow new operations to be started.

For each existing connection, the messaging engine waits for the current operation to complete, unless the operation blocks processing in the messaging engine, such as a receive operation. In this case, the operation is interrupted. Asynchronous consumers are allowed to complete even though they might take an arbitrary amount of time to process the current message. The messaging engine then backs out of active transactions and disallows further operations on that connection. When all connections are in this invalidated state, the messaging engine stops.

**Force** In force mode, the messaging engine is stopped so that any current transactions are pre-empted and applications are forcefully disconnected.

Force mode is like immediate mode, except that stopping the messaging engine interrupts messaging operations on application threads that are taking place at the time that the stop command is issued. Rather than allowing existing messaging operations to complete, the messaging engine interrupts them and then disallows further operations. When all connections are in this state, the messaging engine stops.

Force mode completes the shutdown of the messaging engine as fast as possible. A subsequent restart of the messaging engine might take longer than if it had been stopped using immediate mode, because more recovery actions are needed. For example, force mode stop can leave messages with indoubt transactions and you must deal with these messages as described in [Resolving indoubt transactions](#).

You can escalate an immediate stop that is taking too long to force a stop.

The following stop modes are possible for the different stop mechanisms:

*Table 52. Comparison of stop mechanisms. The first column lists the mechanisms for stopping the messages. The second column states whether the immediate mode is used as the stopping mechanism. The third column states whether the force mode is used as the stopping mechanism.*

Stop mechanism	Immediate	Force
Administrative console	Yes	Yes
JMX stop command	Yes	Yes
stopServer command	Yes	No

**Note:** If the messaging engine reports `isAlive=false` to the HA Manager, the whole application server process is stopped without completions of current transactions or cleanup. This result is equivalent to a forced stop.

## Message points

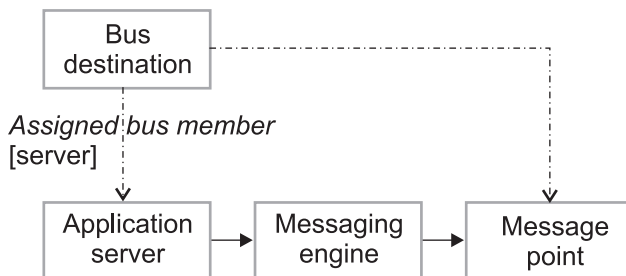
A message point is associated with a messaging engine and holds messages for a bus destination.

A message point is the general term for the location on a messaging engine where messages are held for a bus destination. A message point can be:

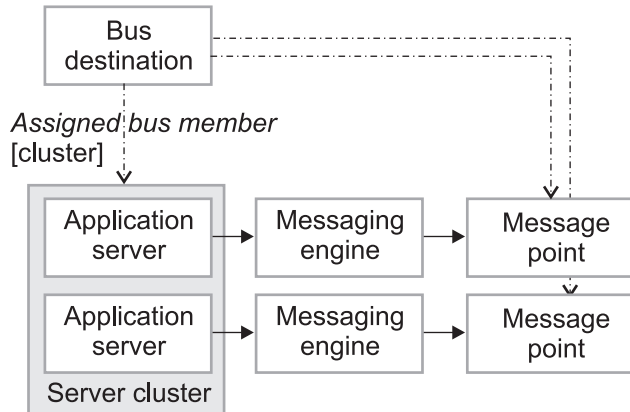
- A queue point
- An alias destination
- A publication point
- A mediation point (which is a specialized message point)

For point-to-point messaging, the administrator selects one bus member, which can be an application server or server cluster, to hold the messages of the queue destination. This action automatically defines a queue point for each messaging engine in the assigned bus member.

- For a queue destination assigned to an application server, all messages sent to that destination are handled by the messaging engine of that server, and message order is preserved.



- For a queue destination assigned to a server cluster, there is a separate message point for each messaging engine in the cluster. The message points partition the destination in the same way that a WebSphere MQ cluster partitions a clustered queue. Multiple messages addressed to a such a partitioned destination are handled by any messaging engine in the cluster, but an individual message is handled by only one messaging engine.



The messages of the destination are split between the separate message stores for the messaging engines. This configuration has the disadvantage that message order cannot be preserved, but has advantages:

- Multiple producers or consumers can be deployed across the same server cluster and messaging operations are handled locally by the messaging engine of a cluster member.
- Cluster monitoring can detect the failure of a messaging engine, and the surviving engines within the cluster can take over the message stores containing the permanent state for the failed engine.

If message ordering must be preserved, follow the rules described in “Message ordering” on page 471.

Applications can use an alias destination to route messages to a target destination in the same bus or to another (foreign) bus (including across a WebSphere MQ link to a queue provided by WebSphere MQ). By assigning an alias destination to a subset of the queue points of a partitioned queue destination, alias destinations can be used to restrict the queue points used by producing and consuming applications.

For publish/subscribe messaging, the administrator configures a topic space destination, but does not have to assign a bus member for the topic space. A topic space has a publication point defined automatically for each messaging engine in the bus.

Message points can be remote from the application which is producing to or consuming from the bus destination. In other words, message points can be on a messaging engine other than the messaging engine to which the application is connected. In this situation the message point is represented at runtime by a *remote message point* on the remote messaging engine.

By monitoring message points and remote message points, you can fully analyze and resolve problems arising from distributed application messaging. For example, you can:

- Determine the state of a specific message request.
- Determine the location of a specific message.
- Examine message queues to determine if messages have been sent or received.
- Free or delete message requests that have become locked.
- Delete or move messages from remote message points.

## Remote message points

A remote message point is a messaging engine runtime view of any message point that is associated with a remote messaging engine. Remote message points are dynamically created and destroyed when they are required by the bus; you do not have to configure them explicitly.

Message points provide a physical location to reliably store messages. In a bus that contains many messaging engines, message points can be defined on a subset of the messaging engines in that bus.

However, an application can attach to any messaging engine in the bus, and can therefore produce or consume messages to or from destinations that do not have a suitable message point on the messaging engine to which the application is attached.

When an application produces messages, the messages must be moved from the messaging engine for the application to a messaging engine with a suitable message point, and vice versa when an application consumes messages. Remote message points provide a reliable mechanism to move these messages from one messaging engine to another; the remote message points maintain information required to ensure messages are delivered correctly according to the messages' reliability.

Where necessary, messages are queued on a remote message point while awaiting delivery to the intended message point. This runtime information can be monitored and, where appropriate, managed by an administrator.

Each remote message point that exists on a messaging engine has a corresponding representation on the messaging engine that owns the message point.

### ***Message production and consumption by using remote message points:***

When an application produces or consumes messages to or from a messaging engine that is not the same as the messaging engine to which the application is connected, remote message points are used to manage the flow of messages between the messaging engines.

### **Message production**

When an application produces messages to a queue-type destination at a messaging engine that is remote from the messaging engine that owns the queue point, a *remote queue point* is required to manage the delivery of messages destined for the queue point. When an application produces messages to a publish/subscribe type destination, the messaging engine for the producing application will have a local publication point. If subscribing applications to the same destination are attached to different messaging engines in the bus, *remote publication points* are required to manage the delivery of messages to those remote messaging engines.

If the destination is mediated, messages must first be processed at a mediation point. If the mediation point is on a different messaging engine than the application, a *remote mediation point* is required to manage the delivery of the messages to the mediation point.

These *outbound messages* must be delivered to the message point in a reliable manner in accordance with the reliability of the message. To provide these levels of reliability, any message with a reliability greater than “best effort non-persistent” is temporarily queued at the remote message point for the producer messaging engine. The message is queued until the messaging engine that owns the message point confirms the successful arrival of the message, then the producer messaging engine removes its copy of the message from the remote message point. This prevents loss or re-ordering of messages in the event of failures.

Under normal conditions messages will be queued at a remote message point only briefly, but if a failure occurs or the system is overloaded, messages might remain at the remote message point for longer. You can assess the health of the system by monitoring the outbound messages on a remote message point.

### **Message consumption**

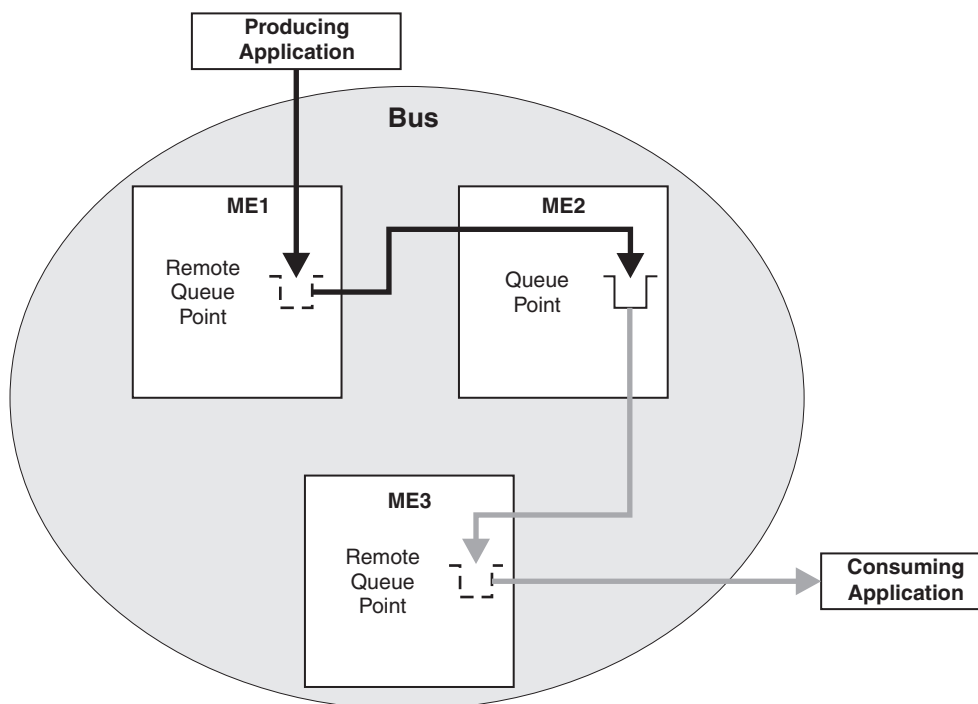
A consuming application can be attached to a messaging engine that does not own the store of messages that the application consumes from. When an application consumes from a queue-type destination, the application might be remote from the queue point; when an application consumes from a publish/subscribe type destination, the application might be remote from the subscription. When either of these cases occurs, a remote message point is required to manage message requests made by the application.

Each time an application requests a message from a remote store of messages, a message request is made from the messaging engine for the application to the messaging engine that owns the messages. These message requests are maintained by the remote message point until they are satisfied, either with a message or when the request comes to an end (the requesting application terminates the request).

***Point-to-point messaging example by using remote queue points:***

When a producing or consuming application is remote from its destination, remote queue points are used to manage the flow of messages between the messaging engine where the destination is located, and the messaging engine to which the application is attached.

The following figure illustrates the use of remote queue points in point-to-point messaging. The producing application attaches to messaging engine ME1, but the bus destination targeted by the application has a queue point on ME2. The queue point on ME2 is represented at runtime by a remote queue point on ME1. The remote queue point receives messages from the application and then reliably transmits them to the queue point on ME2. Likewise, the consuming application attaches to ME3 and consumes messages from the queue point on ME2 through a remote queue point on ME3.



*Figure 83. Point-to-point message production and consumption by using remote queue points.*

The sequence of steps involved in remote message production is as follows:

1. The producing application, attached to ME1, sends a message to the queue destination, which has a queue point defined on ME2.
2. Messages are queued up on the remote queue point on ME1 before transmission to the queue point on ME2.
3. The message is sent to the queue point on ME2 as soon as possible. ME1 remembers the existence of the message until ME2 confirms that it has received the message.

The sequence of steps involved in remote message consumption is as follows:



1. The consuming application, attached to ME3, attempts to consume a message from the queue destination.
2. ME3 sends a message request to the queue point on ME2.
3. When a message that satisfies the criteria of the message request is available at the queue point on ME2, the message is sent to the remote queue point on ME3.
4. The message is delivered from the remote queue point to the consuming application. If the application consumes the message, the message is deleted from the queue point on ME2. If the application does not consume the message, the message is made available again on the queue point on ME2 for other applications to consume. In either case, the message request is completed and removed from the remote queue point on ME3.

**Publish/subscribe messaging example by using remote publication points:**

When a publishing or subscribing application is remote from its destination, remote publication points are used to manage the flow of messages between the messaging engine where the destination is located, and the messaging engine the application is attached.

The following diagram illustrates the use of remote publication points in publish/subscribe messaging. Messages are published to a publication point on ME1, and are routed to publication points on ME2 and ME3 through remote publication points on ME1. The messages are consumed from subscriptions on ME2 and ME3.

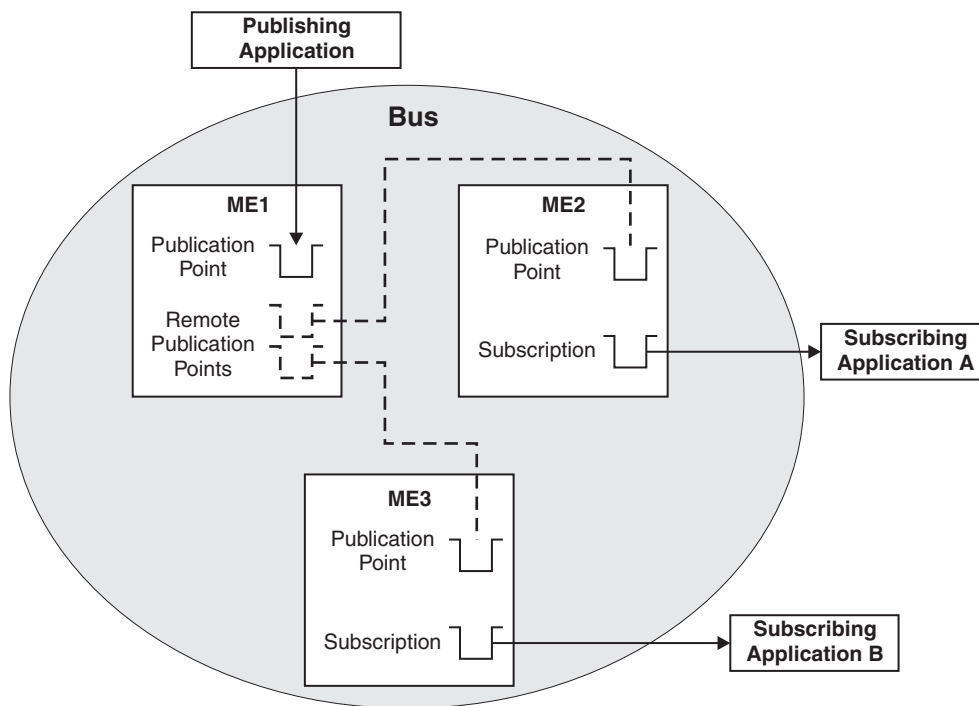


Figure 84. Publish/subscribe messaging by using remote publication points

The sequence of steps involved in remote publish/subscribe messaging is as follows:

1. The administrator creates a topic space destination on the bus; this creates a publication point on each messaging engine in the bus.
2. The subscribing applications register subscriptions for a topic on the topic space on their local messaging engines. ME1 is informed that ME2 and ME3 are interested in the topic.

3. The publishing application, on ME1, publishes a message for that topic and topic space to the bus, for distribution to the publication points on each messaging engine.
4. The remote publication points on ME1 queue the message for transmission to their respective publication points on ME2 and ME3.
5. The message is sent to the publication points on ME2 and ME3 as soon as possible. ME1 remembers the existence of the message until both ME2 and ME3 confirm that they have received the message.
6. The subscribing applications consume the message through their subscriptions on ME2 and ME3.

In figure one, the subscribing applications are attached to the same messaging engines that their subscriptions were created on. If a subscribing application has a durable subscription, it is possible for the application to be attached to a different messaging engine than the messaging engine that the subscription was created on. In this case the subscribing application accesses its subscription through a *remote subscription* on the messaging engine the application is attached. In figure two, messages are published to ME1, and are routed to the durable subscriber that is on ME2. The messages are consumed from ME2 through a remote subscription on ME3.

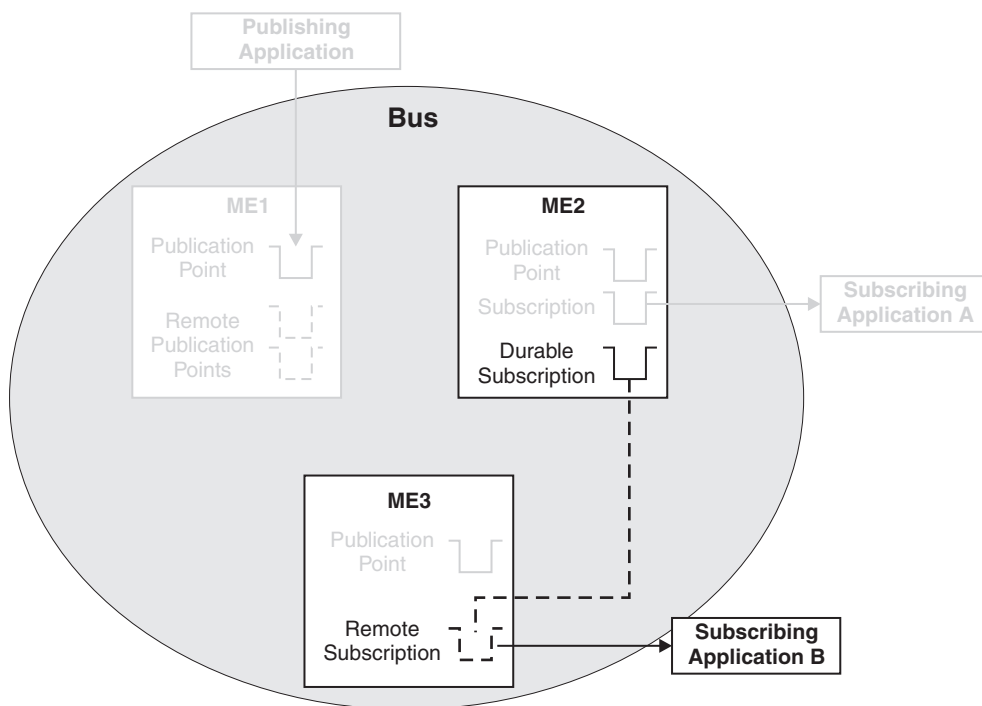


Figure 85. Publish/subscribe messaging using a remote subscription

In this situation, Subscribing Application B consumes messages from its subscription in the same way as an application consumes remotely from a queue point, as detailed in “Point-to-point messaging example by using remote queue points” on page 438.

## Messaging engine communication

You can configure different transport options for communication between messaging engines and with WebSphere MQ networks.

The transport channel service provides common networking services, protocols, and I/O operations for the WebSphere Application Server. A channel is a basic functional unit that can be linked with other channels

into transport chains. A number of transport chains are defined as part of the application server configuration, and it is also possible to create new transport chains. In this way, the requirements of particular environments are supported.

The transport channel service provides functions for configuring, administering, and initializing chains and their constituent channels. For the purposes of administration, chains are divided into outbound chains and inbound chains. The former are chains used for actively establishing connections, whereas the latter are chains that passively wait for connections to be established.

The inter-operation between service integration and the transport channel service is achieved by implementing two channels:

1. The JFAP channel, which supports intercommunication within service integration
2. The MQFAP channel, which supports WebSphere MQ communication

By using the administrative facilities of the transport channel service, you can assemble these channels into transport chains that support the following protocols:

#### **JFAP over TCP**

This is the basic bus protocol; a connection-oriented protocol that uses a standard TCP/IP connection. It includes support for two-phase transactional (remote XA) flows, so that a messaging producer or consumer, running on a client system, can participate in a global transaction managed on that client system.

#### **JFAP over SSL**

This is a secure sockets layer (SSL) version of the basic protocol. The protocol starts with the SSL handshake, and if successful continues with the normal data packets, carried using the SSL record format (and encrypted if the SSL handshake established that encryption was required).

#### **JFAP tunneled through HTTP**

An HTTP tunnelled version of the basic protocol to enable passing through firewalls.

#### **JFAP tunneled through HTTPS**

An HTTPS version of the basic protocol. The protocol starts with an HTTP request, which allows it to be routed by HTTP proxies, and then switches into the SSL variant when the route has been established.

#### **MQFAP over TCP**

The basic protocol used for communication with WebSphere MQ.

#### **MQFAP over SSL**

A secure sockets layer (SSL) version of the basic protocol used for communication with WebSphere MQ.

You select transports that include SSL or HTTPS, to ensure the security of messages in transit between their producers and consumers. Remember though, that the encryption and decryption of messages by SSL has a high performance and resource cost.

### **Inbound transport options**

There are number of options, such as network and security settings, that apply when configuring messaging engines that receive messages.

The configuration of network transport for service integration is managed through the transport channel service. You can use this service to add, remove, or modify protocols that can be used to establish connections to an application server over a network.

You can configure an application server to allow a combination of several different protocols, that is, a *transport chain*, to be used when communicating with messaging engines hosted by the server. The transport channel service includes support for:

- TCP

- Secure Sockets Layer (SSL) over a TCP network.
- Tunneling through Hyper Text Transfer Protocol (HTTP) connections.
- Tunneling through HTTPS (secure HTTP) connections.

Messaging engine clients such as JMS applications running in a client container and other messaging engines can communicate with a messaging engine using these transport chains.

You can also configure one of two different types of transport chain to be used by WebSphere MQ links and WebSphere MQ client links. These transport chains support:

- TCP
- Secure Sockets Layer (SSL) over a TCP network.

WebSphere MQ queue manager sender channels and WebSphere Application Server applications that use the WebSphere MQ messaging provider can communicate with a messaging engine by using either of these transport chain types.

When a server is created by using the default template, the following transport chains are automatically created to facilitate communication with messaging engines that are hosted by the application server:

#### **InboundBasicMessaging**

Allows communication by using the TCP protocol. The default port used by this chain for the first server on the node is 7276. Check the selected port is not already used, for example if you are creating a second server on a particular node. Messaging engines hosted in other application servers and JMS applications running in a client container can communicate with the messaging engines of the server by using this transport chain.

#### **InboundSecureMessaging**

Provides secure communication by using the secure sockets layer (SSL) based encryption protocol over a TCP network. The default port used by this chain for the first server on the node is 7286. Check the selected port is not already used, for example if you are creating a second server on a particular node. The SSL configuration information for this chain is based on the default SSL repertoire for the application server. Messaging engines hosted in other application servers and JMS applications running in the client container can communicate using this transport chain.

#### **InboundBasicMQLink**

Supports WebSphere MQ queue manager sender channels and applications by using the WebSphere MQ messaging provider connecting over a TCP network. The default port used by this chain is 5558, this can be automatically adjusted to avoid conflicts.

#### **InboundSecureMQLink**

Enables WebSphere MQ queue manager sender channels and applications by using the WebSphere MQ messaging provider to establish SSL based encrypted connections over a TCP network. The default port used by this chain is 5578, this is automatically adjusted to avoid conflicts.

By default all of these transport chains are configured to use the SIBFAPInboundThreadPool thread pool to handle the data they receive. No reason has been identified for it being necessary to change the minimum or maximum size of this thread pool.

You can manage these chains in the administrative console by selecting one of the following:

- **Servers -> Server Types -> WebSphere application servers -> *server\_name* -> [Server messaging] Messaging engine inbound transports**
- **Servers -> Server Types -> WebSphere application servers -> *server\_name* -> [Server messaging] WebSphere MQ link inbound transports**

You can also use these administrative console panels to define new transport chains from a set of templates.

Inbound channel chains that are used for communicating with messaging engines are usually started when the application server that hosts them is started. This can occur even if the application server does not host any active messaging engines. When an inbound chain starts, it binds to the TCP port that it has been assigned and accepts network connections. The following table describes the circumstances under which the inbound chains relating to messaging function are started:

*Table 53. Scenarios when inbound chains are started. The first column lists the service integration bus scenarios in which the inbound chains are started. The second column states whether the messaging chains are started for the scenarios. The third column states whether the WebSphere MQ interoperation chains are started for the scenarios.*

	<b>Messaging chains</b>	<b>WebSphere MQ interoperation chains</b>
SIB service disabled for server	Not started	Not started
SIB service enabled for server and no WebSphere MQ links or WebSphere MQ client links resources defined	Started	Not started
SIB service enabled and WebSphere MQ links or WebSphere MQ client links resources defined	Started	Started

For more information about enabling or disabling the SIB service, see SIB Service Detail Form.

For more information about defining WebSphere MQ related resources, see, for example, WebSphere MQ link sender channel [Settings].

Note that there is no affinity between a particular inbound channel chain and a messaging engine. Any messaging engine active on a server can be contacted by any inbound channel chain that is running. This has important implications when attempting to secure network communications: communication with the messaging engines that are active in an application server is only as secure as the least secure messaging chain active on the server within the same category, that is, a messaging chain or MQ interop chain.

You can specify inbound transport chains by name in the following places:

- The Inter-engine transport chain field in the Buses [Settings]. This specifies the chain used when establishing connections between nodes in the same cell.
- The Target inbound transport chain field in the `../ae/SIBJMSConnectionFactory_DetailForm.dita`. This specifies the transport chain name to use when establishing a network connection for use by a JMS application when connecting to a remote messaging engine.

## Outbound transport options

When configuring messaging engines to send messages, configurable options include how they establish connections with other messaging engines or with a WebSphere MQ queue manager that collects and then delivers messages that are received.

The transport channel service manages the configuration of network transports for service integration. However, because manipulation of outbound transport options is an advanced administrative operation, you can carry out some configuration through the wsadmin tool.

A number of outbound transport chains are already configured when an application server is created from the default server template, or when a client container is started.

Outbound transport chains are used for either:

- Establishing network connections for the purpose of bootstrapping, which involves establishing a connection with an application server in another cell, for example, because the connection is required for service integration bus links.
- Establishing connections from WebSphere MQ links to WebSphere MQ queue manager receiver channels.

When establishing a network connection, the type of channels and their order in the outbound transport chain used must match those in the inbound transport chain for the server to which connection is made. For example, an outbound HTTP tunneling chain is suitable only for establishing connections with an inbound HTTP tunneling chain.

The following chains are for use during the bootstrap process:

#### **BootstrapBasicMessaging**

Used to establish bootstrap connections to inbound chains configured for TCP-only connections to an application server, such as the InboundBasicMessaging chain.

#### **BootstrapSecureMessaging**

Used to establish secure connections by using Secure Sockets Layer (SSL) based encryption. The SSL configuration used is taken from the default SSL repertoire when used in an application server environment or from a configuration file when used by the client container. See “Secure transport configuration requirements” on page 445 for more information. This chain can be used for establishing bootstrap connections to inbound chains that are configured to use SSL, for example, the InboundSecureMessaging chain. Success in establishing such a connection depends on a compatible set of SSL credentials being associated with both this bootstrap outbound chain and also with the inbound chain to which the connection is being made.

#### **BootstrapTunneledMessaging**

Used to connect when tunneling through HTTP.

#### **BootstrapTunneledSecureMessaging**

Used to establish bootstrapping connections that are tunneled through secure HTTP (HTTPS). Like the BootstrapSecureMessaging outbound chain, this chain also derives its SSL configuration from the default SSL repertoire when used in an application server or from a configuration file when used in the client container. See “Secure transport configuration requirements” on page 445.

The outbound chains that an application server uses for bootstrap operations are defined when the server is defined. They can be altered, or new bootstrap chains can be defined, by using the wsadmin tool. See Defining outbound chains for bootstrapping and Defining outbound chains for WebSphere MQ interoperation.

You cannot configure bootstrap outbound chains used by a client container. However you can configure some attributes of outbound chains that use SSL encryption protocols. For more information, see “Secure transport configuration requirements” on page 445.

You need the names of outbound bootstrap chains when configuring:

- The Provider endpoints of a JMS connection factory; see `../ae/SIBJMSSConnectionFactory_DetailForm.dita`.
- The Bootstrap endpoints of a service integration bus link; see Service integration bus links [Settings].

The following chains can be used when establishing a network connection to a WebSphere MQ queue manager receiver channel:

#### **OutboundBasicMQLink**

Used to establish connections with WebSphere MQ queue manager receiver channels.

#### **OutboundSecureMQLink**

Used to establish connections with WebSphere MQ queue manager receiver channels that have been secured using SSL. The SSL configuration used is taken from the default SSL repertoire for the application server being used to contact the queue manager.

The names of outbound chains used for WebSphere MQ interoperation are needed when configuring the transport chain of a WebSphere MQ link.

By default all of these transport chains are configured to use the SIBFAPThreadPool thread pool to send data. No reason has been identified for it being necessary to change the minimum or maximum size of this thread pool.

## Secure transport configuration requirements

There are additional configuration requirements when configuring secure transport, such as inbound chains, to establish SSL-based or HTTPS-based connections between messaging engines, or between messaging engines and JMS applications running in a client container.

For an SSL connection to be established successfully, the party that is initiating the connection and the party that is waiting for the connection to be made must both supply a compatible set of credentials.

When you are configuring the client container to bootstrap using an SSL-based transport chain, you specify additional SSL properties in the *sib.client.ssl.properties* properties file. This file is located in the *profile\_root/properties* directory of the application server installation, where *profile\_root* is the directory in which profile-specific information is stored. The properties in this file are used for all client container bootstrapping activities over both SSL and HTTPS-based bootstrap chains.

You can override or augment properties specified in the *sib.client.ssl.properties* file by specifying system properties of the same name to the application client. Do this by specifying a `-CCD` command line option naming the property and its new value. For more information about command line syntax, see `launchClient` tool.

**Note:** Some of the properties in the *sib.client.ssl.properties* file duplicate those in the *sas.client.props* file. Overriding these properties by using `wsadmin` command options affects both sets of properties.

When you are configuring SSL-based connections between two messaging engines, both the messaging engines must have inbound chains with matching names. These inbound chains must be configured with compatible sets of SSL credentials. The compatibility must be true for both intra-bus messaging engine connections and for connections between messaging engines that are in different buses.

A particular inbound transport chain must have no affinity with a messaging engine. Any enabled inbound transport chain can contact any messaging engine that is active on a server because by default, an application server is created with unsecured inbound transport chains. Disable or delete these chains to restrict access to secure chains only.

## Security for messaging engines

When bus security is enabled, you need to be aware of the additional requirements to secure communication between messaging engines.

To ensure that messaging engines operate securely when bus security is enabled, you should understand the following points:

- Use secure transport connections (SSL or HTTPS) to ensure confidentiality and integrity of messages in transit between messaging engines. Define an appropriate secure transport chain, and then reference the transport chain name from the bus property `Inter-engine transport chain`. For more information, see “Secure transport configuration requirements.”
- If the bus has a bus member at WebSphere Application Server Version 6, set the `Inter-engine authentication alias` property. This prevents unauthorized clients or messaging engines from establishing a connection. For more information, see `Adding a secured bus`.
- Secure access to the data store for a messaging engine by using a user ID and password. Apply higher levels of security by using the underlying features of message stores. For example, for a data store, Apache Derby Version 10.3 allows the whole database to be encrypted, DB2 allows specific tables to be encrypted. These features must be managed directly by the appropriate database administrator. Refer to `Securing database access` for more details.

- If fine-grained administrative security is in use, messaging engines are administered as resources at the server or cluster level.

## Applications with a dependency on messaging engine availability

If an application depends on a messaging engine being available, then the messaging engine must be started before the application can be run.

If you want the application server to start an application automatically, you must develop your application to test that any required messaging engine has been started and, if needed, wait for the messaging engine to start. If this is technique used in a startup bean, then the startup bean method should perform the work (to test and wait) in a separate thread, and use the standard `WorkManager` methods, so that the application server startup is not delayed.

For an example of code to test and wait for a messaging engine, see the following code extract:

```
import java.util.Iterator;
import javax.management.ObjectName;
import com.ibm.websphere.management.AdminService;
import com.ibm.websphere.management.AdminServiceFactory;

String messagingEngineName = "messagingEngineName";
// Messaging engine to check if started? for example "node01.server1-bus1"
boolean meStarted = false;

AdminService adminService = AdminServiceFactory.getAdminService();
while (!meStarted) {
    String filterString = "WebSphere:type=SIBMessagingEngine,name=" +
        messagingEngineName + ",*";
    boolean foundBean = false;
    ObjectName objectName = null;
    try {
        ObjectName objectNameFilter = new ObjectName(filterString);
        Iterator iter = adminService.queryNames(objectNameFilter,null).iterator();
        while (iter.hasNext()) {
            objectName = (ObjectName) iter.next();
            foundBean = true;
            break;
        }
    } catch (Exception e) {
        e.printStackTrace();
    }
    if (foundBean) {
        // You have found messaging engine MBean, which means it is initialized,
        // now check if it is in Started state?
        meStarted =
            ((Boolean) adminService.invoke(objectName, "isStarted", null, null)).booleanValue();
    }

    if (!meStarted) {
        // messaging engine is not started yet so sleep (wait) for a bit...
        Thread.sleep(5000);
    }
}
```

---

## Bus destinations

Service integration has the following types of bus destinations each with a different purpose: queue, topic space, foreign, and alias.

You can create and administer the following types of service integration bus destination:

### Queue destination

A queue destination represents a message queue and is used for point-to-point messaging. A



service integration queue destination is localized in a particular bus member (application server or cluster of application servers). When a producer sends a message to the queue destination, the service integration bus delivers the message to a messaging engine in that bus member. The messaging engine then delivers the message to a consumer. If necessary, the messaging engine queues the message until a consumer is ready to receive it.

### **Topic space destination**

A topic space destination represents a set of “publish and subscribe” topics and is used for publish/subscribe messaging. The topic for a specific message (publication) is a property of the message.

A service integration topic space destination is not localized in a particular bus member. Service integration maintains a list of subscriptions in the topic space and matches each publication against that list. When a new publication matches one or more subscriptions in the topic space, service integration delivers a copy of the publication to each subscriber. If necessary, service integration can queue the publication message until the subscriber is ready to receive it. If the new publication does not match any subscription, service integration discards the publication.

### **Foreign destination**

A foreign destination represents a destination that is defined in another bus (a foreign bus). You can use a foreign destination for point-to-point messaging. You use a foreign destination if you need to override security settings, or messaging defaults, for an individual destination on a foreign bus.

The foreign bus can be another service integration bus or a WebSphere MQ network (that is, one or more interconnected WebSphere MQ queue managers or queue-sharing groups). When a producer sends a message to a foreign destination, service integration delivers the message to the foreign bus. The foreign bus is then responsible for queueing the message, as appropriate, based on its definition of the destination.

To override messaging defaults of a destination on a foreign bus, you configure the properties (for example, the default priority) of the foreign destination. To override security settings and control which users and groups in the local bus have access to a destination in a foreign bus, you configure the destination roles of the foreign destination. These properties apply when an application that is connected to the local bus sends messages to the destination in the foreign bus.

You do not use foreign destinations for publish-subscribe messaging. Instead, applications publish messages locally by using a topic space destination in the local bus, and you configure a service integration bus link or a WebSphere MQ link. These links propagate the published messages into the foreign bus, or buses, where subscribers receive the messages. For a link to a service integration bus, configure topic space mappings, as described in *Configuring topic space mappings between service integration buses*. For a link to a WebSphere MQ network, configure a publish/subscribe bridge, as described in “Publish/subscribe messaging through a WebSphere MQ link” on page 301.

### **Alias destination**

An alias destination maps an alternative name for a bus destination. You can use an alias destination for point-to-point messaging or publish/subscribe messaging. An alias destination maps a bus name and destination name (identifier) to a target where the bus name, or the destination name, or both, are different. An alias destination can map to a queue destination or a topic space destination. If required, alias destinations can be chained so that the target destination is itself an alias destination.

You use an alias destination when you need to make a destination available under an alternative name. For example:

- Service integration destinations might have names that do not comply with WebSphere MQ naming restrictions (for example, the names are too long). For such destinations, you can define an alias destination that maps a WebSphere MQ-compliant name to the service integration name. A WebSphere MQ application can use the WebSphere MQ-compliant name to send messages to the destination.

- You can assign an alias destination to a subset of the queue points of a partitioned queue destination, and therefore use the alias destination to restrict the queue points that the producing and consuming applications use.

When you use an alias destination, you can also set properties (for example, the default quality of service) for the alias destination. When an application uses the alias destination, these properties override the properties of the target destination. If you do not want to override a property, configure the alias destination to inherit the corresponding property from the target destination.

When you use an alias destination, you can also configure destination roles for the alias destination. When the application uses the alias destination, service integration in the local bus uses these roles to control which users and groups in the local bus have access to the target destination. If you do not want to override the security for the target destination, configure the alias destination to delegate the authorization check to the target destination.

Bus destinations can be either permanent or temporary. When an administrator configures a service integration destination, that destination is a permanent destination that exists until an administrator explicitly deletes it. In contrast, a temporary destination exists only while an application is using it. Typically, this situation occurs when the application uses a JMS temporary destination. Service integration creates a corresponding temporary service integration bus destination.

You can configure queue, topic space, and alias destinations with one or more *mediations*. Mediations are programs that process each message after the producing application sends the message to the destination, and before any consuming applications receive the message from the destination. For example, a mediation can modify the actual message, or redirect the message to another destination or sequence of destinations, or both.

You can configure queue, topic space, and alias destinations with *routing paths*:

- The default forward routing path defines a sequential list of intermediary destinations that messages must pass through to reach the target destination, before consumers can retrieve the messages from that destination. Each intermediary destination applies its mediations to the messages.
- The reply destination is the next destination to which reply messages are sent.
- “How JMS destinations relate to service integration destinations”
- “Foreign destinations and alias destinations” on page 456
- “Permanent bus destinations” on page 460
- “Temporary bus destinations” on page 461
- “Exception destinations” on page 462
- “Destination mediation” on page 463
- “Destination routing paths” on page 464
- “Message points” on page 435
- “Strict message ordering for bus destinations” on page 473
- “Message selection and filtering” on page 475
- “Topic names and use of wildcard characters in topic expressions” on page 455
- “The consequences of changing durable subscriptions” on page 455

## How JMS destinations relate to service integration destinations

Most WebSphere Application Server applications use the JMS APIs to access the services provided by the service integration bus. JMS defines JMS destinations, which are the Java objects to which JMS applications send messages and from which JMS applications receive messages. The attributes of a JMS destination include the address of the destination that the messaging provider uses. For the service integration messaging provider, this address is a service integration destination name (a queue name or topic space name) and a bus name. In this way, a JMS destination can identify a service integration bus destination.

Typically, a JMS application obtains a JMS destination from JNDI lookup of the destination JNDI name. However, a JMS application can also obtain a JMS destination in other ways, for example, from the `JMSReplyTo` property of a JMS message.

## **JMS destinations - queues and topics**

A JMS destination can be one of the following destination types:

### **JMS queue destination**

Used for point-to-point messaging, in which producing applications (producers) send messages to a queue. The messaging provider stores just one copy of each message until a consuming application (consumer) receives the message. If there are several consumers, only one consumer receives a copy of the message; if there are no consumers, the message is queued.

In service integration, a JMS queue destination object has a queue name property and a bus name property (it also has other properties).

### **JMS topic destination**

Used for publish/subscribe messaging, in which producing applications (publishers) send messages (publications) to a topic. The messaging provider delivers a copy of each publication to each consuming application (subscriber). If there are no subscribers, service integration discards the publication.

Another difference from point-to-point messaging is that subscribers can consume messages from multiple similar topics by including wildcards in a topic name (publishers cannot include wildcards in a topic name).

In service integration, a JMS topic destination object has a topic name, a topic space name, and a bus name property (it also has other properties).

## **JMS destinations - relationship with service integration destinations**

In service integration, a JMS destination identifies a service integration destination. Its queue name or topic space name property is the name of the service integration destination. Its bus name property is the name of the service integration bus that contains the destination.

You can omit the bus name property when you define the JMS destination. If you do then the JMS destination identifies the service integration destination in the local bus; that is, whichever bus the JMS application connects to. This can be convenient where there is only one service integration bus or where all buses contain a destination with the same name.

Service integration includes the following destination types:

### **Service integration queue destination**

A queue destination represents a message queue and is used for point-to-point messaging. A service integration queue destination is localized in a particular bus member (application server or cluster of application servers). When a producer sends a message to the queue destination, the service integration bus delivers the message to a messaging engine in that bus member. The messaging engine then delivers the message to a consumer. If necessary, the messaging engine queues the message until a consumer is ready to receive it.

Typically, a JMS queue destination identifies a service integration queue destination; that is, its bus name property matches the local bus name and its queue name property matches the name of a service integration queue destination in the local bus.

### **Service integration topic space destination**

A topic space destination represents a set of “publish and subscribe” topics and is used for publish/subscribe messaging. The topic for a specific message (publication) is a property of the message. A service integration topic space destination is not localized in a particular bus member. Service integration maintains a list of subscriptions in the topic space and matches each

publication against that list. When a new publication matches one or more subscriptions in the topic space, service integration delivers a copy of the publication to each subscriber. If necessary, service integration can queue the publication message until the subscriber is ready to receive it. If the new publication does not match any subscription, service integration discards the publication.

Typically, a JMS topic destination identifies a service integration topic space destination; that is, its bus name property matches the local bus name and its topic space name property matches the name of a service integration topic space destination in the local bus. When a JMS application sends a message to the JMS topic destination, service integration sets the destination topic property of the message to the topic name property of the JMS topic destination and then sends the message to the service integration topic space destination.

### **Service integration foreign destination**

A foreign destination represents a destination that is defined in another bus (a foreign bus). You can use a foreign destination for point-to-point messaging. You use a foreign destination if you need to override security settings, or messaging defaults, for an individual destination on a foreign bus. The foreign bus can be another service integration bus or a WebSphere MQ network (that is, one or more interconnected WebSphere MQ queue managers or queue-sharing groups). When a producer sends a message to a foreign destination, service integration delivers the message to the foreign bus. The foreign bus is then responsible for queueing the message, as appropriate, based on its definition of the destination.

A JMS destination can identify a service integration foreign destination; that is, its bus name and queue or topic space name properties can match the foreign bus name and queue or topic space name of the foreign destination. However, this is not always necessary. If there is no service integration foreign destination with a matching foreign bus name and a matching destination (queue or topic space) name, service integration sends the message to the specified foreign bus anyway.

## **Queue destinations**

A queue destination represents a message queue and is used for point-to-point messaging. A service integration queue destination is localized in a particular bus member (application server or cluster of application servers). When a producer sends a message to the queue destination, the service integration bus delivers the message to a messaging engine in that bus member. The messaging engine then delivers the message to a consumer. If necessary, the messaging engine queues the message until a consumer is ready to receive it..

The term “queue” is used, as an abbreviation for “queue destination”, to refer to a bus destination configured for point-to-point messaging.

**Note:** Applications use API-specific artifacts such as a JMS queue, which encapsulates the name of a queue destination, but are unaware of the existence of the service integration destination or of the bus it is configured on. For more information, see “How JMS destinations relate to service integration destinations” on page 448.

The administrator assigns a queue destination to only one member (an application server or server cluster) of the service integration bus, or a WebSphere MQ server. The messaging engine in the bus member hosts the message point for the queue, known as a queue point. The queue point is the location where messages for the queue are stored and processed.

If the bus member has more than one messaging engine, the queue destination is partitioned across the messaging engines. Each messaging engine has a separate queue point. Each message that is sent to a queue destination is held on only one of the destination queue points: the message is not duplicated across queue points. Each messaging engine handles a share of the messages arriving at the destination so that the messaging workload is balanced across all messaging engines in the cluster bus member.

**Attention:** When a queue is partitioned across messaging engines, to avoid orphaning messages, each queue point must have an associated consumer. By default a consumer is associated with one queue point per session but a consumer can be configured to consume from more than one queue point.

An application can also create its own temporary queues, which appear temporarily in the list of queue points for the messaging engine, but usually need no administrative intervention.

When a destination is configured on a bus member that is a WebSphere MQ server, the destination has a single queue point called a WebSphere MQ queue point. This WebSphere MQ queue point is a WebSphere MQ queue on the WebSphere MQ queue manager or queue-sharing group that the WebSphere MQ Server represents. For more information about WebSphere MQ queue points, see related concepts.

## Publish/subscribe messaging and topic spaces

You can use publish/subscribe messaging to publish one message to many subscribers. A producing application publishes a message on a given subject area or topic. The topic for a specific message (publication) is a property of the message. Consumer applications that have subscribed to the topic each receive a copy of the message. A topic space is a hierarchy of publish/subscribe topics. These topics have publication points automatically defined on each messaging engine in their associated service integration bus.

A service integration topic space destination is not localized in a particular bus member. Service integration maintains a list of subscriptions in the topic space and matches each publication against that list. When a new publication matches one or more subscriptions in the topic space, service integration delivers a copy of the publication to each subscriber. If necessary, service integration can queue the publication message until the subscriber is ready to receive it. If the new publication does not match any subscription, service integration discards the publication.

A topic space has a set of default publish/subscribe permissions for all topics in the hierarchy. An administrator can configure individual publish/subscribe topics with specific permissions and mediations. Publish/subscribe topics inherit such configurations from higher publish/subscribe topics in the topic space hierarchy and the topic space itself.

Publish/subscribe topics with the same name can exist in multiple topic spaces, but there can be only one topic space with a given name in the bus. For example, consider a publish/subscribe topic hierarchy split into the following topic spaces:

### **library**

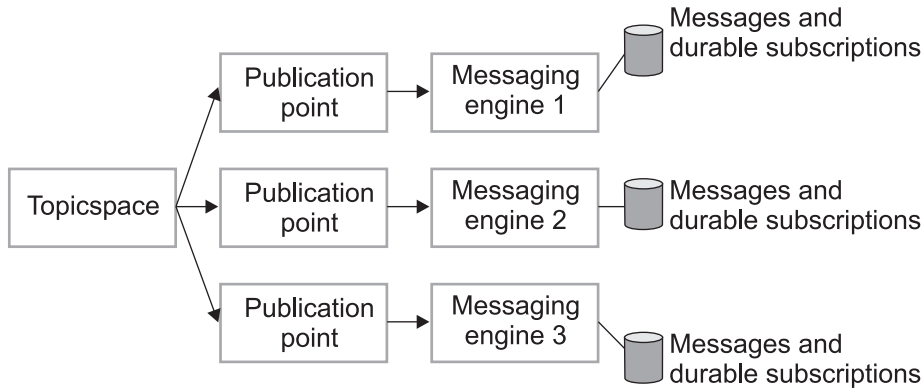
Topics for document management.

**sales** Topics for marketing and sales tracking.

The publish/subscribe topic “volumes” can appear in the topic hierarchy in both topic spaces, and can have different meanings in each.

A producing application can attach to any messaging engine on the bus. Messages are stored in the message store for the messaging engine to which the producer is attached. As a result, a topic space can have messages stored in a number of message stores at various (producing) messaging engines in the bus. Messages for a publish/subscribe topic are published to a publication point and automatically forwarded to all other publication points for which there are subscribers on that topic.

*Figure 86. Publish/subscribe messaging*



The default messaging provider supports durable subscriptions to publish/subscribe topics. These enable a subscriber to receive a copy of all messages published to a topic, even messages published during periods of time when the subscriber is not connected to the server. For a given JMS connection factory or activation specification, all publish/subscribe messages to be delivered to durable subscriptions are stored on the publication point of the messaging engine named by the durable subscription home property. Therefore if that messaging engine is unavailable, subscribers cannot retrieve messages. These undelivered messages are preserved and sent to the durable subscriptions after the messaging engine restarts.

### Workload sharing with publish/subscribe messaging

In publish/subscribe messaging, the messaging system sends one copy of every published message to each matching subscription. Subscribers, that is, applications that consume publish/subscribe messages, consume those messages from an individual subscription. To balance workload across multiple instances of an application, for example when an application runs in a server cluster, all instances of the application must use the same subscription.

Figure 87 on page 453 shows that, in this configuration, only one instance of the application processes each message that is sent to the subscription. However, Figure 88 on page 454 shows that if different instances of the same application are configured to receive messages from different subscriptions, each instance processes a copy of every matching message, so that each message is spread (fanned) out.

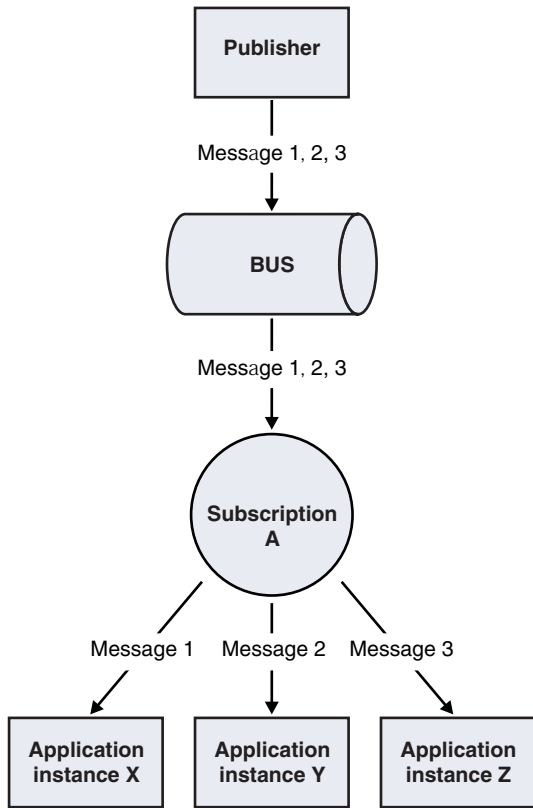


Figure 87. Application instances that share a single subscription (workload sharing)

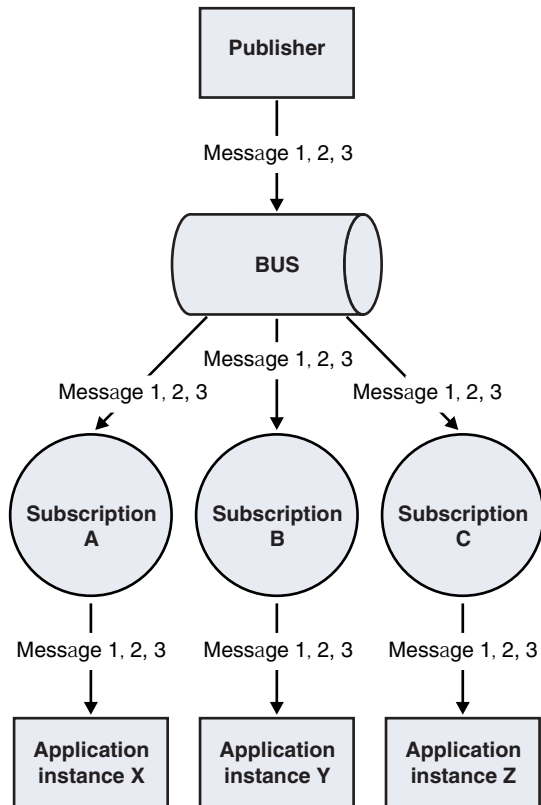


Figure 88. Application instances that use individual subscriptions (messages fanned out)

For point-to-point messages, you can use queue destinations and partition a queue so that messages are workload balanced. However, you cannot partition subscriptions in this way.

For publish/subscribe messaging, to configure multiple application instances to use the same subscription, and therefore balance the message workload, you must use a durable subscription. The multiple instances of the application must be able to consume simultaneously from the same subscription. This type of subscription is called a shared durable subscription. To configure a shared durable subscription, you set the Share durable subscriptions property for the relevant connection factory or activation specification.

A durable subscription has a home messaging engine and a unique identity, which is formed from the client identity and the subscription name. The messaging system can accumulate new matching publications for the subscription even while there is no active subscriber. The home messaging engine accumulates messages for a subscription by using a publication point. When a subscriber starts or restarts, the messaging system uses the unique identity and the home messaging engine to identify the publication point, locate the durable subscription, and deliver any accumulated messages.

A nondurable subscription does not have a unique identity. It lasts for the lifetime of its subscriber. Multiple application instances cannot receive messages from the same nondurable subscription.

You can set the Shared durable subscription property to one of the following:

#### In cluster

The bus distributes work between clients that connect to a bus member in the same cluster when the clients use the same client identifier and durable subscription name.



### Always shared

The bus distributes work between clients, regardless of where they connect to the bus, when the clients use the same client identifier and durable subscription name.

### Never shared

Clients cannot use the same client identifier and durable subscription name as an existing session.

## The consequences of changing durable subscriptions

When an application connects to an existing durable subscription, but specifies parameters that differ from those that were used to create the existing subscription, the subscription is deleted then recreated with the new parameters. A durable subscription can be changed in this way only when it has no active consumers.

In the basic case, there is only one active consumer at any time, so the application can change the durable subscription without affecting other subscribers. However, the situation is more complicated for cloned subscriptions.

A cloned durable subscription has multiple active subscribers, which are usually clones of a particular application.

- For cloned message-driven bean (MDB) applications, the subscribers are always active on the subscription, and so the administrator must stop all instances of the MDB application before the subscription can be altered. (If the MDB application instances are recycled one at a time then each individual instance is thrown out when it tries to connect using the changed properties, because there are existing consumers.)
- For cloned EJB applications, administrators should ensure that all instances of the EJB application are stopped before the subscription can be changed, to avoid the following problem. Enterprise beans have active subscribers for a durable subscription for relatively short spaces of time. If the EJB application instances are recycled individually then there is a period in which different instances of the application have different views of the subscription configuration. This causes the subscription to be deleted and recreated which can lead to the loss of messages. Subscriptions can be deleted and recreated multiple times until the new definition is constant across all instances.

**Note:** The *server\_name-durableSubscriptions.ser* file in the *WAS\_HOME/temp* directory is used by the messaging service to keep track of durable subscriptions for message-driven beans. If you uninstall an application that contains a message-driven bean, this file is used to unsubscribe the durable subscription. If you have to delete the *WAS\_HOME/temp* directory or other files in it, ensure that you preserve this file.

## Topic names and use of wildcard characters in topic expressions

Wildcard characters can be used in topic expressions to retrieve topics provided by the default messaging provider and service integration technologies.

Each subscribe request includes a topic expression that identifies one or more topics that the subscription is to be associated with, and that the request uses to match against incoming messages.

Subscription topic expressions for the default messaging provider and service integration technologies are based on a subset of the XPath location path syntax.

### Identifying individual topics

Every topic in a topic space has a *topic name* consisting of one or more name parts, separated by / (forward slash) characters:

Topic name = *name\_part* | (*name\_part* '/' *topic\_name*)

## Using wildcards to identify multiple topics

To select one or more topics in a topic space, you can use a *topic path*, a location path that contains wildcard characters. Topic spaces are evaluated by using a subset of the XPath location path syntax with the <topicspace> element as the initial context node, so that non-wildcarded topic paths look exactly like topic names.

The syntax for topic paths can be summarized as follows:

- A topic path that contains no \* (asterisk), // (double forward slash), or . (dot) symbols is asking for an exact match with the topic name specified.
- A \* (asterisk) can be used as a wild card and matches one level (regardless of the value of the name part at that level)  
A \* can be used anywhere in a topic path expression, but if it isn't at the start it must be preceded by a /, and if it isn't at the end it must be followed by a /
- // can be used as a wild card and matches 0 or more levels  
A // can be used anywhere in the expression except at the end. To match 0 or more levels at the end of the expression you end the expression with the syntax //. (double-slash dot). To match one or more levels at the end use //\* (double-slash asterisk)  
A topic path must not contain more than two consecutive / symbols.

The following table lists some example topic paths showing the XPath syntax and the equivalent WBI Message Broker selectors:

*Table 54. XPath syntax and WBI Message Broker selectors. The first column of the table lists some topic path examples. The second column displays the topics selected in the path. The third column provides the equivalent WBI Message Broker selectors.*

Topic path	Topics selected	WBI Message Broker equivalent
A/B	Selects the B child of A	A/B
A/*	Selects all children of A	A/+
A/**	Selects all descendents of A	A/#/+
A//.	Selects A and all descendents of A	A/#
/**	Selects everything	# (or #/+)
A./B	Equivalent to A/B	A/B
A*/B	Selects all B grandchildren of A	A/+B
A//B	Selects all B descendents of A	A/#/B
//A	Selects all A elements at any level	#/A
*	Selects all first level elements	+

## Interoperation with Version 5.1 clients

Existing WebSphere Application Server Version 5.1 client applications using Version 5.1 connection factory and destination definitions use the WBI Message Broker wildcard convention. Such applications can connect to the default messaging provider and service integration bus, and automatically have their wildcard syntax mapped to the XPath convention when subscriptions are created. Any display of these subscriptions through an administrative interface to the bus shows the XPath syntax.

## Foreign destinations and alias destinations

Foreign destinations and alias destinations are types of bus destination. A foreign destination represents a destination that is defined in another bus (a foreign bus). An alias destination maps to an alternative name for a bus destination that is defined either in the local bus or in a foreign bus.

Usually, you do not need to configure a foreign destination or an alias destination:

- For an application to send messages to a destination that is defined in the local bus, you specify the bus name and the destination name in the JMS destination object (queue or topic).

It is possible to omit the bus name, because the default is the local bus name, but for a system with more than one bus, it is advisable to specify the bus name.

- For an application to send messages to a destination that is defined in a foreign bus, you specify the bus name (that is, the foreign bus) and the destination name in the JMS destination object (queue or topic). You do not need to configure any destination objects in the local bus.

Service integration uses the definition of the foreign bus that is configured on the local bus. This definition includes default values for the destination attributes, such as the default quality of service. These default values apply to all destinations in that foreign bus. For more information, see the topic about point-to-point messaging across multiple buses.

You use a foreign destination when you need to override messaging defaults, security settings, or both for an individual destination on a foreign bus. You define a foreign destination on the local bus. When an application that is connected to the local bus sends messages to the destination in the foreign bus, the attributes of the foreign destination override the destination default values. You can set properties and destination roles, but you cannot map to an alternative name for the destination.

You use an alias destination when you need to use an alternative name for a bus destination. The bus destination can be on the local bus or on a foreign bus. You configure an alias destination on the local bus. When an application in the local bus uses the alias destination, the specified bus name and destination name are mapped to a new name. If you use an alias destination, you can also set properties, destination roles, or both.

When an application that is connected to a bus specifies a destination name and bus name in its JMS destination object (queue or topic) that match the identifier and bus of an alias destination that is defined in that bus, the destination that the application accesses is the same as if the application specified the target identifier and target bus from the alias destination. You can also use a alias destination that is defined in a foreign bus if you need to redirect messages that arrive over a foreign bus connection to differently named destinations or buses, and you cannot modify the configuration of the source bus.

## Foreign destinations

A foreign destination represents a destination that is defined in another bus (a foreign bus). You can use a foreign destination for point-to-point messaging. You use a foreign destination if you need to override security settings, or messaging defaults, for an individual destination on a foreign bus. The foreign bus can be another service integration bus or a WebSphere MQ network (that is, one or more interconnected WebSphere MQ queue managers or queue-sharing groups).

To override messaging defaults of a destination on a foreign bus, you configure the properties (for example, the default priority) of the foreign destination. To override security settings and control which users and groups in the local bus have access to a destination in a foreign bus, you configure the destination roles of the foreign destination. These properties apply when an application that is connected to the local bus sends messages to the destination in the foreign bus.

When you define a foreign destination, use the actual names of the foreign bus and the destination on the foreign bus, so that the JMS destination object does not change.

When an application that is connected to the local bus sends messages to the destination in the foreign bus, service integration in the local bus uses the properties and destination roles of the foreign destination, rather than the default values from the definition of the foreign bus (on the local bus). Typically, you configure the properties of a foreign destination to match the properties that are configured for that destination in the foreign bus (where that destination is a local destination), but this is not essential.

You can also configure destination roles for the foreign destination. Service integration in the local bus uses these roles to control which users and groups in the local bus have access to the destination. It also complements any access controls that the foreign bus applies.

You do not use foreign destinations for publish-subscribe messaging. Instead, applications publish messages locally using a topic space destination in the local bus, and you configure a service integration bus link or a WebSphere MQ link. These links propagate the published messages into the foreign bus, or buses, where subscribers receive the messages. For a link to a service integration bus, configure topic space mappings, as described in *Configuring topic space mappings between service integration buses*. For a link to a WebSphere MQ network, configure a publish/subscribe bridge, as described in “Publish/subscribe messaging through a WebSphere MQ link” on page 301.

Service integration cannot use configuration information that is scoped to a foreign bus. Therefore, if an appropriate foreign destination is not defined on the local bus, service integration uses default values for the destination attributes.

Figure 1 shows a JMS application that sends messages from the local bus, Bus 1, to a destination in a foreign bus, Bus 2. Bus 1 has a foreign bus connection defined, which it uses to forward the message to the foreign bus. The foreign destination is not defined in the local bus. Bus 1 gets the destination defaults from the foreign bus connection.

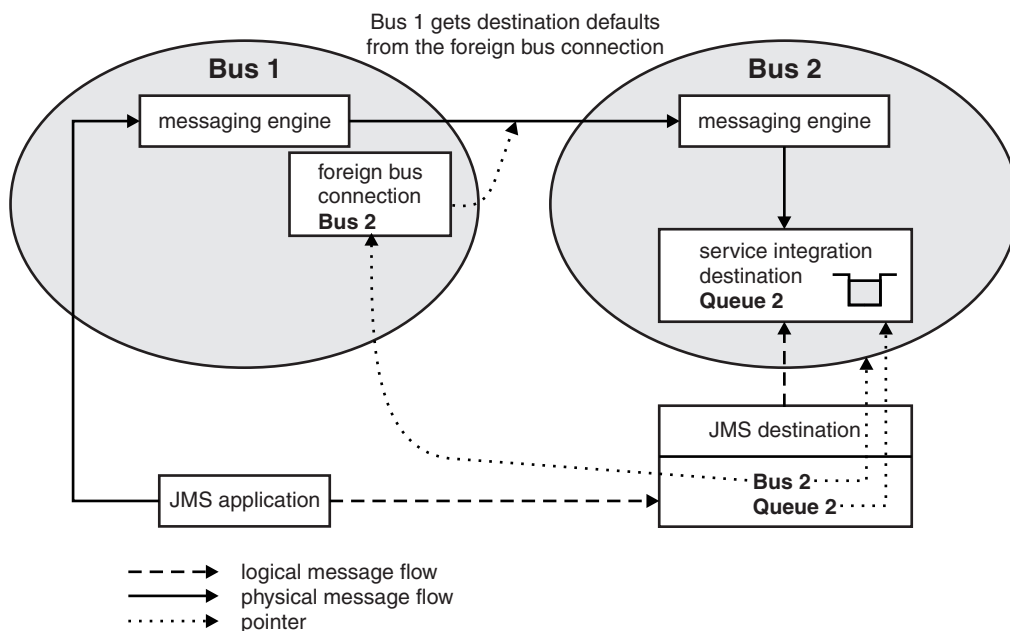


Figure 89. Point-to-point messaging between two buses with no foreign destination configured

Figure 2 shows a JMS application that sends messages from the local bus, Bus 1, to a destination in a foreign bus, Bus 2. Bus 1 has a foreign bus connection defined, which it uses to forward the message to the foreign bus. Bus 1 includes a foreign destination definition. Bus 1 gets the destination defaults from the foreign destination.

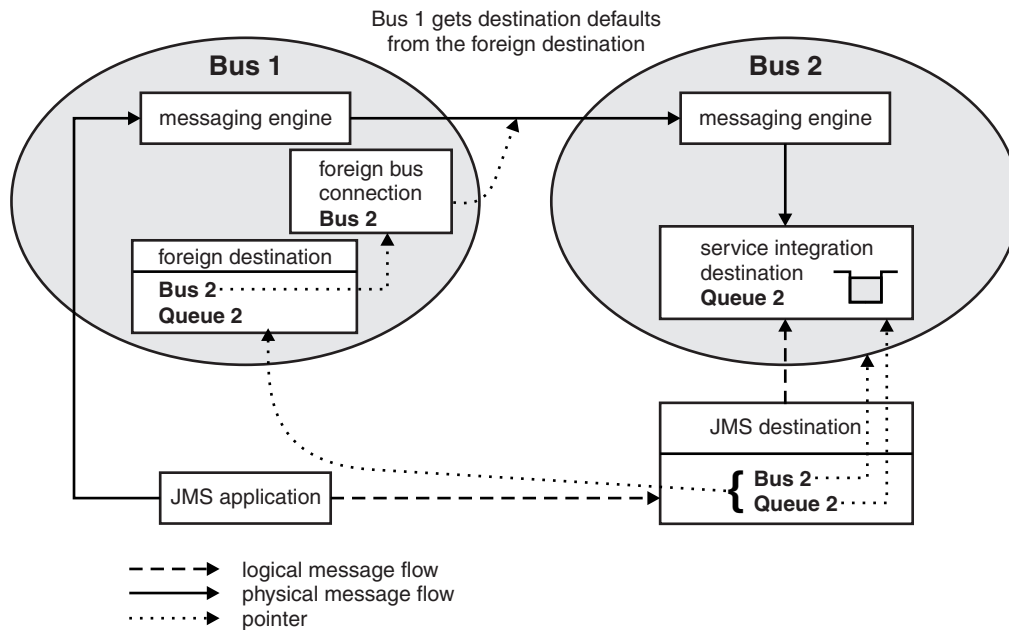


Figure 90. Point-to-point messaging between two buses with a foreign destination configured on the local bus

## Alias destinations

An alias destination maps an alternative name for a bus destination. You can use an alias destination for point-to-point messaging or publish/subscribe messaging. An alias destination maps a bus name and destination name (identifier) to a target where the bus name, or the destination name, or both, are different. An alias destination can map to a queue destination or a topic space destination. If required, alias destinations can be chained so that the target destination is itself an alias destination.

You use an alias destination when you need to make a destination available under an alternative name. For example:

- You need to interoperate with WebSphere MQ, but some service integration bus names or destination names do not comply with WebSphere MQ naming restrictions (for example, the names are too long). You can define an alias destination that maps a WebSphere MQ-compliant name to the service integration name.

For example, an application sends a message to a WebSphere MQ application and the reply-to destination name does not comply with the WebSphere MQ naming restrictions. You can define an alias that maps a compliant name to the actual reply-to destination name. The application then specifies the alias destination as the reply-to.

Another example is an application that sends a message through a WebSphere MQ foreign bus to a remote service integration foreign bus when the send-to destination name does not comply with the WebSphere MQ naming restrictions. You can define an alias in the remote bus that maps a compliant name to the actual send-to destination name. The application then specifies the alias destination as the send-to. If you want the sending application to use the actual destination name, you can define an alias in the local bus that maps the actual destination name to the compliant name.

- If you move a destination from one bus to another (by deleting it, then creating it on another bus), you can create an alias destination that redirects messages from the old destination to the new one. You must create the alias destination in every bus where applications reference the destination, for example, the bus that the destination is moved from, and the bus that the destination is moved to.

However, it might be simpler to change the JMS destinations that are registered with JNDI to point to the new destination.

- You can assign an alias destination to a subset of the queue points of a partitioned queue destination, and therefore use the alias destination to restrict the queue points that the producing and consuming applications use.

When you use an alias destination, you can also set properties (for example, the default quality of service) for the alias destination. When an application uses the alias destination, these properties override the properties of the target destination. If you do not want to override a property, configure the alias destination to inherit the corresponding property from the target destination.

When you use an alias destination, you can also configure destination roles for the alias destination. When the application uses the alias destination, service integration in the local bus uses these roles to control which users and groups in the local bus have access to the target destination. If you do not want to override the security for the target destination, configure the alias destination to delegate the authorization check to the target destination.

Figure 3 shows a JMS application that sends messages from the local bus, Bus 1, to a destination in a foreign bus, Bus 2. Bus 1 has a foreign bus connection defined, which it uses to forward the message to the foreign bus. The JMS destination does not point to the target queue, but points to Bus X, Queue Y. Bus 1 includes an alias destination that maps Bus X, Queue Y to the target destination Bus 2, Queue 2. Bus 1 gets the destination defaults from the alias destination.

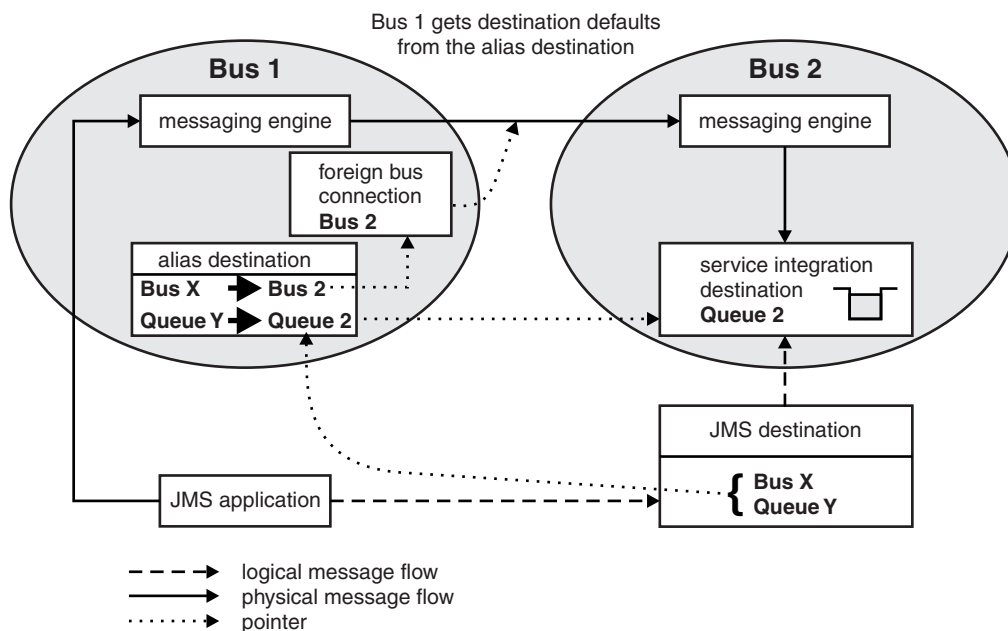


Figure 91. Point-to-point messaging between two buses with an alias destination configured on the local bus

## Using an alias destination for a destination in a foreign bus

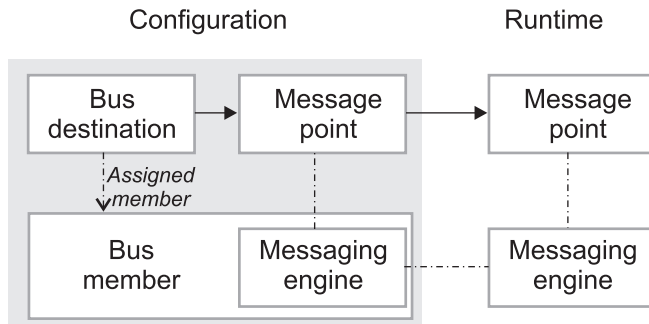
If an application uses an alias destination to access a destination that is defined in a foreign bus, you can configure the alias destination with the specific properties, destination roles, or both that the application requires. This means that you probably do not need to define a foreign destination as well.

## Permanent bus destinations

A permanent destination is configured by an administrator and has its runtime instances created automatically by the messaging provider. Permanent destinations remain until the administrator explicitly deletes them.

When you configure a destination on a bus member that is a WebSphere MQ server, the destination has a single queue point called a *WebSphere MQ queue point*. This *WebSphere MQ queue point* is a WebSphere MQ queue on the WebSphere MQ queue manager or queue-sharing group that the WebSphere MQ Server represents. For more information about WebSphere MQ queue points, see related concepts.

The configuration and runtime components of a permanent destination are shown in the following figure.



The administrator configures a *bus destination*, to define properties such as the type of destination, and mediations and quality of service for the destination. A bus destination is defined on a service integration bus, and is hosted by one or more bus members, depending on whether it is a queue destination or a topic space destination. This results in the system generating one or more *message points*, where messages are held on that bus. A message point can be configured to override some properties inherited from the bus destination.

For point-to-point messaging, the administrator configures the destination as a queue and selects one bus member, an application server or server cluster, as the assigned bus member that is to hold messages for the queue. This action automatically defines a queue point (a type of message point) for each messaging engine in the assigned member. When an assigned messaging engine starts up, a runtime instance of each of its message points is automatically created. You can use the runtime view of a message point to administer the messages on that location.

For publish/subscribe messaging, the administrator configures the destination as a topic space (a hierarchy of topics), but does not have to select any assigned bus member for the topic space. A topic space has a publication point (a type of message point) defined automatically for each messaging engine in the bus.

## Temporary bus destinations

A temporary destination only exists while an application is using it. Typically, this situation occurs when the application uses a JMS temporary destination. Service integration creates a corresponding temporary service integration bus destination.

For example, if an application creates a temporary JMS queue for use with the default messaging provider, the SIB service automatically creates a temporary queue destination on the bus.

A temporary destination is assigned a unique name specific to the SIB service. The name starts with the prefix `_Q` for temporary queues or `_T` for temporary topics.

Temporary destinations appear on the list of runtime queue and publication points for a messaging engine on the service integration bus. Temporary destinations do not usually need administration.

Temporary topics cannot be explicitly created on the service integration bus. API-specific temporary destinations (for example, JMS temporary topics) are implemented by creating a temporary topic space

and using a single topic within the temporary topic space. This is not apparent to the application code. For this reason, applications cannot use a wildcard for temporary topics. This means, for example, a subscriber cannot use a wildcard to receive a copy of messages published to all temporary topics.

See also “How JMS destinations relate to service integration destinations” on page 448.

## Exception destinations

An exception destination is a location for messages that cannot be delivered to, or remain on, a specified target destination, but that also cannot be discarded. Exception destinations prevent the loss of messages when this is required by the quality of service specified for a message.

An exception destination can be used in the following situations:

- Service integration cannot deliver a message to the specified target destination, and cannot discard the message because of the quality of service of the message. Service integration delivers the message to an exception destination.
- A message exceeds the maximum number of delivery attempts to a transactional consumer. This situation might occur if the transactional consumer fails and the message backs out and is consumed again repeatedly. When the delivery limit (the Maximum failed deliveries per message) is reached, the message goes to the exception destination.
- A destination that has messages on it is deleted. Those messages are moved to an exception destination.

For each of these situations, you can configure which exception destination processing to use:

- Use the default exception destination of the relevant messaging engine.

Each messaging engine has a default exception destination called `_SYSTEM.Exception.Destination.messaging_engine_name` that is created automatically when a messaging engine is created. This default exception destination stores messages that cannot be delivered for bus destinations that are localized to the messaging engine. When you use the default exception destination, an administrator can access all messages that cannot be delivered for a messaging engine in a single location.

**Note:** You cannot modify the default exception destination and you must not delete it.

- Use a specific exception destination that is associated with the relevant resource, for example, a queue destination, a topic space destination, a service integration bus link or a WebSphere MQ link.

The exception destination that is associated with a destination is used if a message cannot be delivered because the number of delivery attempts to a transactional consumer is exceeded. When you use a specific exception destination for a queue or topic space destination, an administrator can access those undeliverable messages for that destination in one location.

The exception destination that is associated with a link is used if a message cannot be delivered because the target destination is full or does not exist.

An exception destination must be a queue destination, and can be local or remote. The exception destination must already exist before you configure another resource to use that exception destination. If the exception destination is not a queue, or if it does not exist when the message arrives, messages are rerouted to the default exception destination of the relevant messaging engine.

Note that you cannot configure an exception destination for a bus; you must configure an exception destination for each destination on the bus.

- Do not reroute undeliverable messages to any exception destination, that is, specify None. Attempts to deliver the message continue. For a service integration bus link, an undeliverable message might block the processing of other messages waiting for delivery to the same destination. For a WebSphere MQ link, an undeliverable message might block the processing of other messages waiting for delivery through that link to the same bus.



The report options that are set in the properties of individual messages can affect exception destination processing. Depending on the report option that is set, when the conditions apply for service integration to send a message to an exception destination, service integration also sends a report message to the reply-to destination of the message, or discards the message instead of sending it to the exception destination, or both.

**Note:**

- Best-effort messages are always discarded if they cannot be delivered to their target destination, that is, they never use an exception destination.
- A message cannot be available to consumers until it is successfully delivered to a destination.

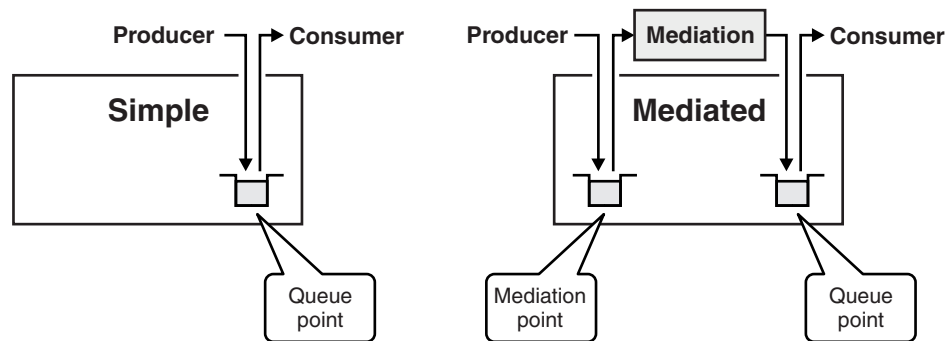
Service integration cannot guarantee the ordering of messages sent to an exception destination. Because of this, if message order is important, you can configure a bus destination so that it does not use an exception destination. In this situation, the Maximum failed deliveries per message limit specified for the destination is ignored, and the message remains available to consumers. Synchronous consumers repeatedly attempt to get the message; message-driven beans and other asynchronous consumers repeatedly attempt to consume the message. This situation continues until either the message is removed from the destination (for example, by an administrator using the administrative console) or the consumer can subsequently process the message without rolling back.

## Destination mediation

A destination can be configured with one or more mediations that refine how messages are handled by the destination. For example, a mediation can modify the actual message, or redirect the message to another destination or sequence of destinations, or both.

A mediation can process messages through message transformation, subsetting, aggregation, disaggregation, and using a selection of destinations (but not consumers) to which the message can be forwarded.

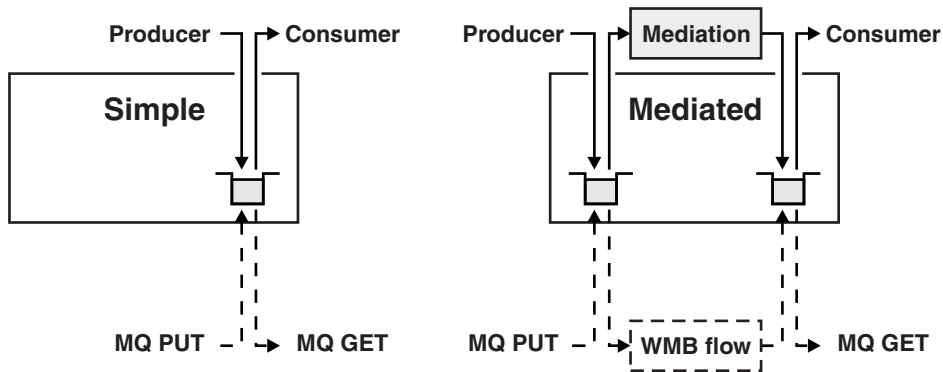
When a message arrives at the mediation point, the mediation consumes the message and can transform, subset, aggregate or disaggregate the message. The message is then either forwarded to another destination or returned to the same destination. If the message is returned to the same destination, it goes to the queue point, where it can be consumed by the messaging application. This process is shown in the



following figure:

You can configure a destination so the mediation point or the queue point, or both are WebSphere MQ queues. If both are WebSphere MQ queues then a WebSphere MQ application can act as an external

mediation as shown in the following figure:



## Destination routing paths

A routing path defines a sequential list of intermediary bus destinations that messages must pass through to reach a target bus destination. A routing path is used to apply the mediations configured on several destinations to messages sent along the path.

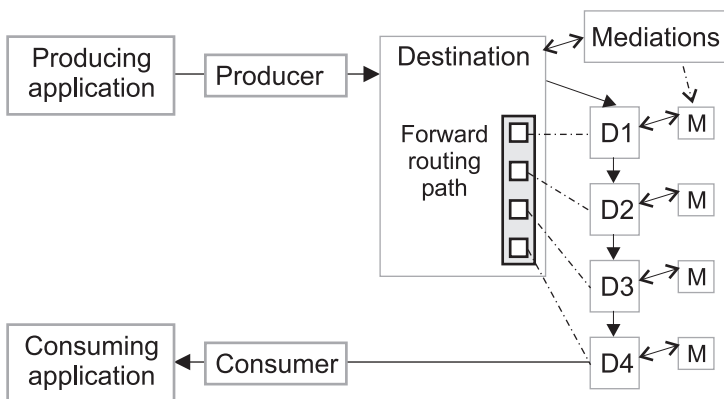


Figure 92. Routing paths

A *forward routing path* identifies a list of bus destinations that a message should be sent to, from the producer to the last destination from which consumers retrieve messages. The *reverse routing path* is constructed automatically for request/reply messages, and identifies the list of destinations that any reply message should be sent to, from the consumer back to the producer. Use of reverse routing path enables a reply message to take a different route back to the producer, and therefore have more mediations applied.

When a message arrives at a destination in the path, mediations can manipulate the entries in the forward routing path, to change the sequence of destinations through which messages pass. If a mediation manipulates the forward routing path, and the reverse routing path is set for a request message that expects a reply, the mediation is responsible for making any corresponding changes to the reverse routing path.

A destination without mediations can be included in a routing path to provide a future option to apply a mediation assigned to that destination.

Do not include a topic space in a forward routing path.

If the first element of the routing path does not represent a destination known to the bus, the message is sent to the exception destination defined for the current destination.

## Forward routing paths

A producer can attach to one destination and pass messages along a *forward routing path* to the target destination that consumers use.

- The producer can set the forward routing path in the original message.
- An administrator can configure a default forward routing path on destinations for use by messages that do not contain a forward routing path.

When a message is sent to a destination (either directly, or by following its forward routing path), and before invoking any mediation at the destination, the `Default forward routing path` property of the destination is applied to the forward routing path of the message, as follows:

- If the incoming message contains an empty forward routing path, the forward routing path in the message is set to the value of the `Default forward routing path` property of the destination (which also can be empty or null).
- If the incoming message has a non-empty forward routing path, it is left unchanged. After applying any mediations, the message is forwarded to the next destination in the path. When the last destination in the path is reached, the message is handled by that destination.

## Reverse routing paths

A producer can ask for reply messages by specifying a reply destination in messages it sends. The *reverse routing path* is constructed dynamically as the message passes from one destination to another along the forward routing path.

When a message is sent to a destination (either directly, or by following its forward routing path), and before invoking any mediation at the destination, the `Reply destination` property of the destination is applied to any non-empty reverse routing path of the message, as follows:

- If the incoming message has a non-empty reverse routing path (indicating that a reply is expected), the value of the `Reply destination` property of the destination is added to front of the reverse routing path in the message (indicating that the reply message must visit this new reply destination before any destinations that are already in the reverse routing path).
- If the incoming message has an empty reverse routing path (indicating that a reply is not expected), the reverse routing path is left unchanged.

## Message points

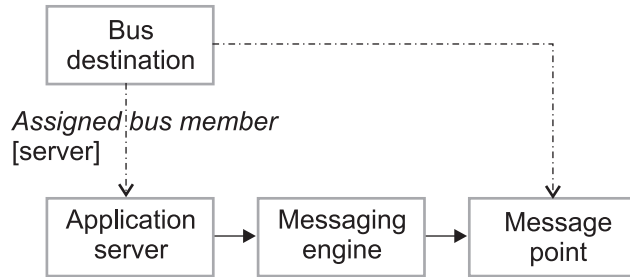
A message point is associated with a messaging engine and holds messages for a bus destination.

A message point is the general term for the location on a messaging engine where messages are held for a bus destination. A message point can be:

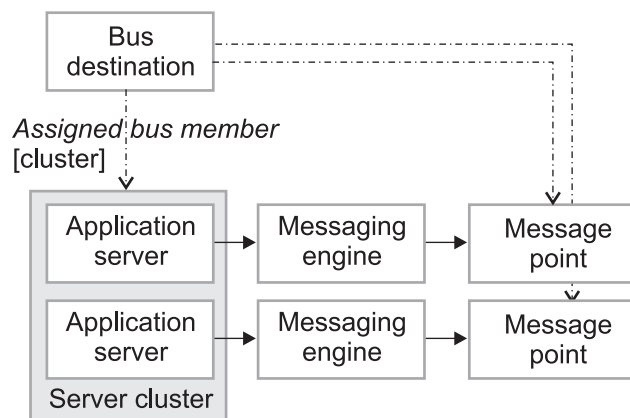
- A queue point
- An alias destination
- A publication point
- A mediation point (which is a specialized message point)

For point-to-point messaging, the administrator selects one bus member, which can be an application server or server cluster, to hold the messages of the queue destination. This action automatically defines a queue point for each messaging engine in the assigned bus member.

- For a queue destination assigned to an application server, all messages sent to that destination are handled by the messaging engine of that server, and message order is preserved.



- For a queue destination assigned to a server cluster, there is a separate message point for each messaging engine in the cluster. The message points partition the destination in the same way that a WebSphere MQ cluster partitions a clustered queue. Multiple messages addressed to a such a partitioned destination are handled by any messaging engine in the cluster, but an individual message is handled by only one messaging engine.



The messages of the destination are split between the separate message stores for the messaging engines. This configuration has the disadvantage that message order cannot be preserved, but has advantages:

- Multiple producers or consumers can be deployed across the same server cluster and messaging operations are handled locally by the messaging engine of a cluster member.
- Cluster monitoring can detect the failure of a messaging engine, and the surviving engines within the cluster can take over the message stores containing the permanent state for the failed engine.

If message ordering must be preserved, follow the rules described in “Message ordering” on page 471.

Applications can use an alias destination to route messages to a target destination in the same bus or to another (foreign) bus (including across a WebSphere MQ link to a queue provided by WebSphere MQ). By assigning an alias destination to a subset of the queue points of a partitioned queue destination, alias destinations can be used to restrict the queue points used by producing and consuming applications.

For publish/subscribe messaging, the administrator configures a topic space destination, but does not have to assign a bus member for the topic space. A topic space has a publication point defined automatically for each messaging engine in the bus.

Message points can be remote from the application which is producing to or consuming from the bus destination. In other words, message points can be on a messaging engine other than the messaging engine to which the application is connected. In this situation the message point is represented at runtime by a *remote message point* on the remote messaging engine.

By monitoring message points and remote message points, you can fully analyze and resolve problems arising from distributed application messaging. For example, you can:

- Determine the state of a specific message request.
- Determine the location of a specific message.
- Examine message queues to determine if messages have been sent or received.
- Free or delete message requests that have become locked.
- Delete or move messages from remote message points.

## Remote message points

A remote message point is a messaging engine runtime view of any message point that is associated with a remote messaging engine. Remote message points are dynamically created and destroyed when they are required by the bus; you do not have to configure them explicitly.

Message points provide a physical location to reliably store messages. In a bus that contains many messaging engines, message points can be defined on a subset of the messaging engines in that bus. However, an application can attach to any messaging engine in the bus, and can therefore produce or consume messages to or from destinations that do not have a suitable message point on the messaging engine to which the application is attached.

When an application produces messages, the messages must be moved from the messaging engine for the application to a messaging engine with a suitable message point, and vice versa when an application consumes messages. Remote message points provide a reliable mechanism to move these messages from one messaging engine to another; the remote message points maintain information required to ensure messages are delivered correctly according to the messages' reliability.

Where necessary, messages are queued on a remote message point while awaiting delivery to the intended message point. This runtime information can be monitored and, where appropriate, managed by an administrator.

Each remote message point that exists on a messaging engine has a corresponding representation on the messaging engine that owns the message point.

### ***Message production and consumption by using remote message points:***

When an application produces or consumes messages to or from a messaging engine that is not the same as the messaging engine to which the application is connected, remote message points are used to manage the flow of messages between the messaging engines.

### **Message production**

When an application produces messages to a queue-type destination at a messaging engine that is remote from the messaging engine that owns the queue point, a *remote queue point* is required to manage the delivery of messages destined for the queue point. When an application produces messages to a publish/subscribe type destination, the messaging engine for the producing application will have a local publication point. If subscribing applications to the same destination are attached to different messaging engines in the bus, *remote publication points* are required to manage the delivery of messages to those remote messaging engines.

If the destination is mediated, messages must first be processed at a mediation point. If the mediation point is on a different messaging engine than the application, a *remote mediation point* is required to manage the delivery of the messages to the mediation point.

These *outbound messages* must be delivered to the message point in a reliable manner in accordance with the reliability of the message. To provide these levels of reliability, any message with a reliability greater than “best effort non-persistent” is temporarily queued at the remote message point for the producer messaging engine. The message is queued until the messaging engine that owns the message

point confirms the successful arrival of the message, then the producer messaging engine removes its copy of the message from the remote message point. This prevents loss or re-ordering of messages in the event of failures.

Under normal conditions messages will be queued at a remote message point only briefly, but if a failure occurs or the system is overloaded, messages might remain at the remote message point for longer. You can assess the health of the system by monitoring the outbound messages on a remote message point.

### **Message consumption**

A consuming application can be attached to a messaging engine that does not own the store of messages that the application consumes from. When an application consumes from a queue-type destination, the application might be remote from the queue point; when an application consumes from a publish/subscribe type destination, the application might be remote from the subscription. When either of these cases occurs, a remote message point is required to manage message requests made by the application.

Each time an application requests a message from a remote store of messages, a message request is made from the messaging engine for the application to the messaging engine that owns the messages. These message requests are maintained by the remote message point until they are satisfied, either with a message or when the request comes to an end (the requesting application terminates the request).

#### ***Point-to-point messaging example by using remote queue points:***

When a producing or consuming application is remote from its destination, remote queue points are used to manage the flow of messages between the messaging engine where the destination is located, and the messaging engine to which the application is attached.

The following figure illustrates the use of remote queue points in point-to-point messaging. The producing application attaches to messaging engine ME1, but the bus destination targeted by the application has a queue point on ME2. The queue point on ME2 is represented at runtime by a remote queue point on ME1. The remote queue point receives messages from the application and then reliably transmits them to the queue point on ME2. Likewise, the consuming application attaches to ME3 and consumes messages from the queue point on ME2 through a remote queue point on ME3.

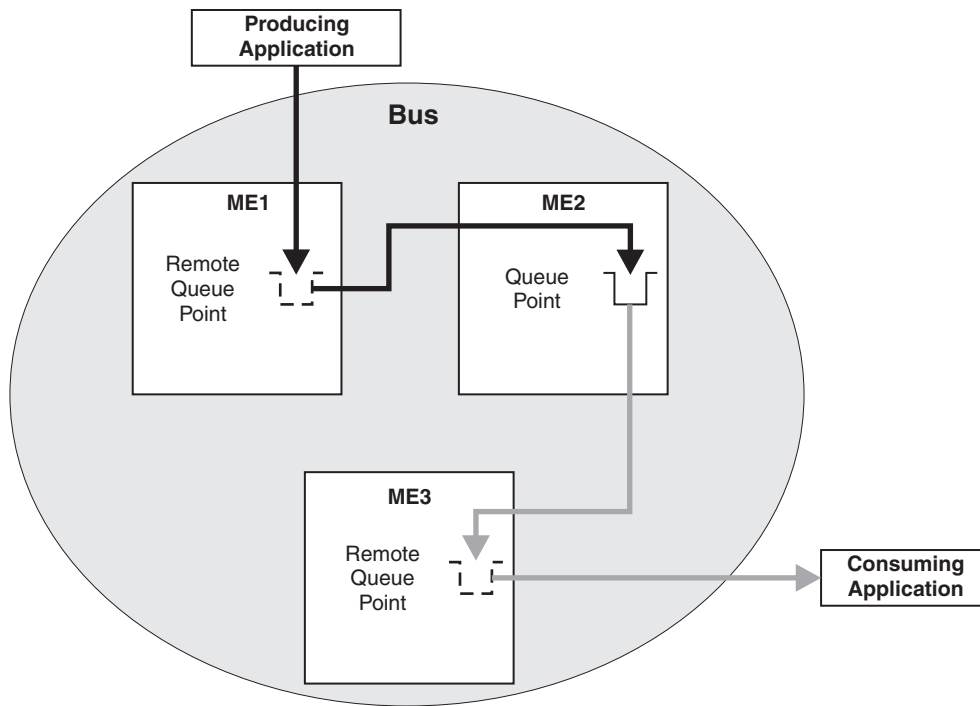


Figure 93. Point-to-point message production and consumption by using remote queue points.

The sequence of steps involved in remote message production is as follows:

1. The producing application, attached to ME1, sends a message to the queue destination, which has a queue point defined on ME2.
2. Messages are queued up on the remote queue point on ME1 before transmission to the queue point on ME2.
3. The message is sent to the queue point on ME2 as soon as possible. ME1 remembers the existence of the message until ME2 confirms that it has received the message.

The sequence of steps involved in remote message consumption is as follows:

1. The consuming application, attached to ME3, attempts to consume a message from the queue destination.
2. ME3 sends a message request to the queue point on ME2.
3. When a message that satisfies the criteria of the message request is available at the queue point on ME2, the message is sent to the remote queue point on ME3.
4. The message is delivered from the remote queue point to the consuming application. If the application consumes the message, the message is deleted from the queue point on ME2. If the application does not consume the message, the message is made available again on the queue point on ME2 for other applications to consume. In either case, the message request is completed and removed from the remote queue point on ME3.

#### ***Publish/subscribe messaging example by using remote publication points:***

When a publishing or subscribing application is remote from its destination, remote publication points are used to manage the flow of messages between the messaging engine where the destination is located, and the messaging engine the application is attached.

The following diagram illustrates the use of remote publication points in publish/subscribe messaging. Messages are published to a publication point on ME1, and are routed to publication points on ME2 and

ME3 through remote publication points on ME1. The messages are consumed from subscriptions on ME2 and ME3.

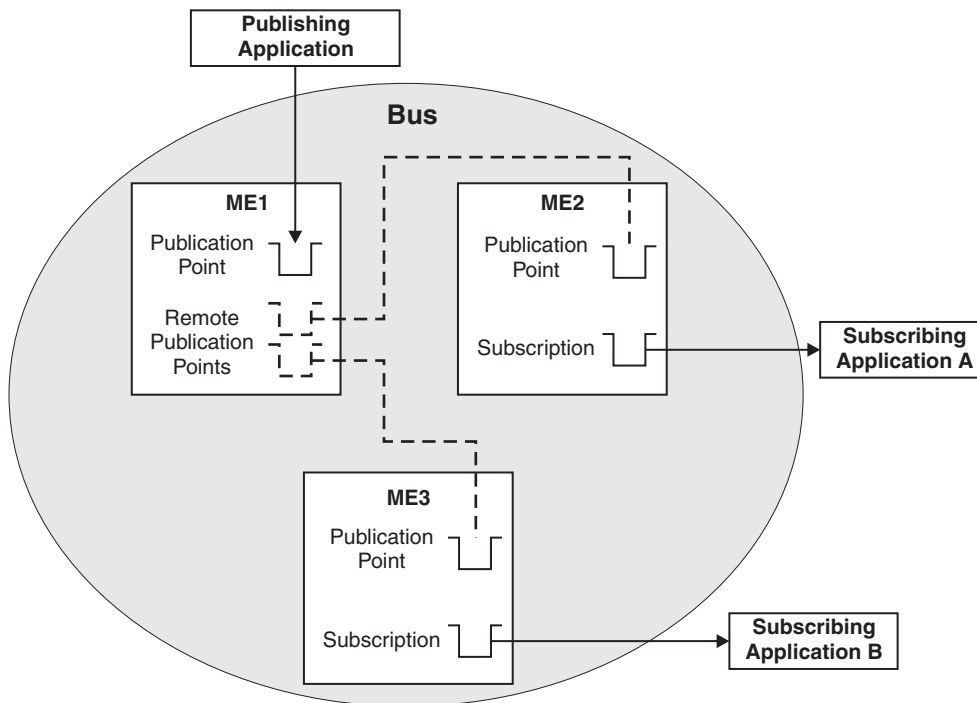


Figure 94. Publish/subscribe messaging by using remote publication points

The sequence of steps involved in remote publish/subscribe messaging is as follows:

1. The administrator creates a topic space destination on the bus; this creates a publication point on each messaging engine in the bus.
2. The subscribing applications register subscriptions for a topic on the topic space on their local messaging engines. ME1 is informed that ME2 and ME3 are interested in the topic.
3. The publishing application, on ME1, publishes a message for that topic and topic space to the bus, for distribution to the publication points on each messaging engine.
4. The remote publication points on ME1 queue the message for transmission to their respective publication points on ME2 and ME3.
5. The message is sent to the publication points on ME2 and ME3 as soon as possible. ME1 remembers the existence of the message until both ME2 and ME3 confirm that they have received the message.
6. The subscribing applications consume the message through their subscriptions on ME2 and ME3.

In figure one, the subscribing applications are attached to the same messaging engines that their subscriptions were created on. If a subscribing application has a durable subscription, it is possible for the application to be attached to a different messaging engine than the messaging engine that the subscription was created on. In this case the subscribing application accesses its subscription through a *remote subscription* on the messaging engine the application is attached. In figure two, messages are published to ME1, and are routed to the durable subscriber that is on ME2. The messages are consumed from ME2 through a remote subscription on ME3.



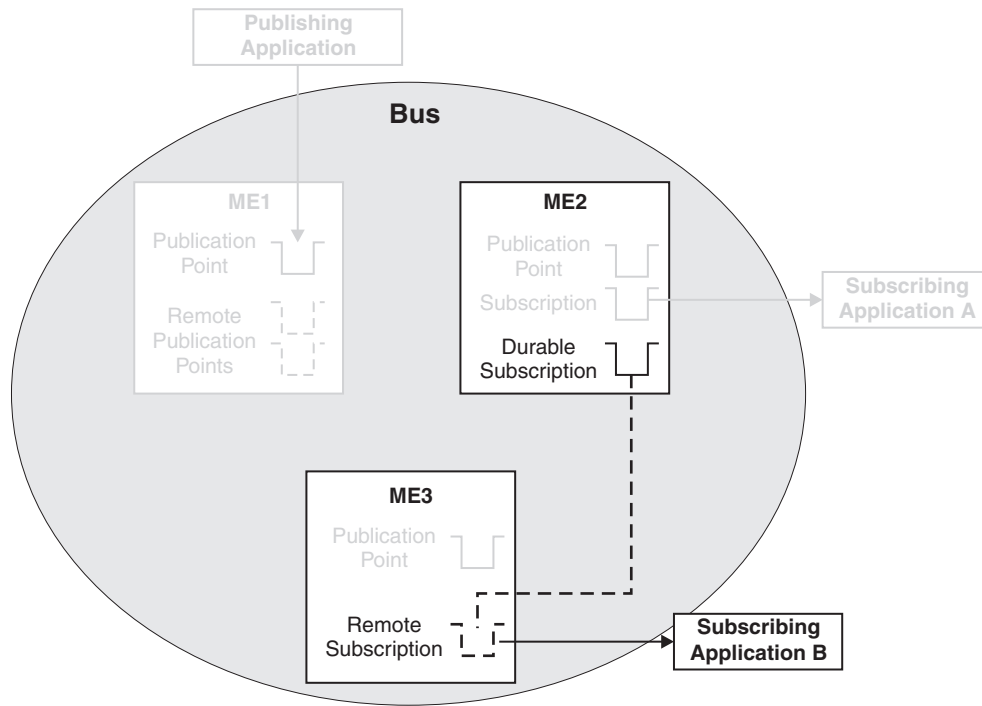


Figure 95. Publish/subscribe messaging using a remote subscription

In this situation, Subscribing Application B consumes messages from its subscription in the same way as an application consumes remotely from a queue point, as detailed in “Point-to-point messaging example by using remote queue points” on page 438.

## Message ordering

Message ordering is important to some asynchronous messaging applications; that is, it is important to consume messages in the same order that the producer sends them. If this type of message ordering is important to your application, your design must take it into account.

For example, a messaging application that processes seat reservations might have producer components and a consumer component. A producer component sends a message to the consumer component when a customer reserves a seat. If the customer cancels the reservation then the producer (or possibly a different producer) sends a second message. Typically, the consumer component must process the first message (which reserves the seat) before it processes the second message (which cancels the reservation).

Some applications use a synchronous (request-response) pattern where the producer waits for a response to each message before it sends the next message. In this type of application, the consumer controls the order in which it receives the messages and can ensure that this is the same order as the producer or producers send them. Other applications use an asynchronous (fire and forget) pattern where the producer sends messages without waiting for responses. Even for this type of application, order is usually preserved; that is, a consumer can expect to receive messages in the same order as the producer or producers send them, especially when there is a significant time between sending consecutive messages. However your design must consider factors that can disrupt this order.

The order of messages is disrupted if your application sends messages with different priorities (higher priority messages can overtake lower priority messages) or if your application explicitly receives a message other than the first by specifying message selectors. Parallel processing and error or exception processing can also affect message ordering.

## **Parallel processing**

### **Multiple destinations**

When a producer sends a message to one destination and then sends a second message to a different destination, it is possible that the second message arrives at its destination before the first message arrives at its destination. This can happen even if the producer sends both messages within the same transaction (the transaction ensures that either both sends fail or both complete; it does not ensure the delivery order). If this is a problem for your application then avoid using more than one destination for that application.

### **Multiple producers**

When one producer sends a message to a destination and then a second producer sends a second message to the same destination, it is possible that the second message arrives at the destination before the first message. This can happen even if the second producer synchronizes with the first producer, for example by waiting for a signal from the first producer before sending its message (the second message). It can also happen even if the producers are transactional (the fact that a transaction is complete does not mean that the message or messages are at the destination - service integration can complete a transaction first and deliver the message or messages later). If this is a problem for your application then avoid using more than one producer for that application. Similarly, avoid using a single producer which runs multiple parallel threads.

### **Multiple consumers**

When two messages arrive at a queue-type destination where there are multiple consumers, it is possible that one consumer receives the first message and another receives the second. If the consumers can run in parallel then the consumer which receives the second message might process it before the consumer which receives the first message. Also, if one consumer receives a message transactionally and then rolls-back the transaction, the message returns to the destination where another consumer can receive it; meanwhile, that other consumer might already have received and processed other (later) messages. If either of these are a problem for your application then avoid using more than one consumer for that application. Similarly, do not allow multiple concurrent message-driven bean (MDB) invocations per endpoint (see *Configuring MDB throttling* for the default messaging provider). Alternatively, consider using strict message ordering, see “*Strict message ordering for bus destinations*” on page 473.

### **Clustering and partitioned destinations**

When a queue-type destination is assigned to a cluster bus member with more than one messaging engine then each messaging engine contains a partition of the destination (that is, one of the destination queue points); service integration can deliver different messages for that destination to different partitions. This queue-type destination configuration can provide advanced availability, scalability, and load balancing but it is not usually suitable for applications where message ordering is important. Service integration does not guarantee the ordering of messages sent to different partitions of the same destination. Also, a messaging engine can fail while there are messages on the destination partition that it contains; these messages are not available to consumers until the messaging engine restarts. However, service integration can continue delivering newer messages to other partitions (in other messaging engines) and consumers can receive and process these newer messages before the older messages on the failed messaging engine partition. “*Workload sharing with queue destinations*” on page 503 gives more detail on this type of configuration, and also explains how you can use the Message affinity option which can help maintain message ordering between a single producer and a single consumer in a cluster (though not if the cluster is in a different service integration bus).

### **Publish and subscribe**

For publish-subscribe messaging, service integration does not guarantee the ordering of messages

received by different instances of a subscription, including separate instances of a durable subscription created with the Share Durable Subscriptions property set to permit sharing.

## Errors and exception conditions

### Quality of service

The quality of service for a message determines how error and exception conditions can affect the delivery of the message (see “Message reliability levels - JMS delivery mode and service integration quality of service” on page 595). Depending on the quality of service, an error or exception condition can cause service integration to deliver the message reliably (exactly once) or less reliably (more than once, or not at all). For example, with the express nonpersistent quality of service, it is possible for sequences of messages to be re-delivered after a failure; however, their underlying order is always maintained so that messages might arrive in the sequence: 1, 2, 3, 2, 3, 4. More generally, service integration does not guarantee the ordering of different messages sent with different qualities of service. If this is a problem for your application then be sure to select an appropriate quality of service and avoid mixing different qualities of service for messages to the same destination.

### Exception destinations

Under certain failure scenarios, service integration can deliver a message to an exception destination or deliver a message to a destination and subsequently transfer the message to an exception destination. Service integration does not guarantee the ordering of messages it sends to or transfers to an exception destination. If this is a problem for your application then you can configure the destination so that it does not use an exception destination (see “Exception destinations” on page 462). If the producer and the destination are in different buses then you can configure the link or links between those buses to have no exception destination (see “Foreign buses” on page 578 and Configuring exception destination processing for a link to a foreign bus.

### Transaction roll-back

The activation specification for a message-driven bean (MDB) can specify a delay between failing message retries. This delay allows time for the condition which causes the roll-back to recover before the same message drives the MDB again. However, while a message is delayed in this way, another (later) message can drive the MDB (see Protecting an MDB application from system resource problems). If this is a problem for your application then consider limiting the maximum sequential failures to one (see JMS activation specification [Settings] or consider using strict message ordering, see “Strict message ordering for bus destinations.”

### Resolving indoubt transactions

During two-phase commit processing, an application can fail while a JMS send or receive operation is in an indoubt state. When this happens, it is possible for the application to restart before the indoubt state is resolved so that one or more messages are "invisible" when the application restarts but become "visible" later. Other messages on the destination are not affected. A consuming application can receive a message (say message 2) which is logically after an "invisible" message (say message 1); later, after the indoubt transaction is resolved, the application receives the previously "invisible" message (message 1). In this way, the application might receive and process messages in the wrong order. If this is a problem for your application then consider using strict message ordering, see “Strict message ordering for bus destinations.”

## Strict message ordering for bus destinations

If message order must be maintained in all circumstances, a destination can be configured so that the order of messages is preserved much more rigorously than for a normal destination.

In general, messages produced by a single producer to a single destination are seen by a consumer to that destination in the same order as they are produced. However, there are certain topologies and events, such as system failures, that can change the order of messages (see “Message ordering” on page 471 for details). If strict message order is essential then you can configure a destination to preserve the order of

the messages in a much more rigorous manner. Using strict message ordering, in conjunction with restricted topologies (as described below), maintains message order in all circumstances.

## Restrictions that are enforced automatically

When you include a strictly ordered destination in your system, certain restrictions are automatically enforced at run time. These restrictions affect the way that applications can interact with the destination to ensure strict message order, so you must ensure that you fully understand each of the following restrictions before configuring a destination to be totally ordered.

1. **Concurrent consumers are prevented from attaching to an ordered destination.** Having more than one consumer consuming from a destination can result in messages being consumed out of order. So, for an ordered queue destination, and any subscription on an ordered topic space, one consumer at most can attach at any one time. This is equivalent to setting "receive exclusive" to true on a queue destination and "Share durable subscriptions" to "never shared" on a JMS topic connection factory, and warnings are generated in the system log to indicate if these options have been overridden. The attached consumer is also restricted to a single transaction at any one time (this is the normal behavior for many messaging interfaces so should not affect many users, for example, JMS).
2. **Partially consumed messages prevent subsequent messages from being consumed.** For standard destinations (that is, destinations without the strict message ordering option enabled), messages that have been partially consumed from the destination (for example, received within a transaction that is yet to be committed) might be skipped over by a consumer to allow processing of messages to continue past previously consumed messages that are yet to be committed. This can disrupt message order. For an ordered destination, such messages are not skipped by a consumer, instead the consumer is blocked until the message has either been totally removed (for example, the uncommitted transaction is committed) or replaced (for example, the uncommitted transaction is rolled back). This situation would occur if a previously attached consumer fails to commit a transaction that was used to consume messages from the destination, or if a transactional resource becomes temporarily unavailable during the commit of a transaction. If the resource is permanently unavailable, see Resolving indoubt transactions for information on resolving these transactions.
3. **Concurrent message driven beans (MDBs) are restricted for an ordered destination.** The maximum concurrent endpoints and maximum batch size settings of any MDB deployed on an ordered destination are overridden to be one (see JMS activation specification [Settings]). This ensures the ordered processing of messages by the MDB. When the system overrides this property at run time, a warning is generated in the system log.
4. **Concurrent mediations are restricted for an ordered destination.** The allow concurrent mediation setting of any mediation on an ordered destination is overridden to be false (see "Concurrent mediations" on page 558). This ensures the ordered processing of messages by the mediation. When the system overrides this property at run time, a warning is generated in the system log.
5. If **Automatically stop endpoints on repeated message failure** is enabled then the **Sequential failed message threshold** is overridden to 1.

## Additional restrictions that might affect message ordering

Even with strict message order enabled on a destination the following restrictions are not automatically enforced, but they could affect message ordering and therefore should be understood:

- If a destination has an exception destination configured, it is possible for messages intended for that destination to be delivered to the exception destination under error conditions such as a message reaching its maximum failed delivery limit. This redirecting of messages could be regarded as changing the order of messages, and if that is so, then the destination should set the exception destination to "none". Note that setting the exception destination to none results in messages that would otherwise be moved to the exception destination remaining on the destination.
- Changing the topology of a service integration bus can affect message order. For example, deleting and recreating an ordered destination must be seen as two distinct destinations and therefore order cannot be guaranteed across both destinations.

- Introducing or removing a mediation can affect message order. If a mediation is introduced to a destination or is removed from a destination while messages are flowing to and through the destination, message order is not necessarily preserved.
- Mediations can be designed to deliberately affect message order, and therefore changing a mediation can directly affect message order. For example, you could introduce a mediation that reorders messages or routes messages to different destinations.
- Alias destinations and foreign destinations do not have an option to maintain strict message order. In each case, only the underlying destination can be ordered.
- If a queue destination is deployed to a cluster bus member that has more than one messaging engine, which means that the destination has more than one queue point or mediation point, message order cannot be maintained across the destination. Therefore, enabling strict message order on such a destination does not assure message order. Configuring message affinity allows sets of messages to be sent to the same queue point for processing in order by a single consumer. Message affinity has performance implications because the messages are no longer workload balanced across multiple queue points.

**Important:** The system does not prevent you from using partitioned destinations. It is your responsibility to ensure that partitioned destinations are not used in the system.

- Messages with a reliability of anything other than assured persistent may be lost or duplicated under certain conditions (see “Message reliability levels - JMS delivery mode and service integration quality of service” on page 595). If this is regarded as disrupting the order of messages, then only assured persistent messages should be used with a strictly ordered destination.
- Ordering of messages is assured within message priority, it should be understood that messages of a different priority can overtake messages of a lower priority.
- Ordering of messages is assured within message reliability, it should be understood that messages of one reliability can overtake messages of a different reliability.
- Although multiple consumers are not allowed to attach to an ordered destination, multiple producers can be used to send messages to ordered destinations. Ordering across multiple producers is not assured as messages appear at the destination in the order that the sending transactions are committed.
- Application code might contain logic that can disrupt message ordering.

## Message selection and filtering

Message selection and filtering can occur when a consumer attaches to a destination.

When attaching to a destination, a consumer can provide a “selector”, a filter expression indicating the messages it is prepared to accept. The filter expression is a predicate referencing named fields in the message header or body. A particular instance of this occurs in the field of publish/subscribe, where subscribing applications typically express an interest in one or more topics and the selector is then a filter on the topic field carried in a message header. In JMS 1.1, selector expressions are restricted to referencing fields in the JMS header and the JMS properties of the JMS message; there is no access to the message body.

Using the default messaging provider, a topic is an identifier that a producer puts in the header of a message to enable message selection by consumers. The topics are grouped into topic namespaces, which have a tree-like hierarchical structure with a single root. This allows a subscriber (consumer) to connect with a wildcard selector that matches on an entire topic namespace, or a subtree of that namespace.

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## Message stores

Message stores are important in the operation of messaging engines. To host queue-type destinations, a messaging engine includes a message store where, if necessary, it can hold messages until consuming applications are ready to receive them, or preserve messages in case the messaging engine fails. Each messaging engine has one and only one message store. This can either be a file store or a data store.

A message store enables a messaging engine to preserve operating information and to retain those objects that messaging engines need for recovery in the event of a failure.

A messaging engine preserves both volatile and durable data in its message store. Volatile data is lost when a messaging engine stops, in either a controlled or an uncontrolled manner. Durable data is available after the server restarts. For more information, see “Message reliability levels - JMS delivery mode and service integration quality of service” on page 595. A messaging engine stores various types of data, including messages, transaction states, and communication channel states.

When started, a messaging engine obtains configuration information from the WCCM (WebSphere Application Server Common Configuration Model) repository. A messaging engine retrieves all other data from its own file store or data store.

**Important:** There are currently no facilities available for migrating from a data store to a file store.

- “File stores” on page 477
- “Data stores” on page 479

## Relative advantages of a file store and a data store

You must decide whether to use a file store or a data store for your messaging engine, by considering the advantages of each type.

Using a file store rather than a data store for your messaging engine can have several advantages:

### Better performance

To achieve best performance using a data store, you often have to use a separate remote database server. With file store you can achieve even better performance without having to use a separate remote database server.

### Low administration requirements

The file store combines high throughput with little or no administration. This makes it suitable for those who do not want to worry about where the messaging engine is storing its recoverable data. File store improves on the throughput, scalability, and resilience of Apache Derby.

### Lower deployment costs

Use of data store might require database administration to configure and manage your messaging engines. File store can be used in environments without a database server.

Some organizations prefer to use data store because it uses their existing resources more effectively. For example, this might be the case for a company with a strong team of database specialists, or a stable database infrastructure.

Data store has the following technical advantage over file store: with data store, some Java EE applications can share JDBC connections and benefit from one-phase commit optimization. For more information see Sharing connections to benefit from one-phase commit optimization. File store does not support this optimization.

Data stored in both data store and file store benefit from security features provided by WebSphere Application Server when accessed using the WebSphere Application Server APIs, that is when using JMS messaging. Further security features are available depending on the type of message store you use. For more details see “File stores” on page 477 and “Data stores” on page 479.

- **Data store:** you access your chosen database by using a userid and password that is administered using the supplied tools for your specified DBMS. Logical and physical separation of your database server can also be used to improve the overall security of your data.
- **File store:** additional security can be provided when using a file store by careful consideration of your file store files. For example, using a secure network-attached drive to store your file store files improves the physical security of your data. Another example is storing your files on an operating system encrypted file system.

Both file store and data store offer high availability capabilities. For more details see “Message store high availability” on page 484.

## File stores

File stores use a file system to preserve operating information and to persist the objects that messaging engines need for recovery in the event of a failure.

A file store is a type of message store that directly uses files in a file system through the operating system. The data storage in a file store is split into three levels: the log file, permanent store file, and temporary store file.

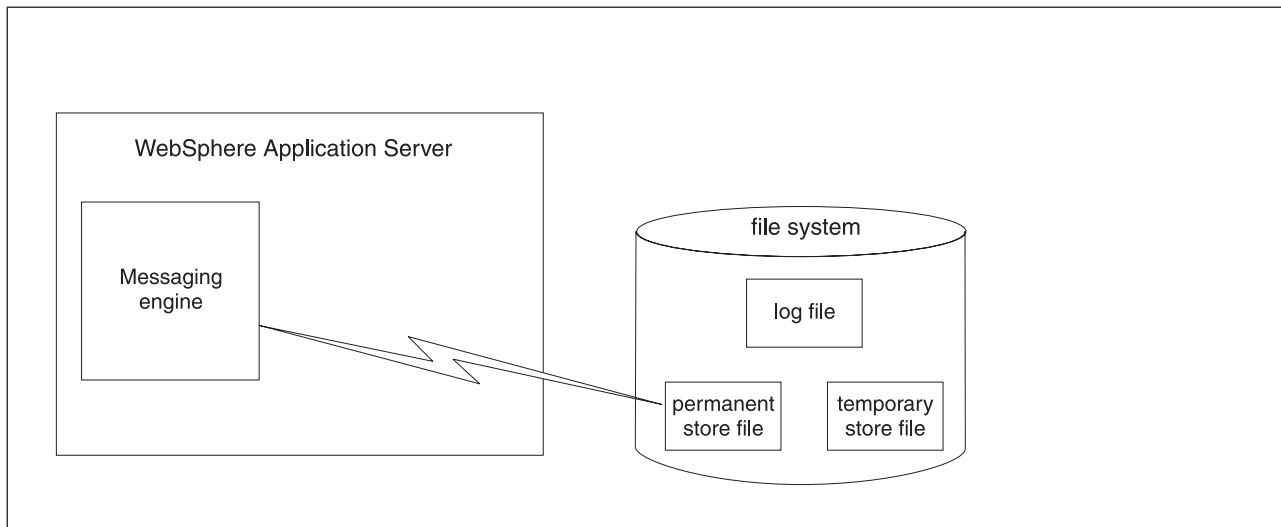


Figure 96. The relationship between a messaging engine and its file store

### Log file

This file contains information about currently active transactions, and data that has not yet been written to a store file. The log file has a fixed size and does not expand as it is used.

### Permanent store file

This file contains permanent data that are retained beyond restart of the messaging engine, such as persistent messages and information about the storage and transmission of persistent messages.

### Temporary store file

This file contains temporary data that are not retained beyond restart of the messaging engine, such as nonpersistent messages that have been spilled to the file store to release memory from the JVM heap. The temporary store file is emptied when the messaging engine starts.

You can configure where the file store files are placed. By default, the file store uses a subdirectory beneath `${USER_INSTALL_ROOT}/filestores`. The file store directory contains three files: `logDirectory`, `permanentstoreDirectory` and `temporaryStoreDirectory`.

## File store configuration attributes

Preserving the appropriate amount of space within log file, permanent store file, and temporary store file of a file store helps to ensure that operations and transactions behave predictably.

Data is first written to the log file sequentially, that is, new records are added to the end of the file. When the end of the log file is reached, old records at the beginning of the log file are overwritten by new records and this process repeats. Subsequently, data is written to the permanent store file and temporary store file. The exception is extremely short-lived data, which is only written to the log file.

The permanent store file and temporary store file each have a minimum reserved size and a maximum size. When they are created, the permanent and temporary store files consume their minimum reserved sizes, plus the size of the log. If the maximum size is set to a larger value than the minimum reserved size, the files grow up to the maximum size as required.

The default settings for the minimum and maximum sizes are most suitable if you are not using a dedicated disk for your file store because they protect the file store from other disk users, and also protect other disk users from the file store. The default settings are less appropriate if you have a disk that is dedicated to file store use, and in this case you might want to consider setting the maximum size set to unlimited.

If your file store is not on a dedicated disk, consider making the minimum and maximum sizes the same. Initially, the message store reserves the amount of space defined by the minimum setting. Therefore, if you use the same value for the maximum and minimum settings, this reduces the likelihood of other applications using disk space that you had intended for file store use. Setting the maximum size to a value other than unlimited reduces the likelihood of the file store using disk space that you had intended for use by other applications. Other applications can include, for example, the application server itself.

The default configuration for file store attributes is intended to be sufficient to be used in typical messaging workloads without further administration. To improve the performance or availability of the log file or the two store files, you can configure the file store attributes to control where these files are placed. Similarly, you can configure the attributes that control the sizes of the log file and two store files, for example to handle workloads with a large number of active transactions, large messages, or a large volume of message data resident in the messaging engine.

**Note:** This method of improving performance cannot be guaranteed on a compressing file system, for example, on an NT file system with the **Compress this directory** option selected. You should avoid configuring a file store to use a compressing file system for production use.

The following table shows the minimum and default values for file store attributes.

*Table 55. File store attributes and values. The first column lists the file store attributes. The second column provides the description of the attributes. The third column provides the minimum and default values of the attributes.*

Attribute	Description	Minimum and default values
Log size	Size of the log file, in megabytes	<ul style="list-style-type: none"><li>• <i>Minimum:</i> 10 MB</li><li>• <i>Default:</i> 100 MB</li></ul>
Minimum permanent store size	The minimum number of megabytes reserved by the permanent store file. <b>Note:</b> The minimum store file size must always be at least as big as the log file.	<ul style="list-style-type: none"><li>• <i>Minimum:</i> 0</li><li>• <i>Default:</i> 200 MB</li></ul>
Maximum permanent store size	The maximum size in megabytes of the permanent store file. <b>Note:</b> Consider making the maximum store file size double the size of the log file. The maximum size of the store file must always be larger than the log file. If the log file size is the same as the maximum store file size then the messaging engine will not start.	<ul style="list-style-type: none"><li>• <i>Minimum:</i> 50 MB</li><li>• <i>Default:</i> 500 MB</li></ul>



Table 55. File store attributes and values (continued). The first column lists the file store attributes. The second column provides the description of the attributes. The third column provides the minimum and default values of the attributes.

Attribute	Description	Minimum and default values
Minimum temporary store size	The minimum number of megabytes reserved by the temporary store file. <b>Note:</b> The minimum store file size must always be at least as big as the log file.	<ul style="list-style-type: none"> <li>• <i>Minimum:</i> 0</li> <li>• <i>Default:</i> 200 MB</li> </ul>
Maximum temporary store size	The maximum size in megabytes of the temporary store file. <b>Note:</b> Consider making the maximum store file size double the size of the log file. The maximum size of the store file must always be larger than the log file. If the log file size is the same as the maximum store file size then the messaging engine will not start.	<ul style="list-style-type: none"> <li>• <i>Minimum:</i> 50 MB</li> <li>• <i>Default:</i> 500 MB</li> </ul>
Unlimited permanent store size	Indicates whether the permanent store file is unlimited in size	<ul style="list-style-type: none"> <li>• <i>Default:</i> false</li> </ul>
Unlimited temporary store size	Indicates whether the temporary store file is unlimited in size	<ul style="list-style-type: none"> <li>• <i>Default:</i> false</li> </ul>
Log directory	Name of the directory that contains the log file	<ul style="list-style-type: none"> <li>• <i>Default:</i> \${USER_INSTALL_ROOT}/filestores/com.ibm.ws.sib/&lt;me_name&gt;-&lt;me_uuid&gt;/log</li> </ul>
Permanent store directory	Name of the directory that contains the permanent store file	<ul style="list-style-type: none"> <li>• <i>Default:</i> \${USER_INSTALL_ROOT}/filestores/com.ibm.ws.sib/&lt;me_name&gt;-&lt;me_uuid&gt;/permanentStore</li> </ul>
Temporary store directory	Name of the directory that contains the temporary store file	<ul style="list-style-type: none"> <li>• <i>Default:</i> \${USER_INSTALL_ROOT}/filestores/com.ibm.ws.sib/&lt;me_name&gt;-&lt;me_uuid&gt;/temporaryStore</li> </ul>

## File store exclusive access

Each file store contains information that uniquely identifies the messaging engine that created it. A file store can only be used by the messaging engine that created it.

The messaging engine opens the files in the file store in exclusive mode to prevent multiple instances of the same messaging engine from simultaneously using the file store, for example, by accidental activation on the messaging engine of multiple servers in a cluster. When a messaging engine stops, either in a controlled fashion or as a result of server failure, the file store files are closed. Subsequently another instance of the same messaging engine is able to open the file store.

## File store disk requirements

The reliability of your storage infrastructure affects the ability of WebSphere Application Server to maintain the integrity of your data.

Consult the documentation for your storage infrastructure for information on the level of reliability that it can be configured to provide. Examples of components which might be included in your storage infrastructure are: hard disk drives, RAID controllers, file systems, and network file system protocols.

The support technotes contain more details about particular scenarios.

## Data stores

A data store is a message store that uses a relational database. A messaging engine uses a data store to store operating information in the database, as well as to preserve essential objects that the messaging engine needs for recovery in the event of a failure.

A data store consists of the set of tables that a messaging engine uses to store persistent data in a database. See Data store tables for a list of the tables that comprise a data store. All the tables in a data store are held in the same database schema. You can create multiple data stores in the same database, provided that you use a different schema name for each data store.

The one-to-one relationship between a messaging engine and a data store means that every messaging engine must have its own data store. A messaging engine uses an instance of a JDBC data source to interact with the database that contains the data store for that messaging engine. Figure 97 illustrates these relationships.

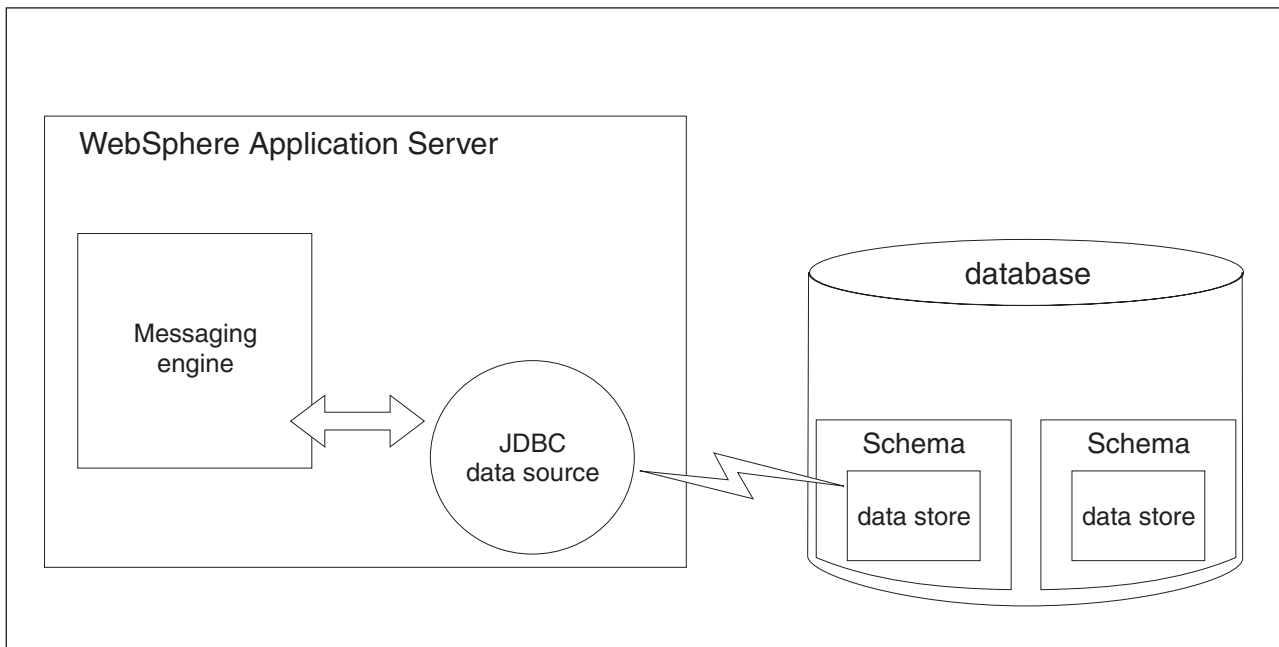


Figure 97. The relationship between a messaging engine and its data store.

All the tables in the data store must be stored in the same schema. You can create more than one data store in a database, provided that you use a different schema name for each data store. Although every messaging engine uses the same table names, its relationship with the schema gives each messaging engine exclusive use of its own tables.

## Data store topologies

You have several options for the relative location of a data store and its messaging engine. The topology also defines the relationship of a data store with other data stores.

The following options affect your choice of data store topology:

- The data store can either run on the same node as its messaging engine or on a remote node.
- The data store can either have a dedicated database or it can share a database with other data stores.

**Tip:** If you are using the Informix RDBMS, configure a separate database instance for each messaging engine.

## Data store life cycle

Starting or deleting a messaging engine affects the life cycle of its data store. Appropriate actions must be carried out on the data store.

## Starting the messaging engine

When you start a messaging engine, it performs checks on the tables that comprise the data store to determine whether they are suitable. If the tables do not exist, and you have selected the **Create tables** option when configuring the messaging engine, the messaging engine attempts to create the tables. If you have not selected this option, your database administrator must create the tables beforehand, by using the data definition language (DDL) statements generated by the `sibDDLGenerator` command.

Make sure that the database that contains the data store is available before starting the messaging engine, or the server that is hosting the messaging engine. If the database is unavailable for more than 15 minutes, the messaging engine cannot connect to the data store, and fails to start:

- If the messaging engine is hosted by a stand-alone application server, the messaging engine might enter the stopped state. You must restart the application server to start the messaging engine.
- If the messaging engine is hosted by a cluster member, the cluster member is disabled for high availability. The high availability manager attempts to start the messaging engine on another eligible server. If the database continues to be unavailable, the messaging engine fails to start again, that server is disabled for high availability, and the high availability manager attempts to start the messaging engine on another eligible server. In this manner, every member of the cluster can become disabled for high availability. You must manually re-enable the servers for high availability, either by restarting the servers, or through the administrative console. Refer to *Managing high availability when messaging engines fail to start* for details.

## Stopping the database

If you want to stop the database that contains the data store, ensure that the messaging engine is stopped first. If the messaging engine is running and has exclusive locks on the data store, stopping the database can cause the messaging engine to be in an inconsistent state, because the messaging engine might continue to run and accept work. The same behavior occurs if the database fails while the messaging engine is running.

You can configure the messaging engine and its hosting server to shut down and restart when the database connection is lost, to prevent such inconsistencies. To configure this behavior, set the `sib.msgstore.jdbcFailoverOnDBConnectionLoss` custom property on the messaging engine. You can also tune your system to decrease the probability of the messaging engine failing to start before the database becomes available.

## Removing a messaging engine

When you remove a messaging engine, WebSphere Application Server (base) does not delete the data store tables automatically. If you want to re-create the same messaging engine, you must first delete the previous set of tables. If you create a messaging engine with existing tables, these tables must be empty, so that the messaging engine can function correctly. Refer to the documentation for your chosen relational database management system (RDBMS) for information about how to delete tables. However, if you have created a data store with default settings, you do not have to delete previous tables.

## Data store exclusive access

A messaging engine establishes a lock on its data store so that it has exclusive access to the data stored within. This process ensures the integrity of the data within the data store. Note that several factors can affect exclusive access locking in practice.

Each messaging engine establishes an exclusive lock on its data store. While the messaging engine is running, it maintains that lock to ensure the integrity of the data within the data store.

The data store lock uses database locks and so is an intrinsic part of the data store itself. The relational database management system (RDBMS) relies on the JDBC infrastructure or underlying TCP/IP protocol to indicate the failure of a messaging engine or application server. The RDBMS can then automatically release the data store locks in the database.

The frequency of the liveness checking of the network connection between a messaging engine and the database server for its data store is an important factor in enabling prompt failover of a messaging engine that is running in a cluster. When messaging engines running in a cluster are configured to fail over between servers, you might have to reduce the TCP/IP keep-alive parameters on the database server to minimize the amount of time before the failure of a messaging engine can be detected.

The SIBOWNER table in the data store holds the lock as a pair of unique identifiers in a single row. When it starts, a messaging engine uses these two identifiers to acquire and maintain its exclusive lock:

#### **MEUUIID**

The unique identifier for a messaging engine, which remains the same whenever the messaging engine stops and restarts.

#### **INCUUID**

The incarnation identifier for a messaging engine, which changes every time the messaging engine starts.

These identifiers determine which messaging engine is using a data store. These identifiers also determine whether a running instance of a messaging engine has maintained its exclusive lock for the time period during which it was running.

The other table used in the data store locking process is the SIBOWNER0 table. This table is used for locking only and stores no data in its one EMPTY\_COLUMN column.

As a messaging engine starts, it first obtains an exclusive table lock on the SIBOWNER0 table. The messaging engine then obtains an exclusive table lock on the SIBOWNER table and proceeds to check the contents. The messaging engine expects to find a single row of data in the table, or no data. If there is an existing row, the messaging engine starts only if the MEUUIID that it finds matches its own unique identifier. If the MEUUIID does not match, the messaging engine writes error message CWSIS1535 to the server error log and the messaging engine fails to start.

If there are no rows in the SIBOWNER table, the messaging engine inserts one row containing its own MEUUIID and INCUUID. If there is a single row in the table and the MEUUIID matches the unique identifier for the messaging engine, the messaging engine updates the INCUUID with its own incarnation identifier and attempts to obtain a new shared table lock on the SIBOWNER table. The messaging engine checks again that the INCUUID still matches its own incarnation identifier, in case another instance of the same messaging engine on another server is starting at the same time. If the INCUUID in the table does not match, the messaging engine fails to start.

The shared table lock on the SIBOWNER table remains until the messaging engine stops, with periodic refreshes to ensure that the lock is still in force. The exclusive table lock on the SIBOWNER0 table is then released. If the messaging engine cannot refresh the lock on the SIBOWNER table, or discovers from the data in the SIBOWNER table that another messaging engine incarnation is using the data store, the messaging engine writes messages CWSIS1594 and CWSIS1519 to the server error log and the messaging engine stops immediately.

In some cases, when the messaging engine is configured to run in a cluster, if the messaging engine cannot obtain the lock, it stops and is prevented from failing over to another server in the cluster. In these cases you must check the error logs, because the stopping of the messaging engine has signalled that it is unsafe or impossible to start using the current data store configuration. For descriptions of the situations in which failures might occur and the possible solutions that you can examine, see Diagnosing problems with data store exclusive access locks.

## Data store performance

The performance of a data store, chosen as the message store for a messaging engine, can be affected by several factors such as providing the Java data base connectivity (JDBC) data source with sufficient connections. Use this information to help configure an optimal environment for a data store.

The workload that the messaging engine imposes on the relational database management system (RDBMS) is slightly different from usual database workloads, because the messaging engine performs mainly SQL INSERT and DELETE operations. Take this workload into consideration when using the tuning guidelines supplied by your RDBMS provider. The following information might also assist your database administrator.

Each messaging engine can request a large number of concurrent connections to the database. By design, a messaging engine uses many threads to perform database updates concurrently. Consider configuring the connection pool for the JDBC data source used by the data store with sufficient connections to cope with peak workloads. Your database administrator might have to change the database configuration to support this number of concurrent connections from the application server.

The performance of the data store influences messaging throughput to a significant extent. Throughput is usually limited by the write latency of the database log. Consider placing the database log on a fast, dedicated device that is configured for optimal write performance. Ideally, use a storage controller with a battery-backed memory cache so that the effects of disk rotation speed and seek latencies are not evident. For all except entry-level systems, use a RAID controller.

**DB2 tip:** To get the best performance from messages in the 3 KB to 20 KB range, you should consider putting the SIBnnn tables into a tablespace with 32 KB pages and adjusting the column width of the VARCHAR column to 32032 bytes.

## Configuration planning for a messaging engine to use a data store

You must consider a number of choices before you configure a messaging engine to use a data store.

### Relational database management system (RDBMS) for the data store

You might want to choose the RDBMS that you use for other applications, particularly if you are already familiar with the tools you use for managing that RDBMS. You might also want to consider the following criteria:

- Performance
- Scalability
- Availability, especially if you are running messaging engines in a high availability environment

When a new messaging engine that uses a data store is created on a single server, it is configured to use an Apache Derby data source by default. This enables the messaging engine to run without needing any additional configuration. The default embedded Derby data source is sufficient for many purposes. Other relational database management systems offer more comprehensive tooling and improved performance, particularly scalability on larger machines with more than two processors.

**Note:** WebSphere Application Server supports direct customer use of the Apache Derby database in *test* environments only. The product does not support direct customer use of Apache Derby database in *production* environments.

## Database topology

You must consider several options when selecting the relative location of a data store and its messaging engine:

- Decide whether the data store will run on the same node as its messaging engine, or on a remote node. In some cases, running the data store on a remote node can improve performance. In other

cases, a local database provides performance equivalent to a remote database. You might want to conduct your own performance analysis, because the performance characteristics can be very sensitive to the hardware specification.

- Decide whether the data store will have a dedicated database, or share a database with other data stores.
- Consider the implications for high availability of your choice of topology.

### Automatic creation of database tables

Consider whether you want WebSphere Application Server to create the data store tables automatically or whether you want your database administrator to create the tables beforehand:

- WebSphere Application Server can create the data store tables automatically if you select the **Create tables** option when you configure the data store to use a data source. If you want to choose this option, you must first ensure that WebSphere Application Server has sufficient authority to create tables and indexes by setting up the required privileges for your chosen database.

**DB2 for z/OS restriction:** The option for WebSphere Application Server to create the tables is not available with DB2 for z/OS. If you use DB2 for z/OS, your database administrator must create the data store tables manually.

- To enable the database administrator to create the tables manually, you must provide data definition language (DDL) statements created by using the sibDDLGenerator command.

### Amount of BLOB space required to hold message data

Message data is stored in a database table column of datatype BLOB. Before you create a data store, you must consider the size of your expected workload to ensure that your database administrator creates a sufficiently large BLOB space to hold your message data.

### Message store high availability

High availability is achieved by failing over messaging engines between servers. Both file stores and data stores can be deployed in a highly available environment.

In this figure, two servers access a message store that is either a file store accessed through a network file system or a data store that is accessed through a remote database server. This means a messaging engine running on one of the servers can be failed over to the other server, and will retain access to the message store.

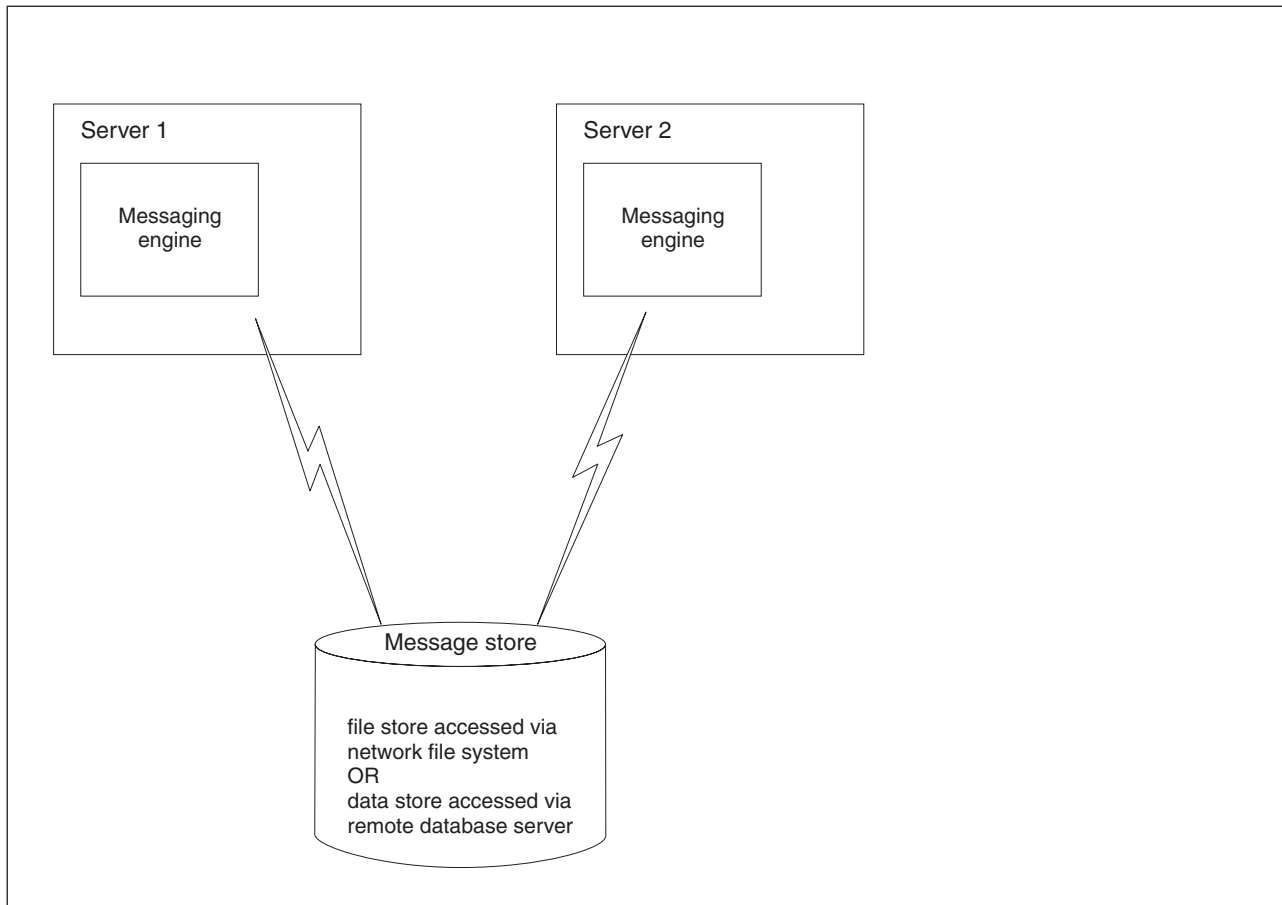


Figure 98. Failover of a messaging engine between servers

### File store high availability

A messaging engine in a cluster bus member can fail over between servers in the cluster, but the messaging engine must be able to access the saved state in its file store from any of the cluster servers.

File stores can be used in highly available environments. In order to make a file store highly available, use hardware or software facilities that can maximize the availability of the file store data, for example, a storage area network (SAN).

**Note:** You must ensure that the directories containing the log file and store files can be accessed from all members of the cluster, by using the same directory name.

WebSphere Application Server supports two styles of file system access to enable high availability:

#### Cluster-managed file system

This style of file system access uses high availability clustering and failover of shared disks to ensure that the directories for the file store are accessible from the server that is currently running the messaging engine. The directories are located on file systems in the shared disks, and high availability cluster scripts are used to mount the file systems on the node that contains the server that is running the messaging engine.

#### Networked file system

This style of file system access allows remote files to be accessed over a network. The most popular protocols for accessing remote files are Common Internet File System (CIFS) and Network

File System (NFS). Version 4 of NFS supports automated failover to ensure access locking. Access locking ensures the integrity of the log files; that is, only a single client process can access the log at a time.

**Note:** It is important to check that the file system configuration is correct, because it cannot be checked by the WebSphere Application Server configuration system or messaging engine. Errors only surface at run time, so thorough failover testing is recommended.

**Further information:**

The requirements for enabling access to the directories for the file store are similar to those for enabling access to the recovery log in a cluster. For more information see the following article: [Transactional high availability and deployment considerations in WebSphere Application Server V6](#) .

### **Data store high availability**

A messaging engine in a cluster bus member can fail over between servers in the cluster, but the messaging engine must be able to access the saved state in its data store from any of the cluster servers.

Each messaging engine on a service integration bus belongs to one high availability group (HAGroup). The members of each HAGroup are controlled by a policy assigned to the group at run time. This core group policy determines the availability characteristics of the messaging engine in the HAGroup, including which servers the messaging engine can run on, including the choice of server to which the messaging engine can fail over. If the cluster bus member in which a messaging engine is running fails, the data store that the messaging engine uses must be accessible from the server to which the messaging engine moves.

***Data store topologies:***

The topology defines the relative location of a data store and its messaging engine, as well as the relationship with other data stores.

You have several options for the relative location of a data store and its messaging engine. The following principles apply:

- The default embedded Apache Derby data store always runs in the same server process as the messaging engine.
- For a messaging engine that can fail over within a WebSphere Application Server cluster, you must ensure that, for any server that the policy might choose to run, the messaging engine can gain access to the data store. The embedded Apache Derby data store is not supported for a messaging engine running in a cluster. Use either Apache Derby in Network Attach mode, or an alternative relational database management system.

To support high availability (HA), you can configure the data store as follows:

- Configure the data store to use a shared, network-mounted, file system. Ensure that you protect against the file system being a single point of failure.
- Configure the data store to use a remote database server. Ensure that you protect against a failure of the database server.
- Configure the data store to use a shared disk in an HA cluster. The HA cluster performs failover of the data store and, optionally, can coordinate failover of the messaging engine.

***Highly available databases:***

Highly available databases are highly scalable and depend on a relational database management system (RDBMS) that is always running. Restrictions apply when you choose a highly available database as your data store for the messaging engine.



Databases that have a high availability framework or feature might have redundant primary and standby servers. If you are using such a database as your data store, certain specific actions are required:

- Ensure that the primary and standby databases are identical when the standby database takes over from the primary database, unless you stop and restart your messaging engine before connections are routed to the standby database. If database clients, such as the messaging engine, are routed by the system from the primary database to the standby database, the messaging engine relies on the data in both databases being identical.
- Do not use the one-phase commit optimization that enables applications to share the JDBC connections used by a messaging engine.

If you use the High Availability Data Recovery (HADR) feature of DB2, note the following restrictions:

- The messaging engine default messaging provider supports only the synchronous and near-synchronous synchronization modes of HADR. The default messaging provider does not support asynchronous HADR configurations.
- The TAKEOVER BY FORCE command is permitted only when the standby database is in peer state, or when the standby database had last changed from peer state to its current state (such as disconnected state).

If you configure a WebSphere Application Server to use a highly available database as your data store and a database failover occurs, the application server on which the messaging engine is running might stop. The cause of this problem is that the messaging engine cannot always treat the failover as a transient communications error.

When you configure a messaging engine to use a highly available database for its data store, ensure that the messaging engine can restart automatically following an application server failure. Choose the option that is appropriate for your configuration:

- If you are running a single server, WebSphere Application Server provides no failover support. Consider other high availability provisions.
- If you are running WebSphere Application Server Network Deployment without clustering, the default configuration for the node agent ensures automatic restart.
- If you are running WebSphere Application Server Network Deployment with clustering, peer recovery restarts the messaging engine. Ensure that you have configured the high availability policy to enable peer recovery.

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## Service integration security

Messaging security ensures that service integration bus users are authenticated, resources are protected by security checks, and messages are secured when they are in transit. Use these topics to learn how to secure the service integration bus and protect messages that are sent and received.

Security covers all of the following areas:

- Authenticating and authorizing users that attempt to connect to a bus, and use its resources.
- Securing communication transports between clients and messaging engines, and between messaging engines themselves.
- Authenticating peer messaging engines in a bus.
- Protecting the message store with a user identity.

When a bus is created with bus security enabled, the following conditions apply:

- The bus requires client authentication.
- The bus enforces authorization policy.
- The bus requires use of SSL transport chains.

You can use secure transport connections to ensure the confidentiality and integrity of messages that are in transit between application clients, the bus, and between messaging engines. This is achieved by defining transport chains and then referencing the transport chain name as follows:

- For application client connections: from the connection factory administered objects.
- For connections to foreign buses: from the **Target inbound transport chain** property of the service integration bus link.
- For connections to WebSphere MQ: from the **Transport chain** property of the WebSphere MQ link.
- For connections between messaging engines: from the **Inter-engine transport chain** property of the bus.

For more information, see “Secure transport configuration requirements” on page 445.

**Note:** When a secure bus is created, only SSL protected messaging chains are permitted. For example, you can use the InboundSecureMessaging transport chain.

In the routing properties for the service integration bus link for a foreign bus connection, the user ID applied to messages entering or leaving the foreign bus can be replaced by values specified by the Inbound user ID and Outbound user ID properties.

The ability to authenticate access to a foreign bus is provided by the **Authentication alias** property of the service integration bus link. You can specify an authentication alias at each end of the service integration bus link between two secure buses when you create each foreign bus connection. The user ID you specify in the authentication alias on each side of the link must be the same for authorization purposes. For example, consider a scenario where two messaging engines are connected by a service integration bus link. Messaging engine A presents the user ID and password to messaging engine B so that messaging engine B can authenticate messaging engine A. For details about creating a foreign bus connection, and therefore a service integration bus link, see Configuring foreign bus connections.

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- “Messaging security and multiple security domains” on page 490
- “Messaging security” on page 491
- “Security event logging” on page 492
- “Messaging security audit events” on page 492
- “Client authentication on a service integration bus” on page 495
- “Role-based authorization” on page 496
- “Destination security” on page 497
- “Mediations security” on page 498
- “Topic security” on page 499
- “Access control for multiple buses” on page 501
- “Message security in a service integration bus” on page 502

## Service integration security planning

When you are planning the security of your messaging system, the range of options available to you can be described through a set of frequently asked questions.

These are some of the questions you might have when you start planning for messaging security:

- How do I secure the bus?
- Who has authority to access the bus?
- Who has authority to access bus destinations?
- Which connections must I secure?
- Which user IDs are stored in messages that flow between the bus and any foreign buses?

- What level of data store security do I need?

If you are using service integration with web services, refer to *Securing bus-enabled web services*.

### How do I secure the bus?

Providing WebSphere Application Server global security is enabled, and a user registry is configured, a newly created service integration bus is secured by default. The **Enable bus security** flag in the bus definition is checked by default. This means that the messaging engine authenticates all connecting client applications, and performs authorization checks on every client application that attempts to access bus resources. For more information about enabling bus security, see “Messaging security” on page 491.

When you create a new bus, or you want to secure an existing bus, the Bus Security wizard prompts you to specify a security domain. The security domain contains the security settings for the bus. You can specify the default global domain, or an alternative domain, depending on the versions of the bus members:

#### Global domain

This is the default security domain. You must specify the global domain for mixed-version buses.

#### Cell level and custom domains

If the bus contains WebSphere Application Server Version 7.0 or later bus members only, you can configure the bus to use either the cell default security domain, or a custom security domain. A custom security domain typically contain security settings specific to a particular bus. You can configure additional, separate security domains for user applications such as the UserRepository. For more information about using multiple security domains for the bus, see “Messaging security and multiple security domains” on page 490.

For more information about how to add a new secure bus, see *Adding a secured bus*. If you want to secure an existing bus, see *Securing an existing bus by using multiple security domains*. If you want to migrate an existing secure bus from a global security domain to a cell level or custom security domain, see *Migrating an existing secure bus to multiple domain security*.

### Who has authority to access the bus?

When a client application attempts to connect to the bus, the messaging engine authenticates the credentials (an identity and password) for the client application against the user registry. If the client authenticates successfully, the messaging engine checks that the client has authority to connect to the bus. Every client applications that has a valid user identity and password in the user registry can authenticate successfully, but you might not want every client application to have authority to connect to the bus. To control access to the bus, you must grant authority to specific client applications in the bus connector role for the bus. You create a group in the user registry, add the identities of the client applications to the group, and then add the group to the bus connector role for the bus. For more information, refer to *Administering the bus connector role*.

### Who has authority to access bus destinations?

For each destination, you must decide which clients require authority to undertake operations at a bus destination, and which operations (or roles) they have to undertake. Authority is granted by adding groups and group members to roles. For example, if you want a group of client applications called *MyGroup* to send messages to a queue destination called *MyQueue*, you add *MyGroup* to the sender role for *MyQueue*. For more information, refer to *Administering destination roles*.

You can define a set of default permissions that apply to every destination in a bus. For example, if you want to authorize all the members of a group called *MyMediations* to send messages to every destination on a selected bus, you can add *MyMediations* to the default sender role. By default, all local destinations inherit roles from the default resource. You can choose to override

default inheritance for selected destinations. For more information about default roles, see [Administering default roles](#). For more information about overriding default role inheritance, see [Disabling inheritance from the default resource](#).

If a group of client applications publish and subscribe to topics, the topics exist in a topic space. The identities of all the clients that publish to a topic must belong to a group that has the sender role for the topic space. All the client applications that subscribe to a topic must belong to a group that has the receiver role on the topic space. For more information, see [Administering topic space root roles](#). By default, there are also checks on authorization permissions at the topic level. You can disable the topic level check, or decide which groups of client applications you want to authorize to access selected topics.

### **Which connections must I secure?**

Decide which of the following connections to secure with SSL:

- Connections between the clients and the servers (messaging engines).
- Connections between messaging engines within a bus.
- Connections between buses.

For more information, refer to [Securing messages between messaging buses](#).

### **Which user IDs are stored in messages that flow between the bus and any foreign buses?**

When a message is sent, the user ID of the sender is stored in the message and is used for any subsequent access control checks on the message. Where a link exists between buses, you can configure the Inbound ID and the Outbound ID on the link to control which user ID is stored in messages that flow between local and foreign buses.

The Inbound ID replaces the user ID in every message that flows across the link into the bus. The Inbound ID is used to control access to messages within the bus. You might want to configure an Inbound ID for the following reasons:

- The local bus and the foreign bus exist in separate security domains.
- The foreign bus is not secure.
- You can manage access control more easily when every message has the same user ID.

The Outbound ID replaces the user ID in every message that flows across the link out of the bus. You might want to configure an Outbound ID when you to prevent the original user ID from being carried in the messages on the foreign bus.

For more information, refer to [Securing links between messaging engines](#).

### **What level of data store security do I need?**

The messaging system can use a data store (database) to store messages on a disk. The messages in the data store might be protected by a username and password. You should consider whether this is sufficient security for your data store. Your data base might provide additional security options, for example data encryption. For more information, refer to [Securing database access](#).

## **Messaging security and multiple security domains**

When you secure a service integration bus, you assign it to a security domain that contains a set of security attributes. There are three types of security domain: global, cell level and custom. The type of security domain you use for a particular bus depends on your security requirements, the bus topology, and the versions of the bus members.

### **Global domain**

This is the default security domain, and contains the administrative security settings.

You must assign the bus to use the global domain if the following conditions apply:

- The bus contains a WebSphere Application Server Version 6 bus member, or might contain a Version 6 bus member in the future.
- The bus is used for administrative purposes, and must share the administrative security settings.

You might also choose to use the global security domain if you have a simple bus topology, and have no need to use multiple security domains.

## Cell level domain

Assigning the bus to the cell level domain enables the bus to use multiple security domains.

You might want to assign the bus to use the cell level domain if one of the following scenarios apply:

- Your company security policy requires that the administrative user repository is separate from the customer user repository. Using the cell level domain enables you to configure multiple sets of security attributes for administrative and user applications within a cell environment.
- For ease of configuration and maintenance, you want the bus, its user applications, and servers to share a common security configuration that is separate from the administrative security settings.

## Custom domain

You must assign the bus to a custom domain if the following scenarios apply:

- You want to guarantee that the bus and its user application can access the same user realm. In this case, the bus and the user applications use the same custom domain.
- You want the bus to use a user realm that is dedicated to messaging, and have a separate user repository each for administrative and customer accounts.
- You want the bus, and each of its user applications in separate domains. The application users can interact with the users of the bus domain, which acts as a bridge between the application domains. In this case, only the bus requires information about the users in each domain .

## Messaging security

Messaging security protects the service integration bus from access by unauthorized users.

### Client authentication

When a client application attempts to connect to a messaging engine, the client provides credentials to the server, and they are authenticated against the user registry. If the credentials are found in the user registry, the client application authenticates successfully, and proceeds to the authorization checks. If a Secure Socket Layers (SSL) connection is configured, JMS client applications can authenticate by using client SSL. This removes the need for the client to specify a user ID and a password.

### Authorization

When a connecting client application authenticates successfully, the messaging engine checks for authority to connect to the bus. Bus authorization is role-based. Specific roles are defined for each bus resource, and groups of users are added to roles. For example, if a client application belongs to a group that has been added to the bus connector role, the messaging engine grants the client application permission to connect to the bus. The messaging engine checks the set of roles defined for each bus destination to determine what action the client application can perform on the bus destination. By default, all local bus destinations can inherit a default set of roles. Inheritance of default roles can be overridden for a particular destination.

For publish subscribe, the messaging engine checks that the client has permission to access the topic space. If the Topic access check required attribute in the properties for a bus destination is set, the messaging engine additionally checks that client applications have permission to access the topic.

## Transport encryption

Finally, the security administrator must ensure the confidentiality and integrity of messages in transit, by, for example, configuring an SSL secure transport for every bus connection.

## Security event logging

Security events on a service integration bus are logged as audit or error records in the SystemOut.log file for the bus.

**Note:** This topic references one or more of the application server log files. Beginning in WebSphere Application Server Version 8.0 you can configure the server to use the High Performance Extensible Logging (HPEL) log and trace infrastructure instead of using SystemOut.log, SystemErr.log, trace.log, and activity.log files or native z/OS logging facilities. If you are using HPEL, you can access all of your log and trace information using the LogViewer command-line tool from your server profile bin directory. See the information about using HPEL to troubleshoot applications for more information on using HPEL.

A security event is the outcome of an attempt by a connecting client application to authenticate to a bus. There are two possible outcomes: authentication success, and authentication failure. Success is logged as an audit record, and failure as an error record. You can control the type and number of audit and error records logged for a bus by configuring a custom property for the bus, in the administrative console. The property is a name-value pair called `audit.bus.authentication` that can have one of the following three string values:

- all**     Audit every attempt to authenticate to the bus.
- failure**   Audit only failure to authenticate to the bus.
- none**     Do not audit any attempt to authenticate to the bus.

## Messaging security audit events

Messaging security audit events are produced when a messaging client sends or receives a message, or updates a service data object repository, and when a publisher submits a message to a topic.

To audit messaging security, you create security event type filters for the audit events produced during messaging operations. Details of the audit events produced are included in the following descriptions of auditable messaging operations:

- “A messaging client sending a message to a message destination”
- “A messaging client receiving a message from a message destination” on page 493
- “Messaging engines connecting to one another on the same bus” on page 493
- “Messaging engines connecting to one another on different buses” on page 493
- “A publisher sending a message to a topic” on page 494
- “A messaging client receiving a message from a subscription” on page 494
- “A cell administrator updates a service data object (SDO) repository” on page 495

### A messaging client sending a message to a message destination

The messaging security audit events `SECURITY_AUTHN`, `SECURITY_AUTHZ` and `SECURITY_AUTHN_TERMINATE` are produced when a messaging client sends a message to a message destination.

Audit events produced when a messaging client sends a message to a message destination are as follows:

1. The messaging client connects to a messaging bus:

- a. A SECURITY\_AUTHN event is produced when the identity of the messaging client connecting to the messaging bus is authenticated.
- b. A SECURITY\_AUTHZ event is produced when the identity of the messaging client is checked for access authority to the bus.
2. A SECURITY\_AUTHZ event is produced when the identity of the messaging client is checked for access authority to the destination queue.
3. One or more messages are sent from the client to the destination queue. No audit events are produced.
4. When the connection between a messaging client and a messaging bus is terminated, a SECURITY\_AUTHN\_TERMINATE event is produced.

To record these audit events, you create security event type filters for them.

### **A messaging client receiving a message from a message destination**

Messaging security audit events SECURITY\_AUTHN, SECURITY\_AUTHZ and SECURITY\_AUTHN\_TERMINATE are produced when a messaging client receives a message from a message destination.

Audit events produced when a messaging client receives a message from a message destination are as follows:

1. The messaging client connects to a messaging bus:
  - a. A SECURITY\_AUTHN event is produced when the identity of the messaging client connecting to the messaging bus is authenticated.
  - b. A SECURITY\_AUTHZ event is produced when the identity of the messaging client is checked for access authority to the bus.
2. A SECURITY\_AUTHZ event is produced when the identity of the messaging client is checked for access authority to the destination queue.
3. One or more messages are sent from the message queue to the client. No audit events are produced.
4. When the connection between a messaging client and a messaging bus is terminated, a SECURITY\_AUTHN\_TERMINATE event is produced.

To record these audit events, you create security event type filters for them.

### **Messaging engines connecting to one another on the same bus**

The messaging security audit events SECURITY\_AUTHN and SECURITY\_AUTHZ are produced when a messaging engine connects to another messaging engine on the same bus.

Audit events produced when a messaging engine connects to another messaging engine on the same bus are as follows:

1. A SECURITY\_AUTHN event is produced when the identity of the messaging engine connecting to the bus is authenticated by the destination messaging engine.
2. A SECURITY\_AUTHZ event is produced when the identity of the messaging engine is checked for access authority to the destination messaging engine.

To record these audit events, you create security event type filters for them.

### **Messaging engines connecting to one another on different buses**

The messaging security audit events SECURITY\_AUTHN and SECURITY\_AUTHZ are produced when a messaging engine connects to another messaging engine on a foreign bus.

Audit events are produced when a messaging engine connects to another messaging engine on a foreign bus as follows:

1. A SECURITY\_AUTHN event is produced when the identity of the messaging engine connecting to the bus is authenticated by the destination messaging engine.
2. A SECURITY\_AUTHZ event is produced when the identity of the messaging engine is checked for access authority to the destination messaging engine.

To record these audit events, you create security event type filters for them.

### **A publisher sending a message to a topic**

The messaging security audit events SECURITY\_AUTHN, SECURITY\_AUTHZ and SECURITY\_AUTHN\_TERMINATE are produced when a messaging client sends a message to a subscription topic.

The audit events produced when a messaging client sends a message to a subscription topic are as follows:

1. The messaging client connects to a messaging bus:
  - a. A SECURITY\_AUTHN event is produced when the identity of the messaging client connecting to the bus is authenticated.
  - b. A SECURITY\_AUTHZ event is produced when the identity of the messaging client is checked for access authority to the bus.
2. A SECURITY\_AUTHZ event is produced when the identity of the messaging client is checked for access authority to the subscription.
3. One or more messages are sent from the client and submitted to the subscription. No audit events are produced.
4. When the connection between a messaging client and a messaging bus is terminated, a SECURITY\_AUTHN\_TERMINATE event is produced.

To record these audit events, you create security event type filters for them.

### **A messaging client receiving a message from a subscription**

The messaging security audit events SECURITY\_AUTHN, A SECURITY\_AUTHZ, and SECURITY\_AUTHN\_TERMINATE are produced when a messaging client receives a message from a subscription.

Audit events are produced when a messaging client receives a message from a subscription as follows:

1. The messaging client connects to a messaging bus:
  - a. A SECURITY\_AUTHN event is produced when the identity of the messaging client connecting to the bus is authenticated.
  - b. A SECURITY\_AUTHZ event is produced when the identity of the messaging client is checked for access authority to the bus.
2. A SECURITY\_AUTHZ event is produced when the identity of the messaging client is checked for authority to receive the message. This check is only performed once per connection session, and the result is cached.

If changes are made to the access rights for the topic, or a new subscription is created that matches an existing topic (either exactly or as a result of a 'wildcard' match), the cache entry for the topic becomes invalid. Another check is made for authority to receive the message, and another SECURITY\_AUTHZ event is produced.
3. One or more messages are received by the client from a subscription. No audit events are produced.
4. When the connection between a messaging client and a messaging bus is terminated, a SECURITY\_AUTHN\_TERMINATE event is produced.

To record these audit events, you create security event type filters for them.



## A cell administrator updates a service data object (SDO) repository

The messaging security audit events `SECURITY_AUTHN`, `SECURITY_AUTHZ`, `SECURITY_MGMT_CONFIG`, and `SECURITY_AUTHN_TERMINATE` are produced when a cell administrator changes the contents of a service data object (SDO) repository in an import or remove operation.

Audit events produced when a cell administrator changes the contents of a service data object (SDO) repository in an import or remove operation are as follows:

1. The cell administrator connects to a messaging bus:
  - a. A `SECURITY_AUTHN` event is produced when the identity of the messaging client connecting to the Enterprise Java Bean container that includes the SDO repository is authenticated by the application server.
  - b. A `SECURITY_AUTHZ` event is produced when the identity of the messaging client is checked for access authority to the foreign bus.
2. A `SECURITY_MGMT_CONFIG` event is produced when messaging client issues a remove or import request that results in a change to the contents of the SDO repository.
3. When the connection between a messaging client and the foreign bus is terminated, a `SECURITY_AUTHN_TERMINATE` event is produced.

To record these audit events, you create security event type filters for them.

## Client authentication on a service integration bus

When a client application attempts to connect to a messaging engine on a secure service integration bus, the client application provides credentials to the server that are checked against the user registry.

Client authentication is one security mechanism for protecting the bus from unauthorized access, alongside authorization, and transport encryption. Client authentication is effective only when administrative security is enabled on WebSphere Application Server, and messaging security is enabled on the bus.

A connecting client application provides credentials that the server verifies against the user registry. The following types of credential are permitted:

- User ID and password
- X509 certificate

The security administrator specifies the type of user registry when configuring administrative security.

WebSphere Application Server Version 6 supports different types of user registry, including federated repositories.

WebSphere Application Server Version 7.0 or later can use the user registry from the administrative domain, or the bus or cell domains.

The bus security administrator checks that the credentials for the connecting client are valid in the user registry for the cell hosting the bus. If the server is enabled to allow a JMS client application to use Secure Sockets Layer (SSL) client authentication, a stand-alone Lightweight Directory Access Protocol (LDAP) user registry is required.

When application code in an EJB or web container invokes the JMS client, and accesses it as a J2EE Connector Architecture (JCA) resource, authentication is determined by whether the application code has been configured to allow container-managed or application-managed sign-on to resources. For further details, see Java EE connector security.

If an application fails to authenticate, a `JMSecurityException` is thrown.

## Role-based authorization

Service integration messaging security uses role-based authorization. By adding and removing users and groups in access roles you can control who has access to a secured bus and its resources.

When bus security is enabled, you must add users and groups to access roles to grant them authority to connect to the bus, and to work with its messaging resources, for example a destination or a topic space. You can administer users and groups in access roles either by using the administrative console, or by using wsadmin reference commands.

### Access roles

When you add a user to an access role, you grant that user all the security permissions contained within the role type. You can add users to the following access roles:

#### Connector role

Grants the user the permission to connect to the local bus.

#### Sender role

Grants the user the permission to send a message to a destination.

#### Receiver role

Grants the user the permission to receive a message from a destination.

#### Browser role

Grants the user the permission to browse messages on a destination.

#### Creator role

Grants the user the permission to create a temporary destination prefix.

## Users and groups

Any user or group that you want to add to an access role must have a definition in the user registry. A user that belongs to a group that has been added to an access role is authorized to carry out the operations permitted for that role.

There are three special types of groups:

#### All Authenticated

Contains all authenticated users. If the All Authenticated group is authorized to undertake an operation, then all authenticated users are authorized to undertake it. When a bus is created, an initial set of authorization permissions is created that allows all users in the All Authenticated group access all local destinations. You can change these permissions to restrict access to the specific users and groups that you want to connect to the bus.

#### Everyone

Contains all users whether or not they are authenticated.

#### Server

Contains every WebSphere Application Server within a cell.

## Messaging operations

When messaging security is enabled, all operations on the following resources require authorization:

**Buses** When a user connects to a local bus, the system checks that the user has authorization to connect to the bus. For a user who has already connected successfully to a local bus to send a message to a destination on a foreign bus, the user requires authorization to access the foreign bus.

## Destinations

Users require authorization to undertake messaging operations (typically send, receive, and browse) on a destination.

## Temporary destinations

A user must have the creator role to create a temporary destination. By default, the All Authenticated group have the creator role. When an authorized user (a client application) creates a temporary destination, a temporary destination prefix is specified. The messaging engine uses the temporary destination prefix at runtime to determine which operations the client application can perform. A client application that has the sender role for a temporary destination prefix is authorized to send messages to the temporary destination.

## Topic spaces and topics

To access a topic within a topic space, a user must be authorized to access both the topic space, and the specific topics within this topic space. To make topic authorizations easier to manage, a topic inherits authorization permissions from its parent in the topic namespace by default. You can change inherited permissions for any given topic, or you can disable inheritance at the topic space level for a given topic space. In this case, the system checks that the user is authorized to access the topic space, but no further checks are made at the topic level.

## Default authorization permissions

The default authorization permissions enable you to quickly grant access to all local destinations. Although the All Authenticated group has full access to all destinations, only the Server group has the bus connector role. If you want a particular user to access the bus, you must add that user to the bus connector role for the bus. When users have the bus connector role, they have full access to the bus.

The default permissions apply to all destinations in a local bus namespace, with the following exceptions:

- A destination for which inheritance is disabled
- Foreign destinations
- Alias destinations that have an alias bus name that is not the local bus name

## Destination security

When messaging security is enabled, the authorization policy for resources on the service integration bus is enforced, and client applications must have authority to access bus destinations.

## Destination authorization

Access to a destination is role-based. By assigning a group of users to a specific role for a specific bus destination, you authorize the group to undertake a specific operation on that bus destination. The roles for a destination depend on the type of destination:

### Sender

This role applies to alias, foreignDestination, port, queue, and topicSpace destinations.

### Receiver

This role type applies to alias, port, queue, and topicSpace destinations.

### Browser

This role type applies to alias, port, and queue destinations.

### Creator

This role applies to temporary destinations only.

You define destination role assignments on the bus that owns the destination. If a message is routed between two or more destinations, the members of a group require authority to access each of the destinations.

## Temporary destination authorization

A temporary destination prefix, which is specified when a temporary destination is created, is used at runtime by the messaging engine to determine access authority for the temporary destination. When a temporary destination is created, the identity of its creator is assigned to the creator role for the temporary destination prefix. By default, all authenticated users can create temporary destinations. To grant the members of a group access to a temporary destination, you must assign the group to the sender role for the corresponding temporary destination prefix.

## Multiple destinations authorization

When a message arrives at a destination, it might be routed onwards to one or more destinations before it is received by an application. This might happen, for example, if there is a mediation on the first destination. When the message arrives at the first destination, the messaging engine checks that the identity of the sending application has authority in the sender role to send messages to the destination, and adds the identity of the sender to the message. If the message is routed on to another destination, the messaging engine checks that the sender identity in the message has authority in the sender role for the destination to which it is being routed. The messaging engine continues to check the authority of the sending application along the forward routing path of the message until it arrives at a mediated destination. The mediation might (but does not always) replace the sender identity in the message with the mediation identity. If this happens, the messaging engine uses the mediation identity to check the authority of the sender to send to the next destination in the forward routing path, not the identity of the original sender.

## Inheritance of security authorization

A destination can inherit role assignments from the default resource. Only role types that are permitted for a particular destination type can be inherited. For example, a queue destination can inherit the browser role from the default resource. Inherited role assignments are added to any existing role assignments for a destination. For example, a queue destination that has members of a group called Group 1 in the sender role, can inherit Group 2 in the sender role for the default resource.

## Mediations security

When bus security is enabled, authorization permissions are required to ensure that mediations can run, and undertake messaging operations securely on a service integration bus. There are mechanisms for mediations security, and implications for running mediations on a bus that spans multiple security domains.

When bus security is enabled, the messaging engine must be authorized to access the mediation. Authorization is granted by using a mediations authentication alias or an LTPA token, depending on the version of the bus member:

- A WebSphere Application Server Version 7.0 or later bus member uses an LTPA token for messaging engine authentication. If an authentication alias is specified, it is used but a password is not required.
- A WebSphere Application Server Version 6 bus member requires an authentication alias to ensure that the mediation can be called. For more information, see *Configuring the bus to access secured mediations*.

When an application sends a message to the bus, the identity of the sender application is associated with the message. The message is sent to the next destination in the forward routing path providing the message originator has Sender authority for that destination. If a mediation processes the message in some way at the target destination, the identity associated with the message is preserved by default. You can program the mediation to reset the message identity to the identity under which the mediation code runs. For example, if the mediated destination represents the boundary between two security domains, the sender application is not authorized to access the mediated destination. By translating different identities into a single user identity, you can control access between security domains. For more information about programming mediations, see “Mediation programming” on page 561. For more information about using the `resetIdentity()` method, see `SIMediationSession` .

When you install a mediation for use when bus security is enabled, you must ensure that the identity that is used by the bus to call the mediation can access the mediation. By default, a mediation is unauthenticated. You can configure it to use the mediations authentication alias by specifying a RunAs role by using the assembly tools. For more information, see [Configuring an alternative mediation identity for a mediation handler](#).

If bus security is enabled, and a mediation is sending messages to a destination, the mediation identity requires authority to access the destination. For more information, see [Administering authorization permissions](#). Any new messages sent by the mediation are sent using the mediation identity.

If administrative security is disabled, an identity is not configured for the mediation. If bus security is enabled, and administrative security is disabled, the mediation is not authenticated to access bus destinations.

## Using mediations in multiple security domains

You can run mediations successfully in a bus topology where the members of a bus span multiple security domains. The bus security configuration provides an option, called **addUserServerIdForMediations**, to allow mediations to run under a server identity. In this case, a mediation authentication alias is not required.

Mediations are deployed as applications, and run in the domain used by the application server, not the bus domain. Because the mediation authentication alias applies to the whole bus, if you run a mediation on multiple servers in different domains, you must ensure that the user identity in the mediation authentication alias exists in the configuration for each domain. Alternatively, you can choose to use the server identity option. You can use this option even if multiple domains are not in use.

## Topic security

Client applications publish and subscribe to a topic. When messaging security is enabled, client applications require authority to access a topic.

Topics are contained within a topic space, which is a type of destination. Within the topic space, topics are organized into one or more topic hierarchies, based on the topic names. The topic hierarchies are joined to a *virtual root* that is created when the topic space is created. A topic is created within the topic space when a client application publishes to the topic.

When a connection accesses a topic, an access check is performed to ensure that the user associated with the connection has permission to access the topic space destination that contains the topic. A second check is performed to ensure that the user also has access to the topic itself, within the topic hierarchy owned by the topic space destination. This allows for finer-grained control of access to topics, as shown in the diagram below. The diagram shows a topic space called *tSpace1* that contains two topic hierarchies, called *sports*, and *cars*.

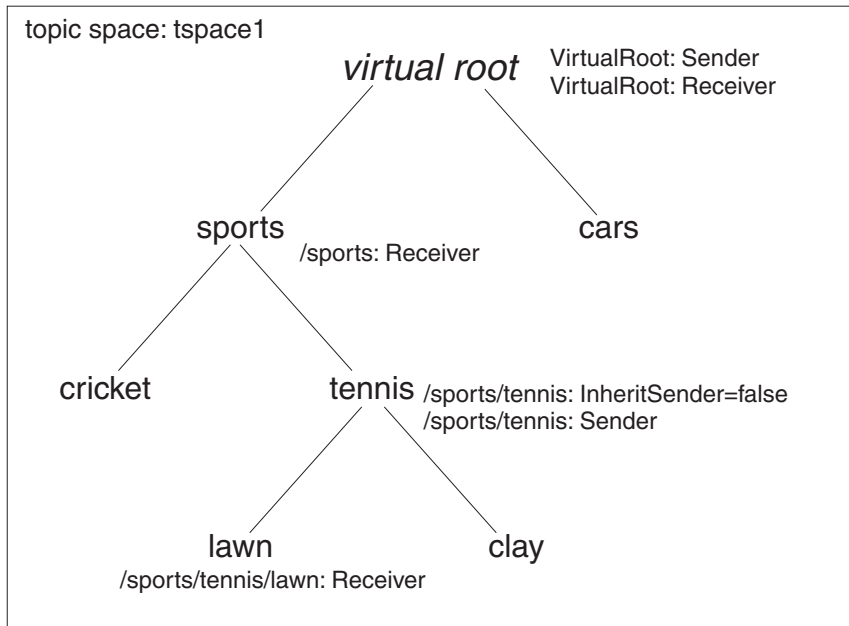


Figure 99. Example of a topic space with topic-level authorization

**Note:** A connection must have authority to access the topic space destination, regardless of any access it has been granted within the topic hierarchy owned by that topic space destination.

Each bus can contain more than one topic space. Each topic space is independent of other topic spaces on the bus. Topics in separate topic spaces are not related, even if they have the same name. Consider, for example, a bus that contains two topic spaces called *tspace1* and *tspace2*, and each topic space contains a topic called *cars*. If a client application subscribes to *cars* in *tspace1*, it can only receive messages published to the *cars* topic in *tspace1*.

## Role-based authorization and topic inheritance

Topic security is based on role-based authorization. For further information, see “Role-based authorization” on page 496. To make it easier to administer security authorization for a large number of topics, the access role defined for a topic contains the access authority for the topic itself and, by default, for any topic below it within the topic hierarchy. A topic therefore inherits roles from the parent topic. An access role defined on the virtual root therefore contains, by default, access authority for every topic in the topic space. In the example given above, the sender and receiver roles have been defined on the virtual root of topic space *tspace1*.

You can define new roles for a topic, and you can disable topic role inheritance for any topic in the topic hierarchy. New roles are added to any roles that the topic inherits from its parent topic. The figure above contains the following examples of how topic role inheritance works:

- The topics *sports*, *cricket* and *cars* topics inherit the sender role defined on the virtual root, but inheritance of the sender role for the topic *tennis* is disabled. This means that the topic *tennis* and its children cannot inherit the sender role from the virtual root. The sender role is defined for the topic *tennis* itself. The messaging engine checks that a connecting client application has authority in the sender role for the topic *tennis* and its children.
- Every topic in the hierarchy inherits the receiver role from the virtual root. The *sports* topic also has its own receiver role. The messaging engine performs additional checks where additional access roles exist. The children of the topic *sports* inherit the receiver role from the parent topic, and from the virtual root. The *lawn* topic also has its own receiver role.

You can define access roles for a topic before the topic is created at runtime. Note that the topic can inherit roles from its parent unless you explicitly disable topic inheritance.

## Subscription authorization

When a client application creates a subscription for a selected topic, the messaging engine checks that the client has authority in the receiver role to receive messages published to the selected topic. A subscription can be for a single topic, or by using wild cards in the topic specification, for multiple topics. In either case, when a message arrives for the subscription, the messaging engine checks that the client application has authority in the receiver role for the selected topic. When a client application creates a subscription for a single topic, the messaging engine checks that the client has authority in the creator role to create a subscription.

A *non-durable* subscription exists for the duration of the subscriber session. This means that the subscription ceases to exist when the subscriber session ends. A *durable* subscription continues to exist after the subscriber session ends. This means that the subscription continues to collect messages on the topic or topics when the subscriber is not connected to the bus. The subscriber can restart the subscription, and collect the messages. Only the client application that created the durable subscription can restart it. This allows the messaging engine to check for access authority for messages that are collected on the topic when the subscription is inactive.

## Access control for multiple buses

The messaging engine on a service integration bus uses role-based authorizations to ensure that local buses can exchange messages securely with foreign service integration buses, and with WebSphere MQ.

Service integration authority is role-based. By assigning a group of user identities in the user repository to one or more access roles for a destination on a local bus, you can control who has authority to access, and undertake operations, on that bus destination. The messaging engine uses the role assignments at runtime to determine which operations group members can undertake on the destination. If a client application needs to exchange messages with another bus, you need to assign the identity of the client application to the sender and receiver roles for the remote (foreign) bus. When the client application sends a message from the local bus destination to the foreign bus destination, the messaging engine performs a two-stage check on the authority of the identity of the client application:

### First stage authorization check

When the client application sends a message from the local destination, the messaging engine checks that the identity of the client application has authority in the sender role for the foreign bus destination.

### Second stage authorization check

When the foreign bus destination receives the message, the messaging engine checks that the message identity (which is initially set to the identity of the sending client application) has authority in the sender role for the foreign bus destination.

Messages are sent to a foreign bus destination by using either a foreign bus destination proxy definition, or a foreign bus definition. The definition contains the authority that determines whether the sender is authorized to send messages to the foreign bus. Foreign destination definitions enable you to grant authority on a destination by destination basis. If a foreign destination definition does not exist for a specific destination, the messaging engine uses the default foreign bus definition.

It is important to understand that it is the role assignments for the foreign bus, or foreign bus destination, that control whether a client application can send messages to the foreign bus. When the foreign bus receives a message, the messaging engine on the foreign bus uses the foreign bus role assignments to check whether the message can proceed to the foreign bus destination.

For the second stage authorization check, the messaging engine uses the identity that is stored in the message. This is initially set to the identity of the sending client application, but it might be superseded on the foreign bus destination by values specified by the Inbound user ID or Outbound user ID properties. In this case, the messaging engine uses the Inbound or Outbound user ID to undertake its authorization checks on the foreign bus, not the identity of the original sending client application.

**Important:** If users or groups have the bus connector role for a foreign bus, you must take special care when assigning access roles. In particular, you must check for the following to ensure message delivery between an MQ Link and foreign buses:

- The sending client application must have authority in the sender role for the appropriate foreign bus destinations.
- The foreign bus destinations must exist.

If message delivery is interrupted, it is difficult to diagnose and resolve the problem.

The checks on Inbound and Outbound user IDs also apply when messages are routed through multiple buses, and when messages are sent to a WebSphere MQ network.

**Tip:** You specify Inbound and Outbound user IDs when you create a foreign bus connection or maintain the routing properties for the link to a foreign bus.

If secure buses are linked, the link between them should be secure. To protect data transmitted along the virtual link between buses by using SSL, you must define the required transport chains and then reference the transport chain name.

## Message security in a service integration bus

The transport policy for a service integration bus controls which transport mechanisms a remote client application can use to connect to the bus.

You can configure one of the following transport policies for a bus, providing the bus members are at WebSphere Application Server Version 6.1 or later:

### All defined transport channel chains

Connecting client applications can use any transport channel chain, including unsecured ports. This is the default policy when you create a new bus with security disabled.

### Transport channel chains that are protected by SSL

Connecting client applications can only use transport chains that use the Secure Sockets Layer channel. This is the default policy when you create a new bus with security enabled.

### Transport channel chains in the list of permitted transports

Connecting client applications can only use the transport channel chains in a list of specific transports. This provides the highest level of control because the bus allows access only to the permitted transports.

You can configure the transport policy for the bus by using `wsadmin` commands, or the administrative console. The transport policy is independent of the bus security configuration, so you can configure a transport policy for a bus when security is disabled. Note that by default, if a newly created bus is not secured, a remote client application can use any transport channel chain to access the bus. If a newly created bus is secured, by default a remote client application can only use SSL protected channel chains to access the bus. If you want to control exactly which transport channel chains are available for use, configure the permitted transports policy.

The permitted transport policy provides the following benefits:

- You do not have to disable transport channel chains to prevent remote client applications from using them to connect to the bus.



- You do not have to disable transport channel chains before adding a new server as a bus member.
- Buses that have different transport channel chain requirements can share the same server.

If the permitted transports policy is in use but an inter-bus communications protocol has not been specified, the InboundSecureMessaging port is used instead of the InboundBasicMessaging port. You must ensure that you add the InboundSecureMessaging port to the list of permitted transports. You can override the default by configuring an inter-bus communication protocol for the bus.

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## High availability and workload sharing

Use these topics to learn about high availability and workload sharing.

- “WebSphere Application Server high availability”
- “Workload sharing”
- “High availability” on page 523
- “Service integration high availability and workload sharing configurations” on page 526

### WebSphere Application Server high availability

The WebSphere Application Server high availability framework eliminates single points of failure and provides peer to peer failover for applications and processes running within WebSphere Application Server. The WebSphere Application Server high availability framework also allows integration of WebSphere Application Server into an environment that might be using other high availability frameworks, such as HACMP, in order to manage non-WebSphere Application Server resources.

A WebSphere Application Server cell (the main administrative domain) consists of one or more server processes, which host resources such as applications or messaging engines. The cell is partitioned into groups of servers known as core groups, which are defined by the user. Each core group has its own high availability manager and operates independently of other core groups. Core group boundaries do not overlap. Within each core group are dynamic logical groupings of servers known as high availability groups. The HAManager determines the membership of the HAGroups at run time. Each core group can have a number of policies, which apply to particular HAGroups and determine the high availability behavior of resources running within the HAGroup.

See `../ae/trun_ha_environment.dita` for more information about WebSphere Application Server high availability in general.

### Workload sharing

You can configure multiple service integration messaging engines to distribute workload across multiple application servers. This allows you to scale out your system to handle larger workloads.

#### Workload sharing with queue destinations

If you add a server cluster to a service integration bus and deploy one or more messaging engines to the cluster, you can configure the cluster bus member for scalability. The messaging engines in the cluster bus member share the messaging workload associated with queue destinations deployed to the cluster.

See “Service integration high availability and workload sharing configurations” on page 526 for more information about configuring messaging engines to share workload.

When you deploy a queue destination to a cluster, the queue is automatically partitioned across the set of messaging engines that is associated with the cluster.

- If there is only one messaging engine in the cluster, the destination is localized by that messaging engine. The destination is not partitioned.
- If there is more than one messaging engine in the cluster, the destination is partitioned across all messaging engines in the cluster. Each messaging engine deals with a subset of the messages that the destination handles.

The availability characteristics of a partition are the same as those of the messaging engine it is localized by.

**Note:** If a producing or consuming application uses an alias destination that is configured to a subset of queue points, the following behavior applies to the subset of queue points, not the entire set of queue points of the target queue destination.

### Sending messages to a partitioned queue destination

Typically, you create scalable cluster bus member and partition a queue destination if a single server cannot support the message processing load for the queue. To be used effectively, a partitioned queue requires multiple consumers with at least one consumer consuming from each partition. A typical use is a cluster of message-driven beans (MDBs). For details about how an MDB consumes from a clustered destination, see “How a message-driven bean connects in a cluster” on page 232.

### The default behavior of the messaging system if the producing application is connected to a messaging engine of a cluster bus member that hosts the queue destination

By default, the producing application prefers to send all its messages to the local queue point. This behavior maximizes performance of message delivery by minimizing the distance the message has to travel to the queue point.

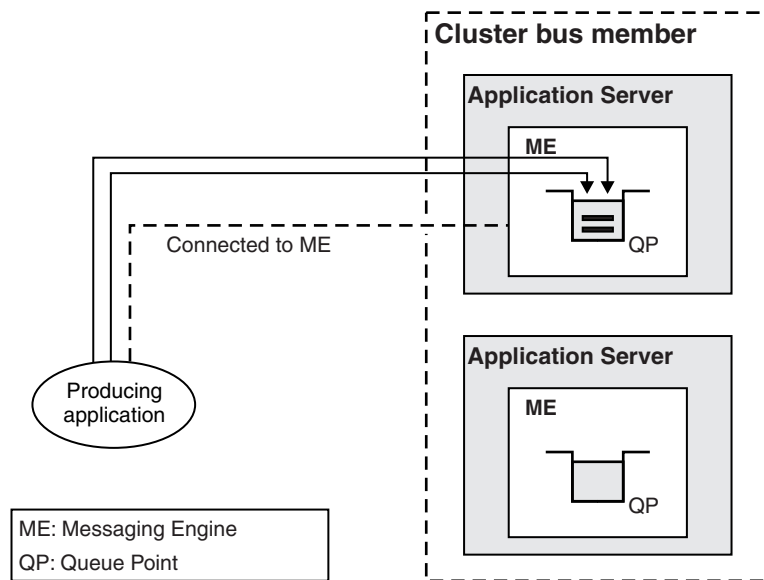


Figure 100. Default behavior: messages are sent to the local queue point

If the local queue point is not available, the messages are processed as though no local queue point exists. A queue point is not available to new messages if:

- The messaging engine that owns the queue point is not available (for example, the messaging engine is stopped).
- The queue point reaches its high message threshold.
- The queue point has had the ability to send messages to it disabled.

### The default behavior of the messaging system if the producing application is connected to a messaging engine of a bus member that does not host the queue destination

By default, the messaging system workload balances the messages across the available queue points.

In this figure, a producing application is connected to a messaging engine of a bus member that does not host the queue destination, with its messages workload balanced across the available queue points.

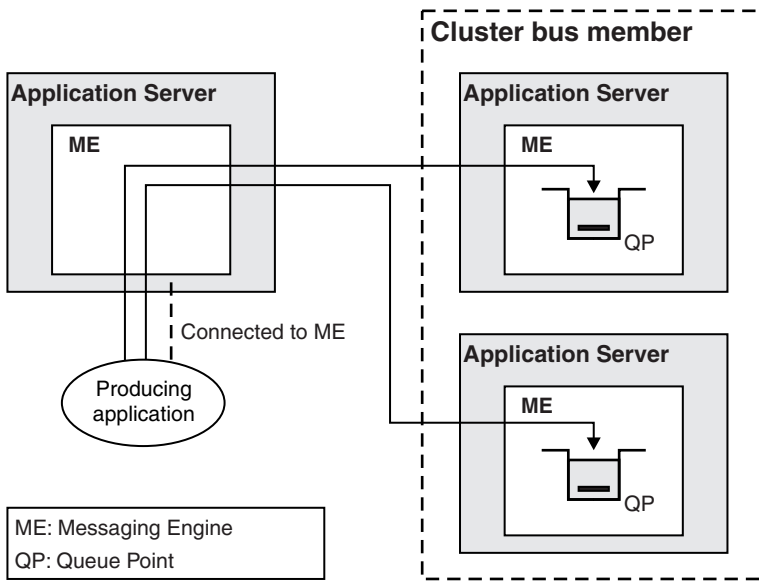


Figure 101. Default behavior: messages are workload balanced across all queue points

### Configurable behavior when the producing application is connected to a messaging engine of a bus member that hosts the queue destination

If you want all the messages from the producing application to be workload balanced across all queue points of a queue destination, even when connected to a messaging engine with a queue point, consider disabling the default **Prefer local queue point** configuration option on the message producer. This option is available to JMS message producers and messages inbound from foreign bus connections that use WebSphere Application Server Version 7.0 or later.

In this figure, a producing application is connected to a messaging engine of a bus member that hosts the queue destination. The producing application has the “prefer local queue point” option disabled. Its messages are workload balanced across all queue points.

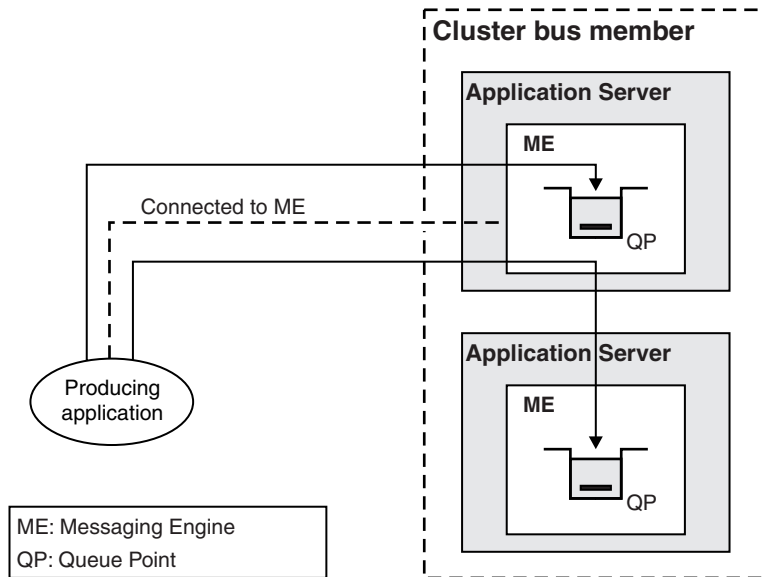


Figure 102. Disable Prefer local queue point: messages are workload balanced across all queue points

However, if a number of producing applications' connections are also workload balanced across all messaging engines in the bus member, consider retaining the default **Prefer local queue point** behavior, for performance reasons. This is because the default behavior:

- Workload balances messages from all producing applications across all queue points
- Minimizes the need to send messages from the connected messaging engine to another messaging engine in the same cluster bus member

#### Configurable behavior when the producing application is connected to a messaging engine of a cluster bus member that does not host the queue destination

If you want to send all messages produced during a single session of an application producer to the same queue point, you can configure **Message affinity**. The messages are not then workload balanced across multiple queue points.

Consider configuring **Message affinity** for an application if you want to send sets of messages to the same queue point to be processed in order, by a single instance of a consumer. The system selects the single queue point to which all the messages are sent, based on the **Prefer local queue point** configuration option for that application producer. This option is available to JMS message producers and messages inbound from foreign bus connections that use WebSphere Application Server Version 7.0 or later.

In this figure, a producing application connects to a messaging engine of a bus member that does not host the queue destination. The producing application has message affinity configured. All messages are sent to one queue point.

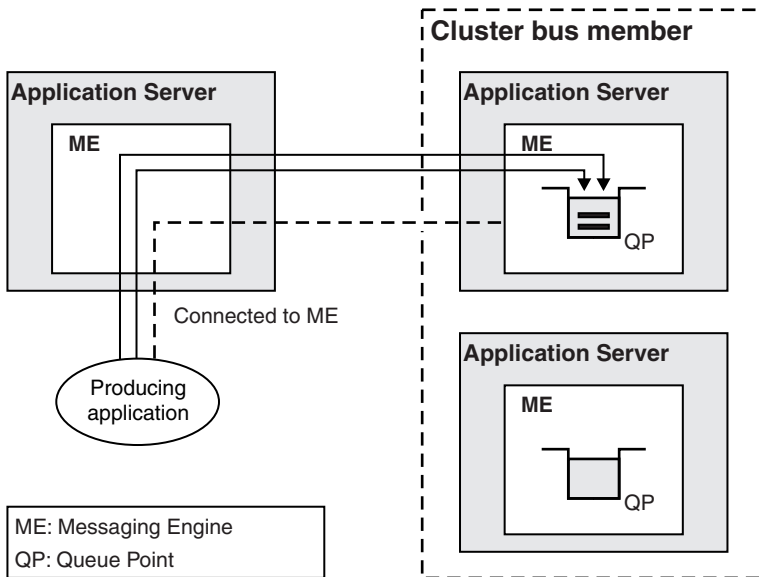


Figure 103. Message affinity: All messages produced are sent to the same queue point

If the selected queue point becomes unavailable, any further messages sent from this application are queued in transit to the selected queue point or the send operation is rejected. This behavior corresponds to sending messages to a queue destination with a single queue point.

### Consuming messages from a partitioned queue destination

When a consumer session is created, the consumer is bound to one partition of the destination. If the consumer is connected to a messaging engine that has a local partition of the destination, the consumer is bound to that partition. If the consumer is connected to a messaging engine that does not have a partition of the destination, the consumer is bound to a partition in another messaging engine selected dynamically by the workload manager. Once bound, a consumer receives messages from the bound partition only. By default, if the partition to which a consumer is bound does not have any messages, the consumer does not receive messages from alternative partitions, even if such partitions contain messages.

If you configure a partitioned destination in a cluster that does not have local consumers, it is important to have at least one consumer for each partition of the destination, to ensure that all messages are consumed. You can achieve this by targeting individual consumers to connect to specific messaging engines that have a queue point. MDBs are a specific type of message consumer. For details of their behavior when consuming from a partitioned destination, see “How a message-driven bean connects in a cluster” on page 232.

If you want a consumer to receive messages from all available queue points of a destination, you can configure the message consumer.

If there is a high number of small messages and the MDBs do only a small amount of processing, you can use workload balanced messaging engines with partitioned queues, as described in this topic. If, however, the MDBs do a greater amount of processing of a lower number of messages, you might need only one messaging engine, but you need to deploy the MDBs to as many servers as possible, whether or not those servers have messaging engines, and even if those servers are not members of the same cell. A typical situation is when MDBs update a user database. For details about configuring MDB deployment across multiple servers, see Configuring MDB throttling for the default messaging provider.

### The default behavior of the messaging system when a consumer consumes from a partitioned destination

When a consuming application's session is created, the session is associated with one of the queue points of the queue destination. If the queue destination has multiple queue points, the system chooses one. By default, the messaging system prefers to associate the consumer with the local queue point on the connected messaging engine. If there is no available local queue point on the connected messaging engine, the system chooses another queue by using the WebSphere Application Server workload manager.

The default behavior tries to maximize performance of message consumption from queue points by limiting the messages available to the consumer to those on the associated queue point of the consumer. The consumer cannot consume messages from other queue points, even if its associated queue point has no messages, but other queue points have messages.

In this figure, a consuming application is connected to a messaging engine with no local queue point. Only messages from the one associated queue point are consumed.

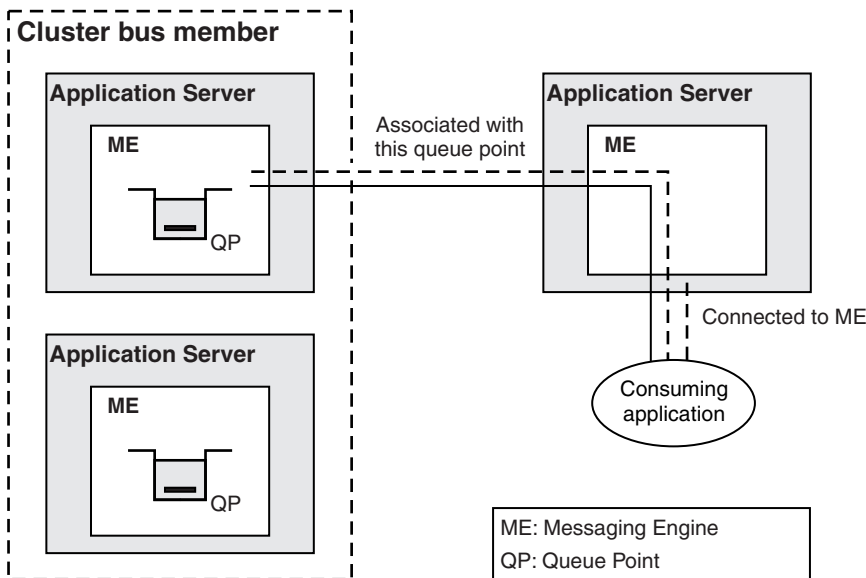


Figure 104. Default behavior: only messages from the associated queue point are consumed

### Configurable behavior of the messaging system when a consumer consumes from a partitioned destination

You can configure a message consumer so that its associated queue point gathers messages from all available queue points of a destination and makes them visible to the consumer.

Consider configuring **Message gathering** if you want a consumer to treat a partitioned queue as a queue that is not partitioned. However, gathering messages from multiple queue points is significantly slower than consuming from a single queue point. So, if possible, reconfigure the destination to have a single queue point, or use an alias destination to restrict message producers and consumers to a single queue point. If you require scalability of multiple queue points and performance is important, consider alternative solutions to gathering messages.

In **Message gathering** mode of operation, the consumer might not see messages in the order in which they are held on the queue points. Therefore, message order is not maintained.

This option is available to JMS message producers and messages inbound from foreign bus connections using WebSphere Application Server Version 7.0 or later.

In this figure, a consuming application connects to a messaging engine with no local queue point. The consuming application has message gathering enabled. The associated queue point gathers messages from all available queue points of a destination and makes them available to the

consumer.

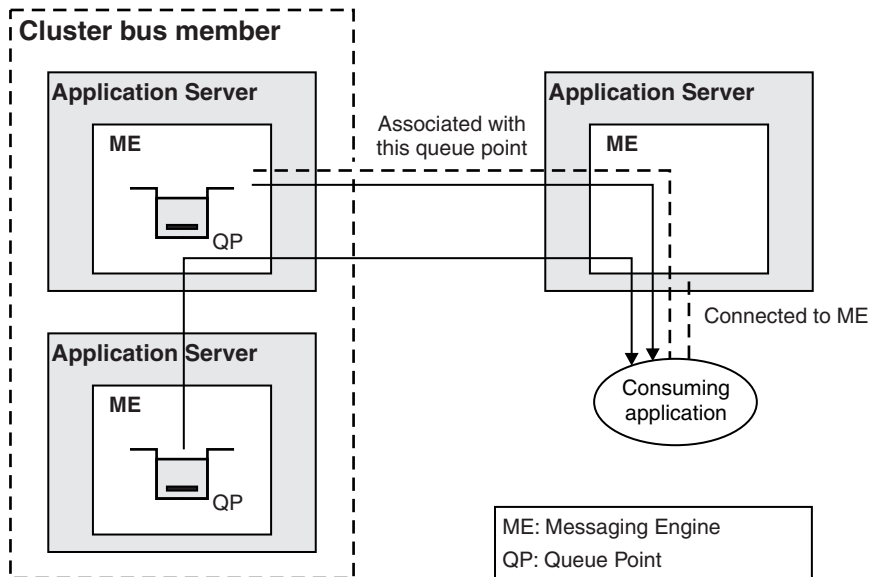


Figure 105. Message gathering: messages are consumed from all queue points

**JMS request and reply messaging with cluster bus members:**

A typical JMS messaging pattern involves a requesting application that sends a message to a JMS queue for processing by a messaging service, for example, a message-driven bean.

When the requesting application sends the request message, the message identifies another JMS queue to which the service should send a reply message. After sending the request message, the requesting application either waits for the reply message to arrive, or it reconnects later to retrieve the reply message.

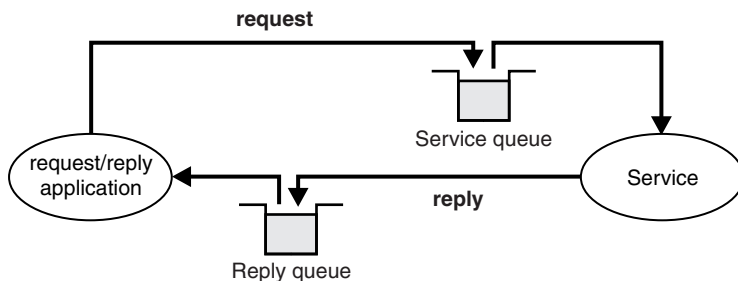


Figure 106. Typical JMS messaging pattern

The request and reply pattern requires the following conditions:

- The requesting application can identify, in the requesting message, where the service must send the reply message.
- The requesting application can consume the reply message from the reply location.

A JMS queue can refer to a service integration bus destination that is defined on a server bus member or cluster bus member.

- If the bus member is a server (which can have only one messaging engine), or a cluster with a single messaging engine, a JMS queue identifies a single service integration bus queue point.

- If the bus member is a cluster with multiple messaging engines (typically, to provide workload sharing or scalability), a JMS queue identifies multiple queue points; one on each messaging engine in the bus member.

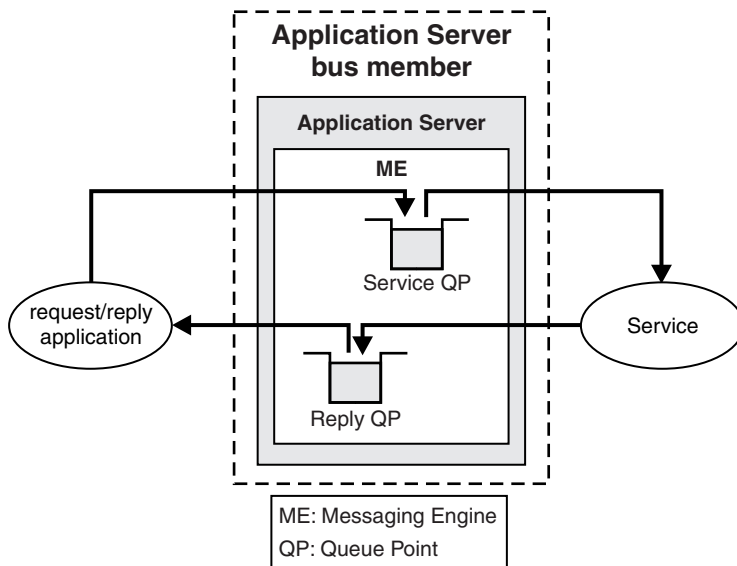


Figure 107. A service integration bus queue destination defined to an application server bus member

The following behavior occurs by default:

- The queue point that an application sends messages to, or receives messages from, is determined by the messaging system.
- During its lifetime, a consumer, in this case a JMS message consumer, consumes from only one queue point.

This request and reply behavior allows a reply message to be sent to a different queue point from the one on which the requestor is waiting for it. This can result in the reply message not being received.



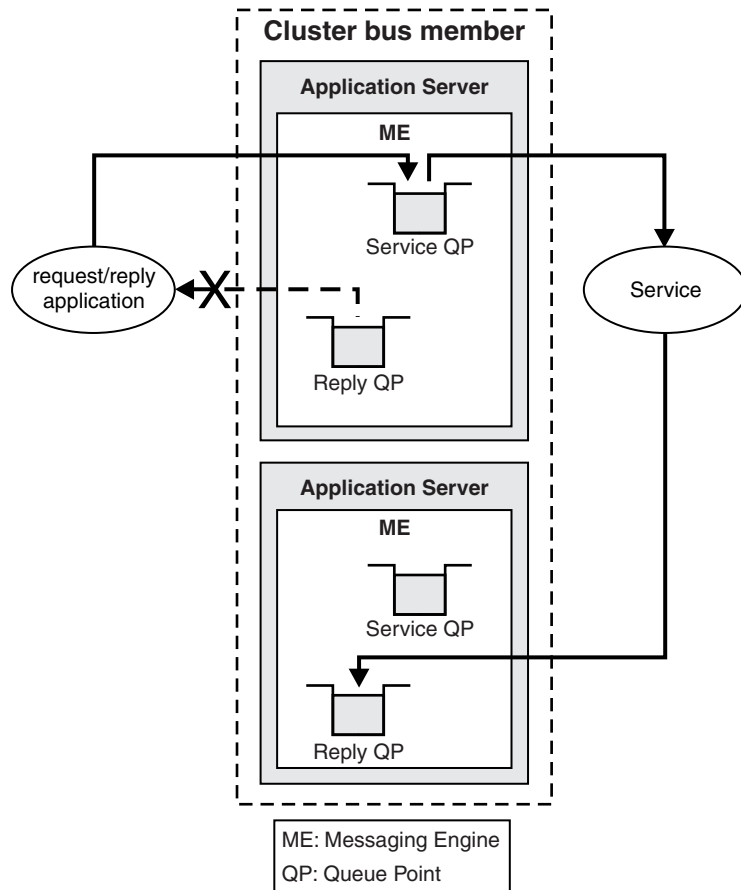


Figure 108. A service integration bus queue destination that is defined to a cluster bus member with two messaging engines

To overcome this situation, there are various options when you configure the service integration bus system or the JMS applications:

- Use a temporary queue as the reply queue.
- Use a scoped service integration bus alias destination to restrict messages to a single queue point.
- Restrict reply messages to the queue point that is local to the requesting application.
- Configure the requestor to consume messages from all queue points simultaneously.

Consider the advantages and disadvantages of each option and the requirements of your application, before you choose an approach.

## Summary

Use the simplest solution that satisfies the requirements of the request/reply scenario. For example:

- If reply messages are required only while the requesting application is initially connected, use non-persistent messages and temporary queues. Also consider setting a **timeToLive** of the initial request message, if the requesting application will wait for a reply for only a finite time.
- If a single queue point (and its messaging engine) can handle all the reply message traffic for the requesting applications, but a cluster bus member with multiple messaging engines is required for other messaging traffic (for example, the request messages), use a service integration bus alias destination to scope the messages to that single queue point.

If necessary, you can combine these options to achieve the solution that best satisfies the requirements of your application and has the best performance and scalability.

For example, if requesting applications typically receive their reply messages during the initial connection but under certain rare conditions (for example, a failure) they have to reconnect to receive the reply, the following approach might be suitable:

- Enable the **scopeToLocalQP** option of the JMS queue, and allow the requesting application to connect to any of the messaging engines in the cluster bus member (that is, target the JMS connection factory at the bus member). This allows the connections to be workload balanced but restricts reply messages to the local queue point. The result is that reply messages can be found while using the same connection to receive the reply that was used to send the request.
- When re-connecting after a failure, enable the **Message gathering** option on the JMS queue so that the reply message can be received from wherever it is held.

This approach enables dynamic workload balancing of the requesting applications and minimizes the performance implications of message gathering by reducing its use to failure scenarios.

*Using a temporary queue as a reply queue:*

JMS can create a temporary queue dynamically for use as a reply queue. You can use this to ensure that a reply message is sent to the appropriate queue point for a cluster bus member.

This temporary JMS queue uses a temporary service integration bus queue. Temporary service integration bus queues have only one queue point, irrespective of the number of messaging engines in the bus member. This queue point is created on the messaging engine to which the creating JMS application is connected.

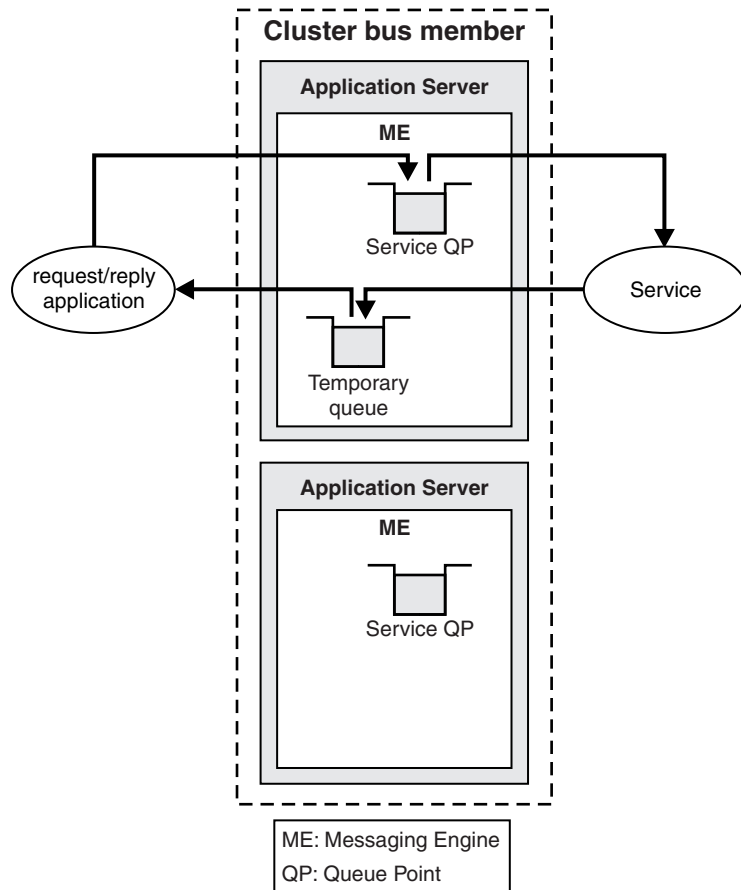


Figure 109. Temporary queue point on the local messaging engine for the requesting application

Therefore, for a cluster bus member with multiple messaging engines (typically, to provide workload management or scalability), you can use a temporary queue to avoid situations where reply messages are sent to the wrong queue point.

This approach has the following advantages:

- It is simple to use.
- No further configuration of the service integration bus or JMS system is required.
- If the JMS connections of a number of requesting applications are workload balanced across the messaging engines in a cluster bus member, the temporary queues are workload balanced across these messaging engines.

This approach has the following disadvantages:

- The reply queue is temporary. When the creating application closes the JMS connection, or the messaging engine is stopped, the reply queue, any messages on it, and any messages on their way to it, are deleted. Therefore, when the JMS application disconnects, it cannot reconnect later to receive the reply message.

Therefore, it is appropriate to use a temporary queue for a cluster bus member with multiple messaging engines only if it is acceptable to lose the reply messages if the application or system stops. If this approach is not acceptable, the following options allow applications to reconnect and process reply messages:

- Use a scoped service integration bus alias destination to restrict messages to a single queue point .
- Restrict reply messages to the queue point that is local to the requesting application.

- Configure the requestor to consume messages from all queue points simultaneously.

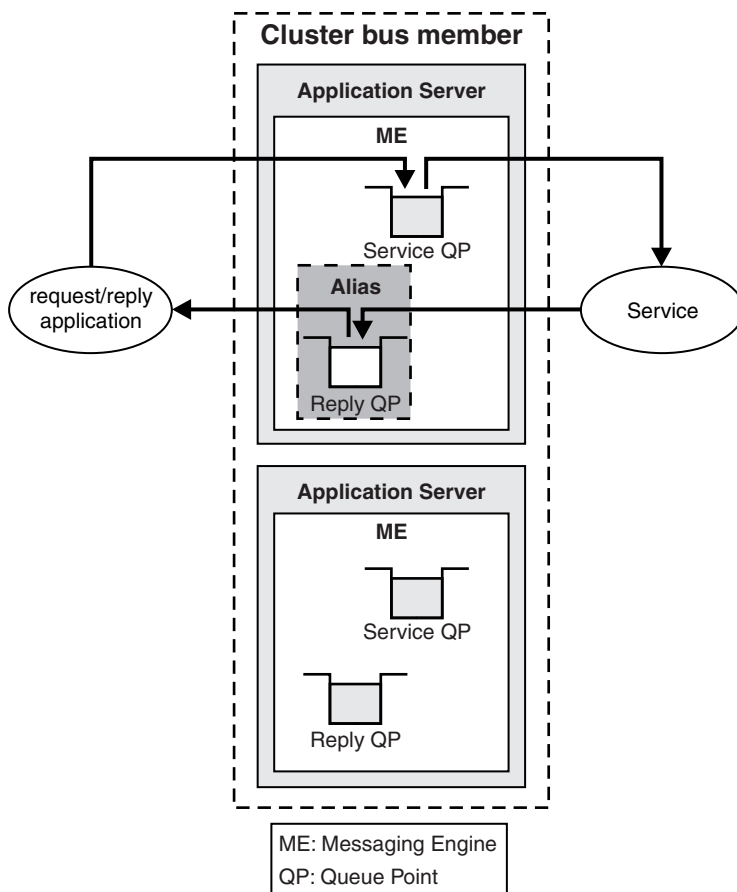
*Using a scoped service integration bus alias destination to restrict messages to a single queue point:*

You can use a service integration bus alias destination to target a service integration bus queue that has multiple queue points. You can do this to ensure that a reply message is sent to the appropriate queue point for a cluster bus member.

A service integration bus queue has multiple queue points if it is owned by a cluster bus member with multiple messaging engines (typically, to provide workload sharing or scalability).

To restrict messages to a single queue point in this way, you must configure the alias destination to scope the targeted queue down to a single queue point (see Alias destination [Settings]).

If you configure a JMS queue to use such an alias destination, all messages that are sent to the JMS queue are sent to, or received from, the single queue point. Using such a JMS queue as a reply queue to avoid situations where reply messages are sent to the wrong queue point.



*Figure 110. Using a scoped service integration bus alias destination to restrict messages to a single queue point*

It is good practice to make the messaging engine that owns the queue point to which the alias destination is scoped, highly available.

This approach has the following advantages:

- It is simple to configure.

- A requesting application can reconnect to any messaging engine (even a messaging engine that is not on the bus member that owns the reply queue) and locate its reply messages.
- All messages are sent to the same queue point, simplifying monitoring of the system.

This approach has the following disadvantages:

- Sending all reply messages to the same queue point removes any workload balancing advantages of the cluster bus member for this message traffic (see Refinement).
- Reply messages received by applications that are not connected to the messaging engine that owns the scoped queue point must be transferred between messaging engines. This increases the message route.

## Refinement

You can improve the workload balancing of the system by configuring a scoped alias destination (and accompanying JMS queue) for each queue point of the reply queue, and then sharing requesting applications across these alias destinations. If the requesting application intends to disconnect and reconnect before receiving the reply message, it must use the JMS queue/alias destination that it set as the `JMSReplyTo` destination in the request message.

*Restricting reply messages to the queue point that is local to the requesting application:*

When a JMS queue identifies a service integration bus queue as the reply queue, and that service integration bus queue has multiple queue points, you can configure a JMS queue to restrict the messages to the queue point that is local to the application that referenced the JMS queue. You do this to ensure that a reply message is sent to the appropriate queue point for a cluster bus member.

A service integration bus queue has multiple queue points if it is owned by a cluster bus member with multiple messaging engines (typically, to provide workload sharing or scalability). The local queue point is the queue point on the messaging engine to which the application is connected.

Example: A JMS queue called Reply is configured to identify the service integration bus queue that is to be used as the reply queue. The JMS queue is configured to restrict the messages to the local queue point (see the related tasks for more details).

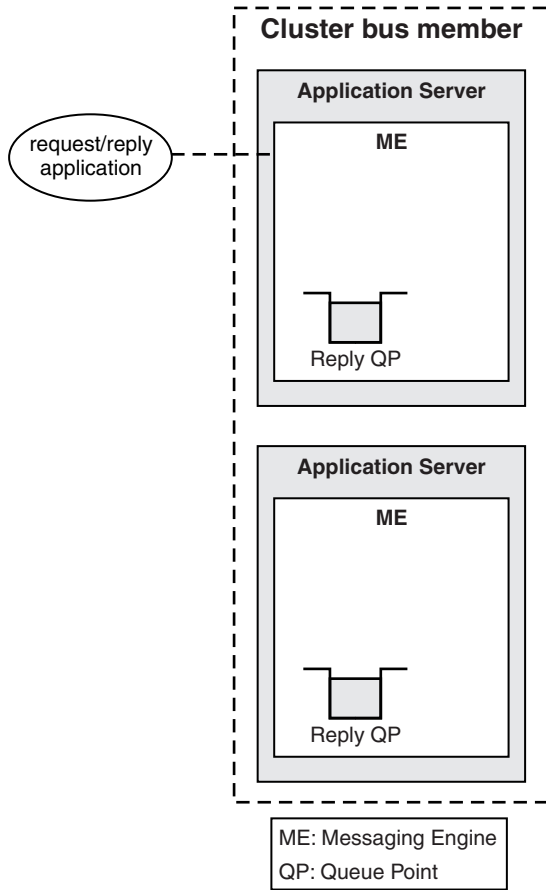


Figure 111. A requesting JMS application connects to one of the messaging engines in the cluster bus member

The bus member also owns the service integration bus queue that is used as the reply queue, so each messaging engine has a queue point of the reply queue configured.

The requesting application creates a JMS message and sets the `JMSReplyTo` destination to the JMS queue named Reply. The requesting application sends the request message to the queue for the service by using another JMS queue, called Service. (Service requires no special configuration.) By default, this message might be delivered to any of the queue points that belong to Service, although it would usually go to the local queue point. However, to fully illustrate this example, the system sends the message to the other queue point.

When the application that sent the request message is connected to a messaging engine that also has a queue point for the underlying service integration bus reply queue configured to it, and the option is enabled, the identity of that single queue point of the reply queue is added to the information in the `JMSReplyTo` queue in the message.

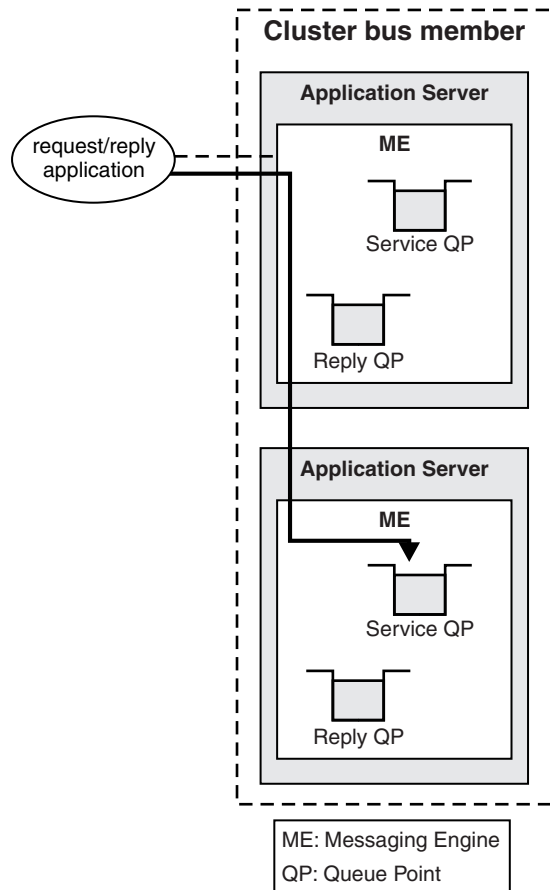


Figure 112. The requesting application sends the request message to the queue for the service by using another JMS queue

The request message is delivered to the queue for the service, Service, and processed by the service. On completion, the service sends a JMS message to the reply queue identified in the request message (obtained using the JMS Message.getJMSReplyTo method). At this point, the messaging system would by default send the reply message to any one of the queue points of Reply but, as the requestor scoped the reply queue to its local queue point, the reply is sent to the single queue point on the messaging engine to which the requestor was connected.

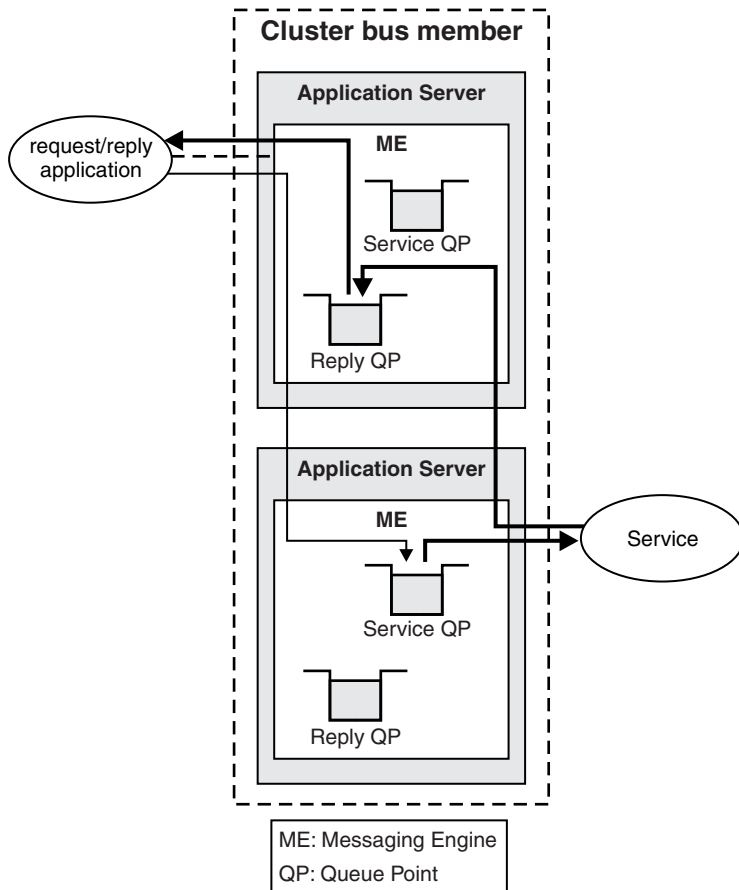


Figure 113. The message is sent to the requesting application local queue point even when the replying application has a local queue point for the reply queue

The system behaves as if the service integration bus queue has only one queue point. If the queue point that the reply is scoped to is not available, the message is not sent to any other queue point.

This approach has the following advantages:

- Reply messages are always sent back to the queue point that is local to the requesting application. This reduces the message route.
- Requesting applications connected to different messaging engines in the bus member can use the same JMS queue definition. This allows dynamic workload balancing across the cluster.

This approach has the following disadvantages:

- If the requesting application intends to disconnect and reconnect before receiving the reply message, the application must know which messaging engine it is connecting to, and must connect to the same one when it reconnects. This is achieved in the JMS connection factory by targeting a messaging engine and using the JMS connection factory to reconnect. This configuration excludes workload balancing.
- The requesting application must be connected to a messaging engine in the bus member that owns the service integration bus reply queue. Otherwise, there is no local queue point to scope reply messages to and the system follows default message routing behavior.

Refinement



To achieve workload balancing of multiple requesting applications across all messaging engines in the bus member, while allowing applications to disconnect and reconnect, requires the following configuration:

- Multiple connection factories, each targeting a different messaging engine.
- The requesting applications to be spread across connection factories.

*Configuring the requestor to consume messages from all queue points simultaneously:*

By default, a JMS message consumer consumes from only one queue point for the lifetime of the message consumer. Therefore, if the reply queue has more than one queue point, unless the reply message is restricted to one particular queue point, the consumer might not be consuming from the queue point to which the reply is sent, and might not receive the reply message.

However, you can configure the JMS queue used by the message consumer to allow the message consumer to simultaneously consume from all queue points of the identified service integration bus queue, irrespective of which messaging engine the requesting application is connected to.

The JMS queue option for this is **Message visibility**. If you enable **Message visibility** (Message gathering), you do not have to restrict the location of the reply message, as the reply message is visible whichever messaging engine the requesting application is connected to (see Related tasks for more details).

In the following figure, a consuming application connects to a messaging engine that has message visibility enabled but no local queue point. The associated queue point consumes messages from all available queue points of a destination and makes them available to the consumer.

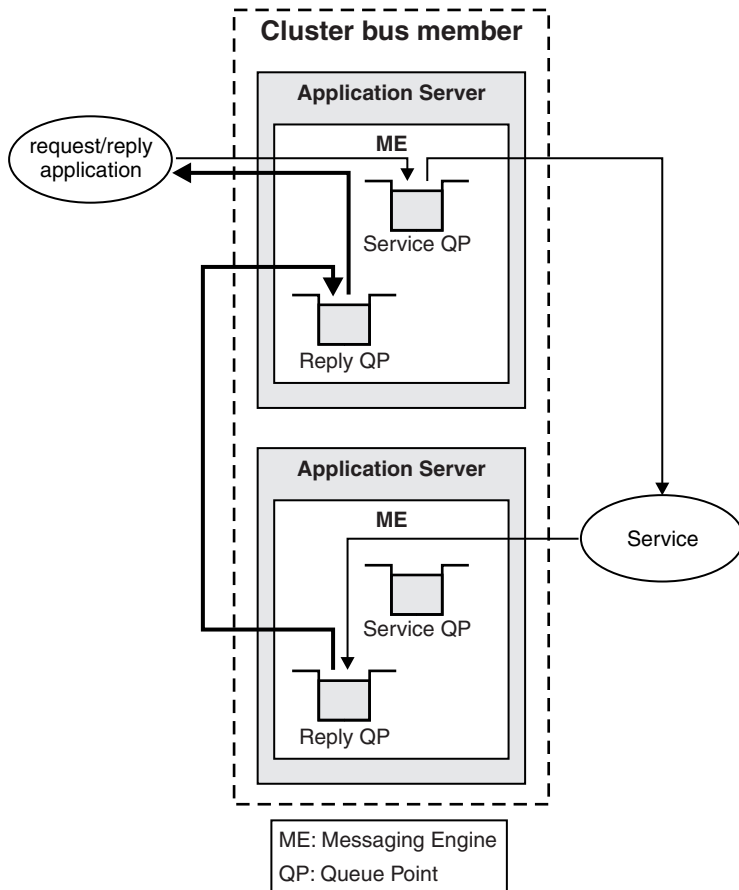


Figure 114. Message visibility: messages are consumed from all queue points

Advantages:

- It is simple to configure.
- Requesting applications can be dynamically workload balanced across the messaging engines in the bus member.
- The requesting application can disconnect and re-connect to different messaging engines (even messaging engines outside the bus member that owns the reply queue) without the risk of failing to find the reply message.

Disadvantages:

- Gathering messages from multiple queue points is a very performance-intensive operation, even when messages are available on the local queue point. Enabling **Message visibility** might reduce the overall performance of the messaging system, if sufficient message gathering is being performed.
- Monitoring gathering consuming applications is complex when **Message visibility** is enabled because messages can be assigned to gathering consumers for extended periods of time.

**Workload sharing with publish/subscribe messaging**

In publish/subscribe messaging, the messaging system sends one copy of every published message to each matching subscription. Subscribers, that is, applications that consume publish/subscribe messages, consume those messages from an individual subscription. To balance workload across multiple instances of an application, for example when an application runs in a server cluster, all instances of the application must use the same subscription.

Figure 87 on page 453 shows that, in this configuration, only one instance of the application processes each message that is sent to the subscription. However, Figure 88 on page 454 shows that if different instances of the same application are configured to receive messages from different subscriptions, each instance processes a copy of every matching message, so that each message is spread (fanned) out.

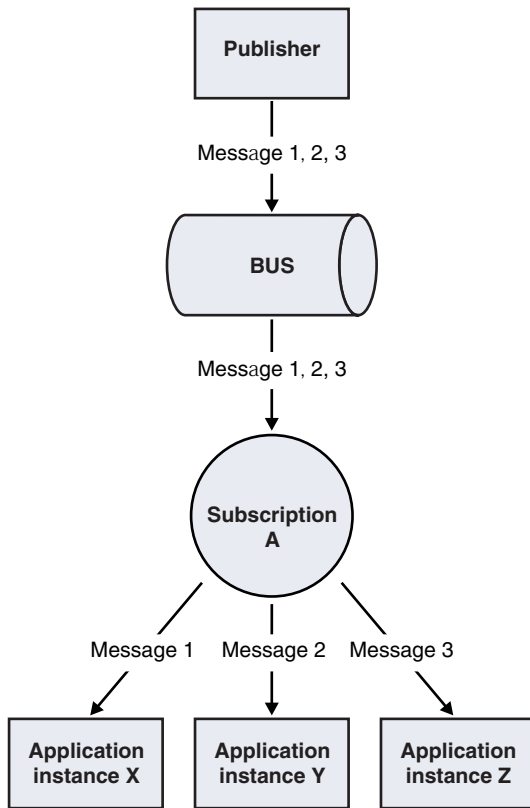


Figure 115. Application instances that share a single subscription (workload sharing)

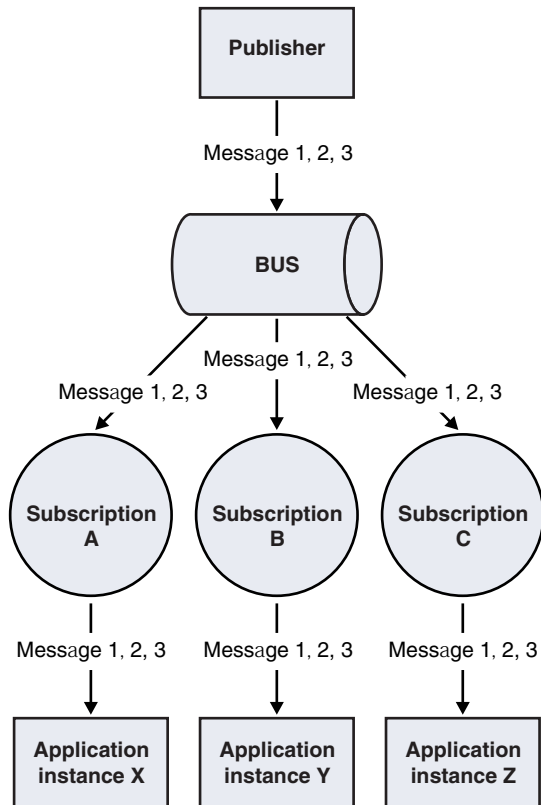


Figure 116. Application instances that use individual subscriptions (messages fanned out)

For point-to-point messages, you can use queue destinations and partition a queue so that messages are workload balanced. However, you cannot partition subscriptions in this way.

For publish/subscribe messaging, to configure multiple application instances to use the same subscription, and therefore balance the message workload, you must use a durable subscription. The multiple instances of the application must be able to consume simultaneously from the same subscription. This type of subscription is called a shared durable subscription. To configure a shared durable subscription, you set the Share durable subscriptions property for the relevant connection factory or activation specification.

A durable subscription has a home messaging engine and a unique identity, which is formed from the client identity and the subscription name. The messaging system can accumulate new matching publications for the subscription even while there is no active subscriber. The home messaging engine accumulates messages for a subscription by using a publication point. When a subscriber starts or restarts, the messaging system uses the unique identity and the home messaging engine to identify the publication point, locate the durable subscription, and deliver any accumulated messages.

A nondurable subscription does not have a unique identity. It lasts for the lifetime of its subscriber. Multiple application instances cannot receive messages from the same nondurable subscription.

You can set the Shared durable subscription property to one of the following:

#### In cluster

The bus distributes work between clients that connect to a bus member in the same cluster when the clients use the same client identifier and durable subscription name.

**Always shared**

The bus distributes work between clients, regardless of where they connect to the bus, when the clients use the same client identifier and durable subscription name.

**Never shared**

Clients cannot use the same client identifier and durable subscription name as an existing session.

**High availability**

You can make messaging engines highly available in the service integration environment.

**Messaging engine recovery from exception conditions**

In service integration, there can be exception conditions that do not require a messaging engine to restart, exception conditions that require an automatic restart of the messaging engine, exception conditions that are detected by explicit health monitoring and handled by the HAManager, and exception conditions that require user intervention.

**Recovery with the messaging engine running**

A messaging engine can handle certain exception conditions without requiring the messaging engine to restart or fail over. The exception condition is corrected automatically and an entry is added to the system error log that explains the exception and suggests any user actions. The messaging engine continues to run and to honor the quality of service specified for the messages it is processing.

**Recovery with automatic restart of the messaging engine (local exceptions)**

A messaging engine can recover from local exceptions by an automatic restart of the messaging engine, either on its current server or on an alternative server. For example, if a messaging engine cannot connect to its data store, possibly the server in which the messaging engine runs cannot create a connection to the data store, but another server in the same cluster can. In a high availability configuration, that is, failover is enabled, the HAManager will fail over the messaging engine to a new server and shut down the server on which it was running. For a configuration without failover, for example a single server rather than a cluster, the server is shut down and the messaging engine is restarted only after the server is restarted.

**Recovery from exceptions detected by explicit health monitoring**

A messaging engine cannot detect exceptions such as a thread spinning (when the thread becomes trapped in a loop and no longer performs useful work), or a deadlock (when two threads are blocking each other), but explicit health monitoring can. The HAManager provides such monitoring, and periodically tests the health of the messaging engine. If the HAManager detects that the messaging engine cannot run properly, the HAManager shuts down the server that is hosting the messaging engine. If the server is in a cluster, the HAManager restarts the messaging engine on an alternative server, if the policy of the messaging engine allows failover. The node agent will restart the server that was shut down. If the server is not in a cluster, the server must be restarted, then the messaging engine will restart on that server.

**Recovery that requires user intervention (global exceptions)**

A messaging engine cannot recover from global exceptions by restarting or failing over the messaging engine. For example, if the data store for a messaging engine becomes corrupted, the problem is not resolved by running the messaging engine on a different server because it encounters the same problem. If a messaging engine in this situation were to be failed over, the messaging engine would be continually failed over because it could not run in any server. There would be unwanted disruption to the cluster as servers attempted to run the messaging engine and were shut down. To avoid such a situation, if a global exception occurs, the messaging engine logs an error, stops processing messages, and is not failed over. The messaging engine cannot be restarted until you correct the global exception condition and restart the server.

## External high availability frameworks and service integration

You can configure an external high availability framework, such as IBM HACMP, to manage a messaging engine as if it is a resource in the external high availability framework resource group.

To allow a messaging engine to be managed by an external high availability framework, you configure a “No operation” policy for the messaging engine. When you do this, HAManager activates or deactivates a messaging engine only when the external high availability framework instructs it to.

The following situation requires the use of an external high availability framework:

- Using an external database for the data store of the messaging engine. In this situation, the database must be accessible by the server that is running the messaging engine. To achieve this configuration, include the database and the messaging engine in the same external cluster resource group, so that the database is collocated with the messaging engine.

The following situation might require the use of an external high availability framework:

- Connecting a messaging engine to WebSphere MQ by using a WebSphere MQ link.

If you require high availability, you must add support for changes of IP address. The WebSphere MQ gateway queue manager uses one IP address to reach the WebSphere Application Server gateway messaging engine, and the WebSphere Application Server gateway messaging engine uses one IP address to reach the WebSphere MQ gateway queue manager. In a high availability configuration, if the gateway messaging engine fails over to a different application server, or the gateway queue manager fails and is replaced by a failover gateway queue manager, the connection to the original IP address for the failed component is lost. You must ensure that both products are able to reinstate their connection to the component in its new location.

For more information about the options that are available for ensuring that the connection to a failover WebSphere Application Server gateway messaging engine is reinstated, see “High availability of messaging engines that are connected to WebSphere MQ.”

**Note:** To provide high availability for a WebSphere MQ queue manager connected to WebSphere Application Server, you can specify multiple connection names in your WebSphere Application Server definition for the WebSphere MQ link sender channel. If the active gateway queue manager fails, the service integration bus can use this information to reconnect to a standby gateway queue manager.

## High availability of messaging engines that are connected to WebSphere MQ

For a WebSphere Application Server messaging engine to connect with a WebSphere MQ queue manager in a highly available manner, you must add support for changes of IP address.

A WebSphere MQ link connects a service integration messaging engine to a WebSphere MQ queue manager. To WebSphere MQ, the messaging engine appears to be another queue manager. To service integration, the WebSphere MQ network appears to be a foreign bus.

The WebSphere MQ gateway queue manager uses one IP address to reach the WebSphere Application Server gateway messaging engine, and the WebSphere Application Server gateway messaging engine uses one IP address to reach the WebSphere MQ gateway queue manager. In a high availability configuration, if the gateway messaging engine fails over to a different application server, or the gateway queue manager fails and is replaced by a failover gateway queue manager, the connection to the original IP address for the failed component is lost. You must ensure that both products are able to reinstate their connection to the component in its new location.

To ensure that the connection to a failover WebSphere Application Server gateway messaging engine is reinstated, choose one of the following options:

1. If you are using a version of WebSphere MQ that is earlier than Version 7.0.1, install the SupportPac MR01 for WebSphere MQ. This SupportPac provides the WebSphere MQ queue manager with a list of alternative IP addresses and ports, so that the queue manager can connect with the WebSphere

Application Server gateway messaging engine after the messaging engine fails over to a different IP address and port. In WebSphere Application Server you must set a high availability policy of “One of N” for the gateway messaging engine. For more information about the WebSphere MQ MR01 SupportPac, see MR01: Creating a HA Link between WebSphere MQ and a Service Integration Bus.

2. If you are using WebSphere MQ Version 7.0.1, use the connection name (CONNNAME) to specify a connection list. Although typically only one machine name is required, you can provide multiple machine names to configure multiple connections with the same properties. The connections are tried in the order in which they are specified in the connection list until a connection is successfully established. If no connection is successful, the channel starts retry processing. When using this option, specify the CONNNAME as a comma-separated list of names of machines for the stated TransportType, making sure that all the WebSphere Application Server cluster member IPs are listed directly in the CONNNAME. For further information about using the CONNNAME, see the WebSphere MQ information center.

**Note:** WebSphere MQ Version 7.0.1 does not require SupportPac MR01 because this release includes the equivalent function to that provided by SupportPac MR01 for earlier releases. The ability to use the CONNNAME to specify a connection list was added as part of the support for multi-instance queue managers in WebSphere MQ Version 7.0.1, however, it can also be used as another option to ensure that the connection to a failover WebSphere Application Server gateway messaging engine is reinstated.

3. Use an external high availability framework, such as HACMP, to manage a resource group that contains the gateway messaging engine. When you use an external high availability framework, the IP address can be failed over to the machine that runs the application server to which the gateway messaging engine has moved. Follow this procedure to handle the IP address correctly:
  - Set a high availability policy of “No operation” for the messaging engine, so that the external high availability framework controls when and where the messaging engine runs.
  - Create resources for the messaging engine and its IP address in the resource group that is managed by the external high availability framework.
  - Consider locating the messaging engine data store in the same resource group as the resource that represents the messaging engine.

To ensure that the connection to a failover WebSphere MQ gateway queue manager is reinstated, choose one of the following options:

1. Set up multi-instance queue managers in WebSphere MQ, as described in the WebSphere MQ information center. In your definition for the WebSphere MQ link sender channel, select **Multiple Connection Names List**, and specify the host names (or IP addresses) and ports for the servers where the active and standby queue managers are located. If the active gateway queue manager fails, the service integration bus uses this information to reconnect to the standby gateway queue manager.
2. Create the WebSphere MQ high-availability cluster using an external high availability framework, such as HACMP, that supports IP address takeover. IP address takeover ensures that the gateway queue manager in its new location appears as the same queue manager to the service integration bus.

The gateway queue manager and the gateway messaging engine store status information that they use to prevent loss or duplication of messages when they restart communication following a failure. This means that the gateway messaging engine must always reconnect to the same gateway queue manager.

If you use WebSphere MQ for z/OS queue sharing groups, you can configure the WebSphere MQ link to use shared channels for the connection. Shared channels provide superior availability compared to the high-availability clustering options available on other WebSphere MQ platforms, because shared channels can reconnect to a different queue manager in the same queue sharing group. Reconnecting in the same queue sharing group is typically faster than waiting to restart the same queue manager in the same or a different location.

## Service integration high availability and workload sharing configurations

The configuration of messaging engines in service integration is very flexible. You can have a single messaging engine that does not provide high availability or workload sharing. With a cluster bus member, you can have a single highly available messaging engine. Alternatively, with a cluster bus member, you can have multiple messaging engines that share workload, or that share workload and also provide high availability.

- A simple configuration has one messaging engine that runs on a single server. This configuration is suitable for many purposes. However, one messaging engine is a single point of failure and the configuration does not provide high availability or workload sharing.
- A configuration for high availability has a single messaging engine that runs on a server in a cluster, where that messaging engine can fail over to one or more alternative servers in the cluster. By using failover, you avoid a single point of failure and ensure that there is always a messaging engine running in the cluster.
- A configuration for workload sharing or scalability has multiple messaging engines running in a cluster, where each messaging engine runs on one specific server in the cluster. The messaging load is spread across multiple servers, and you can add new servers to the cluster without affecting the existing messaging engines.
- A configuration for workload sharing with high availability has multiple messaging engines running in a cluster, where each messaging engine runs on a specific server in the cluster and can also fail over to one or more alternative servers in the cluster.

The configurations that are possible depend on the type of bus member you create. If you create a server bus member, you can create only a simple configuration. If you create a cluster bus member, you can create any of the configurations in the previous list, depending on the number of messaging engines in the cluster and the behavior of those messaging engines. For more details, see the topic about bus member types and their effect on high availability and workload sharing.

For details and examples of the configurations you can create, see the subtopics.

### Configuring messaging engine behavior

To configure messaging engine behavior, add a cluster to a bus and use a predefined messaging engine policy. The predefined messaging engine policies support frequently-used cluster configurations, such as workload sharing and scalability, high availability, or a combination. You use messaging engine policy assistance, which creates one or more messaging engines and configures them to provide the required behavior. You can also use messaging engine policy assistance to set up a custom configuration.

Messaging engine policy assistance guides you through the configuration and many of the settings are created automatically. For more information, see the topic about messaging engine policy assistance.

It is possible to add a cluster to a bus and configure the messaging engine behavior without using messaging engine policy assistance. Use this procedure if you are already familiar with it. Otherwise, use messaging engine policy assistance.

If you add a cluster to a bus without using messaging engine policy assistance, you configure a policy to control the availability behavior of the messaging engine on that bus member.

- If you want high availability, you can use a cluster bus member with one messaging engine and the default service integration policy, “Default SIBus Policy”, which allows the messaging engine to fail over to any other application server in the cluster. Alternatively, you can create a new policy and configure it to specify other availability behavior, such as a preference for particular servers or the ability to fail back.



- If you want workload sharing but not high availability, you can use a cluster bus member with multiple messaging engines and create a Static policy for each messaging engine. This might be useful for scalable express messaging, in which there is no persistent state associated with any one messaging engine, so no failover is required.
- If you want an external high availability framework to manage the messaging engines, create a “No operation” policy for them.

For more information about policies and configuration, see the topic about policies for service integration.

The following table shows how you can achieve different configurations without using messaging engine policy assistance.

*Table 56. Service integration configurations. The first column of the table lists the different configurations available. The second column lists the types of bus members used in configuring the messaging engines. The third column displays the number of messaging engines used in the configuration. The fourth column lists the policy types.*

Configuration	Type of bus member	Number of messaging engines	Policy type
Simple	Server	1	Default (“One of N”)
Simple	Cluster	1	Static
High availability	Cluster	1	“One of N” or “No operation”
Workload sharing without high availability	Cluster	more than 1 (typically, one messaging engine for each server)	Static
High availability and workload sharing	Cluster	more than 1 (typically, one messaging engine for each server)	“One of N” or “No operation”

## Bus member types and their effect on high availability and workload sharing

You can add a server to a service integration bus, to create a server bus member. You can also add a cluster to a service integration bus, to create a cluster bus member. A cluster bus member can provide scalability and workload sharing, or high availability, but a server bus member cannot.

### Adding a server to a bus

When you add a server to a service integration bus, a messaging engine is created automatically. This single messaging engine cannot participate in workload sharing with other messaging engines; it can only do that in a cluster. The messaging engine also cannot be highly available, because there are no other servers in which it can run.

### Adding a cluster to a bus

A cluster deployment can provide scalability and workload sharing, or high availability, or a combination of these aspects. This depends on the number of messaging engines in the cluster and the behavior of those messaging engines, such as whether the messaging engines can fail over to another server, or fail back when a server becomes available again.

You can use messaging engine policy assistance to create and configure messaging engines in a cluster. The following predefined messaging engine policy types are available, which support frequently-used cluster configurations:

- High availability. One messaging engine is created in the cluster. It can fail over to any other server in the cluster, so it is highly available.
- Scalability. One messaging engine is created for each application server in the cluster. The messaging engines cannot fail over.

- Scalability with high availability. One messaging engine is created for each application server in the cluster. Each messaging engine can fail over to one specific server in the cluster, creating a circular pattern of availability.

You can also use messaging engine policy assistance to create a custom messaging engine policy. You can create any number of messaging engines for the cluster, and configure the messaging engines as you require. The associated core group policies and settings for the messaging engines are created automatically.

If you do not use messaging engine policy assistance, when you add a server cluster to a service integration bus, a single messaging engine is created automatically. This messaging engine uses the default SIBus core group policy that already exists in WebSphere Application Server. The policy allows the messaging engine to fail over to any server in the cluster. You can then add further messaging engines if required. The cluster deployment depends on the number of messaging engines in the cluster and the policy bound to the high availability group (HAGroup) of each messaging engine.

If there is only one messaging engine in the cluster and you deploy a destination to that cluster, the destination is localized by that messaging engine. All messaging workload for that destination is handled by that messaging engine; the messaging workload cannot be shared. The availability characteristics of the destination are the same as the availability characteristics of the messaging engine.

You can benefit from increased scalability by introducing additional messaging engines to the cluster. When you deploy a destination to the cluster, it is localized by all the messaging engines in the cluster and the destination becomes partitioned across the messaging engines. The messaging engines can share all traffic passing through the destination, reducing the impact of one messaging engine failing. The availability characteristics of each destination partition are the same as the availability characteristics of the messaging engine the partition is localized by.

If you do not use messaging engine policy assistance, you control the availability behavior of each messaging engine by modifying the core group policy that the HAManager applies to the HAGroup of the messaging engine.

The simplest way to create and configure messaging engines in a cluster is to add a cluster to a bus and use messaging engine policy assistance with one of the predefined messaging engine policy types. If you are familiar with creating messaging engines and configuring messaging engine behavior, you can use messaging engine policy assistance and the custom messaging engine policy type. To add a cluster to a bus without using messaging engine policy assistance, you should be familiar with all the creation and configuration steps involved, for example, creating a messaging engine, configuring core group policies and using match criteria.

### **Simple configuration without workload sharing or high availability**

A simple configuration consists of a single messaging engine running on only one server. This configuration does not provide workload sharing or high availability.

If the server on which the messaging engine is running fails, the messaging engine cannot be failed over to an alternative server. A simple way to create this configuration is to add a server to a bus. The messaging engine is created automatically and the default service integration policy, "Default SIBus Policy", provides suitable behavior. Alternatively, you can add a cluster to a bus, then configure a Static policy for the messaging engine so that the messaging engine runs on only one server.

The following diagram shows a simple configuration that is created by adding a server to a bus. There is a single messaging engine, ME, with a data store, running on one server. If the server fails, the messaging engine becomes unavailable until the server is restarted.

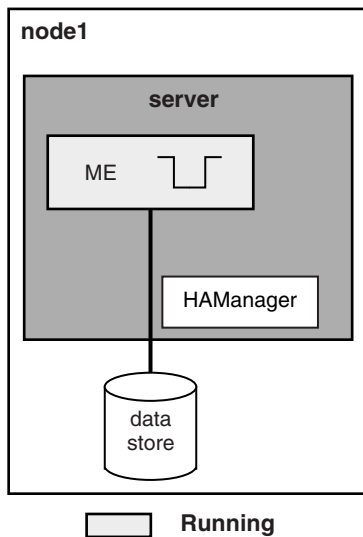


Figure 117. Simple configuration with a single server

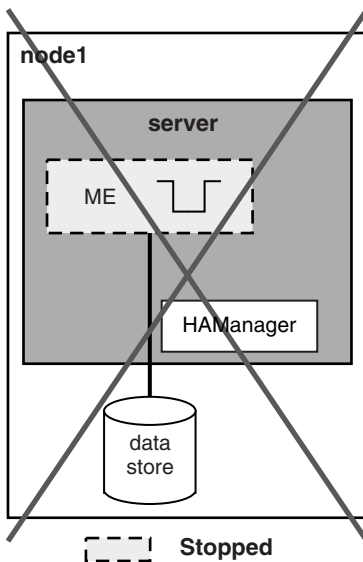


Figure 118. Simple configuration after the server fails

In this configuration, you do not need to enable access to the data store from other servers because the messaging engine cannot run on any other server.

### Configuration for high availability

This configuration consists of a single messaging engine, running in a cluster, that can fail over to one or more alternative servers. A high availability configuration ensures that there is always a messaging engine running in the cluster, so that messages are always transmitted.

There are two ways to achieve this configuration:

- You can add a cluster to the service integration bus by using messaging engine policy assistance, and use the high availability messaging engine policy. This procedure creates a single messaging engine for

the cluster, which is configured to fail over to any of the other servers in the cluster. A new core group policy is automatically created, configured, and associated with the messaging engine.

- You can add a cluster to the service integration bus without using messaging engine policy assistance. One messaging engine is created automatically and the default service integration policy, “Default SIBus Policy”, provides suitable behavior for high availability. The default service integration policy is a “One of N” policy where the messaging engine starts on the first available server in the cluster and can fail over to any other server in the cluster.

You can optionally change the configuration, for example, you want to use a primary server and a backup server, or you want the messaging engine to run on only a subset of the servers in a cluster. To change the configuration, create a new “One of N” core group policy and configure the policy for the messaging engine further. For example:

- You can set an ordered list of preferred servers that the messaging engine can run on and fail over to.
- You can specify whether the messaging engine can run on any server in the cluster, or only on those in the preferred servers list.
- You can specify whether the messaging engine can fail back to a more preferred server when one becomes available.

After you create the new policy, use the match criteria to associate the policy with the required messaging engine.

It is not advisable to change the default service integration policy, “Default SIBus Policy”, because those changes will affect all messaging engines that the policy manages.

There is no workload sharing in the high availability messaging engine configuration, because there is only one messaging engine to handle the traffic through the destination.

The following diagram shows a high availability messaging engine configuration in which the single messaging engine ME, with a data store, is running in a cluster of three servers. Each server is on a separate node, so that if one node fails, the servers on the remaining nodes are still available.

Each server in the cluster contains the messaging engine configuration, and creates an instance of the messaging engine so that the instance is ready to be activated if another server fails.

The message store for the messaging engine must be accessible by all the servers in the cluster. For a data store, the way to achieve this depends on the data store topology you use. If you use a networked database server, you must ensure that the database server is accessible from all servers in the cluster that might run the messaging engine. Alternatively, you can use an external high availability framework to manage the database by using a shared disk.

Initially, the messaging engine runs in its preferred location of server1.

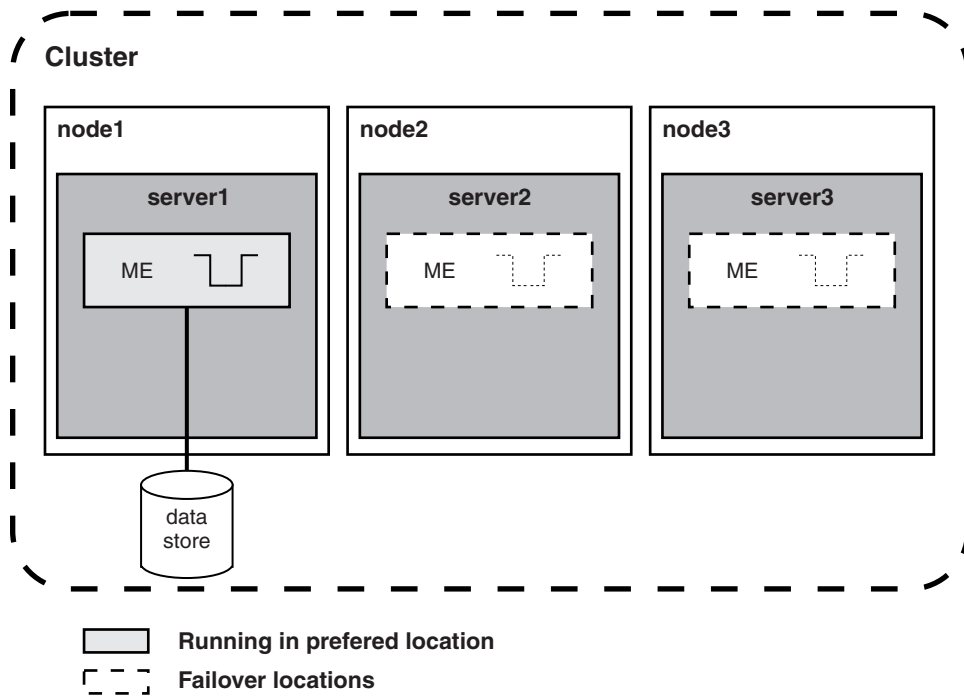


Figure 119. Highly available messaging engine configuration

The following diagram shows what happens if server1 fails. The messaging engine is activated on the next server in the preferred servers list, which is server2.

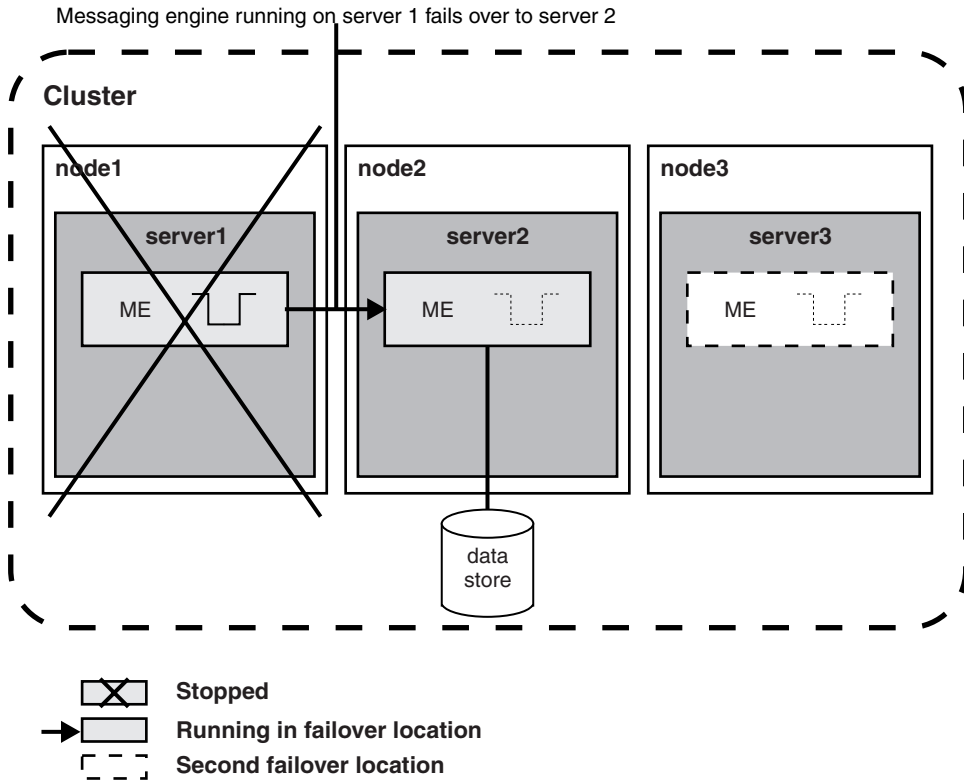


Figure 120. Highly available messaging engine configuration after server1 fails

The following diagram shows what happens if server1 and server2 fail. The messaging engine is activated on server3, because this is the only available server.

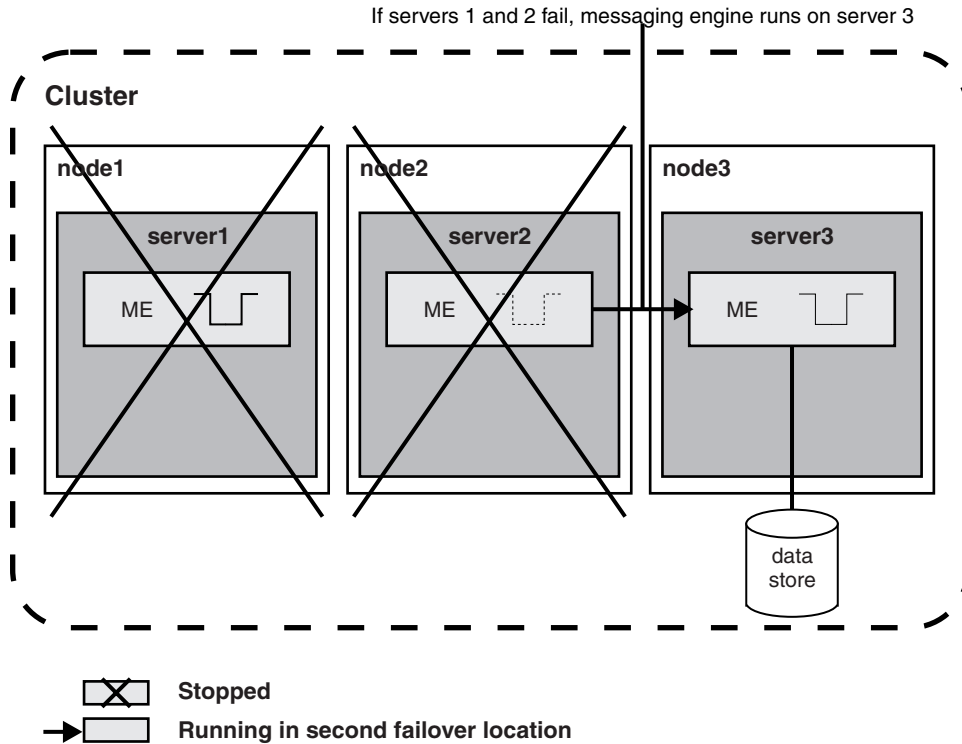


Figure 121. High availability messaging engine policy configuration after both server1 and server2 fail

If you use messaging engine policy assistance and the high availability messaging engine policy, the list of preferred servers is set up automatically.

If you do not use messaging engine policy assistance and you want the messaging engine to use preferred servers, you must specify one or more preferred servers for the messaging engine. Whenever a preferred server is available, the high availability manager (HAManager) runs the messaging engine in it. When no preferred server is available, the messaging engine runs in any other available server. You can also set the **Fail back** option on the policy so that when a preferred server becomes available again, the HAManager moves the messaging engine back to it.

If you use messaging engine policy assistance and the high availability messaging engine policy, the messaging engine is not set to fail back.

### Configuration for workload sharing or scalability

This configuration consists of multiple messaging engines running in a cluster, with each messaging engine restricted to running on one particular server. A workload sharing configuration achieves greater throughput of messages by spreading the messaging load across multiple servers.

There are two ways to achieve this configuration:

- You can add a cluster to the service integration bus using messaging engine policy assistance, and use the scalability messaging engine policy. This procedure creates a messaging engine for each server in the cluster. Each messaging engine has just one preferred server and cannot fail over or fail back, that is, it is configured to run only on that server. New core group policies are automatically created, configured, and associated with each messaging engine.

- You can add a cluster to the service integration bus without using messaging engine policy assistance. One messaging engine is created automatically, then you add the further messaging engines that you require to the cluster, for example, one messaging engine for each server in the cluster.

You create a core group policy for each messaging engine. Because no failover is required, you configure those policies so that each messaging engine is restricted to a particular server. To restrict a messaging engine to a particular server, you can configure a Static policy for each messaging engine.

After you create the new policies, use the match criteria to associate each policy with the required messaging engine.

This type of deployment provides workload sharing through the partitioning of destinations across multiple messaging engines. This configuration does not enable failover, because each messaging engine can run on only one server. The impact of a failure is lower than in a simple deployment, because if one of the servers or messaging engines in the cluster fails, the remaining messaging engines still have operational destination partitions. However, messages being handled by a messaging engine in a failed server are unavailable until the server can be restarted.

The workload sharing configuration also provides scalability, because it is possible to add new servers to the cluster without affecting existing messaging engines in the cluster.

The following diagram shows a workload sharing or scalability configuration in which there are three messaging engines, ME1, ME2, and ME3, with data stores A, B, and C, respectively. The messaging engines run in a cluster of three servers and share the traffic passing through the destination. Each server is on a separate node, so that if one node fails, the servers on the remaining nodes are still available.

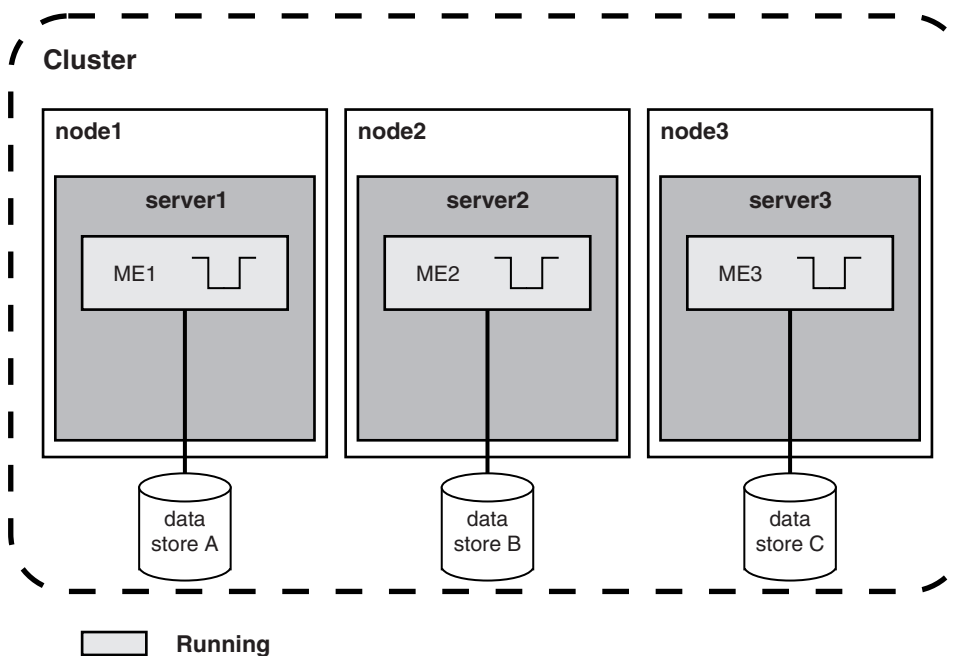


Figure 122. Workload sharing or scalability configuration

The following diagram shows what happens if server1 fails. ME1 cannot run, and data store A is not accessible. ME1 cannot process messages until server1 recovers. ME2 and ME3 are unaffected and continue to process messages. They will now handle all new traffic through the destination.



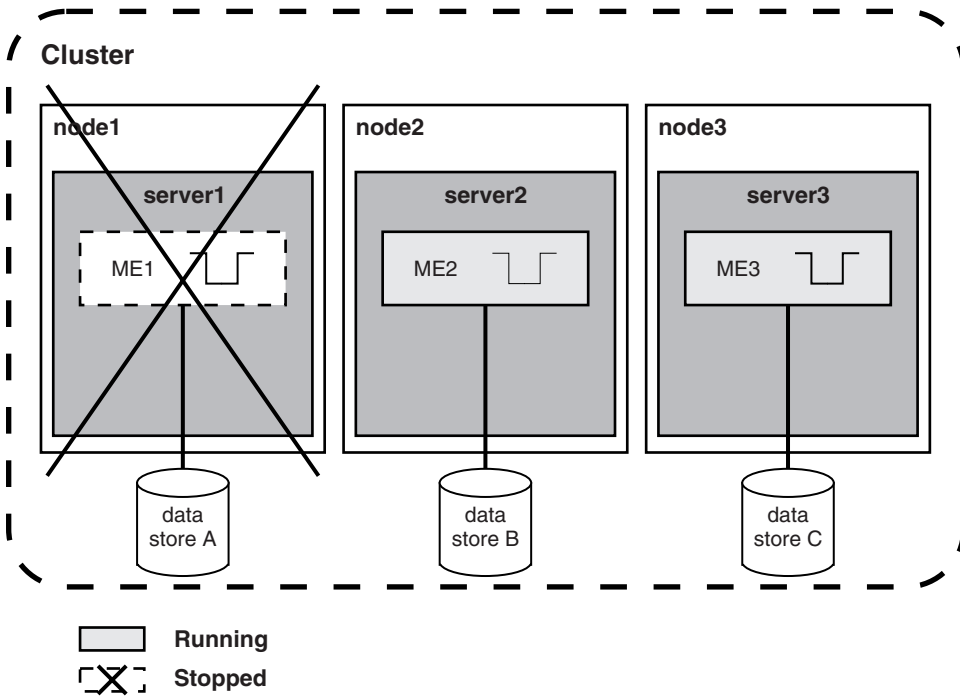


Figure 123. Workload sharing or scalability configuration after server1 fails

The following diagram shows what happens if server1 recovers and server2 fails. ME2 cannot run, and data store B is not accessible. ME2 cannot process messages until server2 recovers. ME1 and ME3 can process messages and will now handle all new traffic through the destination.

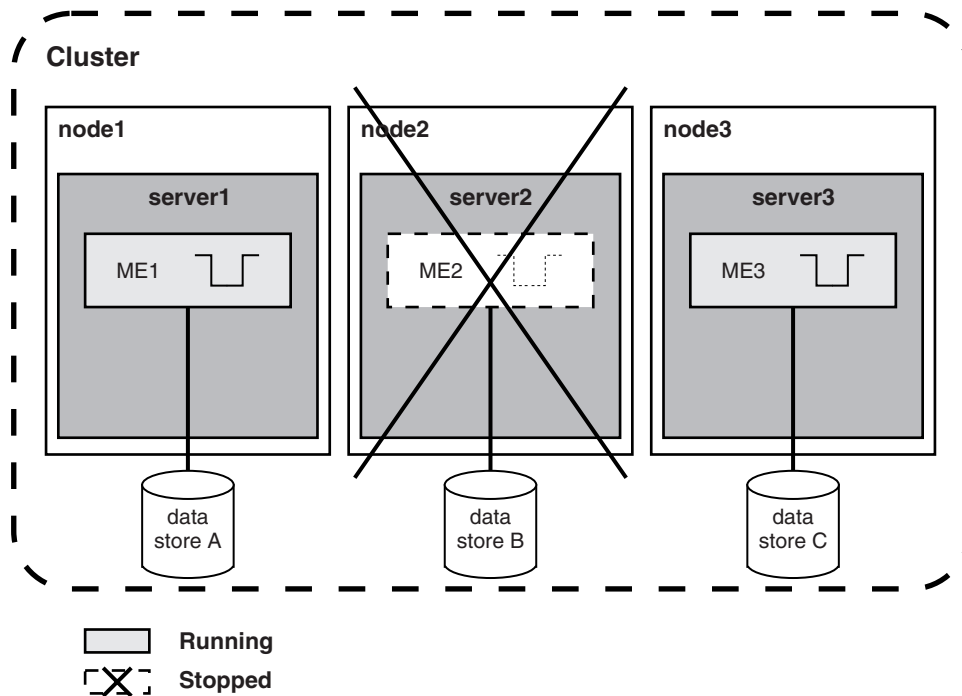


Figure 124. Workload sharing or scalability configuration after server1 recovers and server2 fails

### Configuration for workload sharing with high availability

This configuration consists of multiple messaging engines running in a cluster, where each messaging engine can fail over to one or more alternative servers.

There are three ways to achieve this configuration:

- You can add a cluster to the service integration bus by using messaging engine policy assistance, and use the scalability with high availability messaging engine policy. This procedure creates a single messaging engine for each server in the cluster. Each messaging engine can fail over to one other specified server in the cluster. Each server can host up to two messaging engines, such that there is an ordered circular relationship between the servers. Each messaging engine can fail back, that is, if a messaging engine fails over to another server, and the original server becomes available again, the messaging engine automatically moves back to that server.
- You can add a cluster to the service integration bus by using messaging engine policy assistance, and use the custom messaging engine policy. You can create as many messaging engines as you require for the cluster. For each messaging engine you create, you must configure the messaging engine policy to provide the messaging engine behavior that you require.
- You can add a cluster to the service integration bus without using messaging engine policy assistance. One messaging engine is created automatically, then you add the further messaging engines that you require to the cluster. A typical configuration has one messaging engine for each server in the cluster. Create a new “One of N” core group policy for each messaging engine in the cluster. Configure the policies so that one messaging engine runs on each server and so that there is high availability behavior, for example, each messaging engine can fail over to one designated server.
  - You can set an ordered list of preferred servers that the messaging engine can run on and fail over to.
  - You can specify whether the messaging engine can run on any server in the cluster, or only on those in the preferred servers list.

- You can specify whether the messaging engine can fail back to a more preferred server when one becomes available.

After you create the new policies, use the match criteria to associate each policy with the required messaging engine.

The default service integration policy, “Default SIBus Policy”, does not provide this behavior, so you must create new core group policies.

This type of configuration provides availability, because each messaging engine can fail over if a server becomes unavailable. The configuration provides workload sharing because there are multiple messaging engines to share the traffic through the destination, and scalability, because it is possible to add new servers to the cluster without affecting existing messaging engines in the cluster.

The following diagram shows an example configuration of this type. There are three messaging engines, ME1, ME2, and ME3, with data stores A, B, and C, respectively. The messaging engines run in a cluster of three servers and share the traffic passing through the destination. Each server is on a separate node, so that if one node fails, the servers on the remaining nodes are still available.

Each messaging engine has one preferred location and one secondary location. Each server in the cluster contains the definition of two messaging engines that can run on it, and creates an instance of each messaging engine so that one messaging engine can run on it as its preferred location, and the other instance is ready to be activated if another server fails. ME1 runs on server1 and can fail over to server2; ME2 runs on server2 and can fail over to server3; ME3 runs on server3 and can fail over to server1.

The message store for each messaging engine must be accessible by the preferred server and the secondary server. The way to achieve this depends on the data store topology you use. If you use a networked database server, you must ensure that the database server is accessible from all servers in the cluster that might run the messaging engine. Alternatively, you can use an external high availability framework to manage the database by using a shared disk.

This example configuration is the configuration created when you use messaging engine policy assistance and the scalability with high availability messaging engine policy for a cluster of three servers.

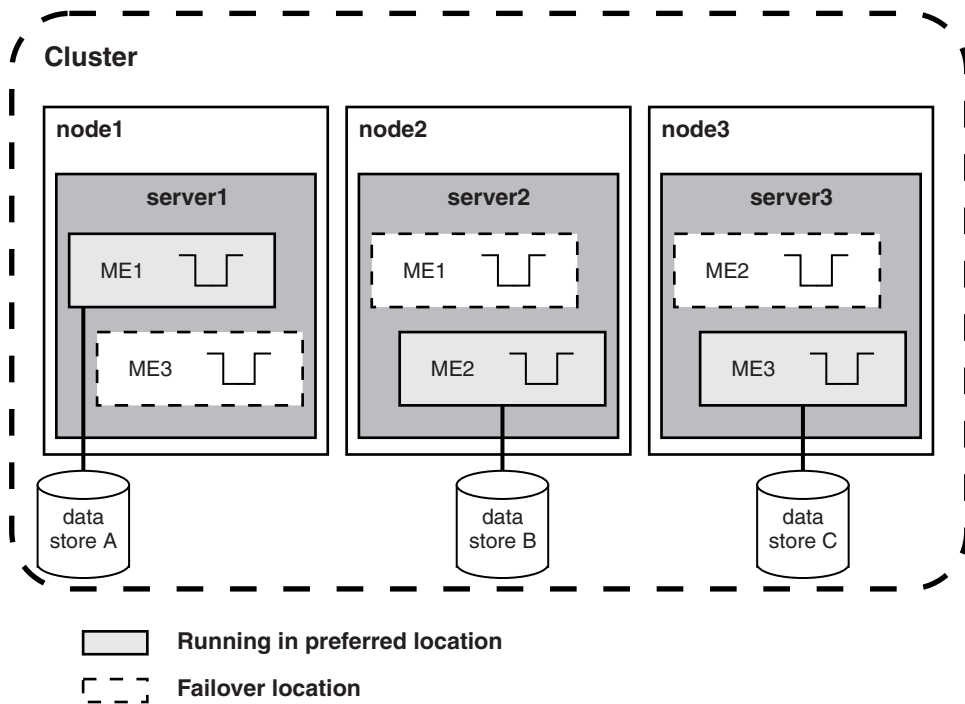


Figure 125. High availability with workload sharing or scalability configuration

The following diagram shows what happens if server1 fails. The messaging engine ME1 is activated on the next server in the preferred servers list for that messaging engine, which is server2. ME2 continues to run on server2, and ME3 continues to run on server3.

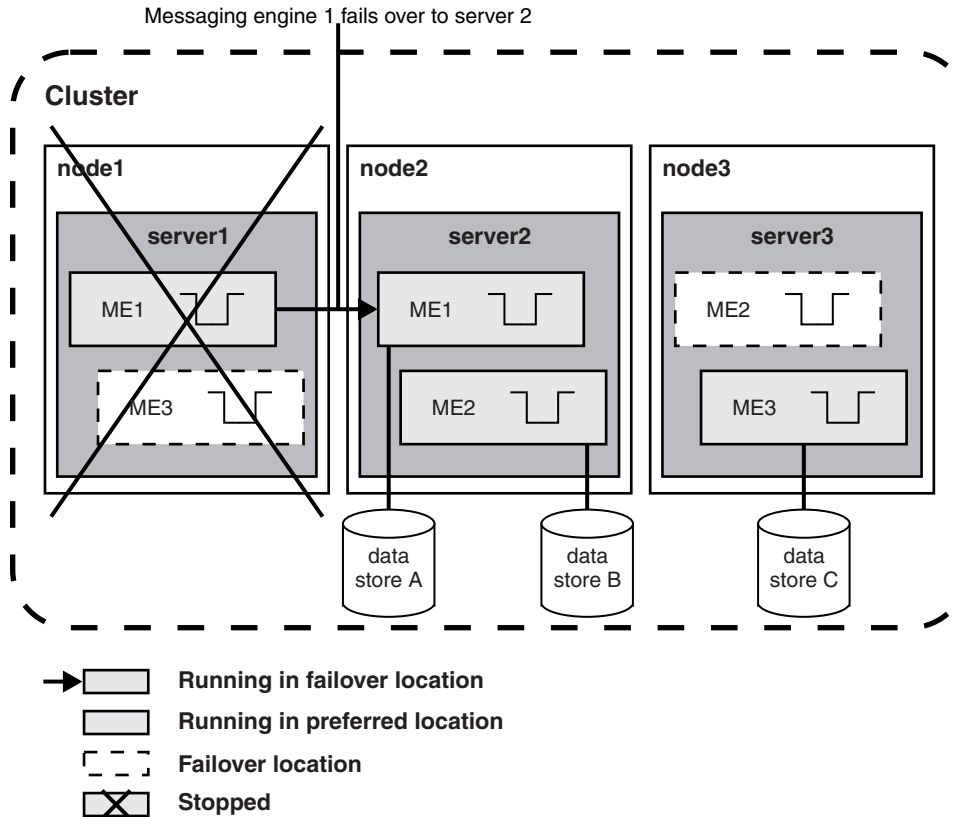


Figure 126. High availability with workload sharing or scalability configuration after server1 fails

The following diagram shows what happens if server1 becomes available again and server2 fails. The messaging engine ME1 is activated on server1, the first server in the preferred servers list for that messaging engine, because failback is set for ME1. ME2 is activated on the next server in the preferred servers list for that messaging engine, which is server3. ME3 continues to run on server3.

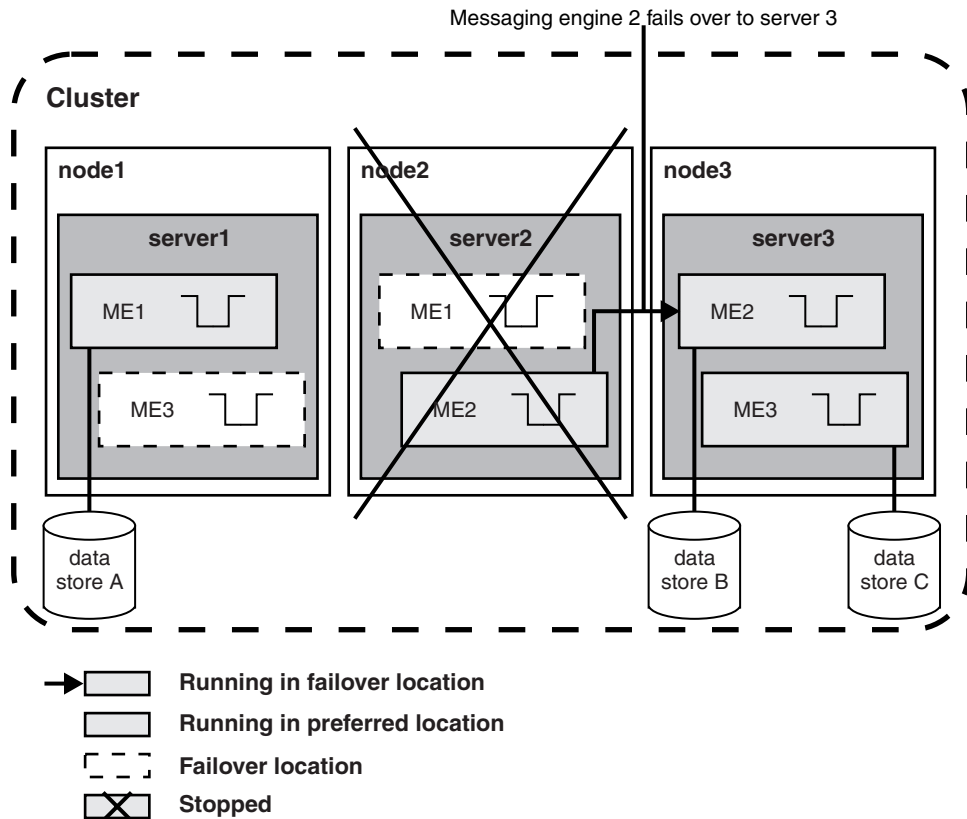


Figure 127. High availability with workload sharing or scalability configuration after server2 fails

The predefined scalability with high availability messaging engine policy creates a configuration with aspects of scalability and high availability. The following diagram shows another example of a configuration that provides high availability and workload sharing, where message transmission is a priority. There are two messaging engines, ME1 and ME2, with data stores A and B, respectively, running in a cluster of three servers and sharing the traffic through a destination. In normal operation, ME1 runs on server1 and ME2 runs on server2. Server3 provides a failover location for both messaging engines. This is known as an “N+1” configuration, because there is one spare server.

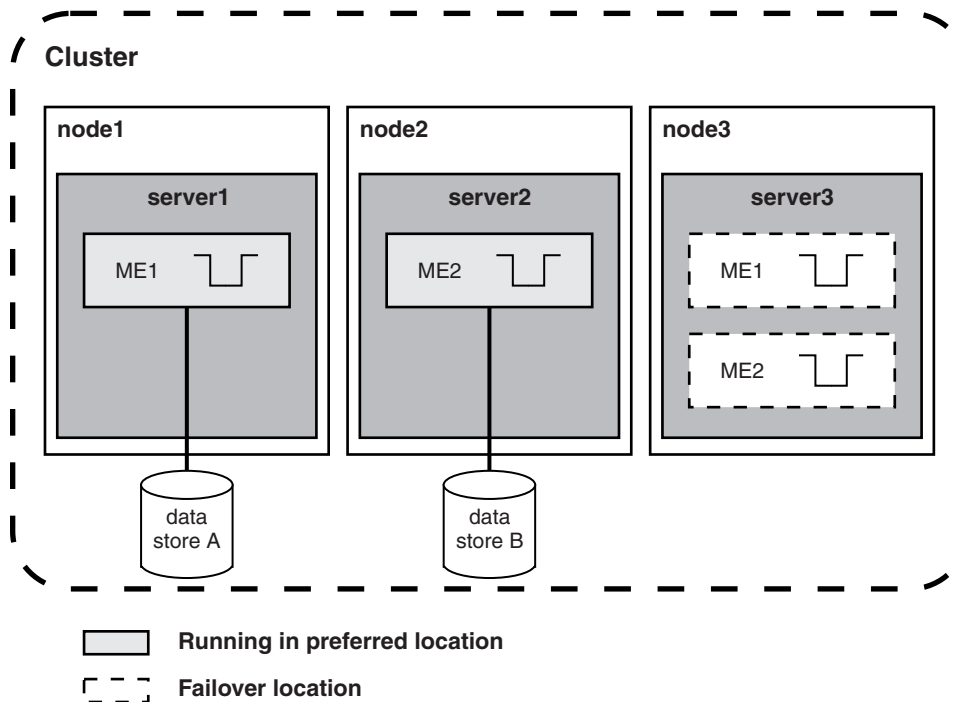


Figure 128. Highly available messaging engines with workload sharing in an “N+1” configuration

The preferred server list for ME1 is server1, server3, and the preferred server list for ME2 is server2, server3. The advantage of this configuration is that if one server fails, each remaining server hosts only one messaging engine. The disadvantage of this configuration is the expense of the spare server. To achieve this type of configuration, you can use the custom messaging engine policy.

If you do not use messaging engine policy assistance and you want the messaging engine to use preferred servers, you must specify one or more preferred servers for the messaging engine. Whenever a preferred server is available, the high availability manager (HAManager) runs the messaging engine in it. When no preferred server is available, the messaging engine runs in any other available server. You can also set the **Fail back** option on the policy so that when a preferred server becomes available again, the HAManager moves the messaging engine back to it.

### Policies for service integration

Each messaging engine on a service integration bus belongs to one high availability group (HAGroup). The members of each HAGroup are controlled by a policy assigned to the group at run time. This core group policy determines the availability characteristics of the messaging engine in the HAGroup.

If you add a server to a service integration bus, a messaging engine that uses the default service integration policy, a “One of N” policy, is created automatically. The behavior of the messaging engine is to run only on that server, because there is only one server available to it. It is possible to configure a non-default policy for the messaging engine, but it would not affect the behavior of the messaging engine.

If you add a server cluster to a bus, you can control which servers the messaging engine can run on, and the behavior of the messaging engine if a server is unavailable. You can also deploy additional messaging engines to the cluster. For example, you can configure the cluster to provide high availability, scalability or workload sharing (increasing performance by increasing the resources that provide the service), or a combination of these factors.

When you add a cluster to a bus, you can configure the messaging engine behavior by using messaging engine policy assistance. There are predefined messaging engine policies that support frequently-used

cluster configurations, and an option to set up a custom configuration while still using messaging engine policy assistance. The advantage of messaging engine policy assistance is that you are guided through the configuration and many of the settings are created automatically. For more information, see the related topics.

The remainder of this topic describes the configuration of messaging engine behavior without using messaging engine policy assistance. Use these settings if you are already familiar with this procedure. Otherwise, use messaging engine policy assistance.

To configure the messaging engine behavior, you configure the core group policy for the HAGroup of the messaging engine. You can configure the policy to control whether the messaging engine has a preference for a particular server, or set of servers, and whether the messaging engine is restricted to the set of preferred servers. You can control whether a messaging engine can fail back to a more preferred server after failover. You can also modify the policy to change the monitoring interval for the messaging engine.

The following table shows the types of core group policy you can use for messaging engines, and how each type affects the behavior of a messaging engine that belongs to a cluster bus member.

*Table 57. Effects of core group policies. The first column lists the types of the core group policies used for messaging engines. The second column explains how the policy type affects the messaging engine.*

Policy type		Behavior
Static	with one server in the static group servers list	The messaging engine is restricted to a particular server. The messaging engine can run only on the server to which it is restricted, and cannot fail over to any other server in the cluster. For multiple messaging engines, this can be a useful configuration for workload sharing, where failover is not wanted.
One of N	with no preferred servers	The messaging engine runs on the first available server and can fail over to any of the other servers in the cluster. It has no preference for any particular server.  The “Default SIBus Policy” provides this behavior.
	with preferred servers	The messaging engine runs on the first server in the preferred servers list that is available when the messaging engine starts. It can fail over to the first server in the preferred servers list that is available at the time of failover. The earlier a server is in the preferred servers list, the stronger the preference for that server. If no preferred servers are available, it can fail over to any other server in the cluster. After the messaging engine fails over, it does not move, even if a more preferred server becomes available again.
	with preferred servers and the <b>Fail back</b> setting	The messaging engine always runs on the most preferred server that is available. It runs on the first server in the preferred servers list that is available when the messaging engine starts. It can fail over to the first server in the preferred servers list that is available at the time of failover. The earlier a server is in the preferred servers list, the stronger the preference for that server. If no preferred servers are available, it can fail over to any other server in the cluster. After the messaging engine fails over, if a more preferred server becomes available again, the messaging engine moves automatically to that server.
	with preferred servers and the <b>Preferred servers only</b> setting	The messaging engine runs on only servers in the list of preferred servers. It runs on the first server in the preferred servers list that is available when the messaging engine starts. It can fail over to the first server in the preferred servers list that is available at the time of failover. The earlier a server is in the preferred servers list, the stronger the preference for that server. If no preferred servers are available, it cannot fail over to any other server in the cluster. If the <b>Fail back</b> setting is selected, after the messaging engine fails over, if a more preferred server becomes available again, the messaging engine moves automatically to that server.



No operation	<p>The messaging engine is managed by an external high availability framework and can fail over to any of the other servers in the external high availability cluster. If you require server affinity, configure this as a preference in the high availability cluster configuration. The configuration details depend on the choice of high availability framework.</p> <p>This policy is useful where a high availability clustered database is being used for the data store for the messaging engine; you can put the messaging engine under the control of the same high availability cluster that is managing the database. This policy is also useful where a messaging engine is connected to a WebSphere MQ queue manager; the messaging engine can fail over if it is using a high availability clustered IP address for its inbound channel chains. For more information, see “External high availability frameworks and service integration” on page 524.</p>
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The policy is assigned to the appropriate HAGroup at run time by using the match criteria that are configured for the policy.

### Default service integration policy

The most general policy for service integration is the default included with the product, the “Default SIBus Policy”. This is a “One of N” policy with no preferred servers, that is, the messaging engine starts on the first available server in the cluster and can fail over to any other application server in the cluster. There is no automatic fail back and there is a monitoring interval of 120 seconds. The policy has a single match criterion that matches any service integration messaging engine, so the policy applies to any messaging engine, unless the messaging engine is in an HAGroup with a stronger match to a different policy.

#### ***Messaging engine policy assistance:***

Messaging engine policy assistance provides guidance about creating and configuring messaging engines in a cluster to provide the behavior you require. Messaging engine policy assistance also automatically creates the associated core group policies and other settings. Messaging engine policy assistance is available when you add a cluster as a bus member, or when you administer a messaging engine in a cluster.

For example, you can create and configure messaging engine policies to provide high availability, scalability, or a combination of the two.

You can select from one of three predefined messaging engine policy types, which support frequently-used cluster configurations. The administrative console displays a diagram of the selected cluster and the effect of the selected messaging engine policy type. If further changes are needed to use the policy successfully, warning triangles are displayed for the components that might cause a problem, and messages that suggest solutions are displayed. The predefined messaging engine policy types are:

- High availability. The cluster has a single messaging engine that is configured to fail over to any other server in the cluster.
- Scalability. There is one messaging engine for each server in the cluster. Each messaging engine is restricted to a particular server and is not configured to fail over.
- High availability and scalability. There is one messaging engine for each server in the cluster. Each messaging engine can fail over to one other server in the cluster. Each server can host up to two messaging engines.

You can also use messaging engine policy assistance to create a custom configuration, where you can control how many messaging engines to create and the messaging engine behavior. The associated core group policies are still automatically created, even though you complete some configuration yourself.

If you do not use messaging engine policy assistance, you must do the following:

- Create the messaging engine
- Configure a message store for the messaging engine
- Create a core group policy for the messaging engine
- Configure the core group policy to provide the required messaging engine behavior
- Use match criteria to associate the policy with the messaging engine

Unless you are familiar with this procedure and have used it before, it is preferable to use messaging engine policy assistance.

*High availability messaging engine policy:*

The high availability messaging engine policy is a predefined messaging engine policy type that is provided when you use messaging engine policy assistance. It helps you to create and configure a messaging engine in a cluster that is a member of a bus when you want the messaging engine to be highly available.

A high availability configuration ensures there is always a messaging engine running in the cluster. When a server that is hosting a messaging engine fails, the messaging engine is activated and run on another server. All messages that are set for high reliability, that were being processed or queued, will continue to be processed when the messaging engine starts on the next server. Use the high availability messaging engine policy for a system where it is a priority to process messages that are set for high reliability with minimum interruption.

The high availability messaging engine policy creates a single messaging engine for the cluster. The messaging engine is configured to fail over to any of the application servers in the cluster. All the application servers in the cluster are added to the preferred servers list, and this list determines the order in which the servers are used for failover. The earlier the server in the preferred servers list, the stronger the preference for that server. The messaging engine does not fail back, that is, if a more preferred server becomes available again, the messaging engine does not move back to that server.

The messaging engine is configured to use a single, highly available, message store (either a database or a file system) that all the servers in the cluster can access.

When you select the high availability messaging engine policy type on the administrative console, a diagram shows the selected cluster and the eventual outcome of the policy.

If there are no warning triangles in the diagram, and the “Is further configuration required” column shows No in the High availability row, the topology of the cluster and the configuration of the messaging engine is suitable, and you can continue.

If there are warning triangles in the diagram, examine the messages in the High availability row for guidance on how to achieve a suitable messaging engine configuration.

If you require high availability in a cluster, that cluster should contain at least two nodes, each with a server on it (that is, there should be at least two separate physical machines in the cluster). If the messages advise you to add another server on another node, you must redefine the topology of the cluster before you add the cluster as a member of a bus.

For example, the following figure shows three servers configured on one node. If that node fails, there will be no servers available for the messaging engine to fail over to. There must be at least one other server on a separate node to ensure that there is always a server on which a messaging engine can run.

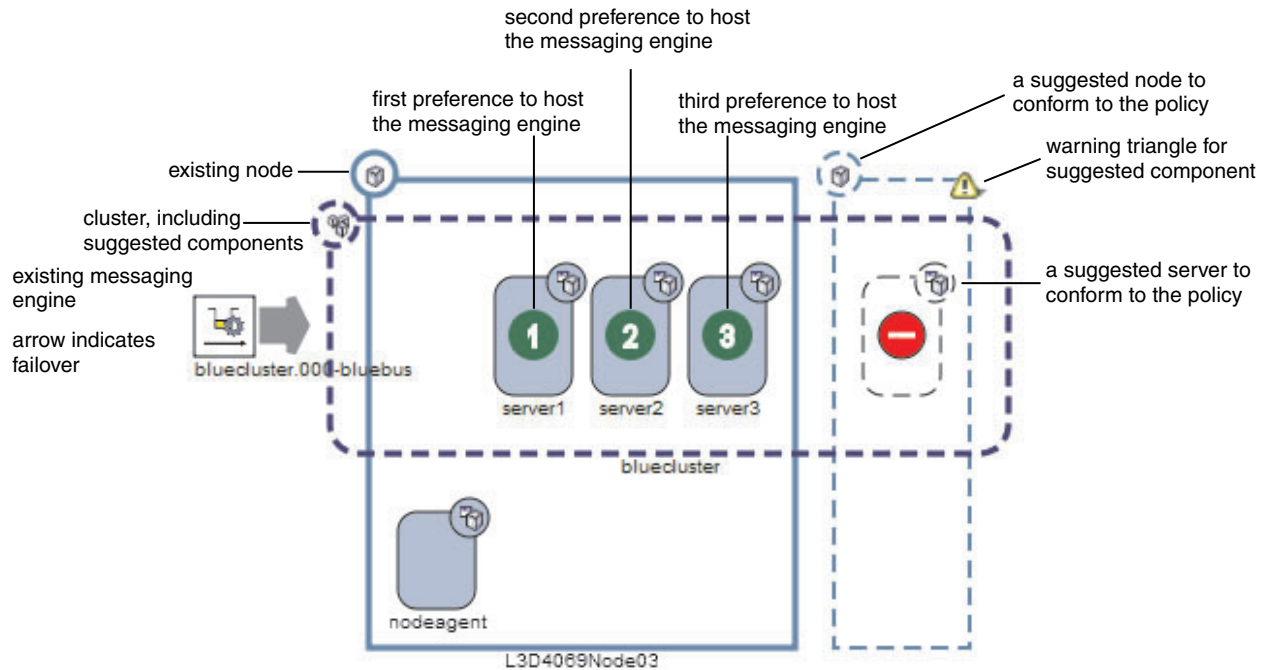


Figure 129. High availability policy selected without a suitable cluster topology

The following figure is an example of a diagram displayed when the cluster topology and messaging engine configuration is suitable for the high availability policy. There are three nodes and each node contains a server. If a messaging engine is running on a server in a node and that node fails, the messaging engine can run on one of the other servers in the other two nodes. There are no warning triangles and no suggested components with dotted lines around them because the policy can be used successfully.

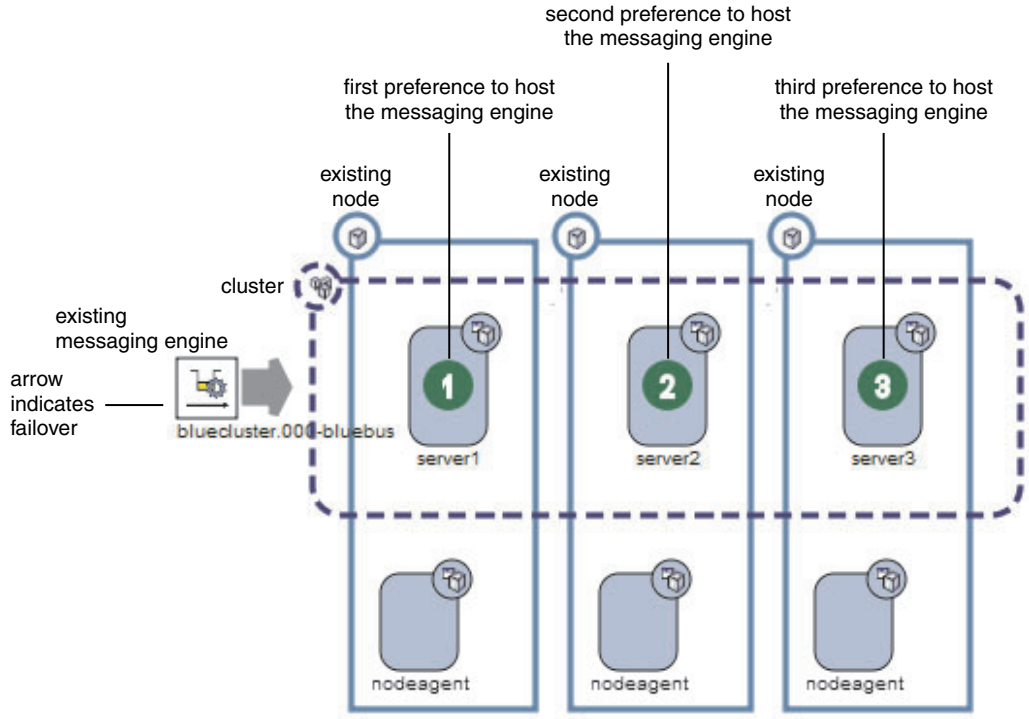


Figure 130. High availability policy selected with a suitable cluster configuration

The following table shows the messaging engine policy settings for a cluster of three servers that use the high availability messaging engine policy:

Table 58. Messaging engine policy settings for an example configuration. The first column of the table displays the messaging engine name. The second and third columns of the table indicate the failover and failback status of the messaging engine. The fourth column lists the three servers in the cluster. The fifth column indicates whether the messaging engine runs only on the preferred servers.

Messaging engine name	Failover	Failback	Preferred servers list	Only run on preferred servers
clustername.000-busname	true	false	server1 server2 server3	true

For more information about messaging engine configuration for high availability, see the related information.

*Scalability messaging engine policy:*

The scalability messaging engine policy is a predefined messaging engine policy type that is provided when you use messaging engine policy assistance. It helps you to create and configure messaging engines in a cluster that is a member of a bus when you require a configuration that is easy to expand for performance or workload sharing.

The scalability policy ensures that there is a messaging engine for each server in a cluster. If you add more servers to the cluster to support a larger client load or higher messaging throughput, each new server will run a messaging engine. Use the scalability policy for a system where you want to add more servers to a cluster to achieve better performance. If you also require high availability, see “Scalability with high availability messaging engine policy” on page 548.

The scalability messaging engine policy creates a single messaging engine for each server in the cluster. Each messaging engine can run only on the server that it is assigned to, and it cannot fail over to another server. If a server fails, the messaging engine that is running on it also fails, and is not available until the server recovers.

Each messaging engine is assigned to a specific server by configuring it to run only on servers in its list of preferred servers, then specifying only one server in that preferred servers list.

When you select the scalability messaging engine policy type on the administrative console, a diagram shows the selected cluster and the eventual outcome of the policy.

If there are no warning triangles in the diagram, and the “Is further configuration required” column shows No in the Scalability row, the topology of the cluster and the configuration of the messaging engine is suitable, and you can continue.

If there are warning triangles in the diagram, examine the messages in the Scalability row for guidance on how to achieve a suitable messaging engine configuration.

For example, the following figure shows three servers configured on one node and one messaging engine that can run on server1. A green circle on the server shows the location where the messaging engine can run. Two additional messaging engines are suggested by the grayed out components and the yellow warning triangles. There must be two more messaging engines to conform to the selected messaging engine policy.

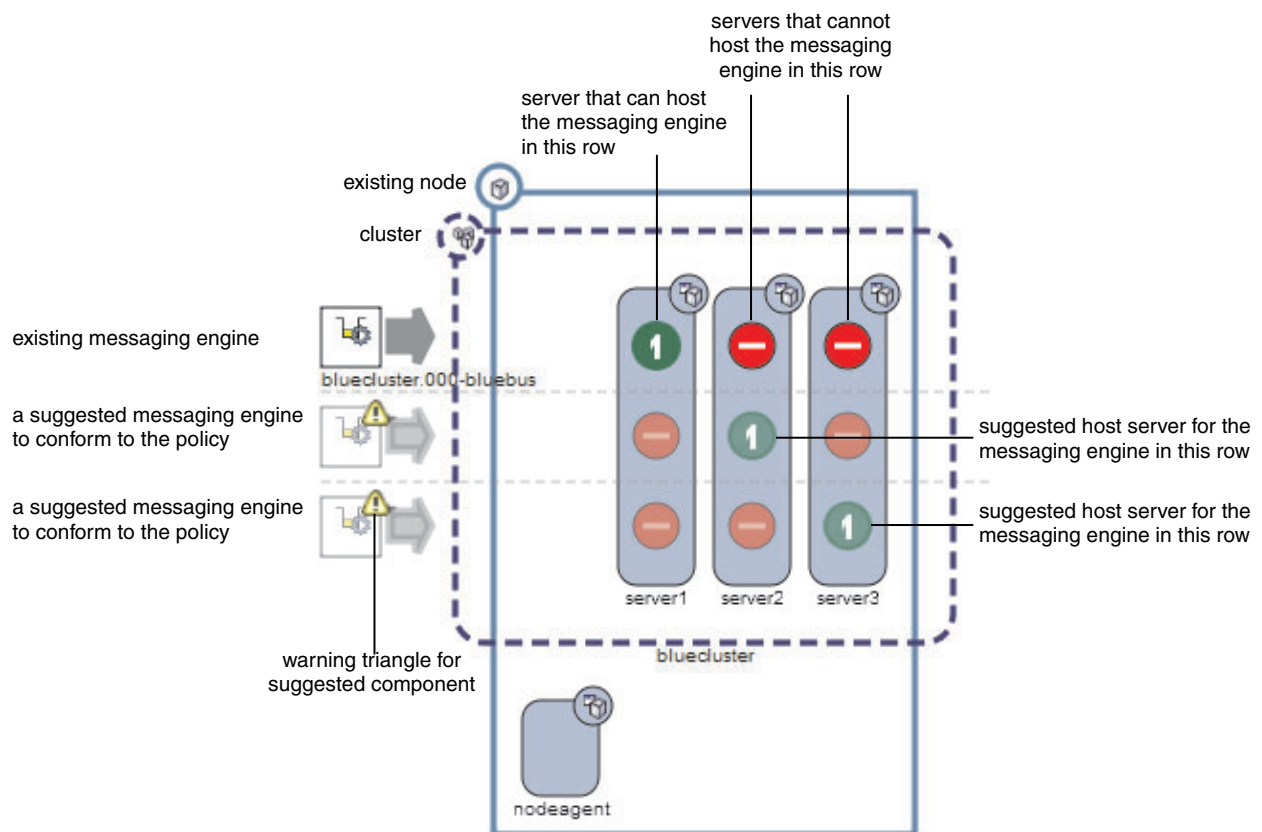


Figure 131. Scalability policy selected without a suitable messaging engine configuration

The following figure is an example of a diagram displayed when the messaging engine configuration is suitable for the scalability policy. There are three messaging engines and each one can run on only one server. There are no warning triangles and no faded out components because the policy can be used successfully.

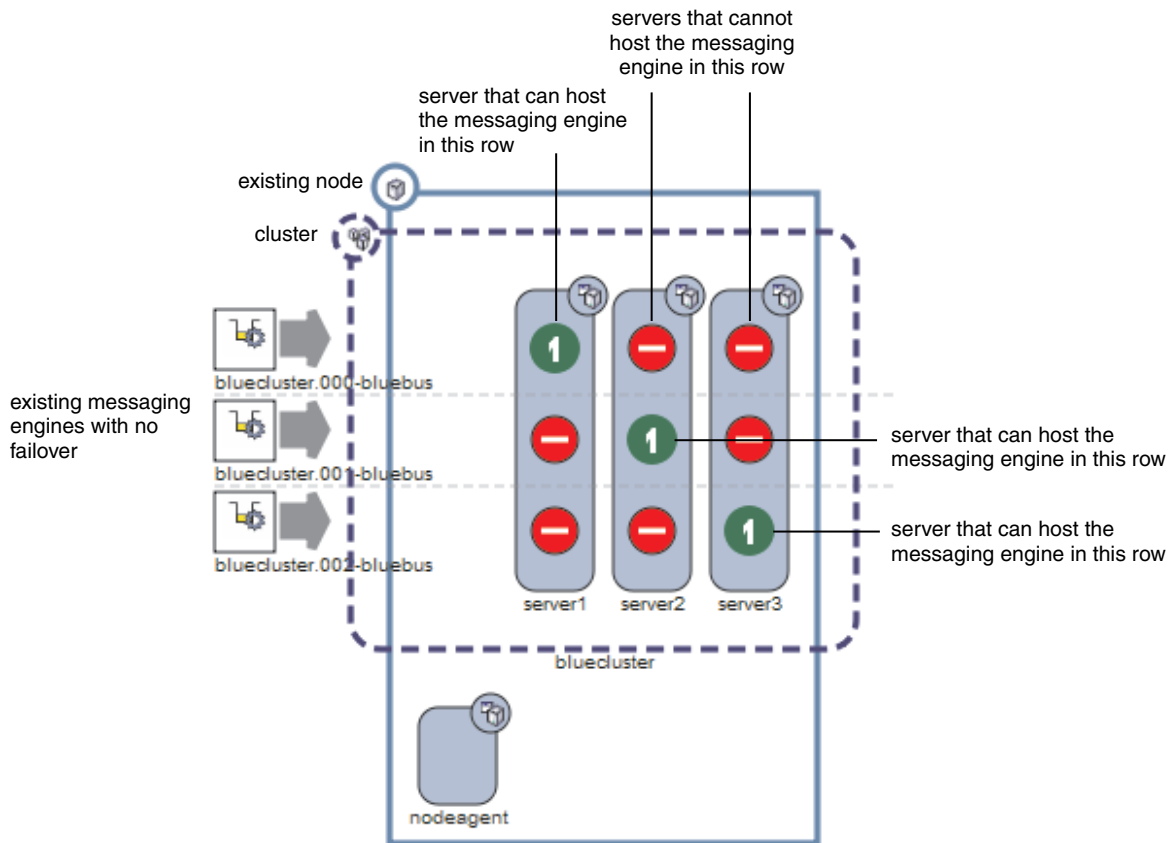


Figure 132. Scalability policy selected with a suitable messaging engine configuration

The following table shows the messaging engine policy settings for a cluster of three servers that use the scalability messaging engine policy.

Table 59. Messaging engine policy settings for an example configuration. The first column of the table displays the messaging engine names. The second and third columns of the table indicate the failover and failback status of the messaging engine. The fourth column lists the three servers in the cluster. The fifth column indicates whether the messaging engine runs only on the preferred servers.

Messaging engine name	Failover	Failback	Preferred servers list	Only run on preferred servers
clustername.000-busname	false	false	server1	true
clustername.001-busname	false	false	server2	true
clustername.002-busname	false	false	server3	true

For more information about messaging engine configuration for scalability or workload sharing, see the related information.

Scalability with high availability messaging engine policy:

The scalability with high availability messaging engine policy is a predefined messaging engine policy type that is provided when you use messaging engine policy assistance. It helps you to configure a cluster that is a member of a bus when you require both high availability and scalability in the cluster.

The scalability with high availability configuration ensures that there is a messaging engine for each server in a cluster, and that each messaging engine has a failover location.

The scalability with high availability messaging engine policy creates a single messaging engine for each server in the cluster. Each messaging engine can fail over to one other specified server in the cluster. Each server can host up to two messaging engines, such that there is an ordered circular relationship between the servers. Each messaging engine can fail back, that is, if a messaging engine fails over to another server, and then the original server becomes available again, the messaging engine automatically moves back to that server.

Each messaging engine is assigned to a specific server by configuring it to run only on servers in its list of preferred servers, then specifying only two servers in that preferred servers list. Each server is the first preferred server for one messaging engine and the second preferred server for another one, which creates the circular relationship between the servers. Failback is enabled so that each messaging engine is always hosted by its preferred server if that server is running.

Both servers that can host a specific messaging engine must be able to access the message store (either a database or a file system) that is configured for that messaging engine.

Use the scalability with high availability policy for a system where you want to add more servers to a cluster without affecting the existing messaging engines, but you also want to ensure that messaging is always available.

When you select the scalability with high availability messaging engine policy type on the administrative console, a diagram shows the selected cluster and the eventual outcome of the policy.

If there are no warning triangles in the diagram, and the “Is further configuration required” column shows No in the Scalability with high availability row, the topology of the cluster and the configuration of the messaging engine is suitable, and you can continue.

If there are warning triangles in the diagram, examine the messages in the Scalability with high availability row for guidance on how to achieve a suitable messaging engine configuration.

If you require high availability in a cluster, that cluster should contain at least two nodes, each with a server on it, that is, there should be at least two separate physical machines in the cluster. If the messages advise you to add another server on another node, you must go back and redefine the topology of the cluster before you add the cluster as a member of a bus.

For example, the following figure shows three servers configured on one node. If that node fails, there will be no servers available for any of the messaging engines to fail over to. To provide some high availability, there must be at least one other server on a separate node to ensure that there is a server on which at least one messaging engine can run. Also, there is only one messaging engine configured. To provide some scalability, there must be one messaging engine for each server.

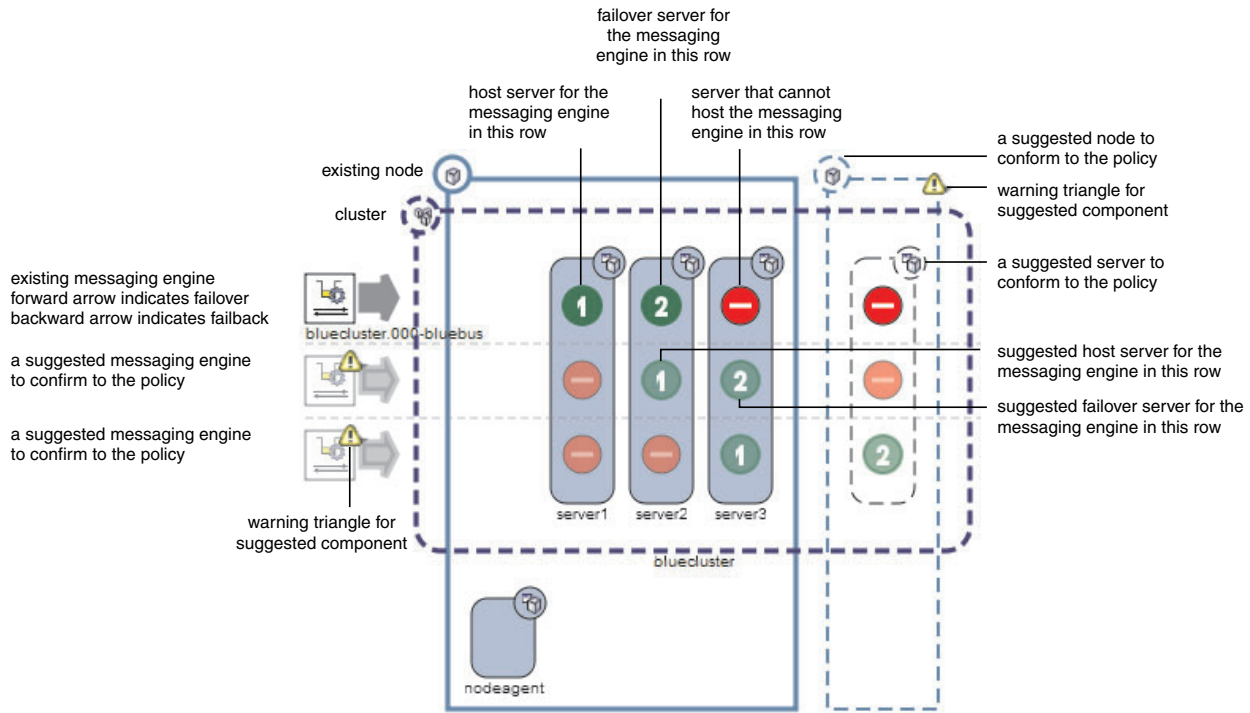


Figure 133. Scalability with high availability policy selected without a suitable system configuration

The figure two is an example of when the messaging engine configuration is suitable for the scalability with high availability policy. There are three servers, each on a separate node, and three messaging engines. Each messaging engine has a preferred server and one other server it can use for failover. Each server is the preferred host for one messaging engine, and the failover host for one other messaging engine. There are no warning triangles and no faded out components because the policy can be used successfully.



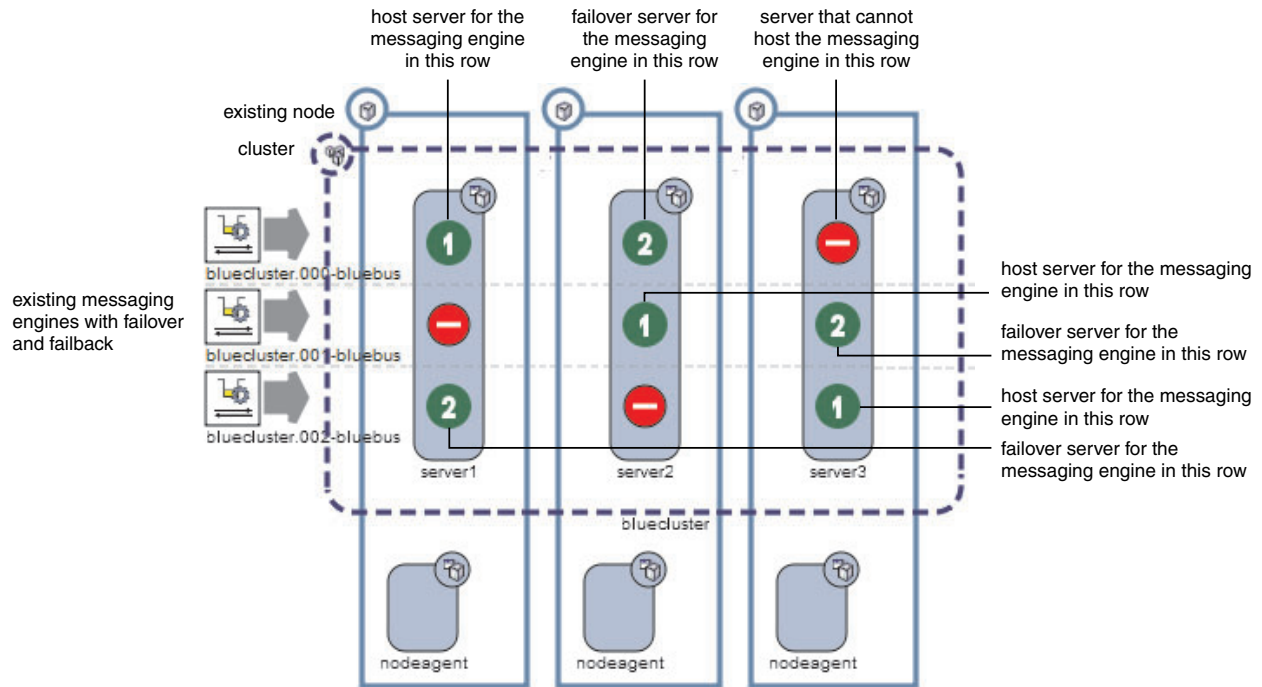


Figure 134. Scalability with high availability policy selected with a suitable system configuration

The following table shows the messaging engine policy settings for a cluster of three servers that use the scalability with high availability messaging engine policy.

Table 60. Messaging engine policy settings for an example configuration. The first column of the table displays the messaging engine name. The second and third columns of the table indicate the failover and failback status of the messaging engine. The fourth column lists the three servers in the cluster. The fifth column indicates whether the messaging engine runs only on the preferred servers.

Messaging engine name	Failover	Failback	Preferred servers list	Only run on preferred servers
clustername.000-busname	true	true	server1 server2	true
clustername.001-busname	true	true	server2 server3	true
clustername.002-busname	true	true	server3 server1	true

The predefined scalability with high availability messaging engine policy creates a configuration with aspects of scalability and high availability. It is not the only way to configure a cluster to provide scalability and high availability, but it is a frequently-used configuration. If you have other requirements, for example, message transmission is a priority and you want to increase the number of possible locations for each messaging engine, you can use the custom messaging engine policy.

For more information about configuration for high availability with scalability and workload sharing, see the related information.

#### Custom messaging engine policy:

The custom messaging engine policy is a messaging engine policy type that is provided when you use messaging engine policy assistance. It helps you to create and configure messaging engines in a cluster

that is a member of a bus when the predefined messaging engine policy types do not meet your needs. You can configure the messaging engine behavior, then the appropriate messaging engine policies are created automatically.

You can create any number of messaging engines for the cluster. For each messaging engine, you must specify the behavior that you require, such as whether it can fail over and whether it uses preferred servers. The core group policies and match criteria for each messaging engine are automatically created.

Use this policy when the other options of High availability, Scalability, or Scalability with high availability do not provide the messaging engine behavior you require, and you are familiar with creating messaging engines and configuring messaging engine policy settings.

When you select the custom messaging engine policy type, a diagram is displayed that shows the selected cluster and the associated messaging engines, but there are no warnings or advice about the suitability of the configuration.

You can configure the messaging engine policy to set the following:

- Whether the messaging engine can fail over to another server
- Whether the messaging engine can fail back to a server in the preferred servers list
- Whether a messaging engine can run only on a server in the preferred servers list, or can run on any server in the cluster
- The list of preferred servers, where the earlier the server is in the list, the higher the preference for it

When you set the configuration, remember the following points:

- If you select failover and do not create a preferred servers list, the messaging engine can fail over to any other server in the cluster.
- If you select failover and create a preferred servers list, the messaging engine can fail over to the servers in the preferred server list, in the order that they are listed, and then to any other server in the cluster.
- If you select failover, select that the messaging engine can run only on servers in the preferred servers list, and create a preferred servers list, the messaging engine can fail over only to the servers in the preferred server list. You can use this combination of settings to associate a messaging engine with a specific server, by listing only one preferred server. Alternatively, you can use this combination of settings to control how many messaging engines a server can host, by listing a limited number of preferred servers for each messaging engine in the cluster.

It is possible to create a messaging engine for a cluster without using messaging engine policy assistance. However, you must either use the default core group policy for messaging engines and the default settings, or create the core group policies and settings yourself. Use this procedure if you need a specific configuration and you are familiar with the procedure. Otherwise, use messaging engine policy assistance.

### ***Match criteria for service integration:***

Match criteria are a set of one or more name-value pairs in a policy definition. You use the match criteria to make a policy bind to a particular messaging engine, or a set of messaging engines. To do this, you configure the match criteria of the policy to match the properties of the high availability group HAGroup that you want the policy to manage, that is, the HAGroup that contains the messaging engine.

**Note:** If you use messaging engine policy assistance to configure the messaging engine behavior for messaging engines in a cluster, suitable match criteria are created automatically and you do not have to specify any.

The following table lists the names and values of the HAGroup properties for a messaging engine, and the set of matching messaging engines if a property is used in the policy match criteria:

Name	Value	The messaging engines that the policy matches
type	WSAF_SIB	Any messaging engine
WSAF_SIB_MESSAGING_ENGINE	The name of the messaging engine. This is in the form <i>node.server-bus</i> for a messaging engine in a server, or <i>cluster.number-bus</i> for a messaging engine in a cluster, where <i>number</i> relates to the order that messaging engines were added to the bus (the first messaging engine that is created when you add the cluster to a bus has the number 000).	A particular messaging engine
WSAF_SIB_BUS	The name of the bus	All messaging engines in a particular bus
IBM_hc	The name of the cluster	All messaging engines in a particular cluster

Using the match criteria, you can associate the policy with all messaging engines, all messaging engines on a named bus, all messaging engines in a particular cluster, or a single messaging engine with a specific name.

The most general policy is the default included with the product, the “Default SIBus Policy”. This policy has a single match criterion: `type=WSAF_SIB`. This policy matches any messaging engine that does not have a stronger match to another policy.

For a policy to be assigned to an HAGroup, all the policy criteria must match. You can specify multiple match criteria; the more criteria that match, the stronger the match becomes. The criteria are logically conjoined and are effectively filtering conditions on the set of policies that can match the messaging engine HAGroup. If a policy has any match criteria that do not match one of the HAGroup properties, the policy cannot match that HAGroup.

For example, if you add a match criterion that requires that the HAGroup has the `WSAF_SIB_BUS=MyBus` property, it restricts the policy to match only messaging engines on the bus that is named MyBus.

Alternatively, if you add a match criterion that requires that the HAGroup has the `WSAF_SIB_MESSAGING_ENGINE=MyCluster.002-MyBus` property, it restricts the policy to match only the messaging engine that is named MyCluster.002-MyBus.

You can use the `IBM_hc` match criterion to use the same policy for resources (not necessarily of the same type) that are in the same server cluster.

Be careful that you do not configure a logically impossible combination of criteria. For example, if you specify a bus that does not exist, or name a messaging engine that does not exist, the policy cannot match any HAGroup.

You must also ensure that you do not define policies that create conflicting matches for any messaging engines. If a messaging engine matches with equal strength to more than one policy, there is a conflict that cannot be resolved, and an error occurs.

Every messaging engine matches once with the “Default SIBus Policy”. Therefore, when you define another policy and specify match criteria, specify multiple match criteria to ensure that these match criteria create a stronger match than the match that the “Default SIBus Policy” creates.

For example, to associate a policy with all the messaging engines on a bus, specify the match criteria `type=WSAF_SIB` and `WSAF_SIB_BUS=bus_name` for the policy. All messaging engines on the bus match twice with the criteria that are specified in the policy. Therefore, the policy has the strongest match and is associated with those messaging engines, and there is no conflict with the “Default SIBus Policy”.

One approach to ensure that the matches you require are strong, and to minimize the possibility of conflicting matches, is to specify more match criteria as the level at which you want to associate the policy becomes finer. For example:

- The “Default SIBus Policy” specifies a match criteria of `type=WSAF_SIB`.
- To associate a policy with all the messaging engines on a bus, specify the match criteria `type=WSAF_SIB` and `WSAF_SIB_BUS=bus_name` for the policy.
- To associate a policy with all the messaging engines in a cluster, specify the match criteria `type=WSAF_SIB`, `WSAF_SIB_BUS=bus_name`, and `IBM_hc=cluster_name` for the policy.
- To associate a policy with a specific messaging engine, specify the match criteria `type=WSAF_SIB`, `WSAF_SIB_BUS=bus_name`, `IBM_hc=cluster_name`, and `WSAF_SIB_MESSAGING_ENGINE=messaging_engine_name` for the policy.

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## Mediations

A mediation is a Java program that extends the messaging capabilities of WebSphere Application Server. Mediations can be used to simplify connecting systems, services, applications, or components that use messaging.

Mediations are used to process in flight messages. The type of processing a mediation can undertake includes:

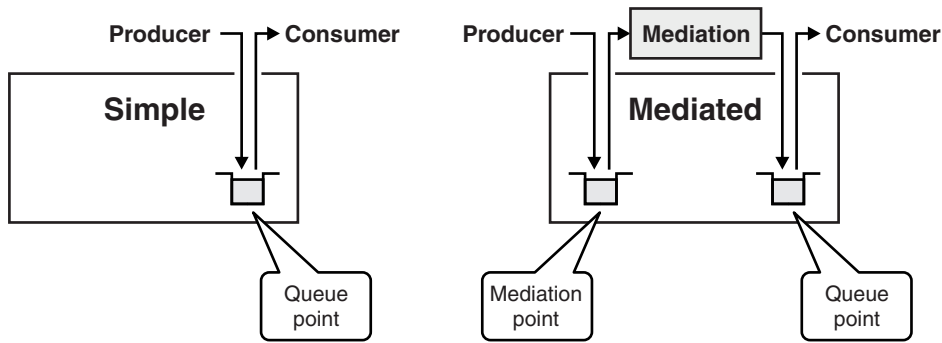
- Transforming a message from one format into another.
- Routing messages to one or more additional target destinations.
- Adding data to a message from a data source.
- Controlling message delivery based on some conditional logic in the mediation.

You can use a mediation to process messages as an alternative to using a message-driven bean (MDB). A mediation has two advantages:

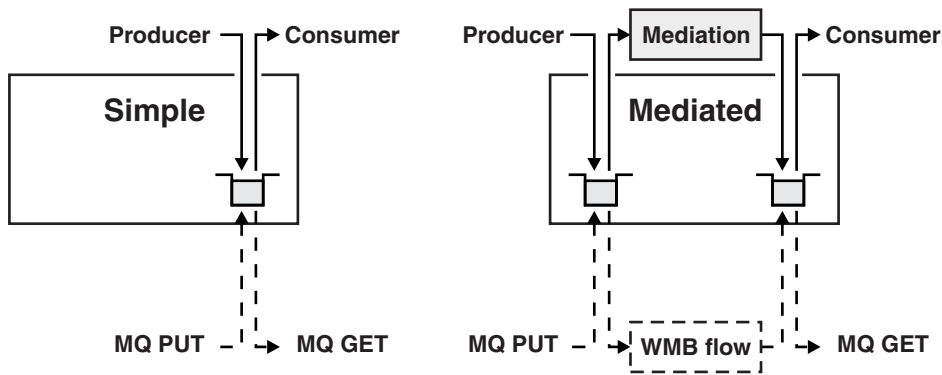
- It preserves the message identity. If an MDB re-sends a message after processing its body, it sends a new message with a new message ID and message properties. By preserving the message identity, using a mediation makes it easier to track messages.
- It is independent of the messaging technology. The mediation programming model provides a Service Data Objects (SDO) Version 1 interface to all messages and a common API for accessing properties and metadata.

When a message arrives at the mediation point, the mediation consumes the message and either transforms, subsets, aggregates or disaggregates the message. The message is then either forwarded to another destination or returned to the same destination, in which case, the message goes to the queue

point where it can be consumed by the messaging application. This is shown in the following figure:



You can configure a destination so the mediation point or the queue point, or both are WebSphere MQ queues. If both are Websphere MQ queues then a WebSphereMQ application can act as an external mediation as shown in the following figure:



WebSphere Application Server provides a mediation framework runtime that enables you to mediate messages. IBM Rational Application Developer and the assembly tools provide the tools needed to develop, assemble, test and deploy mediations.

You can mediate any type of destination in the service integration bus: inbound or outbound services, queues, and topic spaces. When you mediate a destination it is split into two parts called pre-mediated and post-mediated. The mediation receives messages from the pre-mediated part. Providing the messages are not redirected to another destination or discarded by the mediation, the mediation places messages on the post-mediated part. Messages on the post-mediated part are delivered to a message consumer. Splitting a destination into two parts allows asynchronous mediation of messages.

At deployment, the administrator can choose to have your mediation operate within a global unit of work to ensure transactional integrity, or to support concurrency if throughput of messages at a destination is important.

After deployment, the administrator configures your mediation for use at runtime using the WebSphere Application Server administrative console. The mediation is configured for use at a specific destination. The physical location is called a mediation point. The message processing provided by your mediation is started when the mediation point receives a message from the messaging runtime environment. The mediation operates on the message, for example transforming it, or forwarding it to other destinations.

## Mediation handlers and mediation handler lists

Mediations are specified as a simple sequential list of mediation handlers. You must assemble and deploy the mediation handler list into an Enterprise Applications Archive and install it in WebSphere Application

Server. Once the mediation is associated with a destination, it processes messages arriving at that destination. A mediation handler is the Java class that processes the messages.

Examples of message processing performed by a mediation handler include the following:

- Transforming a message into a different format.
- Routing messages to other destinations.
- Adding data to a message from a data source.
- Modifying properties of the message.

The mediation handler class implements the Java interface `com.ibm.websphere.sib.mediation.handler.MediationHandler`. You assemble the mediation handler class into an Enterprise Applications Archive (EAR) file then deploy the mediation handler application in a mediation handler list by using an assembly tool, for example IBM Rational Application Developer.

You can assign one or more mediation handlers to a mediation handler list to define a set of operations to apply to each message. When you assign a mediation handler to a mediation handler list, you assign a sequence number to the mediation handler. The sequence number is used to determine the specific sequence in which the mediations in the mediation handler lists are invoked.

You configure and create a mediation in the administrative console, attaching it to a destination. By default the mediation handler list has the same name as the mediation handler, but you can specify a different name if required.

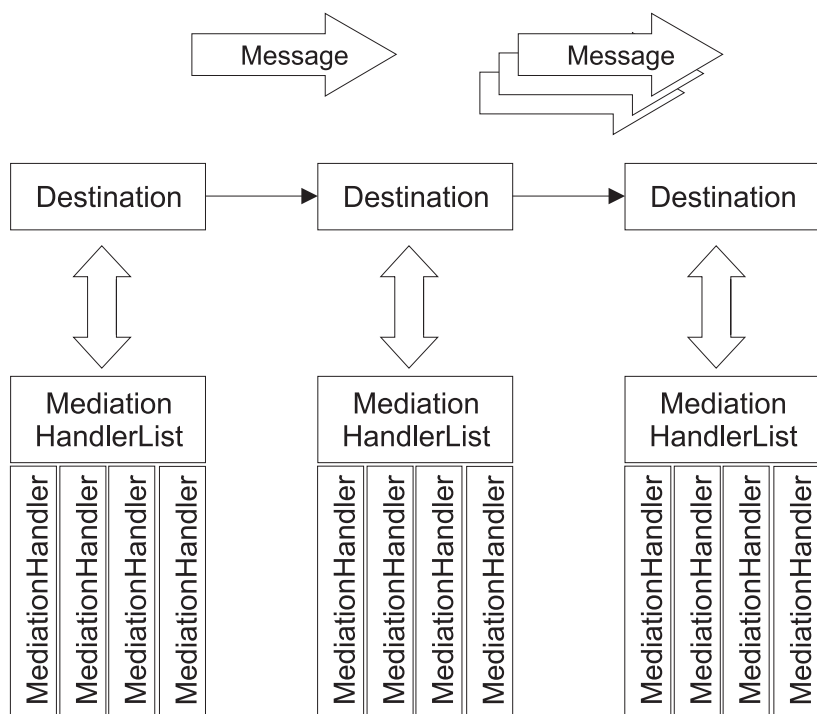


Figure 1 shows how a mediation is composed of a simple sequential list of mediation handlers. The result of the operation of each mediation handler in the list determines whether the next mediation handler in the list is called, or if the message is routed to the next destination.

Figure 135. Mediation handler lists

In most cases, you will assign only one mediation handler to a mediation handler list. The tooling used to deploy the mediation handler into an EAR provides a basic configuration option for automatically adding the mediation handler to its own mediation handler list.

The behavior of a mediation handler can be controlled by setting properties at various stages of mediation development. You can set these properties when you write the Java class, assemble and deploy the mediation handler, or at runtime when the mediation is created and installed.

## Transactionality in mediations

You can configure a mediation handler to run within a global transaction.

A global transaction is required when:

- Mediating and routing messages must be coordinated into a single transaction.
- Several mediation handlers in a mediation handler list must be coordinated into a single transaction.

Setting the **Global transaction** property ensures transactional integrity between a mediation that accesses the resources owned by other resource managers, and the messaging engine.

A global transaction encompasses all the mediation operations that are run within the bus for the duration of the mediation. The global transaction ends when the mediation completes its processing.

Whether the **Global transaction** property is set to `True` or `False`, a mediation either performs all its operations on a message, or none of them.

If a mediation transaction rolls back, all transactional changes also roll back. When the transaction rolls back, the mediated message remains on the pre-mediated part of the bus destination and becomes eligible to be mediated again. The re-delivery count assigned to a message increments each time a mediation transaction rolls back. If the re-delivery count exceeds the limit configured for the bus destination, the message is sent to the exception destination.

You specify the transactional context of a mediation handler by setting the **Global transaction** property to `True` by using the administrative console. The default value is `False`, and a global transaction is not started. You can also configure individual messaging operations to run outside the global unit of work.

## Performance tuning for mediations

You can set the property **sib:SkipWellFormedCheck** in the administrative console to improve the performance of a mediation. Before you set a property to tune a mediation, you should consider the behavior of the mediation, to prevent the modification or loss of messages.

The mediation must either not modify the message, or it must ensure that the message is *well formed* post-mediation. A well formed message has message property values that can be serialized, and a message datagraph that conforms to the message format.

If you set a tuning property for a mediation that does not conform to these rules, the following events might occur:

- Messages disappear either when moving between messaging engines or when they are saved in the message store.
- Modified messages, instead of the original messages, arrive at the exception destination.
- Messages are modified while a mediation or a consumer application is reading the message content.

**Tip:** When you use an enterprise bean as a message producer, the topic or queue connection factory pool size acts as a throttle that controls the rate at which an enterprise bean can produce messages. There is no one specific value that is suitable for all circumstances, therefore you must tune this parameter for your given application and hardware combination.

## Performance monitoring for mediations

Mediation performance monitoring is provided by the WebSphere Application Server Performance Monitoring Infrastructure (PMI).

When PMI is enabled, two pieces of statistical information are updated continuously for each mediated destination, as described in the following table:

Performance statistic	Description
Mediation Time	The time taken to perform the mediation.
Messages Mediated	A count of the number of messages mediated at this destination.

Mediated destination level statistics can be aggregated by mediation. An additional statistic is updated on request for each mediation, as described in the following table:

Performance statistic	Description
Thread Allocated	The number of threads in the mediation thread pool performing work for the mediation.

Mediation level statistics can be aggregated by messaging engine, and messaging engine level statistics can be aggregated to provide statistics for the application server.

## Concurrent mediations

Several messages might be mediated concurrently, to achieve maximum throughput for a selected mediated destination.

The maximum number of messages that can be mediated concurrently at a selected destination is capped for each messaging engine by the maximum size of the mediation thread pool.

You can specify that a mediation operates concurrently by setting the **Allow Concurrent mediation** property to `True`, by using the administrative console. If message ordering is important, do not allow concurrent mediations. By default (**Allow Concurrent mediation** is set to `False`), a single message is mediated at a time.

## Mediation points

A mediation point is a location in a messaging engine at which messages are stored and mediated.

A *mediation point* is a specialized message point. When an administrator associates a mediation with a bus destination, one or more mediation points are created on the bus member, depending on the type of destination. For a mediated queue (used in point-to-point messaging), a mediation point is created for each queue point on the bus member. For a mediated topic space (used in publish/subscribe messaging), a mediation point is created for each publication point on the bus member.

A mediation point has a property called **Initial State** that determines the state of the mediation point when the messaging engine starts. The default value is `Started`.

A mediation point also has a runtime property called **Send Allowed** that controls how messages are routed. This property overrides the properties set for the mediated destination.

The mediation point properties are described in the following table:



Table 61. Mediation point properties. The first column contains the mediation point property names. The second column provides the property values. The third column includes the comments of the properties.

Property name	Value	Comment
<b>Send Allowed</b>	Boolean: True or False	True routes messages to the mediation point. False re-routes messages to a mediation point that has <b>Send Allowed</b> set to True. Messages are not routed to the exception destination. Note that if all mediation points are set to False, applications cannot send messages to that destination.
<b>Initial State</b>	Started or Stopped	The default value is Started. <b>Initial State</b> specifies the state of the mediation point when the host messaging engine is started for the first time. It is not a runtime control.

At run time, the administrator can control message delivery by starting and stopping mediations at mediation points by using the administrative console. For example, if the administrator starts a mediation at a mediation point, any messages sent to the destination are processed immediately by the mediation. If the administrator subsequently stops the mediation, any further messages that arrive at the destination are stored at the mediation point until the mediation restarts. The runtime state of a mediation point represents a runtime instance of the mediation.

## Mediation context information

Mediation context information is used to ensure that messages are processed correctly by parameterizing the mediation handlers, providing configuration information at runtime. For example, the name of a file to write to.

The mediation context information comprises property values that are passed to each mediation at run time. The property values, in conjunction with the information in the message header, contribute to the way in which a message is mediated.

The context properties (name, value and type) are specified for both mediations and bus destinations by using the administrative console. The mediation uses both sets of context properties, but those for a bus destination takes precedence over the context properties for a mediation. For example, if a property with the same name is configured for a destination and a mediation, the property on the destination takes precedence.

When a message is mediated and a mediation handler is invoked, the information specified in the context information is accessed by the `getProperty()` method and becomes an entry in the `MessageContext` information for the mediation handler. The documentation for each mediation handler describes the information it expects to find in the `MessageContext` property.

When a mediation handler is invoked in the context of a mediation handler list, the message context might be passed from one handler to the next in sequence.

## Mediations security

When bus security is enabled, authorization permissions are required to ensure that mediations can run, and undertake messaging operations securely on a service integration bus. There are mechanisms for mediations security, and implications for running mediations on a bus that spans multiple security domains.

When bus security is enabled, the messaging engine must be authorized to access the mediation. Authorization is granted by using a mediations authentication alias or an LTPA token, depending on the version of the bus member:

- A WebSphere Application Server Version 7.0 or later bus member uses an LTPA token for messaging engine authentication. If an authentication alias is specified, it is used but a password is not required.

- A WebSphere Application Server Version 6 bus member requires an authentication alias to ensure that the mediation can be called. For more information, see [Configuring the bus to access secured mediations](#).

When an application sends a message to the bus, the identity of the sender application is associated with the message. The message is sent to the next destination in the forward routing path providing the message originator has Sender authority for that destination. If a mediation processes the message in some way at the target destination, the identity associated with the message is preserved by default. You can program the mediation to reset the message identity to the identity under which the mediation code runs. For example, if the mediated destination represents the boundary between two security domains, the sender application is not authorized to access the mediated destination. By translating different identities into a single user identity, you can control access between security domains. For more information about programming mediations, see “Mediation programming” on page 561. For more information about using the `resetIdentity()` method, see `SIMediationSession` .

When you install a mediation for use when bus security is enabled, you must ensure that the identity that is used by the bus to call the mediation can access the mediation. By default, a mediation is unauthenticated. You can configure it to use the mediations authentication alias by specifying a `RunAs` role by using the assembly tools. For more information, see [Configuring an alternative mediation identity for a mediation handler](#).

If bus security is enabled, and a mediation is sending messages to a destination, the mediation identity requires authority to access the destination. For more information, see [Administering authorization permissions](#). Any new messages sent by the mediation are sent using the mediation identity.

If administrative security is disabled, an identity is not configured for the mediation. If bus security is enabled, and administrative security is disabled, the mediation is not authenticated to access bus destinations.

## Using mediations in multiple security domains

You can run mediations successfully in a bus topology where the members of a bus span multiple security domains. The bus security configuration provides an option, called **`addUserServerIdForMediations`**, to allow mediations to run under a server identity. In this case, a mediation authentication alias is not required.

Mediations are deployed as applications, and run in the domain used by the application server, not the bus domain. Because the mediation authentication alias applies to the whole bus, if you run a mediation on multiple servers in different domains, you must ensure that the user identity in the mediation authentication alias exists in the configuration for each domain. Alternatively, you can choose to use the server identity option. You can use this option even if multiple domains are not in use.

## Mediation application installation

A mediation application is an enterprise application (EAR file) that contains a mediation handler enterprise bean project. Installing the EAR file into WebSphere Application Server (base) makes the mediation handler available for use at a destination.

You must install the EAR file on every server on which you intend the mediation to run, taking into account the following:

- If you intend the mediation to mediate a topic, you must install the mediation on every server that has a messaging engine.
- If you add a new member to the bus after you have mediated a topic, you must install the mediation on the new bus member.
- If you are installing on a queue, you must install the EAR file on the queue point. For a partitioned queue, you must install the EAR file on the cluster.

## Installing a mediation application on a secure server

If you are installing a mediation application for use when WebSphere Application Server security is enabled, you must ensure that the messaging engine can access the mediation. For more information, see [Ensuring the message engine can access mediations](#).

## Mediation programming

Using the capabilities of the mediation infrastructure, you can program mediations to customize the way that a service integration bus handles messages. You develop the mediation code within a component called a mediation handler, and add the mediation handler to a handler list, which is an application that is ready to deploy and install. You can connect a number of mediation handlers together in a mediation handler list to create a set of operations to run on a message.

A mediation handler is a Java program framework to which you add the code that operates on a message to perform the mediation function. For example, you can program mediations to process messages in any of the following ways:

- Reformat messages from the format produced by one application to the format required by another
- Route messages based on message content
- Distribute messages to more than one destination
- Augment messages by adding information to a message from another data source
- Transcode messages from one concrete representation to another

The following programming APIs are available for working with messages when you program a mediation:

### MediationHandler API

A mediation handler must implement the `MediationHandler` interface. This interface defines the method that is invoked by the mediation runtime environment.

### SIMessage and SIMessageContext APIs

These APIs allow your mediation to operate on the contents of the message.

### SIMediationSession API

This API gives your mediation access to a service integration bus so that the mediation can send and receive messages.

You create a handler list by using an assembly tool, for example IBM Rational Application Developer, before deploying the mediation handler application as an Enterprise Archive (EAR file).

A handler list can contain one or many mediation handlers. At run time, each mediation handler in the list is invoked in sequence. Each time a handler returns a value of *True*, the same message context is passed to the next handler. If a handler returns the value *False*, then the context is not passed to the next handler. The message is discarded, and is not delivered to its target destination.

## SDO data graphs

Service Data Objects (SDO) is an open standard for enabling applications to handle data from different data sources in a uniform way, as data graphs. SDO data graphs are an important concept for mediation programmers because you can use them to represent different types of message information in a standard way, giving a simple and powerful model for programming mediations.

Using SDO, your applications can uniformly access and manipulate data from diverse data sources including relational databases, XML data sources, Web services, and enterprise information systems.

SDO data graphs are structured collections of data objects. In general, data graphs generated from messages have a tree structure. A mediation retrieves a data graph from a message, transforms the data graph, and reflects the updates to the data graph in the message.

In WebSphere Application Server, data access services connect mediations to data sources, allowing mediations to manipulate an abstract representation of the message, the `SIMessage`. The `SIMessage` API provides a method, `getDataGraph()`, that returns the SDO data graph containing the `SIMessage` content in a tree representation, or graph of data objects. Each data object represents one or more fields in the message, or it points to other objects.

When a data graph is requested from a message, the appropriate data access service is identified by a format property in the `SIMessage`. The format string controls which data access service is used to process the message, and can contain additional control information for that data access service. The data access service controls the structure of the message. For more information about the data access services available in WebSphere Application Server, see Mapping of SDO data graphs for web services messages.

The `SIMessageContext` API provides access to:

- The `SIMessage`, and its rich set of message manipulation methods
- The `SIMediationSession`, for Service Integration technologies functions

Data objects hold their data as a set of named properties. Each property has a type that is either an attribute type (for example, `int`) or a commonly used data type (for example, `Date`). If the property is a reference, it has the type of another data object. The Data Object API provides a dynamic data API for manipulating these properties, with the following interfaces that relate to instance data:

- The **DataObject** interface provides a set of methods to retrieve and update the contents of a data object. It also provides methods to perform the following actions:
  - Access the container of the data object and the data graph to which the data object belongs
  - Create a new instance of a contained data object
  - Delete a data object from its container

The `DataObject` interface also provides the ability to get the type of the data object.

- The **DataGraph** interface is a graph of data objects. The graph consists of a single root data object and all the data objects that can be reached by recursively traversing the containment references of the root data object.

SDO also contains a metadata API for examining the model of a data graph, consisting of Types and Properties:

- A Type has a set of Property objects. SDO Types can be compared with type definitions in other type systems. For example, the SDO view of a Java Class is a Type, with each field in the Class represented by a Property. For XML Schema, a `ComplexType` is represented by a Type, with a Property for each element or attribute.
- A data object is composed of properties. To access a property, specify the Property object, the name of the property, or the index of the property.

The version of SDO data graphs used by mediations is Version 1. Data graphs provided by the `SIMessage` and `SIDataGraphFactory` interfaces can only be provided to other `SIMessage` objects. Data graphs provided to `SIMessage` objects can only come from other `SIMessage` objects or have been constructed using the `SIDataGraphFactory`.

## Coding tips for mediations programming

Programming hints to help you when you are writing mediation code.

- Take care to avoid looping in the Forward Routing Path. For example, if you set a destination in the path that is the same as the current destination, the message will endlessly circle, with the routing path being reset to the current destination each time. The mediation framework does not check for loops in routing paths.
- Avoid the use of static fields where possible. A single mediation may be deployed to process multiple messages concurrently.

- Do not cache values computed from the message context or message contents. Such values might change from message to message. The exception is caching values derived solely from the mediation handler properties for performance purposes.
- Mediation programming is subject to the same restrictions as programming an EJB. For more information about restrictions, see Section 18.1.2 of the EJB 1.1 specification.
- Choose the appropriate level of transactional control for your mediation: for example, a mediation that operates on fields within a message is unlikely to have implications for transactional control. At the other extreme, if your mediation updates database fields, it requires transactional control, and you should alert your administrator to set the UseGlobalTransaction flag in the mediation definition. This flag defaults to a value of *False*.
- Hints that apply specifically to message format:
  - It is good practice to check that your message conforms to the expected format after your mediation function has operated on it. You should use the `isWellFormed` method in the `SIMessage` interface to check that all the values of the message properties can be serialized, and that the data graph of the message conforms to the format of the message.
  - Depending on how you want to process the message, you can specify a format that meets your needs rather than accept the natural format. For example, if you want to handle a SOAP message as a byte string, use the `getNewDataGraph` method in the `SIMessage` interface and specify a format of `JMS/bytes`. `getNewDataGraph` returns a new SDO data graph containing a copy of the `SIMessage` payload content in the tree representation specified by the format field, in this example as a byte string.
  - It is good practice to check the message format in the mediation code because a mediation is unlikely to successfully process a message with an unexpected format. Use `getFormat` method on the `SIMessage` interface.
- The version of SDO supported by mediations is Version 1 only.
- Due to a restriction in the SDO user interface to the message, message access methods do not have a 'throws' clause. As a result, an exception thrown by an access method because of a parsing error is an unchecked exception. Your mediation can catch a parsing exception by checking for the exception class `SIMessageParseException` in the `com.ibm.websphere.sib.exception` package. Use code similar to the following example:

```
try {
    // Function involving SDO message access
} catch (SIMessageParseException e) {
    // Look at the real cause of the runtime exception, and act on it.
    // It is likely to indicate a parse failure...
    Throwable cause = e.getCause();
}
```

**Note:** If a mediation does not catch the `SIMessageParseException`, the original version of the message is sent to the exception destination.

- When deploying your mediation, give the handler and the handler list memorable and descriptive names.
- Where you deploy a single mediation against a single destination, use exactly the same name for your mediation handler, the mediation handler list and the mediation object in the administrative console.
- For performance reasons, specify selector rules so that the mediation mediates required subsets only of the messages passing through a destination.

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## Service integration configurations

A service integration configuration can range from a single host running two connected applications to a globally-dispersed set of hundreds or thousands of communicating applications running over the bus.

A service integration configuration is based on one or more service integration buses that provide a managed communication fabric that supports service integration through asynchronous messaging.

A bus is a group of one or more interconnected bus members, each of which is an application server or an application server cluster. Applications connect to a bus at one of the messaging engines associated with its bus members.

A service integration bus provides the following capabilities:

- Any application can exchange messages with any other application by using a destination to which applications send and receive messages.
- An application can produce messages for a destination regardless of which messaging engine the producer uses to connect to the bus.
- An application can consume messages from a destination (whenever that destination is available) regardless of which messaging engine the consumer uses to connect to the bus.
- The service integration bus is the default messaging provider for JMS applications.

Many scenarios only require a simple bus configurations, for example, a single server. If you add multiple servers to a single bus, you increase the number of connection points for applications to use. If you add server clusters as members of a bus, you can increase scalability and achieve high availability. Servers however, do not have to be bus members to connect to a bus. In more complex bus configurations, multiple buses are configured, and can be interconnected to form complex networks.

An enterprise might deploy multiple interconnected buses for organizational reasons. For example, an enterprise with several independent departments might want a separately administered bus in each location.

With bus-enabled Web services you can achieve the following goals:

- Create an inbound service: Take an internally-hosted service that is available at a bus destination, and make it available as a Web service.
- Create an outbound service: Take an externally-hosted Web service, and make it available internally at a bus destination.
- Create a gateway service: Use the Web services gateway to map an existing service, either an inbound or an outbound service, to a new Web service that appears to be provided by the gateway.

You can change the service integration configuration to suit your needs, for example:

- You can add application servers or server clusters as new bus members. Each new bus member is automatically assigned a messaging engine, with default data source, and a default exception destination. The messaging engines can communicate with, and use resources provided by, all other messaging engines on the bus.
- You can change the configuration of the data source for a messaging engine, for example to use a different JDBC provider.
- You can create new buses and add application servers or server clusters as members of those buses. Each bus operates as a separate environment, unless connected by a gateway messaging engine.
- You can connect a message-driven bean to consume messages from a destination on a remote cell.

## Bus configurations

You can connect buses in different ways depending on your requirements. For example, you can link messaging engines to distribute message workload, and to provide availability if there is a system failure.

A configuration that only has a single messaging engine might be adequate for some applications however, deploying more than one messaging engine and linking them together provides the following advantages:

- Messaging workload is distributed across multiple servers.

- Message processing is positioned close to the application that is using it, and reduces network traffic. For example, if both sending and receiving applications are running in the same server process, it is inefficient to route all the messages that flow between the two applications through a messaging engine running in a remote server.
- Availability is improved in the event of system or link failure. For example, your bus topology can remove a single point of failure, and allow store and forward between two servers.
- Options for scalability improve.
- Firewalls, or other network restrictions that limit the ability of network hosts to connect to a single messaging engine, can be accommodated.
- A bus configuration can contain links to WebSphere MQ networks. This allows messages to flow between applications connected to a WebSphere MQ queue manager and applications attached to a service integration bus.

The application servers or clusters that host a messaging engine in the service integration bus are called bus members. A WebSphere MQ server is the WebSphere MQ equivalent of a messaging engine. You can make a WebSphere MQ server a member of a bus, which becomes a messaging engine which is not hosted by an application server.

A bus configuration can include one or more bootstrap members. When an application needs a connection to the bus, it connects to the bootstrap member, which authenticates the request, and then directs the connection request to a suitable bus member. A bootstrap member responds only to bootstrap requests and does not always host a messaging engine.

If a bus configuration uses multiple security domains, you can isolate buses and the applications that use them by configuring the bootstrap members so that only a subset of servers or clusters can access a bus.

### **Single-server bus**

The simplest configuration is a bus consisting of a single server. Use this configuration if there is a low volume of message throughput and scalability is not essential.

In a single-server bus, there is one messaging engine. All destinations, such as queues and topic spaces, are assigned to this single messaging engine.

The single server set up has the advantage of simplicity. It aids performance as all messages and application connections are on the same messaging engine which minimizes path length. It also easy to manage as all messages and application connections are on the same messaging engine which minimizes the number of configuration and runtime objects to monitor.

However, having only a single-server configuration has the drawback of limiting scalability and high availability of applications and messaging.

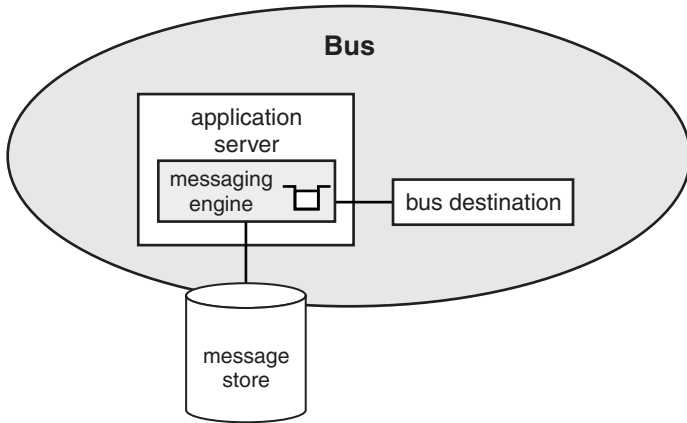


Figure 136. Service integration bus with a single member

An application can connect to the messaging engine, and therefore can connect to and use the bus, in any of the following situations:

- The application runs in another server in the same cell or in the same server, or in a server in a different cell, or in a client container.
- The application uses a client connection to the bus, or a in-process call

The following figure shows possible connections between a messaging engine and an application:



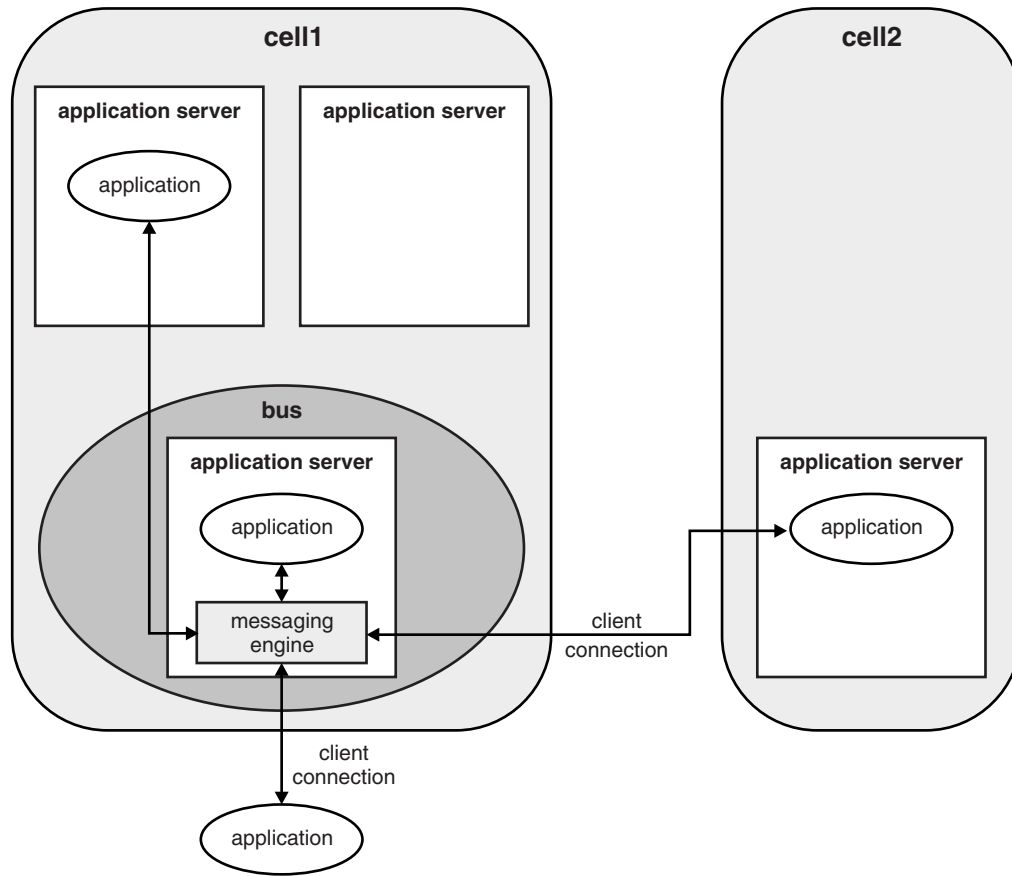


Figure 137. Applications connecting to a messaging engine

### Multiple-server bus without clustering

A bus that consists of multiple servers provides advantages of scalability, the ability to handle more client connections, and greater message throughput. A multiple-server bus includes multiple messaging engines that can share the work of storing and distributing the messages.

Another advantage of a multiple-server bus is that you can locate the queue that an application consumes from in the same application server as that application, which might be more efficient if there are multiple application servers running applications.

You can configure a bus to have multiple server bus members, each of which runs one messaging engine. All the servers in the bus must belong to the same cell.

All the messaging engines in the bus are implicitly connected, and applications can connect to any messaging engine in the bus. All the messaging engines in the bus know about the resources that are assigned to each messaging engine in that bus.

The messaging engines do not need to all run at the same time; if one messaging engine stops, the other messaging engines in the bus continue to operate. However, if a messaging engine stops, the resources that the messaging engine owns are unavailable. Specifically, the destinations that are assigned to that messaging engine are unavailable.

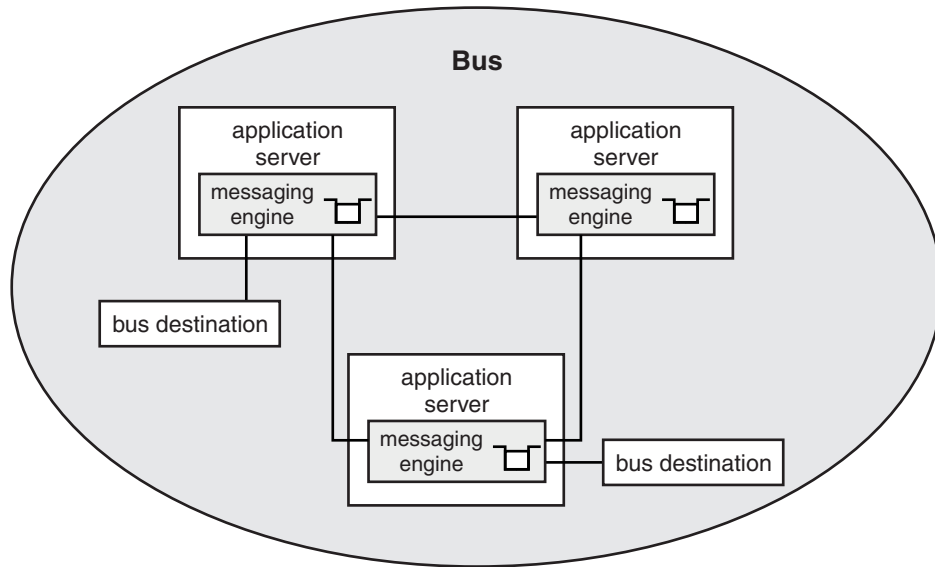


Figure 138. Service integration bus with multiple servers

### Multiple-server bus with clustering

You can have a bus consisting of multiple servers, some or all of which are members of a cluster. When a server is a member of a cluster, it allows servers to run common applications on different machines. Installing an application on a cluster that has multiple servers on different machines provides high availability. If one machine fails, the other servers in the cluster do not fail.

When you configure a server bus member, that server runs a messaging engine. For many purposes, this is sufficient, but such a messaging engine can run only in the server it was created for. The server is therefore a single point of failure; if the server cannot run, the messaging engine is unavailable. By configuring a cluster bus member instead, the messaging engine can run in one server in the cluster, and if that server fails, the messaging engine can run in an alternative server. This is illustrated in Figure 139 on page 569. For more information, see “Bus member types and their effect on high availability and workload sharing” on page 527.

Another advantage of configuring a cluster bus member is the ability to share the workload associated with a destination across multiple servers. You can deploy additional messaging engines to the cluster. A destination deployed to a cluster bus member is partitioned across the set of messaging engines that the cluster servers run. The messaging engines in the cluster each handle a share of the messages arriving at the destination. This is illustrated in Figure 140 on page 569. This is a familiar concept to those with knowledge of cluster queues in WebSphere MQ. For more information, see “Workload sharing” on page 503.

To summarize, with a cluster bus member you can achieve high availability (through failover). You can also configure a cluster to achieve workload sharing or workload sharing with high availability, depending on the policies that you configure for the messaging engines. For more information about policies for messaging engines, see “Policies for service integration” on page 541.

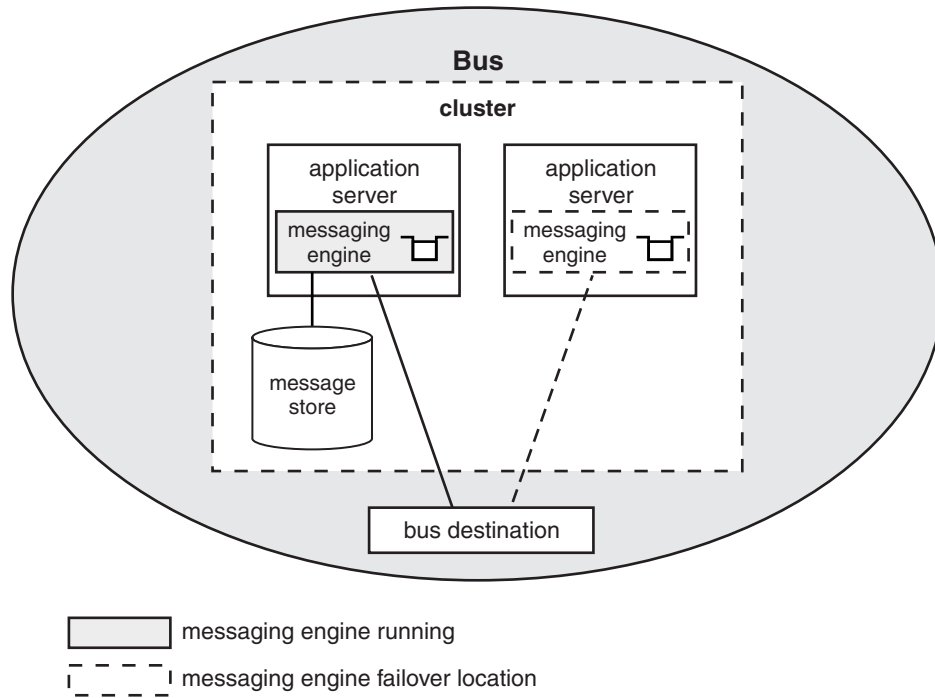


Figure 139. Service integration bus with clustered server

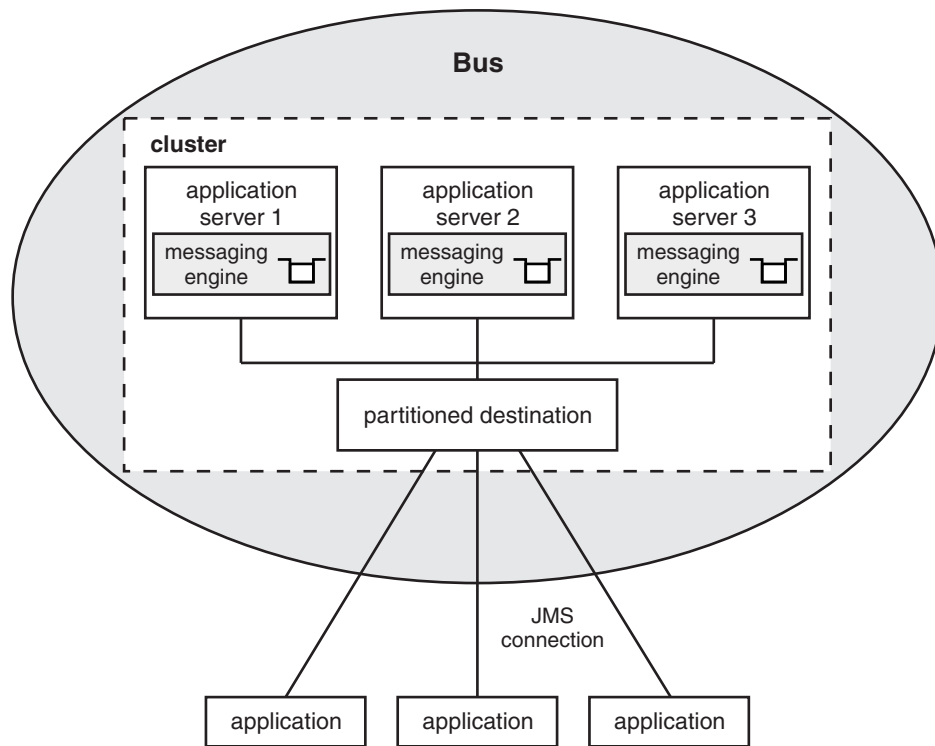


Figure 140. Service integration bus with partitioned destinations

## Common issues with all bus configurations

There are planning issues and design decisions that apply to all types of service integration bus configuration.

When planning a service integration bus configuration, consider the following points:

- The volume of messages that a bus has to handle. Depending on the volume of messages anticipated, you might have to adjust the high message threshold setting for a bus or messaging engine.
- The transport chain to be used for communication between messaging engines. For more information, see Transport chains.
- Whether bus security is required. When bus security is enabled, access to the bus itself and to all destinations on the bus must be authorized. If you enable bus security, you might also want to define aliases for authenticating messaging engines and mediations accessing the bus. A single version bus does not require an authentication alias. However, if you create a mixed-version bus you must define an inter-engine authentication alias for a WebSphere Application Server Version 6 or Version 6.1 bus member, to enable it to establish trust with the other bus members of later versions.
- You must choose bus names that are compatible with the WebSphere MQ queue manager naming restrictions. You cannot change a bus name after the bus is created, which means that you can only interoperate with WebSphere MQ in the future if you use compatible names. See the topic about WebSphere MQ naming restrictions in the related links.
- When you name your buses, you must ensure that the names are unique because you cannot connect two buses with the same name. For example, you cannot connect two buses with the same name in any of the following ways:
  - By creating an inter-bus link between two buses with the same name.
  - By attempting to connect to a remote bus from an application running in a remote cell where a bus with the same name is defined.
  - By creating a core group bridge between two cells containing buses with the same name.

### Destinations

You must decide on the number and type of destinations, mediations, destination routing paths, and qualities of service for the destination for your configuration. For point-to-point messaging you define bus destinations as queues, whereas for publish/subscribe messaging you define bus destinations as topic spaces.

For point-to-point messaging only, you select one bus member as the assigned bus member that is to hold messages for the queue. This action automatically defines a queue point for each messaging engine in the assigned bus member.

You can also define alias destinations to provide a level of indirection between applications and the underlying target bus destinations. Applications interact with the alias destination, so you can change the target bus destination without changing the application.

You should decide how you want to use the bus destinations as you can configure a bus destination to prevent producers sending messages to the destination, or consumers receiving messages from the destination.

### Message persistence

The reliability quality of service for messages on a destination has implications for performance and the amount of space required for a message store. Higher levels of reliability impact performance and increase the space a message store requires, because fewer messages are discarded.

When planning a message store configuration, remember that each messaging engine has a single message store, which can be either a file store or a data store. See “Relative advantages of a file store and a data store” on page 476. Remember that larger messages increase the space that a message store requires.

If you use a data store, the default database system for the data store is Apache Derby Version 10.3. However, you might want to use a different system, such as DB2. You can select different data store configurations depending on your requirements; for more information see “Configuration planning for a messaging engine to use a data store” on page 483.

### **Application environment**

An application attaches as a client to a messaging engine on the bus, either by an in-process call or across a network by using a remote client. A remote client can run in either the Java EE application client environment or the Java EE application server environment. Various transport chains can be used.

### **Application connections**

The way that a messaging engine is selected, and the mechanism that an application uses to reach it, is configured on a JMS connection factory. You need to decide which messaging engines the applications should connect to and on the transport chain to be used. For more information about connection factories, see *Configuring resources for the default messaging provider* and on transport options, see *Transport chains*.

### **WebSphere MQ client links**

WebSphere MQ client links allow JMS clients developed for WebSphere Application Server Version 5.1 to use messaging resources on the bus. WebSphere Application Server Version 5.1 uses a WebSphere MQ queue manager as its JMS provider so that WebSphere Application Server Version 5.1 clients connect using the MQ link protocols. A WebSphere MQ client link, in service integration, provides an attachment capability that these clients can use.

### **Transaction logs**

Plan where transaction logs will be placed. See the topic about transactional high availability in the related links.

## **Configurations that include WebSphere MQ**

There are additional points to consider when planning a bus configuration that includes WebSphere MQ.

You might want to define alias destinations to map bus and destination names to targets where the bus name, or the destination name (identifier), or both, are different. You can also use alias destinations to manage situations where the difference in the name length that is allowed for a bus destination in WebSphere Application Server and the name length that is allowed for a WebSphere MQ queue, might cause a problem.

You might want to define foreign destinations so that you can override the messaging defaults or security settings for specific destinations on a foreign bus. If you do not define either a foreign destination or an alias destination, the destination defaults for the foreign bus will be used.

Remember you can have more than one messaging engine with a WebSphere MQ link in a service integration bus. You can also have more than one WebSphere MQ link on a single messaging engine. There are many possible configurations, for example:

- One WebSphere MQ link engine with only a sender channel and another WebSphere MQ link engine with only a receiver channel.
- One WebSphere MQ link to communicate with a WebSphere MQ queue manager or queue-sharing group (known as a "gateway queue manager") in the WebSphere MQ network.

Although you can have more than one WebSphere MQ link on a single messaging engine, each WebSphere MQ link must connect to a different gateway queue manager.

### **Application server cluster with single ME bus**

This configuration features a single messaging engine hosted in one application server of a cluster.

This set up has the advantage of having all messaging in one place. It provides scope for scalability and performance of applications, and high availability for applications and messaging. However, there can be difficulty in configuring the cluster bus member, and this configuration does not offer scalability and performance of messaging and MDBs.

The figure below shows a messaging engine running in an application server of a cluster. In the event of a failure in this configuration, the messaging engine can failover to another server in the cluster.

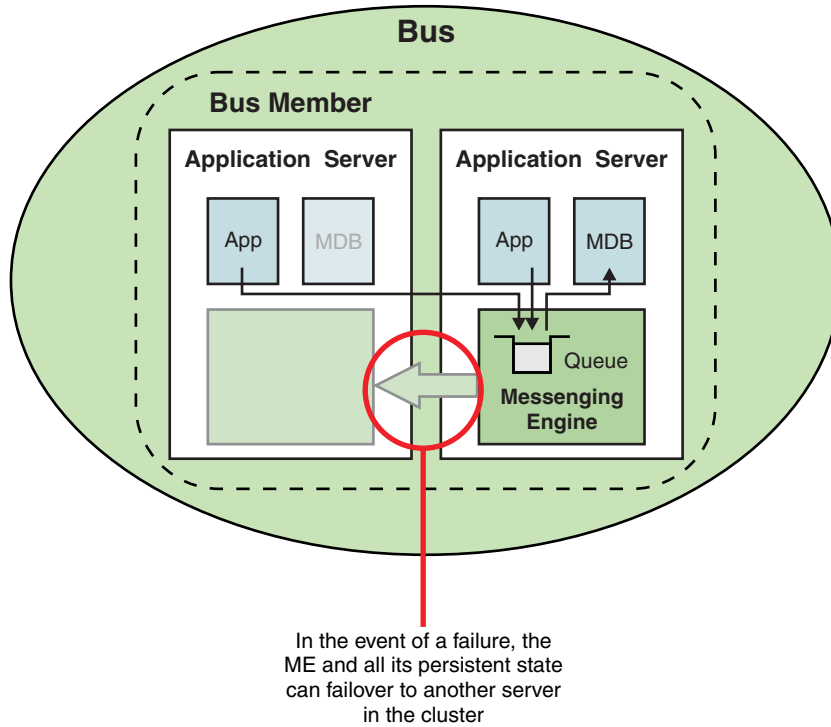


Figure 141. Application server cluster with single messaging engine bus configuration

### Multiple application server cluster with single messaging engine bus

This configuration features multiple application server clusters with only a single messaging engine bus member. This allows further scaling of applications where necessary, but keeps a simple messaging configuration.

A single messaging engine is much easier to manage than a more complex configuration however, if messaging traffic becomes too high, the single messaging engine will become a bottle neck and limit scalability.

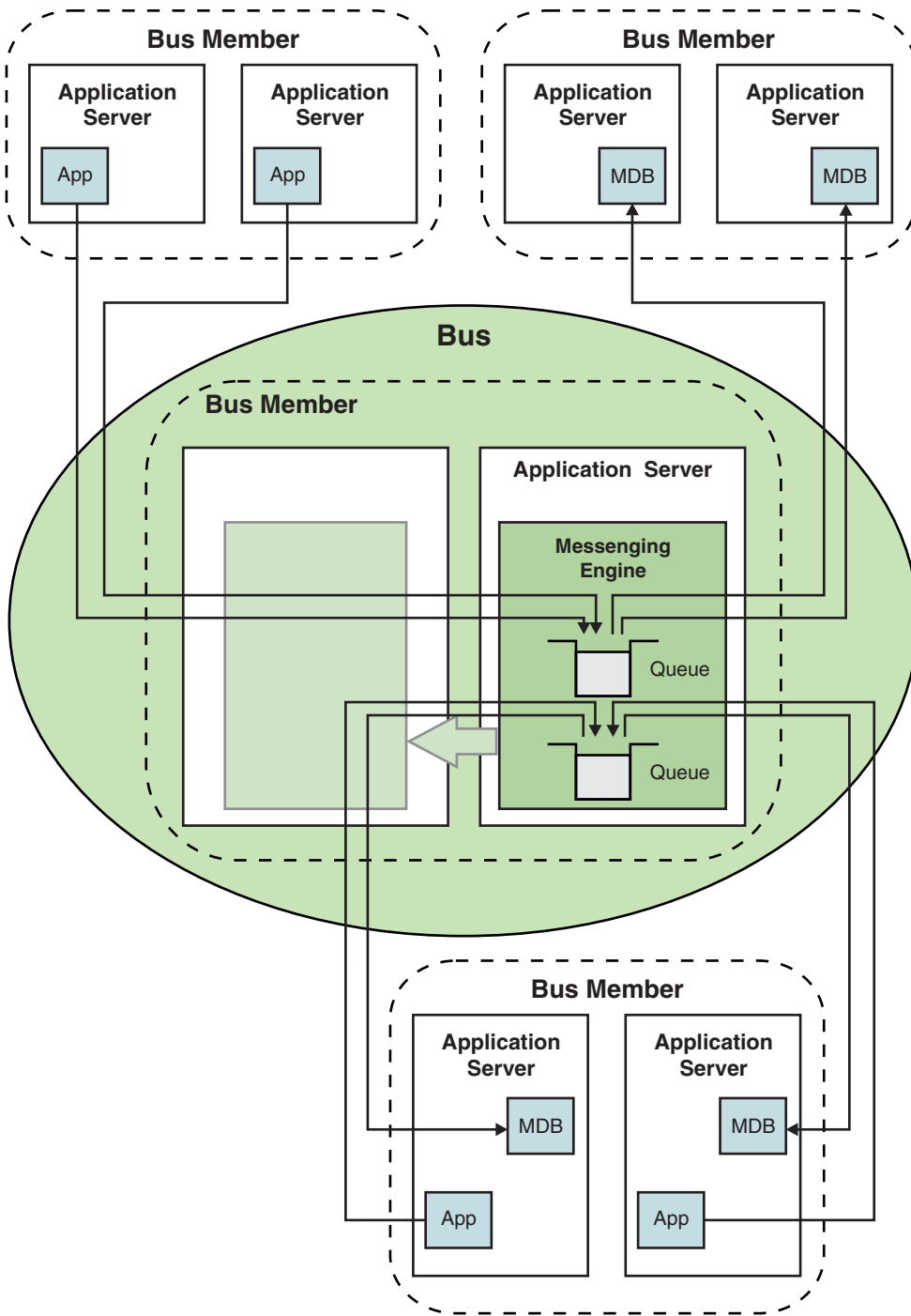


Figure 142. Multiple application server cluster with single messaging engine bus configuration

If messaging traffic is expected to be too great for a single messaging engine, multiple bus members should be considered.

### Multiple bus member bus

Multiple bus members should be considered for your bus configuration where messaging traffic is high and can be suitably divided, for example, per application or queue.

The following figure shows a configuration which is very similar at runtime to any single messaging engine configuration when application messaging is self contained.

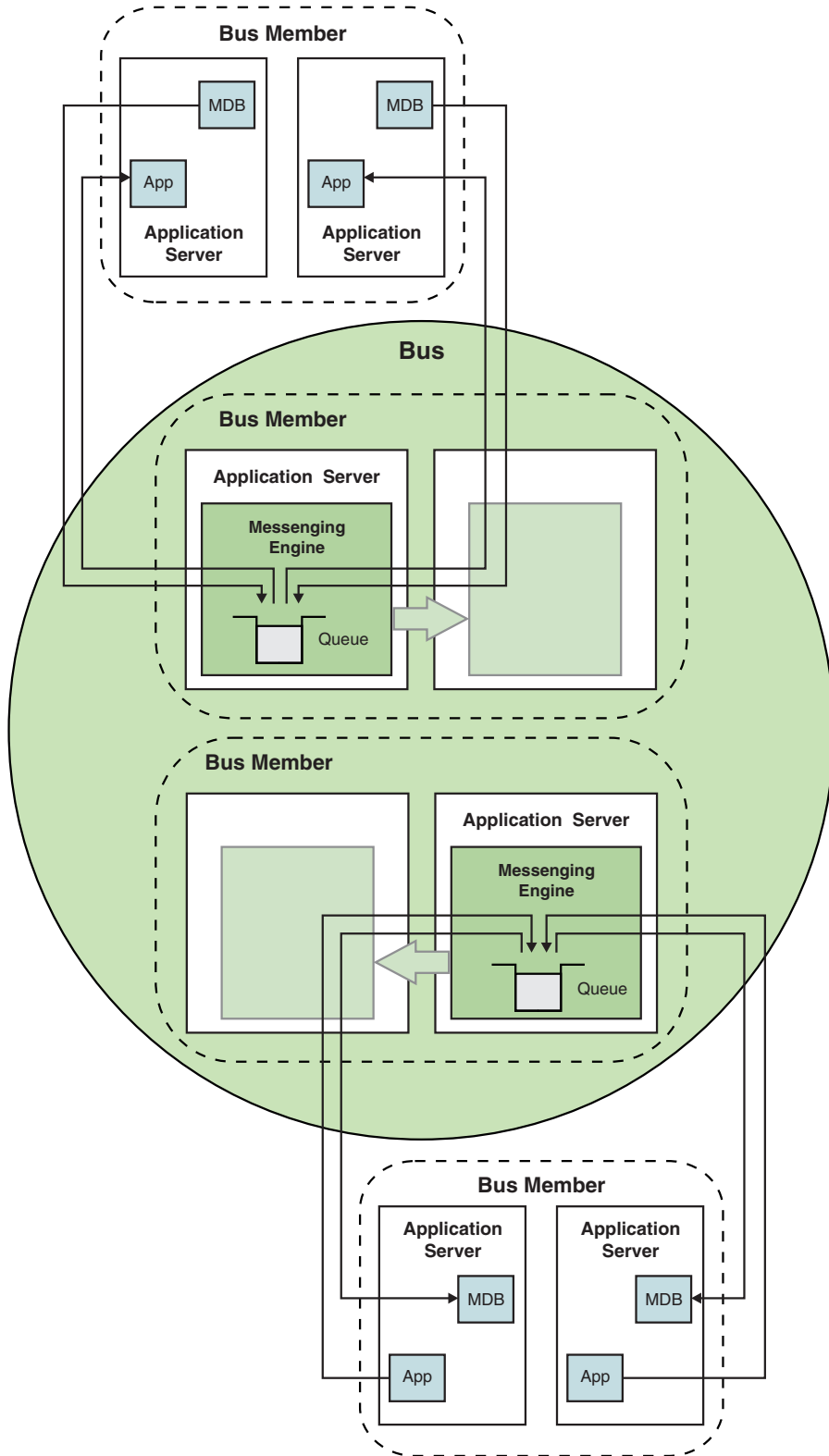


Figure 143. Multiple bus member bus configuration



However once applications on different servers start to communicate with each other using messages, the runtime starts to change.

Careful planning is important for a multiple bus member bus configuration because messaging applications will randomly connect to any available messaging engine in the bus. The only default behavior that overrides this is when an application connects to a bus that has a messaging engine running in the same server. This can result in messages taking inefficient paths to and from the applications to queues or subscriptions. This affects manageability and serviceability of the system due to the unpredictable nature of connections and variable message routing.

The general rule is to connect directly to the bus member that owns the queue. Always target connections and activation specifications. If you have to choose between a producing application or a consuming application, connect the consumers directly to the queue point's messaging engine and allow the producer to store and forward.

### **Interconnected bus configurations**

There are specific issues that you must take into account when you are planning an interconnected service integration bus configuration.

When you are naming service integration buses, bear in mind that bus names must be unique.

You must decide what your buses are to be linked to. You can link the buses either through a direct service integration bus link, or through an indirect link. An indirect link can include one or more intermediate buses. For more information, see "Direct and indirect routing between service integration buses" on page 589.

You must decide which messaging engines to use as gateways. Remember that a gateway messaging engine connects to the gateway messaging engine of another bus through a service integration link.

Carefully plan how you distribute destinations on different messaging engines in each bus. You might want to define alias destinations that make a destination available by a different name, either on the same bus, or on a foreign bus. You could define foreign destinations which allow applications on one bus to directly access a destination on a foreign bus. If you do not define foreign destinations, you can configure destination defaults to be used. You can combine alias and foreign destinations for further flexibility in your topology.

Use destination defaults in the following scenarios:

- You have a development environment and want things to work quickly.
- You have an application in which destination names are received at run time in message body or headers.

Use foreign destinations in the following scenarios:

- You want an environment in which everything is statically defined.
- You want to override destination defaults for a particular (foreign) destination, for example quality of service settings.

Use an alias destination in the following scenarios:

- You want to refer to a destination by a different name. You might want to use a different name if you want to be able to control which users have different access to the same destination in a foreign bus. In this case you might need to use foreign bus destinations definitions or alias bus destination definitions, or both.
- You want multiple names for the same destination.

There is a security consideration that arises from having a mixed-version bus. In a mixed-version bus, you must define an inter-engine authentication alias for aWebSphere Application Server Version 6 or Version

6.1 bus member, to allow it to establish trust with the other bus members of later versions. In the case of a single version bus, you do not need to define an inter-engine authentication alias to ensure the secure operation of the bus.

If buses in different organizations are connected, you must decide whether to secure connections to a foreign bus with a user ID and password, and optionally with SSL authentication.

## Interconnected buses

A service integration bus topology can contain many interconnected service integration buses to form a large messaging network. The bus that an application connects to is called its local bus. There can be connections from that local bus to other service integration buses, which are called foreign buses. Buses can also be linked to WebSphere MQ resources, for example WebSphere MQ queue managers. WebSphere MQ resources are also regarded as foreign buses.

A bus must be contained in a single cell; that is, a bus cannot span multiple cells. However, a cell can contain more than one bus. In this situation, each bus in the cell is foreign to each other bus in the cell. You can connect buses together in a cell, or between different cells.

The following scenarios are examples of situations when you might connect service integration buses in an organization:

- You can deliberately separate the messaging infrastructure to aid management.
- You can restrict access to certain messaging resources within a single WebSphere Application Server cell, because a cell can contain multiple service integration buses.
- You can span multiple administrative cells, by connecting a service integration bus in one cell to a service integration bus in another cell.

When buses are connected, applications can send messages to applications on other buses, and use resources provided on other buses. Published messages can span multiple buses where the connections between the buses are configured to allow it.

To create a connection between two buses, the administrator of the local bus configures a *foreign bus connection* that represents the second bus, and that is associated with the local bus. The foreign bus connection contains a routing definition, or virtual link. A physical link, called a *service integration bus link*, is created automatically. The link is from a messaging engine in the local bus to a messaging engine in the foreign bus, and these two messaging engines are known as *gateway messaging engines*. The administrator of the second bus also configures a foreign bus connection to represent the first bus, as a property of the second bus.

To create a link between a bus and a WebSphere MQ queue manager, the administrator of the local bus configures a foreign bus connection that represents the WebSphere MQ queue manager, as a property of the local bus. The foreign bus connection contains a routing definition, or virtual link. A physical link, called a *WebSphere MQ link*, is created automatically. The link is from a messaging engine in the local bus to a queue manager or queue sharing group in the foreign bus. The messaging engine is known as a gateway messaging engine, and the queue manager or queue sharing group is known as the gateway queue manager.

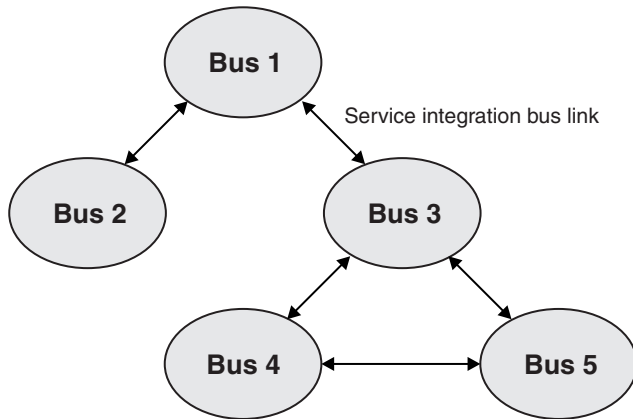


Figure 144. Service integration buses are connected through service integration bus links

## Routing between buses

The route between two buses can be indirect, passing through one or more intermediate foreign buses. In Figure 1, Bus 1 is connected to Bus 5 indirectly. For more information about direct and indirect routing between service integration buses, refer to the subtopics.

For more information about foreign buses, see “Foreign buses” on page 578. For conceptual overviews of point-to-point and publish/subscribe messaging, see “Point-to-point messaging across multiple buses” on page 582 and “Publish/subscribe messaging across multiple buses” on page 584.

## Security when connecting buses

A multiple bus topology has the following security requirements:

- You must protect the integrity and confidentiality of the data that is transported between the buses. You can protect the communications links by using a Secure Sockets Layer (SSL). For more information, see Protecting messages transmitted between buses.
- You must establish trust between two buses. Trust between messaging engines at WebSphere Application Server Version 7.0 or later is established by using a Lightweight Third Party Authentication (LTPA) token, and no further configuration is required.

If the bus has a WebSphere Application Server Version 6 bus member (that is, a mixed-version bus), trust is established using an inter-engine authentication alias. The inter-engine authentication alias is configured when you add a member to a bus or with the bus security settings. The identity is passed to the remote bus where the identity is authenticated, then checked to see if it matches the configured inter-engine authentication alias on the other bus.

- You need the definition of relevant authorizations to allow messages to travel between the buses. There are two phases to authorization when communicating with a foreign bus:
  1. The user that is connected to the local bus has to be explicitly granted access to send messages to the foreign destination. Failure at this level is reported back to the client.
  2. The foreign bus must be configured to accept the incoming message onto the target destination.

For more information about security, see “Service integration security” on page 487 and Securing access to a foreign bus.

## Connecting buses in different cells

To connect a local bus to a foreign bus in a different cell from the local bus, you need to provide a value for one or more bootstrap endpoints, that is, the host, port location, and transport chain for the messaging engine on the foreign bus that the local service integration bus connects to.

## Connecting buses with cluster bus members

To connect a local bus to a foreign bus in a different cell from the local bus when the remote messaging engine is in a cluster, you must change the value for the bootstrap endpoints. This value must list all the bootstrap endpoints that the cluster uses to allow access to the gateway messaging engine in the cluster.

For more information, see the steps relating to setting bootstrap endpoints in *Configuring a connection to a non-default bootstrap server*.

### **Foreign buses:**

You can configure a service integration bus to connect to, and exchange messages with, other messaging networks. To do this, you configure a foreign bus connection, which represents either another service integration bus, or a WebSphere MQ queue manager or (for WebSphere MQ for z/OS) queue-sharing group, that the existing service integration bus can exchange messages with. In this way, you can extend the network of buses that can exchange messages.

When an application connects to a service integration bus, that bus is its local bus. A foreign bus is any other bus that has a link to the local bus. When the foreign bus is a service integration bus, it can be in the same cell as the local bus, or in a different cell.

To exchange messages between two buses, you configure a foreign bus connection from the local bus to the second bus. The foreign bus connection is associated with the local bus, and identifies the second bus as a foreign bus.

If the second bus is another service integration bus, you then configure a foreign bus connection from the second bus to the first bus. The foreign bus connection is associated with the second bus, and identifies the first bus as a foreign bus relative to the second bus. If the second bus is in a different cell from the first bus, you use the administrative console for the second cell to configure this foreign bus connection.

A foreign bus connection can be direct or indirect. For a direct foreign bus connection, messages route directly through a link between the local bus and the foreign bus. For an indirect foreign bus connection, messages route indirectly through one or more intermediate buses.

A foreign bus connection contains a routing definition, also known as a virtual link, which indicates the type of physical link:

- A *service integration bus link* specifies a link from a messaging engine in the local bus to a messaging engine in a foreign bus.
- A *WebSphere MQ link* specifies a link from a messaging engine in the local bus to a WebSphere MQ gateway queue manager. To the local bus, the linked WebSphere MQ network appears as a foreign bus.

In the following figure, for an application that is connected to Bus 1, messages that are routed to Bus 2 use a direct foreign bus connection. Messages that are routed to Bus 3 use an indirect foreign bus connection and use Bus 2 as an intermediate bus.

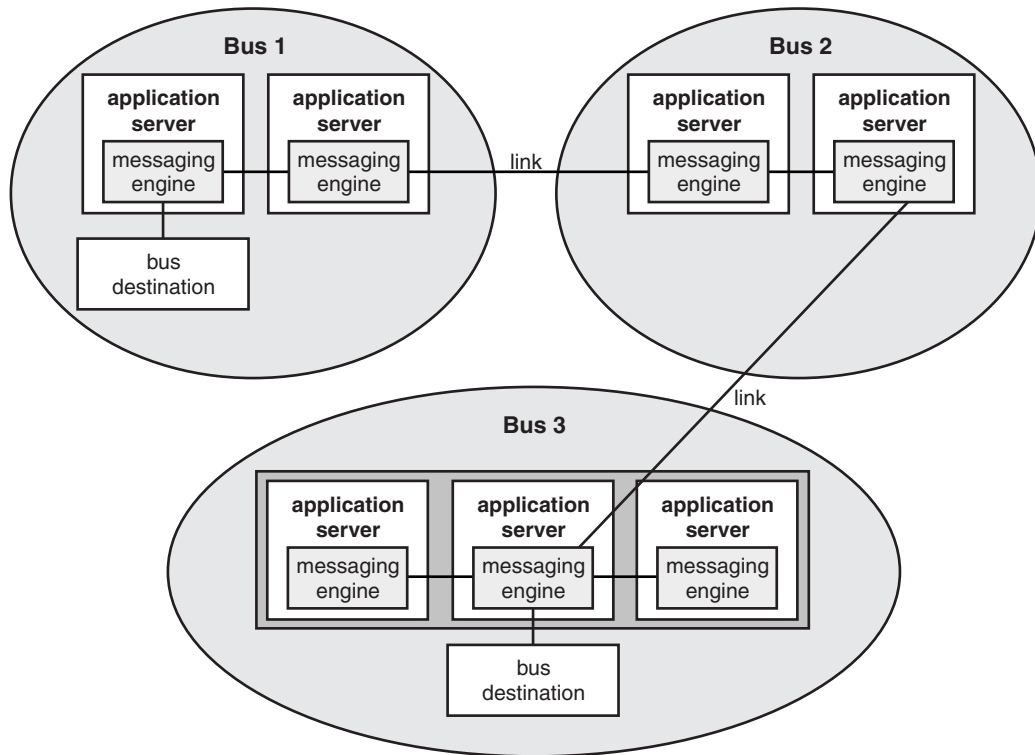


Figure 145. Linking service integration buses.

When you configure a foreign bus connection, the service integration bus link or WebSphere MQ link, as appropriate, is created automatically. If required, you can view or amend this link.

The following restrictions apply when you configure a foreign bus connection:

- The name of the foreign bus in the foreign bus connection must match the name of the existing service integration bus that it represents.
- For a direct foreign bus connection, the name of each bus must be unique.
- You must specify the same user ID for both foreign bus connections on each side of a service integration bus link, for the following reasons. Consider two messaging engines, A and B, connected by a service integration bus link:
  - Messaging engine A presents the user ID and its password to messaging engine B, so that messaging engine B can authenticate messaging engine A.
  - Messaging engine A uses the user ID to authorize messaging engine B.
- After you configure a foreign bus connection, you must not change the name of the service integration bus that the foreign bus connection represents.

When you configure a bus, you can select the **Configuration reload enabled** option so that if the configuration properties of any foreign bus connections are changed later, the changes are updated automatically. The time when these changes take effect varies, depending on the properties that are changed:

- Foreign bus connection properties change immediately.
- WebSphere MQ link properties change on channel restart, except Description (immediately), and Initial State (on messaging engine restart).
- MQ sender channel properties change on channel restart, except Initial State (on messaging engine restart or sender channel creation).

- MQ receiver channel properties on channel restart, except Initial State (on messaging engine restart or receiver channel creation).
- Publish/subscribe broker profile (0 to n) properties change immediately.
- Service integration bus link properties change on link restart, except Description (immediately), and Initial State (on messaging engine restart or link creation).

You can define an explicit destination on a foreign bus that an application can send messages to. You can also configure default properties for use by messages that are sent to destinations on a foreign bus when there is no explicit foreign destination definition, and the application does not explicitly provide values for the properties. An application cannot receive messages from a foreign destination; it can only consume messages from a destination on the bus to which it is connected.

Messages flowing to or from a foreign bus that cannot be processed successfully are rerouted to the system exception destination of the messaging engine that owns the link to the foreign bus, possibly disrupting message order. Common reasons for rerouting messages to the exception destination are that the target destination is unknown by the foreign bus, or that the foreign bus has not granted the sending bus access to the target destination.

An application subscribing to a local topic space can receive messages published to a topic on a foreign bus. To allow publish/subscribe messaging between buses, you must map topic space names on a local bus to topic space names on a foreign bus.

A topic space mapping allows subscribers on the local topic space to receive messages published in the foreign topic space. For publications to flow from the local topic space to the foreign bus, an equivalent topic space mapping is required by the foreign bus.

You administer topic space mappings when you create a foreign bus connection, or through the routing properties for a foreign bus connection. Topic space names for the local bus are mapped to topic space names that are defined on the foreign bus. It is common for these two names to match. Note that mapping two topic spaces implies that the topics in them are the same.

#### *Message flow between service integration buses:*

An application connects to a bus, which is its local bus, and can exchange messages with other applications that connect to the same bus. To exchange messages with applications that connect to a different bus, that is, a foreign bus, you need a service integration bus link that connects the local bus to the foreign bus.

Applications that are connected to the local bus send messages to a destination on a foreign bus. The messaging engine on the local bus queues the messages on its link transmitter queue. For applications that use point-to-point messaging, there is one link transmitter queue and one link transmitter for each messaging engine in the sending bus. For applications that use publish/subscribe messaging, there is one link transmitter queue and one link transmitter for each topic space destination that is mapped to a topic space destination in the foreign bus.

Each link transmitter queue has a corresponding link receiver queue on the gateway messaging engine on the foreign bus. Each link receiver queue is served by a link receiver.

The link transmitter sends the messages over the service integration bus link to link receiver queues. Link receivers remove the messages from the link receiver queues and place them on the target remote queue points, which are on the gateway messaging engine on the foreign bus. Both point-to-point and publish/subscribe messaging in service integration use link transmitter queues.

The following figure shows an example of the message flow from Service integration bus 1 to a foreign destination on Service integration bus 2 over a service integration bus link. One application is connected to the messaging engine ME1, and another application is connected to messaging engine ME2. The

applications produce messages to send to Q1 on the foreign bus. Messages are queued on the link transmitter queue on each messaging engine, then transmitted through the gateway messaging engine and the service integration bus link to the link receiver queues on the gateway messaging engine in the foreign bus. From here, the messages are placed on the target destination Q1. The target queue Q1 is on the messaging engine ME5. The link receiver in the gateway messaging engine ME4Gateway routes the messages to ME5 by using a remote queue point.

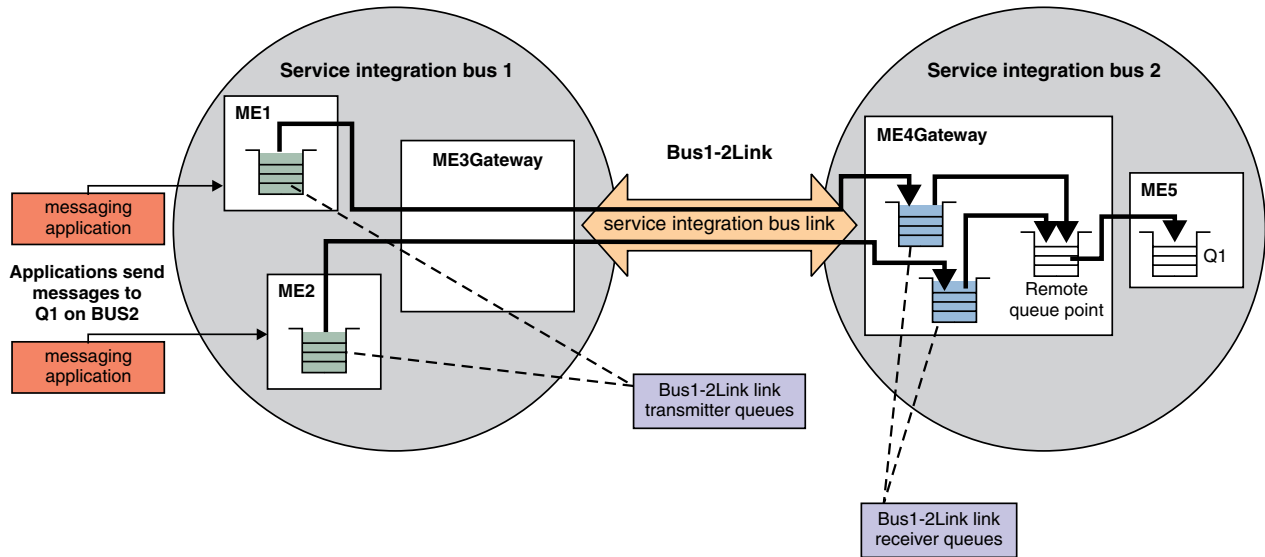


Figure 146. Message flow between two service integration buses

*Message flow between a service integration bus and a WebSphere MQ network:*

An application connects to a bus, which is its local bus, and can exchange messages with other applications that connect to the same bus. To exchange messages with applications that connect to a WebSphere MQ network, you need a WebSphere MQ link that connects the local bus to a foreign bus that represents a WebSphere MQ network.

Applications that send a message to a queue in a WebSphere MQ queue manager or queue-sharing group can do so directly by configuring a WebSphere MQ server definition, or indirectly by using a WebSphere MQ link. This topic describes the message flow for a WebSphere MQ link.

With a WebSphere MQ link, there is a gateway messaging engine on the service integration bus and a gateway queue manager on the WebSphere MQ network.

Applications that are connected to the local bus send messages to a destination on a foreign bus. The messaging engine that the sending application is connected to on the local bus queues the messages on its link transmitter queue. Service integration flows the messages from the link transmitter queue to the corresponding known link transmitter queue in the gateway messaging engine. Messages then flow to a single sender channel transmitter queue, ready for transmission across the WebSphere MQ link.

The sender channel transmitter transmits messages over the WebSphere MQ link to a gateway queue manager or (for WebSphere MQ for z/OS only) a queue-sharing group on the remote WebSphere MQ network.

The WebSphere MQ network appears as a foreign bus to the service integration bus and the service integration bus appears as a queue manager to the WebSphere MQ network.

The following figure illustrates an example of the message flow from a service integration bus to a WebSphere MQ network over a WebSphere MQ link.

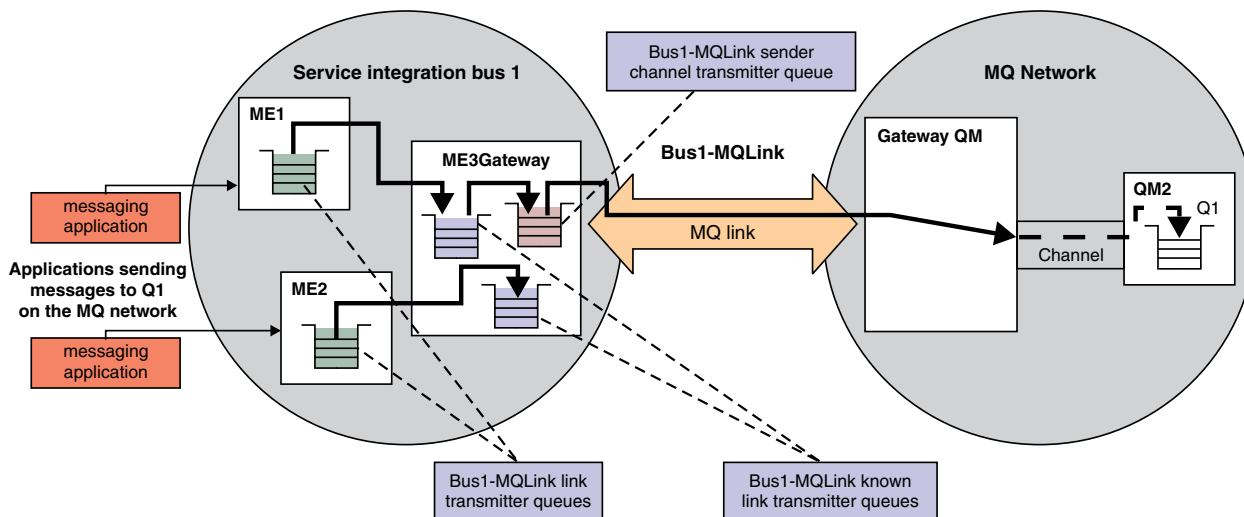


Figure 147. Message flow between a service integration bus and a WebSphere MQ network

### Point-to-point messaging across multiple buses:

Point-to-point messaging uses queue destinations, where each queue destination represents a message queue.

A service integration queue destination is localized in a particular bus member (application server or server cluster). When a producer sends a message to the queue destination, the service integration bus delivers the message to a messaging engine in that bus member. The messaging engine then delivers the message to a consumer; if necessary, the messaging engine queues the message until a consumer is ready to receive it.

Applications can send messages to a queue destination in a remote bus, as long as a connection between the buses is configured. You can configure a bus to connect to, and exchange messages with, other messaging networks. To do this, you must configure a foreign bus connection. A foreign bus connection encapsulates information related to the remote messaging network, such as the type of the foreign bus and whether messaging applications are allowed to send messages to the foreign bus. The local bus knows of the destination bus through a foreign bus connection. If the bus that is directly connected to the local bus does not hold the specified destination, more service integration bus links are used to flow the message to the next bus on the route to the destination bus. When the message enters the destination bus, that bus attempts to deliver the message to the intended destination.

To send messages to a destination that is defined in a foreign bus, an application specifies the bus name (that is, the foreign bus) and the destination name in the JMS destination object (queue or topic). You do not need to configure any destination objects in the local bus. Service integration uses the definition of the foreign bus that is configured on the local bus, that is, the foreign bus connection. This definition includes default values for the destination attributes, such as the default quality of service. These default values apply to all destinations in that foreign bus.

You can configure a queue destination as a foreign destination or an alias destination, as described in the information about bus destinations.



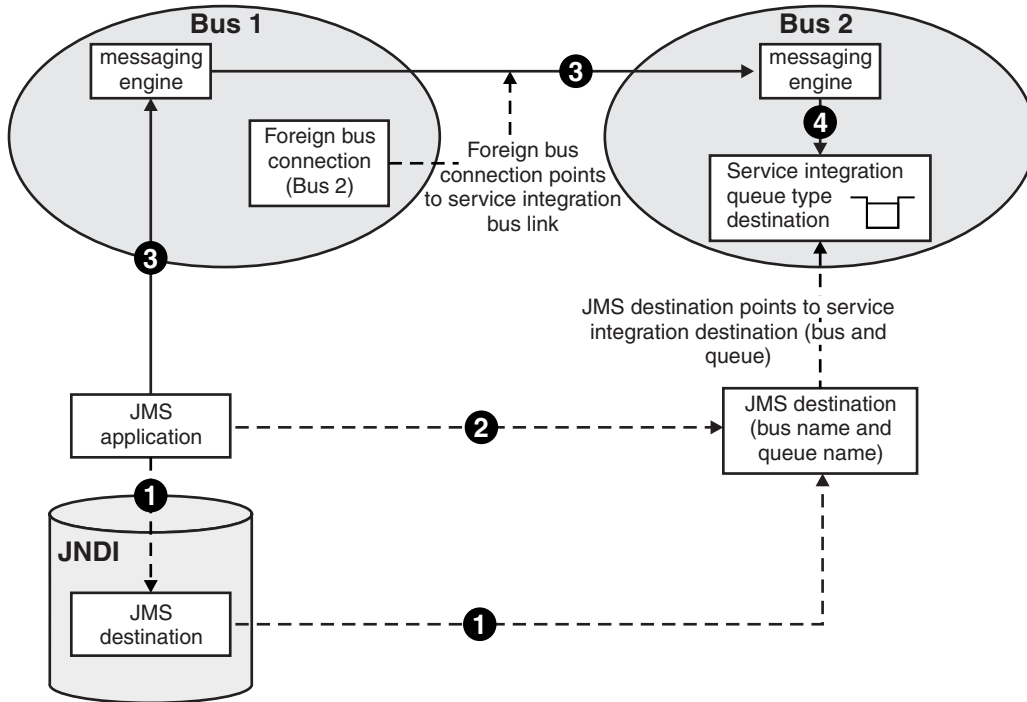
When an application sends messages to a destination and a foreign destination or alias destination is not configured, the destination defaults are derived from the destination defaults that are specified for the foreign bus connection.

For example, an application is connected to Bus 1 (its local bus). The application sends a message to a JMS destination that specifies the bus name Bus 2 and the destination name targetQueue. The message is processed as follows:

- Service integration routes the message to Bus 2 by using the definition in Bus 1 of the foreign bus Bus 2.
- Bus 2 delivers the message to targetQueue by using the definition in Bus 2 of its local queue targetQueue.

In this example, service integration in Bus 1 uses attributes of its definition of the foreign bus, Bus 2, as defaults for its destination targetQueue in the foreign bus. Service integration cannot use configuration information that is scoped to a foreign bus. For example, service integration in Bus 1 is not aware of the Bus 2 definition of targetQueue.

In the following figure, a JMS application connected to Bus 1 creates a producer for a queue in Bus 2. The application uses JNDI to obtain a JMS destination object, which identifies the service integration bus queue in Bus 2. An application can obtain a JMS destination in other ways, for example, from the JMSReplyTo property of a JMS message.



**Key:**

1. The JMS application uses JNDI lookup to obtain the JMS destination.
2. The JMS application sends the message to the JMS destination; this is a logical message flow.
3. The local bus, Bus 1, transfers the message from the sending application to the foreign bus, Bus 2, which contains the target destination. Bus 1 applies default properties and destination roles from the foreign bus connection.
4. The foreign bus places the message on the target destination.

Figure 148. Establishing point-to-point messaging between two buses

Knowledge that a destination exists is held only by the bus that hosts that destination. For an application to send messages to a destination in a foreign bus successfully, you must ensure that the destination exists; the local bus cannot verify that the destination exists. If a message arrives through the service integration bus link for a destination that does not exist in the foreign bus, the message is routed using the exception handling configuration of the receiving service integration bus link.

**Note:** An application cannot consume messages from a destination that is located in a different bus to the one that the application is connected to. Any attempt to create a consumer to a destination on a foreign bus is rejected.

**Publish/subscribe messaging across multiple buses:**

In service integration, publish/subscribe messaging uses topic space destinations, where each topic space destination represents a set of “publish and subscribe” topics. When multiple buses are connected using a service integration bus link, messages published in a topic space in one bus are accessible to subscribers on a topic space in another bus.

The topic for a specific message (publication) is a property of the message. A service integration topic space destination is not localized in a particular bus member. Service integration maintains a list of subscriptions in the topic space and matches each publication against that list. When a new publication matches one or more subscriptions in the topic space, service integration delivers a copy of the publication

to each subscriber; if necessary, service integration can queue the publication message until the subscriber is ready to receive it. When the new publication does not match any subscription, service integration discards the publication.

Subscribers can receive topics that are published in a remote bus, as long as a connection between the buses is configured. You can configure a bus to connect to, and exchange messages with, other messaging networks. To do this, you must configure a foreign bus connection. A foreign bus connection encapsulates information related to the remote messaging network, such as the type of the foreign bus and whether messaging applications are allowed to send messages to the foreign bus.

To connect topic space destinations in neighboring buses, you configure topic space mappings when you create a foreign bus connection. Each entry in the mapping maps a local topic space destination to a topic space in the foreign bus. Then, any subscribers to topics on the topic space in the local bus can receive messages that are published on those topics in the topic space in the foreign bus. Publish/subscribe applications create producers and subscriptions to topic spaces in their local bus rather than the foreign bus (unlike point-to-point applications and queue destinations). You must configure the topic space mappings so that the messages that the applications publish are routed correctly.

In the following figure, a subscriber in Bus 1 can receive messages that are published in Bus 2. A topic space mapping in Bus 1 enables publications from publishers attached to Topic space 2 in Bus 2 to flow to subscriptions attached to Topic space 1 in Bus 1.

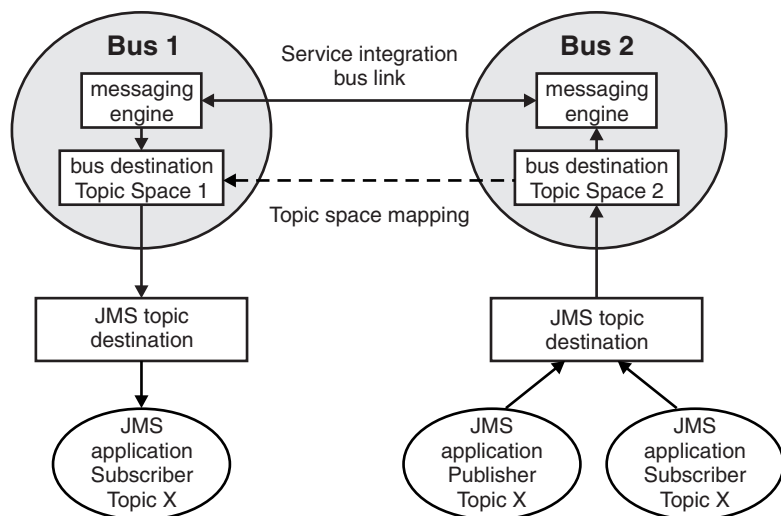


Figure 149. Publish/subscribe messaging across Bus 1 and Bus 2

If subscriptions exist in both buses and publishers can publish from either bus, a topic space mapping is required in both buses to enable publications to flow between all publishers and subscribers in the two buses.

When connecting topic spaces in more than two buses, there is no restriction on how multiple buses can be connected. However, there is a restriction on how their topic spaces are connected using topic space mappings. For guidance on how to create topic space mappings, see [Configuring topic space mappings between service integration buses](#).

**Note:** The names of the local and foreign *topic spaces* do not have to match, but the names of the *topics* in the local and foreign buses do have to match.

A network of buses can contain loops in its topology, as shown in Figure 150 on page 586. Interconnected topic spaces must follow a hierarchical tree formation. This tree can then be overlaid on the underlying bus

topology by using topic space mappings, as shown in Figure 150. It is not permitted for a set of interconnected topic spaces to form a loop across multiple buses, as shown in Figure 151.

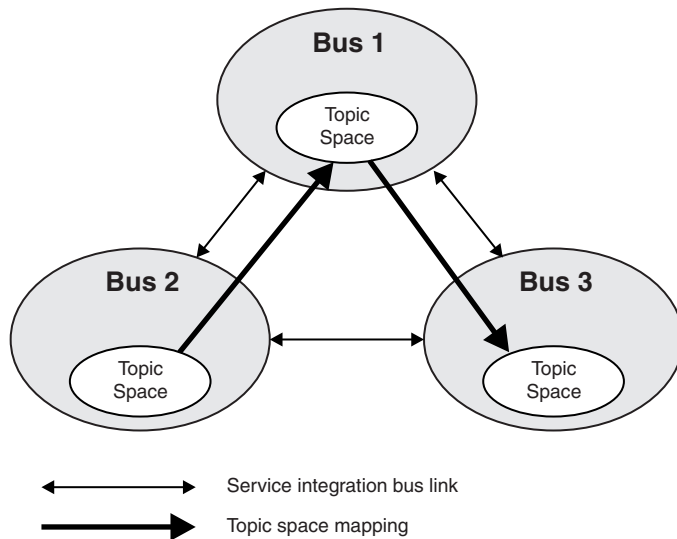


Figure 150. Network of buses with topic spaces connected correctly

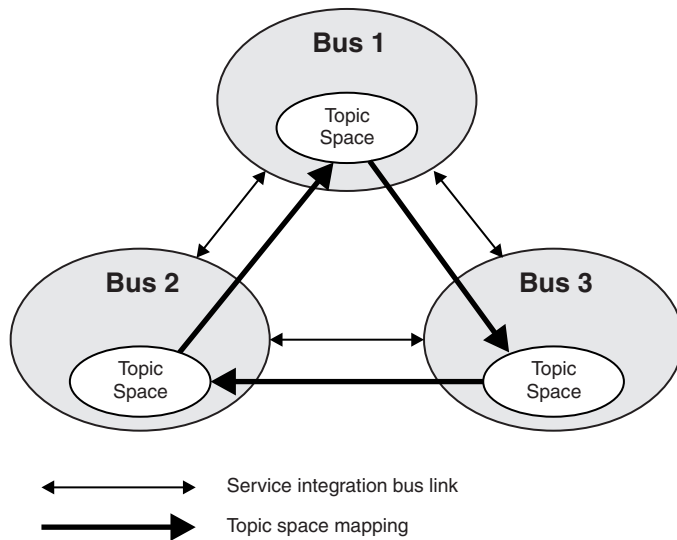


Figure 151. Network of buses with topic spaces connected incorrectly

The correct example in Figure 150 shows that messages published in Bus 2 are automatically flowed through Bus 1 to Bus 3, if Bus 3 has a suitable subscription for those messages. You create a mapping from the topic space in Bus 1 to the topic space in Bus 2, and another mapping from the topic space in Bus 3 to the topic space in Bus 1.

If you also created a mapping from the topic space in Bus 2 to the topic space in Bus 3, as shown in Figure 151, you would create a loop and published messages might enter an indefinite loop and be transmitted continually around the three buses.

If an additional subscription for the messages exists in Bus 2 and a publisher is also connected to Bus 3, topic space mappings are required in the reverse direction to allow messages to flow to all subscriptions in the system, as shown in Figure 152.

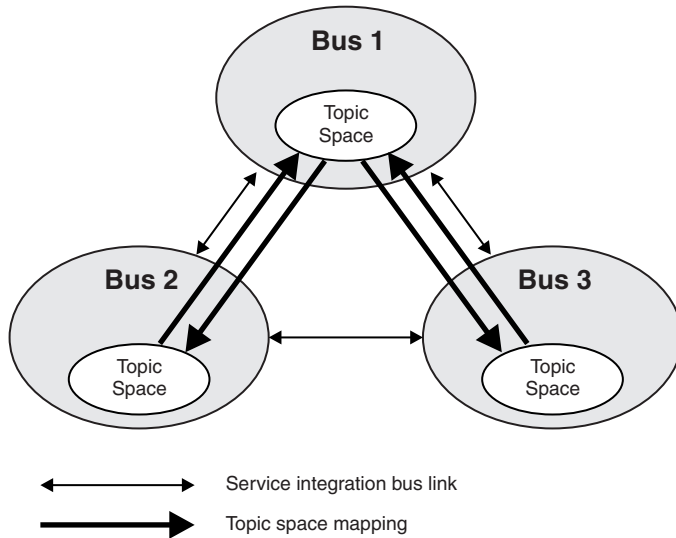


Figure 152. Network of buses with topic space mappings in both directions

#### **Bus topology that links to WebSphere MQ networks:**

Service integration buses can link to WebSphere MQ networks. Applications that are connected to a WebSphere MQ queue manager or queue-sharing group can send messages to an application that is attached to a service integration bus, and vice versa.

One way to connect a service integration bus to a WebSphere MQ network is to use a *WebSphere MQ link*. Another way is to use the WebSphere MQ server facility. This topic describes the WebSphere MQ link.

A WebSphere MQ link connects a messaging engine to a WebSphere MQ queue manager or queue-sharing group (known as the *gateway queue manager*) by using sender and receiver channels, thereby connecting the bus and the WebSphere MQ network.

The WebSphere MQ link provides connectivity not just with the messaging engine that hosts the link, but also with the other messaging engines in the bus. All the messaging engines in the bus appear to the WebSphere MQ network as if they were a single queue manager (they inherit the queue manager name from the WebSphere MQ link).

WebSphere MQ links can be used in a number of different configurations as shown in Figure 153 on page 588 and Figure 154 on page 589. A messaging engine can contain multiple WebSphere MQ links.

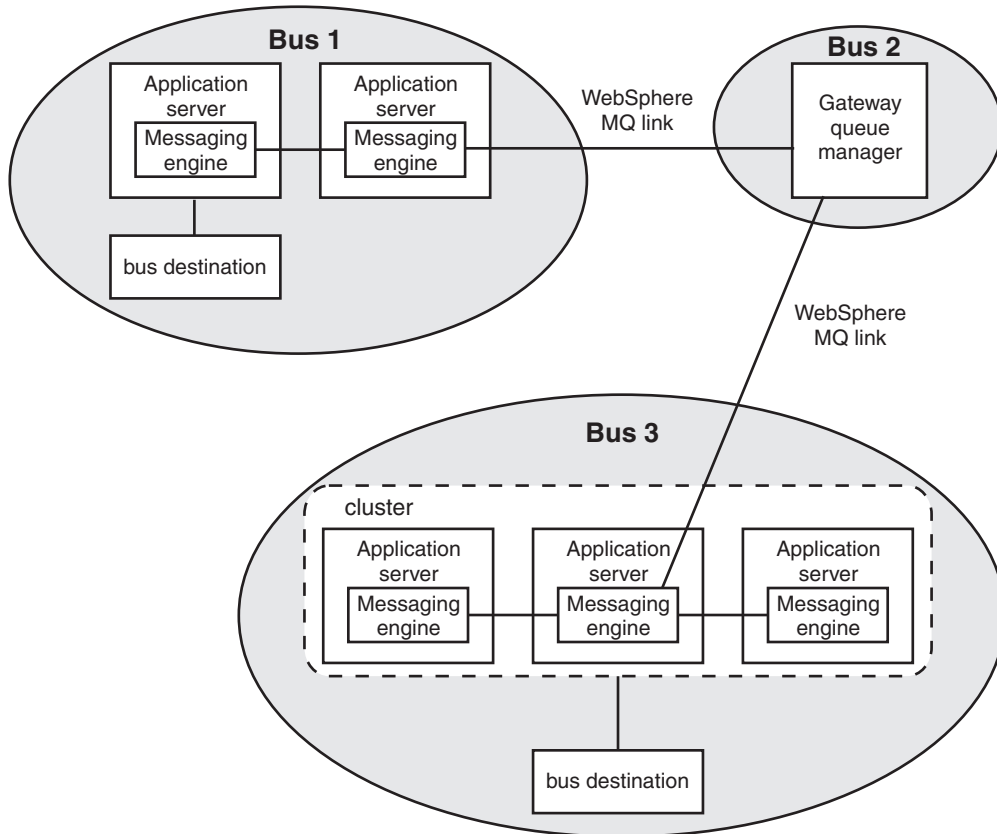


Figure 153. Service integration buses with links to a WebSphere MQ network

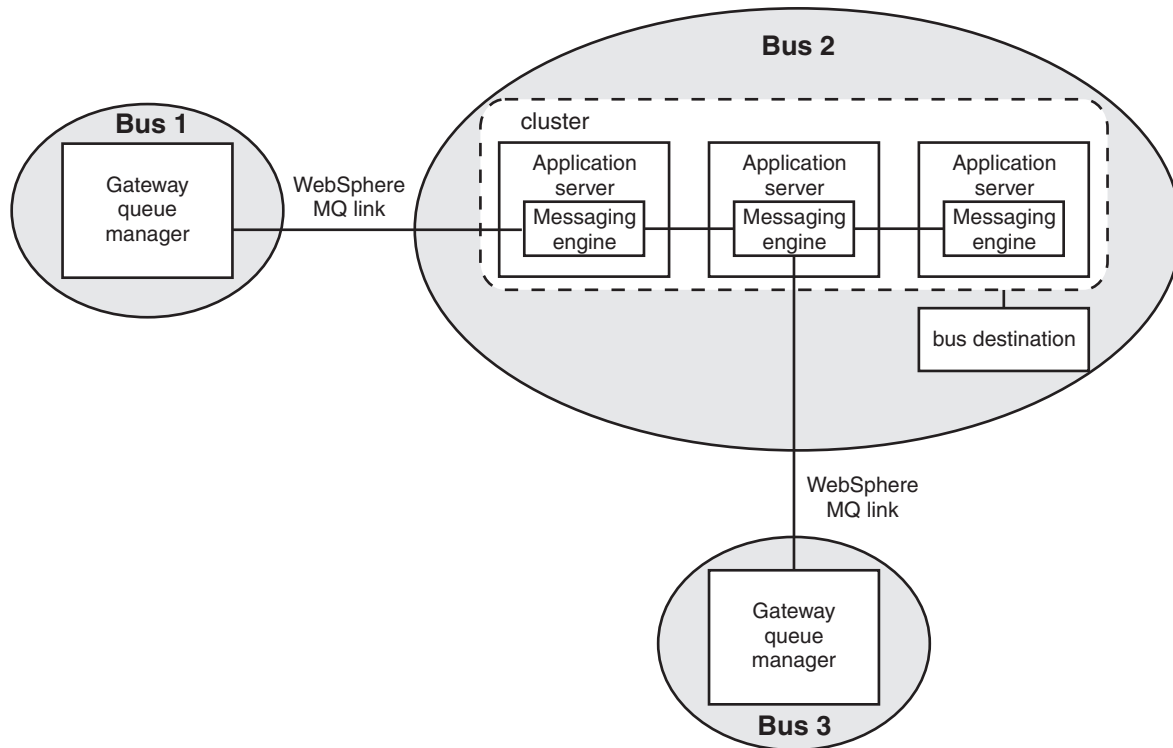


Figure 154. Service integration bus with links to WebSphere MQ networks

You can also use a WebSphere MQ link to form a publish/subscribe bridge that allows publication and subscription between WebSphere Application Server and the WebSphere MQ native publish/subscribe capability.

#### **Direct and indirect routing between service integration buses:**

You can use direct or indirect connections to interconnect service integration buses so that all the buses can exchange messages.

Service integration buses can be connected directly or indirectly. For a direct connection, two buses are either connected directly by a single service integration bus link to another service integration bus or by a WebSphere MQ link to a WebSphere MQ queue manager or queue-sharing group (known as the “gateway queue manager”). For an indirect connection, two buses are connected through one or more intermediate buses that are connected in a chain of links.

Each bus must be able to get to every other bus to which it is connected. In this context, the bus you start with is referred to as the local bus, and each bus that it is connected to is referred to as a foreign bus. Information about how messages are routed from the local bus to each foreign bus is stored in the local bus in the routing properties of the foreign bus connection. The routing properties indicate the following information:

- Whether the connection is direct or indirect.
- If the connection is direct, the type of physical link can be either a *service integration bus link* from a messaging engine in the local bus to a messaging engine in the foreign bus, or a *WebSphere MQ link* from a messaging engine in the local bus to the gateway queue manager in the WebSphere MQ network.
- If the connection is indirect, the next foreign bus in the chain that leads to the target bus.

In Figure 1, Bus 1 and Bus 2 are connected directly using a single direct service integration bus link. The messaging engine in Bus 1 is connected to a messaging engine in Bus 2 by using a direct service integration bus link.

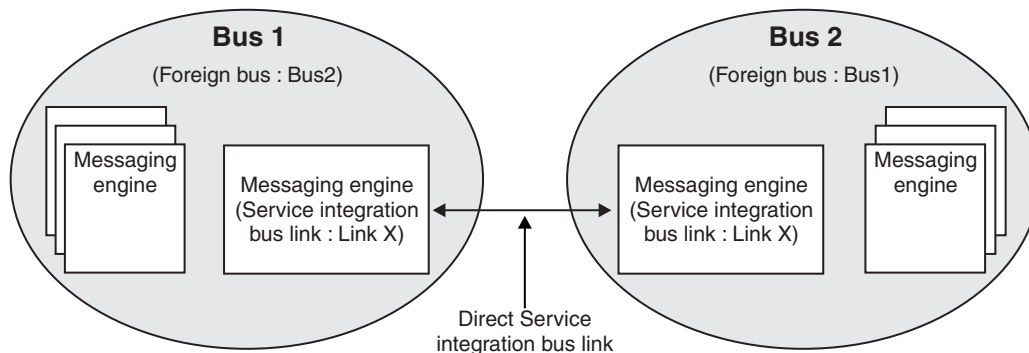


Figure 155. Direct connection between two service integration buses by using a service integration bus link

To connect one bus to another bus through an intermediate bus, or a chain of buses, if the connection between the intermediate bus or the chain of buses and the target bus already exists, you do not require any new physical links. Instead, each foreign bus connection identifies a neighboring bus on the route to the final target bus as the "next hop" in the chain. Each bus in the chain must know about the next hop in the chain to reach the target bus. The local bus uses a foreign bus connection to identify the next bus in the chain to the target bus, and uses its direct physical link to flow messages to that bus. Then, each intermediate bus uses its locally defined foreign bus connection to identify the next bus in the chain until the target bus is reached.

The physical link in the chain can be a service integration bus link or a WebSphere MQ link.

In Figure 2, to route a message from Bus 1 to Bus 3, the message is routed through a link from Bus 1 to Bus 2, then is routed through another link from Bus 2 to Bus 3. Bus 1 has a foreign bus connection that identifies Bus 2 as a direct connection, and a foreign bus connection that identifies Bus 2 as the next foreign bus on the route to Bus 3. Bus 2 has a foreign bus connection that identifies the next hop to the final bus, which in this example, is a direct connection to Bus 3.



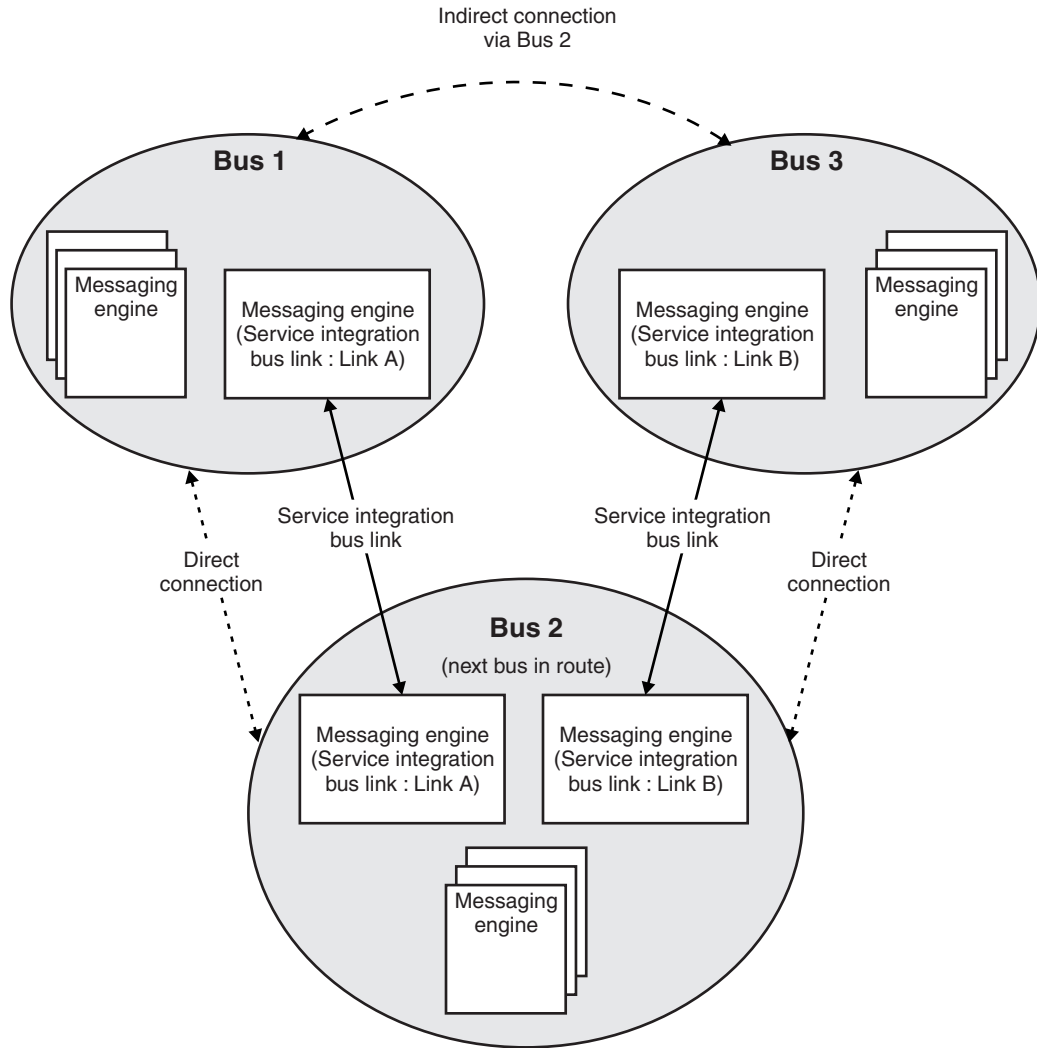


Figure 156. Indirect connection between two service integration buses, by using two direct service integration bus links

The following diagram shows an existing network of three buses, Bus 1, Bus 2 and Bus 3, where a new bus, Bus n, is to be added. Bus n will be connected directly to Bus 1 and indirectly to Buses 2 and 3.

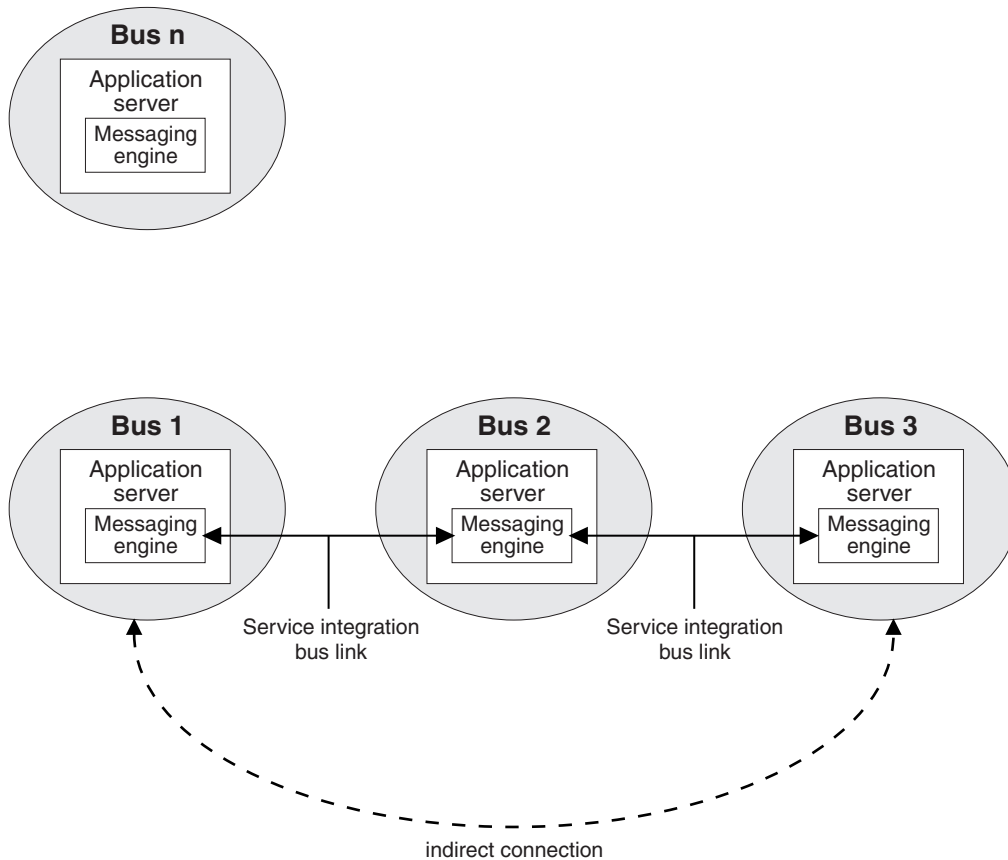


Figure 157. A network before Bus n is added

The following list shows the resources that must be defined to add Bus n to the network, and to allow messages to flow between any of the buses:

- For Bus n, the following resources must be defined:
  - A direct foreign bus connection that represents Bus 1. A service integration bus link between the messaging engine on Bus n and the messaging engine on Bus 1 is created automatically.
  - An indirect foreign bus connection that specifies Bus 1 as the next bus in the chain and Bus 2 as the target bus.
  - An indirect foreign bus connection that specifies Bus 1 as the next bus in the chain and Bus 3 as the target bus.
- For Bus 1, a direct foreign bus connection that represents Bus n. A service integration bus link between the messaging engine on Bus 1 and the messaging engine on Bus n is created automatically. The name of the service integration bus link must exactly match the name of the service integration bus link created in Bus n.
- For Bus 2, an indirect foreign bus connection that specifies Bus 1 as the next bus in the chain and Bus n as the target bus.
- For Bus 3, an indirect foreign bus connection that specifies Bus 2 as the next bus in the chain and Bus n as the target bus.

The following diagram shows the network after Bus n is added. Bus n is connected directly to Bus 1. The messaging engine in Bus n is connected to the messaging engine in Bus 1 by using a direct service integration bus link. There is an indirect connection between Bus n and Bus 2, and between Bus n and Bus 3.

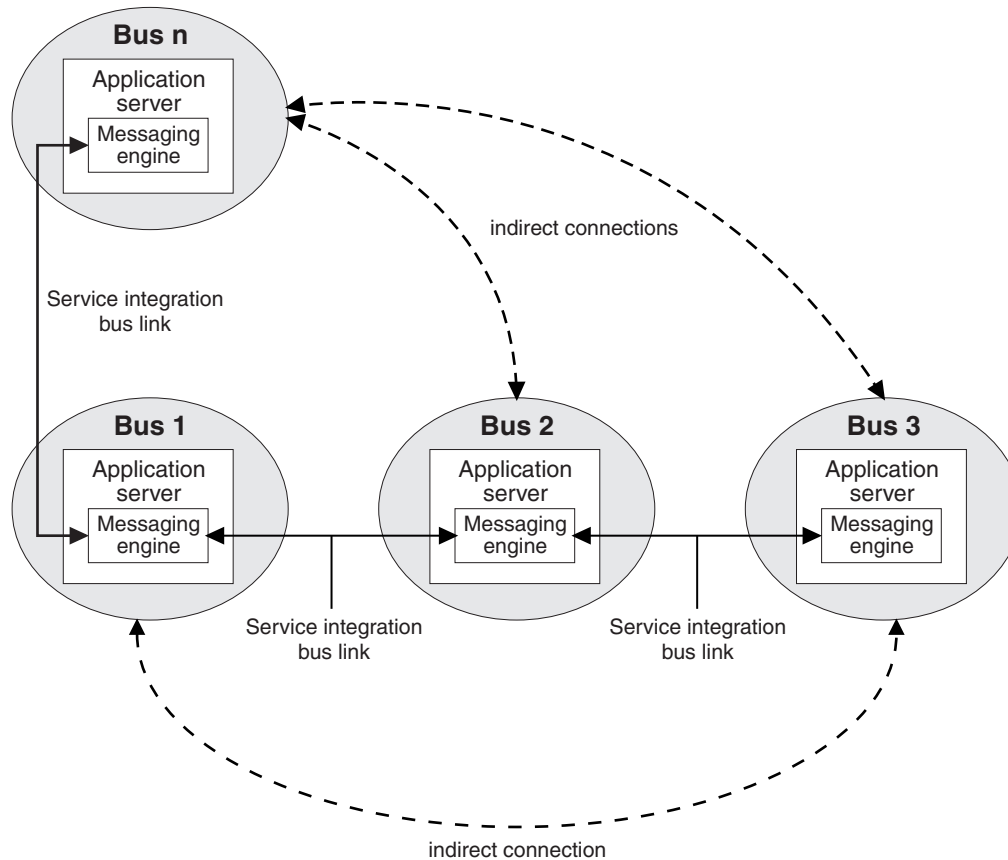


Figure 158. A network after Bus n is added

## Bootstrap members

An application inside a client container or application server outside the cell, cannot connect directly a bus inside the cell. This is because the client container or application server outside the cell, does not have access to cell configuration information. Therefore, to connect to a bus, the application must bootstrap to an application server inside the cell. That application server identifies a server inside the cell that is a bus member. Then, the client or application server outside the cell, will be able to connect to the bus inside the cell. A bootstrap member is an application server or cluster that is configured to accept requests to bootstrap into the service integration bus. The bootstrap member authenticates a connection request, and directs the request to a suitable bus member. The administrator configures the bootstrap member policy for the bus to determine which types of server can service requests to bootstrap.

The following bootstrap member policies are available:

### All members of the cell with the SIB Service enabled

This the default policy. Any server in the cell that has the SIB service enabled can service bootstrap requests.

### Bus members and nominated bootstrap members

Only bus members or nominated bootstrap members can service bootstrap requests.

### Bus members only

Only bus members can service bootstrap requests.

Unlike a bus member, a bootstrap member does not host a messaging engine and therefore does not provide messaging services. However, an application server or cluster can be both a bootstrap member and a bus member.

The administrator can use the administrative console to list bootstrap members. This information is helpful in managing bus topologies, developing applications, and diagnosing problems.

If a server or cluster is a member of one bus only, the administrator can choose the bootstrap member policy **Bus members and nominated bootstrap members** to prevent the members of one bus from bootstrapping into another bus.

If a bus topology uses multiple security domains, you can isolate buses and the applications that use them by configuring the bootstrap members so that only a subset of servers or clusters can access a bus.

---

## Service integration notification events

You can monitor your service integration environment by using notification events.

Each service integration entity, such as a messaging engine or a WebSphere MQ link, is represented by an *MBean*. MBeans are Java objects that represent Java Management Extensions (JMX) resources. As part of the JMX architecture, the MBeans can have notification events, which you can incorporate into your own system management application to monitor activities, such as the starting up of a messaging engine, across your service integration configuration. Events also enable applications acting as agents for other administration networks to monitor reports and create the appropriate alerts.

For more information about the WebSphere Application Server implementation of the JMX framework, see Using wsadmin scripting with Java Management Extensions (JMX).

| Each WebSphere Application Server MBean is documented in the Mbean interfaces section of the  
| Information Center. You can navigate to this section by expanding **Reference > Programming interfaces**  
| in the Information Center navigation, and then clicking **Mbean interfaces**, or by doing a search for "Mbean  
| interfaces".

The following service integration MBeans have notification events:

- SIBGatewayLink
- SIBMediationPoint
- SIBMessagingEngine
- SIBMQLink
- SIBMQLinkReceiverChannel
- SIBMQLinkSenderChannel
- SIBPublicationPoint
- SIBQueuePoint
- SIBRemotePublicationPoint
- SIBRemoteQueuePoint

### Format of event notifications

Event notifications contain information about the event and its origin. Typically, these notifications are processed by a system management application program tailored to meet the requirements of the enterprise at which it runs. The service integration events have the following attributes:

Table 62. Attributes of service integration events. The first column of the table lists the event attribute names, and the second column provides the description of the attributes.

Attribute name	Attribute description
Message	The message that describes the event.
SequenceNumber	An identifier for the event instance, unique within a run of the application server.
TimeStamp	Timestamp in milliseconds.
Type	Identifies the type of event, for example a messaging engine stop event. The type is defined by constants in the <code>app_server_root/web/apidocs/SIBNotificationConstants.html</code> file.
Properties	Specific for each event generated. These are key value pairs of strings that describe aspects of the event, such messaging engine name or number of messages on a destination. The key and value strings are defined by constants in the <code>app_server_root/web/apidocs/SIBNotificationConstants.html</code> file.  All service integration events will have properties for the bus name and UUID, and the messaging engine name and UUID.

## Message reliability levels - JMS delivery mode and service integration quality of service

Messages have a quality of service attribute that you can use to specify how reliable message delivery will be. JMS applications send messages with a JMS delivery mode (persistent or nonpersistent), then service integration uses JMS connection factory settings to map the JMS delivery mode to a service integration message reliability setting. Additional settings on bus destinations (including foreign destinations and alias destinations) can override this message reliability.

**Note:** The term message reliability level corresponds to all of the following terms:

- Quality of service (QoS) (service integration messaging)
- Delivery mode (JMS)
- Persistence (WebSphere MQ )

You can specify the following service integration message reliability levels for messages. The options are listed in order of increasing reliability.

### Best effort nonpersistent

Messages are discarded when a messaging engine stops or fails. Messages might also be discarded if a connection used to send them becomes unavailable or as a result of constrained system resources.

### Express nonpersistent

Messages are discarded when a messaging engine stops or fails. Messages might also be discarded if a connection used to send them becomes unavailable.

### Reliable nonpersistent

Messages are discarded when a messaging engine stops or fails.

### Reliable persistent

Messages might be discarded when a messaging engine fails.

### Assured persistent

Messages are not discarded.

**Note:** Higher levels of reliability have higher impacts on performance.

JMS applications send messages with a **JMS delivery mode** (persistent or nonpersistent). Applications specify this delivery mode as a parameter of the JMS send() method, but you can optionally specify a delivery mode that overrides the send() method as an attribute of the JMS destination.

Service integration uses the JMS connection factory settings to map the JMS delivery modes (persistent and nonpersistent) to service integration message reliability levels. You can use this mapping to choose between high performance, high reliability, or something in between. You specify the mapping you require in the JMS connection factory settings. For example, see Default messaging provider unified connection factory [Settings].

**Important:** If you map the persistent JMS delivery mode to one of the service integration nonpersistent levels (best effort nonpersistent, express nonpersistent or reliable nonpersistent), you risk losing messages in certain circumstances. For example, you risk losing messages at server restart, or when there is heavy workload.

You specify default and maximum service integration reliability levels as attributes of bus destinations (including foreign destinations and alias destinations). You also specify whether the producer-specified reliability overrides the default reliability for the destination: if not, service integration resets the reliability level of the messages to the default reliability for the destination. For alias destinations, you can specify that the reliability setting is inherited from the target destination.

For interoperation with WebSphere MQ, you map the reliability settings for service integration messages to the persistence settings for WebSphere MQ messages. For more information, see `./ae/rjc0014_.dita`.

To help you choose the required reliability level, the following table illustrates the behavior associated with the five levels of reliability.

**Note:** In addition to setting the selected reliability level, for messages to remain available after the various failures shown in the table with certain reliability, the application must be transactional.

*Table 63. Behavior of the five levels of reliability. The five columns in the table lists the five message reliability levels and the corresponding behavior associated with each of the five levels of reliability.*

	<b>Best effort nonpersistent</b>	<b>Express nonpersistent</b>	<b>Reliable nonpersistent</b>	<b>Reliable persistent</b>	<b>Assured persistent</b>
<b>JMS delivery mode:</b>	Nonpersistent	Nonpersistent	Nonpersistent	Persistent	Persistent
<b>Transactionally atomic:</b>	No, individual messages can be discarded	Yes: messages are not discarded and never retained beyond server restart	Yes: messages are not discarded and never retained beyond server restart	Yes	Yes
<b>Messages hardened:</b>	No	Possibly: when messages build up on a destination	Possibly: when messages build up on a destination	Yes: asynchronously	Yes: synchronously
<b>Messages discarded in normal operation:</b>	Yes	No	No	No	No
<b>Messages duplicated:</b>	No	Possibly: state data can be lost on server failure resulting in duplication	Possibly: state data can be lost on server failure resulting in duplication	Possibly: as deletion from the database is asynchronous with respect to user requests	No
<b>Messages are retained beyond planned shutdown:</b>	No	No	No	Yes: hardened messages are recovered, planned shutdown hardens cached messages	Yes
<b>Messages are retained beyond client comms failure:</b>	No	No	Yes	Yes	Yes

Table 63. Behavior of the five levels of reliability (continued). The five columns in the table lists the five message reliability levels and the corresponding behavior associated with each of the five levels of reliability.

Messages are retained beyond engine comms failure:	No	Yes	Yes	Yes	Yes
Messages are retained beyond engine crash:	No	No	No	Possibly: hardened messages are recovered	Yes
Messages are retained beyond backup and restore:	No	No	No	Possibly: hardened messages can be backed up and recovered	Yes

The following provides an explanation of the row headings in the table:

### JMS delivery mode

For JMS objects such as connection factories and destinations, the mapping between **JMS delivery mode** and the reliability settings. The default mapping for the JMS nonpersistent delivery mode is express nonpersistent. The default mapping for the JMS persistent delivery mode is reliable persistent.

### Transactionally atomic

Whether the message is atomic with respect to other messages produced or consumed within the same transaction. Best effort messages are not transactionally atomic at the time that they are produced with respect to other messages, so if one such message is lost (see the description of best effort nonpersistent earlier in this topic for details of how messages might be lost), other messages being processed in the same transaction might still be delivered successfully when the transaction commits (if the transaction is rolled back all operations on messages, irrespective of their reliability, are rolled back). For messages of higher reliability, if a failure occurs that would cause the loss of one of the messages in the transaction, the transaction, and all work being performed under that transaction, will be rolled back, making the operation transactionally atomic.

### Messages hardened

Whether messages are written to disk in the data store or the file store. System performance is affected by the frequency with which messages are written to disk, and in general using a file store for your messaging engine can improve performance. Messages of best effort nonpersistent reliability are never written to disk, express nonpersistent and reliable nonpersistent messages are written if messages build up on a destination, whereas reliable persistent and assured persistent messages are always written to disk.

Messages of reliable persistent reliability are written to disk, but this is done asynchronously with respect to the producing application. This allows increased flexibility in scheduling and batching of database updates, which can be used to increase throughput. Messages are not be lost under normal operating conditions, but messages might be lost if a messaging engine fails before this asynchronous write is complete.

Messages of assured persistent reliability are written to disk synchronously with respect to the producing application.

If messages are allowed to build up on a destination due to them not being consumed as quickly as they are produced, a messaging engine might choose to write messages to disk in order to manage memory usage.

When a message whose quality of service attribute is better than best effort nonpersistent is written to disk, it might also be cached in a memory buffer.

### Messages discarded in normal operation

Whether messages are discarded during normal operation.

### Note:

If you have a non-transactional message-driven bean, the system either deletes the message when the bean starts, or when the bean completes. If the bean generates an exception, and therefore does not complete, the system takes one of the following actions:

- If the system is configured to delete the message when the bean completes, then the message is dispatched to a new instance of the bean, so the message has another opportunity to be processed.
- If the system is configured to delete the message when the bean starts, then the message is lost.

The message is deleted when the bean starts if the quality of service is set to Best effort nonpersistent. For all other qualities of service, the message is deleted when the bean completes.

#### **Messages duplicated**

Whether messages are duplicated following a server failure.

#### **Messages are retained beyond planned shutdown**

Whether messages are retained beyond a planned shutdown or startup.

#### **Messages are retained beyond client comms failure**

Whether messages are retained beyond the failure of client-messaging engine communication.

#### **Messages are retained beyond engine comms failure**

Whether messages are retained beyond the failure of inter-engine communication.

#### **Messages are retained beyond engine crash**

Whether messages are retained beyond the failure of a messaging engine or a server.

#### **Messages are retained beyond backup and restore**

Whether messages are retained beyond an online backup and restore process.

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## **Dynamic reloading of configuration files**

Updates to configuration information are dynamically passed to the server if you use dynamic reloading of configuration files. These updates are available to a messaging engine even if it is not started.

The information that defines the configuration of service integration buses (including any linked foreign bus connections) and their resources is saved in a set of configuration files. When a server starts up, it reads the current information about service integration from those configuration files. When a messaging engine is started, it uses the information in the server that it is running in.

If the information in the configuration files is changed while the server is running, the server must either be dynamically updated or restarted to use the updated information.

You can enable dynamic reloading of configuration files for servers and for service integration buses, including the configuration information for any linked foreign bus connections. There are different results depending on whether you enable dynamic reloading for either the bus or the server or both:

- If you enable dynamic reloading on the bus, but not on the application server, you will need to restart the server for updates to take effect.
- If you enable dynamic reloading on the server, but not on the bus, then you will need to restart only the messaging engine for the updates to take effect.
- If you enable dynamic reloading on both the bus and the server, then all updates occur automatically without the need for restarts.

To enable dynamic reloading of configuration files on an application server, click **Application servers** -> **server\_name** -> **SIB service** to display the Application Servers window, and select **Configuration reload**



**enabled.** To ensure that dynamic configuration updates are made to each node, click **System administration** -> **Console Preferences** to display the Console Preferences window and select **Synchronize changes with nodes.**

To enable dynamic reloading of configuration files for a service integration bus, click **Service integration** -> **Buses** -> **bus\_name** to display the bus details, and select **Configuration reload enabled.**

If you choose not to enable dynamic reloading of configuration files, you must restart the server to pick up changes to the configuration files.

In a cluster deployment with failover, it is likely that the configuration information is updated between the initialization and start up of a messaging engine. (When a server starts a messaging engine is initialized, however the messaging engine might not start for a long time after that). Therefore you should enable dynamic reloading of configuration files in a cluster deployment with failover, because restarting a server to pick up configuration changes causes a failover. To get predictable behavior on failover, you must ensure that the standby (inactive) servers have been updated and recycled before the active server.

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## Service integration backup

You should back up your service integration setup on a regular basis so that it can be restored in the event of an unrecoverable failure.

For service integration, these are the main components that you can back up:

### Configuration files

The configuration of a service integration setup is stored as XML files. To back up or restore these configuration files, use the relevant command as detailed in Backing up and restoring administrative configuration files. Any backup or restore of a service integration setup must include a backup or restore of the configuration files.

### Data stores that are accessed by the messaging engines

Backing up and restoring your data stores is optional. As messages are transient in nature, you might not want to back up or restore the data stores.

- If you do not back up the data stores, and you modified your current configuration since it was last backed up, when you restore the configuration backup be aware that you might lose messages. For example, if you back up the configuration and then create a bus destination, when you restore the configuration backup the bus destination will no longer exist. Any messages for this destination will be deleted when the server that hosted that messaging engine is restarted.
- If you do back up your data stores, you must also back up the configuration files. You must back up or restore the configuration files at the same time as the data store is backed up or restored. Backing up and restoring at the same time maintains the consistency of the system and reduces the possibility of losing or duplicating messages from the time of the backup.

To back up a data store, see Backing up a data store.

### File stores that are accessed by the messaging engines

Backing up and restoring your file stores is optional. As messages are transient in nature, you might not want to back up or restore the files. To back up a file store, see Backing up a file store.

If you have multiple servers, you should ideally back up all the servers at the same time, otherwise messages from the time of the backup might be lost or duplicated. You should also minimize message traffic to reduce the possibility of losing or duplicating messages.

When you restart a messaging engine after restoring a backup, you should take steps to minimize loss of messages. See Restoring a data store and recovering its messaging engine .



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## Chapter 25. Session Initiation Protocol (SIP) applications

This page provides a starting point for finding information about SIP applications, which are Java programs that use at least one Session Initiation Protocol (SIP) servlet written to the JSR 116 specification.

SIP is used to establish, modify, and terminate multimedia IP sessions including IP telephony, presence, and instant messaging.

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### SIP in WebSphere Application Server

WebSphere Application Server delivers rich SIP functionality throughout its infrastructure.

Session Initiation Protocol (SIP) has grown considerably since it first became an IETF standard in 1999. SIP was originally intended purely for video and audio but has now grown to become the control protocol for many interactive services, particularly in the peer-to-peer realm. SIP, and the standards surrounding SIP, provide the mechanisms to look up, negotiate, and manage connections to peers on any network over any other protocol.

The SIP Servlet 1.0 specification allows enterprise applications to use SIP and to support SIP-predominant applications in the Java EE environment.

WebSphere Application Server also provides tooling for the development environment and high performing Edge Components to handle distributed application environments.

In the application server, the web container and SIP container are converged and are able to share session management, security and other attributes. In this model, an application that includes SIP servlets, HTTP servlets, and portlets can seamlessly interact, regardless of the protocol.

High availability of these converged applications is made possible because of the tight integration of HTTP and SIP in the base application server.

In front of a clustered application sits the proxy server, managing the traffic and workload of the SIP and HTTP traffic to the container. This proxy server is a stateless SIP proxy and a HTTP reverse proxy together, which uses the unified clustering framework and high availability manager services to seamlessly monitor the health of the servers. The proxy server also can act as a stand-alone stateless SIP proxy in front of the SIP container in the application server when no HTTP traffic is present.

The proxy server uses the unified clustering framework, and high availability manager services to perform failover work, when necessary. With the converged proxy and converged container, session failover is done with affinity to the application, allowing the HTTP and SIP sessions to be tied together automatically. Having the SIP and HTTP sessions automatically tied together from the container to the proxy is another way the application server solution excels in converged environments.

It's important to note that the SIP function in the proxy server is stateless. The SIP RFC defines two types of proxy servers, one stateful and one stateless. Normally, a SIP proxy is a stateful instance and stateless proxies are specified as such. A stateful proxy participates in the call flows and is implemented using SIP servlets.

The stateless SIP proxy functionality in the proxy server allows the proxy to handle the workload, routing, and session affinity needs of the SIP container with less complexity. Being stateless, the proxy server can be fronted by a simple IP sprayer, such as the load balancer component. If a proxy server fails, the affinity is to the container and not to the proxy itself so there is one less potential failure along the message flow.

#### SIP Infrastructure

The SIP infrastructure is a multi-tiered architecture made up of SIP containers, SIP proxies and an IP sprayer. The SIP container is a general purpose SIP application server. The SIP infrastructure consists of:

- SIP container – web container extension that implements JSR 116 plus a SIP protocol stack that implements all pertinent RFCs.
- SIP proxy – Stateless edge device that handles I/O concentration, load balancing, and other functions, in a similar manner to the reverse HTTP proxy. This is not the same as the SIP proxy defined by RFC 3261.
- Load balancer – SIP enabled to interoperate with SIP proxies and SIP containers. The extendable SIP proxy handles session affinity, load balancing, and failover. The load balancer functions as a highly available IP sprayer to dispatch messages to the proxies.

SIP is a key element for many new applications, especially when converged with HTTP, including:

- Click-To-Call
- Voice over IP
- Third Party Call Control and Call Monitoring
- Presence and Instance Messaging

## SIP applications

A *SIP application* is a Java program that uses at least one Session Initiation Protocol (SIP) servlet.

A SIP servlet is a Java-based application component that is managed by a SIP servlet container and that performs SIP signaling. Like other Java-based components, servlets are platform-independent Java classes that are compiled to platform-neutral bytecode that can be loaded dynamically into and run by a Java-enabled SIP application server. Containers, sometimes called servlet engines, are server extensions that handle servlet interactions. SIP servlets interact with clients by exchanging request and response messages through the servlet container.

SIP is used to establish, modify, and terminate multimedia IP sessions including IP telephony, presence, and instant messaging. "Presence" in this context refers to user status such as "Active," "Away," or "Do not disturb." The standard that defines a programming model for writing SIP-based servlet applications is JSR 116.

## SIP container

This product complies with the following SIP standards:

IETF  
JCP

For a complete list of the supported Internet Engineering Task Force (IETF) and Java Community Process (JCP) industry standards, see the "Compliance with industry SIP standards" topic linked below.

## SIP industry standards compliance

The product implementation of Session Initiation Protocol (SIP) complies with industry standards for both a SIP container and SIP applications.

## SIP container

This product complies with the following SIP standards:

IETF  
JCP

This product also complies with the Internet Engineering Task Force (IETF) and Java Community Process (JCP) industry standards for SIP. The following table contains a list of the IETF and JCP standards.

Table 64. WebSphere Application Server complies with these SIP standards.

This product complies with SIP standards as noted in the following table.

Standard	Description
JR116	SIP: SIP Servlet API
JR289	SIP: SIP Servlet API v1.1
RFC 2543	SIP: Session Initiation Protocol
RFC 3261	SIP: Session Initiation Protocol
RFC 3262	Reliability of provisional responses in SIP
RFC 3263	Locating SIP servers
RFC 3265	SIP-specific event notification
RFC 3311	The SIP UPDATE Method
RFC 3325	Private Extensions to the SIP for asserted identity within trusted networks
RFC 3326	The Reason Header field for the SIP
RFC 3515	The SIP Refer method
RFC 3581	The SIP Extension for Symmetric Response Routing
RFC 3824	Using E.164 numbers with the SIP
RFC 3891	The SIP Replace header
RFC 3903	SIP Extension for event state publication
RFC 3911	The SIP Join header
RFC 4475	SIP torture test messages
RFC 5057	Multiple dialog usages in SIP
RFC 5626	Managing Client-Initiated Connections in SIP <b>Note:</b> The SIP server can act as a proxy or registrar as specified in sections 5, 6, and 7 of RFC 5626. RFC 5626 is an extension to RFC 3261. The SIP server has full support for RFC 3261. However, support for RFC 5626 comes with the following limitations: <ul style="list-style-type: none"> <li>• The SIP server can act as a User Agent, as defined in RFC 3261; however, it cannot act as a User Agent as defined in section 4 of RFC 5626.</li> <li>• The SIP server does not support STUN keepalives, as specified in RFC 5626.</li> </ul>
RFC 5658	Addressing Record-Route issues in SIP

## SIP applications

This product complies with standards for SIP applications.

Table 65. Compliance with standards for SIP applications.

This product complies with standards for SIP applications as noted in the following table.

Standard	Description
RFC 2848	The PINT Service Protocol: Extensions to SIP and Session Description Protocol (SDP) for internet protocol (IP) access to telephone call services
RFC 2976	The SIP INFO method
RFC 3050	Common gateway interface for SIP
RFC 3087	Control of service context using SIP request-URI
RFC 3264	An offer and answer model with SDP
RFC 3266	Support for IPv6 in SDP

Table 65. Compliance with standards for SIP applications (continued).

This product complies with standards for SIP applications as noted in the following table.

Standard	Description
RFC 3312	Integration of resource management and SIP
RFC 3313	Private SIP extensions for media authorization
RFC 3319	Dynamic Host Configuration Protocol (DHCPv6) options for SIP servers
RFC 3327	SIP Extension Header field for registering non-adjacent contacts
RFC 3372	SIP for telephones (SIP-T): context and architectures
RFC 3398	Integrated Services Digital Network (ISDN) User Part (ISUP) to SIP mapping
RFC 3428	SIP extension for instant messaging
RFC 3455	Private Header (P-Header) extensions to the SIP for the 3rd-Generation Partnership Project (3GPP)
RFC 3578	Mapping of Integrated Services Digital Network (ISDN) User Part (ISUP) overlap signaling to the SIP
RFC 3603	Private SIP proxy-to-proxy extensions for supporting the PacketCable distributed call signaling architecture
RFC 3608	SIP Extension Header field for service route discovery during registration
RFC 3665	SIP basic call flow examples
RFC 3666	SIP Public Switched Telephone Network (PSTN) call flows
RFC 3680	A SIP event package for registrations
RFC 3725	Best current practices for third-party call control (3pcc) in the SIP
RFC 3840	Indicating user agent capabilities in the SIP
RFC 3842	A message summary and message waiting indication event package for the SIP
RFC 3856	A presence event package for the SIP
RFC 3857	A watcher information event template package for the SIP
RFC 3959	The early session disposition type for the SIP
RFC 3960	Early media and ringing tone generation in the SIP
RFC 3976	Interworking SIP and intelligent network (IN) applications
RFC 4032	Update to the SIP preconditions framework
RFC 4092	Usage of the SDP Alternative Network Address Types (ANAT) semantics in the SIP
RFC 4117	Transcoding services invocation in the SIP using third-party call control (3pcc)
RFC 4235	An invite-initiated dialog event package for the SIP
RFC 4240	Basic network media services with SIP
RFC 4353	A framework for conferencing with the SIP
RFC 4354	A SIP event package and data format for various settings in support for the push-to-talk over cellular (PoC) service
RFC 4411	Extending the SIP Reason Header for preemption events
RFC 4457	The SIP P-user-database Private-Header (P-Header)
RFC 4458	SIP URIs for applications such as voicemail and interactive voice response (IVR)
RFC 4483	A mechanism for content indirection in SIP messages
RFC 4497	Interworking between the SIP and QSIG
RFC 4508	Conveying feature tags with the SIP REFER method

## Runtime considerations for SIP application developers

You should consider certain product runtime behaviors when you are writing Session Initiation Protocol (SIP) applications.

### Container may accept non-SIP URI schemes

The SIP container will not reject a message if it doesn't recognize the scheme in the request Uniform Resource Indicator (URI) because the container cannot know which URI schemes are supported by the applications. SIP elements may support a request URI with a scheme other than `sip` or `sips`, for example, the `pres:` scheme has a particular meaning for presence servers, but the container does not recognize it. It is up to the application to determine whether to accept or to reject a specific scheme. SIP elements may translate non-SIP URIs using any mechanism available, resulting in SIP URIs, SIPS URIs, or other schemes, like the `tel` URI scheme of RFC 2806 [9].

**trns:** When a SIP application sends a request to a SIP URI over Transport Layer Security (TLS) in version 6.1, the request URI scheme changes from "sip" to "sips." In version 7.0, the scheme does not change. You can reverse the new behavior in version 7.0 by changing the application code. With a "sips" URI, the behavior remains the same after upgrading from version 6.1 to 7.0. See the information center topic *Premigration considerations* for more information.

### Directing requests in a multiple-container environment

In a multiple-container environment (SIP proxy plus SIP containers), when your application sends a request intended initially to be sent externally but later received, it should use the host and ports of the front-most load balancing element (either an IP sprayer for multiple SIP proxies, or the SIP proxy if only one exists). If the application uses the host name of a container instead of the front-most element, the request may be lost in the event of a failure.

For example, an application sends an INVITE request to itself, but the request must pass through an external accounting system through a pushed Route header. The application should set the INVITE request's URI to the host and port of the foremost element to ensure that failover occurs. The request will be routed to the accounting system via the pushed Route, and then sent back to the front load balancing element for processing.

### Invoking session listener events

`SipSessionListener` and `SipApplicationSessionListener` events are invoked only if an application requests the corresponding session object. You do this by using in your application the method shown in Table 66.

*Table 66. Methods that invoke session listener events.*

*This table lists the methods that invoke session listener events.*

Event	Method
<code>SipSessionListener</code>	<code>getSession()</code>
<code>SipApplicationSessionListener</code>	<code>getApplicationSession()</code>

### Session activation and passivation

During normal operation, this product never migrates a session from one server to another. Session migration occurs only as a result of a server failure. Therefore the `SipSessionActivationListener` method's passivation callback is never invoked. However, the activation callback is invoked when a failure forces session failover to a different server.

## External resources

If a SIP application performs intensive I/O or accesses an external database, it may be blocked for several milliseconds. If possible, use asynchronous APIs for these resources. Under stress, a blocked SIP application may trigger a Request Timeout or re-transmission.

## SIP application attributes

Avoid hanging large objects or BLOBs as SIP Session attributes (via `SIPSession.setAttribute` API). This may damage the overall performance when combined with high availability (HA). The same recommendation applies for `SIPApplicationSession.setAttribute`. In most cases, the large object can be replaced by several simple or composed strings.

## SIP IBM Rational Application Developer for WebSphere framework

This page provides information about the SIP IBM Rational Application Developer for WebSphere framework.

WebSphere Application Server includes IBM Rational Application Developer for WebSphere to meet all the basic development needs for Java EE applications. Included in IBM Rational Application Developer for WebSphere is support for developing SIP servlet applications. IBM Rational Application Developer for WebSphere provides graphical deployment descriptor editors and basic wizards to get you started writing SIP servlets.

IBM Rational Application Developer for WebSphere also includes many other pieces that integrate well in WebSphere Application Server deployments, such as the Unit Test Environment, which provides the WebSphere Application Server servlet container to run SIP servlets in the development phase of the product, as well as tools for server automation and application packaging.

IBM Rational Application Developer for WebSphere supports:

- SIP servlet development (JSR 116)
- Converged SIP/HTTP applications
- Import/Export SAR packages
- SIP samples (call forward, call block, third party call)

## SIP servlets

This topic describes SIP servlets.

The SIP Servlet 1.0 specification (JSR 116) is standardized through Java Specification Request (JSR) 116. The idea behind the specification is to provide a Java application programming interface (API) similar to HTTP servlets, which provides an easy-to-use SIP programming model. Like the popular HTTP servlet programming model, some flexibility is limited to optimize ease-of-use and time-to-value.

However, the SIP Servlet API is different in many ways from HTTP servlets because the protocol is so different. While SIP is a request-response protocol, there is not necessarily only one response to every one request. This complexity and a need for a high performing solution meant that it was easier to make the SIP servlets natively asynchronous. Also, unlike HTTP servlets, the programming model for SIP servlets sought to make client requests easy to create alongside the other logic being written because many applications act as a client or proxy to other servers or proxies.

## SipServlet requests

Like HTTP servlets, each SIP servlet extends a base `javax.servlet.sip.SipServlet` class. All messages come in through the service method, which you can extend. However, because there is not a one-to-one mapping of requests to responses in SIP, the suggested practice is to extend the `doRequest` or



doResponse methods instead. When extending the doRequest or doResponse methods, it is important to call the extended method for the processing to complete.

Each request method, which the specification must support, has a doxxx method just like HTTP. In HTTP, methods such as doGet and doPost exist for GET and POST requests. In SIP, doInvite, doAck, doOptions, doBye, doCancel, doRegister, doSubscribe, doNotify, doMessage, doInfo, and doPrack methods exist for each SIP request method.

Unlike an HTTP servlet, SIP servlets have methods for each of the response types that are supported. So, SIP servlets include the doProvisionalResponse, doSuccessResponse, doRedirectResponse, and doErrorResponse responses. Specifically, the provisional responses (1xx responses) are used to indicate status, the success responses (2xx responses) are used to indicate a successful completion of the transaction, the redirect responses (3xx responses) are used to redirect the client to a moved resource or entity, and the error responses (4xx, 5xx, and 6xx responses) are used to indicate a failure or a specific error condition. These types of response messages are similar to HTTP, but because the SIP Servlet programming model includes a client programming model, it is necessary to have responses handled programmatically as well.

## Clarifications of JSR 116

JSR 289 has made some clarifications to JSR 116, as follows:

- JSR 289 Section 4.1.3: Contact Header Field
- JSR 289 Section 5.2: Implicit Transaction State
- JSR 289 Section 5.8: Accessibility of SIP Servlet Messages

### *SIP SipServletRequest and SipServletResponse classes:*

The SipServletRequest and SipServletResponse classes are similar to the HttpServletRequest and HttpServletResponse classes.

### **SipServletRequest and SipServletResponse classes**

Each class gives you the capability to access the headers in the SIP message and manipulate them. Because of the asynchronous nature of the requests and responses, this class is also the place to create new responses for the requests. When you extend the doInvite method, only the SipServletRequest class is passed to the method. To send a response to the client, you must call the createResponse method on the Request object to create a response. For example:

```
protected void doInvite(SipServletRequest req) throws
    javax.servlet.ServletException, java.io.IOException {

    //send back a provisional Trying response
    SipServletResponse resp = req.createResponse(100);
    resp.send();
```

Because of their asynchronous nature, SIP servlets can seem complicated. However, something as simple as the previous code sample sends a response to a client.

Here is a more complex example of a SIP servlet. With the following method included in a SIP servlet, the servlet blocks all of the calls that do not come from the example.com domain.

```
protected void doInvite(SipServletRequest req) throws
    javax.servlet.ServletException, java.io.IOException {

    //check to make sure that the URI is a SIP URI
    if (req.getFrom().getURI().isSipURI()){
        SipURI uri = (SipURI)req.getFrom().getURI();
        if (!uri.getHost().equals("example.com")) {
            //send forbidden response for calls outside domain
```

```

        req.createResponse(SipServletResponse.SC_FORBIDDEN).send();
        return;
    }
}
//proxy all other requests on to their original destination
req.getProxy().proxyTo(req.getRequestURI);
}

```

### ***SIP SipSession and SipApplicationSession classes:***

Possibly the most complex portions of the SIP Servlet 1.0 specification are the SipSession and SipApplicationSession classes.

### **SIP SipSession and SipApplicationSession classes**

Both of these classes have some useful purposes and can act as the primary place to store data in applications that are designed for distributed or highly available environments.

The SipSession class is the best representative of a specific point-to-point communication between two entities and is the closest to the HttpSession object. Because historically no proxying or forking existed for the HTTP request in HTTP servlets, the need for something higher than a single point-to-point session did not exist. However, even HTTP users can see the growing need for this type of function since portlets began essentially forking HTTP requests. The SIP users expect the proxying and forking activities that require multiple layers of SIP session management. The SipSession class is the lowest point-to-point layer.

The SipApplicationSession class represents the higher layer of SIP session management. One SipApplicationSession class can own one or more SipSession objects. However, each SipSession class can be related to one SipSession object only. The SipApplicationSession class also supports the attachment of any number of other protocol sessions. Currently, only HTTP sessions are supported by any implementations. The SipApplicationSession class has a getSessions method, which takes the requested protocol type as an argument.

You might find it useful for many applications to combine HTTP and SIP. For example, you might use this approach to tie together HTTP and SIP sessions to monitor a phone call or to start a phone call through a rich HTTP graphical user interface.

### ***Example: SIP servlet simple proxy:***

This is a servlet example of a simple proxy.

#### **Simple proxy**

```

import java.io.IOException;

import javax.servlet.ServletException;
import javax.servlet.SipProxy;
import javax.servlet.sip.SipFactory;
import javax.servlet.sip.SipServlet;
import javax.servlet.sip.SipServletRequest;
import javax.servlet.sip.SipServletResponse;
import javax.servlet.sip.SipSession;
import javax.servlet.sip.SipURI;
import javax.servlet.sip.URI;

public class SimpleProxy extends SipServlet implements Servlet {

    final static private String SHUTDOWN_KEY = new String("shutdown");
    final static private String STATE_KEY = new String("state");

```

```

final static private int INVITE_RECEIVED = 1;

/* (non-Java-doc)
 * @see javax.servlet.sip.SipServlet#SipServlet()
 */
public SimpleProxy() {
    super();
}

/* (non-Javadoc)
 * @see javax.servlet.sip.SipServlet#doInvite(javax.servlet.sip.SipServletRequest)
 */
protected void doInvite(SipServletRequest request) throws ServletException,
    IOException {

    //log("SimpleProxy: doInvite: TOP");

    try {
        if (request.isInitial() == true)
        {
            // This should cause the sip session to be created. This sample only uses the session on receiving
            // a BYE but the Tivoli performance viewer can be used to track the creation of calls by viewing the
            // active session count.
            Integer state = new Integer(INVITE_RECEIVED);
            SipSession session = request.getSession();
            session.setAttribute(STATE_KEY, state);
            log("SimpleProxy: doInvite: setting attribute");

            Proxy proxy = request.getProxy();

            SipFactory sipFactory = (SipFactory) getServletContext().getAttribute(SIP_FACTORY);
            if (sipFactory == null) {
                throw new ServletException("No SipFactory in context");
            }

            String callingNumber = request.getTo().toString();
            if (callingNumber != null)
            {
                String destStr = format_lookup(callingNumber);
                URI dest = sipFactory.createURI(destStr);

                //log("SimpleProxy: doInvite: Proxying to dest URI = " + dest.toString());

                if (((SipURI)request.getRequestURI()).getTransportParam() != null)
                    ((SipURI)dest).setTransportParam(((SipURI)request.getRequestURI()).getTransportParam());

                proxy.setRecordRoute(true);
                proxy.proxyTo(dest);
            }
            else
            {
                //log("SimpleProxy: doInvite: Request is invalid. Did not contain a To: field.");
                SipServletResponse sipresponse = request.createResponse(400);
                sipresponse.send();
            }
        }
        else
        {
            //log("SimpleProxy: doInvite: target refresh, let container handle invite");
            super.doInvite(request);
        }
    }
    catch (Exception e){
        e.printStackTrace();
    }
}

```

```

/* (non-Javadoc)
 * @see javax.servlet.sip.SipServlet#doResponse(javax.servlet.sip.SipServletResponse)
 */
protected void doResponse(SipServletResponse response) throws ServletException,
    IOException {
    super.doResponse(response);

    // Example of using the session object to store session state.
    SipSession session = response.getSession();
    if (session.getAttribute(SHUTDOWN_KEY) != null)
    {
        //log("SimpleProxy: doResponse: invalidating session");
        session.invalidate();
    }
}

/* (non-Javadoc)
 * @see javax.servlet.sip.SipServlet#doBye(javax.servlet.sip.SipServletRequest)
 */
protected void doBye(SipServletRequest request) throws ServletException,
    IOException {

    SipSession session = request.getSession();
    session.setAttribute(SHUTDOWN_KEY, new Boolean(true));

    //log("SimpleProxy: doBye: invalidate session when responses is received.");
    super.doBye(request);
}

protected String format_lookup(String toFormat){
    int start_index = toFormat.indexOf('<') + 1;
    int end_index = toFormat.indexOf('>');

    if(start_index == 0){
        //don't worry about it
    }
    if(end_index == -1){
        end_index = toFormat.length();
    }

    return toFormat.substring(start_index, end_index);
}
}

```

**Example: SIP servlet SendOnServlet class:**

The SendOnServlet class is a simple SIP servlet that would perform the basic function of being called on each INVITE and sending the request on from there.

**SendOnServlet class**

Function could easily be inserted to log this invite request or reject the INVITE based on some specific criteria.

```

package com.example;
import java.io.IOException;
import javax.servlet.sip.*;
import java.servlet.ServletException;
public class SendOnServlet extends SipServlet {
    public void doInvite(SipServletRequest req)
        throws ServletException, java.io.IOException {
        //send on the request
        req.getProxy().proxyTo(req.getRequestURI);
    }
}

```

The `doInvite` method could be altered to do something such as reject the invite for some specific criteria simply. In the example `doInvite` method below, all requests from domains outside of `example.com` will be rejected with a Forbidden response.

```
public void doInvite(SipServletRequest req)
throws ServletException, java.io.IOException {
if (req.getFrom().getURI().isSipURI()){
    SipURI uri = (SipURI)req.getFrom().getURI();
    if (!uri.getHost().equals("example.com")) {
        //send forbidden response for calls outside domain
req.createResponse(SipServletResponse.SC_FORBIDDEN, "Calls outside example.com not accepted").send();
return;
    }
}
//proxy all other requests on to their original destination
req.getProxy().proxyTo(req.getRequestURI());
}
```

SendOnServlet deployment descriptor:

```
<sip-app>
  <display-name>Send-on Servlet</display-name>
  <servlet>
    <servlet-name>SendOnServlet</servlet-name>
    <servlet-class>com.example.SendOnServlet</servlet-class>
  </servlet>

  <servlet-mapping>
    <servlet-name>SendOnServlet</servlet-name>
    <pattern>
      <equal>
        <var>request.method</var>
        <value>INVITE</value>
      </equal>
    </pattern>
  </servlet-mapping>
</sip-app>
```

### **Example: SIP servlet Proxy servlet class:**

#### **Proxy servlet class**

After the initial INVITE, this application will be called on every subsequent SIP message. For each Request and Response, this class will simply print out the action and who it is to or from.

```
package com.example;
import java.io.IOException;
import javax.servlet.sip.*;
import java.servlet.ServletException;
public class ProxyServlet extends SipServlet {
    public void doInvite(SipServletRequest req)
        throws ServletException, java.io.IOException {
        //get the Proxy
        Proxy p=req.getProxy();
        //turn on supervised mode so that all events come through us
        //The default on this is true but it is set to emphasize the function.
        p.setSupervised(true);
        //set record route so we see the ACK, BYE, and OK
        p.setRecordRoute(true);
        //proxy on the request
        p.proxyTo(req.getRequestURI());
    }
    public void doRequest(SipServletRequest req)
        throws ServletException, java.io.IOException {
        System.out.println(req.getMethod()+" Request from "+req.getFrom().getDisplayName());
        super.doRequest(req);
    }
}
```

```

public void doResponse(SipServletResponse resp)
    throws ServletException, java.io.IOException {
    System.out.println(resp.getReasonPhrase()+" Response from "+resp.getTo().getDisplayName());
    super.doResponse(resp);
}
}

```

Proxy deployment descriptor

```

<sip-app>
  <display-name>ProxyServlet</display-name>
  <servlet>
    <servlet-name>ProxyServlet</servlet-name>
    <servlet-class>com.example.ProxyServlet</servlet-class>
  </servlet>

  <servlet-mapping>
    <servlet-name>ProxyServlet</servlet-name>
    <pattern>
      <equal>
        <var>request.method</var>
        <value>INVITE</value>
      </equal>
    </pattern>
  </servlet-mapping>
</sip-app>

```

### **JSR 289 overview:**

Version 8.0 includes support for SIP Servlet Specification 1.1, also referred to as Java Specification Request (JSR) 289.

**Note:** Version 8.0 includes support for SIP Servlet Specification 1.1, also referred to as Java Specification Request (JSR) 289.

The SIP Servlet Specification provides the Java API standards for Session Initiation Protocol (SIP). JSR 289 is an update to the existing SIP Servlet specification that addresses new requirements determined by industry users.

SIP is a signalling protocol used for creating, modifying, and terminating IP communication sessions such as telephony and presence applications. SIP is not limited to voice communication and can mediate any kind of communication session, such as multimedia.

The following is a brief description of new features available in the JSR 289 specification.

- Application router for application selection
 

Application routing enables developers to build complex services out of smaller applications. On initial requests the container calls the application router to determine which application to invoke based on the type of request. The application router is the central hub for selecting application order. See the topic on configuring a SIP application router for more information.
- Annotation-based programming
 

Annotations provide a fast way to develop applications by embedding metadata directly in applications. For example, you can use the `@SipServlet` annotation to indicate that a class is a SIP servlet. The `@SipApplication` is a package level annotation. All servlets in the package belong to the same application unless the servlet uses `@SipServlet(applicationName)`. For more information on annotations, see section 18 of the JSR 289.
- Converged applications
 

JSR 289 provides a new, standardized mechanism for building converged applications. A converged application contains SIP servlet components and other Java EE components, like HTTP servlets and enterprise beans. The specification includes two new classes to support convergence.

- ConvergedHttpSession is an extension to HttpSession for converged applications.
- SipSessionUtil handles session management for converged applications.

For more information on converged applications, see section 13 of the JSR 289.

- Back-to-back user agent (B2BUA) APIs

JSR 289 simplifies the B2BUA pattern in applications with the use of the B2BUA helper class. The B2BUA is a frequently used application pattern. The B2BUA acts as an endpoint for two or more dialogs and forwards requests and responses between those dialogs. The B2BUA helper has the ability to create a copy of an incoming request. It also automatically maintains links between sessions on both sides of the B2BUA. For more information on B2BUAs, see section 12 of the JSR 289.

*SIP application router:*

The SIP application router is used by the SIP container to select the order in which applications are run within the container.

The SIP container can invoke multiple applications in order to deploy a complete service or function. This modular and compositional approach makes it easier for application developers to develop new applications. The modular applications can be more easily combined and managed, while individual application implementations remain independent.

The application router is responsible for selecting the correct applications in the correct order to service an incoming message. An application router is required for a container to function, but it is a separate logical entity from the container. The application router is based on the JSR 289 specification. See the specification for more details about the application router function.

The default application router (DAR) can be configured with a standard configuration file, which is supplied to the container through a SIP container custom property, as defined in JSR 289. The DAR configuration file can also be uploaded in the administrative console for each target of the DAR.

Application routing, also referred to as application composition, can be handled in a number of ways:

- Specify the order in which the applications should run using the administrative console.
- Upload a custom application router implementation class either by specifying the path of the Java archive (JAR) file containing the application router implementation and provider through the console or adding it to the class path. A specific provider can be defined with a SIP container custom property.
- Configure the DAR by uploading its properties file and providing its location through a system property.
- Use an interactive wizard to generate a DAR configuration file.

**Restriction:** WebSphere Application Server has a default way of sorting the order of SIP applications invocation using the Startup behavior settings. The sorting order is based on the application weight. This weighting policy only applies if you do not specify a DAR property file and no custom application router has been associated with the server or cluster.

**Note:** If CEA features are used, the CEA system application requires special consideration when enabled on the same server or cluster as a custom application router. To deploy an application router and still maintain the capabilities of the CEA system application, use one of the following two options:

- Only enable CEA on an isolated server or cluster that includes no custom application router.
- Make sure the custom application router routes all CEA specific messages to the CEA system application. To do this, the developer of the application router must check the mappings that are defined in the sip.xml file associated with the CEA system application. The sip.xml file associated with the CEA system application can be found in the directory path at *app\_server\_root/systemApps*.

The following information explains how to configure a custom application router to route to the commsvc system application. The examples show a custom application router configuration with and without the commsvc application.

First, here is an example configuration without commsvc:

```
INVITE: ("TestB2bua", "DAR:To", "NEUTRAL", "", "NO_ROUTE", "0")
```

The first element after the INVITE is the display name of the test application, and this one-line application router routes b2bua calls to the application successfully. With the preceding application router configured on the SIP container, however, CEA Web collaboration attempts fail.

To enable routing to the CEA system application, just clone the routing element and change the application name in the second element instance:

```
INVITE: ("TestB2bua", "DAR:To", "NEUTRAL", "", "NO_ROUTE", "0"), ("commsvc", "DAR:To", "NEUTRAL", "", "NO_ROUTE", "0")
```

This action ensures that CEA messages are routed correctly.

### *Tuning considerations using the JSR 289 Application Router with multiple applications:*

This topic describes performance adjustments and considerations using the JSR 289 Application Router with multiple applications.

**Note:** This topic references one or more of the application server log files. Beginning in WebSphere Application Server Version 8.0 you can configure the server to use the High Performance Extensible Logging (HPEL) log and trace infrastructure instead of using SystemOut.log, SystemErr.log, trace.log, and activity.log files or native z/OS logging facilities. If you are using HPEL, you can access all of your log and trace information using the LogViewer command-line tool from your server profile bin directory. See the information about using HPEL to troubleshoot applications for more information on using HPEL.

When you deploy more than one application, you might see the following errors in the log files when heavy SIP protocol traffic exists for a single application server or cluster of servers:

- Unexpected and excessive SIP application 503 Server Unavailable error messages
- Proxy and Server overload errors

**Note:** These error messages do not occur when you deploy one application.

The proxy server and Session Initiation Protocol (SIP) containers are not synchronized when they are tracking the amount of messages that are flowing through the system. Using the application router, multiple messages might be routed between applications. These messages cause container message counters to increment even though the messages do not flow through the proxy server.

You can diagnose this problem when you have the following conditions:

- Heavy SIP protocol traffic exists.
- Multiple applications are deployed on a single node or cluster.

Check the proxy server, the application server SystemOut.log log files, or both for an unexpected overload condition that is detected at the proxy server, the application server, or both. Also, look for 503 Server unavailable messages that are logged from the SIP application.

## **Resolving the problem**

Messages are shared between applications at the SIP container before they are sent to the proxy server. To avoid these error messages and a decrease in SIP performance, tune the SIP containers to consider the additional SIP messages that are generated when using the application router with multiple applications. Complete the following steps in the administrative console to tune the SIP containers:

1. Expand **Servers > Server Types** and click **WebSphere application servers > server\_name**



2. Under **Container Settings**, expand **SIP Container Settings** and click **SIP container**.
3. Increase the **Maximum messages per averaging period** value to compensate for the anticipated increase in messages that are generated by the SIP application router.
4. Increase the **Maximum application sessions** value to compensate for the increased **Maximum messages per averaging period** value.

The proxy server cannot detect the amount of messages that are generated at the server. However, modifications to the following settings might increase the messaging capacity at the containers for the number of applications that are deployed per container.

*Table 67. DAR and CAR SIP container tuning values.*

*This table lists the DAR and CAR SIP container tuning values for the number of applications that are deployed per container.*

SIP Container	Single Deployed SIP Application	Three Deployed SIP Applications
Maximum messages per averaging period	value = 26640	value = 79920
Maximum application sessions	value = 36000	value = 96000

**Note:** The values for the **Maximum messages per averaging period** and **Maximum application sessions** fields depend on the processing power, memory, and the deployed application. Use the values for these fields as listed in the SIP container settings topic and adjust them to meet the needs of your environment.

## SIP container

A *SIP container* is a web application server component that invokes the Session Initiation Protocol (SIP) action servlet and that interacts with the action servlet to process SIP requests.

The servlet container provides the network services over which requests and responses are received and sent. It decides which applications to invoke and in what order. The container also contains and manages servlets through their life cycle.

A SIP servlet container manages the network listener points on which it listens for incoming SIP traffic. A listener point is a combination of transport protocol, IP address, and port number. The SIP servlet container supports the transport protocols UDP, TCP, and TLS over TCP.

The SIP servlet container can employ a SIP proxy server to route, load balance, and improve response times between SIP requests and back-end SIP container resources. For more information about the SIP proxy server, read about installing a Session Initiation Protocol proxy server.

## SIP converged proxy

SIP in WebSphere Application Server offers a converged proxy.

The SIP converged proxy:

- Handles SIP and HTTP.
- Provides application level session failover, regardless of protocol.
- Fronts clusters of containers, SIP or HTTP.
- Provides a highly scalable I/O concentration.
- Handles session affinity.
- Provides a framework for extending the base functions of proxy using an API consistent with proxy flows (Proxy Filter Layer).
- Contains support for failover and load balancing.
- Provides first pass protocol validation.

- Provides a framework for secure proxy server functions, such as SSL termination, Outbound SSL, and Client Side Certificates.
- Allows for augmentation by our other products, such as WebSphere Extended Deployment.
- Provides DMZ Secure Proxy Server for IBM WebSphere Application Server support.

## **SIP proxy setup considerations**

- A single SIP proxy server can front multiple SIP clusters.
- An IP sprayer is required for load balancing when deploying multiple SIP proxies into a single cell.
- Each SIP proxy server must be configured with a default cluster. This default cluster is used to route inbound messages that do not match a cluster routing rule.
- When deploying converged applications, both HTTP and SIP should be enabled on the proxy server .
- SIP proxy servers can be clustered.

## **SIP port relationships**

When multiple application servers, or proxy servers are on the same host, each server must be configured with its own port.

## **SIP cluster routing and the default cluster**

- A single SIP proxy server can front multiple SIP clusters.
- Each SIP proxy server must be configured with a default cluster which is used to route all messages that do not have an associated cluster routing rule.
- You can define cluster routing rules for each proxy server. These rules dictate how messages are routed to the various backend clusters being fronted.
- By changing the default cluster, you can have a SIP proxy server reroute messages to a new cluster that contains upgraded versions of your deployed applications.

## **SIP high availability**

SIP uses the high availability features that are included in the product to offer a comprehensive high availability solution.

The following topics describe how high availability is implemented for this product.

- High availability manager
- Replicating SIP sessions
- “SIP session affinity and failover” on page 617
- “SIP cluster routing” on page 621
- Upgrading SIP applications
- “SIP IP sprayer” on page 622
- `../ae/trun_ha_environment.dita`

## **SIP high availability architectural considerations**

- Each container is able to handle state replication and SIP traffic.
- UDP (User Datagram Protocol), Transmission Control Protocol (TCP), and TLS (Transport Layer Security) are used for state replication and SIP protocol.
- Complete session state is replicated between all servers in the replication domain.
- Each replication domain is optimal at two servers. Three servers per replication domain does not perform as well because each server must maintain a copy of all session data in the replication domain, but on a failure only half the server's replicated data is activated on any of the remaining servers.
- The number of SIP proxy servers per blade is dependent on the number of cluster addresses configured at the IP sprayer.

## SIP proxy configuration considerations

- No call state information is stored at the SIP proxy server.
- If the IP sprayer is configured for MAC forwarding:
  - The SIP proxy must listen on the loopback address that corresponds to a cluster address configured at the IP sprayer.
  - The IP sprayer configuration dictates the number of SIP proxy servers per node (or blade).
  - Each configured cluster address can have at most one corresponding SIP proxy instance per node.

## Core groups and Distribution and Consistency Services (DCS)

A core group is a set of processes that handles high availability activities. Each core group has a coordinator function that manages all the singleton services running in the core group. Typical SIP deployments require only a single core group. For extremely large-scale deployments, such as 50 or more cell members, multiple core groups may be required.

The core group coordinator does the following:

- Maintains all group information – group name, group members, the policy of the group, and the state of each group member
- Assigns singleton service to group members and handles failover of singleton services.

For more information on core groups, see Core groups (high availability domains)

## DCS failure detection

The Core group failure detection mechanisms and algorithms are documented in Core group discovery and failure detection protocols

DCS failure detection includes:

- Active failure detection using a tunable heartbeat mechanism that monitors:
  - Time interval between heartbeats
  - Number of heartbeats lost before declaring failure
- TCP KEEP\_ALIVE / Sockets closing

## SIP session affinity and failover

SIP in WebSphere provides session affinity and failover.

### SIP session affinity

A single SIP container in a cluster will handle all the messages associated with a single dialog. If a container fails in the middle of a dialog, a single server in the cluster will take over responsibility for the dialog. It is the SIP proxy's responsibility to maintain session affinity based on the session identifier (which includes the logical server name). Logical server information is published by the SIP container and consumed by the SIP proxy via Workload Management System (WLM).

### Routing SIP messages based on the session ID

The `ibmsid` is embedded in various SIP messages and is used to route to specific sessions running on the SIP application server. Generally speaking, `VIA` headers are always used to route responses. The container will always embed an `ibmsid` in the `VIA` associated with the SIP app server. Here is an example of such a `VIA` header:

```
Via: SIP/2.0/UDP 9.51.252.69:5063;ibmsid=sipcontainer1.1153242645968.4_2_2;branch=z9hG4bK920196437955379
```

For proxy applications, the `ibmsid` (or session ID) is inserted in the initial request received from the UAC in the `Record-Route`. The UAS returns the same `Record-Route` with the session ID in the response. The UAC returns the `Route` header with the session ID in subsequent request within the dialog. For example:

Record-Route: <sip:protocol2.databeam.com:5060;transport=udp;ibmsid=sipcontainer1a.1138119214953.4\_2\_2;lr>

In this example the UAS returns the same Record-Route with the session ID in the response, and the UAC returns the Route header with the session ID in subsequent request within the dialog.

For UAC and UAS applications, the container acting as UAC or UAS will insert the Session ID into To tag (UAS) or From tag (UAC) (i.e. To tag local.1132518053302\_2\_2). The same To or From tag is included in subsequent request.

Encoded URIs will also contain an ibmappid (very similar to an ibmsid), which can then be sent in subsequent HTTP request. An important point here is that the IBM SIP infrastructure does not support transaction level failover. It only supports dialog failover for stable calls.

Here are the general rules for how the IBM SIP infrastructure decides which address to use for contact headers it embeds in outbound SIP messages:

- A stand-alone SIP application server will use its own address in contact headers that it needs to insert into SIP messages.
- A SIP application server fronted by a stateless SIP proxy will use the address of the SIP proxy in contact headers it needs to insert into SIP messages. The SIP application server discovers this address via WLM.
- A SIP application server fronted by a stateless SIP proxy which is then fronted by an IP Sprayer will use the address of the IP Sprayer in contact headers it needs to insert into SIP messages. The address of the IP sprayer must be configured at the SIP proxy through the administrative console and this address is published to the SIP application server through UCF.

### **Failover in the middle of a call**

When a server fails, the sessions associated with the failed server are activated on the remaining containers in the replication domain. Once active, the containers handling the failed sessions publish the session location to the proxy servers fronting the cluster via WLM. When a message associated with one of the failed dialogs arrives at the proxy, the proxy pulls the session ID from the SIP message and uses that to look up the new container. When the failed server is restarted, it is added back to the cluster. It will then handle only newly created dialogs.

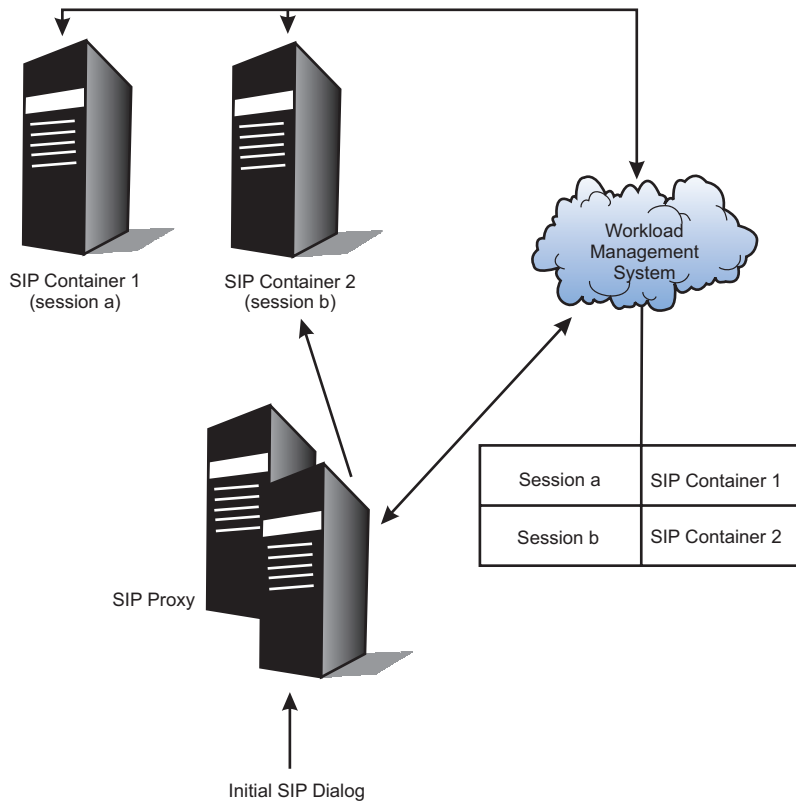


Figure 159. SIP Container Failover within a Cluster – Before

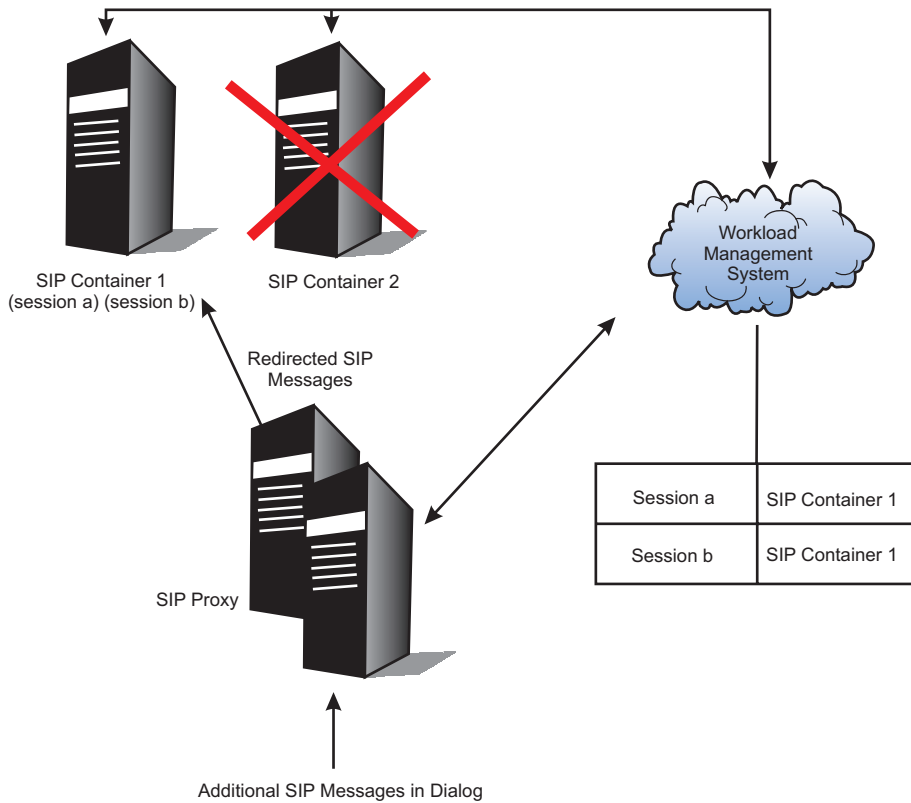


Figure 160. SIP Container Failover within a Cluster – After

### Converged applications and failover

All messages associated with a converged HTTP/SIP Session are routed by the proxy to the same backend container using encoded URIs and SIP session affinity. HTTP and SIP utilize the same replication topology (they share the same replication settings). If a failure occurs, both HTTP and SIP requests associated with a failed dialog are routed to the same new backend server. Jsession cookies take precedence over Encoded URIs within the proxy when affinity targets are being determined.

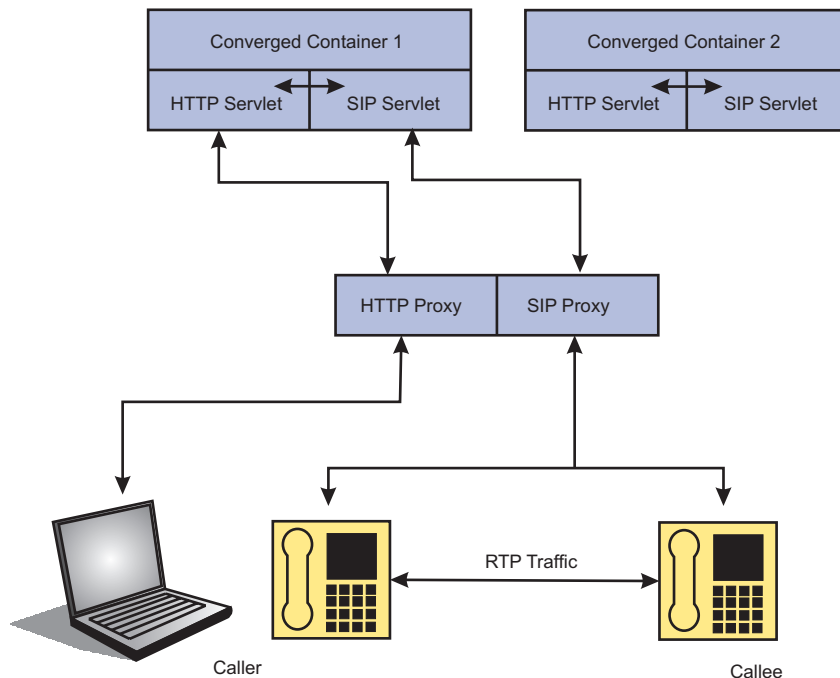


Figure 161. Converged Application Example

## SIP cluster routing

Cluster routing rules is the method used to define how the SIP proxy routes messages to specific clusters in the cell.

A single SIP proxy can front multiple SIP clusters. If you are running more than one cluster of applications on the backend and want to use a single set of proxy servers, the proxy tier will need certain information in order to distinguish which cluster an *initial* request (one not in a pre-existing dialog with no affinity or route built-in) should be routed to.

In order to do this, SIP uses a set of cluster routing rules which allows the proxy to properly distinguish each request. Unlike HTTP, which can usually do this using the URI, there may be numerous other factors applicable in SIP.

Each SIP proxy is configured with a default cluster which is used to route all messages that do not have an associated cluster routing rule. Cluster routing rules, which dictate how messages get routed to the various backend clusters being fronted, can be defined at each proxy.

### Cluster routing rules

Routing rules can be based on:

- Message type
- To: field
- From: field
- Destination address
- Source address
- Arbitrary header name with a unique value to distinguish the cluster

Cluster routing rules are used to route only messages associated with new dialogs. Once a dialog is established, a method to route to the specific cluster is embedded in SIP messages.

## SIP IP sprayer

SIP in WebSphere provides an IP sprayer.

Scaling a deployment to include multiple SIP proxies requires a front end load balancer. The WebSphere Application Server Edge Components Load Balancer provides this capability. It provides a feature called the SIP advisor that detects outages so that messages only flow to healthy SIP proxies. The health detection is done by sending a SIP OPTIONS message over TCP to the SIP proxies and looking for a response. The SIP proxy is configured with the host information from the load balancer, so it can detect that an OPTIONS message was sent for health checking. If an error response occurs, or if there is no response, or if the TCP connection fails, the SIP proxy is removed from the load balancer's active list. The SIP advisor continues to look for the SIP proxy after a failure.

### The IP sprayer

- MAC forwarding is used to load balance the SIP proxies.
- The SIP advisor must be started.
- The interval between health check requests is configurable (default = 7 seconds).

### Configuring the SIP proxy

- The configuration for the IP sprayer will set the load balancer's host name and port, which will be used in outgoing SIP messages. This information will be gathered directly from the configured channel chains, and you do not need to configure any additional settings.
- The loopback device on the SIP proxy should be configured with the address the load balancer is listening on (cluster address, virtual IP address).
- The SIP proxy transport chains must be configured to listen on the loopback address.

SIP proxies publish client facing host interface information to the containers they are fronting via WLM. When fronted by an IP sprayer, the proxy will publish the IP sprayer information instead of its own host information. This information is inserted into Record-Route headers by the container for proxy applications running on the container. The SIP proxy also inserts this information into its via headers for outbound requests.



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## Chapter 26. Spring applications

This page provides a starting point for finding information about how to develop Spring applications that can run successfully in a WebSphere Application Server environment.

The Spring Framework is an open source project that provides a framework for simple Java objects that enables them to use the Java EE container through wrapper classes and XML configuration.

More introduction...

---

### Spring Framework

There are some best practices to develop Spring Framework applications that can run successfully in a WebSphere Application Server environment.

The Spring Framework is an open source project that provides a framework for simple Java objects that enables them to use the Java EE container through wrapper classes and XML configuration.

You can use the Spring Framework with WebSphere Application Server Version 6.0.2 and later, but some supported features require a specific release of the product. When this situation applies, it is stated in the relevant topic.

For WebSphere Application Server Version 7.0 or later, you must use Spring Framework Version 2.5.5 or later.

In general, if both WebSphere Application Server and the Spring Framework provide a service, it is preferable to design your application to use the service in the application server directly. In this way, you ensure that the application is based on the open standards that the application server supports and has flexibility for future deployment. Also, you ensure that the application can use the qualities of service that the application server provides, such as security, workload management, and high availability.

### Presentation layer and the Spring Framework

You can use the Spring Web model view controller (MVC) framework and the Spring Framework Portlet MVC framework with WebSphere Application Server.

#### Web MVC framework

WebSphere Application Server supports the use of the Spring Web MVC framework.

There are also Web MVC frameworks that are provided with WebSphere Application Server, such as JavaServer Faces (JSF) and Apache Struts, which have IBM product support. For information about how to integrate Spring with these Web MVC frameworks, see the Spring documentation.

#### Portlet MVC framework

The Spring Framework Portlet MVC framework can run in the portlet container in WebSphere Application Server Version 6.1 and later. To run portlets in the portlet container, you must create an additional web application to define the layout and aggregation of the portlets. For details about how to use the portlet aggregator tag library, see the related topics.

### Data access and the Spring Framework

For Spring beans to access a data source, you must configure those beans so that the Spring Framework delegates to, and integrates with, the WebSphere Application Server runtime correctly.

The Spring Framework wraps Spring beans with a container-management layer that, in an enterprise application environment, delegates to the underlying enterprise application runtime. The following sections describe what to consider when you configure Spring beans that access a data source.

## Access to data sources configured in the application server

For a Spring application to access a resource such as a Java Database Connectivity (JDBC) data source, the application must use a resource provider that is managed by the application server.

To do this, see the Configuring access to a Spring application data source topic.

## JDBC native connections

WebSphere Application Server does not support the use of the NativeJdbcExtractor class that the Spring Framework provides, so avoid scenarios that use this class. Implementations of this class access native JDBC connections and bypass quality of service functions in the application server such as tracking and reassociating connection handles, sharing connections, managing connection pools and involvement in transactions.

As an alternative, you can use the application server WSCallHelper class to access non-standard vendor extensions for data sources.

## Java Persistence API

WebSphere Application Server includes a default JPA provider based on the Apache OpenJPA implementation of JPA. For more information, see the related links.

To use the Spring Framework with a JPA implementation, it is advisable to use JPA directly rather than using the JPA helper classes that are provided with the Spring Framework in the `org.springframework.orm.jpa` package.

To use managed JPA from the Spring Framework, you define a persistence context reference in the web descriptor (`web.xml`):

```
<persistence-context-ref>
  <persistence-context-ref-name>some/name</persistence-context-ref-name>
  <persistence-unit-name>pu_name</persistence-unit-name>
</persistence-context-ref>
```

where `pu_name` is the name of the persistence unit as defined in the `persistence.xml` file.

The persistence context is then available from JNDI through `java:comp/env/some/name` inside the web application. For the Spring Framework, the persistence context can then be retrieved using a `<jee:jndi-lookup/>` as shown in the following example code. The resulting `EntityManager` object is available under the “`entityManager`” ID.

```
<jee:jndi-lookup id="entityManager" jndi-name="some/name" />
```

Similarly, a persistence unit (for direct use, or use with Spring wrapper classes) can be made available through a persistence unit reference:

```
<persistence-unit-ref>
  <persistence-unit-ref-name>some/ref_name</persistence-unit-ref-name>
  <persistence-unit-name>pu_name</persistence-unit-name>
</persistence-unit-ref>
```

The resulting `EntityManagerFactory` object is available under the “`entityManagerFactory`” ID:

```
<jee:jndi-lookup id="entityManagerFactory" jndi-name="some/ref_name" />
```

## Transaction support and the Spring Framework

For Spring Framework Version 2.5 or later, you can use the declarative transaction model, use the Spring Framework support for the AspectJ programming extension, or use annotation-based transaction support. For versions of the Spring Framework earlier than Version 2.5, and for versions of the application server that do not provide the UOWManager interface, you can use a Spring Framework configuration that supports a restricted set of transaction attributes.

### Declarative transaction model

WebSphere Application Server Version 6.0.2.19 or later and Version 6.1.0.9 or later support the Spring Framework declarative transaction model to drive resource updates under transactional control. The `WebSphereUowTransactionManager` class in Spring Framework 2.5 uses the `UOWManager` interface in the application server to manage the transaction context. Because transaction demarcation is managed through the `UOWManager` interface, an appropriate global transaction or local transaction containment (LTC) context is always available when a resource provider is accessed. For more information about the `UOWManager` interface and Java Transaction API (JTA) support, see the related topic.

The `WebSphereUowTransactionManager` class supports the following Spring Framework transaction attributes:

- `PROPAGATION_REQUIRED`
- `PROPAGATION_SUPPORTS`
- `PROPAGATION_MANDATORY`
- `PROPAGATION_REQUIRES_NEW`
- `PROPAGATION_NOT_SUPPORTED`
- `PROPAGATION_NEVER`

Use the following declaration for the WebSphere Application Server transaction support:

```
<bean id="transactionManager"
      class="org.springframework.transaction.jta.WebSphereUowTransactionManager"/>
```

A Spring bean that references the previous declaration can then use Spring Framework dependency injection to use the transaction support. For example:

```
<bean id="someBean" class="some.class">
  <property name="transactionManager" >
    <ref bean="transactionManager"/>
  </property>
  ...
</bean>
<property name="transactionAttributes">
  <props>
    <prop key="*">PROPAGATION_REQUIRED</prop>
  </props>
</property>
```

### The AspectJ programming extension

You can use the Spring Framework support for the AspectJ programming extension. The following example code declares a `<tx:advice/>` element with the following transactional behavior:

- All methods that start with the string `get` have the transaction attribute `PROPAGATION_REQUIRED`.
- All methods that start with the string `set` have the transaction attribute `PROPAGATION_REQUIRES_NEW`.
- All other methods use the default transaction settings.

For example:

```
<tx:advice id="txAdvice" transaction-manager="transactionManager">
  <tx:attributes>
    <tx:method name="get*" propagation="REQUIRED" read-only="true" />
```

```

    <tx:method name="set*" propagation="REQUIRES_NEW" />
    <tx:method name="*" />
  </tx:attributes>
</tx:advice>

```

Then you can apply the settings to the required operation by declaring a pointcut. You can apply the settings to various parts of the application. The following example code applies the settings to any operation that is defined in the class `MyService`.

```

<aop:config>
  <aop:pointcut id="myServiceOperation"
    expression="execution(* sample.service.MyService.*(..))"/>
  <aop:advisor advice-ref="txAdvice" pointcut-ref="myServiceOperation"/>
</aop:config>

```

## Annotation-based transaction support

To use the annotation-based transaction support, you need Java Platform, Standard Edition 5 (Java SE 5) or later. Therefore, you can use this method with WebSphere Application Server Version 6.1 or later.

Add the following line to the `Spring.xml` configuration:

```
<tx:annotation-driven/>
```

Mark any methods that require transactional attributes with the `@Transactional` annotation, for example:

```

@Transactional(readOnly = true)
public String getUsername()
{ ...
}

```

You can use the `@Transactional` annotation to annotate only public methods.

## Transaction support with Spring Framework before Version 2.5

You can use a Spring Framework configuration that supports a restricted set of transaction attributes.

You can use this method of transaction support with versions of the Spring Framework before Version 2.5 that do not provide the `WebSphereUowTransactionManager` class. You can also use this method of transaction support with versions of WebSphere Application Server earlier than Version 6.0.2.19 and Version 6.1.0.9 that do not provide the `UOWManager` interface.

The configuration supports the following Spring Framework transaction attributes:

- PROPAGATION\_REQUIRED
- PROPAGATION\_SUPPORTS
- PROPAGATION\_MANDATORY
- PROPAGATION\_NEVER

Use the following Spring Framework configuration:

```

<bean id="transactionManager"
  class="org.springframework.transaction.jta.JtaTransactionManager">
  <property name="autodetectTransactionManager" value="false" />
</bean>

```

The configuration does not support the following Spring Framework transaction attributes:

- PROPAGATION\_REQUIRES\_NEW
- PROPAGATION\_NOT\_SUPPORTED

WebSphere Application Server does not support the use of the Spring Framework class `org.springframework.transaction.jta.WebSphereTransactionManagerFactoryBean`.

## JMX and MBeans with the Spring Framework

WebSphere Application Server Version 6.1 and later supports Spring Java Management Extensions (JMX) MBeans.

### JMX and MBeans

To use the support for Spring JMX MBeans, you must register the JMX MBeans with the MBeanServer instance of the container manager in the application server. If you do not specify a server property for the MBean, the MBeanExporter object attempts to detect an MBeanServer instance that is running. Therefore, for an application that runs in the application server, the Spring Framework would locate the MBeanServer instance of the container.

Do not use the MBeanServerFactory class to instantiate an MBeanServer instance and then inject that instance into the MBeanExporter object. Also, do not use the Spring Framework ConnectorServerFactoryBean or JMXConnectorServer classes to expose the local MBeanServer instance to clients by opening inbound JMX ports.

### Registering Spring MBeans in the application server

When an MBean is registered in the application server, it is identified by a fully qualified object name, javax.management.ObjectName. For example:

```
WebSphere:cell=99T73GDNode01Cell,name=JmxTestBean,node=99T73GDNode01,process=server1,
type=JmxTestBeanImpl
```

When an MBean is deregistered, it must be looked up using the same fully qualified name, rather than just the name property of the MBean. The best way to manage this is to implement the org.springframework.jmx.export.naming.ObjectNamingStrategy interface. The ObjectNamingStrategy interface encapsulates the creation of ObjectName objects, and is used by the MBeanExporter class to obtain ObjectNames when beans are registered. You can add the ObjectNamingStrategy instance to the bean that you register so that the MBean is deregistered properly when the application is uninstalled. For example:

```
<bean id="exporter" class="org.springframework.jmx.export.MBeanExporter"
  lazy-init="false">
  <property name="beans">
    <map> <entry key="JmxTestBean" value-ref="testBean" /> </map>
  </property>
  <property name="namingStrategy" ref="websphereNamingStrategy" />
  ...
</bean>
```

### MBeans and notifications

To use notifications, it is advisable to define the object name for an MBean in full, because the MBean is identified by a fully qualified object name when it is registered in WebSphere Application Server. For example:

```
<bean id="exporter" class="org.springframework.jmx.export.MBeanExporter"
  lazy-init="false">
  <property name="beans">
    <map>
      <entry key="JmxTestBean" value-ref="testBean" />
    </map>
  </property>
  <property name="namingStrategy" ref="websphereNamingStrategy" />
  <property name="notificationListenerMappings">
    <map>
      <entry key="WebSphere:cell=99T73GDNode01Cell, name=JmxTestBean,
        node=99T73GDNode01, process=server1, type=JmxTestBeanImpl">
        <bean class="client.MBeanListener" />
      </entry>
    </map>
  </property>
</bean>
```

## JMS and the Spring Framework

A Spring Framework application can use the `JMSTemplate` class to send JMS messages or receive synchronous JMS messages.

The `JMSTemplate` can locate JMS destinations from their Java Naming and Directory Interface (JNDI) name that you configure in an application resource reference.

Alternatively, for Spring Framework Version 2.5 and later, the `JMSTemplate` can locate JMS destinations through dynamic resolution, which looks up the administrative name of the destination that is configured in WebSphere Application Server

You use a `Spring JndiObjectFactoryBean` as a proxy for a `ConnectionFactory` to ensure that JMS resources are managed correctly. For example:

```
<bean id="jmsConnectionFactory"
  class="org.springframework.jndi.JndiObjectFactoryBean">
  <property name="jndiName" value="java:comp/env/jms/myCF"/>
  <property name="lookupOnStartup" value="false"/>
  <property name="cache" value="true"/>
  <property name="proxyInterface" value="javax.jms.ConnectionFactory"/>
</bean>
```

The following example shows the configuration of a resource reference for a `ConnectionFactory`. During application deployment, this resource reference is mapped to a configured, managed `Connection Factory` that is stored in the JNDI namespace of the application server. The `ConnectionFactory` is required to undertake messaging and should be injected into the Spring `JMSTemplate` object.

```
<resource-ref>
  <res-ref-name>jms/myCF</res-ref-name>
  <res-type>javax.jms.ConnectionFactory</res-type>
  <res-auth>Container</res-auth>
  <res-sharing-scope>Shareable</res-sharing-scope>
</resource-ref>
```

After there is a defined JNDI name for the `ConnectionFactory` in the application, that JNDI name can be looked up and injected into the `JMSTemplate`. For example:

```
<jee:jndi-lookup id="jmsConnectionFactory" jndi-name=" jms/myCF "/>

<bean id="jmsQueueTemplate" class="org.springframework.jms.core.JmsTemplate">
  <property name="connectionFactory">
    <ref bean="jmsConnectionFactory"/>
  </property>
  <property name="destinationResolver">
    <ref bean="jmsDestResolver"/>
  </property>
  ...
</bean>

<!-- A dynamic resolver -->
<bean id="jmsDestResolver"
  class=" org.springframework.jms.support.destination.DynamicDestinationResolver"/>

<!-- A JNDI resolver -->
<bean id="jmsDestResolver"
  class=" org.springframework.jms.support.destination.JndiDestinationResolver"/>
```

At run time, the `JMSTemplate` object can locate a destination based on its JNDI name that was configured in an application resource reference. Alternatively, the `JMSTemplate` object can locate a destination by using dynamic resolution, based on the administrative name of the destination configured in WebSphere Application Server.

The following example shows how to use JNDI resolution to locate the JMS queue `myQueue` that is bound to a JNDI reference of `jms/myQueue`:

```
jmsTemplate.send("java:comp/env/jms/myQueue", messageCreator);
```

The following example shows how to use dynamic resolution to locate the JMS queue `myQueue` that is bound to a JNDI reference of `jms/myQueue`:

```
jmsTemplate.send("myQueue", messageCreator);
```

## Class loaders and the Spring Framework

You can avoid potential problems with the class loading of Java archive (JAR) files and resources.

If there are problems with the class loading of JAR files and resources, there can be exceptions in the log about version mismatches of classes, `ClassCastException` exceptions, or `java.lang.VerifyError` exceptions. To avoid class loading problems, ensure that Spring Framework dependencies are packaged as part of the application, and configure the class loader policy of the server so that the application server run time uses the version that you intend. For example, consider changing the search order in the class loader configuration to “parent last”.

It is possible for resources that use a common name to be found in an unintended location. Resources can include message bundles. You can use the class loader viewer in the application server to help resolve this problem. You might want the application to rename resources so that they have a unique name. For more information about the class loader viewer, see the topic about troubleshooting class loaders.

## Thread management and the Spring Framework

Use the information in the following sections to avoid potential problems with unmanaged threads.

### Unmanaged threads

Do not use a scenario that can create unmanaged threads, for the following reasons:

- The application server does not recognize unmanaged threads.
- Unmanaged threads do not have access to Java EE contextual information.
- Unmanaged threads can use resources without being monitored by the application server.
- Unmanaged threads can adversely affect application server functions such as shutting down gracefully or recovering resources from failure.
- An administrator cannot control the number of unmanaged threads or their use of resources.

The following scenarios are examples of Spring Framework scenarios to avoid:

- `registerShutdownHook`

Avoid using the Spring Framework `AbstractApplicationContext` class and its subclasses. These classes include the public method `registerShutdownHook`, which creates a thread and registers it with the Java virtual machine (JVM) to run at shutdown to close the application context. As an alternative, an application can use the lifecycle notices that it receives from the application server container to call the `close` method explicitly on the application context.

- `WeakReferenceMonitor`

The Spring Framework provides convenience classes for simplified development of EJB components. However, these convenience classes spawn off an unmanaged thread that `WeakReferenceMonitor` object uses for cleanup.

### Thread pooling

WebSphere Application Server supports the use of the Spring Framework `WorkManagerTaskExecutor` class to run work asynchronously.

The `WorkManagerTaskExecutor` class uses thread pools that are managed by the application server, and delegates to a configured `WorkManager` instance. For information about configuring a work manager, see the related topics.

Do not use other `TaskExecutor` classes that are provided with the Spring Framework, because they might start unmanaged threads.

You can use the Java Naming and Directory Interface (JNDI) name of the configured work manager as a `workManagerName` property to define a `WorkManagerTaskExecutor` instance in the Spring configuration file. The following example uses the JNDI name of the `DefaultWorkManager` in the application server, that is, `wm/default`:

```
<bean id="myTaskExecutor"
      class="org.springframework.scheduling.commonj.WorkManagerTaskExecutor">
  <property name="workManagerName" value="wm/default" />
</bean>
```

## Scheduling

You can use the CommonJ `WorkManager` scheduling package in the Spring Framework to work with threads that are managed by the application server. Avoid using other packages, such as the Quartz scheduler, or the `Timer` in the Java SE Development Kit (JDK), because they can start unmanaged threads.



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## Chapter 27. Transactions

This page provides a starting point for finding information about Java Transaction API (JTA) support. Applications running on the server can use transactions to coordinate multiple updates to resources as one unit of work, such that all or none of the updates are made permanent.

The product provides advanced transactional capabilities to help application developers avoid custom coding. It provides support for the many challenges related to integrating existing software assets with a Java EE environment.

More introduction...

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### Transaction support in WebSphere Application Server

Support for transactions is provided by the transaction service within WebSphere Application Server. The way that applications use transactions depends on the type of application component.

A transaction is unit of activity, within which multiple updates to resources can be made atomic (as an indivisible unit of work) such that all or none of the updates are made permanent. For example, during the processing of an SQL COMMIT statement, the database manager atomically commits multiple SQL statements to a relational database. In this case, the transaction is contained entirely within the database manager and can be thought of as a *resource manager local transaction (RMLT)*. In some contexts, a transaction is referred to as a *logical unit of work (LUW)*. If a transaction involves multiple resource managers, for example multiple database managers, an external transaction manager is required to coordinate the individual resource managers. A transaction that spans multiple resource managers is referred to as a *global transaction*. WebSphere Application Server is a transaction manager that can coordinate global transactions, can be a participant in a received global transaction, and can also provide an environment in which resource manager local transactions can run.

The way that applications use transactions depends on the type of application component, as follows:

- A session bean can use either container-managed transactions (where the bean delegates management of transactions to the container) or bean-managed transactions (component-managed transactions where the bean manages transactions itself).
- Entity beans use container-managed transactions.
- Web components (servlets) and application client components use component-managed transactions.

WebSphere Application Server is a transaction manager that supports the coordination of resource managers through their XAResource interface, and participates in distributed global transactions with transaction managers that support the CORBA Object Transaction Service (OTS) protocol or Web Service Atomic Transaction (WS-AtomicTransaction) protocol. WebSphere Application Server also participates in transactions imported through Java EE Connector 1.5 resource adapters. You can also configure WebSphere applications to interact with databases, JMS queues, and JCA connectors through their *local transaction* support, when you do not require distributed transaction coordination.

Resource managers that offer transaction support can be categorized into those that support two-phase coordination (by offering an XAResource interface) and those that support only one-phase coordination (for example through a LocalTransaction interface). The WebSphere Application Server transaction support provides coordination, within a transaction, for any number of two-phase capable resource managers. It also enables a single one-phase capable resource manager to be used within a transaction in the absence of any other resource managers, although a WebSphere transaction is not necessary in this case.

Under normal circumstances, you cannot mix one-phase commit capable resources and two-phase commit capable resources in the same global transaction, because one-phase commit resources cannot support the prepare phase of two-phase commit. There are some special circumstances where it is possible to include mixed-capability resources in the same global transaction:

- In scenarios where there is only a single one-phase commit resource provider that participates in the transaction and where all the two-phase commit resource-providers that participate in the transaction are used in a read-only fashion. In this case, the two-phase commit resources all vote read-only during the prepare phase of two-phase commit. Because the one-phase commit resource provider is the only provider to complete any updates, the one-phase commit resource does not have to be prepared.
- In scenarios where there is only a single one-phase commit resource provider that participates in the transaction with one or more two-phase commit resource providers and where *last participant support* is enabled. Last participant support enables the use of a single one-phase commit capable resource with any number of two-phase commit capable resources in the same global transaction. For more information about last participant support, see Using one-phase and two-phase commit resources in the same transaction.

The ActivitySession service provides an alternative unit-of-work (UOW) scope to that provided by global transaction contexts. It is a distributed context that can be used to coordinate multiple one-phase resource managers. The WebSphere EJB container and deployment tooling support ActivitySessions as an extension to the Java EE programming model. Enterprise beans can be deployed with lifecycles that are influenced by ActivitySession context, as an alternative to transaction context. An application can then interact with a resource manager for the period of a client-scoped ActivitySession, rather than only the duration of an EJB method, and have the resource manager local transaction outcome directed by the ActivitySession. For more information about ActivitySessions, see Using the ActivitySession service.

## Resource manager local transaction (RMLT)

A resource manager local transaction (RMLT) is a resource manager view of a local transaction; that is, it represents a unit of recovery on a single connection that is managed by the resource manager.

Resource managers include:

- Enterprise Information Systems that are accessed through a resource adapter, as described in the Java EE Connector Architecture.
- Relational databases that are accessed through a JDBC datasource.
- JMS queue and topic destinations.

Resource managers offer specific interfaces to enable control of their RMLTs. Resource adapter components of the Java EE connector architecture that include support for local transactions provide a LocalTransaction interface. The LocalTransaction interface enables applications to request that the resource adapter commits or rolls back RMLTs. JDBC datasources provide a Connection interface for the same purpose.

The boundary at which all RMLTs must be complete is defined in WebSphere Application Server by a local transaction containment (LTC).

## Global transactions

If an application uses two or more resources, an external transaction manager is needed to coordinate the updates to all the resource managers in a global transaction.

Global transaction support is available to web and enterprise bean components and, with some limitations, to application client components. Enterprise bean components can be subdivided into two categories: beans that use container-managed transactions (CMT) and beans that use bean-managed transactions (BMT).

BMT enterprise beans, application client components, and web components can use the Java Transaction API (JTA) UserTransaction interface to define the demarcation of a global transaction. To obtain the UserTransaction interface, use a Java Naming and Directory Interface (JNDI) lookup of `java:comp/UserTransaction`, or use the `getUserTransaction` method from the `SessionContext` object.

The UserTransaction interface is not available to CMT enterprise beans. If CMT enterprise beans attempt to obtain this interface, an exception is thrown, in accordance with the Enterprise JavaBeans (EJB) specification.

Ensure that programs that perform a JNDI lookup of the UserTransaction interface use an InitialContext that resolves to a local implementation of the interface. Also ensure that such programs use a JNDI location that is appropriate for the EJB version.

WebSphere Application Server Version 4 and later releases bind the UserTransaction interface at the JNDI location that is specified in the EJB Version 1.1 specification. This location is java:comp/UserTransaction.

A web component or enterprise bean (CMT or BMT) can use additional interfaces that provide JTA support. These interfaces provide the transaction identity and a mechanism to receive notification of transaction completion. The interfaces include the TransactionSynchronizationRegistry interface, the ExtendedJTATransaction interface, and the UOWSynchronizationRegistry interface.

## Local transaction containment

A *local transaction containment (LTC)* is used to define the application server behavior in an unspecified transaction context.

Unspecified transaction context is defined in the Enterprise JavaBeans specification, Version 2.0 and later. For example, see the specification for this technology.

An LTC is a bounded unit-of-work scope, within which zero or more resource manager local transactions (RMLT) can be accessed. The LTC defines the boundary at which all RMLTs must be complete; any incomplete RMLTs are resolved, according to policy, by the container. By default, an LTC is local to a bean instance; it is not shared across beans, even if those beans are managed by the same container. LTCs are started by the container before dispatching a method on an enterprise application component, such as an enterprise bean or servlet, whenever the dispatch occurs in the absence of a global transaction context. LTCs are completed by the container depending on the application-configured LTC boundary; for example, at the end of the method dispatch. There is no programmatic interface to the LTC support; LTCs are managed exclusively by the container. The application deployer configures LTCs on individual application components, either web application or EJB, by using transaction attributes in the application deployment descriptor.

A local transaction containment (LTC) might be configured as part of an application component's deployment descriptor to be shareable across multiple application components, including web application components and enterprise beans that use container-managed transactions, so that those components can share connections without using a global transaction. Sharing a single resource manager between application components improves performance, increases scalability, and reduces lock contention for resources.

LTCs can be shared across multiple components, including web application components and enterprise beans that use container-managed transactions. This sharing is useful in situations such as frequent use of web component `include()` calls, where a thread can have several connections blocked by LTCs in different web modules. In this situation, the application might encounter code deadlocks under load, when threads start to wait for themselves to free connections. To overcome this issue without using a global transaction, specify that application components can share LTCs by setting the Shareable attribute in the deployment descriptor of each component. You must use a deployment descriptor; you cannot specify this attribute if annotation has been used.

When you set the Shareable attribute, the extended deployment descriptor XML file includes the following line of code:

```
<local-transaction boundary="BEAN_METHOD" resolver="CONTAINER_AT_BOUNDARY"
unresolved-action="COMMIT" shareable="true"/>
```

To obtain the full benefits of a shared LTC, also ensure that the resource reference for each component defaults to shareable connections.

In the following diagram, components 1, 2 and 3 are deployed with the Shareable attribute and component 4 is not. If components 2 and 3 both obtain connections to data source B, and their resource references for data source B default to shareable connections, they share the connection, but component 4 does not.

Applications that use shareable LTCs cannot explicitly commit or roll back resource manager connections that are used in a shareable LTC. Although, they can use connections that have an autoCommit capability. This ensures correct encapsulation of connection usage by each component and protects one component from having to make any assumptions about the behavior of other components that share the connection.

If an application starts any non-autocommit work in an LTC for which the Resolver attribute is set to Application and the Shareable attribute is set to true, an exception occurs at run time. For example, on a JDBC connection, non-autocommit work is work that the application performs after using the setAutoCommit(false) method to disable the autocommit option on the connection. Enterprise beans that use bean managed transactions (BMT) cannot be assembled with the Shareable attribute set on the LTC configuration.

A local transaction containment cannot exist concurrently with a global transaction. If application component dispatch occurs in the absence of a global transaction, the container always establishes an LTC for enterprise application components at J2EE 1.3 or later. The only exceptions to this are the following items:

- Application component dispatch occurs without container interposition, for example, for a stateless session bean create method or a servlet-initiated thread.
- J2EE 1.2 web components.
- J2EE 1.2 bean-managed transaction (BMT) enterprise beans.

A local transaction containment can be scoped to an ActivitySession context that exists longer than the enterprise bean method in which it is started, as described in the topic about ActivitySessions and transaction contexts.

## Local transaction containment

IBM WebSphere Application Server supports local transaction containment (LTC), which you can configure using local transaction extended deployment descriptors. LTC support provides certain advantages to application programmers. Use the scenarios provided, and the list of points to consider, to help you decide the best way to configure transaction support for local transactions.

The following sections describe the advantages that LTC support provides, and how to set the local transaction extended deployment descriptors in each situation.

### **You can develop an enterprise bean or servlet that accesses one or more databases that are independent and require no coordination.**

If an enterprise bean does not have to use global transactions, it is often more efficient to deploy the bean with the deployment descriptor for the container transaction type set to NotSupported instead of Required.

With the extended local transaction support of the application server, applications can perform the same business logic in an unspecified transaction context as they can in a global transaction. An enterprise bean, for example, runs in an unspecified transaction context if it is deployed with a container transaction type of NotSupported or Never.

The extended local transaction support provides a container-managed, implicit local transaction boundary, within which the container commits application updates and cleans up their connections. You can design applications with more independence from deployment concerns. This makes

using a container transaction type of Supports much simpler, for example, when the business logic might be called either with or without a global transaction context.

An application can follow a get-use-close pattern of connection usage, regardless of whether the application runs in a transaction. The application can depend on the close action behaving in the same way in all situations, that is, the close action does not cause a rollback to occur on the connection if there is no global transaction.

There are many scenarios where ACID coordination of multiple resource managers is not needed. In such scenarios, running business logic in a Transaction policy of NotSupported performs better than in a policy of Required. This benefit is applied through setting the deployment descriptor, in the Local Transactions section, of the Resolver attribute to ContainerAtBoundary. With this setting, application interactions with resource providers, such as databases, are managed within implicit resource manager local transactions (RMLT) that the container both starts and ends. The container commits RMLTs at the containment boundary that is specified by the Boundary attribute in the Local Transactions section; for example, at the end of a method. If the application returns control to the container by an exception, the container rolls back any RMLTs that it has started.

This usage applies to both servlets and enterprise beans.

**You can use local transactions in a managed environment that guarantees cleanup.**

Applications that want to control RMLTs, by starting and ending them explicitly, can use the default setting of Application for the Resolver extended deployment descriptor in the Local Transactions section. In this situation, the container ensures connection cleanup at the boundary of the local transaction context.

Java platform for enterprise applications specifications that describe application use of local transactions do so in the manner provided by the default settings of Application for the Resolver extended deployment descriptor, and Rollback for the Unresolved action extended deployment descriptor, in the Local Transactions section. When the Unresolved action extended deployment descriptor in the Local Transactions section is set to Commit, the container commits any RMLTs that the application starts but that do not complete when the local transaction containment ends (for example, when the method ends). This usage applies to both servlets and enterprise beans.

**You can extend the duration of a local transaction beyond the duration of an EJB component method.**

The Enterprise JavaBeans (EJB) specifications restrict the use of RMLTs to single EJB methods. This restriction is because the specifications have no scoping device, beyond a container-imposed method boundary, to which an RMLT can be extended. You can use the Boundary extended deployment setting in the Local Transactions section to give the following advantages:

- Significantly extend the use cases of RMLTs.
- Make conversational interactions with one-phase resource managers possible through ActivitySession support.

You can use an ActivitySession to provide a distributed context with a boundary that is longer than a single method. You can extend the use of RMLTs over the longer ActivitySession boundary, which a client can control. The ActivitySession boundary reduces the need to use distributed transactions where ACID operations on multiple resources are not needed. This benefit is applied through the Boundary extended deployment setting, in the Local transactions section, of ActivitySession. Such extended RMLTs can remain under the control of the application, or be managed by the container, depending on the setting of the Resolver deployment descriptor in the Local Transactions section.

**You can coordinate multiple one-phase resource managers.**

For resource managers that do not support XA transaction coordination, a client can use ActivitySession-bounded local transaction contexts. Such contexts give a client the same ability to control the completion direction of the resource updates by the resource managers as the client has for transactional resource managers. A client can start an ActivitySession and call its entity beans in that context. Those beans can perform their RMLTs within the scope of that ActivitySession and return without completing the RMLTs. The client can later complete the

ActivitySession in a commit or rollback direction and cause the container to drive the ActivitySession-bounded RMLTs in that coordinated direction.

**You can use shareable LTCs to reduce the number of connections you require.**

Application components can share LTCs. If components obtain connections to the same resource manager, they can share that connection if they run under the same global transaction or shareable LTC. To configure two components to run under the same shareable LTC, set the Shareable attribute of the Local Transactions section in the deployment descriptor of each component. Make sure that the resource reference in the deployment descriptor for each component uses the default value of Shareable for the res-sharing-scope element, if this element is specified. A shareable LTC can reduce the numbers of RMLTs an application uses. For example, an application that makes frequent use of web module include calls can share resource manager connections between those web modules, exploiting either shareable LTCs, or a global transaction, reducing lock contention for resources.

## Examples of local transaction support configurations

The following list gives scenarios that use local transactions, and points to consider when deciding the best way to configure the transaction support for an application.

- You want to start and end global transactions explicitly in the application (bean-managed transaction session beans and servlets only).

For a session bean, set the Transaction type to Bean (to use bean-managed transactions) in the deployment descriptor of the component. You do not have to do this for servlets.

- You want to access only one XA or non-XA resource in a method.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to ContainerAtBoundary. In the Container Transactions section, set the container transaction type to Supports.

- You want to access several XA resources atomically across one or more bean methods.

In the deployment descriptor of the component, in the Container Transactions section, set the container transaction type to Required, RequiresNew, or Mandatory.

- You want to access several non-XA resources in a method without needing to manage your own local transactions.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to ContainerAtBoundary. In the Container Transactions section, set the container transaction type to NotSupported.

- You want to access several non-XA resources in a method and want to manage them independently.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to Application and set the Unresolved action attribute to Rollback. In the Container Transactions section, set the container transaction type to NotSupported.

- You want to access one or more non-XA resources across multiple EJB method calls without needing to manage your own local transactions.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to ContainerAtBoundary and set the Boundary attribute to ActivitySession. In the Bean Cache section, set the Activate at attribute to ActivitySession. In the Container Transactions section, set the container transaction type to NotSupported and set the ActivitySession kind attribute to Required, RequiresNew, or Mandatory.

- You want to access several non-XA resources across multiple EJB method calls and want to manage them independently.

In the deployment descriptor of the component, in the Local Transactions section, set the Resolver attribute to Application and set the Boundary attribute to ActivitySession. In the Bean Cache section, set the Activate at attribute to ActivitySession. In the Container Transactions section, set the container transaction type to NotSupported and set the ActivitySession kind attribute to Required, RequiresNew, or Mandatory.

## Local and global transactions

Applications use resources, such as Java Database Connectivity (JDBC) data sources or connection factories, that are configured through the Resources view of the administrative console. How these resources participate in a global transaction depends on the underlying transaction support of the resource provider.

For example, most JDBC providers can provide either XA or non-XA versions of a data source. A non-XA data source can support only resource manager local transactions (RMLT), but an XA data source can support two-phase commit coordination, as well as local transactions.

If an application uses two or more resource providers that support only RMLTs, atomicity cannot be assured because of the one-phase nature of these resources. To ensure atomic behavior, the application must use resources that support XA coordination and must access those resources in a global transaction.

If an application uses only one RMLT, atomic behavior can be guaranteed by the resource manager, which can be accessed in a local transaction containment (LTC) context.

An application can also access a single resource manager in a global transaction context, even if that resource manager does not support the XA coordination. An application can do this because the application server performs an “only resource optimization” and interacts with the resource manager in a RMLT. In a global transaction context, any attempt to use more than one resource provider that supports only RMLTs causes the global transaction to be rolled back.

At any moment, an instance of an enterprise bean can have work outstanding in either a global transaction context or a local transaction containment context, but not both. An instance of an enterprise bean can change from running in one type of context to the other (in either direction), if all outstanding work in the original context is complete. Any violation of this principle causes an exception to be thrown when the enterprise bean tries to start the new context.

## Client support for transactions

Application clients can, within certain limits, support the use of transactions.

Application clients running in an enterprise application client container can explicitly demarcate transaction boundaries, as described in the topic about using component-managed transactions. Application clients cannot perform, directly in the client container, transactional work in the context of any global transaction that they start, because the client container is not a recoverable process.

Application clients can make requests to remote objects, such as enterprise beans, in the context of a client-initiated transaction. Any transactional work performed in a remote, recoverable, server process is coordinated as part of the client-initiated transaction. The transaction coordinator is created on the first server process to which the client-initiated transaction is propagated.

A client can begin a transaction, then, for example, access a JDBC data source directly in the client process. In such cases, any work performed through the JDBC provider is not coordinated as part of the global transaction. Instead, the work runs under a resource manager local transaction. The client container process is non-recoverable and contains no transaction coordinator with which a resource manager can be enlisted.

A client can begin a transaction, then call a remote application component such as an enterprise bean. In such cases, the client-initiated transaction context is implicitly propagated to the remote application server, where a transaction coordinator is created. Any resource managers accessed on the recoverable application server (or any other application server hosting application components invoked by the client) are enlisted in the global transaction.

Client application components must be aware that locally-accessed resource managers are not coordinated by client-initiated transactions. Client applications acknowledge this through a deployment option that enables access to the UserTransaction interface in the client container. By default, access to the UserTransaction interface in the client container is not enabled. To enable UserTransaction demarcation for an application client component, set the “Allow JTA Demarcation” extension property in the client deployment descriptor. For information about editing the client deployment descriptor, refer to the Rational Application Developer information.

## Commit priority for transactional resources

You can specify the order in which transactional resources are processed during two-phase commit processing.

If you control the order in which transactional resources are processed during two-phase commit processing, there are two main benefits:

- One-phase commit optimization occurs more often.
- Potential problems caused by transaction isolation are resolved.

To control the order in which transactional resources are processed during two-phase commit processing, you specify the commit priority of a resource by setting the commit priority attribute on a resource reference. The larger the commit priority, the earlier the resource is processed. For example, if a resource has a commit priority of 10, it is processed before a resource with a commit priority of 1. The commit priority value is of type int and can be between -2147483648 and 2147483647.

If you do not specify a commit priority value, a default value of zero is assigned to the resource and is used when ordering resources at run time. If two or more resources are configured with the same priority, including the default priority, they are processed in an unspecified order with respect to each other.

You can specify the commit priority attribute on a resource reference by using Rational Application Developer tools. For detailed information, see the Rational Application Developer information center. The application component must have a deployment descriptor; you cannot specify this attribute if annotation has been used.

## One-phase commit optimization

In a transaction with a two-phase commit, if every resource except the last one enlisted in the transaction votes read-only, indicating that those resources are not interested in the outcome of the transaction, a one-phase commit can occur. This means that the transaction service does not have to store resource and transaction information that it would need to roll back a two-phase commit, and therefore performance is improved.

You can control the order in which transactional resources are processed during two-phase commit, so you can process the resources that are most likely to vote read-only first. Therefore, you increase the chance that a one-phase commit might occur.

Typically, for a given transactional resource, you know the work that is performed at run time, so if you can control the order in which the resources in a transaction are processed, you can increase the likelihood of a one-phase commit optimization occurring.

## Transaction isolation

When resources are involved in a global transaction, updates that are made as part of a transaction are not visible outside the transaction until the transaction commits, that is, those resources are isolated. This isolation can cause problems with other application components that act on the updates after they are committed. For example, further processing can fail, or can fail intermittently, because updates are order



and time dependent. This problem does not occur with service integration bus messaging work in WebSphere Application Server, but can be a problem for other messaging providers, for example WebSphere MQ.

If you specify the order in which transactional resources are committed, problems caused by isolation are resolved for all transactional systems, not just messaging providers and service integration bus in particular.

The following example describes how problems might occur when you cannot specify the order in which transactional resources are committed. An application updates a row in a database table, then sends a JMS message that triggers additional processing of the row. Both of these actions are performed in the same global transaction, so they are isolated until their respective resources are committed. If the update to the row is committed before the message is sent, the processing that is triggered by the message can access the updated row and process it. If the action to send the message is committed first, this action might trigger the additional processing of the row before the database has committed the update to the row. In this situation, the updated row is still isolated and is not visible, so the additional processing of the row fails.

This problem can be more complicated because it is ordering and timing dependent. If the database is committed first, the problem does not occur. If the action to send the message is committed first, the problem might occur, but it depends whether the database work is committed before the message triggers the further processing of the row. Therefore, the problem can be intermittent, so it is harder to identify its cause.

## Restrictions with earlier versions of WebSphere Application Server

If you specify the commit priority of a resource, that is, specify any value other than the default value 0, the commit priority is added to the partner log in a recoverable unit section. This section in the log file is recognized in WebSphere Application Server Version 7.0 or later, but not in earlier versions of the application server.

Therefore, if an application uses the commit priority attribute, you cannot install that application into a mixed-version cluster where one or more servers in the cluster are at versions of WebSphere Application Server that are earlier than Version 7.0.

Also, if an application that uses the commit priority attribute is installed in a cluster, you cannot subsequently add a server to that cluster if the server is at a version of WebSphere Application Server that is earlier than Version 7.0.

For general information about different versions of the product, see the topic “Overview of migration, coexistence, and interoperability”.

## Sharing locks between transaction branches

You can specify that multiple application components on different application servers can share access to data in a single DB2 database under the same global transaction. You specify that the different transaction branches share locks under the global transaction.

To do this, you set the branch coupling attribute on the resource references for the shared DB2 connections in the application.

**Note:** Lock sharing in WebSphere Application Server Version 8 is only supported on DB2; setting lock sharing on a resource reference for a non-DB2 database will result in an exception.

Usually, application components can share locks only when those application components are collocated on the same server.

Sharing locks between transaction branches means that multiple DB2 Java Database Connectivity (JDBC) connections to the same database that are in the same transaction, from the same or different servers, can share locks when accessing data. In this way, multiple components can access the data without causing timeouts or other unwanted situations.

Sharing locks between transaction branches provides the benefit that two Enterprise JavaBeans (EJBs) on two servers can share the visibility of data, and the locks to that data, within a distributed transaction. Therefore, shared access to data does not depend on the location of the application component.

To specify that transaction branches share locks, you set the branch coupling attribute on the DB2 resource reference of the application to a value of tight. For example:

```
<resource-ref name="jdbc/DataSource_LockSharing" branch-coupling="TIGHT"/>
```

If you do not specify a branch coupling value, the default value of loose is used, that is, transaction branches do not share locks.

You can set the branch coupling attribute on the DB2 resource reference of the application by using Rational Application Developer tools. For detailed information, see the Rational Application Developer information center. The application component must have a deployment descriptor; you cannot specify this attribute if annotation has been used.

To share locks between transaction branches in this way, the following conditions apply:

- The database must be DB2 on a distributed or z/OS operating system.
- The JDBC provider must be DB2 Using IBM JCC Driver Version 3.51 and later, Version 3.6 and later, or Version 4.1 and later.
- Connections must use JDBC type 4 connectivity to one of the following:
  - DB2 Universal Database (DB2 UDB) Version 8 and later
  - DB2 UDB for z/OS Version 8 with program temporary fix (PTF) UK27815 and later
  - DB2 UDB for z/OS Version 9.1 with Fix Pack 4 and later
  - DB2 UDB for z/OS Version 9.5 and later

**Note:** An IBM Support Technote is available that provides a complete list of which DB2 versions support lock sharing. Search the IBM Support Portal for relevant information.

## Transactional high availability

The high availability of the transaction service enables any server in a cluster to recover the transactional work for any other server in the same cluster. This facility forms part of the overall WebSphere Application Server high availability (HA) strategy.

As a vital part of providing recovery for transactions, the transaction service logs information about active transactional work in the *transaction recovery log*. The transaction recovery log stores the information in a persistent form, which means that any transactional work in progress at the time of a server failure can be resolved when the server is restarted. This activity is known as *transaction recovery processing*. In addition to completing outstanding transactions, this processing also ensures that any locks held in the associated resource managers are released.

## Peer recovery processing

The standard recovery process that is performed when an application server restarts is for the server to retrieve and process the logged transaction information, recover transactional work and complete indoubt transactions. Completion of the transactional work (and hence the release of any database locks held by the transactions) takes place after the server successfully restarts and processes its transaction logs. If the server is slow to recover or requires manual intervention, the transactional work cannot be completed and access to associated databases is disrupted.

To minimize such disruption to transactional work and the associated databases, WebSphere Application Server provides a high availability strategy known as *transaction peer recovery*.

Peer recovery is provided within a server cluster. A peer server (another cluster member) can process the recovery logs of a failed server while the peer continues to manage its own transactional workload. You do not have to wait for the failed server to restart, or start a new application server specifically to recover the failed server.

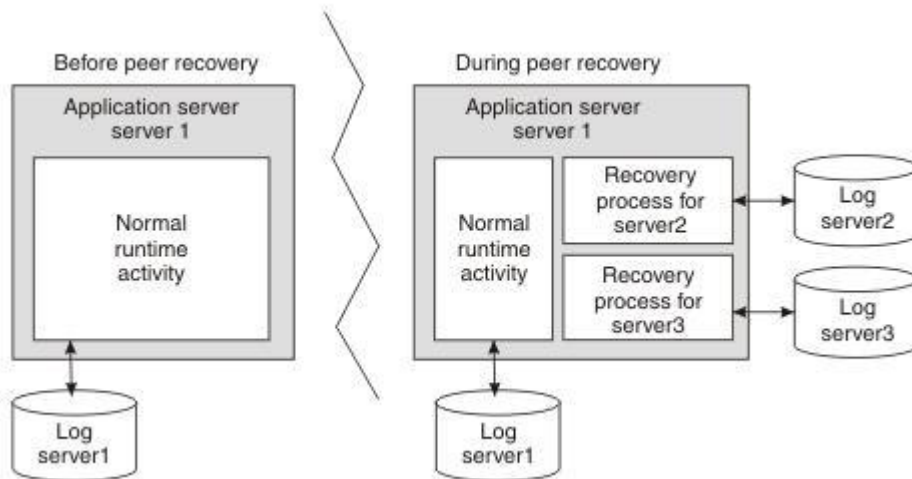


Figure 162. Peer recovery

The peer recovery process is the logical equivalent to restarting the failed server, but does not constitute a complete restart of the failed server within the peer server. The peer recovery process provides an opportunity to complete outstanding work; it cannot start new work beyond recovery processing. No forward processing is possible for the failed server.

Peer recovery moves the high availability requirements away from individual servers and onto the server cluster. After such failures, the management system of the cluster dispatches new work onto the remaining servers; the only difference is the potential drop in overall system throughput. If a server fails, all that is required is to complete work that was active on the failed server and redirect requests to an alternate server.

By default, peer recovery is disabled until you enable failover of transaction log recovery in the cluster configuration, and restart the cluster members. After you enable transaction log recovery, WebSphere Application Server supports two styles for the initiation of transaction peer recovery: automated and manual. You determine which style is more appropriate, based on your deployment, and specify that style by configuring the appropriate high availability policy. This high availability policy is referred to elsewhere in these topics as the *policy for the transaction service*.

#### **Automated peer recovery**

This style is the default for peer recovery initiation. If an application server fails, WebSphere Application Server automatically selects a server to undertake peer recovery processing on its behalf, and passes recovery back to the failed server when it restarts. To use this model, enable transaction log recovery and configure the recovery log location for each cluster member.

#### **Manual peer recovery**

You must explicitly configure this style of peer recovery. If an application server fails, you use the administrative console to select a server to perform recovery processing on its behalf.

In a HA environment, you must configure the compensation logs as well as the transaction logs. For each server in the cluster, use the compensation service settings to configure a unique compensation log

location, and ensure that all cluster members can access those compensation logs.

## Peer recovery example

The following diagrams illustrate the peer recovery process that takes place if a single server fails. Figure 2 shows three stable servers running in a WebSphere Application Server cluster. The workload is balanced between these servers, which results in locks held by the back-end database on behalf of each server.

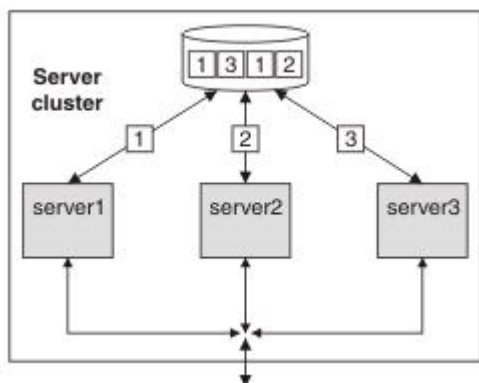


Figure 163. Server cluster up and running, just before server failure

Figure 3 shows the state of the system after server 1 fails without clearing locks from the database. Servers 2 and 3 can run their existing transactions to completion and release existing locks in the back-end database, but further access might be impaired because of the locks still held on behalf of server 1. In practice, some level of access by servers 2 and 3 is still possible, assuming appropriately configured lock granularity, but for this example assume that servers 2 and 3 attempt to access locked records and become blocked.

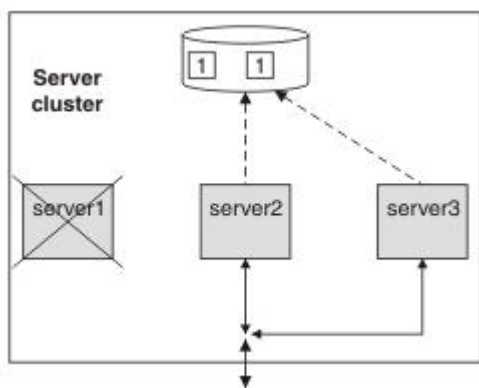


Figure 164. Server 1 fails. Servers 2 and 3 become blocked as a result

Figure 4 shows a peer recovery process for server 1 running inside server 3. The transaction service portion of the recovery process retrieves the information that is stored by server 1, and uses that information to complete any indoubt transactions. In this figure, the peer recovery process is partially complete as some locks are still held by the database on behalf of server 1.

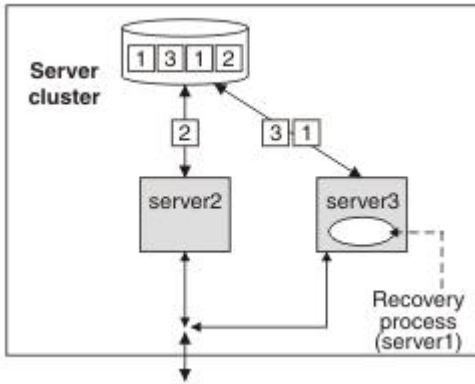


Figure 165. Peer recovery process started in server 3

Figure 5 shows the state of the server cluster when the peer recovery process is complete. The system is in a stable state with just two servers, between which the workload is balanced. Server 1 can be restarted, and will have no recovery processing of its own to perform.

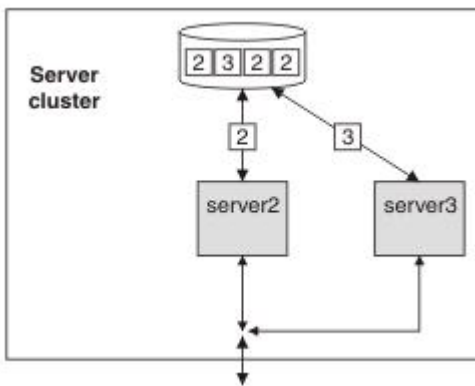


Figure 166. Server cluster stable again with just two servers: server 2 and server 3

## Deployment for transactional high availability

Before you use the high availability (HA) function, you must consider deployment issues such as your file system type, or where you plan to store the transaction recovery logs. In particular, your file system type can have important consequences for your recovery configuration.

### Common configuration

Transaction peer recovery requires a common configuration of the resource providers between the participating server members to undertake peer recovery between servers. Therefore, peer recovery processing can only take place between members of the same server cluster. Although a cluster can contain servers that are at different versions of WebSphere Application Server, peer recovery can only be performed between servers in the cluster that are at Version 6 or later.

### Physical storage

For application servers to perform transaction peer recovery for each other, they must be able to access the transaction recovery logs of all the other members in the cluster. Ensure that the log files are stored on a medium that is accessible by all members of the cluster, and that each cluster member has a unique log file location on this medium. This medium, and access to it, for example through a local area network

(LAN), must support the file-based force operation that is used by the recovery log service to force data to disk. After the force operation is complete, information must be persistently stored on physical disk media.

In a HA environment, application servers must also be able to access the compensation logs. Ensure that the compensation log files are stored on a medium that is accessible by all members of the cluster, and that each cluster member has a unique log file location on this medium.

For example, you can use IBM Network attached storage (NAS) (<http://www.ibm.com/servers/storage/nas/index.html>) mounted on each node, and shared SCSI drives, but not simple network share. All nodes must have read and write access to the recovery logs.

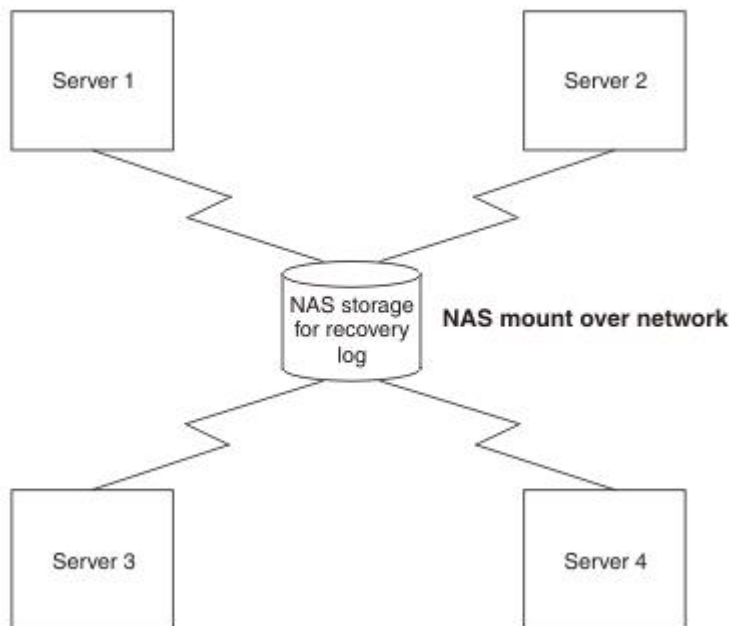


Figure 167. Recovery logs on NAS storage are available to all servers

In addition, configure the mechanism by which the remote log files are accessed, to exploit any fault tolerance in the underlying file system. For example, by using the Network File System (NFS) and hard mounting the remote directory containing the log files by using the `-o hard` option of the NFS mount command, the NFS client will try a failed operation repeatedly until the NFS server becomes available again.

Two types of potential server failure exist: software failure and hardware failure. Software failures generally do not affect other application servers directly. Even servers on the same physical hardware can undertake peer recovery processing. If a hardware failure occurs, all the servers that are deployed on the failed hardware become unavailable. Servers on other hardware are required to handle peer recovery processing. Any HA configuration requires that servers are deployed across multiple and discrete hardware systems.

## File system

The file system type is an important deployment consideration as it is the main factor in deciding whether to use automated or manual peer recovery. For more information, see “How to choose between automated and manual transaction peer recovery” on page 134.

### ***How to choose between automated and manual transaction peer recovery:***

Your type of file system is the dominant factor in deciding which kind of transaction peer recovery to use. Different file systems have different behaviors, and the file locking behavior in particular is important when choosing between automated and manual peer recovery.

WebSphere Application Server high availability (HA) support uses a heartbeat mechanism to determine whether servers are still running. Servers are considered failed if they stop responding to heartbeat requests. Some scenarios, such as system overloading and network partitioning (explained elsewhere in this topic), can cause servers to stop responding to heartbeats, even though the servers are still running. WebSphere Application Server uses file locking technology to prevent such events from causing concurrent access to transaction recovery logs, because access to a recovery log by more than one server can lead to loss of data integrity.

However, not all file systems provide the necessary file locking semantics, specifically that file locks are released when a server fails. For example, Network File System Version 4 (NFSv4) provides this release behavior, whereas Network File System Version 3 (NFSv3) does not.

NFSv4 releases locks held on behalf of a host in case that host fails. Peer recovery can occur automatically without restarting the failed hardware. Therefore, this version of NFS is better suited for use with automated peer recovery.

NFSv3 holds file locks on behalf of a failed host until that host can restart. In this context, the host is the physical machine running the application server that requested the lock and it is the restart of the host, not the application server, that eventually triggers the locks to release.

To illustrate file locking on NFSv3, consider the behavior when a cluster member fails:

1. Server H is running on host H and holds an exclusive file lock for its own recovery log files.
2. Server P is running on host P and holds an exclusive file lock for its own recovery log files.
3. Host H fails, taking server H with it. The NFS lock manager on the file server holds the locks that are granted to server H on its behalf.
4. A peer recovery event is triggered in server P for server H by WebSphere Application Server.
5. Server P attempts to gain an exclusive file lock for this peer recovery log, but is unable to do so as it is held on behalf of server H. The peer recovery process is blocked.
6. At an unspecified time, host H is restarted. The locks held on its behalf are released.
7. The peer recovery process in server P is unblocked and granted the exclusive file locks that are needed to undertake peer recovery.
8. Peer recovery takes place in server P for server H.
9. Server H is restarted.
10. If peer recovery is still in progress in server P, the recovery is halted.
11. Server P releases the exclusive lock on the recovery logs and returns ownership of the recovery logs back to server H.
12. Server H obtains the exclusive lock and can now undertake standard transaction logging.

Because of this behavior, on NFSv3 you must disable file locking to use automated peer recovery. Disabling file locking can lead to concurrent access to recovery logs so it is vital that you protect your system from system overloading and network partitioning first. Alternatively, you can configure manual peer recovery, where you prevent concurrent access by manually triggering peer recovery processing only for servers that have failed.

### **System overloading**

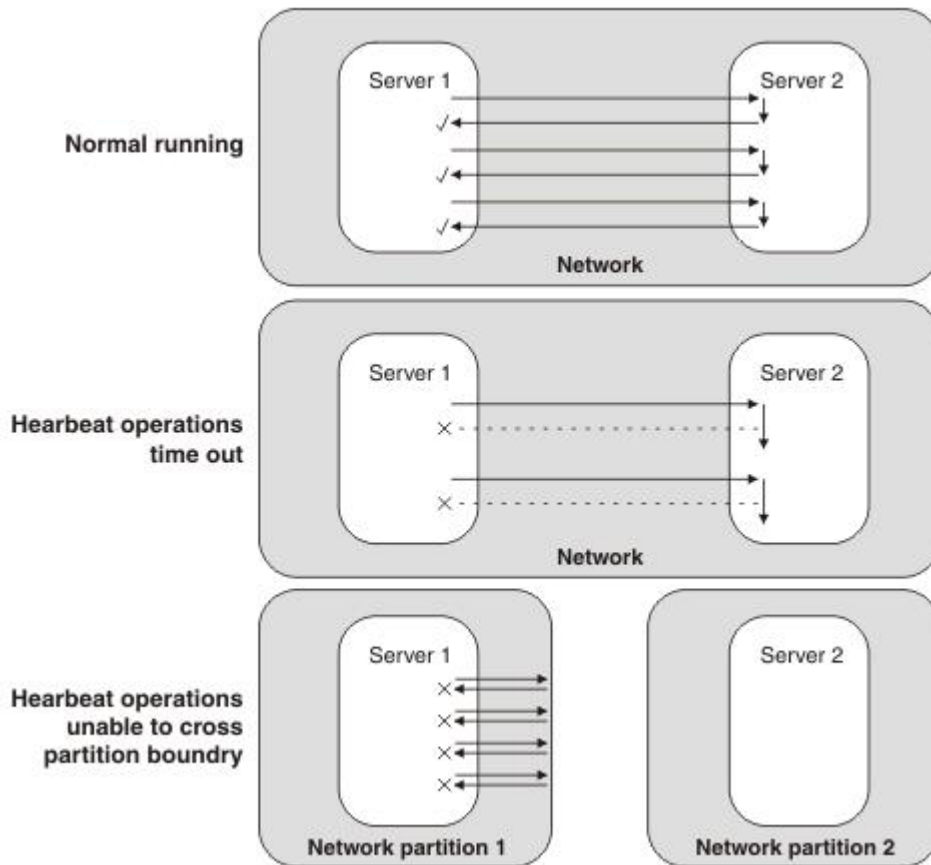
System overloading occurs when a machine becomes very heavily loaded such that response times are extremely poor and requests begin to time out. Several potential causes exist for such overloading, including:

- The server is underpowered and cannot handle the workload.

- The server received a temporary surge of requests.
- Insufficient physical memory is available. As a result, the operating system is too busy paging to give the application server the required CPU time.

### Network partitioning

Network partitioning occurs when a communications failure in a network results in two smaller networks that are independent and cannot contact each other.



During normal running, two servers on the network exchange heartbeats. During system overloading, heartbeat operations time out, giving the appearance of a server failure. After network partitioning, each server is in a separate network and heartbeats cannot pass between them, also giving the appearance of a server failure.

Figure 168. Heartbeats in a system running normally, compared to heartbeats after the apparent server failures of system overloading and network partitioning

### High availability policies for the transaction service

WebSphere Application Server provides integrated high availability (HA) support in which system subcomponents, such as the transaction service, are made highly available. An HA policy provides the logic that governs the manner in which each WebSphere Application Server HA component behaves within the overall HA framework. For the transaction service, the transaction HA policy provides the logic to determine which servers own a recovery log at any time.

Typically, transaction policies assign ownership of a recovery log to the server that originally created it (the home server) and that server can then use the recovery log for both recovery and normal transactional activity. In the event that the home server is unavailable or fails, ownership can pass to a peer server to undertake recovery processing.



Conceptually, a policy can be thought of as consisting of two key components, a policy type and a policy configuration.

## Policy type

The policy type determines whether peer recovery initiation is manual or automated. The policy essentially provides the logic for determining updated recovery log ownership in the event of a server failure. The following WebSphere Application Server policy types are used for transaction peer recovery (other HA policy types exist, but are not used by the transaction service):

**Static** Ownership of the recovery log is defined in the WebSphere Application Server configuration. At run time, the static policy assigns ownership accordingly. Any changes to ownership require a change to the static configuration and therefore this policy type is used for manually initiated peer recovery.

### One-of-N

Ownership of the recovery log is determined dynamically by the WebSphere Application Server HA framework and assigned to exactly one of the N cluster members. This policy type is used for automated peer recovery.

## Transaction compensation and business activity support

A *business activity* is a collection of tasks that are linked together so that they have an agreed outcome. Unlike atomic transactions, activities such as sending an email can be difficult or impossible to roll back atomically, and therefore require a compensation process in the event of an error. The WebSphere Application Server business activity support provides this compensation ability through *business activity scopes*.

## When to use business activity support

Use the business activity support when you have an application that requires compensation. An application requires compensation if its operations cannot be atomically rolled back. Typically, this scenario is because of one of the following reasons:

- The application uses multiple non-extended-architecture (XA) resources.
- The application uses more than one atomic transaction, for example, enterprise beans that have **Requires new** as the setting for the **Transaction** field in the container transaction deployment descriptor.
- The application does not run under a global transaction.

The following diagram shows a simple web service application that uses the business activity support. The Retailer, Warehouse and Manufacturing services are running in non-WebSphere Application Server environments. The Retailer service calls the Supplier service, running on WebSphere Application Server, which delegates tasks to the Warehouse and Manufacturing services. The implementation of the Supplier service contains a stateless session bean, which calls other stateless session beans that are associated with the Warehouse and Manufacturing services, and that undertake work that can be compensated. These other session beans each have a *compensation handler*, a piece of logic that is associated with an application component at run time, and performs compensation activity such as resending an email.

## Application design

Business activity contexts are propagated with application messages, and can therefore be distributed between application components that are not co-located in the same server. Unlike atomic transaction contexts, business activity contexts are propagated on both synchronous (blocking) call-response messages and asynchronous one-way messages. An application component that runs under a business

activity scope is responsible for ensuring that any asynchronous work it initiates is complete before the component's own processing is complete. An application that initiates asynchronous work by using a fire-and-forget message pattern must not use business activity scopes, because such applications have no means of detecting whether this asynchronous processing has completed.

Only enterprise beans that have container-managed transactions can use the business activity functions. Enterprise beans that exploit business activity scopes can offer web service interfaces, but can also offer standard enterprise bean local or remote Java interfaces. Business activity context is propagated in web service messages by using a standard, interoperable Web Services Business Activity (WS-BA) `CoordinationContext` element. WebSphere Application Server can also propagate business activity context on RMI calls to enterprise beans when Web services are not being used, but this form of the context is not interoperable with non-WebSphere Application Server environments. You might want to use this homogeneous scenario if you require compensation for an application that is internal to your business. If you want to use business activity compensation in a heterogeneous environment, expose your application components as web services.

Business activity contexts can be propagated across firewalls and outside the WebSphere Application Server domain. The topology that you use to achieve this propagation can affect the high availability and affinity behavior of the business activity transaction.

## Application development and deployment

WebSphere Application Server provides a programming model for creating business activity scopes, and for associating compensation handlers with those business activity scopes. WebSphere Application Server also provides an application programming interface to specify compensation data, and check or alter the status of a business activity. To use the business activity support you must set certain application deployment descriptors appropriately, provide a compensation handler class if required, and enable business activity support on any servers that run the application.

**Note:** Applications can exploit the business activity support only if you deploy them to a WebSphere Application Server at Version 6.1 or later. Applications cannot use the business activity support if you deploy them to a cluster that includes WebSphere Application ServerVersion 6.0.x servers.

## Business activity scopes

The scope of a business activity is that of a main WebSphere Application Server unit of work: a global transaction, an activity session, or local transaction containment (LTC). A business activity scope is not a new unit of work (UOW); it is an attribute of an existing main UOW. Therefore, a one-to-one relationship exists between a business activity scope and a UOW.

In a WS-BA deployment, the UOW must be container-managed:

- The UOW can be a container-managed transaction (CMT) enterprise bean that creates a global transaction.
- The UOW can be a local transaction containment (LTC) where the container is responsible for initiating and ending resource manager local transactions (RMLTs). That is, in the transactional deployment descriptor attributes, the Local Transaction attribute Resolver must be set to `ContainerAtBoundary`. To use WS-BA, you must not set the Resolver attribute to `Application`.

Any main UOW can have a business activity scope associated with it. If a component running under a UOW that is associated with a business activity scope calls another component, that request propagates the business activity scope; any work done by the new component is associated with the same business activity scope as the calling component. The called component can create a new UOW, for example if an enterprise bean has a **Transaction** setting of **Requires new**, or runs under the same UOW as the calling component. If a new UOW is started then a new business activity scope is created and associated with the new UOW. The newly created business activity scope is a child of the business activity scope

associated with the calling UOW. In the following diagram, EJB1a running under UOW1 calls two components: EJB1b that also runs under UOW1, and EJB2 that creates a new UOW, UOW2. The enterprise bean EJB1b, calls another enterprise bean, EJB3, which creates another new UOW, UOW3. Because each new UOW is created by a calling component whose UOW already has an association with business activity scope BAScope1, the newly created UOWs are associated with new inner business activity scopes, BAScope2 and BAScope3.

Inner business activity scopes must complete before the outer business activity scope completes. Inner business activity scopes, for example BAScope2, have an association with the outer business activity scope, in this case BAScope1. Each business activity scope is directed to close if its associated UOW completes successfully, or to compensate if its associated UOW fails. If BAScope2 completes successfully, any active compensation handlers that are owned by BAScope2 are moved to BAScope1, and are directed in the same way as the completion direction of BAScope1: either compensate or close. If BAScope2 fails, the active compensation handlers are compensated automatically, and nothing is moved to the outer BAScope1. When an inner business activity scope fails, as a result of its associated UOW failing, an application server exception is thrown to the calling application component, running in the outer UOW.

For example, if the inner UOW fails it might throw a `TransactionRolledBackException` exception. If the calling application can handle the exception, for example by trying the called component again or by calling another component, then the calling UOW, and its associated business activity scope, can complete successfully even though the inner business activity scope failed. If the application design requires the calling UOW to fail, and for its associated business activity scope to be compensated, then the calling application component must cause its UOW to fail, for example by allowing any system exception from the UOW that failed to be handled by its container.

When the outer business activity scope completes, its success or failure determines the completion direction (close or compensate) of any active compensation handlers that are owned by the outer business activity scope, including those promoted by the successful completion of inner business activity scopes. If the outer business activity scope completes successfully, it drives all active compensation handlers to close. If the outer business activity scope fails, it drives all active compensation handlers to compensate.

This compensation behavior is summarized in the following table.

*Table 68. Compensation behavior for a single business activity scope. The table lists the possible combinations of success and failure for the inner and outer business activity scopes, and the compensation behavior associated with each combination.*

Inner business activity scope	Outer business activity scope	Compensation behavior
Succeeds	Succeeds	Any compensation handlers that are owned by the inner business activity scope wait for the outer UOW to complete. When the outer UOW succeeds, the outer business activity scope drives all compensation handlers to close.
Fails	Succeeds	Any active compensation handlers that are owned by the inner business activity scope are compensated. An exception is thrown to the outer UOW; if this exception is caught, when the outer UOW succeeds, the outer business activity scope drives all remaining active compensation handlers to close.
Fails	Fails	Any active compensation handlers that are owned by the inner business activity scope are compensated. An exception is thrown to the outer UOW; if this exception is not caught, the outer business activity scope fails. When the outer business activity scope fails, either because of the unhandled exception or for some other reason, all remaining active compensation handlers are compensated.
Succeeds	Fails	Any compensation handlers that are owned by the inner business activity scope wait for the outer UOW to complete. When the outer UOW fails, the outer business activity scope drives all compensation handlers to compensate.

When a UOW with an associated business activity scope completes, the business activity scope always completes in the same direction as the UOW that it is associated with. The only way that you can influence the direction of the business activity scope is to influence the UOW that it is associated with, which you can do by using the `setCompensateOnly` method of the business activity API.

A compensation handler that is registered within a transactional UOW might initially be inactive, depending on the method invoked from the business activity API. Inactive handlers in this situation become active when the UOW in which that handler is declared completes successfully. A compensation handler that is registered outside a transactional UOW always becomes active immediately. For more information, see the topic about the business activity API.

Each business activity scope in the diagram represents a business activity. For example, the outer business activity running under `BAScope1` can be a holiday booking scenario, with `BAScope2` being a flight booking activity and `BAScope3` a hotel booking. If either the flight or hotel bookings fail, the overall holiday booking by default also fails. Alternatively if, for example, the flight booking fails, you might want your application to try booking a flight by using another component that represents a different airline. If the overall holiday booking fails, the application can use compensation handlers to cancel any flights or hotels that are already successfully booked.

## Use of business activity scopes by application components

Application components do not use business activity scopes by default. You use the WebSphere Application Server assembly tools to specify the use of a business activity scope and to identify any compensation handler class for the component:

### Default configuration

If a business activity context is present on a request received by a component with no business activity scope configuration, the context is stored by the container but never used during the method scope of the target component. A new business activity scope is not created. If the target component invokes another component, the stored business activity context is propagated and can be used by other compensating components.

### Run enterprise bean methods under a business activity scope

Any business activity context present on the incoming request is received by the container and made available to the target component. If a new UOW is created for the target method, for example because the enterprise bean method has a **Transaction** setting of **Requires new**, the received business activity scope becomes an outer business activity scope to a newly created business activity. If the UOW is propagated from the calling component and used by the method, then the received business activity scope is used by the method. If a business activity scope does not exist on the invocation, a new business activity scope is created and used by the method.

To create a business activity scope when an enterprise bean is invoked, you must configure the enterprise bean to run enterprise bean methods under a business activity scope. You must also configure the deployment descriptors for the method being invoked, to specify the creation of a new UOW upon invocation. For details, see the topic about creating an application that uses the WS-BA support.

## JTA support

Java Transaction API (JTA) support provides application programming interfaces (APIs) in addition to the `UserTransaction` interface that is defined in the JTA 1.1 specification.

These interfaces include the `TransactionSynchronizationRegistry` interface, which is defined in the JTA 1.1 specification, and the following API extensions:

- `SynchronizationCallback` interface
- `ExtendedJTATransaction` interface
- `UOWSynchronizationRegistry` interface
- `UOWManager` interface

The APIs provide the following functions:

- Access to global and local transaction identifiers associated with the thread.

The global identifier is based on the transaction identifier in the `CosTransactions::PropagationContext` object and the local identifier identifies the transaction uniquely in the local Java virtual machine (JVM).

- A transaction synchronization callback that any enterprise application component can use to register an interest in transaction completion.

Advanced applications can use this callback to flush updates before transaction completion and clear up state after transaction completion. Java EE (and related) specifications position this function typically as the domain of the enterprise application containers.

Components such as persistence managers, resource adapters, enterprise beans, and web application components can register with a JTA transaction.

The following information is an overview of the interfaces that the JTA support provides. For more detailed information, see the generated API documentation.

## SynchronizationCallback interface

An object implementing this interface is enlisted once through the `ExtendedJTATransaction` interface, and receives notification of transaction completion.

Although an object implementing this interface can run on a Java platform for enterprise applications server, there is no specific enterprise application component active when this object is called. So, the object has limited direct access to any enterprise application resources. Specifically, the object has no access to the `java: namespace` or to any container-mediated resource. Such an object can cache a reference to an enterprise application component (for example, a stateless session bean) that it delegates to. The object would then have all the usual access to enterprise application resources. For example, you might use the object to acquire a Java Database Connectivity (JDBC) connection and flush updates to a database during the `beforeCompletion` method.

## ExtendedJTATransaction interface

This interface is a WebSphere programming model extension to the Java EE JTA support. An object implementing this interface is bound, by enterprise application containers in WebSphere Application Server that support this interface, at `java:comp/websphere/ExtendedJTATransaction`. Access to this object, when called from an Enterprise JavaBeans (EJB) container, is not restricted to component-managed transactions.

An application uses a Java Naming and Directory Interface (JNDI) lookup of `java:comp/websphere/ExtendedJTATransaction` to get an `ExtendedJTATransaction` object, which the application uses as shown in the following example:

```
ExtendedJTATransaction exJTA = (ExtendedJTATransaction)ctx.lookup("
    java:comp/websphere/ExtendedJTATransaction");
SynchronizationCallback sync = new SynchronizationCallback();
exJTA.registerSynchronizationCallback(sync);
```

The `ExtendedJTATransaction` object supports the registration of one or more application-provided `SynchronizationCallback` objects. Depending on how the callback is registered, each registered callback is called at one of the following points:

- At the end of every transaction that runs on the application server, whether the transaction is started locally or imported
- At the end of the transaction for which the callback was registered

**Note:** In this release, the `registerSynchronizationCallbackForCurrentTran` method is deprecated. Use the `registerInterposedSynchronization` method of the `TransactionSynchronizationRegistry` interface instead.

## TransactionSynchronizationRegistry interface

This interface is defined in the JTA 1.1 specification. System-level application components, such as persistence managers, resource adapters, enterprise beans, and web application components, can use this interface to register with a JTA transaction. Then, for example, the component can flush a cache when a transaction completes.

To obtain the TransactionSynchronizationRegistry interface, use a JNDI lookup of `java:comp/TransactionSynchronizationRegistry`.

**Note:** Use the `registerInterposedSynchronization` method to register a synchronization instance, rather than the `registerSynchronizationCallbackForCurrentTran` method of the `ExtendedJTATransaction` interface, which is deprecated in this release.

## UOWSynchronizationRegistry interface

This interface provides the same functions as the TransactionSynchronizationRegistry interface, but applies to all types of units of work (UOWs) that WebSphere Application Server supports:

- JTA transactions
- local transaction containments (LTCs)
- ActivitySession contexts

System-level application server components such as persistence managers, resource adapters, enterprise beans, and web application components can use this interface to register with a JTA transaction. The component can do the following:

- Register synchronization objects with special ordering semantics.
- Associate resource objects with the UOW.
- Get the context of the current UOW.
- Get the current UOW status.
- Mark the current UOW for rollback.

To obtain the UOWSynchronizationRegistry interface, use a JNDI lookup of `java:comp/websphere/UOWSynchronizationRegistry`. This interface is available only in a server environment.

The following example registers an interposed synchronization with the current UOW:

```
// Retrieve an instance of the UOWSynchronizationRegistry interface from JNDI.
final InitialContext initialContext = new InitialContext();
final UOWSynchronizationRegistry uowSyncRegistry =
    (UOWSynchronizationRegistry)initialContext.lookup("java:comp/websphere/UOWSynchronizationRegistry");

// Instantiate a class that implements the javax.transaction.Synchronization interface
final Synchronization sync = new SynchronizationImpl();

// Register the Synchronization object with the current UOW.
uowSyncRegistry.registerInterposedSynchronization(sync);
```

## UOWManager interface

The UOWManager interface is equivalent to the JTA TransactionManager interface, which defines the methods that allow an application server to manage transaction boundaries. Applications can use the UOWManager interface to manipulate UOW contexts in the product. The UOWManager interface applies to all types of UOWs that WebSphere Application Server supports; that is, JTA transactions, local transaction containments (LTCs), and ActivitySession contexts. Application code can run in a particular type of UOW without needing to use an appropriately configured enterprise bean. Typically, the logic that is performed in the scope of the UOW is encapsulated in an anonymous inner class. System-level application server components such as persistence managers, resource adapters, enterprise beans, and web application components can use this interface.

WebSphere Application Server does not provide a `TransactionManager` interface in the API or the system programming interface (SPI). The `UOWManager` interface provides equivalent functions, but WebSphere Application Server maintains control and integrity of the UOW contexts.

To obtain the `UOWManager` interface in a container-managed environment, use a JNDI lookup of `java:comp/websphere/UOWManager`. To obtain the `UOWManager` interface outside a container-managed environment, use the `UOWManagerFactory` class. This interface is available only in a server environment.

You can use the `UOWManager` interface to migrate a web application to use web components rather than enterprise beans, but maintain control over the UOWs. For example, a web application currently uses the `UserTransaction` interface to begin a global transaction, makes a call to a method on a session enterprise bean that is configured as not supported to undertake some non-transactional work, and then completes the global transaction. You can move the logic that is encapsulated in the session EJB method to the `run` method of a `UOWAction` implementation. Then, you replace the code in the web component that calls the session enterprise bean with a call to the `runUnderUOW` method of a `UOWManager` interface to request that this logic is run in a local transaction. In this way, you maintain the same level of control over the UOWs as you had with the original application.

The following example performs some transactional work in the scope of a new global transaction. The transactional work is performed in an anonymous inner-class that implements the `run` method of the `UOWAction` interface. Any checked exceptions that the `run` method creates do not affect the outcome of the transaction.

```
// Retrieve an instance of the UOWManager interface from JNDI.
final InitialContext initialContext = new InitialContext();
final UOWManager uowManager = (UOWManager)initialContext.lookup("java:comp/websphere/UOWManager");

try
{
    // Invoke the runUnderUOW method, indicating that the logic should be run in a global
    // transaction, and that any existing global transaction should not be joined, that is,
    // the work must be performed in the scope of a new global transaction.
    uowManager.runUnderUOW(UOWSynchronizationRegistry.UOW_TYPE_GLOBAL_TRANSACTION, false, new UOWAction()
    {
        public void run() throws Exception
        {
            // Perform transactional work here.
        }
    });
}

catch (UOWActionException uowae)
{
    // Transactional work resulted in a checked exception being thrown.
}

catch (UOWException uowe)
{
    // The completion of the UOW failed unexpectedly. Use the getCause method of the
    // UOWException to retrieve the cause of the failure.
}
```

## SCA transaction intents

Service Component Architecture (SCA) provides declarative mechanisms in the form of *intents* for describing the transactional environment required by components.

This topic covers:

- “Using a global transaction” on page 144
- “Using local transaction containment” on page 145
- “Transaction intent default behavior” on page 146
- “Mapping of SCA intents on services to EJB or Spring transaction attributes” on page 146
- “Obtaining the transaction manager in Spring applications” on page 147

## Using a global transaction

Components that use a synchronous interaction style can be part of a single, distributed ACID transaction within which all transaction resources are coordinated to either atomically commit or roll back. This is specified by using the `managedTransaction.global` intent in the `requires` attribute of the `<implementation.java>` element as shown below.

```
<component name="DataAccessComponent">
  <implementation.java class="example.DataAccessImpl"
    requires="managedTransaction.global"/>
</component>
```

For `implementation.spring` components, specify the transaction attribute in the Spring application context file. For `implementation.jee` components, specify the transaction attribute in the Enterprise JavaBeans (EJB) deployment descriptor.

It is possible to control whether a component's service runs under its client's global transaction by specifying either the `propagatesTransaction` or `suspendsTransaction` intent on the component's `<service>` element.

### **propagatesTransaction**

The service runs under its client's global transaction. If the client is not running in a global transaction or chose not to propagate its global transaction, the service runs in its own global transaction.

### **suspendsTransaction**

The service runs in its own global transaction separate from the client transaction.

Specify the `propagatesTransaction` or `suspendsTransaction` intent on the component's `<service>` element only for services in `implementation.java` components. For `implementation.spring` components, specify the transaction attribute in the Spring application context file. For `implementation.jee` components, specify the transaction attribute in the EJB deployment descriptor.

It is also possible to control whether a component global transaction is propagated to a referenced service by specifying either the `propagatesTransaction` or `suspendsTransaction` intent on the component `<reference>` element.

### **propagatesTransaction**

The component's global transaction is made available to the referenced service. The referenced service might or may not use this transaction depending on how it is configured.

### **suspendsTransaction**

The component's global transaction is not made available to the referenced service.

You can specify the `propagatesTransaction` or `suspendsTransaction` intent on the component's `<reference>` element for references in all implementation types.

Transaction context is never propagated on `@OneWay` methods. The SCA run time ignores `propagatesTransaction` for `OneWay` methods.

Further, the product does not support `propagatesTransaction` intent on the `binding.atom` or `binding.jsonrpc` elements.

The following example shows the use of the `managedTransaction.global`, `propagatesTransaction`, and `suspendsTransaction` intents. The `DataUpdateComponent` runs in its own global transaction, not in its client's transaction, because `suspendsTransaction` is specified on its `<service>` element. Its global transaction is propagated to the referenced service `DataAccessComponent` because `propagatesTransaction` is specified on its `<reference>` element.

```
<component name="DataUpdateComponent">
  <implementation.java class="example.DataUpdateImpl"
    requires="managedTransaction.global"/>
  <reference name="DataAccessComponent"
    propagatesTransaction="true"/>
  <service name="DataUpdateComponent"
    suspendsTransaction="true"/>
</component>
```



```

<service name="DataUpdateService"
  requires="suspendsTransaction"/>
<reference name="myDataAccess" target="DataAccessComponent"
  requires="propagatesTransaction"/>
</component>

```

Propagating transactions over the web service binding requires the use of a WebSphere policy set that contains the WS-Transaction policy type. You can set up this policy set in one of the following ways:

- You can import the WSTransaction policy set that is provided with the product.
- You can create your own policy set and include the WS-Transaction policy type.

The following example assumes the use of the WSTransaction policy set.

```

<composite name="WSDataUpdateComposite"
  xmlns="http://www.oxa.org/xmlns/sca/1.0"
  xmlns:ws="http://www.ibm.com/xmlns/prod/websphere/sca/1.0/2007/06">
  <component name="WSDataUpdateComponent">
    <implementation.java class="example.DataUpdateImpl"
      requires="managedTransaction.global"/>
    <service name="DataUpdateService"
      requires="propagatesTransaction">
      <binding.ws ws:wsPolicySet="WSTransaction"/>
    </service>
    <reference name="myDataBuddy" target="DataBuddyComponent"
      requires="propagatesTransaction">
      <binding.ws ws:wsPolicySet="WSTransaction"/>
    </reference>
  </component>
</composite>

```

**Tip:** Transaction propagating might not result in a managed connection. Use a qualifying Java EE module for a managed connection and connection sharing.

## Using local transaction containment

Business logic might have to access transactional resource managers without the presence of a global transaction. A component can be configured to run under local transaction containment (LTC). The SCA runtime starts an LTC before dispatching a method on the component and completes the LTC at the end of the method dispatch. The component's interactions with resource providers (such as databases) are managed within resource manager local transactions (RMLTs). A resource manager local transaction (RMLT) represents a unit of recovery on a single connection that is managed by the resource manager.

The local transaction containment policy is configured by using an intent. There are two choices:

### managedTransaction.local

Use this intent when each interaction with a resource manager should be part of an extended local transaction that is committed at the end of the method. The SCA runtime wraps interactions with each resource manager in a resource manager local transaction (RMLT). The SCA runtime commits each RMLT at the end of method dispatch, unless an unchecked exception occurs, in which case the SCA runtime stops each RMLT. The component might not use resource manager commit/rollback interfaces or set AutoCommit to true. If multiple resource managers are used, the RMLTs are committed independently so it is possible for some to fail and some to succeed. If this behavior is not what you want, use a global transaction.

### noManagedTransaction

The SCA runtime does not wrap interactions with resource managers in a RMLT. The component implementation manages the start and end of its own RMLTs or gets AutoCommit behavior (which commits after each use of a resource) by default. The component must complete any RMLTs before the end of the method dispatch otherwise the SCA runtime stops them.

The intent is specified by using the requires attribute on the <implementation.java> element. An example is shown below.

```

<component name="DataAccessLocalComponent">
  <implementation.java class="example.DataAccessImpl"
    requires="managedTransaction.local"/>
</component>

```

A local transaction cannot be propagated from one component to another. It is an error to specify `propagatesTransaction` on a component's `<service>` if the component uses the `managedTransaction.local` or `noManagedTransaction` intent.

## Rollback

The SCA run time performs a rollback under the following circumstances:

- When `managedTransaction.global` is used, the SCA run time performs a rollback if the component method that started the global transaction throws an unchecked exception. An unchecked exception is a subclass of `java.lang.RuntimeException` or `java.lang.Error`. A checked exception does not force a rollback.
- When `managedTransaction.local` is used, the SCA run time performs a rollback if the component method throws an unchecked exception. An unchecked exception is a subclass of `java.lang.RuntimeException` or `java.lang.Error`. A checked exception does not force a rollback.
- When `noManagedTransaction` is used, the SCA run time performs a rollback of any RMLT that has not been committed by the component method, regardless of whether the method throws an exception or not.

When `managedTransaction.global` or `managedTransaction.local` is used, the business logic can force a rollback by using the `UOWSynchronization` interface.

```
com.ibm.websphere.uow.UOWSynchronizationRegistry uowSyncRegistry =
    com.ibm.wsspi.uow.UOWManagerFactory.getUOWManager();
    uowSyncRegistry.setRollbackOnly();
```

## Transaction intent default behavior

If transactional intents are not specified, the default behavior is vendor-specific. If a transactional intent is not specified for the implementation, the default is `managedTransaction.global`. If a transactional intent is not specified for a service or reference, the default is `suspendsTransaction`. It is recommended to specify the required intents rather than to rely on default behavior so that the application is portable.

## Using @Requires annotation to specify transaction intents

You can also specify transaction intents in the implementation class by using the `@Requires` annotation. The general form of the annotation is:

```
@Requires("{http://www.oxa.org/xmlns/sca/1.0}intent")
```

For example, you can use the following in the implementation class:

```
@Requires("{http://www.oxa.org/xmlns/sca/1.0}managedTransaction.global")
```

You can specify required intents on various elements, including the composite, component, implementation, service and reference elements. An element inherits the required intents of its parent element except when they conflict. For example, if a composite element requires `managedTransaction.global` and a component element requires `managedTransaction.local`, then the component uses `managedTransaction.local`.

You cannot use the `@Requires` annotation for `implementation.spring` components.

## Mapping of SCA intents on services to EJB or Spring transaction attributes

The following table contains information from Section 5.3 of the SCA Java EE Integration specification and lists the mapping of SCA intents on services to EJB or Spring transaction attributes.

Table 69. Mapping of EJB transaction attributes to SCA transaction implementation policies. See Section 5.3 of the SCA Java EE Integration specification.

EJB transaction attribute	SCA Transaction Policy required intents on services	SCA Transaction Policy required intents on implementations
NOT_SUPPORTED	suspendsTransaction	
REQUIRED	propagatesTransaction	managedTransaction.global
SUPPORTS	propagatesTransaction	managedTransaction.global
REQUIRES_NEW	suspendsTransaction	managedTransaction.global
MANDATORY	propagatesTransaction	managedTransaction.global
NEVER	suspendsTransaction	

For MANDATORY and NEVER attributes, policy mapping might not be accurate. These attributes express responsibilities of the EJB container as well as the EJB implementer rather than express a requirement on the service consumer.

## Obtaining the transaction manager in Spring applications

The product does not support local JNDI lookups in Spring applications that are referenced from SCA components. Thus, you cannot use `<tx:jta-transaction-manager/>` in the Spring application context file to obtain the WebSphere transaction manager.

To obtain the WebSphere transaction manager, add the following definition explicitly to the Spring `application-context.xml` file:

```
<bean id="WASTranMgr" class="com.ibm.wsspi.uow.UOWManagerFactory" factory-method="getUOWManager"/>
<bean id="transactionManager"
  class="org.springframework.transaction.jta.WebSphereUowTransactionManager">
  <property name="uowManager" ref="WASTranMgr"/>
  <property name="autodetectUserTransaction" value="false"/>
</bean>
```



---

## Chapter 28. Work area

This page provides a starting point for finding information about work areas, a WebSphere extension for improving developer productivity.

Work areas provide a capability much like that of global variables. They enable efficient sharing of information across a distributed application.

For example, you might want to add profile information as each customer enters your application. By placing this information in a work area, it is available throughout your application, eliminating the need to hand-code a solution or to read and write information to a database.

---

### Overview of work area service

The work area service passes information explicitly as an argument or implicitly to remote methods.

One of the foundations of distributed computing is the ability to pass information, typically in the form of arguments to remote methods, from one process to another. When application-level software is written over middleware services, many of the services rely on information beyond that passed in the application's remote calls. Such services often make use of the implicit propagation of private information in addition to the arguments passed in remote requests; two typical users of such a feature are security and transaction services. Security certificates or transaction contexts are passed without the knowledge or intervention of the user or application developer. The implicit propagation of such information means that application developers do not have to manually pass the information in method invocations, which makes development less error-prone, and the services requiring the information do not have to expose it to application developers. Information such as security credentials can remain secret.

The work area service gives application developers a similar facility. Applications can create a work area, insert information into it, and make remote invocations. The work area is propagated with each remote method invocation, eliminating the need to explicitly include an appropriate argument in the definition of every method. The methods on the server side can use or ignore the information in the work area as appropriate. If methods in a server receive a work area from a client and subsequently invoke other remote methods, the work area is transparently propagated with the remote requests. When the creating application is done with the work area, it terminates it.

There are two prime considerations in deciding whether to pass information explicitly as an argument or implicitly by using a work area. These considerations are:

- Pervasiveness: Is the information used in a majority of the methods in an application?
- Size: Is it reasonable to send the information even when it is not used?

When information is sufficiently pervasive that it is easiest and most efficient to make it available everywhere, application programmers can use the work area service to simplify programming and maintenance of code. The argument does not need to go onto every argument list. It is much easier to put the value into a work area and propagate it automatically. This is especially true for methods that simply pass the value on but do nothing with it. Methods that make no use of the propagated information simply ignore it.

Work areas can hold any kind of information, and they can hold an arbitrary number of individual pieces of data, each stored as a property.

Use the work area service in the administrative console to configure the UserWorkArea partition. The UserWorkArea partition is the partition that is available in JNDI naming under the name "java:comp/websphere/UserWorkArea", as demonstrated in the Accessing the UserWorkArea partition article. The UserWorkArea partition is the default work area partition created automatically, if it has not been disabled, and is available through JNDI naming to all users. Any configuration option made to the

UserWorkArea partition under the work area service panel in the administrative console does not affect the work area partition service or any partitions defined in it, and conversely. For example, if you select the enable or disable option in the work area service panel, this does not affect the work area partition service or any partition within it.

## Work area property modes

The information in a work area consists of a set of properties; a property consists of a key-value-mode triple. The key-value pair represents the information contained in the property; the key is a name by which the associated value is retrieved. The mode determines whether you can modify or remove the property.

### Property modes

There are four possible mode values for properties, as shown in the following code example:

#### Code example: The PropertyModeType definition

```
public final class PropertyModeType {
    public static final PropertyModeType normal;
    public static final PropertyModeType read_only;
    public static final PropertyModeType fixed_normal;
    public static final PropertyModeType fixed_readonly;
};
```

A property's mode determines three things:

- Whether the value associated with the key can be modified
- Whether the property can be deleted
- Whether the mode associated with the key-value pair can be modified

The two read-only modes forbid changes to the information in the property; the two fixed modes forbid deletion of the property.

The work area service does not provide methods specifically for the purpose of modifying the value of a key or the mode associated with a property. To change information in a property, applications simply rewrite the information in the property; this has the same effect as updating the information in the property. The mode of a property governs the changes that can be made. Modifying key-value pairs describes the restrictions each mode places on modifying the value and deleting the property. Changing modes describes the restrictions on changing the mode.

### Changing modes

The mode associated with a property can be changed only according to the restrictions of the original mode. The read-only and fixed read-only properties do not permit modification of the value or the mode. The fixed normal and fixed read-only modes do not allow the property to be deleted. This set of restrictions leads to the following permissible ways to change the mode of a property within the lifetime of a work area:

- If the current mode is normal, it can be changed to any of the other three modes: fixed normal, read-only, fixed read-only.
- If the current mode is fixed normal, it can be changed only to fixed read-only.
- If the current mode is read-only, it can be changed only by deleting the property and re-creating it with the desired mode.
- If the current mode is fixed read-only, it cannot be changed.
- If the current mode is not normal, it cannot be changed to normal. If a property is set as fixed normal and then reset as normal, the value is updated but the mode remains fixed normal. If a property is set as fixed normal and then reset as either read-only or fixed read-only, the value is updated and the mode is changed to fixed read-only.

**Note:** The key, value, and mode of any property can be effectively changed by terminating (completing) the work area in which the property was created and creating a new work area. Applications can then insert new properties into the work area. This is not precisely the same as changing the value in the original work area, but some applications can use it as an equivalent mechanism.

## Nested work areas

Applications can nest work areas to define and scope properties for specific tasks without having to make the work areas available to all parts of the application.

When an application creates a work area, a work area context is associated with the creating thread. If the application thread creates another work area, the new work area is nested within the existing work area and becomes the current work area. All properties defined in the original, enclosing work area are visible to the nested work area. The application can set additional properties within the nested work area that are not part of the enclosing work area.

An application working with a nested work area does not actually see the nesting of enclosing work areas. The current work area appears as a flat set of properties that includes those from enclosing work areas. In the figure below, the enclosing work area holds several properties and the nested work area holds additional properties. From the outermost work area, the properties set in the nested work area are not visible. From the nested work area, the properties in both work areas are visible.

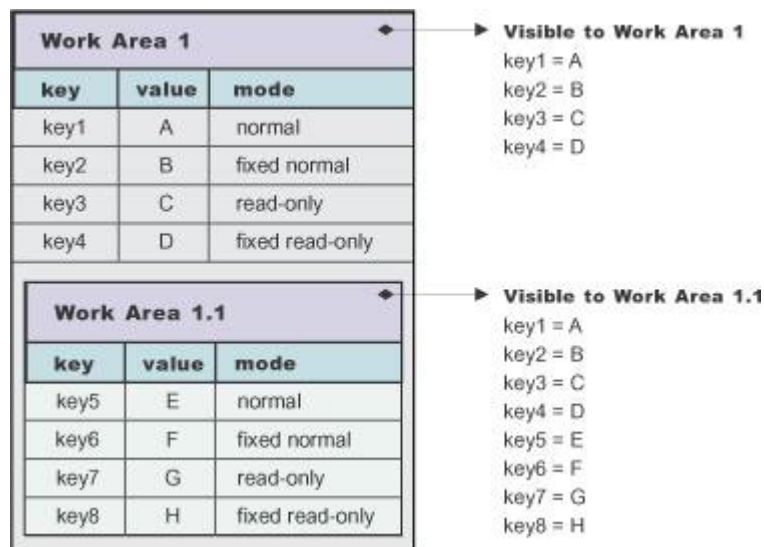


Figure 169. Defining new properties in nested work areas

Nesting can also affect the apparent settings of the properties. Properties can be deleted from or directly modified only within the work areas in which they were set, but nested work areas can also be used to temporarily override information in the property without having to modify the property. Depending on the modes associated with the properties in the enclosing work area, the modes and the values of keys in the enclosing work area can be overridden within the nested work area.

The mode associated with a property when it is created determines whether nested work areas can override the property. From the perspective of a nested work area, the property modes used in enclosing work areas can be grouped as follows:

- Modes that permit a nested work area to override the mode or the value of a key locally. The modes that permit overriding are:
  - Normal
  - Fixed normal

- Modes that do not permit a nested work area to override the mode or the value of a key locally. The modes that do not permit overriding are:
  - Read-only
  - Fixed read-only

If an enclosing work area defines a property with one of the modes that can be overridden, a nested work area can specify a new value for the key or a new mode for the property. The new value or mode becomes the value or mode seen by subsequently nested work areas. Changes to the mode are governed by the restrictions described in Changing modes. If an enclosing work area defines a property with one of the modes that cannot be overridden, no nested work area can specify a new value for the key.

A nested work area can delete properties from enclosing work areas, but the changes persist only for the duration of the nested work area. When the nested work area is completed, any properties that were added in the nested area vanish and any properties that were deleted from the nested area are restored.

The following figure illustrates the overriding of properties from an enclosing work area. The nested work area redefines two of the properties set in the enclosing work area. The other two cannot be overridden. The nested work area also defines two new properties. From the outermost work area, the properties set or redefined in the nested work are not visible. From the nested work area, the properties in both work areas are visible, but the values seen for the redefined properties are those set in the nested work area.

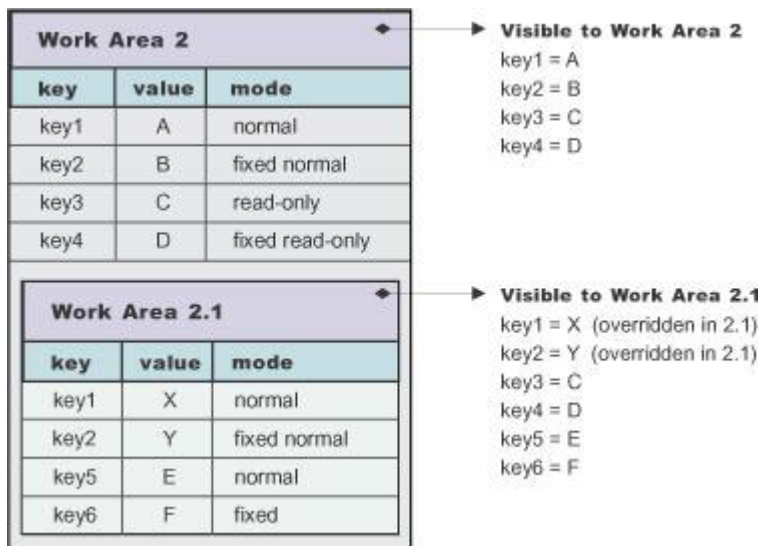


Figure 170. Redefining existing properties in nested work areas

## Distributed work areas

Work area context propagates to a target object on a remote invocation on both bidirectional and non-bidirectional defined work area partitions. The propagation of work area context operates differently depending on whether a work area partition is defined as bidirectional. If the partition is defined as bidirectional, the context propagates from a target object back to the originator.

### Non-bidirectional work area partitions (UserWorkArea partition)

If a remote invocation is issued from a thread associated with a work area, a copy of the work area is automatically propagated to the target object, which can use or ignore the information in the work area as necessary. If the calling application has a nested work area associated with it, a copy of the nested work area and all its ancestors is propagated to the target. The target application can locally modify the information, as allowed by the property modes, by creating additional nested work areas; this information is propagated to any remote objects it invokes. However, no changes made to a nested work area on a



target object are propagated back to the calling object. The caller's work area is unaffected by changes made in the remote method.

## Bidirectional work area partitions

If a remote invocation is issued from a thread associated with a work area, a copy of the work area is automatically propagated to the target object, which can use or ignore the information in the work area as necessary. If the calling application has a nested work area associated with it, a copy of the nested work area and all its ancestors is propagated to the target. The target application can locally modify the information, as allowed by the property modes, this information is propagated to any remote objects it invokes. In a partition that is not defined as bidirectional, a target application must begin a nested work area before making changes to the imported work area. However, if a partition is defined as bidirectional, a target application need not begin a nested work area before operating on an imported work area. By not beginning a nested work area, any new context set into the work area, or any context changes made by the target application, is not only propagated on future remote invocations but is also propagated back to the originating application (that is, the one who initiated the remote invocation) thus allowing bidirectional propagation of work area context. If the target application does not want new or changed context to propagate back to the originating application, then the target application must begin a nested work area to scope the context to its process. However, the new or changed context in the nested work area propagates on any future remote invocation the target application may make.

## WorkArea service: Special considerations

Developers who use work areas should consider the following issues that could potentially cause problems: interoperability between the EJB and CORBA programming models; and the use of work areas with Java's Abstract Windowing Toolkit.

### EJB and CORBA interoperability

Although the work area service can be used across the EJB and CORBA programming models, many composed data types cannot be successfully used across those boundaries. For example, if a SimpleSampleCompany instance is passed from the WebSphere environment into a CORBA environment, the CORBA application can retrieve the SimpleSampleCompany object encapsulated within a CORBA Any object from the work area, but it cannot extract the value from it. Likewise, an IDL-defined struct defined within a CORBA application and set into a work area is not readable by an application using the UserWorkArea class.

**best-practices:** Applications can avoid this incompatibility by directly setting only primitive types, like integers and strings, as values in work areas, or by implementing complex values with structures designed to be compatible, like CORBA valuetypes.

Also, CORBA Anys that contains either the tk\_null or tk\_void typecode can be set into the work area by using the CORBA interface. However, the work area specification cannot allow the Java 2 Platform, Enterprise Edition (J2EE) implementation to return null on a lookup that retrieves these CORBA-set properties without incorrectly implying that there is no value set for the corresponding key. For example, when a user attempts to retrieve a nonexistent key from a work area, the work area service returns null to indicate that the specified key does not contain a value, implying that the key itself is not in use or does not exist. In the case where CORBA Anys contains either tk\_null or tk\_void, when a user requests the key associated with one of these values, the work area service returns null as expected. In this case, the key may actually exist and the work area service was simply returning the key's value of null. Therefore, when working with CORBA Anys, a user must not make any implications when a null is returned from a work area because it could mean that either there isn't a property associated with the given key, or that there is a property associated with the given key and it contains a tk\_null or tk\_void, for example, a null in the J2EE environment. If a J2EE application tries to retrieve CORBA-set properties that are non-serializable, or contain CORBA nulls or void references, the com.ibm.websphere.workarea.IncompatibleValue exception is raised.

## Using work areas with Java's Abstract Windowing Toolkit (AWT)

Work areas must be used cautiously in applications that use Java's Abstract Windowing Toolkit (AWT). The AWT implementation is multithreaded, and work areas begun on one thread are not available on another. For example, if a program begins a work area in response to an AWT event, such as pressing a button, the work area might not be available to any other part of the application after the execution of the event completes.

---

## Chapter 29. Web applications

This page provides a starting point for finding information about web applications, which are comprised of one or more related files that you can manage as a unit, including:

- HTML files
- Servlets can support dynamic web page content, provide database access, serve multiple clients at one time, and filter data.
- Java ServerPages (JSP) files enable the separation of the HTML code from the business logic in web pages.

IBM extensions to the JSP specification make it easy for HTML authors to add the power of Java technology to web pages, without being experts in Java programming. Learn about web applications by visiting the following topics:

---

### Learn about web applications

Learn how you can use servlets, JavaServer Pages technology (JSP files), and Hyper Text Markup Language (HTML) files to create more dynamic and portable web applications.

### Web applications

A web application is comprised of one or more related servlets, JavaServer Pages technology (JSP files), and Hyper Text Markup Language (HTML) files that you can manage as a unit.

The files in a web application are related in that they work together to perform a business logic function. The web application is a concept supported by the Java Servlet Specification. Web applications are typically packaged as .war files.

### Web modules

A web module represents a web application. A web module is created by assembling servlets, JavaServer Pages (JSP) files, and static content such as Hypertext Markup Language (HTML) pages into a single deployable unit. Web modules are stored in web application archive (WAR) files, which are standard Java archive files.

A web module contains:

- One or more servlets, JSP files, and HTML files.
- A deployment descriptor, stored in an Extensible Markup Language (XML) file.

The file, named `web.xml`, declares the contents of the module. It contains information about the structure and external dependencies of web components in the module and describes how the components are used at run time.

You can create web modules as stand-alone applications, or you can combine web modules with other modules to create Java Platform, Enterprise Edition (Java EE) applications. You can also install and run a web module in the web container of an application server.

### Web container request attributes

A web container provides three custom `HttpServletRequest` attributes that can be used to provide a servlet or a trust association interceptor (TAI) with the certificate information for a request.

These `HttpServletRequest` attributes provide information about a client, such as a web server plug-in, that is directly connected to the web container:

- The `com.ibm.websphere.ssl.direct_connection_peer_certificates` attribute contains a `X509Certificate[]` object of the certificate for a direct peer.

- The `com.ibm.websphere.ssl.direct_connection_cipher_suite` attribute contains a string object of a direct cipher suite.
- The `com.ibm.websphere.webcontainer.is_direct_connection` attribute contains a boolean object that indicates whether the connection was made through a Web server, or was made directly to WebSphere Application Server.

These attributes are different from the usual JEE defined certificate properties which provide information about the end user who is typically connected to the web server. These attributes are available to all applications and can be used when appropriate.

## web.xml file

The `web.xml` file provides configuration and deployment information for the web components that comprise a web application.

The Java Servlet specification defines the `web.xml` deployment descriptor file in terms of an XML schema document. For backwards compatibility, any `web.xml` file that is written to Servlet 2.2 or above that worked in previous versions of WebSphere Application Server are supported by the web container.

If you use Rational Application Developer Version 6 to create your portlets, you must remove the following reference to the `std-portlet.tld` from the `web.xml` file:

```
<taglib id="PortletTLD">
  <taglib-uri>http://java.sun.com/portlet</taglib-uri>
  <taglib-location>/WEB-INF/tld/std-portlet.tld</taglib-location>
</taglib>
```

## Location

The `web.xml` file must reside in the `WEB-INF` directory under the context of the hierarchy of directories that exist for a web application.

For example, if the application is `client.war`, then the `web.xml` file is placed in the `install_root/client/war/WEB-INF` directory.

## Usage notes

- Is this file read-only?
  - No
- Is this file updated by a product component?
  - This file is updated by the assembly tool.
- If so, what triggers its update?
  - The assembly tool updates the `web.xml` file when you assemble web components into a web module, or when you modify the properties of the web components or the web module.
- How and when are the contents of this file used?
  - WebSphere Application Server functions use information in this file during the configuration and deployment phases of web application development.

## Sample file entry

**Note:** The `web.xml` file does not represent the entire configuration that is available for the web application. There are other servlets filters, and listeners that can be defined using programmatic configurations, annotations, and web fragments.

**Note:** Marking the web application metadata complete will prevent annotations and web fragments from being able to configure components.

```
<?xml version="1.0" encoding="UTF-8"?>
<web-app id="WebApp_9" version="3.0" xmlns="http://java.sun.com/xml/ns/javaee"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
```

```

xsi:schemaLocation="http://java.sun.com/xml/ns/javaee
http://java.sun.com/xml/ns/javaee/web-app_3_0.xsd">
<display-name>Servlet 3.0 application</display-name>
<filter>
  <filter-name>ServletMappedDoFilter_Filter</filter-name>
  <filter-class>tests.Filter.DoFilter_Filter</filter-class>
  <init-param>
    <param-name>attribute</param-name>
    <param-value>tests.Filter.DoFilter_Filter.SERVLET_MAPPED</param-value>
  </init-param>
</filter>
<filter-mapping>
  <filter-name>ServletMappedDoFilter_Filter</filter-name>
  <url-pattern>/DoFilterTest</url-pattern>
  <dispatcher>REQUEST</dispatcher>
</filter-mapping>
<filter-mapping>
  <filter-name>ServletMappedDoFilter_Filter</filter-name>
  <url-pattern>/IncludedServlet</url-pattern>
  <dispatcher>INCLUDE</dispatcher>
</filter-mapping>
<filter-mapping>
  <filter-name>ServletMappedDoFilter_Filter</filter-name>
  <url-pattern>ForwardedServlet</url-pattern>
  <dispatcher>FORWARD</dispatcher>
</filter-mapping>
<listener>
  <listener-class>tests.ContextListener</listener-class>
</listener>
<listener>
  <listener-class>tests.ServletRequestListener.RequestListener</listener-class>
</listener>
<servlet>
  <servlet-name>welcome</servlet-name>
  <servlet-class>WelcomeServlet</servlet-class>
</servlet>
<servlet>
  <servlet-name>ServletErrorPage</servlet-name>
  <servlet-class>tests.Error.ServletErrorPage</servlet-class>
</servlet>
<servlet>
  <servlet-name>IncludedServlet</servlet-name>
  <servlet-class>tests.Filter.IncludedServlet</servlet-class>
</servlet>
<servlet>
  <servlet-name>ForwardedServlet</servlet-name>
  <servlet-class>tests.Filter.ForwardedServlet</servlet-class>
</servlet>
<servlet-mapping>
  <servlet-name>welcome</servlet-name>
  <url-pattern>/hello.welcome</url-pattern>
</servlet-mapping>
<servlet-mapping>
  <servlet-name>ServletErrorPage</servlet-name>
  <url-pattern>/ServletErrorPage</url-pattern>
</servlet-mapping>
<servlet-mapping>
  <servlet-name>IncludedServlet</servlet-name>
  <url-pattern>/IncludedServlet</url-pattern>
</servlet-mapping>
<servlet-mapping>
  <servlet-name>ForwardedServlet</servlet-name>
  <url-pattern>/ForwardedServlet</url-pattern>
</servlet-mapping>
<welcome-file-list>
  <welcome-file>hello.welcome</welcome-file>
</welcome-file-list>
<error-page>
  <exception-type>java.lang.ArrayIndexOutOfBoundsException</exception-type>
  <location>/ServletErrorPage</location>
</error-page>
<error-page>

```

```
<error-code>404</error-code>
<location>/error404.html</location>
<error-page>
</web-app>
```

**Note:** For each `<error-page>` declaration, select either `<exception-type>` or `<error-code>`, but not both. The `<location>` tag is required.

## Default Application

WebSphere Application Server provides a default configuration that administrators can use to easily verify that the Application Server is running. When the product is installed, it includes an application server called *server1* and an enterprise application called *Default Application*.

*Default Application* contains a web module called *DefaultWebApplication* and an enterprise bean Java archive (JAR) file called *Increment*. The *Default Application* provides a number of servlets, described below. These servlets are available in the product.

## Snoop servlet

Use the Snoop servlet to retrieve information about a servlet request. This servlet returns the following information:

- Servlet initialization parameters
- Servlet context initialization parameters
- URL invocation request parameters
- Preferred client locale
- Context path
- User principal
- Request headers and their values
- Request parameter names and their values
- HTTPS protocol information
- Servlet request attributes and their values
- HTTP session information
- Session attributes and their values

The Snoop servlet includes security configuration so that when WebSphere Security is enabled, clients must supply a user ID and password to initiate the servlet.

The URL for the Snoop servlet is: `http://localhost:9080/snoop/`.

## HelloHTML servlet

Use the HelloHTML pervasive servlet to exercise the PageList support provided by the WebSphere web container. This servlet extends the PageListServlet, which provides APIs that allow servlets to call other web resources by name or, when using the *Client Type detection* support, by type.

You can invoke the Hello servlet from an HTML browser, speech client, or most Wireless Application Protocol (WAP) enabled browsers using the URL: `http://localhost:9080/HelloHTML.jsp`.

**transition:** The PageList Servlet custom extension is deprecated in WebSphere Application Server Version 8.0 and will be removed in a future release. Re-architect your legacy applications to use `javax.servlet.filter` classes instead of `com.ibm.servlet` classes. Starting from the Servlet 2.3 specification, `javax.servlet.filter` classes you can intercept requests and examine responses. You can also use `javax.servlet.filter` classes to achieve chaining functionality, as well as embellishing or truncating responses.

## HitCount application

Use the HitCount demonstration application to demonstrate how to increment a counter using a variety of methods, including:

- A servlet instance variable
- An HTTP session
- An enterprise bean

You can instruct the servlet to execute any of these methods within a transaction that you can commit or roll back. If the transaction is committed, the counter is incremented. If the transaction is rolled back, the counter is not incremented.

The enterprise bean method uses a container-managed persistence enterprise bean that persists the counter value to an Apache Derby database. This enterprise bean is configured to use the Default Datasource, which is set to the DefaultDB database.

When using the enterprise bean method, you can instruct the servlet to look up the enterprise bean, either in the WebSphere global namespace, or in the namespace local to the application.

The URL for the HitCount application is: `http://localhost:9080/HitCount.jsp`.

## JavaServer Pages

JavaServer Pages (JSP) are application components coded to the JavaServer Pages Specification. JavaServer Pages enable the separation of the Hypertext Markup Language (HTML) code from the business logic in web pages so that HTML programmers and Java programmers can more easily collaborate in creating and maintaining pages.

JSP files support a division of roles:

### HTML authors

Develop JSP files that access databases and reusable Java components, such as servlets and beans.

### Java programmers

Create the reusable Java components and provide the HTML authors with the component names and attributes.

### Database administrators

Provide the HTML authors with the name of the database access and table information.

WebSphere Application Server Version 8.0 supports the JSP 2.1 specification.

## Servlets

*Servlets* are Java programs that use the Java Servlet Application Programming Interface (API). You must package servlets in a web application archive (WAR) file or web module for deployment to the application server. Servlets run on a Java-enabled web server and extend the capabilities of a web server, similar to the way applets run on a browser and extend the capabilities of a browser.

Servlets can support dynamic web page content, provide database access, serve multiple clients at one time, and filter data.

In the application server, discussions of servlets focus on HTTP servlets, which serve Web-based clients.

You can define servlets as welcome files. Non-servlet resources are served only when the `fileServingEnabled` attribute is set to `true` in the IBM extensions XMI file, `ibm-web-ext.xmi`, located in each Web module WEB-INF directory or by using an assembly tool to set the property in the source `.war` file. Serving welcome files is connected to serving static content. Therefore the `fileServingEnabled` attribute is set in the web module.

**Note:** For IBM extension and binding files, the .xmi or .xml file name extension is different depending on whether you are using a pre-Java EE 5 application or module or a Java EE 5 or later application or module. An IBM extension or binding file is named `ibm-*-ext.xmi` or `ibm-*-bnd.xmi` where `*` is the type of extension or binding file such as `app`, `application`, `ejb-jar`, or `web`. The following conditions apply:

- For an application or module that uses a Java EE version prior to version 5, the file extension must be `.xmi`.
- For an application or module that uses Java EE 5 or later, the file extension must be `.xml`. If `.xmi` files are included with the application or module, the product ignores the `.xmi` files.

However, a Java EE 5 or later module can exist within an application that includes pre-Java EE 5 files and uses the `.xmi` file name extension.

The `ibm-webservices-ext.xmi`, `ibm-webservices-bnd.xmi`, `ibm-webservicesclient-bnd.xmi`, `ibm-webservicesclient-ext.xmi`, and `ibm-portlet-ext.xmi` files continue to use the `.xmi` file extensions.

## Context parameters

A servlet context defines the server view of the web application within which the servlet is running. The context also supports a servlet to access its available resources. Using the servlet context, a servlet can log events, obtain URL references to resources, and set and store attributes for other servlets in the context to use. These properties declare the parameters for the context of a web application. The properties convey setup information, such as the email address for the webmaster or the name of a system with critical data.

## Servlet mappings

A servlet mapping is a correspondence between a client request and a servlet. Web containers use URL paths to map client requests to servlets, and follow the URL path-mapping rules as specified in the Java Servlet specification. The container uses the Uniform Resource Identifier (URI) from the request, minus the context path, as the path to map to a servlet. The container chooses the longest matching available context path from the list of web applications that it hosts.

## Web fragments

When developing web applications, if multiple web modules use the same components, consider including the components in a Web fragment Java archive (JAR) file. The web fragment JAR file contains both the configuration metadata and component class files. This practice enables easier copying from application to application.

**Note:** Web module deployment descriptor fragments (web fragments) provide the same configuration metadata that a `web.xml` file provides, but they exist as a `web-fragment.xml` file that is packaged inside a JAR file in the `WEB-INF/lib` directory.

Framework developers provide JAR files that are included in a web application which uses that specific framework. If that framework uses servlets, filters, or other web module configuration, web fragments provide the ability to simply drag the JAR file into an application without requiring changes to the existing web module configuration. Previously, web application developers were required to augment their configuration with additional metadata required by the framework. Another use case is the aforementioned need to use the same components across web modules. Also, the use of mock objects or stubs might be made easier with Web fragments.

Scanning for web fragments decreases performance for each JAR file that it checks for a `web-fragment.xml` file. The higher the number of JAR files in a web application, the higher the performance impact. If performance concerns demand, disable scanning for web fragments by setting `metadata-complete` to **true** and include any necessary configuration in the `web.xml` file.



**Note:** Disabling the scanning of web fragments also disables annotation scanning. Therefore, if you need either of these, both are scanned.

**Important:** Set the metadata-complete element in the web.xml file to **true** to disable fragment scanning. Use the absolute-ordering tag in the web.xml file to force an order for scanning web fragments or scan a subset of the web fragments. Use the relative-ordering tag in web-fragment.xml files to specify order relative to another fragment.

Including fragments in a web application might inadvertently expose endpoints to security risks if you are unaware of servlets, filters, or security constraints that are included in a web fragment. Verify that all configured servlets, filters, and security constraints are functioning as expected.

**Note:** If there is a conflict in the web fragments, applications will not deploy. If there is conflict when installing the application, view the SystemOut.log file to understand which items are conflicting.

**Note:** This topic references one or more of the application server log files. Beginning in WebSphere Application Server Version 8.0 you can configure the server to use the High Performance Extensible Logging (HPEL) log and trace infrastructure instead of using SystemOut.log , SystemErr.log, trace.log, and activity.log files or native z/OS logging facilities. If you are using HPEL, you can access all of your log and trace information using the LogViewer command-line tool from your server profile bin directory. See the information about using HPEL to troubleshoot applications for more information on using HPEL.

General rules for merging web fragments and annotations in the Servlet 3.0 specification:

- JAR files are only scanned for annotations or web fragment metadata if they are in the WEB-INF/lib directory. Shared libraries are not scanned for annotations or web fragment metadata.
- Annotations that are in classes in the WEB-INF/classes directory are merged first and take precedence over any metadata in the WEB-INF/lib directory.
- All JAR files in the WEB-INF/lib directory are considered Web fragments, regardless of whether they contain a web-fragment.xml file. If a web-fragment.xml file does not exist in a JAR file, it is considered to have an implicit, empty web-fragment.xml file.
- Annotations for each JAR file in the WEB-INF/lib directory are merged into the corresponding web-fragment.xml file before checking for conflicts between web fragments. Therefore, conflicts between annotations in different fragments prevents the application from deploying.
- If you define web fragment ordering, both the annotation and Web fragment metadata for one web fragment is merged before another fragment that is lower in the order.
- Because all JAR files in the WEB-INF/lib directory are considered fragments, the <others> element in an <absolute-ordering> element applies to all JAR files that are not mentioned elsewhere in the ordering.

## Asynchronous servlet best practices

The asynchronous servlet feature enables you to process incoming requests and responses without being bound to the original thread that initiated the request.

Consider the following best practices when using asynchronous servlets:

- Applications should not spawn a new thread for each asynchronous operation needed. At a minimum, applications should use a thread pool or use the AsyncContext start(Runnable) method.
- On the client/browser side, you can use AJAX to enable certain portions of the page to be updated asynchronously.
- The servlet container ensures that calls to complete or dispatch do not start until the web container thread that initiated the **startAsync** command exists. However, the servlet container does not handle multiple threads using the same request and response simultaneously. The application can handle its

own concurrency or synchronization issues in this case, but it is not recommended because it can be prone to deadlock or race conditions. If the dispatch or complete method is called from a customer-created thread or runnable started with start(Runnable), then dispatch or complete can start immediately on a new thread and any further modifications to the request or response from the thread that initiated these calls is dangerous. Two threads will have access to the request and response, which can have indeterminate results if both threads are modifying those objects. Therefore, do not call any methods on the request or response after a dispatch from the same thread that called the dispatch. Do not call any methods on the request or response after a complete operation is called.

- Asynchronous listeners have an onTimeout method that starts when a time limit is reached for the asynchronous operation. However, the asynchronous operation might still be running on one thread while the onTimeout runs on a different thread. This scenario is the most common way that multiple threads inadvertently use the same request and response simultaneously. A simple approach to this scenario is to use a shared AtomicBoolean method from both the AsyncListener and the asynchronous operation, as follows:

```
AtomicBoolean isOkayToRun = (AtomicBoolean) request.getAttribute("isOkayToRun");
if (isOkayToExecute.setAndGet(false)){
    //do a dispatch
}
```

With this approach, only one thread can obtain access to write to the response.

- The web container attempts to cancel any runnables that are queued by calls to the start(Runnable) method when the timeout is reached. However, runnables that have already started cannot be interrupted because the interruption leads to leaking memory.
- The number of threads doing the timeout notifications is very small. Attempting any intensive operation or any write operation from a timeout is not recommended because even a small write operation might take awhile if the client has a slow connection. When you disable the asynchronous timeout, it is easier to run into OutOfMemory errors or to exhaust the number of TCP channel connections. The default timeout is 30 seconds.
- You can configure some asynchronous servlet options, such as timeout settings and the AsyncContext start(Runnable) method, in the administrative console by clicking **Servers > Server Types > WebSphere application servers > server\_name > Web Container Settings > Web container**. Refer to the Web container settings topic to learn about configuring the Web container.

**Important:** Asynchronous request dispatcher (ARD) and remote request dispatcher (RRD) are not supported when using asynchronous servlets.

**Tip:** View the Web application counters topic to learn about metrics for asynchronous servlets.

## Web container properties

Learn about system properties, custom properties, and application properties for the web container.

### Web container system property

You can change the value of the javax.servlet.context.tempdir servlet context attribute to be relative to a different directory by setting the com.ibm.websphere.servlet.temp.dir system property. This system property affects all applications on a server-wide basis. For example, if you set com.ibm.websphere.servlet.temp.dir to /foo, the application temp directory is /foo/node1/server1/fragmentTest/fragmentTest24.war. If you want to change the value at an application level, use the scratchdir JavaServer Pages (JSP) attribute. View the JSP engine configuration parameters topic for more information about the scratchdir attribute.

### Web container custom property

Custom properties are specific to a server. Set a web container custom property in the administrative console. See the Web container custom properties topic for instructions on setting custom properties for

web container and to see a list of available web container custom properties.

## Web container application property

Application properties are specific to an application. You define application properties in the application deployment descriptor. Enable a Java Enterprise Edition (Java EE) application to configure asynchronous servlet by using a servlet or filter initial parameter. An example of a web.xml definition of a servlet or filter follows:

```
<init-param>
  <param-name>com.ibm.ws.webcontainer.async-supported</param-name>
  <param-value>true</param-value>
</init-param>
```

### **Web container behavior notes:**

Learn about behavior notes for the web container.

## Access multipart/form-data

Define a servlet to process multipart/form data by including a `@MultipartConfig` annotation in the servlet, or by specifying a multipart-config element for the servlet in the application web.xml file. An annotation might look like the following example:

```
@MultipartConfig(fileSizeThreshold=1000000, location="temp", maxFileSize=5000000, maxRequestSize=5000000)
```

If a servlet is not defined to process multipart/form data, or the include file is not within the limits that are set by the configuration data, the following behavior is observed in response to a request containing multipart/form data:

`ServletRequest.getParameter()` will return null for a request to obtain a form field.  
`HttpServletRequest.getPart()` or `HttpServletRequest.getParts()` will throw an appropriate exception. The exception message is an indication of the cause of the exception.

## Java EE application resource declarations

You can configure your Java Enterprise Edition (Java EE) applications to declare dependencies on external resources and configuration parameters. These resources might be injected into the application code, or might be accessed by the application through the Java Naming and Directory Interface (JNDI).

Resource references allow an application to define and use logical names that you can bind to resources when the application is deployed.

The following resource types can be declared by Java EE applications: simple environment entries, Enterprise JavaBeans (EJB) references, web service references, resource manager connection factory references, resource environment references, message destination references, persistence unit references, and persistence context references.

## Simple Environment Entries

You can define configuration parameters in your Java EE applications to customize business logic using simple environment entries. As described in the Java EE 6 application, simple environment entry values might be one of the following Java types: String, Character, Byte, Short, Integer, Long, Boolean, Double, Float, Class, and any subclass of Enum.

**Note:** The Java type, Class, and any subclass of Enum are new in Java EE 6.

The application provider must declare all of the simple environment entries accessed from the application code. The simple environment entries are declared using either annotations (`javax.annotation.Resource`) in the application code, or using `env-entry` elements in the XML deployment descriptor.

In the following example from an application, annotations declare environment entries:

```
// Retry interval in milliseconds
@Resource long retryInterval = 3000;
```

In the previous example, the field default value is 3000. You can use an `env-entry-value`, which you define in the XML deployment descriptor to change this value.

In the following example, an application declares a simple environment entry of type `Class`, and defines the `Class` to be injected using an `env-entry-value` element in the XML deployment descriptor.

```
@Resource(name=TraceFormatter) Class<?> traceFormatter;
```

```
<env-entry>
  <env-entry-name>TraceFormatter</env-entry-name>
  <env-entry-value>com.sample.trace.StdoutTraceFormatter</env-entry-value>
</env-entry>
```

In the previous example, the field value is set to the `com.sample.trace.StdoutTraceFormatter` `Class` object.

In the following example, an application which declares a simple environment entry called `validationMode` as a subclass of `Enum` in the `com.sample.Order` class, and configures the `Enum` value of `CALLBACK` to inject using elements in the XML deployment descriptor.

```
<env-entry>
  <env-entry-name>JPAValidation</env-entry-name>
  <env-entry-type>javax.persistence.ValidationMode</env-entry-type>
  <env-entry-value>CALLBACK</env-entry-value>
  <injection-target>
    <injection-target-class>com.sample.Order</injection-target-class>
    <injection-target-name>validationMode</injection-target-name>
  </injection-target>
</env-entry>
```

In the previous example, the `validationMode` field is set to the `CALLBACK` `Enum` value. Use the same approach when you use annotations and XML code to declare simple environment entries; for example:

```
@Resource (name=JPAValidation)
javax.persistence.ValidationMode validationMode;
```

```
<env-entry>
  <env-entry-name>JPAValidation</env-entry-name>
  <env-entry-value>CALLBACK</env-entry-value>
</env-entry>
```

**Note:** The simple environment entry support of the Java type, `Class`, and any subclass of `Enum` is new for Java EE 6. Previously, you might have developed your applications to declare these types as application resources using the `resource-env-ref` element in the XML deployment descriptor or using the `javax.annotation.Resource` annotation. For applications that were using these Java types with the `javax.annotation.Resource` annotation, the `com.ibm.websphere.ejbcontainer.EE5Compatibility` system property must be enabled. Without the `EE5Compatibility` system property, the `binding-name` element of the `resource-env-ref` element in the `ibm-ejb-jar-bnd.xml` file is ignored, since the data type is now treated as a simple environment entry and not a resource environment reference.

**Note:** The `<lookup-name>` deployment descriptor element and the `lookup` annotation attribute are new in Java EE 6. They specify the JNDI name of a referenced EJB or resource, relative to the `java:comp/env` naming context. If either is used in a simple environment entry, you cannot use an `<env-entry-value>` in the same `<env-entry>`.

## Enterprise JavaBeans (EJB) References

As described in the Java EE 6 specification, you can develop your Java EE applications to declare references to enterprise bean homes or enterprise bean instances using logical names called EJB references.

When an application declares a reference to an EJB, the EJB that you reference will be resolved with one of the following techniques.

- Specify an EJB binding in the `ibm-ejb-jar-bnd.xml` file or `ibm-web-bnd.xml` file
- Specify an `<ejb-link>` element in `ejb-jar.xml` file or `web.xml` file
- Specify a `beanName` attribute on the `javax.ejb.EJB` annotation
- Specify a `<lookup-name>` element in `ejb-jar.xml` file or `web.xml` file
- Specify a `lookup` attribute on the `javax.ejb.EJB` annotation
- Locate an enterprise bean that implements the interface declared as the type of the EJB reference (referred to as `AutoLink`).

The EJB container attempts to resolve the EJB reference using the previous techniques in the order they are listed.

**Note:** If `<lookup-name>` or `lookup` is used in an EJB reference, you cannot use `<ejb-link>` or `beanName` in the same EJB reference.

**Note:** All of the following EJB reference examples assume the `SampleCart` bean has only a single interface. If the `SampleCart` bean had multiple interfaces, then add the following suffix to the end of the binding, `<ejb-link>` element, or `beanName` attribute : `!com.sample.Cart`.

In the following example, an application declares an EJB reference using an annotation, and provides a binding for resolution.

```
@EJB(name="Cart")
Cart shoppingCart;
```

```
<ejb-ref name="Cart" binding-name="java:app/SampleEJB/SampleCart"/>
```

In the following example, an application declares an EJB reference using an annotation, and provides an `ejb-link` element for resolution.

```
@EJB(name="Cart")
Cart shoppingCart;
```

```
<ejb-local-ref>
  <ejb-ref-name>Cart</ejb-ref-name>
  <ejb-link>SampleEJB/SampleCart</ejb-link>
</ejb-local-ref>
```

In the following example, an application declares an EJB reference using an annotation, and provides a `lookup` attribute for resolution, from the source bean `com.sample.SourceBean`.

```
@EJB(name="Cart" lookup="java:app/SampleEJB/SampleCart")
Cart shoppingCart;
```

The application could alternatively declare the EJB reference using the `<lookup-name>` element in the XML deployment descriptor, as in the following example.

```
<ejb-local-ref>
  <ejb-ref-name>Cart</ejb-ref-name>
  <lookup-name>java:app/SampleEJB/SampleCart</lookup-name>
  <injection-target>
```

```

    <injection-target-class>com.sample.SourceBean</injection-target-class>
    <injection-target-name>ShoppingCart</injection-target-name>
  </injection-target>
</ejb-local-ref>

```

In the following example, an application declares an EJB reference using an annotation, and provides a `beanName` attribute for resolution.

```

@EJB(name="Cart" beanName="SampleEJB/SampleCart")
Cart shoppingCart;

```

## Resource Environment References

As described in the Java EE 6 specification, you can develop applications to declare references to administered objects that are associated with a resource, such as a Connector CCI InteractionSpec instance, or other object types managed by the EJB container, including `javax.transaction.UserTransaction`, `javax.ejb.EJBContext`, `javax.ejb.TimerService`, `org.omg.CORBA.ORB`, `javax.validation.Validator`, `javax.validation.ValidatorFactory`, or `javax.enterprise.inject.spi.BeanManager`.

When an application declares a reference to an administered object, you must provide a binding to the administered object when the application is deployed. You can provide the binding using the administrative console when you deploy the application, or you can add the binding to the WebSphere binding XML file, `ibm-ejb-jar-bnd.xml` or `ibm-web-bnd.xml`.

In the following example, an application declares a resource environment reference, and provides a binding to the resource:

```

@Resource(name="jms/ResponseQueue")
Queue responseQueue;

```

```

<session name="StatelessSampleBean">
  <resource-env-ref name="jms/ResponseQueue" binding-name="Jetstream/jms/ResponseQueue"/>
</session>

```

The application could alternatively declare the resource environment reference using the `lookup` attribute, and not require a binding, as in the following example:

```

@Resource(name="jms/ResponseQueue", lookup="Jetstream/jms/ResponseQueue")
Queue responseQueue;

```

```

<resource-env-ref>
  <resource-env-ref-name>jms/ResponseBean</resource-env-ref-name>
  <resource-env-ref-type>javax.jms.Queue</resource-env-ref-type>
</resource-env-ref>

```

When an application declares a reference to a container managed object type, a binding is not used. The container provides the correct instance of the referenced object. In the following example, an application declares a resource environment reference to a container-managed object:

```

@Resource
javax.validation.Validator validator;

```

## Resource References to Resource References

A new `lookup` field on the `@Resource` annotation is added with Java EE 6. You can now declare a resource reference to a resource reference as shown in the following example:

```

@Resource(name="java:global/env/jdbc/ds1ref",
  lookup="java:global/env/jdbc/ds1",
  authenticationType=Resource.AuthenticationType.APPLICATION,
  shareable=false)
DataSource ds1ref;

```

```
@Resource(name="java:global/env/jdbc/ds1refref",
          lookup="java:global/env/jdbc/ds1ref",
          authenticationType=Resource.AuthenticationType.APPLICATION,
          shareable=true)
DataSource ds1refref;
```

The lookup uses the innermost nesting of references, which in this case is "java:global/env/jdbc/ds1ref".

## Web applications: Resources for learning

Use the following links to find relevant supplemental information about web applications. The information resides on IBM and non-IBM Internet sites, whose sponsors control the technical accuracy of the information.

These links are provided for convenience. Often, the information is not specific to the IBM WebSphere Application Server product, but is useful all or in part for understanding the product. When possible, links are provided to technical papers and Redbooks that supplement the broad coverage of the release documentation with in-depth examinations of particular product areas.

### Programming model and decisions

- J2EE BluePrints for web applications
- Redbook on the design and implementation of Servlets, JSP files, and enterprise beans

### Programming instructions and examples

- WebSphere Studio Application Developer Programming Guide
- Sun's Java™ Tutorial on Servlets and JavaServer Pages
- Web delivered samples in the Samples Gallery

### Programming specifications

- Java 2 Software Development Kit (SDK)
- Servlet 2.4 Specification
- JavaServer Pages 2.0 Specification
- Differences between JavaScript and ECMAScript
- ISO 8859 Specifications
- Java 2 Platform, Standard Edition (J2SE)

## Asynchronous request dispatcher

### Asynchronous request dispatcher

Asynchronous request dispatcher (ARD) can improve Servlet response time when slow operations can be logically separated and performed concurrently with other operations required to complete the response. ARD enables Java™ servlet programmers to perform standard `javax.servlet.RequestDispatcher` include calls for the same request concurrently on separate threads. These `javax.servlet.RequestDispatcher` include calls are completed sequentially on the same thread. ARD is also useful in low CPU, long wait situations like waiting for a database connection.

If there are large CPU or memory requirements, ARD alone does not alleviate those issues. However, in combination with the remote request dispatcher, operations driven by one servlet request that can be performed concurrently on multiple application servers, alleviating resource demand on a single server and decreasing the risk of a system down situation.

Servlets, portlets, and JavaServer Pages (JSP) files can all utilize ARD. This functionality is an extension beyond the requirements of the Java Servlet Specification, which only describes synchronous request dispatching. ARD requires a new channel, called the ARD channel, between the HTTP and web container channels to form a new channel chain. These new chains correspond only to the existing default host chains and reuse the same ports.

Each include can write output to the client and because ordering is important for valid results, there must be some aggregation of the data written. Typically, a servlet writes data to a buffer and once full, it is flushed to client. For server-side aggregation, the ARD channel cannot flush until any includes that had placeholders written to the current buffer are finished.

Client-side aggregation of the asynchronous include is also supported. Web 2.0 programmers often use Asynchronous JavaScript and XML (Ajax) in the Web browser of the client to dynamically retrieve and aggregate remote resources. Unfortunately, this puts the burden on the programmer to aggregate the contents and learn new technologies. Client-side aggregation automatically adds the necessary JavaScript to dynamically update the page. For non-JavaScript clients, you can switch ARD to server-side aggregation, which gives equivalent results. You can deny non-JavaScript clients when using client-side aggregation.

ARD uses the web container APIs to plug in unique request dispatching logic. It interacts with WCCM to read in configuration information for enablement status per enterprise application as well as a global appserver setting. You can use the administrative console and wsAdmin to enable or disable ARD. Servlets, portlets, and JSP files can all utilize ARD.

### ***Asynchronous request dispatcher application design considerations:***

Asynchronous request dispatcher (ARD) is not a one-size-fits-all solution to servlet programming. You must evaluate the needs of your application and the caveats of using ARD. Switching all includes to start asynchronously is not the solution for every scenario, but when used wisely, ARD can increase response time. This article contains important details about the ARD implementation and issues to consider when you design an application that leverages ARD.

### **Asynchronous request dispatcher client-side implementation**

- JavaScript is dynamically written to the response output.
- This JavaScript results in Ajax requests back to a server-side results provider.
- Because of the Asynchronous Input/Output (AIO) features of the channel, the Ajax request does not tie up a thread and instead is notified for completion through an include callback.
- The client only makes one request at a time for the asynchronous includes because of browser limitations in the number of connections.
- Original connection has to be valid for the lifetime of the includes. It cannot be reused for the Ajax requests.
- Comment nodes, such as following,

```
<!--uniquePlaceholderID--><!--1-->
```

are placed in the browser object model since comment nodes have no effect on the page layout.

- Whenever a complete fragment exists, a response can be sent to the client and the comment node with the same ID is replaced. Requests are made until all the fragments are retrieved.
- Verify applications on all supported browsers when using client-side aggregation. Object oriented JavaScript principles are used so that applications only need avoid using the method name `getDynamicDataIBMARD`. Any previously specified `window.onload` is started before the ARD `onload` method.

### **Asynchronous request dispatcher channel results service**

Requests for include data from the asynchronous JavaScript code are sent to known Uniform Resource Identifiers, URIs also known as URLs, that the ARD channel can intercept to prevent traveling through web container request handling. These URIs are unique for the each server restart.



For example, `/IBMARD01234567/asyncInclude.js` is the URI for the JavaScript that forces the retrieval of the results, and `/IBMARD01234567/IBMARDQueryStringEntries?=12000` is used to retrieve the results for the entry with ID 12000.

To prevent unauthorized results access, unique IDs are generated for the service URI and for the ARD entries. A common ID generator is shared among the session and ARD, so uniqueness is configurable through session configuration. Session IDs are considered secure, but they are not as secure as using a Lightweight Third-Party Authentication (LTPA) token.

### Custom client-side aggregation

If you want to perform your own client-side aggregation, the `isUseDefaultJavascript` method must return as false. The `isUseDefaultJavascript` method is part of the `AsyncRequestDispatcherConfig` method, which is set on the `AsyncRequestDispatcher` or for the `AsyncRequestDispatcherConfigImpl.getRef` method. The `AsyncRequestDispatcherConfigImpl.getRef` method is the global configuration object. You might want to perform your own client-side aggregation if the back button functionality is problematic. You must remove the results from the generic results service to prevent memory leaks, so that multiple requests with the same response results through an `XMLHttpRequest` fail. To facilitate proper location of position, placeholders are still written in the code as

```
<!--uniquePlaceholderID--><!--x-->
```

where `x` is the order of the includes. The endpoint to retrieve results are retrieved from the request attribute `com.ibm.websphere.webcontainer.ard.endpointURI`.

When making a request to the endpoint, ARD sends as many response fragments as possible when the request is made. Therefore, the client needs to re-request if all fragments are not initially returned. Trying to display the results directly in a browser without using an `XMLHttpRequest` can result in errors related to non well-formed XML. The response data is returned in the following format with a content type of `text/xml`:

```
<div id="2"><BR>Servlet 3--dispatcher3 requesting Servlet3 to sleep for 0 seconds at: 1187967704265  
<BR> Servlet 3--Okay, all done! This should print pop up: third at: 1187967704281 </div>
```

For additional information about the `AsyncRequestDispatcherConfig` and the `AsyncRequestDispatcher` interfaces, review the `com.ibm.websphere.webcontainer.async` package in the application programming interfaces (API) documentation. The generated API documentation is available in the information center table of contents from the path **Reference > APIs - Application Programming Interfaces**.

### Server-side aggregation

Like client-side aggregation, server-side aggregation uses the ARD channel as a results service. The ARD channel knows which asynchronous includes have occurred for certain set of buffers. Those buffers can then be searched for an include placeholder. Because of the issues of JSP buffering, the placeholder for the include might not be in the searched buffers. If this occurs, the next set of buffers must also look for any include placeholders missed in the previous set. ARD attempts to iteratively aggregate as includes return so that response content can be sent to the client as soon as possible.

### Asynchronous beans

An `AsynchBeans` work manager is used to start the includes. If the number of currently requested includes is greater than the work manager maximum thread pool size and this size is not growable, it starts the work on the current thread and skips the placeholder write. Utilizing `AsynchBeans` supports propagation of the J2EE context of the original thread including work area, internationalization, application profile, z/OS operation system work load management, security, transaction, and connection context.

## Timer

A single timer is used for ARD and timer tasks are created for all the timeout types of ARD requests. Tasks registered with the timer are not guaranteed to run at the exact time specified because the timer runs on a single thread, therefore one timeout might have to wait for the other timeout actions to complete. The timer is used as a last resort.

## Remote request dispatcher

Optionally, ARD can be used in concert with the remote request dispatcher. The remote request dispatcher was introduced in WebSphere Application Server, Network Deployment 6.1. The remote request dispatcher runs the include on a different application server in a core group by serializing the request context into a SOAP message and using web services to call the remote server. This is useful when the expense of creating and sending a SOAP message through web services is outweighed by issuing the request locally. For more information, see the IBM WebSphere Developer Technical Journal: Include remote files in your web application seamlessly with the new Remote Request Dispatcher developerWorks article.

## Exceptions

In the case of an exception in an included servlet, the web container goes through the error page definitions mapped to exception types. So an error page defined in the deployment descriptor shows up as a portion of the aggregated page. Insert logic into the error page itself if behavior is different for an include. Because the include runs asynchronously, there is no guarantee that the top level servlet is still in service, therefore the exception is not propagated back from an asynchronous include like a normal include. Other includes finish so that partial pages can be displayed.

If the ARD work manager runs out of worker threads, the include is processed like a synchronous include. This is the default setting, but the work manager can also grow such that it does not result in this condition. This change in processing is invisible to the user during processing but is noted once in the system logs as a warning message and the rest of the time in the trace logs when enabled. Other states that can trigger the include to occur synchronously are reaching the maximum percentage of expired requests over a time interval and reaching the maximum size of the results store.

There are cases where exceptions happen outside of the scope of normal error page handling. For example, work can be rejected by the work manager. A timer can expire waiting for an include response to return. The ARD channel, acting as a generic service to retrieve the results, might receive an ID that is not valid. In these cases, there is no path to the error page handling because the context is missing, such as `ServletRequest`, `ServletResponse`, and `ServletContext`, for the request to work. To mitigate these issues, you can use the `AsyncRequestDispatcherConfig` interface to provide custom error messages. Defaults are provided and internationalized as needed.

Exceptions can also occur outside the scope of the request the custom configuration was set on, such as on the subsequent client-side `XMLHttpRequests`. In this case, the global configuration must be altered. This can be retrieved through `com.ibm.wsspi.ard.AsyncRequestDispatcherConfigImpl.getRef()`.

### Include start

The work manager provides a timeout for how long to wait for an include to start. Since this typically happens immediately, there is not a programmatic way to enable this. However, this is configurable in the work manager settings. By default, you will not encounter this because of the maximum thread check before scheduling the work. Work can be retried if `setRetriable(true)` is called on the in use `AsyncRequestDispatcherConfig`.

### Include finish

The initiated timeout starts after the work is accepted. It can be configured through the console or programmatically through the `AsyncRequestDispatcherConfig.setExecutionTimeoutOverride` method; The default value is 60000 ms, or one minute. In place of the include results, the message from the `AsyncRequestDispatcherConfig.setExecutionTimeoutMessage` is sent. If this

initiated timeout is reached, but the actual include results are ready when the data can be flushed, preference is given to the actual results. Also, this does not apply to `insertFragmentBlocking` calls which always wait until the include is completed.

### **Expiration of results**

Since the client-side has to hold the results in a service to send for the Ajax request, we want a way to expire the results if the client goes down and never retrieves the entry. The default of a minute is sufficient for a typical request because the Ajax request would come in immediately after sending the response. The timer can be configured programmatically via the `setExpirationTimeoutOverride` method of `AsyncRequestDispatcherConfig`. The message from the `getOutputRetrievalFailureMessage` method of `AsyncRequestDispatcherConfig` is displayed when someone tries to access an entry that has expired and been removed from cache. This message is the same message that is sent to someone requesting a result with an ID that never existed.

### **Includes versus fragments**

Consider which operations can be done asynchronously and when they can start. Ideally, all the includes are completed when the `getFragment` calls are made at the beginning of the request so that the includes can have more time to complete, and upon inserting the fragments, there would be less extra buffering and aggregating if they have completed. However, simply calling an asynchronous include is easier because it follows the same pattern as a normal request dispatcher include.

### **Web container**

#### **ServletContext**

When doing cross-context includes, the context that is a target of the include must also have ARD enabled because the web application must have been initialized for ARD for its servlet context to have valid methods to retrieve an `AsyncRequestDispatcher`. The aggregation type is determined by the original context's configuration because you cannot mix aggregation types.

#### **ServletRequest**

You must clone the request for each include. Otherwise, conflicts between threads might occur. Because applications can wrap the default request objects, your wrappers must implement the `com.ibm.wsspi.webcontainer.servlet.IServletRequest` interface, which has one method, the public `Object clone` method, which creates the `CloneNotSupportedException`.

Unwrapping occurs until a request wrapper that implements this interface is found.

Non-implementing wrappers are lost; however, a servlet filter configured for the include can rewrap the response.

Changes made to the `ServletRequest` are not propagated back to the top level servlet unless `transferState` on the `AsyncRequestDispatcherConfig` is enabled and `insertFragmentBlocking` is called.

#### **ServletResponse**

A wrapped response extending `com.ibm.websphere.servlet.response.StoredResponse` is created by ARD and sent to the includes because the response output must be retrievable beyond the lifecycle of the original response.

Internal headers set in asynchronous includes are not supported due to lifecycle restrictions unless `transferState` on the `AsyncRequestDispatcher` config is enabled and `insertFragmentBlocking` is called. Normal headers are not supported in a synchronous include as specified by the servlet specification.

Include filters can rewrap the new response and must flush upon completion.

#### **ServletInputStream**

An application reading parameters using `getParameter` is not problematic. Parsing of parameters is forced before the first asynchronous include to prevent concurrent access to the input stream.

## HttpSession

Initial getSession calls that result in a Set-Cookie header must be called from the top level servlet because it is unpredictable when the includes are started and if the headers have already been flushed. The exception is when transferState on the AsyncRequestDispatcherConfig is enabled and an insertFragmentBlocking is called. This normally creates an exception when you add the header.

**Filters** If there is a filter for an include, the filter is issued on the asynchronous thread.

## Nested asynchronous includes

Nested asynchronous includes are not supported because they complicate aggregation. However, an asynchronous include can have nested synchronous includes. Any attempt to perform a nested asynchronous include reverts back to a synchronous include.

## Transactions

Every asynchronous bean method is called using its own transaction, much like container-managed transactions in typical enterprise beans. The runtime starts a local transaction before invoking the method. The asynchronous bean method can start its own global transaction if this transaction is possible for the calling J2EE component.

If the asynchronous bean method creates an exception, any local transactions are rolled back. If the method returns normally, any incomplete local transactions are completed according to the unresolved action policy configured for the bean. If the asynchronous bean method starts its own global transaction and does not commit this global transaction, the transaction is rolled back when the method returns.

## Connection management

An asynchronous bean method can use the connections that its creating servlet obtained using java:comp resource references. However, the bean method must access those connections using a get, use or close pattern. There is no connection caching between method calls on an asynchronous bean. The connection factories or data sources can be cached, but the connections must be retrieved on every method call, used, and then closed. While the asynchronous bean method can look up connection factories using a global Java Naming and Directory Interface (JNDI) name, this is not recommended for the following reasons:

- The JNDI name is hard coded in the application, for example, as a property or string literal.
- The connection factories are not shared because there is no way to specify a sharing scope.

Evaluate high load scenarios because asynchronous includes might increase the number of threads waiting on the connection.

## Performance

Because includes are completed asynchronously, the total performance data for a request must take into consideration the performance of the asynchronous includes. The total time of the request could previously be understood by the time for the top level servlet to complete, but now that servlet is exiting before the includes are completed. The top level servlet still accounts for much of the additional setup time required for each include.

Therefore, a new ARD performance metric was added to the Performance Monitoring Infrastructure to measure the time for a complete request through the ARD channel. The granularity of these metrics is at the request URI level.

Since ARD is an optional feature that has to be enabled, no performance decline is seen when not utilizing ARD. However, non-ARD applications that reside on an ARD-enabled application server would suffer from the extra layer of the ARDChannel. The channel layer does not know to which application it is going so it is either on or off for all applications in a channel chain. These are defined per virtual host.

## Security

Security is not invoked on synchronous include dispatches according to the servlet specification. However, security context is passed along through AsynchBeans to support programmatic usage of the `isUserRole` and `getUserPrincipal` methods on the `ServletRequest`. This security context can also be propagated across to a remote request dispatch utilizing Web Services Security.

---

## Sessions

A session is a series of requests to a servlet, originating from the same user at the same browser.

Sessions allow applications running in a web container to keep track of individual users.

For example, a servlet might use sessions to provide "shopping carts" to online shoppers. Suppose the servlet is designed to record the items each shopper indicates he or she wants to purchase from the website. It is important that the servlet be able to associate incoming requests with particular shoppers. Otherwise, the servlet might mistakenly add Shopper\_1's choices to the cart of Shopper\_2.

A servlet distinguishes users by their unique session IDs. The session ID arrives with each request. If the user's browser is cookie-enabled, the session ID is stored as a cookie. As an alternative, the session ID can be conveyed to the servlet by URL rewriting, in which the session ID is appended to the URL of the servlet or JavaServer Pages (JSP) file from which the user is making requests. For requests over HTTPS or Secure Sockets Layer (SSL), another alternative is to use SSL information to identify the session. Session tracking using the SSL ID is deprecated in WebSphere Application Server version 7.0. You can configure session tracking to use cookies or modify the application to use URL rewriting.

## Session management support

WebSphere Application Server provides facilities, grouped under the heading *Session Management*, that support the `javax.servlet.http.HttpSession` interface described in the Servlet API specification.

In accordance with the Servlet 2.3 API specification, the session management facility supports session scoping by web modules. Only servlets in the same web module can access the data associated with a particular session. Multiple requests from the same browser, each specifying a unique web application, result in multiple sessions with a shared session ID. You can invalidate any of the sessions that share a session ID without affecting the other sessions.

You can configure a session timeout for each web application. A web application timeout value of 0 (the default value) means that the invalidation timeout value from the session management facility is used.

When an HTTP client interacts with a servlet, the state information associated with a series of client requests is represented as an HTTP session and identified by a session ID. Session management is responsible for managing HTTP sessions, providing storage for session data, allocating session IDs, and tracking the session ID associated with each client request through the use of cookies or URL rewriting techniques. Session management can store session-related information in several ways:

- In application server memory (the default). This information cannot be shared with other application servers.
- In a database. This storage option is known as *database persistent sessions*.
- In another WebSphere Application Server instance. This storage option is known as *memory-to-memory sessions*.

The last two options are referred to as *distributed sessions*. Distributed sessions are essential for using HTTP sessions for the failover facility. When an application server receives a request associated with a session ID that it currently does not have in memory, it can obtain the required session state by accessing the external store (database or memory-to-memory). If distributed session support is not enabled, an application server cannot access session information for HTTP requests that are sent to servers other than

the one where the session was originally created. Session management implements caching optimizations to minimize the overhead of accessing the external store, especially when consecutive requests are routed to the same application server.

Storing session states in an external store also provides a degree of fault tolerance. If an application server goes offline, the state of its current sessions is still available in the external store. This availability enables other application servers to continue processing subsequent client requests associated with that session.

Saving session states to an external location does not completely guarantee their preservation in case of a server failure. For example, if a server fails while it is modifying the state of a session, some information is lost and subsequent processing using that session can be affected. However, this situation represents a very small period of time when there is a risk of losing session information.

The drawback to saving session states in an external store is that accessing the session state in an external location can use valuable system resources. Session management can improve system performance by caching the session data at the server level. Multiple consecutive requests that are directed to the same server can find the required state data in the cache, reducing the number of times that the actual session state is accessed in external store and consequently reducing the overhead associated with external location access.

**Note:** Session management configuration is a post-deployment configuration and is tied to existing targets. If you change the target mapping after you configure session management, you must return to the session management configuration page in the administrative console or use wsadmin scripting and apply the changes. Apply the changes to module targets if session management is configured for a web module. Apply the changes to all targets if session management is configured for an application level.

## Distributed sessions

In a distributed environment, you can save sessions in a database using database session persistence or you can store sessions in multiple WebSphere Application Server instances using memory-to-memory session replication.

WebSphere Application Server provides the following session mechanisms in a distributed environment:

- **Database session persistence**, where sessions are stored in the database specified.
- **Memory-to-memory session replication**, where sessions are stored in one or more specified WebSphere Application Server instances or profiles.

When a session contains attributes that implement `HttpSessionActivationListener`, notification occurs anytime the session is activated (that is, session is read to the memory cache) or passivated (that is, session leaves the memory cache). Passivation can occur because of a server shutdown or when the session memory cache is full and an older session is removed from the memory cache to make room for a newer session. It is not guaranteed that a session is passivated in one application server prior to activation in another application.

## Memory-to-memory replication

*Memory-to-memory session replication* is the session replication to another WebSphere Application Server. In this mode, sessions can replicate to one or more Application Servers to address HTTP Session single point of failure (SPOF).

The WebSphere Application Server instance in which the session is currently processed is referred to as the *owner of the session*. In a clustered environment, session affinity in the WebSphere Application Server plug-in routes the requests for a given session to the same server. If the current owner server instance of the session fails, then the WebSphere Application Server plug-in routes the requests to another appropriate server in the cluster. In a peer-to-peer cluster, the hot failover feature causes the plug-in to

failover to a server that already contains the backup copy of the session, avoiding the overhead of session retrieval from another server containing the backup. In a client/server cluster, the server retrieves the session from a server that has the backup copy of the session. The server now becomes the owner of the session and affinity is now maintained to this server.

There are three possible modes to run in:

- **Server mode:** Only store backup copies of other WebSphere Application Server sessions and not to send out copies of any session created in that particular server
- **Client mode:** Only broadcast or send out copies of the sessions it owns and not to receive backup copies of sessions from other servers
- **Both mode:** Simultaneously broadcast or send out copies of the sessions it owns and act as a backup table for sessions owned by other WebSphere Application Server instances.

You can select the replication mode of server, client, or both when configuring the session management facility for memory-to-memory replication. The default is both. This storage option is controlled by the mode parameter.

The memory-to-memory replication function is accomplished by the creation of a data replication service instance in an application server that talks to other data replication service instances in remote application servers. You must configure this data replication service instance as a part of a replication domain. Data replication service instances on disparate application servers that replicate to one another must be configured as a part of the same domain. You must configure all session managers connected to a replication domain to have the same topology. If one session manager instance in a domain is configured to use the client/server topology, then the rest of the session manager instances in that domain must be a combination of servers configured as Client only and Server only. If one session manager instance is configured to use the peer-to-peer topology, then all session manager instances must be configured as Both client and server. For example, a server only data replication service instance and a both client and server data replication service instance cannot exist in the same replication domain. Multiple data replication service instances that exist on the same application server due to session manager memory-to-memory configuration at various levels that are configured to be part of the same domain must have the same mode.

With respect to mode, the following are the primary examples of memory-to-memory replication configuration:

- Peer-to-peer replication
- Client/server replication

Although the administrative console allows flexibility and additional possibilities for memory-to-memory replication configuration, only the configurations provided above are officially supported.

There is a single replica in a cluster by default. You can modify the number of replicas through the replication domain.

### **Memory-to-memory topology: Peer-to-peer function**

The basic peer-to-peer (both client and server function, or both mode) topology is the default configuration and has a single replica. However, you can also add additional replicas by configuring the replication domain.

In this basic peer-to-peer topology, each server Java Virtual Machine (JVM) can:

- Host the web application leveraging the HTTP session
- Send out changes to the HTTP session that it owns
- Receive backup copies of the HTTP session from all of the other servers in the cluster

This configuration represents the most consolidated topology, where the various system parts are collocated and requires the fewest server processes. When using this configuration, the most stable implementation is achieved when each node has equal capabilities (CPU, memory, and so on), and each handles the same amount of work.

It is also important to note that when using the peer-to-peer topology, replication must be possible within the replication domain for session data access and invalidation to occur properly. There must be 2 or more cluster members up at all times for a given replication domain. For example, if you have a cluster of 2 application servers, server1 and server2, that are both configured in the peer-to-peer mode and server2 fails. All of backup information for server1 is lost and replication is no longer possible.

### **Session hot failover**

A new feature called session hot failover has been added to this release. This feature is only applicable to the peer-to-peer mode. In a clustered environment, session affinity in the WebSphere Application Server plug-in routes the requests for a given session to the same server. If the current owner server instance of the session fails, then the WebSphere Application Server plug-in routes the requests to another appropriate server in the cluster. For a cluster configured to run in the peer-to-peer mode this feature causes the plug-in to failover to a server that already contains the backup copy of the session, therefore avoiding the overhead of session retrieval from another server containing the backup. However, hot failover is specifically for servant region failures. When an entire server, meaning both controller and server fail, sessions may have to be retrieved over the network.

You must upgrade all WebSphere Application Server plug-in instances that front the Application Server cluster to version 6.0 to ensure session affinity when using the peer-to-peer mode.

### **Memory-to-memory topology: Client/server function**

The client/server configuration, used to attain session affinity, consists of a cluster of servers that are configured as client only and server only. Using the client/server configuration has benefits such as isolating the handling of backup data from local data, recycling backup servers without affecting the servers running the application, and removing the need for a one-to-one correspondence between servers to attain session affinity.

The following figure depicts the client/server mode. There is a tier of applications servers that host web applications using HTTP sessions, and these sessions are replicated out as they are created and updated. There is a second tier of servers without a web application installed, where the session manager receives updates from the replication clients.

Benefits of the client/server configuration include:

#### **Isolation for failure recovery**

In this case we are isolating the handling of backup data from local data; aside from isolating the moving parts in case of a catastrophic failure in one of them, you again free up memory and processing in the servers processing the web application.

#### **Isolation for stopping and starting**

You can recycle a backup server without affecting the servers running the application (when there are two or more backups, failure recovery is possible), and conversely recycle an application JVM without potentially losing that backup data for someone.

#### **Consolidation**

There is most likely no need to have a one-to-one correspondence between servers handling backups and those processing the applications; hence, you are again reducing the number of places to which you transfer the data.



**Disparate hardware:**

While you run your web applications on cheaper hardware, you may have one or two more powerful computers in the back end of your enterprise that have the capacity to run a couple of session managers in replication server mode; allowing you to free up your cheaper web application hardware to process the web application.

**Timing consideration:** Start the backup application servers first to avoid unexpected timing windows. The clients attempt to replicate information and HTTP sessions to the backup servers as soon as they come up. As a result, HTTP sessions that are created prior to the time at which the servers come up might not replicate successfully.

## Memory-to-memory session partitioning

Session partitioning gives the administrator the ability to filter or reduce the number of destinations that the session object gets sent to by the replication service. You can also configure session partitioning by specifying the number of replicas on the replication domain. The Single replica option is chosen by default. Since the number of replicas is global for the entire replication domain, all the session managers connected to the replication domain use the same setting.

**Single replica**

You can replicate a session to only one other server, creating a single replica. When this option is chosen, a session manager picks another session manager that is connected to the same replication domain to replicate the HTTP session to during session creation. All updates to the session are only replicated to that single server. This option is set at the replication domain level. When this option is set, every session manager connected to this replication domain creates a single backup copy of HTTP session state information on a backup server.

**Full group replica**

Each object is replicated to every application server that is configured as a consumer of the replication domain. However, in the peer-to-peer mode, this topology is the most redundant because everyone replicates to everyone and as you add servers, more overhead (both CPU and memory) is needed to deal with replication. This mode is most useful for dynamic caching replication. Redundancy does not affect the client/server mode because clients only replicate to servers that are set to server mode.

**Specific number of replicas**

You can specify a specific number of replicas for any entry that is created in the replication domain. The number of replicas is the number of application servers that the user wants to use to replicate in the domain. This option eliminates redundancy that occurs in a full group replica and also provides additional backup than a single replica. In peer-to-peer mode, the number of replicas cannot exceed the total number of application servers in the cluster. In the client/server mode, the number of replicas cannot exceed the total number of application servers in the cluster that are set to server mode.

## Clustered session support

A clustered environment supports load balancing, where the workload is distributed among the application servers that compose the cluster.

If one of the servers in the cluster fails, it is possible for the request to reroute to another server in the cluster. If you enable distributed sessions support, the new server can access session data from the database or another instance of the application server. You can retrieve the session data only if a new server has access to an external location from which it can retrieve the session.

In a clustered environment:

- The same web application must exist on each of the servers that can access the session. You can accomplish this setup by installing an application onto a cluster definition, so each of the servers in the group can then access the web application.

- The session management facility requires an affinity mechanism so that all requests for a particular session are directed to the same application server instance in the cluster. This requirement conforms to the Servlet 2.3 specification in that multiple requests for a session cannot coexist in multiple application servers.

The solution that is provided by IBM WebSphere Application Server is establishing *session affinity* in a cluster; this solution is available as part of the application server's plug-ins for web servers. It also provides for better performance because the sessions are cached in memory. In clustered environments other than WebSphere Application Server clusters, you must use an affinity mechanism, such as IBM WebSphere Edge Server affinity.

- One cluster member in a cluster will be randomly chosen to act as the invalidator for the entire cluster. This means that the cluster member that is selected as the invalidator will be the one to invalidate the session, regardless of the session in which that cluster member is created.

## Scheduled invalidation

Instead of relying on the periodic invalidation timer that runs on an interval based on the session timeout parameter, you can set specific times for the session management facility to scan for invalidated sessions in a distributed environment.

When used with distributed sessions, this feature has the following benefits:

- You can schedule the scan for invalidated sessions for times of low application server activity, avoiding contention between invalidation scans of database or another WebSphere Application Server instance and read and write operations to service HTTP session requests.
- Significantly fewer external write operations can occur when running with the End of Service Method Write mode because the last access time of the session does not need to be written out on each HTTP request. (Manual Update options and Time Based Write options already minimize the writing of the last access time.)

### Usage considerations

- The session manager invalidates sessions only at the scheduled time, therefore sessions are available to an application if they are requested before the session is invalidated.
- With scheduled invalidation configured, HttpSession timeouts are not strictly enforced. Instead, all invalidation processing is handled at the configured invalidation times.
- HttpSessionBindingListener processing is handled at the configured invalidation times unless the HttpSession.invalidate method is explicitly called.
- The HttpSession.invalidate method immediately invalidates the session from both the session cache and the external store.
- The periodic invalidation thread still runs with scheduled invalidation. If the current hour of the day does not match one of the configured hours, sessions that have exceeded the invalidation interval are removed from cache, but not from the external store. Another request for that session results in returning that session back into the cache.
- When the periodic invalidation thread runs during one of the configured hours, all sessions that have exceeded the invalidation interval are invalidated by removal from both the cache and the external store.
- The periodic invalidation thread can run more than once during an hour and does not necessarily run exactly at the top of the hour.
- If you specify the interval for the periodic invalidation thread using the HttpSessionReaperPollInterval custom property, do not specify a value of more than 3600 seconds (1 hour) to ensure that invalidation processing happens at least once during each hour.

## Base in-memory session pool size

The base in-memory session pool size number depends on the session support configuration.

- With in-memory sessions, session access is optimized for up to this number of sessions.

- With distributed sessions, when sessions are stored in a database or in another WebSphere Application Server instance,; the pool size also specifies the cache size and the number of last access time updates saved in manual update mode.

For distributed sessions, when the session cache has reached its maximum size and a new session is requested, the Session Management facility removes the least recently used session from the cache to make room for the new one.

General memory requirements for the hardware system, and the usage characteristics of the e-business site, determines the optimum value.

Note that increasing the base in-memory session pool size can necessitate increasing the heap sizes of the Java processes for the corresponding WebSphere Application Servers.

## Overflow in non-distributed sessions

By default, the number of sessions maintained in memory is specified by base in-memory session pool size. If you do not wish to place a limit on the number of sessions maintained in memory and allow overflow, set `overflow` to `true`.

Allowing an unlimited amount of sessions can potentially exhaust system memory and even allow for system sabotage. Someone could write a malicious program that continually hits your site and creates sessions, but ignores any cookies or encoded URLs and never utilizes the same session from one HTTP request to the next.

When overflow is disallowed, the Session Management facility still returns a session with the `HttpServletRequest getSession(true)` method when the memory limit is reached, and this is an invalid session that is not saved.

With the WebSphere Application Server extension to `HttpSession`, `com.ibm.websphere.servlet.session.IBMSession`, an `isOverflow` method returns `true` if the session is such an invalid session. An application can check this status and react accordingly.

## HTTP session invalidation

HTTP sessions are invalidated by calling the `invalidate` method on the session object or by specifying a specific time interval using the `MaxInactiveInterval` property.

Sessions that are invalidated explicitly by application code are invalidated immediately. Sessions that are not invalidated by application code are invalidated by the session manager. Session invalidation occurs regardless of session persistence configuration.

A session is a candidate for invalidation if it has not been accessed for a period that is longer than the specified session timeout, specified by the `MaxInactiveInterval` value. The session manager has an invalidation process thread that runs every X seconds to invalidate sessions that are eligible for invalidation.

The session manager uses a formula to determine the value of X, specified by the `ReaperInterval` property. The value of X is calculated based on the `MaxInactiveInterval` value that is specified in the session manager.

For example, for a maximum inactive interval less than 15 minutes, the `ReaperInterval` value is approximately 60 to 90 seconds. For a maximum inactive interval greater than 15 minutes, the `ReaperInterval` value is approximately 300 to 360 seconds.

A session is invalidated when the `MaxInactiveInterval` is exceeded and the `ReaperInterval` passes. After a session is eligible for invalidation, the invalidation thread must run for the session to be invalidated. Therefore, a session might not be invalidated for the sum of the `MaxInactiveInterval` and `ReaperInterval` value in seconds.

A session that has exceeded the `MaxInactiveInterval` but is not yet removed by the invalidation thread is still available for use. If that session is requested then it is returned to the client.

You can specify whether the session is invalidated immediately or after a specified time interval. For immediate invalidation the application should call the `invalidate` method. To invalidate a session at a specific time, you can set the `ReaperInterval` web container custom property in seconds to specify the frequency of the invalidation thread.

## Write operations

You can manually control when modified session data is written out to the database or to another WebSphere Application Server instance by using the `sync` method in the `com.ibm.websphere.servlet.session.IBMSession` interface. The manual update, end of service servlet and the time based write frequency modes are available to tune write frequency of session data.

This interface extends the `javax.servlet.http.HttpSession` interface. By calling the `sync` method from the service method of a servlet, you send any changes in the session to the external location. When manual update is selected as the write frequency mode, session data changes are written to an external location only if the application calls the `sync` method. If the `sync` method is not called, session data changes are lost when a session object leaves the server cache. When end of service servlet or time based is the write frequency mode, the session data changes are written out whenever the `sync` method is called. If the `sync` method is not called, changes are written out at the end of service method or on a time interval basis based on the write frequency mode that is selected.

```
IBMSession iSession = (IBMSession) request.getSession();
iSession.setAttribute("name", "Bob");

//force write to external store
iSession.sync( )
```

If the database is down or is having difficulty connecting during an update to session values, the `sync` method always makes three attempts before it finally creates a `BackedHashtable.getConnectionError` error. For each connection attempt that fails, the `BackedHashtable.StaleConnectionException` is created and can be found in the `sync` method. If the database opens during any of these three attempts, the session data in the memory is then persisted and committed to the database.

However, if the database is still not up after the three attempts, then the session data in the memory is persisted only after the next check for session invalidation. Session invalidation is checked by a separate thread that is triggered every five minutes. The data in memory is consistent unless a request for session data is issued to the server between these events. For example, if the request for session data is issued within five minutes, then the previous persisted session data is sent.

Sessions are not transactional resources. Because the `sync` method is associated with a separate thread than the client, the exception that is created does not propagate to the client, which is running on the primary thread. Transactional integrity of data can be maintained through resources such as enterprise beans.

## HTTP sessions: Resources for learning

Use the following links to find relevant supplemental information about HTTP sessions. The information resides on IBM and non-IBM Internet sites, whose sponsors control the technical accuracy of the information.

These links are provided for convenience. Often, the information is not specific to the WebSphere Application Server product, but is useful all or in part for understanding the product. When possible, links are provided to technical papers and Redbooks that supplement the broad coverage of the release documentation with in-depth examinations of particular product areas.

View links to additional information about:

### **Programming model and decisions**

- Improving session persistence performance with DB2

### **Programming instructions and examples**

- Java Servlet documentation, tutorials, and examples site

### **Programming specifications**

- Java Servlet 2.4 API specification download site
- J2EE 1.4 specification download site

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## **Asynchronous request dispatcher**

### **Asynchronous request dispatcher**

Asynchronous request dispatcher (ARD) can improve Servlet response time when slow operations can be logically separated and performed concurrently with other operations required to complete the response. ARD enables Java™ servlet programmers to perform standard `javax.servlet.RequestDispatcher` include calls for the same request concurrently on separate threads. These `javax.servlet.RequestDispatcher` include calls are completed sequentially on the same thread. ARD is also useful in low CPU, long wait situations like waiting for a database connection.

If there are large CPU or memory requirements, ARD alone does not alleviate those issues. However, in combination with the remote request dispatcher, operations driven by one servlet request that can be performed concurrently on multiple application servers, alleviating resource demand on a single server and decreasing the risk of a system down situation.

Servlets, portlets, and JavaServer Pages (JSP) files can all utilize ARD. This functionality is an extension beyond the requirements of the Java Servlet Specification, which only describes synchronous request dispatching. ARD requires a new channel, called the ARD channel, between the HTTP and web container channels to form a new channel chain. These new chains correspond only to the existing default host chains and reuse the same ports.

Each include can write output to the client and because ordering is important for valid results, there must be some aggregation of the data written. Typically, a servlet writes data to a buffer and once full, it is flushed to client. For server-side aggregation, the ARD channel cannot flush until any includes that had placeholders written to the current buffer are finished.

Client-side aggregation of the asynchronous include is also supported. Web 2.0 programmers often use Asynchronous JavaScript and XML (Ajax) in the Web browser of the client to dynamically retrieve and aggregate remote resources. Unfortunately, this puts the burden on the programmer to aggregate the contents and learn new technologies. Client-side aggregation automatically adds the necessary JavaScript to dynamically update the page. For non-JavaScript clients, you can switch ARD to server-side aggregation, which gives equivalent results. You can deny non-JavaScript clients when using client-side aggregation.

ARD uses the web container APIs to plug in unique request dispatching logic. It interacts with WCCM to read in configuration information for enablement status per enterprise application as well as a global appserver setting. You can use the administrative console and wsAdmin to enable or disable ARD. Servlets, portlets, and JSP files can all utilize ARD.

## Asynchronous request dispatcher application design considerations

Asynchronous request dispatcher (ARD) is not a one-size-fits-all solution to servlet programming. You must evaluate the needs of your application and the caveats of using ARD. Switching all includes to start asynchronously is not the solution for every scenario, but when used wisely, ARD can increase response time. This article contains important details about the ARD implementation and issues to consider when you design an application that leverages ARD.

## Asynchronous request dispatcher client-side implementation

- JavaScript is dynamically written to the response output.
- This JavaScript results in Ajax requests back to a server-side results provider.
- Because of the Asynchronous Input/Output (AIO) features of the channel, the Ajax request does not tie up a thread and instead is notified for completion through an include callback.
- The client only makes one request at a time for the asynchronous includes because of browser limitations in the number of connections.
- Original connection has to be valid for the lifetime of the includes. It cannot be reused for the Ajax requests.

- Comment nodes, such as following,

```
<!--uniquePlaceholderID--><!--1-->
```

are placed in the browser object model since comment nodes have no effect on the page layout.

- Whenever a complete fragment exists, a response can be sent to the client and the comment node with the same ID is replaced. Requests are made until all the fragments are retrieved.
- Verify applications on all supported browsers when using client-side aggregation. Object oriented JavaScript principles are used so that applications only need avoid using the method name `getDynamicDataIBMARD`. Any previously specified `window.onload` is started before the ARD `onload` method.

## Asynchronous request dispatcher channel results service

Requests for include data from the asynchronous JavaScript code are sent to known Uniform Resource Identifiers, URIs also known as URLs, that the ARD channel can intercept to prevent traveling through web container request handling. These URIs are unique for the each server restart.

For example, `/IBMARD01234567/asyncInclude.js` is the URI for the JavaScript that forces the retrieval of the results, and `/IBMARD01234567/IBMARDQueryStringEntries?=12000` is used to retrieve the results for the entry with ID 12000.

To prevent unauthorized results access, unique IDs are generated for the service URI and for the ARD entries. A common ID generator is shared among the session and ARD, so uniqueness is configurable through session configuration. Session IDs are considered secure, but they are not as secure as using a Lightweight Third-Party Authentication (LTPA) token.

## Custom client-side aggregation

If you want to perform your own client-side aggregation, the `isUseDefaultJavascript` method must return as `false`. The `isUseDefaultJavascript` method is part of the `AsyncRequestDispatcherConfig` method, which is set on the `AsyncRequestDispatcher` or for the `AsyncRequestDispatcherConfigImpl.getRef` method. The `AsyncRequestDispatcherConfigImpl.getRef` method is the global configuration object. You might want to perform your own client-side aggregation if the back button functionality is problematic. You must remove the results from the generic results service to prevent memory leaks, so that multiple requests with the same response results through an `XMLHttpRequest` fail. To facilitate proper location of position, placeholders are still written in the code as

```
<!--uniquePlaceholderID--><!--x-->
```

where *x* is the order of the includes. The endpoint to retrieve results are retrieved from the request attribute `com.ibm.websphere.webcontainer.ard.endpointURI`.

When making a request to the endpoint, ARD sends as many response fragments as possible when the request is made. Therefore, the client needs to re-request if all fragments are not initially returned. Trying to display the results directly in a browser without using an XMLHttpRequest can result in errors related to non well-formed XML. The response data is returned in the following format with a content type of `text/xml`:

```
<div id="2"><BR>Servlet 3--dispatcher3 requesting Servlet3 to sleep for 0 seconds at: 1187967704265
<BR> Servlet 3--okay, all done! This should print pop up: third at: 1187967704281 </div>
```

For additional information about the `AsyncRequestDispatcherConfig` and the `AsyncRequestDispatcher` interfaces, review the `com.ibm.websphere.webcontainer.async` package in the application programming interfaces (API) documentation. The generated API documentation is available in the information center table of contents from the path **Reference > APIs - Application Programming Interfaces**.

## Server-side aggregation

Like client-side aggregation, server-side aggregation uses the ARD channel as a results service. The ARD channel knows which asynchronous includes have occurred for certain set of buffers. Those buffers can then be searched for an include placeholder. Because of the issues of JSP buffering, the placeholder for the include might not be in the searched buffers. If this occurs, the next set of buffers must also look for any include placeholders missed in the previous set. ARD attempts to iteratively aggregate as includes return so that response content can be sent to the client as soon as possible.

## Asynchronous beans

An `AsynchBeans` work manager is used to start the includes. If the number of currently requested includes is greater than the work manager maximum thread pool size and this size is not growable, it starts the work on the current thread and skips the placeholder write. Utilizing `AsynchBeans` supports propagation of the J2EE context of the original thread including work area, internationalization, application profile, z/OS operation system work load management, security, transaction, and connection context.

## Timer

A single timer is used for ARD and timer tasks are created for all the timeout types of ARD requests. Tasks registered with the timer are not guaranteed to run at the exact time specified because the timer runs on a single thread, therefore one timeout might have to wait for the other timeout actions to complete. The timer is used as a last resort.

## Remote request dispatcher

Optionally, ARD can be used in concert with the remote request dispatcher. The remote request dispatcher was introduced in WebSphere Application Server, Network Deployment 6.1. The remote request dispatcher runs the include on a different application server in a core group by serializing the request context into a SOAP message and using web services to call the remote server. This is useful when the expense of creating and sending a SOAP message through web services is outweighed by issuing the request locally. For more information, see the IBM WebSphere Developer Technical Journal: [Include remote files in your web application seamlessly with the new Remote Request Dispatcher developerWorks article](#).

## Exceptions

In the case of an exception in an included servlet, the web container goes through the error page definitions mapped to exception types. So an error page defined in the deployment descriptor shows up as a portion of the aggregated page. Insert logic into the error page itself if behavior is different for an include. Because the include runs asynchronously, there is no guarantee that the top level servlet is still in

service, therefore the exception is not propagated back from an asynchronous include like a normal include. Other includes finish so that partial pages can be displayed.

If the ARD work manager runs out of worker threads, the include is processed like a synchronous include. This is the default setting, but the work manager can also grow such that it does not result in this condition. This change in processing is invisible to the user during processing but is noted once in the system logs as a warning message and the rest of the time in the trace logs when enabled. Other states that can trigger the include to occur synchronously are reaching the maximum percentage of expired requests over a time interval and reaching the maximum size of the results store.

There are cases where exceptions happen outside of the scope of normal error page handling. For example, work can be rejected by the work manager. A timer can expire waiting for an include response to return. The ARD channel, acting as a generic service to retrieve the results, might receive an ID that is not valid. In these cases, there is no path to the error page handling because the context is missing, such as ServletRequest, ServletResponse, and ServletContext, for the request to work. To mitigate these issues, you can use the AsyncRequestDispatcherConfig interface to provide custom error messages. Defaults are provided and internationalized as needed.

Exceptions can also occur outside the scope of the request the custom configuration was set on, such as on the subsequent client-side XMLHttpRequests. In this case, the global configuration must be altered. This can be retrieved through `com.ibm.wsspi.ard.AsyncRequestDispatcherConfigImpl.getRef()`.

#### **Include start**

The work manager provides a timeout for how long to wait for an include to start. Since this typically happens immediately, there is not a programmatic way to enable this. However, this is configurable in the work manager settings. By default, you will not encounter this because of the maximum thread check before scheduling the work. Work can be retried if `setRetriable(true)` is called on the in use `AsyncRequestDispatcherConfig`.

#### **Include finish**

The initiated timeout starts after the work is accepted. It can be configured through the console or programmatically through the `AsyncRequestDispatcherConfig.setExecutionTimeoutOverride` method; The default value is 60000 ms, or one minute. In place of the include results, the message from the `AsyncRequestDispatcherConfig.setExecutionTimeoutMessage` is sent. If this initiated timeout is reached, but the actual include results are ready when the data can be flushed, preference is given to the actual results. Also, this does not apply to `insertFragmentBlocking` calls which always wait until the include is completed.

#### **Expiration of results**

Since the client-side has to hold the results in a service to send for the Ajax request, we want a way to expire the results if the client goes down and never retrieves the entry. The default of a minute is sufficient for a typical request because the Ajax request would come in immediately after sending the response. The timer can be configured programmatically via the `setExpirationTimeoutOverride` method of `AsyncRequestDispatcherConfig`. The message from the `getOutputRetrievalFailureMessage` method of `AsyncRequestDispatcherConfig` is displayed when someone tries to access an entry that has expired and been removed from cache. This message is the same message that is sent to someone requesting a result with an ID that never existed.

#### **Includes versus fragments**

Consider which operations can be done asynchronously and when they can start. Ideally, all the includes are completed when the `getFragment` calls are made at the beginning of the request so that the includes can have more time to complete, and upon inserting the fragments, there would be less extra buffering and aggregating if they have completed. However, simply calling an asynchronous include is easier because it follows the same pattern as a normal request dispatcher include.



## Web container

### ServletContext

When doing cross-context includes, the context that is a target of the include must also have ARD enabled because the web application must have been initialized for ARD for its servlet context to have valid methods to retrieve an `AsyncRequestDispatcher`. The aggregation type is determined by the original context's configuration because you cannot mix aggregation types.

### ServletRequest

You must clone the request for each include. Otherwise, conflicts between threads might occur. Because applications can wrap the default request objects, your wrappers must implement the `com.ibm.wsspi.webcontainer.servlet.IServletRequest` interface, which has one method, the public `Object clone` method, which creates the `CloneNotSupportedException`.

Unwrapping occurs until a request wrapper that implements this interface is found.

Non-implementing wrappers are lost; however, a servlet filter configured for the include can rewrap the response.

Changes made to the `ServletRequest` are not propagated back to the top level servlet unless `transferState` on the `AsyncRequestDispatcherConfig` is enabled and `insertFragmentBlocking` is called.

### ServletResponse

A wrapped response extending `com.ibm.websphere.servlet.response.StoredResponse` is created by ARD and sent to the includes because the response output must be retrievable beyond the lifecycle of the original response.

Internal headers set in asynchronous includes are not supported due to lifecycle restrictions unless `transferState` on the `AsyncRequestDispatcher` config is enabled and `insertFragmentBlocking` is called. Normal headers are not supported in a synchronous include as specified by the servlet specification.

Include filters can rewrap the new response and must flush upon completion.

### ServletInputStream

An application reading parameters using `getParameter` is not problematic. Parsing of parameters is forced before the first asynchronous include to prevent concurrent access to the input stream.

### HttpSession

Initial `getSession` calls that result in a `Set-Cookie` header must be called from the top level servlet because it is unpredictable when the includes are started and if the headers have already been flushed. The exception is when `transferState` on the `AsyncRequestDispatcherConfig` is enabled and an `insertFragmentBlocking` is called. This normally creates an exception when you add the header.

**Filters** If there is a filter for an include, the filter is issued on the asynchronous thread.

### Nested asynchronous includes

Nested asynchronous includes are not supported because they complicate aggregation. However, an asynchronous include can have nested synchronous includes. Any attempt to perform a nested asynchronous include reverts back to a synchronous include.

## Transactions

Every asynchronous bean method is called using its own transaction, much like container-managed transactions in typical enterprise beans. The runtime starts a local transaction before invoking the method. The asynchronous bean method can start its own global transaction if this transaction is possible for the calling J2EE component.

If the asynchronous bean method creates an exception, any local transactions are rolled back. If the method returns normally, any incomplete local transactions are completed according to the unresolved

action policy configured for the bean. If the asynchronous bean method starts its own global transaction and does not commit this global transaction, the transaction is rolled back when the method returns.

## Connection management

An asynchronous bean method can use the connections that its creating servlet obtained using `java:comp` resource references. However, the bean method must access those connections using a `get`, `use` or `close` pattern. There is no connection caching between method calls on an asynchronous bean. The connection factories or data sources can be cached, but the connections must be retrieved on every method call, used, and then closed. While the asynchronous bean method can look up connection factories using a global Java Naming and Directory Interface (JNDI) name, this is not recommended for the following reasons:

- The JNDI name is hard coded in the application, for example, as a property or string literal.
- The connection factories are not shared because there is no way to specify a sharing scope.

Evaluate high load scenarios because asynchronous includes might increase the number of threads waiting on the connection.

## Performance

Because includes are completed asynchronously, the total performance data for a request must take into consideration the performance of the asynchronous includes. The total time of the request could previously be understood by the time for the top level servlet to complete, but now that servlet is exiting before the includes are completed. The top level servlet still accounts for much of the additional setup time required for each include.

Therefore, a new ARD performance metric was added to the Performance Monitoring Infrastructure to measure the time for a complete request through the ARD channel. The granularity of these metrics is at the request URI level.

Since ARD is an optional feature that has to be enabled, no performance decline is seen when not utilizing ARD. However, non-ARD applications that reside on an ARD-enabled application server would suffer from the extra layer of the ARDChannel. The channel layer does not know to which application it is going so it is either on or off for all applications in a channel chain. These are defined per virtual host.

## Security

Security is not invoked on synchronous include dispatches according to the servlet specification. However, security context is passed along through `AsynchBeans` to support programmatic usage of the `isUserInRole` and `getUserPrincipal` methods on the `ServletRequest`. This security context can also be propagated across to a remote request dispatch utilizing Web Services Security.

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## Chapter 30. Web services

This page provides a starting point for finding information about web services.

Web services are self-contained, modular applications that can be described, published, located, and invoked over a network. They implement a services oriented architecture (SOA), which supports the connecting or sharing of resources and data in a very flexible and standardized manner. Services are described and organized to support their dynamic, automated discovery and reuse.

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### Overview: Online garden retailer web services scenarios

This set of scenarios is inspired by an online retailer called Plants by WebSphere. Plants by WebSphere uses web services support in WebSphere Application Server to improve communications with its suppliers. The more advanced scenarios describe web services support available only in particular editions of the application server. Consult the product documentation to confirm what is supported by your edition.

You might recognize Plants by WebSphere as a sample application available in the Samples section of the Information Center. These scenarios are loosely related. They describe how the fictional online retailer could use a variety of web services technologies, some of which are beyond those currently demonstrated by the sample.

Web services are middleware. Using web services you can connect applications together, no matter how each application is implemented or where it is located. For example, web services can connect retailers to wholesale suppliers. Middleware is not new. What is new in Web services is that this connectivity is based upon open standards and web technologies. Web services operate at a level of abstraction that is similar to the Internet, and they can work with any operating system, hardware platform, or programming language that can be Web-enabled.

The Plants by WebSphere storefront sells plants and gardening supplies. As customers order merchandise, the site checks the merchandise availability in its inventory database. The scenarios show how the inventory system can grow in stages, using various web services technologies to improve its capabilities.

- Before web services

The Plants by WebSphere application already has web services capabilities. See the following for a description of how the online garden retailer might have operated *prior* to adopting web services technology. Key web services components are introduced. To determine which components are available your particular editions of WebSphere Application Server, consult the documentation for each edition.

- Static inquiry on supplier

In this scenario, the garden retailer turns the existing web application into a web service for checking the inventory of its main wholesale garden supplier.

- Dynamic inquiry on supplier

In this scenario, the garden retailer uses web services to perform an inventory search of several wholesale suppliers.

- Cross supplier inquiry

In this scenario, the garden retailer makes its web service available for use by others who need the service.

At present, these scenarios provide descriptions rather than step by step instructions. To gain experience with web services coding, see the sample application. It provides detailed instructions for building, configuring, and running the Plants by WebSphere sample application and others.

## Before web services

Suppose that the Plants by WebSphere storefront does not use web services. The garden retailer has established an impressive Internet storefront enabling customers to shop and order merchandise. To determine whether a customer order can be filled, web applications rely on enterprise beans to query the Plants by WebSphere inventory database. If the item is in stock, the site confirms the order to the customer.

If a customer orders an item that is out of stock, the site notifies the customer that the item is out of stock, and encourages the customer to place the item on backorder. Later, long after the customer has left the Plants by WebSphere site, the site administrator or inventory manager might call or fax the supplier to obtain more inventory.

## Introducing web services

Using web services provides Plants by WebSphere an automated way to have out of stock items shipped to its warehouse or directly to customers. If suppliers can be contacted quickly enough, Plants by WebSphere does not have to inform its customers that the item was out of stock. Plants by WebSphere can begin to reduce its own inventory if doing so is a desirable business move.

Web services is built on the following core technologies:

- **XML**

Extensible Markup Language (XML) solves the problem of data independence. You use XML to describe data and to map that data into and out of any application or programming language.

To have their applications exchange information such as merchandise price and availability, Plants by WebSphere and its supplier place the data in a set of XML tags to which both parties agree.

- **WSDL (Web Services Description Language)**

You use this XML-based language to create a description of an underlying application. This Web Services Description Language (WSDL) document contains the description of your application and it is this description that turns an application into a web service, by acting as the interface between the underlying application and other Web-enabled applications.

Plants by WebSphere has an application capable of querying the supplier inventory. To enable communication with the supplier over the Internet, the company turns the application into a web service.

- **SOAP**

SOAP is the core communications protocol for the Web, and most web services use this protocol to talk to each other.

SOAP is an XML format for web services requests. According to the SOAP specification, SOAP is a lightweight protocol for exchange of information in a decentralized, distributed environment. It is an XML-based protocol that consists of three parts: an envelope that defines a framework for describing what is in a message and how to process it, a set of encoding rules for expressing instances of application-defined data types, and a convention for representing remote procedure calls and responses. SOAP can potentially be used in combination with a variety of other protocols; however, the only bindings defined in this document describe how to use SOAP in combination with HTTP and HTTP Extension Framework.

Because they are external to the Plants by WebSphere intranet, communications with its suppliers utilize SOAP over HTTP. Web services operating within the company intranet can use other transports, such as local Java bindings. The Web Services Invocation Framework (WSIF) component described below can help Plants by WebSphere applications dynamically choose the optimal transport mechanism for a given situation.

- **Web Services for Java Platform, Enterprise Edition (Java EE)**

The Web Services for Java Platform, Enterprise Edition (Java EE) specification, also known as JSR-109, defines how Java EE applications create and access web services.

Read about implementing web services applications to learn how to implement a web service interface to an existing application, and deploy your web service within the application server.

- **Java API for XML Web Services (JAX-WS)**

The JAX-WS programming model simplifies application development through support of a standard, annotation-based model to develop web services applications and clients. The JAX-WS programming model is the successor to the JAX-RPC 1.1 programming model.

The application server supports both the JAX-WS and JAX-RPC programming models.

- **Java Architecture for XML Binding (JAXB)**

JAXB is a Java technology that provides an easy way to map Java classes and XML schema in the development of web services applications. JAXB leverages platform-neutral XML data to bind XML schema to Java applications without requiring extensive knowledge of XML programming.

- **Java API for XML-based remote procedure call (JAX-RPC)**

JAX-RPC, also known as JSR-101, defines how Java applications access web services.

The application server supports web services based on the JAX-WS and JAX-RPC programming models. JAX-WS is a new programming model that simplifies application development through support of a standard, annotation-based model to develop web services applications and clients. A JAX-RPC client and JAX-WS client can be used in the same module; therefore, the online retailer is still able to use its JAX-RPC applications. The application server makes it easy to configure and reuse configurations, so you can seamlessly incorporate new Web services profiles. The JAX-WS standards support interoperable and reliable web services applications. The online retailer can send messages asynchronously, which means that the messages can communicate reliably even if one of the parties is temporarily offline, busy, or not available. By using these new technologies, the online retailer can be confident that its communication is reliable and reaches its destination while interoperating with other vendors.

Refer to the Samples section of the Information Center for additional Samples that demonstrate JAX-WS and JAX-RPC web services.

WebSphere software provides additional specifications and standards to help you get the most out of your web services.

For a complete list of the supported standards and specifications, see the web services specifications and API documentation.

## **Web services online garden retailer scenario: Static inquiry on supplier**

In this scenario, an online supply retailer turns an application into a web service for checking the inventory of its main supplier.

Plants by WebSphere is an Internet storefront that sells plants and gardening supplies. The company realizes that its existing web application can be "wrapped" with web services programming interfaces. For example, the BackOrderStock session bean can be turned into a SOAP web services client that interacts with a Supplier Web services application located at the supplier. Specifically, the BackOrderStock session bean functionality is turned into a Web Services for Java Platform, Enterprise Edition (Java EE) client and a Java API for XML-based remote procedure call (JAX-RPC) client.

The application server supports web services based on the JAX-WS and JAX-RPC programming models. JAX-WS is a new programming model that simplifies application development through support of a standard, annotation-based model to develop web services applications and clients. A JAX-RPC client and JAX-WS client can be used in the same module, therefore the online retailer is still able to use its JAX-RPC applications. The application server makes it easy to configure and reuse configurations, so you

can seamlessly incorporate new web services profiles. The JAX-WS standards support interoperable and reliable web services applications. The online retailer can send messages asynchronously, which means that the messages can communicate reliably even if one of the parties is temporarily offline, busy, or not available. By using these new technologies, the online retailer can be confident that its communication is reliable and reaches its destination while interoperating with other vendors.

## How out of stock items are handled

The following events happen when a customer on the Plants by WebSphere site orders an item that is not available according to the Plants by WebSphere inventory:

1. Plants by WebSphere checks its own inventory.  
The application powering the website checks the Plants by WebSphere inventory database. It discovers that the item is not in stock.
2. Plants by WebSphere uses a web service to check the supplier inventory.  
The application invokes a SOAP client that communicates with a SOAP server at the supplier site to ascertain whether the supplier has the item in stock. The supplier data is sent to Plants by WebSphere.
3. Plants by WebSphere either obtains the out of stock item, or does not.  
If the supplier indicates that the item is in stock, the application powering Plants by WebSphere determines whether to order the item on behalf of the customer. The exchange of data can include checking a price threshold above which Plants by WebSphere will not order the wholesale item. It could also include decision-making information such as how long the supplier requires to deliver the item, or a date that the manufacturer plans to discontinue the item.
4. Plants by WebSphere notifies its customer of the outcome, as soon as possible.  
If the supplier can be consulted quickly enough, Plants by WebSphere does not have to bother its customer with concerns about availability. It simply confirms that the item is available, as though the item is in stock at Plants by WebSphere. If the supplier inventory temporarily lacks the item, or Plants by WebSphere opted not to order the item from the supplier, Plants by WebSphere can issue an appropriate response to the customer.

## Web services technologies used in this scenario

This scenario uses the following web services technologies.

### XML (Extensible Markup Language)

XML is used to standardize the exchange of data between Plants by WebSphere and its supplier.

### Web Services for Java Platform, Enterprise Edition (Java EE)

Web Services for Java EE, also known as JSR-109, defines how Java EE applications create and access web services.

### JAX-RPC

JAX-RPC, also known as JSR-101, defines how Java applications access web services.

### JAX-WS

The JAX-WS programming model simplifies application development through support of a standard, annotation-based model to develop Web services applications and clients. The JAX-WS programming model is the successor to the JAX-RPC 1.1 programming model. The application server supports both the JAX-WS and JAX-RPC programming models.

### WSDL (Web Services Description Language)

WSDL is used to turn the existing application into a web service, by acting as the interface between the underlying application and other Web-enabled applications.

### SOAP

SOAP is the protocol by which the web service communicates with the supplier over the Internet.

## Web services online garden retailer scenario: Dynamic inquiry on supplier

This document describes a scenario in which an online garden supply retailer uses web services to perform an inventory search of several wholesale suppliers.

In the Plants by WebSphere web services static inquiry on suppliers scenario, the Plants by WebSphere IT staff establishes connections with each supplier separately, and makes changes as suppliers come and go. It would be convenient to query multiple suppliers at the same time, without providing a list of particular suppliers to query. Furthermore, Plants by WebSphere managers would like to be able to shop around quickly according to criteria, such as the lowest wholesale price or fastest availability.

In this scenario, several plant and garden suppliers have published web services to a Universal Description, Discovery, and Integration. (UDDI) registry. Suppliers create inventory web services that use a standard interface. They publish their web services to the centralized registry. Perhaps the registry has been established by the Plant Wholesalers Association. Or maybe a small Internet company established the buyer-seller site after finding that suppliers and retailers each would pay a small monthly rate for the convenience of the service.

Plants by WebSphere also supports JavaBeans endpoints within the web container and enterprise beans endpoints by taking advantage of the Java API for XML Web Services (JAX-WS) programming model support. Using the JAX-WS programming model makes it easy to configure and reuse configurations, so you can seamlessly incorporate new web services profiles. The JAX-WS standards support interoperable and reliable web services applications. The online retailer can send messages asynchronously, which means that the messages can communicate reliably even if one of the parties is temporarily offline, busy, or not available. By using these new technologies, the online retailer can be confident that its communication is reliable and reaches its destination while interoperating with other vendors.

### How out of stock items are handled

The following events happen when a customer on the Plants by WebSphere site orders an item that is not available according to the Plants by WebSphere inventory.

1. In advance, the suppliers publish their web services to a UDDI registry for such an occasion.  
In this way, they notify inquiring retailers, such as Plants by WebSphere, that their inventories are available to check.
2. Plants by WebSphere checks its own inventory.  
The application powering the website checks the Plants by WebSphere inventory database. It discovers that the item is not in stock.
3. Plants by WebSphere uses a UDDI4J client to consult the UDDI registry for suppliers whose inventories it can check.  
Plants by WebSphere can invoke a web service that queries the UDDI registry for suppliers and the web service at the site of each supplier is invoked. The administrator is presented with a list of suppliers from which the requested item is available, including the price and availability data.
4. Plants by WebSphere uses the web services to check the supplier inventories.  
The application invokes a Web Services for Java Platform, Enterprise Edition (Java EE) client or a JAX-RPC client that communicates with a SOAP server at the supplier site to ascertain whether the supplier has the item in stock. The supplier data is sent to Plants by WebSphere.  
The application invokes a JAX-RPC client, or a JAX-WS application client can be invoked, that communicates with a SOAP server at the supplier site to ascertain whether the supplier has the item in stock. The supplier data is sent to Plants by WebSphere.
5. Plants by WebSphere either obtains the out of stock item, or does not.  
If the supplier indicates that the item is in stock, the application powering Plants by WebSphere determines whether to order the item on behalf of the customer. The exchange of data can include

checking a price threshold above which Plants by WebSphere does not order the wholesale item. It could also include decision-making information such as how long the supplier requires to deliver the item, or a date that the manufacturer plans to discontinue the item.

6. Plants by WebSphere notifies its customer of the outcome, as soon as possible.

If the supplier can be consulted quickly enough, Plants by WebSphere does not have to bother its customer with concerns about availability. It confirms that the item is available, as though the item is in stock at Plants by WebSphere. If the supplier inventory temporarily lacks the item, or Plants by WebSphere opted not to order the item from the supplier, Plants by WebSphere issues an appropriate response to the customer.

## **Web services technologies used in this scenario**

This scenario uses the following web services technologies.

### **XML (Extensible Markup Language)**

XML is used to standardize the exchange of data between Plants by WebSphere and its supplier.

### **Web Services for Java Platform, Enterprise Edition (Java EE)**

Web Services for Java Platform, Enterprise Edition (Java EE), also known as JSR-109, defines how Java EE applications create and access web services.

### **Java API for XML-based remote procedure call (JAX-RPC)**

JAX-RPC, also known as JSR-101, defines how Java applications access web services.

### **JAX-WS**

The JAX-WS programming model simplifies application development through support of a standard, annotation-based model to develop Web services applications and clients. The JAX-WS programming model is the successor to the JAX-RPC 1.1 programming model. The application server supports both the JAX-WS and JAX-RPC programming models.

### **WSDL (Web Services Description Language)**

WSDL is used to turn the existing application into a web service, by acting as the interface between the underlying application and other Web-enabled applications.

**SOAP** SOAP is the protocol by which the web service communicates with the supplier over the Internet.

### **UDDI registry**

By publishing their web services to UDDI, suppliers make them available for Plants by WebSphere and other retailers to discover and reuse. This saves development time, effort and cost, and helps minimize the need to maintain several different implementations of the same application at Plants by WebSphere and various other retailers who need to contact the suppliers for inventory data.

Particular editions of WebSphere Application Server provide a private UDDI registry that can be used in an intranet environment.

## **Web services online garden retailer scenario: Cross supplier inquiry**

This document describes a scenario in which an online garden supply retailer uses web services to integrate its inventory system with the inventory systems of other retailers. Also using web services, the main Internet storefront can check supplier inventories on behalf of itself or other retailers.

The marketers at Plants by WebSphere confirm with market data that people are likely to purchase plants and gardening supplies in tandem with purchases of other goods, such as gardening books. To increase the visibility of Plants by WebSphere, the company arranges with various other merchant sites to include Plants by WebSphere inventory as part of their own.

At one site, web services and other technologies are used to insert data about Plants by WebSphere items into web pages that match the look and feel of the rest of the site. When a customer orders a Plants by



WebSphere item at a site other than Plants by WebSphere, the second site relies on the Plants by WebSphere inventory web service to make sure that the item is in stock, and to query suppliers as needed.

The second site does not have to implement its own web services to perform the same function as those developed by Plants by WebSphere. The second site might want to implement sophisticated function by creating its own web service.

Plants by WebSphere also supports JavaBeans endpoints within the web container and enterprise beans endpoints by taking advantage of the Java API for XML Web Services (JAX-WS) programming model support. Using the JAX-WS programming model makes it easy to configure and reuse configurations, so you can seamlessly incorporate new web services profiles. And, the new standards support interoperable and reliable web services applications. The online retailer can send messages asynchronously, which means that the messages can communicate reliably even if one of the parties is temporarily offline, busy, or not available. By using these new technologies, the online retailer can be confident that its communication is reliable and reaches its destination while interoperating with other vendors.

## How out of stock items are handled

The following events happen when a customer orders an item from one of the sites that re-sells items from Plants by WebSphere.

1. In advance, Plants by WebSphere publishes its Web service to a public Universal Description, Discovery and Integration (UDDI) registry.  
By publishing the web service, other retailers are made aware of the inventory web service available from Plants by WebSphere. In this scenario, Plants by WebSphere enables the web service to check its own inventory, as well as that of suppliers.
2. The re-seller checks the Plants by WebSphere inventory.  
The application powering the website checks the Plants by WebSphere inventory database. It discovers that the item is not in stock.
3. The re-seller consults the UDDI registry for suppliers whose inventories it can check.
4. The re-seller uses the web services to check the supplier inventories.  
The application invokes a Java API for XML-based remote procedure call (JAX-RPC) SOAP client, or a JAX-WS SOAP client that communicates with a SOAP server at the supplier site to ascertain whether the supplier has the item in stock. The supplier data is sent to the reseller.
5. The re-seller either obtains the out of stock item, or does not.
6. The re-seller notifies its customer of the outcome, as soon as possible.

## Web services technologies used in this scenario

This scenario uses the following web services technologies.

### XML (Extensible Markup Language)

XML is used to standardize the exchange of data between Plants by WebSphere and its supplier.

### WSDL (Web Services Description Language)

WSDL is used to turn the existing application into a web service, by acting as the interface between the underlying application and other Web-enabled applications.

**SOAP** SOAP is the protocol by which the web service communicates with the supplier over the Internet.

### UDDI registry

By publishing their web services to UDDI, suppliers make them available for Plants by WebSphere and other retailers to discover and reuse. This saves development time, effort and cost, and helps minimize the need to maintain several different implementations of the same application at Plants by WebSphere and various other retailers who need to contact the suppliers for inventory data.

Public UDDI registries are run by a consortium named UDDI Operators Council, which includes IBM, NTT, SAP, and Microsoft.

Particular editions of WebSphere Application Server provide a private UDDI registry that can be used in an intranet environment.

### **Web Services Invocation Framework (WSIF)**

In addition to publishing SOAP/HTTP bindings to the public UDDI registry for use by other vendors, Plants by WebSphere might also have published to an internal private UDDI registry with additional optimized bindings. A web service provider such as Plants by WebSphere might offer a SOAP binding for the service and a local Java binding that enables you to treat the local service implementation or Java class as a web service. If the client is deployed in the same environment as the service, the local Java binding for the service can be used. This provides more efficient communication with the service by making direct Java calls rather than using the SOAP binding.

### **Web services gateway**

Plants by WebSphere could use a gateway to handle web service invocations between Internet and Intranet environments. A web services gateway makes the internal web service available externally. It takes care of these considerations:

- The transport mechanisms or channels on which messages can be carried to and from the service
- The filters, if any, that act upon these incoming and outgoing messages
- The UDDI registries, if any, to which to publish the service
- The levels of security that you want to apply to the service

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## **Service-oriented architecture**

A *service-oriented architecture* (SOA) is a collection of services that communicate with each other, for example, passing data from one service to another or coordinating an activity between one or more services.

Companies want to integrate existing systems to implement Information Technology (IT) support for business processes that cover the entire business value chain. A variety of designs are used, ranging from rigid point-to-point electronic data interchange (EDI) to web auctions. By using the Internet, companies can make their IT systems available to internal departments or external customers, but the interactions are not flexible and are without standardized architecture.

Because of this increasing demand for technologies that support connecting and sharing resources and data, a need exists for a flexible, standardized architecture. SOA is a flexible architecture that unifies business processes by structuring large applications into building blocks, or small modular functional units or services, for different groups of people to use inside and outside the company. The building blocks can be one of three roles: service provider, service broker, or service requestor. See *Web services approach to a service-oriented architecture* to learn more about these roles.

### **Requirements for an SOA**

To efficiently use an SOA, follow these requirements:

- **Interoperability between different systems and programming languages.**

The most important basis for a simple integration between applications on different platforms is to provide a communication protocol. This protocol is available for most systems and programming languages.

- **Clear and unambiguous description language.**

To use a service offered by a provider, it is not only necessary to be able to access the provider system, but the syntax of the service interface must also be clearly defined in a platform-independent fashion.

- **Retrieval of the service.**

To support a convenient integration at design time or even system run time, a search mechanism is required to retrieve suitable services. Classify these services as *computer-accessible*, *hierarchical* or *taxonomies* based on what the services in each category do and how they can be invoked.

## Web services approach to a service-oriented architecture

You can use web services in a service-oriented architecture (SOA) environment.

You can use web services to implement a SOA. A major focus of web services is to make functional building blocks accessible over standard Internet protocols that are independent from platforms and programming languages. These services can be new applications or just wrapped around existing legacy systems to make them network-enabled. A service can rely on another service to achieve its goals.

Each SOA building block can assume one or more of three roles:

- **Service provider**

The service provider creates a web service and possibly publishes its interface and access information to the service registry. Each provider must decide which services to expose, how to make trade-offs between security and easy availability, how to price the services, or how to exploit free services for other value. The provider also has to decide which category to list the service in for a given broker service and what sort of trading partner agreements are required to use the service.

- **Service broker**

The service broker, also known as *service registry*, is responsible for making the web service interface and implementation access information available to any potential service requestor. The implementer of the broker decides the scope of the broker. Public brokers are available through the Internet, while private brokers are only accessible to a limited audience, for example, users of a company intranet. Furthermore, some decisions need to be made about the amount of the offered information. Some brokers specialize in many listings. Others offer high levels of trust in the listed services. Some cover a broad landscape of services and others focus within an industry. Some brokers catalog other brokers. Depending on the business model, brokers can attempt to maximize look-up requests, the number of listings or the accuracy of the listings. The Universal Description, Discovery and Integration (UDDI) specification defines a way to publish and discover information about web services.

- **Service requester**

The service requestor or web service client locates entries in the broker registry using various find operations and then binds to the service provider to invoke one of its web services.

## Characteristics of the SOA

The presented SOA illustrates a loose coupling between the participants, which provides greater flexibility in the following ways:

- A client is coupled to a service. Therefore, the integration of the server takes place outside the scope of the client application programs.
- Old and new functional blocks or applications and systems, are encapsulated into components that work as services.
- Functional components and their interfaces are separate so that new interfaces can be plugged in more easily.
- Within complex applications, the control of business processes can be isolated. A business rule engine can be incorporated to control the workflow of a defined business process. Depending on the state of the workflow, the engine calls the respective services.
- Services can be incorporated dynamically during run time.
- Bindings are specified using configuration files and can be easily adapted to new needs.

## Properties of a service-oriented architecture

The service-oriented architecture offers the following properties:

- **Web services are self-contained**

On the client side, no additional software is required. A programming language with Extensible Markup Language (XML) and HTTP client support is enough to get you started. On the server side, a web server and a SOAP server are required. It is possible to enable an existing application for web services without writing a single line of code.

- **Web services are self-describing**

Neither the client nor the server knows or cares about anything besides the format and content of the request and response messages (loosely coupled application integration). The definition of the message format travels with the message; no external metadata repositories or code generation tool are required.

- **Web services can be published, located, and invoked across the Internet**

This technology uses established lightweight Internet standards such as HTTP and it leverages the existing infrastructure. Some other standards that are required include, SOAP, Web Services Description Language (WSDL), and UDDI.

- **Web services are language-independent and interoperable**

The client and server can be implemented in different environments. Existing code does not have to change in order to be web services-enabled.

- **Web services are inherently open and standard-based**

XML and HTTP are the major technical foundation for web services. A large part of the Web service technology has been built using open-source projects.

- **Web services are dynamic**

Dynamic e-business can become reality using web services because with UDDI and WSDL you can automate the web service description and discovery.

- **Web services are composable**

Simple web services can be aggregated to more complex ones, either using workflow techniques or by calling lower-layer web services from a web service implementation. Web services can be chained together to perform higher-level business functions. This chaining shortens development time and enables best-of-breed implementations.

- **Web services are loosely coupled**

Traditionally, application design has depended on tight interconnections at both ends. Web services require a simpler level of coordination that supports a more flexible reconfiguration for an integration of the services.

- **Web services provide programmatic access**

The approach provides no graphical user interface; it operates at the code level. Service consumers need to know the interfaces to web services, but do not need to know the implementation details of services.

- **Web services provide the ability to wrap existing applications**

Already existing stand-alone applications can easily integrate into the SOA by implementing a web service as an interface.

## Web services business models supported in SOA

This article explains the concept and business models that can be implemented by using web services in a service-oriented architecture (SOA).

The properties and benefits of using a SOA such as web services is well suited for binding small modules that perform independent tasks within a highly heterogeneous e-business model. Web services can be easily wrapped around existing applications in your business model and plugged into different business processes.

For connecting to a large monolithic system that does not support the implementation of different flexible business processes, other approaches might be better suited, for example, to satisfy specialized features, such as performance or security.

The following business models are easily implemented by using an architecture including web services:

- **Business information**

Sharing of information with consumers or other businesses. Web services can be used to expand the reach through such services as news streams, local weather reports, integrated travel planning, and intelligent agents.

- **Business integration**

Providing transactional, fee-based services for customers. A global network of suppliers can be easily created. Web services can be implemented in auctions, e-marketplaces, and reservation systems.

- **Business process externalization**

Web services can be used to model value chains by dynamically integrating processes to a new solution within an organizational unit or even with those of other e-businesses. This modeling can be achieved by dynamically linking internal applications to new partners and suppliers, to offer their services to complement internal services.

To see how these models are implemented using all aspects of Web services, see the web services scenario overview information to learn more about the story of a fictional online garden supply retailer named Plants by WebSphere and how this retailer incorporates the web services concept.

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## Web services

Web services are self-contained, modular applications that you can describe, publish, locate, and invoke over a network.

The application server supports web services that are developed and implemented based on the Web Services for Java Platform, Enterprise Edition (Java EE) specification. The application server supports the Java API for XML Web Services (JAX-WS) programming model and the Java API for XML-based RPC (JAX-RPC) programming model. The JAX-WS is a strategic programming model that simplifies application development through support of a standard, annotation-based model to develop web services applications and clients.

A typical web services scenario is a business application requesting a service from another existing application. The request is processed through a given web address using SOAP messages over a HTTP, Java Message Service (JMS) transport or invoked directly as Enterprise JavaBeans (EJB). The service receives the request, processes it, and returns a response. Examples of a simple web service include weather reports or getting stock quotes. The method call is synchronous, that is, the method waits until the result is available. Transaction web services, supporting quotes, business-to-business (B2B) or business-to-client (B2C) operations include airline reservations and purchase orders.

Web services can include the actual service or the client that accesses the service.

Web services are web applications that help improve the flexibility of your business processes by integrating with applications that otherwise do not communicate. The inner-library loan program at your local library is a good example of the web services concept and its evolution. The web service concept existed even before the term; the concept became widely accepted with the creation of the Internet. Before the Internet was created, you visited your library, searched the collections and checked out your books. If you did not find the book that you wanted, the librarian ran a search for you by computer or phone and located the book at a nearby library. The librarian ordered the book for you and you picked it up after it was delivered to your local library. By incorporating web services applications, you can streamline your library visit.

Now, you can search the local library collection and other local libraries at the same time. When other libraries provide your library with a web service to search their collection (the service might have been provided through Universal Description Discovery and Integration (UDDI), your results yield their resources. You might use another web service application to check out and send the book to your home. Using web services applications saves time and provides a convenience for you, as well as freeing the librarian to do other business tasks.

Web services reflect the service-oriented architecture (SOA) approach to programming. This approach is based on the idea of building applications by discovering and implementing network-available services, or by invoking the available applications to accomplish a task. Web services deliver interoperability, for example, web services applications provide components created in different programming languages to work together as if they were created using the same language. Web services rely on existing transport technologies, such as HTTP, and standard data encoding techniques, such as Extensible Markup Language (XML), for invoking the implementation.

The key components of web services include:

- Web Services Description Language (WSDL)

WSDL is the XML-based file that describes the web service. The web service request uses this file to bind to the service.

- SOAP

SOAP is the XML-based protocol that the web service request uses to invoke the service.

- Universal Description, Discovery and Integration Protocol (UDDI)

UDDI is the registry that hosts the service broker. UDDI is similar to the Yellow Pages in a phone book.

For a more detailed scenario, see the web services scenario overview information to learn more about the story of a fictional online garden supply retailer named Plants by WebSphere, and how this retailer incorporated the web services concept.

For a complete list of the supported standards and specifications, see the web services specifications and API documentation.

## Web Services for Java EE specification

The *Web Services for Java Platform, Enterprise Edition (Java EE)* specification defines the programming model and runtime architecture for implementing web services based on the Java language. Another name for the Web Services for Java EE specification is the Java Specification Requirements (JSR) 109. The specification includes open standards for developing and implementing web services.

The Web Services for Java EE specification is based on the Java EE technology and supports the Java API for XML Web Services (JAX-WS) and Java API for XML-based RPC (JAX-RPC) programming model for web services and clients in a manner that is interoperable and portable across application servers within environments that are scalable and secure. This specification is based on industry standards for web services, including Web Services Description Language (WSDL) and SOAP, and it describes the development and deployment of web services.

The application server supports the Web Services for Java EE specification, Version 1.3. This specification supports WSDL Version 1.1, SOAP Version 1.1 and SOAP Version 1.2.

You can integrate the Java EE technology with web services in a variety of ways. You can expose Java EE components as web services, for example, JavaBeans and enterprise beans. When you expose Java EE components as web services, clients that are written in Java code or existing web service clients that are not written in Java code can access these services. Java EE components can also act as web service clients.

The Web Services for Java EE specification is the preferred platform for Web-based programming because it provides open standards permitting different types of languages, operating systems and software to communicate seamlessly through the Internet.

For a Java application to act as web service client, a mapping between the WSDL file and the Java application must exist. For JAX-WS applications, the mapping is defined using annotations. You can optionally use the `webservices.xml` deployment descriptor to specify the location of the WSDL file and override the value defined in the `@WebService` annotation. For JAX-RPC applications, you must define the JAX-RPC mapping file. To learn more about the mapping that is defined between the WSDL file and your web service application, see the JAX-WS specification or the JAX-RPC specification in the web services specifications and API documentation depending on the programming model used.

You can use a Java component to implement a Web service by specifying the component interface and binding information in the WSDL file and designing the application server infrastructure to accept the service request.

This entire process encompassed is based on the Web Services for Java EE specification.

The specification defines the `webservices.xml` deployment descriptor specifically for web services. The `webservices.xml` deployment descriptor file defines the set of web services that you can deploy in a Web Services for Java EE enabled container.

For JAX-WS web services, the use of the `webservices.xml` deployment descriptor is optional because you can use annotations to specify all of the information that is contained within the deployment descriptor file. You can use the deployment descriptor file to augment or override existing JAX-WS annotations. Any information that you define in the `webservices.xml` deployment descriptor overrides any corresponding information that is specified by annotations.

For example, if your service implementation class for your JAX-WS web service includes the `@WebService` annotation as follows:

```
@WebService(wsdlLocation="http://myhost.com/location/of/the/wsdl/ExampleService.wsdl")
```

and the `webservices.xml` specifies a different filename for the WSDL document as follows:

```
<webservices>
<webservice-description>
<webservice-description-name>ExampleService</webservice-description-name>
<wsdl-file>META-INF/wsdl/ExampleService.wsdl</wsdl-file>
...
</webservice-description>
</webservices>
```

then the value that is specified in the deployment descriptor, `META-INF/wsdl/ExampleService.wsdl` overrides the annotation value.

See section 5 of the Web Services for Java EE specification for details regarding the correlation between values specified in the web services deployment descriptor file and the attributes of the `@WebService` and the `@WebServiceProvider` annotations.

For JAX-RPC web services, you must define the deployment characteristics in the `webservices.xml` deployment descriptor file.

You are responsible for providing various elements to the deployment descriptor, including:

- Port name
- Port service implementation
- Port service endpoint interface
- Port WSDL definition

- Port QName
- MTOM/XOP support for JAX-WS web services
- Protocol binding for JAX-WS web services
- JAX-RPC mapping
- Handlers (optional)
- Servlet mapping (optional)

The Enterprise JavaBeans (EJB) 2.1 specification also states that for a web service developed from a session bean, the EJB deployment descriptor, `ejb-jar.xml`, must contain the `service-endpoint` element. The `service-endpoint` value must be the same as that stated in the `webservices.xml` deployment descriptor.

For a complete list of the supported standards and specifications, see the web services specifications and API documentation.

## Artifacts used to develop web services

With *development artifacts*, you can develop an enterprise bean or a JavaBeans module into web services that are based on the Web Services for Java Platform, Enterprise Edition (Java EE) specification.

To create a web service from an enterprise bean or from a JavaBeans module, the following files are added to the respective Java archive (JAR) file or web application archive (WAR) modules at assembly time:

- **Web Services Description Language (WSDL) Extensible Markup Language (XML) file**

The WSDL XML file describes the web service that is implemented.

- **Service Endpoint Interface**

A Service Endpoint Interface is the Java interface corresponding to the web service port type implemented. The Service Endpoint Interface is defined by the Java API for XML Web Services (JAX-WS) or Java API for XML-based RPC (JAX-RPC) web services run time that you are using.

- **webservices.xml**

The `webservices.xml` file contains the Java EE deployment descriptor of the web service specifying how the web service is implemented. The `webservices.xml` file is defined in the Web Services for Java EE specification.

For JAX-WS web services, the use of the `webservices.xml` deployment descriptor is optional because you can use annotations to specify all of the information that is contained within the deployment descriptor file. You can use the deployment descriptor file to augment or override existing JAX-WS annotations. Any information that you define in the `webservices.xml` deployment descriptor overrides any corresponding information that is specified by annotations.

For JAX-RPC applications, deployment descriptors are required to specify how the web service is implemented.

- **ibm-webservices-bnd.xmi (JAX-RPC applications only)**

This file contains WebSphere product-specific deployment information and is defined in the `ibm-webservices-bnd.xmi` deployment descriptor. `assembly.properties`. See the JAX-RPC web services deployment descriptor settings information to learn more about this deployment descriptor.

- **Java API for XML-based remote procedure call (JAX-RPC) mapping file**

The JAX-RPC mapping deployment descriptor specifies how Java elements are mapped to and from WSDL file elements.

The following files are added to an application client, enterprise beans or web module to permit a Web Services for Java Platform, Enterprise Edition (Java EE) client access to web services:

- **WSDL file**

The WSDL file is provided by the web service implementer.

- **Java interfaces for the web service**



The Java interfaces are generated from the WSDL file as specified by the JAX-WS or JAX-RPC specification. These bindings are the Service Endpoint Interface based on the WSDL port type, or the service interface, which is based on the WSDL service.

- **ibm-webservicesclient-bnd.xmi (JAX-RPC applications only)**

This file contains WebSphere product-specific deployment information, such as security information for JAX-RPC applications. For JAX-WS applications, deployment descriptors are not supported and have been replaced by the use of annotations.

- **Other JAX-RPC binding files**

Additional JAX-RPC binding files that support the client application in mapping SOAP to the Java language are generated from WSDL by the WSDL2Java command tool.

## WSDL

*Web Services Description Language (WSDL)* is an Extensible Markup Language (XML)-based description language. This language was submitted to the World Wide Web Consortium (W3C) as the industry standard for describing web services. The power of WSDL is derived from two main architectural principles: the ability to describe a set of business operations and the ability to separate the description into two basic units. These units are a description of the operations and the details of how the operation and the information associated with it are packaged.

A WSDL document defines services as collections of network endpoints, or ports. In WSDL, the abstract definitions of endpoints and messages are separated from their concrete network deployment or data format bindings. This separation supports the reuse of abstract definitions: messages, which are abstract descriptions of exchanged data, and port types, which are abstract collections of operations. The concrete protocol and data format specifications for a particular port type constitutes a reusable binding. A port is defined by associating a network address with a reusable binding, and a collection of ports defines a service. Therefore, a WSDL document is composed of several elements.

The following is the structure of the information in a WSDL file:

A WSDL file contains the following parts:

- **Web service interface definition**

This part contains the elements and the namespaces.

- **Web service implementation**

This part contains the definition of the service and ports.

A WSDL file describes a web service with the following elements:

### portType

The description of the operations and associated messages. The portType element defines abstract operations.

```
<portType name="EightBall">
  <operation name="getAnswer">
    <input message="ebs:IngetAnswerRequest"/>
    <output message="ebs:OutgetAnswerResponse"/>
  </operation>
</portType>
```

### message

The description of input and output parameters and return values.

```
<message name="IngetAnswerRequest">
  <part name="meth1_inType" type="ebs:questionType"/>
</message>
<message name="OutgetAnswerResponse">
  <part name="meth1_outType" type="ebs:answerType"/>
</message>
```

## types

The schema for describing XML types used in the messages.

```
<types>
  <xsd:schema targetNamespace="...">
    <xsd:complexType name="questionType">
      <xsd:element name="question" type="string"/>
    </xsd:complexType>
    <xsd:complexType name="answerType">
      ...
    </xsd:complexType>
  </types>
```

## binding

The bindings describe the protocol that is used to access a portType, as well as the data formats for the messages that are defined by a particular portType element.

```
<binding name="EightBallBinding" type="ebs:EightBall">
  <soap:binding style="rpc" transport="schemas.xmlsoap.org/soap/http">
    <operation name="ebs:getAnswer">
      <soap:operation soapAction="urn:EightBall"/>
      <input>
        <soap:body namespace="urn:EightBall" ... />
      </input>
    </operation>
  </binding>
```

## Service

The services and ports define the location of the Web service.

The service contains the web service name and a list of ports.

## Ports

The ports contain the location of the web service and the binding used for service access.

```
<service name="EightBall">
  <port binding="ebs:EightBallBinding" name="EightBallPort">
    <soap:address location="localhost:8080/axis/EightBall"/>
  </port>
</service>
```

When creating Java API for XML Web Services (JAX-WS) or Java API for XML-based RPC (JAX-RPC) web services, you can use a bottom-up development approach when you start from JavaBeans or an enterprise bean, or you can use a top-down development approach when you start with an existing Web Services Description Language (WSDL) file.

When creating JAX-WS web services for this product, you can start with either a WSDL or an implementation bean class. If you start with an implementation bean class, then use the `wsgen` command line tool to generate all the web services server artifacts, including a WSDL if requested. If you start with a WSDL, then use the `wsimport` command line tool to generate all the web services artifacts for either the server or client side.

When creating JAX-RPC web services for this product, you must first have an implementation bean that includes a service endpoint interface. Then, you use the `Java2WSDL` command-line tool to create a WSDL file that defines the web services. If you are starting with the WSDL to generate the implementation bean class, run the `WSDL2Java` command line tool against the WSDL file to create Java APIs and deployment descriptor templates.

## Multipart WSDL and WSDL publication

The product supports deployment of web services using a multipart Web Services Description Language (WSDL) file. In multipart WSDL files, an implementation WSDL file contains the `wsdl:service`. This implementation WSDL file imports an interface WSDL file, which contains the other WSDL constructs. This supports multiple web services using the same WSDL interface definition.

The `<wsdl:import>` element indicates a reference to another WSDL file. If the `<wsdl:import>` element location attribute does not contain a URL, that is, it contains only a file name, and does not begin with `http://`, `https://` or `file://`, the imported file must be located in the same directory and must not contain a relative path component. For example, if `META-INF/wsdl/A_Impl.wsdl` is in your module and contains the `<wsdl:import="A.wsdl" namespace="...">` import statement, the `A.wsdl` file must also be located in the module `META-INF/wsdl` directory.

It is recommended that you place all WSDL files in either the `META-INF/wsdl` directory, if you are using Enterprise JavaBeans (EJB), or the `WEB-INF/wsdl` directory, if you are using JavaBeans components, even if relative imports are located within the WSDL files. Otherwise, implications exist with the WSDL publication when you use a path like `<location="./interfaces/A_Interface.wsdl" namespace="...">`. Using a path like this example fails because the presence of the relative path, regardless of whether the file is located at that path or not. If the location is a web address, it must be readable at both deployment and server startup.

You can publish the files located in the `META-INF/wsdl` or the `WEB-INF/wsdl` directory through either a URL address or file, including WSDL or XML Schema Definition (XSD) files. For example, if the file referenced in the `<wsdl-file>` element of the `webservices.xml` deployment descriptor is located in the `META-INF/wsdl` or the `WEB-INF/wsdl` directory, it is publishable. If the files imported by the `<wsdl-file>` are located in the `wsdl/` directory or its subdirectory, they are publishable.

If the WSDL file referenced by the `<wsdl-file>` element is located in a directory other than `wsdl/`, or its subdirectories, the file and its imported files, either WSDL or XSD files, which are in the same directory, are copied to the `wsdl` directory without modification when the application is installed. These types of files can also be published.

If the `<wsdl-file>` imports a file located in a different directory (a directory that is not `-INF/wsdl` or a subdirectory), the file is not copied to the `wsdl` directory and not available for publishing.

For JAX-WS web services, you can use an annotation to specify the location of the WSDL. Use the `@WebService` annotation with the `WSDLLocation` attribute. The `WSDLLocation` attribute is optional. If this attribute is not specified, then WSDL is generated and published from the information that is found in the web service classes. You can optionally specify the location of the WSDL file in the `webservices.xml` deployment descriptor. However, any information that you define in the `webservices.xml` deployment descriptor overrides any corresponding information that is specified by annotations.

## SOAP

SOAP is a specification for the exchange of structured information in a decentralized, distributed environment. As such, it represents the main way of communication between the three key actors in a service oriented architecture (SOA): service provider, service requestor and service broker. The main goal of its design is to be simple and extensible. A SOAP message is used to request a web service.

### SOAP 1.1

WebSphere Application Server follows the standards outlined in SOAP 1.1.

SOAP was submitted to the World Wide Web Consortium (W3C) as the basis of the Extensible Markup Language (XML) Protocol Working Group by several companies, including IBM and Lotus®. This protocol consists of three parts:

- An *envelope* that defines a framework for describing message content and processing instructions.
- A set of *encoding rules* for expressing instances of application-defined data types.
- A *convention* for representing remote procedure calls and responses.

SOAP 1.1 is a protocol-independent transport and can be used in combination with a variety of protocols. In web services that are developed and implemented with WebSphere Application Server, SOAP is used in

combination with HTTP, HTTP extension framework, and Java Message Service (JMS). SOAP is also operating-system independent and not tied to any programming language or component technology.

As long as the client can issue XML messages, it does not matter what technology is used to implement the client. Similarly, the service can be implemented in any language, as long as the service can process SOAP messages. Also, both server and client sides can reside on any suitable platform.

## SOAP 1.2

The SOAP 1.2 specification is also a W3C recommendation, and WebSphere Application Server follows the standards that are outlined in SOAP 1.2. The SOAP 1.2 specification comes in three parts plus some assertions and a test collection:

- Part 0: Primer
- Part 1: Messaging Framework
- Part 2: Adjuncts
- Specification Assertions and Test Collection

SOAP 1.2 provides a more specific definition of the SOAP processing model, which removes many of the ambiguities that sometimes led to interoperability problems in the absence of the Web Services-Interoperability (WS-I) profiles. SOAP 1.2 should reduce the chances of interoperability issues with SOAP 1.2 implementations between different vendors.

Some of the more significant changes in the SOAP 1.2 specification include:

- The ability to now officially define other transport protocols other than the HTTP protocol as long as vendors conform to the binding framework that is defined in SOAP 1.2. While HTTP is ubiquitous, it is not as reliable of a transport as other things such as TCP/IP, MQ, and so forth.
- The fact that SOAP 1.2 is based on the XML Information Set (XML Infoset). The information set provides a way to describe the XML document using the XSD schema but does not necessarily serialize the document by using XML 1.0 serialization. SOAP 1.1 is based upon XML 1.0 serialization. The information set will make it easier to use other serialization formats such as a binary protocol format. You can use a binary protocol format shrink the message into a much more compact format where some of the verbose tagging information might not be required.

The Java API for XML Web Services (JAX-WS) standard introduces the ability to support both SOAP 1.1 as well as SOAP 1.2.

See the differences in SOAP versions information for additional differences between SOAP 1.1 and SOAP 1.2.

For a complete list of the supported standards and specifications, see the web services specifications and API documentation.

## SOAP with Attachments API for Java interface

The *SOAP with Attachments API for Java* (SAAJ) interface is used for SOAP messaging that provides a standard way to send XML documents over the Internet from a Java programming model. SAAJ is used to manipulate the SOAP message to the appropriate context as it traverses through the runtime environment.

**best-practices:** IBM WebSphere Application Server supports the Java API for XML-Based Web Services (JAX-WS) programming model and the Java API for XML-based RPC (JAX-RPC) programming model. JAX-WS is the next generation web services programming model extending the foundation provided by the JAX-RPC programming model. Using the strategic JAX-WS programming model, development of web services and clients is simplified through support of a standards-based annotations model. Although the

JAX-RPC programming model and applications are still supported, take advantage of the easy-to-implement JAX-WS programming model to develop new web services applications and clients.

The Java API for XML-Based RPC (JAX-RPC) programming model supports SAAJ 1.2 to manipulate the XML.

The JAX-WS programming model supports SAAJ 1.2 and 1.3. SAAJ 1.3 includes support for SOAP 1.2 messages.

The differences in the SAAJ 1.2 and SAAJ 1.3 specification can be reviewed in the topic "Differences in SAAJ versions."

### How are messages used in web services?

Web services use XML technology to exchange messages. These messages conform to XML schema. When developing web services applications, there are limited XML APIs to work with, for example, Document Object Model (DOM). It is more efficient to manipulate the Java objects and have the serialization and deserialization completed during run time.

Web services uses SOAP messages to represent remote procedure calls between the client and the server. Typically, the SOAP message is deserialized into a series of Java value-type business objects that represent the parameters and return values. In addition, the Java programming model provides APIs that support applications and handlers to manipulate the SOAP message directly. Because there are a limited number of XML schema types that are supported by the programming models, the specification provides the SAAJ data model as an extension to manipulate the message.

To manipulate the XML schema types, you need to map the XML schema types to Java types with a *custom data binder*.

### The SAAJ interface

The SAAJ-related classes are located in the `javax.xml.soap` package. SAAJ builds on the interfaces and abstract classes and many of the classes begin by invoking factory methods to create a factory such as `SOAPConnectionFactory` and `SOAPFactory`.

**gotcha:** If Java security is enabled, and permissions to read the `jaxm.properties` file is not granted, when a `SOAPFactory` instance is created through a call to `javax.xml.soap.SOAPFactory.newInstance()`, or a `MessageFactory` instance is created through a call to `MessageFactory.newInstance()`, a `SecurityException` exception occurs, and the following exception is written to the system log:

Permission:

```
/opt/IBM/WebSphere/AppServer/java/jre/lib/jaxm.properties : access denied
(java.io.FilePermission /opt/IBM/WebSphere/AppServer/java/jre/lib/jaxm.properties
read)
```

Code:

```
com.ibm.ws.wsfvt.test.binding.addr1.binder.AddressBinder
in {file:/opt/IBM/WebSphere/AppServer/profiles/AppSrv01/installedApps/
ahp6405Node01Cell/DataBinding.ear/address1.war/WEB-INF/lib
/addressbinder1.jar}
```

Stack Trace:

```
java.security.AccessControlException: access denied (java.io.FilePermission
/opt/IBM/WebSphere/AppServer/java/jre/lib/jaxm.properties read)
```

.

The `SOAPFactory` ignores the exception, and continues on to the next means of determining which implementation to load. Therefore, you can ignore the log entry for this security exception.

Because this product uses the SOAPFactory to support other web services technologies, such as WS-Addressing (WS-A), WS-Atomic Transaction (WS-AT), and WS-Notification, you can ignore this SecurityException in any web services application where Java security is enabled.

The most commonly used classes are:

- SOAPMessage: Contains the message, both the XML and non-XML parts
- SOAPHeader: Represents the SOAP header XML element
- SOAPBody: Represents the SOAP body XML element
- SOAPElement: Represents the other elements in the SOAP message

Other parts of the SAAJ interface include:

- MessageContext: Contains a SOAP message and related properties
- AttachmentPart: Represents a binary attachment
- SOAPPart: Represents the XML part of the message
- SOAPEnvelope: Represents the SOAP envelope XML element
- SOAPFault: Represents the SOAP fault XML element

The primary interface in the SAAJ model is `javax.xml.soap.SOAPElement`, also referred to as `SOAPElement`. Using this model, applications can process an SAAJ model that uses pre-existing DOM code. It is also easier to convert pre-existing DOM objects to SAAJ objects.

Messages created using the SAAJ interface follow SOAP standards. A SOAP message is represented in the SAAJ model as a `javax.xml.soap.SOAPMessage` object. The XML content of the message is represented by a `javax.xml.soap.SOAPPart` object. Each SOAP part has a SOAP envelope. This envelope is represented by the SAAJ `javax.xml.SOAPEnvelope` object. The SOAP specification defines various elements that reside in the SOAP envelope; SAAJ defines objects for the various elements in the SOAP envelope.

The SOAP message can also contain non-XML data that is called attachments. These attachments are represented by SAAJ `AttachmentPart` objects that are accessible from the `SOAPMessage` object.

A number of reasons exist as to why handlers and applications use the generic `SOAPElement` API instead of a tightly bound mapping:

- The web service might be a conduit to another web service. In this case, the SOAP message is only forwarded.
- The web service might manipulate the message using a different data model, for example a Service Data Object (SDO). It is easier to convert the message from a SAAJ DOM to a different data model.
- A handler, for example, a digital signature validation handler, might want to manipulate the message generically.

You might need to go a step further to map your XML schema types, because the `SOAPElement` interface is not always the best alternative for legacy systems. In this case you might want to use a generic programming model, such as SDO, which is more appropriate for data-centric applications.

The XML schema can be configured to include a custom data binding that pairs the SDO or data object with the Java object. For example, the run time renders an incoming SOAP message into a `SOAPElement` interface and passes it to the customer data binder for more processing. If the incoming message contains an SDO, the run time recognizes the data object code, queries its type mapping to locate a custom binder, and builds the `SOAPElement` interface that represents the SDO code. The `SOAPElement` is passed to the `SDOCustomBinder`.

See information on custom data binders to learn more about the process of developing applications with `SOAPElement`, SDO and custom binders.

**Note:** Starting in WebSphere Application Server Version 8, the `SOAPMessage.getSOAPHeader` and `getSOAPBody` methods now throw a `SOAPException` if there is no corresponding element in the message. A System property is provided to revert the behavior to return null rather than throw an exception. The property is defined in `com.ibm.websphere.webservices.soap.IBMSOAPMessage.ENABLE_LEGACY_GETSOAP_BEHAVIOR` as a String value of `com.ibm.websphere.webservices.soap.enable.legacy.get.behavior`. The default value of the property is null which is interpreted as `false`. To revert the behavior to returning a null, set the property to the String value `true`. The previous behavior of returning null is not compliant with the specification.

For a complete list of the supported standards and specifications, see the web services specifications and API documentation.

## Differences in SAAJ versions

The SOAP with Attachments API for Java (SAAJ) interface Version 1.3 expands the support of SOAP 1.2 messages in a web services environment. There are several differences between SAAJ 1.2 and SAAJ 1.3 that are presented in this topic.

In a typical web services environment, you rely on the underlying code that is based on Java standards to translate a set of Java objects. The SAAJ interface provides APIs to read, write, send and receive SOAP messages, and advocates binary content sent as an attachment to a SOAP message.

SAAJ 1.3 aligns with SOAP 1.1 and SOAP 1.2 messages and is supported by the Java API for XML Web Services (JAX-WS) programming model and the Java API for XML-Based RPC (JAX-RPC) programming model. SAAJ 1.2 works with SOAP 1.1 messages only.

If you migrate your code from SOAP 1.1 to SOAP 1.2, you can continue to use your existing SOAP 1.1 code, if the message is a SOAP 1.2 message. If you upgrade your base code to use SAAJ 1.3, then you can continue to use the existing code that operates on a SOAP 1.1 message. An example of these differences is in SOAP 1.1, where the human readable text of a fault is stored in the `faultString` element. In SOAP 1.2, the human readable text is stored in the `Reason` element. Your code might look like the following example:

```
String text = soapFault.getFaultString();
```

The `getFaultString ()` returns the `faultString` value if the message is based on SOAP 1.1. If you are using SOAP 1.2, the `getFaultString ()` returns the `Reason` value. In addition, the SAAJ 1.3 interface provides a new method, `getReasonText (Locale)`, that gets a specific `Reason` value. The `getReasonText (Locale)` method returns a documented exception if the message is based on SOAP 1.1. The SAAJ 1.3 interface supports existing code to process both SOAP 1.1 and SOAP 1.2 messages.

Other differences between SAAJ 1.2 and SAAJ 1.3 are in the following list:

- SAAJMetaFactory interface

The SAAJMetaFactory SPI is introduced to support creating SOAP factory classes in a single place.

- SAAJResult class

The SAAJResult object acts as a holder for the results of a Java API for XML Processing (JAX-P) transformation or a Java Architecture for XML Binding (JAXB) marshalling, in the SAAJ tree. The SAAJResult class is introduced for improved usability when transformation results are expected to be a valid SAAJ tree.

- Overloaded methods that accept a QName instead of a Name

It is preferred that a QName represents an XML-qualified name. Therefore, overloaded methods are introduced in all of the SAAJ APIs, where a corresponding method accepts a `javax.xml.soap.Name` name as an argument.

- New methods in AttachmentPart, SOAPBody and SOAPElement interfaces and classes

Use these new methods to assist you when you are working with the new SOAP features.

- SOAPPart is now a javax.xml.soap.Node method.  
The SOAPPart object is now also considered to be a SOAP node method.

For a complete list of the supported standards and specifications, see the web services specifications and API documentation.

## Message Transmission Optimization Mechanism

SOAP Message Transmission Optimization Mechanism (MTOM) is a standard that is developed by the World Wide Web Consortium (W3C). MTOM describes a mechanism for optimizing the transmission or wire format of a SOAP message by selectively re-encoding portions of the message while still presenting an XML Information Set (Infoset) to the SOAP application.

There are many reasons why you might want to send binary attachments, such as images or files, along with a web services request. There are ways to accomplish this, such as:

- Encoding with base64 inline in the SOAP payload. However, encoding inline tends to enlarge the size of the SOAP message. Note that base64 encoding might double the size of the binary data.
- Encoding the messages by using SOAP with Attachments (SwA) and to follow the Web Services Interoperability Organization (WS-I) Attachments Profile. WebSphere Application Server currently supports this method.
- Providing optimization of binary message transportation by using XML-binary Optimized Packaging (XOP). Optimization is available only for binary data or content. MTOM uses XOP in the context of SOAP and MIME over HTTP.

XOP defines a serialization mechanism for the XML Infoset with binary content that is not only applicable to SOAP and MIME packaging, but to any XML Infoset and any packaging mechanism. It is an alternate serialization of XML that just happens to look like a MIME multipart/related package, with an XML documents as the root part. That root part is very similar to the XML serialization of the document, except that base64-encoded data is replaced by a reference to one of the MIME parts, which is not base64 encoded. This reference enables you to avoid the bulk and overhead in processing that is associated with encoding. Encoding is the only way a binary data can fit directly into an XML world.

If MTOM mapping generation is disabled, then XOP is disabled. If XOP is disabled, the binary data are not sent by using MIME attachments. Instead, the binary data is base64 encoded as usual.

The MTOM specification is defined in three different parts:

- An abstract feature for optimized transmission or wire format for SOAP messages. This feature is abstract in the sense that the description of the optimization technique as well as the behavior of the SOAP processors at sender, receiver and intermediaries is generic and does not include any references to technologies such as MIME, HTTP, and so forth. The optimization technique centers around ensuring a SOAP envelope Infoset view for the SOAP processors while encoding selectively certain contents of the SOAP Envelope Infoset that are accessible as canonical lexical representation of the xs:base64Binary data type.  
Implementing these abstract features requires concrete specification of two aspects: the optimized wire format and how the optimized wire format flows on a particular transport
- The second part of the MTOM specification addresses the serialization aspect and depends normatively upon MIME Multipart/Related XOP packaging. The serialization aspect is where MTOM relates to XOP.
- As a concrete SOAP HTTP binding level feature, MTOM expands upon the serialization. This part describes how HTTP binding can be used to transport the XOP packages that are holding the SOAP MTOM messages. This part also puts some restrictions on the possible serializations of the SOAP MTOM messages as XOP packages, such as use of XML 1.0 only.

The Java API for XML Web Services (JAX-WS) adds support for sending binary data attachments using MTOM. JAX-WS is the centerpiece of a newly re-architected API stack for web service that includes



JAX-WS 2.0, JAXB 2.0, and SAAJ 1.3. The API stack is sometimes referred to as the integrated stack. JAX-WS is designed to take the place of JAX-RPC in web services and web applications.

## Attachment approach

Attachment by value or by reference has been the widely accepted technique for handling opaque data in XML-formatted messages.

- **By value** is when the opaque data content is embedded as an element or as an attribute by using either base64 or hexadecimal text encoding approach, which is codified in the XML schema as data types `xs:base64Binary` and `xs:hexBinary`, respectively.
- **By reference** is when the opaque data content is referenced externally as element or as attribute by using a URI, which is codified in the XML schema as data type `xs:anyURI`.

The use of either of these two techniques has its advantages and disadvantages. MTOM is the specification that is focused on resolving these inherent attachments problems.

A different standard is defined by World Wide Web Consortium (W3C) and is called SOAP with Attachments (SwA). SwA was developed as a way to package SOAP messages with attachments. Because some vendors do not support SwA, SwA can be replaced by the more powerful MTOM and XOP mechanisms. SwA and MTOM are conceptually similar, and both encode binary data as a MIME attachment in a MIME document. Using MIME attachments improves the performance of large binary payloads transport.

Additional differences between SwA and MTOM include:

- MTOM uses a standard called XOP, which defines a XOP reference that exists within the SOAP payload. This reference points to the MIME attachment that contains the binary data.
- With MTOM, the XOP reference logically includes the binary data into the XML Information Set (Infoset). With SwA, the href points to data that is not only physically outside the XML document but is not logically included within its Infoset.
- With MTOM, binary attachments can be logically signed as if they were part of the SOAP XML document.
- In addition to IBM, Microsoft .NET supports MTOM, which eliminates some of the interoperability problems found with SwA. Interoperability was treated as the main goal when the co-submitters discussed the suggested modifications.

The MTOM attachment approach takes advantage of the SOAP infrastructure while also gaining transport efficiencies that are provided by SOAP with Attachment (SwA) solution.

## SOAP 1.2 and SOAP 1.1

SOAP 1.1 is based on the XML specification. Likely, the SOAP 1.1 implementation will continue to exist for a few years. For those who are still running SOAP 1.1, there is now an interoperable way to use MTOM for attachments support. SAP, Oracle, Microsoft, and IBM have submitted a SOAP 1.1 Binding for MTOM 1.0 specification to W3C, which defines how MTOM can be used with SOAP 1.1 payloads. The specification details the necessary modifications to the SOAP MTOM and XOP specifications that are necessary to successfully use these technologies with SOAP 1.1. See the specification to learn more details.

MTOM is a SOAP Version 1.2 feature, which is based on the Infoset. See the XML information set documentation to learn more.

Without MTOM, the data is encoded in whatever format is described in the schema (base64 or hex) and then is contained in the XML document. The following example shows a SOAP message with an `<xsd:base64Binary>` element:

```

... other transport headers ...
Content-Type: text/xml; charset=UTF-8

<?xml version="1.0" encoding="UTF-8"?>
<soapenv:Envelope xmlns:soapenv="http://schemas.xmlsoap.org/soap/envelope/">
  <soapenv:Header/>
  <soapenv:Body>
    <sendImage xmlns="http://org.apache.axis2/jaxws/sample/mtom">
      <input>
        <imageData>R01G0D1 ... more base64 encoded data ... KTJk8giAAA7</imageData>
      </input>
    </sendImage>
  </soapenv:Body>
</soapenv:Envelope>

```

When MTOM is enabled, the binary data that represents the attachment is included as a MIME attachment to the SOAP message. The following example shows an MTOM-enabled SOAP message with attachment data:

```

... other transport headers ...
Content-Type: multipart/related; boundary=MIMEBoundaryurn_uuid_0FE43E4D025F0BF3DC11582467646812;
type="application/xop+xml"; start="<0.urn:uuid:0FE43E4D025F0BF3DC11582467646813@apache.org>";
start-info="text/xml"; charset=UTF-8

--MIMEBoundaryurn_uuid_0FE43E4D025F0BF3DC11582467646812
content-type: application/xop+xml; charset=UTF-8; type="text/xml";
content-transfer-encoding: binary
content-id:
  <0.urn:uuid:0FE43E4D025F0BF3DC11582467646813@apache.org>

<?xml version="1.0" encoding="UTF-8"?>
<soapenv:Envelope xmlns:soapenv="http://schemas.xmlsoap.org/soap/envelope/">
  <soapenv:Header/>
  <soapenv:Body>
    <sendImage xmlns="http://org.apache.axis2/jaxws/sample/mtom">
      <input>
        <imageData>
          <xop:Include xmlns:xop="http://www.w3.org/2004/08/xop/include"
            href="cid:1.urn:uuid:0FE43E4D025F0BF3DC11582467646811@apache.org"/>
        </imageData>
      </input>
    </sendImage>
  </soapenv:Body>
</soapenv:Envelope>
--MIMEBoundaryurn_uuid_0FE43E4D025F0BF3DC11582467646812
content-type: text/plain
content-transfer-encoding: binary
content-id:
  <1.urn:uuid:0FE43E4D025F0BF3DC11582467646811@apache.org>

... binary data goes here ...
--MIMEBoundaryurn_uuid_0FE43E4D025F0BF3DC11582467646812--

```

### **XML-binary Optimized Packaging:**

XML-binary Optimized Packaging (XOP) specification was standardized by the World Wide Web (W3C) on January 25, 2005. SOAP Message Transmission Optimization Mechanism (MTOM) uses XOP in the context of SOAP and MIME over HTTP.

XML is widely used for data transfer. XML is a popular format for exchanging well-formed documents because it is plain text, human-readable, and structured. For example, SOAP messaging in web services is based on XML (or is based on XML Infoset with SOAP 1.2). People want to leverage legacy formats like PDF, GIF, JPEG and similar things, while still using an XML model. The desire to integrate XML with pre-existing data formats has been a long-standing and persistent issue for the XML community. Users often want to leverage the structured, extensible markup conventions of XML without abandoning existing data formats that do not readily adhere to XML 1.0 syntax.

As SOAP messaging in web services becomes more widespread, the next step is how to send non-text based data, such as images and workflow data, along with your message. For example, you might want to send a scanned document in .jpeg format between two applications. The question becomes whether this data can be understood between the various applications.

Much of the value of XML and web services resides in the ability to use generic XML tools to work with content. Many XML tools and standards for describing and manipulating XML (such as parsers, XPath,

XQuery, XSLT, XML encryption and digital signature and XML schema) are not designed to work with non-text data, such as images. These XML tools do not work with non-XML content; these tools require text. The challenge is how non-text data (also called *binary data*) can be embedded or attached with XML. In other words, a way to attach a binary file to a SOAP message is needed.

Encoding is the only way binary data can fit directly into an XML world. Normally, you can embed binary data in an XML document by encoding it as text using Base 64. Base 64 is a serialization that has existed for some time, can be easily implemented out of the box, and has interoperability across platforms. The `xsi:base64binary` datatype supports this serialization in the XML Schema. Base 64 encodes your binary data into a textual representation that can squeeze into an XML document. Base 64 takes your binary data and translates it into a series of ASCII characters by encoding three octets at a time. Because each octet consists of eight bits, representing them as four printable characters in the ASCII standard, it uses 64 ASCII characters to represent the binary. All platforms can decode and encode using this convention. 6-bit ASCII is widely supported, and no special characters need to be dealt with. However, there is a performance impact for larger messages.

For applications that require speedy operation, Base 64 might not be the solution. If you want to index into such content, query it, transform it, encrypt it, sign it, or describe it, you need to use a different mechanism.

The first attachment specification known as SOAP with Attachments (SwA) was developed. The basic idea of SwA is that the binary message part (the attachment) is thought of as a Multipurpose Internet Mail Extensions (MIME) attachment. MIME is a widely implemented specification for formatting non-ASCII mail message attachments. SwA specifies that the SOAP body can contain a reference to the MIME message part (the attachment) simply by using a URI. The binary part is attached by a reference.

A few disadvantages of SwA include:

- SwA fails in its usability or interoperability. The SOAP infrastructure was created around the SOAP envelope, which didn't work well for attachments. An attachment using SwA means that two data models are used in one message. These two data models do not operate with existing XML technology.
- SwA does not work with the composable character of SOAP. Basically standards, such as WS-Security, were not written to work with attachments. WS-Security needs to work on all the data that needs to be digitally signed or encrypted, and that means all the data in the attachment also. But if it cannot access it, then it will not work and the signature is effectively invalid.

Often, users want to leave their existing non-XML formats as is, to be treated as opaque sequences of octets by XML tools and infrastructure. Such an approach permits widely used formats such as .jpeg and .wav to peacefully coexist with XML. XOP makes it a bit more realistic to use base64-encoded data. At the current time, XOP only permits base64-encoded data to be optimized.

Using XOP means that instances of XML-type `base64Binary`, if enabled, are transported by using MIME attachments. If XOP is in use, the implementation can automatically encode it. XOP maintains the data model of the XML message because the attachment is treated as base64-encoded data. If an XML stack understands XOP encoding, your application does not need to be changed at all. For example, when it wants to access a .jpeg picture, it can get the character value of the content as a base64-encoded string.

XOP gives people a way to think about MIME messages in a data exchange that they are comfortable with and already use for a lot of other data. The XOP format uses multipart MIME to enable raw binary data to be included into an XML 1.0 document without resorting to base64 encoding.

A companion specification, SOAP Message Transmission Optimization Method (MTOM) then specifies how to bind this format to SOAP. The XOP and MTOM standards should enhance SOAP 1.2 performance. XOP and MTOM together provide the preferred approach for mixing binary data with text-based XML. Coupled together, MTOM and XOP enables us to select what parts of the message need to be sent over the wire as binary while still maintaining the Infoset. These standards enable the attachment of binary data

outside of the SOAP envelope as a message part. However, unlike SwA, the binary data is treated very much as it was within the SOAP envelope, meaning one Infoset.

XOP defines a serialization mechanism for XML Infoset with binary content that is not only applicable to SOAP and MIME packaging, but applicable to any XML Infoset and any packaging mechanism. On the other hand, XML is not a good general-purpose packaging mechanism.

An XOP package is created by placing a serialization of the XML Infoset inside of an extensible packaging format (such as MIME). Note that XOP does reuse MIME for the actual packaging on the wire. Then, selected portions of its content that are base64-encoded binary data are extracted and re-encoded, meaning the data is decoded from base64 and placed into the package. The locations of those selected portions are marked in the XML with a special element that links to the packaged data by using URIs.

The SOAP processing engines performs a temporary Base 64 encoding of the binary data just before the message hits the wire. This temporary encoding enables the SOAP processor to work on the Base 64 data; for example, enabling a WS-Signature of the data to be taken and placed into the header. There is no need for expensive decoding at the other end, and the process works in reverse.

Implementations of MTOM and XOP are available in Java (JAX-WS).

This example shows an XML Infoset prior to XOP processing (SOAP):

```
<soap:Envelope
  xmlns:soap='http://www.w3.org/2003/05/soap-envelope'
  xmlns:xlmime='http://www.w3.org/2004/11/xlmime'>
  <soap:Body>
    <m:data xmlns:m='http://example.org/stuff'>
      <m:photo xlmime:contentType='image/png'>aWKKapGGyQ=</m:photo>
      <m:sig xlmime:contentType='application/pkcs7-signature'>Faa7vR0i2VQ=</m:sig>
    </m:data>
  </soap:Body>
</soap:Envelope>
```

This example shows an XML Infoset that is serialized as a XOP package (SOAP)

```
MIME-Version: 1.0
Content-Type: Multipart/Related;boundary=MIME_boundary;
  type="application/xop+xml";
  start="<mymessage.xml@example.org>";
  startinfo="application/soap+xml; action=\"ProcessData\""
Content-Description: A SOAP message with my pic and sig in it

--MIME_boundary
Content-Type: application/xop+xml;
  charset=UTF-8;
  type="application/soap+xml; action=\"ProcessData\""
Content-Transfer-Encoding: 8bit
Content-ID: <mymessage.xml@example.org>

<soap:Envelope
  xmlns:soap='http://www.w3.org/2003/05/soap-envelope'
  xmlns:xlmime='http://www.w3.org/2004/11/xlmime'>
  <soap:Body>
    <m:data xmlns:m='http://example.org/stuff'>
      <m:photo
        xlmime:contentType='image/png'><xop:Include
          xmlns:xop='http://www.w3.org/2004/08/xop/include'
          href='cid:http://example.org/me.png' /></m:photo>
      <m:sig
        xlmime:contentType='application/pkcs7-signature'><xop:Include
          xmlns:xop='http://www.w3.org/2004/08/xop/include'
          href='cid:http://example.org/my.hsh' /></m:sig>
    </m:data>
  </soap:Body>
</soap:Envelope>

--MIME_boundary
Content-Type: image/png
Content-Transfer-Encoding: binary
Content-ID: <http://example.org/me.png>

// binary octets for png

--MIME_boundary
Content-Type: application/pkcs7-signature
Content-Transfer-Encoding: binary
Content-ID: <http://example.org/my.hsh>
```

```
// binary octets for signature
--MIME_boundary--
```

### ***XML information set:***

XML Information Set (Infoset) is a World Wide Web Consortium (W3C) specification, dated February 4, 2004. An XML information set is an abstract model of the information that is stored in an XML document. The information set establishes a separation between data and information in a way that suits most common uses of XML. Several of the concrete XML data models are defined by referring to XML information set items and their properties.

Whereas an *XML information set* is an abstract model of the information that is stored in an XML document, an *information item* is an abstract representation of some component of an XML document. SOAP Version 1.2 makes use of this abstraction to define the information in a SOAP message without ever referring to XML Version 1.x. The SOAP HTTP binding specifically permits alternative media types that provide for, as a minimum, the transfer of the SOAP XML Infoset.

SOAP Message Transmission Optimization Mechanism (MTOM) describes SOAP 1.2 constructs in terms of information items whereas SOAP 1.1 is defined in terms of XML elements. MTOM enables SOAP bindings to optimize the transmission or wire format (or both) of a SOAP message by selectively encoding portions of the message while still presenting an XML information set to the SOAP application. The SOAP 1.2 attribute is now in the SOAP namespace. The XML information sets require the support of XML namespaces. The core XML recommendation does not require the support of XML namespaces; however namespaces are required to support the XML schema.

The XML information set does not require or favor a specific interface or class of interfaces. The XML information set specification presents the information set as a tree for the sake of clarity and simplicity, but there is no requirement that the XML information set be made available through a tree structure. Other types of interfaces, including but not limited to event-based and query-based interfaces, are also capable of providing information conforming to the information set. As long as the information in the information set is made available to XML applications in one way or another, the requirements of the XML information set are satisfied.

The XML information set provides a set of definitions to be used in other specifications that refer to the information in a well-formed XML document. For any given XML document, there are a number of corresponding information sets.

- A unique minimal information set consisting of the core properties of the core items and nothing else.
- A unique maximal information set consisting of all the core and all the peripheral items with all the peripheral properties, and one for every combination of present and absent peripheral items and properties in between. The in-between information sets must be fully consistent with the maximal information set.

### **Information set items**

The XML information set is a description of the information that is available in a well-formed XML document, and it describes an abstract data model of an XML document in terms of a set of information set items. An information item is an abstract description of some part of an XML document, and each information item has a set of associated named properties. All other information items are accessible from the properties of the document information item, either directly or indirectly through the properties of other information items.

Guidelines for using information set items include:

- There is no requirement for an XML document to be valid in order to have an information set.
- An XML document has an information set if it satisfies the namespace constraints.

- An XML document has an information set if it is well-formed
- Only one document information item is permitted in the information set.
- An information set for an XML document consists of two or more information items.
- The information set for any well-formed XML document will contain at least the minimum information items: one document information item and one element information item.
- Each information item has a set of associated properties, some of which are core and some of which are peripheral.

An information set can contain up to eleven different types of information items:

- Document information item
- Element information items
- Attribute information items
- Processing instruction information items
- Unexpanded entity reference information items
- Character information items
- Comment information items
- The Document Type Declaration (DTD) information item
- Unparsed entity information items
- Notation information items
- Namespace information items

Note that the information set of the XML document might not be a complete list of all information items.

Certain kinds of invalidity affect the values assigned to some properties. Entities, notations, elements and attributes can be undeclared. You can have multiple declarations for notations and elements. Multiple declarations are valid for entities and attributes. An ID can be undefined or multiply defined. Such cases are noted where relevant in the information item definitions in the XML Information Set specification.

## Syntax

The XML information set uses a square-bracket syntax, meaning the property names are shown in square brackets. For example, the document information item has the following properties:

*Table 70. XML information syntax. Specifies the syntax for property names for an XML document information item.*

Property	Description
[children]	An ordered list of child information items, in document order.
[document element]	The element information item corresponding to the document element.
[notations]	An unordered set of notation information items, one for each notation declared in the DTD. If any notation is multiply declared, this property has no value.
[unparsed entities]	An unordered set of unparsed entity information items, one for each unparsed entity declared in the DTD.
[base URI]	The base URI of the document entity.
[character encoding scheme]	The name of the character encoding scheme in which the document entity is expressed.
[standalone]	An indication of the stand-alone status of the document, either yes or no. This property is derived from the optional standalone document declaration in the XML declaration at the beginning of the document entity, and has no value if there is no standalone document declaration.
[version]	A string representing the XML version of the document. This property is derived from the XML declaration optionally present at the beginning of the document entity, and has no value if there is no XML declaration.
[all declarations processed]	This property is not strictly speaking part of the information set of the document. Rather it is an indication of whether the processor has read the complete DTD. Its value is a boolean. If it is false, then certain properties (indicated in their descriptions below) might be unknown. If it is true, those properties are never unknown.

All information sets are understood to describe the XML document with all entity references already expanded; that is, represented by the information items corresponding to their replacement text. In the case that an entity reference cannot be expanded, because an XML processor has not read its declaration or its value, explicit provision is made for representing such a reference in the information set.

## Differences in SOAP versions

Both SOAP Version 1.1 and SOAP Version 1.2 are World Wide Web Consortium (W3C) standards. Web services can be deployed that support not only SOAP 1.1 but also support SOAP 1.2. Some changes from SOAP 1.1 that were made to the SOAP 1.2 specification are significant, while other changes are minor.

The SOAP 1.2 specification introduces several changes to SOAP 1.1. This information is not intended to be an in-depth description of all the new or changed features for SOAP 1.1 and SOAP 1.2. Instead, this information highlights some of the more important differences between the current versions of SOAP.

The changes to the SOAP 1.2 specification that are significant include the following updates:

- SOAP 1.1 is based on XML 1.0. SOAP 1.2 is based on XML Information Set (XML Infoset).  
The XML information set (infoset) provides a way to describe the XML document with XSD schema. However, the infoset does not necessarily serialize the document with XML 1.0 serialization on which SOAP 1.1 is based.. This new way to describe the XML document helps reveal other serialization formats, such as a binary protocol format. You can use the binary protocol format to compact the message into a compact format, where some of the verbose tagging information might not be required. In SOAP 1.2 , you can use the specification of a binding to an underlying protocol to determine which XML serialization is used in the underlying protocol data units. The HTTP binding that is specified in SOAP 1.2 - Part 2 uses XML 1.0 as the serialization of the SOAP message infoset.
- SOAP 1.2 provides the ability to officially define transport protocols, other than using HTTP, as long as the vendor conforms to the binding framework that is defined in SOAP 1.2. While HTTP is ubiquitous, it is not as reliable as other transports including TCP/IP and MQ.
- SOAP 1.2 provides a more specific definition of the SOAP processing model that removes many of the ambiguities that might lead to interoperability errors in the absence of the Web Services-Interoperability (WS-I) profiles. The goal is to significantly reduce the chances of interoperability issues between different vendors that use SOAP 1.2 implementations.
- SOAP with Attachments API for Java (SAAJ) can also stand alone as a simple mechanism to issue SOAP requests. A major change to the SAAJ specification is the ability to represent SOAP 1.1 messages and the additional SOAP 1.2 formatted messages. For example, SAAJ Version 1.3 introduces a new set of constants and methods that are more conducive to SOAP 1.2 (such as `getRole()`, `getRelay()`) on SOAP header elements. There are also additional methods on the factories for SAAJ to create appropriate SOAP 1.1 or SOAP 1.2 messages.
- The XML namespaces for the envelope and encoding schemas have changed for SOAP 1.2. These changes distinguish SOAP processors from SOAP 1.1 and SOAP 1.2 messages and supports changes in the SOAP schema, without affecting existing implementations.
- Java Architecture for XML Web Services (JAX-WS) introduces the ability to support both SOAP 1.1 and SOAP 1.2. Because JAX-RPC introduced a requirement to manipulate a SOAP message as it traversed through the run time, there became a need to represent this message in its appropriate SOAP context. In JAX-WS, a number of additional enhancements result from the support for SAAJ 1.3.
- The Web Services Description Language (WSDL) Version 1.1 specification does not discuss SOAP 1.2. SOAP 1.2 is discussed in the draft versions of WSDL 2.0. WSDL Version 1.1 only defines how to render a SOAP 1.1 payload in a WSDL 1.1 document. To resolve how to represent SOAP 1.2-based services, there is another W3C document that defines how to define a SOAP 1.2 payload within a WSDL 1.1 document. Read about WSDL 1.1 binding extensions for SOAP 1.2.
- SOAP 1.1 is a single document. The SOAP 1.2 specification is organized in the following parts:
  - Part 0 is a non-normative introduction to SOAP.
  - Part 1 describes the structure of SOAP messages, the SOAP processing model and a framework for binding SOAP to underlying protocols. Conformant SOAP implementations must implement everything in Part 1.
  - Part 2 describes optional add-ins to the core of SOAP including a data model and encoding, an RPC convention and a binding to HTTP. Conformant SOAP implementations might implement any of the add-ins in Part 2. However, if add-ins are implemented, they must conform to the relevant parts of the specification.

A fourth document is the Specification Assertions and Test Collection

SOAP 1.2 has a number of changes in syntax and provides additional, clarified semantics from those that are described in SOAP 1.1. The SOAP 1.2 Primer document lists and describes these syntax changes.

## JAX-WS

Java API for XML-Based Web Services (JAX-WS) is the next generation web services programming model complimenting the foundation provided by the Java API for XML-based RPC (JAX-RPC) programming model. Using JAX-WS, development of web services and clients is simplified with more platform independence for Java applications by the use of dynamic proxies and Java annotations.

JAX-WS is a programming model that simplifies application development through support of a standard, annotation-based model to develop web service applications and clients. The JAX-WS technology strategically aligns itself with the current industry trend towards a more document-centric messaging model and replaces the remote procedure call programming model as defined by JAX-RPC. While the JAX-RPC programming model and applications are still supported by this product, JAX-RPC has limitations and does not support various complex document-centric services. JAX-WS is the strategic programming model for developing web services and is a required part of the Java Platform, Enterprise Edition 6 (Java EE 6). JAX-WS is also known as JSR 224.

### Note:

Version 8.0 supports the JAX-WS Version 2.2 and Web Services for Java EE (JSR 109) Version 1.3 specifications.

The JAX-WS 2.2 specification supersedes and includes functions within the JAX-WS 2.1 specification. JAX-WS 2.2 adds client-side support for using `WebServiceFeature`-related annotations such as `@MTOM`, `@Addressing`, and the `@RespectBinding` annotations. JAX-WS 2.1 had previously added support for these annotations on the server. There is also now the ability to enable and configure WS-Addressing support on a client or service by adding WS-Policy assertions into the WSDL document. In addition, the Web Services for Java EE 1.3 specification introduces support for these `WebServiceFeature`-related annotations, as well as support for using deployment descriptor elements to configure these features on both the client and server. JAX-WS 2.2 requires Java Architecture for XML Binding (JAXB) Version 2.2 for data binding.

The implementation of the JAX-WS programming standard provides the following enhancements for developing web services and clients:

- **Enhanced platform independence for Java applications.**

Using JAX-WS APIs, development of web services and clients is simplified with enhanced platform independence for Java applications. JAX-WS takes advantage of the dynamic proxy mechanism to provide a formal delegation model with a pluggable provider. This is an enhancement over JAX-RPC, which relies on the generation of vendor-specific stubs for invocation.

- **Annotations**

JAX-WS provides support for annotating Java classes with metadata to indicate that the Java class is a Web service. JAX-WS supports the use of annotations based on the Metadata Facility for the Java Programming Language (JSR 175) specification, the Web Services Metadata for the Java Platform (JSR 181) specification and annotations defined by the JAX-WS 2.2 specification. Using annotations within the Java source and within the Java class simplifies development of web services. Use annotations to define information that is typically specified in deployment descriptor files, WSDL files, or mapping metadata from XML and WSDL files into the source artifacts.

For example, you can embed a simple `@WebService` tag in the Java source to expose the bean as a web service.

```
@WebService
```

```
public class QuoteBean implements StockQuote {
```



```

    public float getQuote(String sym) { ... }
}

```

The `@WebService` annotation tells the server runtime environment to expose all public methods on that bean as a web service. Additional levels of granularity can be controlled by adding additional annotations on individual methods or parameters. Using annotations makes it much easier to expose Java artifacts as web services. In addition, as artifacts are created from using some of the top-down mapping tools starting from a WSDL file, annotations are included within the source and Java classes as a way of capturing the metadata along with the source files.

Using annotations also improves the development of web services within a team structure because you do not need to define every web service in a single or common deployment descriptor as required with JAX-RPC web services. Taking advantage of annotations with JAX-WS web services enables parallel development of the service and the required metadata.

For JAX-WS web services, the use of the `webservices.xml` deployment descriptor is optional because you can use annotations to specify all of the information that is contained within the deployment descriptor file. You can use the deployment descriptor file to augment or override existing JAX-WS annotations. Any information that you define in the `webservices.xml` deployment descriptor overrides any corresponding information that is specified by annotations.

For example, if your service implementation class for your JAX-WS web service includes the following:

- the `@WebService` annotation:

```
@WebService(wsdlLocation="http://myhost.com/location/of/the/wsdl/ExampleService.wsdl")
```

- the `webservices.xml` file specifies a different file name for the WSDL document as follows:

```

<webservices>
<web-service-description>
<web-service-description-name>ExampleService</web-service-description-name>
<wsdl-file>META-INF/wsdl/ExampleService.wsdl</wsdl-file>
...
</web-service-description>
</webservices>

```

In this case, the value that is specified in the deployment descriptor, `META-INF/wsdl/ExampleService.wsdl` overrides the annotation value.

- **Invoking web services asynchronously**

With JAX-WS, Web services are called both synchronously and asynchronously. JAX-WS adds support for both a polling and callback mechanism when calling web services asynchronously. Using a polling model, a client can issue a request, get a response object back, which is polled to determine if the server has responded. When the server responds, the actual response is retrieved. Using the callback model, the client provides a callback handler to accept and process the inbound response object. Both the polling and callback models enable the client to focus on continuing to process work without waiting for a response to return, while providing for a more dynamic and efficient model to invoke Web services.

For example, a web service interface might have methods for both synchronous and asynchronous requests. Asynchronous requests are identified in bold in the following example:

```

@WebService
public interface CreditRatingService {
    // sync operation
    Score getCreditScore(Customer customer);
    // async operation with polling
    Response<Score> getCreditScoreAsync(Customer customer);
    // async operation with callback
    Future<?> getCreditScoreAsync(Customer customer,
        AsyncHandler<Score> handler);
}

```

The asynchronous invocation that uses the callback mechanism requires an additional input by the client programmer. The callback is an object that contains the application code that is run when an asynchronous response is received. Use the following code example to invoke an asynchronous callback handler:

```

CreditRatingService svc = ...;

Future<?> invocation = svc.getCreditScoreAsync(customerFred,
    new AsyncHandler<Score>() {
        public void handleResponse (
            Response<Score> response)
        {
            Score score = response.get();
            // do work here...
        }
    }
);

```

Use the following code example to invoke an asynchronous polling client:

```

CreditRatingService svc = ...;
Response<Score> response = svc.getCreditScoreAsync(customerFred);

while (!response.isDone()) {
    // Complete an action while we wait.
}

// No cast needed, because of generics.
Score score = response.get();

```

- **Using resource injection**

JAX-WS supports resource injection to further simplify development of web services. JAX-WS uses this key feature of Java EE 5 to shift the burden of creating and initializing common resources in a Java runtime environment from your web service application to the application container environment, itself. JAX-WS provides support for a subset of annotations that are defined in JSR-250 for resource injection and application life cycle in its runtime environment.

The application server also supports the usage of the `@Resource` or `@WebServiceRef` annotation to declare JAX-WS managed clients and to request injection of JAX-WS services and ports. When either of these annotations are used on a field or method, they result in injection of a JAX-WS service or port instance. The usage of these annotations also results in the type specified by the annotation being bound into the JNDI namespace.

The `@Resource` annotation is defined by the JSR-250, Common Annotations specification that is included in Java Platform, Enterprise Edition 5 (Java EE 5). By placing the `@Resource` annotation on a variable of type `javax.xml.ws.WebServiceContext` within a service endpoint implementation class, you can request a resource injection and collect the `javax.xml.ws.WebServiceContext` interface related to that particular endpoint invocation. From the `WebServiceContext` interface, you can collect the `MessageContext` for the request associated with the particular method call using the `getMessageContext()` method.

The `@WebServiceRef` annotation is defined by the JAX-WS specification.

The following example illustrates using the `@Resource` and `@WebServiceRef` annotations for resource injection:

```

@WebService
public class MyService {

    @Resource
    private WebServiceContext ctx;

    @Resource
    private SampleService svc;

    @WebServiceRef
    private SamplePort port;

    public String echo (String input) {
        ...
    }
}

```

Refer to sections 5.2.1 and 5.3 of the JAX-WS specification for more information on resource injection.

- **Data binding with JAXB 2.2**

JAX-WS leverages the Java Architecture for XML Binding (JAXB) 2.2 API and tools as the binding technology for mappings between Java objects and XML documents. JAX-WS tooling relies on JAXB

tooling for default data binding for two-way mappings between Java objects and XML documents. JAXB data binding replaces the data binding described by the JAX-RPC specification.

JAX-WS 2.2 requires JAXB 2.2 for data binding. JAXB 2.2 provides minor enhancements to its annotations for improved schema generation and better integration with JAX-WS.

- **Dynamic and static clients**

The dynamic client API for JAX-WS is called the dispatch client (`javax.xml.ws.Dispatch`). The dispatch client is an XML messaging oriented client. The data is sent in either PAYLOAD or MESSAGE mode. When using the PAYLOAD mode, the dispatch client is only responsible for providing the contents of the `<soap:Body>` and JAX-WS adds the `<soap:Envelope>` and `<soap:Header>` elements. When using the MESSAGE mode, the dispatch client is responsible for providing the entire SOAP envelope including the `<soap:Envelope>`, `<soap:Header>`, and `<soap:Body>` elements. JAX-WS does not add anything additional to the message. The dispatch client supports asynchronous invocations using a callback or polling mechanism.

The static client programming model for JAX-WS is called the proxy client. The proxy client invokes a web service based on a Service Endpoint interface (SEI), which must be provided.

- **Support for MTOM**

Using JAX-WS, you can send binary attachments such as images or files along with web services requests. JAX-WS adds support for optimized transmission of binary data as specified by Message Transmission Optimization Mechanism (MTOM).

- **Multiple data binding technologies**

JAX-WS exposes the following binding technologies to the end user: XML Source, SOAP Attachments API for Java (SAAJ) 1.3, and Java Architecture for XML Binding (JAXB) 2.2. XML Source enables a user to pass a `javax.xml.transform.Source` into the runtime environment which represents the data in a Source object to be processed. SAAJ 1.3 now has the ability to pass an entire SOAP document across the interface rather than just the payload itself. This action is done by the client passing the SAAJ `SOAPMessage` object across the interface. JAX-WS leverages the JAXB 2.2 support as the data binding technology of choice between Java and XML.

- **Support for SOAP 1.2**

Support for SOAP 1.2 has been added to JAX-WS 2.0. JAX-WS supports both SOAP 1.1 and SOAP 1.2 so that you can send binary attachments such as images or files along with web services requests. JAX-WS adds support for optimized transmission of binary data as specified by MTOM.

- **Development tools**

JAX-WS provides the `wsgen` and `wsimport` command-line tools for generating portable artifacts for JAX-WS web services. When creating JAX-WS web services, you can start with either a WSDL file or an implementation bean class. If you start with an implementation bean class, use the `wsgen` command-line tool to generate all the web services server artifacts, including a WSDL file if requested. If you start with a WSDL file, use the `wsimport` command-line tool to generate all the web services artifacts for either the server or the client. The `wsimport` command-line tool processes the WSDL file with schema definitions to generate the portable artifacts, which include the service class, the service endpoint interface class, and the JAXB 2.2 classes for the corresponding XML schema.

- **Support for Web Services for Java EE, version 1.3**

The Web Services for Java EE version 1.3 specification adds support for configuring the MTOM, Addressing, and RespectBinding features on JAX-WS services and clients through the use of both annotations and deployment descriptor entries.

- **Support for empty targetNamespace for the WRAPPED parameter style and return types**

JAX-WS 2.2 supports method parameters and return types. In a JAX-WS web services operation, you can define a web services operation with an operation parameter and an optional return type. If the operation parameter and return type define an empty targetNamespace property by specifying a "" value for the targetNamespace property with either the `@WebParam` or `@WebResult` annotation, the JAX-WS runtime environment behaves in the following way:

- If the operation is document style, the parameter style is WRAPPED, and the parameter does not map to a header, then an empty namespace is mapped with the operation parameters and return types.
- If the parameter style is not WRAPPED, then the value of the targetNamespace parameter specified using the @WebParam or @WebResult annotation is used.

## JAX-WS client programming model

The Java API for XML-Based Web Services (JAX-WS) web service client programming model supports both the Dispatch client API and the Dynamic Proxy client API. The Dispatch client API is a dynamic client programming model, whereas the static client programming model for JAX-WS is the Dynamic Proxy client. The Dispatch and Dynamic Proxy clients enable both synchronous and asynchronous invocation of JAX-WS web services.

- Dispatch client: Use this client when you want to work at the XML message level or when you want to work without any generated artifacts at the JAX-WS level.
- Dynamic Proxy client: Use this client when you want to invoke a web service based on a service endpoint interface.

## Dispatch client

XML-based web services use XML messages for communications between web services and web services clients. The JAX-WS APIs provide high-level methods to simplify and hide the details of converting between Java method invocations and their associated XML messages. However, in some cases, you might desire to work at the XML message level. Support for invoking services at the XML message level is provided by the Dispatch client API. The Dispatch client API, `javax.xml.ws.Dispatch`, is a dynamic JAX-WS client programming interface. To write a Dispatch client, you must have expertise with the Dispatch client APIs, the supported object types, and knowledge of the message representations for the associated Web Services Description Language (WSDL) file. The Dispatch client can send data in either MESSAGE or PAYLOAD mode. When using the `javax.xml.ws.Service.Mode.MESSAGE` mode, the Dispatch client is responsible for providing the entire SOAP envelope including the `<soap:Envelope>`, `<soap:Header>`, and `<soap:Body>` elements. When using the `javax.xml.ws.Service.Mode.PAYLOAD` mode, the Dispatch client is only responsible for providing the contents of the `<soap:Body>` and JAX-WS includes the payload in a `<soap:Envelope>` element.

The Dispatch client API requires application clients to construct messages or payloads as XML which requires a detailed knowledge of the message or message payload. The Dispatch client supports the following types of objects:

- `javax.xml.transform.Source`: Use Source objects to enable clients to use XML APIs directly. You can use Source objects with SOAP or HTTP bindings.
- JAXB objects: Use JAXB objects so that clients can use JAXB objects that are generated from an XML schema to create and manipulate XML with JAX-WS applications. JAXB objects can only be used with SOAP or HTTP bindings.
- `javax.xml.soap.SOAPMessage`: Use SOAPMessage objects so that clients can work with SOAP messages. You can only use SOAPMessage objects with SOAP bindings.
- `javax.activation.DataSource`: Use DataSource objects so that clients can work with Multipurpose Internet Mail Extension (MIME) messages. Use DataSource only with HTTP bindings.

For example, if the input parameter type is `javax.xml.transform.Source`, the call to the Dispatch client API is similar to the following code example:

```
Dispatch<Source> dispatch = ... create a Dispatch<Source>
Source request = ... create a Source object
Source response = dispatch.invoke(request);
```

The Dispatch parameter value determines the return type of the `invoke()` method.

The Dispatch client is invoked in one of three ways:

- Synchronous invocation for requests and responses using the `invoke` method
- Asynchronous invocation for requests and responses using the `invokeAsync` method with a callback or polling object
- One-way invocation using the `invokeOneWay` methods

Refer to Chapter 4, section 3 of the JAX-WS specification for more information on using a Dispatch client.

## Dynamic Proxy client

The static client programming model for JAX-WS is called the Dynamic Proxy client. The Dynamic Proxy client invokes a web service based on a Service Endpoint Interface (SEI) which must be provided. The Dynamic Proxy client is similar to the stub client in the Java API for XML-based RPC (JAX-RPC) programming model. Although the JAX-WS Dynamic Proxy client and the JAX-RPC stub client are both based on the Service Endpoint Interface (SEI) that is generated from a WSDL file, there is a major difference. The Dynamic Proxy client is dynamically generated at run time using the Java 5 Dynamic Proxy functionality, while the JAX-RPC-based stub client is a non-portable Java file that is generated by tooling. Unlike the JAX-RPC stub clients, the Dynamic Proxy client does not require you to regenerate a stub prior to running the client on an application server for a different vendor because the generated interface does not require the specific vendor information.

The Dynamic Proxy instances extend the `java.lang.reflect.Proxy` class and leverage the Dynamic Proxy function in the base Java SE Runtime Environment (JRE) 6. The client application can then provide an interface that is used to create the proxy instance while the runtime is responsible for dynamically creating a Java object that represents the SEI.

The Dynamic Proxy client is invoked in one of three ways:

- Synchronous invocation for requests and responses using the `invoke` method
- Asynchronous invocation for requests and responses using the `invokeAsync` method with a callback or polling object
- One-way invocation using the `invokeOneWay` methods

Refer to Chapter 4 of the JAX-WS specification for more information on using Dynamic Proxy clients.

## JAX-WS annotations

Java API for XML-Based Web Services (JAX-WS) relies on the use of annotations to specify metadata associated with web services implementations and to simplify the development of web services. Annotations describe how a server-side service implementation is accessed as a web service or how a client-side Java class accesses web services.

The JAX-WS programming standard introduces support for annotating Java classes with metadata that is used to define a service endpoint application as a web service and how a client can access the web service. JAX-WS supports the use of annotations based on the Metadata Facility for the Java Programming Language (Java Specification Request (JSR) 175) specification, the Web Services Metadata for the Java Platform (JSR 181) specification and annotations defined by the JAX-WS 2.0 and later (JSR 224) specification which includes JAXB annotations. Using annotations from the JSR 181 standard, you can simply annotate the service implementation class or the service interface and now the application is enabled as a web service. Using annotations within the Java source simplifies development and deployment of web services by defining some of the additional information that is typically obtained from deployment descriptor files, WSDL files, or mapping metadata from XML and WSDL into the source artifacts.

Use annotations to configure bindings, handler chains, set names of portType, service and other WSDL parameters. Annotations are used in mapping Java to WSDL and schema, and at runtime to control how the JAX-WS runtime processes and responds to web service invocations.

For JAX-WS web services, the use of the `webservices.xml` deployment descriptor is optional because you can use annotations to specify all of the information that is contained within the deployment descriptor file. You can use the deployment descriptor file to augment or override existing JAX-WS annotations. Any information that you define in the `webservices.xml` deployment descriptor overrides any corresponding information that is specified by annotations.

**Note:**

Starting with WebSphere Application Server Version 7.0 and later, Java EE 5 application modules (Web application modules version 2.5 or above, or EJB modules version 3.0 or above) are scanned for annotations to identify JAX-WS services and clients. However, pre-Java EE 5 application modules (web application modules version 2.4 or before, or EJB modules version 2.1 or before) are not scanned for JAX-WS annotations, by default, for performance considerations.

In the Version 6.1 Feature Pack for Web Services, the default behavior is to scan pre- Java Platform, Enterprise Edition (Java EE) 5 web application modules to identify JAX-WS services and to scan pre-Java EE 5 web application modules and EJB modules for service clients during application installation. Because the default behavior for WebSphere Application Server Version 7.0 and later is to not scan pre-Java EE 5 modules for annotations during application installation or server startup, to preserve backward compatibility with the feature pack from previous releases, you must configure one of the following properties:

- You can set the `UseWSFEP61ScanPolicy` property in the META-INF/MANIFEST.MF of a WAR file or EJB module to `true`. For example:

```
Manifest-Version: 1.0
UseWSFEP61ScanPolicy: true
```

When this property is set to `true` in the META-INF/MANIFEST.MF file of the module, the module is scanned for JAX-WS annotations regardless of the Java EE version of the module. The default value is `false` and when the default value is in effect, JAX-WS annotations are only supported in modules whose version is Java EE 5 or later.

- You can set the `com.ibm.websphere.webservices.UseWSFEP61ScanPolicy` custom Java virtual machine (JVM) property using the administrative console. See the JVM custom properties documentation for the correct navigation path to use. To request annotation scanning in all modules regardless of their Java EE version, set the custom property `com.ibm.websphere.webservices.UseWSFEP61ScanPolicy` to `true`. You must change the setting on each server that requires a change in the default behavior.

If the property is set within the META-INF/MANIFEST.MF file of the module, this setting takes precedence over the server's custom JVM property. When using either property, you must establish the desired annotation scanning behavior before the application is installed. You cannot dynamically change the scanning behavior once an application is installed. If changes to the behavior are required after your application is installed, you must first uninstall the application, specify the desired scanning behavior using the appropriate property and then install the application again. When federating nodes that have the `com.ibm.websphere.webservices.UseWSFEP61ScanPolicy` set to `true` in the configuration of the servers contained within the node, this property does not affect the deployment manager. You must set the property to `true` on the deployment manager before the node is federated to preserve the behavior as it was on the node before federation.

**Note:** When federating nodes that have the `com.ibm.websphere.webservices.UseWSFEP61ScanPolicy` set to `true` in the configuration of the servers contained within the node, this does not affect the deployment manager. You must set the property to `true` on the deployment manager before the node is federated if you wish to preserve the behavior as it was on the node before federation.

Annotations supported by JAX-WS are listed in the table below. The target for annotations is applicable for these Java objects:

- types such as a Java class, enum or interface

- methods
- fields representing local instance variables within a Java class
- parameters within a Java method

Table 71. Web services Metadata Annotations (JSR 181). Describes the supported web services metadata annotations and their associated properties.

Annotation class	Annotation	Properties
javax.jws.WebService	<p>The <b>@WebService</b> annotation marks a Java class as implementing a Web service or marks a service endpoint interface (SEI) as implementing a web service interface.</p> <p><b>Important:</b></p> <ul style="list-style-type: none"> <li>A Java class that implements a web service must specify either the <code>@WebService</code> or <code>@WebServiceProvider</code> annotation. Both annotations cannot be present.</li> </ul> <p>This annotation is applicable on a client or server SEI or a server endpoint implementation class.</p> <ul style="list-style-type: none"> <li>If the annotation references an SEI through the <code>endpointInterface</code> attribute, the SEI must also be annotated with the <code>@WebService</code> annotation.</li> <li>See the exposing methods in SEI-based JAX-WS web services information to learn about best practices for using the <code>@WebService</code> and <code>@WebMethod</code> annotations on a service endpoint implementation to specify Java methods that you want to expose as JAX-WS web services.</li> </ul>	<ul style="list-style-type: none"> <li><b>Annotation target:</b> Type</li> <li><b>Properties:</b> <ul style="list-style-type: none"> <li>- <b>name</b> The name of the <code>wsdl:portType</code>. The default value is the unqualified name of the Java class or interface. (String)</li> <li>- <b>targetNamespace</b> Specifies the XML namespace of the WSDL and XML elements generated from the web service. The default value is the namespace mapped from the package name containing the web service. (String)</li> <li>- <b>serviceName</b> Specifies the service name of the web service: <code>wsdl:service</code>. The default value is the simple name of the Java class + <code>Service</code>. (String)</li> <li>- <b>endpointInterface</b> Specifies the qualified name of the service endpoint interface that defines the services' abstract web service contract. If specified, the service endpoint interface is used to determine the abstract WSDL contract. (String)</li> <li>- <b>portName</b> The <code>wsdl:portName</code>. The default value is <code>WebService.name + Port</code>. (String)</li> <li>- <b>wsdlLocation</b> Specifies the web address of the WSDL document defining the web service. The web address is either relative or absolute. (String)</li> </ul> </li> </ul>
javax.jws.WebMethod	<p>The <b>@WebMethod</b> annotation denotes a method that is a web service operation.</p> <p>Apply this annotation to methods on a client or server Service Endpoint Interface (SEI) or a server endpoint implementation class.</p> <p><b>Solaris HP-UX</b></p> <p><b>Note:</b> Beginning with Sun and HP JDK Version 1.6 containing JAX-WS tooling Version 2.1.6, the behavior of the JAX-WS runtime environments and tooling has changed in how it determines which methods are exposed as web services operations in an effort to better adhere to the JAX-WS specifications. Applications that might be affected by this change include web services applications that do not specify a WSDL file or an explicit service endpoint interface (SEI). To learn more, see the exposing methods in SEI-based JAX-WS web services information.</p>	<ul style="list-style-type: none"> <li><b>Annotation target:</b> Method</li> <li><b>Properties:</b> <ul style="list-style-type: none"> <li>- <b>operationName</b> Specifies the name of the <code>wsdl:operation</code> matching this method. The default value is the name of Java method. (String)</li> <li>- <b>action</b> Defines the action for this operation. For SOAP bindings, this value determines the value of the SOAPAction header. The default value is the name of Java method. (String)</li> <li>- <b>exclude</b> Specifies whether to exclude a method from the web service. The default value is <code>false</code>. (Boolean)</li> </ul> </li> </ul>



Table 71. Web services Metadata Annotations (JSR 181) (continued). Describes the supported web services metadata annotations and their associated properties.

Annotation class	Annotation	Properties
javax.jws.Oneway	The <b>@Oneway</b> annotation denotes a method as a web service one-way operation that only has an input message and no output message.  Apply this annotation to methods on a client or server Service Endpoint Interface (SEI) or a server endpoint implementation class.	<ul style="list-style-type: none"> <li>• Annotation target: Method</li> <li>• There are no properties on the Oneway annotation.</li> </ul>
javax.jws.WebParam	The <b>@WebParam</b> annotation customizes the mapping of an individual parameter to a web service message part and XML element.  Apply this annotation to methods on a client or server Service Endpoint Interface (SEI) or a server endpoint implementation class.	<ul style="list-style-type: none"> <li>• Annotation target: Parameter</li> <li>• Properties:                             <ul style="list-style-type: none"> <li>- name                                     <ul style="list-style-type: none"> <li>The name of the parameter. If the operation is remote procedure call (RPC) style and the <code>partName</code> attribute is not specified, then this is the name of the <code>wsdl:part</code> attribute representing the parameter. If the operation is document style or the parameter maps to a header, then <code>-name</code> is the local name of the XML element representing the parameter. This attribute is required if the operation is document style, the parameter style is BARE, and the mode is OUT or INOUT. (String)</li> </ul> </li> <li>- partName                                     <ul style="list-style-type: none"> <li>Defines the name of <code>wsdl:part</code> attribute representing this parameter. This is only used if the operation is RPC style, or the operation is document style and the parameter style is BARE. (String)</li> </ul> </li> <li>- targetNamespace                                     <ul style="list-style-type: none"> <li>Specifies the XML namespace of the XML element for the parameter. Applies only for document bindings when the attribute maps to an XML element. The default value is the <code>targetNamespace</code> for the web service. (String)</li> </ul> </li> <li>- mode                                     <ul style="list-style-type: none"> <li>The value represents the direction the parameter flows for this method. Valid values are IN, INOUT, and OUT. (String)</li> </ul> </li> <li>- header                                     <ul style="list-style-type: none"> <li>Specifies whether the parameter is in a message header rather than a message body. The default value is <code>false</code>. (Boolean)</li> </ul> </li> </ul> </li> </ul>

Table 71. Web services Metadata Annotations (JSR 181) (continued). Describes the supported web services metadata annotations and their associated properties.

Annotation class	Annotation	Properties
<p>javax.jws.WebResult</p>	<p>The <b>@WebResult</b> annotation customizes the mapping of a return value to a WSDL part or XML element.</p> <p>Apply this annotation to methods on a client or server Service Endpoint Interface (SEI) or a server endpoint implementation class.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Method</li> <li>• Properties: <ul style="list-style-type: none"> <li>- <b>name</b> Specifies the name of the return value as it is listed in the WSDL file and found in messages on the wire. For RPC bindings, this is the name of the <code>wsdl:part</code> attribute representing the return value. For document bindings, the <code>-name</code> parameter is the local name of the XML element representing the return value. The default value is <code>return</code> for RPC and <code>DOCUMENT/Wrapped</code> bindings. The default value is the method name + <code>Response</code> for <code>DOCUMENT/BARE</code> bindings. (String)</li> <li>- <b>targetNamespace</b> Specifies the XML namespace for the return value. This parameter is only used if the operation is RPC style or if the operation is <code>DOCUMENT</code> style and the parameter style is <code>BARE</code>. (String)</li> <li>- <b>header</b> Specifies whether the result is carried in a header. The default value is <code>false</code>. (Boolean)</li> <li>- <b>partName</b> Specifies the part name for the result with <code>RPC</code> or <code>DOCUMENT/BARE</code> operations. The default value is <code>@WebResult.name</code>. (String)</li> </ul> </li> </ul>
<p>javax.jws.HandlerChain</p>	<p>The <b>@HandlerChain</b> annotation associates the web service with an externally defined handler chain.</p> <p>You can only configure the server side handler by using the <code>@HandlerChain</code> annotation on the <code>Service Endpoint Interface (SEI)</code> or the server endpoint implementation class.</p> <p>Use one of several ways to configure a client side handler. You can configure a client side handler by using the <code>@HandlerChain</code> annotation on the generated service class or <code>SEI</code>. Additionally, you can programmatically register your own implementation of the <code>HandlerResolver</code> interface on the <code>Service</code>, or programmatically set the handler chain on the <code>Binding</code> object.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Type</li> <li>• Properties: <ul style="list-style-type: none"> <li>- <b>file</b> Specifies the location of the handler chain file. The file location is either an absolute <code>java.net.URL</code> in external form or a relative path from the class file. (String)</li> <li>- <b>name</b> Specifies the name of the handler chain in the configuration file. (String)</li> </ul> </li> </ul>

Table 71. Web services Metadata Annotations (JSR 181) (continued). Describes the supported web services metadata annotations and their associated properties.

<p><b>Annotation class</b></p> <p>javax.jws.SOAPBinding</p>	<p><b>Annotation</b></p> <p>The <b>@SOAPBinding</b> annotation specifies the mapping of the web service onto the SOAP message protocol.</p> <p>Apply this annotation to a type or methods on a client or server Service Endpoint Interface (SEI) or a server endpoint implementation class.</p> <p>The method level annotation is limited in what it can specify and is only used if the <code>style</code> property is <code>DOCUMENT</code>. If the method level annotation is not specified, the <b>@SOAPBinding</b> behavior from the type is used.</p>	<p><b>Properties</b></p> <ul style="list-style-type: none"> <li>• Annotation target: Type or Method</li> <li>• Properties: <ul style="list-style-type: none"> <li>- <b>style</b> Defines encoding style for messages sent to and from the web service. The valid values are <code>DOCUMENT</code> and <code>RPC</code>. The default value is <code>DOCUMENT</code>. (String)</li> <li>- <b>use</b> Defines the formatting used for messages sent to and from the web service. The default value is <code>LITERAL</code>. <code>ENCODED</code> is not supported. (String)</li> <li>- <b>parameterStyle</b> Determines whether the method's parameters represent the entire message body or whether parameters are elements wrapped inside a top-level element named after the operation. Valid values are <code>WRAPPED</code> or <code>BARE</code>. You can only use the <code>BARE</code> value with <code>DOCUMENT</code> style bindings. The default value is <code>WRAPPED</code>. (String)</li> </ul> </li> </ul>
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Table 72. JAX-WS Annotations (JSR 224). Describes the supported JAX-WS annotations and their associated properties.

Annotation class	Annotation	Properties
<p>javax.xml.ws.Action</p>	<p>The <b>@Action</b> annotation specifies the WS-Addressing action that is associated with a web service operation.</p> <p>When you use this annotation with a particular method, and generate the corresponding WSDL document, the WS-Addressing Action extension attribute is added to the input and output elements of the WSDL operation that corresponds to that method.</p> <p>To add this attribute to the WSDL operation, you must also specify the @Addressing annotation on the server endpoint implementation class. If you do not want to use the @Addressing annotation you can supply your own WSDL document with the Action attribute already defined.</p>	<ul style="list-style-type: none"> <li>Annotation target: Method</li> <li>Properties: <ul style="list-style-type: none"> <li><b>fault</b> Specifies the array of FaultAction for the wsdl:fault of the operation. (String)</li> <li><b>input</b> Specifies the action for thewsdl:input of the operation. (String)</li> <li><b>output</b> Specifies the action for thewsdl:output of the operation. (String)</li> </ul> </li> </ul>
<p>javax.xml.ws.BindingType</p>	<p>The <b>@BindingType</b> annotation specifies the binding to use when publishing an endpoint of this type.</p> <p>Apply this annotation to a server endpoint implementation class.</p> <p><b>Important:</b></p> <ul style="list-style-type: none"> <li>You can use the @BindingType annotation on the JavaBeans endpoint implementation class to enable MTOM by specifying either javax.xml.ws.soap.SOAPBinding.SOAP11HTTP_MTOM_BINDING or javax.xml.ws.soap.SOAPBinding.SOAP12HTTP_MTOM_BINDING as the value for the annotation.</li> </ul>	<ul style="list-style-type: none"> <li>Annotation target: Type</li> <li>Properties: <ul style="list-style-type: none"> <li><b>value</b> Indicates the binding identifier web address. Valid values are javax.xml.ws.soap.SOAPBinding.SOAP11HTTP_BINDING, javax.xml.ws.soap.SOAPBinding.SOAP12HTTP_BINDING, and javax.xml.ws.http.HTTPBinding.HTTP2HTTP_BINDING. The default value is javax.xml.ws.soap.SOAPBinding.SOAP11HTTP_BINDING. (String)</li> </ul> </li> </ul>
<p>javax.xml.ws.FaultAction</p>	<p>The <b>@FaultAction</b> annotation specifies the WS-Addressing action that is added to a fault response.</p> <p>This annotation must be contained within an @Action annotation.</p> <p>When you use this annotation with a particular method, the WS-Addressing FaultAction extension attribute is added to the fault element of the WSDL operation that corresponds to that method.</p> <p>To add this attribute to the WSDL operation, you must also specify the @Addressing annotation on the server endpoint implementation class. If you do not want to use the @Addressing annotation you can supply your own WSDL document with the Action attribute already defined.</p>	<ul style="list-style-type: none"> <li>Annotation target: Method</li> <li>Properties: <ul style="list-style-type: none"> <li><b>value</b> Specifies the action of the wsdl:fault of the operation. (String)</li> <li><b>output</b> Specifies the name of the exception class. (String)</li> <li><b>className</b> Specifies the name of the class representing the request wrapper. (String)</li> </ul> </li> </ul>

Table 72. JAX-WS Annotations (JSR 224) (continued). Describes the supported JAX-WS annotations and their associated properties.

Annotation class	Annotation	Properties
<p>javax.xml.ws.RequestWrapper</p>	<p>The <b>@RequestWrapper</b> annotation supplies the JAXB generated request wrapper bean, the element name, and the namespace for serialization and deserialization with the request wrapper bean that is used at runtime.</p> <p>When starting with a Java object, this element is used to resolve overloading conflicts in document literal mode. Only the <code>className</code> attribute is required in this case.</p> <p>Apply this annotation to methods on a client or server Service Endpoint Interface (SEI) or a server endpoint implementation class.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Method</li> <li>• Properties: <ul style="list-style-type: none"> <li>- <b>localName</b> Specifies the local name of the XML schema element representing the request wrapper. The default value is the <code>operationName</code> as defined in <code>javax.xml.ws.WebMethod</code> annotation. (String)</li> <li>- <b>targetNamespace</b> Specifies the XML namespace of the request wrapper method. The default value is the target namespace of the SEI. (String)</li> <li>- <b>className</b> Specifies the name of the class representing the request wrapper. (String)</li> <li>- <b>partName</b> Specifies the name of the <code>wsdl:part</code> attribute that represents the XML schema element for the <code>RequestWrapper</code> class. This property is applicable for JAX-WS 2.2 and later. (String)</li> </ul> </li> </ul>
<p>javax.xml.ws.ResponseWrapper</p>	<p>The <b>@ResponseWrapper</b> annotation supplies the JAXB generated response wrapper bean, the element name, and the namespace for serialization and deserialization with the response wrapper bean that is used at runtime.</p> <p>When starting with a Java object, this element is used to resolve overloading conflicts in document literal mode. Only the <code>className</code> attribute is required in this case.</p> <p>Apply this annotation to methods on a client or server Service Endpoint Interface (SEI) or a server endpoint implementation class.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Method</li> <li>• Properties: <ul style="list-style-type: none"> <li>- <b>localName</b> Specifies the local name of the XML schema element representing the request wrapper. The default value is the <code>operationName</code> + <code>Response</code>. <code>operationName</code> is defined in <code>javax.xml.ws.WebMethod</code> annotation. (String)</li> <li>- <b>targetNamespace</b> Specifies the XML namespace of the request wrapper method. The default value is the target namespace of the SEI. (String)</li> <li>- <b>className</b> Specifies the name of the class representing the response wrapper. (String)</li> <li>- <b>partName</b> Specifies the name of the <code>wsdl:part</code> attribute that represents the XML schema element for the <code>ResponseWrapper</code> class. This property is applicable for JAX-WS 2.2 and later. (String)</li> </ul> </li> </ul>

Table 72. JAX-WS Annotations (JSR 224) (continued). Describes the supported JAX-WS annotations and their associated properties.

Annotation class	Annotation	Properties
javax.xml.ws.RespectBinding	<p>The <b>@RespectBinding</b> annotation specifies whether the JAX-WS implementation must use the contents of the wsdl:binding for an endpoint.</p> <p>When this annotation is specified, a check is performed to ensure all required WSDL extensibility elements with the enabled attribute set to true are supported.</p> <p>Apply this annotation to methods on a server endpoint implementation class.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Method</li> <li>• Properties:                             <ul style="list-style-type: none"> <li>- <b>enabled</b> Specifies whether the wsdl:binding must be used or not. The default value is true. (Boolean)</li> </ul> </li> </ul>
javax.xml.ws.ServiceMode	<p>The <b>@ServiceMode</b> annotation specifies whether a service provider needs to have access to an entire protocol message or just the message payload.</p> <p><b>Important:</b></p> <ul style="list-style-type: none"> <li>• The @ServiceMode annotation is only supported on classes that are annotated with the @WebServiceProvider annotation.</li> </ul>	<ul style="list-style-type: none"> <li>• Annotation target: Type</li> <li>• Properties:                             <ul style="list-style-type: none"> <li>- <b>value</b> Indicates whether the provider class accepts the payload of the message, PAYLOAD or the entire message MESSAGE. The default value is PAYLOAD. (String)</li> </ul> </li> </ul>
javax.xml.ws.soap.Addressing	<p>The <b>@Addressing</b> annotation specifies that this service wants to enable WS-Addressing support.</p> <p>Apply this annotation to methods on a server endpoint implementation class.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Type</li> <li>• Properties:                             <ul style="list-style-type: none"> <li>- <b>enabled</b> Specifies if WS-Addressing is enabled or not. The default value is true. (Boolean)</li> <li>- <b>required</b> Specifies that WS-Addressing headers must be present on incoming messages. The default value is false. (Boolean)</li> <li>- <b>responses</b> Specifies the message exchange pattern to use. The default value is Responses.ALL. This property is applicable for JAX-WS 2.2 and later. (String)</li> </ul> </li> </ul>

Table 72. JAX-WS Annotations (JSR 224) (continued). Describes the supported JAX-WS annotations and their associated properties.

Annotation class	Annotation	Properties
<p>javax.xml.ws.soap.MTOM</p>	<p>The <b>@MTOM</b> annotation specifies whether binary content in the body of a SOAP message is sent using MTOM.</p> <p>Apply this annotation to a service endpoint implementation class.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Class</li> <li>• Properties: <ul style="list-style-type: none"> <li>- <b>enabled</b> Specifies if MTOM is enabled for the JAX-WS endpoint. The default value is true. (Boolean)</li> <li>- <b>threshold</b> Specifies the minimum size for messages that are sent using MTOM. When the message size is less than this specified integer, the message is inlined in the XML document as base64 or hexBinary data. (integer)</li> </ul> </li> </ul>
<p>javax.xml.ws.WebFault</p>	<p>The <b>@WebFault</b> annotation maps WSDL faults to Java exceptions. It is used to capture the name of the fault during the serialization of the JAXB type that is generated from a global element referenced by a WSDL fault message. It can also be used to customize the mapping of service specific exceptions to WSDL faults.</p> <p>This annotation can only be applied to a fault implementation class on the client or server.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Type</li> <li>• Properties: <ul style="list-style-type: none"> <li>- <b>name</b> Specifies the local name of the XML element that represents the corresponding fault in the WSDL file. The actual value must be specified. (String)</li> <li>- <b>targetNamespace</b> Specifies the namespace of the XML element that represents the corresponding fault in the WSDL file. (String)</li> <li>- <b>faultBean</b> Specifies the name of the fault bean class. (String)</li> <li>- <b>messageName</b> Specifies the name of the wsdl:message attribute that represents the corresponding fault in the WSDL file. This property is applicable for JAX-WS 2.2 and later. (String)</li> </ul> </li> </ul>

Table 72. JAX-WS Annotations (JSR 224) (continued). Describes the supported JAX-WS annotations and their associated properties.

<p><b>Annotation class</b></p> <p>javax.xml.ws.WebServiceProvider</p>	<p><b>Annotation</b></p> <p>The <b>@WebServiceProvider</b> annotation denotes that a class satisfies requirements for a JAX-WS Provider implementation class.</p> <p><b>Important:</b></p> <ul style="list-style-type: none"> <li>• A Java class that implements a web service must specify either the <code>@WebService</code> or <code>@WebServiceProvider</code> annotation. Both annotations cannot be present.</li> <li>• The <code>@WebServiceProvider</code> annotation is only supported on the service implementation class.</li> <li>Any class with the <code>@WebServiceProvider</code> annotation must implement the <code>javax.xml.ws.Provider</code> interface.</li> </ul>	<p><b>Properties</b></p> <ul style="list-style-type: none"> <li>• Annotation target: Type</li> <li>• Properties: <ul style="list-style-type: none"> <li>- <b>targetNamespace</b> Specifies the XML namespace of the WSDL and XML elements generated from the web service. The default value is the namespace mapped from the package name containing the web service. (String)</li> <li>- <b>serviceName</b> Specifies the service name of the web service; <code>wsdl:service</code>. The default value is the simple name of the Java class + <code>Service</code>. (String)</li> <li>- <b>portName</b> The <code>wsdl:portName</code>. The default value is the name of the class + <code>Port</code>. (String)</li> <li>- <b>wsdlLocation</b> The web address of the WSDL document defining the web service. This attribute is required. (String)</li> </ul> </li> </ul>
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Table 72. JAX-WS Annotations (JSR 224) (continued). Describes the supported JAX-WS annotations and their associated properties.

Annotation class	Annotation	Properties
<p>javax.xml.ws.WebServiceRef</p>	<p><b>Annotation</b></p> <p>The <b>@WebServiceRef</b> annotation defines a reference to a web service invoked by the client.</p> <p><b>Important:</b></p> <ul style="list-style-type: none"> <li>The <b>@WebServiceRef</b> annotation can be used to inject instances of JAX-WS services and ports.</li> <li>The <b>@WebServiceRef</b> annotation is only supported in certain class types. Examples are JAX-WS endpoint implementation classes, JAX-WS handler classes, Enterprise JavaBeans classes, and servlet classes. This annotation is supported in the same class types as the <b>@Resource</b> annotation. See the Java Platform, Enterprise Edition (Java EE) 5 specification for a complete list of supported class types.</li> </ul>	<ul style="list-style-type: none"> <li><b>Annotation target:</b> Type, Field or Method</li> <li><b>Properties:</b> <ul style="list-style-type: none"> <li>- <b>name</b> Specifies the JNDI name of the resource. The field name is the default for field annotations. The JavaBeans property name that corresponds to the method is the default for method annotations. You must specify a value for class annotations as there is no default. (String)</li> <li>- <b>type</b> Indicates the Java type of the resource. The field type is the default for field annotations. The type of the JavaBeans property is the default for method annotations. You must specify a value for class annotations as there is no default. (Class)</li> <li>- <b>mappedName</b> Specifies the name to map this resource to. (String)</li> <li>- <b>value</b> Indicates the value of the service class and it is a type that extends <code>javax.xml.ws.Service</code>. This attribute is required when the type of the reference is a service endpoint interface. (Class)</li> <li>- <b>wsdlLocation</b> The web address of the WSDL document defining the web service. This attribute is required. (String)</li> <li>- <b>lookup</b> Specifies the JNDI lookup name for the target web service. This property is applicable for JAX-WS 2.2 and later. (String)</li> </ul> </li> </ul>
<p>javax.xml.ws.WebServiceRefs</p>	<p>The <b>@WebServiceRefs</b> annotation associates multiple <b>@WebServiceRef</b> annotations with a specific class.</p> <p><b>Important:</b></p> <ul style="list-style-type: none"> <li>The <b>@WebServiceRef</b> annotation is only supported in certain class types. Examples are JAX-WS endpoint implementation classes, JAX-WS handler classes, Enterprise JavaBeans classes, and servlet classes. This annotation is supported in the same class types as the <b>@Resource</b> annotation. See the Java Platform, Enterprise Edition (Java EE) 5 specification for a complete list of supported class types.</li> </ul>	<ul style="list-style-type: none"> <li><b>Annotation target:</b> Type</li> <li><b>Properties:</b> <ul style="list-style-type: none"> <li>- <b>value</b> Specifies an array for multiple web service reference declarations. This attribute is required.</li> </ul> </li> </ul>

Table 73. JAX-WS Common Annotations (JSR 250). Describes the supported JAX-WS common annotations and their associated properties.

Annotation class	Annotation	Properties
<p>javax.annotation.Resource</p>	<p>The <b>@Resource</b> annotation marks a WebServiceContext resource needed by the application.</p> <p><b>Important:</b></p> <p>Applying this annotation to a WebServiceContext type field on the server endpoint implementation class for a JavaBeans endpoint or a Provider endpoint results in the container injecting an instance of the WebServiceContext into the specified field.</p> <p>When this annotation is used in place of the @WebServiceRef annotation, the rules described for the @WebServiceRef annotation apply.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Field or Method</li> <li>• Properties: <ul style="list-style-type: none"> <li>- <b>type</b></li> </ul> </li> </ul> <p>Indicates the Java type of the resource. You are required to use the default, java.lang.Object or javax.xml.ws.WebServiceContext value. If the type is the default, the resource must be injected into a field or a method. In this case, the type of the field or the type of the JavaBeans property defined by the method must be javax.xml.ws.WebServiceContext. (Class)</p> <p>If you are using this annotation to inject a web service, see the description of the @WebServiceRef type attribute.</p>
<p>javax.annotation.Resources</p>	<p>The <b>@Resources</b> annotation associates multiple @Resource annotations with a specific class and serves as a container for multiple resource declarations.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Field or Method</li> <li>• Properties: <ul style="list-style-type: none"> <li>- <b>value</b></li> </ul> </li> </ul> <p>Specifies an array for multiple @Resource annotations. This attribute is required.</p>
<p>javax.annotation.PostConstruct</p>	<p>The <b>@PostConstruct</b> annotation marks a method that needs to run after dependency injection is performed on the class.</p> <p>Apply this annotation to a JAX-WS application handler, a server endpoint implementation class.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Method</li> </ul>
<p>javax.annotation.PreDestroy</p>	<p>The <b>@PreDestroy</b> annotation marks a method that must be run when the instance is in the process of being removed by the container.</p> <p>Apply this annotation to a JAX-WS application handler or a server endpoint implementation class.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Method</li> </ul>

Table 74. IBM proprietary annotations. Describes the supported IBM proprietary annotations and their associated properties.

Annotation class	Annotation	Properties
<p>com.ibm.websphere.wsaddressing. Jaxws21. SubmissionAddressing</p>	<p>The <b>@SubmissionAddressing</b> annotation specifies that this service wants to enable WS-Addressing support for the 2004/08 WS-Addressing specification.</p> <p>This annotation is part of the IBM implementation of the JAX-WS 2.1 specification.</p> <p>Apply this annotation to methods on a server endpoint implementation class.</p>	<ul style="list-style-type: none"> <li>• Annotation target: Type</li> <li>• Properties:               <ul style="list-style-type: none"> <li>- <b>enabled</b> Specifies if WS-Addressing is enabled or not. The default value is true. (Boolean)</li> <li>- <b>required</b> Specifies that WS-Addressing headers must be present on incoming messages. The default value is false. (Boolean)</li> </ul> </li> </ul>

## JAX-WS application packaging

You can package a Java Application Programming Interface (API) for XML Web Services (JAX-WS) application as a web service. A JAX-WS web service is contained within a web application archive (WAR) file or a WAR module within an enterprise archive (EAR) file.

A JAX-WS enabled WAR file contains:

- A WEB-INF/web.xml file
- Annotated classes that implement the web services contained in the application module
- [Optional] Web Services Description Language (WSDL) documents that describe the web services contained in the application module

A WEB-INF/web.xml file is similar to this example:

```
<?xml version="1.0" encoding="UTF-8"?>
<web-app id="WebApp_ID" xmlns="http://java.sun.com/xml/ns/j2ee"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="http://java.sun.com/xml/ns/j2ee
  http://java.sun.com/xml/ns/j2ee/web-app_2_4.xsd"
  version="2.4">
</web-app>
```

The web.xml might contain servlet or servlet-mapping elements. When customizations to the web.xml file are not needed, the WebSphere Application Server runtime defines them dynamically as the module is loaded. For more information on configuring the web.xml file, read about customizing web URL patterns in the web.xml file for JAX-WS applications.

Annotated classes must contain, at a minimum, a web service implementation class that includes the @WebService annotation. The definition and specification of the web services-related annotations are provided by the JAX-WS and JSR-181 specifications. The web service implementation classes can exist within the WEB-INF/classes or directory within a Java archive (JAR) file that is contained in the WEB-INF/lib directory of the WAR file.

You can optionally include WSDL documents in the JAX-WS application packaging. If the WSDL document for a particular web service is omitted, then the WebSphere Application Server runtime constructs the WSDL definition dynamically from the annotations contained in the web service implementation classes.

**Note:** Starting with WebSphere Application Server Version 7.0 and later, Java EE 5 application modules (web application modules version 2.5 or above, or EJB modules version 3.0 or above) are scanned for annotations to identify JAX-WS services and clients. However, pre-Java EE 5 application modules (web application modules version 2.4 or before, or EJB modules version 2.1 or before) are not scanned for JAX-WS annotations, by default, for performance considerations. In the Version 6.1 Feature Pack for Web Services, the default behavior is to scan pre-Java EE 5 web application modules to identify JAX-WS services and to scan pre-Java EE 5 web application modules and EJB modules for service clients during application installation. Because the default behavior for WebSphere Application Server Version 7.0 and later is to not scan pre-Java EE 5 modules for annotations during application installation or server startup, to preserve backward compatibility with the feature pack from previous releases, you must configure either the UseWSFEP61ScanPolicy property in the META-INF/MANIFEST.MF of a web application archive (WAR) file or EJB module or define the Java virtual machine custom property, com.ibm.websphere.webservices.UseWSFEP61ScanPolicy, on servers to request scanning during application installation and server startup. To learn more about annotations scanning, see the JAX-WS annotations information.

Solaris

HP-UX

**Note:** Beginning with Sun and HP JDK Version 1.6 containing JAX-WS tooling Version 2.1.6, the behavior of the JAX-WS runtime environments and tooling has changed in how it determines which methods are exposed as web services operations in an effort to better adhere to the JAX-WS specifications.

Applications that might be affected by this change include web services applications that do not specify a WSDL file or an explicit service endpoint interface (SEI). To learn more, see the exposing methods in SEI-based JAX-WS web services information.

## JAXB

Java Architecture for XML Binding (JAXB) is a Java technology that provides an easy and convenient way to map Java classes and XML schema for simplified development of web services. JAXB leverages the flexibility of platform-neutral XML data in Java applications to bind XML schema to Java applications without requiring extensive knowledge of XML programming. JAXB provides the `xjc` schema compiler tool and the `schemagen` schema generator tool to transform between XML schema and Java classes.

JAXB is an XML to Java binding technology that supports transformation between schema and Java objects and between XML instance documents and Java object instances. JAXB consists of a runtime application programming interface (API) and accompanying tools that simplify access to XML documents. JAXB also helps to build XML documents that both conform and validate to the XML schema. Java API for XML-Based Web Services (JAX-WS) leverages the JAXB API and tools as the binding technology for mappings between Java objects and XML documents. JAX-WS tooling relies on JAXB tooling for default data binding for two-way mappings between Java objects and XML documents.

**Note:** This version of the application server supports the JAXB 2.2 specification. JAX-WS 2.2 requires JAXB 2.2 for data binding. JAXB 2.2 provides minor enhancements to its annotations for improved schema generation and better integration with JAX-WS.

JAXB provides the `xjc` schema compiler tool, the `schemagen` schema generator tool, and a runtime framework. You can use the `xjc` schema compiler tool to start with an XML schema definition (XSD) to create a set of JavaBeans that map to the elements and types defined in the XSD schema. You can also start with a set of JavaBeans and use the `schemagen` schema generator tool to create the XML schema. Once the mapping between XML schema and Java classes exists, XML instance documents can be converted to and from Java objects through the use of the JAXB binding runtime API. Data stored in XML documents can be accessed without the need to understand the data structure. You can then use the resulting Java classes to assemble a web services application.

JAXB annotated classes and artifacts contain all the information needed by the JAXB runtime API to process XML instance documents. The JAXB runtime API supports marshaling of JAXB objects to XML and unmarshaling the XML document back to JAXB class instances. Optionally, you can use JAXB to provide XML validation to enforce both incoming and outgoing XML documents to conform to the XML constraints defined within the XML schema.

JAXB is the default data binding technology used by the Java API for XML Web Services (JAX-WS) tooling and implementation within this product. You can develop JAXB objects for use within JAX-WS applications.

You can also use JAXB independently of JAX-WS when you want to leverage the XML data binding technology to manipulate XML within your Java applications.

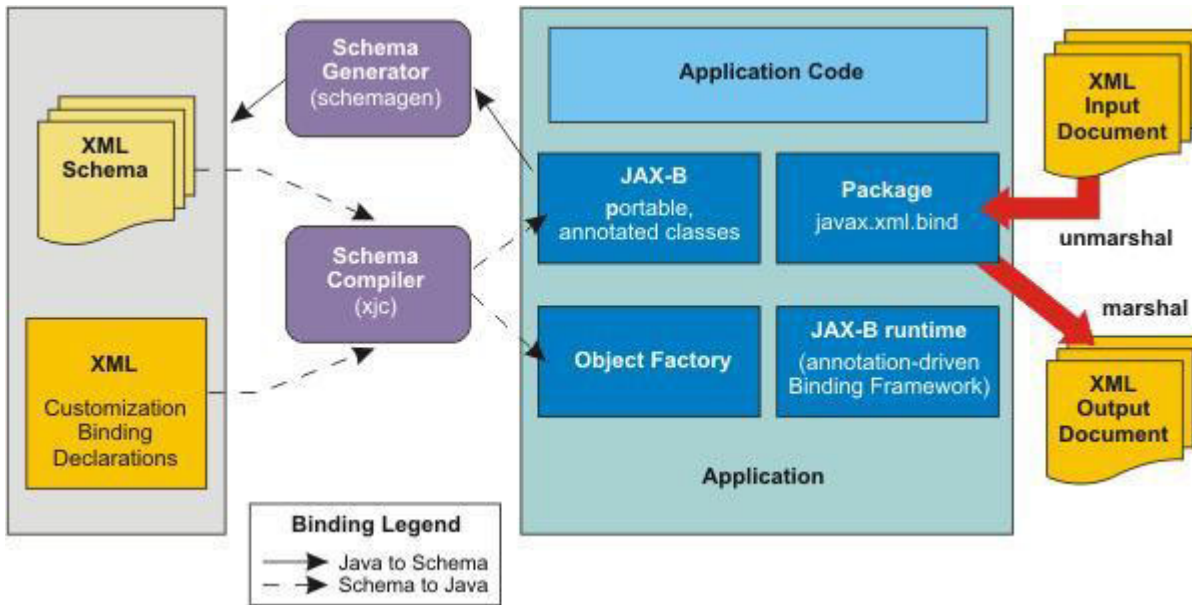


Figure 171. JAXB architecture

## JAX-RPC

The *Java API for XML-based RPC (JAX-RPC)* specification enables you to develop SOAP-based interoperable and portable web services and web service clients. JAX-RPC 1.1 provides core APIs for developing and deploying web services on a Java platform and is a part of the Web Services for Java Platform, Enterprise Edition (Java EE) platform. The Java EE platform enables you to develop portable web services.

WebSphere Application Server implements JAX-RPC 1.1 standards.

The JAX-RPC standard covers the programming model and bindings for using Web Services Description Language (WSDL) for Web services in the Java language. JAX-RPC simplifies development of web services by shielding you from the underlying complexity of SOAP communication.

On the surface, JAX-RPC looks like another instantiation of remote method invocation (RMI). Essentially, JAX-RPC enables clients to access a web service as if the web service was a local object mapped into the client's address space even though the web service provider is located in another part of the world. The JAX-RPC is done by using the XML-based protocol SOAP, which typically rides on top of HTTP.

JAX-RPC defines the mappings between the WSDL port types and the Java interfaces, as well as between Java language and Extensible Markup Language (XML) schema types.

A JAX-RPC web service can be created from a JavaBeans or a enterprise bean implementation. You can specify the remote procedures by defining remote methods in a Java interface. You only need to code one or more classes that implement the methods. The remaining classes and other artifacts are generated by the web service vendor's tools. The following is an example of a web service interface:

```
package com.ibm.mybank.ejb;
import java.rmi.RemoteException;
import com.ibm.mybank.exception.InsufficientFundsException;
/**
 * Remote interface for Enterprise Bean: Transfer
 */
public interface Transfer_SEI extends java.rmi.Remote {
    public void transferFunds(int fromAcctId, int toAcctId, float amount)
        throws java.rmi.RemoteException;
}
```

The interface definition in JAX-RPC must follow specific rules:

- The interface must extend `java.rmi.Remote` just like RMI.
- Methods must create `java.rmi.RemoteException`.
- Method parameters cannot be remote references.
- Method parameter must be one of the parameters supported by the JAX-RPC specification. The following list are examples of method parameters that are supported. For a complete list of method parameters see the JAX-RPC specification.
  - Primitive types: `boolean`, `byte`, `double`, `float`, `short`, `int` and `long`
  - Object wrappers of primitive types: `java.lang.Boolean`, `java.lang.Byte`, `java.lang.Double`, `java.lang.Float`, `java.lang.Integer`, `java.lang.Long`, `java.lang.Short`
  - `java.lang.String`
  - `java.lang.BigDecimal`
  - `java.lang.BigInteger`
  - `java.lang.Calendar`
  - `java.lang.Date`
- Methods can take value objects which consist of a composite of the types previously listed, in addition to aggregate value objects.

A client creates a stub and invokes methods on it. The stub acts like a proxy for the web service. From the client code perspective, it seems like a local method invocation. However, each method invocation gets marshaled to the remote server. Marshaling includes encoding the method invocation in XML as prescribed by the SOAP protocol.

The following are key classes and interfaces needed to write web services and web service clients:

- Service interface: A factory for stubs or dynamic invocation and proxy objects used to invoke methods
- ServiceFactory class: A factory for Services.
- `loadService`

The `loadService` method is provided in WebSphere Application Server Version 6.0 to generate the service locator which is required by a JAX-RPC implementation. If you recall, in previous versions there was no specific way to acquire a generated service locator. For managed clients you used a JNDI method to get the service locator and for non-managed clients, you were required to instantiate IBM's specific service locator `ServiceLocator service=new ServiceLocator(...)`; which does not offer portability. The `loadService` parameters include:

- `wsdlDocumentLocation`: A URL for the WSDL document location for the service or null.
  - `serviceName`: A qualified name for the service
  - `properties`: A set of implementation-specific properties to help locate the generated service implementation class.
- `isUserInRole`

The `isUserInRole` method returns a boolean indicating whether the authenticated user for the current method invocation on the endpoint instance is included in the specified logical role.

    - `role`: The role parameter is a String specifying the name of the role.
  - Service
  - Call interface: Used for dynamic invocation
  - Stub interface: Base interface for stubs

If you are using a stub to access the web service provider, most of the JAX-RPC API details are hidden from you. The client creates a `ServiceFactory` (`java.xml.rpc.ServiceFactory`). The client instantiates a `Service` (`java.xml.rpc.Service`) from the `ServiceFactory`. The service is a factory object that creates the port. The port is the remote service endpoint interface to the web service. In the case of DII, the `Service` object is used to create `Call` objects, which you can configure to call methods on the Web service's port.

For a complete list of the supported standards and specifications, see the web services specifications and API documentation.

## RMI-IIOP using JAX-RPC

Remote Method Invocation over Internet Inter-ORB Protocol (RMI-IIOP) can be used with JAX-RPC to support non-SOAP bindings.

Java API for XML-based Remote Procedure Call (JAX-RPC) is the Java standard API for invoking web services through remote procedure calls. A transport is used by a programming language to communicate over the Internet. You can use protocols with the transport such as SOAP and Remote Method Invocation (RMI). You can use Remote Method Invocation over Internet Inter-ORB Protocol (RMI-IIOP) with JAX-RPC to support non-SOAP bindings.

Using RMI-IIOP with JAX-RPC, enables WebSphere Java clients to invoke enterprise beans using a WSDL file and the JAX-RPC programming model instead of using the standard Web Services for Java Platform, Enterprise Edition (Java EE) programming model. When an enterprise JavaBeans implementation is used to invoke a web service, multiprotocol JAX-RPC permits the web service invocation path to be optimized for WebSphere Java clients. To learn more this optimization, read about using enterprise bean bindings to invoke an EJB from a web services client.

Benefits of using the RMI/IIOP protocol instead of a SOAP- based protocol are:

- XML processing is not required to send and receive messages; Java serialization is used instead.
- The client JAX-RPC call can participate in a user transaction, which is not the case when SOAP is used.

## WS-I Basic Profile

The Web Services-Interoperability (WS-I) Basic Profile is a set of non-proprietary web services specifications that promote interoperability. WebSphere Application Server conforms to the WS-I Basic Profile Version 1.1 and WS-I Basic Security Profile Version 1.0.

The WS-I Basic Profile is governed by a consortium of industry-leading corporations, including IBM, under direction of the WS-I Organization. The profile consists of a set of principles that relate to bringing about open standards for web services technology. All organizations that are interested in promoting interoperability among web services are encouraged to become members of the Web Services Interoperability Organization.

Several technology components are used in the composition and implementation of web services, including messaging, description, discovery, and security. Each of these components are supported by specifications and standards, including SOAP 1.1, Extensible Markup Language (XML) 1.0, HTTP 1.1, Web Services Description Language (WSDL) 1.1, and Universal Description, Discovery and Integration (UDDI). The WS-I Basic Profile specifies how these technology components are used together to achieve interoperability, and mandates specific use of each of the technologies when appropriate. You can read more about the WS-I Basic Profile at the WS-I Organization website.

As technology components are updated, these components are also used in the composition and implementation of web services. One example is that both SOAP 1.1 and SOAP 1.2 are now supported.

Building on the support for WS-I Basic Profile Version 1.0, WS-I Basic Profile V1.1, Attachment Profile V1.0, Basic Security Profile (BSP) V1.0, and WS-I Basic Security Profile V1.1, you can implement web services with this product using the following active WS-I profiles:

- *WS-I Basic Profile V1.2* builds on WS-I Basic Profile V1.0 and WS-I Basic Profile V1.1 and adds support for WS-Addressing (WS-A) and SOAP Message Transmission Optimization Mechanism (MTOM). The WS-Addressing specification enables the asynchronous message exchange pattern so that you can decouple the service request from the service response. The SOAP header of the sender's request contains the `wsa:ReplyTo` value that defines the endpoint reference to which the provider's



response is sent. Decoupling the request from the response enables long running web services interactions. Leveraging the asynchronous programming model support in JAX-WS Version 2.1 in combination with WS-Addressing, you can now take advantage of the ability to create web services invocations where the client can continue to process work without waiting for a response to return. This provides for a more dynamic and efficient model to invoke web services. Using MTOM, you can send and receive binary data optimally within a SOAP message.

- *WS-I Basic Profile V2.0* builds on top of Basic Profile V1.2 with the addition of support for SOAP 1.2.
- *WS-I Reliable Secure Profile 1.0* builds on WS-I Basic Profile V1.2, WS-I Basic Profile V2.0, WS-I Basic Security Profile V1.0, and WS-I Basic Security Profile V1.1 and adds support for WS-Reliable Messaging 1.1, WS-Make Connection 1.0, and WS-Secure Conversation 1.3. WS-Reliable Messaging 1.1 is a session-based protocol that provides message level reliability for web services interactions. WS-Make Connection 1.0 was developed by the WS-Reliable Messaging workgroup to address scenarios where a web services endpoint is behind a firewall or the endpoint has no visible endpoint reference. If a web services endpoint loses connectivity during a reliable session, WS-Make Connection provides an efficient method to re-establish the reliable session. Additionally, WS-Secure Conversation V1.3 is a session-based security protocol that uses an efficient symmetric key based encryption algorithm for message level security. WS-I Reliable Secure Profile V1.0 provides secure reliable session-oriented web services interactions.

Each of the technology components has requirements that you can read about in more detail at the WS-I Organization website. For example, support for Universal Transformation Format (UTF)-16 encoding is required by WS-I Basic Profile. UTF-16 is a kind of Unicode encoding scheme that uses 16-bit values to store Universal Character Set (UCS) characters. UTF-8 is the most common encoding that is used on the Internet; UTF-16 encoding is typically used for Java and Windows product applications; and UTF-32 is used by various Linux and UNIX systems. Unlike UTF-8, UTF-16 has issues with big-endian and little-endian, and often involves Byte Order Mark (BOM) to indicate the endian. BOM is mandatory for UTF-16 encoding and it can be used in UTF-8.

The application server only supports UTF-8 and UTF-16 encoding of SOAP messages.

See the information on changing SOAP message encoding to support WSI-Basic Profile to learn how to modify your encoding from UTF-8 to UTF-16.

*Table 75. UTF properties. Specifies the corresponding bytes and encoding form for UTF properties.*

Bytes	Encoding form
EF BB BF	UTF-8
FF FE	UTF-16, little-endian
FE FF	UTF-16, big-endian
00 00 FE FF	UTF-32, big-endian
FF FE 00 00	UTF-32, little-endian

BOM is written prior to the XML text, and it indicates to the parser how the XML is encoded. The XML declaration contains the encoding, for example: `<?xml version=xxx encoding="utf-xxx"?>`. BOM is used with the encoding to determine how to interpret the XML. Here is an example of a SOAP message and how BOM and UTF encoding are used:

```
POST http://www.whitemesa.net/soap12/add-test-rpc HTTP/1.1
Content-Type: application/soap+xml; charset=utf-16; action=""
SOAPAction:
Host: localhost: 8080
Content-Length: 562
0xFF0xFE<?xml version="1.0" encoding="utf-16"?>
<soap:Envelope xmlns:soap="http://www.w3.org/2002/12/soap-envelope"
  xmlns:soapenc="http://www.w3.org/2002/12/soap-encoding
  xmlns:tns="http://whitemesa.net/wsdl/soap12-test"
  xmlns:types="http://whitemesa.net/wsdl/soap12-test/encodedTypes"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <soap:Body>
    <q1:echoString xmlns:q1="http://soapinterop.org/">
      <inputString soap:encodingStyle="http://example.org/unknownEncoding"
```

```

        xsi:type="xsd:string">
    Hello SOAP 1.2
  </inputString>
</q1:echoString>
</soap:Body>
</soap:Envelope>

```

In the example code, 0xFF0xFE represents the byte codes, while the `<?xml>` declaration is the textual representation.

Support for `styleEncoding` is not supported in SOAP 1.2 so here is the same example of the SOAP message but without the encoding information:

```

0xFF0xFE<?xml version="1.0" encoding="utf-16"?>
<soap:Envelope xmlns:soap="http://www.w3.org/2002/12/soap-envelope"
  xmlns:soapenc="http://www.w3.org/2002/12/soap-encoding"
  xmlns:tns="http://whitemesa.net/wsdl/soap12-test"
  xmlns:types="http://whitemesa.net/wsdl/soap12-test/encodedTypes"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <soap:Body>
    <q1:echoString xmlns:q1="http://soapinterop.org/">
      <inputString xsi:type="xsd:string">
        Hello SOAP 1.2
      </inputString>
    </q1:echoString>
  </soap:Body>
</soap:Envelope>

```

For a complete list of the supported standards and specifications, see the web services specifications and API documentation.

## WS-I Attachments Profile

The *Web Services-Interoperability (WS-I) Attachments Profile* is a set of non-proprietary web services specifications that promote interoperability. This profile compliments the WS-I Basic Profile 1.1 to add support for interoperable SOAP messages with attachments-based web services.

WebSphere Application Server conforms to the WS-I Attachments Profile 1.0.

Attachments are typically used to send binary data, for example, data that is mapped in Java code to `java.awt.Image` and `javax.activation.DataHandler`. The raw data can be sent in the SOAP message, however, this approach is inefficient because an XML parser has to scan the data as it parses the message.

The WS-I Attachments Profile provides a solution to the limitations that are presented by Web Services Description Language (WSDL) 1.1. Because WSDL 1.1 attachments are not part of the XML schema type space, they can be message parts only. As message parts, the attachments cannot be arrays or properties of Java beans. The profile defines the `wsa:swaRef` XML schema type. Use the `wsa:swaRef` XML schema type to overcome the limitations of WSDL 1.1 attachments.

The `wsa:swaRef` type is an extension of the `xsd:anyURI` type, where its value contains the content-ID of the attachment.

For a complete list of the supported standards and specifications, see the web services specifications and API documentation.

---

## Overview of IBM JAX-RS

Java API for RESTful Web Services (JAX-RS) is a programming model that provides a mechanism for developing services that follow Representational State Transfer (REST) principles. Using JAX-RS, development of RESTful services is simplified.

**Note:** JAX-RS is a collection of interfaces and Java annotations that simplifies development of server-side REST applications. By using JAX-RS technology, REST applications are simpler to develop, simpler

to consume, and simpler to scale when compared to other types of distributed systems. This product supports a Java API for developing REST-based services. The IBM implementation of JAX-RS provides an implementation of the JAX-RS specification.

To develop RESTful services using IBM JAX-RS, it is assumed that you are familiar with basic REST principles and a basic knowledge of standard technologies, such as HTTP, and XML.

## REST and JAX-RS

Representational State Transfer, also known as REST, is an architectural style that uses multiple standard technologies like HTTP, XML, ATOM, and HTML. REST is used to define flexible applications based on the notion of resources. A resource is simply any data that you want to share on the web that you can identify by a Uniform Resource Identifier (URI).

JAX-RS is a specification defined by JSR-311 in the Java Community Process. Some of the key features provided by JAX-RS include:

- A collection of annotations for declaring resource classes and the data types they support
- A set of interfaces that allow application developers to gain access to the runtime context
- An extensible framework for integrating custom content handlers

## Apache Wink and the IBM implementation of JAX-RS

Wink is a project developed within the Apache Software Foundation that provides a lightweight framework for developing RESTful applications. Wink supports REST services implemented using JAX-RS to describe the resources on the server. However, a client API is also provided by Wink. This client API is specific to the Wink runtime environment because there is no JAX-RS defined client API.

The IBM implementation of JAX-RS is an extension of the base Wink 1.1 runtime environment. IBM JAX-RS includes the following features:

- JAX-RS 1.1 server runtime
- Stand-alone client API with the option to use Apache HttpClient 4.0 as the underlying client
- Built-in entity provider support for JSON4J
- An Atom JAXB model in addition to Apache Abdera support
- Multipart content support
- A handler system to integrate user handlers into the processing of requests and responses

Now, you are ready to start learning more about implementing RESTful services using IBM JAX-RS.

- For an example of how to get a JAX-RS web application running quickly, see the quick start documentation.
- To learn about planning considerations for the JAX-RS application, see the planning to use JAX-RS to enable RESTful services documentation.
- To learn more about developing, packaging, and deploying JAX-RS web services, see the implementing JAX-RS web applications documentation. Additional information is provided for implementing JAX-RS web applications that use XML, JSON, or Atom content formats.

---

## Web Services Addressing support

The Web Services Addressing (WS-Addressing) support in this product provides the environment for web services that use the World Wide Web Consortium (W3C) WS-Addressing specifications. This family of specifications provide transport-neutral mechanisms to address web services and to facilitate end-to-end addressing.

You do not usually have to be aware of the underlying WS-Addressing support because WebSphere Application Server ensures that your web service applications are WS-Addressing compliant when required. Read this topic only if you have to use the WS-Addressing support directly. For example, if you have one of the following roles:

- A web service developer who needs to use the WS-Addressing application programming interfaces (APIs) to create endpoint references within an application, and then use these references to target web service resource instances.
- A system programmer who needs to use the IBM proprietary WS-Addressing system programming interfaces (SPIs) to undertake more advanced WS-Addressing operations, such as specifying message-addressing properties on web services messages.
- An administrator who is configuring policy sets for JAX-WS applications.

The WS-Addressing support for developers consists of two sets of programming interfaces: the JAX-WS standard interfaces, and the IBM proprietary implementation of the WS-Addressing specification.

## Features of the JAX-WS WS-Addressing support

This product provides support for the JAX-WS WS-Addressing APIs, which you can use to undertake basic addressing functions such as creating an endpoint reference, enabling WS-Addressing support, and specifying the action URIs that are associated with the WSDL operations of the web service. Use these APIs if you want to undertake simple WS-Addressing functions and create JAX-WS applications that are portable.

The JAX-WS WS-Addressing APIs provide the following features for core WS-Addressing application development:

- Java representations of WS-Addressing endpoint references.
  - You can create Java endpoint reference instances for the application endpoint, or other endpoints in the same application, at run time. You do not have to specify the URI of the endpoint reference.
  - You can create Java endpoint reference instances for endpoints in other applications by specifying the URI of the endpoint reference.
  - On services, you can use annotations to specify whether WS-Addressing support is enabled, whether it is required, and which message exchange pattern (synchronous, asynchronous, or both) to use.
  - On clients, you can use features to specify whether WS-Addressing support is enabled and whether it is required.
  - You can configure client proxy or Dispatch objects by using endpoint references.
- Java support for endpoint references that represent Web Services Resource (WS-Resource) instances.
  - You can associate reference parameters with an endpoint reference at the time of its creation, to correlate it with a particular resource instance.
  - In targeted web services, you can extract the reference parameters of an incoming message, so that the web service can route the message to the appropriate WS-Resource instance.

**newfeat:** The following features were introduced in the JAX-WS 2.2 specification, which WebSphere Application Server Version 8 supports:

- You can specify additional binding information within the metadata of an endpoint reference as part of the JAX-WS 2.2 specification. This functionality was added to WebSphere Application Server in version 7, however as it was not part of the JAX-WS 2.1 specification, you might have experienced incompatibility issues when interoperating with non-WebSphere Application Server servers which did not offer support for additional metadata in endpoint references. Now that JAX-WS 2.2 supports WSDL metadata in endpoint references, applications will be compatible with other implementations of this specification. See the "Web Services Addressing overview" topic for further information.
- You can enable and configure WS-Addressing on a client or service by adding WS-Policy assertions into the WSDL document. WebSphere Application Server will now process WS-Addressing information held within the WS-Policy aspect of a WSDL document and use it in the configuration of that application. See the "Enabling Web Services Addressing support for JAX-WS applications using WS-Policy" topic for further information.

- You can specify whether a synchronous or an asynchronous message exchange pattern is required by a web service application using the addressing annotation or the AddressingFeature. Use the new responses parameter on the addressing annotations or the AddressingFeature class in the code. See the "Enabling Web Services Addressing support for JAX-WS applications using addressing annotations" topic and the "Enabling Web Services Addressing support for JAX-WS applications using addressing features" topic for further information.
- You can configure WS-Addressing using deployment descriptors. Add an <addressing> element and optional child elements to the deployment descriptor file for the application. See the "Enabling Web Services Addressing support for JAX-WS applications using deployment descriptors" topic for further information.
- You can generate code from a WSDL document and WebSphere Application Server will now automatically insert @Action and @FaultAction annotations into the generated Java code. See the "Web Services Addressing annotations" topic for further information.

## Features of the IBM proprietary WS-Addressing support

This product provides an IBM proprietary implementation of the WS-Addressing specification, which you can use with JAX-RPC applications as well as JAX-WS applications, to undertake more advanced functions such as creating endpoint references that represent highly available objects, or directly setting message addressing properties in the SOAP header. Use these APIs and SPIs if you want to create JAX-RPC applications that use addressing, or you want to undertake more advanced functions that are not possible with the JAX-WS APIs.

The IBM proprietary API provides the following basic features:

- You can easily create Java endpoint reference instances to represent any endpoint in the server, based on the deployment environment of the application. You do not have to specify the URI of the endpoint reference. Additionally, endpoint references can represent highly available or workload-managed objects.
- You can configure client JAX-WS BindingProvider request context objects, or JAX-RPC Stub or Call objects, with a WS-Addressing endpoint reference. Future invocations on these objects are targeted at the endpoint that is represented by the endpoint reference. The invocations also automatically conform to the WS-Addressing specification (namespace) that is associated with that endpoint reference.

The IBM proprietary WS-Addressing SPIs provide support for extended WS-Addressing system development by using the following features:

- Reasoning and manipulation of endpoint references beyond what is available at the application programming level.
  - You can manipulate the contents of the endpoint reference, as specified by the WS-Addressing specification.
  - You can associate a WS-Addressing namespace, and therefore specification behavior, with an endpoint reference.
- Java representations of the WS-Addressing message-addressing properties.
  - You can specify WS-Addressing message-addressing properties for outbound web service messages. In the targeted web service, you can extract message addressing properties from inbound web service messages.
  - You can specify the WS-Addressing namespace of an outbound WS-Addressing message, although in most cases the namespace is automatically derived based on the target endpoint reference. In a targeted web service, you can acquire the WS-Addressing namespace of an incoming message.

## Support for WS-Addressing specifications and interoperability

By default, this product supports the W3C WS-Addressing 1.0 Core and SOAP Binding specifications that are identified by the <http://www.w3.org/2005/08/addressing> namespace. Unless otherwise stated, WS-Addressing semantics that are described in this documentation refer to these specifications.

For interoperability, other levels of the WS-Addressing specification are supported in this version of the product; in particular, the WS-Addressing W3C submission with the namespace <http://schemas.xmlsoap.org/ws/2004/08/addressing>.

For JAX-WS applications, this product supports the WS-Addressing metadata specification identified by the <http://www.w3.org/2007/05/addressing/metadata> namespace. This specification supersedes the WS-Addressing Web Services Description Language (WSDL) binding specification identified by the <http://www.w3.org/2006/05/addressing/wsdl> namespace.

In addition, this product supports the following features from the WS-Addressing WSDL binding specification:

- The `wsaw:UsingAddressing` extensibility element, on the WSDL Binding element only. The supported namespaces for this element are the <http://www.w3.org/2006/05/addressing/wsdl> namespace and the <http://www.w3.org/2006/02/addressing/wsdl> namespace (deprecated).
- The `wsaw:Action` extensibility element. The supported namespaces for this element are the <http://www.w3.org/2006/05/addressing/wsdl> namespace, the <http://www.w3.org/2006/02/addressing/wsdl> namespace (deprecated), and the <http://schemas.xmlsoap.org/ws/2004/08/addressing> namespace.

## Web Services Addressing overview

Web Services Addressing (WS-Addressing) is a World Wide Web Consortium (W3C) specification that aids interoperability between web services by defining a standard way to address web services and provide addressing information in messages. The WS-Addressing specification introduces two primary concepts: endpoint references, and message-addressing properties. For further details, refer to the WS-Addressing specifications.

### Endpoint references

Endpoint references provide a standard mechanism to encapsulate information about specific endpoints. Endpoint references can be propagated to other parties and then used to target the web service endpoint that they represent. The following table summarizes the information model for endpoint references.

*Table 76. Information model for endpoint references. The table lists the different abstract property names and for each one shows their property type, multiplicity and brief description.*

Abstract property name, using the notational convention of the W3C XML Information Set	Property type	Multiplicity	Description
[address]	xs:anyURI	1..1	The absolute URI that specifies the address of the endpoint.
[reference parameters]*	xs:any	0..unbounded	Namespace qualified element information items that are required to interact with the endpoint.
[metadata]	xs:any	0..unbounded	Description of the behavior, policies and capabilities of the endpoint.

The following prefix and corresponding namespace is used in the previous table.

Prefix	Namespace
xs	<a href="http://www.w3.org/2001/XMLSchema">http://www.w3.org/2001/XMLSchema</a>

The following XML fragment illustrates an endpoint reference. This element references the endpoint at the URI `http://example.com/fabrikam/acct`, has metadata specifying the interface to which the endpoint reference refers, and has application-defined reference parameters of the `http://example.com/fabrikam` namespace.

```
<wsa:EndpointReference xmlns:wsa="http://www.w3.org/2005/08/addressing"
  xmlns:wsam="http://www.w3.org/2007/05/addressing/metadata"
  xmlns:fabrikam="http://example.com/fabrikam"
  xmlns:wsdli="http://www.w3.org/2005/08/wsdli-instance"
  wsdl:wsdlLocation="http://example.com/fabrikam
  http://example.com/fabrikam/fabrikam.wsdl">
  <wsa:Address>http://example.com/fabrikam/acct</wsa:Address>
  <wsa:Metadata>
    <wsam:InterfaceName>fabrikam:Inventory</wsam:InterfaceName>
  </wsa:Metadata>
  <wsa:ReferenceParameters>
    <fabrikam:CustomerKey>123456789</fabrikam:CustomerKey>
    <fabrikam:ShoppingCart>ABCDEFG</fabrikam:ShoppingCart>
  </wsa:ReferenceParameters>
</wsa:EndpointReference>
```

## Message-addressing properties

Message addressing properties (MAPs) are a set of well defined WS-Addressing properties that can be represented as elements in SOAP headers and provide a standard way of conveying information, such as the endpoint to which message replies should be directed, or information about the relationship that the message has with other messages. The MAPs that are defined by the WS-Addressing specification are summarized in the following table.

*Table 77. Message-addressing properties defined by the WS-Addressing specification. The table lists the abstract WS-Addressing MAP names and for each one shows their MAP content type, multiplicity and brief description.*

Abstract WS-Addressing MAP name, using the notational convention of the W3C XML Information Set	MAP content type	Multiplicity	Description
[action]	xs:anyURI	1..1	An absolute URI that uniquely identifies the semantics of the message. This property corresponds to the address property of the endpoint reference to which the message is addressed. This value is required.
[destination]	xs:anyURI	1..1	The absolute URI that specifies the address of the intended receiver of this message. This value is optional because, if not present, it defaults to the anonymous URI that is defined in the specification, indicating that the address is defined by the underpinning protocol.
[reference parameters]*	xs:any	0..unbounded	Correspond to the reference parameters property of the endpoint reference to which the message is addressed. This value is optional.
[source endpoint]	EndpointReference	0..1	A reference to the endpoint from which the message originated. This value is optional.
[reply endpoint]	EndpointReference	0..1	An endpoint reference for the intended receiver of replies to this message. This value is optional.
[fault endpoint]	EndpointReference	0..1	An endpoint reference for the intended receiver of faults relating to this message. This value is optional.
[relationship]*	xs:anyURI plus optional attribute of type xs:anyURI	0..unbounded	A pair of values that indicate how this message relates to another message. The content of this element conveys the message ID of the related message. An optional attribute conveys the relationship type. This value is optional.
[message id]	xs:anyURI		An absolute URI that uniquely identifies the message. This value is optional.

The abstract names in the previous tables are used to refer to the MAPs throughout this documentation.

The following example of a SOAP message contains WS-Addressing MAPs:

```
<S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
  xmlns:wsa="http://www.w3.org/2005/08/addressing"
  xmlns:fabrikam="http://example.com/fabrikam">
  <S:Header>
    ...
    <wsa:To>http://example.com/fabrikam/acct</wsa:To>
    <wsa:ReplyTo>
      <wsa:Address> http://example.com/fabrikam/acct</wsa:address>
```

```

</wsa:ReplyTo>
<wsa:Action>...</wsa:Action>
<fabrikam:CustomerKey wsa:IsReferenceParameter='true'>123456789</fabrikam:CustomerKey>
<fabrikam:ShoppingCart wsa:IsReferenceParameter='true'>ABCDEFG</fabrikam:ShoppingCart>
...
</S:Header>
<S:Body>
...
</S:Body>
</S:Envelope>

```

## Web Services Addressing message exchange patterns

The World Wide Web Consortium (W3C) Web Services Addressing (WS-Addressing) specification explicitly defines the WS-Addressing core properties for the message exchange patterns (MEPs) that are defined by WSDL 1.0. These MEPs are summarized in this topic, illustrating the mandatory WS-Addressing properties for each pattern.

### One-way MEP

This straightforward one-way message is defined in WSDL 1.0 as an input-only operation. The WSDL fragment for this operation has the following form:

```

<operation name="myOperation">
  <input message="tns:myInputMessage"/>
</operation>

```

The following WS-Addressing message addressing properties (MAPs) are automatically added to the message header of a one-way WS-Addressing input message by the client application server run time, to ensure compliance with the WS-Addressing specification.

**Tip:** You can override these values by using the IBM proprietary WS-Addressing system programming interfaces (SPIs).

*Table 78. The WS-Addressing message addressing properties that a client adds to the message header of a one-way WS-Addressing input message. The table lists the different WS-Addressing MAP names and provides a description for each one.*

Abstract WS-Addressing MAP name, using the notational convention of the W3C XML Information Set	Description for a one-way input message
[action]	The WS-Addressing action that is generated in accordance with the version of the WS-Addressing specification that is in use.
[reply endpoint]	The WS-Addressing reply endpoint indicating that no replies are expected to this input message. The value of this MAP depends on the version of the WS-Addressing specification that is in use.
[message id]	A uniquely generated message identifier. Although not mandated by the specification, the WebSphere Application Server run time automatically sets this value.

Although the WSDL operation for this message exchange does not specify any responses, related messages can be sent as part of other message exchanges. In particular, applications can use the WS-Addressing reply endpoint or fault endpoint MAPs to indicate to the target of a one-way message where to send related messages. To propagate a reply endpoint or fault endpoint, associate the appropriate property with the request context for the JAX-WS BindingProvider object, or with the JAX-RPC Stub or Call object, as described in Specifying and acquiring message-addressing properties by using the IBM proprietary Web Services Addressing SPIs, to override the defaults.

### Two-way request-response

This is a request-response MEP as defined in WSDL 1.1. The response part of the operation might be defined as an output message, or a fault message, or both. The following WSDL code extracts show the various forms of definition for such an operation:



```

<operation name="myOperation">
  <input message="tns:myInputMessage"/>
  <output message="tns:myOutputMessage"/>
  <fault="tns:myFaultMessage"/>
</operation>

<operation name="myOperation">
  <input message="tns:myInputMessage"/>
  <output message="tns:myOutputMessage"/>
</operation>

<operation name="myOperation">
  <input message="tns:myInputMessage"/>
  <fault="tns:myFaultMessage"/>
</operation>

```

The application server client run time ensures that the SOAP header of the outgoing request message contains the relevant WS-Addressing message information headers. The calling application does not have to set the WS-Addressing headers. A response is expected, therefore you must specify a reply endpoint or fault endpoint in the request message.

**Tip:** In the 2005/08 specification, an unspecified reply endpoint is valid because it defaults to an endpoint reference that contains the anonymous URI.

The following table summarizes the MAPs that the product sets by default on a web service request that uses WS-Addressing protocol. You can override or specify other MAPs by using the IBM proprietary WS-Addressing SPIs.

*Table 79. The message addressing properties that are added on a web service request that uses the WS-Addressing protocol. The table lists the different WS-Addressing MAP names and provides a description for each one.*

Abstract WS-Addressing MAP name, using the notational convention of the W3C XML Information Set	Description for the input message of a request-response operation
[action]	The WS-Addressing action that is generated in accordance with the version of the WS-Addressing specification that is in use.
[message id]	A uniquely generated message identifier.

The following table summarizes the MAPs that are set by default by the product on a WS-Addressing response or fault message.

*Table 80. The message addressing properties that are added on a WS-Addressing response or fault message. The table lists the different WS-Addressing MAP names and provides a description for each one.*

Abstract WS-Addressing MAP name, using the notational convention of the W3C XML Information Set	Description for the input message of a request-response operation
[action]	The WS-Addressing action that is generated in accordance with the version of the WS-Addressing specification that is in use.
[relationship]	A relationship set containing a reply relationship to the message id that is passed in the request message.
[message id]	A uniquely generated message identifier; although not mandated by the specification, the application server run time automatically sets this property.

## Synchronous request-response

By default, if you do not use the IBM proprietary WS-Addressing SPI to set the reply endpoint or fault endpoint, the response part of a two-way message is returned according to the underlying protocol in use. In particular, for an HTTP request, the response is returned synchronously in the HTTP response.

For JAX-WS synchronous invocations, if you set the reply endpoint or the fault endpoint, the endpoint reference that you set must use the anonymous URI. If the endpoint reference does not use the

anonymous URI, a `javax.xml.ws.WebServiceException` exception is thrown. Although the endpoint reference uses the anonymous URI, you can use reference parameters within the endpoint reference to target the reply or fault endpoint.

For JAX-WS applications, you can specify a synchronous message exchange pattern by applying and configuring a WS-Addressing policy type. This exchange pattern is particularly useful in the following scenarios:

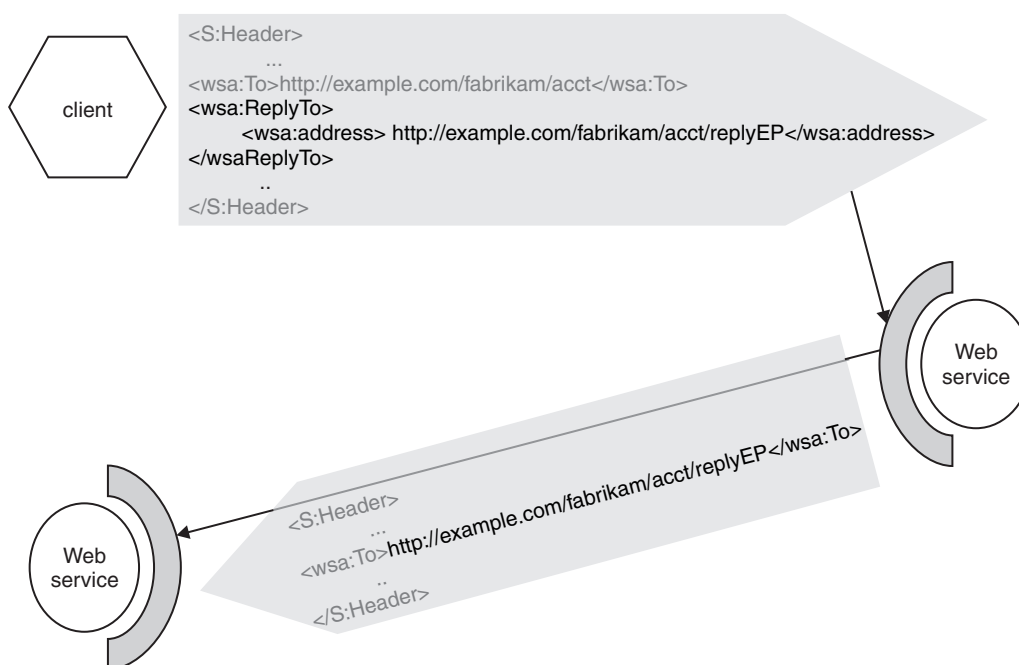
- You do not have WS-Security enabled, or have not used an assembly tool to specify that the ReplyTo and FaultTo elements of the SOAP message should be signed. In this situation, it is possible for a JAX-WS endpoint to be used to send messages to a third party, potentially taking part in a Denial of Service attack. To prevent such attacks, specify the synchronous message exchange pattern, and enable WS-Policy so that clients are aware of this requirement.
- A JAX-WS client is communicating through a NAT device. URIs in the ReplyTo or FaultTo elements of the SOAP message cannot be routed through such a device. In this situation, the client must use the anonymous URI defined by the WS-Addressing specification, and a synchronous message exchange pattern. To ensure that the client conforms to these requirements even if the server uses WS-Policy to request a non-anonymous URI in the ReplyTo element, specify the synchronous message exchange pattern on the client.

You can ensure that servers or clients are aware of the requirement for synchronous messaging by enabling WS-Policy.

## Asynchronous request-response

The JAX-RPC 1.0 programming model does not allow for asynchronous replies or faults to a two-way request-response operation.

Responses to, or faults generated from, requests that are directed at endpoints hosted on WebSphere Application Server are targeted at the reply endpoint or fault endpoint, in accordance with the WS-Addressing specification. The connection with the requesting client will be closed with an HTTP 202 response.



For JAX-WS asynchronous invocations, the reply endpoint is generated automatically for use by the JAX-WS implementation. If you attempt to set either a reply endpoint or a fault endpoint, a `javax.xml.ws.WebServiceException` exception is thrown.

**Note:** **Windows** On Windows operating systems, the local host name that is sent by the client must be resolvable by the target service, otherwise replies do not reach the client application. Alternatively, you can configure the client to send its address in IP format, however you lose the benefits of DHCP. For more information see the *Invoking JAX-WS web services asynchronously* topic.

For JAX-WS applications, you can specify an asynchronous message exchange pattern in several different ways.

- By applying and configuring a WS-Addressing policy set. See the *Configuring the WS-Addressing policy* topic.
- By setting the `com.ibm.websphere.webservices.use.async.mep` property on the client request context. See the *Invoking JAX-WS web services asynchronously* topic.
- Through the use of deployment descriptor elements, `@Addressing` annotations, addressing features, or by adding WS-Policy assertions into the WSDL document. See the *Enabling Web Services Addressing support for JAX-WS applications* topic and its child topics.

This exchange pattern is particularly useful if a JAX-WS endpoint has a long-running invocation time. Client and server resources are used to keep the connection open, but this use of resource might be impractical if the service takes a long time to respond.

The message exchange pattern configuration is expressed in WS-Policy attachments in the WSDL document. Clients will be able to access this message exchange pattern configuration information if any of the following conditions are true:

- WS-Policy sharing is enabled.
- WS-Policy sharing is not enabled, but:
  - the packaged WSDL (as retrieved by an HTTP GET request) contains the relevant policy information.
  - `@Addressing` annotations have been used in the code. In this case, the server runtime generates a WSDL document containing the WS-Policy attachments.

See the “Web service providers and policy configuration sharing” on page 804 topic for further details.

## Web Services Addressing version interoperability

The Web Services Addressing (WS-Addressing) support in this product can interoperate with various versions of the WS-Addressing specification.

*Table 81. Supported set of WS-Addressing versions. The table lists the associated namespace, the specification download locations and some details about each specification.*

Associated namespace	Specification download location	Details
<a href="http://www.w3.org/2005/08/addressing">http://www.w3.org/2005/08/addressing</a>	<a href="http://www.w3.org/2002/ws/addr/">http://www.w3.org/2002/ws/addr/</a>	<p>W3C final versions of the WS-Addressing core and SOAP specifications.</p> <p>These specifications are sometimes referred to collectively as the 2005/08 version of WS-Addressing.</p>
<a href="http://www.w3.org/2007/05/addressing/metadata">http://www.w3.org/2007/05/addressing/metadata</a>	<a href="http://www.w3.org/2002/ws/addr/">http://www.w3.org/2002/ws/addr/</a>	<p>W3C final version of the WS-Addressing metadata specification.</p> <p>This specification defines WS-Addressing WSDL extensions and WS-Policy assertions.</p> <p>For JAX-WS applications, this specification supersedes the <a href="http://www.w3.org/2006/05/addressing/wSDL">http://www.w3.org/2006/05/addressing/wSDL</a> specification.</p>

Table 81. Supported set of WS-Addressing versions (continued). The table lists the associated namespace, the specification download locations and some details about each specification.

Associated namespace	Specification download location	Details
<a href="http://www.w3.org/2006/05/addressing/wsd">http://www.w3.org/2006/05/addressing/wsd</a>	<a href="http://www.w3.org/2002/ws/addr/">http://www.w3.org/2002/ws/addr/</a>	<p>W3C Candidate Recommendation (CR) version of the WS-Addressing WSDL specification.</p> <p>This is the default namespace used by this product for the WSDL parts of the WS-Addressing specification, for JAX-RPC applications.</p> <p>For JAX-WS applications, this specification is superseded by the <a href="http://www.w3.org/2007/05/addressing/metadata">http://www.w3.org/2007/05/addressing/metadata</a> specification.</p>
<a href="http://www.w3.org/2006/02/addressing/wsd">http://www.w3.org/2006/02/addressing/wsd</a>	<a href="http://www.w3.org/2002/ws/addr/">http://www.w3.org/2002/ws/addr/</a>	<p>W3C Last Call (LC) version of the WS-Addressing WSDL specification.</p> <p>Support for this namespace is deprecated.</p>
<a href="http://schemas.xmlsoap.org/ws/2004/08/addressing">http://schemas.xmlsoap.org/ws/2004/08/addressing</a>	<a href="http://www.w3.org/Submission/ws-addressing/">http://www.w3.org/Submission/ws-addressing/</a>	<p>W3C WS-Addressing Submission specification</p> <p>This specification is sometimes referred to as the 2004/08 specification. It combines the core, SOAP and WSDL aspects of WS-Addressing in a single specification.</p>

This version of the product interoperates with each of the WS-Addressing specifications that are defined in the previous table. This interoperability results in the following behavior:

- Incoming web service messages that contain WS-Addressing message addressing properties are appropriately bound to SOAP, and WS-Addressing SOAP elements are appropriately deserialized to their WS-Addressing programming model representations according to the namespace in use.
- WS-Addressing programming model artifacts are appropriately serialized into SOAP elements, and the message addressing properties are bound to SOAP according to the namespace in use.
- Differing WS-Addressing semantics are adhered to, according to the WS-Addressing version currently in use.

## Determining the WS-Addressing namespace of inbound messages

The WS-Addressing namespace of incoming web service messages is the namespace of the first WS-Addressing action message addressing property that is found. The runtime checks for an action message addressing property of the default namespace. If it does not find an action with the default namespace, it will then search for action message addressing properties for other addressing namespaces in an undefined order. The namespace of the WS-Addressing core specification in use is available to the target endpoint through the message context.

## Determining the WS-Addressing namespace of outbound messages

WS-Addressing messages that are issued from this version of the product adopt the namespace that is associated with the destination endpoint reference. If this namespace is unknown, the message adopts the default WS-Addressing namespace.

This product provides a proprietary system programming interface (SPI) to change the namespace that is associated with an endpoint reference to any namespace in the supported set.

## The WS-Addressing specification to use

**best-practices:** In most cases, use the default WS-Addressing specification that is supported by the product. You do not have to undertake any additional actions to use this specification. The following list gives examples of occasions where you must override the default namespace:

- When interoperating with an endpoint that does not support the default namespace, for example, an earlier version of the product.
- When a namespace other than the default is required. For example, when implementing a specification that uses a level of WS-Addressing other than the default.

The W3C Last Call (LC) version of the WS-Addressing WSDL specification is deprecated. Use this specification only when you are interoperating with WebSphere Application Server 6.1 nodes that do not have fix pack V6.1.0.2 or later. Otherwise, use the W3C Candidate Recommendation version of the specification, or for JAX-WS applications, the WS-Addressing metadata specification.

## Web Services Addressing application programming model

The Web Services Addressing (WS-Addressing) specification defines an endpoint reference that is represented in Extensible Markup Language (XML) by an EndpointReferenceType object that encapsulates information about the endpoint address as well as additional contextual information associated with the endpoint. Some services might be addressable by using a simple URI address, as is most typical in web services. Other services might require the use of an endpoint reference to address them, so that the additional contextual information associated with the endpoint is present in messages sent to the endpoint.

Examples of services that use WS-Addressing endpoint references include Web Services Resources and Web Services Notification message producers and message consumers, all of which have the notion of stateful resources associated with their endpoints. In these cases the endpoint reference not only contains the service address but also some data that is used to select the specific stateful resource instance for use in the processing of a web services message.

A WS-Resource is defined as the combination of a resource and a web service through which the resource is accessed. The following figure illustrates a web service, at <http://www.example.com/service>, and three resources, A, B, and C, which are accessed through the Web service. Three WS-Resources are therefore illustrated in the figure:

A WS-Resource is referenced by a WS-Addressing endpoint reference that uniquely identifies the WS-Resource, typically by containing an identifier of the resource component of the WS-Resource inside the EndpointReference ReferenceParameter element. In the previous example, WS-Resource-C is the combination of the web service and the resource that is identified by C, and a reference to WS-Resource-C might be as follows:

```
<wsa:EndpointReference>
  <wsa:Address>
    http://www.example.com/service
  </wsa:Address>
  <wsa:ReferenceParameters>
    <tns:SomeDisambiguatorElement>C</tns:SomeDisambiguatorElement>
  </wsa:ReferenceParameters>
  ...
</wsa:EndpointReference>
```

The WS-Addressing APIs provide the appropriate interfaces for implementing the previous pattern.

## Web Services Addressing annotations

The WS-Addressing specification provides transport-neutral mechanisms to address web services and to facilitate end-to-end addressing. If you have a JAX-WS application you can use Java annotations in your code to specify WS-Addressing behavior at run time.

You can use WS-Addressing annotations to enable WS-Addressing support, to specify whether WS-Addressing information is required in incoming messages, to control the message exchange pattern the service supports, and to specify actions to be associated with a web service operation or fault response.

The following WS-Addressing annotations are supported in WebSphere Application Server. These annotations are defined in the JAX-WS 2.2 specification unless otherwise stated. The JAX-WS 2.2 specification supersedes and includes functions within the JAX-WS 2.1 specification. See the Java API for XML-Based Web Services 2.2 specification for full details.

### **javax.xml.ws.Action**

Specifies the action that is associated with a web service operation.

- When following a bottom-up approach to developing JAX-WS web services, you can generate a WSDL document from Java application code using the `wsgen` command-line tool. However, for this attribute to be added to the WSDL operation, you must also specify the `@Addressing` annotation on the implementation class. The result in the generated WSDL document is that the Action annotations will have the `wsam:Action` attribute on the input message and output message elements of the `wsdl:operation`. Alternatively, if you do not want to use the `@Addressing` annotation you can supply your own WSDL document with the Action attribute already defined.
- When following a top-down approach to developing JAX-WS web services, you can generate Java application code from an existing WSDL document using the `wsimport` command-line tool. In such cases, the resulting Java code will contain the correct Action and FaultAction annotations.

If this action is not specified in either code annotations or in the WSDL document, the default action pattern as defined in the Web Services Addressing 1.0 Metadata specification is used. Refer to this specification for full details.

**Note:** Whilst the WebSphere Application Server runtime environment supports the deprecated `wsaw:Action` attribute, if you try to generate Java code from an old WSDL document containing the deprecated `wsaw:Action` attribute, this attribute will be ignored.

### **javax.xml.ws.FaultAction**

Specifies the action that is added to a fault response. When you use this annotation with a particular method, the WS-Addressing FaultAction extension attribute is added to the fault element of the WSDL operation that corresponds to that method. For this attribute to be added to the WSDL operation, you must also specify the Addressing annotation on the implementation class. If you do not want to use the Addressing annotation you can supply your own WSDL document with the Action attribute already defined. This annotation must be contained within an Action annotation.

WSDL documents generated from Java application code containing the WS-Addressing FaultAction annotation will have the `wsam:Action` attribute on the `fault` message element of the `wsdl:operation`.

**Note:** To ensure that any custom Exception classes you write are successfully mapped to the generated WSDL document, extend the `java.lang.Exception` class instead of the `java.lang.RuntimeException` class.

### **javax.xml.ws.soap.Addressing**

Specifies that this service is to enable WS-Addressing support. You can use this annotation only on the service implementation bean; you cannot use it on the service endpoint interface.

### **com.ibm.websphere.wsaddressing.jaxws21.SubmissionAddressing**

This annotation is part of the IBM implementation of the JAX-WS specification. This annotation specifies that this service is to enable WS-Addressing support for the 2004/08 WS-Addressing specification. You can use this annotation only on the service implementation bean; you cannot use it on the service endpoint interface.

For more information about the Addressing and SubmissionAddressing annotations, including code examples, see [Enabling Web Services Addressing support for JAX-WS applications using addressing annotations](#).

The following example code uses the Action annotation to define the invoke operation to be invoked (input), and the action that is added to the response message (output). The example also uses the FaultAction annotation to specify the action that is added to a response message if a fault occurs:

```
@WebService(name = "Calculator")
public interface Calculator {
    ...
    @Action(
        input="http://calculator.com/inputAction",
        output="http://calculator.com/outputAction",
        fault = { @FaultAction(className=AddNumbersException.class,
            value="http://calculator.com/faultAction")
        }
    )
    public int add(int value1, int value2) throws AddNumbersException {
        return value1 + value2;
    }
}
```

If you use a tool to generate service artifacts from code, the WSDL tags that are generated from the preceding example are as follows:

```
<definitions targetNamespace="http://example.com/numbers" ...>
    ...
    <portType name="AddPortType">
        <operation name="Add">
            <input message="tns:AddInput" name="Parameters"
                wsam:Action="http://calculator.com/inputAction"/>
            <output message="tns:AddOutput" name="Result"
                wsam:Action="http://calculator.com/outputAction"/>
            <fault message="tns:AddNumbersException" name="AddNumbersException"
                wsam:Action="http://calculator.com/faultAction"/>
        </operation>
    </portType>
    ...
</definitions>
```

## Web Services Addressing security

It is essential that communications that use Web Services Addressing (WS-Addressing) are adequately secured and that a sufficient level of trust is established between the communicating parties. You can achieve secure communications through the signing of WS-Addressing message-addressing properties and the encryption of endpoint references.

Undertake these actions for both the supported addressing namespaces, <http://www.w3.org/2005/08/addressing> and <http://schemas.xmlsoap.org/ws/2004/08/addressing>, even if you intend to use only one of those namespaces.

### Signing of WS-Addressing message-addressing properties

You can use an assembly tool to specify the message-addressing properties, and therefore the WS-Addressing message elements, that require signing, or that require signature verification on inbound requests. The receiver of the message might rely on the presence of this verifiable signature to determine that the outbound message originated from a trusted source. Similarly, the lack of a verifiable signature that is associated with the specified inbound message addressing properties causes the rejection of the message with a SOAP fault.

### Encryption of endpoint references

You can encrypt endpoint references as part of the SOAP header or SOAP body. Alternatively, you can remove the need for encryption by not including sensitive information in the address or reference parameters properties of the endpoint reference.

### Use of the synchronous message exchange pattern

This method applies to JAX-WS applications only.

If you do not secure the WS-Addressing information in the SOAP message by using one or more of the previous methods, and you do not have WS-Security enabled, the ReplyTo and FaultTo elements of the SOAP message could be used to send messages to a third party, potentially taking part in a Denial of Service attack. To prevent such attacks, apply a WS-Addressing policy type and configure it to specify synchronous messaging only. You should also enable WS-Policy so that this requirement is communicated to clients.

## Web Services Addressing: firewalls and intermediary nodes

Using the Web Services Addressing (WS-Addressing) support in this product, you can create endpoint references that can be distributed across firewalls and intermediary nodes.

Using the WS-Addressing support, you can automatically generate endpoint references that represent endpoints on the node on which the references are generated. These endpoint references contain appropriate address information, based on the URL configured for the endpoint and any valid proxy configuration for the server that hosts the endpoint. Messages targeted at the endpoint reference from the client are routed to the endpoint through the appropriate intermediary node or nodes, as described in the following topology scenarios.

If you use the IBM proprietary API to create the endpoint reference, the topology of your system can also affect the type of endpoint reference that the WS-Addressing programming model generates. For example, if you use the `EndpointReferenceManager.createEndpointReference(QName serviceName, String endpointName)` method to create an endpoint reference in a cluster environment, the endpoint reference, by default, represents an endpoint that is workload-managed in the cluster in which the endpoint was created, in accordance with the appropriate topologies in the following sections. This behavior therefore provides a performance enhancement for the application.

**Note:** If the requesting application component runs under a transaction or in an HTTP session, affinity constraints might apply to the workload-management of endpoints.

You can also use the IBM proprietary API to create an endpoint reference that represents a service that should not be workload-managed, for example because it maintains in-memory state. A service that uses a stateful session bean is one example of a service that relies on routing affinity to a specific server instance. To create an endpoint reference to such a service, use the `EndpointReferenceManager.createEndpointReference(QName serviceName, String endpointName, java.rmi.Remote statefulSessionBean)` method.

If you enable high availability for stateful session beans, and create the endpoint reference using this method, the endpoint reference remains valid even if the stateful session bean is failed over, provided that the request originates from a WebSphere Application Server client at version 6.1 or later, or is routed by a Proxy Server for IBM WebSphere Application Server in the same administrative cell, as described in the following topology scenarios.

- Use the “Direct connection” on page 767 topology for non-clustered configurations.
- Use the “HTTP server, such as IBM HTTP Server” on page 768, topology when endpoint references refer to services that:
  - are deployed in a workload-managed cluster
  - do not access any stateful information that is localized to a specific server
- Use the “Proxy Server for IBM WebSphere Application Server” on page 767 topology, or the “HTTP server with a Proxy Server for IBM WebSphere Application Server” on page 768 topology, when endpoint references refer to services that:
  - are deployed in a workload-managed cluster
  - optionally, access stateful information that is localized to a specific server
  - optionally, can be failed over in a highly-available configuration



The HTTP server with a Proxy Server for IBM WebSphere Application Server topology is useful when the HTTP server itself has no integrated capability for affinity-based routing to WS-Addressing endpoints.

For endpoint references that refer to services that do not access stateful information that is localized to a specific server, all the following topology scenarios are suitable.

## Direct connection

Use this topology for non-clustered configurations.

In this topology, there is no intermediary node. The client communicates directly with the server that hosts the target endpoint. In this topology, the WS-Addressing APIs automatically generate the appropriate endpoint reference address, based on the URL configured for the web service module. This scenario is illustrated in the following diagram.

You can also use this topology when endpoint references created by using the IBM proprietary API refer to services that are deployed in a workload-managed cluster. However, messages targeted at the endpoint reference are workload-managed only if the client targeting the endpoint reference is a WebSphere Application Server client, at Version 6.1 or later, that exists in the same administrative cell as the endpoint, as illustrated in the following diagram.

Endpoint references created by using the standard JAX-WS API are not workload managed.

## Proxy Server for IBM WebSphere Application Server

Use this topology when endpoint references refer to services that are deployed in a workload-managed cluster, optionally access stateful information that is localized to a specific server, and optionally can be failed over in a highly-available configuration.

In this topology, the WS-Addressing APIs automatically generate the appropriate endpoint reference address, based on the URL prefix of the Proxy Server for IBM WebSphere Application Server that is configured for the target web service module. You must provide HTTP endpoint URL information, that is, configure the HTTP URL prefix for each deployment of each application. The client can exist outside the administrative cell that contains the proxy server and target server. The client communicates with the proxy server, which dynamically routes the client requests to the appropriate server in the cluster.

If the proxy that is addressed by the endpoint reference is a Proxy Server for IBM WebSphere Application Server, at Version 6.1 or later, that exists in the same administrative cell as the endpoint, messages targeted at a workload-managed endpoint reference are workload-managed, based on the cluster. For endpoint references that are created by using the IBM proprietary API, the following behavior also applies:

- If the endpoint reference represents a stateful session bean, requests targeted at the endpoint reference retain affinity to the server and the instance of that stateful session bean.
- If the endpoint reference represents a highly available stateful session bean, the endpoint reference remains valid if the stateful session bean is failed over to another server.

Endpoint references created by using the standard JAX-WS API do not have server affinity or high availability.

## HTTP server, such as IBM HTTP Server

Use this topology when endpoint references refer to services that are deployed in a workload-managed cluster, and that do not access any stateful information that is localized to a specific server.

In this topology, the IBM WS-Addressing API automatically generates the appropriate endpoint reference address based on the URL prefix of the HTTP server that is configured for the target web service module. You must provide HTTP endpoint URL information, that is, configure the HTTP URL prefix for each deployment of each application. The client communicates with the HTTP server, which then routes the client requests to a specific server based on the HTTP server configuration.

Do not deploy an endpoint reference that represents a stateful session bean in this topology, because the HTTP server will not retain affinity to that stateful session bean, and will spread its requests across the available servers.

To maintain stateful session bean affinity and high availability of endpoint references that are created by using the IBM proprietary API, use a Proxy Server for IBM WebSphere Application Server in addition to your HTTP server, as described in the following topology.

## HTTP server with a Proxy Server for IBM WebSphere Application Server

Use this topology when endpoint references refer to services that are deployed in a workload-managed cluster, optionally access stateful information that is localized to a specific server, and optionally, can be failed over in a highly-available configuration. The topology is similar to the Proxy Server for IBM WebSphere Application Server topology, but supports the use of any HTTP server as the external reverse proxy.

In this topology, the WS-Addressing API automatically generates the appropriate endpoint reference address based on the URL prefix of the HTTP server that is configured for the target web service module. You must provide HTTP endpoint URL information, that is, configure the HTTP URL prefix for each deployment of each application.

The client communicates with the HTTP server, which you configure, by routing requests from a plug-in to a proxy server, to forward the client requests to a Proxy Server for IBM WebSphere Application Server. The proxy then dynamically routes the requests to the appropriate server.

If the proxy that is addressed by the endpoint reference is Proxy Server for IBM WebSphere Application Server, at Version 6.1 or later, and exists in the same administrative cell as the endpoint, messages targeted at a workload-managed endpoint reference are workload-managed, based on the cluster. For endpoint references that are created by using the IBM proprietary API, the following behavior also applies:

- If the endpoint reference represents a stateful session bean, requests targeted at the endpoint reference retain affinity to the server and the instance of that stateful session bean.
- If the endpoint reference represents a highly available stateful session bean, the endpoint reference remains valid if the stateful session bean is failed over to another server.

Endpoint references created by using the standard JAX-WS API do not have server affinity or high availability.

## Web Services Addressing and the service integration bus

If you are using the Web Services Addressing (WS-Addressing) support, the presence of a service integration bus can affect the routing of messages. If you are also using a firewall, you might have to complete some additional configuration.

In the following scenarios, the client must conform to the WS-Addressing specification.

## One-way messaging scenario

The path taken by one-way messages is as follows:

1. The client sends a request, containing an endpoint reference specifying the endpoint to which replies are sent, to the service integration bus. This request is a one way request, so the client does not wait for a response.
2. The bus passes the message intact to the web service.
3. The web service sends a response directly to the endpoint that is specified in the request.

This scenario works if messages can flow directly from the Web service to the endpoint. If you have a configuration that does not support direct message flow, for example if you have a firewall, you must create handlers that can redirect the message as required.

## Request-response messaging scenario

For request-response scenarios, the messages take the following path:

1. The client sends a request, containing an endpoint reference specifying the endpoint to which replies are sent, to the service integration bus.
2. The bus passes the message intact to the web service, as a synchronous request. As the message leaves the bus, the endpoint reference is replaced with the anonymous URI listed in the WS-Addressing specification. This step ensures that the web service does not send a response directly to the endpoint.
3. The web service sends a response back to the bus, as part of the synchronous interaction.
4. As the message leaves the bus, the anonymous URI is replaced with the original endpoint reference, enabling the bus to pass the message to the endpoint.

## Web Services Addressing APIs

This product provides interfaces at the application programming level to enable application developers, including developers of Web Services Resource Framework applications, to create references to, and to target, web service resource instances. If you are a system programmer, you can use some these interfaces with the Web Services Addressing (WS-Addressing) system programming interfaces.

### JAX-WS 2.1 APIs

The standard JAX-WS 2.1 APIs in this product are contained in the `javax.xml.ws.wsaddressing` package. Refer to the JAX-WS 2.1 API documentation for more information about these APIs.

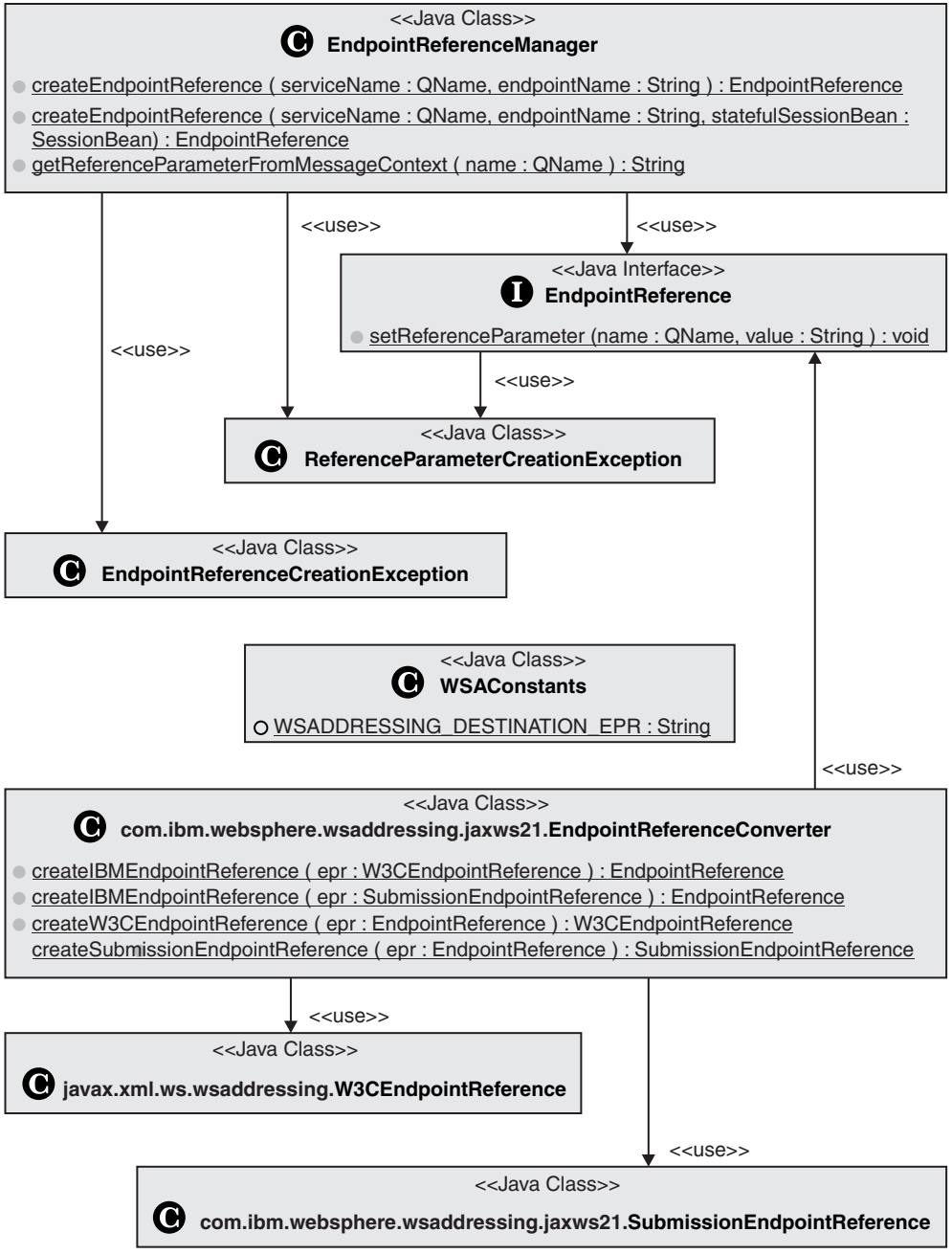
The implementation of the standard JAX-WS 2.1 APIs in this product also contains application programming interfaces, in the `com.ibm.websphere.wsaddressing.jaxws21` package. These APIs are described in more detail in the generated API documentation in this information center. These APIs allow you to achieve the following objectives by using specific classes:

- To represent endpoints that conform to the 2004/08 WS-Addressing specification, use the `com.ibm.websphere.wsaddressing.jaxws21.SubmissionEndpointReference` class.
- To create a `SubmissionEndpointReference` instance to represent 2004/08 endpoints in web services other than the one generating the endpoint reference, use the `com.ibm.websphere.wsaddressing.jaxws21.SubmissionEndpointReferenceBuilder` class.

- To convert `EndpointReference` instances created by using the IBM proprietary WS-Addressing API into either `W3CEndpointReference` or `SubmissionEndpointReference` instances, use the `com.ibm.websphere.wsaddressing.jaxws21.EndpointReferenceConverter` class. This class can also be used to reverse the conversion of `EndpointReference` instances.
- To enable WS-Addressing on clients, use the `com.ibm.websphere.wsaddressing.jaxws21.SubmissionAddressingFeature` class, and an annotation, `@SubmissionAddressing`, for enabling WS-Addressing on servers.

## IBM proprietary WS-Addressing APIs

These application programming interfaces are contained in the `com.ibm.websphere.wsaddressing` package and are summarized in the following diagram. The diagram also shows the following classes from the JAX-WS 2.1 API: `com.ibm.websphere.wsaddressing.jaxws21.EndpointReferenceConverter`, `javax.xml.ws.wsaddressing.W3CEndpointReference` and `com.ibm.websphere.wsaddressing.jaxws21.SubmissionEndpointReference`.



These interfaces provide the following features:

- A mechanism for creating a `com.ibm.websphere.wsaddressing.EndpointReference` instance to represent a WS-Addressing endpoint reference by using the `com.ibm.websphere.wsaddressing.EndpointReferenceManager.createEndpointReference` interface.

**Note:** A deprecated class, `com.ibm.websphere.wsaddressing.EndpointReferenceCoverter`, for converting `EndpointReference` instances into deprecated classes `com.ibm.websphere.wsaddressing.W3CEndpointReference` or `com.ibm.websphere.wsaddressing.SubmissionEndpointReferences`, for use in JAX-WS applications.

These classes are deprecated in favour of the JAX-WS 2.1 classes of the same name (EndpointReferenceConverter, SubmissionEndpointReference, and W3CEndpointReference) contained in the `com.ibm.websphere.wsaddressing.jaxws21` and `javax.xml.ws.wsaddressing.jaxws21` packages, as shown on the diagram.

- A method, `com.ibm.websphere.wsaddressing.EndpointReference.setReferenceParameter`, to enable you to associate reference parameters with an `EndpointReference` instance.
- An interface to enable a client to configure its `BindingProvider` request context, or `Stub` or `Call` object, based on an `EndpointReference` instance. All invocations on the `BindingProvider`, `Stub` or `Call` object are subsequently targeted at the endpoint that is represented by the `EndpointReference` instance. To achieve this behavior, set the `com.ibm.websphere.wsaddressing.WSConstants.WSADDRESSING_DESTINATION_EPR` property on the `BindingProvider` request context, or `Stub` or `Call` object, to the appropriate `EndpointReference` instance.
- A mechanism for acquiring individual reference parameters that are associated with the incoming message context, to correlate the message to a specific resource instance through the `com.ibm.websphere.EndpointReferenceManager.getReferenceParameterFromMessageContext` interface.

## IBM proprietary Web Services Addressing SPIs

The IBM proprietary Web Services Addressing (WS-Addressing) system programming interfaces (SPIs) extend the IBM proprietary WS-Addressing application programming interfaces (APIs) to enable you to create and reason about the contents of endpoint references and other WS-Addressing artifacts, and to set or retrieve WS-Addressing message-addressing properties (MAPs) on or from web service messages.

You cannot use the standard JAX-WS API classes with these proprietary SPIs. However, you can convert endpoint references created by using the standard JAX-WS API classes to instances of the proprietary `com.ibm.websphere.wsaddressing.EndpointReference` class, using the `com.ibm.websphere.wsaddressing.jaxws21.EndpointReferenceConverter` class. You can then use these converted endpoint references with the proprietary SPIs.

The programming interfaces in this topic are described in more detail in the IBM WS-Addressing SPI documentation.

### Creating, refining, and reasoning about the contents of endpoint references

The proprietary SPIs for creating, refining, and reasoning about the contents of endpoint references are contained in the `com.ibm.wsspi.wsaddressing` package and are summarized in the following illustration (the first two interfaces are proprietary API interfaces that are extended by the SPIs):

The SPI extends the proprietary WS-Addressing `com.ibm.websphere.wsaddressing.EndpointReference` API to provide a number of additional methods through the `com.ibm.wsspi.wsaddressing.EndpointReference` interface. You can cast instances of `com.ibm.websphere.wsaddressing.EndpointReference` to `com.ibm.wsspi.wsaddressing.EndpointReference` to access these additional functions.

Similarly, the SPI `com.ibm.wsspi.wsaddressing.EndpointReferenceManager` extends the set of functions that are provided in the `com.ibm.websphere.wsaddressing.EndpointReferenceManager` API.

You can complete the following actions by using the additional methods that are provided by the `EndpointReference` and `EndpointReferenceManager` SPIs:

#### Create endpoint references

Create `EndpointReference` objects by specifying the URI of the endpoint that the `EndpointReference` object is to represent, by using the `createEndpointReference(URI)` operation,

or the `EndpointReferenceManager.createEndpointReference(AttributedURI)` operation. These methods differ from the `createEndpointReference` method that is provided at the API level, in that they do not automatically generate the URI for the `EndpointReference` instance. You might use these methods when you know that the URI of the endpoint is stable, for example in a test environment with no deployment restrictions.

### Map between XML and Java representations of an endpoint reference

You can serialize instances of the `EndpointReference` interface to their corresponding SOAP element instances by using the `EndpointReference.getSOAPElement` operation. Conversely, you can deserialize SOAP elements of type `EndpointReferenceType` into their corresponding `EndpointReference` Java representation, by using the `EndpointReference.createEndpointReference(SOAPElement)` operation. You might find these serialization and deserialization interfaces useful if you are creating custom binders for types that contain `EndpointReference` instances.

### Use more complex reference parameter types

The proprietary interfaces that are provided at the API level are restricted to reference parameters of type `xsd:string` to allow for a simpler programming model. The SPIs extend this support to allow reference parameters of type `<xsd:any>`. The `EndpointReference` interface provides mechanisms for getting and setting reference parameters as SOAP elements. Additionally, the `EndpointReferenceManager` class provides the `getSOAPElementReferenceParameterFromMessageContext` operation, which enables receiving endpoints to acquire reference parameters that are not of type `String` from the incoming message.

**Note:** When invoking a service with an `EndpointReference` object that contains a reference parameter, you must create the reference parameter by using a complete `QName` object, with all parts present: namespace, localpart, and prefix. If the `QName` object is not complete, service invocations fail.

### Set and reason about endpoint reference contents

The `EndpointReference` interface provides operations for you to set and reason about the contents of an `EndpointReference` instance, such as its `WS-Addressing` address and metadata properties. Additional interfaces are provided to represent the artifacts making up an endpoint reference: `Metadata`, `AttributedURI`, and `ServiceName`. You create instances of these interfaces by using operations that are provided by the proprietary `WSAddressingFactory` class.

### Acquire and change the supported namespace

The `WS-Addressing` support in this product supports multiple namespaces. The `setNamespace` and `getNamespace` operations that are provided on the proprietary `EndpointReference` interface enable you to change and acquire the namespace that is associated with a particular `EndpointReference` object. Serialization to SOAP elements is in accordance with the namespace of the `EndpointReference` object. By default, the namespace of the destination endpoint reference (the endpoint reference set as the `com.ibm.websphere.wsaddressing.WSConstants.WSADDRESSING_DESTINATION_EPR` property on the `JAX-WS BindingProvider` object request context, or the `JAX-RPC Stub` or `Call` object), defines the namespace of the message-addressing properties of the message.

## Setting and Retrieving WS-Addressing message-addressing properties

The IBM proprietary `WS-Addressing` SPI provides a number of constants that identify `JAX-WS` or `JAX-RPC` properties that you can use to set `WS-Addressing` MAPs on outbound messages, and message context properties that you can use to retrieve MAPs on inbound messages. These constants are shown in the following diagram in the `com.wsspi.wsaddressing.WSConstants` class. The diagram also shows the interfaces that are required for generating instances of the appropriate property value types `AttributedURI` and `Relationship`. The first `WSConstants` interface is a proprietary API interface.

## Setting WS-Addressing message-addressing properties on outbound messages

You can add WS-Addressing message information headers to outgoing messages by setting the appropriate properties on the JAX-WS BindingProvider object request context, or the JAX-RPC Stub or Call object, prior to invoking a message with the BindingProvider, Stub, or Call object. The following table summarizes the relevant properties and their types.

*Table 82. Outbound properties that you can set on the BindingProvider object request context (or the Stub or Call object). The table lists the different property names, their Java types, their abstract WS-Addressing MAP names and their default values.*

Property name (of type String)	Java type of property value	Abstract WS-Addressing MAP name or names, using the notational convention of the W3C XML Information Set	Default value
WSADDRESSING_DESTINATION_EPR	com.ibm.websphere.wsaddressing.EndpointReference	[destination] URI [reference parameters]* (any)	Not set  Note that this property comes from the API.
WSADDRESSING_FROM_EPR	com.ibm.websphere.wsaddressing.EndpointReference	[source endpoint]	Not set
WSADDRESSING_REPLYTO_EPR	com.ibm.websphere.wsaddressing.EndpointReference	[reply endpoint]	Either 'none', if the message is a one-way message with no reply, or not set. For two-way asynchronous messages in JAX-WS applications, this property is generated automatically. If, in this situation, you attempt to set this property, a <code>javax.xml.ws.WebServiceException</code> exception is thrown. This exception is also thrown for two-way synchronous messages that do not use the anonymous URI.
WSADDRESSING_FAULTTO_EPR	com.ibm.websphere.wsaddressing.EndpointReference	[fault endpoint]	Not set  If you attempt to set this property for two-way asynchronous messages in JAX-WS applications, a <code>javax.xml.ws.WebServiceException</code> exception is thrown. This exception is also thrown for two-way synchronous messages that do not use the anonymous URI.
WSADDRESSING_RELATIONSHIP_SET	java.util.Set containing instances of <code>com.ibm.wsspi.wsaddressing.Relationship</code>	[relationship]	Not set
WSADDRESSING_MESSAGE_ID	com.ibm.wsspi.wsaddressing.AttributedURI	[message id]	Generated and set to a unique value
WSADDRESSING_ACTION	com.ibm.wsspi.wsaddressing.AttributedURI	[action]	Generated and set, according to the WS-Addressing specification
WSADDRESSING_OUTBOUND_NAMESPACE	String	none	The WS-Addressing namespace of the <code>WSADDRESSING_DESTINATION_EPR</code> property, if specified, otherwise the default namespace

## Retrieving WS-Addressing message-addressing properties from inbound messages

WS-Addressing message information headers that correspond to the last inbound message are available from the inbound properties that are defined in the `WSAConstants` class. The following table summarizes



the available inbound properties. You acquire reference parameters from the message context by using the proprietary `EndpointReferenceManager.getReferenceParameter` interface.

Table 83. Inbound properties that you can acquire from the message context. The table lists the different property names, their Java types and equivalent abstract WS-Addressing MAP names.

Message context property name (of type String)	Java type of property value	Abstract WS-Addressing MAP name, using the notational convention of the W3C XML Information Set
WSADDRESSING_INBOUND_TO	com.ibm.wsspi.wsaddressing.AttributedURI	[destination]
No specific property. Use the <code>EndpointReferenceManager.getReferenceParameter(QName name)</code> method to obtain the associated MAP.	Any	[reference parameters]*
WSADDRESSING_INBOUND_FROM_EPR	com.ibm.websphere.wsaddressing.EndpointReference	[source endpoint]
WSADDRESSING_INBOUND_REPLYTO_EPR	com.ibm.websphere.wsaddressing.EndpointReference	[reply endpoint]
WSADDRESSING_INBOUND_FAULTTO_EPR	com.ibm.websphere.wsaddressing.EndpointReference	[fault endpoint]
WSADDRESSING_INBOUND_RELATIONSHIP	java.util.Set containing instances of <code>com.ibm.wsspi.wsaddressing.Relationship</code>	[relationship]
WSADDRESSING_INBOUND_MESSAGE_ID	com.ibm.wsspi.wsaddressing.AttributedURI	[message id]
WSADDRESSING_INBOUND_ACTION	com.ibm.wsspi.wsaddressing.AttributedURI	[action]
WSADDRESSING_INBOUND_NAMESPACE	String	The WS-Addressing namespace of the incoming message

## Web Services Resource Framework support

The Web Services Resource Framework (WSRF) support in WebSphere Application Server provides the environment for web service applications that follow the OASIS WSRF specifications.

### WSRF overview

Web service interfaces often need to provide stateful interactions with the clients of the service. For example, a web service interface such as a shopping cart, where the result of one operation influences the carrying out of the succeeding operations. The OASIS Web Services Resource Framework (WSRF) defines a generic framework for modelling and accessing stateful resources using web services, so that the definition and implementation of a service and the integration and management of multiple services is easier.

WSRF introduces the concept of an XML document description, called the *resource properties document schema*, which is referenced by the WSDL description of a web service and which explicitly describes a view of the state of the resource with which the client interacts. A service described in this way is called a *WS-Resource*.

A WS-Resource is defined as the combination of a resource and a web service through which the resource is accessed. The following figure illustrates a web service, at `http://www.example.com/service`, and three resources, A, B, and C, which are accessed through the Web service. Three WS-Resources are therefore illustrated in the figure:

A WS-Resource is referenced by a WS-Addressing endpoint reference that uniquely identifies the WS-Resource, typically by containing an identifier of the resource component of the WS-Resource inside

the EndpointReference ReferenceParameter element. In the previous example, WS-Resource-C is the combination of the web service and the resource that is identified by C, and a reference to WS-Resource-C might be as follows:

```
<wsa:EndpointReference>
  <wsa:Address>
    http://www.example.com/service
  </wsa:Address>
  <wsa:ReferenceParameters>
    <tns:SomeDisambiguatorElement>C</tns:SomeDisambiguatorElement>
  </wsa:ReferenceParameters>
  ...
</wsa:EndpointReference>
```

Each such WS-Resource has a resource property document (an XML instance document) that describes a view of the state of the resource. The WSDL for a WS-Resource identifies the XML schema that describes the type of the resource property document through a ResourceProperties attribute of the wsdl:PortType element. By specifying this standard WSDL extension for the resource properties document schema, WSRF enables the definition of simple, generic messages that interact with the WS-Resource.

For example, consider a Printer WS-Resource that has the following resource properties document schema:

```
<?xml version="1.0"?>
<xsd:schema ...
  xmlns:pr="http://example.org/printer.xsd"
  targetNamespace="http://example.org/printer.xsd" >
<xsd:element name="printer_properties">
  <xsd:complexType>
    <xsd:sequence>
      <xsd:element ref="pr:printer_name" />
      <xsd:element ref="pr:queued_job_count" />
    </xsd:sequence>
  </xsd:complexType>
</xsd:element>
...
</schema>
```

The WSDL PortType element for such a WS-Resource declares the Resource Properties Document type as follows:

```
<wsdl:portType xmlns:pr="http://example.org/printer.xsd"
  xmlns:wsrf-rp="http://docs.oasis-open.org/wsrp/rp-2"
  name="Printer" wsrf-rp:ResourceProperties="pr:printer_properties">
```

Each WS-Resource has a unique, logical resource properties document instance that is a view of the state of the resource. The WS-ResourceProperties specification describes the interoperable protocol messages that a WS-Resource can implement to get, set, or query the state of the resource by operating on the resource properties document. Some of these operations affect the resource properties document as a whole, and some of them operate on one or more elements within the document (the individual resource properties, for example pr:printer\_name). Each WS-Resource can have a finite lifecycle and can be created and destroyed; the WS-ResourceLifetime specification describes the interoperable protocol messages that a WS-Resource can implement to destroy itself or to alter its termination time.

For more information about WSRF, refer to the WSRF Primer document published by the OASIS Technical Committee.

## WSRF Programming Model

The WSRF specifications define only the protocol messages and the semantic behavior that is expected of a WS-Resource when it processes these messages; the specifications do not prescribe the means to implement WS-Resource objects. WSRF is primarily an application-level protocol and the tools for implementing WS-Resources are the same tools that are used for implementing any other type of web service. WSRF uses WS-Addressing endpoint references and the application programming model for WS-Resources is similar to the model for any web service that uses WS-Addressing.

WSRF extends the WebSphere Application Server WS-Addressing programming model in two ways, which differentiate a WS-Resource from a generic resource that is accessed through a web service by using WS-Addressing:

- WSRF requires the ResourceProperties attribute on the wsdlPortType element. This attribute declares that the portType element is implemented by a WS-Resource rather than a generic web service. The WS-Resource must declare which WSRF operations it supports by copying those operations into the portType element of its WSDL definition. The WS-Resource is free to choose any implementation strategy to represent the stateful resource and to process the WSRF messages; you can implement a resource using a simple Java class, a stateless session enterprise bean, an entity bean backed by a relational database, a Service Data Object (SDO), and so on.
- WSRF defines a hierarchy of Java BaseFault types.

## Web Services Resource Framework base faults

The Web Services Resource Framework (WSRF) provides a recommended basic fault message element type from which you can derive all service-specific faults. The advantage of a common basic type is that all faults can, by default, contain common information. This behavior is useful in complex systems where faults might be systematically logged, or forwarded through several layers of software before being analyzed.

The common information includes the following items:

- A mandatory timestamp.
- An element that can be used to indicate the originator of the fault.
- Other elements that can describe and classify the fault.

The following two standard faults are defined for use with every WSRF operation:

### ResourceUnkownFault

This fault is used to indicate that the WS-Resource is not known by the service that receives the message.

### ResourceUnavailableFault

This fault is used to indicate that the web service is active, but temporarily unable to provide access to the resource.

The following XML fragment shows an example of a base fault element:

```
<wsrf-bf:BaseFault>
  <wsrf-bf:Timestamp>2005-05-31T12:00:00.000Z</wsrf-bf:Timestamp>
  <wsrf-bf:Originator>
    <wsa:Address>
      http://www.example.org/Printer
    </wsa:Address>
    <wsa:ReferenceParameters>
      <pr:pr-id>P1</pr:pr-id>
    </wsa:ReferenceParameters>
  </wsrf-bf:Originator>
  <wsrf-bf:Description>Offline for service maintenance</wsrf-bf:Description>
  <wsrf-bf:FaultCause>OFFLINE</wsrf-bf:FaultCause>
</wsrf-bf:BaseFault>
```

**Important:** The elements and classes that are discussed in the rest of this topic apply to JAX-RPC applications only. If your application uses JAX-WS, use the artifacts that are generated, for example by the wsimport tool, from the application WSDL document and XML schema that define and use the specific BaseFault type.

## The BaseFault class

For JAX-RPC applications, WebSphere Application Server provides Java code mappings for all the base fault element types that are defined by the WSRF specifications, forming an exception hierarchy where each Java exception extends the `com.ibm.websphere.wsrf.BaseFault` class. Each fault class follows a similar pattern.

For example, the BaseFault class defines the following two constructors:

```
package com.ibm.websphere.wsrfr;
public class BaseFault extends Exception
{
    public BaseFault()
    {
        ...
    }
    public BaseFault(EndpointReference originator,
                    ErrorCode errorCode,
                    FaultDescription[] descriptions,
                    IOSerializableSOAPElement faultCause,
                    IOSerializableSOAPElement[] extensibilityElements,
                    Attribute[] attributes)
    {
        ...
    }
    ...
}
```

## The IOSerializableSOAPElement class

Because the BaseFault class extends the java.lang.Exception class, the BaseFault class must implement the java.io.Serializable interface. To meet this requirement, all properties of a BaseFault instance must be serializable. Because the javax.xml.soap.SOAPElement class is not serializable, WebSphere Application Server provides an IOSerializableSOAPElement class, which you can use to wrap a javax.xml.soap.SOAPElement instance to provide a serializable form of that instance.

Create an IOSerializableSOAPElement instance by using the IOSerializableSOAPElementFactory class, as follows:

```
// Get an instance of the IOSerializableSOAPElementFactory class
IOSerializableSOAPElementFactory factory = IOSerializableSOAPElementFactory.newInstance();

// Create an IOSerializableSOAPElement from a javax.xml.soap.SOAPElement
IOSerializableSOAPElement serializableSOAPElement = factory.createElement(soapElement);

// You can retrieve the wrapped SOAPElement from the IOSerializableSOAPElement
SOAPElement soapElement = serializableSOAPElement.getSOAPElement();
```

Any application-specific BaseFault instances must also adhere to this serializable requirement.

## Application-specific faults

Applications can define their own extensions to the BaseFault element. Use XML type extensions to define a new XML type for the application fault that extends the BaseFaultType element. For example, the following XML fragment creates a new PrinterFaultType element:

```
<xsd:complexType name="PrinterFaultType">
  <xsd:complexContent>
    <xsd:extension base="wsrf-bf:BaseFaultType"/>
  </xsd:complexContent>
</xsd:complexType>
```

The following example shows how a web service application, whose WSDL definition might define a print operation that declares two wsdl:fault messages, constructs a PrinterFault object:

```
import com.ibm.websphere.wsrfr.BaseFault;
import com.ibm.websphere.wsrfr.*;
import javax.xml.soap.SOAPFactory;
...
public void print(PrintRequest req) throws PrinterFault, ResourceUnknownFault
{
    // Determine the identity of the target printer instance.
    PrinterState state = PrinterState.getState ();
    if (state.OFFLINE)
    {
        try
        {
            // Get an instance of the SOAPFactory
            SOAPFactory soapFactory = SOAPFactory.newInstance();

            // Create the fault cause SOAPElement
            SOAPElement faultCause = soapFactory.createElement("FaultCause");
            faultCause.addTextNode("OFFLINE");

            // Get an instance of the IOSerializableSOAPElementFactory
```

```

    IOSerializableSOAPElementFactory factory = IOSerializableSOAPElementFactory.newInstance();

    // Create an IOSerializableSOAPElement from the faultCause SOAPElement
    IOSerializableSOAPElement serializableFaultCause = factory.createElement(faultCause);

    FaultDescription[] faultDescription = new FaultDescription[1];
    faultDescription[0] = new FaultDescription("Offline for service maintenance");
    throw new PrinterFault(
        state.getPrinterEndpointReference(),
        null,
        faultDescription,
        serializableFaultCause,
        null,
        null);
    }
    catch (SOAPException e)
    {
        ...
    }
}
...

```

The following code shows how base fault hierarchies are handled as Java exception hierarchies:

```

import com.ibm.websphere.wsrf.BaseFault;
import com.ibm.websphere.wsrf.*;
...
try
{
    printer1.print(job1);
}
catch (ResourceUnknownFault exc)
{
    System.out.println("Operation threw the ResourceUnknownFault");
}
catch (PrinterFault exc)
{
    System.out.println("Operation threw PrinterFault");
}
catch (BaseFault exc)
{
    System.out.println("Exception is another BaseFault");
}
catch (Exception exc)
{
    System.out.println("Exception is not a BaseFault");
}

```

## Custom binders

When you define a new application-level base fault, for example the PrinterFault fault with the PrinterFaultType type shown previously, you must provide a custom binder to define how the web services run time serializes the Java class into an appropriate XML message, and conversely how to deserialize an XML message into an instance of the Java class.

The custom binder must implement the `com.ibm.wsspi.webservices.binding.CustomBinder` interface. Package the binder in a Java archive (JAR) file along with a declarative metadata file, `CustomBindingProvider.xml`, in the `/META-INF/services` directory of the JAR file. This metadata file defines the relationship between the custom binder, the Java BaseFault implementation and the BaseFault type. For example, you might define a custom binder called `PrinterFaultTypeBinder`, to map between the XML `PrinterFaultType` element and its Java implementation, `PrinterFault`, as follows:

```

<customdatabinding:provider
  xmlns:customdatabinding="http://www.ibm.com/webservices/customdatabinding/2004/06"
  xmlns:pr="http://example.org/printer.xsd"
  xmlns="http://www.ibm.com/webservices/customdatabinding/2004/06">
  <mapping>
    <xmlQName>pr:PrinterFaultType</xmlQName>
    <javaName>PrinterFault</javaName>
    <qnameScope>complexType</qnameScope>
    <binder>PrinterFaultTypeBinder</binder>
  </mapping>
</customdatabinding:provider>

```

## The BaseFaultBinderHelper class

WebSphere Application Server provides a `BaseFaultBinderHelper` class, which provides support for serializing and deserializing the data that is specific to a root BaseFault class, which all specialized

BaseFault classes must extend. If a custom binder uses the BaseFaultBinderHelper class, the custom binder then needs to provide only the additional logic for serializing and deserializing the extended BaseFault data.

The following code shows how you can implement a custom binder for the PrinterFaultType element to take advantage of the BaseFaultBinderHelper class support:

```
import com.ibm.wsspi.wsrfr.BaseFaultBinderHelper;
import com.ibm.wsspi.wsrfr.BaseFaultBinderHelperFactory;
import com.ibm.wsspi.webservices.binding.CustomBinder;
import com.ibm.wsspi.webservices.binding.CustomBindingContext;
...

public PrinterFaultTypeBinder implements CustomBinder
{
    // Get an instance of the BaseFaultBinderHelper
    private BaseFaultBinderHelper baseFaultBinderHelper = BaseFaultBinderHelperFactory.getBaseFaultBinderHelper();

    public SOAPElement serialize(Object data, SOAPElement rootNode, CustomBindingContext context) throws SOAPException
    {
        // Serialize the BaseFault specific data
        baseFaultBinderHelper.serialize(rootNode, (BaseFault)data);

        // Serialize any PrinterFault specific data
        ...

        // Return the serialized PrinterFault
        return rootNode;
    }

    public Object deserialize(SOAPElement rootNode, CustomBindingContext context) throws SOAPException
    {
        // Create an instance of a PrinterFault
        PrinterFault printerFault = new PrinterFault();

        // Deserialize the BaseFault specific data - any additional data that
        // forms the PrinterFault extension will be returned as a SOAPElement[].
        SOAPElement[] printerFaultElements = baseFaultBinderHelper.deserialize(printerFault, rootNode);

        // Deserialize the PrinterFault specific data contained within the printerFaultElements SOAPElement[]
        ...

        // Return the deserialized PrinterFault
        return printerFault;
    }
}
...
}
```

## Web Services Resource Framework resource property and lifecycle operations

The Web Services Resource Framework (WSRF) contains specifications that describe the operations that a Web Services Resource (WS-Resource) can implement to get, set, or query the state of the resource by operating on the resource properties document.

For a complete description of all the standard property and lifetime operations that are defined by the Web Services Resource Framework (WSRF), see the WS-ResourceProperties and WS-ResourceLifetime specifications. The principle WSRF operations that a Web Services Resource (WS-Resource) can support are described in the following table.

*Table 84. Principle WSRF operations that are supported by WS-Resources. The table lists the principle WSRF operations and provides a description of each one, including its message and response format.*

Operation	Description
GetResourcePropertyDocument	<p>Returns the entire resource properties document for the WS-Resource.</p> <p><b>Message format</b></p> <pre>&lt;wsrf-rp:GetResourcePropertyDocument /&gt;</pre> <p><b>Response format</b></p> <pre>&lt;wsrf-rp:GetResourcePropertyDocumentResponse&gt;   {any} &lt;/wsrf-rp:GetResourcePropertyDocumentResponse&gt;</pre> <p>where {any} is the content of the resource properties document.</p>

Table 84. Principle WSRF operations that are supported by WS-Resources (continued). The table lists the principle WSRF operations and provides a description of each one, including its message and response format.

Operation	Description
PutResourcePropertyDocument	<p>Replaces the entire resource properties document for the WS-Resource with the document specified.</p> <p><b>Message format</b></p> <pre>&lt;wsrf-rp:PutResourcePropertyDocument&gt;   {any} &lt;/wsrf-rp:PutResourcePropertyDocument&gt;</pre> <p>where {any} is the content of the new resource properties document.</p> <p><b>Response format</b></p> <pre>&lt;wsrf-rp:PutResourcePropertyDocumentResponse&gt;   {any} ? &lt;/wsrf-rp:PutResourcePropertyDocumentResponse&gt;</pre> <p>where {any} is the content of the new resource properties document. If the content is the same as the requested content, then the {any} element must not be present.</p>
GetResourceProperty	<p>Returns the value or values of the specified resource property found within the resource properties document for the WS-Resource.</p> <p><b>Message format</b></p> <pre>&lt;wsrf-rp:GetResourceProperty&gt;   QName &lt;/wsrf-rp:GetResourceProperty&gt;</pre> <p><b>Response format</b></p> <pre>&lt;wsrf-rp:GetResourcePropertyResponse&gt;   {any}* &lt;/wsrf-rp:GetResourcePropertyResponse&gt;</pre> <p>where {any}* is a sequence of elements that match the QName specified in the request.</p>
GetMultipleResourceProperties	<p>Returns the value or values of the specified resource properties found within the resource properties document for the WS-Resource.</p> <p><b>Message format</b></p> <pre>&lt;wsrf-rp:GetMultipleResourceProperties&gt;   &lt;wsrf-rp:ResourceProperty&gt;QName&lt;wsrf-rp:ResourceProperty&gt;+ &lt;/wsrf-rp:GetMultipleResourceProperties&gt;</pre> <p><b>Response format</b></p> <pre>&lt;wsrf-rp:GetMultipleResourcePropertiesResponse&gt;   {any}* &lt;/wsrf-rp:GetMultipleResourcePropertiesResponse&gt;</pre> <p>where {any}* is a sequence of elements that match the QNames specified in the request.</p>
InsertResourceProperties	<p>Inserts the resource property elements specified into the resource properties document for the WS-Resource.</p> <p><b>Message format</b></p> <pre>&lt;wsrf-rp:InsertResourceProperties&gt;   &lt;wsrf-rp:Insert&gt;     {any}*   &lt;/wsrf-rp:Insert&gt; &lt;/wsrf-rp:InsertResourceProperties&gt;</pre> <p>where {any}* is a sequence of elements with the same QName.</p> <p><b>Response format</b></p> <pre>&lt;wsrf-rp:InsertResourcePropertiesResponse/&gt;</pre>

Table 84. Principle WSRF operations that are supported by WS-Resources (continued). The table lists the principle WSRF operations and provides a description of each one, including its message and response format.

Operation	Description
UpdateResourceProperties	<p>Updates the resource property elements specified into the resource properties document for the WS-Resource.</p> <p><b>Message format</b></p> <pre data-bbox="618 363 935 464">&lt;wsrf-rp:UdateResourceProperties&gt;   &lt;wsrf-rp:Udate&gt;     {any}*   &lt;/wsrf-rp:Udate&gt; &lt;/wsrf-rp:UdateResourceProperties&gt;</pre> <p>where <i>{any}</i>* is a sequence of elements with the same QName.</p> <p><b>Response format</b></p> <pre data-bbox="618 562 1008 583">&lt;wsrf-rp:UdateResourcePropertiesResponse/&gt;</pre>
DeleteResourceProperties	<p>Deletes the resource property elements specified from the resource properties document for the WS-Resource.</p> <p><b>Message format</b></p> <pre data-bbox="618 741 1029 800">&lt;wsrf-rp:DeleteResourceProperties&gt;   &lt;wsrf-rp:Delete ResourceProperty="QName" /&gt; &lt;/wsrf-rp:DeleteResourceProperties&gt;</pre> <p>where <i>QName</i> is the QName of the resource property to delete.</p> <p><b>Response format</b></p> <pre data-bbox="618 898 1019 919">&lt;wsrf-rp:DeleteResourcePropertiesResponse/&gt;</pre>
QueryResourceProperties	<p>Query the resource properties document by using a query expression, such as XPath.</p> <p><b>Message format</b></p> <pre data-bbox="618 1052 1235 1173">&lt;wsrf-rp:QueryResourceProperties&gt;   &lt;wsrf-rp:QueryExpression     Dialect="http://www.w3.org/TR/1999/REC-xpath-19991116"&gt;     xsd:any   &lt;/wsrf-rp:QueryExpression&gt; &lt;/wsrf-rp:QueryResourceProperties&gt;</pre> <p>where <i>xsd:any</i> is the XPath query expression to apply to the resource properties document.</p> <p><b>Response format</b></p> <pre data-bbox="618 1272 1008 1331">&lt;wsrf-rp:QueryResourcePropertiesResponse&gt;   {any} &lt;/wsrf-rp:QueryResourcePropertiesResponse&gt;</pre> <p>where <i>{any}</i> is the result of evaluating the query expression against the resource properties document.</p>
Destroy	<p>Destroys the WS-Resource.</p> <p><b>Message format</b></p> <pre data-bbox="618 1488 789 1509">&lt;wsrf-r1:Destroy/&gt;</pre> <p><b>Response format</b></p> <pre data-bbox="618 1549 862 1570">&lt;wsrf-r1:DestroyResponse/&gt;</pre> <p>This response indicates successful destruction of the WS-Resource.</p>



Table 84. Principle WSRF operations that are supported by WS-Resources (continued). The table lists the principle WSRF operations and provides a description of each one, including its message and response format.

Operation	Description
SetTerminationTime	<p>WS-Resources that support scheduled termination can implement this operation to allow a requester to change the time at which the WS-Resource destroys itself.</p> <p><b>Message format</b></p> <pre data-bbox="651 359 1013 548"> &lt;wsrf-r1:SetTerminationTime&gt;   [&lt;wsrf-r1:RequestedTerminationTime&gt;     xsd:dateTime   &lt;/wsrf-r1:RequestedTerminationTime&gt;]       [&lt;wsrf-r1:RequestedLifetimeDuration&gt;     xsd:duration   &lt;/wsrf-r1:RequestedLifetimeDuration&gt;] &lt;/wsrf-r1:SetTerminationTime&gt; </pre> <p>where the termination time is either an absolute time or a relative duration.</p> <p><b>Response format</b></p> <pre data-bbox="651 642 987 810"> &lt;wsrf-r1:SetTerminationTimeResponse&gt;   &lt;wsrf-r1:NewTerminationTime&gt;     xsd:dateTime   &lt;/wsrf-r1:NewTerminationTime&gt;   &lt;wsrf-r1:CurrentTime&gt;     xsd:dateTime   &lt;/wsrf-r1:CurrentTime&gt; &lt;/wsrf-r1:SetTerminationTimeResponse&gt; </pre> <p>This response contains the time, from the perspective of the WS-Resource, when the WS-Resource destroys itself. The response also contains the WS-Resource value of the current time.</p> <p>A variety of ways exist in which a WS-Resource can implement scheduled destruction. For example, a WS-Resource that is implemented as an enterprise bean might use the enterprise bean container timer service by implementing the <code>ejbTimeout</code> callback method of the <code>javax.ejb.TimedObject</code> interface, and by creating a <code>Timer</code> object that expires at the scheduled destruction time and drives this callback method. EJB timer service <code>Timer</code> objects are retained after server restarts, and are therefore a simple means to manage the lifecycle of WS-Resources that have a finite lifecycle and require a time-based destruction mechanism.</p>

## Web Services Distributed Management

Web Services Distributed Management (WSDM) is an OASIS approved standard that supports managing resources through a standardized web service interface. Your environment, such as WebSphere Application Server host or an operating system host that has an exposed resource as a web service within a single interface is used to manage and control resources. WSDM is a distributed management model, but it does not replace any existing WebSphere Application Server administration models. WSDM provides a new way to expose the internal product administration functions for a web service interface.

The existing administration interfaces, such as managed bean (MBean), `wsadmin`, and Java Application Programming Interface (API), are more language and platform specific. WSDM provides a common, flexible infrastructure to manage the product resources by leveraging the web services protocols.

WSDM defines two specifications: Management Using Web Services (MUWS) and Management of Web Services (MOWS). MUWS defines how resources interact with the resources managed through a set of accessible web services interfaces. MOWS extends the MUWS concepts to define how a web service resource, itself, is managed. See Specifications and API documentation for MOWS and MUWS specifications. In addition to the manageability capabilities defined in the MUWS specifications, WebSphere Application Server WSDM also defines manageability capabilities unique to the product environment.

There is a general pattern that managed resources use to expose their manageability services through WSDM compliant web services interfaces. First, you must create a model of the managed resource. Typically the model of the resource is created using a modeling tool such as the Test and Performance

Tools Platform (TPTP), an eclipse plug-in tool; however, a simple text document is sufficient. Use the modeling tool to develop the model of WebSphere Application Server managed resources. The following graphic illustrates the process.

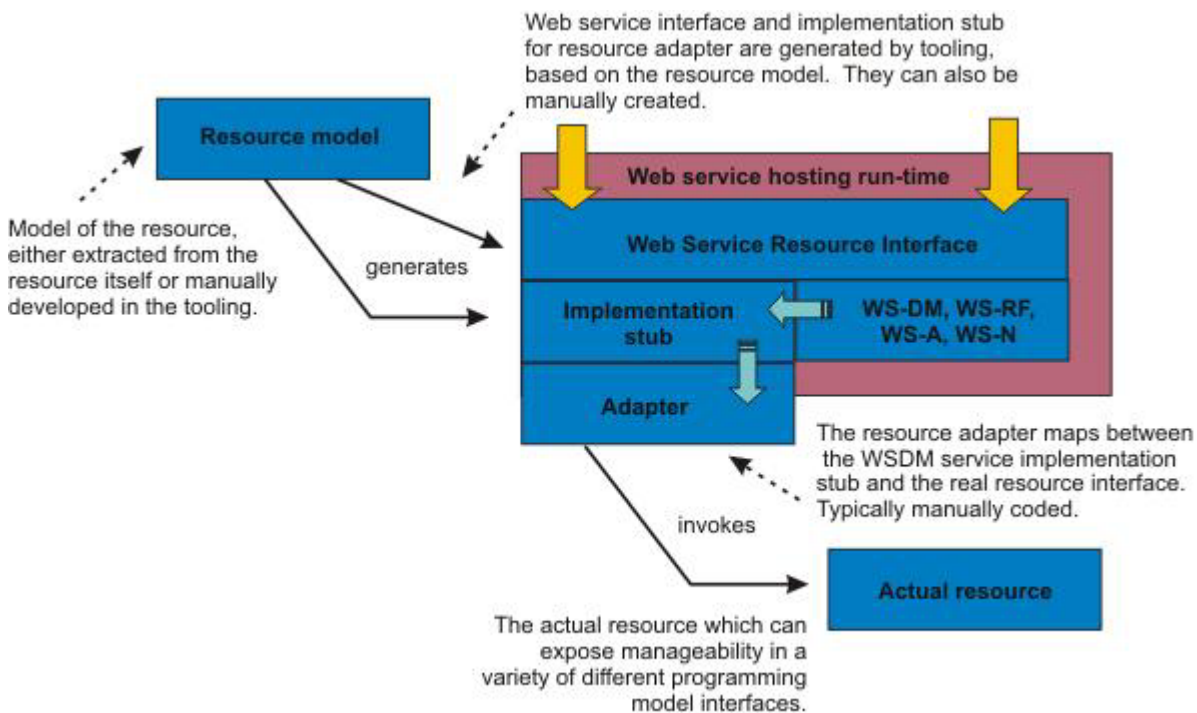


Figure 172. Generic WSDM Concept

Code artifacts are generated from the resource model. Generated artifacts for each resource model include:

- A Web Services Description Language (WSDL) document that describes the web service interface for the management functions for that resource
- An implementation stub for the service implementation classes for that web service
- A client proxy for the service that is used in a program that needs to invoke the management functions of that resource
- A unit test code for invoking test cases that exercises the functions of that service
- Additional XML documents and schema that describe the properties, operations, and notifications associated with the managed resource

The code generated from the resource model is essentially an empty shell of the management web service for the modeled resource. The next step in the process is to enter code that acts as an adapter between the implementation stub for the service and the real resource management functions. In the case of the WSDM support implementation, this adapter code contain calls to the WebSphere Application Server AdminService APIs that expose normal product management functions. You must install the completed service implementation in a hosting web service environment. To install your WSDM application, see Deploying and administering enterprise applications and follow the steps for installing enterprise application files on an application server.

**Important:** WSDM is a system application and it is disabled by default when the product is installed. You must first enable WSDM before you can use it to manage the product resources. Use scripting to enable WSDM.

## Web Services Distributed Management resource management

Web Services Distributed Management (WSDM) is an OASIS approved standard that supports the management of resources through a standardized web service interface. WSDM delivers web services based interfaces to manage application server resources using a manageability endpoint.

The manageability endpoint contains manageability capabilities for the resources. A manageability capability uniquely identifies and associates with a set of properties, operations, and events. A resource that supports one or more manageability capabilities becomes a manageable resource. For example, a manageable resource is a server or an application resource that supports a capability, which includes stop, start, and remove operations. To leverage the functions that a manageable resource provides, a manageability consumer is used. Manageability consumer queries and discovers the available manageable resources through the web services endpoints. After the service is discovered, the manageability consumer exchanges messages to gather property information, invoke operations or receive notifications. The following graphics illustrates the relationships between the manageability consumer and manageable resources linked by the web service endpoints.

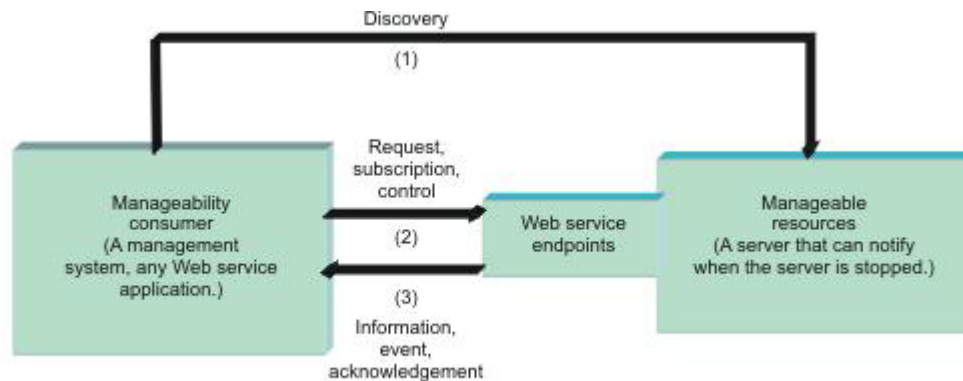


Figure 173. Relationship between the different parts of WSDM

**Important:** WSDM is a system application and it is disabled by default when the product is installed. You must first enable WSDM before you can use it to manage the product resources. Use scripting to enable WSDM.

## Web Services Distributed Management manageability capabilities for WebSphere Application Server resource types

A resource that supports one or more manageability capabilities is a manageable resource. Each resource type that is exposed within the product supports a number of Web Services Distributed Management (WSDM) manageability capabilities.

**Important:** WSDM is a system application and it is disabled by default when the product is installed. You must first enable WSDM before you can use it to manage the product resources. Use scripting to enable WSDM.

A manageable resource is a server or an application that supports a capability which includes stop, start, and remove operations. A manageability capability includes some properties, operations, and notifications. You can obtain and view performance data about the managed resources when you enable Performance Monitoring Infrastructure (PMI) in your server environment.

### Resource types

WSDM manageable resources, in general, are an aggregation of manageability capabilities. There are manageability capabilities that are globally applicable to many resource types. State management fits into this category. There are manageability capabilities that are unique to a single-managed resource, for example the Java virtual machine (JVM) manageability capability only applies to JVM-managed resources.

The autonomic manager (AC), which can be any client with management capability interact with the resources. Before the AC can interact with the resources, the AC needs to query what resources are available in the application server via the service group. The service group is an aggregation of WS-Resources within the same domain. The WebSphere Application Server WSDM service group contains all of the resources. Each resource becomes a member in the service group. The AC can get a particular resources endpoint reference (EPR) from the service group based on the resource type or the reference parameters. After the EPR is obtained, the AC can send the request to the resource. The service group can be accessed using the following endpoint address: `http://<hostname>:<port>/websphere-management/services/service-group`.

After the AC gets the resources EPR list from the service group, the AC can send requests to the resource provider. Each resource endpoint is listed below. The associated Web Services Description Language (WSDL) can be obtained by attaching `?wsdl` to the end of the endpoint address.

Resource type	Resource endpoint address
WebSphere Application Server profile, also called runtime configuration instance or WebSphere Application Server domain	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/webspheredomain</code>
WebSphere Application Server	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/applicationserver</code>
WebSphere Application Server cluster	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/webspherecluster</code>
Java virtual machine	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/jvm</code>
Application	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/application</code>
WebSphere Application Server deployed object	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/deployedobject</code>
Servlet	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/servlet</code>
Enterprise JavaBeans	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/ejb</code>
Web services	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/webservices</code>
JAX-WS web services	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/jaxwswebservices</code>
JAX-RPC web services	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/jaxrpcwebservices</code>
Data source	<code>http://&lt;hostname&gt;:&lt;port&gt;/websphere-management/services/datasource</code>

### Manageability capabilities of the resource types

Each resource type that is exposed in the product supports a number of manageability capabilities. These resources are defined by the WSDM specification, AC touchpoint, and the product's built-in management. A touchpoint is a combination of port types and operations defined in WSDL that exposes the manageability interface for a managed resource in a way that complies with different specifications for web services. Each manageability capability includes a number of properties, operations, and notifications.

The following table lists the manageability capabilities that each resource aggregates. For information about an Application Programming Interface (API) or a specification that is listed with a manageability capability, see Specifications and API documentation.

## Resource types and manageability capabilities

Resource type	Manageability capabilities	Specification
WebSphere Application Server domain	<ul style="list-style-type: none"> <li>• J2EEDomain</li> <li>• J2EEManagedObject</li> <li>• Identity</li> <li>• Metrics</li> <li>• ManageabilityCharacteristics</li> <li>• Description</li> <li>• ResourceType</li> <li>• Configuration</li> <li>• ApplicationManagement</li> <li>• ConfigChangeNotifier</li> <li>• NotificationProducer</li> </ul>	<ul style="list-style-type: none"> <li>• JSR 77 – J2EE</li> <li>• JSR 77 – J2EE</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS</li> <li>• MUWS – WSDM</li> <li>• AC touchpoint</li> <li>• MUWS – WSDM</li> <li>• WebSphere Application Server unique</li> <li>• WebSphere Application Server unique</li> <li>• WSBN - WS-N</li> </ul>
WebSphere Application Server	<ul style="list-style-type: none"> <li>• J2EEServer</li> <li>• J2EEManagedObject</li> <li>• Identity</li> <li>• Metrics</li> <li>• State</li> <li>• ManageabilityCharacteristics</li> <li>• Description</li> <li>• ResourceType</li> <li>• NotificationProducer</li> <li>• ApplicationServer</li> <li>• StateManageable</li> </ul>	<ul style="list-style-type: none"> <li>• JSR 77 – J2EE</li> <li>• JSR 77 – J2EE</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS</li> <li>• MUWS – WSDM</li> <li>• AC touchpoint</li> <li>• WSBN – WS-N</li> <li>• WebSphere Application Server unique</li> <li>• WebSphere Application Server unique</li> </ul>
WebSphere Application Server cluster	<ul style="list-style-type: none"> <li>• Identity</li> <li>• Metrics</li> <li>• State</li> <li>• ManageabilityCharacteristics</li> <li>• Description</li> <li>• ResourceType</li> <li>• ClusterManagement</li> </ul>	<ul style="list-style-type: none"> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS</li> <li>• MUWS – WSDM</li> <li>• AC touchpoint</li> <li>• WebSphere Application Server unique</li> </ul>
Java virtual machine	<ul style="list-style-type: none"> <li>• JVM</li> <li>• J2EEManagedObject</li> <li>• Identity</li> <li>• Metrics</li> <li>• ManageabilityCharacteristics</li> <li>• Description</li> <li>• ResourceType</li> </ul>	<ul style="list-style-type: none"> <li>• JSR 77 – J2EE</li> <li>• JSR 77 – J2EE</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS</li> <li>• MUWS – WSDM</li> <li>• AC touchpoint</li> </ul>

Resource type	Manageability capabilities	Specification
Application	<ul style="list-style-type: none"> <li>• J2EEApplication</li> <li>• J2EEDeployedObject</li> <li>• J2EEManagedObject</li> <li>• Identity</li> <li>• State</li> <li>• Metrics</li> <li>• ManageabilityCharacteristics</li> <li>• Description</li> <li>• ResourceType</li> <li>• Application</li> <li>• StateManageable</li> </ul>	<ul style="list-style-type: none"> <li>• JSR 77 – J2EE</li> <li>• JSR 77 – J2EE</li> <li>• JSR 77 – J2EE</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS - WSDM</li> <li>• MUWS – WSDM</li> <li>• AC touchpoint</li> <li>• WebSphere Application Server unique</li> <li>• WebSphere Application Server unique</li> </ul>
Servlet	<ul style="list-style-type: none"> <li>• Servlet</li> <li>• J2EEManagedObject</li> <li>• Identity</li> <li>• Metrics</li> <li>• ManageabilityCharacteristics</li> <li>• Description</li> <li>• ResourceType</li> </ul>	<ul style="list-style-type: none"> <li>• JSR 77 – J2EE</li> <li>• JSR 77 – J2EE</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS</li> <li>• MUWS – WSDM</li> <li>• AC touchpoint</li> </ul>
Enterprise JavaBeans	<ul style="list-style-type: none"> <li>• EJB</li> <li>• J2EEManagedObject</li> <li>• Identity</li> <li>• Metrics</li> <li>• ManageabilityCharacteristics</li> <li>• Description</li> <li>• ResourceType</li> </ul>	<ul style="list-style-type: none"> <li>• JSR 77 – J2EE</li> <li>• JSR 77 – J2EE</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS</li> <li>• MUWS – WSDM</li> <li>• AC touchpoint</li> </ul>
Web service	<ul style="list-style-type: none"> <li>• Metrics</li> <li>• J2EEManagedObject</li> <li>• Identity</li> <li>• State</li> <li>• ManageabilityCharacteristics</li> <li>• Description</li> <li>• ResourceType</li> <li>• WebService</li> </ul>	<ul style="list-style-type: none"> <li>• MOWS – WSDM</li> <li>• JSR 77 – J2EE</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS</li> <li>• MUWS – WSDM</li> <li>• AC touchpoint</li> <li>• WebSphere Application Server unique</li> </ul>

Resource type	Manageability capabilities	Specification
JAXWS web services	<ul style="list-style-type: none"> <li>• J2EEManagedObject</li> <li>• Identification</li> <li>• Metrics</li> <li>• State</li> <li>• ManageabilityCharacteristics</li> <li>• Description</li> <li>• ResourceType</li> <li>• WebService</li> <li>• Manageability references</li> <li>• OperationalStatus</li> <li>• Operational state</li> <li>• Operation operational status</li> <li>• Request processing state</li> <li>• Identity</li> </ul>	<ul style="list-style-type: none"> <li>• JSR 77 – J2EE</li> <li>• MOWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS</li> <li>• MUWS – WSDM</li> <li>• AC touchpoint</li> <li>• WebSphere Application Server unique</li> <li>• MOWS – WSDM</li> <li>• MOWS – WSDM</li> <li>• MOWS – WSDM</li> <li>• MOWS – WSDM</li> <li>• MOWS – WSDM</li> <li>• MOWS – WSDM</li> <li>• MUWS – WSDM</li> </ul>
JAXRPC web services	<ul style="list-style-type: none"> <li>• Metrics</li> <li>• J2EEManagedObject</li> <li>• Identification</li> <li>• Metrics</li> <li>• State</li> <li>• ManageabilityCharacteristics</li> <li>• Description</li> <li>• ResourceType</li> <li>• WebService</li> <li>• Manageability references</li> <li>• OperationalStatus</li> <li>• Operational state</li> <li>• Operation operational status</li> <li>• Request processing state</li> <li>• Identity</li> </ul>	<ul style="list-style-type: none"> <li>• MOWS – WSDM</li> <li>• JSR 77 – J2EE</li> <li>• MOWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS</li> <li>• MUWS – WSDM</li> <li>• AC touchpoint</li> <li>• WebSphere Application Server unique</li> <li>• MOWS – WSDM</li> <li>• MOWS – WSDM</li> <li>• MOWS – WSDM</li> <li>• MOWS – WSDM</li> <li>• MOWS – WSDM</li> <li>• MOWS – WSDM</li> <li>• MUWS – WSDM</li> </ul>
Data source	<ul style="list-style-type: none"> <li>• JDBCDataSource</li> <li>• J2EEResource</li> <li>• J2EEManagedObject</li> <li>• Identity</li> <li>• Metrics</li> <li>• ManageabilityCharacteristics</li> <li>• Description</li> <li>• ResourceType</li> <li>• DataSource</li> </ul>	<ul style="list-style-type: none"> <li>• JSR 77 – J2EE</li> <li>• JSR 77 – J2EE</li> <li>• JSR 77 – J2EE</li> <li>• MUWS - WSDM</li> <li>• MUWS - WSDM</li> <li>• MUWS – WSDM</li> <li>• MUWS – WSDM</li> <li>• AC touchpoint</li> <li>• WebSphere Application Server unique</li> </ul>

### Specific application server manageability capabilities

The following table lists the attributes and operations for the product's manageability capabilities.

Manageability Capabilities	Attributes	Operations
J2EEDomain	None	<ul style="list-style-type: none"> <li>String getAttribute(String, String)</li> <li>String[] queryNames(String queryString)</li> </ul>
J2EEManagedObject	<ul style="list-style-type: none"> <li>objectName</li> <li>stateMangeable</li> <li>eventProvider</li> <li>statisticsProvider</li> </ul>	None
ConfigChangeNotifier	None	None (however, it has notification of ConfigChange)
ApplicationManagement	None	<ul style="list-style-type: none"> <li>String installApplication(String, String, HashMap)</li> <li>String uninstallApplication(String)</li> <li>String updateApplication(String, String, HashMap)</li> <li>String, HashMap</li> <li>EndpointReference listApplications(String applicationName)</li> </ul>
J2EEServer	<ul style="list-style-type: none"> <li>serverVendor</li> <li>serverVersion</li> <li>DepolyedObjects</li> <li>javaVMs</li> </ul>	None
StateManageable	<ul style="list-style-type: none"> <li>state</li> <li>startTime</li> </ul>	<ul style="list-style-type: none"> <li>stop()</li> <li>start()</li> <li>startRecursive()</li> </ul>
ApplicationServer	<ul style="list-style-type: none"> <li>name</li> <li>versionsForAllIEFixes</li> <li>versionsForAllExtensions</li> <li>VersionsForAllIPTFs</li> <li>shortName</li> <li>threadMonitorInterval</li> <li>threadMonitorthreshold</li> <li>threadMonitorAdjustmentThreshold</li> <li>ProcessId</li> <li>cellName</li> <li>nodeName</li> <li>processType</li> <li>platformName</li> <li>platformVersion</li> </ul>	<ul style="list-style-type: none"> <li>stopImmediate()</li> <li>restart()</li> <li>String getProductVersion(String)</li> </ul>



Manageability Capabilities	Attributes	Operations
ClusterManagement	<ul style="list-style-type: none"> <li>• clusterName</li> <li>• preferLocal</li> <li>• wlcld</li> <li>• state</li> <li>• backupName</li> <li>• backupBootstrapHost</li> <li>• backupBootstrapPort</li> </ul>	<ul style="list-style-type: none"> <li>• start()</li> <li>• stop()</li> <li>• stopImmediate()</li> <li>• rippleStarT()</li> <li>• exportRouteTable()</li> <li>• dumpClusterInfo()</li> <li>• boolean getAvailable(String, String)</li> <li>• boolean setAvailable(String, String)</li> <li>• boolean setUnavailable(String, String)</li> </ul>
Java virtual machine	<ul style="list-style-type: none"> <li>• javaVersion</li> <li>• javaVendor</li> <li>• node</li> <li>• stats</li> <li>• freeMemory</li> <li>• usedMemory</li> <li>• heapSize</li> <li>• upTime</li> <li>• GCCount</li> <li>• GCTime</li> <li>• GCInternalTime</li> <li>• waitsForLockCount</li> <li>• waitForLockTime</li> <li>• objectAllocatedCount</li> <li>• objectMovedCount</li> <li>• objectFreedCount</li> <li>• threadStartedCount</li> <li>• threadEndedCount</li> </ul>	None
J2EEDeployedObject	<ul style="list-style-type: none"> <li>• deploymentDescriptor</li> <li>• server</li> </ul>	None
J2EE Application	module	None
Application	implementationVersion	None
Servlet	<ul style="list-style-type: none"> <li>• concurrentRequest</li> <li>• responseTime</li> <li>• numErrors</li> <li>• totalRequests</li> </ul>	None
EJB	<ul style="list-style-type: none"> <li>• createCount</li> <li>• loadCount</li> <li>• storeCount</li> <li>• readyCount</li> <li>• liveCount</li> <li>• pooledCount</li> <li>• waitTime</li> </ul>	None

Manageability Capabilities	Attributes	Operations
WebService	<ul style="list-style-type: none"> <li>• payloadSize</li> <li>• replyPayloadSize</li> <li>• requestPayloadSize</li> <li>• requestResponseTime</li> <li>• replyResponseTime</li> <li>• responseTime</li> <li>• processRequestCount</li> <li>• dispatchedRequestCount</li> <li>• receivedRequestCount</li> <li>• loadedWebServiceCount</li> </ul>	None
DataSource	<ul style="list-style-type: none"> <li>• jdbcDriver</li> <li>• connectionFactoryType</li> <li>• dataSourceName</li> <li>• dataStoreHelperClass</li> <li>• loginTimeout</li> <li>• statementCacheSize</li> <li>• jtaEnabled</li> <li>• name</li> <li>• jndiName</li> <li>• testConnection</li> <li>• testConnectionInterval</li> <li>• stuckTimerTime</li> <li>• stuckTime</li> <li>• stuckThreshold</li> <li>• surgeThreshold</li> <li>• surgeCreationInterval</li> <li>• connectionTimeout</li> <li>• maxConnections</li> <li>• minConnections</li> <li>• purgePolicy</li> <li>• reapTime</li> <li>• unusedTimeout</li> <li>• agedTimeout</li> <li>• freePoolDistributionTableSize</li> <li>• freePoolPartions</li> <li>• sharedPoolPartitions</li> </ul>	<ul style="list-style-type: none"> <li>• String showPoolContents()</li> <li>• void purgePoolContents()</li> <li>• void pause()</li> <li>• void resume()</li> <li>• String getStatus()</li> </ul>

## Web Services Distributed Management support in the application server

The Web Services Distributed Management (WSDM) support for a Web service in WebSphere Application Server runs within an application server that has exposed management functions.

In the application server implementation of WSDM, a WSDM application is packaged as a Java Platform, Enterprise Edition (Java EE) Enterprise archive (EAR) file. The EAR file is deployed as an application server system application.

**Important:** WSDM is a system application and it is disabled by default when the product is installed. You must first enable WSDM before you can use it to manage the product resources. Use scripting to enable WSDM.

WSDM support for the product consists of two parts:

- WSDM runtime environment and support
- WSDM resource model and service implementation

### **WSDM runtime environment and support**

The WSDM runtime environment provides fundamental capabilities for the manageable resources. The WSDM runtime environment interacts with the underlying web services platform and the WSDM resources to service the requests and responses. There are multiple specifications that the WSDM runtime environment uses in order to provide the WSDM functions, namely WS-Addressing, WS-ResourceFramework, and WS-Notification. For each request, the WSDM runtime environment routes the request to the appropriate resource service implementation based on the endpoint reference, (EPR). The EPR is defined by the WS-Addressing specification. Each EPR contains target address, runtime specific data and reference properties to uniquely identify an instance of a WSDM resource. After the resource service implementation returns a response, the WSDM runtime environment wraps the response into an appropriate SOAP message format specified in the Management Using Web Services (MUWS) specification and returns the response back to the requester. The application server leverages Apache Muse 2.0 to provide the runtime support for WSDM. The Apache MUSE 2.0 provides both the development tool and the WSDM runtime environment.

### **WSDM resource model and service implementation**

The WSDM resource model for the application server identifies the elements of the product that are managed resources and further defines the specific properties, operations, and notifications that are managed resources support. The resource model defines the interfaces to interact with the resources and administrative functions in the product. The resource model includes appropriate capabilities defined in the two WSDM specifications, Management Using Web Services (MUWS) and Management of Web Services (MOWS). What this means is that the implementation is a mapping of the WSDM specification interfaces onto the product administration and programming interfaces. The implementation does not introduce new functions into the product, but rather, an alternative interface for accessing existing administration and programming functions in the product. In addition, the resource model defines specific capabilities to provide additional manageability functions. Each of the capabilities defines a set of properties, operations, and events for managed resources in an autonomically managed system. Each resource is associated with a Web Services Description Language (WSDL) file that contains the definition of its manageability capabilities.

### **Security**

The implementation is attached to the WSSecurity default policy set and runs the administrative operations from the client user identity. This user identity must have privileges to perform any administrative action. It is the role of the autonomic computing (AC) manager that makes requests for the WSDM implementation to ensure that the user of that manager has appropriate authorization to perform administration and any other functions exposed by the AC manager.

The benefit of WSDM support in the application server is that the product can participate in multiple product management solutions in a standard way. By exposing the product management functions through a standard web services interoperable interface, you can combine the application server with large management systems based on the WSDM specification.

## Web Services Distributed Management in a stand-alone application server instance

In a stand-alone application server environment, there is one Web Services Distributed Management (WSDM) application deployed for each application server instance.

**Important:** WSDM is a system application and it is disabled by default when the product is installed. You must first enable WSDM before you can use it to manage the product resources. Use scripting to enable WSDM.

The WSDM application acts as an administrative client to the management code running inside the single Java virtual machine for that instance. Figure 1 illustrates an Autonomic Computing Manager (ACM) interacting with two application server instances, each with its own WebSphere Application Server WSDM application exposing the manageability for that individual instance.

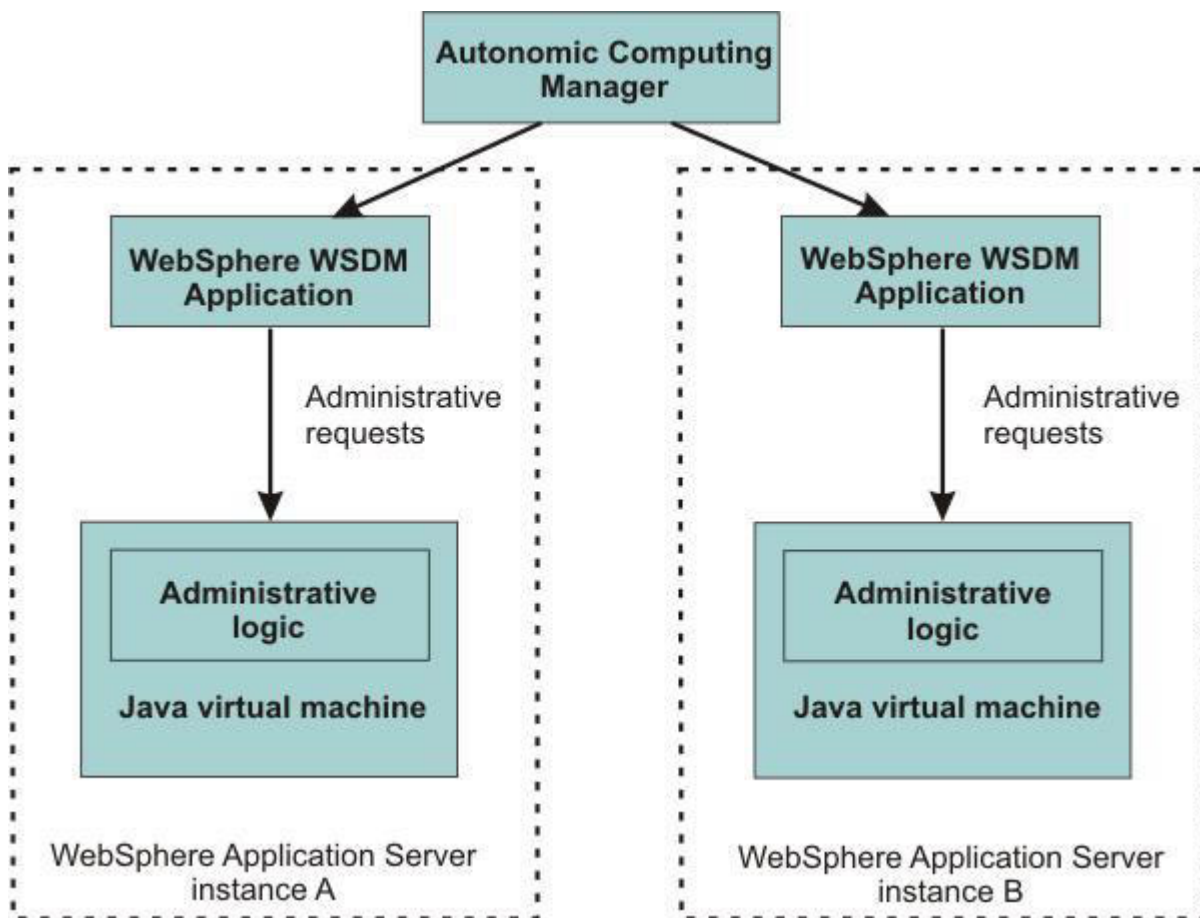


Figure 174. WSDM application in a stand-alone server instance

## Web Services Distributed Management in a WebSphere Application Server, Network Deployment cell

You can use Web Services Distributed Management (WSDM) to manage application server instances within a WebSphere Application Server, Network Deployment cell. The administrative support and visibility for WSDM in a cell is obtained through interaction with each WSDM application deployed on the application server.

**Important:** WSDM is a system application and it is disabled by default when the product is installed. You must first enable WSDM before you can use it to manage the product resources. Use scripting to enable WSDM.

In the multinode WebSphere Application Server, Network Deployment environment, the management code runs across a distributed network of Java virtual machines with a central access point as the deployment manager process for the entire network or cell. Several different application server Java virtual machines might be managed within a cell. You can manage an application server Java virtual machines within a cell through the WSDM application installed on deployment manager. The WSDM application acts as an administrative client to the managed application server. Figure 1 illustrates this environment with an Autonomic Computing Manager interacting with the single application server implementation of WSDM to expose the manageability of that application server. You can build a federated deployment manager cell from individual application server instances by running the addNode utility program to add the application server instances to the centrally managed cell. After a node is added to the cell, the manager can still manage each application server within the cell through the installed WSDM application on the deployment manager.

Web services distributed management in a Network Deployment cell

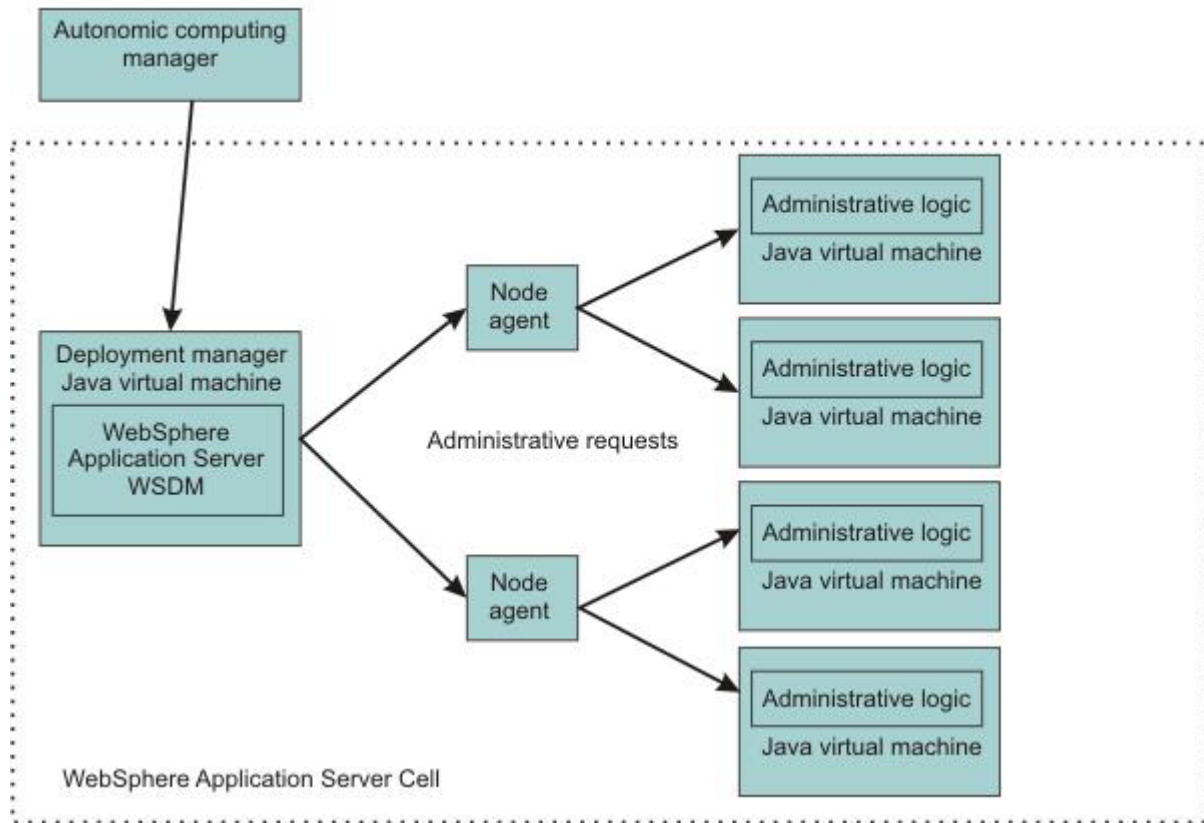


Figure 175. WSDM application in a WebSphere Application Server, Network Deployment cell

## Web Services Distributed Management in an administrative agent environment

You can use Web Services Distributed Management (WSDM) to manage application server profiles in an administrative agent (AdminAgent) environment.

**Important:** WSDM is a system application and it is disabled by default when the product is installed. You must first enable WSDM before you can use it to manage the product resources. Use scripting to enable WSDM.

You can manage multiple base application servers within profiles using the AdminAgent. You can also use the Web Services Distributed Management (WSDM) to manage each profile within the AdminAgent. WSDM is deployed inside the AdminAgent as a system application. Each profile is represented as a domain resource within the product. You can get and manage the resources within a profile through the product's domain resource. Figure 1 illustrates the AdminAgent topology.

### Web services distributed management in an AdminAgent environment

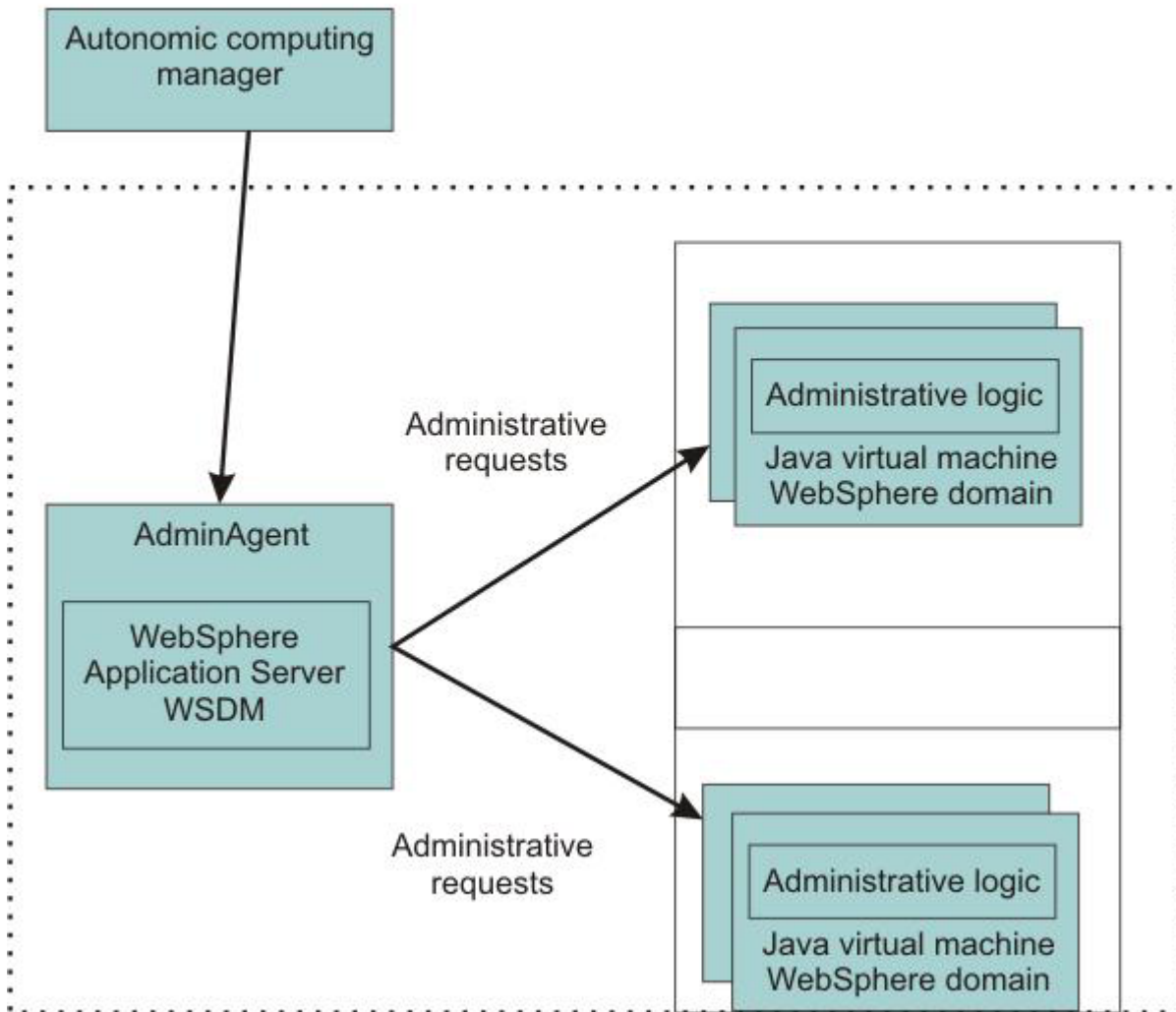


Figure 176. WSDM application in an AdminAgent environment

### Notifications from the application server Web Services Distributed Management resources

Use this topic to learn about application server Web Services Distributed Management (WSDM) resources and their life cycle events.

**Important:** WSDM is a system application and it is disabled by default when the product is installed. You must first enable WSDM before you can use it to manage the product resources. Use scripting to enable WSDM.

There are different life cycle events that can occur for any resource. The notifications associated with these lifecycle events are resource definition events and resource state events. Resource definition events are:

- Created
- Deleted
- Changed

Resource state events are:

- Started
- Stopped
- Suspended

The following resources are discussed in detail. You can review WSDM manageability capabilities for application server resource types for information about each resource endpoint address and manageability capabilities.

### **Application server**

The application server instances support definitional notifications. Whenever a resource definition event occurs, the configuration of an application server is created, modified, or deleted and a notification is generated by the WebSphere Application Server domain. The notification includes the configuration documents that have been changed.

### **Application server installation**

The product installation is performed and managed by the underlying operating system. There is no runtime entity that represents the product installation. There are no life cycle notifications currently planned for events related to the product installation. There are no state event notifications associated with the lifecycle of the overall product installation.

### **Application server domain: profile or runtime configuration instance**

There is an administrative agent process that is created as part of a WebSphere Application Server profile. This administrative agent process, once created, becomes available to emit life cycle notifications for the profile. Since any configuration modification might be considered a change to the profile, there is no generic *profile changed* notification. Instead, there are specific notifications for some configuration changes. In addition, there is no state change notification for profiles because a profile does not actually run, it simply exists or does not exist.

### **Hosted applications**

Applications that are installed into the product support both definitional and operational notifications. Whenever an application is installed, a notification is produced to indicate that an instance of that application managed resource has been created. A notification is generated each time the application is started, stopped, or updated. When an application is uninstalled, the *resource destroyed* notification is produced.

### **Application server deployed object**

Individual deployed modules have independent life cycles. There are create, modify, delete, start, and stop notifications for individual deployed objects in the product.

## Web services

Even though web services are not robust applications, there is a need to understand the life cycle for these essential deployed objects. Notifications are produced in accordance to the MOWS specification such as when a web service is installed, modified, started, stopped or uninstalled.

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## Web Services Invocation Framework (WSIF)

WSIF is a Web Services Description Language (WSDL)-oriented Java API. You use this API to invoke web services dynamically, regardless of the service implementation format (for example enterprise bean) or the service access mechanism (for example Java Message Service). Use these topics to learn more about WSIF.

Using WSIF, you can move away from the usual web services programming model of working directly with the SOAP APIs, towards a model where you interact with representations of the services. You can therefore work with the same programming model regardless of how the service is implemented and accessed.

**Note:** You should not use WSIF for new applications in WebSphere Application Server, unless you are supporting an existing WSIF configuration. You should instead adopt a more recent open standard, such as the Java API for XML-Based Web Services (JAX-WS) programming model.

To learn about WSIF, see the following topics:

- “Goals of WSIF.”
- “WSIF Overview” on page 800.
  - “WSIF architecture” on page 800.
  - “WSIF and WSDL” on page 801.
  - “WSIF usage scenarios” on page 802.

## Goals of WSIF

WSIF aims to extend the flexibility provided by SOAP services into a general model for invoking web services, irrespective of the underlying binding or access protocols.

SOAP bindings for web services are part of the Web Services Description Language (WSDL) specification, therefore when most developers think of using a web service, they immediately think of assembling a SOAP message and sending it across the network to the service endpoint, using a SOAP client API. For example: using Apache SOAP the client creates and populates a Call object that encapsulates the service endpoint, the identification of the SOAP operation to invoke, the parameters to send, and so on.

Although this process works for SOAP, it is limited in its use as a general model for invoking web services for the following reasons:

- “Web services are more than just SOAP services” on page 799.
- “Tying client code to a particular protocol implementation is restricting” on page 799.
- “Incorporating new bindings into client code is hard” on page 799.
- “Multiple bindings can be used in flexible ways” on page 799.
- “A freer web services environment enables intermediaries” on page 800.

The goals of the Web Services Invocation Framework (WSIF) are therefore:

- To give a binding-independent mechanism for web service invocation.
- To free client code from the complexities of any particular protocol used to access a web service.
- To enable dynamic selection between multiple bindings to a Web service.



- To help the development of web service intermediaries.

## **Web services are more than just SOAP services**

You can deploy as a web service any application that has a WSDL-based description of its functional aspects and access protocols. If you are using the Java Platform, Enterprise Edition (Java EE) environment, then the application is available over multiple transports and protocols.

For example, you can take a database-stored procedure, expose it as a stateless session bean, then deploy it into a SOAP router as a SOAP service. At each stage, the fundamental service is the same. All that changes is the access mechanism: from Java DataBase Connectivity (JDBC) to Remote Method Invocation over Internet Inter-ORB Protocol (RMI-IIOP) and then to SOAP.

The WSDL specification defines a SOAP binding for web services, but you can add binding extensions to the WSDL so that, for example, you can offer an enterprise bean as a web service that uses RMI-IIOP as the access protocol. You can even treat a single Java class as a web service, with in-thread Java method invocations as the access protocol. With this broader definition of a web service, you need a binding-independent mechanism for service invocation.

## **Tying client code to a particular protocol implementation is restricting**

If your client code is tightly bound to a client library for a particular protocol implementation, it can become hard to maintain.

For example, if you move from Apache SOAP to Java Message Service (JMS) or enterprise bean, the process can take a lot of time and effort. To avoid these problems, you need a protocol implementation-independent mechanism for service invocation.

## **Incorporating new bindings into client code is hard**

If you want to make an application that uses a custom protocol work as a web service, you can add extensibility elements to WSDL to define the new bindings. But achieving this capability is complex.

For example you must design the client APIs to use this protocol. If your application uses just the abstract interface of the web service, you must write tools to generate the stubs that enable an abstraction layer. These tasks can take a lot of time and effort. What you need is a service invocation mechanism that you can use to update existing bindings, and to add new bindings.

## **Multiple bindings can be used in flexible ways**

To take advantage of web services that offer multiple bindings, you need a service invocation mechanism that can switch between the available service bindings at run time, without having to generate or recompile a stub.

Imagine that you have successfully deployed an application that uses a web service that offers multiple bindings. For example, imagine that you have a SOAP binding for the service and a local Java binding that lets you treat the local service implementation (a Java class) as a web service.

The local Java binding for the service can only be used if the client is deployed in the same environment as the service. In this case, it is more efficient to communicate with the service by making direct Java calls than by using the SOAP binding.

If your clients can switch the binding used based on runtime information, they can choose the most efficient available binding for each situation.

## A freer web services environment enables intermediaries

Web services offer application integrators a loosely-coupled paradigm. In such environments, intermediaries can be very powerful.

*Intermediaries* are applications that intercept the messages that flow between a service requester and a target web service, and undertake some mediating task (for example logging, high-availability or transformation) before passing on the message. The Web Services Invocation Framework (WSIF) is designed to make building intermediaries both possible and simple. Using WSIF, intermediaries can add value to the service invocation without needing transport-specific programming.

## WSIF Overview

The Web Services Invocation Framework (WSIF) provides a Java API for invoking web services, independent of the format of the service, or the transport protocol through which it is invoked.

WSIF provides the following features:

- An API that provides binding-independent access to any web service.
- A close relationship with Web Services Description Language (WSDL), so it can invoke any service that you can describe in WSDL.
- A stubless and completely dynamic invocation of a web service.
- The capability to plug a new or updated implementation of a binding into WSIF at run time.
- The option to defer the choice of a binding until run time.

WSIF provides runtime support for web services, and for WSDL extensions and bindings, that were not known at build time. This capability is known as *dynamic invocation*. Using WSIF, a client application can choose dynamically the optimal binding to use for invoking Web service operations. For example, a web service might offer a SOAP binding, and also a local Java binding so that you can treat the local service implementation (a Java class) as a web service. If a client application is deployed in the same environment as the service, this client can use the local Java binding for the service. This provides more efficient communication between the client and the service by making direct Java calls, rather than indirect calls that use the SOAP binding.

WSIF provides this runtime support through the use of providers that link the WSIF service to the underlying implementation of the service. The providers support web services, WSDL extensions, and bindings that were not known at build time by using the WSDL description to access the target service.

WSIF is designed to work both in an unmanaged environment (running WSIF as a client) and inside a managed container. You can use the Java Naming and Directory Interface (JNDI) to find the WSIF service, or you can use the location described in the WSDL.

For more conceptual information about WSIF and WSDL, see the following topics:

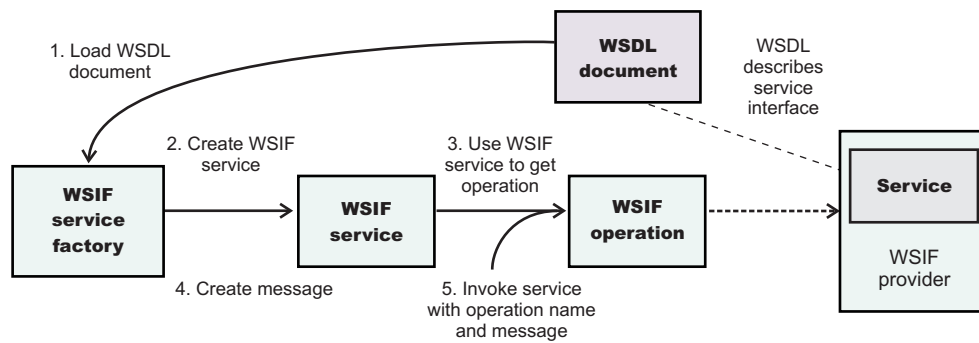
- WSIF and WSDL
- WSIF architecture
- WSIF usage scenarios

WSIF supports Internet Protocol Version 6, and Java API for XML-based Remote Procedure Calls (JAX-RPC) Version 1.1 for SOAP.

## WSIF architecture

A diagram depicting the Web Services Invocation Framework (WSIF) architecture, and a description of each of the major components of the architecture.

The Web Services Invocation Framework (WSIF) architecture is shown in the figure.



The components of this architecture include:

### WSDL document

The Web Services Description Language (WSDL) document contains the location of the web service. The binding document defines the protocol and format for operations and messages defined by a particular portType.

### WSIF service

The WSIFService interface is responsible for generating an instance of the WSIFOperation interface to use for a particular invocation of a service operation.

### WSIF operation

The runtime representation of an operation, called *WSIFOperation* is responsible for invoking a service based on a particular binding.

### WSIF provider

A WSIF provider is an implementation of a WSDL binding that can run a WSDL operation through a binding-specific protocol. WSIF includes SOAP providers, JMS providers, Java providers and EJB providers. These providers link the WSIF service to the underlying implementation of the service.

## WSIF and WSDL

There is a close relationship between the metadata-based Web Services Invocation Framework (WSIF) and the evolving semantics of Web Services Description Language (WSDL).

In WSDL, a service is defined in three distinct sections:

- The **portType**. This section defines the abstract interface offered by the service. A portType defines a set of *operations*. Each operation can be In-Out (request-response), In-Only, Out-Only and Out-In (Solicit-Response). Each operation defines the input and/or output *messages*. A message is defined as a set of *parts*, and each part has a schema-defined type.
- The **binding**. This section defines how to map between the abstract portType and a real service format and protocol. For example the SOAP binding defines the encoding style, the SOAPAction header, the namespace of the body (the targetURI), and so on.
- The **port**. This section defines the location (endpoint) of the available service. For example, the HTTP web address at which a SOAP service is available.

Currently in WSDL, each port has one and only one binding, and each binding has a single portType. But (more importantly) each service (portType) can have multiple ports, each of which represents an alternative location and binding for accessing that service.

The Web Services Invocation Framework (WSIF) follows the semantics of WSDL as much as possible:

- The WSIF dynamic invocation API directly exposes runtime equivalents of the model from WSDL. For example, invocation of an operation involves executing an operation with an input message.
- WSDL has extension points that support the addition of new ports and bindings. This enables WSDL to describe new systems. The equivalent concept in WSIF is a *provider*, which links the WSIF service to

the underlying implementation of the service. This enables WSIF to understand a class of extensions and thereby to support a new service implementation type.

As a metadata-based invocation framework, WSIF follows the design of the metadata. As WSDL is extended, WSIF is updated to follow.

The primary type system of WSIF is XML schema. WSIF supports invocation using dynamic proxies, which in turn support Java type systems, but when you use the WSIFMessage interface to invoke a Web service through the WSIF API you must populate WSIFMessage objects with data based on the XML schema types as defined in the WSDL document. You should define your object types by a canonical and fixed mapping from schema types into the runtime environment.

## **WSIF usage scenarios**

There are two main scenarios that illustrate the role WSIF plays in the emerging web services environment: Redevelopment and redeployment, and service flow composition.

### **Scenario: Redevelopment and redeployment**

When you first implement a web service, you create a simple prototype. When you want to move a prototype web service into production, you often have to redevelop and redeploy it.

The Web Services Invocation Framework (WSIF) uses the same API calls irrespective of the underlying technologies, therefore if you use WSIF:

- You can reimplement and redeploy your services without changing the client code.
- You can use existing reliable and high-performance infrastructures such as Remote Method Invocation over Internet Inter-ORB Protocol (RMI-IIOP) and Java Message Service (JMS) without sacrificing the location-independence that the web service model offers.

### **Scenario: Service flow composition**

A service flow typically invokes a web service, then passes the response from one web service to the next web service, perhaps performing some transformation in the middle.

There are two key aspects to this flow that WSIF provides:

- A representation of the service invocation based on the metadata in Web Services Description Language (WSDL).
- The ability to build invocations based solely on the portType, which can therefore be used in any implementation.

For example, imagine that you build a meta-service that uses a number of services to build a process. Initially, several of those services are simple Java bean prototypes that are written and exposed through SOAP, but you plan to reimplement some of them as EJB components, and to out-source others.

If you use SOAP, it ties up multiple threads for every onward invocation, because they pass through the web server and servlet engine and on to the SOAP router. If you use WSIF to call the beans directly, you get much better performance compared to SOAP and you do not lose access or location transparency. Using WSIF, you can replace the Java bean implementations with EJB implementations without changing the client code. To move some of the web services from local implementations to external SOAP services, you just update the WSDL.

---

## WS-Policy

WS-Policy is an interoperability standard that is used to describe and communicate the policies of a web service so that service providers can export policy requirements in a standard format. Clients can combine the service provider requirements with their own capabilities to establish the policies required for a specific interaction.

WebSphere Application Server conforms to the web services Policy Framework (WS-Policy) specification. You can use the WS-Policy protocol to exchange policies in standard format. A policy represents the capabilities and requirements of a web service, for example whether a message is secure and how to secure it, and whether a message is delivered reliably and how this is achieved. You can communicate the policy configuration to any other client, service registry, or service that supports the WS-Policy specification, including non-WebSphere Application Server products in a heterogeneous environment.

For a service provider, the policy configuration can be shared in published Web Services Description Language (WSDL), in WSDL that is obtained by a client by using an HTTP GET request, or by using the Web Services Metadata Exchange (WS-MetadataExchange) protocol. The WSDL is in the standard WS-PolicyAttachments format.

For a client, the client can obtain the policy of the service provider in the standard WS-PolicyAttachments format and use this information to establish a configuration that is acceptable to both the client and the service provider. In other words, the client can be configured dynamically, based on the policies supported by its service provider. The provider policy can be attached at the application or service or service reference level.

**newfeat:** The following features were introduced in the JAX-WS 2.2 specification, which WebSphere Application Server Version 8 supports:

- You can specify transport level security on client WSDL acquisition. You can now attach a system policy set to either an HTTP GET request or a WS-MetadataExchange request when obtaining provider policy. See the "Configuring the client policy to use a service provider policy" topic for further information.
- You can specify a policy set and binding for a service reference that is different from the policy set attachment for the service. By default, service references inherit their policy set and WS-Policy configuration from their parent service, however, if desired, the policy set and WS-Policy configuration can be overwritten. See the "Using WS-Policy to exchange policies in a standard format" topic and its child topics for further details.
- You can enable and configure WS-Addressing support on a client or service provider by adding WS-Policy assertions into the WSDL document. WebSphere Application Server will now process WS-Addressing information held within the WS-Policy aspect of an application's WSDL document and use it in the configuration of that application. See the "Enabling Web Services Addressing support for JAX-WS applications using WS-Policy" topic for further information.
- You can publish policy configuration relating to WS-Addressing based on JSR109 deployment descriptors or JAX-WS 2.2 features or annotations, as well as information based on policy sets. This ensures that the policy information published matches the run time behavior of the service. See the "Web service providers and policy configuration sharing" topic for further information.

The WS-Policy assertion specifications that are supported in this version of WebSphere Application Server are:

- WS-Policy. See Web Services Policy 1.5
- WS-Addressing. See Web Services Addressing 1.0 - Metadata.
- WS-AtomicTransaction. See Web Services Atomic Transaction Version 1.0, Web Services Atomic Transaction Version 1.1 and Web Services Atomic Transaction Version 1.2.

- **WS-ReliableMessaging.** See *Web Services Reliable Messaging Policy Assertion Version 1.0* and *Web Services Reliable Messaging Policy Assertion Version 1.1*.
- **WS-SecurityPolicy.** See *WS-SecurityPolicy 1.2*.

For details of the WS-Policy domains that are supported, see the following topics:

- **WS-Addressing** policy settings
- **WS-ReliableMessaging** settings
- **WS-Security** policy settings
- **WS-Transaction** policy settings

## Web service providers and policy configuration sharing

A WebSphere Application Server service provider can share its current policy configuration through its Web Service Description Language (WSDL). The policy configuration is in standard WSDL WS-PolicyAttachment format so that it can be shared with other clients, service registries, or services that support the Web Services Policy (WS-Policy) specification.

You can make the policy configuration of a Java API for XML-Based Web Services (JAX-WS) service endpoint available to share in the following ways:

- Include the policy configuration of the service provider in the WSDL. The WSDL is then available to publish, or to obtain by using an HTTP GET request.
- Enable the Web Services Metadata Exchange (WS-MetadataExchange) protocol so that the policy configuration of the service provider is included in the WSDL and is available to a WS-MetadataExchange GetMetadata request. An advantage of using the WS-MetadataExchange protocol is that you can apply message-level security to WS-MetadataExchange GetMetadata requests by using a suitable system policy set.

System administrators can also access a WSDL document through a published compressed file with a .zip file extension, using the administrative console or administrative commands. However, a WSDL document acquired in this way might differ from a WSDL document acquired using an HTTP GET request or through the WS-MetadataExchange protocol, because the static WSDL document published in the compressed file will not have been able to take into account any web service features, annotations or deployment descriptor elements which may exist in the application code, such as WS-Addressing annotations.

By default, policy sharing is off. To include the policy configuration of the service provider in the WSDL, and specify how it is shared, you can use the administrative console or wsadmin commands.

When policy sharing is on, any WS-Policy attachments that were in the WSDL previously are removed. Note that policy configuration information becomes available in the WSDL to publish, but it is not available if you view the WSDL document directly from the administrative console or if you publish the WSDL remotely by using an administrative agent.

If the service provider application uses multipart WSDL, all the WSDL must be local to the web service application. For more information about multipart WSDL, see the topic about WSDL.

A service provider that is configured to use Security Assertion Markup Language (SAML) can share policy for use by a WebSphere Application Server client or a service registry. Note that the SAML tokens are published in a proprietary format.

Application developers can specify that a service provider shares its policy configuration, and how it is shared, by using Rational Application Developer tools when a web service is generated. For more information, see the Rational Application Developer documentation.

Transport policy information is not included in the policy configuration because transport policies such as HTTP, SSL, and JMS cannot be expressed in WS-PolicyAttachment format.

Bootstrap policy information, for example, the policy to access a WS-Trust service, can be included in the policy configuration if the bootstrap policy is expressed in standard, publishable WS-PolicyAttachment format.

You can configure a service provider to share its policy configuration at application or service level. The policy configuration that is represented by the policy sets attached to any lower levels will also be shared. Policy sets that are attached at lower levels override the policy set configuration attached at a higher level.

Policy information can be defined in several ways. The following list is in descending order of precedence. For example, the deployment descriptor method overrides the use of annotations or features in the application code, but is itself overridden by the use of policy sets.

- Policy is defined by attaching a policy set to the application.
- Policy is defined by the use of deployment descriptor elements within a port-component-ref element.
- Policy is defined using annotations or features in the application code.
- Policy is defined using WS-Policy attachments in the WSDL document packaged with the application.

When an application is deployed in a cell and you publish WSDL by using the administrative console, the WSDL contains the policy set configuration of the deployment manager of the cell. If you change any policy sets, the changes do not affect the configuration of the deployment manager until that configuration is refreshed, for example when the deployment manager restarts, or when a scripting command refreshes the policy set configuration of the deployment manager.

The following information lays out the rules governing how policy configuration is published:

- When policy sharing is enabled, the WS-Policy attachments in the WSDL describe the policy configuration of the service.
- When policy sharing is not enabled:
  - The WSDL that is returned by an HTTP GET request is the WSDL packaged with the application.  
**Note:** Such WSDL is returned unaltered and so may contain pre-existing WS-Policy attachments that do not match the configuration of the service.
  - If there is no specific WSDL document associated with the service, then the server runtime generates a WSDL document automatically and associates it with the service. In this case the WSDL will contain no WS-Policy attachments unless an @Addressing annotation is present on the service implementation, in which case the @Addressing annotation configuration is expressed in WS-Policy attachments in the generated WSDL.

## Troubleshooting policy configuration sharing

A service provider might not be able to share its policy configuration because the configuration cannot be expressed in the standard WS-PolicyAttachments format. One reason might be because multiple incompatible policies are defined for a particular attach point. Another reason might be because there is not enough binding information to generate the standard policy. Policy configuration might include bootstrap policy, for example, the policy to access a WS-Trust service, so the bootstrap policy must also be expressed in WS-PolicyAttachments format.

If the policy configuration cannot be shared, an error that describes the problem is written to the service provider error log, and the following policy is attached to the WSDL of the service provider:

```
<wsp:Policy>  
<wsp:ExactlyOne>  
</wsp:ExactlyOne>  
</wsp:Policy>
```

This policy notifies the client that there is no acceptable policy configuration for the service. Other aspects of the WSDL are unaffected.

## Web service clients and policy configuration to use the service provider policy

If a service provider publishes its policy in its Web Services Description Language (WSDL), the policy configuration of a WebSphere Application Server service client can be configured dynamically, based on the policies supported by its service provider.

The service provider must publish its policy in WS-PolicyAttachment format in its WSDL and the client must be able to support those provider policies. The client can base its policy configuration entirely on the policy of the provider, or partly on the policy of the provider with restrictions that are defined by the policy set configuration of the client.

A client acquires the provider policy by using either an HTTP GET request or the Web Services Metadata Exchange (WS-MetadataExchange) protocol to obtain the WSDL of the provider. You can configure how the client obtains the provider policy, and the endpoint at which the policy is acquired, by using the administrative console or wsadmin commands. If you use the WS-MetadataExchange protocol to obtain the policy of the provider, this has the advantage that you can secure WS-MetadataExchange GetMetadata requests by using a suitable system policy set.

If the provider policy uses multipart WSDL, you can use an HTTP GET request to obtain the policy of the provider, but you cannot use the WS-MetadataExchange protocol. For more information about multipart WSDL, see the topic about WSDL.

The web application client-side policy is calculated and cached as a runtime configuration. This calculated policy is known as the effective policy and is used for subsequent outbound web service requests to the endpoint or operation for which the calculation was performed. The original policy set configuration of the client does not change.

For a specific service, dynamic policy configuration occurs once by default, and it is assumed that this configuration is the same for all endpoints that implement a service, because they have the same WSDL. The policy calculations that are based on this WSDL are cached in the client runtime (they are not persisted) and shared with each target service.

In a cluster environment, this means that the client does not obtain the provider policy again for each endpoint instance of a web service.

### Note:

In WebSphere Application Server Version 8.0, a service reference can be configured to use a different WSDL document to the WSDL configured for the client service. By default, service references inherit their policy set and WS-Policy configuration from their parent service, however, if desired, the policy set and WS-Policy configuration can be overwritten. See the Using WS-Policy to exchange policies in a standard format topic and its child topics for further details.

If you require a different policy configuration for each endpoint implementation, you must create a new port for each endpoint. Then you can specify a different policy configuration for each endpoint.

Transport policies such as HTTP, SSL, and JMS, cannot be expressed in WS-PolicyAttachment format, so the client cannot acquire the transport policies of the service provider. If the client requires transport policies, you must configure these policies as part of the policy set configuration of the client.



For an HTTP GET request, when the request is targeted at the same location as the endpoint, the request uses the same HTTP and SSL transport policies as the application. When the HTTP GET request is targeted at a different endpoint, you can also attach a system policy set to specify different HTTP and SSL transport policies.

For a WS-MetadataExchange GetMetadata request, the WS-Security configuration in the specified system policy set is used. The HTTP transport properties are inherited from the application.

A client that is configured to use Security Assertion Markup Language (SAML) can use dynamic policy configuration. However, the client must be configured to use general bindings.

## Policy in a registry

A client can obtain the policy configuration of a web service provider from a registry, such as WebSphere Service Registry and Repository (WSRR), by using an HTTP GET request.

The WSDL for the policy of the service provider, and its corresponding policies and policy attachments, are stored in a registry such as WSRR. That policy must contain its policy configuration in WS-PolicyAttachments format. The client must be able to support those provider policies.

The registry must support the use of HTTP GET requests to publish WSDL that contains WS-Policy attachments, for example WSRR Version 6.2 or later.

You can apply the provider policy that the client obtains from a registry at the service or service reference level, but not at the application level.

If there is a secure connection between the client and the registry, you must ensure that trust is established between the application server and the registry server.

If the registry requires authentication, you also have to configure a policy to authenticate outbound service requests to the registry. By default, the HTTP and HTTPS credentials are used for both the web service endpoint and the registry. Therefore, it is advisable to secure any authorization credentials and ensure that these credentials are not sent to an unauthorized endpoint. You can also attach a system policy set to specify different HTTP and SSL transport policies.

## Policy inheritance

The provider policy can be attached at the application or service level. Endpoints and operations inherit their policy configuration from the relevant service.

## Calculating policy

Policy intersection is the comparison of a client policy and a provider policy to determine whether they are compatible, and the calculation of a new policy that complies with both their requirements and capabilities. When you obtain the policy of a service provider, you can choose to use the provider policy only, or to use the client and the provider policy. The outcome of policy intersection is as follows:

- When you specify provider policy only, the calculated policy is based on all the policies that the WebSphere Application Server client supports intersected by the provider policy. Effectively, the provider determines the policy, as long as the client can support that policy. This policy configuration is available if the scope point (endpoint operation) where the provider policy is attached is not attached to a client policy set and does not inherit a policy set attachment from parent scope points.
- When you specify client and provider policy, the calculated policy is based on the policy that is acceptable to the client intersected by the provider policy. Effectively, the policy conforms to the client policy set, but might be restricted further by the policies dictated by the provider. The policy that is acceptable to the client is defined by the policy set that is either attached to the client scope point, or

that the client scope point inherits from a parent scope point. This policy configuration is available if the scope point (endpoint operation) where the provider policy is attached is attached to a client policy set or inherits a policy set attachment from parent scope points.

The WS-Policy language provides a way to express multiple policy choices, so the policy calculation might produce more than one result. For example, the service provider might support both WS-ReliableMessaging 1.0 and WS-ReliableMessaging 1.1. If the client also supports both versions, the client can use either version in its web service requests to the provider. In this situation, where more than one specification version is acceptable to both the client and the provider, the effective policy is calculated by using the most recent version.

## Policy intersection in the JAX-WS dispatch client

Invocations that use the JAX-WS dispatch client (`javax.xml.ws.Dispatch`) use provider policy in their configuration if this is the administered behavior for the service. If the operation for the invocation is unknown, the client behaves as follows:

- The client complies with the provider policy scoped to the operation only if the provider policy is identical for all the operations provided by the service (both semantically and syntactically).
- If the provider policy is not identical for all the operations provided by the service, the client returns a JAX-WS `WebServiceException` with the cause `WSPolicyException` (CWPOL0106E), and an appropriate error message.
- If there is no policy on any of the operations, the client uses the effective provider policy for the service endpoint.

## Refreshing the provider policy held by the client

The provider policy that the client holds for a service is refreshed the first time that the web service is invoked after the application is started. After that, the provider policy is refreshed when the application restarts, or when you explicitly invoke an update of the provider policy. When the provider policy is refreshed, the effective policy is recalculated.

You can invoke an update of the provider policy in the application code. This might be useful if a JAX-WS invocation fails; in the exception handling, you can force a retry with refreshed policy. You can set the following property (available in the `WSPConstants` class of the API) on the JAX-WS client proxy, then reissue the JAX-WS request: `com.ibm.websphere.wspolicy.refreshProviderPolicy`.

When the `com.ibm.websphere.wspolicy.refreshProviderPolicy` property is set, the provider policy that the client holds for a service is refreshed, and the effective policy is recalculated at the next request. After the refresh and recalculation have occurred, the `com.ibm.websphere.wspolicy.refreshProviderPolicy` property is unset.

The following example of code for a dispatch client shows the identification of an exception that might be resolved by refreshing the provider policy, followed by the invocation of the refresh.

```
try
{
    dispatch.invoke(params);
}
catch (javax.xml.ws.WebServiceException e)
{
    Throwable cause = e.getCause();
    if ((cause instanceof NullPolicyException) || (cause instanceof PolicyException) )
    {
        // The exception might be because the policy of the provider is not up to date.
        //
        // There is also a message on the console that starts with the characters CWPOL,
        // which helps to decipher and debug the cause of the error.
        // This message is also available by using
        // String nlSedMessage = cause.getMessage();
        Map<String, Object> requestContext = dispatch.getRequestContext();
        requestContext.put(WSPConstants.REFRESH_PROVIDER_POLICY, Boolean.TRUE);
    }
}
```

```

// The following method might cause another jax-ws invocation exception.
// The cause might still be policy, in which case, a message is written to the
// console.
dispatch.invoke(params);
}
// For all other exceptions, use the normal exception handling for the
// application. In this case, assume there are no other exceptions and rethrow the
// initial exception. Remember that the WebServiceException might be caused by a
// WSPolicyAdministrationException. In this situation, a message is written to the
// console, but forcing a refresh in the application cannot resolve the problem.
throw e;
}

```

## WS-MetadataExchange requests

You can use the Web Services Metadata Exchange (WS-MetadataExchange) GetMetadata request to exchange Web Services Description Language (WSDL) that is annotated with WS-Policy information. A service provider can use a WS-MetadataExchange request to share its policies, and a service client can use a WS-MetadataExchange request to apply the policies of a provider. You can secure WS-MetadataExchange requests by using transport-level or message-level security.

The WS-MetadataExchange specification defines a mechanism to retrieve metadata from an endpoint. WebSphere Application Server supports the use of the WS-MetadataExchange 1.1 GetMetadata request to return metadata in a response. A service provider can use this mechanism to make WSDL that is annotated with WS-Policy information available, that is, the service provider can share its policies. A service client can use this mechanism to obtain WSDL that is annotated with WS-Policy information from a service provider and then apply those policies. The policy configuration must be in WS-PolicyAttachments format in the WSDL of the service provider.

You can use a WS-MetadataExchange request as an alternative to using an HTTP GET request.

By default, a service provider or a service client does not use WS-MetadataExchange to share or obtain WS-Policy information. You must configure the service provider to share its policies, or configure the service client to apply the policies of a service provider, and specify that a WS-MetadataExchange request is used to share or obtain the policy configuration. WS-Policy information can be shared or obtained at the application or service level. You can configure the service provider or service client by using the administrative console or by using wsadmin commands.

Application developers can configure the service provider or service client using Rational Application Developer tools when a Web service is generated. For more information, see the Rational Application Developer documentation.

When a service provider is configured to share its policies through WS-MetadataExchange, the service supports incoming WS-MetadataExchange GetMetadata requests that are limited to the WSDL dialect. When the service receives such a request, the WSDL of the service is returned inline through a conformant WS-MetadataExchange response. The WSDL of the service contains WS-PolicyAttachments annotations that represent the current policy configuration. The policy configuration is in WS-PolicyAttachments format in the WSDL so that it is then available to other clients, service registries or services that support the Web Services Policy (WS-Policy) specification and the WS-MetadataExchange GetMetadata request.

When a service client is configured to use WS-MetadataExchange to obtain the policy of a service provider, the service client sends a WS-MetadataExchange GetMetadata request that specifies the WSDL dialect whenever it needs to obtain or refresh the policy of the provider.

## WS-MetadataExchange security

You must ensure that the GetMetadata request is secured so that there is effective authentication, authorization, integrity, and confidentiality. End-to-end authentication is particularly important for the

exchange of security metadata (SecurityPolicy), because if an unauthorized party could access this information, security credentials could be sent to non-trusted endpoints.

The GetMetadata request is targeted at the same port as the application endpoint, so if the application uses transport-level security, the GetMetadata request is also be targeted at the secure port and will, by default, use the same transport-level security configuration of the application.

Additionally, you can apply message-level security (WS-Security) to the metadata exchange. You might want to apply message-level security if transport-level security is not available on the application endpoint, or if transport-level security is not adequate for your requirements. An advantage of message-level security is that it provides end-to-end security by incorporating security features in the header of the SOAP message.

To provide message-level security, you attach system policy sets and general (named) bindings to the endpoint when you configure the service provider or service client to exchange policy configurations.

System policy sets are used for system messages that are not business-related, whereas application policy sets specify policy assertions for business-related messages. For example, system policy sets are used for messages that apply qualities of service (QoS), which includes the messages that are defined in the WS-MetadataExchange protocol. To provide message-level security for a GetMetadata request, you must attach a system policy set that contains only Web Services Security (WS-Security) or Web Services Addressing (WS-Addressing) policies. You can specify general bindings that are scoped either to the global domain or to the security domain of the service.

When you apply message-level security, any transport policy of the application is always used.

---

## WS-ReliableMessaging

WS-ReliableMessaging is an interoperability standard for the reliable transmission of messages between two endpoints. Use these topics to learn more about WS-ReliableMessaging.

Without WS-ReliableMessaging, your web services that require assured delivery of SOAP messages can either use a vendor-specific binding such as SOAP over JMS (which provides limited interoperability) or they can use SOAP over HTTP and rely upon you to write the associated durable message stores, custom retry logic at the sender, and duplicate detection at the receiver. With WS-ReliableMessaging, you can make your SOAP over HTTP-based web services reliable without having to write custom code.

To enable WS-ReliableMessaging for an application, you take the following broad actions:

1. Develop a Java API for XML-Based Web Services (JAX-WS) web service provider or requester application.
2. Install the application into WebSphere Application Server.
3. Attach a reliable messaging policy set (either a default policy set or one that you have created) to an aspect of your application (that is, application level or web service level). Policy sets define the reliability level (quality of service) and other configuration options that you want to apply to your reliable messaging application.
4. Define the bindings for each attachment to a policy set that specifies a managed quality of service. That is, choose the service integration bus and messaging engine to use to maintain the state for the managed persistent and managed non-persistent qualities of service.

At any stage - that is, before or after you have built your reliable web service application, or configured your policy sets - you can set a property that configures endpoints to only support clients that use reliable messaging. This setting is reflected by WS-Policy if engaged.

To learn about the WS-ReliableMessaging implementation in WebSphere Application Server, see the following topics:

- “WS-ReliableMessaging - How it works”
- “Benefits of using WS-ReliableMessaging” on page 812
- “Qualities of service for WS-ReliableMessaging” on page 812
- “Use patterns for WS-ReliableMessaging” on page 813
- “WS-ReliableMessaging sequences” on page 817
- “WS-ReliableMessaging - terminology” on page 817
- “WS-ReliableMessaging: supported specifications and standards” on page 818

## WS-ReliableMessaging - How it works

WebSphere Application Server uses WS-ReliableMessaging as part of the transport layer for SOAP over HTTP messages. The message exchange patterns supported at the API layer are one-way “fire and forget,” or two-way request and reply.

The reliability is provided by reliable messaging middleware that sits between the web service requester and the web service provider. This middleware layer is shown beneath the dotted line in the following diagram, and includes the reliable messaging source and the reliable messaging destination.

**Note:** When using WS-ReliableMessaging with a two-way programming API, if the requesting application fails and is restarted it will not receive its reply message. In this model, WS-ReliableMessaging is being used to protect from network failures only. Moreover:

- Client-side retransmissions only start after the client starts sending new messages to the service (this is the situation for both one-way and two-way operations).
- Two-way operations that resume cannot drive the response message right back to the client application; the message only gets back as far as the inbound sequence on the client.

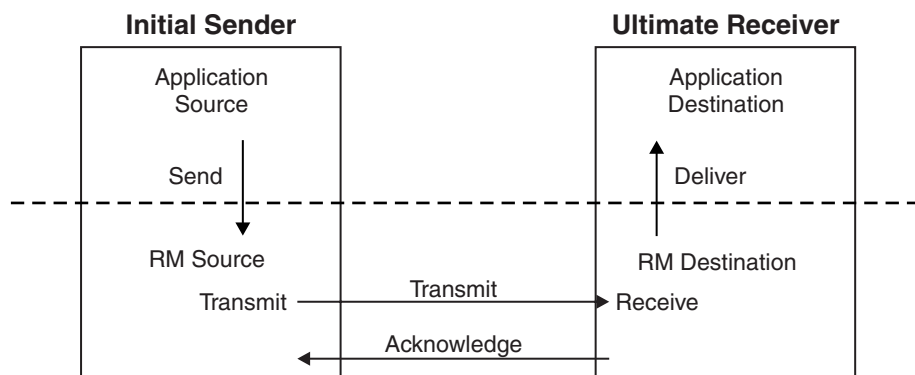


Figure 177. The interactions used to exchange web services messages reliably.

In the previous diagram, the application source invokes a web service. The sequence of interactions is as follows:

- The invocation is passed to the reliable messaging source.
- The reliable messaging source stores the message and then returns control to the application.
- The reliable messaging source sends the message to the reliable messaging destination.
- After the reliable messaging destination receives the message, it stores it locally and sends an acknowledgement message back to the reliable messaging source.
- The reliable messaging source can now delete its copy of the message.
- The reliable messaging destination can deliver the message to the application destination at any time after it receives it from the reliable messaging source.

To configure a web service application to use WS-ReliableMessaging, you attach a policy set that contains a WS-ReliableMessaging policy type. This policy type offers a range of qualities of service: managed persistent, managed non-persistent, or unmanaged non-persistent.

The managed qualities of service, managed persistent and managed non-persistent, are supported by the service integration bus. For each attachment between an application and a policy set, you can select the bus and messaging engine to use for the reliable messaging protocol state.

## Benefits of using WS-ReliableMessaging

With WS-ReliableMessaging, along with the other components of the Reliable Secure Profile, you can support your business-to-business web services scenarios without having to write your own custom retry logic, duplicate detection code and persistence code.

WS-ReliableMessaging composes with other web services standards as described in “WS-ReliableMessaging: supported specifications and standards” on page 818.

## Qualities of service for WS-ReliableMessaging

You can get different qualities of service with WS-ReliableMessaging, depending on the level of durability and transaction support provided by the store used to manage the reliable messaging state. These qualities of service range from protecting against loss of messages across a network, through to protecting against server failure.

WebSphere Application Server provides the following three qualities of service for WS-ReliableMessaging when using a SOAP over HTTP binding. All three qualities of service are supported when applications are deployed to the application server. Thin client and client container applications use the first option only.

### Unmanaged non-persistent

You can configure web service applications to use WS-ReliableMessaging with a default in-memory store. This quality of service requires minimal configuration. However it is non-transactional and, although it allows for the resending of messages that are lost in the network, if a server becomes unavailable you will lose messages. This quality of service is for single server only and does not work in a cluster.

### Managed non-persistent

This in-memory quality of service option uses a messaging engine to manage the sequence state, and messages are written to disk if memory is low. This quality of service allows for the re-sending of messages that are lost in the network, and can also recover from server failure. However, state is discarded after a messaging engine restart so in this case you will lose messages. This option supports clusters as well as single servers.

### Managed persistent

This quality of service for asynchronous web service invocations is recoverable. This option also uses a messaging engine and message store to manage the sequence state. Messages are persisted at the web service requester server and at the web service provider server, and are recoverable if the server becomes unavailable. Messages that have not been successfully transmitted when a server becomes unavailable can continue to be transmitted after the server restarts.

### Note:

- The quality of service you get when using WS-ReliableMessaging is a direct result of the durability of the store managing the messages.
- When you use in-order delivery and either of the managed qualities of service, if the service causes an error then the message is re-dispatched to the service.
- You must ensure that when interacting with other vendors implementations of WS-ReliableMessaging, the other implementations provide the quality of service you require.

## How the different qualities of service are implemented

When the web service application invokes the web service, the SOAP message is added into the WS-ReliableMessaging store. For the Managed qualities of service, the sending application transaction is used to put the message into the message store. After the transaction commits, the message is eligible for delivery. The other quality of service option is not transactional, so it considers the message eligible for delivery immediately.

The WS-ReliableMessaging protocol is used to reliably deliver the message to the target server where it is stored and acknowledged.

The message is read from the store and dispatched to the receiving application. For the Managed Persistent quality of service, a transaction is used to read the message and then dispatch the application.

For more information about using WS-ReliableMessaging transactions, see [Providing transactional recoverable messaging through WS-ReliableMessaging](#).

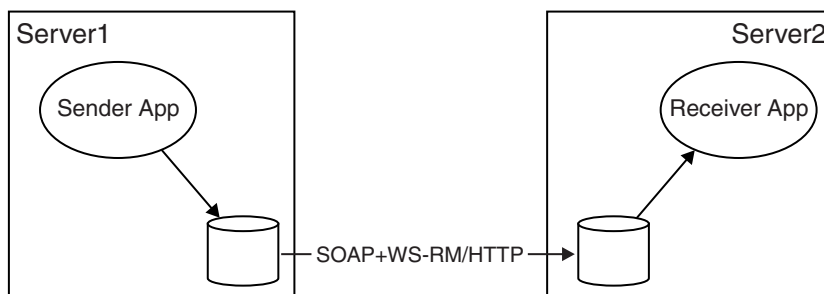


Figure 178. Using stores to exchange web services messages reliably.

The managed qualities of service, managed persistent and managed non-persistent, are supported by the service integration bus. For each attachment between an application and a policy set, you can select the bus and messaging engine to use for the reliable messaging protocol state.

## Use patterns for WS-ReliableMessaging

Links to descriptions of the use patterns that motivate WS-ReliableMessaging. Each use pattern description includes an overview of the business problem, of the technical solution without WS-ReliableMessaging, of the shortcomings of this solution, and of how you can use WS-ReliableMessaging to overcome these shortcomings.

Historically, most business-to-business integration has been implemented on a point-to-point basis. However this situation is rapidly changing and hub-and-spoke is becoming more important, particularly for supply chain use patterns. The point-to-point use pattern is also important because, although your eventual goal might be to implement a business-to-business hub, you might nonetheless begin with point-to-point prototypes and proofs of concept.

For a description of each use pattern, see the following topics:

- “Assured delivery for B2B web services: point-to-point use pattern” on page 814.
- “Assured delivery for B2B web services: hub-and-spoke use pattern” on page 815.
- “Interoperation with other WS-ReliableMessaging providers: use pattern” on page 816.

## **Assured delivery for B2B web services: point-to-point use pattern**

In this use pattern, a manufacturer sells its products through a network of affiliated dealerships. This manufacturer has initiated a pilot project to improve the IT integration between its own retail organization and half a dozen of the largest, most important dealerships.

### **The existing technical solution**

Historically, business-to-business "e-commerce" has been conducted by using Electronic Data Interchange (EDI). EDI is a set of standards for the content and formatting of business-to-business messages. For examples of these standards and messages, see the United Nations Directories for Electronic Data Interchange.

If the identities of communication partners are known and unchanging, the use of industry standard message definitions is not strictly necessary. Although other XML-based standards are available for conducting business-to-business e-commerce (such as the OASIS Electronic Business using eXtensible Markup Language (ebXML) specifications) the manufacturer has decided to investigate the use of web services technologies, and is using WSDL documents from a variety of sources to define the service interfaces.

The interactions between the manufacturer and its dealers for the initial pilot project fall into two categories:

- Requests for information. The interaction is two-way, in that a request message is sent requesting some information, and a reply message is sent in the reverse direction containing the requested information. An example of a request for information going from a dealer to the manufacturer might be "getOrderStatus".
- Requests for update. These interactions are one-way, in that the sender of a request for update is not dependent on receiving a response in order to proceed with other work. An example of a request for update going from dealer to manufacturer might be "placeOrder". An example of a request for update going from manufacturer to dealer might be "deliveryConfirmed".

The manufacturer uses WebSphere Application Server to implement requests for information by using SOAP over HTTP and SOAP over JMS. Dealers are free to choose their own implementation technology; they do not have to use WebSphere Application Server.

The manufacturer implements requests for update in two different ways:

- Using SOAP over HTTP. In this case the service is represented as a request and reply interaction that is considered to have succeeded when the requestor successfully receives a reply. The services must be implemented to detect and successfully respond to duplicate requests (this is termed an idempotent operation), and the client has to be implemented to try again if the communication is interrupted after the request has been sent but before the reply has been received.
- To avoid the above limitations, the manufacturer also uses SOAP over JMS support from WebSphere Application Server and WebSphere MQ. In this case the request is represented as a one-way service, and the messages are delivered reliably. The manufacturer uses WebSphere MQ as the JMS Provider, and makes this solution available to all dealers that also use WebSphere Application Server and WebSphere MQ. It is not required that the dealer and manufacturer be connected in order for the message to be sent.

The messages are transmitted over Virtual Private Networks, to ensure the integrity and confidentiality of messages transmitted between the two businesses, and as a part of establishing the identity of the sender.

### **The business problem**

Although both the manufacturer and its dealers are happy with the implementation of the request for information services, there are a number of issues in the request for update case:

- Using SOAP over HTTP:



- For the manufacturer, implementing idempotent services is complicated and therefore more expensive in developer time. It increases the likelihood of coding errors, reducing the robustness of the solution and introducing the possibility of expensive dropped or duplicated orders.
- For dealers, implementing the retry logic is similarly complex, expensive, and error-prone.
- For both the manufacturer and the dealers, the requirement for both to be available in order to invoke the service is an issue. In particular, many dealers do not maintain seven-day availability of their systems, whereas for the manufacturer weekends are the ideal time to deliver price updates to the dealers. Similarly, being unable to place orders when connectivity between dealer and manufacturer is unavailable is a real business issue.
- Using SOAP over JMS:
  - Although requiring the use of WebSphere Application Server and WebSphere MQ is acceptable to the current collection of dealers, as the project expands there might be other partners who are unwilling or unable to use a common software platform.

### **The solution when using WS-ReliableMessaging**

With WS-ReliableMessaging support in WebSphere Application Server, the manufacturer can replace their existing custom-retry solutions for reliable one-way messaging with standard SOAP over HTTP one-way messaging. The removal of the retry logic from the application simplifies the application code, enabling simpler and quicker application development.

With WS-ReliableMessaging, the dealer and manufacturer do not have to be connected in order for the message to be sent.

The WS-ReliableMessaging standard adds reliability to SOAP over HTTP messaging, reducing the need to use SOAP over JMS.

Because WS-ReliableMessaging with SOAP over HTTP is an interoperable standard, the network of dealers need not use a common software platform.

### **Assured delivery for B2B web services: hub-and-spoke use pattern**

In this use pattern, a manufacturer is looking for more than the ability to conduct transactions electronically with a fixed set of partners; the manufacturer needs a service that provides visibility to their inventory levels, so that the suppliers can manage their own product and inventory levels accordingly.

The manufacturer has many suppliers - around 2000 major suppliers and 250,000 other suppliers - and the set of supplier companies with which they do business is constantly changing.

### **The existing technical solution**

The manufacturer currently has a custom-built supplier purchasing system. The system provides a trading hub into which suppliers can integrate, and a supplier portal that enables suppliers to continue with their (largely manual) existing processes. The interactions fall into three tiers:

- Tier one: XML message exchanges.
- Tier two: FTP and similar tools.
- Tier three: Fax.

Tiers one and two are conducted over a combination of leased lines and Virtual Private Networks (VPNs).

### **The business problem**

The custom purchasing system has had disappointing take-up by the suppliers, and as a result the manufacturer continues to face large costs associated with the manual processes that it still uses with the vast majority of its suppliers. A major barrier to adoption of the new system by suppliers has been the cost of integrating supplier systems with the trading hub.

The current solution also has costs for the manufacturers. In particular, the use of leased lines is expensive, and the use of VPNs is difficult to manage when scaled to a large number of suppliers.

### **The solution when using WS-ReliableMessaging**

By using WSDL to describe the services, and by using web services standards to implement the services, you reduce the costs incurred by the manufacturer and suppliers:

- The prevalence of support for web services amongst diverse software vendors makes it easier and cheaper for suppliers to exploit this technology.
- The familiarity of the developer community with web services technologies (and WSDL in particular), and the rich tool support, means that the use of WSDL-described messages make the message schemas easier to use in the context of web services.
- WS-ReliableMessaging is used to ensure that messages are reliably delivered, and duplicate messages are eliminated.
- Web Services Security technologies enable secure interactions across the Internet, without requiring leased lines and VPNs.

### **Interoperation with other WS-ReliableMessaging providers: use pattern**

Web services enable interoperability between heterogeneous platforms. This requirement arises whenever an organization finds itself with applications on one platform (for example WebSphere Application Server) that must work with applications on another platform, whether as a result of merger and acquisition activity, of a deliberate multi-vendor strategy, or as a result of independent software purchasing decisions taken in different parts of the business.

### **The existing technical solution**

A variety of technical solutions exist for application-to-application integration between WebSphere Application Server and other environments. Most of these involve the use of additional third-party or IBM software to facilitate the integration.

More recently, the introduction of web services support has made interoperability possible without the use of additional components.

### **The business problem**

Basic web services support (using SOAP over HTTP) does enable interoperability, but has the following limitations:

- **Reliability:** The absence of a WS-ReliableMessaging implementation means that the application logic needs to be extended to handle lost or duplicated messages.
- **Flexibility:** The absence of asynchronous support for web services means that support is limited to synchronous interactions.

**Note:** Although both request and reply and one-way messaging are supported in earlier version of WebSphere Application Server, they were implemented in a synchronous fashion. This meant that when a web services client invoked a service it did not receive control back from the middleware until after the service application endpoint had been invoked.

The absence of asynchronous, reliable support for web services often leads you to use one of the other approaches, involving additional components. The additional components often use proprietary communication channels or APIs.

### **The solution by using WS-ReliableMessaging**

The addition of WS-ReliableMessaging support to WebSphere Application Server and to other environments enables you to develop reliable asynchronous web services on both platforms. These

services should interoperate without additional IBM or third-party components or proprietary bindings.

## WS-ReliableMessaging sequences

The WS-ReliableMessaging protocol relies on a “sequence” to manage the transmission of messages from reliable messaging source to reliable messaging destination. Each application message is given an identifier that identifies both the sequence and the message number (the position) within the sequence. Protocol flows are used to create sequences, to acknowledge messages and to terminate sequences.

You can think of a sequence as being a structured conversation between the reliable source and the reliable destination, through which each message in the sequence is passed reliably. A sequence also passes on the set of messages in the sequence in the order in which it receives them, so if it is important that messages are processed in a particular order - for example, if money must be credited to a bank account before a debit instruction is received to pay for a purchase - then those messages should be included in the same sequence.

The developer of a reliable web services application does not have to be aware of sequences, but the system administrator needs to monitor and manage sequences, as described in Detecting and fixing problems with WS-ReliableMessaging.

## WS-ReliableMessaging - terminology

### Foreign destination

A foreign destination is a software agent, outside of the system, that receives messages reliably through WS-ReliableMessaging.

### Foreign source

A Foreign source is a software agent, outside of the system, that sends messages reliably through WS-ReliableMessaging.

### Implementation WSDL

A WSDL document that describes not only the interface to a service (that is the messages, port types and bindings) but also the service implementation (that is, it has service and port elements). The service interface should preferably be defined by importing a separate *interface WSDL* document.

### Interface WSDL

A WSDL document that describes only the interface to a service. That is, it defines messages, port types and bindings, but not services and ports.

### Policy set instance document

A document containing configuration details for a selection of web services standards. For more information, see Securing web services applications with policy sets by using the administrative console

### Provider application

A provider application is an application that implements a service.

### Reliable web service provider

See also the general definition of a *provider application*. The Reliable web service provider is a provider application that has been deployed into the system being modeled, and is configured to use WS-ReliableMessaging. This is the WebSphere Application Server-hosted equivalent of a *foreign destination*. It is outside of the boundary of the system and interacts with the system.

### Reliable web service requester

See also the general definition of a *requester application*. The Reliable web service requester is a requester application that has been deployed into the system being modeled, and is configured to use WS-ReliableMessaging. This is the WebSphere Application Server-hosted equivalent of a *foreign source*. It is outside of the boundary of the system and interacts with the system.

## Requester application

A requester application is an application that makes web service requests.

## Terminate (a sequence)

When the reliable messaging source has completed its use of a sequence, it sends a `TerminateSequence` message to the reliable messaging destination to indicate that the sequence is complete and that it will not be sending any further messages related to the sequence. The reliable messaging destination can then safely reclaim any resources associated with the sequence.

## WS-ReliableMessaging: supported specifications and standards

WebSphere Application Server provides support for two levels of the WS-ReliableMessaging specification. This gives compatibility with vendors that provide WS-ReliableMessaging support at the February 2005 level, as well as meeting the requirements of the current OASIS specification. This implementation of WS-ReliableMessaging also composes with many other web services standards.

Details of the supported WS-ReliableMessaging specifications are available at the following web addresses:

- The WS-ReliableMessaging specification Version 1.0, February 2005.
- The OASIS WS-ReliableMessaging specification Version 1.1, February 2007.

Support for the WS-ReliableMessaging standard was first introduced as part of the IBM WebSphere Application Server Version 6.1 Feature Pack for Web Services. At that time, the Reliable Asynchronous Messaging Profile (RAMP) Version 1.0 specification used WS-ReliableMessaging to ensure the reliable delivery of messages, and the Feature Pack for Web Services in WebSphere Application Server Version 6.1 included default policy sets that support this specification. You can migrate WebSphere Application Server Version 6.1 WS-ReliableMessaging configurations that use RAMP-based policy sets to the current version of the product.

Following on from the RAMP Version 1.0 specification, the Web Services Interoperability organization (WS-I) Reliable Secure Profile working group has developed Version 1.0 of an interoperability profile dealing with secure, reliable messaging capabilities for web services. This profile is similar to RAMP Version 1.0, except that it is updated to use WS-ReliableMessaging Version 1.1 with the OASIS WS-SecureConversation Version 1.3 specification. The WS-I RSP default policy sets provided in this version of WebSphere Application Server are an implementation of the Reliable Secure Profile Version 1.0 specification.

The extent to which WS-ReliableMessaging composes with other web services standards is described in the following sections:

- “WS-Addressing”
- “WS-AtomicTransactions” on page 819
- “WS-MakeConnection” on page 819
- “WS-Notification” on page 819
- “WS-Policy” on page 819
- “WS-SecureConversation” on page 820
- “WS-Security” on page 820

## WS-Addressing

The WS-ReliableMessaging specification uses WS-Addressing, and the implementation fully supports the asynchronous request and reply model given in the WS-Addressing specification.

**Note:** WS-ReliableMessaging Version 1.1 messaging requires WS-Addressing to be mandatory. If you use a policy set that includes WS-ReliableMessaging and WS-Addressing policies, and the

WS-Addressing policy is configured as optional, then WebSphere Application Server overrides the WS-Addressing setting and automatically enables WS-Addressing.

## WS-AtomicTransactions

WS-ReliableMessaging transactions do not use the WS-AtomicTransactions protocol. The relationship between these two protocols is as follows:

- WS-AtomicTransactions and WS-ReliableMessaging are mutually exclusive when WS-ReliableMessaging is being used, with a managed store, to provide transactional recoverable messaging.
- If WS-ReliableMessaging is configured to use an in-memory store, then there are cases where a WS-AtomicTransaction can be flowed between the reliable messaging source and the reliable messaging destination for two-way invocations. In this situation, WS-ReliableMessaging only protects against network failures, not against server failure.

For more information about WS-AtomicTransactions, see Transaction support in WebSphere Application Server. For more information about using WS-ReliableMessaging transactions, see Providing transactional recoverable messaging through WS-ReliableMessaging.

## WS-MakeConnection

WS-ReliableMessaging Version 1.1 uses the WS-MakeConnection protocol to enable synchronous message exchange. For more information about this protocol, see the WS-MakeConnection specification Version 1.1, February 28 2008.

WS-MakeConnection uses information contained in WS-Addressing message headers, so for any application that uses reliable synchronous message exchange you must include both WS-ReliableMessaging and WS-Addressing policy in the policy set.

## WS-Notification

If you create JAX-WS based WS-Notification services, you can apply WS-ReliableMessaging policies to them to make your WS-Notification services reliable. For more information, see Configuring WS-Notification for reliable notification.

**Note:** In this release, there are two types of WS-Notification service:

- **Version 7.0:** You configure a Version 7.0 WS-Notification service and service points if you want to compose a JAX-WS WS-Notification service with WS-ReliableMessaging, or if you want to apply JAX-WS handlers to your WS-Notification service. This is the recommended type of service for new deployments.
- **Version 6.1:** You configure a Version 6.1 WS-Notification service and service points if you want to expose a JAX-RPC WS-Notification service by using the same technology provided in WebSphere Application Server Version 6.1, including the ability to apply JAX-RPC handlers to the service.

## WS-Policy

The WS-Policy implementation in WebSphere Application Server supports Web Services Reliable Messaging Policy Assertion Version 1.0 and Web Services Reliable Messaging Policy Assertion Version 1.1.

You can use the WS-Policy protocol to exchange policies in standard format. You can communicate the policy configuration to any other client, service registry or service that supports the WS-Policy specification, including non-WebSphere Application Server products in a heterogeneous environment. For a service provider, the policy configuration can be shared in published WSDL. For a client, the client can

obtain the policy of the service provider in the standard WS-PolicyAttachments format and use this information to establish a configuration that is acceptable to both the client and the service provider. In other words, the client can be configured dynamically, based on the policies supported by its service provider.

At any stage - that is, before or after you have built your reliable web service application, or configured your policy sets - you can set a property that configures endpoints to only support clients that use reliable messaging. This setting is reflected by WS-Policy if engaged.

## WS-SecureConversation

WS-ReliableMessaging is designed to work with WS-SecureConversation. A secure conversation context is established and this is used to secure the application messages and the WS-ReliableMessaging protocol messages.

To use WS-SecureConversation, create or apply a policy set that includes both WS-ReliableMessaging and WS-SecureConversation. For example, either of the WS-I RSP default policy sets.

## WS-Security

WS-ReliableMessaging composes with WS-Security. The WS-ReliableMessaging headers appended to application messages are signed if required. The WS-ReliableMessaging protocol messages are signed and encrypted if required.

Security processing is done close to the transport: after WS-ReliableMessaging processing at the web service requester and before WS-ReliableMessaging processing at the web service provider. This means the messages held in the WS-ReliableMessaging store are not signed and encrypted, so the emphasis is on the administrator to secure the store, if the store being used is the messaging engine in a service integration bus.

**Note:** If possible, use WS-SecureConversation rather than WS-Security because the WS-SecureConversation protocol is less susceptible to security attacks.

## WS-ReliableMessaging roles and goals

Computing roles that members of your organization might perform, and how you can use WS-ReliableMessaging to help meet the goals of each role.

For a general description of each of the following roles, see Chapter 41, “WebSphere Application Server roles and goals,” on page 1119.

### Application developer

The application developer is responsible for creating the WS-ReliableMessaging requester applications and provider applications. The application developer is responsible for writing the application code and packaging the application into a deployable unit.

#### *Developing*

- Goal: Develop a JAX-WS Web service application.

### System administrator

The system administrator is responsible for providing the infrastructure, such as configured application servers, through which the applications can be deployed and executed. The system administrator is also responsible for deploying applications into the configured environment, and for maintaining the

environment and applications in good working order. This maintenance role includes operational management of state, such as messages and transaction state, and problem diagnosis to determine message or transaction outcomes.

#### *Deploying*

- Goal: Configure a policy set instance to enable WS-ReliableMessaging.
- Goal: Install your reliable JAX-WS web service application.
- Goal: Attach and bind a WS-ReliableMessaging policy set to your application.

#### *Operating*

- Goal: Detect and fix problems with WS-ReliableMessaging.

## **WS-ReliableMessaging - requirements for interaction with other implementations**

The information and configuration that is needed for another vendor's reliable messaging source to send messages to a WebSphere Application Server reliable messaging destination, or for a WebSphere Application Server reliable messaging source to send messages to another vendor's reliable messaging destination.

### **Using another vendor's reliable messaging source to send messages to a WebSphere Application Server reliable messaging destination**

For another vendor's WS-ReliableMessaging implementation to interact with a WebSphere Application Server WS-ReliableMessaging endpoint, the other vendor's reliable messaging source needs to know the target endpoint address and port for the WebSphere Application Server application that is enabled for reliable messaging. The WS-ReliableMessaging protocol messages are sent to the same endpoint address as the application messages. You can get this address from the WSDL published by the WebSphere Application Server endpoint.

The reliable messaging source controls the endpoint reference used for acknowledgement messages, so the other vendor's product might have to use the WS-Addressing anonymous URI. For more information, see *WS-ReliableMessaging - How it works*. Whether or not the reliable messaging source uses the WS-Addressing anonymous URI, the WebSphere Application Server reliable messaging destination can work with the reliable messaging source without further configuration.

A WebSphere Application Server reliable messaging destination cannot tell whether the reliable messaging source is durable and transactional. If you want durable transactional web services, check that the other vendor's reliable messaging source supports that mode of operation, as well as configuring the WebSphere Application Server end of the link.

### **Using a WebSphere Application Server reliable messaging source to send messages to another vendor's reliable messaging destination**

For an application in WebSphere Application Server to invoke a web service that uses WS-ReliableMessaging, the information required is the target endpoint address and port for the web service being invoked. The WS-ReliableMessaging protocol messages are sent to the same endpoint address as the application messages. You can usually get this address from the WSDL published by the target Web service.

The WebSphere Application Server Source is provided with additional WS-ReliableMessaging configuration, modeled as part of the policy set associated with the web service client. The policy set might configure the reliable messaging source to use the WS-Addressing anonymous URI as the address within the endpoint reference used for acknowledgement messages. For more information, see "WS-ReliableMessaging - How it works" on page 811

WebSphere Application Server cannot tell whether the reliable messaging destination is durable and transactional. If you want durable transactional web services, check that the other vendor's reliable messaging destination supports that mode of operation, as well as configuring the WebSphere Application Server end of the link.

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## WS-Transaction

WS-Transaction is an interoperability standard that includes the WS-AtomicTransaction, WS-BusinessActivity, and WS-Coordination specifications. Use these topics to learn more about WS-Transaction.

The Web Services Atomic Transaction (WS-AT) support in the application server provides transactional quality of service to the web services environment. Distributed web services applications, and the resources they use, can take part in distributed global transactions. With Web Services Business Activity (WS-BA) support in the application server, web services on different systems can coordinate activities that are more loosely coupled than atomic transactions. Such activities can be difficult or impossible to roll back atomically, and therefore require a compensation process if an error occurs. Web Services Coordination (WS-COOR) specifies a CoordinationContext and a Registration service with which participant web services can enlist to take part in the protocols that are offered by specific coordination types.

To learn about WS-Transaction in WebSphere Application Server, see the following topics:

- “Web Services Atomic Transaction support in the application server”
- “Web Services Business Activity support in the application server” on page 826
- “Web services transactions, high availability, firewalls and intermediary nodes” on page 828
- “Transaction compensation and business activity support” on page 137
- “WS-Transaction and mixed-version cells” on page 834

## Web Services Atomic Transaction support in the application server

The Web Services Atomic Transaction (WS-AT) support in the application server provides transactional quality of service to the web services environment. Distributed web services applications, and the resources they use, can take part in distributed global transactions.

Web services protocols provide standard ways of defining web services applications, allowing the applications to operate independently of the product, platform, or programming language that is used. The WS-AT support is an implementation of the following specifications on the application server. These specifications define a set of web services that enable web services applications to participate in global transactions that are distributed across the heterogeneous web services environment.

- WS-AT is a specific coordination type that defines protocols for atomic transactions. The specifications are:
  - Web Services Atomic Transaction Version 1.0
  - Web Services Atomic Transaction Version 1.1
  - Web Services Atomic Transaction Version 1.2
- Web Services Coordination (WS-COOR) specifies a CoordinationContext and a Registration service with which participant web services can enlist to take part in the protocols that are offered by specific coordination types. The specifications are:
  - Web Services Coordination Version 1.0
  - Web Services Coordination Version 1.1
  - Web Services Coordination Version 1.2

The WS-AT support is support for an interoperability protocol that introduces no new programming interfaces for transactional support. Global transaction demarcation is provided by standard enterprise



application use of the Java Transaction API (JTA) UserTransaction interface. If an application component that is running under a global transaction makes a web services request, a WS-AT CoordinationContext is implicitly propagated to the target web service, but only if the appropriate application deployment descriptors have been set, as described in the topic about configuring transactional deployment attributes.

If the application server is the system hosting the target endpoint for a web services request that contains a WS-AT CoordinationContext, the application server automatically establishes a subordinate JTA transaction in the target runtime environment that becomes the transactional context under which the target web service application runs.

The following figure, shows a transaction context shared between two application servers for a web services request that contains a WS-AT CoordinationContext.

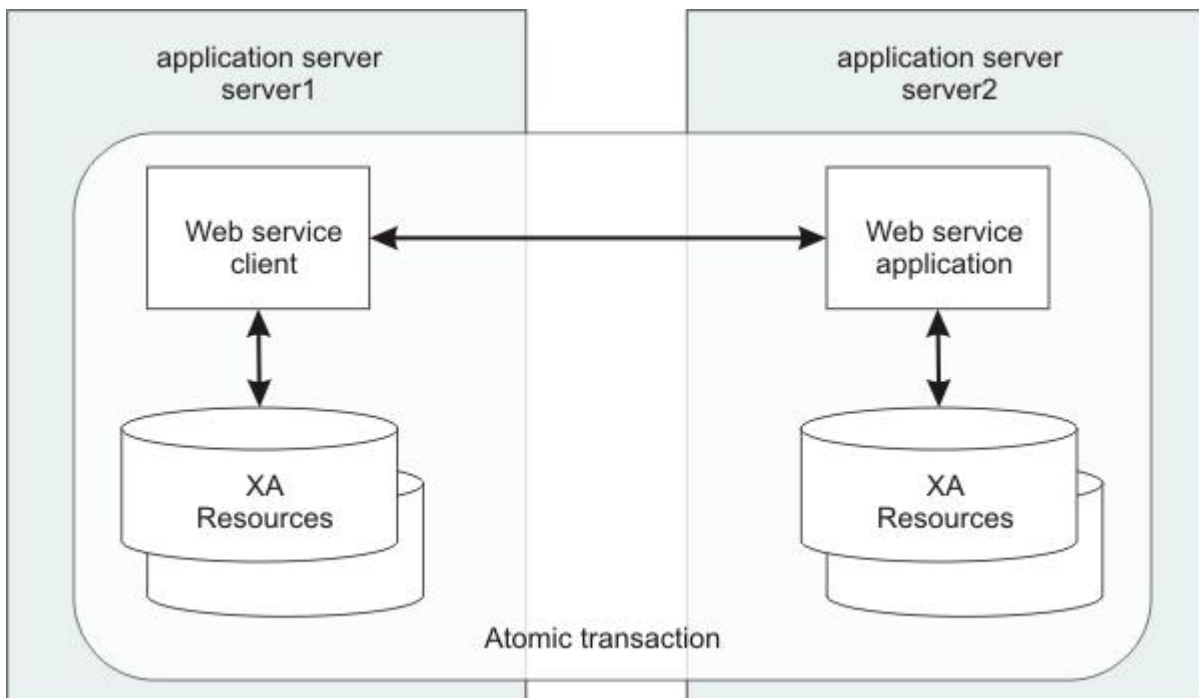


Figure 179. Transaction context shared between two application servers

You can configure the policies for the WS-AtomicTransaction protocol. You can configure whether a client propagates, and a server receives, a WS-AT context. To ensure that a client always sends WS-AtomicTransaction context when it makes an outbound service request, you must associate a policy set with the client, where the policy set must include the WS-Transaction policy type, and this policy type must have a WS-AtomicTransaction setting of Mandatory. Alternatively, if you know that the client always invokes remote endpoints that include the WS-AtomicTransaction ATAssertion policy type attribute, you can configure the client to apply the WS-Policy configuration of the provider so that the client adopts the mandatory policy of the provider automatically.

To ensure that any requests that a web services provider receives include a WS-AtomicTransaction context, you must associate a policy set with the provider, where the policy set must include the WS-Transaction policy type, and this policy type must have a WS-AtomicTransaction setting of Mandatory.

To ensure that a client or provider never use WS-AtomicTransaction context, you must associate a policy set with the client or provider, where the policy set includes the WS-Transaction policy type, and this policy type must have a WS-AtomicTransaction setting of Never. You might use this configuration for environments where you do not want web services requests to create a tight coupling between a client and a provider, for example when there are requests between enterprises.

If there is no policy set associated with a client or provider, or the WS-Transaction policy type is not included in the policy set, the default WS-Transaction behavior is used.

## **WS-AT support restrictions**

In this version of the application server, WS-AT contexts cannot be started from a non-recoverable client process.

## **Application design**

WS-AT is a two-phase commit transaction protocol and is suitable for short duration transactions only.

An atomic transaction coordinates resource managers that isolate transactional updates by holding transactional locks on resources. Therefore, it is generally not recommended that WS-AT transactions are distributed across enterprise domains. Inter-enterprise transactions typically require a looser semantic than two-phase commit, and in such scenarios, it can be more appropriate to use a compensating business transaction, for example, as part of a Business Process Execution Language (BPEL) process, or by using Web Services Business Activity (WS-BA).

WS-AT is most appropriate for distributing transaction context across web services that are deployed in a single enterprise. Only request-response message exchange patterns carry transaction context because the originator (application or container) of a transaction must be sure that all business tasks that are run under that transaction have finished before requesting the completion of a transaction. Web services invoked by a one-way request never run under the transaction of the requesting client.

The effect of service faults on WS-AT transactions is similar to the effect of Enterprise JavaBeans (EJB) application exceptions on transactions, as described in the EJB specification. If a service that is running under a requester WS-AT transaction returns a fault, the application server does not automatically mark the transaction rollback-only. The exception handler of the requester chooses whether the transaction can progress and chooses whether to mark the transaction rollback-only. If the requester is running in the application server, the standard JTA or EJB APIs can be used to mark the transaction rollback-only. The service component that generates the fault might, itself, mark the transaction rollback-only before returning the fault. If the implementation of the service component encounters a system exception, it typically allows its container to handle the exception. Application server containers automatically mark any received transaction context rollback-only when handling a system exception that is generated by a service implementation.

## **Application development**

There are no specific development tasks required for web services applications to take advantage of WS-AT.

For JAX-RPC applications, there are some application deployment descriptors that you must set appropriately, as described in the topic about configuring transactional deployment attributes. The JAX-RPC run time supports WS-AT 1.0.

For JAX-WS applications, enable WS-AT support by creating a policy set, adding the WS-Transaction policy type to the policy set, optionally configuring the policy type, and attaching the policy set to the application or client that will be involved in the WS-AT communication. The JAX-WS run time supports WS-AT 1.0, WS-AT 1.1, WS-AT 1.2 and the WS-Policy assertion for WS-AT.

When the JAX-WS runtime receives an inbound request, WS-Transaction 1.0, WS-Transaction 1.1 and WS-Transaction 1.2 specification levels are supported. When an outbound JAX-WS request is sent, only one specification level can be used. If WS-Transaction WS-Policy assertions are available, either from the Web Services Description Language (WSDL) of the target web service, or from the WS-Transaction policy type of the client, the specification level that is applicable to the client and the target web service is used.

For example, if the hosting environment of the target web service supports only WS-Transaction 1.0, WS-AT 1.0 is used. If both specification levels are applicable, or if no WS-Transaction WS-Policy assertions are available, the default WS-Transaction specification level that is set in the Transaction service settings is used.

The default behavior when there is no effective policy set, or when the WS-Transaction policy type is not included in the effective policy set, is as follows:

- For a client, if the client does not consider the policy of the provider, the client does not send any WS-AT or Web Services Business Activity (WS-BA) context. This behavior is equivalent to a WS-Transaction policy setting of Never.
- For a client, if the client considers the policy of the provider, the client sends WS-AT or WS-BA context if the policy of the provider includes WS-AT or WS-BA assertions. This behavior is equivalent to a WS-Transaction policy setting of Supports.
- For a server, the server does not receive any WS-AT or WS-BA context. This behavior is equivalent to a WS-Transaction policy configuration setting of Never.

Application developers do not have to explicitly register WS-AT participants. The application server run time takes responsibility for the registration of WS-AT participants, in the same way as the registration of XAResources in the JTA transaction to which the WS-AT transaction is federated. At transaction completion time, all XAResources and WS-AT participants are coordinated atomically by the application server transaction service.

If a JTA transaction is active on the thread when a web services application request is made, the transaction is propagated across on the web services request and established in the target environment. This process is similar to the distribution of transaction context over IIOP, as described in the EJB specification. Any transactional work performed in the target environment becomes part of the same global transaction.

## WS-Transaction policy assertions

If you configure the policies for the WS-Transaction protocol for a provider, this configuration affects the assertions that are included in any WSDL that is generated for the web service with which the policy type is associated. The WS-Policy assertion that is used to describe the transactional requirements of a client or provider that uses WS-AtomicTransaction is ATAssertion. If the WS-Transaction policy type has a WS-AtomicTransaction setting of Mandatory or Supports, a policy assertion is included in the WSDL.

The application server can also parse, understand, and apply such assertions that are in WSDL that it parses.

The following example shows WSDL where the WS-AtomicTransaction ATAssertion indicates that an endpoint must be invoked with WS-AT context included in the request message, and that the context can be in WS-Transaction 1.0 or 1.1 format. There are two namespaces and there are two assertions; one for each WS-Transaction specification level, that use the WS-Policy ExactlyOne operator to show that the client must choose which specification level to use.

```
<wsdl:definitions targetNamespace="bank.example.com"
  xmlns:tns="bank.example.com"
  xmlns:wsd1="http://schemas.xmlsoap.org/wsd1/"
  xmlns:wsp="http://schemas.xmlsoap.org/ws/2004/09/policy"
  xmlns:wsat11="http://docs.oasis-open.org/ws-tx/wsat/2006/06"
  xmlns:wsat10="http://schemas.xmlsoap.org/ws/2004/10/wsat"
  xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/
  oasis-200401-wss-wssecurity-utility-1.0.xsd">
  <wsp:Policy wsu:Id="ATPolicy">
    <wsp:ExactlyOne>
      <wsat11:ATAssertion />
      <wsat10:ATAssertion />
      <!-- omitted assertions -->
    </wsp:ExactlyOne />
  </wsp:Policy>
```

```

<!-- omitted elements -->
<wsdl:binding name="BankBinding" type="tns:BankPortType">
  <!-- omitted elements -->
  <wsdl:operation name="TransferFunds">
    <wsp:PolicyReference URI="#ATPolicy" wsdl:required="true"/>
    <!-- omitted elements -->
  </wsdl:operation>
</wsdl:binding>
</wsdl:definitions>

```

## Web Services Business Activity support in the application server

With Web Services Business Activity (WS-BA) support in the application server, web services on different systems can coordinate activities that are more loosely coupled than atomic transactions. Such activities can be difficult or impossible to roll back atomically, and therefore require a compensation process if an error occurs.

Web services protocols are defined by the Organization for the Advancement of Structured Information Standards (OASIS) group and provide standard ways of defining web services applications, allowing the applications to operate independently of the product, platform or programming language that is used. The Web Services Business Activity (WS-BA) support is an implementation of the following specifications in the application server. These specifications define a set of protocols that enable web services applications to participate in loosely coupled business processes that are distributed across the heterogeneous web services environment, with the ability to compensate actions if an error occurs. For example, an application that sends an email cannot retrieve that email following a failure in the business task. However, the application can provide a business-level compensation handler that sends another email advising of the new circumstances. A *business activity* is a group of general tasks that you want to link together so that the tasks have an agreed outcome.

- WS-BA is a specific coordination type that defines protocols for business activities. The specifications are:
  - Web Services Business Activity Version 1.0
  - Web Services Business Activity Version 1.1
  - Web Services Business Activity Version 1.2
- Web Services Coordination (WS-COOR) specifies a CoordinationContext and a Registration service with which participant web services can enlist to take part in the protocols that are offered by specific coordination types. The specifications are:
  - Web Services Coordination Version 1.0
  - Web Services Coordination Version 1.1
  - Web Services Coordination Version 1.2

In addition to supporting the WS-BA interoperability protocol, the application server provides a programming interface for creating business activities and compensation handlers. With this programming interface, you can specify compensation data and check or alter the status of a business activity.

You can also use this compensation facility with applications that are not web services, as long as these applications involve communication between WebSphere Application Servers only. See the related topics for more information.

You can configure the policies for the WS-BusinessActivity protocol. You can configure whether a client propagates, and a server receives, a WS-BA context. To ensure that a client always sends WS-BusinessActivity context when it makes an outbound service request, you must associate a policy set with the client, where the policy set must include the WS-Transaction policy type, and this policy type must have a WS-BusinessActivity setting of Mandatory. Alternatively, if you know that the client always invokes remote endpoints that include the WS-BusinessActivity BAAAtomicOutcomeAssertion policy type attribute, you can configure the client to apply the WS-Policy configuration of the provider so that the client adopts the mandatory policy of the provider automatically.

To ensure that any requests that a web services provider receives includes a `WS-BusinessActivity` context, you must associate a policy set with the provider, where the policy set must include the `WS-Transaction` policy type, and this policy type must have a `WS-BusinessActivity` setting of `Mandatory`.

To ensure that a client or provider never use `WS-BusinessActivity` context, you must associate a policy set with the client or provider, where the policy set includes the `WS-Transaction` policy type, and this policy type must have a `WS-BusinessActivity` setting of `Never`. You might use this configuration for environments where you do not want web services requests to create a tight coupling between a client and a provider, for example when there are requests between enterprises.

If no policy set is associated with a client or provider, or the `WS-Transaction` policy type is not included in the policy set, the default `WS-Transaction` behavior is used.

## Application development

No specific development tasks are required for web services applications to take advantage of `WS-BA`.

For `JAX-RPC` applications, any Enterprise JavaBeans (EJB) component that is configured to run under a `BusinessActivity` scope automatically propagates that scope when it makes an outbound `JAX-RPC` web services request. The `JAX-RPC` run time supports `WS-BA 1.0`.

For `JAX-WS` applications, enable `WS-BA` support by creating a policy set, adding the `WS-Transaction` policy type to the policy set, optionally configuring the policy type, and attaching the policy set to the application or client that will be involved in the `WS-BA` communication. The `JAX-WS` run time supports `WS-BA 1.0`, `WS-BA 1.1`, `WS-BA 1.2`, and the `WS-Policy` assertion for `WS-BA`.

When the `JAX-WS` run time receives an inbound request, `WS-Transaction 1.0`, `WS-Transaction 1.1` and `WS-Transaction 1.2` specification levels are supported. When an outbound `JAX-WS` request is sent, only one specification level can be used. If `WS-Transaction WS-Policy` assertions are available, either from the Web Services Description Language (WSDL) of the target web service, or from the `WS-Transaction` policy type of the client, the specification level that is applicable to the client and the target web service is used. For example, if the hosting environment of the target web service supports only `WS-Transaction 1.0`, `WS-BA 1.0` is used. If both specification levels are applicable, or if no `WS-Transaction WS-Policy` assertions are available, the default `WS-Transaction` specification level that is set in the `Transaction` service settings is used.

The default behavior when there is no effective policy set, or when the `WS-Transaction` policy type is not included in the effective policy set, is as follows:

- If a client does not consider the policy of the provider, the client does not send any Web Service Atomic Transaction (`WS-AT`) or `WS-BA` context. This behavior is equivalent to a `WS-Transaction` policy configuration setting of `Never`.
- If a client does consider the policy of the provider, the client sends `WS-AT` or `WS-BA` context if the policy of the provider includes `WS-AT` or `WS-BA` assertions. This behavior is equivalent to a `WS-Transaction` policy configuration setting of `Supports`.
- A server does not receive any `WS-AT` or `WS-BA` context. This behavior is equivalent to a `WS-Transaction` policy configuration setting of `Never`.

## WS-Transaction policy assertions

If you configure the policies for the `WS-BusinessActivity` protocol for a provider, this affects the assertions that are included in any WSDL that is generated for the web service with which the policy type is associated. The `WS-Policy` assertion that is used to describe the compensation requirements of a client or provider that uses `WS-BusinessActivity` is `BAAAtomicOutcomeAssertion`. If the `WS-Transaction` policy type has a `WS-BusinessActivity` setting of `Mandatory` or `Supports`, a policy assertion is included in the WSDL.

The application server can also parse, understand, and apply such assertions that are in WSDL that it parses.

The following example shows WSDL where the WS-BusinessActivity BAAAtomicOutcomeAssertion indicates that an endpoint must be invoked with WS-BA context included in the request message, and that the context can be in WS-Transaction 1.0 or 1.1 format. There are two namespaces and two assertions; one for each WS-Transaction specification level, that use the WS-Policy ExactlyOne operator to show that the client must choose which specification level to use.

```
<wsdl:definitions targetNamespace="bank.example.com"
  xmlns:tns="bank.example.com"
  xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/"
  xmlns:wsp="http://schemas.xmlsoap.org/ws/2004/09/policy"
  xmlns:wsat11="http://docs.oasis-open.org/ws-tx/wsba/2006/06"
  xmlns:wsat10="http://schemas.xmlsoap.org/ws/2004/10/wsba"
  xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/
oasis-200401-wss-wssecurity-utility-1.0.xsd">
  <wsp:Policy wsu:Id="BAPolicy">
    <wsp:ExactlyOne>
      <wsat11:BAAAtomicOutcomeAssertion />
      <wsat10:BAAAtomicOutcomeAssertion />
      <!-- omitted assertions -->
    </wsp:ExactlyOne />
  </wsp:Policy>
  <!-- omitted elements -->
  <wsdl:binding name="BankBinding" type="tns:BankPortType">
    <!-- omitted elements -->
    <wsdl:operation name="TransferFunds">
      <wsp:PolicyReference URI="#BAPolicy" wsdl:required="true"/>
      <!-- omitted elements -->
    </wsdl:operation>
  </wsdl:binding>
</wsdl:definitions>
```

## Web services transactions, high availability, firewalls and intermediary nodes

You can configure your system to enable propagation of Web Services Atomic Transactions (WS-AT) message contexts and Web Service Business Activities (WS-BA) message contexts across firewalls or outside the WebSphere Application Server domain. With these configurations, you can distribute web service applications that use WS-AT or WS-BA across disparate systems. The topology that you use can affect the high availability and affinity behavior of the transactions.

Web services transactions (WS-AT or WS-BA) can use all the transactional high availability functions. This includes peer recovery of a server by another active server in the same cluster, and redirection of protocol messages to the peer server to complete units of work for the failed server. To enable high availability for web services transactions, see the topic about configuring transaction properties for peer recovery. For general information about high availability and peer recovery in WebSphere Application Server, see the topic about transactional high availability.

When web services transactions are distributed between applications in different servers or clusters or to systems that are not WebSphere Application Server systems, you must consider the transaction-routing affinity of web service requests, as well as the impact on high availability of the transaction service on WebSphere Application Server. If a remote client sends a series of transactional requests to a target service that is deployed in a cluster, usually you want the first request to establish a transactional affinity from the client application to the target server, such that subsequent requests in the same transaction are delivered to the same target server. When the transaction completes, the transaction protocol messages are also sent to this same target server, unless and until transaction high availability failover occurs.

The topologies that are available to you are as follows:

### Direct connection

Use this topology for non-clustered configurations. No intermediary node exists in this topology. The client communicates directly with the specific WebSphere Application Server on which the

target service is hosted. This topology supports transaction affinity and high availability, but only when the client runs on a WebSphere Application Server Version 6.0.2 or later in the same administrative cell as the target service.

### **Proxy Server for IBM WebSphere Application Server**

Use this topology when the client is not part of the same administrative cell as the target service, and you require transaction affinity or transaction high availability. In this topology, the client communicates with a Proxy Server for IBM WebSphere Application Server, which dynamically routes the client requests and web services transaction protocol messages to the appropriate server in a WebSphere Application Server cluster. The proxy server is configured in the same administrative cell as the target service.

The proxy server provides the routing support for transaction high availability and affinity at the edge of the administrative cell. As for any HTTP proxy configuration, you must provide HTTP endpoint URL information, that is, configure the HTTP server URL prefix for the target web service module.

Also, you must configure the proxy server for web services transactions, that is, configure it to deliver web services transaction protocol messages to the appropriate WebSphere Application Server. To do this, configure the transaction service HTTP proxy prefix, which is described in the topic about enabling WebSphere Application Server to use an intermediary node for web services transactions.

### **HTTP server, such as IBM HTTP Server**

Use this topology when transaction high availability and affinity routing is not required by the client, for example because the target service is deployed to a non-clustered server.

In this topology, the client communicates with an HTTP server, which always routes the client requests and web services transaction protocol messages to a specific WebSphere Application Server. As for any HTTP proxy configuration, you must provide HTTP endpoint URL information, that is, configure the HTTP server URL prefix for the target web service module. Also, typically you need to configure the HTTP server for web services transactions, that is, configure it to deliver web services transaction protocol messages to the appropriate WebSphere Application Server. To do this, configure the transaction service HTTP proxy prefix, which is described in the topic about enabling WebSphere Application Server to use an intermediary node for web services transactions.

The HTTP server cannot provide either affinity or high availability for transactions. However, transactional integrity is assured, because recovery processing occurs after the failed server restarts.

**Note:** You can still enable high availability on the WebSphere Application Server. Non-WebSphere Application Server clients that access this server through an HTTP server cannot benefit from the high availability of transactions, but other clients that access the same server can. When the client is on WebSphere Application Server, full high availability capability is still available if the server that acts as the client can address transaction protocol messages directly to the application server without the HTTP proxy routing those protocol messages. In this specific scenario, you must not specify a transaction service HTTP proxy prefix.

You might have an existing HTTP server that is a reverse proxy for all received messages, including transaction protocol messages. If you want this server to have the high availability and workload management capabilities of a Proxy Server for IBM WebSphere Application Server,

create a Proxy Server for IBM WebSphere Application Server and configure the HTTP server to route all requests to the proxy server, as in the following scenario.

### HTTP server in conjunction with a Proxy Server for IBM WebSphere Application Server

Use this topology when the client is not part of the same administrative cell as the target service and you require transaction affinity or transaction high availability. The topology is similar to the Proxy Server for IBM WebSphere Application Server topology, but supports the use of any HTTP server as the external reverse proxy.

In this topology, the client communicates with an HTTP server, which you configure, by routing requests from a plug-in to a proxy server, to forward the client requests and web services transaction protocol messages to a Proxy Server for IBM WebSphere Application Server. The proxy then dynamically routes the requests to the appropriate server in WebSphere Application Server. The proxy server is configured in the same administrative cell as the target service.

The proxy server provides the routing support for transaction high availability and affinity at the edge of the administrative cell. As for any HTTP proxy configuration, you must provide HTTP endpoint URL information, that is, configure the HTTP server URL prefix for the target web service module.

Also, you must configure the HTTP server and proxy server for web services transactions, that is, configure them to deliver web services transaction protocol messages to the appropriate WebSphere Application Server. To do this, configure the transaction service HTTP proxy prefix, which is described in the topic about enabling WebSphere Application Server to use an intermediary node for web services transactions.

## Transaction compensation and business activity support

A *business activity* is a collection of tasks that are linked together so that they have an agreed outcome. Unlike atomic transactions, activities such as sending an email can be difficult or impossible to roll back atomically, and therefore require a compensation process in the event of an error. The WebSphere Application Server business activity support provides this compensation ability through *business activity scopes*.

### When to use business activity support

Use the business activity support when you have an application that requires compensation. An application requires compensation if its operations cannot be atomically rolled back. Typically, this scenario is because of one of the following reasons:

- The application uses multiple non-extended-architecture (XA) resources.
- The application uses more than one atomic transaction, for example, enterprise beans that have **Requires new** as the setting for the **Transaction** field in the container transaction deployment descriptor.
- The application does not run under a global transaction.

The following diagram shows a simple web service application that uses the business activity support. The Retailer, Warehouse and Manufacturing services are running in non-WebSphere Application Server environments. The Retailer service calls the Supplier service, running on WebSphere Application Server, which delegates tasks to the Warehouse and Manufacturing services. The implementation of the Supplier service contains a stateless session bean, which calls other stateless session beans that are associated with the Warehouse and Manufacturing services, and that undertake work that can be compensated. These other session beans each have a *compensation handler*, a piece of logic that is associated with an application component at run time, and performs compensation activity such as resending an email.



## Application design

Business activity contexts are propagated with application messages, and can therefore be distributed between application components that are not co-located in the same server. Unlike atomic transaction contexts, business activity contexts are propagated on both synchronous (blocking) call-response messages and asynchronous one-way messages. An application component that runs under a business activity scope is responsible for ensuring that any asynchronous work it initiates is complete before the component's own processing is complete. An application that initiates asynchronous work by using a fire-and-forget message pattern must not use business activity scopes, because such applications have no means of detecting whether this asynchronous processing has completed.

Only enterprise beans that have container-managed transactions can use the business activity functions. Enterprise beans that exploit business activity scopes can offer web service interfaces, but can also offer standard enterprise bean local or remote Java interfaces. Business activity context is propagated in web service messages by using a standard, interoperable Web Services Business Activity (WS-BAA) `CoordinationContext` element. WebSphere Application Server can also propagate business activity context on RMI calls to enterprise beans when Web services are not being used, but this form of the context is not interoperable with non-WebSphere Application Server environments. You might want to use this homogeneous scenario if you require compensation for an application that is internal to your business. If you want to use business activity compensation in a heterogeneous environment, expose your application components as web services.

Business activity contexts can be propagated across firewalls and outside the WebSphere Application Server domain. The topology that you use to achieve this propagation can affect the high availability and affinity behavior of the business activity transaction.

## Application development and deployment

WebSphere Application Server provides a programming model for creating business activity scopes, and for associating compensation handlers with those business activity scopes. WebSphere Application Server also provides an application programming interface to specify compensation data, and check or alter the status of a business activity. To use the business activity support you must set certain application deployment descriptors appropriately, provide a compensation handler class if required, and enable business activity support on any servers that run the application.

**Note:** Applications can exploit the business activity support only if you deploy them to a WebSphere Application Server at Version 6.1 or later. Applications cannot use the business activity support if you deploy them to a cluster that includes WebSphere Application ServerVersion 6.0.x servers.

## Business activity scopes

The scope of a business activity is that of a main WebSphere Application Server unit of work: a global transaction, an activity session, or local transaction containment (LTC). A business activity scope is not a new unit of work (UOW); it is an attribute of an existing main UOW. Therefore, a one-to-one relationship exists between a business activity scope and a UOW.

In a WS-BAA deployment, the UOW must be container-managed:

- The UOW can be a container-managed transaction (CMT) enterprise bean that creates a global transaction.
- The UOW can be a local transaction containment (LTC) where the container is responsible for initiating and ending resource manager local transactions (RMLTs). That is, in the transactional deployment descriptor attributes, the Local Transaction attribute Resolver must be set to `ContainerAtBoundary`. To use WS-BAA, you must not set the Resolver attribute to `Application`.

Any main UOW can have a business activity scope associated with it. If a component running under a UOW that is associated with a business activity scope calls another component, that request propagates the business activity scope; any work done by the new component is associated with the same business activity scope as the calling component. The called component can create a new UOW, for example if an enterprise bean has a **Transaction** setting of **Requires new**, or runs under the same UOW as the calling component. If a new UOW is started then a new business activity scope is created and associated with the new UOW. The newly created business activity scope is a child of the business activity scope associated with the calling UOW. In the following diagram, EJB1a running under UOW1 calls two components: EJB1b that also runs under UOW1, and EJB2 that creates a new UOW, UOW2. The enterprise bean EJB1b, calls another enterprise bean, EJB3, which creates another new UOW, UOW3. Because each new UOW is created by a calling component whose UOW already has an association with business activity scope BAScope1, the newly created UOWs are associated with new inner business activity scopes, BAScope2 and BAScope3.

Inner business activity scopes must complete before the outer business activity scope completes. Inner business activity scopes, for example BAScope2, have an association with the outer business activity scope, in this case BAScope1. Each business activity scope is directed to close if its associated UOW completes successfully, or to compensate if its associated UOW fails. If BAScope2 completes successfully, any active compensation handlers that are owned by BAScope2 are moved to BAScope1, and are directed in the same way as the completion direction of BAScope1: either compensate or close. If BAScope2 fails, the active compensation handlers are compensated automatically, and nothing is moved to the outer BAScope1. When an inner business activity scope fails, as a result of its associated UOW failing, an application server exception is thrown to the calling application component, running in the outer UOW.

For example, if the inner UOW fails it might throw a `TransactionRolledBackException` exception. If the calling application can handle the exception, for example by trying the called component again or by calling another component, then the calling UOW, and its associated business activity scope, can complete successfully even though the inner business activity scope failed. If the application design requires the calling UOW to fail, and for its associated business activity scope to be compensated, then the calling application component must cause its UOW to fail, for example by allowing any system exception from the UOW that failed to be handled by its container.

When the outer business activity scope completes, its success or failure determines the completion direction (close or compensate) of any active compensation handlers that are owned by the outer business activity scope, including those promoted by the successful completion of inner business activity scopes. If the outer business activity scope completes successfully, it drives all active compensation handlers to close. If the outer business activity scope fails, it drives all active compensation handlers to compensate.

This compensation behavior is summarized in the following table.

*Table 85. Compensation behavior for a single business activity scope. The table lists the possible combinations of success and failure for the inner and outer business activity scopes, and the compensation behavior associated with each combination.*

Inner business activity scope	Outer business activity scope	Compensation behavior
Succeeds	Succeeds	Any compensation handlers that are owned by the inner business activity scope wait for the outer UOW to complete. When the outer UOW succeeds, the outer business activity scope drives all compensation handlers to close.
Fails	Succeeds	Any active compensation handlers that are owned by the inner business activity scope are compensated. An exception is thrown to the outer UOW; if this exception is caught, when the outer UOW succeeds, the outer business activity scope drives all remaining active compensation handlers to close.

Table 85. Compensation behavior for a single business activity scope (continued). The table lists the possible combinations of success and failure for the inner and outer business activity scopes, and the compensation behavior associated with each combination.

Inner business activity scope	Outer business activity scope	Compensation behavior
Fails	Fails	Any active compensation handlers that are owned by the inner business activity scope are compensated. An exception is thrown to the outer UOW; if this exception is not caught, the outer business activity scope fails. When the outer business activity scope fails, either because of the unhandled exception or for some other reason, all remaining active compensation handlers are compensated.
Succeeds	Fails	Any compensation handlers that are owned by the inner business activity scope wait for the outer UOW to complete. When the outer UOW fails, the outer business activity scope drives all compensation handlers to compensate.

When a UOW with an associated business activity scope completes, the business activity scope always completes in the same direction as the UOW that it is associated with. The only way that you can influence the direction of the business activity scope is to influence the UOW that it is associated with, which you can do by using the `setCompensateOnly` method of the business activity API.

A compensation handler that is registered within a transactional UOW might initially be inactive, depending on the method invoked from the business activity API. Inactive handlers in this situation become active when the UOW in which that handler is declared completes successfully. A compensation handler that is registered outside a transactional UOW always becomes active immediately. For more information, see the topic about the business activity API.

Each business activity scope in the diagram represents a business activity. For example, the outer business activity running under `BAScope1` can be a holiday booking scenario, with `BAScope2` being a flight booking activity and `BAScope3` a hotel booking. If either the flight or hotel bookings fail, the overall holiday booking by default also fails. Alternatively if, for example, the flight booking fails, you might want your application to try booking a flight by using another component that represents a different airline. If the overall holiday booking fails, the application can use compensation handlers to cancel any flights or hotels that are already successfully booked.

## Use of business activity scopes by application components

Application components do not use business activity scopes by default. You use the WebSphere Application Server assembly tools to specify the use of a business activity scope and to identify any compensation handler class for the component:

### Default configuration

If a business activity context is present on a request received by a component with no business activity scope configuration, the context is stored by the container but never used during the method scope of the target component. A new business activity scope is not created. If the target component invokes another component, the stored business activity context is propagated and can be used by other compensating components.

### Run enterprise bean methods under a business activity scope

Any business activity context present on the incoming request is received by the container and made available to the target component. If a new UOW is created for the target method, for example because the enterprise bean method has a **Transaction** setting of **Requires new**, the received business activity scope becomes an outer business activity scope to a newly created business activity. If the UOW is propagated from the calling component and used by the method, then the received business activity scope is used by the method. If a business activity scope does not exist on the invocation, a new business activity scope is created and used by the method.

To create a business activity scope when an enterprise bean is invoked, you must configure the enterprise bean to run enterprise bean methods under a business activity scope. You must also configure the deployment descriptors for the method being invoked, to specify the creation of a new UOW upon invocation. For details, see the topic about creating an application that uses the WS-BA support.

## WS-Transaction and mixed-version cells

You must consider WS-Transaction policy type enablement and behavior, and the WS-Transaction specification level to use, when a cell contains servers at different versions; for example, WebSphere Application Server Version 7.0 or later and WebSphere Application Server Version 6.1 Feature Pack for Web Services.

### WS-Transaction policy type enablement

For a Version 6.1 Feature Pack for Web Services server, you can enable the WS-Transaction policy type by including it in a policy set, but you cannot configure it. For a Version 7.0 or later server, you can both enable and configure the WS-Transaction policy type. Configuration information is written to the WS-Transaction policy type file.

In a cell with both Version 6.1 Feature Pack for Web Services and Version 7.0 or later servers, the following behavior occurs:

- If a Version 6.1 Feature Pack for Web Services server reads a WS-Transaction policy type file that is generated by a Version 7.0 or later server, the server enables the WS-Transaction policy type, but ignores any configuration information in the file.
- If a Version 7.0 or later server reads a WS-Transaction policy type that is generated by a Version 6.1 Feature Pack for Web Services server, the server enables the WS-Transaction policy type by using a value of Supports for the WS-AtomicTransaction and WS-BusinessActivity protocols. This value is equivalent to the existing behavior of a Version 6.1 Feature Pack for Web Services server.

### WS-Transaction specification level

WebSphere Application Server supports the WS-Transaction 1.0, WS-Transaction 1.1 and WS-Transaction 1.2 specifications. In practice, version 1.2 of the WS-Transaction standard is functionally equivalent to version 1.1, so within WebSphere Application Server, wherever WS-Transaction 1.1 is supported, WS-Transaction 1.2 is also.

A WebSphere Application Server Version 6.x server supports WS-Transaction 1.0. A Version 7.0 or later server supports WS-Transaction 1.0, 1.1 and 1.2.

No special restrictions apply to a cell with both and Version 7.0 or later servers, except for a mixed-version cluster that requires failover for high availability. In a mixed-version cluster, a Version 7.0 or later server might fail over to an earlier version server that does not support WS-Transaction 1.1, and that therefore cannot recover WS-Transaction 1.1 protocol messages. In this situation, there are the following implications:

- For a cluster of Version 7.0 or later servers that are configured to fail over and that are configured to use WS-Transaction 1.1 or 1.2, you cannot add an earlier version server to the cluster.
- For a mixed-version cluster, where the servers are configured to fail over, any Version 7.0 or later server configured to use WS-Transaction 1.1 or 1.2 cannot fail over to a server in the cluster configured to use WS-Transaction 1.0.
- For a cluster of servers that are configured to fail over, any Version 7.0 or later server in the cluster that is configured to use WS-Transaction 1.1 or 1.2 cannot start if there are also servers at an earlier version.

## Business activity API

Use the business activity application programming interface (API) to create business activities and compensation handlers for an application component, and to log data that is required to compensate an activity if there is a failure in the overall business activity.

### Overview

The business activity support provides a `UserBusinessActivity` API and two interfaces: a `serializable.CompensationHandler` interface and a `CompensationHandler` interface. Each interface has two exceptions: `RetryCompensationHandlerException` and `CompensationHandlerFailedException`. You can look up the `UserBusinessActivity` interface from the application server Java Naming and Directory Interface (JNDI) at `java:comp/websphere/UserBusinessActivity`. For example:

```
InitialContext ctx = new InitialContext();
UserBusinessActivity uba = (UserBusinessActivity) ctx.lookup("java:comp/websphere/UserBusinessActivity");
```

You can use the `getId` method to access the unique identifier for the business activity that is currently associated with the calling thread. The identifier is the same as the one that is generated for the business activity scope at run time and that is used for information, warning, and error messages. For example, the application can use the identifier in audit or diagnostic messages, and it is possible to correlate between application-generated and runtime-generated messages.

```
InitialContext initialContext = new InitialContext();
UserBusinessActivity uba = initialContext.lookup("java:comp/websphere/UserBusinessActivity");
...
String activityId = uba.getId();
if (activityId == null)
    // No activity on the thread
else
    // Output audit message including activity id
```

If an application component runs work that might require compensating upon failure in the business activity, you must provide a compensation handler class that is assembled as part of the deployed application. This Java class must implement one of the following interfaces:

- `com.ibm.websphere.wsba.serializable.CompensationHandler`, which takes a parameter of a serializable object
- `com.ibm.websphere.wsba.CompensationHandler`, which takes a parameter of a Service Data Object (SDO)

Typically, applications that already have their data available in `DataObject` format will use the `CompensationHandler` interface, and applications that do not will use the `serializable.CompensationHandler` interface. Both interfaces support the `close` and `compensate` methods.

An application must register a compensation handler implementation that works with the type of compensation data (serializable object or SDO) that the application uses. If there is a mismatch between the type of data that the application component uses and the compensation handler implementation, there is an error.

During normal application processing, the application can make one or more invocations to the `setCompensationDataImmediate` or `setCompensationDataAtCommit` methods, passing in either a serializable object or an SDO that represents the current state of the work performed.

When the underlying unit of work (UOW) that the root business activity is associated with completes, all registered compensators are coordinated to complete. During completion, either the `compensate` or the `close` method is called on the compensation handler, passing in the most recent compensation data logged by the application component as a parameter. Your compensation handler implementation must be able to understand the data that is stored in either the serializable object or the SDO `DataObject`; when using this data, the compensation handler must be able to determine the nature of the work performed by the enterprise bean and `compensate` or `close` in an appropriate way, for example by undoing changes made to database rows if there is a failure in the business activity. You associate the compensation handler with an

application component by using the assembly tooling, such as Rational Application Developer.

## Active and inactive compensation handlers

You implement the `serializable.CompensationHandler` or `CompensationHandler` interface for any application component that executes code that might have to be compensated within a business activity scope. Compensation handler objects are registered implicitly with the business activity scope under which the application runs, whenever the application calls the `UserBusinessActivity` API to specify compensation data. Compensation handlers can be in one of two states, active or inactive, depending on any transactional UOW under which they are registered. A compensation handler that is registered within a transactional UOW might initially be inactive until the transaction commits, at which point the compensation handler becomes active (see the following section). A compensation handler that is registered outside a transactional UOW always becomes active immediately.

When a business activity completes, it drives only active compensation handlers. Any inactive compensation handlers that are associated with the business activity are discarded and never driven.

## Logging compensation data

The business activity API specifies two methods that allow the application to log compensation data. This data is made available to the compensation handlers during their processing when the business activity completes. The application calls one of these methods, depending on whether it expects transactions to be part of the business activity.

### `setCompensationDataAtCommit()`

Call the `setCompensationDataAtCommit` method when the application expects a global transaction on the thread.

- If a global transaction is present on the thread, the `CompensationHandler` object is initially inactive. If the global transaction fails, it rolls back any transactional work done within its transaction context in an atomic manner, and drives the business activity to compensate other completed UOWs. The compensation handler does not have to be involved. If the global transaction commits successfully, the compensation handler becomes active because if the overall business activity fails, the compensation handler is required to compensate the durable work that is completed by the global transaction. The `setCompensationDataAtCommit` method configures the `CompensationHandler` instance to undertake this compensation function.
- If a global transaction is not present when the `setCompensationDataAtCommit` method is called, the compensation handler becomes active immediately.

For example, for an SDO, and using the same business activity instance as in the previous example:

```
DataObject compensationData = doWorkWhichWouldNeedCompensating();
uba.setCompensationDataAtCommit(compensationData);
```

### `setCompensationDataImmediate()`

Call the `setCompensationDataImmediate` method when the application does not expect a global transaction on the thread.

The `setCompensationDataImmediate` method makes a `CompensationHandler` instance active immediately, regardless of the current UOW context at the time that the method is invoked. The compensation handler is always able to participate during completion of the business activity.

The role of the `setCompensationDataImmediate` method is to compensate any non-transactional work, in other words, work that can be performed either inside or outside a global transaction, but that is not governed by the transaction. An example of this type of work is sending an email. The compensation handler must be active immediately so that if a failure occurs in a business activity, this non-transactional work is always compensated.

For example, for a serializable object, and using the same business activity instance as in the previous example:

```
Serializable compensationData = new MyCompensationData();
uba.setCompensationDataImmediate(compensationData);
```

Although these two compensation data logging methods, if called in the same enterprise bean, use the same compensation handler class, they create two separate instances of the compensation handler class at run time. Therefore, the actions of the methods are mutually exclusive; calling one of the methods does not overwrite any work carried out by the other method.

If a compensation handler instance is already added to the Business Activity by using one of these methods, and then the same method is called, passing in `null` as a parameter, that compensation handler instance is removed from the business activity, and is not driven to close or compensate during completion of the business activity.

As described previously, the business activity support adds a compensation handler instance to the business activity when a compensation data logging method is called for the first time by the enterprise bean that uses that business activity. At the same time, a snapshot of the enterprise application context is taken and logged with the compensation data. When the business activity competes, all the compensation handlers that were added to the business activity are driven to compensate or close. The code that you create in the `CompensationHandler` or `serializable.CompensationHandler` class is guaranteed to run in the same enterprise application context that was captured in the earlier snapshot.

For details about the methods available in the business activity API, see the topic about additional APIs.

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## Overview of the Version 3 UDDI registry

The Universal Description, Discovery, and Integration (UDDI) specification defines a way to publish and discover information about web services.

You can find the UDDI specification on the OASIS UDDI web page.

The UDDI specification defines a standard for the visibility, reusability, and manageability that are essential for a service-oriented architecture (SOA) registry service.

The UDDI registry is a directory for web services that is implemented using the UDDI specification. It is a component of WebSphere Application Server.

The UDDI registry is a critical component of the IBM on-demand service-oriented architecture. It solves the problem of discovery of technical components for an enterprise and its partners in the following ways:

- The UDDI registry provides control, flexibility, and confidentiality so that an enterprise can protect its e-business investments.
- The UDDI registry increases efficiency by making it easier to identify technical assets.
- The UDDI registry leverages existing infrastructures

The following example shows how the UDDI registry can be used in a larger enterprise.

A company has an existing application that provides telephone numbers and human resources (HR) information about employees. This application is turned into a web service and published to the registry. A developer in the same company wants to write an application for a procurement function that also needs to provide HR information to the supplier. The application needs to give the supplier access to the employee account codes after the employee provides a name or serial number. Before web services, the developer might be in one of the following situations:

- The developer does not know about the similar application.
- The developer knows about the application, but cannot reuse it because of technical barriers.

- The developer knows about the application and reuses it, but only after significant time and negotiation.

With UDDI, the developer can search for the web service and reuse the existing technical component in their new application for the supplier in minutes. The developer saves time and gets the application running sooner, thereby increasing efficiency and saving the company time and money. The UDDI registry was the first version 2 standard-compliant UDDI registry for private enterprise work. The UDDI registry in this version has the following characteristics:

- It supports the UDDI Version 3.0 specification, in addition to the Version 1.0 and Version 2.0 standard APIs.
- It leverages the proven, reliable WebSphere Application Server technology.
- It uses a relational database, such as DB2, for its persistent store.

## What is new in UDDI Version 3

The main aspects of the UDDI Version 3 specification that are provided with this version of WebSphere Application Server are as follows:

### Improved recognition of the importance of private UDDI registries

Private UDDI registries are registries that are installed, owned, managed, and controlled by a separate body such as a department within a company, a company, an industry consortium, or an e-marketplace.

### Publisher-assigned keys

The publisher of a UDDI entity can specify its key, rather than the registry automatically assigning a unique key. This means that URI-based keys can be used, and it makes it easier to manage multiple registries.

### UDDI information model improvements

The UDDI data structures are extended, which improves the ability of UDDI to represent businesses and services through metadata.

### Security enhancements

Digital signatures provide additional security. Each of the main UDDI entities can be digitally signed, which improves the integrity and trustworthiness of UDDI data.

### Ownership transfer APIs

These APIs support the transfer of the ownership of a UDDI entity from one publisher to another.

### UDDI policy

You can set policy to define the behavior of a UDDI registry and therefore recognize the different environments in which a UDDI registry is used.

### HTTP GET support for UDDI entities

You can use HTTP GET to access XML representations of each of the UDDI data structures. This extends the HTTP GET service beyond the scope for discovery URLs in the UDDI Version 2 specification.

## Additional UDDI registry capabilities

The Version 3 UDDI registry in this version of WebSphere Application Server provides the following capabilities that are additional to support for the UDDI Version 3 specification:

### Version 2 UDDI inquiry and publish SOAP API compatibility

There is compatibility with the Version 1 and Version 2 SOAP Inquiry and Publish APIs.

### UDDI administrative console extension

The WebSphere Application Server administrative console includes a section that administrators can use to manage UDDI-specific aspects of their WebSphere environment. This management includes the ability to set defaults for initialization of the UDDI node, such as its node ID, and to set the UDDI Version 3 policy values.



### UDDI registry administrative interface

The Java Management Extensions (JMX) administrative interface enables administrators to manage UDDI-specific aspects of the WebSphere environment programmatically.

### Multidatabase support

The UDDI data is stored in a registry database. The following database products that are supported by WebSphere Application Server are also supported for use as the persistence store for the UDDI registry. For specific details on supported levels, see Detailed system requirements page.

- Apache Derby
- DB2
- Microsoft SQL Server
- Oracle

### User-defined value set support

You can create your own categorization schemes or value sets. These are in addition to the standard schemes, such as North American Industry Classification System (NAICS), that are provided with the UDDI registry.

### UDDI utility tools

You can use UDDI utility tools to import or export entities that use the UDDI Version 2 API.

### UDDI user interface

The UDDI user console supports the UDDI Version 3 inquiry and publish APIs.

### UDDI Version 3 client

The Java client for UDDI Version 3 handles the construction of raw SOAP requests for the client application. It is a JAX-RPC client and uses Version 3 data types, which are generated from the UDDI Version 3 Web Services Description Language (WSDL) and schema. These data types are serialized or deserialized to the XML, which constitutes the raw UDDI requests.

### UDDI Version 2 clients

The following clients for UDDI Version 2 requests are provided:

- UDDI4J. A Java class library for issuing UDDI requests.

**Note:** This client is provided in WebSphere Application Server Version 5 for both UDDI Version 1 requests (`uddi4j.jar`) and Version 2 requests (`uddi4jv2.jar`). These class libraries are still supported, as part of the `com.ibm.uddi.jar` file, but are deprecated in WebSphere Application Server Version 6.0.

- JAXR. The Java API for XML Registries (JAXR) is a Java client API for accessing UDDI and ebXML registries. WebSphere Application Server provides a JAXR provider for accessing the UDDI registry that conforms to the JAXR 1.0 specification.
- EJB. An Enterprise JavaBeans (EJB) interface for issuing UDDI Version 2 requests.

**Note:** The UDDI EJB interface is still supported, but is deprecated in WebSphere Application Server Version 6.0.

## Databases and production use of the UDDI registry

The UDDI registry fully supports a number of databases and can be used for development and test purposes. However, there are factors to consider when you decide which database is appropriate for your anticipated UDDI registry production use.

It is important to consult the information that is supplied by your chosen database vendor, but you must also consider the likely size and volume of requests, and whether the general performance and scalability of the UDDI registry is important.

For example, the Apache Derby database supports the full function of the UDDI registry, but it is not an enterprise level database and it does not have the same characteristics, for example, scaling or performance, as enterprise databases such as DB2.

**Note:** WebSphere Application Server supports direct customer use of the Apache Derby database in *test* environments only. The product does not support direct customer use of Apache Derby database in *production* environments.

If you need multiple connections to the UDDI registry database (for example to use the UDDI registry in a cluster configuration) and Apache Derby is your preferred database, you must use the network option for Apache Derby. This is because embedded Apache Derby has a limitation that allows only one Java virtual machine to access or load a database instance at any one time. That is, two application servers cannot access the same Apache Derby database instance at the same time.

**Note:** The UDDI registry can support multiple users, even if a single database connection exists.

## UDDI registry terminology

Some terms specific to the UDDI registry are explained. Also, the relationship between the versions of the UDDI registry, the Organization for the Advancement of Structured Information (OASIS) specification, and the WebSphere Application Server level are shown.

Throughout the UDDI information in this information center, the directory location of WebSphere Application Server is referred to as *app\_server\_root*.

### UDDI Definitions

#### **bindingTemplate**

A *bindingTemplate* is technical information about a service entry point and construction specifications.

#### **businessEntity**

A *businessEntity* is information about the party who publishes information about a family of services.

#### **businessService**

A *businessService* is descriptive information about a particular service.

#### **customized UDDI node**

A customized UDDI node is a UDDI node that is initialized with customized settings for the UDDI properties and UDDI policies. In particular, this type kind of node does not have default values for those properties that are read-only after initialization.

Use a customized UDDI node for anything other than simple testing purposes (for which a default UDDI node is enough). To set up a customized UDDI node, see the topic about setting up a customized UDDI node.

When you first start a customized UDDI node, you must set values for certain properties, and then initialize the node (using the administrative console or UDDI administrative interface), before the node is ready to accept UDDI requests. The properties that you must set control characteristics of the UDDI node that cannot be changed after initialization.

An advantage of using a customized UDDI node is that can set these properties to values that are suitable for your environment and usage of UDDI.

After a customized UDDI node is initialized, it is the same as a default UDDI node except that it uses customized UDDI property and policy values.

#### **default UDDI node**

A default UDDI node is a UDDI node that is initialized with default settings for the UDDI properties

and UDDI policies, including the properties that are read-only after initialization. A default UDDI node is intended for use for testing and to provide a simple way to become familiar with the behavior of the UDDI registry.

You can set up a default UDDI node in two ways. The first is to run the `uddiDeploy.jacl` script, specifying the 'default' option, in which case the UDDI database will be an Apache Derby database that is created for you automatically.

The second is to create the database yourself, specifying the default option, which for Apache Derby is the `DEFAULT` parameter when using the `UDDIDerbyCreate.jar` file, and for DB2 or Oracle the SQL script `insert_default_database_indicator`.

After a default UDDI node is initialized, it is the same as a customized UDDI node except that it uses default UDDI property and policy values.

### **policy profile**

A policy profile is set of UDDI policies. The default policy profile is the profile created when the default UDDI node is created. In the default policy profile, the `nodeID` and root key generator are set to read-only and cannot be changed after installation.

### **publisherAssertion**

A `publisherAssertion` is information about a relationship between two parties, asserted by one or both.

### **tModel**

A `tModel` (short for technical model) is a data structure representing a reusable concept, such as a web service type, a protocol used by web services, or a category system.

`tModel` keys in a service description are a technical "fingerprint" that you can use to trace the compatibility origins of a given service. They provide a common point of reference so that you can identify compatible services.

`tModels` are used to establish the existence of a variety of concepts and to point to their technical definitions. `tModels` that represent value sets such as category, identifier, and relationship systems are used to provide additional data to the UDDI core entities to aid discovery along a number of dimensions. This additional data is captured in `keyedReferences` that are in `categoryBags`, `identifierBags`, or `publisherAssertions`. The `tModelKey` attributes in these `keyedReferences` refer to the value set that relates to the concept or namespace being represented. The `keyValues` contain the values from that value set. In some cases, `keyNames` are significant, for example, to describe relationships and when using the general keywords value set. In all other cases, `keyNames` provide a version of the `keyValue` that people can read.

### **UDDI application**

The UDDI application is the UDDI registry enterprise application.

### **UDDI entitlement**

A UDDI entitlement is an entitlement that a UDDI user or publisher has in a UDDI registry, such as the capability to publish `keyGenerators`, or the tier to which the publisher is assigned (in other words, the number of entities that the publisher is entitled to publish). Each UDDI publisher has a range of settings for the various UDDI entitlements. A UDDI entitlement is sometimes referred to as a 'user entitlement', or as the UDDI publisher set of 'user entitlements'.

### **UDDI node**

A UDDI node is a set of web services that supports at least one of the UDDI API sets, which supports interaction with UDDI data through the UDDI APIs. There is no direct mapping between a UDDI node and a WebSphere Application Server node. A UDDI node consists of an instance of the UDDI application running in an application server (or a cluster of UDDI application instances running in a cluster of application servers), together with an instance of the UDDI database containing UDDI data.

**UDDI node initialization**

UDDI node initialization is the process that sets up values in the UDDI database, and establishes the "personality" of the UDDI node. A UDDI node cannot accept UDDI API requests until it is initialized.

**UDDI node state**

The UDDI node state describes the current state of the UDDI node, as opposed to the state of the UDDI application (which is either stopped or started). A UDDI node can be in one of the following states:

- not initialized
- initialization pending
- initialization in progress
- migration pending
- migration in progress
- value set creation pending
- value set creation in progress
- activated
- deactivated

**UDDI NodeId**

The UDDI NodeId is a unique identifier of a UDDI node.

**UDDI policy**

A UDDI policy is a statement of required and expected behavior of a UDDI registry, specified by using policy values for the various policies that are defined in the UDDI Version specification.

**UDDI property**

A UDDI property is a value for a property that controls the personality or behavior of a UDDI node.

**UDDI publisher**

A UDDI publisher is a WebSphere Application Server user who is entitled to publish UDDI entities to a specified UDDI registry. A UDDI publisher is sometimes referred to as a 'UDDI user', or just as a 'publisher' when used in a UDDI context.

**UDDI registry**

A UDDI registry comprises one or more UDDI nodes. The UDDI registry in this version of WebSphere Application Server supports single-node UDDI registries only.

**UDDI tier**

A UDDI tier determines the number of UDDI entities of each type (business, services per business, bindings per service, tModel, publisher assertion) that a UDDI publisher is entitled to publish. Each UDDI publisher is assigned (either by default or explicitly by a UDDI administrator) to a particular tier, and cannot publish more entities than are allowed for that tier. There are some predefined tiers supplied with the UDDI registry, and a UDDI administrator can create additional tiers. A UDDI tier is often referred to just as a 'tier' when used in a UDDI context.

**Version 2 UDDI registry**

A Version 2 UDDI registry is a UDDI registry implementation that supports Version 2 of the UDDI specification and also Version 1. A Version 2 UDDI registry is included in WebSphere Application Server, Network Deployment Version 6.1.

**Version 3 UDDI registry**

A Version 3 UDDI registry is a UDDI registry implementation that supports Version 3 of the UDDI specification, and also Versions 1 and 2. A Version 3 UDDI registry is included in WebSphere Application Server. Note that Version 3 UDDI registry does not indicate a UDDI registry implementation that supports only UDDI Version 3 requests.

The following table shows how the various versions of the UDDI registry relate to the relevant OASIS specification and versions of WebSphere Application Server:

*Table 86. UDDI registry versions and support. The table lists different UDDI registry versions, the associated OASIS UDDI specification levels supported and which versions of WebSphere Application Server support each UDDI registry.*

UDDI registry Version	OASIS UDDI specification levels supported	Version of WebSphere Application Server that supports the UDDI registry
3.0.2	<ul style="list-style-type: none"> <li>• UDDI Version 1</li> <li>• UDDI Version 2.0.4 (APIs), Version 2.0.3 (data structures)</li> <li>• UDDI Version 3.0.2</li> </ul>	6.1 and later

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## Web Services Security concepts

The Web Services Security specification defines core facilities for protecting the integrity and confidentiality of a message, and provides mechanisms for associating security-related claims with a message.

### What is new for securing web services

In WebSphere Application Server, there are many security enhancements for web services. The enhancements include supporting sections of the Web Services Security (WS-Security) specifications and providing architectural support for plugging in and extending the capabilities of security tokens.

### Enhancements from the supported Web Services Security specifications

Since September 2002, the Organization for the Advancement of Structured Information Standards (OASIS) has been developing the Web Services Security (WS-Security) for SOAP message standard.

In April 2004, OASIS released the Web Services Security Version 1.0 specification, which is a major milestone for securing web services. In February 2006, the specification was updated to Version 1.1. This specification is the foundation for other Web Services Security specifications and is also the basis for the Basic Security Profile (WS-I BSP) Version 1.0 specification, which was approved in March 2007. See the Basic Security Profile web page for more information.

Web Services Security Version 1.1 is a strategic move towards Web Services Security inter-operability, and an important part of the Web Services Security roadmap. For more information on the Web Services Security roadmap, see *Security in a Web Services World: A Proposed Architecture and Roadmap*.

WebSphere Application Server supports the following OASIS specifications and WS-I profiles:

- OASIS: Web Services Security: SOAP Message Security 1.1 (WS-Security 2004)
- OASIS: Web Services Security: UsernameToken Profile 1.1
- OASIS: Web Services Security: Kerberos Token Profile 1.1
- OASIS: WS-SecurityPolicy 1.2
- OASIS: WS-SecureConversation 1.3
- OASIS: WS-Trust 1.3
- Basic Security Profile (WS-I BSP) 1.0
- OASIS: Web Services Security: SAML Token Profile 1.1

**Note:** The Security Assertion Markup Language (SAML) is an XML-based OASIS standard for exchanging user identity and security attributes information. Using SAML, a client can communicate assertions regarding the identity, attributes, and entitlements of a SOAP message. Using the SAML function in WebSphere Application Server, you can apply policy sets to JAX-WS applications to use SAML

assertions in web services messages and in web services usage scenarios. Use SAML assertions to represent user identity and user security attributes, and optionally, to sign and to encrypt SOAP message elements.

For details on what parts of the previous specifications are supported in WebSphere Application Server, see “Supported functionality from OASIS specifications” on page 850.

## High level features overview in WebSphere Application Server

In WebSphere Application Server, the Web Services Security for SOAP Message Version 1.1 specification is designed to be flexible and accommodate the requirements of Web services. For example, the specification does not have a mandatory security token definition. Instead, the specification defines a generic mechanism to associate the security token with a SOAP message. The use of security tokens is defined in the various Version 1.0 and 1.1 security token profiles, such as:

- The Username Token Profile
- The X.509 Token Profile
- The Kerberos Token Profile

For more information on security token profile development at OASIS, see Organization for the Advancement of Structured Information Standards.

The Web Services Security for SOAP Message Version 1.1 updates the Web Services Security for SOAP Message core specification and the various security token profiles. For this release, WebSphere Application Server implements the Username Token Profile 1.1 and the X.509 Token Profile 1.1, which includes support for the Thumbprint type of security token reference. In addition, it supports the signature confirmation and encrypted header portions of the Web Services Security Version 1.1 standard.

**Important:** The wire format (such as namespaces) in the WS-SecureConversation and WS-Trust 1.3 specification has changed. WebSphere Application Server tolerates requests formatted according to both the Submission Drafts and version 1.3 specifications, but you must ensure that the correct version is used when clients are communicating with a Web Services Feature Pack service provider. You can disable tolerance of the older format for WS-SecureConversation and WS-Trust 1.3 endpoints. Submission Drafts requests are not interoperable with version 1.3 standards.

WebSphere Application Server supports pluggable security tokens. The pluggable architecture is enhanced to support the Web Services Security specifications, other profiles, and other Web Services Security specifications. You can learn more about the pluggable security token framework for JAX-RPC web services, and associating custom security tokens with SOAP messages, by reading these articles on the IBM developerWorks website:

- Security for JAX-RPC Web services, Part 1: Generating custom tokens
- Security for JAX-RPC Web services, Part 2: Consuming custom tokens

WebSphere Application Server includes the following key enhancements:

- Support for the LTPA version 2 token
- Support for configuration of multiple callers, and an order attribute on the caller to determine which caller is used for the WebSphere credential
- Support for the published WS-SecurityPolicy version 1.2 specification embedded in WSDL
- Support for the WS-SecureConversation version 1.3 specification and the WS-Trust version 1.3 specification (used by WS-SecureConversation)
- Support for Kerberos token as defined in the WS-Kerberos Token Profile version 1.1 specification

For more information on some of these enhancements, see “Web Services Security enhancements” on page 846.

## Configuration of Web Services Security

WebSphere Application Server uses the policy set model for implementing the Web Services Security Version 1.1 specification, including the Username token Version 1.1 profile, support for the Kerberos and LTPA v2 tokens, and the X.509 token version 1.1 profile. Policy sets combine configuration settings, including those for transport and message level configuration, such as WS-Addressing, WS-ReliableMessaging, WS-SecureConversation, and WS-Security. For more information on policy sets, refer to the topic *Managing policy sets using the administrative console*.

You can use the administrative console to configure the Web Services Security binding of a deployed application with Web Services Security constraints that are defined in the policy set.

For the X.509 Certificate Token Profile, one new type of security token reference is the Thumbprint reference, which is specified in the binding. WebSphere Application Server now supports creating and authenticating a security token by using a security token reference (STR) with a key identifier and a Thumbprint in the <KeyInfo> element. The Thumbprint key information type requires that there be a keystore with the public and private key pair instead of a shared key. To use the Thumbprint of the specified certificate, specify the keyInfo type THUMBPRINT in the bindings.

For example, a decryption key is referenced by means of the thumbprint of an associated certificate. The certificate is not included in the message. Instead, the <ds:KeyInfo> element contains a <wsse:SecurityTokenReference> element that specified the thumbprint of the specified certificate by means of the <http://docs.oasis-open.org/wss/oasis-wss-soap-message-security-1.1#ThumbprintSHA1> attribute of the <wsse:KeyIdentifier> element.

To take advantage of implementations associated with the Web Services Security Version 1.1 specification, you must:

- Ensure that your applications use the Java API for XML Web Services (JAX-WS) programming model.
- Re-configure the Web Services Security constraints in the new policy set and binding format.

WebSphere Application Server provides the following tools that you can use to edit the policy set file and the binding file:

### IBM assembly tools

You can use IBM assembly tools to develop web services and configure the policy set and the binding file for Web Services Security. The tools enable you to assemble both web and Enterprise JavaBeans (EJB) modules. The assembly tools do not support direct editing of policy sets, but can import policy sets from the application server, and then attach the modified policy sets to the service. For more information, read about assembly tools.

**Note:** You can use policy sets only with Java API for XML-Based Web Services (JAX-WS) applications. You cannot use policy sets with Java API for XML-based RPC (JAX-RPC) applications.

### WebSphere Application Server administrative console

You can use the administrative console to configure the Web Services Security binding of a deployed application with Web Services Security constraints that are defined in the policy set.

## What is not supported

Web service security is still fairly new and some of the standards are still being defined or standardized. The following functionality is not supported in WebSphere Application Server:

- JSR-183 (Java API for Web Services Security: SOAP Message Security 1.0 specification). See the standard documentation for more information: JSR-183 (Java API for Web Services Security: SOAP Message Security 1.0 specification).
- Application programming interfaces (API) do not exist for Web Services Security in WebSphere Application Server Versions 6.0.x and later.
- SAML token profile is not supported out of the box.
- REL token profile is not supported.
- SwA profile is not supported

## What is supported by the IBM Software Development Kit (SDK)

The following standards exist for the Java application programming interface for XML security and Web Services Security:

- JSR-105 (Java API for XML-Signature XPath Filter Version 2.0  
W3C Recommendation, November 2002)
- JSR-106 (Java API for XML Encryption Syntax and Processing)  
W3C Recommendation, December 2002

For more information on the IBM SDK for Java Version 6, see the security information documentation.

For information on what is supported for Web Services Security in WebSphere Application Server, see “Supported functionality from OASIS specifications” on page 850.

## Web Services Security enhancements

WebSphere Application Server includes a number of enhancements for securing web services. For example, policy sets are supported in WebSphere Application Server Version 6.1 Feature Pack for Web Services, and later, to simplify security configuration for web services.

## Building your applications

The Web Services Security runtime implementation used by WebSphere Application Server Version 8 is based on the Java API for XML Web Services (JAX-WS) programming model. The JAX-WS runtime environment is based on Apache Open Source Axis2, and the data model is AXIOM. Instead of deployment descriptor and bindings, a policy set is used for configuration. You can use the WebSphere Application Server administrative console to edit the application binding files associated with the policy sets. The JAX-WS runtime environment is supported for the WebSphere Application Server V6.1 Feature Pack for Web Services, and later.

The JAX-RPC programming model, which uses deployment descriptors and bindings, is still supported. Read the topic *Securing JAX-RPC Web services using message level security* for more information.

## Using policy sets

Use policy sets to simplify your web service Quality of Service configuration.

**Note:** Policy sets can only be used with JAX-WS applications, in WebSphere Application Server V6.1 Feature Pack for Web Services, and later. Policy sets cannot be used for JAX-RPC applications.

Policy sets combine configuration settings, including those for transport and message level configuration, such as Web Services Addressing (WS-Addressing), Web Services Reliable Messaging (WS-ReliableMessaging), and Web Services Security (WS-Security), which includes Secure Conversation (WS-SecureConversation).



## Managing trust policies

Web Services Security Trust (WS-Trust) provides the ability for an endpoint to issue a security context token for Web Services Secure Conversation (WS-SecureConversation). The token issuing support is limited to the security context token. Trust policy management defines a policy for each of the trust service operations, such as issuing, cancelling, validating, and renewing a token. A client's bootstrap policies must correspond to the WebSphere Application Server trust service policies.

## Securing session-based messages

Web Services Secure Conversation provides a secured session for long running message exchanges and leveraging symmetric cryptographic algorithm. WS-SecureConversation provides the basic security for securing session-based messages exchange patterns, such as Web Services Security Reliable Messaging (WS-ReliableMessaging).

## Updating message-level security

Web Services Security (WS-Security) Version 1.1 supports the following functions that update the message-level security.

- Signature confirmation
- Encrypted headers

Signature confirmation enhances the protection of XML digital signature security. The <SignatureConfirmation> element indicates that the responder has processed the signature in the request, and the signature confirmation ensures that the signature is indeed processed by the intended recipient. To process signature confirmation correctly, the initiator must preserve the signatures during the request generation processing and later must retrieve the signatures for confirmation checks even with the stateless nature of web services and the different message exchange patterns. You enable signature confirmation by configuring the policy.

The encrypted header element provides a standard way of encrypting SOAP headers, which helps inter-operability. As defined in the SOAP message security specification, the <EncryptedHeader> element indicates that a specific SOAP header (or set of headers) must be protected. Encrypting SOAP headers and parts helps to provide more secure message-level security. The EncryptedHeader element ensures compliance with the SOAP mustUnderstand processing guidelines and prevents disclosure of information contained in attributes on a SOAP header block.

## Using identity assertion

In a secured environment such as an intranet, a secure sockets layer (SSL) connection or through a Virtual Private Network (VPN), it is useful to send the requester identity only without credentials, such as password, with other trusted credentials, such as the server identity. WebSphere Application Server supports the following types of identity assertions:

- A username token without a password
- An X.509 Token for a X.509 certificate

For more information about identity assertion, read the topic Trusted ID evaluator.

## Signing or encrypting data with a custom token

For the JAX-RPC programming model, the key locator, or the `com.ibm.wsspi.wssecurity.keyinfo.KeyLocator` Java interface, is enhanced to support the flexibility of the specification. The key locator is responsible for locating the key. The local JAAS Subject is passed into the `KeyLocator.getKey()` method in the context. The key locator implementation can derive the key from the token, which is created by the token generator or the token consumer, to sign a message, to verify the

signature within a message, to encrypt a message, or to decrypt a message. The `com.ibm.wsspi.wssecurity.keyinfo.KeyLocator` Java interface is different from the version in WebSphere Application Server Version 5.x. The `com.ibm.wsspi.wssecurity.config.KeyLocator` interface from Version 5.x is deprecated. There is no automatic migration for the key locator from Version 5.x to Versions 6 and later. You must migrate the source code for the Version 5.x key locator implementation to the key locator programming model for Version 6 and later.

For the JAX-WS programming model, the pluggable token framework reuses the same framework from the WSS API. The same implementation for creating and validating a security token can be used in both the Web Services Security run time and the WSS API application. This simplifies the SPI programming model and makes it easier to add new or custom security token types. The redesigned SPI consists of the following interfaces:

- The JAAS `CallbackHandler` and JAAS Login Module create security tokens on the generator side and validate, or authenticate, security tokens on the consumer side.
- The Security Token interface, `com.ibm.websphere.wssecurity.wssapi.token.SecurityToken`, represents the security token that has methods to get the identity, XML format and cryptographic keys.

When using JAX-WS, the following interfaces are no longer required:

- Token Generator (`com.ibm.wsspi.wssecurity.token.TokenGeneratorComponent`)
- Token Consumer (`com.ibm.wsspi.wssecurity.token.TokenConsumerComponent`)
- Key Locator (`com.ibm.wsspi.wssecurity.keyinfo.KeyLocator`)

You can learn more about custom security tokens by reading these articles on the IBM developerWorks website:

- Security for JAX-RPC Web services, Part 1: Generating custom tokens
- Security for JAX-RPC Web services, Part 2: Consuming custom tokens

## Signing or encrypting any XML element

An XPath expression is used for selecting which XML element to sign or encrypt. However, an envelope signature is used when you sign the SOAP envelope, SOAP header, or Web Services Security header. In JAX-RPC web services, the XPath expression is specified in the application deployment descriptor. In JAX-WS web services, the XPath expression is specified in the WS-Security policy of the policy set.

The JAX-WS programming model uses policy sets to indicate the message parts where security should be applied. For example, the `<Body>` assertion is used to indicate that the body of the SOAP message is signed or encrypted. Another example is the `<Header>` assertion, where the QName of the SOAP header to be signed or encrypted is specified.

## Signing or encrypting SOAP headers

The OASIS Web Services Security (WS-Security) Version 1.1 support provides for a standard way of encrypting and signing SOAP headers. To sign or encrypt SOAP messages, specify the QName to select header elements in the SOAP header of the SOAP message.

You can configure policy sets for signing or encrypting either by using the administrative console or by using Web Services Security APIs (WSS APIs). For more details, see the topic [Securing message parts using the administrative console](#).

For signing, specify the following:

**Name** This optional attribute indicates the local name of the SOAP header to be integrity protected. If this attribute is not specified, all SOAP headers whose namespace matches the `Namespace` attribute are to be protected.

**Namespace**

This required attribute indicates the namespace of the SOAP headers to be integrity protected.

For encrypting, specify the following:

**Name** This optional attribute indicates the local name of the SOAP header to be confidentiality protected. If this attribute is not specified, all SOAP headers whose namespace matches the Namespace attribute are to be protected.

**Namespace**

This required attribute indicates the namespace of the SOAP header(s) to be confidentiality protected.

This results in an <EncryptedHeader> element that contains the <EncryptedData> element.

For Web Services Security Version 1.0 behavior, specify the `com.ibm.wsspi.wssecurity.encryptedHeader.generate.WSS1.0` property with a value of `true` in `EncryptionInfo` in the bindings. Specifying this property results in an <EncryptedData> element.

For Web Services Security Version 1.1 behavior that is equivalent to WebSphere Application Server versions prior to version 7.0, specify the `com.ibm.wsspi.wssecurity.encryptedHeader.generate.WSS1.1.pre.V7` property with a value of `true` on the <encryptionInfo> element in the binding. When this property is specified, the <EncryptedHeader> element includes a `wsu:Id` parameter and the <EncryptedData> element omits the `Id` parameter. This property should only be used if compliance with Basic Security Profile 1.1 is not required and it is necessary to send <EncryptedHeader> elements to a client or server that uses the WebSphere Application Server Version 5.1 Feature Pack for Web Services.

**Supporting LTPA**

Lightweight Third Party Authentication (LTPA) is supported as a binary security token in Web Services Security. Web Services Security supports both LTPA (version 1) and LTPA version 2 tokens. The LTPA version 2 token, which is more secure than version 1, is supported in WebSphere Application Server version 7.0 and later.

**Extending the support for timestamps**

You can insert a timestamp in other elements during the signing process besides the Web Services Security header. This timestamp provides a mechanism for adding a time limit to an element. This support is an extension for WebSphere Application Server. Other vendor implementations might not have the ability to consume a message that is generated with an additional timestamp that is inserted in the message.

**Extending the support for nonce**

You can insert a nonce, which is a randomly generated value, in other elements beside the Username token. The nonce is used to reduce the chance of a replay attack. This support is an extension for WebSphere Application Server. Other vendor implementations might not have the ability to consume messages with a nonce that is inserted into elements other than a Username token.

**Supporting distributed nonce caching**

Distributed nonce caching is a new feature for web services in WebSphere Application Server Versions 6 and later that enables you to replicate nonce data between servers in a cluster. For example, you might have application server A and application server B in cluster C. If application server A accepts a nonce with a value of X, then application server B creates a `SoapSecurityException` if it receives the nonce with the same value within a specified period of time.

**Important:** The distributed nonce caching feature uses the WebSphere Application Server data replication service (DRS). The data in the local cache is pushed to the cache in other servers in the same replication domain. The replication is an out-of-process call and, in some cases, is a remote call. Therefore, there is a possible delay in replication while the content of the cache in each application server within the cluster is updated. The delay might be due to network traffic, network workload, machine workload, and so on. For adequate security protection, you must enable appropriate security for the DRS cache. See the topic Multi-broker replication domains for more information.

## Caching the X.509 certificate

WebSphere Application Server caches the X.509 certificates it receives, by default, to avoid certificate path validation and improve its performance. However, this change might lead to security exposure. You can disable X.509 certificate caching by using the following steps:

On the cell level:

- Click **Security > Web services**.
- Under Additional properties, click **Properties > New**.
- In the Property name field, type `com.ibm.ws.wssecurity.config.token.certificate.useCache`.
- In the Property value field, type `false`.

On the server level:

- Click **Servers > Application servers > server\_name**.
- Under Security, click **Web services: Default bindings for Web Services Security**.
- Under Additional properties, click **Properties > New**.
- In the Property name field, type `com.ibm.ws.wssecurity.config.token.certificate.useCache`.
- In the Property value field, type `false`.

## Providing support for a certificate revocation list

The certificate revocation list (CRL) in WebSphere Application Server is used to enhance certificate path validation. You can specify a CRL in the collection certificate store for validation. You can also encode a CRL in an X.509 token using PKCS#7 encoding. However, WebSphere Application Server Version 6 and later do not support X509PKIPathv1 CRL encoding in a X.509 token.

**Important:** The PKCS#7 encoding was tested with the IBM certificate path (IBM CertPath) provider only. The encoding is not supported for other certificate path providers.

## Supported functionality from OASIS specifications

The application server supports the Organization for the Advancement of Structured Information (OASIS) Web Services Security (WS-Security) specifications.

WebSphere Application Server supports these OASIS Web Services Security Version 1.0 specifications.

- OASIS: Web Services Security: SOAP Message Security 1.0 (WS-Security 2004)
- OASIS: Web Services Security: UsernameToken Profile 1.0
- OASIS: Web Services Security X.509 Certificate Token Profile 1.0

In WebSphere Application Server Version 6.1 Feature Pack for Web Services, and later, support for the OASIS standards has been updated to the latest versions of Web Services Security (WS-Security) specifications and tokens. Web Services Security Version 1.1 provides better security verification for signature, a standard way of encrypting SOAP headers, and meets the requirement from some of the inter-operability scenarios that use features from Web Services Security Version 1.1.

- OASIS: Web Services Security: SOAP Message Security 1.1 (WS-Security 2004) OASIS Standard Specification, 1 February 2006
- OASIS: Web Services Security UsernameToken Profile 1.1 (Standard Specification, 1 February 2006)
- OASIS: Web Services Security X.509 Certificate Token Profile 1.1 (Standard Specification, 1 February 2006)

The following standards are supported only in WebSphere Application Server Version 7.0 and later.

- WS-Security Kerberos Token Profile 1.1
- WS-SecureConversation Version 1.3
- WS-Trust Version 1.3
- WS-SecurityPolicy Version 1.2

WS-SecurityPolicy support is only available for Web Services Metadata Exchange (WS-MetadataExchange) scenarios where the assertions are embedded in the WSDL file. For more information, read the WS-MetadataExchange requests topic.

In 2007, the OASIS Web Services Secure Exchange Technical Committee (WS-SX) produced and approved the following specifications. Portions of these specifications are supported by WebSphere Application Server Version 7 and later.

- WS-SecureConversation
- WS-Trust
- WS-SecurityPolicy

### OASIS: Web Services Security SOAP Message Security 1.0 and 1.1

The following table shows the aspects of the OASIS: Web Services Security: SOAP Message Security 1.0 and 1.1 specifications that are supported in WebSphere Application Server Versions 6 and later.

*Table 87. Aspects of OASIS SOAP Message Security standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.*

Supported topic	Specific aspect that is supported
Security header	<ul style="list-style-type: none"> <li>• @S11:actor (for an intermediary)</li> <li>• @S11:mustUnderstand</li> <li>• @S12:mustUnderstand</li> <li>• @S12:role (S12 is the namespace prefix for http://www.w3.org/2003/05/soap-envelope when using SOAP Version 1.2)</li> </ul>
Security tokens	<ul style="list-style-type: none"> <li>• Username token (user name and password)</li> <li>• Binary security token (X.509 and Lightweight Third Party Authentication (LTPA))</li> <li>• Custom token               <ul style="list-style-type: none"> <li>– Other binary security token</li> <li>– XML token</li> </ul> <p><b>Note:</b> WebSphere Application Server does not provide an implementation, but you can use an XML token with plug-in point.</p> </li> </ul>
Token references	<ul style="list-style-type: none"> <li>• Direct reference</li> <li>• Key identifier</li> <li>• Key name</li> <li>• Embedded reference</li> </ul>
Signature	Signature confirmation

Table 87. Aspects of OASIS SOAP Message Security standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Signature algorithms	<ul style="list-style-type: none"> <li>• <b>Digest</b> <ul style="list-style-type: none"> <li><b>SHA1</b>     <a href="http://www.w3.org/2000/09/xmldsig#sha1">http://www.w3.org/2000/09/xmldsig#sha1</a></li> <li><b>SHA256</b>   <a href="http://www.w3.org/2001/04/xmenc#sha256">http://www.w3.org/2001/04/xmenc#sha256</a></li> <li><b>SHA512</b>   <a href="http://www.w3.org/2001/04/xmenc#sha512">http://www.w3.org/2001/04/xmenc#sha512</a></li> </ul> </li> <li>• <b>MAC</b> <ul style="list-style-type: none"> <li><b>HMAC-SHA1</b> <a href="http://www.w3.org/2000/09/xmldsig#hmac-sha1">http://www.w3.org/2000/09/xmldsig#hmac-sha1</a></li> </ul> </li> <li>• <b>Signature</b> <ul style="list-style-type: none"> <li><b>DSA with SHA1</b> <a href="http://www.w3.org/2000/09/xmldsig#dsa-sha1">http://www.w3.org/2000/09/xmldsig#dsa-sha1</a>  Do not use this algorithm if you want your configured application to be in compliance with the Basic Security Profile (BSP)</li> <li><b>RSA with SHA1</b> <a href="http://www.w3.org/2000/09/xmldsig#rsa-sha1">http://www.w3.org/2000/09/xmldsig#rsa-sha1</a></li> </ul> </li> <li>• <b>Canonicalization</b> <ul style="list-style-type: none"> <li><b>Canonical XML (with comments)</b> <a href="http://www.w3.org/TR/2001/REC-xml-c14n-20010315#WithComments">http://www.w3.org/TR/2001/REC-xml-c14n-20010315#WithComments</a></li> <li><b>Canonical XML (without comments)</b> <a href="http://www.w3.org/TR/2001/REC-xml-c14n-20010315">http://www.w3.org/TR/2001/REC-xml-c14n-20010315</a></li> <li><b>Exclusive XML canonicalization (with comments)</b> <a href="http://www.w3.org/2001/10/xml-exc-c14n#WithComments">http://www.w3.org/2001/10/xml-exc-c14n#WithComments</a></li> <li><b>Exclusive XML canonicalization (without comments)</b> <a href="http://www.w3.org/2001/10/xml-exc-c14n#">http://www.w3.org/2001/10/xml-exc-c14n#</a></li> </ul> </li> <li>• <b>Transform</b> <ul style="list-style-type: none"> <li><b>STR transform</b> <a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0#STR-Transform">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0#STR-Transform</a> <a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soapmessage-security-1.0#STR-Transform">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soapmessage-security-1.0#STR-Transform</a></li> <li><b>XPath</b>     <a href="http://www.w3.org/TR/1999/REC-xpath-19991116">http://www.w3.org/TR/1999/REC-xpath-19991116</a>  Do not use the original XPATH transform if you want your configured application to be in compliance with the Basic Security Profile (BSP). <b>Note:</b> When referring to an element in a SECURE_ENVELOPE that does not carry an attribute of type ID from a ds:Reference in a SIGNATURE, you must use the XPATH Filter 2.0 Transform, <a href="http://www.w3.org/2002/06/xmldsig-filter2">http://www.w3.org/2002/06/xmldsig-filter2</a></li> <li><b>Enveloped signature</b> <a href="http://www.w3.org/2000/09/xmldsig#enveloped-signature">http://www.w3.org/2000/09/xmldsig#enveloped-signature</a></li> <li><b>XPath Filter2</b> <a href="http://www.w3.org/2002/06/xmldsig-filter2">http://www.w3.org/2002/06/xmldsig-filter2</a> <b>Note:</b> When referring to an element in a SECURE_ENVELOPE that does not carry an ID attribute type from a ds:Reference in a SIGNATURE, you must use the XPATH Filter 2.0 Transform, <a href="http://www.w3.org/2002/06/xmldsig-filter2">http://www.w3.org/2002/06/xmldsig-filter2</a></li> <li><b>Decryption transform</b> <a href="http://www.w3.org/2002/07/decrypt#XML">http://www.w3.org/2002/07/decrypt#XML</a></li> </ul> </li> </ul>

Table 87. Aspects of OASIS SOAP Message Security standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Signature signed parts for JAX-RPC only	<ul style="list-style-type: none"> <li>• WebSphere Application Server key words:               <ul style="list-style-type: none"> <li>– body, which signs the SOAP message body</li> <li>– timestamp, which signs all of the time stamps</li> <li>– securitytoken, which signs all of the security tokens</li> <li>– dsigkey, which signs the signing key</li> <li>– enckey, which signs the encryption key</li> <li>– messageid, which signs the wsa:MessageID element in WS-Addressing.</li> <li>– to, which signs the wsa:To element in WS-Addressing</li> <li>– action, which signs the wsa:Action element in WS-Addressing</li> <li>– relatesto, which signs the wsa:RelatesTo element in WS-Addressing</li> </ul> </li> <li>• wsa is the namespace prefix of <a href="http://schemas.xmlsoap.org/ws/2004/08/addressing">http://schemas.xmlsoap.org/ws/2004/08/addressing</a></li> <li>– wscontext, which specifies the WS-Context header for the SOAP header.</li> <li>– wsafrom, which specifies the &lt;wsa:From&gt; WS-Addressing From element in the SOAP header.</li> <li>– wsareplyto, which specifies the &lt;wsa:ReplyTo&gt; WS-Addressing ReplyTo element in the SOAP header.</li> <li>– wsafaultto, which specifies the &lt;wsa:FaultTo&gt; WS-Addressing FaultTo element in the SOAP header.</li> <li>– wsaall, which specifies all of the WS-Addressing elements in the SOAP header.</li> <li>• XPath expression to select an XML element in a SOAP message. For more information, see <a href="http://www.w3.org/TR/1999/REC-xpath-19991116">http://www.w3.org/TR/1999/REC-xpath-19991116</a>.</li> </ul>
Signature message parts for JAX-WS only	<ul style="list-style-type: none"> <li>• Body (which signs the SOAP message body)</li> <li>• Header (which signs one or more SOAP headers within the main SOAP header)</li> <li>• XPath expression to select an XML element in a SOAP message.               <ul style="list-style-type: none"> <li>– For more information, see <a href="http://www.w3.org/TR/1999/REC-xpath-19991116">http://www.w3.org/TR/1999/REC-xpath-19991116</a>.</li> </ul> </li> </ul>
Encryption	EncryptedHeader element

Table 87. Aspects of OASIS SOAP Message Security standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Encryption algorithms	<p><b>Important:</b> Your country of origin might have restrictions on the import, possession, use, or re-export to another country, of encryption software. Before downloading or using the unrestricted policy files, you must check the laws of your country, its regulations, and its policies concerning the import, possession, use, and re-export of encryption software, to determine if it is permitted.</p> <ul style="list-style-type: none"> <li>• Data encryption <ul style="list-style-type: none"> <li>– Triple DES in CBC: <a href="http://www.w3.org/2001/04/xmlenc#tripleledes-cbc">http://www.w3.org/2001/04/xmlenc#tripleledes-cbc</a></li> <li>– AES128 in CBC: <a href="http://www.w3.org/2001/04/xmlenc#aes128-cbc">http://www.w3.org/2001/04/xmlenc#aes128-cbc</a></li> <li>– AES192 in CBC: <a href="http://www.w3.org/2001/04/xmlenc#aes192-cbc">http://www.w3.org/2001/04/xmlenc#aes192-cbc</a></li> </ul> <p>This algorithm requires the unrestricted JCE policy file. For more information, see the Key encryption algorithm description in the Encryption information configuration settings: Message parts.</p> <p>Do not use the 192-bit data encryption algorithm if you want your configured application to be in compliance with the Basic Security Profile (BSP).</p> <ul style="list-style-type: none"> <li>– AES256 in CBC: <a href="http://www.w3.org/2001/04/xmlenc#aes256-cbc">http://www.w3.org/2001/04/xmlenc#aes256-cbc</a></li> </ul> <p>This algorithm requires the unrestricted JCE policy file. For more information, see the Key encryption algorithm description in the Encryption information configuration settings: Message parts.</p> </li> <li>• Key encryption <ul style="list-style-type: none"> <li>– Key transport (public key cryptography) <ul style="list-style-type: none"> <li>- <a href="http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgf1p">http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgf1p</a>.</li> </ul> <p><b>Note:</b></p> <ul style="list-style-type: none"> <li>• When running with Software Development Kit (SDK) Version 1.4, the list of supported key transport algorithms does not include this one. This algorithm appears in the list of supported key transport algorithms when running with SDK Version 1.5.</li> <li>• Use of the Federal Information Processing Standard (FIPS)-compliant Java cryptography engine does not support this transport algorithm.</li> </ul> <ul style="list-style-type: none"> <li>- RSA Version 1.5: <a href="http://www.w3.org/2001/04/xmlenc#rsa-1_5">http://www.w3.org/2001/04/xmlenc#rsa-1_5</a></li> </ul> </li> <li>– Symmetric key wrap (private key cryptography) <ul style="list-style-type: none"> <li>- Triple DES key wrap: <a href="http://www.w3.org/2001/04/xmlenc#kw-tripleledes">http://www.w3.org/2001/04/xmlenc#kw-tripleledes</a></li> <li>- AES key wrap (aes128): <a href="http://www.w3.org/2001/04/xmlenc#kw-aes128">http://www.w3.org/2001/04/xmlenc#kw-aes128</a></li> <li>- AES key wrap (aes192): <a href="http://www.w3.org/2001/04/xmlenc#kw-aes192">http://www.w3.org/2001/04/xmlenc#kw-aes192</a></li> </ul> <p>This algorithm requires the unrestricted JCE policy file. For more information, see the Key encryption algorithm description in the Encryption information configuration settings: Message parts.</p> <p>Do not use the 192-bit data encryption algorithm if you want your configured application to be in compliance with the Basic Security Profile (BSP).</p> <ul style="list-style-type: none"> <li>- AES key wrap (aes256): <a href="http://www.w3.org/2001/04/xmlenc#kw-aes256">http://www.w3.org/2001/04/xmlenc#kw-aes256</a></li> </ul> <p>This algorithm requires the unrestricted JCE policy file. For more information, see the Key encryption algorithm description in the Encryption information configuration settings: Message parts.</p> </li> </ul> </li> <li>• Manifests-xenc is the namespace prefix of <a href="http://www.w3.org/TR/xmlenc-core">http://www.w3.org/TR/xmlenc-core</a> <ul style="list-style-type: none"> <li>– xenc:ReferenceList</li> <li>– xenc:EncryptedKey</li> </ul> </li> </ul> <p>Advanced Encryption Standard (AES) is designed to provide stronger and better performance for symmetric key encryption over Triple-DES (data encryption standard). Therefore, it is recommended that you use AES, if possible, for symmetric key encryption.</p>
Encryption message parts for JAX-RPC only	<ul style="list-style-type: none"> <li>• WebSphere Application Server keywords <ul style="list-style-type: none"> <li>– bodycontent, which is used to encrypt the SOAP body content</li> <li>– usernametoken, which is used to encrypt the username token</li> <li>– digestvalue, which is used to encrypt the digest value of the digital signature</li> <li>– signature, which is used to encrypt the entire digital signature</li> <li>– wscontextcontent, which encrypts the content in the WS-Context header for the SOAP header.</li> </ul> </li> <li>• XPath expression to select the XML element in the SOAP message <ul style="list-style-type: none"> <li>– XML elements</li> <li>– XML element contents</li> </ul> </li> </ul>



Table 87. Aspects of OASIS SOAP Message Security standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Encryption message parts for JAX-WS only	<ul style="list-style-type: none"> <li>• Body (which encrypts the SOAP message body content)</li> <li>• Header (which encrypts one or more SOAP headers within the main SOAP header, resulting in the EncryptedHeader element)</li> <li>• XPath expression to select an XML element in a SOAP message                             <ul style="list-style-type: none"> <li>– For more information, see <a href="http://www.w3.org/TR/1999/REC-xpath-19991116">http://www.w3.org/TR/1999/REC-xpath-19991116</a>.</li> </ul> </li> </ul>
Time stamp	<ul style="list-style-type: none"> <li>• Within Web Services Security header</li> <li>• WebSphere Application Server is extended to allow you to insert time stamps into other elements so that the age of those elements can be determined.</li> </ul>
Error handling	SOAP faults <ul style="list-style-type: none"> <li>• New failure SOAP fault with faultcode</li> <li>• The message has expired text has been added</li> </ul>

## OASIS: Web Services Security UsernameToken Profile 1.0

The following table shows the aspects of the OASIS: Web Services Security Username Token Profile 1.0 specification that is supported in WebSphere Application Server.

Table 88. Aspects of OASIS Username Token Profile V1.0 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Password types	Text
Token references	Direct reference

## OASIS: Web Services Security UsernameToken Profile 1.1

The following table shows the aspects of the OASIS: Web Services Security Username Token Profile 1.1 specification that is supported in WebSphere Application Server. Items that were previously supported for Web Services Security UsernameToken Profile 1.0 are not listed but are still supported, unless noted otherwise.

Table 89. Aspects of OASIS Username Token Profile V1.1 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Password types	Text
Token references	Direct reference

## OASIS: Web Services Security X.509 Certificate Token Profile 1.0

The following table shows the aspects of the OASIS: Web Services Security X.509 Certificate Token Profile specification that are supported in WebSphere Application Server Versions 6 and later.

Table 90. Aspects of OASIS X.509 Certificate Token V1.0 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Token types	<ul style="list-style-type: none"> <li>• X.509 Version 3: Single certificate <a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3</a></li> <li>• X.509 Version 3: X509PKIPathv1 without certificate revocation lists (CRL) <a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509PKIPathv1">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509PKIPathv1</a></li> <li>• X.509 Version 3: PKCS7 with or without CRLs. The IBM software development kit (SDK) supports both. The Sun Java SE Development Kit 6 (JDK 6) supports PKCS7 without CRL only.</li> </ul>

Table 90. Aspects of OASIS X.509 Certificate Token V1.0 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Token references	<ul style="list-style-type: none"> <li>• Key identifier – subject key identifier</li> <li>• Direct reference</li> <li>• Custom reference – issuer name and serial number</li> </ul>

## OASIS: Web Services Security X.509 Certificate Token Profile 1.1

The following table shows the aspects of the OASIS: Web Services Security X.509 Certificate Token Profile 1.1 specification that are supported in WebSphere Application Server. Items that were previously supported for Web Services Security X.509 Certificate Token Profile 1.0 are not listed but are still supported, unless noted otherwise.

Table 91. Aspects of OASIS X.509 Certificate Token V1.1 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Token types	X.509 Version 1: Single certificate
Token references	Key identifier – subject key identifier <ul style="list-style-type: none"> <li>• Can only reference an X.509v3 certificate</li> <li>• Can specify the thumbprint of the specified certificate by using the <code>http://docs.oasis-open.org/wss/oasis-wss-soap-message-security-1.1#ThumbprintSHA1</code> attribute of the <code>&lt;wsse:KeyIdentifier&gt;</code> element.</li> </ul>

## OASIS: Web Services Security Kerberos Token Profile 1.1

The following table shows the aspects of the OASIS: Web Services Security Kerberos Token Profile 1.1 specification that are supported in WebSphere Application Server.

Table 92. Aspects of OASIS Kerberos Token Profile standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Token types	<ul style="list-style-type: none"> <li>• GSS_API Kerberos v5 token               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ</code></li> </ul> </li> <li>• GSS_API Kerberos v5 token per RFC1510               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ1510</code></li> </ul> </li> <li>• GSS_API Kerberos v5 token per RFC4120               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ4120</code></li> </ul> </li> <li>• Kerberos v5 token               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasiswss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ</code></li> </ul> </li> <li>• Kerberos v5 token per RFC1510               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ1510</code></li> </ul> </li> <li>• Kerberos v5 token per RFC4120               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ412</code></li> </ul> </li> </ul>
Token references	<ul style="list-style-type: none"> <li>• Security token reference</li> <li>• Key identifier, which is used after the initial Kerberos v5 token is consumed</li> <li>• Derived key token based on the Kerberos key</li> </ul>

### OASIS: Web Services Security WS-Secure Conversation Draft and Version 1.3

The following table shows the aspects of the OASIS: WS-SecureConversation specification that are supported in WebSphere Application Server Version 6.1 Feature Pack for Web Services, and later. Support for Version 1.3 of the specification is provided in WebSphere Application Server Version 7.0 and later.

*Table 93. Aspects of OASIS SecureConversation standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.*

Supported topic	Specific aspect that is supported
Token types	<ul style="list-style-type: none"> <li>Security Context Token draft version: <a href="http://schemas.xmlsoap.org/ws/2005/02/sc/sct">http://schemas.xmlsoap.org/ws/2005/02/sc/sct</a></li> <li>Security Context Token Version 1.3: <a href="http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct">http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct</a></li> </ul>
Token references	Direct reference
Security context establishment	Security context token created by a security token service that is embedded in the WebSphere Application Server.
Renewing context	Automatic renewal of the token when its about to expire.
Cancelling context	Explicit cancel request support.
Derived keys	<p>The following information is used to derive the keys using a shared secret from a security context:</p> <ul style="list-style-type: none"> <li>/wsc:DerivedKeyToken/wsse:SecurityTokenReference</li> <li>/wsc:DerivedKeyToken/wsc:Label</li> <li>/wsc:DerivedKeyToken/wsc:Nonce</li> <li>/wsc:DerivedKeyToken/wsc:Length</li> </ul>
Error handling	<p>SOAP faults, including:</p> <ul style="list-style-type: none"> <li>wsc:BadContextToken</li> <li>wsc:UnsupportedContextToken</li> <li>wsc:RenewNeeded</li> <li>wsc:UnableToRenew</li> </ul>

### OASIS: Web Services Security WS-Trust Version 1.0 Draft and Version 1.3

The following tables show the aspects of the OASIS: Web Services Security: WS-Trust Version 1.0 Draft and Version 1.3 specifications that are supported in WebSphere Application Server Version 6.1 Feature Pack for Web Services, and later.

*Table 94. Aspects of OASIS Trust V1.0 and V1.3 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.*

Supported topic	Specific aspect that is supported
Namespace	<a href="http://schemas.xmlsoap.org/ws/2005/02/trust">http://schemas.xmlsoap.org/ws/2005/02/trust</a>
Request header	<p>/wsa:Action</p> <p>Valid options include:</p> <ul style="list-style-type: none"> <li><a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Issue">http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Issue</a></li> <li><a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Renew">http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Renew</a></li> <li><a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Cancel">http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Cancel</a></li> <li><a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Validate">http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Validate</a></li> </ul>

Table 94. Aspects of OASIS Trust V1.0 and V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Request elements and attributes	<p data-bbox="394 279 618 300">/wst:RequestSecurityToken</p> <p data-bbox="394 321 708 342">/wst:RequestSecurityToken/@Context</p> <p data-bbox="394 363 764 384">/wst:RequestSecurityToken/wst:RequestType</p> <ul style="list-style-type: none"> <li data-bbox="394 405 591 426">• Valid options include:</li> <li data-bbox="410 436 857 457">– <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/Issue">http://schemas.xmlsoap.org/ws/2005/02/trust/Issue</a></li> <li data-bbox="410 468 870 489">– <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/Renew">http://schemas.xmlsoap.org/ws/2005/02/trust/Renew</a></li> <li data-bbox="410 499 870 520">– <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/Cancel">http://schemas.xmlsoap.org/ws/2005/02/trust/Cancel</a></li> <li data-bbox="410 531 878 552">– <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/Validate">http://schemas.xmlsoap.org/ws/2005/02/trust/Validate</a></li> </ul> <p data-bbox="394 573 745 594">/wst:RequestSecurityToken/wst:TokenType</p> <ul style="list-style-type: none"> <li data-bbox="394 615 591 636">• Valid options include:</li> <li data-bbox="410 646 849 667">– for <a href="http://schemas.xmlsoap.org/ws/2005/02/sc/sct">http://schemas.xmlsoap.org/ws/2005/02/sc/sct</a> <ul style="list-style-type: none"> <li data-bbox="443 678 808 699">- /wst:RequestSecurityToken/wsp:AppliesTo</li> <li data-bbox="443 709 789 730">- /wst:RequestSecurityToken/wst:Entropy</li> <li data-bbox="443 741 938 762">- /wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret</li> <li data-bbox="443 772 995 793">- /wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret/@Type</li> </ul> </li> <li data-bbox="410 804 894 825">– for <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/Nonce">http://schemas.xmlsoap.org/ws/2005/02/trust/Nonce</a> <ul style="list-style-type: none"> <li data-bbox="443 835 789 856">- /wst:RequestSecurityToken/wst:Lifetime</li> <li data-bbox="443 867 898 888">- /wst:RequestSecurityToken/wst:Lifetime/wsu:Created</li> <li data-bbox="443 898 894 919">- /wst:RequestSecurityToken/wst:Lifetime/wsu:Expires</li> <li data-bbox="443 930 792 951">- /wst:RequestSecurityToken/wst:KeySize</li> <li data-bbox="443 961 797 982">- /wst:RequestSecurityToken/wst:KeyType</li> </ul> </li> <li data-bbox="410 993 959 1014">– for <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/SymmetricKey">http://schemas.xmlsoap.org/ws/2005/02/trust/SymmetricKey</a> <ul style="list-style-type: none"> <li data-bbox="443 1024 833 1045">- /wst:RequestSecurityToken/wst:RenewTarget</li> <li data-bbox="443 1056 805 1077">- /wst:RequestSecurityToken/wst:Renewing</li> <li data-bbox="443 1087 873 1108">- /wst:RequestSecurityToken/wst:Renewing/@Allow</li> <li data-bbox="443 1119 857 1140">- /wst:RequestSecurityToken/wst:Renewing/@OK</li> <li data-bbox="443 1150 833 1171">- /wst:RequestSecurityToken/wst:CancelTarget</li> <li data-bbox="443 1182 841 1203">- /wst:RequestSecurityToken/wst:ValidateTarget</li> <li data-bbox="443 1213 773 1234">- /wst:RequestSecurityToken/wst:Issuer</li> </ul> </li> </ul>
Response header	<p data-bbox="394 1245 488 1266">/wsa:Action</p> <p data-bbox="394 1287 570 1308">Valid options include:</p> <ul style="list-style-type: none"> <li data-bbox="394 1318 886 1339">• <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Issue">http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Issue</a></li> <li data-bbox="394 1350 899 1371">• <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Renew">http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Renew</a></li> <li data-bbox="394 1381 899 1402">• <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Cancel">http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Cancel</a></li> <li data-bbox="394 1413 907 1434">• <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Validate">http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Validate</a></li> </ul>

Table 94. Aspects of OASIS Trust V1.0 and V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Response elements and attributes	<ul style="list-style-type: none"> <li>/wst:RequestSecurityTokenResponse</li> <li>/wst:RequestSecurityTokenResponse/@Context</li> <li>/wst:RequestSecurityTokenResponse/wst:TokenType</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedSecurityToken</li> <li>/wst:RequestSecurityTokenResponse/wsp:AppliesTo</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedSecurityToken</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedAttachedReference</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedUnattachedReference</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedProofToken</li> <li>/wst:RequestSecurityTokenResponse/wst:Entropy</li> <li>/wst:RequestSecurityTokenResponse/wst:Entropy/wst:BinarySecret</li> <li>/wst:RequestSecurityTokenResponse/wst:Entropy/wst:BinarySecret/@Type</li> <li>/wst:RequestSecurityTokenResponse/wst:Lifetime</li> <li>/wst:RequestSecurityTokenResponse/wst:Lifetime/wsu:Created</li> <li>/wst:RequestSecurityTokenResponse/wst:Lifetime/wsu:Expires</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedProofToken/wst:ComputedKey</li> <li>/wst:RequestSecurityTokenResponse/wst:KeySize</li> <li>/wst:RequestSecurityTokenResponse/wst:Renewing</li> <li>/wst:RequestSecurityTokenResponse/wst:Renewing/@Allow</li> <li>/wst:RequestSecurityTokenResponse/wst:Renewing/@OK</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedTokenCancelled</li> <li>/wst:RequestSecurityTokenResponse/wst:Status</li> <li>/wst:RequestSecurityTokenResponse/wst:Status /wst:RequestSecurityTokenResponse/wst:Status/wst:Code <ul style="list-style-type: none"> <li>• Valid responses include: <ul style="list-style-type: none"> <li>– <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/status/valid">http://schemas.xmlsoap.org/ws/2005/02/trust/status/valid</a></li> <li>– <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/status/invalid">http://schemas.xmlsoap.org/ws/2005/02/trust/status/invalid</a></li> </ul> </li> </ul> </li> <li>/wst:RequestSecurityTokenResponse/wst:Status/wst:Reason</li> </ul>

Table 94. Aspects of OASIS Trust V1.0 and V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Error handling	wst:InvalidRequest wst:FailedAuthentication wst:RequestFailed wst:InvalidSecurityToken wst:AuthenticationBadElements wst:BadRequest wst:ExpiredData wst:InvalidTimeRange wst:InvalidScope wst:RenewNeeded wst:UnableToRenew

Table 95. Aspects of OASIS Trust V1.3 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Namespace	<a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512">http://docs.oasis-open.org/ws-sx/ws-trust/200512</a>
Request header	/wsa:Action Valid options include: <ul style="list-style-type: none"> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Issue">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Issue</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Renew">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Renew</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Cancel">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Cancel</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Validate">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Validate</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchIssue">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchIssue</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchCancel">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchCancel</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchRenew">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchRenew</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchValidate">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchValidate</a></li> </ul>

Table 95. Aspects of OASIS Trust V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Request elements and attributes	<p data-bbox="422 277 649 298">/wst:RequestSecurityToken</p> <p data-bbox="422 323 737 344">/wst:RequestSecurityToken/@Context</p> <p data-bbox="422 369 795 390">/wst:RequestSecurityToken/wst:RequestType</p> <ul style="list-style-type: none"> <li data-bbox="422 401 621 422">• Valid options include: <ul style="list-style-type: none"> <li data-bbox="444 432 924 453">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Issue">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Issue</a></li> <li data-bbox="444 464 935 485">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Renew">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Renew</a></li> <li data-bbox="444 495 935 516">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Cancel">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Cancel</a></li> <li data-bbox="444 527 943 548">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Validate">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Validate</a></li> <li data-bbox="444 558 971 579">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchIssue">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchIssue</a></li> <li data-bbox="444 590 982 611">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchRenew">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchRenew</a></li> <li data-bbox="444 621 982 642">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchCancel">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchCancel</a></li> <li data-bbox="444 653 993 674">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchValidate">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchValidate</a></li> </ul> </li> </ul> <p data-bbox="422 701 776 722">/wst:RequestSecurityToken/wst:TokenType</p> <ul style="list-style-type: none"> <li data-bbox="422 732 621 753">• Valid options include: <ul style="list-style-type: none"> <li data-bbox="444 764 1057 785">– for <a href="http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct">http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct</a> <ul style="list-style-type: none"> <li data-bbox="467 795 841 816">– /wst:RequestSecurityToken/wsp:AppliesTo</li> <li data-bbox="467 827 818 848">– /wst:RequestSecurityToken/wst:Entropy</li> <li data-bbox="467 858 971 879">– /wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret</li> <li data-bbox="467 890 1026 911">– /wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret/@Type</li> </ul> </li> <li data-bbox="444 921 959 942">– for <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Nonce">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Nonce</a> <ul style="list-style-type: none"> <li data-bbox="467 953 818 974">– /wst:RequestSecurityToken/wst:Lifetime</li> <li data-bbox="467 984 927 1005">– /wst:RequestSecurityToken/wst:Lifetime/wsu:Created</li> <li data-bbox="467 1016 922 1037">– /wst:RequestSecurityToken/wst:Lifetime/wsu:Expires</li> <li data-bbox="467 1047 823 1068">– /wst:RequestSecurityToken/wst:KeySize</li> <li data-bbox="467 1079 826 1100">– /wst:RequestSecurityToken/wst:KeyType</li> </ul> </li> <li data-bbox="444 1110 1026 1131">– for <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/SymmetricKey">http://docs.oasis-open.org/ws-sx/ws-trust/200512/SymmetricKey</a> <ul style="list-style-type: none"> <li data-bbox="467 1142 863 1163">– /wst:RequestSecurityToken/wst:RenewTarget</li> <li data-bbox="467 1173 837 1194">– /wst:RequestSecurityToken/wst:Renewing</li> <li data-bbox="467 1205 906 1226">– /wst:RequestSecurityToken/wst:Renewing/@Allow</li> <li data-bbox="467 1236 889 1257">– /wst:RequestSecurityToken/wst:Renewing/@OK</li> <li data-bbox="467 1268 863 1289">– /wst:RequestSecurityToken/wst:CancelTarget</li> <li data-bbox="467 1299 872 1320">– /wst:RequestSecurityToken/wst:ValidateTarget</li> <li data-bbox="467 1331 805 1352">– /wst:RequestSecurityToken/wst:Issuer</li> </ul> </li> </ul> </li> </ul>
Response header	<p data-bbox="422 1369 521 1390">/wsa:Action</p> <p data-bbox="422 1415 602 1436">Valid options include:</p> <ul style="list-style-type: none"> <li data-bbox="422 1446 1008 1467">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/RenewFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/RenewFinal</a></li> <li data-bbox="422 1478 1008 1499">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/CancelFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/CancelFinal</a></li> <li data-bbox="422 1509 1016 1530">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/ValidateFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/ValidateFinal</a></li> <li data-bbox="422 1541 1008 1562">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/IssueFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/IssueFinal</a></li> <li data-bbox="422 1572 1021 1593">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/CancelFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/CancelFinal</a></li> <li data-bbox="422 1604 1021 1625">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/RenewFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/RenewFinal</a></li> <li data-bbox="422 1635 1029 1656">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/ValidateFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/ValidateFinal</a></li> </ul>

Table 95. Aspects of OASIS Trust V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Response elements and attributes	<ul style="list-style-type: none"> <li data-bbox="391 275 699 296">/wst:RequestSecurityTokenResponse</li> <li data-bbox="391 321 789 342">/wst:RequestSecurityTokenResponse/@Context</li> <li data-bbox="391 367 829 388">/wst:RequestSecurityTokenResponse/wst:TokenType</li> <li data-bbox="391 413 943 434">/wst:RequestSecurityTokenResponse/wst:RequestedSecurityToken</li> <li data-bbox="391 459 824 480">/wst:RequestSecurityTokenResponse/wsp:AppliesTo</li> <li data-bbox="391 506 943 527">/wst:RequestSecurityTokenResponse/wst:RequestedSecurityToken</li> <li data-bbox="391 552 987 573">/wst:RequestSecurityTokenResponse/wst:RequestedAttachedReference</li> <li data-bbox="391 598 1008 619">/wst:RequestSecurityTokenResponse/wst:RequestedUnattachedReference</li> <li data-bbox="391 644 922 665">/wst:RequestSecurityTokenResponse/wst:RequestedProofToken</li> <li data-bbox="391 690 802 711">/wst:RequestSecurityTokenResponse/wst:Entropy</li> <li data-bbox="391 737 946 758">/wst:RequestSecurityTokenResponse/wst:Entropy/wst:BinarySecret</li> <li data-bbox="391 783 1008 804">/wst:RequestSecurityTokenResponse/wst:Entropy/wst:BinarySecret/@Type</li> <li data-bbox="391 829 802 850">/wst:RequestSecurityTokenResponse/wst:Lifetime</li> <li data-bbox="391 875 911 896">/wst:RequestSecurityTokenResponse/wst:Lifetime/wsu:Created</li> <li data-bbox="391 921 906 942">/wst:RequestSecurityTokenResponse/wst:Lifetime/wsu:Expires</li> <li data-bbox="391 968 1073 989">/wst:RequestSecurityTokenResponse/wst:RequestedProofToken/wst:ComputedKey</li> <li data-bbox="391 1014 805 1035">/wst:RequestSecurityTokenResponse/wst:KeySize</li> <li data-bbox="391 1060 821 1081">/wst:RequestSecurityTokenResponse/wst:Renewing</li> <li data-bbox="391 1106 889 1127">/wst:RequestSecurityTokenResponse/wst:Renewing/@Allow</li> <li data-bbox="391 1152 870 1173">/wst:RequestSecurityTokenResponse/wst:Renewing/@OK</li> <li data-bbox="391 1199 959 1220">/wst:RequestSecurityTokenResponse/wst:RequestedTokenCancelled</li> <li data-bbox="391 1245 792 1266">/wst:RequestSecurityTokenResponse/wst:Status</li> <li data-bbox="391 1291 873 1312">/wst:RequestSecurityTokenResponse/wst:Status/wst:Code</li> <li data-bbox="391 1323 954 1409"> <ul style="list-style-type: none"> <li data-bbox="391 1323 618 1344">• Valid responses include:</li> <li data-bbox="412 1354 943 1375">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/status/valid">http://docs.oasis-open.org/ws-sx/ws-trust/200512/status/valid</a></li> <li data-bbox="412 1386 954 1407">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/status/invalid">http://docs.oasis-open.org/ws-sx/ws-trust/200512/status/invalid</a></li> </ul> </li> <li data-bbox="391 1434 889 1455">/wst:RequestSecurityTokenResponse/wst:Status/wst:Reason</li> </ul>



Table 95. Aspects of OASIS Trust V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Error handling	wst:InvalidRequest
	wst:FailedAuthentication
	wst:RequestFailed
	wst:InvalidSecurityToken
	wst:AuthenticationBadElements
	wst:BadRequest
	wst:ExpiredData
	wst:InvalidTimeRange
	wst:InvalidScope
	wst:RenewNeeded
	wst:UnableToRenew

## Functionality that is not supported by WebSphere Application Server

The following list shows the functionality that is supported in the OASIS specifications, OASIS drafts, and other recommendations but is not supported by WebSphere Application Server Version 6 and later:

- Web Services Security SOAP Messages with Attachments (SwA) profile 1.0
  - Note:** When using the JAX-WS programming model, securing the SOAP Message Transmission Optimization Mechanism (MTOM) attachment is supported. See the topic Enabling MTOM for JAX-WS web services for more information.
- XrML token profile
- XML enveloping digital signature
- XML enveloping digital encryption
- The following WS-SecureConversation functionality is not supported by WebSphere Application Server:
  - Two methods for establishing security context are not supported: 1) security context token created by one of the communicating parties and propagated with a message; and 2) security context token created through negotiation or exchanges.
  - SCT propagation
  - Amending security contexts
- The following transform algorithms for digital signatures are not supported:
  - XSLT: <http://www.w3.org/TR/1999/REC-xslt-19991116>
  - SOAP Message Normalization
    - See SOAP Version 1.2 Message Normalization for information, such as an empty header or header entry with `mustUnderstand=false` is removed, and so forth.
  - Decryption transform
- The following key agreement algorithm for encryption is not supported:
  - Diffie-Hellman: <http://www.w3.org/TR/2002/REC-xmlenc-core-20021210/Overview.html#sec-DHKeyValue>
- The following canonicalization algorithm for encryption, which is optional in the XML encryption specification, is not supported:
  - Canonical XML with or without comments
  - Exclusive XML Canonicalization with or without comments

- DSA digital signature is not supported.
- Pre-agreed symmetric key data encryption is not supported.
- Auditing for nonrepudiation for digital signatures is not supported.
- In both versions of the Username Token Profile specification, the digest password type is not supported.
- In the Username Token Version 1.1 Profile specification, the key derivation based on a password is not supported.

### Unsupported function for WS-Trust Version 1.0 Draft and Version 1.3

The following tables show the aspects of the OASIS: Web Services Security: WS-Trust Version 1.0 Draft and Version 1.3 specifications that are **not** supported in WebSphere Application Server Version 6.1 Feature Pack for Web Services, and later.

*Table 96. Aspects of OASIS Trust V1.0 and V1.3 standard that are unsupported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are not supported.*

Unsupported topic	Specific aspect that is not supported
Elements and attributes	/wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret/@Type  Unsupported request options: <ul style="list-style-type: none"> <li>• for <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/AsymmetricKey">http://schemas.xmlsoap.org/ws/2005/02/trust/AsymmetricKey</a> and <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/SymmetricKey">http://schemas.xmlsoap.org/ws/2005/02/trust/SymmetricKey</a> <ul style="list-style-type: none"> <li>– /wst:RequestSecurityToken/wst:Claims</li> <li>– /wst:RequestSecurityToken/wst:AllowPostdating</li> <li>– /wst:RequestSecurityToken/wst:OnBehalfOf</li> <li>– /wst:RequestSecurityToken/wst:AuthenticationType</li> <li>– /wst:RequestSecurityToken/wst:KeyType</li> </ul> </li> <li>• for <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/PublicKey">http://schemas.xmlsoap.org/ws/2005/02/trust/PublicKey</a> <ul style="list-style-type: none"> <li>– /wst:RequestSecurityToken/wst:SignatureAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:EncryptionAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:CanonicalizationAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:ComputedKeyAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:Encryption</li> <li>– /wst:RequestSecurityToken/wst:ProofEncryption</li> <li>– /wst:RequestSecurityToken/wst:UseKey</li> <li>– /wst:RequestSecurityToken/wst:UseKey/@Sig</li> <li>– /wst:RequestSecurityToken/wst:SignWith</li> <li>– /wst:RequestSecurityToken/wst:EncryptWith</li> <li>– /wst:RequestSecurityToken/wst:DelegateTo</li> <li>– /wst:RequestSecurityToken/wst:Forwardable</li> <li>– /wst:RequestSecurityToken/wst:Delegatable</li> <li>– /wst:RequestSecurityToken/wsp:Policy</li> <li>– /wst:RequestSecurityToken/wsp:PolicyReference</li> </ul> </li> </ul>
Response elements and attributes	/wst:RequestSecurityTokenResponseCollection  /wst:RequestSecurityTokenResponseCollection/wst:RequestSecurityTokenResponse

Table 97. Aspects of OASIS Trust V1.3 standard that are unsupported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are not supported.

Unsupported topic	Specific aspect that is not supported
Elements and attributes	<p>/wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret/@ Type</p> <p>Unsupported request options:</p> <ul style="list-style-type: none"> <li>• for <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/AsymmetricKey">http://docs.oasis-open.org/ws-sx/ws-trust/200512/AsymmetricKey</a> and <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/SymmetricKey">http://docs.oasis-open.org/ws-sx/ws-trust/200512/SymmetricKey</a> <ul style="list-style-type: none"> <li>– /wst:RequestSecurityToken/wst:Claims</li> <li>– /wst:RequestSecurityToken/wst:AllowPostdating</li> <li>– /wst:RequestSecurityToken/wst:OnBehalfOf</li> <li>– /wst:RequestSecurityToken/wst:AuthenticationType</li> <li>– /wst:RequestSecurityToken/wst:KeyType</li> </ul> </li> <li>• for <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/PublicKey">http://docs.oasis-open.org/ws-sx/ws-trust/200512/PublicKey</a> and <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Bearer">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Bearer</a> <ul style="list-style-type: none"> <li>– /wst:RequestSecurityToken/wst:SignatureAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:EncryptionAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:CanonicalizationAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:ComputedKeyAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:Encryption</li> <li>– /wst:RequestSecurityToken/wst:ProofEncryption</li> <li>– /wst:RequestSecurityToken/wst:UseKey</li> <li>– /wst:RequestSecurityToken/wst:UseKey/@ Sig</li> <li>– /wst:RequestSecurityToken/wst:SignWith</li> <li>– /wst:RequestSecurityToken/wst:EncryptWith</li> <li>– /wst:RequestSecurityToken/wst:DelegateTo</li> <li>– /wst:RequestSecurityToken/wst:Forwardable</li> <li>– /wst:RequestSecurityToken/wst:Delegatable</li> <li>– /wst:RequestSecurityToken/wsp:Policy</li> <li>– /wst:RequestSecurityToken/wsp:PolicyReference</li> </ul> </li> </ul>
Response header	<p>/wsa:Action</p> <p>Unsupported Responses:</p> <ul style="list-style-type: none"> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Issue">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Issue</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Renew">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Renew</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Cancel">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Cancel</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Validate">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Validate</a></li> </ul>

## Web Services Security specification - a chronology

The development of the Web Services Security specification includes information on the Organization for the Advancement of Structured Information Standards (OASIS) Web Services Security specification. The OASIS Web Services Security specification serves as a basis for securing web services in WebSphere Application Server.

**best-practices:** IBM WebSphere Application Server supports the Java API for XML-Based Web Services (JAX-WS) programming model and the Java API for XML-based RPC (JAX-RPC) programming model. JAX-WS is the next generation web services programming model extending the foundation provided by the JAX-RPC programming model. Using the strategic JAX-WS programming model, development of web services and clients is simplified through support of a standards-based annotations model. Although the JAX-RPC programming model and applications are still supported, take advantage of the easy-to-implement JAX-WS programming model to develop new web services applications and clients.

Advantages of using the JAX-WS programming model in WebSphere Application Server include:

- The configuration of qualities of service (QoS) is simplified when using policy sets. Policy sets combine configuration settings, including those for transport and message-level configuration. Policy sets and general bindings can be reused across multiple applications, making web services QoS more consumable.
- WS-Security for JAX-WS is supported in both a managed environment, such as a Java EE container, and unmanaged environments, such as Java Platform, Standard Edition (Java SE 6). In addition, there is an API for enabling WS-Security in the JAX-WS client.

## Non-OASIS activities

Web services is gaining rapid acceptance as a viable technology for interoperability and integration. However, securing web services is one of the paramount quality of services that makes the adoption of web services a viable industry and commercial solution for businesses. IBM and Microsoft jointly published a security white paper on web services entitled Security in a Web Services World: A Proposed Architecture and Roadmap. The white paper discusses the following initial and subsequent specifications in the proposed Web Services Security roadmap:

### Web service security

This specification defines how to attach a digital signature, use encryption, and use security tokens in SOAP messages.

### WS-Policy

This specification defines the language that is used to describe security constraints and the policy of intermediaries or endpoints.

### WS-Trust

This specification defines a framework for trust models to establish trust between web services.

### WS-Privacy

This specification defines a model of how to express a privacy policy for a web service and a requester.

### WS-SecureConversation

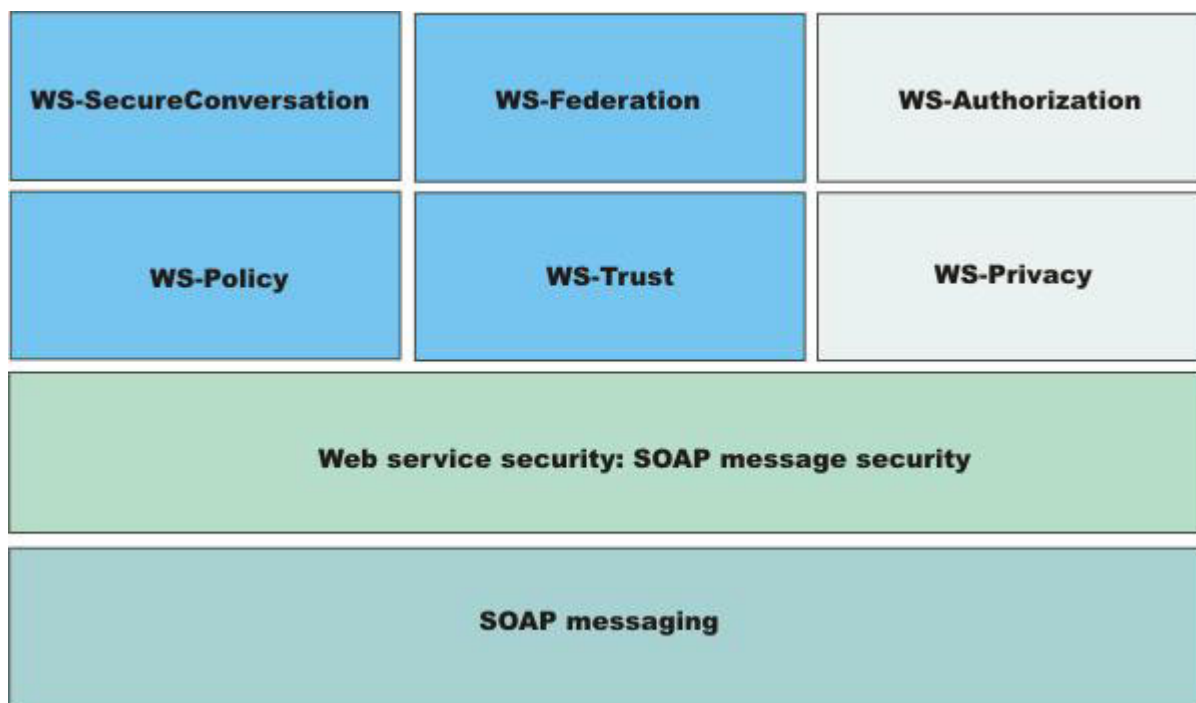
This specification defines how to exchange and establish a secured context, which derives session keys between web services.

### WS-Authorization

This specification defines the authorization policy for a Web service. However, the WS-Authorization specification has not been published. The existing implementation of Web Services Security is based upon the Web Services for Java Platform, Enterprise Edition (Java EE) or Java Specification Requirements (JSR) 109 specification. The implementation of Web Services Security leverages the Java EE role-based authorization checks. For conceptual information, read about role-based authorization. If you develop a web service that requires method-level authorization checks, then you must use stateless session beans to implement your web service. For more information, read about securing enterprise bean applications.

If you develop a web service that is implemented as a servlet, you can use coarse-grained or URL-based authorization in the web container. However, in this situation, you cannot use the identity from Web Services Security for authorization checks. Instead, you can use the identity from the transport. If you use SOAP over HTTP, then the identity is in the HTTP transport.

This following figure shows the relationship between these specifications:



In April 2002, IBM, Microsoft, and VeriSign proposed the Web Services Security (WS-Security) specification on their websites as depicted by the green box in the previous figure. This specification included the basic ideas of a security token, XML digital signature, and XML encryption. The specification also defined the format for user name tokens and encoded binary security tokens. After some discussion and an interoperability test based on the specification, the following issues were noted:

- The specification requires that the Web Services Security processors understand the schema correctly so that the processor distinguishes between the ID attribute for XML digital signature and XML encryption.
- The freshness of the message, which indicates whether the message complies with predefined time constraints, cannot be determined.
- Digested password strings do not strengthen security.

In August 2002, IBM, Microsoft, and VeriSign published the *Web Services Security Addendum*, which attempted to address the previously listed issues. The following solutions were addressed in the addendum:

- Require a global ID attribute for XML signature and XML encryption.
- Use time stamp header elements that indicate the time of the creation, receipt, or expiration of the message.
- Use password strings that are digested with a time stamp and nonce, which is a randomly generated token.

The specifications for the blue boxes in the previous figure have been proposed by various industry vendors and various interoperability events have been organized by the vendors to verify and refine the proposed specifications.

### **OASIS activities**

In June 2002, OASIS received a proposed Web Services Security specification from IBM, Microsoft, and VeriSign. The Web Services Security Technical Committee (WSS TC) was organized at OASIS soon after the submission. The technical committee included many companies including IBM, Microsoft, VeriSign, Sun Microsystems, and BEA Systems.

In September 2002, WSS TC published its first specification, Web Services Security Core Specification, Working Draft 01. This specification included the contents of both the original Web Services Security specification and its addendum.

The coverage of the technical committee became larger as the discussion proceeded. Because the Web Services Security Core Specification allows arbitrary types of security tokens, proposals were published as profiles. The profiles described the method for embedding tokens, including Security Assertion Markup Language (SAML) tokens and Kerberos tokens embedded into the Web Services Security messages. Subsequently, the definitions of the usage for user name tokens and X.509 binary security tokens, which were defined in the original Web Services Security Specification, were divided into the profiles.

WebSphere Application Server Versions 5.0.2, 5.1, and 5.1.1 support the following specifications:

- Web Services Security: SOAP Message Security Draft 13 (formerly Web Services Security Core Specification)
- Web Services Security: Username Token Profile Draft 2

In April 2004, the Web Service Security specification (officially called Web Services Security: SOAP Message Security Version 1.0) became the Version 1.0 OASIS standard. Also, the Username token and X.509 token profiles are Version 1.0 specifications. WebSphere Application Server 6 and later support the following Web Services Security specifications from OASIS:

- Web Services Security: SOAP Message Security 1.0 specification
- Web Services Security: Username Token 1.0 Profile
- Web Services Security: X.509 Token 1.0 Profile

In February 2006, the core Web Service Security specification was updated and became the Version 1.1 OASIS standard. Also, the Username token, X.509 token profile, and Kerberos token profile were updated to the Version 1.1 specifications. Portions of the following Web Services Security specifications from OASIS are supported in WebSphere Application Server, specifically signature confirmation, encrypted header, and thumbprint references:

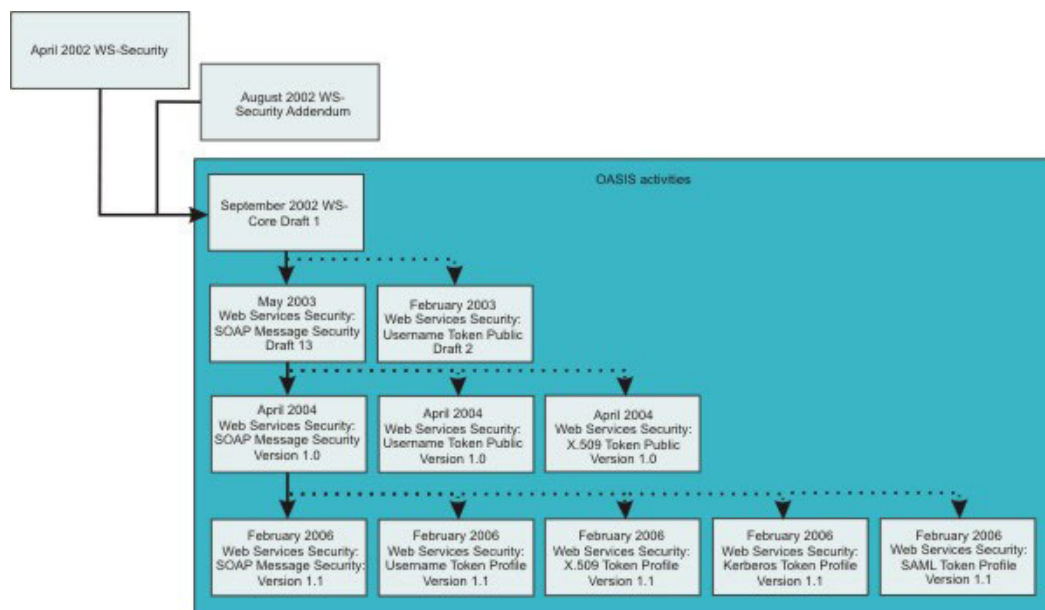
- OASIS: Web Services Security: SOAP Message Security 1.1 (WS-Security 2004) OASIS Standard Specification, 1 February 2006
- OASIS: Web Services Security UsernameToken Profile 1.1 OASIS Standard Specification, 1 February 2006
- OASIS: Web Services Security X.509 Certificate Token Profile 1.1 OASIS Standard Specification, 1 February 2006

The following specification describes the use of Kerberos tokens with respect to the Web Services Security message security specifications. The specification defines how to use a Kerberos token to support authentication and message protection: OASIS: Web Services Security Kerberos Token Profile 1.1 OASIS Standard Specification, 1 February 2006.

In 2007, the OASIS Web Services Secure Exchange Technical Committee (WS-SX) produced and approved the following specifications. Portions of these specifications are supported by WebSphere Application Server Version 7 and later.

- WS-SecureConversation
- WS-Trust
- WS-SecurityPolicy

The following figure shows the various Web Services Security-related specifications.



WebSphere Application Server also provides plug-in capability to enable security providers to extend the runtime capability and implement some of the higher level specifications in the Web Service Security stack. The plug-in points are exposed as Service Provider Programming Interfaces (SPI). For more information on these SPIs, see “Default implementations of the Web Services Security service provider programming interfaces” on page 911.

### Web Services Security specification 1.0 development

The OASIS Web Services Security specification is based upon the following World Wide Web Consortium (W3C) specifications. Most of the W3C specifications are in the standard body recommended status.

- XML-Signature Syntax and Processing  
W3C recommendation, February 2002 (Also, IETF RFC 3275, March 2002)
- Canonical XML Version 1.0  
W3C recommendation, March 2001
- Exclusive XML Canonicalization Version 1.0  
W3C recommendation, July 2002
- XML-Signature XPath Filter Version 2.0  
W3C Recommendation, November 2002
- XML Encryption Syntax and Processing  
W3C Recommendation, December 2002
- Decryption Transform for XML Signature  
W3C Recommendation, December 2002

These specifications are supported in WebSphere Application Server in the context of Web Services Security. For example, you can sign a SOAP message by specifying the integrity option in the deployment descriptors. There is a client side application programming interface (API) that an application can use to enable Web Services Security for securing a SOAP message.

The OASIS Web Services Security Version 1.0 specification defines the enhancements that are used to provide message integrity and confidentiality. It also provides a general framework for associating the security tokens with a SOAP message. The specification is designed to be extensible to support multiple security token formats. The particular security token usage is addressed with the security token profile.

## Specification and profile support in WebSphere Application Server

OASIS is working on various profiles. For more information, see Organization for the Advancement of Structured Information Standards Committees.

The following list includes of the published draft profiles and OASIS Web Services Security technical committee work in progress.

WebSphere Application Server does not support these profiles:

- Web Services Security: SAML token profile 1.0
- Web Services Security: Rights Expression Language (REL) token profile 1.0
- Web Services Security: SOAP Messages with Attachments (SwA) profile 1.0

**Note:** Support for Web Services Security draft 13 and Username token profile draft 2 is deprecated in WebSphere Application Server 5.0.2, 5.1.0 and 5.1.1. For migration information, see Migrating JAX-RPC Web Services Security applications to Version 8.0 applications.

The wire format of the SOAP message with Web Services Security in Web Services Security Version 1.0 has changed and is not compatible with previous drafts of the OASIS Web Services Security specification. Interoperability between OASIS Web Services Security Version 1.0 and previous Web Services Security drafts is not supported. However, it is possible to run an application that is based on Web Services Security draft 13 on WebSphere Application Server Version 6 and later. The application can interoperate with an application that is based on Web Services Security draft 13 on WebSphere Application Server Version 5.0.2, 5.1 or 5.1.1.

WebSphere Application Server supports both the OASIS Web Services Security draft 13 and the OASIS Web Services Security 1.0 specification. But in WebSphere Application Server Version 6 and later, the support of OASIS Web Services Security draft 13 is deprecated. However, applications that were developed using OASIS Web Services Security draft 13 on WebSphere Application Server 5.0.2, 5.1.0 and 5.1.1 can run on WebSphere Application Server Version 6 and later. OASIS Web Services Security Version 1.0 support is available only for Java Platform, Enterprise Edition (Java EE) Version 1.4 and later applications. The configuration format for the deployment descriptor and the binding is different from previous versions of WebSphere Application Server. You must migrate the existing applications to Java EE 1.4 and migrate the Web Services Security configuration to the WebSphere Application Server Version 6 format.

## Other Web Services Security specifications development

The most recently updated versions of the following OASIS Web Services Security specifications are supported in WebSphere Application Server in the context of Web Services Security:

- WS-Trust Version 1.3

The Web Services Trust Language (WS-Trust) uses the secure messaging mechanisms of Web Services Security to define additional primitives and extensions for the issuance, exchange and validation of security tokens. WS-Trust enables the issuance and dissemination of credentials within different trust domains. This specification defines ways to establish, assess the presence of, and broker trust relationships.

- WS-SecureConversation Version 1.3

The Web Services Secure Conversation Language (WS-SecureConversation) is built on top of the WS-Security and WS-Policy models to provide secure communication between services. WS-Security focuses on the message authentication model but not a security context, and thus is subject several forms of security attacks. This specification defines mechanisms for establishing and sharing security contexts, and deriving keys from security contexts, to enable a secure conversation. By using the SOAP extensibility model, modular SOAP-based specifications are designed to be composed with each other to provide a rich messaging environment.



- **WS-SecurityPolicy Version 1.2**

Web Services Security Policy (WS-Policy) provides a general purpose model and syntax to describe and communicate the policies of a web service. WS-Policy assertions express the capabilities and constraints of a particular web service. WS-PolicyAttachments defines several methods for associating the WS-Policy expressions with web services (such as WSDL). The Web Services Security specifications have been updated following the re-publication of WS-Security Policy in July 2005, to reflect the constraints and capabilities of web services that are using WS-Security, WSTrust and WS-SecureConversation. WS-ReliableMessaging Policy has also been re-published in 2005 to express the capabilities and constraints of web services implementing WS-ReliableMessaging.

## **Web Services Interoperability Organization (WS-I) activities**

Web Services Interoperability Organization (WS-I) is an open industry effort to promote web services interoperability across vendors, platforms, programming languages and applications. The organization is a consortium of companies across many industries including IBM, Microsoft, Oracle, Sun, Novell, VeriSign, and Daimler Chrysler. WS-I began working on the basic security profile (BSP) in the spring of 2003. BSP consists of a set of non-proprietary web services specifications that clarifies and amplifies those specifications to promote Web Services Security interoperability across different vendor implementations. As of June 2004, BSP is a public draft. For more information, see the Web Services Interoperability Organization web page.

Specifically, see Basic Security Profile Version 1.0 for details about the BSP. WebSphere Application Server supports compliance with the BSP draft, but Web Services Security does not support the BSP Version 1.1 draft. See “Basic Security Profile compliance tips” on page 940 for the details to configure your application in compliance with the BSP draft.

## **Web Services Security configuration considerations**

To secure web services for WebSphere Application Server, you must specify several different configurations. Although there is not a specific sequence in which you must specify these different configurations, some configurations reference other configurations.

**best-practices:** IBM WebSphere Application Server supports the Java API for XML-Based Web Services (JAX-WS) programming model and the Java API for XML-based RPC (JAX-RPC) programming model. JAX-WS is the next generation web services programming model extending the foundation provided by the JAX-RPC programming model. Using the strategic JAX-WS programming model, development of web services and clients is simplified through support of a standards-based annotations model. Although the JAX-RPC programming model and applications are still supported, take advantage of the easy-to-implement JAX-WS programming model to develop new web services applications and clients.

You can configure Web Services Security on the application level, server level, and the cell level. The following table shows an example of the relationships between each of the configurations that apply to just the application, to an entire server, or to the entire cell. However, the requirements for the bindings depend upon the deployment descriptor. Some binding information depends upon other information in the binding or server and cell-level configuration. Within the table, the configurations in the Referenced configurations column are referenced by the configuration listed in the Configuration name column. For example, the token generator on the application-level for the request generator references the collection certificate store, the nonce, time stamp, and callback handler configurations.

Table 98. The relationship between the configurations.. Use the table to determine the mapping between the configurations and the level of Web Services Security.

Configuration level	Configuration name	Referenced configurations
Application-level request generator	Token generator	<ul style="list-style-type: none"> <li>Collection certificate store</li> <li>Nonce</li> <li>Timestamp</li> <li>Callback handler</li> </ul>
Application-level request generator	Key information	<ul style="list-style-type: none"> <li>Key locator</li> <li>Key name</li> <li>Token</li> </ul>
Application-level request generator	Signing information	<ul style="list-style-type: none"> <li>Key information</li> </ul>
Application-level request generator	Encryption information	<ul style="list-style-type: none"> <li>Key information</li> </ul>
Application-level request consumer	Token consumer	<ul style="list-style-type: none"> <li>Trust anchor</li> <li>Collection certificate store</li> <li>Trusted ID evaluators</li> <li>Java Authentication and Authorization Service (JAAS) configuration</li> </ul>
Application-level request consumer	Key information	<ul style="list-style-type: none"> <li>Key locator</li> <li>Token</li> </ul>
Application-level request consumer	Signing information	<ul style="list-style-type: none"> <li>Key information</li> </ul>
Application-level request consumer	Encryption information	<ul style="list-style-type: none"> <li>Key information</li> </ul>
Application-level response generator	Token generator	<ul style="list-style-type: none"> <li>Collection certificate store</li> <li>Callback handler</li> </ul>
Application-level response generator	Key information	<ul style="list-style-type: none"> <li>Key locator</li> <li>Token</li> </ul>
Application-level response generator	Signing information	<ul style="list-style-type: none"> <li>Key information</li> </ul>
Application-level response generator	Encryption information	<ul style="list-style-type: none"> <li>Key information</li> </ul>
Application-level response consumer	Token consumer	<ul style="list-style-type: none"> <li>Trust anchor</li> <li>Collection certificate store</li> <li>JAAS configuration</li> </ul>
Application-level response consumer	Key information	<ul style="list-style-type: none"> <li>Key locator</li> <li>Key name</li> <li>Token</li> </ul>
Application-level response consumer	Signing information	<ul style="list-style-type: none"> <li>Key information</li> </ul>
Application-level response consumer	Encryption information	<ul style="list-style-type: none"> <li>Key information</li> </ul>
Server-level default generator bindings	Token generator	<ul style="list-style-type: none"> <li>Collection certificate store</li> <li>Callback handler</li> </ul>
Server-level default generator bindings	Key information	<ul style="list-style-type: none"> <li>Key locator</li> <li>Token</li> </ul>
Server-level default generator bindings	Signing information	<ul style="list-style-type: none"> <li>Key information</li> </ul>
Server-level default generator bindings	Encryption information	<ul style="list-style-type: none"> <li>Key information</li> </ul>
Server-level default consumer bindings	Token consumer	<ul style="list-style-type: none"> <li>Trust anchor</li> <li>Collection certificate store</li> <li>Trusted ID evaluator</li> <li>JAAS configuration</li> </ul>
Server-level default consumer bindings	Key information	<ul style="list-style-type: none"> <li>Key locator</li> <li>Token</li> </ul>
Server-level default consumer bindings	Signing information	<ul style="list-style-type: none"> <li>Key information</li> </ul>

Table 98. The relationship between the configurations. (continued). Use the table to determine the mapping between the configurations and the level of Web Services Security.

Configuration level	Configuration name	Referenced configurations
Server-level default consumer bindings	Encryption information	<ul style="list-style-type: none"> <li>• Key information</li> </ul>
Cell-level default generator bindings	Token generator	<ul style="list-style-type: none"> <li>• Collection certificate store</li> <li>• Callback handler</li> </ul>
Cell-level default generator bindings	Key information	<ul style="list-style-type: none"> <li>• Key locator</li> <li>• Token</li> </ul>
Cell-level default generator bindings	Signing information	<ul style="list-style-type: none"> <li>• Key information</li> </ul>
Cell-level default generator bindings	Encryption information	<ul style="list-style-type: none"> <li>• Key information</li> </ul>
Cell-level default consumer bindings	Token consumer	<ul style="list-style-type: none"> <li>• Trust anchor</li> <li>• Collection certificate store</li> <li>• Trusted ID evaluator</li> <li>• JAAS configuration</li> </ul>
Cell-level default consumer bindings	Key information	<ul style="list-style-type: none"> <li>• Key locator</li> <li>• Token</li> </ul>
Cell-level default consumer bindings	Signing information	<ul style="list-style-type: none"> <li>• Key information</li> </ul>
Cell-level default consumer bindings	Encryption information	<ul style="list-style-type: none"> <li>• Key information</li> </ul>

When multiple applications will use the same binding information, consider configuring the binding information on the server or cell level. For example, you might have a global key locator configuration that is used by multiple applications. Configuration information for the application-level precedes similar configuration information on the server-level and the cell level.

## Default bindings and runtime properties for Web Services Security

Use this page to configure the settings for nonce on the server level and to manage the default bindings for the signing information, encryption information, key information, token generators, token consumers, key locators, collection certificate store, trust anchors, trusted ID evaluators, algorithm mappings, and login mappings.

Displayed options and the panel title depend on your server configuration and version.

To view this administrative console page for the server level, complete the following steps:

1. Click **Servers > Server Types > WebSphere application servers > *server\_name***.
2. Under Security, click **JAX-WS and JAX-RPC security runtime**.

**Note:** In a mixed node cell with a server using WebSphere Application Server version 6.1 or earlier, click **Web services: Default bindings for Web Services Security**.

Read the web services documentation before you begin defining the default bindings for Web Services Security.

*Nonce* is a unique cryptographic number that is embedded in a message to help stop repeat, unauthorized attacks of user name tokens.

In WebSphere Application Server and WebSphere Application Server, Express, you must specify values for the Nonce cache timeout, Nonce maximum age, and Nonce clock skew fields for the server level.

### Nonce cache timeout

Specifies the timeout value, in seconds, for the nonce cached on the server. Nonce is a randomly generated value.

The Nonce cache timeout field is not required on the server level, but it is required on the cell level. To specify a value for the field on the cell level, click **Security > JAX-WS and JAX-RPC security runtime**.

If you make changes to the value for the Nonce cache timeout field, you must restart the application server for the changes to take effect.

<b>Default</b>	600 seconds
<b>Minimum</b>	300 seconds

### Nonce maximum age

Specifies the default time, in seconds, before the nonce timestamp expires. Nonce is a randomly generated value.

The maximum value cannot exceed the number of seconds that is specified in the Nonce cache timeout field for the server level.

The Nonce maximum age field is not required on the server level, but it is required on the cell level. The value set for this Nonce maximum age field on the server level must not exceed the value for the Nonce maximum age field on the cell level. To specify a value for the Nonce maximum age field on the cell level, click **Security > JAX-WS and JAX-RPC security runtime**.

<b>Default</b>	300 seconds
<b>Range</b>	300 to the value that is specified, in seconds, in the Nonce cache timeout field.

### Nonce clock skew

Specifies the default clock skew value, in seconds, to consider when the application server checks the timeliness of the message. Nonce is a randomly generated value.

The maximum value cannot exceed the number of seconds that is specified in the Nonce maximum age field.

The Nonce clock skew field is not required on the server level, but it is required on the cell level. To specify a value for the Nonce clock skew field on the cell level, click **Security > JAX-WS and JAX-RPC security runtime**.

<b>Default</b>	0 seconds
<b>Range</b>	0 to the value that is specified, in seconds, in the Nonce maximum age field.

### Enable cryptographic operations on hardware device

Enables cryptographic operations on hardware devices. Enabling this feature might improve the performance, depending on the hardware device.

### Cryptographic hardware configuration name

Specifies the name of the hardware device configuration name that is defined in the keystore settings in the secure communications.

This value is necessary only if **Hardware acceleration** has been selected.

### Custom properties

The linked Properties panel specifies additional properties for the security runtime configuration.

## Web Services Security provides message integrity, confidentiality, and authentication

OASIS Web Services Security (WS-Security) is a flexible standard that is used to secure web services at the message level within multiple security models. You can secure SOAP messages through XML digital signature, confidentiality through XML encryption, and credential propagation through security tokens.

The WS-Security specification defines the core facilities for protecting the integrity and confidentiality of a message and provides mechanisms for associating security-related claims with the message.

Message-level security, or securing web services at the message level, addresses the same security requirements as for traditional web security. These security requirements include: identity, authentication, authorization, integrity, confidentiality, nonrepudiation, basic message exchange, and so forth. Both traditional web and message-level security share many of the same mechanisms for handling security, including digital certificates, encryption, and digital signatures. While HTTPS and Secure Sockets Layer (SSL) transport-level technology may be used for securing web services, some security scenarios are addressed more effectively by message-level security.

Traditional web security mechanisms, such as HTTPS, might be insufficient to manage the security requirements of all web service scenarios. For example, when an application sends a document with JAX-RPC using HTTPS, the message is secured only for the HTTPS connection, meaning during the transport of the document between the service requester (the client) and the service. However, the application might require that the document data be secured beyond the HTTPS connection, or even beyond the transport layer. By securing web services at the message level, message-level security is capable of meeting these expanded requirements.

Message-level security applies to XML documents that are sent as SOAP messages. Message-level security makes security part of the message itself by embedding all required security information in the SOAP header of a message. In addition, message-level security can apply security mechanisms, such as encryption and digital signature, to the data in the message itself.

With message-level security, the SOAP message itself either contains the information needed to secure the message or it contains information about where to get that information to handle security needs. The SOAP message also contains information relevant to the protocols and procedures for processing the specified message-level security. However, message-level security is not tied to any particular transport mechanism. Because the security information is part of the message, it is independent of a transport protocol, such as HTTPS.

The client adds to the SOAP message header security information that applies to that particular message. When the message is received, the web service endpoint, using the security information in the header, verifies the secured message and validates it against the policy. For example, the service endpoint might verify the message signature and check that the message has not been tampered with. It is possible to add signature and encryption information to the SOAP message headers, as well as other information such as security tokens for identity (for example, an X.509 certificate) that are bound to the SOAP message content.

For WebSphere Application Server Versions 6 and later, Web Services Security can be applied as transport-level security and as message-level security. You can architect highly secure client and server designs by using these security mechanisms. Transport-level security refers to securing the connection between a client application and a web service with Secure Sockets Layer (SSL).

You can apply various scenarios of Web Services Security according to the characteristics of each web service application. You have choices of how to protect your information when using Web Services Security. The authentication mechanism, integrity, and confidentiality can be applied at the message level and at the transport level. When message-level security is applied, you can protect the SOAP message with a security token, digital signature, and encryption.

Without Web Services Security, the SOAP message is sent in clear text, and personal information such as a user ID or an account number is not protected. Without applying Web Services Security, there is only a SOAP body under the SOAP envelope in the SOAP message. By applying features from the WS-Security specification, the SOAP security header is inserted under the SOAP envelope in the SOAP message when the SOAP body is signed and encrypted.

To maintain the integrity or confidentiality of the message, digital signatures and encryption are typically applied.

- *Confidentiality* specifies the confidentiality constraints that are applied to generated messages. This includes specifying which message parts within the generated message must be encrypted, and the message parts to attach encrypted Nonce and time stamp elements to.
- *Integrity* is provided by applying a digital signature to a SOAP message. Confidentiality is applied by SOAP message encryption. Multiple signatures and encryptions are supported. In addition, both signing and encryption can be applied to the same parts, such as the SOAP body.

You can add an authentication mechanism by inserting various types of security tokens, such as the Username token (<UsernameToken> element). When the Username token is received by the web service server, the user name and password are extracted and verified. Only when the user name and password combination is valid, will the message be accepted and processed at the server. Using the Username token is just one of the ways of implementing authentication. This mechanism is also known as *basic authentication*.

In addition to digital signatures, encryption, and basic authentication, other forms of authentication include identity assertion, LTPA tokens, Kerberos tokens, and custom tokens. These other forms of authentication are also extensions of WebSphere Application Server. You can configure these authentication mechanisms using the assembly tools to implement authentication.

With updates to Web Services Security in the Version 1.1 specification, it is possible to layer additional functionality on top of these basic mechanisms. Some Version 1.1 mechanisms are extensions of WebSphere Application Server, such as signature confirmation and the encrypted header. The security token profiles that are supported by WebSphere Application Server include the Username token profile, the X.509 token profile, and the Kerberos profile. In this case, when the message is received, the web service endpoint, using the security information in the header, applies the appropriate security mechanisms to the message. For example, the service endpoint might add signature and encryption information to the SOAP message headers, as well as other information, such as security tokens, that are bound to the SOAP message content. You can implement these new mechanisms by using a policy set.

WS-SecureConversation was introduced in WebSphere Application Server Version 6.1 with the Feature Pack for Web Services. Secure Conversation uses a session key to protect SOAP messages more efficiently, particularly when multiple SOAP messages are transmitted in a session.

Other enhancements include:

- The Kerberos token, which is used for both authentication and for subsequent message protection.
- Dynamic policy, which allows the client to retrieve the provider policy through a WSDL request, or using Web Services MetadataExchange (WS-MEX), to simplify web services client deployment.

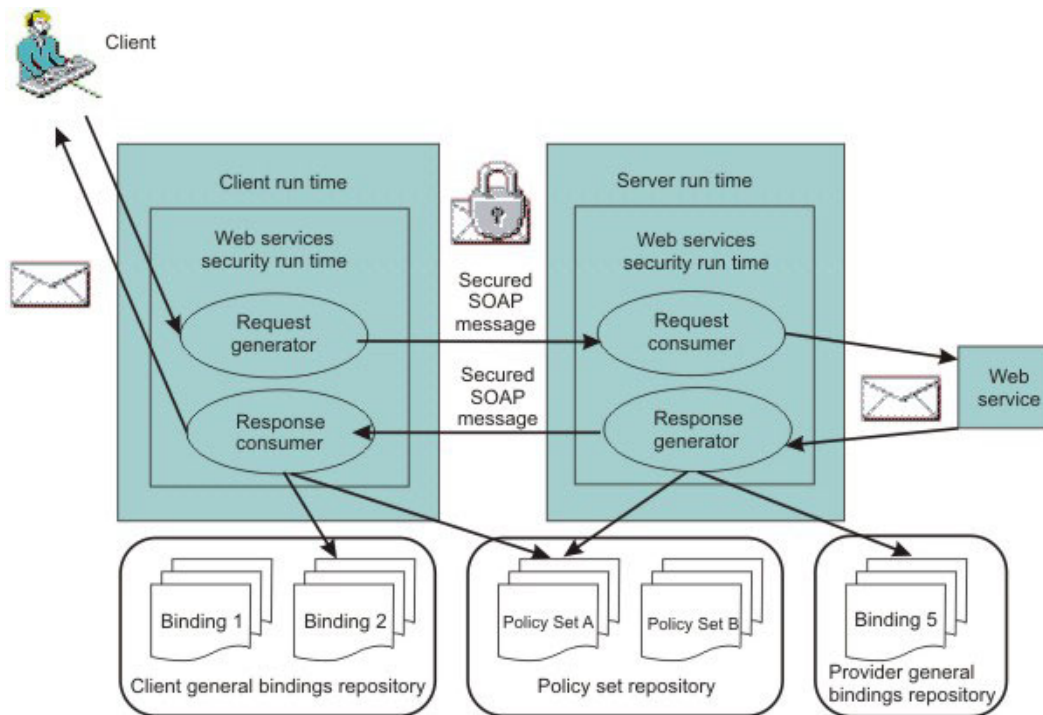
## High-level architecture for Web Services Security

The Web Services Security policy is specified in the IBM extension of the web services deployment descriptors when using the JAX-RPC programming model, and in policy sets when using the JAX-WS programming model. A stand-alone JAX-WS client application may specify Web Services Security policy programmatically. Binding data that supports the Web Services Security policy are stored in the IBM extension of the web services deployment descriptors for both the JAX-RPC and JAX-WS programming models. The Web Services Security run time enforces the security assertions that are specified in the policy document, or in the application program, in that order.

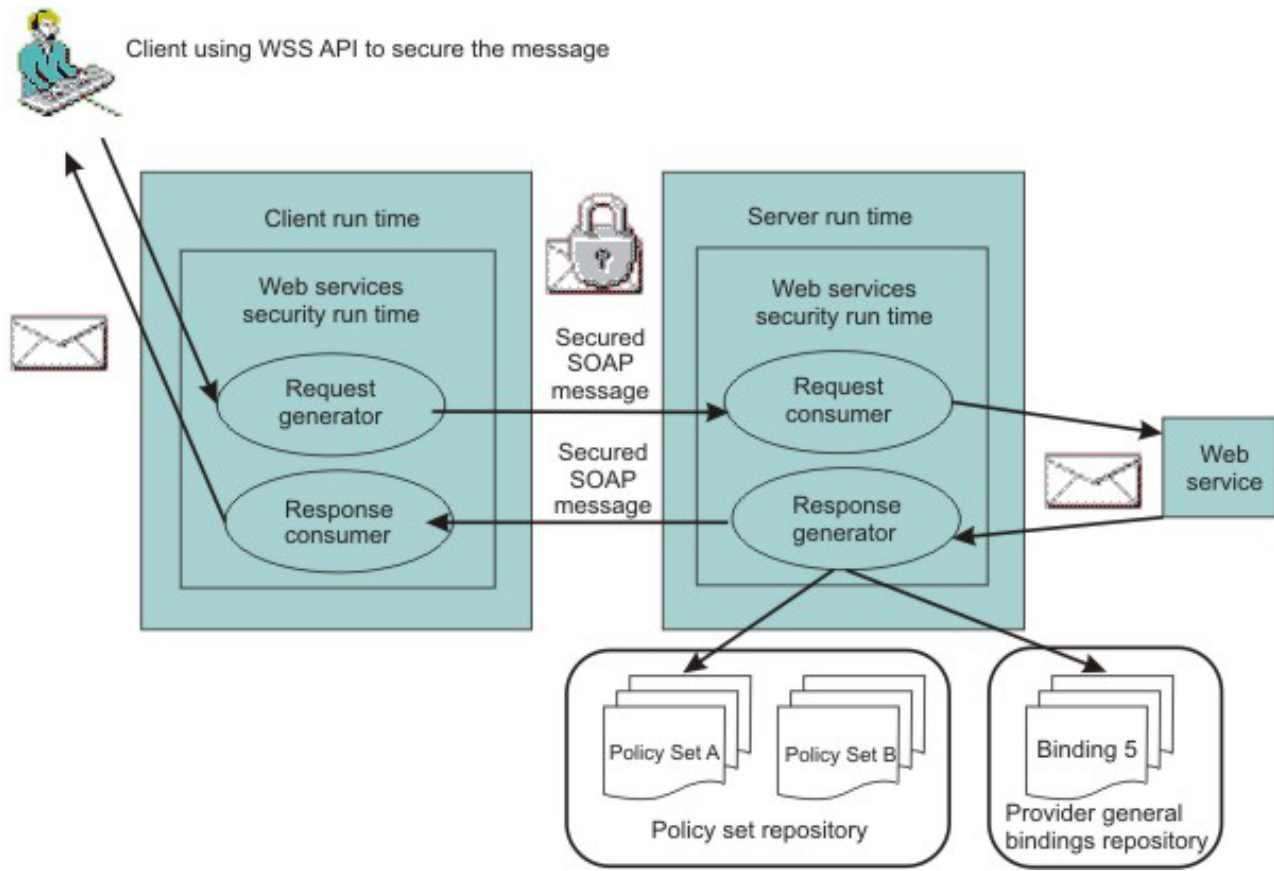
**best-practices:** IBM WebSphere Application Server supports the Java API for XML-Based Web Services (JAX-WS) programming model and the Java API for XML-based RPC (JAX-RPC) programming model. JAX-WS is the next generation web services programming model extending the foundation provided by the JAX-RPC programming model. Using the strategic JAX-WS programming model, development of web services and clients is simplified through support of a standards-based annotations model. Although the JAX-RPC programming model and applications are still supported, take advantage of the easy-to-implement JAX-WS programming model to develop new web services applications and clients.

WebSphere Application Server uses the Java Platform, Enterprise Edition (Java EE) Version 1.4 or later web services deployment model to implement Web Services Security. One of the advantages of deployment model is that you can define the Web Services Security requirements outside of the application business logic. With the separation of roles, the application developer can focus on the business logic and the security expert can specify the security requirement.

The following figure shows the high-level architecture model that is used to secure web services in WebSphere Application Server:



The WSS API can also be used to secure the message, as illustrated below:



There are two sets of configurations on both the client side and the server side:

### Request generator

This client-side configuration defines the Web Services Security requirements for the outgoing SOAP message request. These requirements might involve generating a SOAP message request that uses a digital signature, incorporates encryption, and attaches security tokens. In WebSphere Application Server Versions 5.0.2, 5.1, and 5.1.1, the request generator was known as the *request sender*.

### Request consumer

This server-side configuration defines the Web Services Security requirements for the incoming SOAP message request. These requirements might involve verifying that the required integrity parts are digitally signed; verifying the digital signature; verifying that the required confidential parts were encrypted by the request generator; decrypting the required confidential parts; validating the security tokens, and verifying that the security context is set up with the appropriate identity. In WebSphere Application Server Versions 5.0.2, 5.1, and 5.1.1, the request consumer was known as the *request receiver*.

### Response generator

This server-side configuration defines the Web Services Security requirements for the outgoing SOAP message response. These requirements might involve generating the SOAP message response with Web Services Security; including digital signature; and encrypting and attaching the security tokens, if necessary. In WebSphere Application Server Versions 5.0.2, 5.1, and 5.1.1, the response generator was known as the *response sender*.

### Response consumer

This client-side configuration defines the Web Services Security requirements for the incoming SOAP response. The requirements might involve verifying that the integrity parts are signed and the signature is verified; verifying that the required confidential parts are encrypted and that the



parts are decrypted; and validating the security tokens. In WebSphere Application Server Versions 5.0.2, 5.1, and 5.1.1, the response consumer was known as the *response receiver*.

WebSphere Application Server does not include security policy negotiation or exchange between the client and server. This security policy negotiation, as defined by the WS-Policy, WS-PolicyAssertion, and WS-SecurityPolicy specifications, are not supported in WebSphere Application Server.

**Note:** The Web Services Security requirements that are defined in the request generator must match the request consumer. The requirements that are defined in the response generator must match the response consumer. Otherwise, the request or response is rejected because the Web Services Security constraints cannot be met by the request consumer and response consumer.

The format of the Web Services Security deployment descriptors and bindings are IBM proprietary. However, the following tools are available to edit the deployment descriptors and bindings:

#### **IBM assembly tools**

Use IBM assembly tools to edit the Web Services Security deployment descriptor and binding. Use the tools to assemble both web and Enterprise JavaBeans (EJB) modules. For more information, read about assembly tools.

#### **WebSphere Application Server Administrative Console**

Use this tool to edit the Web Services Security binding of a deployed application.

#### ***Security model mixture:***

There can be multiple protocols and channels in the WebSphere Application Server Version 6 and later programming environments. Each of these applications serve different business needs.

For example, you might access:

- A Web-based application through the HTTP transport such as a servlet, JavaServer Pages (JSP) file, HTML and so on.
- An enterprise application through the Remote Method Invocation (RMI) over the Internet Inter-ORB (RMI/IIOP) protocol.
- A web service application through the SOAP over HTTP, SOAP over the Java Message Service (JMS), or SOAP over the RMI/IIOP protocol.

More importantly, web services are often implemented as servlets with a Enterprise JavaBeans (EJB) file. Therefore, you can mix and match the Web Services Security model with the Java Platform, Enterprise Edition (Java EE) security model for web and EJB components. It is intended that web service security complement the Java EE role-based security and the security run time for WebSphere Application Server Version 6 and later.

Web Services Security also can take advantage of the security features in Java EE and the security run time for WebSphere Application Server Version 6 and later. For example, Web Services Security can use the following security features to provide an end-to-end security deployment:

- Use the local OS, Lightweight Directory Access Protocol (LDAP), and custom user registries for authenticating the username token
- Propagate the Lightweight Third Party Authentication (LTPA) security token in the SOAP message
- Use identity assertion
- Use a trust association interceptor (TAI)
- Enable security attribute propagation
- Use Java EE role-based authorization
- Use a Java Authorization Contract for Containers (JACC) authorization provider, such as Tivoli Access Manager

The following figure shows that different security protocols are used to send authentication information to the application server. For a web service, you might use either HTTP basic authentication with Secure Sockets Layer (SSL) or a Web Services Security username token with signing and encryption. In the following figure, when identity *bob* from Web Services Security is authenticated and set as the caller identity of the SOAP message request, the Java EE Enterprise JavaBeans container performs authorization using *bob* before the call is dispatched to the service implementation, which, in this case, is the enterprise bean.

You can secure a web service using the transport layer security. For example, when you are using SOAP over HTTP, HTTPS can be used to secure the web service. However, transport layer security provides point-to-point security only. This layer of security might be adequate for certain scenarios. However, when the SOAP message must travel through intermediary servers (multi-hop) before it is consumed by the target endpoint, you might use SOAP over the Java Message Service (JMS). The usage scenarios and security requirements dictate how to secure web services. The requirements depend upon the operating environment and the business needs. However, one key advantage of using Web Services Security is that it is transport layer independent; the same Web Services Security constraints can be used for SOAP over HTTP, SOAP over JMS, or SOAP over RMI/IIOP.

### ***Overview of platform configuration and bindings:***

The Web Services Security policy is specified in the IBM extension of the web services deployment descriptors when using the JAX-RPC programming model, and in policy sets when using the JAX-WS programming model. Binding information to support the Web Services Security policy is stored in the IBM extension of the web services deployment descriptors for both the JAX-RPC and JAX-WS programming models.

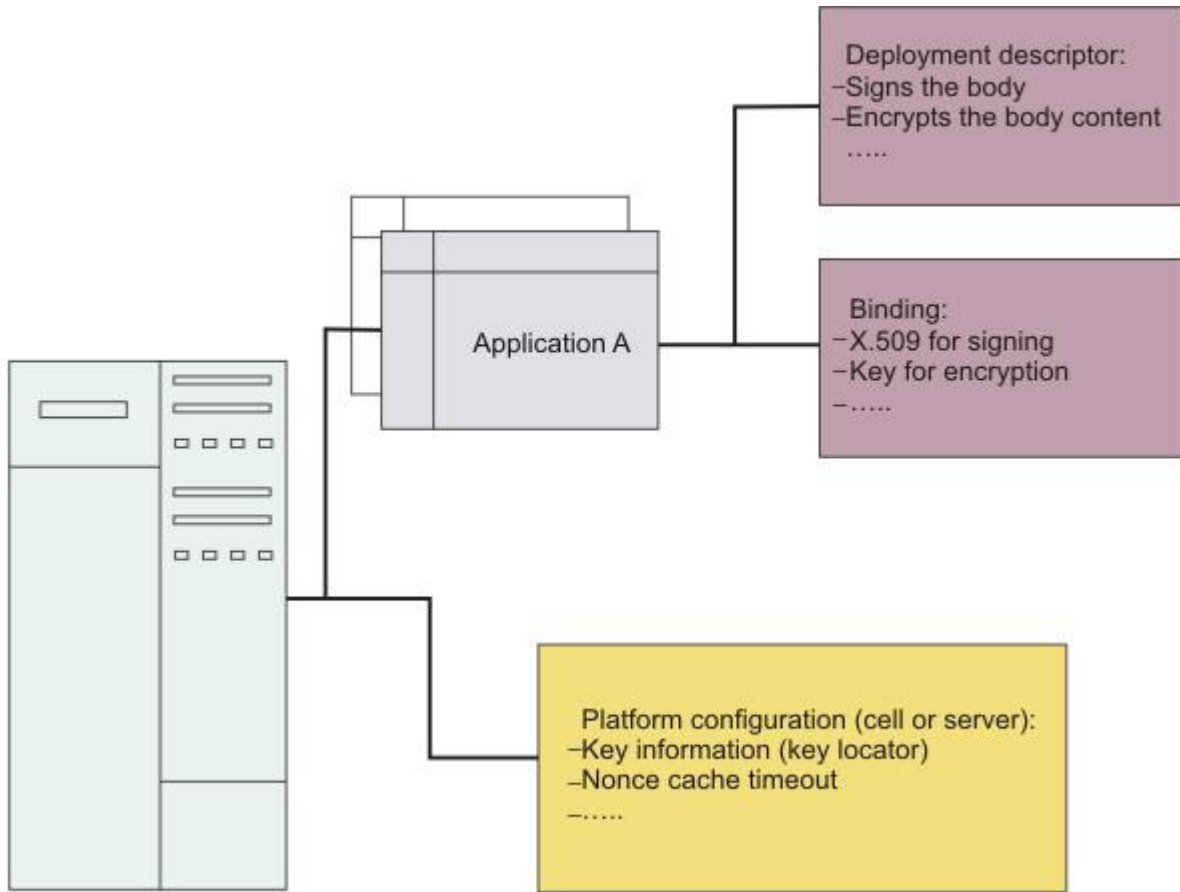
**best-practices:** IBM WebSphere Application Server supports the Java API for XML-Based Web Services (JAX-WS) programming model and the Java API for XML-based RPC (JAX-RPC) programming model. JAX-WS is the next generation web services programming model extending the foundation provided by the JAX-RPC programming model. Using the strategic JAX-WS programming model, development of web services and clients is simplified through support of a standards-based annotations model. Although the JAX-RPC programming model and applications are still supported, take advantage of the easy-to-implement JAX-WS programming model to develop new web services applications and clients.

Due to the complexity of these files, it is not recommended that you edit the deployment descriptor and binding files manually with a text editor because they might cause errors. It is recommended, however, that you use the tools provided by IBM to configure the Web Services Security constraints for an application. These tools are the WebSphere Application Server administrative console, or an assembly tool. For more information about IBM assembly tools, see the assembly tools information.

You can use the policy set function of the WebSphere Application Server to simplify your web services configuration because policy sets group security and other web services settings into reusable units. Policy sets are assertions about how quality of services is defined. A policy set incorporates policy types, and their settings.

In addition to the application deployment descriptor and binding files, WebSphere Application Server Versions 6 and later have a cell level and a server level configuration. These configurations are global for all applications. Because WebSphere Application Server Version 6 and later support 5.x applications, some of the configurations are valid for Version 5.x applications only and some are valid for Version 6 and later applications only.

The following figure represents the relationship of the application deployment descriptor and binding files to the cell (WebSphere Application Server, Network Deployment only) or server level configuration.



WebSphere Application Server

### Platform configuration

The following options are available in the administrative console:

#### Nonce cache timeout

This option, which is found on the cell level (WebSphere Application Server, Network Deployment only) and server level, specifies the cache timeout value for a nonce in seconds.

#### Nonce maximum age

This option, which is found on the cell level (WebSphere Application Server, Network Deployment only) and server level, specifies the default life span for the nonce in seconds.

#### Nonce clock skew

This option, which is found on the cell level (WebSphere Application Server, Network Deployment only) and server level, specifies the default clock skew to account for network delay, processing delay, and so on. It is used to calculate when the nonce expires. Its unit of measurement is seconds.

#### Distribute nonce caching

This feature enables you to distribute the cache for the nonce to different servers in a cluster. It is available for WebSphere Application Server Version 6.0.x and later.

The following features can be referenced in the application binding:

**Key locator**

This feature specifies how the keys are retrieved for signing, encryption, and decryption. The implementation classes for the key locator are different in WebSphere Application Server Versions 6 and later and Version 5.x.

**Collection certificate store**

This feature specifies the certificate store for certificate path validation. It is typically used for validating X.509 tokens during signature verification or constructing the X.509 token with a certificate revocation list that is encoded in the PKCS#7 format. The certificate revocation list is supported for WebSphere Application Server Version 6.x and later applications only.

**Trust anchors**

This feature specifies the trust level for the signer certificate and is typically used in the X.509 token validation during signature verification.

**Trusted ID evaluators**

This feature specifies how to verify the trust level for the identity. The feature is used with identity assertion.

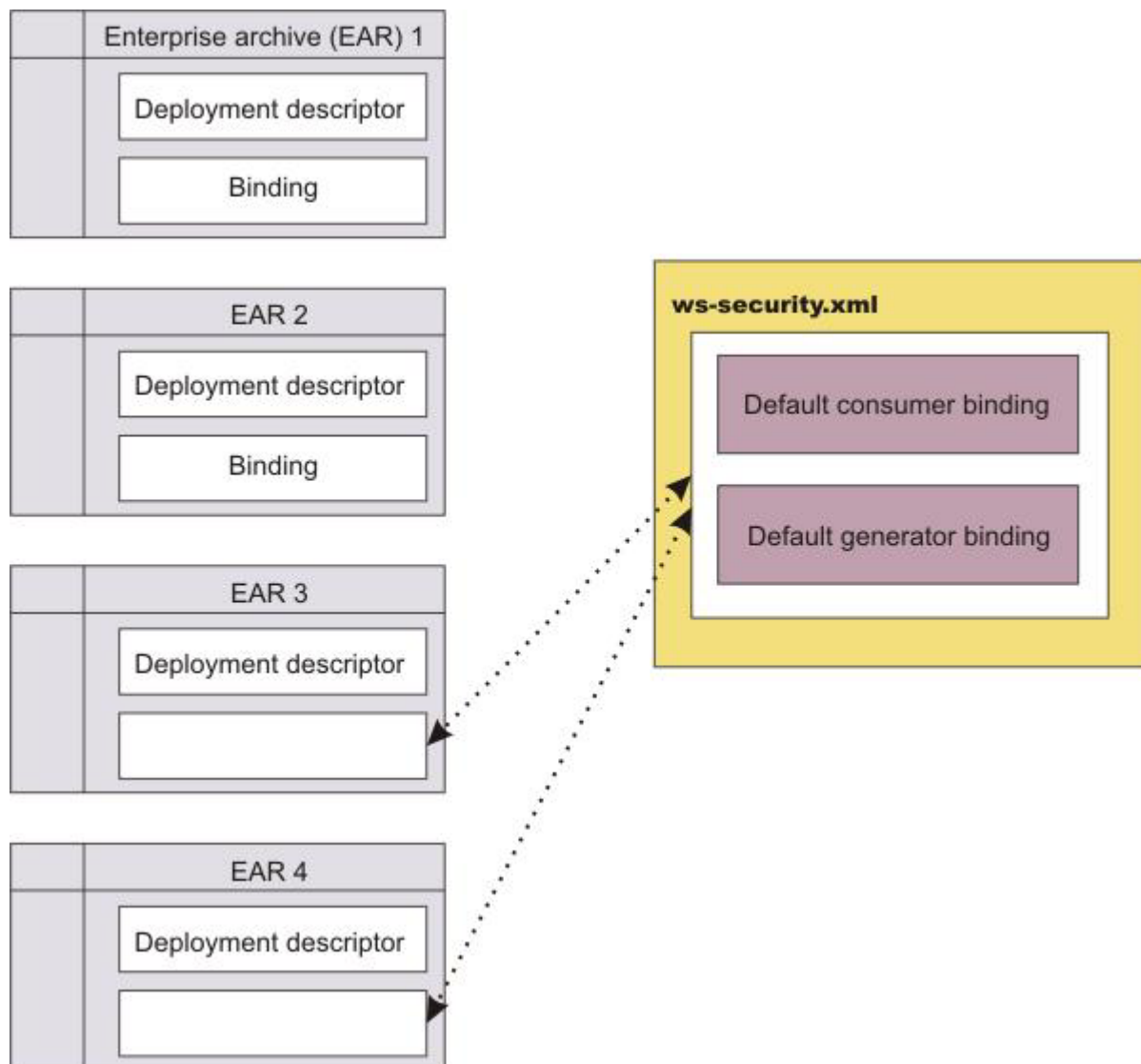
**Login mappings**

This feature specifies the login configuration binding to the authentication methods. This feature is used by WebSphere Application Server Version 5.x applications only and it is deprecated.

**Default bindings**

The configuration of the default cell level and default server level bindings has changed in WebSphere Application Server. Previously, you could configure only one set of default bindings for the cell, and optionally configure one set of default bindings for each server. In version 7.0 and later, you can configure one or more general provider bindings and one or more general client bindings. However, only one general provider binding and one general client binding can be designated as the default.

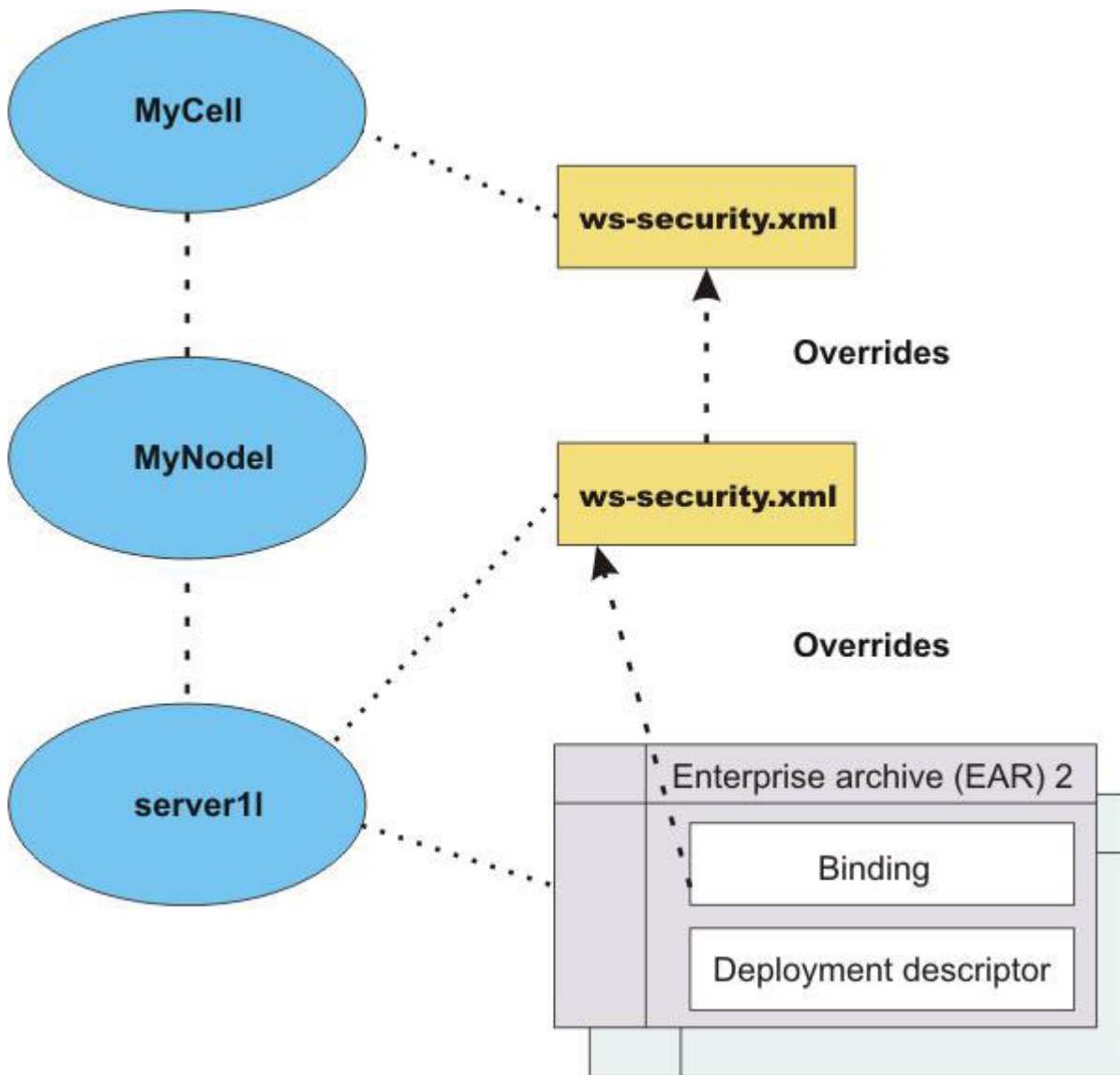
The following figure shows the relationship between the application enterprise archive (EAR) file and the `ws-security.xml` file.



Applications EAR 1 and EAR 2 have specific bindings in the application binding file. However, applications EAR 3 and EAR 4 do not have a binding in the application binding file; it must be referenced to use the default bindings defined in the `ws-security.xml` file. The configuration is resolved by nearest configuration in the hierarchy. For example, there might be three key locators named `mykeylocator` that is defined in the application binding file, the server level, and the cell level.

If `mykeylocator` is referenced in the application binding, then the key locator that is defined in the application binding is used. The visibility scope of the data depends upon where the data is defined. If the data is defined in the application binding, then its visibility is scoped to that particular application. If the data is defined on the server level, then the visibility scope is all of the applications deployed on that server. If the data is defined on the cell level, then the visibility scope is all of the applications deployed on servers in the cell. In general, if data is not meant to be shared by other applications, define the configuration in the application binding level.

The following figure shows the relationship of the bindings on the application, server, and cell (WebSphere Application Server, Network Deployment only) levels.



### General bindings

General bindings are used as the default bindings at the cell level or server level. The general bindings that are shipped with WebSphere Application Server are initially set as the default bindings, but you can choose a different binding as the default, or change the level of binding that should be used as the default, for example, from cell level binding to server level binding.

In version 7.0 and later, there are two types of bindings: application specific bindings, and general bindings. Both types of bindings are supported for WS-Security policy sets. General bindings can be shared across multiple applications and for trust service attachments. There are two types of general bindings: one for service providers and one for service clients. Multiple general bindings can be defined for the provider and also for the client.

*Keys:*

Use keys for XML digital signature and encryption.

There are two predominant kinds of keys used in the current Web Services Security implementation:

- Public key - such as Rivest Shamir Adleman (RSA) encryption and Digital Signature Algorithm (DSA) encryption
- Secret key - such as triple-strength DES (3DES) encryption

In public key-based signature, a message is signed using the sender private key and is verified using the sender public key. In public key-based encryption, a message is encrypted using the receiver public key and is decrypted using the receiver private key. In secret key-based signature and encryption, the same key is used by both parties.

While the current implementation of Web Services Security can support both kinds of keys, the format of the message differs slightly between public key-based encryption and secret key-based encryption.

#### *Key locator:*

A key locator is an abstraction of the mechanism that retrieves the key for digital signature and encryption. The Java Authentication and Authorization Service (JAAS) Login Module implementation is used to create the security token on the generator side and to validate (authenticate) the security token on the consumer side.

Retrieve keys from one of the following sources, depending upon your implementation:

- Java keystore file
- Database
- Kerberos KDC server (WebSphere Application Server using JAX-WS only)
- Trust service can provide a security context token and key (WebSphere Application Server using JAX-WS only)

Key locators search for the key using some type of a clue. The following types of clues are supported:

- A string label of the key, which is explicitly passed through the application programming interface (API). The relationship between each key and its name (string label) is maintained inside the key locator.
- The implementation context of the key locator; explicit information is not passed to the key locator. A key locator determines the appropriate key according to the implementation context.

WebSphere Application Server Versions 6 and later support a secret key-based signature called HMAC-SHA1. If you use HMAC-SHA1, the SOAP message does not contain a binary security token. In this case, it is assumed that the key information within the message contains the key name that is used to specify the secret key within the keystore.

Because the key locators support the public key-based signature, the key for verification is embedded in the X.509 certificate as a <BinarySecurityToken> element in the incoming message. For example, key locators can obtain the identity of the caller from the context and can retrieve the public key of the caller for response encryption.

This section describes the usage scenarios for key locators.

### **Signing**

The name of the signing key is specified in the Web Services Security configuration. This value is passed to the key locator and the actual key is returned. The corresponding X.509 certificate also can be returned.

### **Verification**

By default, WebSphere Application Server Versions 6 and later supports the following types of key locators:

**KeyStoreKeyLocator**

Uses the keystore to retrieve the key that is used for digital signature and verification or encryption and decryption.

**X509CertKeyLocator**

Uses an X.509 certificate within a message to retrieve the key for verification or decryption.

**SignerCertKeyLocator**

Uses the X.509 certificate within the request message to retrieve the key that is used for encryption in the response message.

**Encryption**

The name of the encryption key is specified in the Web Services Security configuration. This value is passed to the key locator and the actual key is returned. On the server side, you can use the SignerCertKeyLocator to retrieve the key for encryption in the response message from the X.509 certificate in the request message.

**Decryption**

The Web Services Security specification recommends using the key identifier instead of the key name. However, while the algorithm for computing the identifier for the public keys is defined in Internet Engineering Task Force (IETF) Request for Comment (RFC) 3280, there is no agreed-upon algorithm for the secret keys. Therefore, the current implementation of Web Services Security uses the identifier only when public key-based encryption is performed. Otherwise, the ordinal key name is used.

When you use public key-based encryption, the value of the key identifier is embedded in the incoming encrypted message. Then, the Web Services Security implementation searches for all of the keys managed by the key locator and decrypts the message using the key whose identifier value matches the one in the message.

When you use secret key-based encryption, the value of the key name is embedded in the incoming encrypted message. The Web Services Security implementation asks the key locator for the key with the name that matches the name in the message and decrypts the message using the key.

*Trust anchor:*

A trust anchor specifies the key stores that contain trusted root certificates. These certificates are used to validate the X.509 certificate that is embedded in the SOAP message.

When using WebSphere Application Server with the JAX-RPC programming model, key stores are implemented with the following message points to validate the X.509 certificate that is used for digital signature or XML encryption:

- Request consumer, as defined in the `ibm-webservices-bnd.xmi` file.
- Response consumer, as defined in the `ibm-webservicesclient-bnd.xmi` file when a web service is acting as a client to another web service.

For WebSphere Application Server Version 7.0 and later, using JAX-WS, key stores are used by the following message points to validate the X.509 certificate that is used for digital signature or XML encryption:

- Request consumer, as defined in the inbound keys and certificates of the WS-Security bindings.
- Response consumer, as defined in the inbound keys and certificates of the WS-Security bindings when a web service is acting as a client to another web service.



Key stores are critical to the integrity of the digital signature validation. If the key stores are tampered with, the result of the digital signature verification is doubtful and compromised. Therefore, it is recommended that you secure the key stores. The binding configuration specified for the consumer must match the binding configuration for the generator.

The trust anchor is defined as `java.security.cert.TrustAnchor` in the Java CertPath application programming interface (API). The Java CertPath API uses the trust anchor and the certificate store to validate the incoming X.509 certificate that is embedded in the SOAP message. The Web Services Security implementation in WebSphere Application Server supports this trust anchor. In WebSphere Application Server, the trust anchor is represented as a Java key store object. The type, path, and password of the key store are passed to the implementation through the administrative console or by scripting.

*Trusted ID evaluator:*

A trusted ID evaluator is the mechanism that evaluates whether a given ID name is trusted.

### **Using the trusted ID evaluator with the JAX-RPC programming model**

In the JAX-RPC programming model, the trusted ID evaluator, `com.ibm.wsspi.wssecurity.id.TrustedIDEvaluatorImpl`, is an abstraction of the mechanism that evaluates whether a given ID name is trusted. There are two trust modes for validating the trust of the upstream server when using JAX-RPC:

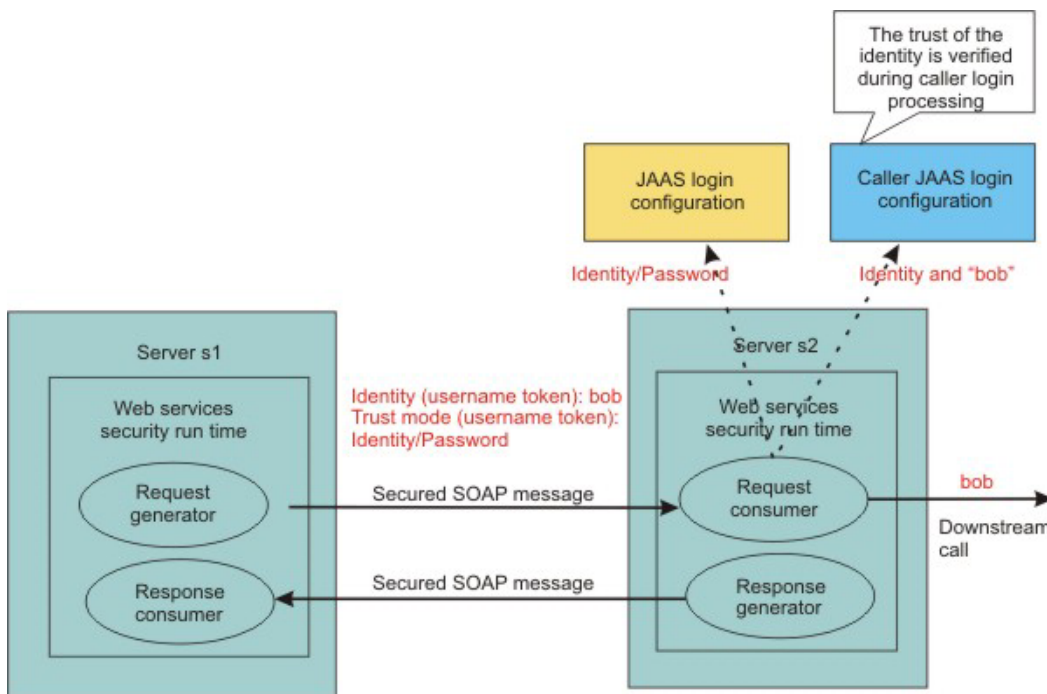
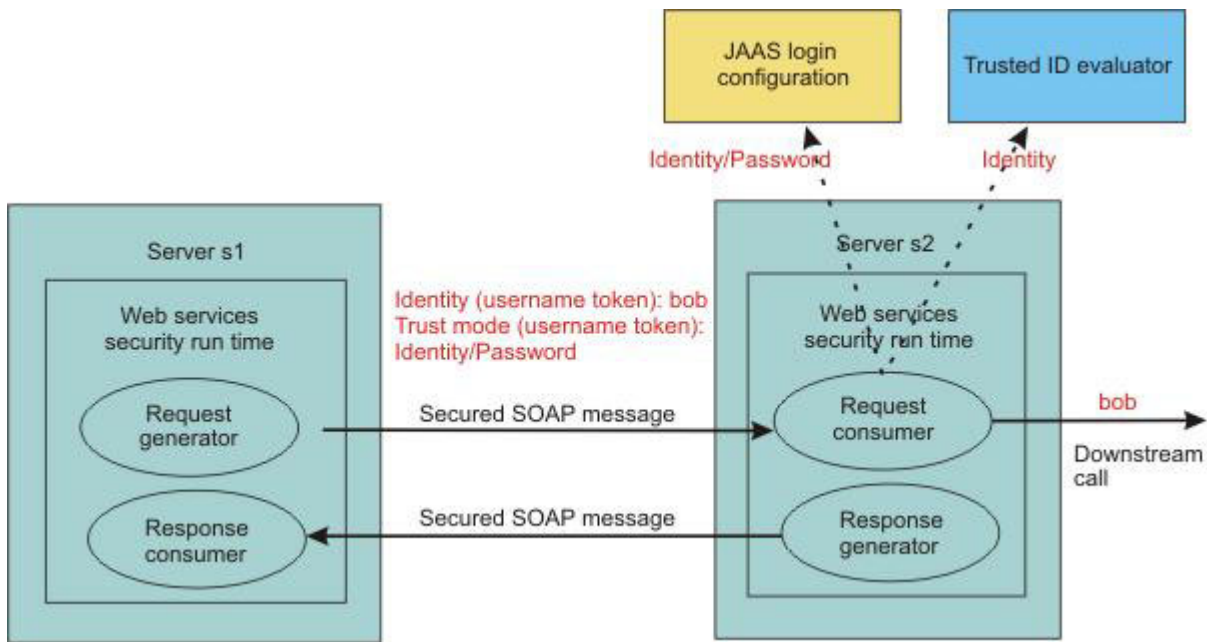
#### **Basic authentication (username token)**

The upstream server sends a username token with a user name and password to a downstream server. The consumer or receiver of the message authenticates the username token and validates the trust based upon the `TrustedIDEvaluator` implementation. The `TrustedIDEvaluator` implementation must implement the `com.ibm.wsspi.wssecurity.id.TrustedIDEvaluator` Java interface.

#### **Signature**

The upstream server signs the message, which can be any message part such as the SOAP body. The upstream server sends the X.509 token to a downstream server. The consumer or receiver of the message verifies the signature and validates the X.509 token. The identity or the distinguished name from the X.509 token that is used in the digital signature is validated based on the `TrustedIDEvaluator` implementation. The `TrustedIDEvaluator` implementation must implement the `com.ibm.wsspi.wssecurity.id.TrustedIDEvaluator` Java interface. For the X.509 certificate, WebSphere Application Server uses the distinguished name in the certificate as a requester identity.

The following figures demonstrate the identity assertion trust process for both programming models:



In this figure, server s1 is the upstream server and identity assertion is set up between server s1 and server s2. The s1 server authenticates the identity called *bob*. Server s1 wants to send *bob* to the s2 server with a password. The trust mode is an s1 credential that contains the identity and a password. Server s2 receives the request, authenticates the user using a Java Authentication and Authorization Service (JAAS) login module, and uses the trusted ID evaluator to determine whether to trust the identity. If the identity is trusted, *bob* is used as the caller that invokes the service. If authorization is required, *bob* is the identity that is used for authorization verification.

The identity can be asserted as the RunAs (invocation) identity of the current security context. For example, the web services gateway authenticates a requester using a secure method such as password authentication and then sends the requester identity only to a back-end server. You might also use identity assertion for interoperability with another Web Services Security implementation.

Depending upon the implementation of JAX-RPC, you can use various types of infrastructure to store a list of the trusted IDs, such as:

- Plain text file
- Database
- Lightweight Directory Access Protocol (LDAP) server

The trusted ID evaluator is typically used by the eventual receiver in a multi-hop environment. The Web Services Security implementation invokes the trusted ID evaluator and passes the identity name of the intermediary as a parameter. If the identity is evaluated and deemed trustworthy, the procedure continues. Otherwise, an exception is created and the procedure is stopped.

### Using the trusted ID evaluator with the JAX-WS programming model

In the JAX-WS programming model, the same concepts are supported for the trusted ID evaluator, although the implementation is different. For the JAX-WS run time, use the administrative console to select the **Use identity assertion** option on the caller binding panel. This defines the trusted identity token type, and then defines a list of one or more trusted identities. The trusted ID evaluator validates the trusted identity token against the list of trusted identities. For more information about the list of trusted identities, read the topic Changing the order of the callers for a token or message part.

For WebSphere Application Server Version 6.1 and later, the Caller and TrustMethod elements are used to support the requestor login. The requestor sends a message to an intermediary, and the message is dispatched to the service. Based on the security information, the service performs a login for the requestor. In some cases, there are multiple security tokens, so the service has to decide which one to use. When the requestor ID is included as an ID assertion, the service can specify how to trust the intermediary. The following intermediary scenarios are supported:

#### <BasicAuth, null, null>

The requestor username and password is used for authentication. In this case, authentication is performed with requestor properties, therefore a password is required for authentication.

#### <Signature, null, null>

The requestor signature is used for authentication.

#### <IDAssertion, Username, null>

The requestor username (without a password) is used to identify the requestor. The UsernameToken token is used as the ID assertion, therefore no password is required to accompany the username. In this case, the service trusts the intermediary unconditionally.

#### <IDAssertion, Username, Username>

The requestor username (without a password) is used to identify the requestor, and the username and password of the intermediary is used to authenticate the intermediary. The UsernameToken token, when used to establish trusted identity, always requires a password because the purpose of the token is to establish trust between the intermediary and the service.

#### <IDAssertion, Username, X509>

The requestor username (without a password) is used to identify the requestor, and the signature of the intermediary is used to authenticate the intermediary. In this case, the trusted identity for the signature of the intermediary must be established using an X.509 certificate.

#### <IDAssertion, X509, null>

The identity of the requestor is established using an X.509 certificate. In this case, the X.509 certificate from the requestor does not provide a signature to prove possession of the certificate, and therefore the service trusts the intermediary unconditionally.

#### <IDAssertion, X509, Username>

The identity of the requestor is established using an X.509 certificate, and the username and password of the intermediary is used to authenticate the intermediary. The UsernameToken token,

when used to establish trusted identity, always requires a password because the purpose of the token is to establish trust between the intermediary and the service.

#### <IDAssertion, X509, X509>

The identity of the requestor is established using an X.509 certificate, and the signature of intermediary is used to authenticate the intermediary.

#### *Hardware cryptographic device support for Web Services Security:*

In IBM WebSphere Application Server Version 6.1 or later, Web Services Security supports the use of cryptographic hardware devices. There are two ways in which to use hardware cryptographic devices with Web Services Security.

#### **Enabling cryptographic operations on hardware devices**

You can enable cryptographic operations on hardware devices. The keys that are used can be stored in a Java keystore file; it is not necessary to store them on the hardware device. The decision to use enable cryptographic operations on hardware devices is made at the server level only, not at the application level.

If cryptographic operations on hardware device is enabled, the Web Service Security run time first attempts to use the hardware device for cryptographic operations. If the attempt to use the hardware device fails or if the algorithm is not supported by the hardware device, the run time uses a software provider from the security providers list.

Enabling this feature might improve the performance, depending on the hardware device. For more information on how to enable cryptographic operations on hardware devices, see *Configuring hardware cryptographic devices for Web Services Security*.

#### **Secure keys**

Cryptographic keys can be stored on the hardware cryptographic device and never leave the device. These secure keys are confined to the hardware cryptographic device for security considerations rather than performance considerations. The option to select whether to use keys that are stored in a hardware cryptographic device or a Java keystore file can be made at the application level.

If the keystore reference is specified to be a hardware device configuration, the Web Services Security run time first attempts to obtain the cryptographic algorithm from the hardware device. If the algorithm is not supported or fails, the run time uses a software provider from the security providers list.

See further information about how to enable secure keys, see *Enabling cryptographic keys stored in hardware devices in Web Services Security*.

#### **Limitations**

The hardware cryptographic device support for Web Services Security currently has the following limitations:

- There is no support for a web services client running as a Java Platform, Enterprise Edition (Java EE) Application Client.
- There is no support for hardware cryptographic devices on iSeries®.
- Only Version 6.1 and later, Web Services Security applications can take advantage of the hardware cryptographic support.

**Note:** Versions 5.x and 6.0.x Web Services Security applications can run in a Version 6.1 WebSphere Application Server, but these versions cannot take advantage of the hardware cryptographic support.

### **Long-term usage of session keys**

You can configure WebSphere Application Server to use the hardware keystore, or you can configure the hardware acceleration card to allow the long-term usage of session keys. Session keys might be insecure.

If you are concerned about insecure session keys, configure WebSphere Application Server to use the hardware keystore. See the information about how to enable cryptographic keys that are stored in hardware devices in Web Services Security.

To configure the hardware acceleration card to allow the long-term usage of session keys, see the manufacturer's documentation for the specific hardware acceleration card. For example:

1. For the nCipher nforce 1600 server Version 2.23.6, follow the nCipher documentation instructions.
2. You can set the `CKNFAST_SECURITY_ASSURANCES_OVERRIDE=longterm` parameter in the `cknfastrc` configuration file. This configuration change eliminates the time limit that is associated with session keys.
3. Follow the documentation for Cipher to restart the nCipher server.
4. Restart WebSphere Application Server.

### **Default configuration:**

You can use sample configurations with the administrative console for testing purposes. The configurations that you specify are reflected on the cell or server level.

The information in the following sections describes sample default bindings, sample general bindings, and samples for key stores, key locators, collection certificate store, trust anchors, and trusted ID evaluators. You can develop web services using the Java API for XML-based RPC (JAX-RPC) programming model, or for WebSphere Application Server Version 7, using the Java API for XML-Based Web Services (JAX-WS) programming model. Samples that are provided with WebSphere Application Server differ depending on which programming model you use.

**best-practices:** IBM WebSphere Application Server supports the Java API for XML-Based Web Services (JAX-WS) programming model and the Java API for XML-based RPC (JAX-RPC) programming model. JAX-WS is the next generation web services programming model extending the foundation provided by the JAX-RPC programming model. Using the strategic JAX-WS programming model, development of web services and clients is simplified through support of a standards-based annotations model. Although the JAX-RPC programming model and applications are still supported, take advantage of the easy-to-implement JAX-WS programming model to develop new web services applications and clients.

Do not use these sample configurations in a production environment as they are for sample and testing purposes only. To make modifications to these sample configurations, it is recommended that you use the administrative console provided by WebSphere Application Server.

Detailed information on the sample general bindings for the JAX-WS programming model is available in the topic General sample bindings for JAX-WS applications.

Information on configuring default bindings, key stores, key locators, collection certificate store, trust anchors, and trusted ID evaluators for the JAX-RPC programming model is available in the topic Default sample configurations for JAX-RPC.

### *General sample bindings for JAX-WS applications:*

You can use sample bindings with the administrative console for testing purposes. The configurations that you specify are reflected on the cell or server level.

WebSphere Application Server Version 7.0 and later includes provider and client sample bindings for testing purposes. In the bindings, the product provides sample values for supporting tokens for different token types, such as the X.509 token, the username token, the LTPA token, and the Kerberos token. The bindings also include sample values for message protection information for token types such as X.509 and secure conversation. Both provider and client sample bindings can be applied to the applications attached with a system policy set, or application policy set, from the default local repository.

This information describes the general sample bindings for the Java API for XML-Based Web Services (JAX-WS) programming model. You can develop web services using the Java API for XML-based RPC (JAX-RPC) programming model, or for WebSphere Application Server Version 7.0 and later, using the Java API for XML-Based Web Services (JAX-WS) programming model. Sample general bindings may differ depending on which programming model you use. The following sections, describing various general sample bindings, are provided:

- “General client sample bindings”
- “Client sample bindings V2” on page 896
- “General provider sample bindings” on page 899
- “Provider sample bindings V2” on page 903

**best-practices:** IBM WebSphere Application Server supports the Java API for XML-Based Web Services (JAX-WS) programming model and the Java API for XML-based RPC (JAX-RPC) programming model. JAX-WS is the next generation web services programming model extending the foundation provided by the JAX-RPC programming model. Using the strategic JAX-WS programming model, development of web services and clients is simplified through support of a standards-based annotations model. Although the JAX-RPC programming model and applications are still supported, take advantage of the easy-to-implement JAX-WS programming model to develop new web services applications and clients.

Do not use these provider and client sample bindings in their default state in a production environment. You must modify the bindings to meet your security needs before using them in a production environment by making a copy of the bindings and then modifying the copy. For example, you must change the key and keystore settings to ensure security, and modify the binding settings to match your environment.

One set of general default bindings is shared by the applications to make application deployment easier. You can specify default bindings for your service provider or client that are used at the global security (cell) level, for a security domain, or for a particular server. The default bindings are used in the absence of an overriding binding specified at a lower scope. The order of precedence from lowest to highest that the application server uses to determine which default bindings to use is as follows:

1. Server level default
2. Security domain level default
3. Global security (cell) default

### **General client sample bindings**

- The sample configuration for signing information generation, called `asymmetric-signingInfoRequest`, contains the following configuration:
  - References the `gen_signkeyinfo` signing key information.
  - The part reference configuration, which contains the transform configuration using the `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm.

- The signing key information, `gen_signkeyinfo`, which contains this configuration:
  - The security token reference.
  - The `gen_signx509token` protection token asymmetric signature generator, as follows:
    - Contains the X.509 V3 Token v1.0 token type.
    - Contains the `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3` value type for the local part value.
    - Contains the `wss.generate.x509` JAAS login
  - The X.509 Callback Handler. The callback handler calls the custom keystore in `${USER_INSTALL_ROOT}/etc/ws-security/samples/dsigsender.ks`, with these characteristics:
    - The keystore type is JKS.
    - The keystore password is `client`.
    - The alias name of the trusted certificate is `soapca`.
    - The alias name of the personal certificate is `soaprequester`.
    - The key password `client` issued by the intermediary certificate authority Int CA2, which is in turn issued by `soapca`.
- The signature method `http://www.w3.org/2000/09/xmlsig#rsa-sha1`.
- The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
- The sample configuration for signing information generation called `symmetric-signingInfoRequest` contains the following configuration:
  - References the `gen_signsctkeyinfo` signing key information.
  - The part reference configuration, which contains the transform configuration using the `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm.
  - The signing key information, `gen_signsctkeyinfo`, which contains this configuration:
    - The security token reference.
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `gen_scttoken` protection token generator, as follows:
      - Contains the Secure Conversation Token Version 1.3 token type.
      - Contains the `http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct` value type as the local part value.
      - Contains `wss.generate.sct` JAAS login
    - The WS-Trust Callback Handler.
  - The signature method `http://www.w3.org/2000/09/xmlsig#hmac-sha1`.
  - The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
  - The sample configuration for encryption information generation, called `asymmetric-encryptionInfoRequest`, contains the following configuration:
    - References the `gen_enckeyinfo` encryption key information.
    - Encryption key information, named `gen_enckeyinfo`, which contains this configuration:
      - The key identifier.
      - The `gen_encx509token` protection token asymmetric encryption generator, as follows:
        - Keystore type is JCEKS.
        - Keystore password is `client`.

- Alias name of the trusted certificate is soapca.
- Alias name of the personal certificate is bob.
- Key password client issued by intermediary certificate authority Int CA2, which is in turn issued by soapca.
- The X.509 Callback Handler. The callback handler calls the custom keystore in `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-sender.jceks`.
- The key encryption method `http://www.w3.org/2001/04/xmlenc#rsa-1_5`.
- The sample configuration for encryption information generation, called `symmetric-encryptionInfoRequest`, contains the following configuration:
  - References the `gen_encsctkeyinfo` encryption key information.
  - The encryption key information, `gen_encsctkeyinfo`, which contains this configuration:
    - The security token reference.
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `gen_scttoken` protection token generator, which contains the following configuration:
      - Contains the Secure Conversation Token v1.3 token type.
      - Contains the `http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct` value type for the local part value.
      - Contains `wss.generate.sct` JAAS login.
    - The WS-Trust Callback Handler.
  - The data encryption method `http://www.w3.org/2001/04/xmlenc#aes128-cbc`.
- The sample configuration for signing information consumption, called `asymmetric-signingInfoResponse`, contains the following configuration:
  - References the `con_signkeyinfo` signing key information.
  - The part reference configuration, which uses the transform configuration `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm.
  - The signing key information, named `con_signkeyinfo`, which contains the following configuration:
    - The `con_signx509token` protection token asymmetric signature consumer, as follows:
      - Contains the X.509 V3 Token v1.0 token type.
      - Contains the `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3` value type for the local part value.
      - Contains the `wss.consume.x509` JAAS login.
    - The X.509 Callback Handler, as follows:
      - References a certificate store named `DigSigCertStore`.
      - References a trusted anchor store named `DigSigTrustAnchor`.
  - The signature method `http://www.w3.org/2000/09/xmldsig#rsa-sha1`.
  - The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
- The sample configuration for signing information consumption, called `symmetric-signingInfoResponse`, contains the following configuration:
  - References the `con_sctsignkeyinfo` signing key information.
  - The part reference configuration, which uses the transform configuration `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm.
  - The signing key information, named `con_sctsignkeyinfo`, which contains the following configuration:



- The derived key, as follows:
  - Requires explicit derived key token.
  - WS-SecureConversation as the client label.
  - WS-SecureConversation as the service label.
  - Key length of 16 bytes.
  - Nonce length of 16 bytes.
- The con\_scttoken protection token consumer, as follows:
  - Contains the Secure Conversation Token v1.3 token type.
  - Contains the `http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct` value type for the local part value.
  - Contains the `wss.consume.sct` JAAS login.
- The WS-SecureConversation Callback Handler.
- The signature method `http://www.w3.org/2000/09/xmlsig#hmac-sha1`.
- The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
- The sample configuration for encryption information consumption, called `asymmetric-encryptionInfoResponse`, which contains the following configuration:
  - References the `dec_keyinfo` encryption key information.
  - The encryption key information, named `dec_keyinfo`, which contains the following configuration:
    - The con\_encx509token protection token asymmetric encryption consumer, as follows:
      - Contains the X.509 V3 Token v1.0 token type.
      - Contains the `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3` value type for the local part value.
      - Contains the `wss.consume.x509` JAAS login.
    - The X.509 Callback Handler. The callback handler calls the custom keystore in `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-sender.jceks`, with the follow characteristics:
      - The keystore type is JCEKS.
      - The keystore password is `client`.
      - The alias name of the trusted certificate is `soapca`.
      - The alias name of the personal certificate is `alice`.
      - The key password client issued by intermediary certificate authority Int CA2, which is in turn issued by `soapca`.
  - The key encryption method `http://www.w3.org/2001/04/xmlenc#rsa-1_5`.
- The sample configuration for encryption information consumption, called `symmetric-encryptionInfoResponse`, contains the following configuration:
  - References the `dec_sctkeyinfo` encryption key information.
  - The encryption key information, named `dec_sctkeyinfo`, contains the following configuration:
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The con\_scttoken protection token consumer, as follows:
      - Contains the Secure Conversation Token v1.3 token type.

- Contains the `http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct` value type for the local part value.
  - Contains the `wss.consume.sct` JAAS login.
- The WS-SecureConversation Callback Handler.
- The data encryption method `http://www.w3.org/2001/04/xmlenc#aes128-cbc`.
- The sample configuration for authentication token generation, called `gen_signkrb5token`, contains the following configuration:
  - The custom token type for the Kerberos v5 token, which uses `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` for the local part value.
  - The `wss.generate.KRB5BST` JAAS login.
  - The following custom properties:
    - `com.ibm.wsspi.wssecurity.krbtoken.targetServiceName`, the target Kerberos service name.
    - `com.ibm.wsspi.wssecurity.krbtoken.targetServiceHost`, the host name associated with the target Kerberos service name,

You must provide the correct values for your environment before using this configuration.
  - The custom Kerberos token callback handler. You must provide the correct values for the Kerberos client principal and password.
- The sample configuration for authentication token generation, called `gen_signltpaprop` token, contains the following configuration:
  - The token type LTPA propagation token, as follows:
    - Contains `LTPA_PROPAGATION` for the local part value.
    - Contains `http://www.ibm.com/websphere/appserver/tokentype` for the Namespace URI value.
  - Contains the `wss.generate.ltpaProp` JAAS login.
  - Uses the LTPA token callback handler.
- The sample configuration for authentication token generation, called `gen_signltpatoken`, contains the following configuration:
  - The token type of LTPA Token v2.0, as follows:
    - Contains `LTPA_PROPAGATION` for the local part value.
    - Contains `http://www.ibm.com/websphere/appserver/tokentype` for the Namespace URI value.
  - The `wss.generate.ltpa` JAAS login.
  - The LTPA token callback handler.
- The sample configuration for authentication token generation, called `gen_signunametoken`, contains the following configuration:
  - The token type of Username Token v1.0, which uses `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-username-token-profile-1.0#UsernameToken` for the local part value.
  - The `wss.generate.unt` JAAS login.
  - The Username token callback handler, as follows:
    - Contains basic authentication fields. You must provide the correct values for your environment for client principal and password.
    - Contains the following custom properties:
      - `com.ibm.wsspi.wssecurity.token.username.addNonce` for adding the nonce value.
      - `com.ibm.wsspi.wssecurity.token.username.addTimestamp` for adding the time stamp value.

## Client sample bindings V2

Two new general sample bindings, Client sample V2, and Provider sample V2, have been added to the product. While many of the configurations are the same as previous versions of the client sample and provider sample bindings, there are several additional, new sample configurations. To use these new

bindings, create a new profile after installing the product. For more information, read the topic Configuring Kerberos policy sets and V2 general sample bindings.

- The sample configuration for signing information generation, called `symmetric-KrbsignInfoRequest`, contains the following configuration:
  - References the `gen_reqKRBSignkeyinfo` signing key information.
  - The part reference configuration, which contains the transform configuration using the `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm.
  - The signing key information, `gen_reqKRBSignkeyinfo`, which contains this configuration:
    - The security token reference.
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `gen_krb5token` protection token generator, as follows:
      - Contains the Kerberos V5 GSS AP\_REQ binary security token type.
      - Contains the `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` value type as the local part value.
      - Contains `wss.generate.KRB5BST JAAS` login
    - The `com.ibm.websphere.wssecurity.callbackhandler.KRBTokenGenerateCallbackHandler`.
  - The signature method `http://www.w3.org/2000/09/xmlsig#hmac-sha1`.
  - The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
- The sample configuration for encryption information generation, called `symmetric-KrbEncInfoRequest`, contains the following configuration:
  - References the `gen_reqKRBenckeyinfo` encryption key information.
  - The encryption key information, `gen_reqKRBenckeyinfo`, which contains this configuration:
    - The security token reference.
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `gen_krb5token` protection token generator, which contains the following configuration:
      - Contains the Kerberos V5 GSS AP\_REQ binary security token type.
      - Contains the `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` value type for the local part value.
      - Contains `wss.generate.KRB5BST JAAS` login.
    - The `com.ibm.websphere.wssecurity.callbackhandler.KRBTokenGenerateCallbackHandler`.
  - The data encryption method `http://www.w3.org/2001/04/xmlenc#aes128-cbc`.
- The sample configuration for signing information consumption, called `symmetric-KrbsignInfoResponse`, contains the following configuration:
  - References the `con_respKRBSignkeyinfo` signing key information.
  - The part reference configuration, which uses the transform configuration `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm.

- The signing key information, named `con_respKRBsignkeyinfo`, which contains the following configuration:
  - The derived key, as follows:
    - Requires explicit derived key token.
    - WS-SecureConversation as the client label.
    - WS-SecureConversation as the service label.
    - Key length of 16 bytes.
    - Nonce length of 16 bytes.
  - The `con_krb5token` protection token consumer, as follows:
    - Contains the Kerberos V5 GSS AP\_REQ binary security token type.
    - Contains the `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` value type for the local part value.
    - Contains the `wss.consume.KRB5BST JAAS` login.
  - The `com.ibm.websphere.wssecurity.callbackhandler.KRBTokenConsumeCallbackHandler`
- The signature method `http://www.w3.org/2000/09/xmlsig#hmac-sha1`.
- The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
- The sample configuration for encryption information consumption, called `symmetric-KrbEncInfoResponse`, contains the following configuration:
  - References the `con_respKRBenckeyinfo` encryption key information.
  - The encryption key information, named `con_respKRBenckeyinfo`, contains the following configuration:
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `con_krb5token` protection token consumer, as follows:
      - Contains the Kerberos V5 GSS AP\_REQ binary security token type.
      - Contains the `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` value type for the local part value.
      - Contains the `wss.consume.KRB5BST JAAS` login.
    - The `com.ibm.websphere.wssecurity.callbackhandler.KRBTokenConsumeCallbackHandler`.
  - The data encryption method `http://www.w3.org/2001/04/xmlenc#aes128-cbc`.
- The sample configuration for authentication token generation, called `gen_krb5token`, contains the following configuration:
  - The custom token type for the Kerberos V5 token, which uses `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` for the local part value.
  - The `wss.generate.KRB5BST JAAS` login.
  - The following custom properties:
    - `com.ibm.wsspi.wssecurity.krbtoken.targetServiceName`, the target Kerberos service name.
    - `com.ibm.wsspi.wssecurity.krbtoken.targetServiceHost`, the host name associated with the target Kerberos service name.

**Note:** You must provide the correct values for your environment before using this configuration.

- The custom Kerberos token callback handler.

**Note:** You must provide the correct values for the Kerberos client principal and password.

- The sample configuration for authentication token generation, called `con_krb5token`, contains the following configuration:
  - The custom token type for the Kerberos V5 token, which uses `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` for the local part value.
  - The `wss.consume.KRB5BST JAAS` login.
  - The custom Kerberos token callback handler.

### General provider sample bindings

- The sample configuration for signing information consumption, called `asymmetric-signingInfoRequest`, contains the following configuration:
  - References the `con_signkeyinfo` signing key information.
  - The part reference configuration, which uses the transform configuration `http://www.w3.org/2001/10/xml-exc-c14n# algorithm`.
  - The signing key information, named `con_signkeyinfo`, which contains the following configuration:
    - The `con_signx509token` protection token asymmetric signature consumer, as follows:
      - Contains the X.509 V3 Token v1.0 token type.
      - Contains the `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3` value type for the local part value.
      - Contains the `wss.consume.x509 JAAS` login.
    - The X.509 Callback Handler, as follows:
      - References a certificate store named `DigSigCertStore`.
      - References a trusted anchor store named `DigSigTrustAnchor`.
  - The signature method `http://www.w3.org/2000/09/xmldsig#rsa-sha1`.
  - The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
- The sample configuration for signing information consumption, called `symmetric-signingInfoRequest`, contains the following configuration:
  - References the `con_sctsignkeyinfo` signing key information.
  - The part reference configuration, which uses the transform configuration `http://www.w3.org/2001/10/xml-exc-c14n# algorithm`.
  - The signing key information, named `con_sctsignkeyinfo`, which contains the following configuration:
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `con_scttoken` protection token generator, as follows:
      - Contains the Secure Conversation Token v1.3 token type.
      - Contains the `http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct` value type for the local part value.
      - Contains the `wss.consume.sct JAAS` login.
    - The WS-SecureConversation Callback Handler.
  - The signature method `http://www.w3.org/2000/09/xmldsig#hmac-sha1`.
  - The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
- The sample configuration for encryption information consumption, called `asymmetric-encryptionInfoRequest`, contains the following configurations:
  - References the `dec_keyinfo` encryption key information.

- The encryption key information, named `dec_keyinfo`, which contains the following configuration:
  - The `con_encx509token` protection token asymmetric encryption consumer, as follows:
    - Contains the X.509 V3 Token v1.0 token type.
    - Contains the `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3` value type for the local part value.
    - Contains the `wss.consume.x509` JAAS login.
  - The X.509 Callback Handler. The callback handler calls the custom keystore in `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-receiver.jceks`, with the following characteristics:
    - The keystore type is JCEKS.
    - The keystore password is `client`.
    - The alias name of the trusted certificate is `soapca`.
    - The alias name of the personal certificate is `bob`.
    - The key password `client` issued by intermediary certificate authority `Int CA2`, which is in turn issued by `soapca`.
- The key encryption method `http://www.w3.org/2001/04/xmlenc#rsa-1_5`.
- The sample configuration for encryption information consumption, called `symmetric-encryptionInfoRequest`, contains the following configuration:
  - References the `dec_sctkeyinfo` encryption key information.
  - The encryption key information, named `dec_sctkeyinfo`, which contains the following configuration:
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `con_scttoken` protection token consumer, as follows:
      - Contains the Secure Conversation Token v1.3 token type.
      - Contains the `http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct` value type for the local part value.
      - Contains the `wss.consume.sct` JAAS login.
    - The WS-SecureConversation Callback Handler.
  - The data encryption method `http://www.w3.org/2001/04/xmlenc#aes128-cbc`.
- The sample configuration for signing information generation, called `asymmetric-signingInfoResponse`, contains the following configuration:
  - References the `gen_signkeyinfo` signing key information.
  - The part reference configuration, which uses the transform configuration `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm.
  - The signing key information, named `gen_signkeyinfo`, which contains the following configuration:
    - The security token reference.
    - The `gen_signx509token` protection token asymmetric signature generator, as follows:
      - Contains the X.509 V3 Token v1.0 token type.
      - Contains the `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3` value type for the local part value.
      - Contains the `wss.generate.x509` JAAS login.

- The X.509 Callback Handler. The callback handler calls the custom keystore in `${USER_INSTALL_ROOT}/etc/ws-security/samples/dsig-receiver.ks`, with the following characteristics:
  - The keystore type is JKS.
  - The keystore password is `client`.
  - The alias name of the trusted certificate is `soapca`.
  - The alias name of the personal certificate is `soapprovider`.
  - The key password client issued by intermediary certificate authority Int CA2, which is in turn issued by `soapca`.
- The signature method `http://www.w3.org/2000/09/xmlsig#rsa-sha1`.
- The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
- The sample configuration for signing information generation, called `symmetric-signingInfoResponse`, contains the following configuration:
  - References the `gen_signsctkeyinfo` signing key information.
  - The part reference configuration, which uses the transform configuration `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm.
  - The signing key information, named `gen_signsctkeyinfo`, which contains the following configuration:
    - The security token reference.
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `gen_scttoken` protection token generator, as follows:
      - Contains the Secure Conversation Token v1.3 token type.
      - Contains the `http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct` value type for the local part value.
      - Contains the `wss.generate.sct` JAAS login.
    - The WS-Trust Callback Handler.
  - The signature method `http://www.w3.org/2000/09/xmlsig#hmac-sha1`.
  - The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
- The sample configuration for encryption information generation, called `asymmetric-encryptionInfoResponse`, contains the following configuration:
  - References the `gen_enckeyinfo` encryption key information.
  - The encryption key information, named `gen_enckeyinfo`, contains the following configuration
    - The key identifier.
    - The `gen_encx509token` protection token asymmetric encryption generator, as follows:
      - Contains the X.509 V3 Token v1.0 token type.
      - Contains the `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3` value type for the local part value.
      - Contains the `wss.generate.x509` JAAS login.
    - Uses X.509 Callback Handler. The callback handler calls the custom keystore in `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-receiver.jceks`, with the following characteristics:
      - The keystore type is JCEKS.
      - The keystore password is `client`.

- The alias name of the trusted certificate is soapca.
- The alias name of the personal certificate is alice.
- The key password client issued by intermediary certificate authority Int CA2, which is in turn issued by soapca.
- The key encryption method `http://www.w3.org/2001/04/xmlenc#rsa-1_5`.
- The sample configuration for encryption information generation, called `symmetric-encryptionInfoResponse`, contains the following configuration:
  - References the `gen_encsctkeyinfo` encryption key information.
  - The encryption key information, named `gen_encsctkeyinfo`, contains the following configuration:
    - The security token reference.
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `gen_scttoken` protection token generator, as follows:
      - Contains the Secure Conversation Token v1.3 token type.
      - Contains the `http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct` value type for the local part value.
      - Contains the `wss.generate.sct` JAAS login.
    - The WS-Trust Callback Handler.
  - The data encryption method `http://www.w3.org/2001/04/xmlenc#aes128-cbc`.
- The sample configuration for authentication token consumption, called `con_krb5token`, contains the following configuration:
  - The custom token type for Kerberos v5 token, which uses `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` for the local part value.
  - The `wss.consume.KRB5BST` JAAS login.
  - The custom Kerberos token callback handler.
- The sample configuration for authentication token consumption, called `con_ltpaprotoken`, contains the following configuration:
  - The token type LTPA propagation token.
  - The `wss.consume.ltpaProp` JAAS login.
  - The LTPA token callback handler.
- The sample configuration for authentication token consumption, called `con_ltpatoken`, contains the following configuration:
  - The token type LTPA Token v2.0, with the following characteristics:
    - Contains LTPAv2 for the local part value.
    - Contains `http://www.ibm.com/websphere/appserver/tokentype` for the Namespace URI value.
  - The `wss.consume.ltpa` JAAS login
  - The LTPA token callback handler.
- The sample configuration for authentication token consumption, called `con_unametoken`, contains the following configuration:
  - Token type Username Token v1.0, which uses `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-username-token-profile-1.0#UsernameToken` for the local part value.
  - The `wss.consume.unt` JAAS login.
  - The Username token callback handler, with the following custom properties:



- `com.ibm.wsspi.wssecurity.token.username.verifyNonce` for verifying the nonce value.
- `com.ibm.wsspi.wssecurity.token.username.verifyTimestamp` for verifying the time stamp value.

## Provider sample bindings V2

Two new general sample bindings, Client sample V2, and Provider sample V2, have been added to the product. While many of the configurations are the same as previous versions of the client sample and provider sample bindings, there are several additional, new sample configurations. To use these new bindings, create a new profile after installing the product. For more information, read the topic [Configuring Kerberos policy sets and V2 general sample bindings](#).

- The sample configuration for signing information generation, called `symmetric-KrbsignInfoRequest`, contains the following configuration:
  - References the `con_respKRBSignkeyinfo` signing key information.
  - The part reference configuration, which contains the transform configuration using the `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm.
  - The signing key information, `con_respKRBSignkeyinfo`, which contains this configuration:
    - The security token reference.
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `con_krb5token` protection token consumer, as follows:
      - Contains the Kerberos V5 GSS AP\_REQ binary security token type.
      - Contains the `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` value type as the local part value.
      - Contains `wss.consume.KRB5BST JAAS` login.
    - The `com.ibm.websphere.wssecurity.callbackhandler.KRBTokenConsumeCallbackHandler`.
  - The signature method `http://www.w3.org/2000/09/xmlsig#hmac-sha1`.
  - The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
- The sample configuration for encryption information generation, called `symmetric-KrbEncInfoRequest`, contains the following configuration:
  - References the `con_reqKRBenckeyinfo` encryption key information.
  - The encryption key information, `con_reqKRBenckeyinfo`, which contains this configuration:
    - The security token reference.
    - The derived key, as follows:
      - Requires explicit derived key token.
      - WS-SecureConversation as the client label.
      - WS-SecureConversation as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `con_krb5token` protection token consumer, which contains the following configuration:
      - Contains the Kerberos V5 GSS AP\_REQ binary security token type.
      - Contains the `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` value type for the local part value.
      - Contains `wss.consume.KRB5BST JAAS` login.

- The `com.ibm.websphere.wssecurity.callbackhandler.KRBTokenConsumeCallbackHandler`.
- The data encryption method `http://www.w3.org/2001/04/xmlenc#aes128-cbc`.
- The sample configuration for signing information consumption, called `symmetric-KrbsignInfoResponse`, contains the following configuration:
  - References the `gen_respKRBSignkeyinfo` signing key information.
  - The part reference configuration, which uses the transform configuration `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm.
  - The signing key information, named `gen_respKRBSignkeyinfo`, which contains the following configuration:
    - The derived key, as follows:
      - Requires explicit derived key token.
      - `WS-SecureConversation` as the client label.
      - `WS-SecureConversation` as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `gen_krb5token` protection token generator, as follows:
      - Contains the Kerberos V5 GSS AP\_REQ binary security token type.
      - Contains the `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` value type for the local part value.
      - Contains the `wss.generate.KRB5BST JAAS` login.
    - The `com.ibm.websphere.wssecurity.callbackhandler.KRBTokenGenerateCallbackHandler`.
  - The signature method `http://www.w3.org/2000/09/xmlsig#hmac-sha1`.
  - The canonicalization method `http://www.w3.org/2001/10/xml-exc-c14n#`.
- The sample configuration for encryption information consumption, called `symmetric-KrbEncInfoResponse`, contains the following configuration:
  - References the `gen_respKRBenckeyinfo` encryption key information.
  - The encryption key information, named `gen_respKRBenckeyinfo`, contains the following configuration:
    - The derived key, as follows:
      - Requires explicit derived key token.
      - `WS-SecureConversation` as the client label.
      - `WS-SecureConversation` as the service label.
      - Key length of 16 bytes.
      - Nonce length of 16 bytes.
    - The `gen_krb5token` protection token generator, as follows:
      - Contains the Kerberos V5 GSS AP\_REQ binary security token type.
      - Contains the `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` value type for the local part value.
      - Contains the `wss.generate.KRB5BST JAAS` login.
    - The `com.ibm.websphere.wssecurity.callbackhandler.KRBTokenGenerateCallbackHandler`
  - The data encryption method `http://www.w3.org/2001/04/xmlenc#aes128-cbc`.
- The sample configuration for authentication token generation, called `gen_krb5token`, contains the following configuration:
  - The custom token type for the Kerberos V5 token, which uses `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` for the local part value.
  - The `wss.generate.KRB5BST JAAS` login.
  - The custom Kerberos token callback handler.

- The sample configuration for authentication token generation, called `con_krb5token`, contains the following configuration:
  - The custom token type for the Kerberos V5 token, which uses `http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ` for the local part value.
  - The `wss.consume.KRB5BST JAAS` login.
  - The custom Kerberos token callback handler.

*Default sample configurations for JAX-RPC:*

Use sample configurations with the administrative console for testing purposes. The configurations that you specify are reflected on the cell or server level.

This information describes the sample default bindings, key stores, key locators, collection certificate store, trust anchors, and trusted ID evaluators for WebSphere Application Server using the API for XML-based RPC (JAX-RPC) programming model. You can develop web services using the Java API for XML-based RPC (JAX-RPC) programming model, or for WebSphere Application Server Version 7 and later, using the Java API for XML-Based Web Services (JAX-WS) programming model. Sample default bindings, key stores, key locators, collection certificate store, trust anchors, and trusted ID evaluator may differ depending on which programming model you use.

**best-practices:** IBM WebSphere Application Server supports the Java API for XML-Based Web Services (JAX-WS) programming model and the Java API for XML-based RPC (JAX-RPC) programming model. JAX-WS is the next generation web services programming model extending the foundation provided by the JAX-RPC programming model. Using the strategic JAX-WS programming model, development of web services and clients is simplified through support of a standards-based annotations model. Although the JAX-RPC programming model and applications are still supported, take advantage of the easy-to-implement JAX-WS programming model to develop new web services applications and clients.

Do not use these configurations in a production environment as they are for sample and testing purposes only. To make modifications to these sample configurations, it is recommended that you use the administrative console provided by WebSphere Application Server.

For a Web Services Security-enabled application, you must correctly configure a deployment descriptor and a binding. In WebSphere Application Server, one set of default bindings is shared by the applications to make application deployment easier. The default binding information for the cell level and the server level can be overridden by the binding information on the application level. The Application Server searches for binding information for an application on the application level before searching the server level, and then the cell level.

The following sample configurations are for WebSphere Application Server using the API for XML-based RPC (JAX-RPC) programming model.

### **Default generator binding**

WebSphere Application Server provides a sample set of default generator bindings. The default generator bindings contain both signing information and encryption information.

The sample signing information configuration is called `gen_signinfo` and contains the following configurations:

- Uses the following algorithms for the `gen_signinfo` configuration:
  - Signature method: `http://www.w3.org/2000/09/xmldsig#rsa-sha1`
  - Canonicalization method: `http://www.w3.org/2001/10/xml-exc-c14n#`

- References the `gen_signkeyinfo` signing key information. The following information pertains to the `gen_signkeyinfo` configuration:
  - Contains a part reference configuration that is called `gen_signpart`. The part reference is not used in default binding. The signing information applies to all of the Integrity or Required Integrity elements within the deployment descriptors and the information is used for naming purposes only. The following information pertains to the `gen_signpart` configuration:
    - Uses the transform configuration called `transform1`. The following transforms are configured for the default signing information:
      - Uses the `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm
      - Uses the `http://www.w3.org/2000/09/xmlsig#sha1` digest method
  - Uses the security token reference, which is the configured default key information.
  - Uses the `SampleGeneratorSignatureKeyStoreKeyLocator` key locator. For more information on this key locator, see “Default sample configurations for JAX-RPC” on page 905.
  - Uses the `gen_sigtgen` token generator, which contains the following configuration:
    - Contains the X.509 token generator, which generates the X.509 token of the signer.
    - Contains the `gen_sigtgen_vtype` value type URI.
    - Contains the `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3` value type local name value.
  - Uses X.509 Callback Handler. The callback handler calls the `${USER_INSTALL_ROOT}/etc/ws-security/samples/dsig-sender.ks` key store.
    - The key store password is `client`.
    - The alias name of the trusted certificate is `soapca`.
    - The alias name of the personal certificate is `soaprequester`.
    - The key password client issued by intermediary certificate authority Int CA2, which is in turn issued by `soapca`.

The sample encryption information configuration is called `gen_encinfo` and contains the following configurations:

- Uses the following algorithms for the `gen_encinfo` configuration:
  - Data encryption method: `http://www.w3.org/2001/04/xmlenc#tripleDES-cbc`
  - Key encryption method: `http://www.w3.org/2001/04/xmlenc#rsa-1_5`
- References the `gen_enckeyinfo` encryption key information. The following information pertains to the `gen_enckeyinfo` configuration:
  - Uses the key identifier as the default key information.
  - Contains a reference to the `SampleGeneratorEncryptionKeyStoreKeyLocator` key locator. For more information on this key locator, see “Default sample configurations for JAX-RPC” on page 905.
  - Uses the `gen_sigtgen` token generator, which has the following configuration:
    - Contains the X.509 token generator, which generates the X.509 token of the signer.
    - Contains the `gen_enctgen_vtype` value type URI.
    - Contains the `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3` value type local name value.
  - Uses X.509 Callback Handler. The callback handler calls the `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-sender.jceks` key store.
    - The key store password is `storepass`.
    - The secret key CN=Group1 has an alias name of `Group1` and a key password of `keypass`.
    - The public key CN=Bob, O=IBM, C=US has an alias name of `bob` and a key password of `keypass`.
    - The private key CN=Alice, O=IBM, C=US has an alias name of `alice` and a key password of `keypass`.

## Default consumer binding

WebSphere Application Server provides a sample set of default consumer binding. The default consumer binding contain both signing information and encryption information.

The sample signing information configuration is called `con_signinfo` and contains the following configurations:

- Uses the following algorithms for the `con_signinfo` configuration:
  - Signature method: `http://www.w3.org/2000/09/xmlsig#rsa-sha1`
  - Canonicalization method: `http://www.w3.org/2001/10/xml-exc-c14n#`
- Uses the `con_signkeyinfo` signing key information reference. The following information pertains to the `con_signkeyinfo` configuration:
  - Contains a part reference configuration that is called `con_signpart`. The part reference is not used in default binding. The signing information applies to all of the Integrity or RequiredIntegrity elements within the deployment descriptors and the information is used for naming purposes only. The following information pertains to the `con_signpart` configuration:
    - Uses the transform configuration called `reqint_body_transform1`. The following transforms are configured for the default signing information:
      - Uses the `http://www.w3.org/2001/10/xml-exc-c14n#` algorithm.
      - Uses the `http://www.w3.org/2000/09/xmlsig#sha1` digest method.
  - Uses the security token reference, which is the configured default key information.
  - Uses the `SampleX509TokenKeyLocator` key locator. For more information on this key locator, see “Default sample configurations for JAX-RPC” on page 905.
  - References the `con_sigtcon` token consumer configuration. The following information pertains to the `con_sigtcon` configuration:
    - Uses the X.509 Token Consumer, which is configured as the consumer for the default signing information.
    - Contains the `sigtconsumer_vtype` value type URI.
    - Contains the `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3` value type local name value.
  - Contains a JAAS configuration called `system.wssecurity.X509BST` that references the following information:
    - Trust anchor: `SampleClientTrustAnchor`
    - Collection certificate store: `SampleCollectionCertStore`

The encryption information configuration is called `con_encinfo` and contains the following configurations:

- Uses the following algorithms for the `con_encinfo` configuration:
  - Data encryption method: `http://www.w3.org/2001/04/xmlenc#tripleDES-cbc`
  - Key encryption method: `http://www.w3.org/2001/04/xmlenc#rsa-1_5`
- References the `con_enckeyinfo` encryption key information. This key actually decrypts the message. The following information pertains to the `con_enckeyinfo` configuration:
  - Uses the key identifier, which is configured as the key information for the default encryption information.
  - Contains a reference to the `SampleConsumerEncryptionKeyStoreKeyLocator` key locator. For more information on this key locator, see “Default sample configurations for JAX-RPC” on page 905.
  - References the `con_enctcon` token consumer configuration. The following information pertains to the `con_enctcon` configuration:
    - Uses the X.509 token consumer, which is configured for the default encryption information.
    - Contains the `enctconsumer_vtype` value type URI.

- Contains the <http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3> value type local name value.
- Contains a JAAS configuration called `system.wssecurity.X509BST`.

## Sample key store configurations

**Windows** WebSphere Application Server provides the following key stores. You can work with these key stores outside of the Application Server by using the iKeyman utility or the key tool.

- The iKeyman utility is located in the following directory: `app_server_root/bin/ikeyman`
- The key tool is located in the following directory: `app_server_root/java/jre/bin/keytool`

**AIX** **HP-UX** **Solaris** **Linux** WebSphere Application Server provides the following key stores. You can work with these key stores outside of the Application Server by using the iKeyman utility or the key tool.

- The iKeyman utility is located in the following directory: `app_server_root\bin\ikeyman.sh`
- The key tool is located in the following directory: `app_server_root\java\jre\bin\keytool.sh`

The following sample key stores are for testing purposes only; do not use these key stores in a production environment:

- `${USER_INSTALL_ROOT}/etc/ws-security/samples/dsig-sender.ks`
  - The key store format is JKS.
  - The key store password is `client`.
  - The trusted certificate has a `soapca` alias name.
  - The personal certificate has a `soaprequester` alias name and a `client` key password that is issued by the Int CA2 intermediary certificate authority, which is, in turn, issued by `soapca`.
- `${USER_INSTALL_ROOT}/etc/ws-security/samples/dsig-receiver.ks`
  - The key store format is JKS.
  - The key store password is `server`.
  - The trusted certificate has a `soapca` alias name.
  - The personal certificate has a `soapprovider` alias name and a `server` key password that is issued by the Int CA2 intermediary certificate authority, which is, in turn, issued by `soapca`.
- `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-sender.jceks`
  - The key store format is JCEKS.
  - The key store password is `storepass`.
  - The CN=Group1 DES secret key has a `Group1` alias name and a `keypass` key password.
  - The CN=Bob, O=IBM, C=US public key has a `bob` alias name and a `keypass` key password.
  - The CN=Alice, O=IBM, C=US private key has a `alice` alias name and a `keypass` key password.
- `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-receiver.jceks`
  - The key store format is JCEKS.
  - The key store password is `storepass`.
  - The CN=Group1 DES secret key has a `Group1` alias name and a `keypass` key password.
  - The CN=Bob, O=IBM, C=US private key has a `bob` alias name and a `keypass` key password.
  - The CN=Alice, O=IBM, C=US public key has a `alice` alias name and a `keypass` key password.
- `${USER_INSTALL_ROOT}/etc/ws-security/samples/intca2.cer`
  - The intermediary certificate is signed by `soapca` and it signs both the `soaprequester` and the `soapprovider`.

## Sample key locators

Key locators are used to locate the key for digital signature, encryption, and decryption. For information on how to modify these sample key locator configurations, see the following articles:

- Configuring the key locator using JAX-RPC for the generator binding on the application level
- Configuring the key locator using JAX-RPC for the consumer binding on the application level
- Configuring the key locator using JAX-RPC on the server or cell level

### SampleClientSignerKey

This key locator is used by the request sender for a Version 5.x application to sign the SOAP message. The signing key name is `clientsignerkey`, which is referenced in the signing information as the signing key name.

### SampleServerSignerKey

This key locator is used by the response sender for a Version 5.x application to sign the SOAP message. The signing key name is `serversignerkey`, which can be referenced in the signing information as the signing key name.

### SampleSenderEncryptionKeyLocator

This key locator is used by the sender for a Version 5.x application to encrypt the SOAP message. It is configured to use the `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-sender.jceks` key store and the `com.ibm.wsspi.wssecurity.config.KeyStoreKeyLocator` key store key locator. The implementation is configured for the DES secret key. To use asymmetric encryption (RSA), you must add the appropriate RSA keys.

### SampleReceiverEncryptionKeyLocator

This key locator is used by the receiver for a Version 5.x application to decrypt the encrypted SOAP message. The implementation is configured to use the `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-receiver.jceks` key store and the `com.ibm.wsspi.wssecurity.config.KeyStoreKeyLocator` key store key locator. The implementation is configured for symmetric encryption (DES or TRIPLEDES). To use RSA, you must add the private key `CN=Bob, O=IBM, C=US, alias name bob`, and key password `keypass`.

### SampleResponseSenderEncryptionKeyLocator

This key locator is used by the response sender for a Version 5.x application to encrypt the SOAP response message. It is configured to use the `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-receiver.jceks` key store and the `com.ibm.wsspi.wssecurity.config.WSIdKeyStoreMapKeyLocator` key store key locator. This key locator maps an authenticated identity (of the current thread) to a public key for encryption. By default, WebSphere Application Server is configured to map to public key `alice`, and you must change WebSphere Application Server to the appropriate user. The `SampleResponseSenderEncryptionKeyLocator` key locator also can set a default key for encryption. By default, this key locator is configured to use public key `alice`.

### SampleGeneratorSignatureKeyStoreKeyLocator

This key locator is used by generator to sign the SOAP message. The signing key name is `SOAPRequester`, which is referenced in the signing information as the signing key name. It is configured to use the `${USER_INSTALL_ROOT}/etc/ws-security/samples/dsig-sender.ks` key store and the `com.ibm.wsspi.wssecurity.keyinfo.KeyStoreKeyLocator` key store key locator.

### SampleConsumerSignatureKeyStoreKeyLocator

This key locator is used by the consumer to verify the digital signature in the SOAP message. The signing key is `SOAPProvider`, which is referenced in the signing information. It is configured to use the `${USER_INSTALL_ROOT}/etc/ws-security/samples/dsig-receiver.ks` key store and the `com.ibm.wsspi.wssecurity.keyinfo.KeyStoreKeyLocator` key store key locator.

### SampleGeneratorEncryptionKeyStoreKeyLocator

This key locator is used by the generator to encrypt the SOAP message. It is configured to use

the `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-sender.jceks` key store and the `com.ibm.wsspi.wssecurity.keyinfo.KeyStoreKeyLocator` key store key locator.

### **SampleConsumerEncryptionKeyStoreKeyLocator**

This key locator is used by the consumer to decrypt an encrypted SOAP message. It is configured to use the `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-receiver.jceks` key store and the `com.ibm.wsspi.wssecurity.keyinfo.KeyStoreKeyLocator` key store key locator.

### **SampleX509TokenKeyLocator**

This key locator is used by the consumer to verify a digital certificate in an X.509 certificate. It is configured to use the `${USER_INSTALL_ROOT}/etc/ws-security/samples/enc-receiver.jceks` key store and the `com.ibm.wsspi.wssecurity.keyinfo.KeyStoreKeyLocator` key store key locator.

### **Sample collection certificate store**

Collection certificate stores are used to validate the certificate path. For information on how to modify this sample collection certificate store, see the following articles:

- Configuring the collection certificate store for the generator binding on the application level
- Configuring the collection certificate store for the consumer binding on the application level
- Configuring the collection certificate on the server or cell level

### **SampleCollectionCertStore**

This collection certificate store is used by the response consumer and the request generator to validate the signer certificate path.

### **Sample trust anchors**

Trust anchors are used to validate the trust of the signer certificate. For information on how to modify the sample trust anchor configurations, see the following articles:

- Configuring trust anchors for the generator binding on the application level
- Configuring trust anchors for the consumer binding on the application level
- Configuring trust anchors on the server or cell level

### **SampleClientTrustAnchor**

This trust anchor is used by the response consumer to validate the signer certificate. This trust anchor is configured to access the `${USER_INSTALL_ROOT}/etc/ws-security/samples/dsig-sender.ks` key store.

### **SampleServerTrustAnchor**

This trust anchor is used by the request consumer to validate the signer certificate. This trust anchor is configured to access the `${USER_INSTALL_ROOT}/etc/ws-security/samples/dsig-sender.ks` key store.

### **Sample trusted ID evaluators**

Trusted ID evaluators are used to establish trust before asserting the identity in identity assertion. For information on how to modify the sample trusted ID evaluator configuration, see [Configuring trusted ID evaluators on the server or cell level](#).

### **SampleTrustedIDEvaluator**

This trusted ID evaluator uses the `com.ibm.wsspi.wssecurity.id.TrustedIDEvaluatorImpl` implementation. The default implementation of `com.ibm.wsspi.wssecurity.id.TrustedIDEvaluator` contains a list of trusted identities. This list, which is used for identity assertion, defines the key name and value pair for the trusted identity. The key name is in the form `trustedId_*` and the value is the trusted identity. For more information, see the example in [Configuring trusted ID evaluators on the server or cell level](#).



Complete the following steps to define this information for the cell level in the administrative console:

1. Click **Security > Web services**.
2. Under Additional properties, click **Trusted ID evaluators** > `SampleTrustedIDEvaluator`.

### ***Default implementations of the Web Services Security service provider programming interfaces:***

This information describes the default implementations of the service provider interfaces (SPI) for Web Services Security within WebSphere Application Server. The default implementation classes and their functionality for both the JAX-RPC run time and the JAX-WS run time are discussed. You can use this information to create or modify the Web Services Security binding configuration.

**best-practices:** IBM WebSphere Application Server supports the Java API for XML-Based Web Services (JAX-WS) programming model and the Java API for XML-based RPC (JAX-RPC) programming model. JAX-WS is the next generation web services programming model extending the foundation provided by the JAX-RPC programming model. Using the strategic JAX-WS programming model, development of web services and clients is simplified through support of a standards-based annotations model. Although the JAX-RPC programming model and applications are still supported, take advantage of the easy-to-implement JAX-WS programming model to develop new web services applications and clients.

### **Default implementations for the JAX-RPC run time**

#### **com.ibm.wsspi.wssecurity.token.X509TokenGenerator**

The Java Authentication and Authorization Service (JAAS) Login Module implementation is used to create the security token on the generator side. It is responsible for creating the X.509 token object from the X.509 certificate, which is returned by the `com.ibm.wsspi.wssecurity.auth.callback.{X509,PKCS7,PkiPath}CallbackHandler` interface. Encode the token using the base 64 format and insert its XML representation into the SOAP message, if necessary.

#### **com.ibm.wsspi.wssecurity.auth.callback.X509CallbackHandler**

This class implements the `javax.security.auth.callback.CallbackHandler` interface and it retrieves the X.509 certificate from the keystore file.

#### **com.ibm.wsspi.wssecurity.token.UsernameTokenGenerator**

The Java Authentication and Authorization Service (JAAS) Login Module implementation is used to create the security token on the generator side. It is responsible for creating the username token object from user name and password that is returned by a `javax.security.auth.callback.CallbackHandler` implementation such as the following callback handler:

```
com.ibm.wsspi.wssecurity.auth.callback{GUIPrompt,NonPrompt,StdinPrompt}CallbackHandler.
```

It also inserts the XML representation of the token into the SOAP message, if necessary.

#### **com.ibm.wsspi.wssecurity.keyinfo.KeyStoreKeyLocator**

The Java Authentication and Authorization Service (JAAS) Login Module implementation is used to create the security token on the generator side and to validate (authenticate) the security token on the consumer side. This class retrieves the keys from the keystore files for digital signature and encryption.

#### **com.ibm.wsspi.wssecurity.token.X509TokenConsumer**

The Java Authentication and Authorization Service (JAAS) Login Module implementation is used to validate (authenticate) the security token on the consumer side. This class processes the X.509 token from the binary security token. This class decodes the Base64 encryption within the X.509 token and then invokes the `system.wssecurity.X509BST` Java Authentication and Authorization Service (JAAS) Login Configuration with the

com.ibm.wsspi.wssecurity.auth.module.X509LoginModule login module to validate the X.509 token. An object of the com.ibm.wsspi.wssecurity.auth.token.X509Token is created for the validated X.509 token and stored in JAAS Subject.

#### **com.ibm.wsspi.wssecurity.token.IDAssertionUsernameTokenConsumer**

The Java Authentication and Authorization Service (JAAS) Login Module implementation is used to validate (authenticate) the security token on the consumer side. This class processes the username token for identity assertion (IDAssertion), which does not have a password element. This interface invokes the system.wssecurity.IDAssertionUsernameToken JAAS login configuration with the com.ibm.wsspi.wssecurity.auth.module.IDAssertionUsernameLoginModule login module to validate the IDAssertion user name token. An object of the com.ibm.wsspi.wssecurity.auth.token.UsernameToken class is created for the validated username token and stored in the JAAS Subject.

#### **com.ibm.wsspi.wssecurity.auth.module.IDAssertionUsernameLoginModule**

This class implements the javax.security.auth.spi.LoginModule interface and checks whether the username value is not empty. The login module assumes that the UsernameToken is valid if the username value is not empty.

#### **com.ibm.wsspi.wssecurity.token.LTPATokenGenerator**

The Java Authentication and Authorization Service (JAAS) Login Module implementation is used to create the security token on the generator side. This class is responsible for Base 64 encoding the LTPA token object obtained from the com.ibm.wsspi.wssecurity.auth.callback.LTPATokenCallbackHandler callback handler. The object is inserted into the Web Services Security header within the SOAP message, if necessary.

#### **com.ibm.wsspi.wssecurity.token.LTPATokenConsumer**

The Java Authentication and Authorization Service (JAAS) Login Module implementation is used to validate (authenticate) the security token on the consumer side. This class processes the LTPA token from the binary security token, and decodes the Base64 encoding within the LTPA token. An object of the com.ibm.wsspi.wssecurity.auth.token.LTPAToken class is created for the validated LTPA token and stored in the JAAS Subject.

#### **com.ibm.wsspi.wssecurity.auth.module.X509LoginModule**

This class implements the javax.security.auth.spi.LoginModule interface and validates the X.509 Certificate based on the trust anchor and the collection certification store configuration.

#### **com.ibm.wsspi.wssecurity.token.UsernameTokenConsumer**

The Java Authentication and Authorization Service (JAAS) Login Module implementation is used to validate (authenticate) the security token on the consumer side. This class processes the username token, extracts the user name and password, and then invokes the system.wssecurity.UsernameToken JAAS login configuration using the com.ibm.wsspi.wssecurity.auth.module.UsernameLoginModule login module to validate the user name and password. An object of the com.ibm.wsspi.wssecurity.auth.token.UsernameToken class is created for the validated username token and stored in the JAAS Subject.

#### **com.ibm.wsspi.wssecurity.keyinfo.X509TokenKeyLocator**

The Java Authentication and Authorization Service (JAAS) Login Module implementation is used to create the security token on the generator side and to validate (authenticate) the security token on the consumer side. This class is used to retrieve a public key from a X.509 certificate. The X.509 certificate is stored in the X.509 token (com.ibm.wsspi.wssecurity.auth.token.X509Token) in the JAAS Subject. The X.509 token is created by the X.509 Token Consumer (com.ibm.wsspi.wssecurity.tokenX509TokenConsumer).

#### **com.ibm.wsspi.wssecurity.keyinfo.SignerCertKeyLocator**

The Java Authentication and Authorization Service (JAAS) Login Module implementation is used to create the security token on the generator side and to validate (authenticate) the security token on the consumer side. This class is used to retrieve a public key from the X.509 certificate of the request signer and encrypt the response. You can use this key locator in the response generator binding configuration only.

**Important:** This implementation assumes that only one signer certificate is used in the request.

**com.ibm.wsspi.wssecurity.auth.token.UsernameToken**

This implementation extends the `com.ibm.wsspi.wssecurity.auth.token.WSSToken` abstract class to represent the username token.

**com.ibm.wsspi.wssecurity.auth.token.X509Token**

This implementation extends the `com.ibm.wsspi.wssecurity.auth.token.WSSToken` abstract class to represent the X.509 binary security token (X.509 certificate).

**com.ibm.wsspi.wssecurity.auth.token.LTPAToken**

This implementation extends the `com.ibm.wsspi.wssecurity.auth.token.WSSToken` abstract class as a wrapper to the LTPA token that is extracted from the binary security token.

**com.ibm.wsspi.wssecurity.auth.callback.PKCS7CallbackHandler**

This class implements the `javax.security.auth.callback.CallbackHandler` interface and is responsible for creating a certificate and binary data with or without a certificate revocation list (CRL) using the PKCS#7 encoding. The certificate and the binary data is passed back to the `com.ibm.wsspi.wssecurity.token.X509TokenGenerator` implementation through the `com.ibm.wsspi.wssecurity.auth.callback.X509BSCallback` callback handler.

**com.ibm.wsspi.wssecurity.auth.callback.PkiPathCallbackHandler**

This class implements the `javax.security.auth.callback.CallbackHandler` interface and it is responsible for creating a certificate and binary data without a CRL using the PkiPath encoding. The certificate and binary data is passed back to the `com.ibm.wsspi.wssecurity.token.X509TokenGenerator` implementation through the `com.ibm.wsspi.wssecurity.auth.callback.X509BSCallback` callback handler.

**com.ibm.wsspi.wssecurity.auth.callback.X509CallbackHandler**

This class implements the `javax.security.auth.callback.CallbackHandler` interface and it is responsible for creating a certificate from the keystore file. The X.509 token certificate is passed back to the `com.ibm.wsspi.wssecurity.token.X509TokenGenerator` implementation through the `com.ibm.wsspi.wssecurity.auth.callback.X509BSCallback` callback handler.

**com.ibm.wsspi.wssecurity.auth.callback.LTPATokenCallbackHandler**

This implementation generates a Lightweight Third Party Authentication (LTPA) token in the Web Services Security header as a binary security token. If basic authentication data is defined in the application binding file, it is used to perform a login, to extract the LTPA token from the WebSphere Application Server credentials, and to insert the token in the Web Services Security header. Otherwise, it extracts the LTPA security token from the invocation credentials (run as identity) and inserts the token in the Web Services Security header.

**com.ibm.wsspi.wssecurity.auth.callback.NonPromptCallbackHandler**

This implementation reads the basic authentication data from the application binding file. You might use this implementation on the server side to generate a username token.

**com.ibm.wsspi.wssecurity.auth.callback.GUIPromptCallbackHandler**

This implementation presents you with a login prompt to gather the basic authentication data. Use this implementation on the client side only.

**com.ibm.wsspi.wssecurity.auth.callback.StdinPromptCallbackHandler**

This implementation collects the basic authentication data using a standard in (stdin) prompt. Use this implementation on the client side only.

**Restriction:** If you have a multi-threaded client and multiple threads attempt to read from standard in at the same time, all the threads will not successfully obtain the user name and password information. Therefore, you cannot use the `com.ibm.wsspi.wssecurity.auth.callback.StdinPromptCallbackHandler` implementation with a multi-threaded client where multiple threads might attempt to obtain data from standard in concurrently.

**com.ibm.wsspi.wssecurity.id.TrustedIDEvaluator**

This interface is used to evaluate the level of trust for identity assertion. The default implementation is `com.ibm.wsspi.wssecurity.id.TrustedIDEvaluatorImpl`, which enables you to define a list of trusted identities.

**com.ibm.wsspi.wssecurity.id.TrustedIDEvaluatorImpl**

This default implementation enables you to define a list of trusted identities for identity assertion.

**com.ibm.wsspi.wssecurity.id.TrustedIDEvaluatorException**

This exception class is used by an implementation of the `com.ibm.wsspi.wssecurity.id.TrustedIDEvaluator` to communicate the exception and errors to the Web Services Security run time.

**Default implementations for the JAX-WS run time****com.ibm.ws.wssecurity.wssapi.token.impl.CommonTokenGenerator**

This implementation invokes the JAAS CallbackHandler and JAAS login configuration that are specified in the binding to create the SecurityToken at run time on the outbound SOAP message.

**com.ibm.websphere.wssecurity.callbackhandler.X509GenerateCallbackHandler**

This class implements the `javax.security.auth.callback.CallbackHandler` interface on the outbound SOAP message, and retrieves the X.509 certificate. The following properties may be specified:

- `com.ibm.wsspi.wssecurity.token.IDAssertion.isUsed`. This property takes a boolean value, and the default value is false.
- `com.ibm.wsspi.wssecurity.token.cert.useRequestorCert`. This property takes a boolean value, and the default value is false.

**com.ibm.ws.wssecurity.wssapi.token.impl.X509GenerateLoginModule**

The `wss.generate.x509` JAAS system login configuration contains the class `com.ibm.ws.wssecurity.wssapi.token.impl.X509GenerateLoginModule`. `X509GenerateLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for generating an XML Username token structure, and also a `com.ibm.websphere.wssecurity.wssapi.token.SecurityToken` that represents the X.509 token at run time.

**com.ibm.ws.wssecurity.wssapi.token.impl.PKCS7GenerateLoginModule**

The `wss.generate.pkcs7` JAAS system login configuration contains the class `com.ibm.ws.wssecurity.wssapi.token.impl.PKCS7GenerateLoginModule`. `PKCS7GenerateLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for generating an XML token structure and a `com.ibm.websphere.wssecurity.wssapi.token.SecurityToken` that represents the token at run time.

**com.ibm.ws.wssecurity.wssapi.token.impl.PkiPathGenerateLoginModule**

The `wss.generate.pkiPath` JAAS system login configuration contains the class `com.ibm.ws.wssecurity.wssapi.token.impl.PkiPathGenerateLoginModule`. `PkiPathGenerateLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for generating an XML token structure and a `com.ibm.websphere.wssecurity.wssapi.token.SecurityToken` that represents the token at run time.

**com.ibm.websphere.wssecurity.callbackhandler.UNTGenerateCallbackHandler**

This class implements the `javax.security.auth.callback.CallbackHandler` interface on the outbound SOAP message, and it retrieves the binding configuration and user name and password authentication data. The following properties may be specified. These properties take a boolean value, and the default value is false.

- `com.ibm.wsspi.wssecurity.token.username.addNonce`
- `com.ibm.wsspi.wssecurity.token.username.addTimestamp`
- `com.ibm.wsspi.wssecurity.token.IDAssertion.isUsed`
- `com.ibm.wsspi.wssecurity.token.IDAssertion.useRunAsIdentity`

- com.ibm.wsspi.wssecurity.token.IDAssertion.sendRealm
- com.ibm.wsspi.wssecurity.token.IDAssertion.trustedRealm

#### **com.ibm.ws.wssecurity.wssapi.token.impl.UNTGenerateLoginModule**

The wss.generate.unt JAAS system login configuration contains the class com.ibm.ws.wssecurity.wssapi.token.impl.UNTGenerateLoginModule implements the javax.security.auth.spi.LoginModule interface and is responsible for generating an XML Username token structure and also a com.ibm.websphere.wssecurity.wssapi.token.SecurityToken that represents the token at run time. When com.ibm.wsspi.wssecurity.token.IDAssertion.isUsed has a the value of true, the generated username token does not contain a password. When com.ibm.wsspi.wssecurity.token.IDAssertion.sendRealm has the value of true, the user name is qualified by the local realm name. When com.ibm.wsspi.wssecurity.token.IDAssertion.trustedRealm has the value of true, the user name field contains both the user name and a registry-dependent unique identifier for the user. Both the user name and the unique identifier are qualified by the local realm name.

#### **com.ibm.websphere.wssecurity.callbackhandler.KRBTokenGenerateCallbackHandler**

This class implements the javax.security.auth.callback.CallbackHandler interface on the outbound SOAP message, and it retrieves the Kerberos user name and password, along with other binding configuration properties. The following properties may be specified. The properties take a string that specifies the target service name as part of a service principal name (SPN), in the form of service\_name/host\_name@Kerberos\_realm\_name.

- com.ibm.wsspi.wssecurity.krbtoken.targetServiceName
- com.ibm.wsspi.wssecurity.krbtoken.targetServiceHost
- com.ibm.wsspi.wssecurity.krbtoken.targetServiceRealm

#### **com.ibm.ws.wssecurity.wssapi.token.impl.KRBGenerateLoginModule**

The wss.generate.KRB5BST JAAS system login configuration contains the classes com.ibm.ws.wssecurity.wssapi.token.impl.KRBGenerateLoginModule, and com.ibm.ws.wssecurity.wssapi.token.impl.DKTGenerateLoginModule. KRBGenerateLoginModule implements the javax.security.auth.spi.LoginModule interface and is responsible for generating an XML token structure and a com.ibm.websphere.wssecurity.wssapi.token.SecurityToken that represents the token at run time.

#### **com.ibm.ws.wssecurity.wssapi.token.impl.DKTGenerateLoginModule**

The wss.generate.KRB5BST JAAS system login configuration contains the classes com.ibm.ws.wssecurity.wssapi.token.impl.KRBGenerateLoginModule, and com.ibm.ws.wssecurity.wssapi.token.impl.DKTGenerateLoginModule. DKTGenerateLoginModule implements the javax.security.auth.spi.LoginModule interface and is responsible for generating an XML token structure and a com.ibm.websphere.wssecurity.wssapi.token.SecurityToken that represents the token at run time when the **Requires derived keys** option is enabled.

#### **com.ibm.websphere.wssecurity.callbackhandler.LTPAGenerateCallbackHandler**

This class implements the javax.security.auth.callback.CallbackHandler interface on the outbound SOAP message, and it retrieves the user name and password binding data if they are specified.

#### **com.ibm.ws.wssecurity.wssapi.token.impl.LTPAGenerateLoginModule**

The wss.generate.ltpa JAAS system login configuration contains the class com.ibm.ws.wssecurity.wssapi.token.impl.LTPAGenerateLoginModule. LTPAGenerateLoginModule implements the javax.security.auth.spi.LoginModule interface and is responsible for generating an XML token structure and a com.ibm.websphere.wssecurity.wssapi.token.SecurityToken that represents the token at run time. The security token contains an LTPA token that is generated from the user name and password if they are defined in the binding data, or the LTPA authentication token from the RunAs Subject, in that order.

#### **com.ibm.ws.wssecurity.wssapi.token.impl.LTPAPropagationGenerateLoginModule**

The wss.generate.ltpaProp JAAS system login configuration contains com.ibm.ws.wssecurity.wssapi.token.impl.LTPAPropagationGenerateLoginModule.

LTPAPropagationGenerateLoginModule implements the javax.security.auth.spi.LoginModule interface and is responsible for generating an XML token structure and a com.ibm.websphere.wssecurity.wssapi.token.SecurityToken that represents the token at run time. The security token contains the serialized RunAs Subject.

**com.ibm.ws.wssecurity.impl.auth.callback.WSTrustCallbackHandler**

This class implements the javax.security.auth.callback.CallbackHandler interface on the outbound SOAP message, and it retrieves security context token configuration data.

**com.ibm.ws.wssecurity.wssapi.token.impl.SCTGenerateLoginModule**

The wss.generate.sct JAAS system login configuration contains the classes com.ibm.ws.wssecurity.wssapi.token.impl.SCTGenerateLoginModule, and com.ibm.ws.wssecurity.wssapi.token.impl.DKTGenerateLoginModule. SCTGenerateLoginModule implements the javax.security.auth.spi.LoginModule interface and is responsible for generating an XML token structure and a com.ibm.websphere.wssecurity.wssapi.token.SecurityToken that represents the security context token at run time.

**com.ibm.ws.wssecurity.wssapi.token.impl.DKTGenerateLoginModule**

The wss.generate.sct JAAS system login configuration contains the classes com.ibm.ws.wssecurity.wssapi.token.impl.SCTGenerateLoginModule, and com.ibm.ws.wssecurity.wssapi.token.impl.DKTGenerateLoginModule. DKTGenerateLoginModule implements the javax.security.auth.spi.LoginModule interface and is responsible for generating an XML token structure and a com.ibm.websphere.wssecurity.wssapi.token.SecurityToken that represents the token at run time when the **Requires derived keys** option is enabled.

**com.ibm.ws.wssecurity.wssapi.token.impl.CommonTokenConsumer**

This implementation invokes the JAAS CallbackHandler and JAAS login configuration that are specified in the binding to extract the security token from the inbound SOAP message and to create the SecurityToken object at run time.

**com.ibm.websphere.wssecurity.callbackhandler.X509ConsumeCallbackHandler**

This class implements the javax.security.auth.callback.CallbackHandler interface on SOAP message inbound to retrieve the trust store and certificate file information that are required to validate the X.509 certificate.

**com.ibm.ws.wssecurity.wssapi.token.impl.X509ConsumeLoginModule**

The wss.consume.x509 JAAS system login configuration contains the class com.ibm.ws.wssecurity.wssapi.token.impl.X509ConsumeLoginModule. X509ConsumeLoginModule implements the javax.security.auth.spi.LoginModule interface and is responsible for retrieving and validating the X.509 certificate. It creates a com.ibm.websphere.wssecurity.wssapi.token.SecurityToken that represents the X.509 token at run time.

**com.ibm.ws.wssecurity.wssapi.token.impl.PKCS7ConsumeLoginModule**

The wss.consume.pkcs7 JAAS system login configuration contains the class com.ibm.ws.wssecurity.wssapi.token.impl.PKCS7ConsumeLoginModule. PKCS7ConsumeLoginModule implements the javax.security.auth.spi.LoginModule interface and is responsible for retrieving and validating the X.509 certificate. It creates a com.ibm.websphere.wssecurity.wssapi.token.SecurityToken that represents the X.509 token at run time.

**com.ibm.ws.wssecurity.wssapi.token.impl.PkiPathConsumeLoginModule**

The wss.consume.pkiPath JAAS system login configuration contains the class com.ibm.ws.wssecurity.wssapi.token.impl.PkiPathConsumeLoginModule. PkiPathConsumeLoginModule implements the javax.security.auth.spi.LoginModule interface and is responsible for retrieving and validating the X.509 certificate. It creates a com.ibm.websphere.wssecurity.wssapi.token.SecurityToken that represents the X.509 token at run time.

### **com.ibm.websphere.wssecurity.callbackhandler.UNTConsumeCallbackHandler**

This class implements the `javax.security.auth.callback.CallbackHandler` interface on SOAP message inbound to retrieve binding configuration data. The following properties may be specified. These properties take a boolean value and the default value is false.

- `com.ibm.wsspi.wssecurity.token.username.verifyTimestamp`
- `com.ibm.wsspi.wssecurity.token.username.verifyNonce`
- `com.ibm.wsspi.wssecurity.token.IDAssertion.isUsed`
- `com.ibm.wsspi.wssecurity.token.IDAssertion.trustedRealm`

### **com.ibm.ws.wssecurity.wssapi.token.impl.UNTConsumeLoginModule**

The `wss.consume.unt` JAAS system login configuration contains the class `com.ibm.ws.wssecurity.wssapi.token.impl.UNTConsumeLoginModule`. `UNTConsumeLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for retrieving and validating the username token. It creates a `com.ibm.websphere.wssecurity.wssapi.token.SecurityToken` that represents the username token at run time. When `com.ibm.wsspi.wssecurity.token.IDAssertion.isUsed` has the value of false, `UNTConsumeLoginModule` validates the username and password against the local user registry. An incorrect user name or incorrect or missing password will cause the token validation to fail. When `com.ibm.wsspi.wssecurity.token.IDAssertion.isUsed` has a value of true, and `com.ibm.wsspi.wssecurity.token.IDAssertion.trustedRealm` has a value of false, the user name is validated against the local user registry. There should be no password in the username token. When both `com.ibm.wsspi.wssecurity.token.IDAssertion.isUsed` and `com.ibm.wsspi.wssecurity.token.IDAssertion.trustedRealm` have a value of true, the user name field must contain a realm-qualified user name and unique user identifier data, and the realm must be one of the trusted realms in the multiple security domain inbound trust configuration.

### **com.ibm.websphere.wssecurity.callbackhandler.KRBTokenConsumeCallbackHandler**

This class implements the `javax.security.auth.callback.CallbackHandler` interface on the inbound SOAP message, and it retrieves the binding configuration data.

### **com.ibm.ws.wssecurity.wssapi.token.impl.KRBConsumeLoginModule**

The `wss.consume.KRB5BST` JAAS system login configuration contains the classes `com.ibm.ws.wssecurity.wssapi.token.impl.KRBConsumeLoginModule`, and `com.ibm.ws.wssecurity.wssapi.token.impl.DKTConsumeLoginModule`. `KRBConsumeLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for retrieving and validating the Kerberos AP\_REQ token. It creates a `com.ibm.websphere.wssecurity.wssapi.token.SecurityToken` that represents the AP\_REQ token at run time.

### **com.ibm.ws.wssecurity.wssapi.token.impl.DKTConsumeLoginModule**

The `wss.consume.KRB5BST` JAAS system login configuration contains the classes `com.ibm.ws.wssecurity.wssapi.token.impl.KRBConsumeLoginModule`, and `com.ibm.ws.wssecurity.wssapi.token.impl.DKTConsumeLoginModule`. `DKTConsumeLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for retrieving the derived key when a derived key is required.

### **com.ibm.websphere.wssecurity.callbackhandler.LTPAConsumeCallbackHandler**

This class implements the `javax.security.auth.callback.CallbackHandler` interface on the inbound SOAP message, and it retrieves the binding configuration data.

### **com.ibm.ws.wssecurity.wssapi.token.impl.LTPAConsumeLoginModule**

The `wss.consume.ltpa` JAAS system login configuration contains the class `com.ibm.ws.wssecurity.wssapi.token.impl.LTPAConsumeLoginModule`. `LTPAConsumeLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for retrieving and validating the LTPA v2 or LTPA token. It creates a `com.ibm.websphere.wssecurity.wssapi.token.SecurityToken` that represents the LTPA v2 or LTPA token at run time.

**com.ibm.ws.wssecurity.wssapi.token.impl.LTPAPropagationConsumeLoginModule**

The `wss.consume.ltpaProp` JAAS system login configuration contains the class `com.ibm.ws.wssecurity.wssapi.token.impl.LTPAPropagationConsumeLoginModule`. `LTPAPropagationConsumeLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for retrieving, deserializing, and validating the propagation token and reconstructing the security context.

**com.ibm.ws.wssecurity.impl.auth.callback.SCTConsumeCallbackHandler**

This class implements the `javax.security.auth.callback.CallbackHandler` interface on the outbound SOAP message, and it retrieves the binding configuration data.

**com.ibm.ws.wssecurity.wssapi.token.impl.SCTConsumeLoginModule**

The `wss.consume.sct` JAAS system login configuration contains the classes `com.ibm.ws.wssecurity.wssapi.token.impl.SCTConsumeLoginModule`, and `com.ibm.ws.wssecurity.wssapi.token.impl.DKTConsumeLoginModule`. `SCTConsumeLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for retrieving and validating the security context token.

**com.ibm.ws.wssecurity.wssapi.token.impl.DKTConsumeLoginModule**

The `wss.consume.sct` JAAS system login configuration contains the classes `com.ibm.ws.wssecurity.wssapi.token.impl.SCTConsumeLoginModule`, and `com.ibm.ws.wssecurity.wssapi.token.impl.DKTConsumeLoginModule`. `DKTConsumeLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for retrieving the derived key when a derived key is required.

**com.ibm.ws.wssecurity.impl.auth.module.PreCallerLoginModule**

The `wss.caller` JAAS system login configuration contains the class `com.ibm.ws.wssecurity.impl.auth.module.PreCallerLoginModule`. `PreCallerLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for validating whether it has received any security token that may be used to establish caller identity or trusted identity.

**com.ibm.ws.wssecurity.impl.auth.module.UNTCallerLoginModule**

The `wss.caller` JAAS system login configuration contains the class `com.ibm.ws.wssecurity.impl.auth.module.UNTCallerLoginModule`. `UNTCallerLoginModule` implements the `javax.security.auth.spi.LoginModule` interface. `UNTCallerLoginModule` also determines if the user identity is authorized to make an identity assertion if the username is configured to be a trusted identity, or if there is exactly one caller identity if the username token is configured to be a caller identity. It sets the validated caller and trusted identity into the shared state.

**com.ibm.ws.wssecurity.impl.auth.module.X509CallerLoginModule**

The `wss.caller` JAAS system login configuration contains `com.ibm.ws.wssecurity.impl.auth.module.X509CallerLoginModule`. `X509CallerLoginModule` implements the `javax.security.auth.spi.LoginModule` interface. `X509CallerLoginModule` checks to see if the user identity is authorized to make an identity assertion if the X509 token is configured to be a trusted identity, or if there is exactly one caller identity if the X509 token is configured to be a caller identity. It sets the validated caller and trusted identity into the shared state.

**com.ibm.ws.wssecurity.impl.auth.module.LTPACallerLoginModule**

The `wss.caller` JAAS system login configuration contains the class `com.ibm.ws.wssecurity.impl.auth.module.LTPACallerLoginModule`. `LTPACallerLoginModule` implements the `javax.security.auth.spi.LoginModule` interface. `LTPACallerLoginModule` also checks to see if the user identity is an authorized to make an identity assertion if the LTPA token is configured to be a trusted identity, or if there is exactly one caller identity if the LTPA token is configured to be a caller identity. It sets the validated caller and trusted identity into the shared state.

**com.ibm.ws.wssecurity.impl.auth.module.LTPAPropagationCallerLoginModule**

The `wss.caller` JAAS system login configuration contains the class `com.ibm.ws.wssecurity.impl.auth.module.LTPAPropagationCallerLoginModule`.



LTPAPropagationCallerLoginModule implements the `javax.security.auth.spi.LoginModule` interface. LTPAPropagationCallerLoginModule also checks to see if the user identity is an authorized to make an identity assertion if the propagation token is configured to be a trusted identity, or if there is exactly one caller identity if the propagation token is configured to be a caller identity. It sets the validated caller and trusted identity into the shared state.

#### **com.ibm.ws.wssecurity.impl.auth.module.KRBCallerLoginModule**

The `wss.caller` JAAS system login configuration contains `com.ibm.ws.wssecurity.impl.auth.module.KRBCallerLoginModule`. `KRBCallerLoginModule` implements the `javax.security.auth.spi.LoginModule` interface. `KRBCallerLoginModule` also checks to see if the user identity is an authorized to make an identity assertion if the Kerberos token is configured to be a trusted identity, or if there is exactly one caller identity if the Kerberos token is configured to be a caller identity. It sets the validated caller and trusted identity into the shared state.

#### **com.ibm.ws.wssecurity.impl.auth.module.WSWSSLoginModule**

The `wss.caller` JAAS system login configuration contains the class `com.ibm.ws.wssecurity.impl.auth.module.WSWSSLoginModule`. `WSWSSLoginModule` implements the `javax.security.auth.spi.LoginModule` interface and is responsible for asserting the caller identity to the `ltpa.LoginModule` and the `wsMapDefaultInboundLoginModule` to establish the caller security context.

#### **com.ibm.ws.security.server.Im.ltpa.LoginModule**

The `wss.caller` JAAS system login configuration contains the class `com.ibm.ws.security.server.Im.ltpa.LoginModule`.

#### **com.ibm.ws.security.server.Im.wsMapDefaultInboundLoginModule**

The `wss.caller` JAAS system login configuration contains the class `com.ibm.ws.security.server.Im.wsMapDefaultInboundLoginModule`.

## **XML digital signature**

XML-Signature Syntax and Processing (XML digital signature) is a specification that defines XML syntax and processing rules to sign and verify digital signatures for digital content. The specification was developed jointly by the World Wide Web Consortium (W3C) and the Internet Engineering Task Force (IETF).

XML digital signature does not introduce new cryptographic algorithms. WebSphere Application Server uses XML digital signature with existing algorithms such as RSA, HMAC, and SHA1. XML signature defines many methods for describing key information and enables the definition of a new method.

XML canonicalization (c14n) is often needed when you use XML signature. Information can be represented in various ways within serialized XML documents. For example, although their octet representations are different, the following examples are identical:

- `<person first="John" last="Smith"/>`
- `<person last="Smith" first="John"></person>`

C14n is a process that is used to canonicalize XML information. Select an appropriate c14n algorithm because the information that is canonicalized is dependent upon this algorithm. One of the major c14n algorithms, Exclusive XML Canonicalization, canonicalizes the character encoding scheme, attribute order, namespace declarations, and so on. The algorithm does not canonicalize white space outside tags, namespace prefixes, or data type representation.

## **XML signature in the Web Services Security-Core specification**

The Web Services Security-Core (WSS-Core) specification defines a standard way for SOAP messages to incorporate an XML signature. You can use almost all of the XML signature features in WSS-Core except

enveloped signature and enveloping signature. However, WSS-Core has some recommendations such as exclusive canonicalization for the c14n algorithm and some additional features such as SecurityTokenReference and KeyIdentifier.

The KeyIdentifier is the value of the SubjectKeyIdentifier field within the X.509 certificate. For more information on the KeyIdentifier, see "Reference to a Subject Key Identifier" within the OASIS Web Services Security X.509 Certificate Token Profile documentation.

By including XML signature in SOAP messages, the following issues are realized:

#### **Message integrity**

A message receiver can confirm that attackers or accidents have not altered parts of the message after these parts are signed by a key.

#### **Authentication**

You can assume that a valid signature is *proof of possession*. A message with a digital certificate that is issued by a certificate authority and a signature in the message that is validated successfully by a public key in the certificate, is proof that the signer has the corresponding private key. The receiver can authenticate the signer by checking the trustworthiness of the certificate.

#### **Collection certificate store**

A *collection certificate store* is a collection of non-root, certificate authority (CA) certificates and certificate revocation lists (CRLs). This collection of CA certificates and CRLs is used to check the signature of a digitally signed SOAP message.

A collection certificate store is used when WebSphere Application Server is processing a received SOAP message. For JAX-RPC applications, this collection is configured in the Request Consumer Service Configuration Details section of the binding file for servers and in the Response Consumer Configuration section of the binding file for clients. You can configure these two sections using one of the assembly tools provided by WebSphere Application Server. See the assembly tools information in the topic Assembly tools.

For JAX-WS applications, this collection is configured using the administrative console in the Keys and certificates panel of the WS-Security policy set bindings.

A collection certificate store is one kind of certificate store. A certificate store is defined as `javax.security.cert.CertStore` in the Java CertPath application programming interface (API). The Java CertPath API defines the following types of certificate stores:

#### **Collection certificate store**

A collection certificate store accepts the certificates and CRLs as Java collection objects.

#### **Lightweight Directory Access Protocol certificate store**

The Lightweight Directory Access Protocol (LDAP) certificate store accepts certificates and CRLs as LDAP entries.

The CertPath API uses the certificate store and the trust anchor to validate the incoming X.509 certificate that is embedded in the SOAP message. The Web Services Security implementation in the WebSphere Application Server supports the collection certificate store. Each certificate and CRL is passed as an encoded file.

#### **Certificate revocation list**

A *certificate revocation list* is a time-stamped list of certificates that have been revoked by a certificate authority (CA).

A certificate that is found in a certificate revocation list (CRL) might not be expired, but is no longer trusted by the certificate authority that issued the certificate. The certificate authority creates the CRL that contains the serial number and issuing CA distinguished name of the certificate that has been revoked. The CA

might add the certificate to the certificate revocation list if it believes that the client certificate is compromised. The certificate revocation list is maintained and issued by the certificate authority.

## XML encryption

XML encryption is a specification that was developed by World Wide Web (WWW) Consortium (W3C) in 2002 and that contains the steps to encrypt data, the steps to decrypt encrypted data, the XML syntax to represent encrypted data, the information to be used to decrypt the data, and a list of encryption algorithms, such as triple DES, AES, and RSA.

You can apply XML encryption to an XML element, XML element content, and arbitrary data, including an XML document. For example, suppose that you need to encrypt the <CreditCard> element that is shown in example 1.

### Example 1: Sample XML document

```
<PaymentInfo xmlns='http://example.org/paymentv2'>
  <Name>John Smith</Name>
  <CreditCard Limit='5,000' Currency='USD'>
    <Number>4019 2445 0277 5567</Number>
    <Issuer>Example Bank</Issuer>
    <Expiration>04/02</Expiration>
  </CreditCard>
</PaymentInfo>
```

### Example 2: XML document with a common secret key

Example 2 shows the XML document after encryption. The <EncryptedData> element represents the encrypted <CreditCard> element. The <EncryptionMethod> element describes the applied encryption algorithm, which is triple DES in this example. The <KeyInfo> element contains the information that is needed to retrieve a decryption key, which is a <KeyName> element in this example. The <CipherValue> element contains the cipher text that is obtained by serializing and encrypting the <CreditCard> element.

```
<PaymentInfo xmlns='http://example.org/paymentv2'>
  <Name>John Smith</Name>
  <EncryptedData Type='http://www.w3.org/2001/04/xmlenc#Element'
    xmlns='http://www.w3.org/2001/04/xmlenc#'>
    <EncryptionMethod
      Algorithm='http://www.w3.org/2001/04/xmlenc#tripledes-cbc' />
    <KeyInfo xmlns='http://www.w3.org/2000/09/xmldsig#'>
      <KeyName>John Smith</KeyName>
    </KeyInfo>
    <CipherData>
      <CipherValue>ydUNqHkMrD...</CipherValue>
    </CipherData>
  </EncryptedData>
</PaymentInfo>
```

### Example 3: XML document encrypted with the public key of the recipient

In example 2, it is assumed that both the sender and recipient have a common secret key. If the recipient has a public and private key pair, which is commonly the case, the <CreditCard> element can be encrypted as shown in example 3. The <EncryptedData> element is the same as the <EncryptedData> element found in Example 2. However, the <KeyInfo> element contains an <EncryptedKey> element.

```
<PaymentInfo xmlns='http://example.org/paymentv2'>
  <Name>John Smith</Name>
  <EncryptedData Type='http://www.w3.org/2001/04/xmlenc#Element'
    xmlns='http://www.w3.org/2001/04/xmlenc#'>
    <EncryptionMethod
      Algorithm='http://www.w3.org/2001/04/xmlenc#tripledes-cbc' />
    <KeyInfo xmlns='http://www.w3.org/2000/09/xmldsig#'>
      <EncryptedKey xmlns='http://www.w3.org/2001/04/xmlenc#'>
        <EncryptionMethod
          Algorithm='http://www.w3.org/2001/04/xmlenc#rsa-1_5' />
        <KeyInfo xmlns='http://www.w3.org/2000/09/xmldsig#'>
          <KeyName>Sally Doe</KeyName>
        </KeyInfo>
      </EncryptedKey>
    </KeyInfo>
    <CipherData>
      <CipherValue>yMTEy0TA1M...</CipherValue>
    </CipherData>
  </EncryptedData>
</PaymentInfo>
```

```

    </CipherData>
  </EncryptedKey>
</KeyInfo>
<CipherData>
  <CipherValue>ydUNqHkMrD...</CipherValue>
</CipherData>
</EncryptedData>
</PaymentInfo>

```

### XML Encryption in the WSS-Core

The WSS-Core specification is under development by Organization for the Advancement of Structured Information Standards (OASIS). The specification describes enhancements to SOAP messaging to provide quality of protection through message integrity, message confidentiality, and single message authentication. The message confidentiality is realized by encryption based on XML Encryption.

The WSS-Core specification supports encryption of any combination of body blocks, header blocks, their substructures, and attachments of a SOAP message. When you encrypt parts of a SOAP message, the specification also requires that you prepend a reference from the security header block to the encrypted parts of the message. The reference can be a clue for a recipient to identify which encrypted parts of the message to decrypt.

The XML syntax of the reference varies according to what information is encrypted and how it is encrypted. For example, suppose that the <CreditCard> element in example 4 is encrypted with either a common secret key or the public key of the recipient.

#### Example 4: Sample SOAP Version 1.1 message

```

<SOAP-ENV:Envelope
  SOAP-ENV:encodingStyle='http://schemas.xmlsoap.org/soap/encoding/'
  xmlns:SOAP-ENV='http://schemas.xmlsoap.org/soap/envelope/'>
  <SOAP-ENV:Body>
    <PaymentInfo xmlns='http://example.org/paymentv2'>
      <Name>John Smith</Name>
      <CreditCard Limit='5,000' Currency='USD'>
        <Number>4019 2445 0277 5567</Number>
        <Issuer>Example Bank</Issuer>
        <Expiration>04/02</Expiration>
      </CreditCard>
    </PaymentInfo>
  </SOAP-ENV:Body>
</SOAP-ENV:Envelope>

```

SOAP Version 1.2 does not support encodingStyle so the example changes to the following:

```

<SOAP-ENV:Envelope
  xmlns:SOAP-ENV='http://schemas.xmlsoap.org/soap/envelope/'>
  <SOAP-ENV:Body>
    <PaymentInfo xmlns='http://example.org/paymentv2'>
      <Name>John Smith</Name>
      <CreditCard Limit='5,000' Currency='USD'>
        <Number>4019 2445 0277 5567</Number>
        <Issuer>Example Bank</Issuer>
        <Expiration>04/02</Expiration>
      </CreditCard>
    </PaymentInfo>
  </SOAP-ENV:Body>
</SOAP-ENV:Envelope>

```

The resulting SOAP messages are shown in Examples 5 and 6. In these example, the <ReferenceList> and <EncryptedKey> elements are used as references, respectively.

#### Example 5: SOAP Version 1.1 message encrypted with a common secret key

```

<SOAP-ENV:Envelope
  xmlns:SOAP-ENV='http://schemas.xmlsoap.org/soap/envelope/'>
  <SOAP-ENV:Header>
    <Security SOAP-ENV:mustUnderstand='1'
      xmlns='http://schemas.xmlsoap.org/ws/2003/06/secext'>
      <ReferenceList xmlns='http://www.w3.org/2001/04/xmlenc#'>

```

```

        <DataReference URI='#ed1' />
    </ReferenceList>
</Security>
</SOAP-ENV:Header>
<SOAP-ENV:Body>
  <PaymentInfo xmlns='http://example.org/paymentv2'>
    <Name>John Smith</Name>
    <EncryptedData Id='ed1'
      Type='http://www.w3.org/2001/04/xmlenc#Element'
      xmlns='http://www.w3.org/2001/04/xmlenc#'>
      <EncryptionMethod
        Algorithm='http://www.w3.org/2001/04/xmlenc#tripleDES-cbc' />
      <KeyInfo xmlns='http://www.w3.org/2000/09/xmldsig#'>
        <KeyName>John Smith</KeyName>
      </KeyInfo>
      <CipherData>
        <CipherValue>yUNqHkMrD...</CipherValue>
      </CipherData>
    </EncryptedData>
  </PaymentInfo>
</SOAP-ENV:Body>
</SOAP-ENV:Envelope>

```

SOAP Version 1.2 does not support encodingStyle and the example changes to the following:

```

<SOAP-ENV:Envelope
  xmlns:SOAP-ENV='http://schemas.xmlsoap.org/soap/envelope/'>
  <SOAP-ENV:Header>
    <Security SOAP-ENV:mustUnderstand='1'
      xmlns='http://schemas.xmlsoap.org/ws/2003/06/secext'>
      <ReferenceList xmlns='http://www.w3.org/2001/04/xmlenc#'>
        <DataReference URI='#ed1' />
      </ReferenceList>
    </Security>
  </SOAP-ENV:Header>
  <SOAP-ENV:Body>
    <PaymentInfo xmlns='http://example.org/paymentv2'>
      <Name>John Smith</Name>
      <EncryptedData Id='ed1'
        Type='http://www.w3.org/2001/04/xmlenc#Element'
        xmlns='http://www.w3.org/2001/04/xmlenc#'>
        <EncryptionMethod
          Algorithm='http://www.w3.org/2001/04/xmlenc#tripleDES-cbc' />
        <KeyInfo xmlns='http://www.w3.org/2000/09/xmldsig#'>
          <KeyName>John Smith</KeyName>
        </KeyInfo>
        <CipherData>
          <CipherValue>yUNqHkMrD...</CipherValue>
        </CipherData>
      </EncryptedData>
    </PaymentInfo>
  </SOAP-ENV:Body>
</SOAP-ENV:Envelope>

```

*Example 6: SOAP message encrypted with the public key of the recipient*

```

<SOAP-ENV:Envelope
  SOAP-ENV:encodingStyle='http://schemas.xmlsoap.org/soap/encoding/'
  xmlns:SOAP-ENV='http://schemas.xmlsoap.org/soap/envelope/'>
  <SOAP-ENV:Header>
    <Security SOAP-ENV:mustUnderstand='1'
      xmlns='http://schemas.xmlsoap.org/ws/2003/06/secext'>
      <EncryptedKey xmlns='http://www.w3.org/2001/04/xmlenc#'>
        <EncryptionMethod
          Algorithm='http://www.w3.org/2001/04/xmlenc#rsa-1_5' />
        <KeyInfo xmlns='http://www.w3.org/2000/09/xmldsig#'>
          <KeyName>Sally Doe</KeyName>
        </KeyInfo>
        <CipherData>
          <CipherValue>yMTEyOTA1M...</CipherValue>
        </CipherData>
        <ReferenceList>
          <DataReference URI='#ed1' />
        </ReferenceList>
      </EncryptedKey>
    </Security>
  </SOAP-ENV:Header>
  <SOAP-ENV:Body>

```

```

<PaymentInfo xmlns='http://example.org/paymentv2'>
  <Name>John Smith</Name>
  <EncryptedData Id='ed1'
    Type='http://www.w3.org/2001/04/xmlenc#Element'
    xmlns='http://www.w3.org/2001/04/xmlenc#'>
    <EncryptionMethod
      Algorithm='http://www.w3.org/2001/04/xmlenc#tripleDES-cbc' />
    <CipherData>
      <CipherValue>yUNqHkMrD...</CipherValue>
    </CipherData>
  </EncryptedData>
</PaymentInfo>
</SOAP-ENV:Body>
</SOAP-ENV:Envelope>

```

SOAP Version 1.2 does not support encodingStyle and the example changes to the following:

```

<SOAP-ENV:Envelope xmlns:SOAP-ENV='http://schemas.xmlsoap.org/soap/envelope/'>
  <SOAP-ENV:Header>
    <Security SOAP-ENV:mustUnderstand='1'
      xmlns='http://schemas.xmlsoap.org/ws/2003/06/secext'>
      <EncryptedKey xmlns='http://www.w3.org/2001/04/xmlenc#'>
        <EncryptionMethod
          Algorithm='http://www.w3.org/2001/04/xmlenc#rsa-1_5' />
        <KeyInfo xmlns='http://www.w3.org/2000/09/xmldsig#'>
          <KeyName>Sally Doe</KeyName>
        </KeyInfo>
        <CipherData>
          <CipherValue>yMTEyOTA1M...</CipherValue>
        </CipherData>
        <ReferenceList>
          <DataReference URI='#ed1' />
        </ReferenceList>
      </EncryptedKey>
    </Security>
  </SOAP-ENV:Header>
  <SOAP-ENV:Body>
    <PaymentInfo xmlns='http://example.org/paymentv2'>
      <Name>John Smith</Name>
      <EncryptedData Id='ed1'
        Type='http://www.w3.org/2001/04/xmlenc#Element'
        xmlns='http://www.w3.org/2001/04/xmlenc#'>
        <EncryptionMethod
          Algorithm='http://www.w3.org/2001/04/xmlenc#tripleDES-cbc' />
        <CipherData>
          <CipherValue>yUNqHkMrD...</CipherValue>
        </CipherData>
      </EncryptedData>
    </PaymentInfo>
  </SOAP-ENV:Body>
</SOAP-ENV:Envelope>

```

### *Relationship to digital signature*

The WSS-Core specification also provides message integrity, which is realized by a digital signature that is based on the XML-Signature specification.

A combination of encryption and digital signature over common data introduces cryptographic vulnerabilities.

## **Symmetric versus asymmetric encryption**

For XML encryption, the application server supports two types of encryption:

- *Symmetric encryption*

In releases of the application server prior to WebSphere Application Server Version 7, including the IBM WebSphere Application Server Version 6.1 Feature Pack for Web Services, by default the KeyName reference was used to refer to the shared key outside of the SOAP message. However, the Web Services Security (WS-Security) Version 1.1 standard does not recommend using the KeyName reference. Because KeyName is not supported by the security policy, it is not supported in the application server.

The Web Services Secure Conversation (WS-SecureConversation) standard defines how to exchange the shared key between the client and the service and how to refer to the shared key in the message. The use of Kerberos with Web Services Security, as described in the Kerberos Token Profile, also defines how to use a Kerberos session key or key derived from the session key to perform symmetric encryption. Therefore, you can use symmetric encryption by using WS-SecureConversation or Kerberos. WebSphere Application Server supports DerivedKeyToken when using WS-SecureConversation. When using Kerberos, WebSphere Application Server supports both the use of DerivedKeyToken and the use of the Kerberos session key directly.

- *Asymmetric encryption*

For asymmetric encryption, XML Encryption introduces the idea of key wrapping. The data, such as the contents of the SOAP body element, is encrypted with a shared key that is dynamically generated while processing. Then, the generated shared key is encrypted with the public key of the receiver.

WebSphere Application Server supports the X509Token for asymmetric encryption.

## Security token

Web Services Security provides a general-purpose mechanism to associate security tokens with messages for single message authentication. A security token represents a set of claims made by a client that might include a name, password, identity, key, certificate, group, privilege, and so on.

A specific type of security token is not required by Web Services Security. Web Services Security is designed to be extensible and support multiple security token formats to accommodate a variety of authentication mechanisms. For example, a client might provide proof of identity and proof of a particular business certification. However, the security token usage for Web Services Security is defined in separate profiles such as the Username token profile, the X.509 token profile, the Security Assertion Markup Language (SAML) token profile, the eXtensible rights Markup Language (XrML) token profile, the Kerberos token profile, and so on.

A security token is embedded in the SOAP message within the SOAP header. The security token within the SOAP header is propagated from the message sender to the intended message receiver. On the receiving side, the WebSphere Application Server Web Services Security handler authenticates the security token and sets up the caller identity on the running thread.

WebSphere Application Server contains an enhanced security token that has the following features:

- The client can send multiple tokens to downstream servers.
- The receiver can determine which security token to use for authorization based upon the type or signed part for X.509 tokens.
- You can use the custom token or derived key token for digital signing or encryption.

## LTPA and LTPA Version 2 tokens

Web services security supports both LTPA (Version 1) and LTPA Version 2 (LTPA2) tokens. The LTPA2 token, which is more secure than Version 1, is supported by the JAX-WS runtime only.

**Note:** The support statements in this topic apply to the web services security implementation for WebSphere Application Server and not the security implementation for non-web services functionality.

The Lightweight Third Party Authentication (LTPA) token is a specific type of binary security token. The web services security implementation for WebSphere Application Server, Version 5 and later supports the LTPA Version 1 token. WebSphere Application Server Version 7 and later supports the LTPA Version 2 token using the JAX-WS runtime environment.

Although the same LTPAToken assertion is used in the policy for both LTPA Version 1 and LTPA Version 2, the valuetype value for the Version 2 token is different than Version 1. The valuetype value is composed of the URI and the local name. The following table shows the valuetype values for the LTPA token versions when they are selected as the token type for the policy set bindings. These values are not editable.

Table 99. LTPA token versions and their valuetype values. This table lists the valuetype values for both LTPA (Version 1) and LTPA2 tokens.

LTPA Version token	Valuetype value
LTPA (Version 1)	http://www.ibm.com/websphere/appserver/tokentype/5.0.2/LTPA
LTPA2	http://www.ibm.com/websphere/appserver/tokentype/LTPAv2

To allow for interoperability between servers that are running different versions of WebSphere Application Server, by default, the JAX-WS web services security runtime in Version 7.0 and later can successfully consume an LTPA Version 1 token when the binding is configured to expect an LTPA2 token. However, you can configure the binding for the JAX-WS runtime to accept only LTPA2 tokens. For more information, see the documentation about Authentication generator or consumer token settings.

If the web services security run time receives a token with a unrecognized valuetype value and the SOAP security header contains a mustUnderstand attribute value that is equal to '1', the web services security run time issues a SOAPFaultException error. If the mustUnderstand attribute value is equal to '0', the token is ignored.

If an LTPA2 token is sent with a mustUnderstand attribute value that is equal to '1' to a web services security run time in which the LTPA2 token is not supported, the run time does not recognize the LTPAv2 valuetype value. Thus, the receiving run time issues a SOAPFaultException error. The following table illustrates these different configurations and their potential error messages..

Table 100. LTPA token configurations. This table lists whether the LTPA Version 1 token is optional or required, lists the associated mustUnderstand attribute value, lists its run time, and provides the resulting SOAPFaultException error, if applicable

Run time	LTPA Version 1 token status	MustUnderstand attribute value	SOAPFaultException error
JAX-RPC	Required	1	com.ibm.wsspi.wssecurity.SoopSecurityException: WSEC5509E: A security token whose type is [{http://www.ibm.com/websphere/appserver/tokentype/5.0.2}LTPA] is required.
JAX-RPC	Required	0	com.ibm.wsspi.wssecurity.SoopSecurityException: WSEC5509E: A security token whose type is [{http://www.ibm.com/websphere/appserver/tokentype/5.0.2}LTPA] is required.
JAX-RPC	Optional	1	com.ibm.wsspi.wssecurity.SoopSecurityException: WSEC5502E: Unexpected element as the target element: s:BinarySecurityToken.
JAX-RPC	Optional	0	None
JAX-RPC	Not Configured	1	com.ibm.wsspi.wssecurity.SoopSecurityException: WSEC5502E: Unexpected element as the target element: s:BinarySecurityToken.
JAX-RPC	Not Configured	0	None
JAX-WS (Version 6.1 Feature Pack for Web Services)	Not Configured	1	CWSS5502E: The target element: s:BinarySecurityToken was not expected.
JAX-WS (Version 6.1 Feature Pack for Web Services)	Not Configured	0	None
JAX-WS (Version 6.1 Feature Pack for Web Services)	Configured	1	CWSS5509E: A security token whose type is [{http://www.ibm.com/websphere/appserver/tokentype/5.0.2}LTPA] is required.
JAX-WS (Version 6.1 Feature Pack for Web Services)	Configured	0	CWSS5509E: A security token whose type is [{http://www.ibm.com/websphere/appserver/tokentype/5.0.2}LTPA] is required.

You can configure the JAX-WS run time to generate either LTPA (Version 1) or LTPA2 tokens. If you configure the LTPA token generator in a policy binding to generate an LTPA (Version 1) token, you must do one of the following:



- Enable the single sign-on interoperability mode, which is available on the Single sign-on (SSO) panel within the administrative console. For more information on this option, see the documentation about single sign-on settings.
- Set the `com.ibm.wsspi.wssecurity.tokenGenerator.ltpav1.pre.v7` custom property to true for the LTPA token generator.

If you do not perform at least one of the steps indicated above, an error occurs when the application, which is attached to these bindings, is started.

## Username token

You can use the `<UsernameToken>` element to propagate a user name and, optionally, password information. Also, you can use this token type to carry basic authentication information. Both a user name and a password are used to authenticate the SOAP message.

## OASIS: Web Services Security UsernameToken Profile 1.0

A `UsernameToken` element containing the user name is used in identity assertion. Identity assertion establishes the identity of the user based on the trust relationship.

The following example shows the syntax of the `<UsernameToken>` element:

```
<wsse:UsernameToken wsu:Id="Example-1">
  <wsse:Username>
    ...
  </wsse:Username>
  <wsse:Password Type="...">
    ...
  </wsse:Password>
  <wsse:Nonce EncodingType="...">
    ...
  </wsse:Nonce>
  <wsu:Created>
    ...
  </wsu:Created>
</wsse:UsernameToken>
```

The Web Services Security specification defines the following password types:

### <http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-username-token-profile-1.0#PasswordText> (default)

This type is the actual password for the user name.

### <http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-username-token-profile-1.0#PasswordDigest>

The type is the digest of the password for the user name. The value is a base64-encoded SHA1 hash value of the UTF8-encoded password.

WebSphere Application Server supports the default `PasswordText` type. However, it does not support password digest because most user registry security policies do not expose the password to the application software.

The following example illustrates the use of the `<UsernameToken>` element:

```
<S:Envelope
  xmlns:S="http://schemas.xmlsoap.org/soap/envelope/"
  xmlns:wssse="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">
  <S:Header>
    ...
    <wsse:Security>
      <wsse:UsernameToken>
        <wsse:Username>Joe</wsse:Username>
        <wsse:Password>ILoveJava</wsse:Password>
      </wsse:UsernameToken>
    </wsse:Security>
  </S:Header>
</S:Envelope>
```

## OASIS: Web Services Security UsernameToken Profile 1.1

WebSphere Application Server supports both Username Token Profile 1.0 and Version 1.1 standards.

WebSphere Application Server does not support the following functions:

- In both versions of the Username Token Profile specification, the digest password type is not supported
- In both versions of the Username Token Profile specification, key derivation based on a password is not supported.

You can use policy sets to configure the UsernameToken using the administrative console. Also, you can use the Web Services Security APIs to attach the Username token to the SOAP message. The following figure describes the creation and validation of the Username token for the JAX-RPC and the JAX-WS programming models.

Creating and validating the Username token using the JAAS Login Module and the JAAS CallbackHandler in JAX-RPC

Creating and validating the Username token using the JAAS Login Module and the JAAS CallbackHandler in JAX-WS

**Note:** The WSS API is available only when you are using the Java API for XML-Based Web Services (JAX-WS) programming model.

On the generator side, the Username token is created by using the JAAS LoginModule and by using the JAAS CallbackHandler to pass the authentication data. The JAAS LoginModule creates the UsernameToken object and passes it to the Web Services Security run time.

On the consumer side, the Username Token XML format is passed to the JAAS LoginModule for validation or authentication, and the JAAS CallbackHandler is used to pass the authentication data from the Web Services Security run time to the JAAS LoginModule. After the token is authenticated, a UsernameToken object is created and is passed to the Web Service Security run time.

The following example provides sample code for creating Username tokens:

```
WSSFactory factory = WSSFactory.getInstance();
WSSGenerationContext gencont = factory.newWSSGenerationContext();

// Attach the username token to the message.
UNTGenerationCallbackHandler ugCallbackHandler =
    newUNTGenerationCallbackHandler("alice", "ecila");
SecurityToken ut = factory.newSecurityToken(ugCallbackHandler,
    UsernameToken.class);
gencont.add(ut);

// Generate the WS-Security header
gencont.process(msgctx);
```

### XML token

XML tokens are offered in two well-known formats called Security Assertion Markup Language (SAML) and eXtensible rights Markup Language (XrML).

In WebSphere Application Server Versions 6 and later, you can plug in your own implementation. By using extensibility of the <wsse:Security> header in XML-based security tokens, you can directly insert these security tokens into the header. SAML assertions are attached to Web Services Security messages using web services by placing assertion elements inside the <wsse:Security> header. The following example illustrates a Web Services Security message with a SAML assertion token.

```
<S:Envelope xmlns:S="...">
<S:Header>
  <wsse:Security xmlns:wsse="...">
```

```

    <saml:Assertion
      MajorVersion="1"
      MinorVersion="0"
      AssertionID="SecurityToken-ef375268"
      Issuer="elliottw1"
      IssueInstant="2002-07-23T11:32:05.6228146-07:00"
      xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion">
      ...
    </saml:Assertion>
  </wsse:Security>
</S:Header>
<S:Body>
...
</S:Body>
</S:Envelope>

```

For a complete list of the supported standards and specifications, read about web services specifications and APIs.

## Binary security token

The ValueType attribute identifies the type of the security token, for example, a Lightweight Third Party Authentication (LTPA) token. The EncodingType type indicates how the security token is encoded, for example, Base64Binary. The BinarySecurityToken element defines a security token that is binary encoded. The encoding is specified using the EncodingType attribute. The value type and space are specified using the ValueType attribute. The Web Services Security implementation for WebSphere Application Server, Version 6 and later supports LTPA, LTPA version 2, and X.509 certificate binary security tokens.

A binary security token has the following attributes that are used for interpretation:

- Value type
- Encoding type

The following example depicts an LTPA binary security token in a Web Services Security message header:

```

<wsse:BinarySecurityToken xmlns:ws="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd"
  EncodingType="wsse:Base64Binary"
  ValueType="ns7902342339871340177:LTPA">
  MIz6LGPt2CzXBQfio9wZTo1VotWov0NW3Za61U5K7Li78DSnIK6iHj3hxXgrUn6p4wZI
  8Xg26havespvmSJ8XiACMihtJuh1t3ufsrjbfFQJ0qh5VcRvI+AKEaNmEgEV65jUYAC9
  C/iwBBBk5U/6Dik7LfxCTT0ZPAD+3D3nCS0f+6tnqMou8EG9mtMeTKccz/pjVTZjaRSo
  msu0sewsOKf1/WPsjW0bR/2g3NaVvBy18V1TFBpUbGFVGgZHRjBKAGo+ctk180n1VLik
  TUjt/XdYvEp0r6Qoddgi4okjDGPyyoDxcvKZnReXww5Usoq1pfXwN4K6G9as=
</wsse:BinarySecurityToken>
</wsse:Security>
</soapenv:Header>

```

As shown in the example, the token is Base64Binary encoded.

The following example depicts an LTPA version 2 binary security token:

```

<wsse:BinarySecurityToken
  xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd"
  xmlns:wst="http://www.ibm.com/websphere/appserver/tokentype"
  wsu:Id="ltpa_20"
  ValueType="wst:LTPAv2">
  bRYI0Z59k/P1gIkGSAxeJIQoI1Bdojxjdo+6qMmiH371qS6U90Wx6EArMA05FHVyTmxvIJAAGD
  UVfQvcPDQcdPIWAn9Bhrz/bXw90EVx0wx/eNYQuiBvEVNam7urd8SxZkqpp0ZyeN6APZ4Z4Rox0M
  jQv91FIB/AKBPjYak8V9Z9gF08k6J5HmE/G9jdBov9Su6hXlff50Bhy6tx8BEm4Zn/pkeNc1H1d+
  t0xwD0fS00RWH0tjzDCTFpAMPjMmfR0/o7o3Di v0NtZG61y1bcwB4hx01iQC/FN5DJwrEy8kCwCef
  ywubKVvt5pyM1k6uVX18iK5Pj f9aU1ei86y5iXc9Ci rhvqosXiZvJ0bHTYKZSjtGiMYw3q9NkbZxs
  SzfCuAdht8sj6fVo43i0iz7CuFYAywqV1dUPjwStvcGntmWB/3MRtBDrmq3fqYSomjw5ZWDFex/n
  98Za0z8mUjNHinJc4APTtEx6S10CxUkUc8b8hoCdqbC0GdZcGqYF7xgcFVvsezsXw0eRmhra54x6g
  CJs1skMMNvi0vF2pic1cg4GC1Q74NKxV1oTrDZPaQPTikYgJOLKHPYnBPa0hPkX+iCOYN0IIRBa
  Vwj1T0G+Y/MgokiNJRwUQ7VHXEo0+Q2HsmCkmaFr1p41Zc9fGcFyVY/EUBBpkGchL0eKnv4DoVJW
  6EHFXWZdeiVkB
</wsse:BinarySecurityToken>

```

## Kerberos token

IBM WebSphere Application Server provides Kerberos token support for web services message-level security. The support is based on the Organization for the Advancement of Structured Information Standards (OASIS) Web Services Security Kerberos Token Profile Version 1.1. Use this topic to understand the Kerberos support that is available for web services.

## Kerberos token profile version 1.1

Kerberos Version 5 is a mature, open standard that provides a secure third-party authentication mechanism. The OASIS Web Services SOAP Message Security specification references the Kerberos token in the SOAP message. Web services applications can use the Kerberos token to send identities and protect messages more securely. Overall, Kerberos support involves Kerberos support in Java Platform, Enterprise Edition (Java EE) security and the Kerberos token support in Web Services Security. This topic covers the Kerberos token support in Web Services Security only.

In WebSphere Application Server Version 7.0 and later, Web Services Security supports the Kerberos token, which is based on OASIS WS-Security Kerberos Token Profile Version 1.1 specification. The Kerberos token is a binary security token for web services message-level security. Web Services Security provides SOAP message-level security, such as security token propagation, message signature, and message encryption. The Kerberos token is used for message security, specifically with the SOAP message security specification for web services, and is another supported token, such as the username token and the secure conversation token.

For more information, see the Web Services Security Kerberos Token Profile Version 1.1 specification. The specification explains how to use Kerberos security with the Web Services Security and how the Kerberos token is propagated and used to secure the SOAP message through signing and encryption.

## Kerberos token profile enablement

The WebSphere Application Server configuration model leverages existing tools and frameworks for the Kerberos token profile configuration of authentication and message protection, such as:

- Policy set and binding configuration to enable the Kerberos token profile for Java API for XML-Based Web Services (JAX-WS) applications
- Deployment descriptor and binding configuration to enable the Kerberos token profile for JAX-RPC applications
- Token profile enablement with a Kerberos token for JAX-WS applications
- Minimal client configuration to enable the Kerberos token profile using the JAX-WS programming model

For JAX-WS client applications, the design updates the application programming interfaces (APIs) for Web Services Security and enforces a Web Services Security policy with a Kerberos token, which is based on the OASIS token profile. To enable a Kerberos token profile by using a policy set, you must first establish the Web Services Security policy and binding files by using a custom token. For more information, see the "Kerberos configuration models for web services" topic.

## Kerberos support

The following Kerberos-related function is supported by web services in WebSphere Application Server:

- Client programming models for JAX-WS applications with Web Services Security APIs
- Interoperability with Web Services Enhancements (WSE) Version 3.5 and Windows Communication Foundation (WCF) Version 3.5 for Microsoft .NET
- Recovery of web services message security tokens for JAX-WS applications
- Kerberos token profile enablement
- Integration with the base security for the application server
- Kerberos token generation for the client and service
- Kerberos consumption at the service
- Clustering and high-availability for JAX-WS applications
- Kerberos token profile configuration of authentication and message protection for JAX-WS applications

- Integration in a single realm with either a Microsoft or z/OS operating system Key Distribution Center (KDC).
- Kerberos token profile configuration of authentication for JAX-RPC applications
- 

The application server does not support the following function:

- Key name references
- Message protection using session keys for JAX-RPC applications
- Message protection using derived keys for JAX-RPC applications
- Generation of SHA1 keys for JAX-RPC applications
- Kerberos delegation is not supported when you are using JAX-RPC applications configured with the Kerberos authentication security mechanism
- A Kerberos token is not recoverable when JAX-WS applications are enabled with web services Reliable Messaging

### ***Kerberos message protection for web services:***

Message-level security is based on the Organization for the Advancement of Structured Information Standards (OASIS) Web Services Security Kerberos Token Profile Version 1.1 specification. Use this topic to gain an overall understanding of how message protection is implemented with a Kerberos token for web services.

### **Message protection**

The application server can interoperate with other web services technology because of the implementation of the OASIS web services Kerberos token profile. This specification defines the standards for securing a SOAP message with the Kerberos token. However, mutual authentication is not defined by the token profile. The OASIS Web Services SOAP Message Security specification describes how to secure a SOAP message through signing and encryption by using and referencing a Kerberos token. Specifically, the OASIS specification defines how the Kerberos token, as a wrapped or unwrapped AP\_REQ packet, is encoded and attached to the SOAP message. The token that is described in the OASIS Kerberos token profile is limited to the AP\_REQ packet, which consists of a service ticket and an authenticator. The AP\_REQ packet is obtained from the Key Distribution Center (KDC), which serves as the third-party authentication service.

Multiple formats exist for the Kerberos token, as defined in the OASIS Web Services Security Kerberos Token Profile 1.1. The `@ValueType` attribute is used to specify the token format. You must specify one of the following `<@ValueType>` attributes for the element:

- [http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#Kerberosv5\\_AP\\_REQ](http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ)
- [http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS\\_Kerberosv5\\_AP\\_REQ](http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ)
- [http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#Kerberosv5\\_AP\\_REQ1510](http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ1510)
- [http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS\\_Kerberosv5\\_AP\\_REQ1510](http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ1510)
- [http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#Kerberosv5\\_AP\\_REQ4120](http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ4120)
- [http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS\\_Kerberosv5\\_AP\\_REQ4120](http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ4120)

The resulting AP\_REQ token can be either GSS-API framed (wrapped) or raw (unwrapped). The token must be Base-64 encoded.

### ***Kerberos usage overview for web services:***

You can use a Kerberos token to complete similar functions that you might currently complete with other binary security tokens, such as Lightweight Third Party Authentication (LTPA) and Secure Conversation tokens.

## Token generator

After the Kerberos token is created from the Key Distribution Center (KDC), the Web Services Security generator encodes and inserts the token into the SOAP message and propagates the token for token consumption or acceptance. If a message integrity or confidentiality key is required, a Kerberos sub-key or a Kerberos session key from the Kerberos ticket is used. A key can be derived from either the Kerberos sub-key or the Kerberos session key. Web Services Security uses the key from the Kerberos token to sign and encrypt the message parts as described in the OASIS Web Services Security Kerberos Token Profile Version 1.1 specification. The type of key to use is predetermined by the Web Services Security configuration or policy. Also, the size of the derived key is configurable.

The value of the signature or encryption key is constructed from the value of one of the following keys:

- The Kerberos sub-key when it is present in the authenticator
- A session key directly from the ticket if the sub-key is absent
- A key that is derived from either of the previous keys

When the Kerberos token is referenced as a signature key, the signature algorithm must be a hashed message authentication code, which is <http://www.w3.org/2000/09/xmlenc#hmac-sha1>. When the Kerberos token is referenced as an encryption key, you must use one of the following symmetric encryption algorithms:

- <http://www.w3.org/2001/04/xmlenc#aes128-cbc>
- <http://www.w3.org/2001/04/xmlenc#aes256-cbc>
- <http://www.w3.org/2001/04/xmlenc#tripleDES-cbc>

### Attention:

- The Application Server supports Kerberos Version 5 only.
- You can use a AES-type symmetric algorithm suite in Web Services Security when the Kerberos ticket complies with RFC-4120 only.
- A Kerberos key with the RC4-HMAC 128-bit key type only is used when the KDC is on a Microsoft Windows 2003 server.
- A Kerberos key with AES 128-bit or 256-bit key types is used when the KDC is on a Microsoft Windows 2008 server.
- A Kerberos ticket must be forwardable and not contain an address when the service provider is running in a cluster.
- You must import an unrestricted Java security policy when you use an AES 256-bit encryption algorithm.

For information about using a Kerberos token in a cross or trusted realm environment, read the topic "Kerberos token security in a single, cross, or trusted realm environment."

## Token consumer

The Web Services Security consumer receives and extracts the Kerberos token from the SOAP message. The consumer then accepts the Kerberos token by validating the token with its own secret key. The secret key of the service is stored in an exported keytab file. After acceptance, the Web Services Security consumer stores the associated request token information into the context Subject. You can also derive the corresponding key to the request token. The key is used to verify and decrypt the message. If the request token is forwardable and does not contain an address, the application server can use the stored token for downstream calls.

## Token format and reference

For JAX-WS applications, use the existing custom policy set or administrative command scripts for the custom policy to specify the Kerberos token type, the message signing, and message encryption. The JAX-WS programming model for WebSphere Application Server provides minimal configuration to enable the Kerberos token profile with the Kerberos token.

For JAX-RPC applications, use the deployment descriptor to specify that the custom token use the Kerberos token. You can use the Kerberos token for authentication, but you cannot use it for message signing or encryption.

WebSphere Application Server supports the following callback handler classes for the Kerberos Version 5 token:

- `com.ibm.websphere.wssecurity.callbackhandler.KRBTokenConsumeCallbackHandler`  
This class is a callback handler for Kerberos Version 5 token on the consumer side. This instance is used to generate the `WSSVerification` and `WSSDecryption` objects to validate a Kerberos binary security token.
- `com.ibm.websphere.wssecurity.callbackhandler.KRBTokenGenerateCallbackHandler`  
This class is a callback handler for Kerberos Version 5 token on the generator side. This instance is used to generate the `WSSSignature` object and the `WSEncryption` object to generate a Kerberos binary security token.

The OASIS Web Services Security Kerberos Token Profile Version 1.1 specification states that the Kerberos token is attached to the SOAP message with the `<wsse:BinarySecurityToken>` element. The following example shows the message format. The boldface type shows delineates the binary security token information from the other parts of the example.

```
<S11:Envelope xmlns:S11="..." xmlns:wsu="...">
  <S11:Header>
    <wsse:Security xmlns:wsse="...">
      <wsse:BinarySecurityToken
        EncodingType="http://docs.oasis-open.org/wss/2004/01/
          oasis-200401-wss-soap-message-security-1.0#Base64Binary"
        ValueType=" http://docs.oasis-open.org/wss/
          oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ"
        wsu:Id="MyToken">boIBxDCCAcCgAwIBBaEDAgEOogcD...
      </wsse:BinarySecurityToken>
      ...
    </wsse:Security>
  </S11:Header>
  <S11:Body>
    ...
  </S11:Body>
</S11:Envelope>
```

The Kerberos token is referenced by the `<wsse:SecurityTokenReference>` element. The `<wsu:Id>` element, which is specified within the `<wsse:BinarySecurityToken>` element and is shown within the following example in boldface type, directly references the token in the `<wsse:SecurityTokenReference>` element.

The `@wsse:TokenType` attribute value within the `<wsse:SecurityTokenReference>` element matches the `ValueType` attribute value of the `<wsse:BinarySecurityToken>` element. The `Reference/@ValueType` attribute is not required. However, if the attribute is specified, its value must be equivalent to the `@wsse11:TokenType` attribute.

The following example shows the message format, the correlation between the `<wsu:Id>` and `<wsse:SecurityTokenReference>` elements, and the relationship between the `@wsse:TokenType` and `ValueType` attribute values.

```
<S11:Envelope xmlns:S11="..." xmlns:wsu="...">
  <S11:Header>
    <wsse:Security xmlns:wsse="...">
      <wsse:BinarySecurityToken
        EncodingType="http://docs.oasis-open.org/wss/2004/01/
          oasis-200401-wss-soap-message-security-1.0#Base64Binary"
        ValueType=" http://docs.oasis-open.org/wss/
          oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ"
        wsu:Id="MyToken">boIBxDCCAcCgAwIBBaEDAgEOogcD...
      </wsse:BinarySecurityToken>
      ...
    </wsse:Security>
  </S11:Header>
  <S11:Body>
    ...
  </S11:Body>
</S11:Envelope>
```

```

        wsu:Id="MyToken">boIBxDCCAcCgAwIBBaEDAgE0ogcD...
    </wsse:BinarySecurityToken>
</wsse:Security>
</S11:Header>
</S11:Envelope>
<wsse:Security>
</wsse:Security>
<wsse:SecurityTokenReference
    TokenType="http://docs.oasis-open.org/wss/
    oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ">
    <wsse:Reference URI="#MyToken"
        ValueType="http://docs.oasis-open.org/wss/
        oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ">
    </wsse:Reference>
</wsse:SecurityTokenReference>
...
<wsse:Security>
</wsse:Security>
<S11:Header>
</S11:Header>
<S11:Body>
...
</S11:Body>
</S11:Envelope>
</S11:Envelope>

```

The `<wsse:KeyIdentifier>` element is used to specify an identifier for the Kerberos token. The value of the identifier is a SHA1 hash value of the encoded Kerberos token in the previous message. The element must have a `ValueType` attribute with a `#Kerberosv5APREQSHA1` value. The `KeyIdentifier` reference mechanism is used on subsequent message exchanges after the initial Kerberos token is accepted. The following example shows the key identifier information in boldface type:

```

<S11:Envelope xmlns:S11="..." xmlns:wsse="..." xmlns:wsu="...">
  <S11:Header>
    <wsse:Security>
      ...
      <wsse:SecurityTokenReference
        wsse11:TokenType=http://docs.oasis-open.org/wss/
        oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ>
        <wsse:KeyIdentifier
          ValueType="http://docs.oasis-open.org/wss/
          oasis-wss-kerberos-token-profile-1.1#Kerberosv5APREQSHA1">
            GbsDt+VmD9X1nUUWbY/nhBveW8I=
          </wsse:KeyIdentifier>
        </wsse:SecurityTokenReference>
      ...
    </wsse:Security>
  </S11:Header>
  <S11:Body>
    ...
  </S11:Body>
</S11:Envelope>

```

## Multiple references to the Kerberos token

The client is not required to send a Kerberos token in every request after the Kerberos identity is validated and accepted by the service. The OASIS Web Services Security Kerberos Token Profile Version 1.1 specification suggests that you use a SHA1 encoded key with the `<wsse:KeyIdentifier>` element within the `<wsse:SecurityTokenReference>` element for every subsequent message after the initial AP\_REQ packet is accepted. However, the runtime environment for Web Services Security must map the key identifier to a cached Kerberos token for further processing. IBM WebSphere Application Server 7.0 and later supports this SHA1 caching as described in the profile, by default. However, the application server also provides the ability to generate new AP\_REQ tokens for each request with the existing service Kerberos ticket. When you interoperate with Microsoft .NET, do not use pSHA1 caching; generate an AP\_REQ packet for each request.

### *Kerberos configuration models for web services:*

The IBM WebSphere Application Server configuration model leverages existing frameworks.

The configuration model features include:

- Deployment descriptors and bindings configuration to enable the Kerberos token profile for Java API for XML-based RPC (JAX-RPC) applications



- Policy sets and bindings configuration to enable the Kerberos token profile for Java Architecture for XML Web Services (JAX-WS) applications
- Web Services Security APIs for JAX-WS applications
- Administrative command scripts
- Interoperability with Microsoft Web Services Enhancements (WSE) Version 3.5

Following are some examples of possible configurations when using the Kerberos token:

- A JAX-WS client on Windows operating systems
- A JAX-RPC client on Windows operating systems
- A Windows JAX-RPC client on z/OS operating systems
- Web Services Security APIs on Windows operating systems
- A Microsoft .NET WSE 3.5 client on Windows operating systems
- A Microsoft .NET WSE 3.5 client on z/OS operating systems

### **JAX-WS configuration model**

For JAX-WS applications, the WebSphere Application Server client configuration model uses the policy set and leverages a custom policy set for the Kerberos token. You can specify the Kerberos token type and message signing and the encryption by using the custom policy set. The Web Services Security (WS-Security) policy is the security policy that is used to secure the application messages.

Using the administrative console, you can specify the Kerberos token type, message signing, and message encryption by using an existing custom policy set. Kerberos token generation and consumption includes the Kerberos token generation for unmanaged JAX-WS clients.

The JAX-WS programming model also provides capabilities to enable the Kerberos token profile and identity assertion by configuring the Kerberos token using policy sets, Web Services Security APIs, and administrative command scripts.

For JAX-WS applications, you can use administrative commands to configure the policy set as an alternative to using the administrative console.

### **JAX-RPC configuration model**

JAX-RPC applications are configured using a deployment model. The deployment descriptor specifies the custom token to use for the Kerberos token. A JAX-RPC client can generate the specified Kerberos token. A JAX-RPC web service can successfully authenticate the Kerberos token by using a custom or the default Kerberos identity mapping login module.

### **API configuration model**

A set of APIs is provided by WebSphere Application Server. To successfully use these APIs, application developers must have knowledge about the OASIS Web Services Security Version 1.0 and 1.1 specifications. When you use these APIs, the application server assumes that a policy set is not attached to the client resources; however, a warning is still issued when the application server detects any policy set information.

For JAX-WS client applications, the APIs include and enforce Web Services Security policy for the Kerberos token, which is based on the OASIS token profile. To enable the Kerberos token profile with the policy set, you must first configure the WS-Security policy and the binding files with the custom token.

For JAX-RPC applications, APIs for Web Services Security are not provided. You must use the deployment descriptor to specify the custom token to use the Kerberos token. You can use the custom token panels within an assembly tool, such as Rational Application Developer, to configure the deployment information.

### ***Kerberos clustering for web services:***

Clusters are groups of servers that are managed together and participate in workload management.

In a clustered environment, the Kerberos token needs to be distributed and recoverable. The Web Services Security configuration saves and distributes Kerberos tokens among the cluster members. The Kerberos tokens that are created or validated in one server are available to the other cluster members. The distributed cache or database repository need to be configured as the caching mechanism.

### ***Web Services Security Kerberos token for authentication in a single or cross Kerberos realm environment:***

To secure web services messages, you can use a Kerberos token as either an authentication token or a message protection token. For Kerberos authentication, both the single Kerberos realm environment, and the cross or trusted Kerberos realm environment are supported.

#### **Single realm environment**

In a single Kerberos realm environment, both the client application and the service provider use the same Kerberos realm. The client application obtains a Kerberos token based on the Kerberos realm used by the service provider. To configure the token, the client application defines the Kerberos service principal name (SPN) for the service provider in the client policy token generator bindings. The format of the SPN is shown below, where `Kerberos_Realm_Name` is optional.

`ServiceName/HostName@Kerberos_Realm_Name`

For cell-level configuration in WebSphere Application Server, all service providers use the same Kerberos realm.

If the service provider uses the Kerberos identity from the client for downstream web services requests, a delegated Kerberos ticket must exist in the Kerberos token that is specified in the Kerberos configuration file. The system JAAS login module for Kerberos is added to the provided Web Services Security caller. For more information on using the Kerberos token for caller credentials, read about updating the system Java Authentication and Authorization Service (JAAS) login with the Kerberos login module, and creating a Kerberos configuration file.

#### **Cross realm environment or trusted realm environment**

The following configuration procedures must be completed for the trusted realm environment:

- The Kerberos trusted realm setup must be completed for all the configured Kerberos KDCs. See your Kerberos Administrator and User's Guide for more information about how to set up a Kerberos trusted realm.
- The Kerberos configuration file (`krb5.ini` on Windows, and `krb5.conf` for Unix and z/OS platforms) must list the trusted realms. See your Kerberos Administrator and User's Guide for more information.
- The client application token generator bindings must be configured with the Kerberos SPN information from the service provider. For more information, see configuring the bindings for message protection for Kerberos.

In a cross or trusted Kerberos realm environment, the client application and the service provider use different Kerberos realms that have established trust with each other. The client application obtains a Kerberos token based on the Kerberos realm used by the service provider. To configure the token, the client application defines the Kerberos SPN for the service provider in the client policy token generator bindings. The format of the SPN is shown below, where `Kerberos_Realm_Name` is required.

`ServiceName/HostName@Kerberos_Realm_Name`

The client application must specify the Kerberos realm name for the client in the callback handler portion of the client policy token generator bindings. At the cell level, all service providers use the same Kerberos realm. However, client applications can still define their own Kerberos realm. Only peer-to-peer and transitive trust cross-realm authentication are supported.

The following figure illustrates the relationship between trusted realms as defined in the Kerberos Key Distribution Center (KDC):

If the service provider uses the Kerberos identity from the client for downstream web services requests, a delegated Kerberos ticket must exist in the Kerberos token that is configured in the Kerberos configuration file. The system JAAS login module for Kerberos is added to the provided Web Services Security caller. For more information on using the Kerberos token for caller credentials, read about updating the system JAAS login with the Kerberos login module, and creating a Kerberos configuration file.

## SAML token

The Security Assertion Markup Language (SAML) is an XML-based OASIS standard for exchanging user identity and security attributes information.

Using the product SAML function, you can apply policy sets to JAX-WS applications to use SAML assertions in web services messages and in web services usage scenarios. Use SAML assertions to represent user identity and user security attributes, and optionally, to sign and to encrypt SOAP message elements. WebSphere Application Server supports SAML assertions using the bearer subject confirmation method and the holder-of-key subject confirmation method as defined in the OASIS Web Services Security SAML Token Profile Version 1.1 specification. Policy sets and general bindings that support SAML are included with the product SAML function. To use SAML assertions, you must modify the provided sample general binding.

The SAML function also provides a set of application programming interfaces (APIs) that can be used to request SAML tokens from a Security Token Service (STS) using the WS-Trust protocol. APIs are also provided to locally generate and validate SAML tokens. For more information, read about application programming interfaces (APIs) for SAML.

## Time stamp

A *time stamp* is the value of an object that indicates the system time at some critical point in the history of the object.

A time stamp is included in a message to reduce the vulnerability of an application to replay attacks. In web services, a replay attack occurs when an HTTP request is intercepted and the content is resent to the provider in its original form.

**Note:** When you include a time stamp in a message, you must protect its integrity using transport security, such as secure sockets layer (SSL) or message-level security, such as XML digital signature. If you do not protect the integrity of the time stamp, it is possible to capture the message and retransmit the content with a different time stamp, message expiration date, or both.

For both the JAX-RPC and JAX-WS WS-Security run times, 5 minutes is the default message expiration time that is used for the receiver if a value is not specified in the message. If a different expiration is required for a specific client or you are unsure of the target service default value, configure a message expiration time value for the outbound time stamp.

### Note:

- When the Web Services Security JAX-RPC and JAX-WS run times generate or consume a message, they do not enforce that the integrity of the time stamp is protected.

- The Web Services Security JAX-RPC and JAX-WS run times do not have a default outbound message expiration value. If you want to include a message expiration value in a message, you must configure it. Although the JAX-WS run time does not have a default outbound message expiration value, you can configure an outbound message expiration value in the default general bindings. This value is acquired by all applications at the level for which the default bindings apply. For example, the value might be acquired at the cell or application level.
- For the JAX-RPC run time, the time stamp expiration value is specified in the web services deployment descriptor extension. You cannot modify the web services deployment descriptor extension from the administrative console; you can only view it. To modify the deployment descriptor extension, you must use an assembly tool and add or change the time stamp expiration value for a JAX-RPC application.
- If WS-Security constraints exist to consume a timestamp, the client must send a timestamp.

The JAX-WS WS-Security runtime complies with the OASIS WS-SecurityPolicy 1.2 specification Timestamp Required requirement. If you want to configure an application to not require an inbound time stamp when an outbound time stamp is configured you can add the `com.ibm.wsspi.wssecurity.consumer.timestampRequired` custom property as either an inbound or an inbound/outbound web services security custom property.

## Security considerations for web services

When you configure Web Services Security, you should make every effort to verify that the result is not vulnerable to a wide range of attack mechanisms. There are possible security concerns that arise when you are securing web services.

In WebSphere Application Server, when you enable integrity, confidentiality, and the associated tokens within a SOAP message, security is not guaranteed. This list of security concerns is not complete. You must conduct your own security analysis for your environment.

- Ensuring the message freshness

Message freshness involves protecting resources from a replay attack in which a message is captured and resent. Digital signatures, by themselves, cannot prevent a replay attack because a signed message can be captured and resent. It is recommended that you allow message recipients to detect message replay attacks when messages are exchanged through an open network. You can use the following elements, which are described in the Web Services Security specifications, for this purpose:

### Timestamp

You can use the timestamp element to keep track of messages and to detect replays of previous messages. The WS-Security 2004 specification recommends that you cache time stamps for a given period of time. As a guideline, you can use five minutes as a minimum period of time to detect replays. Messages that contain an expired timestamp are rejected.

### Nonce

A nonce is a child element of the `<UsernameToken>` element in the UsernameToken profile. Because each nonce element has a unique value, recipients can detect replay attacks with relative ease.

**Important:** Both the time stamp and nonce element must be signed. Otherwise, these elements can be altered easily and, therefore, cannot prevent replay attacks.

- Using XML digital signature and XML encryption properly to avoid a potential security hole
- The Web Services Security 2004 specification defines how to use XML digital signature and XML encryption in SOAP headers. Therefore, users must understand XML digital signature and XML encryption in the context of other security mechanisms and their possible threats to an entity. For XML digital signature, you must be aware of all of the security implications resulting from the use of digital signatures in general and XML digital signature in particular. When you build trust into an application based on a digital signature, you must incorporate other technologies such as certification trust validation based upon the Public Key Infrastructure (PKI). For XML encryption, the combination of digital signing and encryption over a common data item might introduce some cryptographic vulnerabilities. For

example, when you encrypt digitally signed data, you might leave the digital signature in plain text and leave your message vulnerable to plain text guessing attacks. As a general practice, when data is encrypted, encrypt any digest or signature over the data. For more information, see <http://www.w3.org/TR/xmlenc-core/#sec-Sign-with-Encrypt>.

- Protecting the integrity of security tokens

The possibility of a token substitution attack exists. In this scenario, a digital signature is verified with a key that is often derived from a security token and is included in a message. If the token is substituted, a recipient might accept the message based on the substituted key, which might not be what you expect. One possible solution to this problem is to sign the security token (or the unique identifying data from which the signing key is derived) together with the signed data. In some situations, the token that is issued by a trusted authority is signed. In this case, there might not be an integrity issue. However, because application semantics and the environment might change over time, the best practice is to prevent this attack. You must assess the risk assessment based upon the deployed environment.

- Verifying the certificate to leverage the certificate path verification and the certificate revocation list

It is recommended that you verify that the authenticity or validity of the token identity that is used for digital signature is properly trusted. Especially for an X.509 token, this issue involves verifying the certificate path and using a certificate revocation list (CRL). In the Web Services Security implementation in WebSphere Application Server Version 6 and later, the certificate is verified by the <TokenConsumer> element. WebSphere Application Server provides a default implementation for the X.509 certificate that uses the Java CertPath library to verify and validate the certificate. In the implementation, there is no explicit concept of a CRL. Rather, proper root certificates and intermediate certificates are prepared in files only. For a sophisticated solution, you might develop your own TokenConsumer implementation that performs certificate and CRL verification using the online CRL database or the Online Certificate Status Protocol (OCSP).

- Protecting the username token with a password

It is recommended that you do not send a password in a username token to a downstream server without protection. You can use transport-level security such as SSL (for example, HTTPS) or use XML encryption within Web Services Security to protect the password. The preferred method of protection depends upon your environment. However, you might be able to send a password to a downstream server as plain text in some special environments where you are positive that you are not vulnerable to an attack.

Securing web services involves more work than just enabling XML digital signature and XML encryption. To properly secure a Web service, you must have knowledge about the PKI. The amount of security that you need depends upon the deployed environment and the usage patterns. However, there are some basic rules and best practices for securing web services. It is recommended that you read some books on PKI and also read information on the Web Services Interoperability Organization (WS-I) Basic Security Profile (BSP).

### ***Nonce, a randomly generated token:***

Nonce is a randomly-generated, cryptographic token that is used to prevent replay attacks. Although nonce can be inserted anywhere in the SOAP message, it is typically inserted in the <UsernameToken> element.

Without nonce, when a UsernameToken is passed from one machine to another machine using a nonsecure transport, such as HTTP, the token might be intercepted and used in a replay attack. The same password might be reused when the user name token is transmitted between the client and the server, which leaves it vulnerable to attack. The user name token can be stolen even if you use XML digital signature and XML encryption. However, nonce alone, used in a non-secure transport, cannot adequately address the replay problem. Nonce is most useful when the SOAP message is transmitted via a communication channel that is secured, either at the transport level, or at the message level.

To help eliminate these replay attacks, the <wsse:Nonce> and <wsu:Created> elements are generated within the <wsse:UsernameToken> element and used to validate the message. The server checks the freshness of the message by verifying that the difference between the nonce creation time, which is

specified by the <wsu:Created> element, and the current time falls within a specified time period. Also, the server checks a cache of used nonces to verify that the user name token in the received SOAP message has not been processed within the specified time period. These two features are used to lessen the chance that a user name token is used for a replay attack.

To add a nonce for the UsernameToken, you can specify it in the token generator for the user name token. When the token generator for the UsernameToken is specified, you can select the **Add nonce** option if you want to include nonce in the user name token.

### **Basic Security Profile compliance tips:**

The Web Services Interoperability Organization (WS-I) Basic Security Profile (BSP) 1.0 promotes interoperability by providing clarifications and amplifications to a set of nonproprietary web services specifications. WebSphere Application Server Web Services Security provides configuration options to ensure that the BSP recommendations and security considerations can be enabled to ensure interoperability. The degree to which you follow these recommendations is then a measure of how well the application you are configuring complies with the Basic Security Profile (BSP).

Support for applications to comply to the Basic Security Profile (BSP) is new in WebSphere Application Server Version 8.0. For more information on the Basic Security Profile, see Web Services Interoperability Organization (WS-I) Basic Security Profile (BSP), Basic Security Profile Version 1.0.

You can use either a predefined list of keywords or XPath expressions to comply to the BSP. Both the keywords and the XPath expressions are specified in the deployment descriptor configuration file and are configured using an assembly tool.

### **Basic Security Profile recommendations**

Follow these recommendations to ensure that your configured applications are Basic Security Profile (BSP) compliant.

- Do not use the original XPath transform, <http://www.w3.org/TR/1999/REC-xpath-19991116>  
When you refer to an element in a SECURE\_ENVELOPE that does not carry an ID attribute type from a ds:Reference in a SIGNATURE element, you must use the XPath Filter 2.0 transform, <http://www.w3.org/2002/06/xmldsig-filter2> to refer to that element.  
Any ds:Transform/@Algorithm attribute in a SIGNATURE element must have one of these values:
  - <http://www.w3.org/2001/10/xml-exc-c14n#>
  - <http://www.w3.org/2002/06/xmldsig-filter2>
  - <http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0#STR-Transform>
  - <http://www.w3.org/2000/09/xmldsig#enveloped-signature>
  - <http://docs.oasis-open.org/wss/2004/XX/oasis-2004XX-wss-swa-profile-1.0#Attachment-Content-Only-Transform>
  - <http://docs.oasis-open.org/wss/2004/XX/oasis-2004XX-wss-swa-profile-1.0#Attachment-Complete-Transform>
- Do not use the <http://www.w3.org/2000/09/xmldsig#dsa-sha1> signature algorithm.  
Any ds:SignatureMethod/@Algorithm element in a SIGNATURE that is based on a symmetric key must have one of the following values:
  - <http://www.w3.org/2000/09/xmldsig#rsa-sha1>
  - <http://www.w3.org/2000/09/xmldsig#hmac-sha1>
- Do not specify the digestvalue keyword for the message part to encrypt. Instead, use the signature keyword.

If the value of a `ds:DigestValue` element in a `SIGNATURE` element requires encryption, the entire parent `ds:Signature` element must be encrypted. A `SIGNATURE` must not have any `xenc:EncryptedData` elements among its descendants.

- Do not use the `KEYNAME` key information type  
`KEYNAME` references can be ambiguous and compliance with the BSP disallows the use of `KEYNAME`. A `SECURITY_TOKEN_REFERENCE` must not use a key name to reference a `SECURITY_TOKEN`. The child element of a `ds:KeyInfo` element in an `ENCRYPTED_KEY` must be either a `SECURITY_TOKEN_REFERENCE` or a `ds:MgmtData` element. Using a `KEYNAME` key information type for an encryption key results in a `KeyName` child element of a `ds:KeyInfo` element and is disallowed for BSP compliance.
- Do not use the `http://www.w3.org/2001/04/xmlenc#aes192-cbc` bit data encryption algorithm.  
Any `xenc:EncryptionMethod/@Algorithm` attribute in an `ENCRYPTED_DATA` element must have one of these values:
  - `http://www.w3.org/2001/04/xmlenc#tripleDES-cbc`
  - `http://www.w3.org/2001/04/xmlenc#aes128-cbc`
  - `http://www.w3.org/2001/04/xmlenc#aes256-cbc`
- Do not use the advanced encryption standard (AES) key wrap (aes192): `http://www.w3.org/2001/04/xmlenc#kw-aes192` key encryption algorithm.  
When used for key wrap, any `xenc:EncryptionMethod/@Algorithm` attribute in an `ENCRYPTED_KEY` element must have one of these values:
  - `http://www.w3.org/2001/04/xmlenc#kw-tripleDES`
  - `http://www.w3.org/2001/04/xmlenc#kw-aes128`
  - `http://www.w3.org/2001/04/xmlenc#kw-aes256`

### Configuration Options for BSP Compliance

You achieve BSP compliance when certain configuration choices are made. The assembly tool assists you in using appropriate choices when configuring the application by issuing warning messages. The following configuration descriptions comprise these warnings:

- When configuring the `ds:Transforms` element in a signature, the list of transforms must include as its last child element `http://www.w3.org/2001/10/xml-exc-c14n#` or `http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0#STR-Transform`
- Add a `wsse:Nonce` or `wsse:Created` element to a Username token to prevent replay. After the element is added, sign the Username token to prevent undetected alteration of these fields; otherwise, replay can occur.

#### ***Distributed nonce cache:***

In previous releases of WebSphere Application Server, the nonce was cached locally. WebSphere Application Server Versions 6 and later use distributed nonce caching. The distributed nonce cache makes it possible to replicate nonce data among servers in a WebSphere Application Server cluster.

If nonce elements are in a SOAP header, all nonce values are cached by the server in the cluster. If the distributed nonce cache is enabled, the cached nonce values are copied to other servers in the same cluster. Then, if the message with the same nonce value is sent to (one of) other servers, the message is rejected. A received nonce cache value is cached and replicated in a push manner among other servers in the cluster with the same replication domain. The replication is an out-of-process call and, in some cases, is a remote call. Therefore, there is latency when the content of the cache in the cluster is updated.

For example, you might have application server A and application server B in cluster C.

- A SOAP client sends a message with nonce abc to application server A.
- The server caches the value and pushes it to the other application server B.

- If the client sends the message with nonce abc to application server B after a certain time frame, the message is rejected and if the application server B receives the nonce with the same value within a specified period of time, a SoapSecurityException is thrown by application server B.  
For more information, see the information that explains nonce cache timeout, nonce maximum age, and nonce clock skew in Token generator configuration settings.
- If the client sends the message with another nonce value of xyz, the message is accepted, the value is cached by application server B and is copied into the other application servers within the same cluster.

**Important:** The distributed nonce caching feature uses the WebSphere Application Server data replication service (DRS). The data in the local cache is pushed to the cache in other servers in the same replication domain. The replication is an out-of-process call and, in some cases, is a remote call. Therefore, there is a possible delay in replication while the content of the cache in each application server within the cluster is updated. The delay might be due to network traffic, network workload, machine workload, and so on.

## Web Services Security token propagation

Web Services Security has the ability to send security tokens in the security header of a SOAP message. These security tokens can be used to sign, verify, encrypt or decrypt message parts. Security tokens can also be sent as stand-alone security tokens and set as the caller on the request consumer. *Web Services Security token propagation* is used to send these stand-alone security tokens in a wsse:BinarySecurityToken element within the security header of the SOAP message.

Web Services Security has the following built-in token types:

- Username token
- X.509 token
- Lightweight Third-Party Authentication (LTPA) token

You can configure Web Services Security to use custom security tokens. Web Services Security uses the same propagation token format as the Security attribute propagation feature. Web Services Security can propagate all of the built-in security token types and can propagate custom token types as long as they are serializable by the security attribute propagation feature.

When you configure a propagation token in a token generator or token consumer, use the following values for the token type Uniform Resource Identifier (URI) and local name:

- **Token type URI:** `http://www.ibm.com/websphere/appserver/tokentype`
- **Token type local name:** `LTPA_PROPAGATION`

When a propagation token is generated, Web Services Security gathers all of the serializable security tokens in the *RunAs* subject for the current thread and serialize the security tokens within a wsse:BinarySecurityToken token. To have a RunAs subject and the credentials that are necessary on the current thread, a JAAS login must occur on the current thread before a propagation token can be created.

Under ordinary circumstances, for a service provider, the Java Authentication and Authorization Service (JAAS) login is achieved by including a defined caller part for the inbound token in the WS-Security configuration. For a web services client, the JAAS login is achieved by configuring HTTP basic authentication.

There are two common uses for a propagation token:

- A client from within a secured service propagates the serializable security tokens and credentials from the current RunAs subject to a downstream server.
- A server-based client that is secured in the web container with HTTP basic authentication can use a propagation token.

For a server-based client, the overhead for propagation tokens is not necessary as only the identity is required and not the full set of credentials. However, if the client application makes modifications to the



subject after it is invoked by the web container, it might be appropriate to use a propagation token. If only an identity token is required, an ordinary LTPA token might be appropriate. You can generate this LTPA token from the RunAs subject that is created by the JAAS login.

**Important:** For the receiver of the LTPA propagation token to make proper use of the credentials that were sent to it in the propagation token, you must configure and define a caller part for the token in the WS-Security configuration on the receiver side.

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## Bus-enabled web services

Use these concepts to explore service integration bus-enabled web services.

- “Bus-enabled web services: Frequently asked questions”
- “Planning your bus-enabled web services installation” on page 944
- “Endpoint listeners and inbound ports: Entry points to the service integration bus” on page 945
- “Outbound ports and port destinations” on page 946
- “Service integration technologies and JAX-RPC handlers” on page 946
- “Non-bound WSDL” on page 947
- “UDDI registries: Web service directories that can be referenced by bus-enabled web services” on page 948
- “SOAP with attachments: A definition” on page 949
- “Operation-level security: Role-based authorization” on page 950
- “Service integration technologies and WS-Security” on page 950
- “Specifications and API documentation” on page 1084

### Bus-enabled web services: Frequently asked questions

A set of frequently asked questions about service integration bus-enabled web services are collected together in this topic.

- “What are web services?”
- “What are bus-enabled web services?”
- “What problems are solved by bus-enabled web services?” on page 944
- “Who should use bus-enabled web services?” on page 944

### What are web services?

Web services are modular applications that interact with one another across the Internet. Web services are based on shared, open and emerging technology standards and protocols (such as SOAP, UDDI, and WSDL) and can communicate, interact, and integrate with other applications, no matter how they are implemented.

### What are bus-enabled web services?

You can associate your web services with the service integration bus, to achieve the following goals:

- You can take an internally-hosted service that is available at a bus destination, and make it available as a web service.
- You can take an external web service and make it available internally at a bus destination.
- You can use the web services gateway to map an existing service - either an internally-hosted service or an external Web service - to a new web service that seems to be provided by the gateway.

Bus-enabled web services also provide a choice of quality of service and message distribution options for web services, along with intelligence in the form of mediations that allow for the rerouting of messages.

## What problems are solved by bus-enabled web services?

Bus-enabled web services solve the following problems:

- **Securely “externalizing” existing services:** Existing business applications that are exposed as web services can be used by any web service-enabled tool, regardless of the implementation details. Bus-enabled web services also let you enable controlled access for clients from outside the firewall to web services that are hosted within your enterprise.
- **Better return on investment:** Any number of partners can reuse an existing process that you deploy as a web service.
- **Use of existing infrastructure:** You can use your existing messaging infrastructure to make web service requests, and use your existing web services for external process integration.
- **Use of external web services:** You can make an existing external web service available to your internal systems at a bus destination.

## Who should use bus-enabled web services?

Any enterprise that chooses to share its resources selectively with its business partners and customers. IT managers and developers, who deploy resources, can also benefit from this technology.

## Planning your bus-enabled web services installation

Consider how your environment will be configured to support service integration bus-enabled web services. Determine which of the bus-enabled web services roles you want each server or cluster to perform.

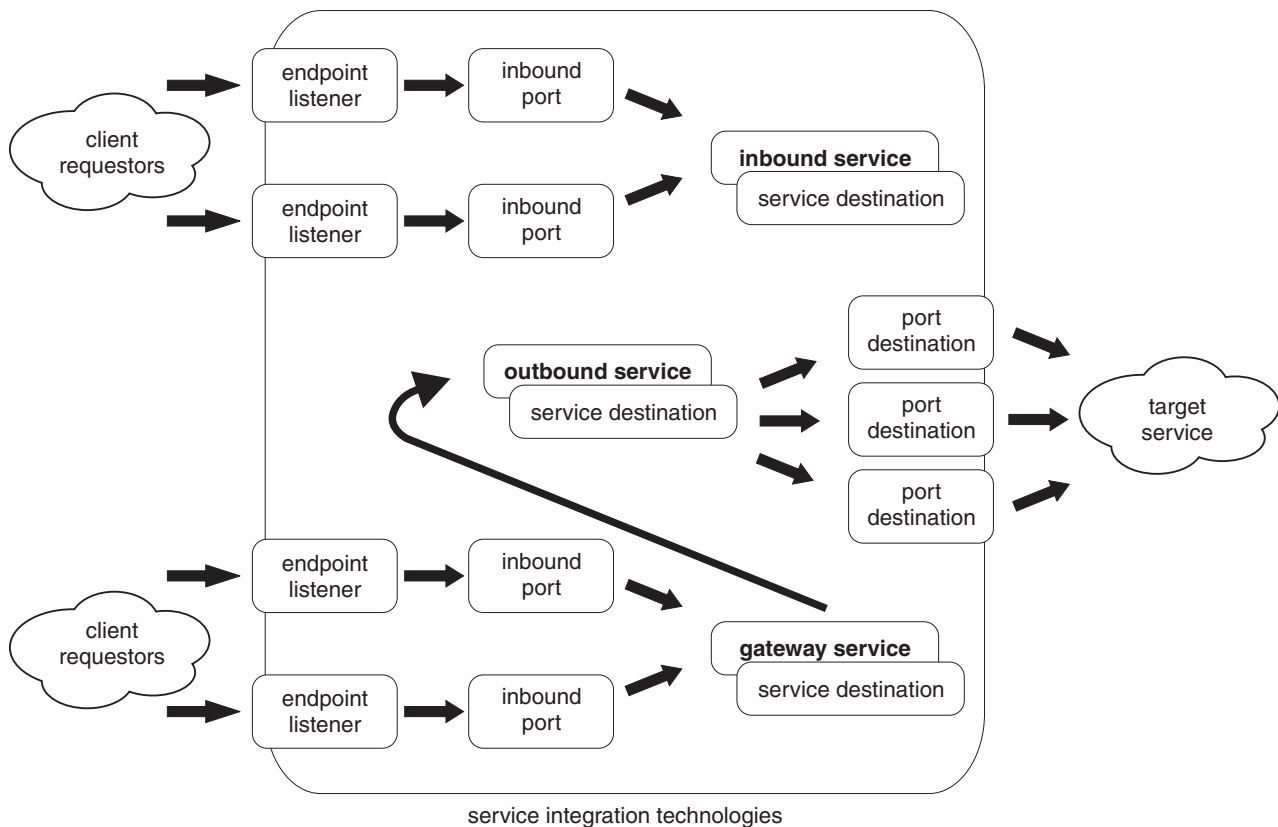


Figure 180. A service integration environment with a gateway service

These figures show the main component types and flows for bus-enabled web services. Of all these component types, only three interact directly with the world outside the bus:

- The endpoint listeners.
- The outbound ports (which act as service invokers).
- The service destinations (which provide mediation points).

By configuring these component types for a given stand-alone server or cluster, you enable that server or cluster to perform one or more of the following associated bus-enabled web services roles:

- **Endpoint.** Incoming requests to use an internally-hosted service (an inbound service) are received at an endpoint, then passed to an inbound port and sent on to the service destination. Responses follow the same path in reverse.
- **Service invoker.** When you create an outbound service (a mapping to an externally-hosted target service) you configure an outbound port for each port defined in the target service WSDL. The service is invoked by passing messages between the outbound service and the target service through the most convenient available port.
- **Mediation point** A mediation is deployed to a server or cluster, then configured for a specific service destination. The mediation acts on messages that pass through the mediation point (service destination). The action taken by a mediation depends upon the specific instructions you give in the mediation handler. For example, you can use a mediation to change the contents of a message, or to choose a particular forward route for a message.

You might choose to use a cluster rather than a stand-alone application server to support a role for any of the following reasons:

- Reliability.
- Scalability.
- Performance.

For example, in a production environment you would typically use a cluster to act as an endpoint.

**Note:** There is a fourth role of **Configuration connection point**. This role is never provided by a cluster; only a deployment manager or an unfederated stand-alone server can act as a configuration connection point.

## Endpoint listeners and inbound ports: Entry points to the service integration bus

An endpoint listener is a web service-enabled entry point to one or more service integration buses. An endpoint listener carries requests and responses between web service clients and buses.

An endpoint listener is the point (address) at which incoming SOAP messages for a web service are received by a service integration bus. Each endpoint listener supports a particular binding. Endpoint listeners are supplied with WebSphere Application Server for the following bindings:

- SOAP over HTTP.
- SOAP over JMS.

By using the wsadmin tool, you can also create an endpoint listener configuration for your own endpoint listener, rather than for one of the listeners that is supplied with WebSphere Application Server.

A request arrives at an endpoint listener. It is passed to an inbound port, at which point security and JAX-RPC handler lists can be applied, then sent on to the service destination. Responses follow the same path in reverse.

The endpoint listener acts as the ultimate receiver of a SOAP message. The resulting messages that pass across the service integration bus are not then SOAP messages, rather just the data and context that resulted from receiving the SOAP message.

You can set up separate endpoint listeners for (for example) requests from your internal users and requests from your external users. Each endpoint listener is associated with a specific server or cluster, a specific set of service integration buses and (through inbound ports) a specific set of web services. By restricting access to an endpoint listener, you can give different user groups access to different services. For example:

- To give users inside your organization access to the full range of internal and external services, you can make those services available through one endpoint listener.
- To give users outside your organization access to those internal services that you choose to publish externally, you can make those services also available through another endpoint listener.

## Outbound ports and port destinations

A set of outbound ports and associated port destinations provides the mechanism through which an outbound service communicates with the externally-hosted target web service.

The target service might be accessible by using one of several message and transport bindings. For example SOAP over HTTP, SOAP over JMS, or EJB binding. Each of these bindings is defined as a port in the target service WSDL. When you create an outbound service, you configure an outbound port for each port defined in the WSDL. Messages then pass between the outbound service and the target service through the most convenient available port. When you set Web Service Security, or use JAX-RPC handlers to filter messages, you set these features on the port.

A port destination is a specialization of a service integration bus destination. Each port destination represents a particular message and transport binding. When you configure an outbound port, your configuration is applied to a port destination of the appropriate binding type.

## Service integration technologies and JAX-RPC handlers

A JAX-RPC handler is a Java class that performs a range of handling tasks. For example: logging messages, or transforming their contents, or terminating an incoming request. Handlers monitor messages at ports, and take appropriate action depending upon the sender and content of each message.

The Java API for XML-based remote procedure calls (JAX-RPC) provides you with a standard way of developing interoperable and portable web services. JAX-RPC is part of the Java Platform, Enterprise Edition (Java EE), and JAX-RPC handlers are a standard approach in Java for intercepting and filtering service messages. For more information, see the IBM developerWorks article [Support for J2EE Web Services in WebSphere Studio Application Developer V5.1 -- Part 3: JAX-RPC Handlers](#).

Any JAX-RPC handlers you write, including those written for other systems, can be configured for use with a service integration bus inbound or outbound service. This configuration is a four-stage process:

- “Make the handler class available at the port.”
- “Create a handler configuration” on page 947.
- “Add the handler to a handler list” on page 947.
- “Apply the handler list to the port” on page 947.

**Note:** If you create a proxy service configuration, then you must create a JAX-RPC handler list that can set the target endpoint for the proxy service, and attach it to the inbound port. For more information, see “JAX-RPC handlers and proxy operation” on page 954.

## Make the handler class available at the port

A JAX-RPC handler interacts with messages as they pass into and out of the service integration bus, therefore you make the handler class available to the server or cluster that hosts the inbound or outbound port for the service that you want to monitor. If you want to monitor an inbound port, make the handler class available to the server on which the endpoint listener for that port is located. If you want to monitor an outbound port, make the handler class available to the server on which the outbound port destination is

localized. For more information, see Loading JAX-RPC handler classes.

## Create a handler configuration

To make WebSphere Application Server aware of your JAX-RPC handler, and to make the handler available for inclusion in one or more handler lists, you use the administrative console to create a new JAX-RPC handler configuration. You can configure multiple instances of a handler by creating each instance with a different handler name, and pointing to the same handler class. For more information, see Creating a new JAX-RPC handler configuration.

## Add the handler to a handler list

To enable handlers to undertake more complex operations, you chain them together into handler lists. The approach taken in WebSphere Application Server is to apply handler lists (rather than individual handlers) at the ports, where each handler list contains one or more handlers. For more information, see Creating a new JAX-RPC handler list.

## Apply the handler list to the port

You associate each handler list with one or more ports, so that the handler list can monitor activity at the port, and take appropriate action depending upon the sender and content of each message that passes through the port:

- To monitor or transform messages received for an inbound service, apply a handler list to the associated inbound port.
- To monitor or transform messages flowing between an outbound service and an associated external web service, apply a handler list to the associated outbound port.

To apply a handler list, select it for use with an inbound or outbound service as described in Modifying an existing inbound service configuration or Modifying an existing outbound service configuration.

## Non-bound WSDL

When developing a client application following best practices, you can develop against a WSDL document that contains only a port type definition, and no specific bindings or port addresses. Such a WSDL document is known as a *non-bound* WSDL document.

The details of the specific deployment of the web service, the bindings and the port addresses should be specified at deployment time through a bound WSDL, or by specifying the retargeted binding namespace and endpoint address either when the client application is deployed or afterward through administration.

The current WSDL specification requires there to be a binding and port element to link from a service element to a port type, so these elements do exist in a non-bound WSDL document. However they contain no extensibility elements to define a specific deployment of the service.

Here is an example of a non-bound WSDL document:

```
<definitions targetNamespace="http://www.ibm.com/websphere/sib/webservices/Service"
  xmlns:tns = "http://www.ibm.com/websphere/sib/webservices/Service">
  <message name="GetQuoteInput">
    <part name="symbol" type="xsd:string"/>
  </message>
  <message name="GetQuoteOutput">
    <part name="value" type="xsd:float"/>
  </message>

  <portType name="StockQuote">
    <operation name="getQuote">
      <input message="tns:GetQuoteInput"/>
      <output message="tns:GetQuoteOutput"/>
    </operation>
  </portType>
</definitions>
```

```

    </operation>
</portType>

<binding name="StockQuoteBinding" type="StockQuote"/>
  <operation name="getQuote"/>
</binding>
<service name="StockQuote">
  <port name="StockQuotePort" binding="StockQuoteBinding" />
</service>
</definitions>"

```

At deployment time a bound WSDL usually replaces the one that is used for development. Following this replacement, the port bindings and addresses can be retargeted. The non-bound WSDL can remain if you are specifying a retargeted binding namespace and endpoint address for the empty port.

When you modify an inbound service configuration, you can export to a compressed file the non-bound WSDL for bus destinations that are enabled for web service access. The exported non-bound WSDL document can then be used to develop web service requester applications that send web service messages through the messaging destination.

Alternatively, you can use the `java2wsdl` tool to generate a non-bound WSDL. The `java2wsdl` tool has a value of "none" for the `-bindingTypes` option. When you specify this value, the tool produces a non-bound version of the WSDL document to represent the Java object.

## UDDI registries: Web service directories that can be referenced by bus-enabled web services

The Universal Description, Discovery and Integration (UDDI) specification defines a way to publish and discover information about web services. UDDI registries use the UDDI specification to publish directory listings of web services.

In the UDDI specification:

- Each web service is owned by one business, and each business (and the web services it owns) is maintained by one Authorized Name.
- One Authorized Name can own many businesses, and one business can own many web services.

The UDDI specification also associates web services with Technical models. Using these models, or generic categories, a UDDI registry user can search for a type of service, rather than needing to know the access details for a specific service.

For more general information about UDDI, see the UDDI community at [uddi.org](http://uddi.org).

### UDDI registries

There are Universal Business Registries (sometimes referred to as *public UDDI registries*) hosted worldwide, including one hosted by IBM. Enterprises can also host their own internal registries behind their firewalls (sometimes referred to as *private UDDI registries*) to better manage their internal implementation of web services. The IBM WebSphere UDDI Registry is an example of a private UDDI registry.

### How the service integration technologies interact with UDDI registries

The service integration technologies interact with UDDI registries in two ways:

- When you create an outbound service configuration, you specify the location of the target WSDL file that describes the web service. This WSDL file can be located at a URL or through a UDDI registry.
- When you create an inbound service configuration, you can create entries for the web service in one or more UDDI registries.

To enable your service integration bus-enabled web services to interact with a UDDI registry, you create one or more pointers to the registry. These pointers are known as *UDDI references*, and you create them as described in *Creating a new UDDI reference*. Each UDDI reference includes the following parameters:

- The access points for the UDDI registry (the **Inquiry URL** and the **Publish URL**).
- The Authorized Name (the **User ID** and **Password**) for the owner of one or more businesses in the UDDI registry.

You get the Authorized Name from the target UDDI registry. For more information, see *Publishing a web service to a UDDI registry*.

A given UDDI reference can only access the web services that are owned by the businesses that are owned by a single Authorized Name. Therefore if you have to access two web services in the same registry, and each service is owned by a different “Authorized Name”, then you must create two UDDI references.

When you create an inbound service, and you specify that the template WSDL file is located through a UDDI registry, you enter the following two parameters:

- The UDDI reference that can access this service.
- The service-specific part of the full service key that the UDDI registry has assigned to this service.

**Note:**

When a service is published to UDDI, the registry assigns a service key to the service.

After the service has been published you can get the service key from the target UDDI registry.

Here is an example of a full UDDI service key:

```
uddi:blade108node01cell:blade108node01:server1:default:6e3d106e-5394-44e3-be17-aca728ac1791
```

The service-specific part of this key is the final part:

```
6e3d106e-5394-44e3-be17-aca728ac1791
```

When you configure a bus-enabled web service to create entries in one or more UDDI registries, you enter the following two parameters:

- The UDDI references (one for each registry) that can access the UDDI business category under which you want to publish this service.
- The business key that identifies the UDDI business category.

To get a list of valid business keys, look up businesses in the UDDI registry. Here is an example of a UDDI business key:

```
08A536DC-3482-4E18-BFEC-2E2A23630526
```

Bus-enabled web services interact with UDDI registries at the level of individual web services, and therefore do not use UDDI Technical models.

For more information see *Publishing a web service to a UDDI registry*.

## SOAP with attachments: A definition

You can associate a SOAP message with one or more attachments in their native format (for example GIF or JPEG) by using a multipart MIME structure for transport. There are two main standards that define how to do this:

- SOAP Messages with Attachments W3C Note. This document defines specific use of the Multipart/Related MIME media type, and rules for the use of URI references to refer to entities bundled within the MIME package. It outlines a technique for a SOAP 1.1 message to be carried within a MIME multipart/related message in such a way that the SOAP processing rules for a standard SOAP message are not changed.

- Web Services-Interoperability (WS-I) Attachments Profile Version 1.0. This profile compliments the WS-I Basic Profile 1.1 to add support for conveying interoperable attachments with SOAP messages. There are two main parts to this profile:
  - An introduction to the XML Schema type `swaref` (SOAP with attachments reference). This is used to define content within the SOAP body that refers to attachments within the message.
  - A definition of how a WSDL message part that is bound to a MIME attachment is encoded into the SOAP message.

The service integration bus supports both of these standards, subject to the restrictions detailed in Limitations in the support for SOAP with attachments.

## Operation-level security: Role-based authorization

When you build an EAR file, you can define roles and apply them to methods. When you deploy the EAR file, you can assign individual users or groups to roles. You can use this feature of EAR files to add role-based security to your web service. For example:

1. You have a web service that controls access to important information, and you want to give read-only access to some users, and write access to others.
2. When you build the EAR file you define two roles: READ and WRITE. You apply the READ role to the `getData` method and the WRITE role to the `writeData` method.
3. When you deploy the EAR file in WebSphere Application Server, you assign All Authenticated Users to the READ role and individual users to the WRITE role.
4. When a user tries to access `WebService.getData`, their user name and password is checked by the operating system or by Lightweight Third Party Authentication (LTPA).

## Service integration technologies and WS-Security

In a WS-Security scenario, the message flows are as shown in the following figure:

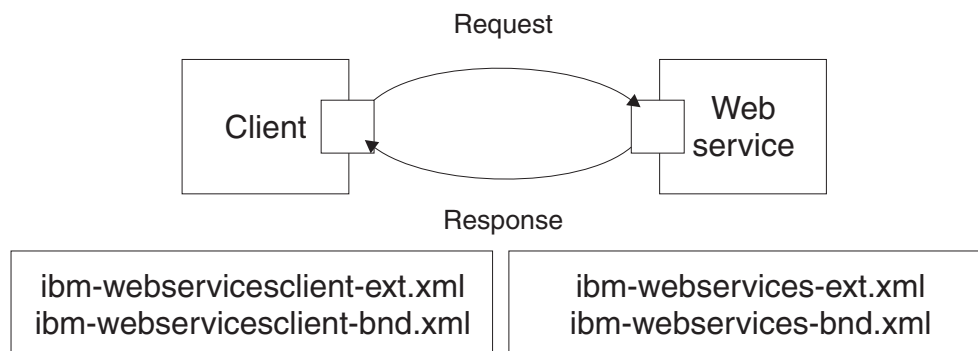


Figure 181. Message flows

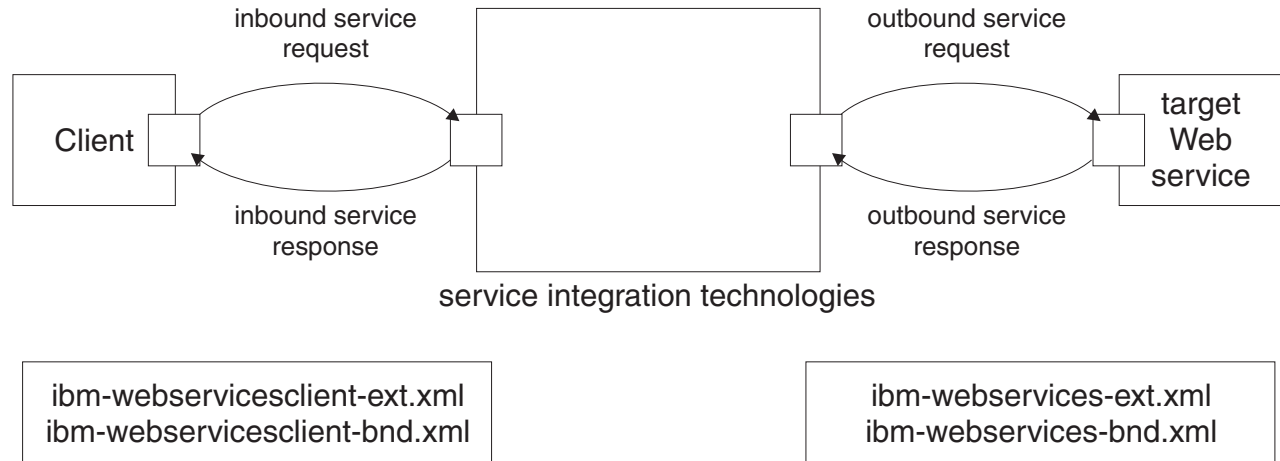
The client generates a request that is handled by the client Web services engine. This engine reads the client security configuration and applies the security that is defined in the `ibm-webservicesclient-ext.xml` file to the SOAP message. The engine gets additional binding information from the `ibm-webservicesclient-bnd.xml` file (for example, the location of a keystore on the file system).

On receipt of a SOAP message, the web services engine on the server refers to the `*.xml` files for the called web service. In this case, the `ibm-webservices-ext.xml` file tells the engine what security the incoming message must have (for example, that the body of the message must be signed). If the message does not comply, it is rejected. The web services engine verifies any security information then passes the message on to the web service that is called.



On the response from server to client, the process is reversed. The web service \*.xmi files tell the web services engine what security to apply to the response message, and the client \*.xmi files tell the client engine what security to require in the response message.

If you apply this scenario to inbound and outbound services, the message flows are as shown in the following figure:



In this scenario:

- The client application and the target web service have the security settings in their \*.xmi files. You get this information from the owning parties.
- The inbound service and outbound service have the security settings that you configure for them.

To protect an inbound or outbound service, you apply the following types of WS-Security resource to the ports that the service uses:

- WS-Security configurations
- WS-Security bindings

where the *configurations* resource type specifies the level of security that you require (for example “The body must be signed”), and the *bindings* resource type provides the information that the run-time environment needs to implement the configuration (for example “To sign the body, use this key”).

WS-Security resources are administered independently from any Web service that uses them, so you can create a binding or configuration resource then apply it to many web services. However, the security requirements for an inbound service (which acts as a target web service) are significantly different from those required for an outbound service (which acts as a client). Consequently, Each WS-Security resource type is further divided into sub-types. When you create a new configuration resource, the type of configuration resource that you choose to create depends on whether the configuration applies to *inbound services* or *outbound services*. When you create a new binding resource, the type of binding resource that you choose to create depends on the context in which the binding is used:

- A binding for use when consuming requests from a client to an inbound service.
- A binding for use when generating requests from an outbound service to a target web service.
- A binding for use when consuming responses from a target web service to an outbound service.
- A binding for use when generating responses from an inbound service to a client.

When you create WS-Security resources, you also choose between creating resources that comply with the Web Services Security (WS-Security) 1.0 specification, and creating resources that comply with the WS-Security Draft 13 specification.

**Note:** Use of WS-Security Draft 13 was deprecated in WebSphere Application Server Version 6.0. Use of WS-Security Draft 13 is deprecated, and you should only use it to allow continued use of an existing web services client application that has been written to the WS-Security Draft 13 specification.

For more information about the history of the WS-Security Draft 13 specification, see “Web Services Security specification - a chronology” on page 865.

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## Web services gateway

Use these topics to learn about how to manage web services and service user groups through the web services gateway.

- Web services gateway: Frequently asked questions
- What is new and changed: Web services gateway
- Target services and gateway services
- JAX-RPC handlers and proxy operation
- Key rules used for migrating a complete gateway configuration
- “Specifications and API documentation” on page 1084

### Web services gateway: Frequently asked questions

Answers to questions such as what is the web services gateway and how does it work.

- “What are web services?”
- “What is the web services gateway?”
- “How does the web services gateway work?” on page 953
- “Who should use the web services gateway?” on page 953
- “What business problems are solved by the web services gateway?” on page 953
- “How do I migrate from a previous version of the gateway?” on page 953.
- “Can a gateway that is fully integrated within IBM service integration technologies co-exist with a previous version of the gateway?” on page 953.

### What are web services?

Web services are modular applications that interact with one another across the Internet. Web services are based on shared, open and emerging technology standards and protocols (such as SOAP, UDDI and WSDL) and can communicate, interact and integrate with other applications, no matter how those applications are implemented.

### What is the web services gateway?

The gateway provides you with a single point of control, access and validation of Web service requests, and you can use the gateway to control which services are available to different groups of web service users. For example you can use the gateway to make available controlled sets of web services for use within your organization and by external users. The services that each gateway instance makes available as web services can be a mixture of internal services that are directly available at service integration bus destinations and external web services. This approach provides the following benefits:

- The gateway service is made available at a different web address to the target service, so you can replace or relocate the target service without changing the details for the associated gateway service.
- You can have more than one target service (that is, more than one implementation of the same logical service) for each gateway service.
- The gateway service can be made available on a different service integration bus to the target service.
- The gateway provides a common interface to the services in each set. Your gateway service users need not know where each underlying service is located, or whether the underlying service is being provided internally or sourced externally, or whether there are multiple target services available for a single gateway service.

## How does the web services gateway work?

When you create a *gateway service*, you map an existing destination that hosts a target service (either an internal service or an external web service) to a new web service that seems to be provided by the gateway.

## Who should use the web services gateway?

An enterprise that chooses to share its resources selectively with its business partners and customers, or an enterprise that uses external web services and wants to make them available internally. IT managers and developers, who deploy resources, can also benefit from this technology.

## What business problems are solved by the web services gateway?

The gateway solves the following business problems:

- **Securely “externalizing” web services:** Business applications that are exposed as web services can be used by any web service-enabled tool, regardless of the implementation details. To better integrate your business processes, you might want to expose these assets to business partners, customers and suppliers who are outside the firewall. The gateway lets clients from outside the firewall use web services that are hosted within your enterprise. Using the gateway, you can control access to each of these services.
- **Better return on investment:** Any number of partners can reuse a process that you develop as a web service.
- **Use of existing infrastructure:** With the gateway, you can make readily available as web services any combination of your existing internal services and external web services, no matter how each of those existing services are currently accessed (for example through a service integration bus destination, a web address or a UDDI registry).
- **Protocol transformation:** You might use one particular messaging protocol to invoke web services, whereas your partners use some other protocol. Using the web services gateway, you can trap the request from the client and transform it to another messaging protocol.

## How do I migrate from a previous version of the gateway?

You use the wsadmin tool to migrate a WebSphere Application Server Version 5.1 gateway to the gateway capability that is provided in Version 7.0 or later by completing the steps described in Migrating a Version 5.1 Web services gateway configuration.

## Can a gateway that is fully integrated within IBM service integration technologies co-exist with a previous version of the gateway?

A WebSphere Application Server Version 7.0 or later cell can contain Version 5.1, Version 6 and Version 7.0 or later application servers, so you can continue to use Version 5.1 gateways that are deployed to Version 5.1 application servers even if you migrate the cell from a Version 5.1 to a Version 7.0 or later deployment manager. However, before you migrate the cell you must preserve the gateway configuration as described in Preserving a Version 5.1 gateway when migrating a cell.

## What is new and changed: Web services gateway

In WebSphere Application Server Version 5.1, the web services gateway was a separable component with its own user interface. In later versions of the product, the gateway is integrated into service integration bus-enabled web services, and re-implemented as a mechanism for extending and linking inbound and outbound services.

The main changes in this version are as follows:

### Migrating the gateway

For migration from WebSphere Application Server Version 6 to Version 7.0 or later, there are no gateway-specific migration steps.

For migration from Version 5.1 to Version 7.0 or later, you use a wsadmin command script. The migration procedure takes an existing working gateway application whose configuration has been exported to an XML file and uses the exported XML file to configure the same gateway functions on a Version 7.0 or later application server or cluster. Requester applications can then switch over to using the new gateway configuration while the existing Version 5 gateway continues to run. For more information, see *Migrating a Version 5.1 Web services gateway configuration*.

## Filters

The use of filters was deprecated in Version 5.1.1 and support for filters was removed in Version 7.0. The role formerly played by filters is now undertaken by a combination of JAX-RPC handlers and service integration bus mediations.

## Target services and gateway services

The web services gateway makes the following distinction between a *target service* and a *gateway service*:

- A *target service* is a service that is available at a service integration bus destination.
- A *gateway service* is the view of a target service that the gateway gives to service requesters. It is decoupled from the target service.
- A single gateway service can have more than one associated target service (that is, more than one implementation of the same logical service).

A target service is either an internal service (that is, an internally-hosted service directly available at a bus destination), or an external web service (that is, an externally-provided web service that has been made available at a bus destination as an outbound service).

When you configure a gateway service, the gateway service is described in a new WSDL file that is published to a gateway-controlled URL. This indirection gives the following benefits:

- You can move the target service to a new destination, or replace it with a new implementation, and you only have to update the target service information that is held in the gateway. Existing service requesters can still find it and use it, because (as far as they can see) nothing has changed.
- The target service destination need not be on the same bus as the gateway service destination.
- If you have several different implementations of the same service, and you deploy them all to the gateway as multiple target services for a single gateway service, then they appear to service requesters as a single service. You can then use a routing mediation to choose the most appropriate target service for each incoming request.
- You can set, quite independently, the security measures that apply between the service requester and the gateway service, and the security measures that apply between the gateway service and each target service.

When you create a new gateway service, you associate it with a single target service. The gateway service WSDL is created from this first target service WSDL, and you specify the location of the target service WSDL as part of the gateway service creation process. If the target service is an external Web service, it already has an associated WSDL that you can point to. If it is an internal service, create and make available a template WSDL that describes the service.

## JAX-RPC handlers and proxy operation

You can set the web services gateway to act purely as a proxy for your service, then use JAX-RPC handler lists to set the endpoints for incoming request messages for the service.

When you create a new proxy service configuration, the gateway takes no action with regard to that service other than to invoke it. When you configure a proxy service, you also configure a JAX-RPC

handler list that uses the `javax.xml.rpc.service.endpoint.address` to set the target endpoint for the service. You then attach the handler list to the inbound port for the proxy service.

When the gateway receives a message, it needs to know whether the request being invoked is request and response, or one-way. Because the gateway does not parse the SOAP message, it cannot get this information from the message. Therefore the requesting clients must append an `operationMode` HTTP query string parameter to the web address for the gateway service. The value of this parameter is either `oneway` or `requestResponse`. For example, if the web address of the proxy service configuration is as follows:

```
http://host_name:port_number/wsgwsoaphttp1/soaphttpengine/your_bus/ProxyService/ProxyServiceInboundPort
```

(where `host_name` and `port_number` are the host name and port number for this application server), then requesting clients indicate that they are sending a one-way request by using the following URL:

```
http://host_name:port_number/wsgwsoaphttp1/soaphttpengine/your_bus/ProxyService/ProxyServiceInboundPort?operationMode=oneway
```

If the `operationMode` parameter is missing, the gateway assumes that the requested method is `requestResponse`.

A proxy service configuration has no actual target services and therefore no WSDL that the gateway can use to configure the service invocation. A generic proxy WSDL file is used to configure the basic parameters for the invocation call (for example which binding to use), but you can override the default by supplying your own equivalent generic proxy WSDL file. The supplied proxy WSDL file defines a single `portType` with two operations: `oneway` and `requestResponse`. If the operation mode is `oneway`, then the gateway selects the one-way operation from the WSDL. The supplied proxy WSDL file is located here:

```
http://host_name:port_number/SIBWS/proxywsdl/ProxyServiceTemplate.wsdl
```

(for example `http://your.server.name:9080/SIBWS/proxywsdl/ProxyServiceTemplate.wsdl`).

For an individual proxy service, you can override the default proxy WSDL file and supply an alternative WSDL when you create a new proxy service configuration or modify an existing proxy service configuration.

If you want the gateway to use a different default proxy WSDL file, then you specify the web address of the new default proxy WSDL file when you create a new gateway instance. Your new default proxy WSDL file must implement the same port type, binding, service, and port names as the supplied default proxy WSDL file. The only differences that can exist are in the extension elements used to configure the binding. In your new default proxy WSDL file, the value of the `<soap:target address>` tag must be a properly formatted web address but it does not have to point to a real page. For example, a value of `this.is.a.fake.url` is rejected, whereas a value of `http://this.is.a.fake.url` is accepted. The JAX-RPC handler list uses the `javax.xml.rpc.service.endpoint.address` to override this value at run time with the real web address.

**Note:** If the JAX-RPC handler list is not deployed, then the gateway attempts to send all requests to the fake web address specified in the `<soap:target address>` tag in the proxy WSDL file.

## Coexistence: Preserve or migrate a Version 5.1 gateway

Web services gateways running on WebSphere Application Server Version 5.1 can, subject to certain restrictions, coexist with gateway instances running on Version 7.0 or later application servers. Alternatively, you can migrate the Version 5.1 gateways and application servers to WebSphere Application Server Version 7.0 or later. To help you choose whether to preserve or migrate your Version 5.1 gateways, this topic explains the restrictions to gateway coexistence and the approach taken to gateway migration.

**Note:** WebSphere Application Server Version 5.0 is no longer supported, so you should migrate any existing gateways that are running in Version 5.0 application servers to run on application servers at the current level of the product.

## Coexistence with Version 5.1 gateways

Version 5.1 web services gateways can coexist with Version 7.0 or later gateways, subject to the following restrictions:

- The Version 5.1 web services gateway application is not supported on Version 7.0 or later application servers.
- The service integration technologies endpoint listener applications are not supported if installed on Version 5.1 application servers.
- To change the configuration of a gateway running on a Version 5.1 application server, you use a web browser rather than the administrative console to access the Version 5.1 gateway user interface.

If your deployment is not affected by these restrictions, and your Version 5.1 gateways are running on stand-alone Version 5.1 application servers, then you need take no further action.

If your deployment is not affected by these restrictions, and your Version 5.1 gateways are running on Version 5.1 application servers that are part of WebSphere Application Server Network Deployment cells, you can continue to use the Version 5.1 gateways and application servers even if you migrate the cells from Version 5.1 or Version 6 to Version 7.0 or later. However, when you migrate a cell any previously-configured Version 5.1 gateway on an application server in the cell is replaced with an empty gateway. To preserve and restore your Version 5.1 gateway configurations, you must first follow the steps given in Preserving a Version 5.1 gateway when migrating a cell.

## Migration of Version 5.1 gateways

You can migrate a Version 5.1 gateway running in a Version 5.1 application server to a Version 7.0 or later gateway running in a Version 7.0 or later application server. To do this you export the Version 5.1 gateway configuration, then run a script to migrate the exported configuration into a new gateway instance in an existing Version 7.0 or later application server. The detailed steps for this task are given in Migrating a Version 5.1 Web services gateway configuration. The key rules underlying the migration process are as follows:

- The migration can happen in parallel with the original gateway continuing to run, and without disrupting the existing configuration.
- Each execution of the migration command acts on a single gateway configuration.
- A gateway configuration is migrated into a gateway instance, within a service integration bus. More than one gateway can be migrated into the same bus, but in that case the gateway namespace URIs must be different.
- The endpoint listeners for the gateway instance are all located on the same application server or cluster; localizations of port destinations for outbound invocation are all in the same application server or cluster.
- All created objects and destinations have the gateway namespace URI as a prefix to their name, concatenated with a colon (":"). For example with the default namespace URI, a gateway service reply destination would be called: `urn:ibmgateway:gateway servicenameReply`. This prefix can be overridden by a parameter on the migration command.
- All target services are migrated into new `OutboundService` objects. Existing `OutboundService` objects cannot be automatically reused by the migrated configuration.
- A JAX-RPC handler list is created for every gateway service/channel and gateway service/target service/port combination. These are not shared even if they contain the same handlers in the same order.
- WS-Security (Draft 13) configuration and binding objects are created for every gateway service/gateway service and target service combination. These are not shared even if they have all the same attribute values. All created objects have names based upon the name given to the inbound or outbound service created by the migration tool:

- The WS-Security configurations created for each service are given the same name as the service itself, suffixed by either `_Inbound` or `_Outbound`.
- The WS-Security configuration objects created as children are given the same name as the type of object, followed by `_x`, where `x` is the number of objects the migration tool has created of the type for the service. For example the first Required Integrity object created for a given service is called `RequiredIntegrity_1`.
- The WS-Security bindings created are given a name consisting of the port name, suffixed by the type of binding, one of `_Req_Rec`, `_Req_Snd`, `_Res_Rec` and `_Res_Snd`.





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## Chapter 31. WS-Notification

WS-Notification enables web services to use the publish and subscribe messaging pattern. Use these topics to learn more about WS-Notification.

You use publish and subscribe messaging to publish one message to many subscribers. In this pattern a producing application inserts (publishes) a message (event notification) into the messaging system having marked it with a topic that indicates the subject area of the message. Consuming applications that have subscribed to the topic in question, and have appropriate authority, all receive an independent copy of the message that was published by the producing application.

To learn about the WS-Notification implementation in WebSphere Application Server, see the following topics:

- “WS-Notification: Overview”
- “WS-Notification: Benefits” on page 966
- “WS-Notification and end-to-end reliability” on page 967
- “WS-Notification terminology” on page 968
- “WS-Notification: How client applications interact at runtime” on page 974
- “WS-Notification: Supported bindings” on page 975
- “WS-Notification and policy set configuration” on page 976
- “Reasons to create multiple WS-Notification services in a bus” on page 977
- “Reasons to create multiple WS-Notification service points” on page 978
- “Options for associating a permanent topic namespace with a bus topic space” on page 978
- “WS-Notification topologies” on page 980

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### WS-Notification: Overview

WS-Notification enables web services to use the publish and subscribe messaging pattern.

You use publish and subscribe messaging to publish one message to many subscribers. In this pattern a producing application inserts (publishes) a message (event notification) into the messaging system having marked it with a topic that indicates the subject area of the message. Consuming applications that have subscribed to the topic in question, and have appropriate authority, all receive an independent copy of the message that was published by the producing application. Any consuming application can further filter messages for a given topic, by using a message content filter that is evaluated over the XML message content of the message body.

The WS-Notification implementation in WebSphere Application Server supports the WS-Notification standards, complies with the WS-I Basic Profile 1.0 requirements, and composes with other related standards such as WS-Addressing for High Availability and Workload Management, and WS-ReliableMessaging for reliable communication between components. At an application level, this enables a standardized approach for web service applications to participate in the publish and subscribe messaging pattern, whether this be listening for notification of a particular event occurrence, or inserting event notifications into the system for consumption by other applications or system management tooling. The open-standards nature of this web services specification mean that applications can communicate with each other irrespective of the underlying hardware platforms, software languages or vendor environments.

### The WS-Notification standards

WebSphere Application Server implements the WS-Notification Version 1.3 family of standards that are developed under the supervision of the Organization for the Advancement of Structured Information

Standards (OASIS). These standards define web service message exchanges that enable web service applications to use the publish and subscribe messaging pattern.

WS-Notification is described in a family of three standards:

- WS-BaseNotification Version 1.3 OASIS Standard, which defines basic producer/consumer application roles, and the filtering of message content through a selector expression.
- WS-BrokeredNotification Version 1.3 OASIS Standard, which extends Base Notification to define a broker role.
- WS-Topics Version 1.3 OASIS Standard, which defines topic syntaxes that can be used by implementers of either base notification or brokered notification.

WS-Notification can compose with other web services standards. For example:

- WS-ReliableMessaging allows web service endpoints to be configured to ensure that web service operations are invoked reliably across inherently unreliable transports such as HTTP. The WS-Notification standard does not guarantee the reliability with which messages are published or received by applications, so you have to compose WS-Notification with WS-ReliableMessaging to provide reliability.
- WS-Distributed Management (WS-DM) defines specialized applications that are WS-Notification NotificationProducers, and a topic namespace document that describes the topics on which these applications should emit event notifications in order to provide management of a resource (such as a printer) by a web services client.

See also “WS-Notification terminology” on page 968.

## The WS-Notification implementation in WebSphere Application Server

The key component of this implementation is the notification broker. This is a point of separation between producing applications that want to insert event notifications into the system, and consuming applications that want to receive the event notifications. WebSphere Application Server provides this broker ready for use, so that applications can concentrate on the business level functional requirements of sending and receiving events without needing to implement the more complex infrastructure aspects of the WS-Notification specifications, such as maintaining lists of active subscribers; parsing and matching topics and wildcards; distributing event notifications to subscribers; handling subscription lifecycles. This separation between producing and consuming business application means that the producer and consumer applications do not have to be available at exactly the same time in order for them to communicate. The broker retains a publication until the consumer becomes available.

The basic pattern of invocation for the notification broker is as follows:

- A web service application contacts the server by using the Web service endpoints exposed by the WS-Notification service point.
- The endpoint passes this invocation request through to the notification broker, which is responsible for parsing the request information and taking the appropriate action depending upon the type of request received.

The following figure shows an application server that contains a notification broker and a messaging engine. Within the messaging engine there is a durable subscription and a bus topic space. Between the application server and the outside world there is a Web service endpoint. In the outside world there is a publisher, a subscriber and a notification consumer. The publisher sends a notification message on a given topic, and the subscriber sends a subscribe request on behalf of the notification consumer to subscribe to the same topic. Both these messages are received by the web service endpoint, then routed into the associated broker and on to the topic space. Details of the subscription are filed as a durable subscription. The received notification message is forwarded by the broker to the notification consumer that has subscribed to the topic.

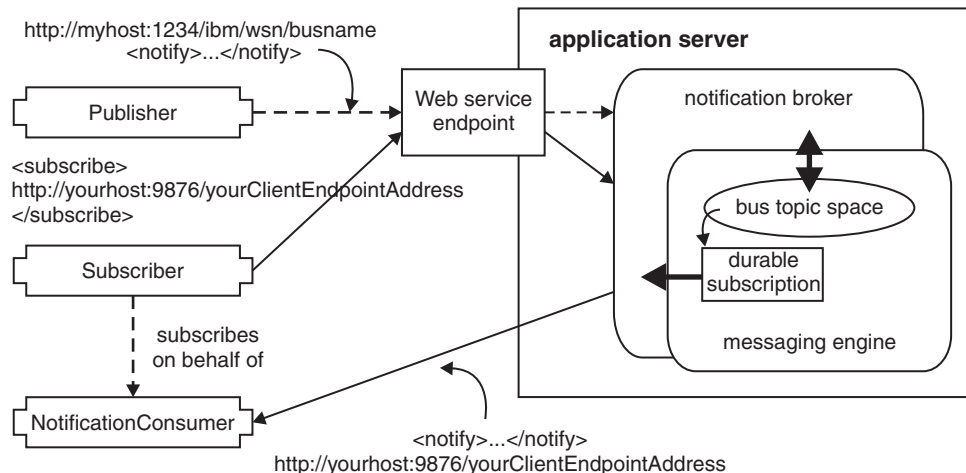


Figure 182. Invocation of the notification broker

The WS-Notification implementation in WebSphere Application Server Version 6.1 uses service integration bus-enabled web services to expose the WS-Notification service endpoint, so that it can be invoked by applications and configured with specific attributes such as WS-Security or JAX-RPC handlers. However, the Version 6.1 implementation is not compatible with JAX-WS handlers or applications, and it cannot compose with WS-ReliableMessaging. WebSphere Application Server Version 7.0 or later therefore continues to provide the Version 6.1 implementation, and also provides a new implementation of the WS-Notification services and service points that is not based on bus-enabled web services:

- **Version 7.0:** Use this type of service if you want to compose a JAX-WS WS-Notification service with web service qualities of service (QoS) via policy sets, or if you want to apply JAX-WS handlers to your WS-Notification service. This is the recommended type of service for new deployments. This WS-Notification option has been available in WebSphere Application Server from Version 7.0.
- **Version 6.1:** Use this type of service if you want to expose a JAX-RPC WS-Notification service that uses the same technology provided in WebSphere Application Server Version 6.1, including the ability to apply JAX-RPC handlers to the service. This WS-Notification option has been available in WebSphere Application Server from Version 6.1.

When you create a Version 7.0 WS-Notification service, the wizard creates and deploys a JAX-WS based provider application. This application exposes the WS-Notification web service interfaces for each of the three WS-Notification service roles:

- Notification broker
- Subscription manager
- Publisher registration manager

When you create a Version 6.1 WS-Notification service, the wizard configures three service integration bus inbound services for the WS-Notification service, one for each of the three WS-Notification service roles:

- Notification broker
- Subscription manager
- Publisher registration manager

These inbound services are defined on the same service integration bus as the Version 6.1 WS-Notification service, and each of these inbound services refers to the same bus destination.

**Note:** Usually, a bus destination is used as described in “Bus destinations” on page 446. However, this is not the case for Version 6.1 WS-Notification services. The destination that is associated with a Version 6.1 WS-Notification service does not relate to the topics for which the WS-Notification

service can handle requests, and you should not alter or mediate the destination. In WS-Notification, the configuring of topics is handled through topic namespaces. For more information, see [Creating a new WS-Notification permanent topic namespace](#).

## Base notification

The Web Services Base Notification specification defines WSDL port types for applications that want to act as a NotificationProducer or a NotificationConsumer. A NotificationProducer is an application that inserts event notifications into the system, whereas a NotificationConsumer application receives event notifications that have been published by a different application (usually a NotificationProducer application).

Applications that want to consume event notifications asynchronously must expose a web service endpoint that implements the NotificationConsumer port type (synchronous consumption of event notifications is achieved using a pull point and does not require the application to expose a web service endpoint). The applications then locate the NotificationProducer (or NotificationBroker) application that produces the event notifications they want to receive and invoke the Subscribe operation on that NotificationProducer application. The Subscribe operation has several parameters that allow the consuming application to indicate which type of notifications it is interested in (for example by using the topic of the notification). One of the required parameters of the Subscribe operation is the **ConsumerReference** parameter, where the consuming application indicates the endpoint against which the Notify operation can be invoked when matching event notifications are generated by the NotificationProducer application.

The NotificationProducer application is responsible for the following tasks in relation to its production of event notifications:

- It accepts the Subscribe operation to allow NotificationConsumer applications to register their interest.
- It maintains the list of active subscriptions it has accepted.
- It generates event notification messages.
- It matches generated event notifications against the active subscriptions.
- It distributes event notifications to NotificationConsumer applications with subscriptions that match the notification.

A NotificationProducer application works cooperatively with a SubscriptionManager service to handle the lifecycle of a Subscription, allowing both scheduled (that is **terminationTime**) and immediate destruction and deletion of the Subscription.

For an introduction to basic web services terminology such as port type, see [Deploying web services with WSDL: Part 1](#).

## Brokered notification

The Web Services Brokered Notification specification defines how an intermediary - a NotificationBroker - is made responsible for disseminating messages produced by one or more Publishers to zero or more NotificationConsumers.

Section 4 of the WS-BrokeredNotification Version 1.3 OASIS Standard describes brokered notification as follows:

*There are three distinct stages in the Notification process*

- *Observation of the Situation and its noteworthy characteristics;*
- *Creation of the Notification artifact that captures the noteworthy characteristics of the Situation; and*
- *Distribution of copies of the Notification to zero or more interested parties.*

*Stages 1 and 2 happen largely outside of the scope of the WS-Notification architecture; this specification does not restrict the means by which these stages must occur. We refer to an entity that performs stages 1 and 2 as a Publisher.*

However, the WS-Notification family of specifications does specify how dissemination of messages SHOULD occur. There are two dominant patterns by which Notifications are disseminated in WS-Notification: **direct** and **brokered**.

In the **direct case**, the publishing web service implements message exchanges associated with the NotificationProducer interface; it is responsible for accepting Subscribe messages and sending Notifications to interested parties. The implementer of this web service can choose to program this behavior or delegate to specialized implementations of the Subscribe and Notification delivery behavior. This case is addressed by the WS-BaseNotification Version 1.3 OASIS Standard.

In the **brokered case**, an intermediary - a NotificationBroker - is responsible for disseminating messages produced by one or more Publishers to zero or more NotificationConsumers.

There are three patterns associated with the relationship between the Publisher and the NotificationBroker: simple publishing, broker-initiated publishing and demand-based publishing.

The following figure illustrates simple publishing:

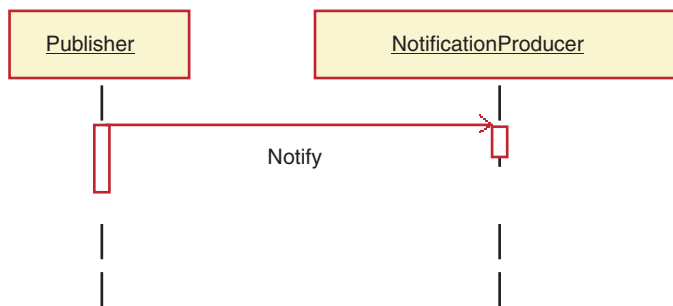


Figure 183. Simple publishing

In the simple publishing scenario, the Publisher entity is responsible only for the core Publisher functions - observing the Situation and formatting the Notification artifact that describes the Situation. The dissemination step occurs when the Publisher sends the Notify message to the NotificationBroker.

In the broker-initiated publishing pattern, the role of the Publisher is played by a web service that implements NotificationProducer. The act of observing the Situation and formatting the Notification happens within the implementation logic of the NotificationProducer itself. The Notification is disseminated by the NotificationProducer sending the Notify message to a NotificationBroker. The Notification might also be disseminated by sending the Notify message to any NotificationConsumers that are subscribing to the NotificationProducer.

Note: in either of the above two cases, the NotificationBroker might require the Publisher to register with it before sending the Notify message. For example, if the broker wants to control who can publish to a given Topic, it can run an access control check during this registration. However a NotificationBroker might allow Publishers to publish without pre-registration, if it so chooses.

The last pattern, the demand-based pattern, requires the Publisher to be a NotificationProducer, and thereby accept the Subscribe message. Demand-based publication is intended for use in cases where the act of observing the Situation or the act of formatting the Notification artifact might be expensive to perform, and therefore should be avoided if there are no interested parties for that Notification. A Publisher indicates its intention to use this pattern by registering with the NotificationProducer and setting the Demand component of the RegisterPublisher request message to "true". Based upon this style of registration, the NotificationBroker sends the Subscribe message to the Publisher (recall: in this situation

the Publisher must implement the message exchanges associated with the NotificationProducer interface).

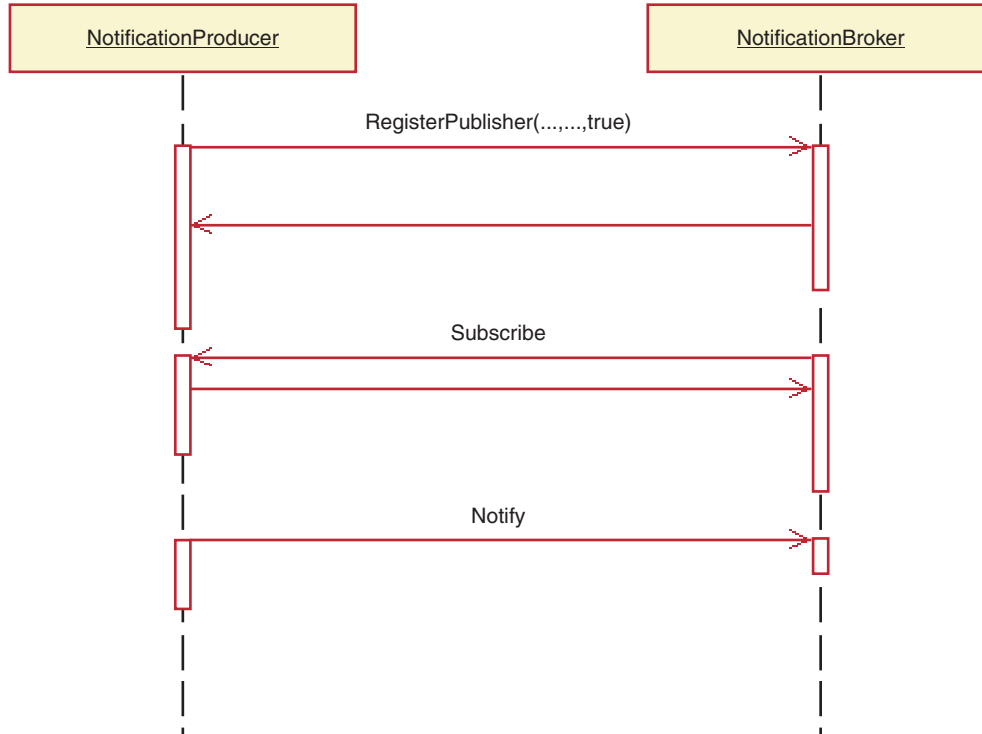


Figure 184. Demand-based publishing

Furthermore, the NotificationBroker is expected to pause its Subscription whenever it has no active Subscribers for the information provided by the Publisher. When the NotificationBroker does have active Subscribers, it is obliged to resume its Subscription to the Publisher.

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## WS-Topics

The WS-Topics standard describes how a NotificationProducer application can associate a Topic with the NotificationMessages that it produces.

The abstract of the WS-Topics Version 1.3 OASIS Standard describes WS-Topics as follows:

*This document defines a mechanism to organize and categorize items of interest for subscription known as “topics”. These are used with the notification mechanisms defined in WS-BaseNotification. WS-Topics defines three topic expression dialects that can be used as subscription expressions in subscribe request messages and other parts of the WS-Notification system. It further specifies an XML model for describing metadata associated with topics.*

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A Topic is used to group notification messages that relate to a particular type of information - for example a stock ticker NotificationProducer application might set the Topic of the NotificationMessages it produces to the stock symbol with which the information is associated - for example "stock/IBM".

NotificationConsumer applications are able to specify a Topic when they Subscribe, which results in delivery of all messages produced by that NotificationProducer application on the specified Topic.

Each Topic is defined as part of an XML namespace. The set of Topics associated with a given XML namespace is called a TopicNamespace. Allocation of Topics to a particular TopicNamespace is used to avoid naming collisions and facilitate interoperability between independently developed NotificationProducer application and NotificationConsumer applications.

**Note:** The WS-Topics TopicNamespace concept is slightly different to the service integration technologies concept of a Topic Space. For more information, see "WS-Notification terminology" on page 968.

The mechanism for achieving this collision avoidance is usually determined by the application developer - in one pattern an application developer defines a namespace for use by a related group of applications. This leaves the developer free to use whatever topic structure they see fit within that namespace. To continue the example above, the application developer might define one TopicNamespace for notification messages published in French, and a different one for notifications published in English. A subscribing application then specifies the namespace/topic in which they are interested (for example "english:stock/IBM") to ensure they receive notification messages in the appropriate language. In this way you can use the 'same' topic structure (with different namespaces) to ensure that the application does not receive incompatible notifications.

Section 6 of the WS-Topics standard describes the method for modeling TopicNamespaces as an XML document. This allows the structure of a Topic Space to be defined, and (optionally) places conditions on the Topics that can be used by applications referencing a given Topic Space. For information about how this has been implemented in WebSphere Application Server, see Applying a WS-Notification topic namespace document.

Section 7 of the WS-Topics standard defines example topic expression dialects that are recommended for use by WS-Notification applications. Note that the WS-Notification standards provide an extensibility mechanism to allow vendors to define their own topic expression dialects. The three topic expression dialects supported by WebSphere Application Server are as follows:

#### **Simple TopicWebSphere Application Server, Expressions**

A basic style of topic expression in which the only allowed topics are QNames. This means that only root topics are supported by the Simple TopicWebSphere Application Server, Expression dialect, and that there is no topic hierarchy or use of wildcards. Examples of valid Simple TopicWebSphere Application Server, Expressions are tns1:stock or tns2:sports, where (for example) tns1 is a reference to the namespace in which the topic is located.

#### **Concrete TopicWebSphere Application Server, Expressions**

This topic dialect extends the Simple TopicWebSphere Application Server, Expression pattern to allow topic hierarchies by using the / (forward slash) character to indicate a 'child of' relationship.

Note that this topic dialect also does not allow use of wildcards. Examples of valid Concrete TopicWebSphere Application Server, Expressions are `tns1:stock/IBM` or `tns2:sports/football`. Note that a valid Simple TopicWebSphere Application Server, Expression is automatically valid in the Concrete TopicWebSphere Application Server, Expression dialect.

### Full TopicWebSphere Application Server, Expressions

This topic dialect extends the Concrete TopicWebSphere Application Server, Expression dialect to include the concepts of wildcards and conjunction. It is based on a subset of the XPath location path expressions, and describes how expressions of this type can be evaluated by using the XML document representation of a Topic Space as previously described. Use the XPath-style asterisk (\*) and dot (.) characters as wildcards, and the vertical bar (|) character as the conjunction operator. Examples of valid Full TopicWebSphere Application Server, Expressions are as follows:

```
tns1:t1/*
tns1:t1/*/t3
tns1:*
tns1:t1/t3//.
tns1:t1/t3//*
tns1://*
tns1:t1//t3
tns1:t1/t2 | tns1:t4/t5
```

In general NotificationProducer applications might support any number of the topic dialects described above (including none, and also support dialects not listed above). This allows simple NotificationProducer applications to specify how they want to expose the structure of the information on which they provide notifications.

The NotificationBroker provided by WebSphere Application Server supports all three of the dialects previously described, and the application developer can decide which one to use depending upon their requirements. For complicated expressions that use wildcards the application needs to use the Full TopicWebSphere Application Server, Expression dialect, whereas for simpler cases the application can use the Simple or Concrete TopicWebSphere Application Server, Expression dialects.

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## WS-Notification: Benefits

WS-Notification enables web services to use the publish and subscribe messaging pattern. This approach offers many business benefits.

WS-Notification provides a standardized approach for web service applications to participate in the publish and subscribe messaging pattern, whether this be listening for notification of a particular event occurrence, or inserting event notifications into the system for consumption by other applications or system management tooling. The open-standards nature of this web services specification mean that applications can communicate with each other irrespective of the underlying hardware platforms, software languages or vendor environments. The WS-Notification implementation in WebSphere Application Server supports the WS-Notification standards, complies with the WS-I Basic Profile 1.0 requirements, and composes with other related standards such as WS-Addressing for High Availability and Workload Management, and WS-ReliableMessaging for reliable communication between components.

Within WebSphere Application Server, the NotificationBroker is implemented to provide flexible support for enterprise topologies including high availability and work load management patterns. This support for WS-Notification also allows interchange of event notification between WS-Notification applications and other clients of the service integration bus. By exploiting other service integration bus functions you can also use this function to interchange messages with other IBM publish and subscribe brokers such as WBI Event Broker or Message Broker.

Rather than receiving all messages on a topic to which you have subscribed, your consuming application can use XML Path (XPath) selectors to filter the messages based upon the contents of each message. This content-based subscription gives greater flexibility in defining the type of information that you want to



receive, your applications can use it to avoid responsibility for their own filtering, and it improves performance by not flowing messages unnecessarily from the server to the application.

WebSphere Application Server offers two WS-Notification service and service point options:

- **Version 7.0:** Use this type of service if you want to compose a JAX-WS WS-Notification service with web service qualities of service (QoS) via policy sets, or if you want to apply JAX-WS handlers to your WS-Notification service. This is the recommended type of service for new deployments. This WS-Notification option has been available in WebSphere Application Server from Version 7.0.
- **Version 6.1:** Use this type of service if you want to expose a JAX-RPC WS-Notification service that uses the same technology provided in WebSphere Application Server Version 6.1, including the ability to apply JAX-RPC handlers to the service. This WS-Notification option has been available in WebSphere Application Server from Version 6.1.

The Version 7.0 WS-Notification option allows you to use web services-based publish/subscribe messaging in a reliable way, through a standards-based connection mechanism, in an environment where network connectivity is not always available. For example, if you want to use the Internet to send warehouse or stock level notifications to remote customers, you must ensure that messages reach customers reliably so that they have accurate stock levels for sales and production planning. You can achieve this by composing JAX-WS based Version 7.0 WS-Notification services (for stock level notification) with WS-ReliableMessaging (to ensure reliable delivery of notifications).

The main benefits of a Version 7.0 WS-Notification service over a Version 6.1 WS-Notification service are as follows:

- It is easier to configure using policy sets.
- It supports JAX-WS handlers.
- It avoids the need to install an SDO repository.

## WS-Notification and end-to-end reliability

Reliable notification refers to the reliable transmission of messages to and from the IBM WS-Notification implementation. You enable this reliability to mitigate the problems inherent in network transmission protocols such as HTTP.

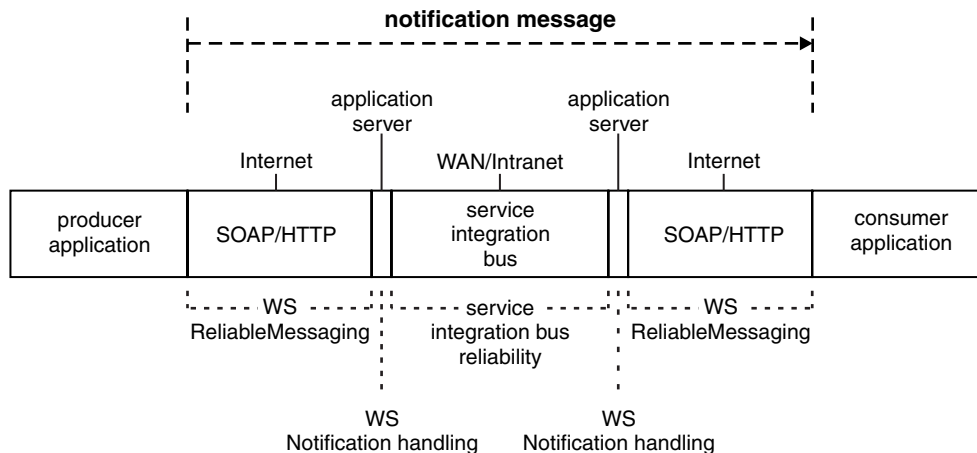


Figure 185. How a notification message is passed reliably

A notification message is passed from a producer application across the Internet to a WS-Notification service point, by using SOAP over HTTP. The WS-Notification service point publishes the message to a topic configured on the underlying service integration bus, which is associated with one or more

application servers. The bus routes the message (potentially across a LAN, WAN or Internet) to any WS-Notification service points at which there are subscriptions made on behalf of external WS-Notification consumers. The receiving WS-Notification service points pass the message across the Internet to WS-Notification consumer applications, again by using SOAP over HTTP. Reliability is provided for the WS-Notification web service interactions by WS-ReliableMessaging, and when the message is passing through the service integration bus, reliability is provided by the bus itself.

When the message is being processed by a WS-Notification service point, control is briefly passed to the application server. There is a very brief vulnerability when this occurs, and if there is a major system failure at the precise moment that the message is being processed by the WS-Notification service point, it is possible for a message to be lost or duplicated.

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## WS-Notification terminology

There is terminology that you must be aware of when working with WS-Notification. Most of this terminology is defined by the WS-Notification standards, and a few terms are defined to describe this implementation of WS-Notification for WebSphere Application Server.

This topic and its subtopics describe the terminology that you must be aware of when working with WS-Notification in WebSphere Application Server:

- “Terminology from the WS-Notification standards” describes terms that are defined by the WS-Notification standards, and relates to the standardized concepts used by WS-Notification.
- “WebSphere Application Server-specific WS-Notification terminology” on page 972 describes terms and concepts that have been defined to describe this implementation of WS-Notification for WebSphere Application Server.

**Note:** The WS-Notification standards define a *TopicNamespace* as a grouping of topic trees for administrative purposes. Service integration technologies defines a similar term *topic space* as a destination used for publish and subscribe messaging. Although these concepts are similar, they are not identical. For example it is possible for there to be a 1-1 relationship between a WS-Notification topic namespace and a service integration bus topic space, but in many situations this is not the case. For more information, see “Options for associating a permanent topic namespace with a bus topic space” on page 978.

## Terminology from the WS-Notification standards

The terminology defined in this topic is defined by the WS-Notification specifications and is common to any vendor implementation of these specifications.

This information has been extracted from the WS-Notification standards, and is therefore subject to the following copyright agreement:

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The following terms are defined in the WS-BaseNotification Version 1.3 OASIS Standard:

*Situation:*

*A Situation is some occurrence known to a NotificationProducer and of potential interest to third parties.*

*A Situation might be a change of the internal state of a resource, or might be environmental, such as a timer event. It might also be an external event, such as a piece of news that has been supplied by a news-feed service.*

*WS-Notification does not specify what a Situation is or is not, nor does it define the relationship between a Situation and the Notifications that are used to describe it.*

*Notification:*

*A Notification is an artifact of a Situation containing information about that Situation that some entity wants to communicate to other entities.*

*A Notification is represented as an XML element with a Namespace qualified QName and a type that is defined by using XML Schema.*

*A typical usage pattern is to define a single Notification type (to be precise, its defining XML element) for each kind of Situation, containing information pertinent to that kind of Situation; in this case one can think of a Notification instance as in some sense being (or at least representing) the Situation.*

*A designer might choose to associate several different Notification types with a Situation, for example, describing different aspects of the Situation, destined for different target recipients, etc. Conversely it is possible that several essentially different Situations give rise to Notification of the same type.*

*NotificationProducer:*

*A NotificationProducer is a web service that implements the message exchanges associated with the NotificationProducer interface.*

*A NotificationProducer is capable of producing Notifications for those NotificationConsumers for which Subscriptions have been registered, based on Situations that occur and on the parameters supplied with the requests from which the Subscriptions were created.*

*A web service that implements the message exchanges associated with NotificationProducer might directly produce Notifications itself, or it may be a NotificationBroker, reproducing Notifications that were produced by separate Publisher and/or NotificationProducer entities.*

*It is the factory for Subscription resources.*

*NotificationConsumer:*

*A NotificationConsumer is an endpoint, represented by a WS-Addressing endpoint reference, designated to receive Notifications produced by a NotificationProducer as a result of a subscription.*

*A NotificationConsumer might accept the generic Notify message, or it may be able to process one or more domain-specific Notification types.*

*Subscription:*

*A Subscription represents the relationship between a NotificationConsumer and a NotificationProducer, including any filtering parameters such as Topic and various other optional filter expressions, along with any relevant policies and context information.*

*A Subscription resource is created when a Subscriber sends the SubscribeRequest message to a NotificationProducer.*

*Subscription resources are manipulated by messages sent to the SubscriptionManager web service associated with the Subscription resource.*

*SubscriptionManager*

*A SubscriptionManager is an endpoint, represented by an endpoint reference [WS-Addressing] that implements message exchanges associated with the SubscriptionManager interface.*

*A SubscriptionManager provides operations that allow a service requestor to query and manipulate Subscription resources that it manages.*

*A SubscriptionManager is subordinate to the NotificationProducer, and can be implemented by the NotificationProducer service provider, or by a separate service provider.*

**Subscriber:**

*A Subscriber is any entity that sends the SubscribeRequest message to a NotificationProducer.*

*Note that a Subscriber might be a different entity from the NotificationConsumer for which Notifications are produced.*

The following terms are defined in the WS-Topics Version 1.3 OASIS Standard:

**Topic:** *A Topic is the concept used to categorize Notifications and their related Notification schemas.*

*Topics are used as part of the matching process that determines which (if any) subscribing NotificationConsumers should receive a Notification.*

*When it generates a Notification, a Publisher can associate it with one or more Topics. The relation between Situation (as defined in [WS-BaseNotification]) and Topic is not specified by WS-Notification but might be specified by the designer of the Topic Namespace.*

*A synonym in some other publish/subscribe models is subject.*

**Topic Space:**

*A forest of Topic Trees grouped together into the same namespace for administrative purposes.*

**Topic Tree:**

*A hierarchical grouping of Topics.*

**Topic Set:**

*The collection of Topics supported by a NotificationProducer.*

The following terms are defined in the WS-BrokeredNotification Version 1.3 OASIS Standard:

**Publisher:**

*A Publisher is an entity that creates Notifications, based upon Situations that it is capable of detecting and translating into Notification artifacts. It does not have to be a web service.*

*A Publisher can register what topics it wants to publish with a NotificationBroker.*

*A Publisher might be a web service that implements the message exchanges associated with the NotificationProducer interface, in which case it also distributes the Notifications to the relevant NotificationConsumers.*

*If a Publisher does not implement the message exchanges associated with NotificationProducer, then it is not required to support the Subscribe request message and does not have to maintain knowledge of the NotificationConsumers that are subscribed to it; a NotificationBroker takes care of this on its behalf.*

**NotificationBroker:**

*A NotificationBroker is an intermediary web service that decouples NotificationConsumers from Publishers. A NotificationBroker is capable of subscribing to notifications, either on behalf of NotificationConsumers, or for the purpose of messaging management. It is capable of disseminating notifications on behalf of Publishers to NotificationConsumers.*

*A NotificationBroker aggregates NotificationProducer, NotificationConsumer, and RegisterPublisher interfaces.*

*Acting as an intermediary, a NotificationBroker provides additional capabilities to the base NotificationProducer interface:*

- It can relieve a *Publisher* from having to implement message exchanges associated with *NotificationProducer*; the *NotificationBroker* takes on the duties of a *SubscriptionManager* (managing subscriptions) and *NotificationProducer* (distributing *NotificationMessages*) on behalf of the *Publisher*.
- It can reduce the number of inter-service connections and references, if there are many *Publishers* and many *NotificationConsumers*
- It can act as a finder service. Potential *Publishers* and *Subscribers* can in effect find each other by utilizing a common *NotificationBroker*.
- It can provide anonymous *Notification*, so that the *Publishers* and the *NotificationConsumers* need not be aware of each other's identity.

An implementation of a *NotificationBroker* might provide additional added-value function that is beyond the scope of this specification, for example, logging *Notifications*, or transforming *Topics* and/or *Notification* content. Additional function provided by a *NotificationBroker* can apply to all *Publishers* that use it.

It might be the factory for *Subscription* resources or it might delegate the subscription factory to another component.

A *NotificationBroker* provides publisher registration functions.

A *NotificationBroker* might bridge between *WS-Notification* and other publish/subscribe systems.

#### *PublisherRegistration:*

*PublisherRegistration* is a resource. A *PublisherRegistration* represents the relationship between a *Publisher* and a *NotificationBroker*, in particular, which topics the publisher is permitted to publish to.

A *PublisherRegistration* resource is created when a *Publisher* sends the *RegisterPublisher* request message to a *NotificationBroker* and the *NotificationBroker* succeeds in processing the registration.

*PublisherRegistration* resources can be manipulated by messages sent to a *PublisherRegistrationManager* web service.

#### *RegisterPublisher:*

A *RegisterPublisher* is a web service that implements the message exchanges associated with the *RegisterPublisher* interface. A *PublisherRegistration* resource is created as a result of a *RegisterPublisher* request to a *NotificationBroker*.

#### *PublisherRegistrationManager:*

A *PublisherRegistrationManager* is a web service that implements message exchanges associated with the *PublisherRegistrationManager* interface.

A *PublisherRegistration* resource can be manipulated through *PublisherRegistrationManager* message exchanges.

A *PublisherRegistrationManager* provides services that allow a service requestor to query and manipulate *PublisherRegistration* resources that it manages.

A *PublisherRegistrationManager* is subordinate to the *NotificationBroker*, and can be implemented by the *NotificationBroker* service provider, or by a separate service provider.

#### *Demand-Based Publishing:*

Some *Publishers* might be interested in knowing whether they have any *Subscribers* or not, because producing a *Notification* might be a costly process. Such *Publishers* can register with the *NotificationBroker* as a *Demand-Based Publisher*.

*Demand-Based Publishers* implement message exchanges associated with the *NotificationProducer* interface.

*The NotificationBroker subscribes to the Demand-Based Publisher. When the NotificationBroker knows that there are no Subscribers for the Notifications from a Demand-Based Publisher, it pauses its Subscription with that Publisher; when it knows that there are some Subscribers, it resumes the Subscription.*

*This way the Demand-Based Publisher does not have to produce messages when there are no Subscribers, however a Demand-Based Publisher is only required to support a single Subscriber on any given Topic, and so can delegate the management of multiple Subscribers, delivery to multiple NotificationConsumers and other related issues (for example security) to the NotificationBroker.*

The following term, although derived from the WS-Notification specifications, is not described in words taken directly from the specifications:

**Pull Point:**

There are certain circumstances in which the basic “push-style” of NotificationMessage delivery is not appropriate. For example, certain NotificationConsumers are behind a firewall such that the NotificationProducer cannot initiate a message exchange to send the Notification. A similar circumstance exists for NotificationConsumers that are unable or unwilling to provide an endpoint to which the NotificationProducer can send Notification Messages. In other situations, the NotificationConsumer prefers to control the timing of receipt of Notification Messages, instead of receiving notification messages at unpredictable intervals, it might prefer to “pull” or “retrieve” the notification messages at a time of its own choosing.

For these reasons, the Web Services Base Notification specification defines a pair of portTypes: a PullPoint interface, defining an endpoint that accumulates notification messages and allows a requestor to retrieve accumulated notification messages and a CreatePullPoint interface that acts as a factory for PullPoint resources.

The intended pattern of use is that a Subscriber or other party creates a PullPoint through the factory interface, and then uses it as the ConsumerReference in one or more Subscribe requests. The consumer then pulls Notifications from the PullPoint.

## **WebSphere Application Server-specific WS-Notification terminology**

This terminology is implementation-specific, over and above the terminology defined in the WS-Notification standards, and applies to the WS-Notification implementation in WebSphere Application Server.

**Note:** This topic does not include definitions of the messaging and web services terms that are used from existing WebSphere Application Server components such as service integration technologies.

### **Version 7.0 and Version 6.1 implementations**

In this release there are two implementations of the WS-Notification service and service points:

- **Version 7.0:** Use this type of service if you want to compose a JAX-WS WS-Notification service with web service qualities of service (QoS) via policy sets, or if you want to apply JAX-WS handlers to your WS-Notification service. This is the recommended type of service for new deployments. This WS-Notification option has been available in WebSphere Application Server from Version 7.0.
- **Version 6.1:** Use this type of service if you want to expose a JAX-RPC WS-Notification service that uses the same technology provided in WebSphere Application Server Version 6.1, including the ability to apply JAX-RPC handlers to the service. This WS-Notification option has been available in WebSphere Application Server from Version 6.1.

### **WS-Notification service**

A web services configuration entity associated with a particular service integration bus. A WS-Notification service provides the ability to expose some or all of the messaging resources defined on a service integration bus for use by WS-Notification applications.

You usually configure one WS-Notification service for a service integration bus, but you can configure more than one. For more information, see “Reasons to create multiple WS-Notification services in a bus” on page 977.

### **WS-Notification service client**

A web service client application, acting on behalf of a WS-Notification service within the WS-Notification infrastructure in WebSphere Application Server.

### **WS-Notification service point**

A web services configuration entity representing a “localization” of a particular WS-Notification service on a particular service integration bus member. A WS-Notification service point defines access to a WS-Notification service on a given bus member through a specified web service binding (for example SOAP over HTTP). Applications use the bus members associated with the WS-Notification service point to connect to the WS-Notification service.

The existence of a WS-Notification service point on a bus member implies that a WS-Notification web service is exposed from that bus member, and causes web service endpoints for the notification broker, subscription manager and publisher registration manager for this WS-Notification service to be exposed on the bus member with which the service point is associated. WS-Notification applications use these endpoints to interact with the WS-Notification service. For more information, see *Creating a new Version 7.0 WS-Notification service point* or *Creating a new Version 6.1 WS-Notification service point*.

You can define any number of WS-Notification service points for a given WS-Notification service. Each service point defined for the same WS-Notification service represents an alternative entry point to the service. Event notifications published to a particular WS-Notification service point are received by all applications connected to any service point of the same WS-Notification service (subject to subscription on the correct topic) regardless of the particular service point to which they are connected. For more information, see “Reasons to create multiple WS-Notification service points” on page 978.

### **Topic namespace**

A topic namespace is a grouping of topics that allows information to be shared between applications. A WS-Notification topic namespace is a logical grouping of topics that is referenced by using a namespace URI such as `http://www.example.com/widget`.

WebSphere Application Server supports two patterns for the creation and use of topic namespaces:

- Permanent topic namespace
- Dynamic topic namespace

### **Permanent topic namespace**

You use a permanent topic namespace to statically define the association between a WS-Notification topic namespace URI and a service integration bus topic space destination.

A permanent topic namespace has the following characteristics:

- You can use it to expose an existing service integration bus topic space for use by WS-Notification clients, thus permitting interoperability between the WS-Notification applications and existing publish and subscribe applications connected to the bus such as JMS.
- You can use it to restrict the structure and content of the topic namespace by applying one or more topic namespace documents that describe the required structure.
- You can use it as part of a topic space mapping configured on a service integration bus link (between two service integration buses) or a topic mapping as part of a publish and subscribe bridge between a service integration bus and a WebSphere MQ network.

You can also set a configuration attribute of a permanent topic namespace to control the reliability (persistence or non persistence) setting that is applied to any messages that are inserted by using a given topic namespace.

### Dynamic topic namespace

A dynamic topic namespace does not require manual administration by using the administration console or scripting. A dynamic topic namespace is used automatically in response to a request from a WS-Notification application for a topic namespace URI that has not been defined as a permanent topic namespace (assuming the WS-Notification service has been configured to permit use of dynamic namespaces).

A dynamic topic namespace has the following characteristics:

- It does not support interoperation between WS-Notification applications and other clients of the bus such as JMS.
- It is not possible to apply topic namespace documents to this topic space, and thus the structure and content of the topic space are unrestricted.
- It cannot be used as part of the configuration of service integration bus links or a publish and subscribe bridge.

### Administered subscriber

As part of the configuration of a WS-Notification service point you can configure any number of administered subscribers for that service point. An administered subscriber provides a mechanism for the WS-Notification service point to subscribe to an external notification producer at server startup time.

An administered subscriber contains the name of a NotificationProducer application or a (different) NotificationBroker endpoint and details of a subscription request (for example topic) that the WS-Notification service point should register as part of the server startup procedure. This enables you to pre-configure links between the NotificationBroker and a NotificationProducer, which can be a remote NotificationBroker or a NotificationProducer application.

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## WS-Notification: How client applications interact at runtime

Applications interact with the notification broker through the web service message exchanges defined in the WS-Notification standards.

The relationship between the five WS-Notification roles, NotificationBroker, PublisherRegistrationManager, NotificationProducer, SubscriptionManager, and NotificationConsumer, are shown in the following diagram:

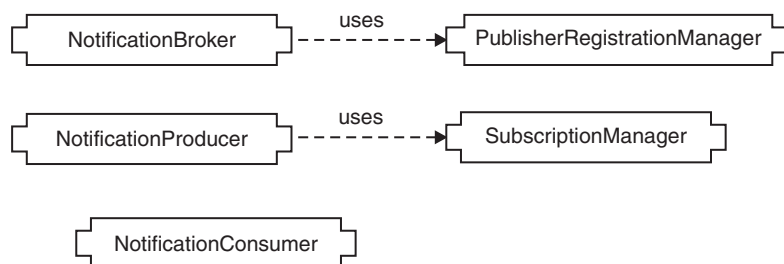


Figure 186. Relationships between roles

These roles equate to three web service port types against which the application can invoke operations:

- NotificationBroker (which is an extension of the NotificationProducer and NotificationConsumer roles)
- SubscriptionManager
- PublisherRegistrationManager

The first point of contact for an application will always be the NotificationBroker port type. Applications invoke operations against this endpoint to create subscriptions, insert notification events into the system or



register themselves as publishers. The SubscriptionManager and PublisherRegistrationManager objects work with the NotificationBroker to provide the overall functions.

References to the SubscriptionManager and PublisherRegistrationManager are returned to the application as a result of calls to the NotificationBroker. In particular a reference to the SubscriptionManager is returned from the Subscribe operation of the NotificationBroker. This allows an application to influence the lifecycle of the Subscription resource once it has been created. Similarly a reference to the PublisherRegistrationManager is returned from the RegisterPublisher operation on the NotificationBroker and allows the application to influence the lifecycle of the publisher registration.

Because applications interact with the broker entirely through WS-Notification message exchanges, the applications are unaware that the NotificationBroker, SubscriptionManager and PublisherRegistrationManager services are provided by WebSphere Application Server. This means that you can modify the application to use any NotificationBroker provider, for example one provided by a different vendor or on a different server, by modifying the endpoint address against which the application makes its web services invocations.

WS-Notification defines the following roles in which an application can interact with the NotificationBroker. These roles define the use cases for applications.

#### **Publisher**

A Publisher sends a notification message to a Broker or NotificationConsumer in order to insert event notifications into the system. A Publisher application does not expose a web service endpoint.

#### **Subscriber**

A Subscriber makes a subscription on behalf of a (possibly different) NotificationConsumer application. A Subscriber application exposes a web service endpoint.

#### **NotificationConsumer**

A NotificationConsumer receives notification messages:

- A “Push Consumer” application exposes a web services endpoint to which the notification message can be asynchronously sent by the Broker and NotificationProducer.
- A “Pull Consumer” application invokes an operation on the Broker and NotificationProducer in order to receive a Notification Message.

#### **NotificationProducer**

A NotificationProducer sends notification messages to registered NotificationBrokers and NotificationConsumers. A NotificationProducer application exposes a web service endpoint to support the Subscribe operation and provide access to NotificationProducer resource properties.

#### **Demand based publisher**

A demand based publisher is a Publisher application that also exposes a web service endpoint (as a NotificationProducer) so as to receive pause or resume requests.

You can use any appropriate tooling to generate WS-Notification applications for use with the NotificationBroker. You take the WSDL exposed by a WS-Notification service point and use a development tool such as IBM Rational Application Developer to generate stubs against which the application can be coded. For examples of this type of coding, see Developing applications that use WS-Notification.

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## **WS-Notification: Supported bindings**

This WS-Notification implementation supports both HTTP and JMS bindings. Which you choose depends upon your business needs and performance requirements. For filtering messages for a given topic, XPath Version 1.0 selectors are supported.

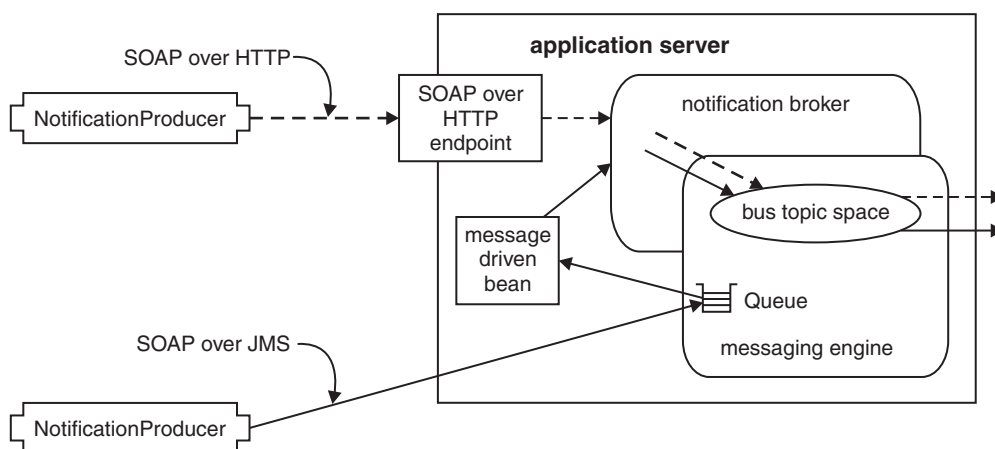
WebSphere Application Server supports the following web service bindings for connection to a WS-Notification service point:

- SOAP over HTTP document literal - WS-I Basic Profile - SOAP1.1
- SOAP over HTTP document literal - WS-I Basic Profile - SOAP1.2 (Version 7.0 WS-Notification services only)
- SOAP over HTTPS document literal
- SOAP over JMS document literal (Version 6.1 WS-Notification services only)

The choice of binding to use is a business decision based on existing web service infrastructure and requirements. If you need reliable messaging with a Version 6.1 WS-Notification service, use a SOAP over JMS binding. If you need reliable messaging with a Version 7.0 WS-Notification service, use a SOAP over HTTP binding and compose your service with WS-ReliableMessaging.

Invocation through SOAP over JMS requires an extra trip through the messaging provider before the event notification is inserted into the service integration bus topic space. In situations where there is no business imperative, you should use the HTTP or HTTPS bindings rather than SOAP over JMS in order to minimize the path taken by the web service requests.

In the following figure, a SOAP over HTTP notification message is received at an endpoint, then routed through the associated notification broker and on to a topic space within a messaging engine. A SOAP over JMS notification message is received by a messaging engine, then routed back out of the messaging engine to a message driven bean, then into the notification broker and on to a topic space within the same messaging engine.



## WS-Notification and policy set configuration

You use policy sets to configure Version 7.0 WS-Notification services with web service qualities of service (QoS) such as reliability or security. The configuration of policy sets for WS-Notification can be split into two types: service provider (for Version 7.0 WS-Notification service points) and service client (for Version 7.0 WS-Notification service clients). Policy set configuration for these two elements of a WS-Notification service is handled differently.

**Version 7.0 WS-Notification service points** (NotificationBrokers, PublisherRegistrationManagers and SubscriptionManagers) are implemented as JAX-WS applications that are deployed to servers or clusters, and the management of the policy sets for these WS-Notification service points is configured by using the policy set administrative infrastructure for service providers (both panels and wsadmin commands). In the Service provider [settings] panel for a WS-Notification service provider, there is a link to the associated WS-Notification service point application. You can use the service provider settings panel to attach policy sets to each NotificationBroker, PublisherRegistrationManager and SubscriptionManager. To compose with WS-ReliableMessaging, you attach policy sets that include the WS-ReliableMessaging policy type.

**Version 7.0 WS-Notification services** are implemented as two configurable service clients (OutboundNotificationService and OutboundRemotePublisherService) for each WS-Notification service. Events that need outgoing web service invocations occur at the service integration bus level rather than the bus member level, even though notifications of the events occur within a particular bus member. The two service clients that a WS-Notification service implements are therefore configured by using the policy set administrative infrastructure for service clients. In the Service client policy sets and bindings [collection] panel, the two service clients for a given WS-Notification service are listed in a tree structure, along with their endpoints and operations. You can use this panel to attach policy sets to each service client, or to both service clients for the WS-Notification service. Alternatively, you can configure the policy set and binding information for a single Version 7.0 WS-Notification service client application by using the WS-Notification service client [settings] panel. This panel also provides links to the associated service integration bus and WS-Notification service.

For more general information about working with policy sets and bindings, see *Managing policy sets using the administrative console*. To apply policy sets to a Version 7.0 WS-Notification service, see *Configuring a Version 7.0 WS-Notification service with Web service QoS*. To compose with WS-ReliableMessaging, you attach policy sets that include the WS-ReliableMessaging policy type.

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## Reasons to create multiple WS-Notification services in a bus

In general it is not necessary to create more than one WS-Notification service in each service integration bus, however there are some cases where it is useful to do so.

If you have multiple service integration buses defined in a cell and you want to provide WS-Notification access to messaging resources defined on each of the buses, then you must define a WS-Notification service on each of the buses. This configuration of one WS-Notification service on each service integration bus that needs WS-Notification access is the recommended approach. It ensures that applications connected to different WS-Notification services cannot pass information to each other, or cause interference.

You might choose to define multiple WS-Notification services on a single bus in order to segregate groups of client applications into disjoint sets, for example to meet one of the requirements listed below. However you should use this pattern with care, because there are significant implications to making this choice - in particular associated with the WS-Notification topic namespaces that are defined on the WS-Notification service. For more information about topic namespace patterns see “Options for associating a permanent topic namespace with a bus topic space” on page 978.

- **Segregation of applications by using different namespaces.** You can use distinct topic namespace URIs (and equally, different service integration bus topic spaces) in the two WS-Notification services to segregate the applications that use each service. For more information, see “1 to 1 association between a service integration bus topic space and a topic namespace URI” on page 979. Note that segregation in this way can also be achieved by using a single WS-Notification service.
- **Enforced segregation of applications by using the same namespace.** The key advantage of defining multiple WS-Notification services on a single bus comes from the ability to partition a collection of applications that are written to use the same topic namespace into two (or more) distinct groups that do not interact at all. This allows the applications connected to the first WS-Notification service to operate completely isolated from those connected to the second WS-Notification service, even though they are using the same topic namespace, and quite probably the same set of topics. For more information, see “many to 1 association between a service integration bus topic space and a topic namespace URI” on page 979
- **Alternative JAX-RPC handler lists and outbound security settings.** These properties are specified for each WS-Notification service, rather than for each outbound port. If you need alternative options for these properties then you should create a separate WS-Notification service on the same bus for each alternative outbound configuration.

---

## Reasons to create multiple WS-Notification service points

There are two main cases in which you might want to create more than one WS-Notification service point for a given WS-Notification service.

These two cases are as follows:

- To provide WS-Notification access through more than one server in the cell.
- To provide a mechanism through which WS-Notification applications can connect to the same server, by using different bindings or security parameters.

**To provide WS-Notification access through more than one server in the cell**, you must define at most one WS-Notification service point for each server in the cell. This enables work load balancing, either on the basis of manual distribution of clients across servers, or automatically as described in the “Load balanced topology” on page 986. Note that, for some or many servers, you might not define a service point at all.

**To provide a mechanism through which WS-Notification applications can connect to the same server, by using different bindings or security parameters**, you must define more than one WS-Notification service point on a particular server, then channel particular applications through particular service points. There are two further sub-cases to this option:

- *WS-Notification service points of different types (bindings)*. For example if you create one service point for applications that use SOAP over HTTP, and a second one for SOAP over JMS, this allows applications written to use either of these bindings to connect to the WS-Notification service in question.

**Note:** There is a performance cost in using SOAP over JMS, as described in “WS-Notification: Supported bindings” on page 975.

- *Multiple WS-Notification service points that use the same binding*. For example, you can define two service points on the same server that both use the SOAP over HTTP binding. For simple cases there is no reason to do this because both service points will provide identical functions, but in advanced situations you can use this configuration to differentiate between the two service points. For example, you might want to configure different security policies on each of the service points. One security policy might be set for connections originated from outside the trusted environment, mandating SSL transport encryption and a separate authorization check. The second policy might be for applications running inside the trusted environment, which would still require the authorization policy, but not require SSL. Another example is to require use of WS-ReliableMessaging on one service point, to be used by applications with high business value messages (in which reliable transport is important) and a separate service point that does not use WS-ReliableMessaging for low value event notifications.

---

## Options for associating a permanent topic namespace with a bus topic space

When you configure a permanent topic namespace on a WS-Notification service, you nominate a service integration bus topic space to which messages are published in response to the WSN Notify operation, and from which they are received when they match a subscription. You can create many to many relationships between the set of permanent topic namespaces defined in a cell (that is for all WS-Notification services defined in that cell) and the service integration bus topic spaces with which they are associated. These relationships can become quite complex depending upon the topologies required by the applications that connect to the WS-Notification service. This topic provides guidance on when certain configurations might or might not be appropriate.

The following options are arranged in increasing order of complexity. You should think carefully before configuring anything except the “1 to 1” association:

- “1 to 1 association between a service integration bus topic space and a topic namespace URI” on page 979

- “many to 1 association between a service integration bus topic space and a topic namespace URI”
- “1 to many association between a service integration bus topic space and multiple topic namespaces URIs (same WS-Notification service)”
- “1 to many association between a service integration bus topic space and multiple topic namespaces URIs (different WS-Notification services)” on page 980
- “Different buses with same service integration bus topic space names” on page 980

### **“1 to 1” association between a service integration bus topic space and a topic namespace URI**

In this situation there is either only one WS-Notification service defined on this bus, or if there are two WS-Notification services defined the second service does not contain a topic namespace associated with the same service integration bus topic space.

This configuration provides the ability for WS-Notification applications to insert event notifications into (or receive notifications from) the service integration bus topic space, which might include notifications originated by other clients of the bus.

In situations where there are multiple WS-Notification services defined on a given Bus this “1 to 1” association guarantees segregation between the clients attached to each service - that is to say that no event notification inserted by use of the first WS-Notification service will be received by applications connected through the second WS-Notification service. Note that this segregation pattern is one of the reasons for creating two WS-Notification services on the same bus.

### **“many to 1” association between a service integration bus topic space and a topic namespace URI**

In this case a single topic namespace URI has been associated with multiple service integration bus topic spaces. This can only occur if multiple WS-Notification services have been defined, because a namespace URI can only be associated with a single service integration bus topic space in a given WS-Notification service.

This approach should be taken in situations where there are a number of clients using the same namespace URI and you want to segregate a subset of the clients so that they do not interact with the other clients. The exact justification for doing this is entirely dependent upon the situation at hand, however in the general case this should not be necessary. Note that this segregation pattern is the second (and most compelling) reason for creating more than one WS-Notification service on a given bus.

### **“1 to many” association between a service integration bus topic space and multiple topic namespaces URIs (same WS-Notification service)**

In this situation multiple permanent topic namespaces have been defined on the same WS-Notification service that point at the same service integration bus topic space.

The most obvious reason for doing this is because there are two groups of applications that are already coded to use the two distinct URIs, and these application groups are in some way linked, and you therefore want to support them by using the same service integration bus topic space. This might be for any of the following reasons:

- The topics used by the two applications groups do not overlap, but they want to interact with the same non-WS-Notification applications. Using this pattern the other bus applications need only connect to a single topic space in order to be able to receive messages from both of the application groups.
- The topics used by the two application groups overlap in some way, and you want them to be able to receive publications sent by applications in the other group. For example if the two namespaces contain

the exact same topics, but the namespace URI was changed in order to conform to some standardized naming scheme, then older applications would use the original name, whereas new applications would use the new name.

A second reason for defining multiple topic namespaces on the same WS-Notification service (with the same service integration bus topic space but different namespace URIs) is to apply different topic space documents for different application groups. This might be for any of the following reasons:

- The topic namespaces are in no way related, but you want to use the same service integration bus topic space to avoid the administrative cost of creating a separate service integration bus topic space. You should usually only do this if the topics used by the namespaces do not overlap, otherwise there might be interference between the two sets of applications.
- Topics defined in the namespaces overlap, and applications that use each of the namespaces want to interact with the same non-WS-Notification applications. In this situation you use a topic namespace document to define (in a tree structure) the subset of topics that applies to a particular topic namespace, and you associate that document with a particular group of applications. Note that if the topic namespace documents for two different groups of applications define a topic structure that overlaps, then a non-WS-Notification application that subscribes to the overlapping topic will receive notifications published by both groups of applications.

### **“1 to many” association between a service integration bus topic space and multiple topic namespaces URIs (different WS-Notification services)**

If you have defined multiple WS-Notification services then you can create equivalent permanent topic namespace definitions on each service in order to provide the same functions to clients connected to any of the WS-Notification services. This might however be achieved more easily by getting all the applications to connect to service points associated with a single service.

### **Different buses with same service integration bus topic space names**

An additional case that might cause confusion is where there are two service integration buses, each with a (single) WS-Notification service defined, and the buses contain identical service integration bus topic space names. In this situation the topic space destinations used are completely separate (not linked) and thus there is no overlap between applications that use the two WS-Notification services. You should be aware of the possibility for confusion in this situation and take appropriate action.

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## **WS-Notification topologies**

A number of different topologies can be supported by this WS-Notification implementation.

Through the implementation of WS-Notification in WebSphere Application Server, you can achieve the following goals:

- Use existing service integration technologies and web services components to deliver WS-Notification functions.
- Interoperate with other publish and subscribe messaging clients (for example Java Message Service (JMS), WebSphere MQ) and with alternative message brokering products.
- Support a demand based publisher pattern of publication.
- Administratively define a WS-Notification subscription to an external notification producer:
  - Subscribe to other WS-Notification broker implementations and federated brokers.
  - Predefine a list of subscription information that is used at system startup to create the appropriate subscriptions.
- Deploy a WS-Notification NotificationBroker in highly available and workload managed configurations.

Within WebSphere Application Server, WS-Notification also allows interchange of event notification between WS-Notification applications and other clients of the service integration bus. By exploiting other

service integration bus functions you can also use this function to interchange messages with other IBM publish and subscribe brokers such as WBI Event Broker or Message Broker.

For an overview of each of the topologies that are supported by this WS-Notification implementation, see the following topics:

- “Simple web services topology” on page 982. In this topology WebSphere Application Server is used solely as a notification broker to enable producing and consuming WS-Notification applications to communicate with each other. The applications are unaware that the NotificationBroker service is implemented by WebSphere Application Server.
- “Topology for WS-Notification as an entry or exit point to the service integration bus” on page 983. In addition to the ability to pass information between WS-Notification producers and consumers, the WS-Notification support provided in WebSphere Application Server also acts as an entry or exit point to the service integration bus. Event notifications that are published by WS-Notification applications are inserted into the service integration bus where they can be modified, rerouted or consumed by any of the other applications that are connected to the bus. Equally, publications sent by service integration bus clients such as JMS can be received by WS-Notification consumers.
- “Network deployment of WS-Notification topology” on page 984. This topology shows the potential to deploy a WS-Notification service across multiple servers in a WebSphere Application Server Network Deployment environment. In this pattern applications can connect to any WS-Notification service point and use them identically when inserting notifications, because WS-Notification topic namespaces are shared by all the WS-Notification service points of the WS-Notification service. Notification messages are propagated throughout the bus to any interested NotificationConsumers, regardless of the location where they attached to the bus (that is, regardless of the WS-Notification service point to which they are connected).
- “WS-Notification in a clustered environment” on page 985:
  - “Load balanced topology” on page 986. In this topology the administrator aims to share client application requests across multiple servers in the cell without overloading any particular server. This requires that all WS-Notification service points of the WS-Notification service can be considered the same - in particular that all topic namespaces are available at every WS-Notification service point of the broker.
  - “High availability topology” on page 986. In this topology the administrator creates a cluster of servers containing a single messaging engine and WS-Notification service point, in order to ensure that should the server containing the messaging engine fail, the resources it manages (subscriptions, event notifications) remain available to the remote applications. The messaging engine is configured to fail over between the various servers in the cluster in order to provide highly available operation.
  - “Load balanced high availability topology” on page 988. This topology is a combination of the load-balanced topology and the high-availability topology. In this topology there is more than one messaging engine in the cluster (where the number of messaging engines is less than or equal to the number of servers). Initial requests received by the proxy server are load balanced across the cluster, to those servers that host WS-Notification service points. Subsequent requests for a resource that is created by that request (that is a subscription) are routed back to the affine messaging engine, even where it might have failed across to a different server in the cluster.
- “Event publication between cells topology” on page 989. Implementation of this topology uses existing functions of the service integration bus. WS-Notification services are configured in each of two cells, and a service integration bus link is configured to link the service integration bus topic spaces between the two buses.
- “Event publication between cells through an MQ network topology” on page 990. In this topology the service integration bus infrastructure is used to transmit event notifications between two cells (buses) through a network of WebSphere MQ queue managers.

## Simple web services topology

In this topology WebSphere Application Server is used solely as a notification broker to enable producing and consuming WS-Notification applications to communicate with each other. The applications are unaware that the NotificationBroker service is implemented by WebSphere Application Server.

In the following figure, the publisher, subscriber and notification consumer are connected to the notification broker by SOAP over HTTP. The publisher, subscriber and notification consumer are unaware that the broker is backed by WebSphere Application Server.

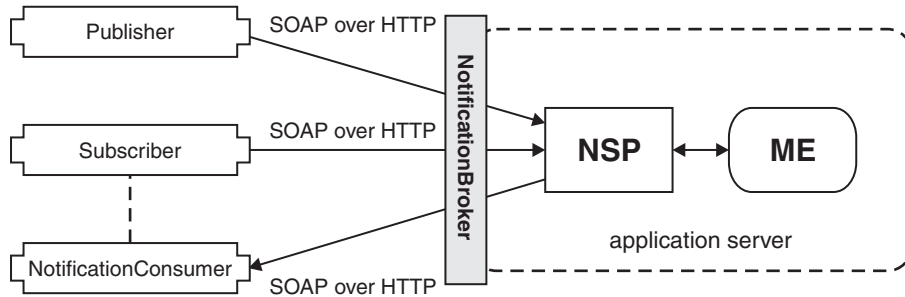


Figure 187. Example of a web service topology

There are a variety of clients that are able to connect to the notification broker provided by WebSphere Application Server. Any web service client that implements or invokes the WS-Notification message exchanges can connect. This includes the various types of web service clients that are supported directly by WebSphere Application Server and other web service clients that are capable of using JAX-RPC or JAX-WS patterns (for example .NET). This is illustrated in the following diagram:

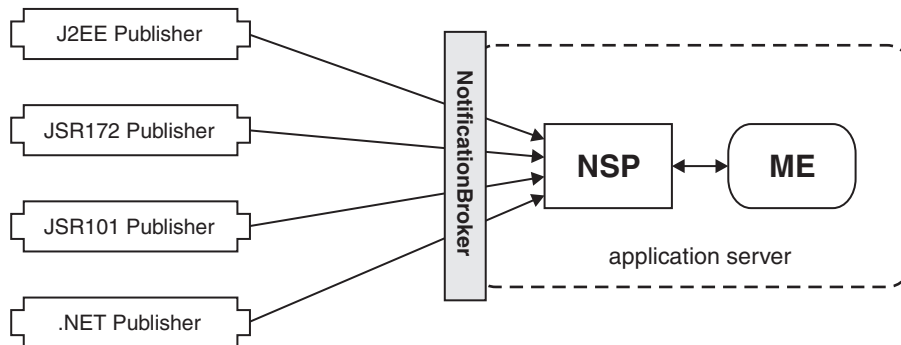


Figure 188. Example of the variety of clients that can connect to the notification broker

In a different topology, it is possible that none of the clients of the notification broker are written or hosted in a WebSphere Application Server environment. The notification broker itself cannot determine the environment from which the clients connect because the only interaction is through the standard web service exchanges defined by WS-Notification. This is shown in the following figure.



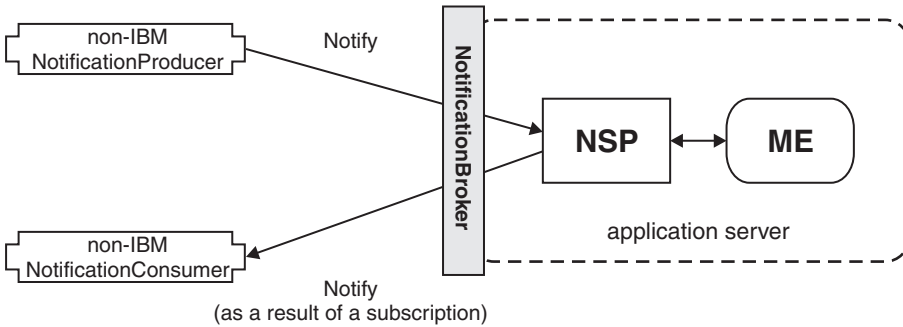


Figure 189. Example of a topology where no clients are written or hosted by WebSphere Application Server

Similarly, WS-Notification applications written or hosted in a WebSphere Application Server environment (such as JAX-RPC from AppClient, JSR172, JSR101) can connect to non-IBM NotificationBrokers (or NotificationProducers) without any changes to the application code.

## Topology for WS-Notification as an entry or exit point to the service integration bus

In addition to the ability to pass information between WS-Notification producers and consumers, the WS-Notification support provided in WebSphere Application Server also acts as an entry or exit point to the service integration bus. Event notifications that are published by WS-Notification applications are inserted into the service integration bus where they can be modified, rerouted or consumed by any of the other applications that are connected to the bus. Equally, publications sent by service integration bus clients such as JMS can be received by WS-Notification consumers.

You can configure WS-Notification so that web service applications receive event notifications generated by other clients of the service integration bus such as JMS clients. Similarly web service applications can generate notifications to be received by other client types. You achieve this configuration by creating a permanent topic namespace that allows messages to be shared between web service and non web service clients of the bus, as described in Providing access for WS-Notification applications to an existing bus topic space.

In the following figure a WS-Notification publisher inserts an event notification into the notification broker that is received by a JMS message consumer. Conversely a JMS message producer can publish a message that is received by a notification consumer. Messages pass from the publisher into the notification broker, using SOAP over HTTP, and travel through a WebSphere Application Server, to the JMS provider, and out to the JMS message consumer using JFAP. Conversely, messages pass from the JMS message producer into the JMS provider using JFAP, and travel through a WebSphere Application Server to the notification broker, and out to the notification consumer using SOAP over HTTP.

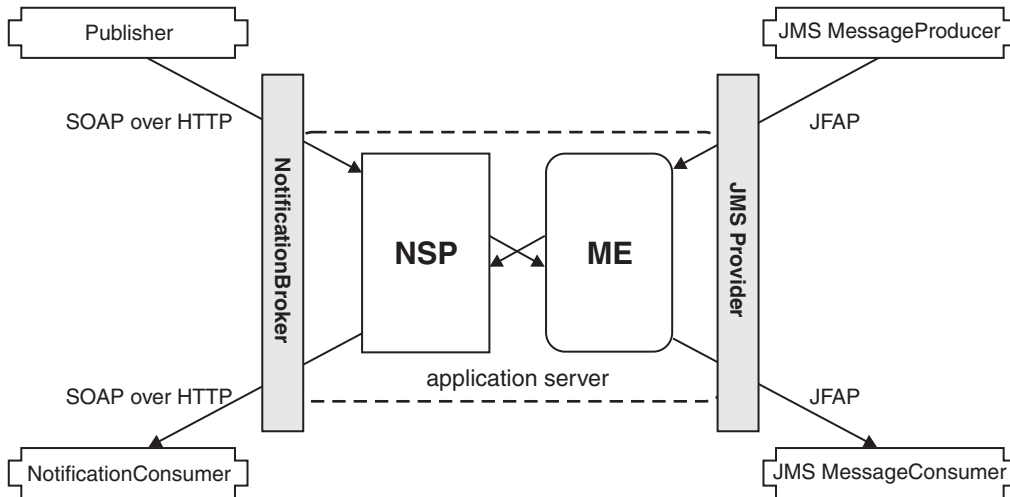


Figure 190. Example of the message paths from a publisher and a JMS MessageProducer

## Interacting with JMS message types

The WS-Notification service is responsible for both inserting messages into the service integration bus (in response to Notify operations received from Web services) and receiving messages from the bus (in order to pass messages to a web service as a result of a Subscribe operation).

Messages inserted by the WS-Notification service are of the JMS BytesMessage type, so when a web service invokes the Notify operation against a WS-Notification service point, the application content of the message is inserted into the body of a JMS BytesMessage by using the UTF-8 encoding.

For messages received by the WS-Notification service in response to a subscription the reverse conversion is applied. The received message is converted to the appropriate JMS message type. If the appropriate type is determined to be a BytesMessage type, then the body of the message is converted to a string by using the UTF-8 encoding and proceeds through the code for checking before being sent to the requesting web service.

If the converted BytesMessage string does not contain an XML element when converted to a string then this message is ignored as having been originated by a non WS-Notification aware (JMS) application.

If the received message is determined to be a TextMessage then the body content of the message is extracted and processing proceeds in the same way as for the converted BytesMessage content. This means that JMS applications that want to provide event notifications to a WS-Notification application can choose to send the content as either a BytesMessage or a TextMessage depending upon which is more convenient to the application.

If the received message is neither a BytesMessage nor a TextMessage then it is discarded as having been originated by a non WS-Notification aware (JMS) application.

**Note:** If your subscriber applications use message content filtering, and are coded to specify the XPath Version 1.0 SelectorDomain, they can filter the message content of publications that are of type JMS TextMessage or BytesMessage.

## Network deployment of WS-Notification topology

This topology shows the potential to deploy a WS-Notification service across multiple servers in a WebSphere Application Server Network Deployment environment. In this pattern applications can connect

to any WS-Notification service point and use them identically when inserting notifications, because WS-Notification topic namespaces are shared by all the WS-Notification service points of the WS-Notification service. Notification messages are propagated throughout the bus to any interested NotificationConsumers, regardless of the location where they attached to the bus (that is, regardless of the WS-Notification service point to which they are connected).

In the following figure, the three application servers are configured with service points for the same WS-Notification service. These three servers are all bus members of the same service integration bus because of the scope of a WS-Notification service. There are links between all three messaging engines within the cell, therefore requests received by any server in the cell are available to consumers associated with any other server in the cell.

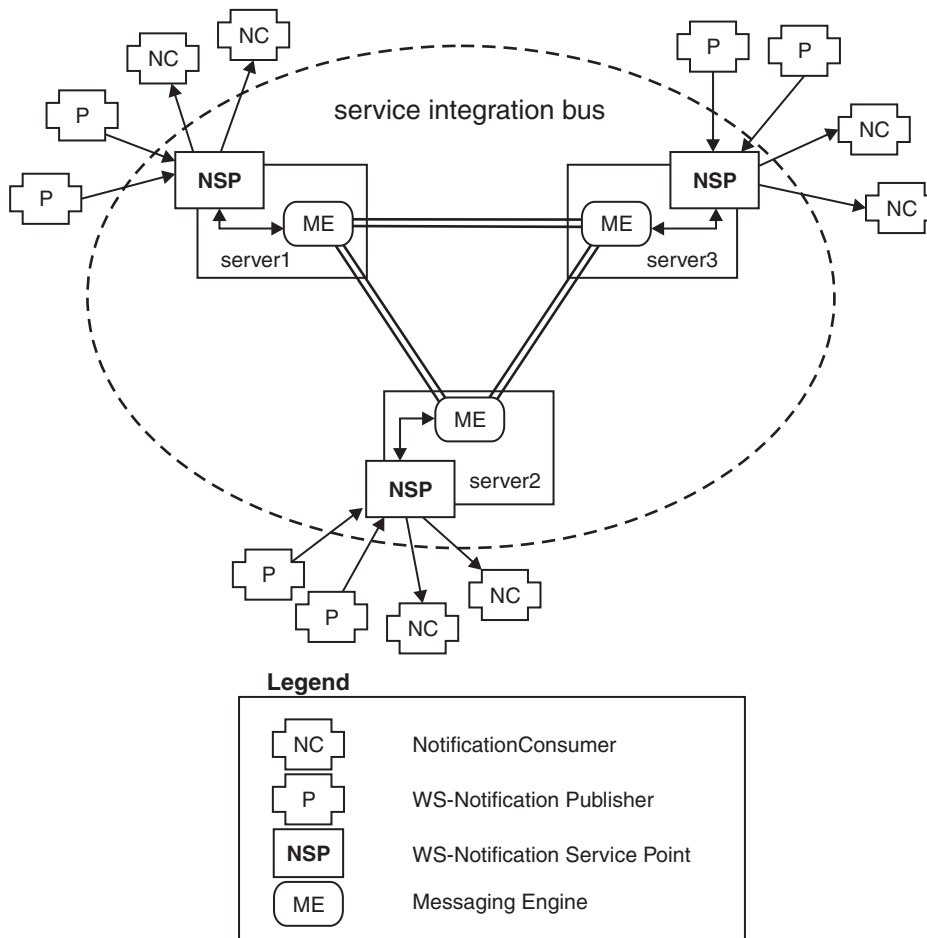


Figure 191. Example of a network deployment WS-Notification topology

You can use this topology to distribute your clients across multiple servers, and thereby share the load amongst these servers. In this topology, you manually configure the balancing of applications. An alternative approach is to use workload distribution across the servers in a cluster, as described in the “Load balanced topology” on page 986.

## WS-Notification in a clustered environment

WebSphere Application Server provides the ability to group servers together in a cluster so that the application can be protected from the failure of a single server (high availability) or so that the application workload can be spread out across a number of equivalent servers (workload balancing). The service

integration bus is also configurable within the application server cluster in a variety of configurations depending upon whether you are clustering for high availability, workload management or both. For example you can choose how many messaging engines are configured in the cluster (from one up to the number of servers in the cluster) and you can choose the server (if any) to which a given messaging engine fails over if its primary server fails.

One common pattern is to configure a 1 of N core group policy for a messaging engine in which there is a single messaging engine in the cluster, and the messaging engine can move to any other server in the cluster if its host server fails. This ensures that the state associated with the messaging engine (for example event notifications and subscriptions) is available to applications even if a specific piece of hardware fails.

## Load balanced topology

In this topology the administrator aims to share client application requests across multiple servers in the cell without overloading any particular server. This requires that all WS-Notification service points of the WS-Notification service can be considered the same - in particular that all topic namespaces are available at every WS-Notification service point of the broker.

WebSphere Application Server-specific WS-Addressing support in the proxy ensures that web services requests that have an affinity with a particular messaging engine (for example Resume or Destroy subscription flows) are routed back to the server in which the messaging engine is located.

The following figure shows a configuration of a clustered environment configured for load balance. Requests from three different client applications are received by a WebSphere Application Server proxy server, and each request is forwarded to a different single application server. Information about each request is stored by each messaging engine in a separate database. WebSphere Application Server-specific WS-Addressing code in the proxy, records which server received each request, and routes each subsequent request to the correct application server.

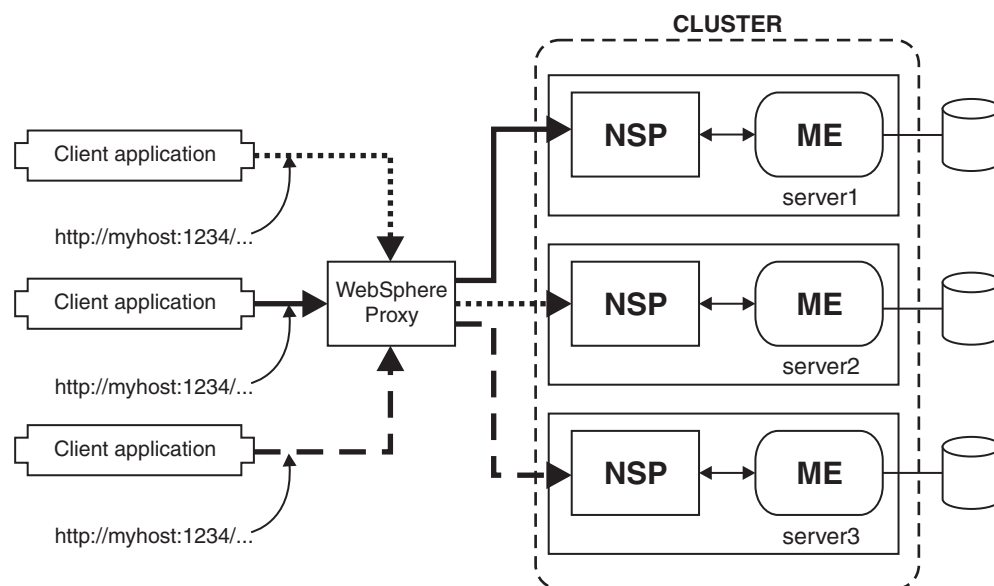


Figure 192. Example of a load balanced topology

## High availability topology

In this topology the administrator creates a cluster of servers containing a single messaging engine and WS-Notification service point, in order to ensure that should the server containing the messaging engine

fail, the resources it manages (subscriptions, event notifications) remain available to the remote applications. The messaging engine is configured to fail over between the various servers in the cluster in order to provide highly available operation.

The WS-Notification service point is deployed to every server in the cluster. The resources (subscriptions, publisher registrations and pull points) are maintained in the messaging engine, so in order to execute a request the service point creates a connection to the server in which the messaging engine is currently running.

The WebSphere Application Server proxy server is a special type of application server that provides the initial point of entry for requests into the enterprise. For WS-Notification, a proxy server is most often used as the front-end for a cluster of application servers, where it work load balances the initial requests (such as event notifications) across the servers in the cluster. Some WS-Notification requests (such as creating a subscription) create an affinity with a specific messaging engine, and so when subsequent requests relating to that resource are received by the proxy server they are routed to the server that is currently hosting the relevant messaging engine, even if that server has changed due to a failure since the resource was created.

WebSphere Application Server-specific WS-Addressing support in the proxy ensures that web services requests that have an affinity with a particular messaging engine (for example Resume or Destroy subscription flows) are routed back to the server in which the messaging engine is located.

The following figure shows a configuration of a clustered environment configured for high availability. Request from client applications are received by a WebSphere Application Server proxy server, and forwarded to an application server within a cluster. WebSphere Application Server-specific WS-Addressing code in the proxy, records which server received the request. Information about the request is stored in a database by the messaging engine for the cluster. If the application server fails, then its place is taken by another server in the cluster. The WS-Addressing code in the proxy reroutes subsequent requests to the replacement application server.

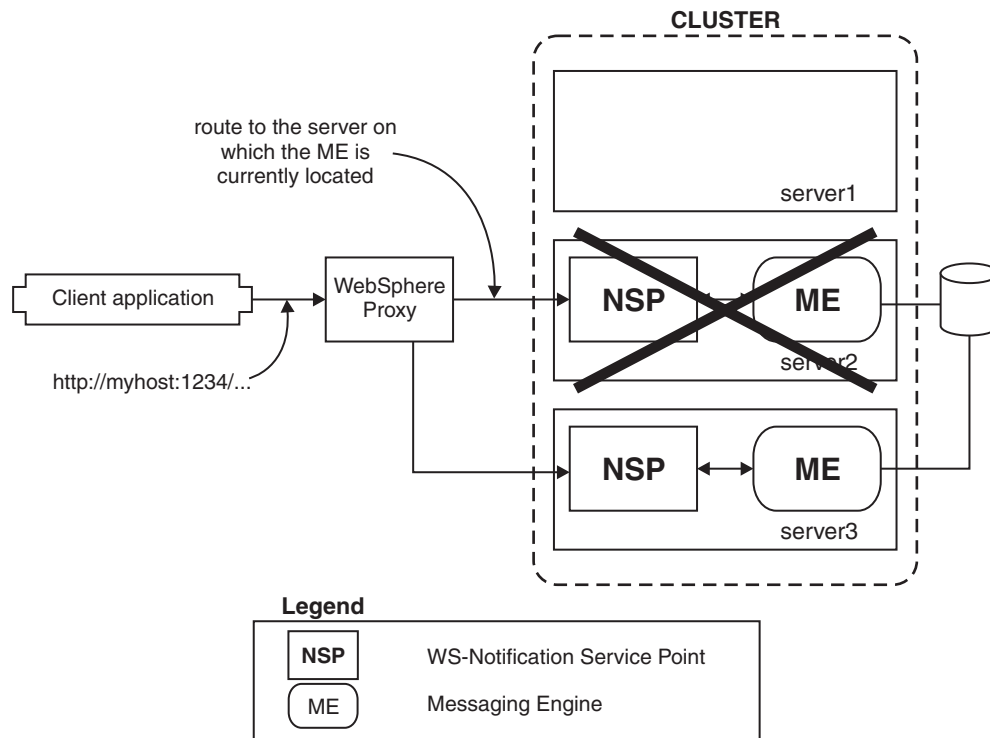


Figure 193. Example of a high availability topology

## Load balanced high availability topology

This topology is a combination of the load-balanced topology and the high-availability topology. In this topology there is more than one messaging engine in the cluster (where the number of messaging engines is less than or equal to the number of servers). Initial requests received by the proxy server are load balanced across the cluster, to those servers that host WS-Notification service points. Subsequent requests for a resource that is created by that request (that is a subscription) are routed back to the affine messaging engine, even where it might have failed across to a different server in the cluster.

Note that this would include the case where more than one of the messaging engines in the cluster is currently located on a single server as a result of a failover. In this case it remains important that the Service Point connects to the correct messaging engine.

The following figure shows a configuration of a clustered environment configured for high availability and load balance. This cluster has three application servers. Two of these servers use the same messaging engine, and the third uses a different messaging engine. A request from a client application is received by a WebSphere Application Server proxy server, and forwarded to one of the application servers that shares a messaging engine. WebSphere Application Server-specific WS-Addressing code in the proxy, records which server received the request. The application server fails, and its place is taken by one of the other two servers. The WS-Addressing code in the proxy routes subsequent requests for a resource that is created by the initial request (that is a subscription) to the surviving application server that uses the same messaging engine.

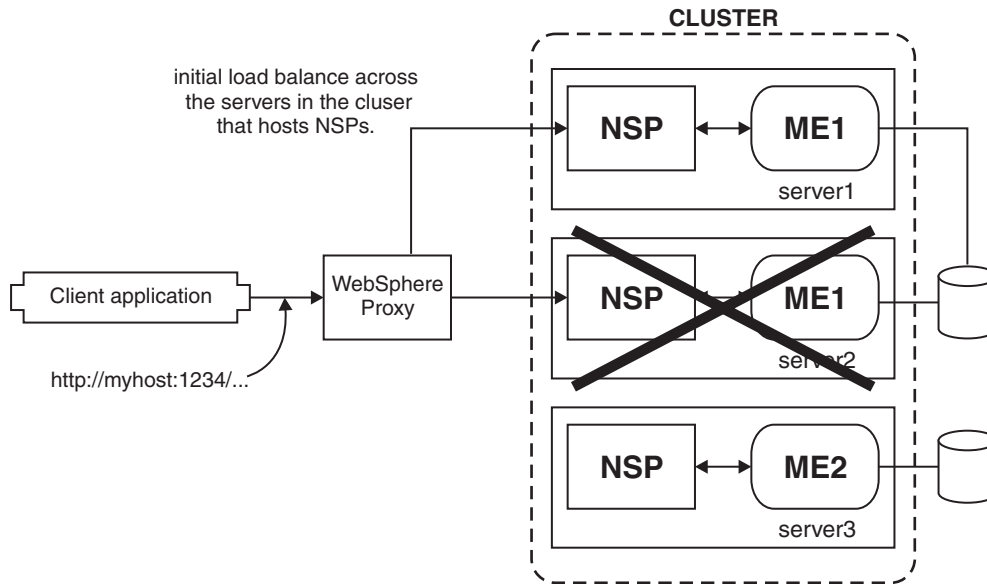


Figure 194. Example of a high availability and load balanced topology

## Event publication between cells topology

Implementation of this topology uses existing functions of the service integration bus. WS-Notification services are configured in each of two cells, and a service integration bus link is configured to link the service integration bus topic spaces between the two buses.

This configuration means that any messages published to a service integration bus topic space (either by the notification broker or a standard client of the bus) is transmitted to the foreign bus. For more information, see *Configuring service integration bus links*.

Note that this bridging of topic spaces is only permitted for permanent WS-Notification topic namespaces, and not for dynamic topic namespaces.

In the following figure, two cells are shown each on a separate bus. Each cell contains two application servers, and each application server contains a WS-Notification service and messaging engine. There is a link between the two messaging engines within each cell, and a bus link between the two buses. Requests received by either server in one cell are available to consumers associated with either server in the other cell.

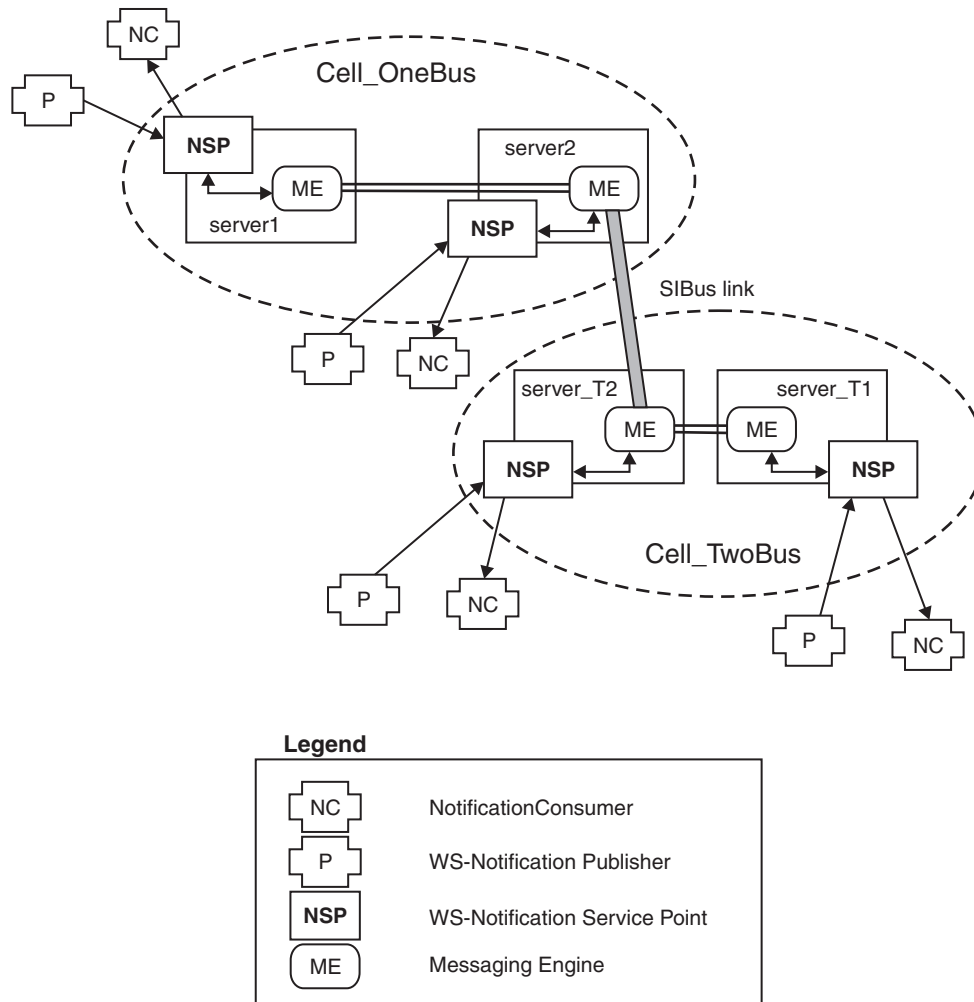


Figure 195. Example of event publication between cells

## Event publication between cells through an MQ network topology

In this topology the service integration bus infrastructure is used to transmit event notifications between two cells (buses) through a network of WebSphere MQ queue managers.

This is achieved in a similar fashion to the “Event publication between cells topology” on page 989, by creating a new WebSphere MQ link and a publish and subscribe bridge between the first bus and the MQ network (and MessageBroker or EventBroker instance). This ensures that messages published to a service integration bus topic space are forwarded to the message broker. The same configuration is carried out between the second bus and the MQ network or MessageBroker instance to receive messages published from the first bus.

Thus messages published in either bus are forwarded to the service integration bus topic space (and thus notification consumers attached to the appropriate notification broker) on the other bus, through the MQ infrastructure.

In the following figure, there are two cells each on a separate bus. Each cell contains an application server, and every application server contains a WS-Notification service and messaging engine. Each cell is



connected by a publish and subscribe bridge over an MQ Link to a network of WebSphere MQ queue managers. Requests received by a server in one cell are put on a queue, then routed by a message broker to another queue, then routed to consumers associated with the server in the other cell.

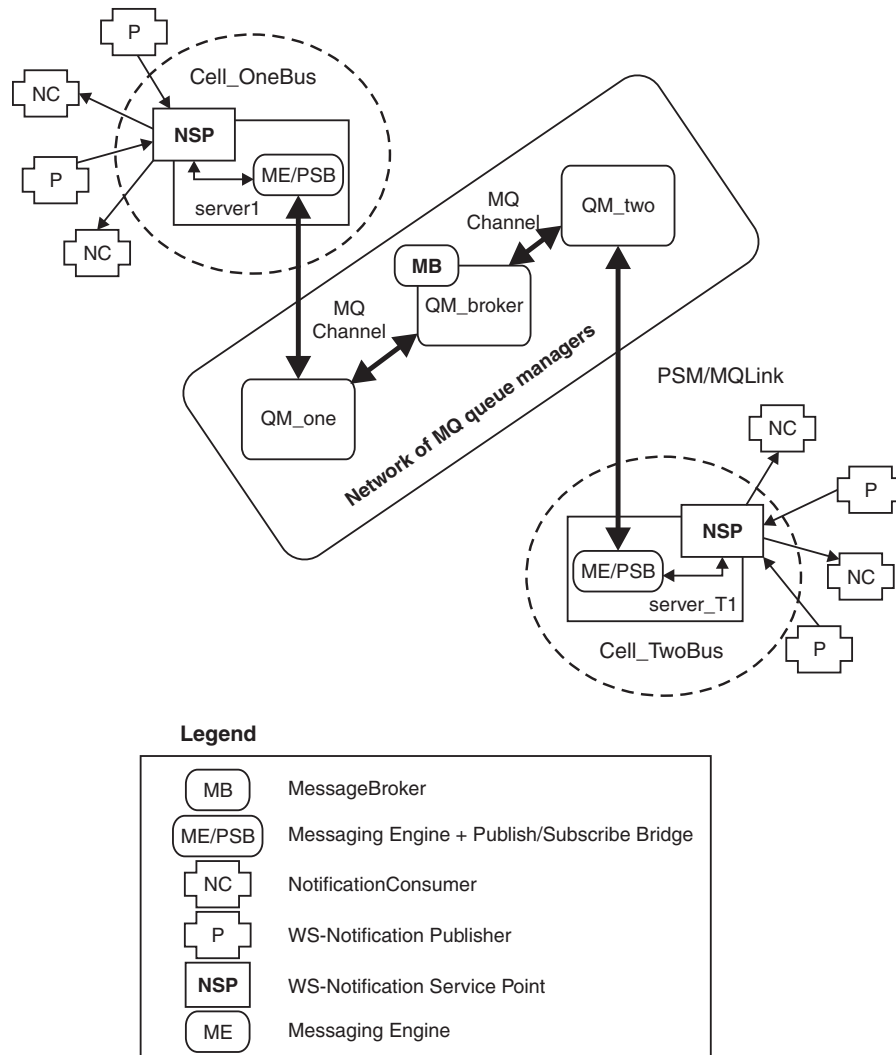


Figure 196. Example of event publishing between cells through a MQ queue manager network



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## Chapter 32. XML applications

This page provides a starting point for finding information about XML applications.

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### Overview of XML support

You can use the XML support provided with this product to work with web applications that process data using standard XML technologies like Extensible Stylesheet Language Transformations (XSLT), XML Path Language (XPath), and XML Query Language (XQuery).

XML-structured data has become the predominant format for data interchange. XML data is navigated, queried, or transformed in almost every existing WebSphere application.

Since first being standardized, XML usage in application-development environments has grown significantly to include many scenarios. WebSphere Application Server is a leading platform for the latest application development standards, including XML.

**Note:** IBM WebSphere Application Server Version 8.0 delivers critical technology that provides application developers with support for the following key World Wide Web Consortium (W3C) XML standards:

- Extensible Stylesheet Language Transformations (XSLT) 2.0
- XML Path Language (XPath) 2.0
- XML Query Language (XQuery) 1.0

These new and updated W3C XML standards offer application developers numerous advanced capabilities for building XML applications. Specific benefits delivered in the XPath 2.0, XSLT 2.0, and XQuery 1.0 standards include the following:

- Simpler XML application development and improved developer productivity
- Improved ability to query large amounts of data stored in XML outside of a database with XQuery 1.0
- Improved XML-application performance through new features introduced in the W3C specifications to address previous shortcomings
- Improved XML-application reliability with new support for XML schema-aware processing and validation

**Note:** If you want to use XPath 1.0 or XSLT 1.0 (not in backwards-compatibility mode), continue to use Java API for XML Processing (JAXP) in Java 2 Platform, Standard Edition (J2SE) 1.6.

For more information about these W3C XML standards, go to [W3C XQuery 1.0 and XSLT 2.0 Become Standards: Tools to Query, Transform, and Access XML and Relational Data](#).

The product provides the IBM XML Application Programming Interface in support of these standards. This application programming interface invokes a runtime engine that is capable of executing XPath 2.0, XSLT 2.0, and XQuery 1.0 as well as manipulating the returned XML data.

The product also includes the IBM Thin Client for XML with WebSphere Application Server. The thin client allows access to the same XML API and runtime functionality (XPath 2.0, XSLT 2.0, and XQuery 1.0) available in the full product. The thin client can be copied to multiple clients running Java SE in support of a WebSphere Application Server Version 8.0 installation.

### XSLT 2.0, XPath 2.0, and XQuery 1.0 major new functions

Valuable features have been added to XPath 2.0, XSLT 2.0, and XQuery 1.0 reflecting productivity and feature improvements beyond the XPath 1.0 and XSLT 1.0 standards.

## **XPath 2.0**

- XPath 2.0 has been improved to support the XPath 2.0 and XQuery 1.0 Data Model (XDM), which is based on sequences of heterogeneous items including nodes and primitive types. This replaces and improves on the XPath 1.0 node-set support and becomes the foundation of XSLT 2.0 and XQuery 1.0 data navigation.
- XPath 2.0 adds an extensive collection of functions and operators to allow for an easier programming experience, replacing the XPath 1.0 requirement for proprietary extension mechanisms. These functions and operators help with date and time handling, enhance the string manipulation, support regular expression matching and tokenization, extend the number handling, and add functions for sequence manipulation.
- XPath 2.0 supports schema-aware processing, which allows for data navigation based on XML schema information for not only built-in schema types, but also user-defined schema types.
- XPath 2.0 adds condition (if/then/else branches), iterative (for loops), and quantified expressions (some and every tests) typical of other languages.
- XPath 2.0 adds named collations across multiple functions allowing for locale-specific operation.
- XPath 2.0 provides a backwards-compatibility mode to run most XPath 1.0 expressions unchanged.

## **XSLT 2.0**

- XSLT 2.0 is based on XPath 2.0, allowing XSLT 2.0 to take advantage of all new XPath 2.0 features. Temporary trees have been added to allow navigation of constructed trees during transformation. User-defined functions can be defined in the XSLT language and are callable using XPath 2.0.
- XSLT 2.0 can write to multiple result documents in a single stylesheet execution.
- XSLT 2.0 supports regular expressions to analyze and separate strings.
- XSLT 2.0 allows variables and parameters to be typed, therefore improving the reliability of stylesheets and functions.
- XSLT 2.0 supports schema-aware processing, which allows XSLT 2.0 to check for valid input, temporary trees, and output documents.
- XSLT 2.0 supports initial named templates, which allows the processor to start with a defined template instead of having to match the input document, a feature commonly used with loading documents programmatically using the XPath 2.0 collection and document functions.
- Comparisons in sorting, grouping, and keys are supported with any data type and can use locale-specific named collations.
- XHTML has been added to XSLT 2.0 as a valid output format.
- The next-match instruction allows the same node to be processed with multiple templates.
- The character-map instruction allows fine grained control of serialization of characters.
- XSLT 2.0 added addition instructions for transforming and formatting dates and times.
- XSLT 2.0 added support for tunnel parameters, which allows parameters to be passed through multiple template calls without having to declare the parameter in each template call.
- XSLT 2.0 added multiple mode support to allow templates to apply to specific modes of processing within a stylesheet.
- Unparsed text can be incorporated into the data processed by a stylesheet, which then can be tokenized with the new regular expression support.
- XSLT 2.0 provides a backwards-compatibility mode to run most XSLT 1.0 stylesheets unchanged.

## **XQuery 1.0**

- XQuery 1.0 is based on XPath 2.0, allowing XQuery 1.0 to take advantage of all new XPath 2.0 features. XQuery 1.0 builds on XPath 2.0 to provide full XML Query capability.

- XQuery's FLOWR (For, Let, Order by, Where, Return) expression allows for complicated joins across XML datasets. FLOWR allows for query of large documents or collections of documents. XQuery allows for the mixture of direct XML construction along with computed content returned from FLOWR expressions.
- XQuery has the ability to define functions and variables with syntax that is familiar to users of other languages, allowing larger programs to be defined around the data-query operations.
- XQuery 1.0 supports schema-aware processing, which allows input and constructed documents and elements to be validated.

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## Overview of the XML Samples application

The XML Samples application is written to be used with the XML specifications and other documents. However, the most important function that these samples provide is a place to begin experimenting with the XML API and the supported specifications.

### Limitations

The XML Samples application is not intended for deployment to production servers. It is for development and educational purposes only. All source code is provided as is for you to use, copy, and modify without royalty payment when you develop applications that run with WebSphere software. You can use the sample code either for your own internal use, for redistribution as part of an application, or in your products.

### Content

- The simple API invocation examples included in the samples are intended as simple examples of using the major new features of XPath 2.0, XSLT 2.0, and XQuery 1.0.
  - XPath 2.0 examples
    - Sample 1: Simple XPath invocation  
Shows how to invoke XPath
    - Sample 2: Invoking XPath 1.0 under an XPath 2.0 run time in backwards compatibility mode  
Shows an example that demonstrates differences between XPath 1.0 and XPath 2.0 as well as how to run existing XPath 1.0 statements under XPath 2.0 in backwards-compatibility mode
    - Sample 3: Invoking schema aware XPath 2.0 expressions  
Shows how to run schema-aware expressions; shows how to load schema documents, how to validate input documents, and how to declare namespace prefixes
    - Sample 4: XPath 2.0 - document function (relative URIs) with input and output documents  
Shows how to invoke XPath using the document function with relative URIs
    - Sample 5: XPath running in compiled mode  
Shows how to invoke XPath in compiled mode
    - Sample 6: XPath running in pre-compiled mode  
Shows how to invoke XPath in pre-compiled mode
    - Sample 7: XPath 2.0 collation support  
Shows how to invoke XPath with collation support
  - XSLT 2.0 examples
    - Sample 1: Simple XSLT invocation  
Shows how to invoke XSLT
    - Sample 2: Invoking XSLT 1.0 under an XSLT 2.0 run time in backwards compatibility mode  
Shows differences between XPath 1.0 and XPath 2.0 and how to run existing XSLT 1.0 stylesheets under a XSLT 2.0 processor in backwards-compatibility mode
    - Sample 3: XSLT 2.0 updated for-each support

- Shows how to use the XSLT 2.0 for-each functionality
- Sample 4: XSLT 2.0 grouping support
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- Shows how to use the validation attribute to validate temporary trees
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- Sample 26: XSLT 2.0 schema awareness - output document (valid)
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  - Sample 2: XQuery FLWOR support - using doc function and cross document joins
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  - Sample 3: XQuery declare functions and variables
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    - Shows how to validate an element using the validate expression
  - Sample 11: XQuery schema awareness - node validation (invalid)
    - Shows how to validate an element using the validate expression
  - Sample 12: XQuery schema awareness - element(\*, T) function
    - Shows how to use schema awareness to match on element types instead of names
- The Blog Comment Checker examples show how you can search all or your Blogger™ web publishing service blogs for questionable comments. They are examples of high-level applications that use XPath 2.0, XSLT 2.0, and XQuery 1.0.
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  - XSLT Blog Checker
  - XQuery Blog Checker
  - Database Integration Checker

---

## Building and running a sample XML application

You can use the IBM WebSphere Application Server XML thin client, the `com.ibm.xml.thinclient_8.0.0.jar` file, to build a sample XML application. You can also use the API documentation to improve your understanding of the XML API.

### Before you begin

1. Install the product.
2. Locate the `com.ibm.xml.thinclient_8.0.0.jar` file.

You can find the `com.ibm.xml.thinclient_8.0.0.jar` file in your installation tree; for example:

- **Windows** `app_server_root\runtimes\com.ibm.xml.thinclient_8.0.0.jar`
- **AIX** **HP-UX** **Linux** **Solaris** `app_server_root/runtimes/com.ibm.xml.thinclient_8.0.0.jar`

To see how to build and use an application, refer to the sample application that is packaged with the product.

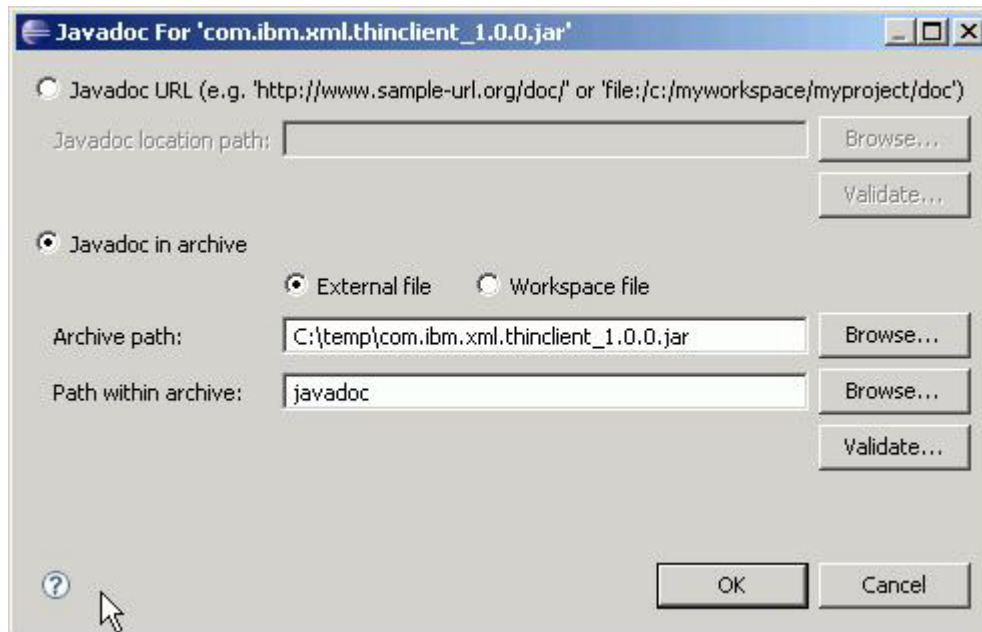
### About this task

Follow this procedure when you build and run a sample XML application.

### Procedure

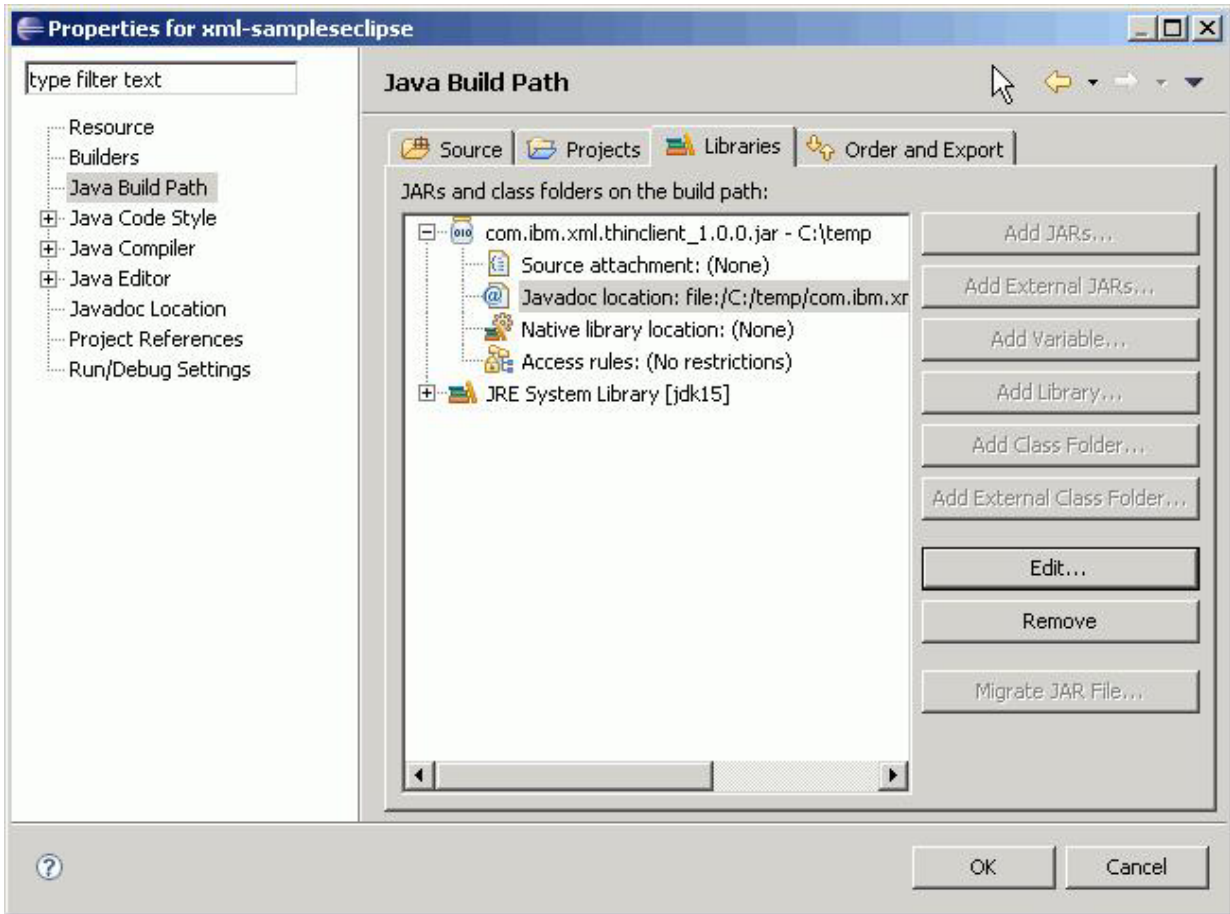
1. For build time, include the `com.ibm.xml.thinclient_8.0.0.jar` file in the build-time class path while developing your sample XML application.

Also, attach the API documentation from the `javadoc` directory that is inside the `com.ibm.xml.thinclient_8.0.0.jar` file.

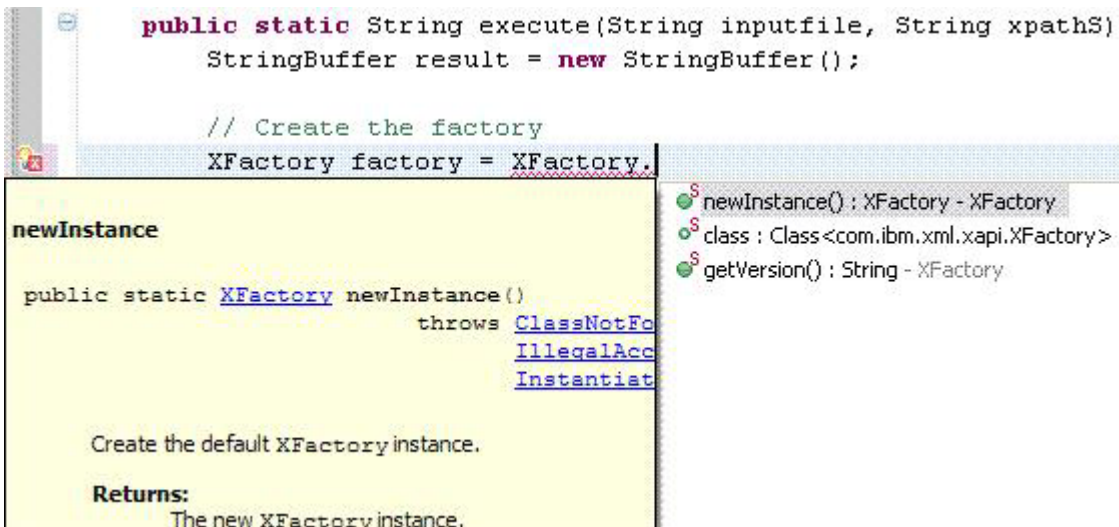


The results of these actions are shown in the following image:





When complete, your application should compile; and when using context completion, you should have access to the API documentation as shown here:



2. Deploy your application.



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## Chapter 33. What is new in this release

WebSphere Application Server is a proven, high-performance transaction engine that can help you build, run, integrate, and manage dynamic business applications.

This product excels as the foundation for a service-oriented architecture with the following main benefits:

- **Broad programming model and standards support**

Jump-start application development, and speed project completion by better aligning project needs with open standards-based programming model choices. Take advantage of samples libraries that demonstrate many of the capabilities available using these programming models and standards.

- **Fast, flexible, and simplified application foundation**

Achieve higher productivity, performance, security, and lower total cost of ownership throughout the application development, test, deployment, management, and maintenance life cycle.

- **Extensive Deployment Environments**

More effectively administer, manage, optimize, and evolve existing applications and infrastructure deployments in an evolutionary fashion as information technology environments transition to virtual and Cloud deployments.

- **Integrated tooling**

Accelerate application developer productivity through samples, and integrated and optimized tooling.


**Note:** The new features that are described in this topic are current as of the original release of the product version. Changes in this documentation that are related to service releases are marked with fix pack icons.

This version offers robust improvements, whether you are new to the product or making the transition from a prior release. Use this topic to obtain a high-level summary of the new features in this release. To learn more about the new and changed features in key areas that affect your specific roles in your business, see the information about what is new for installers on distributed operating systems, installers on IBM i operating systems, installers on z/OS operating systems, administrators, security specialists, developers, and troubleshooters.

### Broad programming model and standards support

WebSphere Application Server, Version 8.0 supports the following for leading open standards and programming models:

- Java Batch programming model
- Communications Enabled Applications (CEA) programming model
- Extensible Markup Language (XML) programming model
- Java Enterprise Edition 6.0 support
- OSGi applications programming model
- Service Component Architecture (SCA) programming model
- Session Initiation Protocol (SIP) programming model

 See the Samples documentation to learn more about many of the capabilities that are available by using these programming models and standards.

#### Java Batch programming model

Use the batch programming model to build robust batch applications to perform long running bulk transaction processing and computationally intensive work. This product provides two distinct programming model types, one for transactional batch applications and another for computationally intensive batch applications. Both jobs run as background jobs and these types are described by a

job control language and are supported by infrastructure components specially designed to manage batch workloads. Key benefits include but are not limited to the following capabilities:

- Batch Framework - The Batch Framework is a class library that provides ready-to-use batch application artifacts, including generic job steps and batch data streams for many common data access technologies, such as files, data sets, JDBC, PureQuery, JPA, and others.
- xJCL (XML Job Control Language) - This XML-based job control language enables users to describe the job steps that comprise their batch jobs.
- Batch container checkpoint and restart - This infrastructure component is the engine that runs batch jobs that are defined by xJCL. This component supports job checkpoint and restart for transactional batch jobs. The batch container runs inside designated WebSphere Application Servers.
- Job scheduler with job management console - This infrastructure component is the batch job manager. You input xJCL jobs to the job scheduler, which in turn selects the appropriate batch containers to use to run the jobs. The job scheduler runs inside a designated WebSphere Application Server, where it keeps job histories and supports job visibility and control through a job management console. Using the job management console, you can view job lists, job logs, and perform actions against jobs, such as cancel and restart.

### **Communications Enabled Applications (CEA) programming model**

CEA support provides REST and Web Service interfaces to enable existing applications to quickly take advantage of communications features involving phone calls and web collaboration. Support includes several Dojo-based widgets for easy development of web and mobile browser-based, communication-enhanced applications.

### **Extensible Markup Language (XML) programming model**

Use the XML support provided with this product to work with web applications that process data using new standard XML technology like Extensible Stylesheet Language (XSLT 2.0), XML Path Language (XPath 2.0), and XML Query Language (XQuery 1.0). These new and updated W3C XML standards offer application developers numerous advanced capabilities for building applications that process XML data. Specific benefits delivered in the XPath 2.0, XSLT 2.0, and XQuery 1.0 standards include the following:







- Simpler XML application development and improved developer productivity
- Improved ability to query large amounts of data stored in XML outside of a database with XQuery 1.0
- Improved XML-application performance through new features introduced in the W3C specifications to address previous shortcomings
- Improved XML-application reliability with new support for XML schema-aware processing and validation



### **Java Enterprise Edition 6.0 support**

Use continued programming model productivity and ease-of-use enhancements through support for portions of key Java Platform, Enterprise Edition (Java EE) 6.0, including the following:

- Support for the Enterprise JavaBeans (EJB) 3.1 specification, which includes but is not limited to the following key capabilities:
  - Calendar-based timers
  - Singleton session beans
  - Asynchronous EJB method invocation
  - Unit test your EJB 3.1 application using a stand-alone Java 2 Platform Standard Edition (J2SE) environment
- Support for the Contexts and Dependency Injection (CDI) 1.0 specification at a runtime level that uses the Apache OpenWebBeans 1.x implementation. Using CDI, you are no longer required to write logic to maintain objects within a context. CDI provides integration between web application expression language beans and enterprise beans. You can declare an EJB to use within the context of an HTTP session and use in a scriptlet with a few lines of annotations,




further simplifying the logic required for handling the integration of web applications and EJB business logic. Combining this functionality with the ability to combine an EJB into a web application archive (WAR) file further simplifies web application development. Support for the CDI specification includes but is not limited to the following key capabilities:

- Typesafe dependency injection between components.
- Interceptors and decorators to extend the behavior of components using a typesafe interceptor bindings.
- An event notification model for loosely coupled components.
- A system programming interface (SPI) that enables portable extensions to integrate cleanly with the Java EE environment.
- A web conversation context in addition to the standard web contexts, request, session, and application.
- Integration with the Unified Expression Language (EL) enables you to use EJB 3.0 components as JavaServer Faces (JSF) managed beans; thereby unifying the JSF and EJB component models.
-  The EJB component model is enhanced with contextual life cycle management.
- Support for the Java Connector Architecture (JCA) Version 1.6 specification which includes but is not limited to the following key capabilities:
  -  Use annotation support in Resource Adapters (RA) to define and configure common elements. You can use annotations in conjunction with, or instead of, traditional XML deployment descriptors.
  -  Support for bean validation. Resource Adapters can also use constraint annotations to easily perform validation of bean fields.
  -  Support for generic work context to provide a standard means for systems to communicate with a resource adapter to associate context with work submission or message delivery.
  -  Support for security context provides end-to-end security for external systems to communicate with resource adapters including propagation of a security principal to a message endpoint and execution of work in the security context of an established identity.
- Support for the Java Persistence API (JPA) 2.0 specification. The JPA for WebSphere Application Server implementation is based on Apache OpenJPA 2.x and includes the type-safe Dynamic Criteria API and associated Metamodel API, integration with the new bean validation feature, and object relational mapping enhancements for associated JPQL updates. Runtime environment enhancements, such as pessimistic lock manager, second level (L2) cache, and performance are also included.
  - Support for Dynamic cache JPA L2 cache provider for OpenJPA 2.0. A new OpenJPA plug-in is provided to enable you to use the dynamic cache service as an L2 cache provider for OpenJPA 2.0. The DynaCache OpenJPA plugin provides advanced monitoring and administration of the data and query caches along with multi-JVM caching that leverages the data replication service. All cache monitoring tools in the application server, such as the cache monitor and the DynaCache MBean, work with the OpenJPA L2 data and query caches.
- Support for key elements of Web Application Technologies, including:
  - JavaServer Faces (JSF) 2.0 at a runtime level that uses the Apache MyFaces 2.0 implementation
  - JavaServer Pages (JSP) 2.2 and Unified Expression Language (EL) 2.2 specifications
  - Java Servlet 3.0 specification
    -  The dynamic cache service provides servlet caching support for the Java Servlet 3.0 specification.

- Support for the Web Services for Java EE (JSR 109) Version 1.3 specification.
- Support for the JAX-WS Version 2.2 specification, which includes but is not limited to the following key capabilities:
  -  Support for specifying transport-level security for Web Services Description Language (WSDL) data acquisitions on the client side.
  -  Support for specifying policy sets and bindings for a service reference that is different from the policy set attachment for the service.
  -  Support for enabling and configuring WS-Addressing support on a client or service by adding WS-Policy assertions into the WSDL document.
- Support for the JAXB Version 2.2 specification.
- Support for Java API for RESTful Web Services (JAX-RS) 1.1 to simplify development of server-side REST applications.
- Support for the HTTP servlets profile aspects of JSR 196: Java Authentication SPI for Containers (JASPI) specification. This support enables third-party security providers to handle the Java Platform, Enterprise Edition (Java EE) authentication of HTTP request and response messages destined for web applications.
- Support for java:global, java:app, and java:module Java Naming and Directory Interface (JNDI) names in applications that are deployed on stand-alone servers or managed servers where the entire application is deployed on one server.
-  Support for Bean Validation 1.0 enables you to place the validation logic in a single location and use it throughout the application.

### OSGi applications programming model

The OSGi applications programming model helps you develop and deploy modular applications that use both Java EE and OSGi technologies. You can design and build applications and suites of applications from coherent, multiversion, reusable OSGi bundles. Taking advantage of OSGi reduces complexity and provides control and flexibility to maintain and evolve your applications. Support for OSGi applications includes the following capabilities:

- Use the OSGi Enterprise Specification 4.2 Blueprint Container for declarative assembly of components. This support simplifies unit test outside of the application server.
- Compose isolated enterprise applications using multiple, multiversion bundles that have dynamic life cycles.
- Deploy applications in archive files that contain only application-specific content and metadata that points to shared bundles. This means that application archive files can be smaller and that only one copy of each shared bundle is loaded into memory.
- Extend application asset life, and improve reuse by deploying existing web application archives (WAR files) as OSGi web application bundles (WABs).
- Support applications that use versions of common utility classes, distinct from the versions used internally by WebSphere Application Server, without having to configure Java EE application class loader policies.
- Improve modularity and simplify application maintenance and upgrade, by using standard OSGi mechanisms to simultaneously load multiple versions of classes in the same application.
- Use an integrated bundle repository, and configure the locations of external repositories to support reuse through the provisioning of bundles to applications.
-  Deploy web applications that use the Java Servlet 3.0 Specification.
-  Extend and scale running applications, as business needs change, without changing the underlying application.
-  Update a running application, only impacting those bundles affected by the update.

### **Service Component Architecture (SCA) programming model**

Use the SCA support to increase reuse and accelerate innovative application delivery and management in Service-Oriented Architecture (SOA) environments. Key capabilities include:

- Extends existing application asset life and speed application delivery by creating service compositions using POJOs, EJB 2.1, EJB 3.0, EJB 3.1, OSGi applications and Spring components, Java Servlets, and JavaScript for AJAX.
- Speeds application integration efforts through binding support for Web Services, JMS, SCA and EJB 2.0, EJB 2.1, EJB 3.0, EJB 3.1 and OSGi applications components. SCA is the preferred approach for exposing OSGi applications for use with other programming models, such as JMS and EJB.
- Enables business logic to be exposed to Web 2.0 applications through JSON-RPC & ATOM web feeds.
- Speeds the integration of data across disparate systems through Java Architecture for XML Binding (JAXB) or Service Data Objects (SDO) 2.1.1 data representation.
- Improves deployment productivity through flexible service deployment as a standard Java Archive (JAR) .
- Separates business logic from implementation technology choices to enable flexible deployment options and ease future maintenance.
- Is derived from open SOA Collaboration (osoa.org) SCA 1.0 specifications.
- Is based on the open-source Apache Tuscany project with IBM and improves integration and ease of use.

### **Session Initiation Protocol (SIP) programming model**

Integrated SIP servlet support speeds development of converged communication-enhanced applications. This product includes support for SIP Servlet Specification 1.1, also referred to as Java™ Specification Request (JSR) 289. Key benefits include but are not limited to the following capabilities:

- Support for advanced application routing to provide application developers control over how messages are routed between applications.
- Support for multihomed environments to simultaneously support multiple interfaces from one cluster of SIP containers.
- Support for converged web services in a SIP container.
- A new utility object is provided with JSR 289 that makes it easier to build back-to-back user agent applications (B2BUA Helper) .
- Support for SIP annotations and resource injection.
- Support for standard interfaces for building converged application that incorporate both HTTP and SIP.
- Support for the Asynchronous Invocation API that provides a mechanism to send work to a SIP application session that can reside on any server in the cluster.
- Support for the RFC 3327 (Path), and RFC 3581 (Extension to the Session Initiation Protocol (SIP) for Symmetric Response Routing) is included. Also, partial support for RFC 5626 (Managing Client-Initiated Connections in SIP) is provided.




### **Fast, flexible, and simplified application foundation**

WebSphere Application Server, Version 8.0 includes the following highlights to improve productivity and help deliver improved total cost of ownership benefits:

- Simplified installation and maintenance
- Improved productivity
- Improved security
- High performance



## Simplified installation and maintenance

Achieve faster value through simplified product installations, updates, and uninstalls with integrated prerequisite and interdependency checking by using IBM Installation Manager.





- Installation Manager is a single installation program that loads and installs product components from a structured collection of files that is called a *repository*.
- Easily determine available product and maintenance packages, easily install selected packages after prerequisite and interdependency checking, and uninstall and roll back previously installed packages.
- Use remote or local repositories to install, modify, or update WebSphere Application Server, Version 8.0 products and related components such as:
  -  Application Client for IBM WebSphere Application Server
  -   Pluggable Application Client for IBM WebSphere Application Server
  - IBM HTTP Server Version 8.0
  - WebSphere Customization Toolbox
  - Edge Components
  - WebSphere DMZ Secure Proxy Server
  - Web Server Plug-ins
- Install and apply maintenance on remote computers using centralized installation manager (CIM). In WebSphere Application Server Version 8.0, you can use CIM from either the administrative console or the wsadmin tool to install Installation Manager instances, update Installation Manager with a repository, and manage Installation Manager offerings. CIM functions for managing WebSphere Application Server Version 8.0 are integrated with and performed by the job manager as jobs.

## Improved productivity

Increase your return on investment and improve the flexibility of your business by making application services more reusable and accessible to new users in an enterprise environment when you use the following enhancements:



-  Resource workload routing includes data source and connection factory fail over and subsequent fail back from a predefined alternate or backup resource. This function enables applications to easily recover from resource outages, such as database failures, without requiring you to embed alternate resource and configuration information. You can tailor the resource fail over and fail back flexible configuration options to meet your environment-specific and application needs.
-  Support for connecting to multi-instance WebSphere MQ queue managers. You can provide host and port information in the form of a connection name list, which a connection factory or activation specification uses to connect to a multi-instance queue manager.
- Support for singleton session enterprise beans as Java API for XML Web Services (JAX-WS) endpoints
- Specify a policy set and bindings for a service reference that is different from the policy set attachment for the service.
- Specify CustomProperties policy and binding to set generic properties that are not supported in other policy types. The CustomProperties policy provides an alternative way to set a binding property instead of using the JAX-WS programming model to set the property on the BindingProvider object.
- Simplified development of server-side REST applications using Java™ API for RESTful Web Services (JAX-RS).
- Support for using Java Contexts and Dependency Injection (JCDI) with JAX-RS. You can use JAX-RS root resources and providers in a JCDI-enabled web application archive. As part of Java Platform, Enterprise Edition (Java EE) 6, you can take advantage of the JCDI feature to make applications easier to develop while increasing maintainability.



- Extend the interactivity of Enterprise and Web commerce applications with Communications Enabled Applications (CEA) for integrated telephony and collaborative web services. Using a single core application, you can enable multiple modes of communication. The CEA capability delivers call control, notifications, and interactivity and provides the platform for more complex communications.
- Install, uninstall, and update enterprise application files by adding them to a monitored directory.
- Connect your applications to the latest versions of a wide array of industry-leading databases to enable maximum deployment flexibility. WebSphere Application Server Version 8.0 has been tested with the following new databases and JDBC drivers:
  - Derby 10.5
  -  DataDirect Connect for Java Database Connectivity (JDBC) driver V4.2
  -  Microsoft SQL Server Enterprise 2008 R2
  -  Microsoft SQL Server JDBC Driver, version 3.0
  -  Oracle 11g Standard Edition and Enterprise Release 2
  -  Oracle 11g Release 2 JDBC Driver

### Improved security

You can securely build and deliver applications and environments that use new capabilities in WebSphere Application Server Version 8.0 including the following features:

- Support for OASIS Web Services Security SAML Token Profile 1.1 usage scenarios that use SAML Assertions as security tokens in web services SOAP security to exchange client identity and other security information across security domains.
- Support for JSR 196: Java Authentication SPI for Containers (JASPI) specification, which enables third-party security providers to handle the Java Platform, Enterprise Edition (Java EE) authentication of HTTP request and response messages destined for web applications.
- Support for configuring federated repositories at the domain level in a multiple security domain environment.
- Propagate an LtpaToken2 cookie to downstream web single sign-on applications using an application programming interface (API).
-  Import a LTPA key set from a file and add it to the security runtime and configuration by using the `importLTPAKeys` command. You can also use the `exportLTPAKeys` command to export a LTPA key set that is currently being used by the runtime to a file.
- Generate SAML tokens and to propagate SAML tokens in SOAP messages using the Web Services Security application programming interfaces (WSS API). For web services clients, this is an alternative solution to using policy set attachments. Both SAML bearer subject confirmation method and SAML sender-vouches subject confirmation methods are supported.
- Request SAML tokens from an external Security Token Service (STS) and then propagate the SAML token in web services request messages from a web services client using WSS APIs. Both SAML bearer subject confirmation method and SAML sender-vouches subject confirmation methods are supported.
-  Manage self-issue SAML token configuration using `wsadmin` commands.
- Support for all security updates as defined in the Java Servlet 3.0 specification (JSR-315) , including the new servlet security annotations, use of new programmatic security APIs, and the dynamic updating of the servlet security configuration.
- Generate and consume tokens using WS-Trust Issue and WS-Trust Validate requests for JAX-WS web services that use Web Services Security. As a result of these requests, the login module issues, validates, or exchanges tokens with a WS-Trust Security Token Service, such as the service that is provided with the IBM Tivoli Federated Identity Manager.
- Enhancements to the security auditing service to assist with audit compliance.

- Security configuration report now includes information about session security, web attributes, and the HttpOnly setting to enable you to get a more complete view of your server security settings
- More security features for the server are enabled by default for enhanced security hardening including session security and requiring SSL for IOP communications.
- New browser attribute for single sign-on (SSO) LTPA cookies to prevent client-side applications (such as Java scripts) from accessing cookies to mitigate some cross-site scripting vulnerability.

### High performance

Achieve total cost of ownership benefits through unmatched performance and scalability characteristics including the following advantages:

- Provide high availability for a WebSphere MQ queue manager that is connected to WebSphere Application Server by specifying multiple connection names in your WebSphere Application Server definition for the WebSphere MQ link sender channel. If the active gateway queue manager fails, the service integration bus can use this information to reconnect to a standby gateway queue manager.
- Enhance performance by disabling WebSphere MQ functionality in WebSphere Application Server, provided you do not need to take advantage of any WebSphere MQ functionality. By default, when a WebSphere Application Server process or an application client process starts, and while this process is running, an amount of processing is done to support WebSphere MQ-related function, regardless of whether any WebSphere MQ-related function is ever used.
- Cutting-edge performance for real applications in commercial environments.
- A unified clustering framework that brings workload balancing, dependability, and other benefits to the application servers in your heterogeneous environment.




### Extensive Deployment Environments




WebSphere Application Server, Version 8.0 includes the following highlights to improve administration as you migrate existing applications and infrastructures to virtual and Cloud deployments:

- Effective management
- Simplified migration

#### Effective management

Focus resources on innovation instead of maintenance, and reduce the costs of managing your environment with effective and simplified management tools.

-  Complete job manager actions and run jobs from a deployment manager. The deployment manager administrative console has **Jobs** navigation tree choices similar to those in the job manager administrative console.
-  Collect Java dumps and core files using the administrative console to help diagnose memory-related or application server CPU utilization problems.
- Use the Reliability Availability and Serviceability (RAS) granularity capabilities to assign different RAS attribute values to different sets of requests within the same application server. This capability improves the reliability, availability, and serviceability of the application server and the requests it processes.
-  Use batch processing capabilities provided in the application server to accommodate applications that must perform batch work alongside transactional applications.
- Store and access log, trace, System.err, and System.out information produced by the application server or your applications quickly and conveniently using the High Performance Extensible Logging (HPEL) log and trace framework. HPEL logs can also be viewed with the new administrative console log viewing functionality, which uses Web 2.0 technology to provide a powerful tabular view and simple mechanisms to filter logs that are local or remote.

- Quickly recover a damaged node using the **-asExistingNode** parameter of the addNode command.
-  Access data from multiple components without causing timeouts or other unwanted situations by sharing locks between transaction branches. You can share locks between transaction branches when accessing data where multiple DB2 Java Database Connectivity (JDBC) connections exist between databases that are involved in the same transaction, from the same server, or from different servers.
- Define and register a remote host target with the job manager using the registerHost command. Unlike targets that are WebSphere Application Server profiles and are registered using the registerWithJobManager command at the deployment manager or administrative agent, a remote host target is not required to have any WebSphere Application Server products installed.
- Enhance the output of application properties files by running the AdminTask extractConfigProperties command with the SimpleOutputFormat option. The option enables you to easily locate and edit application property values.
-  Use the new enableClientModule option to deploy client modules.
- View an SCA composite definition by exporting it to a file using scripting, in addition to viewing the SCA composite definition in the administrative console. By exporting an SCA composite definition, you can preserve information about the composite.
- Export WSDL and XSD documents that are used by an SCA composition unit using the administrative console, in addition to using wsadmin scripting.
- Submit a job to manage profiles using the manageprofiles job of the job manager to create, augment, or delete a WebSphere Application Server profile.
- Use the job manager to install and apply maintenance on remote machines from the administrative console or the wsadmin tool using centralized installation manager (CIM).
-  Create and manage target groups using the TargetGroup command with the wsadmin tool.

### Simplified migration


Migrate your application server environment using the WASPreUpgrade and WASPostUpgrade command-line tools or the Migration Management tool graphical user interface (GUI). You can migrate from WebSphere Application Server Version 6.0, 6.1, and 7.0 to WebSphere Application Server Version 8.0.

### Integrated tooling

WebSphere Application Server, Version 8.0 includes the following highlights to accelerate application developer productivity through samples, and integrated and optimized tooling:

- Samples
- Integrated and optimized developer tooling

#### Samples

 WebSphere Application Server V8.0 samples are new and improved. Take advantage of the online samples to learn about many of the technologies that are available in this product, including Service Component Architecture (SCA), Communications Enabled Applications (CEA), OSGi applications, XML, and the Internationalization service. Even though you can continue to find key samples installed with the product, additional samples are available online, and you can access the samples in the information center.

#### Integrated and optimized developer tooling

Accelerate developer productivity through integrated and optimized developer tooling. Rational Application Developer Version 8.0.3 provides capabilities for you to develop applications,

assemble, and deploy your applications to WebSphere Application Server Version 8.0 , and includes a rapid-deployment feature for testing applications in the Version 8.0 runtime environment.

If you use Rational Application Developer tools, you must obtain compatible versions of both Rational Application Developer and WebSphere Application Server Version 8.0 from the following location: IBM Rational Application Developer for WebSphere Software.

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## Chapter 34. Overview and new features for administering applications and their environments

Use the links provided in this topic to learn about the administrative features.

### What is new for administrators

This topic provides an overview of new and changed features of system administration.

#### “Introduction: System administration”

This topic describes the administration of the product and the applications that run on it.

See also “Introduction: Environment” on page 1016 and Introduction: Variables.

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### What is new for administrators

This topic highlights what is new or changed, for users who are going to customize, administer, monitor, and tune production server environments. It also addresses those who are going to deploy and operate applications.

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### Introduction: System administration

You can administer your WebSphere Application Server product through scripts, command line tools, the administrative console, or the Java programming interface. You administer server processes, topological units referenced as nodes and cells, and the configuration repository where configuration information is stored in Extensible Markup Language (XML) files.

**Note:**  If you would prefer to browse PDF versions of this documentation using your Adobe Reader, see the **System Administration** PDF files available from [www.ibm.com/software/webservers/appserv/infocenter.html](http://www.ibm.com/software/webservers/appserv/infocenter.html).

A variety of tools, processes, and configuration files are provided for administering the product:

- 

#### **Administrative topology**

Servers, nodes and node agents, cells and the deployment manager are fundamental concepts in the administrative universe of the product. It is also important to understand the various processes in the administrative topology and the operating environment in which they apply.

For more information, refer to “Welcome to basic administrative architecture” on page 1012.

- **Console**

The administrative console is a graphical interface that provides many features to guide you through deployment and systems administration tasks. Use it to explore available management options.

For more information, refer to “Introduction: Administrative console” on page 1014.

- **Scripting**

The WebSphere administrative (wsadmin) scripting program is a powerful, non-graphical command interpreter environment enabling you to run administrative operations in a scripting language. You can also submit scripting language programs to run in batch mode. The wsadmin tool is intended for production environments and unattended operations.

For more information, refer to “Introduction: Administrative scripting (wsadmin)” on page 1014.

- **Command line tools**

Command-line tools are simple programs that you run from an operating system command-line prompt to perform specific tasks, as opposed to general purpose administration. Using the tools, you can start and stop application servers, check server status, add or remove nodes, and complete similar tasks.

For more information, refer to “Introduction: Administrative commands” on page 1015.

- **Programming**

The product supports a Java programming interface for developing administrative programs. All of the administrative tools supplied with the product are written according to the API, which is based on the industry standard Java Management Extensions (JMX) specification.

For more information, refer to “Introduction: Administrative programs” on page 1015.

- **Data**

Product configuration data resides in XML files that are manipulated by the previously-mentioned administrative tools.

For more information, refer to “Introduction: Administrative configuration data” on page 1015.

## **Welcome to basic administrative architecture**

The basic administrative architecture consists of software processes called servers, topological units referenced as nodes and cells, and the configuration repository used for storing configuration information. The application server, node agent server, deployment manager, administrative agent, and job manager interact to perform system administration.

This topic discusses basic concepts in the administrative architecture to help you understand system administration in a WebSphere Application Server environment.

Servers perform the actual running of the code. Several types of servers exist depending on the configuration. Each server runs in its own Java virtual machine (JVM). The application server is the primary runtime component in all WebSphere Application Server configurations. All WebSphere Application Server configurations can have one or more application servers. In some configurations, each application server functions as a separate entity. No workload distribution or common administration among application servers exists. In other configurations, workload can be distributed between servers and administration can be done from a central point.

A node is a logical group of WebSphere Application Server-managed server processes that share a common configuration repository. A node is associated with a single profile. A node does not necessarily have a one-to-one association with a system. One computer can host arbitrarily many nodes, but a node cannot span multiple computer systems. A node can contain zero or more application servers.

The configuration repository holds copies of the individual component configuration documents that define the configuration of a WebSphere Application Server environment. All configuration information is stored in .xml files.

A cell is a grouping of nodes into a single administrative domain. A cell can consist of multiple nodes, all administered from a deployment manager server. When a node becomes part of a cell (a federated node), a node agent server is created on the node to work with the deployment manager server to manage the WebSphere Application Server environment on that node.

When a node is a stand-alone node, not part of a cell, the configuration repository is fully contained on the node. When a stand-alone node is registered with an administrative agent, the configuration repository continues to be fully contained on the node. When a node is part of a cell, the configuration and application files for all nodes in the cell are centralized into a cell master configuration repository. This centralized repository is managed by the deployment manager server and synchronized to local copies that are held on each node. The local copy of the repository that is given to each node contains just the configuration information needed by that node, not the full configuration that is maintained by the deployment manager. When a deployment manager is registered with a job manager, the deployment manager continues to manage the centralized configuration repository.

## **WebSphere Application Server types**

This section discusses the server types that interact to perform system administration.

## Application server

The product provides functions that support and host user applications. An application server runs on only one node, but one node can support many application servers.

## Node agent

When a node is federated, a node agent is created and installed on that node. The node agent works with the deployment manager to perform administrative activities on the node.

## Deployment manager

With the deployment manager, you can administer multiple nodes from one centralized manager. The deployment manager works with the node agent on each node to manage all the servers in a distributed topology. Application server nodes are federated with the deployment manager before they can be managed by the deployment manager.

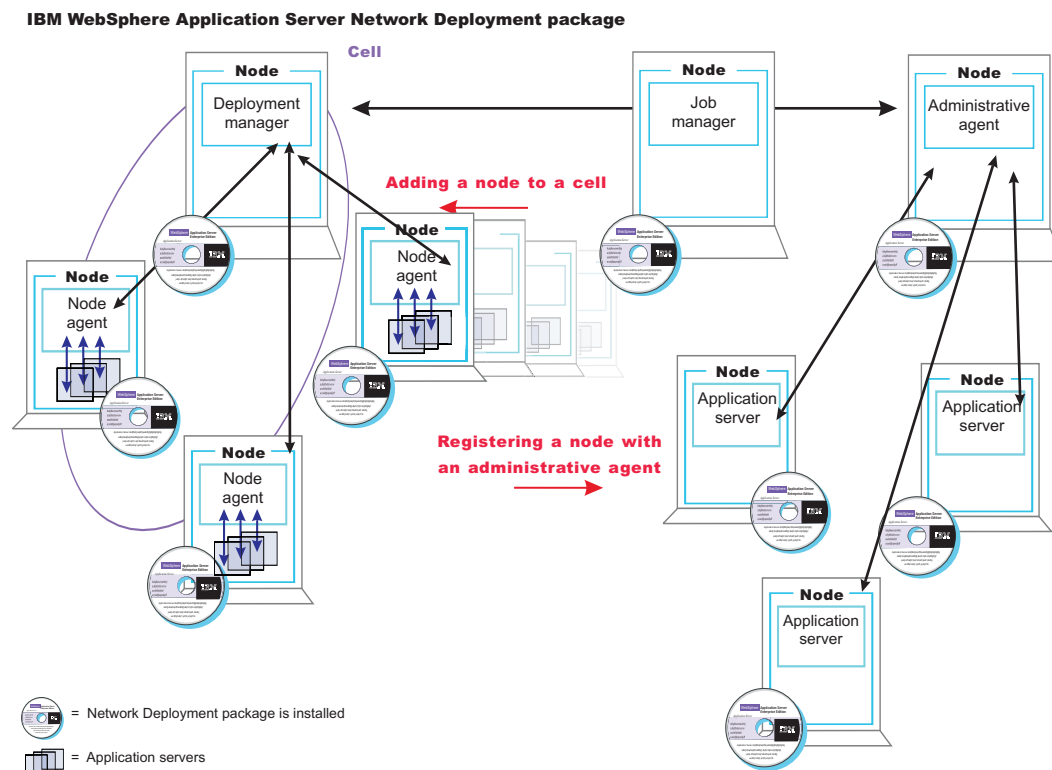
## Administrative agent

An administrative agent provides a single interface to administer multiple unfederated application server nodes in environments such as development, unit test, or that portion of a server farm that resides on a single computer. Application servers nodes are registered with the administrative agent before they can be managed by the administrative agent.

## Job manager

In a flexible management environment, a job manager enables you to submit administrative jobs asynchronously for application server nodes registered to administrative agents and for deployment managers. Application server nodes that the administrative agent or deployment manager manage must be registered with the job manager before the job manager can manage them.

The following diagram depicts the concepts that are discussed in this topic.



The concepts that are discussed in this topic form the basis of WebSphere Application Server administration. More detailed descriptions can be found in other sections.

## Introduction: Administrative console

The administrative console is a graphical interface that allows you to manage your applications and perform system administration tasks for your WebSphere Application Server environment. The administrative console runs in your web browser.

Your actions in the console modify a set of XML configuration files.

You can use the administrative console to perform tasks such as:

- Add, delete, start, and stop application servers
- Deploy new applications to a server
- Start and stop existing applications, and modify certain configurations
- Add and delete Java Platform, Enterprise Edition (Java EE) resource providers for applications that require data access, mail, URLs, and so on
- Manage variables, shared libraries, and other configurations that can span multiple application servers
- Configure product security, including access to the administrative console
- Collect data for performance and troubleshooting purposes
- Find the product version information. It is located on the front page of the console.

Starting and logging off the administrative console helps you begin using the console so that you can explore the available options. See also the **Reference > Administrator > Settings** section of the information center navigation. It lists the settings or properties you can configure.

## Introduction: Administrative scripting (wsadmin)

The WebSphere administrative (wsadmin) scripting program is a powerful, non-graphical command interpreter environment enabling you to run administrative operations in a scripting language.

### About this task

The wsadmin tool is intended for production environments and unattended operations. You can use the wsadmin tool to perform the same tasks that you can perform using the administrative console.

The following list highlights the topics and tasks available with scripting:

### Procedure

- Getting started with scripting Provides an introduction to WebSphere Application Server scripting and information about using the wsadmin tool. Topics include information about the scripting languages and the scripting objects, and instructions for starting the wsadmin tool.
- Using the Jython script library The script library provides Jython script procedures to assist in automating your environment. Use the sample scripts to manage applications, resources, servers, nodes, and clusters. You can also use the script procedures as examples to learn the Jython syntax.
- Deploying applications Provides instructions for deploying and uninstalling applications. For example, stand-alone Java archive files and web application archive (WAR) files, the administrative console, remote enterprise archive (EAR) files, file transfer applications, and so on.
- Managing deployed applications Includes tasks that you perform after the application is deployed. For example, starting and stopping applications, checking status, modifying listener address ports, querying application state, configuring a shared library, and so on.
- Configuring servers Provides instructions for configuring servers, such as creating a server, modifying and restarting the server, configuring the Java virtual machine, disabling a component, disabling a service, and so on.
- Configuring connections to web servers Includes topics such as regenerating the plug-in, creating new virtual host templates, modifying virtual hosts, and so on.



- **Managing servers** Includes tasks that you use to manage servers. For example, stopping nodes, starting and stopping servers, querying a server state, starting a listener port, and so on.
- **Clustering servers** Includes topics about clusters, such as creating clusters, creating cluster members, querying a cluster state, removing clusters, and so on.
- **Configuring security** Includes security tasks such as enabling and disabling security.
- **Configuring data access** Includes topics such as configuring a Java DataBase Connectivity (JDBC) provider, defining a data source, configuring connection pools, and so on.
- **Configuring messaging** Includes topics about messaging, such as Java Message Service (JMS) connection, JMS provider, WebSphere queue connection factory, MQ topics, and so on.
- **Configuring mail, URLs, and resource environment entries** Includes topics such as mail providers, mail sessions, protocols, resource environment providers, referenceables, URL providers, URLs, and so on.
- **Dynamic caching** Includes caching topics, for example, creating, viewing and modifying a cache instance.
- **Troubleshooting** Provides information about how to troubleshoot using scripting. For example, tracing, thread dumps, profiles, and so on.
- **Obtaining product information** Includes tasks such as querying the product identification.
- **Scripting reference material** Includes all of the reference material related to scripting. Topics include the syntax for the wsadmin tool and for the administrative command framework, explanations and examples for all of the scripting object commands, the scripting properties, and so on.

## Introduction: Administrative commands

Command line tools are simple programs that you run from an operating system command-line prompt to perform specific tasks, as opposed to general purpose administration. Using the tools, you can start and stop application servers, check server status, add or remove nodes, and complete similar tasks.

See **Reference > Administrator > Commands** in the information center navigation area for the names and syntax of all the commands that are available with the product. A subset of these commands is particular to system administration purposes.

## Introduction: Administrative programs

The Java Management Extensions (JMX) specification allows you to write Java programs to administer WebSphere Application Server.

The product supports a Java programming interface for developing administrative programs. All of the administrative tools supplied with the product are written according to the API, which is based on the industry standard Java Management Extensions (JMX) specification. You can write a Java program that performs any of the administrative features of the WebSphere Application Server administrative tools. You can also extend the basic WebSphere Application Server administrative system to include your own managed resources.

## Introduction: Administrative configuration data

WebSphere Application Server configuration data is kept in files. All administrative actions that you perform involve changes to these files.

Administrative tasks typically involve defining new configurations of the product or performing operations on managed resources within the environment. WebSphere Application Server configuration data is kept in files. Because all product configuration involves changing the content of those files, it is useful to know the structure and content of the configuration files.

The WebSphere Application Server product includes an implementation of the Java Management Extension (JMX) specification. All operations on managed resources in the product go through JMX

functions. This setup means a more standard framework underlying your administrative operations as well as the ability to tap into the systems management infrastructure programmatically.

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## Introduction: Environment

Your WebSphere Application Server product environment includes web server plug-ins, WebSphere Application Server variables, and other data objects. Configure values for settings in these categories using the Environment section of the administrative console.

### Web servers

In the WebSphere Application Server product, an application server works with a web server to handle requests for web applications. The application server and web server communicate using a WebSphere HTTP plug-in for the web server.

For more information, refer to “Introduction: Web servers” on page 1018.

### Cell-wide settings

Cell-wide settings are sets of configuration data that are stored in files in the cell directory. These configuration files are replicated to every node in the cell. Several different configuration settings apply to the entire cell. These settings include the definition of virtual hosts, shared libraries, and any variables that must be consistent throughout the entire cell.

For more information, refer to “Introduction: Cell-wide settings.”

### Variables

Variables come in many varieties. They are used to control settings and properties relating to the server environment. The three main types of variables that are important for you to understand are environment variables, WebSphere variables, and custom properties.

For more information, refer to Introduction: Variables.

## Introduction: Cell-wide settings

*Cell-wide settings* is a term that describes values that apply across the entire WebSphere Application Server configuration.

The configuration data files for WebSphere Application Server are XML files. The XML files exist in one of several directories in the configuration repository tree.

The directory in which a configuration file exists determines its scope, or how broadly or narrowly that data applies. Files in an individual server directory apply to that specific server only. Files in a node-level directory apply to every server on that node. Files in a cluster directory apply to the cluster members only. Files in the cell directory apply to every server on every node within the entire cell.

Cell-wide configuration files are replicated to every node in the cell. Several different configuration settings apply to the entire cell. These settings include the definition of virtual hosts, shared libraries, and some variables.

You can also set all of these values for a stand-alone application server profile as well.

## Heterogeneous cells in mixed platforms within a cell

Other operating systems can exist in the same Application Server cell. With careful planning, you can manage cells across different z/OS Sysplexes and different operating systems.

Cells can span z/OS sysplex environments and spanning other operating systems. For example, z/OS nodes, Linux nodes, UNIX nodes, and Windows nodes can exist in the same Application Server cell. This kind of configuration is referred to as a *heterogeneous* cell.

A heterogeneous cell does require significant planning. The Heterogeneous Cells – cells with nodes on mixed operating system platforms white paper outlines the planning and system considerations required to build a heterogeneous cell.

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## Introduction: Application servers

Application servers provide the core functionality of the WebSphere Application Server product family. Application servers extend the ability of a web server to handle Web application requests, and much more. An application server enables a server to generate a dynamic, customized response to a client request.

*Workload management* optimizes the distribution of client processing tasks. Incoming work requests are distributed to the application servers that can most effectively process the requests. Workload management also provides failover when servers are not available, improving application availability.

*Clusters* are sets of application servers that are managed together and participate in workload management. The servers that are members of a cluster can be on different host machines, as opposed to the servers that are part of the same node and must be located on the same host machine.

## Introduction: Application servers

An application server is a Java Virtual Machine (JVM) that runs user applications. The application server collaborates with the web server to return a dynamic, customized response to a client request. The client request can consist of servlets, JavaServer Pages (JSP) files, and enterprise beans, and their supporting classes.

For example, a user at a web browser visits a company website:

1. The user requests access to data in a database.
2. The user request flows to the web server.
3. The web server determines that the request involves an application containing resources not handled directly by the web server (such as servlets). It forwards the request to one of its application servers on which the application is running.
4. The invoked application then processes the user request. For example:
  - An application servlet prepares the user request for processing by an enterprise bean that performs the database access.
  - The application produces a dynamic web page containing the results of the user query.
5. The application server collaborates with the web server to return the results to the user at the web browser.

When you install the product, a default application server, named `server1`, is automatically created. You can use the administrative console to manage this server.

You can use the administrative console or `wsadmin` commands to create additional application servers that can either be separately configured processes or nearly identical clones. As with `server1`, you can use the administrative console to manage these additional servers.

You can improve system performance if you configure some of your application servers, such that each of their components are dynamically started as they are needed, instead of letting all of these components automatically start when the server starts. Selecting this option can improve server startup time, and reduce the memory footprint. Starting components as they are needed is most effective if all of the applications that are deployed on the application server are of the same type. For example, using this

option works better if all of your applications are web applications that use servlets, and JavaServer Pages (JSP). This option works less effectively if your applications use servlets, JSPs and Enterprise JavaBeans (EJB).

You can also perform the following tasks to enhance the operation of an application server:

- Configure transport chains to provide networking services to such functions as the service integration bus component of IBM service integration technologies, WebSphere Secure Caching Proxy, and the high availability manager core group bridge service.
- Add an interface to an application server to define a hook point that runs when the server starts and shuts down.
- Define command-line information that passes to a server when it starts or initializes.
- Tune the application server.
- Enhance the performance of the application server JVM.
- Configure an Object Request Broker (ORB) for RMI/IIOP communication.

## Asynchronous messaging

The product supports asynchronous messaging based on the Java Message Service (JMS) of a JMS provider that conforms to the JMS specification Version 1.1.

The JMS functions of the default message service that is provided with the product are served by one or more messaging engines (in a service integration bus) that runs within application servers.

## Generic Servers

A generic server is a server that is managed in the WebSphere administrative domain, although it is not a server that is supplied by the product. The generic server can be any server or process that is necessary to support the product environment.

## Introduction: Web servers

An application server works with a web server to handle requests for dynamic content, such as servlets, from web applications. A web server uses a web server plug-in to establish and maintain persistent HTTP and HTTPS connections with an application server.

The Supported Hardware and Software web page provides the most current information about supported web servers.

Implementing a web server plug-in describes how to set up your web server and web server plug-in environment and how to create a web server definition. The web server definition associates a web server with a previously defined managed or unmanaged node. After you define the web server to a node, you can use the administrative console to perform the following functions for that web server.

If a web server is defined to a managed node, you can:

- Check the status of the web server
- Generate a plug-in configuration file for that web server.
- Propagate the plug-in configuration file after it is generated.

If the web server is an IBM HTTP Server and the IBM HTTP Server Administration server is installed and properly configured, you can also:

- Display the IBM HTTP Server Error log (error.log) and Access log (access.log) files.
- Start and stop the server.
- Display and edit the IBM HTTP Server configuration file (httpd.conf).

If the web server is defined to an unmanaged node, you can:

- Check the status of the web server
- Generate a plug-in configuration file for that web server.

If the web server is an IBM HTTP Server and the IBM HTTP Server Administration server is installed and properly configured, you can also:

- Display the IBM HTTP Server Error log (error.log) and Access log (access.log) files.
- Start and stop the server.
- Display and edit the IBM HTTP Server configuration file (httpd.conf).
- Propagate the plug-in configuration file after it is generated.

You can not propagate an updated plug-in configuration file to a non-IBM HTTP Server that is defined to an unmanaged node. You must manually install an updated plug-in configuration file to a web server that is defined to an unmanaged node. Web servers defined to an unmanaged node are typically remote web servers. Remote web servers are web servers that are not located on the same machine as the WebSphere Application Server.

After you set up your web server and web server plug-in, whenever you deploy a web application, you must specify a web server as the deployment target that serves as a router for requests to the Web application. The configuration settings in the plug-in configuration file (plugin-cfg.xml) for each web server are based on the applications that are routed through that web server. If the web server plug-in configuration service is enabled, a web server plug-in's configuration file is automatically regenerated whenever a new application is associated with that web server.

**Note:** Before starting the web server, make sure you are authorized to run any Application Response Measurement (ARM) agent associated with that web server.

Refer to your web server documentation for information on how to administer that web server. For tips on tuning your web server plug-in, see *Web server plug-in tuning tips*.

## Introduction: Clusters

Clusters are groups of servers that are managed together and participate in workload management. A cluster can contain nodes or individual application servers. A node is usually a physical computer system with a distinct host IP address that is running one or more application servers. Clusters can be grouped under the configuration of a cell, which logically associates many servers and clusters with different configurations and applications with one another depending on the discretion of the administrator and what makes sense in their organizational environments.

Clusters are responsible for balancing workload among servers. Servers that are a part of a cluster are called cluster *members*. When you install an application on a cluster, the application is automatically installed on each cluster member. You can configure a cluster to provide workload balancing with service integration or with message driven beans in the application server.

Because each cluster member contains the same applications, you can distribute client tasks in distributed platforms according to the capacities of the different machines by assigning weights to each server.

In distributed platforms, assigning weights to the servers in a cluster improves performance and failover. Tasks are assigned to servers that have the capacity to perform the task operations. If one server is unavailable to perform the task, it is assigned to another cluster member. This reassignment capability has obvious advantages over running a single application server that can become overloaded if too many requests are made.

Cluster startup process options

Normal runtime processing automatically starts all server components during the server startup process. This processing applies to all servers, including servers that are part of a cluster. However, you can configure servers, including servers that are cluster members, such that not all of the server components start during the server startup process. This capability enables the server to consume resources as needed, thereby providing a smaller and more manageable footprint, and normally results in a performance improvement.

When you configure cluster members such that not all of the cluster member components start when the cluster or a specific cluster member is started, the cluster member components are dynamically started as they are needed. For example, if an application module starts that requires a specific server component, that component is dynamically started.

## Clusters and node groups

Any application you install to a cluster must be able to execute on any application server that is a member of that cluster. Because a node group forms the boundaries for a cluster, all of the members of a cluster must be members of the same node group. Therefore, for the application you deploy to run successfully, all of the members of a cluster must be located on nodes that meet the requirements for that application.

In a cell that has many different server configurations, it might be difficult to determine which nodes have the capabilities to host your application. A node group can be used to define groups of nodes that have enough in common to host members of a given cluster. All cluster members in a cluster must be in the same node group.

All nodes are members of at least one node group. When you create a cluster, the first application server you add to the cluster defines the node group within which all of the other cluster members must reside. All other cluster members you add to the cluster can only be on nodes that are members of this same node group. When you create a new cluster member in the administrative console, you are allowed to create the application server on a node that is a member of the node group for that cluster only.

Nodes can be members of multiple node groups. If the first cluster member you add to a cluster has multiple node groups defined, the system automatically chooses the node group that bounds the cluster. You can change the node group by modifying the cluster settings. Use the Server cluster settings page to change the node group.

## Clusters and core groups

In a high availability environment, a group of clusters can be defined as a *core group*. All of the application servers defined as a member of one of the clusters included in a core group are automatically members of that core group. Individual application servers that are not members of a cluster can also be defined as a member of a core group. The use of core groups enables WebSphere Application Server to provide high availability for applications that must always be available to end users. You can also configure core groups to communicate with each other using the *core group bridge*. The core groups can communicate within the same cell or across cells.

## Cluster members

You can improve system performance if you configure each cluster member, such that each of their components are dynamically started as they are needed instead of letting all of these components automatically start when the cluster member starts. Selecting this option can improve cluster startup time, and reduce the memory footprint of the cluster members. Starting components as they are needed is most effective if all of the applications that are deployed on the cluster are of the same type. For example, using this option works better if all of your applications are web applications that use servlets, and JavaServer Pages (JSP). This option works less effectively if your applications use servlets, JSPs and Enterprise JavaBeans (EJB).

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## Mail, URLs, and other J2EE resources

The product supports all of the resources defined by the Java Platform, Enterprise Edition (Java EE). It adds the following resources in support of service extensions:

- Schedulers
- Work managers
- Object pools

### Data access (JDBC and J2C)

The J2EE Connector architecture defines a standard architecture that enables the integration of various enterprise information systems (EIS) with application servers and enterprise applications. It defines a standard resource adapter used by a Java application to connect to an EIS. This resource adapter can plug into the application server and, through the Common Client Interface (CCI), provide connectivity between the EIS, the application server, and the enterprise application.

For more information, refer to “Data access resources.”

### Messaging

The product supports asynchronous messaging as a method of communication based on the Java Message Service (JMS) programming interface. The base JMS support enables the product applications to exchange messages asynchronously with other JMS clients by using JMS destinations (queues or topics).

For more information, refer to “Messaging resources” on page 1022.

### Mail

Using JavaMail API, a code segment can be embedded in any Java EE application component, such as an EJB or a servlet, allowing the application to send a message and save a copy of the mail to the Sent folder.

For more information, refer to JavaMail API.

### URLs

Java EE applications can use URLs as resources in the same way other Java EE resources, such as JDBC and JavaMail, are used.

For more information, refer to “URLs” on page 210.

### Resource environment entries

A resource environment reference maps a logical name used by the client application to the physical name of an object.

For more information, see Configuring new resource environment entries to map logical environment resource names to physical names.

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## Data access resources

These topics provide information about accessing data resources.

The connection between an enterprise application and an enterprise information system (EIS) is accomplished through the use of EIS-provided resource adapters, which are plugged into the application server. The resource adapter plays a central role in the integration and connectivity between an EIS and

an application server. It serves as the point of contact between application components, application servers, and enterprise information systems. A resource adapter must communicate with other components based on well-defined contracts that are specified by the Java Platform, Enterprise Edition (Java EE) Connector Architecture (JCA).

**Note:** Generic inflow context enables a resource adapter to control the execution context of the Work instances it submits to the application server. By submitting a Work instance that implements the WorkContextProvider interface, a resource adapter can provide various types of context to the WebSphere Application Server. If the application server supports the provided context types, the generic work context mechanism sets the work contexts as the execution context of the Work instance. The context remains effective during the execution the Work instance.

**Note:** Security inflow context uses generic work context by enabling a resource adapter to establish security information in the execution context of the Work instances that it submits to the application server. By submitting a Work instance that provides context types by implementing the new standardized SecurityContext interface, the application can establish and set an execution context containing the security identities and credentials for a Work instance. The identities and credentials remain effective during the execution of the Work instance, ensuring secure message delivery to Java EE message endpoints.

WebSphere Application Server supports work context types that implement the new standardized SecurityContext, TransactionContext and HintsContext interfaces. The generic inflow context mechanism accepts implementations of the HintsContext interface, but the application server does not act upon these implementations of the HintsContext interface. The security inflow context mechanism does not map user identities from the EIS domain to identities in an application server domain. Identities provided by implementations of SecurityContext must be in a security domain of application server.

Consult the following concept, reference, and task files for more overview information.

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## Messaging resources

WebSphere Application Server supports asynchronous messaging based on the Java Message Service (JMS) and Java EE Connector Architecture (JCA) specifications, which provide a common way for Java programs (clients and Java EE applications) to create, send, receive, and read asynchronous requests, as messages. Applications can use point-to-point and publish/subscribe messaging. These styles of messaging can be used in the following ways: one-way; request and response; one-way and forward.

JMS support enables applications to exchange messages asynchronously with other JMS clients by using JMS destinations (queues or topics). Some messaging providers also allow WebSphere Application Server applications to use JMS support to exchange messages asynchronously with non-JMS applications; for example, WebSphere Application Server applications often need to exchange messages with WebSphere MQ applications. Applications can explicitly poll for messages from JMS destinations, or they can use message-driven beans to automatically retrieve messages from JMS destinations without explicitly polling for messages. Message-driven beans can be configured as listeners on a Java EE Connector Architecture (JCA) 1.5 resource adapter or against a listener port (as for WebSphere Application Server Version 5).

WebSphere Application Server supports the following messaging providers:

- The WebSphere Application Server default messaging provider (which uses service integration as the provider)
- The WebSphere MQ messaging provider (which uses your WebSphere MQ system as the provider)
- Third-party messaging providers that implement either a JCA Version 1.5 resource adapter or the ASF component of the JMS Version 1.0.2 specification



Your applications can use messaging resources from any of these JMS providers. The choice of provider is most often dictated by requirements to use or integrate with an existing messaging system. For example, you might already have a messaging infrastructure based on WebSphere MQ. In this case, you can either connect directly by using the WebSphere MQ messaging provider, or configure a service integration bus with links to a WebSphere MQ network and then access the bus through the default messaging provider.

If you mainly want to use messaging between applications in WebSphere Application Server, perhaps with some interaction with a WebSphere MQ system, the default messaging provider is a logical choice. If your business also uses WebSphere MQ, and you want to integrate WebSphere Application Server messaging applications into a predominately WebSphere MQ network, choose the WebSphere MQ messaging provider. To administer a third-party messaging provider, you use either the resource adaptor (for a Java EE Connector Architecture (JCA) 1.5-compliant messaging provider) or the client (for a non-JCA messaging provider) that is supplied by the third party.

For more information, see “Introduction: Messaging resources” on page 1098.



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## Chapter 35. Overview and new features for securing applications and their environment

Use the links provided in this topic to learn more about the security infrastructure.

### What is new for security specialists

This topic provides an overview of new and changed features in security.

#### “Security”

This topic describes how IBM WebSphere Application Server provides security infrastructure and mechanisms to protect sensitive Java Platform, Enterprise Edition (Java EE) resources and administrative resources and to address enterprise end-to-end security requirements on authentication, resource access control, data integrity, confidentiality, privacy, and secure interoperability.

#### “Security planning overview” on page 1060

Several communication links are provided from a browser on the Internet, through web servers and product servers, to the enterprise data at the back-end. This topic examines some typical configurations and common security practices. WebSphere Application Server security is built on a layered security architecture. This section also examines the security protection offered by each security layer and common security practice for good quality of protection in end-to-end security.

### Samples

The Samples documentation offers:

- **Login - Form Login**

The Form Login Sample demonstrates a very simple example of how to use the login facilities for WebSphere Application Server to implement and configure login applications. The Sample uses the Java Platform, Enterprise Edition (Java EE) form-based login technology to customize the look and feel of the login screens. It uses servlet filters to log the user information and the date information. The Sample finishes the session by using the form-based logout function, an IBM extension to the Java EE specification.

- **Login - JAAS Login**

The JAAS Login Sample demonstrates how to use the Java Authentication and Authorization Service (JAAS) with WebSphere Application Server. The Sample uses server-side login with JAAS to authenticate a real user to the WebSphere security run time. Based upon a successful login, the WebSphere security run time uses the authenticated Subject to perform authorization checks on a protected stateless session enterprise bean. If the Sample runs successfully, it displays all the principals and public credentials of the authenticated user.

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## Security

The following information provides an overview of security in WebSphere Application Server.

IBM WebSphere Application Server provides security infrastructure and mechanisms to protect sensitive Java 2 Platform, Enterprise Edition (J2EE) resources and administrative resources. It also addresses enterprise end-to-end security requirements on:

- Authentication
- Resource access control
- Data integrity
- Confidentiality
- Privacy
- Secure interoperability

IBM WebSphere Application Server security is based on industry standards and has an open architecture that ensures secure connectivity and interoperability with Enterprise Information Systems (EIS) including:

- Database 2 (DB2)
- CICS
- Information Management System (IMS)
- MQ Series
- Lotus Domino®
- IBM Directory

WebSphere Application Server also supports other security providers including:

- Reverse secure proxy server including WebSEAL

## Based on industry standards

IBM WebSphere Application Server provides a unified, policy-based, and permission-based model for securing web resources, web service endpoints, and enterprise JavaBeans according to J2EE specifications. Specifically, WebSphere Application Server complies with J2EE specification Version 1.4 and has passed the J2EE Compatibility Test Suite.

WebSphere Application Server security is a layered architecture built on top of an operating system platform, a Java virtual machine (JVM), and Java 2 security. This security model employs a rich set of security technology including the:

- Java 2 security model, which provides policy-based, fine-grained, and permission-based access control to system resources.
- Common Secure Interoperability Version 2 (CSIv2) security protocol, in addition to the Secure Authentication Services (SAS) security protocol. Both protocols are supported by prior WebSphere Application Server releases. CSIv2 is an integral part of the J2EE 1.4 specification and is essential for interoperability among application servers from different vendors and with enterprise CORBA services.

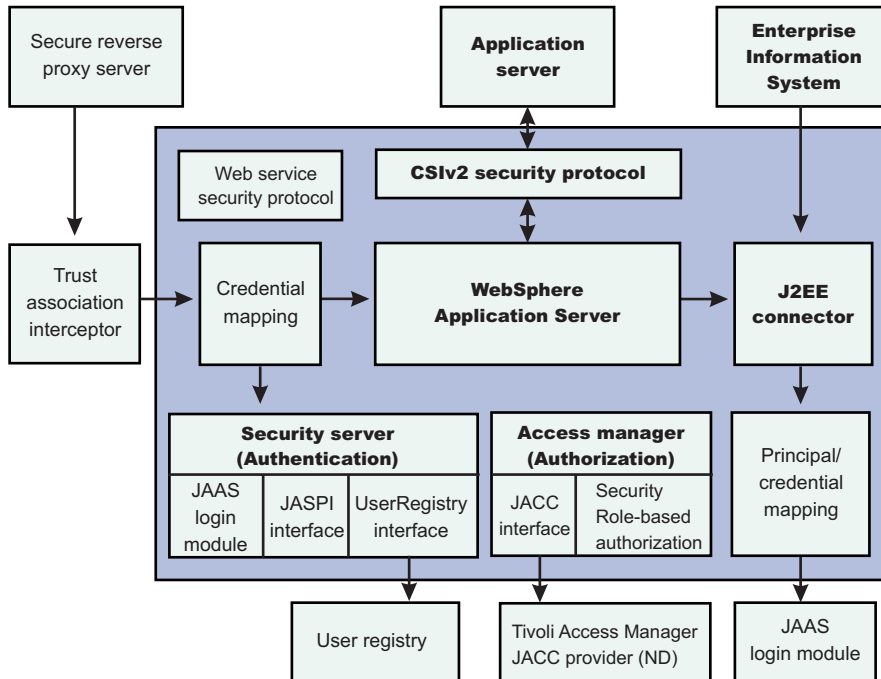
**Important:** SAS is supported only between Version 6.0.x and previous version servers that have been federated in a Version 6.1 cell.

- Java Authentication and Authorization Service (JAAS) programming model for Java applications, servlets, and enterprise beans.
- J2EE Connector architecture for plugging in resource adapters that support access to Enterprise Information Systems.

The standard security models and interfaces that support secure socket communication, message encryption, and data encryption are the Java Secure Socket Extension (JSSE) and the Java Cryptographic Extension (JCE).

## Open architecture paradigm

An application server plays an integral part in the multiple-tier enterprise computing framework. IBM WebSphere Application Server adopts the open architecture paradigm and provides many plug-in points to integrate with enterprise software components. Plug-in points are based on standard J2EE specifications wherever applicable.



The dark blue shaded background indicates the boundary between the WebSphere Application ServerVersion 8.0 and other business application components.

WebSphere Application Server provides Simple WebSphere Authentication Mechanism (SWAM), Lightweight Third Party Authentication (LTPA), and Kerberos as the authentication mechanisms. Exactly one user registry implementation can be configured to be the active user registry of WebSphere Application Server security domain. WebSphere Application Server provides the following user registry implementations: UNIX, Windows, and IBM i local OS and Lightweight Directory Access Protocol (LDAP). It also provides file-based and Java database connectivity (JDBC)-based user registry reference implementations. It supports a flexible combination of authentication mechanisms and user registries. SWAM is simple to configure and is useful for a single application server environment. It is possible to use SWAM in a distributed environment if identity assertion is enabled. The identity assertion feature is available only on the CSiv2 security protocol.

**Note:** SWAM was deprecated in a previous release of WebSphere Application Server, and will be removed in a future release.

The LTPA authentication mechanism is designed for all platforms security. Downstream servers can validate the security token. It also supports setting up a trust association relationship with reverse secure proxy servers and single sign-on (SSO), which is discussed later. Besides the combination of LTPA and LDAP or Custom user registry interface, Version 6.x or higher supports LTPA with a LocalOS user registry interface. The new configuration is particularly useful for a single node with multiple application servers. It can function in a distributed environment if the local OS user registry implementation is a centralized user registry, such as Windows Domain Controller, or can be maintained in a consistent state on multiple nodes.

WebSphere Application Server supports the J2EE Connector architecture and offers container-managed authentication. It provides a default Java 2 Connector (J2C) principal and credential mapping module that maps any authenticated user credential to a password credential for the specified Enterprise Information Systems (EIS) security domain. The mapping module is a special JAAS login module designed according to the Java 2 Connector and JAAS specifications. Other mapping login modules can be plugged in.

## Authentication mechanisms

In WebSphere Application Server , the following authentication mechanisms are supported:

- Lightweight Third Party Authentication (LTPA)  
Lightweight Third Party Authentication generates a security token for authenticated users, which can be used to represent that authenticated user on subsequent calls to the same or other servers within a single sign-on (SSO) domain.
- Kerberos  
Security support for Kerberos as the authentication mechanism has been added for this release of WebSphere Application Server. Kerberos is a mature, flexible, open, and very secure network authentication protocol. Kerberos includes authentication, mutual authentication, message integrity and confidentiality and delegation features.
- Simple WebSphere Authentication Mechanism (SWAM)  
SWAM is simple to configure and is useful for a single application server environment, but forces a user ID and password authentication for each request.

**Note:** SWAM was deprecated in a previous release of WebSphere Application Server, and will be removed in a future release.

## IIOIP authentication protocols

IIOIP Authentication protocol refers to the mechanisms used to authenticate requests from a Java Client to a WebSphere Application Server for z/OS, or between J2EE Application Servers. Common Secure Interoperability Version 2 (CSIV2) is implemented in WebSphere Application Server for z/OS Version 6.x or later and is considered the strategic protocol.

## Web Services Security

WebSphere Application Server enables you to secure web services based upon the Organization for the Advancement of Structured Information Standards (OASIS) Web Services Security Version 1.1 specification. These standards address how to provide protection for messages exchanged in a web service environment. The specification defines the core facilities for protecting the integrity and confidentiality of a message and provides mechanisms for associating security-related claims with the message.

## Trust associations

Trust association enables you to integrate third-party security servers with IBM WebSphere Application Server security. More specifically, a reverse proxy server can act as a front-end authentication server while the WebSphere Application Server applies its own authorization policy onto the resulting credentials that are passed by the proxy server. The reverse proxy server applies its authentication policies to every web request that is dispatched to WebSphere Application Server. The products that implement trust association interceptors (TAI) include:

- IBM Tivoli Access Manager for e-business
- WebSEAL
- Caching Proxy

For more information on using trust association, refer to Trust associations.

## Security attribute propagation

Security attribute propagation enables WebSphere Application Server to transport security attributes from one server to another in your configuration. Security attributes include authenticated subject contents and security context information. WebSphere Application Server can obtain these security attributes from either:

- An enterprise user registry that queries static attributes
- A custom login module that can query static or dynamic attributes

Security attribute propagation provides propagation services using Java serialization for any objects that are contained in the subject. For more information on using security attribute propagation, refer to Security attribute propagation.

## Single sign-on interoperability mode

In WebSphere Application Server, the interoperability mode option enables Single Sign-on (SSO) connections between WebSphere Application Server version 6.1.x or later to interoperate with previous versions of the application server. When you select this option, WebSphere Application Server adds the old-style LtpaToken into the response so that it can be sent to other servers that work only with this token type. This option applies only when the web inbound security attribute propagation option is enabled. For more information on single sign-on, refer to Implementing single sign-on to minimize web user authentications

## Security for J2EE resources using web containers and EJB containers

Each container provides two kinds of security: *declarative security* and *programmatic security*. In declarative security, the security structure of an application, including data integrity and confidentiality, authentication requirements, security roles, and access control, is expressed in a form external to the application. In particular the deployment descriptor is the primary vehicle for declarative security in the J2EE platform. WebSphere Application Server maintains a J2EE security policy, including information derived from the deployment descriptor and specified by deployers and administrators in a set of XML descriptor files. At run time, the container uses the security policy defined in the XML descriptor files to enforce data constraints and access control. When declarative security alone is not sufficient to express the security model of an application, the application code can use programmatic security to make access decisions. The application programming interface (API) for programmatic security consists of two methods of the Enterprise JavaBeans (EJB) EJBContext interface (`isCallerInRole`, `getCallerPrincipal`) and three methods of the servlet `HttpServletRequest` interface (`isUserInRole`, `getUserPrincipal`, `getRemoteUser`).

## Java 2 security

WebSphere Application Server supports the Java 2 security model. System codes such as the administrative subsystem, the web container, and the EJB container, are running in the WebSphere Application Server security domain, which in the present implementation are granted with `AllPermission` and can access all system resources. Application code running in the application security domain, which by default is granted with permissions according to J2EE specifications, can access only a restricted set of system resources. WebSphere Application Server run-time classes are protected by the WebSphere Application Server class loader and are kept invisible to application code.

## Java 2 Platform, Enterprise Edition Connector security

WebSphere Application Server supports the J2EE Connector architecture and offers container-managed authentication. It provides a default J2C principal and credential mapping module that maps any authenticated user credential to a password credential for the specified Enterprise Information Systems (EIS) security domain.

All of the application server processes, by default, share a common security configuration, which is defined in a cell-level security XML document. The security configuration determines whether WebSphere Application Server security is enforced, whether Java 2 security is enforced, the authentication mechanism and user registry configuration, security protocol configurations, JAAS login configurations, and Secure Sockets Layer configurations. Applications can have their own unique security requirements. Each application server process can create a per server security configuration to address its own security requirement or be mapped to a WebSphere Security domain. Not all security configurations can be modified at the application server level. Some security configurations that can be modified at application server level include whether application security should be enforced, whether Java 2 security should be enforced, and security protocol configurations. WebSphere Security domains allow for more control over the security configuration and can be mapped to individual servers. Read about Multiple security domains for more information.

The administrative subsystem security configuration is always determined by the cell level security document. The web container and EJB container security configuration are determined by the optional per server level security document, which has precedence over the cell-level security document.

Security configuration, both at the cell level and at the application server level, are managed either by the Web-based administrative console application or by the appropriate scripting application.

## Web security

When a security policy is specified for a web resource and IBM WebSphere Application Server security is enforced, the web container performs access control when the resource is requested by a web client. The web container challenges the web client for authentication data if none is present according to the specified authentication method, ensures that the data constraints are met, and determines whether the authenticated user has the required security role. WebSphere Application Server supports the following login methods:

- HTTP basic authentication
- HTTPS client authentication
- Form-based Login
- Simple and Protected GSS-API Negotiation (SPNEGO) token

Mapping a client certificate to a WebSphere Application Server security credential uses the UserRegistry implementation to perform the mapping.

On WebSphere Application Server, Express<sup>®</sup>, the local OS user registry does not support the mapping function.

When the LTPA authentication mechanism is configured and single sign-on (SSO) is enabled, an authenticated client is issued a security cookie, which can represent the user within the specified security domain.

It is recommended that you use Secure Sockets Layer (SSL) to protect the security cookie or Basic Authentication information from being intercepted and replayed. When a trust association is configured, WebSphere Application Server can map an authenticated user identity to security credentials based on the trust relationship established with the secure reverse proxy server.

When considering web security collaborators and EJB security collaborators:

1. The web security collaborator enforces role-based access control by using an access manager implementation. An access manager makes authorization decisions based on the security policy derived from the deployment descriptor. An authenticated user principal can access the requested Servlet or JSP file if the user principal has one of the required security roles. Servlets and JSP files



can use the `HttpServletRequest` methods: `isUserInRole`, `getUserPrincipal`, and `getRemoteUser`. As an example, the administrative console uses the `isUserInRole` method to determine the proper set of administrative functionality to expose to a user principal.

2. The EJB security collaborator enforces role-based access control by using an access manager implementation. An access manager makes authorization decisions based on the security policy derived from the deployment descriptor. An authenticated user principal can access the requested EJB method if it has one of the required security roles. EJB code can use the `EJBContext` methods `isCallerInRole` and `getCallerPrincipal`. EJB code also can use the JAAS programming model to perform JAAS login and `WSSubject` `doAs` and `doAsPrivileged` methods. The code in the `doAs` and `doAsPrivileged` `PrivilegedAction` block executes under the Subject identity. Otherwise, the EJB method executes under either the `RunAs` identity or the caller identity, depending on the `RunAs` configuration.

## EJB security

When security is enabled, the EJB container enforces access control on EJB method invocation. The authentication takes place regardless of whether a method permission is defined for the specific EJB method.

A Java application client can provide the authentication data in several ways. Using the `sas.client.props` file, a Java client can specify whether to use a user ID and password to authenticate or to use an SSL client certificate to authenticate. The client certificate is stored in the key file or in the hardware cryptographic card, as defined in a `sas.client.props` file. The user ID and password can be optionally defined in the `sas.client.props` file.

At run time, the Java client can either perform a programmatic login or perform a *lazy authentication*.

In lazy authentication when the Java client is accessing a protected enterprise bean for the first time, the security run time tries to obtain the required authentication data. Depending on the configuration setting in `sas.client.props` file the security runtime either looks up the authentication data from this file or prompts the user. Alternatively, a Java client can use programmatic login. WebSphere Application Server supports the JAAS programming model and the JAAS login (`LoginContext`) is the recommended way of programmatic login. The `login_helper` `request_login` helper function is deprecated in Version 6.x and Version 8.0. Java clients programmed to the `login_helper` APT can run in this version.

The EJB security collaborator enforces role-based access control by using an access manager implementation.

An access manager makes authorization decisions based on the security policy derived from the deployment descriptor. An authenticated user principal can access the requested EJB method if it has one of the required security roles. EJB code can use the `EJBContext` methods `isCallerInRole` and `getCallerPrincipal`. EJB code also can use the JAAS programming model to perform JAAS login and `WSSubject` `doAs` and `doAsPrivileged` methods. The code in the `doAs` and `doAsPrivileged` `PrivilegedAction` block executes under the Subject identity. Otherwise, the EJB method executes under either the `RunAs` identity or the caller identity, depending on the `RunAs` configuration. The J2EE `RunAs` specification is at the enterprise bean level. When `RunAs` identity is specified, it applies to all bean methods. The method level IBM `RunAs` extension introduced in Version 4.0 is still supported in this version.

## Federal Information Processing Standards-approved

Federal Information Processing Standards (FIPS) are standards and guidelines issued by the National Institute of Standards and Technology (NIST) for federal computer systems. FIPS are developed when there are compelling federal government requirements for standards, such as for security and interoperability, but acceptable industry standards or solutions do not exist.

WebSphere Application Server integrates cryptographic modules including Java Secure Socket Extension (JSSE) and Java Cryptography Extension (JCE), which have undergone FIPS 140-2 certification. Throughout the documentation and the WebSphere Application Server, the IBM JSSE and JCE modules that have undergone FIPS certification are referred to as IBMJSSEFIPS and IBMJCEFIPS, which distinguishes the FIPS modules from the IBM JSSE and IBM JCE modules.

For more information, refer to Configuring Federal Information Processing Standard Java Secure Socket Extension files.

The IBMJCEFIPS module supports the following symmetric cipher suites:

- AES (FIPS 197)
- TripleDES (FIPS 46-3)
- SHA1 Message Digest algorithm (FIPS 180-1)

The IBMJCEFIPS module supports the following algorithms:

- Digital Signature DSA and RSA algorithms (FIPS 186-2)
- ANSI X 9.31 (FIPS 186-2)
- IBM Random Number Generator

The IBMJCEFIPS cryptographic module contains the algorithms that are approved by FIPS, which form a proper subset of those in the IBM JCE modules.

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## What is new for security specialists

This version contains many new and changed features for those who are responsible for securing applications and the application serving environment.

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## What is new for securing web services

In WebSphere Application Server, there are many security enhancements for web services. The enhancements include supporting sections of the Web Services Security (WS-Security) specifications and providing architectural support for plugging in and extending the capabilities of security tokens.

### Enhancements from the supported Web Services Security specifications

Since September 2002, the Organization for the Advancement of Structured Information Standards (OASIS) has been developing the Web Services Security (WS-Security) for SOAP message standard.

In April 2004, OASIS released the Web Services Security Version 1.0 specification, which is a major milestone for securing web services. In February 2006, the specification was updated to Version 1.1. This specification is the foundation for other Web Services Security specifications and is also the basis for the Basic Security Profile (WS-I BSP) Version 1.0 specification, which was approved in March 2007. See the Basic Security Profile web page for more information.

Web Services Security Version 1.1 is a strategic move towards Web Services Security inter-operability, and an important part of the Web Services Security roadmap. For more information on the Web Services Security roadmap, see Security in a Web Services World: A Proposed Architecture and Roadmap.

WebSphere Application Server supports the following OASIS specifications and WS-I profiles:

- OASIS: Web Services Security: SOAP Message Security 1.1 (WS-Security 2004)
- OASIS: Web Services Security: UsernameToken Profile 1.1
- OASIS: Web Services Security: Kerberos Token Profile 1.1
- OASIS: WS-SecurityPolicy 1.2
- OASIS: WS-SecureConversation 1.3

- OASIS: WS-Trust 1.3
- Basic Security Profile (WS-I BSP) 1.0
- OASIS: Web Services Security: SAML Token Profile 1.1

**Note:** The Security Assertion Markup Language (SAML) is an XML-based OASIS standard for exchanging user identity and security attributes information. Using SAML, a client can communicate assertions regarding the identity, attributes, and entitlements of a SOAP message. Using the SAML function in WebSphere Application Server, you can apply policy sets to JAX-WS applications to use SAML assertions in web services messages and in web services usage scenarios. Use SAML assertions to represent user identity and user security attributes, and optionally, to sign and to encrypt SOAP message elements.

For details on what parts of the previous specifications are supported in WebSphere Application Server, see “Supported functionality from OASIS specifications” on page 850.

## High level features overview in WebSphere Application Server

In WebSphere Application Server, the Web Services Security for SOAP Message Version 1.1 specification is designed to be flexible and accommodate the requirements of Web services. For example, the specification does not have a mandatory security token definition. Instead, the specification defines a generic mechanism to associate the security token with a SOAP message. The use of security tokens is defined in the various Version 1.0 and 1.1 security token profiles, such as:

- The Username Token Profile
- The X.509 Token Profile
- The Kerberos Token Profile

For more information on security token profile development at OASIS, see Organization for the Advancement of Structured Information Standards.

The Web Services Security for SOAP Message Version 1.1 updates the Web Services Security for SOAP Message core specification and the various security token profiles. For this release, WebSphere Application Server implements the Username Token Profile 1.1 and the X.509 Token Profile 1.1, which includes support for the Thumbprint type of security token reference. In addition, it supports the signature confirmation and encrypted header portions of the Web Services Security Version 1.1 standard.

**Important:** The wire format (such as namespaces) in the WS-SecureConversation and WS-Trust 1.3 specification has changed. WebSphere Application Server tolerates requests formatted according to both the Submission Drafts and version 1.3 specifications, but you must ensure that the correct version is used when clients are communicating with a Web Services Feature Pack service provider. You can disable tolerance of the older format for WS-SecureConversation and WS-Trust 1.3 endpoints. Submission Drafts requests are not interoperable with version 1.3 standards.

WebSphere Application Server supports pluggable security tokens. The pluggable architecture is enhanced to support the Web Services Security specifications, other profiles, and other Web Services Security specifications. You can learn more about the pluggable security token framework for JAX-RPC web services, and associating custom security tokens with SOAP messages, by reading these articles on the IBM developerWorks website:

- Security for JAX-RPC Web services, Part 1: Generating custom tokens
- Security for JAX-RPC Web services, Part 2: Consuming custom tokens

WebSphere Application Server includes the following key enhancements:

- Support for the LTPA version 2 token

- Support for configuration of multiple callers, and an order attribute on the caller to determine which caller is used for the WebSphere credential
- Support for the published WS-SecurityPolicy version 1.2 specification embedded in WSDL
- Support for the WS-SecureConversation version 1.3 specification and the WS-Trust version 1.3 specification (used by WS-SecureConversation)
- Support for Kerberos token as defined in the WS-Kerberos Token Profile version 1.1 specification

For more information on some of these enhancements, see “Web Services Security enhancements” on page 846.

## Configuration of Web Services Security

WebSphere Application Server uses the policy set model for implementing the Web Services Security Version 1.1 specification, including the Username token Version 1.1 profile, support for the Kerberos and LTPA v2 tokens, and the X.509 token version 1.1 profile. Policy sets combine configuration settings, including those for transport and message level configuration, such as WS-Addressing, WS-ReliableMessaging, WS-SecureConversation, and WS-Security. For more information on policy sets, refer to the topic Managing policy sets using the administrative console.

You can use the administrative console to configure the Web Services Security binding of a deployed application with Web Services Security constraints that are defined in the policy set.

For the X.509 Certificate Token Profile, one new type of security token reference is the Thumbprint reference, which is specified in the binding. WebSphere Application Server now supports creating and authenticating a security token by using a security token reference (STR) with a key identifier and a Thumbprint in the <KeyInfo> element. The Thumbprint key information type requires that there be a keystore with the public and private key pair instead of a shared key. To use the Thumbprint of the specified certificate, specify the keyInfo type THUMBPRINT in the bindings.

For example, a decryption key is referenced by means of the thumbprint of an associated certificate. The certificate is not included in the message. Instead, the <ds:KeyInfo> element contains a <wsse:SecurityTokenReference> element that specified the thumbprint of the specified certificate by means of the <http://docs.oasis-open.org/wss/oasis-wss-soap-message-security-1.1#ThumbprintSHA1> attribute of the <wsse:KeyIdentifier> element.

To take advantage of implementations associated with the Web Services Security Version 1.1 specification, you must:

- Ensure that your applications use the Java API for XML Web Services (JAX-WS) programming model.
- Re-configure the Web Services Security constraints in the new policy set and binding format.

WebSphere Application Server provides the following tools that you can use to edit the policy set file and the binding file:

### IBM assembly tools

You can use IBM assembly tools to develop web services and configure the policy set and the binding file for Web Services Security. The tools enable you to assemble both web and Enterprise JavaBeans (EJB) modules. The assembly tools do not support direct editing of policy sets, but can import policy sets from the application server, and then attach the modified policy sets to the service. For more information, read about assembly tools.

**Note:** You can use policy sets only with Java API for XML-Based Web Services (JAX-WS) applications. You cannot use policy sets with Java API for XML-based RPC (JAX-RPC) applications.

## WebSphere Application Server administrative console

You can use the administrative console to configure the Web Services Security binding of a deployed application with Web Services Security constraints that are defined in the policy set.

## What is not supported

Web service security is still fairly new and some of the standards are still being defined or standardized. The following functionality is not supported in WebSphere Application Server:

- JSR-183 (Java API for Web Services Security: SOAP Message Security 1.0 specification). See the standard documentation for more information: JSR-183 (Java API for Web Services Security: SOAP Message Security 1.0 specification).
- Application programming interfaces (API) do not exist for Web Services Security in WebSphere Application Server Versions 6.0.x and later.
- SAML token profile is not supported out of the box.
- REL token profile is not supported.
- SwA profile is not supported

## What is supported by the IBM Software Development Kit (SDK)

The following standards exist for the Java application programming interface for XML security and Web Services Security:

- JSR-105 (Java API for XML-Signature XPath Filter Version 2.0  
W3C Recommendation, November 2002)
- JSR-106 (Java API for XML Encryption Syntax and Processing)  
W3C Recommendation, December 2002

For more information on the IBM SDK for Java Version 6, see the security information documentation.

For information on what is supported for Web Services Security in WebSphere Application Server, see “Supported functionality from OASIS specifications” on page 850.

## Web Services Security enhancements

WebSphere Application Server includes a number of enhancements for securing web services. For example, policy sets are supported in WebSphere Application Server Version 6.1 Feature Pack for Web Services, and later, to simplify security configuration for web services.

## Building your applications

The Web Services Security runtime implementation used by WebSphere Application Server Version 8 is based on the Java API for XML Web Services (JAX-WS) programming model. The JAX-WS runtime environment is based on Apache Open Source Axis2, and the data model is AXIOM. Instead of deployment descriptor and bindings, a policy set is used for configuration. You can use the WebSphere Application Server administrative console to edit the application binding files associated with the policy sets. The JAX-WS runtime environment is supported for the WebSphere Application Server V6.1 Feature Pack for Web Services, and later.

The JAX-RPC programming model, which uses deployment descriptors and bindings, is still supported. Read the topic *Securing JAX-RPC Web services using message level security* for more information.

## Using policy sets

Use policy sets to simplify your web service Quality of Service configuration.

**Note:** Policy sets can only be used with JAX-WS applications, in WebSphere Application Server V6.1 Feature Pack for Web Services, and later. Policy sets cannot be used for JAX-RPC applications.

Policy sets combine configuration settings, including those for transport and message level configuration, such as Web Services Addressing (WS-Addressing), Web Services Reliable Messaging (WS-ReliableMessaging), and Web Services Security (WS-Security), which includes Secure Conversation (WS-SecureConversation).

## Managing trust policies

Web Services Security Trust (WS-Trust) provides the ability for an endpoint to issue a security context token for Web Services Secure Conversation (WS-SecureConversation). The token issuing support is limited to the security context token. Trust policy management defines a policy for each of the trust service operations, such as issuing, cancelling, validating, and renewing a token. A client's bootstrap policies must correspond to the WebSphere Application Server trust service policies.

## Securing session-based messages

Web Services Secure Conversation provides a secured session for long running message exchanges and leveraging symmetric cryptographic algorithm. WS-SecureConversation provides the basic security for securing session-based messages exchange patterns, such as Web Services Security Reliable Messaging (WS-ReliableMessaging).

## Updating message-level security

Web Services Security (WS-Security) Version 1.1 supports the following functions that update the message-level security.

- Signature confirmation
- Encrypted headers

Signature confirmation enhances the protection of XML digital signature security. The <SignatureConfirmation> element indicates that the responder has processed the signature in the request, and the signature confirmation ensures that the signature is indeed processed by the intended recipient. To process signature confirmation correctly, the initiator must preserve the signatures during the request generation processing and later must retrieve the signatures for confirmation checks even with the stateless nature of web services and the different message exchange patterns. You enable signature confirmation by configuring the policy.

The encrypted header element provides a standard way of encrypting SOAP headers, which helps inter-operability. As defined in the SOAP message security specification, the <EncryptedHeader> element indicates that a specific SOAP header (or set of headers) must be protected. Encrypting SOAP headers and parts helps to provide more secure message-level security. The EncryptedHeader element ensures compliance with the SOAP mustUnderstand processing guidelines and prevents disclosure of information contained in attributes on a SOAP header block.

## Using identity assertion

In a secured environment such as an intranet, a secure sockets layer (SSL) connection or through a Virtual Private Network (VPN), it is useful to send the requester identity only without credentials, such as password, with other trusted credentials, such as the server identity. WebSphere Application Server supports the following types of identity assertions:

- A username token without a password
- An X.509 Token for a X.509 certificate

For more information about identity assertion, read the topic Trusted ID evaluator.

## Signing or encrypting data with a custom token

For the JAX-RPC programming model, the key locator, or the `com.ibm.wsspi.wssecurity.keyinfo.KeyLocator` Java interface, is enhanced to support the flexibility of the specification. The key locator is responsible for locating the key. The local JAAS Subject is passed into the `KeyLocator.getKey()` method in the context. The key locator implementation can derive the key from the token, which is created by the token generator or the token consumer, to sign a message, to verify the signature within a message, to encrypt a message, or to decrypt a message. The `com.ibm.wsspi.wssecurity.keyinfo.KeyLocator` Java interface is different from the version in WebSphere Application Server Version 5.x. The `com.ibm.wsspi.wssecurity.config.KeyLocator` interface from Version 5.x is deprecated. There is no automatic migration for the key locator from Version 5.x to Versions 6 and later. You must migrate the source code for the Version 5.x key locator implementation to the key locator programming model for Version 6 and later.

For the JAX-WS programming model, the pluggable token framework reuses the same framework from the WSS API. The same implementation for creating and validating a security token can be used in both the Web Services Security run time and the WSS API application. This simplifies the SPI programming model and makes it easier to add new or custom security token types. The redesigned SPI consists of the following interfaces:

- The JAAS CallbackHandler and JAAS Login Module create security tokens on the generator side and validate, or authenticate, security tokens on the consumer side.
- The Security Token interface, `com.ibm.websphere.wssecurity.wssapi.token.SecurityToken`, represents the security token that has methods to get the identity, XML format and cryptographic keys.

When using JAX-WS, the following interfaces are no longer required:

- Token Generator (`com.ibm.wsspi.wssecurity.token.TokenGeneratorComponent`)
- Token Consumer (`com.ibm.wsspi.wssecurity.token.TokenConsumerComponent`)
- Key Locator (`com.ibm.wsspi.wssecurity.keyinfo.KeyLocator`)

You can learn more about custom security tokens by reading these articles on the IBM developerWorks website:

- Security for JAX-RPC Web services, Part 1: Generating custom tokens
- Security for JAX-RPC Web services, Part 2: Consuming custom tokens

## Signing or encrypting any XML element

An XPath expression is used for selecting which XML element to sign or encrypt. However, an envelope signature is used when you sign the SOAP envelope, SOAP header, or Web Services Security header. In JAX-RPC web services, the XPath expression is specified in the application deployment descriptor. In JAX-WS web services, the XPath expression is specified in the WS-Security policy of the policy set.

The JAX-WS programming model uses policy sets to indicate the message parts where security should be applied. For example, the `<Body>` assertion is used to indicate that the body of the SOAP message is signed or encrypted. Another example is the `<Header>` assertion, where the QName of the SOAP header to be signed or encrypted is specified.

## Signing or encrypting SOAP headers

The OASIS Web Services Security (WS-Security) Version 1.1 support provides for a standard way of encrypting and signing SOAP headers. To sign or encrypt SOAP messages, specify the QName to select header elements in the SOAP header of the SOAP message.

You can configure policy sets for signing or encrypting either by using the administrative console or by using Web Services Security APIs (WSS APIs). For more details, see the topic Securing message parts using the administrative console.

For signing, specify the following:

**Name** This optional attribute indicates the local name of the SOAP header to be integrity protected. If this attribute is not specified, all SOAP headers whose namespace matches the Namespace attribute are to be protected.

**Namespace**

This required attribute indicates the namespace of the SOAP headers to be integrity protected.

For encrypting, specify the following:

**Name** This optional attribute indicates the local name of the SOAP header to be confidentiality protected. If this attribute is not specified, all SOAP headers whose namespace matches the Namespace attribute are to be protected.

**Namespace**

This required attribute indicates the namespace of the SOAP header(s) to be confidentiality protected.

This results in an <EncryptedHeader> element that contains the <EncryptedData> element.

For Web Services Security Version 1.0 behavior, specify the `com.ibm.wsspi.wssecurity.encryptedHeader.generate.WSS1.0` property with a value of `true` in `EncryptionInfo` in the bindings. Specifying this property results in an <EncryptedData> element.

For Web Services Security Version 1.1 behavior that is equivalent to WebSphere Application Server versions prior to version 7.0, specify the `com.ibm.wsspi.wssecurity.encryptedHeader.generate.WSS1.1.pre.V7` property with a value of `true` on the <encryptionInfo> element in the binding. When this property is specified, the <EncryptedHeader> element includes a `wsu:Id` parameter and the <EncryptedData> element omits the `Id` parameter. This property should only be used if compliance with Basic Security Profile 1.1 is not required and it is necessary to send <EncryptedHeader> elements to a client or server that uses the WebSphere Application Server Version 5.1 Feature Pack for Web Services.

## Supporting LTPA

Lightweight Third Party Authentication (LTPA) is supported as a binary security token in Web Services Security. Web Services Security supports both LTPA (version 1) and LTPA version 2 tokens. The LTPA version 2 token, which is more secure than version 1, is supported in WebSphere Application Server version 7.0 and later.

## Extending the support for timestamps

You can insert a timestamp in other elements during the signing process besides the Web Services Security header. This timestamp provides a mechanism for adding a time limit to an element. This support is an extension for WebSphere Application Server. Other vendor implementations might not have the ability to consume a message that is generated with an additional timestamp that is inserted in the message.

## Extending the support for nonce

You can insert a nonce, which is a randomly generated value, in other elements beside the Username token. The nonce is used to reduce the chance of a replay attack. This support is an extension for WebSphere Application Server. Other vendor implementations might not have the ability to consume messages with a nonce that is inserted into elements other than a Username token.



## Supporting distributed nonce caching

Distributed nonce caching is a new feature for web services in WebSphere Application Server Versions 6 and later that enables you to replicate nonce data between servers in a cluster. For example, you might have application server A and application server B in cluster C. If application server A accepts a nonce with a value of X, then application server B creates a `SoapSecurityException` if it receives the nonce with the same value within a specified period of time.

**Important:** The distributed nonce caching feature uses the WebSphere Application Server data replication service (DRS). The data in the local cache is pushed to the cache in other servers in the same replication domain. The replication is an out-of-process call and, in some cases, is a remote call. Therefore, there is a possible delay in replication while the content of the cache in each application server within the cluster is updated. The delay might be due to network traffic, network workload, machine workload, and so on. For adequate security protection, you must enable appropriate security for the DRS cache. See the topic `Multi-broker replication domains` for more information.

## Caching the X.509 certificate

WebSphere Application Server caches the X.509 certificates it receives, by default, to avoid certificate path validation and improve its performance. However, this change might lead to security exposure. You can disable X.509 certificate caching by using the following steps:

On the cell level:

- Click **Security > Web services**.
- Under Additional properties, click **Properties > New**.
- In the Property name field, type `com.ibm.ws.wssecurity.config.token.certificate.useCache`.
- In the Property value field, type `false`.

On the server level:

- Click **Servers > Application servers > server\_name**.
- Under Security, click **Web services: Default bindings for Web Services Security**.
- Under Additional properties, click **Properties > New**.
- In the Property name field, type `com.ibm.ws.wssecurity.config.token.certificate.useCache`.
- In the Property value field, type `false`.

## Providing support for a certificate revocation list

The certificate revocation list (CRL) in WebSphere Application Server is used to enhance certificate path validation. You can specify a CRL in the collection certificate store for validation. You can also encode a CRL in an X.509 token using PKCS#7 encoding. However, WebSphere Application Server Version 6 and later do not support X509PKIPathv1 CRL encoding in a X.509 token.

**Important:** The PKCS#7 encoding was tested with the IBM certificate path (IBM CertPath) provider only. The encoding is not supported for other certificate path providers.

## Supported functionality from OASIS specifications

The application server supports the Organization for the Advancement of Structured Information (OASIS) Web Services Security (WS-Security) specifications.

WebSphere Application Server supports these OASIS Web Services Security Version 1.0 specifications.

- OASIS: Web Services Security: SOAP Message Security 1.0 (WS-Security 2004)
- OASIS: Web Services Security: UsernameToken Profile 1.0

- OASIS: Web Services Security X.509 Certificate Token Profile 1.0

In WebSphere Application Server Version 6.1 Feature Pack for Web Services, and later, support for the OASIS standards has been updated to the latest versions of Web Services Security (WS-Security) specifications and tokens. Web Services Security Version 1.1 provides better security verification for signature, a standard way of encrypting SOAP headers, and meets the requirement from some of the inter-operability scenarios that use features from Web Services Security Version 1.1.

- OASIS: Web Services Security: SOAP Message Security 1.1 (WS-Security 2004) OASIS Standard Specification, 1 February 2006
- OASIS: Web Services Security UsernameToken Profile 1.1 (Standard Specification, 1 February 2006)
- OASIS: Web Services Security X.509 Certificate Token Profile 1.1 (Standard Specification, 1 February 2006)

The following standards are supported only in WebSphere Application Server Version 7.0 and later.

- WS-Security Kerberos Token Profile 1.1
- WS-SecureConversation Version 1.3
- WS-Trust Version 1.3
- WS-SecurityPolicy Version 1.2

WS-SecurityPolicy support is only available for Web Services Metadata Exchange (WS-MetadataExchange) scenarios where the assertions are embedded in the WSDL file. For more information, read the WS-MetadataExchange requests topic.

In 2007, the OASIS Web Services Secure Exchange Technical Committee (WS-SX) produced and approved the following specifications. Portions of these specifications are supported by WebSphere Application Server Version 7 and later.

- WS-SecureConversation
- WS-Trust
- WS-SecurityPolicy

## OASIS: Web Services Security SOAP Message Security 1.0 and 1.1

The following table shows the aspects of the OASIS: Web Services Security: SOAP Message Security 1.0 and 1.1 specifications that are supported in WebSphere Application Server Versions 6 and later.

*Table 101. Aspects of OASIS SOAP Message Security standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.*

Supported topic	Specific aspect that is supported
Security header	<ul style="list-style-type: none"> <li>• @S11:actor (for an intermediary)</li> <li>• @S11:mustUnderstand</li> <li>• @S12:mustUnderstand</li> <li>• @S12:role (S12 is the namespace prefix for <a href="http://www.w3.org/2003/05/soap-envelope">http://www.w3.org/2003/05/soap-envelope</a> when using SOAP Version 1.2)</li> </ul>
Security tokens	<ul style="list-style-type: none"> <li>• Username token (user name and password)</li> <li>• Binary security token (X.509 and Lightweight Third Party Authentication (LTPA))</li> <li>• Custom token               <ul style="list-style-type: none"> <li>– Other binary security token</li> <li>– XML token</li> </ul> <p><b>Note:</b> WebSphere Application Server does not provide an implementation, but you can use an XML token with plug-in point.</p> </li> </ul>
Token references	<ul style="list-style-type: none"> <li>• Direct reference</li> <li>• Key identifier</li> <li>• Key name</li> <li>• Embedded reference</li> </ul>

Table 101. Aspects of OASIS SOAP Message Security standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Signature	Signature confirmation
Signature algorithms	<ul style="list-style-type: none"> <li>• <b>Digest</b> <ul style="list-style-type: none"> <li><b>SHA1</b>     <a href="http://www.w3.org/2000/09/xmldsig#sha1">http://www.w3.org/2000/09/xmldsig#sha1</a></li> <li><b>SHA256</b>   <a href="http://www.w3.org/2001/04/xmenc#sha256">http://www.w3.org/2001/04/xmenc#sha256</a></li> <li><b>SHA512</b>   <a href="http://www.w3.org/2001/04/xmenc#sha512">http://www.w3.org/2001/04/xmenc#sha512</a></li> </ul> </li> <li>• <b>MAC</b> <ul style="list-style-type: none"> <li><b>HMAC-SHA1</b> <a href="http://www.w3.org/2000/09/xmldsig#hmac-sha1">http://www.w3.org/2000/09/xmldsig#hmac-sha1</a></li> </ul> </li> <li>• <b>Signature</b> <ul style="list-style-type: none"> <li><b>DSA with SHA1</b> <a href="http://www.w3.org/2000/09/xmldsig#dsa-sha1">http://www.w3.org/2000/09/xmldsig#dsa-sha1</a>  Do not use this algorithm if you want your configured application to be in compliance with the Basic Security Profile (BSP)</li> <li><b>RSA with SHA1</b> <a href="http://www.w3.org/2000/09/xmldsig#rsa-sha1">http://www.w3.org/2000/09/xmldsig#rsa-sha1</a></li> </ul> </li> <li>• <b>Canonicalization</b> <ul style="list-style-type: none"> <li><b>Canonical XML (with comments)</b> <a href="http://www.w3.org/TR/2001/REC-xml-c14n-20010315#WithComments">http://www.w3.org/TR/2001/REC-xml-c14n-20010315#WithComments</a></li> <li><b>Canonical XML (without comments)</b> <a href="http://www.w3.org/TR/2001/REC-xml-c14n-20010315">http://www.w3.org/TR/2001/REC-xml-c14n-20010315</a></li> <li><b>Exclusive XML canonicalization (with comments)</b> <a href="http://www.w3.org/2001/10/xml-exc-c14n#WithComments">http://www.w3.org/2001/10/xml-exc-c14n#WithComments</a></li> <li><b>Exclusive XML canonicalization (without comments)</b> <a href="http://www.w3.org/2001/10/xml-exc-c14n#">http://www.w3.org/2001/10/xml-exc-c14n#</a></li> </ul> </li> <li>• <b>Transform</b> <ul style="list-style-type: none"> <li><b>STR transform</b> <a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0#STR-Transform">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0#STR-Transform</a> <a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soapmessage-security-1.0#STR-Transform">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soapmessage-security-1.0#STR-Transform</a></li> <li><b>XPath</b>     <a href="http://www.w3.org/TR/1999/REC-xpath-19991116">http://www.w3.org/TR/1999/REC-xpath-19991116</a>  Do not use the original XPATH transform if you want your configured application to be in compliance with the Basic Security Profile (BSP). <b>Note:</b> When referring to an element in a SECURE_ENVELOPE that does not carry an attribute of type ID from a ds:Reference in a SIGNATURE, you must use the XPath Filter 2.0 Transform, <a href="http://www.w3.org/2002/06/xmldsig-filter2">http://www.w3.org/2002/06/xmldsig-filter2</a></li> <li><b>Enveloped signature</b> <a href="http://www.w3.org/2000/09/xmldsig#enveloped-signature">http://www.w3.org/2000/09/xmldsig#enveloped-signature</a></li> <li><b>XPath Filter2</b> <a href="http://www.w3.org/2002/06/xmldsig-filter2">http://www.w3.org/2002/06/xmldsig-filter2</a> <b>Note:</b> When referring to an element in a SECURE_ENVELOPE that does not carry an ID attribute type from a ds:Reference in a SIGNATURE, you must use the XPath Filter 2.0 Transform, <a href="http://www.w3.org/2002/06/xmldsig-filter2">http://www.w3.org/2002/06/xmldsig-filter2</a></li> <li><b>Decryption transform</b> <a href="http://www.w3.org/2002/07/decrypt#XML">http://www.w3.org/2002/07/decrypt#XML</a></li> </ul> </li> </ul>

Table 101. Aspects of OASIS SOAP Message Security standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Signature signed parts for JAX-RPC only	<ul style="list-style-type: none"> <li>• WebSphere Application Server key words:               <ul style="list-style-type: none"> <li>– body, which signs the SOAP message body</li> <li>– timestamp, which signs all of the time stamps</li> <li>– securitytoken, which signs all of the security tokens</li> <li>– dsigkey, which signs the signing key</li> <li>– enckey, which signs the encryption key</li> <li>– messageid, which signs the wsa :MessageID element in WS-Addressing.</li> <li>– to, which signs the wsa:To element in WS-Addressing</li> <li>– action, which signs the wsa:Action element in WS-Addressing</li> <li>– relatesto, which signs the wsa:RelatesTo element in WS-Addressing</li> </ul> </li> <li>• wsa is the namespace prefix of <a href="http://schemas.xmlsoap.org/ws/2004/08/addressing">http://schemas.xmlsoap.org/ws/2004/08/addressing</a></li> <li>– wscontext, which specifies the WS-Context header for the SOAP header.</li> <li>– wsafrom, which specifies the &lt;wsa:From&gt; WS-Addressing From element in the SOAP header.</li> <li>– wsareplyto, which specifies the &lt;wsa:ReplyTo&gt; WS-Addressing ReplyTo element in the SOAP header.</li> <li>– wsafaultto, which specifies the &lt;wsa:FaultTo&gt; WS-Addressing FaultTo element in the SOAP header.</li> <li>– wsaall, which specifies all of the WS-Addressing elements in the SOAP header.</li> <li>• XPath expression to select an XML element in a SOAP message. For more information, see <a href="http://www.w3.org/TR/1999/REC-xpath-19991116">http://www.w3.org/TR/1999/REC-xpath-19991116</a>.</li> </ul>
Signature message parts for JAX-WS only	<ul style="list-style-type: none"> <li>• Body (which signs the SOAP message body)</li> <li>• Header (which signs one or more SOAP headers within the main SOAP header)</li> <li>• XPath expression to select an XML element in a SOAP message.               <ul style="list-style-type: none"> <li>– For more information, see <a href="http://www.w3.org/TR/1999/REC-xpath-19991116">http://www.w3.org/TR/1999/REC-xpath-19991116</a>.</li> </ul> </li> </ul>
Encryption	EncryptedHeader element

Table 101. Aspects of OASIS SOAP Message Security standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Encryption algorithms	<p><b>Important:</b> Your country of origin might have restrictions on the import, possession, use, or re-export to another country, of encryption software. Before downloading or using the unrestricted policy files, you must check the laws of your country, its regulations, and its policies concerning the import, possession, use, and re-export of encryption software, to determine if it is permitted.</p> <ul style="list-style-type: none"> <li>• Data encryption <ul style="list-style-type: none"> <li>– Triple DES in CBC: <a href="http://www.w3.org/2001/04/xmlenc#tripledes-cbc">http://www.w3.org/2001/04/xmlenc#tripledes-cbc</a></li> <li>– AES128 in CBC: <a href="http://www.w3.org/2001/04/xmlenc#aes128-cbc">http://www.w3.org/2001/04/xmlenc#aes128-cbc</a></li> <li>– AES192 in CBC: <a href="http://www.w3.org/2001/04/xmlenc#aes192-cbc">http://www.w3.org/2001/04/xmlenc#aes192-cbc</a></li> </ul> <p>This algorithm requires the unrestricted JCE policy file. For more information, see the Key encryption algorithm description in the Encryption information configuration settings: Message parts.</p> <p>Do not use the 192-bit data encryption algorithm if you want your configured application to be in compliance with the Basic Security Profile (BSP).</p> <ul style="list-style-type: none"> <li>– AES256 in CBC: <a href="http://www.w3.org/2001/04/xmlenc#aes256-cbc">http://www.w3.org/2001/04/xmlenc#aes256-cbc</a></li> </ul> <p>This algorithm requires the unrestricted JCE policy file. For more information, see the Key encryption algorithm description in the Encryption information configuration settings: Message parts.</p> </li> <li>• Key encryption <ul style="list-style-type: none"> <li>– Key transport (public key cryptography) <ul style="list-style-type: none"> <li>- <a href="http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgf1p">http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgf1p</a>.</li> </ul> <p><b>Note:</b></p> <ul style="list-style-type: none"> <li>• When running with Software Development Kit (SDK) Version 1.4, the list of supported key transport algorithms does not include this one. This algorithm appears in the list of supported key transport algorithms when running with SDK Version 1.5.</li> <li>• Use of the Federal Information Processing Standard (FIPS)-compliant Java cryptography engine does not support this transport algorithm.</li> </ul> <ul style="list-style-type: none"> <li>- RSA Version 1.5: <a href="http://www.w3.org/2001/04/xmlenc#rsa-1_5">http://www.w3.org/2001/04/xmlenc#rsa-1_5</a></li> </ul> </li> <li>– Symmetric key wrap (private key cryptography) <ul style="list-style-type: none"> <li>- Triple DES key wrap: <a href="http://www.w3.org/2001/04/xmlenc#kw-tripledes">http://www.w3.org/2001/04/xmlenc#kw-tripledes</a></li> <li>- AES key wrap (aes128): <a href="http://www.w3.org/2001/04/xmlenc#kw-aes128">http://www.w3.org/2001/04/xmlenc#kw-aes128</a></li> <li>- AES key wrap (aes192): <a href="http://www.w3.org/2001/04/xmlenc#kw-aes192">http://www.w3.org/2001/04/xmlenc#kw-aes192</a></li> </ul> <p>This algorithm requires the unrestricted JCE policy file. For more information, see the Key encryption algorithm description in the Encryption information configuration settings: Message parts.</p> <p>Do not use the 192-bit data encryption algorithm if you want your configured application to be in compliance with the Basic Security Profile (BSP).</p> <ul style="list-style-type: none"> <li>- AES key wrap (aes256): <a href="http://www.w3.org/2001/04/xmlenc#kw-aes256">http://www.w3.org/2001/04/xmlenc#kw-aes256</a></li> </ul> <p>This algorithm requires the unrestricted JCE policy file. For more information, see the Key encryption algorithm description in the Encryption information configuration settings: Message parts.</p> </li> </ul> </li> <li>• Manifests-xenc is the namespace prefix of <a href="http://www.w3.org/TR/xmlenc-core">http://www.w3.org/TR/xmlenc-core</a> <ul style="list-style-type: none"> <li>– xenc:ReferenceList</li> <li>– xenc:EncryptedKey</li> </ul> </li> </ul> <p>Advanced Encryption Standard (AES) is designed to provide stronger and better performance for symmetric key encryption over Triple-DES (data encryption standard). Therefore, it is recommended that you use AES, if possible, for symmetric key encryption.</p>
Encryption message parts for JAX-RPC only	<ul style="list-style-type: none"> <li>• WebSphere Application Server keywords <ul style="list-style-type: none"> <li>– bodycontent, which is used to encrypt the SOAP body content</li> <li>– usenametoken, which is used to encrypt the username token</li> <li>– digestvalue, which is used to encrypt the digest value of the digital signature</li> <li>– signature, which is used to encrypt the entire digital signature</li> <li>– wscontextcontent, which encrypts the content in the WS-Context header for the SOAP header.</li> </ul> </li> <li>• XPath expression to select the XML element in the SOAP message <ul style="list-style-type: none"> <li>– XML elements</li> <li>– XML element contents</li> </ul> </li> </ul>

Table 101. Aspects of OASIS SOAP Message Security standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Encryption message parts for JAX-WS only	<ul style="list-style-type: none"> <li>• Body (which encrypts the SOAP message body content)</li> <li>• Header (which encrypts one or more SOAP headers within the main SOAP header, resulting in the EncryptedHeader element)</li> <li>• XPath expression to select an XML element in a SOAP message                             <ul style="list-style-type: none"> <li>– For more information, see <a href="http://www.w3.org/TR/1999/REC-xpath-19991116">http://www.w3.org/TR/1999/REC-xpath-19991116</a>.</li> </ul> </li> </ul>
Time stamp	<ul style="list-style-type: none"> <li>• Within Web Services Security header</li> <li>• WebSphere Application Server is extended to allow you to insert time stamps into other elements so that the age of those elements can be determined.</li> </ul>
Error handling	SOAP faults <ul style="list-style-type: none"> <li>• New failure SOAP fault with faultcode</li> <li>• The message has expired text has been added</li> </ul>

## OASIS: Web Services Security UsernameToken Profile 1.0

The following table shows the aspects of the OASIS: Web Services Security Username Token Profile 1.0 specification that is supported in WebSphere Application Server.

Table 102. Aspects of OASIS Username Token Profile V1.0 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Password types	Text
Token references	Direct reference

## OASIS: Web Services Security UsernameToken Profile 1.1

The following table shows the aspects of the OASIS: Web Services Security Username Token Profile 1.1 specification that is supported in WebSphere Application Server. Items that were previously supported for Web Services Security UsernameToken Profile 1.0 are not listed but are still supported, unless noted otherwise.

Table 103. Aspects of OASIS Username Token Profile V1.1 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Password types	Text
Token references	Direct reference

## OASIS: Web Services Security X.509 Certificate Token Profile 1.0

The following table shows the aspects of the OASIS: Web Services Security X.509 Certificate Token Profile specification that are supported in WebSphere Application Server Versions 6 and later.

Table 104. Aspects of OASIS X.509 Certificate Token V1.0 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Token types	<ul style="list-style-type: none"> <li>• X.509 Version 3: Single certificate <a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3</a></li> <li>• X.509 Version 3: X509PKIPathv1 without certificate revocation lists (CRL) <a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509PKIPathv1">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509PKIPathv1</a></li> <li>• X.509 Version 3: PKCS7 with or without CRLs. The IBM software development kit (SDK) supports both. The Sun Java SE Development Kit 6 (JDK 6) supports PKCS7 without CRL only.</li> </ul>

Table 104. Aspects of OASIS X.509 Certificate Token V1.0 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Token references	<ul style="list-style-type: none"> <li>• Key identifier – subject key identifier</li> <li>• Direct reference</li> <li>• Custom reference – issuer name and serial number</li> </ul>

## OASIS: Web Services Security X.509 Certificate Token Profile 1.1

The following table shows the aspects of the OASIS: Web Services Security X.509 Certificate Token Profile 1.1 specification that are supported in WebSphere Application Server. Items that were previously supported for Web Services Security X.509 Certificate Token Profile 1.0 are not listed but are still supported, unless noted otherwise.

Table 105. Aspects of OASIS X.509 Certificate Token V1.1 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Token types	X.509 Version 1: Single certificate
Token references	Key identifier – subject key identifier <ul style="list-style-type: none"> <li>• Can only reference an X.509v3 certificate</li> <li>• Can specify the thumbprint of the specified certificate by using the <code>http://docs.oasis-open.org/wss/oasis-wss-soap-message-security-1.1#ThumbprintSHA1</code> attribute of the <code>&lt;wsse:KeyIdentifier&gt;</code> element.</li> </ul>

## OASIS: Web Services Security Kerberos Token Profile 1.1

The following table shows the aspects of the OASIS: Web Services Security Kerberos Token Profile 1.1 specification that are supported in WebSphere Application Server.

Table 106. Aspects of OASIS Kerberos Token Profile standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Token types	<ul style="list-style-type: none"> <li>• GSS_API Kerberos v5 token               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ</code></li> </ul> </li> <li>• GSS_API Kerberos v5 token per RFC1510               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ1510</code></li> </ul> </li> <li>• GSS_API Kerberos v5 token per RFC4120               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ4120</code></li> </ul> </li> <li>• Kerberos v5 token               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasiswss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ</code></li> </ul> </li> <li>• Kerberos v5 token per RFC1510               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ1510</code></li> </ul> </li> <li>• Kerberos v5 token per RFC4120               <ul style="list-style-type: none"> <li><code>http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#Kerberosv5_AP_REQ412</code></li> </ul> </li> </ul>
Token references	<ul style="list-style-type: none"> <li>• Security token reference</li> <li>• Key identifier, which is used after the initial Kerberos v5 token is consumed</li> <li>• Derived key token based on the Kerberos key</li> </ul>

## OASIS: Web Services Security WS-Secure Conversation Draft and Version 1.3

The following table shows the aspects of the OASIS: WS-SecureConversation specification that are supported in WebSphere Application Server Version 6.1 Feature Pack for Web Services, and later. Support for Version 1.3 of the specification is provided in WebSphere Application Server Version 7.0 and later.

*Table 107. Aspects of OASIS SecureConversation standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.*

Supported topic	Specific aspect that is supported
Token types	<ul style="list-style-type: none"> <li>Security Context Token draft version: <a href="http://schemas.xmlsoap.org/ws/2005/02/sc/sct">http://schemas.xmlsoap.org/ws/2005/02/sc/sct</a></li> <li>Security Context Token Version 1.3: <a href="http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct">http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct</a></li> </ul>
Token references	Direct reference
Security context establishment	Security context token created by a security token service that is embedded in the WebSphere Application Server.
Renewing context	Automatic renewal of the token when its about to expire.
Cancelling context	Explicit cancel request support.
Derived keys	<p>The following information is used to derive the keys using a shared secret from a security context:</p> <ul style="list-style-type: none"> <li>/wsc:DerivedKeyToken/wsse:SecurityTokenReference</li> <li>/wsc:DerivedKeyToken/wsc:Label</li> <li>/wsc:DerivedKeyToken/wsc:Nonce</li> <li>/wsc:DerivedKeyToken/wsc:Length</li> </ul>
Error handling	<p>SOAP faults, including:</p> <ul style="list-style-type: none"> <li>wsc:BadContextToken</li> <li>wsc:UnsupportedContextToken</li> <li>wsc:RenewNeeded</li> <li>wsc:UnableToRenew</li> </ul>

## OASIS: Web Services Security WS-Trust Version 1.0 Draft and Version 1.3

The following tables show the aspects of the OASIS: Web Services Security: WS-Trust Version 1.0 Draft and Version 1.3 specifications that are supported in WebSphere Application Server Version 6.1 Feature Pack for Web Services, and later.

*Table 108. Aspects of OASIS Trust V1.0 and V1.3 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.*

Supported topic	Specific aspect that is supported
Namespace	<a href="http://schemas.xmlsoap.org/ws/2005/02/trust">http://schemas.xmlsoap.org/ws/2005/02/trust</a>
Request header	<p>/wsa:Action</p> <p>Valid options include:</p> <ul style="list-style-type: none"> <li><a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Issue">http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Issue</a></li> <li><a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Renew">http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Renew</a></li> <li><a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Cancel">http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Cancel</a></li> <li><a href="http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Validate">http://schemas.xmlsoap.org/ws/2005/02/trust/RST/Validate</a></li> </ul>



Table 108. Aspects of OASIS Trust V1.0 and V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Request elements and attributes	<p data-bbox="423 275 651 296">/wst:RequestSecurityToken</p> <p data-bbox="423 321 737 342">/wst:RequestSecurityToken/@Context</p> <p data-bbox="423 367 797 388">/wst:RequestSecurityToken/wst:RequestType</p> <ul style="list-style-type: none"> <li data-bbox="423 399 623 420">• Valid options include:</li> <li data-bbox="444 430 889 451">– http://schemas.xmlsoap.org/ws/2005/02/trust/Issue</li> <li data-bbox="444 462 902 483">– http://schemas.xmlsoap.org/ws/2005/02/trust/Renew</li> <li data-bbox="444 493 902 514">– http://schemas.xmlsoap.org/ws/2005/02/trust/Cancel</li> <li data-bbox="444 525 911 546">– http://schemas.xmlsoap.org/ws/2005/02/trust/Validate</li> </ul> <p data-bbox="423 571 776 592">/wst:RequestSecurityToken/wst:TokenType</p> <ul style="list-style-type: none"> <li data-bbox="423 602 623 623">• Valid options include:</li> <li data-bbox="444 634 878 655">– for http://schemas.xmlsoap.org/ws/2005/02/sc/sct <ul style="list-style-type: none"> <li data-bbox="466 665 841 686">- /wst:RequestSecurityToken/wsp:AppliesTo</li> <li data-bbox="466 697 818 718">- /wst:RequestSecurityToken/wst:Entropy</li> <li data-bbox="466 728 971 749">- /wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret</li> <li data-bbox="466 760 1024 781">- /wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret/@Type</li> </ul> </li> <li data-bbox="444 791 927 812">– for http://schemas.xmlsoap.org/ws/2005/02/trust/Nonce <ul style="list-style-type: none"> <li data-bbox="466 823 818 844">- /wst:RequestSecurityToken/wst:Lifetime</li> <li data-bbox="466 854 927 875">- /wst:RequestSecurityToken/wst:Lifetime/wsu:Created</li> <li data-bbox="466 886 927 907">- /wst:RequestSecurityToken/wst:Lifetime/wsu:Expires</li> <li data-bbox="466 917 818 938">- /wst:RequestSecurityToken/wst:KeySize</li> <li data-bbox="466 949 818 970">- /wst:RequestSecurityToken/wst:KeyType</li> </ul> </li> <li data-bbox="444 980 992 1001">– for http://schemas.xmlsoap.org/ws/2005/02/trust/SymmetricKey <ul style="list-style-type: none"> <li data-bbox="466 1012 862 1033">- /wst:RequestSecurityToken/wst:RenewTarget</li> <li data-bbox="466 1043 834 1064">- /wst:RequestSecurityToken/wst:Renewing</li> <li data-bbox="466 1075 906 1096">- /wst:RequestSecurityToken/wst:Renewing/@Allow</li> <li data-bbox="466 1106 889 1127">- /wst:RequestSecurityToken/wst:Renewing/@OK</li> <li data-bbox="466 1138 862 1159">- /wst:RequestSecurityToken/wst:CancelTarget</li> <li data-bbox="466 1169 873 1190">- /wst:RequestSecurityToken/wst:ValidateTarget</li> <li data-bbox="466 1201 808 1222">- /wst:RequestSecurityToken/wst:Issuer</li> </ul> </li> </ul>
Response header	<p data-bbox="423 1245 521 1266">/wsa:Action</p> <p data-bbox="423 1291 602 1312">Valid options include:</p> <ul style="list-style-type: none"> <li data-bbox="423 1323 919 1344">• http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Issue</li> <li data-bbox="423 1354 932 1375">• http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Renew</li> <li data-bbox="423 1386 932 1407">• http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Cancel</li> <li data-bbox="423 1417 940 1438">• http://schemas.xmlsoap.org/ws/2005/02/trust/RSTR/Validate</li> </ul>

Table 108. Aspects of OASIS Trust V1.0 and V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Response elements and attributes	<p data-bbox="389 275 699 296">/wst:RequestSecurityTokenResponse</p> <p data-bbox="389 321 789 342">/wst:RequestSecurityTokenResponse/@Context</p> <p data-bbox="389 367 829 388">/wst:RequestSecurityTokenResponse/wst:TokenType</p> <p data-bbox="389 413 943 434">/wst:RequestSecurityTokenResponse/wst:RequestedSecurityToken</p> <p data-bbox="389 459 824 480">/wst:RequestSecurityTokenResponse/wsp:AppliesTo</p> <p data-bbox="389 506 943 527">/wst:RequestSecurityTokenResponse/wst:RequestedSecurityToken</p> <p data-bbox="389 552 987 573">/wst:RequestSecurityTokenResponse/wst:RequestedAttachedReference</p> <p data-bbox="389 598 1008 619">/wst:RequestSecurityTokenResponse/wst:RequestedUnattachedReference</p> <p data-bbox="389 644 922 665">/wst:RequestSecurityTokenResponse/wst:RequestedProofToken</p> <p data-bbox="389 690 802 711">/wst:RequestSecurityTokenResponse/wst:Entropy</p> <p data-bbox="389 737 946 758">/wst:RequestSecurityTokenResponse/wst:Entropy/wst:BinarySecret</p> <p data-bbox="389 783 1008 804">/wst:RequestSecurityTokenResponse/wst:Entropy/wst:BinarySecret/@Type</p> <p data-bbox="389 829 802 850">/wst:RequestSecurityTokenResponse/wst:Lifetime</p> <p data-bbox="389 875 911 896">/wst:RequestSecurityTokenResponse/wst:Lifetime/wsu:Created</p> <p data-bbox="389 921 906 942">/wst:RequestSecurityTokenResponse/wst:Lifetime/wsu:Expires</p> <p data-bbox="389 968 1073 989">/wst:RequestSecurityTokenResponse/wst:RequestedProofToken/wst:ComputedKey</p> <p data-bbox="389 1014 805 1035">/wst:RequestSecurityTokenResponse/wst:KeySize</p> <p data-bbox="389 1060 821 1081">/wst:RequestSecurityTokenResponse/wst:Renewing</p> <p data-bbox="389 1106 889 1127">/wst:RequestSecurityTokenResponse/wst:Renewing/@Allow</p> <p data-bbox="389 1152 870 1173">/wst:RequestSecurityTokenResponse/wst:Renewing/@OK</p> <p data-bbox="389 1199 959 1220">/wst:RequestSecurityTokenResponse/wst:RequestedTokenCancelled</p> <p data-bbox="389 1245 792 1266">/wst:RequestSecurityTokenResponse/wst:Status</p> <p data-bbox="389 1291 1276 1312">/wst:RequestSecurityTokenResponse/wst:Status /wst:RequestSecurityTokenResponse/wst:Status/wst:Code</p> <ul data-bbox="389 1323 922 1413" style="list-style-type: none"> <li data-bbox="389 1323 618 1344">• Valid responses include: <ul data-bbox="414 1354 922 1413" style="list-style-type: none"> <li data-bbox="414 1354 906 1375">– <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/status/valid">http://schemas.xmlsoap.org/ws/2005/02/trust/status/valid</a></li> <li data-bbox="414 1381 922 1413">– <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/status/invalid">http://schemas.xmlsoap.org/ws/2005/02/trust/status/invalid</a></li> </ul> </li> </ul> <p data-bbox="389 1438 894 1459">/wst:RequestSecurityTokenResponse/wst:Status/wst:Reason</p>

Table 108. Aspects of OASIS Trust V1.0 and V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Error handling	wst:InvalidRequest wst:FailedAuthentication wst:RequestFailed wst:InvalidSecurityToken wst:AuthenticationBadElements wst:BadRequest wst:ExpiredData wst:InvalidTimeRange wst:InvalidScope wst:RenewNeeded wst:UnableToRenew

Table 109. Aspects of OASIS Trust V1.3 standard supported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Namespace	<a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512">http://docs.oasis-open.org/ws-sx/ws-trust/200512</a>
Request header	/wsa:Action Valid options include: <ul style="list-style-type: none"> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Issue">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Issue</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Renew">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Renew</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Cancel">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Cancel</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Validate">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/Validate</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchIssue">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchIssue</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchCancel">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchCancel</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchRenew">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchRenew</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchValidate">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchValidate</a></li> </ul>

Table 109. Aspects of OASIS Trust V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Request elements and attributes	<p data-bbox="394 279 618 300">/wst:RequestSecurityToken</p> <p data-bbox="394 321 708 342">/wst:RequestSecurityToken/@Context</p> <p data-bbox="394 363 764 384">/wst:RequestSecurityToken/wst:RequestType</p> <ul style="list-style-type: none"> <li data-bbox="394 405 591 426">• Valid options include:</li> <li data-bbox="410 436 894 457">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Issue">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Issue</a></li> <li data-bbox="410 468 907 489">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Renew">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Renew</a></li> <li data-bbox="410 499 907 520">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Cancel">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Cancel</a></li> <li data-bbox="410 531 915 552">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Validate">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Validate</a></li> <li data-bbox="410 562 940 583">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchIssue">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchIssue</a></li> <li data-bbox="410 594 954 615">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchRenew">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchRenew</a></li> <li data-bbox="410 625 954 646">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchCancel">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchCancel</a></li> <li data-bbox="410 657 963 678">– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchValidate">http://docs.oasis-open.org/ws-sx/ws-trust/200512/BatchValidate</a></li> </ul> <p data-bbox="394 699 745 720">/wst:RequestSecurityToken/wst:TokenType</p> <ul style="list-style-type: none"> <li data-bbox="394 741 591 762">• Valid options include:</li> <li data-bbox="410 772 1024 793">– for <a href="http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct">http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct</a> <ul style="list-style-type: none"> <li data-bbox="427 804 810 825">- /wst:RequestSecurityToken/wsp:AppliesTo</li> <li data-bbox="427 835 786 856">- /wst:RequestSecurityToken/wst:Entropy</li> <li data-bbox="427 867 940 888">- /wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret</li> <li data-bbox="427 898 997 919">- /wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret/@Type</li> </ul> </li> <li data-bbox="410 930 930 951">– for <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Nonce">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Nonce</a> <ul style="list-style-type: none"> <li data-bbox="427 961 786 982">- /wst:RequestSecurityToken/wst:Lifetime</li> <li data-bbox="427 993 899 1014">- /wst:RequestSecurityToken/wst:Lifetime/wsu:Created</li> <li data-bbox="427 1024 894 1045">- /wst:RequestSecurityToken/wst:Lifetime/wsu:Expires</li> <li data-bbox="427 1056 794 1077">- /wst:RequestSecurityToken/wst:KeySize</li> <li data-bbox="427 1087 797 1108">- /wst:RequestSecurityToken/wst:KeyType</li> </ul> </li> <li data-bbox="410 1119 997 1140">– for <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/SymmetricKey">http://docs.oasis-open.org/ws-sx/ws-trust/200512/SymmetricKey</a> <ul style="list-style-type: none"> <li data-bbox="427 1150 834 1171">- /wst:RequestSecurityToken/wst:RenewTarget</li> <li data-bbox="427 1182 805 1203">- /wst:RequestSecurityToken/wst:Renewing</li> <li data-bbox="427 1213 875 1234">- /wst:RequestSecurityToken/wst:Renewing/@Allow</li> <li data-bbox="427 1245 859 1266">- /wst:RequestSecurityToken/wst:Renewing/@OK</li> <li data-bbox="427 1276 834 1297">- /wst:RequestSecurityToken/wst:CancelTarget</li> <li data-bbox="427 1308 842 1329">- /wst:RequestSecurityToken/wst:ValidateTarget</li> <li data-bbox="427 1339 773 1360">- /wst:RequestSecurityToken/wst:Issuer</li> </ul> </li> </ul>
Response header	<p data-bbox="394 1371 488 1392">/wsa:Action</p> <p data-bbox="394 1413 570 1434">Valid options include:</p> <ul style="list-style-type: none"> <li data-bbox="394 1444 976 1465">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/RenewFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/RenewFinal</a></li> <li data-bbox="394 1476 976 1497">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/CancelFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/CancelFinal</a></li> <li data-bbox="394 1507 984 1528">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/ValidateFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/ValidateFinal</a></li> <li data-bbox="394 1539 976 1560">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/IssueFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/IssueFinal</a></li> <li data-bbox="394 1570 989 1591">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/CancelFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/CancelFinal</a></li> <li data-bbox="394 1602 989 1623">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/RenewFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/RenewFinal</a></li> <li data-bbox="394 1633 997 1654">• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/ValidateFinal">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/ValidateFinal</a></li> </ul>

Table 109. Aspects of OASIS Trust V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Response elements and attributes	<ul style="list-style-type: none"> <li>/wst:RequestSecurityTokenResponse</li> <li>/wst:RequestSecurityTokenResponse/@Context</li> <li>/wst:RequestSecurityTokenResponse/wst:TokenType</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedSecurityToken</li> <li>/wst:RequestSecurityTokenResponse/wsp:AppliesTo</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedSecurityToken</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedAttachedReference</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedUnattachedReference</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedProofToken</li> <li>/wst:RequestSecurityTokenResponse/wst:Entropy</li> <li>/wst:RequestSecurityTokenResponse/wst:Entropy/wst:BinarySecret</li> <li>/wst:RequestSecurityTokenResponse/wst:Entropy/wst:BinarySecret/@Type</li> <li>/wst:RequestSecurityTokenResponse/wst:Lifetime</li> <li>/wst:RequestSecurityTokenResponse/wst:Lifetime/wsu:Created</li> <li>/wst:RequestSecurityTokenResponse/wst:Lifetime/wsu:Expires</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedProofToken/wst:ComputedKey</li> <li>/wst:RequestSecurityTokenResponse/wst:KeySize</li> <li>/wst:RequestSecurityTokenResponse/wst:Renewing</li> <li>/wst:RequestSecurityTokenResponse/wst:Renewing/@Allow</li> <li>/wst:RequestSecurityTokenResponse/wst:Renewing/@OK</li> <li>/wst:RequestSecurityTokenResponse/wst:RequestedTokenCancelled</li> <li>/wst:RequestSecurityTokenResponse/wst:Status</li> <li>/wst:RequestSecurityTokenResponse/wst:Status/wst:Code <ul style="list-style-type: none"> <li>• Valid responses include: <ul style="list-style-type: none"> <li>– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/status/valid">http://docs.oasis-open.org/ws-sx/ws-trust/200512/status/valid</a></li> <li>– <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/status/invalid">http://docs.oasis-open.org/ws-sx/ws-trust/200512/status/invalid</a></li> </ul> </li> </ul> </li> <li>/wst:RequestSecurityTokenResponse/wst:Status/wst:Reason</li> </ul>

Table 109. Aspects of OASIS Trust V1.3 standard supported in WebSphere Application Server (continued). Use the table to determine which aspects of the OASIS standard are supported.

Supported topic	Specific aspect that is supported
Error handling	wst:InvalidRequest
	wst:FailedAuthentication
	wst:RequestFailed
	wst:InvalidSecurityToken
	wst:AuthenticationBadElements
	wst:BadRequest
	wst:ExpiredData
	wst:InvalidTimeRange
	wst:InvalidScope
	wst:RenewNeeded
	wst:UnableToRenew

## Functionality that is not supported by WebSphere Application Server

The following list shows the functionality that is supported in the OASIS specifications, OASIS drafts, and other recommendations but is not supported by WebSphere Application Server Version 6 and later:

- Web Services Security SOAP Messages with Attachments (SwA) profile 1.0

**Note:** When using the JAX-WS programming model, securing the SOAP Message Transmission Optimization Mechanism (MTOM) attachment is supported. See the topic Enabling MTOM for JAX-WS web services for more information.

- XrML token profile
- XML enveloping digital signature
- XML enveloping digital encryption
- The following WS-SecureConversation functionality is not supported by WebSphere Application Server:
  - Two methods for establishing security context are not supported: 1) security context token created by one of the communicating parties and propagated with a message; and 2) security context token created through negotiation or exchanges.
  - SCT propagation
  - Amending security contexts
- The following transform algorithms for digital signatures are not supported:
  - XSLT: <http://www.w3.org/TR/1999/REC-xslt-19991116>
  - SOAP Message Normalization  
See SOAP Version 1.2 Message Normalization for information, such as an empty header or header entry with `mustUnderstand=false` is removed, and so forth.
  - Decryption transform
- The following key agreement algorithm for encryption is not supported:
  - Diffie-Hellman: <http://www.w3.org/TR/2002/REC-xmlenc-core-20021210/Overview.html#sec-DHKeyValue>
- The following canonicalization algorithm for encryption, which is optional in the XML encryption specification, is not supported:
  - Canonical XML with or without comments
  - Exclusive XML Canonicalization with or without comments

- DSA digital signature is not supported.
- Pre-agreed symmetric key data encryption is not supported.
- Auditing for nonrepudiation for digital signatures is not supported.
- In both versions of the Username Token Profile specification, the digest password type is not supported.
- In the Username Token Version 1.1 Profile specification, the key derivation based on a password is not supported.

## Unsupported function for WS-Trust Version 1.0 Draft and Version 1.3

The following tables show the aspects of the OASIS: Web Services Security: WS-Trust Version 1.0 Draft and Version 1.3 specifications that are **not** supported in WebSphere Application Server Version 6.1 Feature Pack for Web Services, and later.

*Table 110. Aspects of OASIS Trust V1.0 and V1.3 standard that are unsupported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are not supported.*

Unsupported topic	Specific aspect that is not supported
Elements and attributes	/wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret/@ Type  Unsupported request options: <ul style="list-style-type: none"> <li>• for <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/AsymmetricKey">http://schemas.xmlsoap.org/ws/2005/02/trust/AsymmetricKey</a> and <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/SymmetricKey">http://schemas.xmlsoap.org/ws/2005/02/trust/SymmetricKey</a> <ul style="list-style-type: none"> <li>– /wst:RequestSecurityToken/wst:Claims</li> <li>– /wst:RequestSecurityToken/wst:AllowPostdating</li> <li>– /wst:RequestSecurityToken/wst:OnBehalfOf</li> <li>– /wst:RequestSecurityToken/wst:AuthenticationType</li> <li>– /wst:RequestSecurityToken/wst:KeyType</li> </ul> </li> <li>• for <a href="http://schemas.xmlsoap.org/ws/2005/02/trust/PublicKey">http://schemas.xmlsoap.org/ws/2005/02/trust/PublicKey</a> <ul style="list-style-type: none"> <li>– /wst:RequestSecurityToken/wst:SignatureAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:EncryptionAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:CanonicalizationAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:ComputedKeyAlgorithm</li> <li>– /wst:RequestSecurityToken/wst:Encryption</li> <li>– /wst:RequestSecurityToken/wst:ProofEncryption</li> <li>– /wst:RequestSecurityToken/wst:UseKey</li> <li>– /wst:RequestSecurityToken/wst:UseKey/@ Sig</li> <li>– /wst:RequestSecurityToken/wst:SignWith</li> <li>– /wst:RequestSecurityToken/wst:EncryptWith</li> <li>– /wst:RequestSecurityToken/wst:DelegateTo</li> <li>– /wst:RequestSecurityToken/wst:Forwardable</li> <li>– /wst:RequestSecurityToken/wst:Delegatable</li> <li>– /wst:RequestSecurityToken/wsp:Policy</li> <li>– /wst:RequestSecurityToken/wsp:PolicyReference</li> </ul> </li> </ul>
Response elements and attributes	/wst:RequestSecurityTokenResponseCollection  /wst:RequestSecurityTokenResponseCollection/wst:RequestSecurityTokenResponse

Table 111. Aspects of OASIS Trust V1.3 standard that are unsupported in WebSphere Application Server. Use the table to determine which aspects of the OASIS standard are not supported.

Unsupported topic	Specific aspect that is not supported
Elements and attributes	<p>/wst:RequestSecurityToken/wst:Entropy/wst:BinarySecret/@Type</p> <p>Unsupported request options:</p> <ul style="list-style-type: none"> <li>• for <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/AsymmetricKey">http://docs.oasis-open.org/ws-sx/ws-trust/200512/AsymmetricKey</a> and <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/SymmetricKey">http://docs.oasis-open.org/ws-sx/ws-trust/200512/SymmetricKey</a> <ul style="list-style-type: none"> <li>- /wst:RequestSecurityToken/wst:Claims</li> <li>- /wst:RequestSecurityToken/wst:AllowPostdating</li> <li>- /wst:RequestSecurityToken/wst:OnBehalfOf</li> <li>- /wst:RequestSecurityToken/wst:AuthenticationType</li> <li>- /wst:RequestSecurityToken/wst:KeyType</li> </ul> </li> <li>• for <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/PublicKey">http://docs.oasis-open.org/ws-sx/ws-trust/200512/PublicKey</a> and <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Bearer">http://docs.oasis-open.org/ws-sx/ws-trust/200512/Bearer</a> <ul style="list-style-type: none"> <li>- /wst:RequestSecurityToken/wst:SignatureAlgorithm</li> <li>- /wst:RequestSecurityToken/wst:EncryptionAlgorithm</li> <li>- /wst:RequestSecurityToken/wst:CanonicalizationAlgorithm</li> <li>- /wst:RequestSecurityToken/wst:ComputedKeyAlgorithm</li> <li>- /wst:RequestSecurityToken/wst:Encryption</li> <li>- /wst:RequestSecurityToken/wst:ProofEncryption</li> <li>- /wst:RequestSecurityToken/wst:UseKey</li> <li>- /wst:RequestSecurityToken/wst:UseKey/@Sig</li> <li>- /wst:RequestSecurityToken/wst:SignWith</li> <li>- /wst:RequestSecurityToken/wst:EncryptWith</li> <li>- /wst:RequestSecurityToken/wst:DelegateTo</li> <li>- /wst:RequestSecurityToken/wst:Forwardable</li> <li>- /wst:RequestSecurityToken/wst:Delegatable</li> <li>- /wst:RequestSecurityToken/wsp:Policy</li> <li>- /wst:RequestSecurityToken/wsp:PolicyReference</li> </ul> </li> </ul>
Response header	<p>/wsa:Action</p> <p>Unsupported Responses:</p> <ul style="list-style-type: none"> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Issue">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Issue</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Renew">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Renew</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Cancel">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Cancel</a></li> <li>• <a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Validate">http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/Validate</a></li> </ul>

## Web Services Security specification - a chronology

The development of the Web Services Security specification includes information on the Organization for the Advancement of Structured Information Standards (OASIS) Web Services Security specification. The OASIS Web Services Security specification serves as a basis for securing web services in WebSphere Application Server.

**best-practices:** IBM WebSphere Application Server supports the Java API for XML-Based Web Services (JAX-WS) programming model and the Java API for XML-based RPC (JAX-RPC) programming model. JAX-WS is the next generation web services programming model extending the foundation provided by the JAX-RPC programming model. Using the strategic JAX-WS programming model, development of web services and clients is simplified through support of a standards-based annotations model. Although the JAX-RPC programming model and applications are still supported, take advantage of the easy-to-implement JAX-WS programming model to develop new web services applications and clients.

Advantages of using the JAX-WS programming model in WebSphere Application Server include:



- The configuration of qualities of service (QoS) is simplified when using policy sets. Policy sets combine configuration settings, including those for transport and message-level configuration. Policy sets and general bindings can be reused across multiple applications, making web services QoS more consumable.
- WS-Security for JAX-WS is supported in both a managed environment, such as a Java EE container, and unmanaged environments, such as Java Platform, Standard Edition (Java SE 6). In addition, there is an API for enabling WS-Security in the JAX-WS client.

## Non-OASIS activities

Web services is gaining rapid acceptance as a viable technology for interoperability and integration. However, securing web services is one of the paramount quality of services that makes the adoption of web services a viable industry and commercial solution for businesses. IBM and Microsoft jointly published a security white paper on web services entitled Security in a Web Services World: A Proposed Architecture and Roadmap. The white paper discusses the following initial and subsequent specifications in the proposed Web Services Security roadmap:

### Web service security

This specification defines how to attach a digital signature, use encryption, and use security tokens in SOAP messages.

### WS-Policy

This specification defines the language that is used to describe security constraints and the policy of intermediaries or endpoints.

### WS-Trust

This specification defines a framework for trust models to establish trust between web services.

### WS-Privacy

This specification defines a model of how to express a privacy policy for a web service and a requester.

### WS-SecureConversation

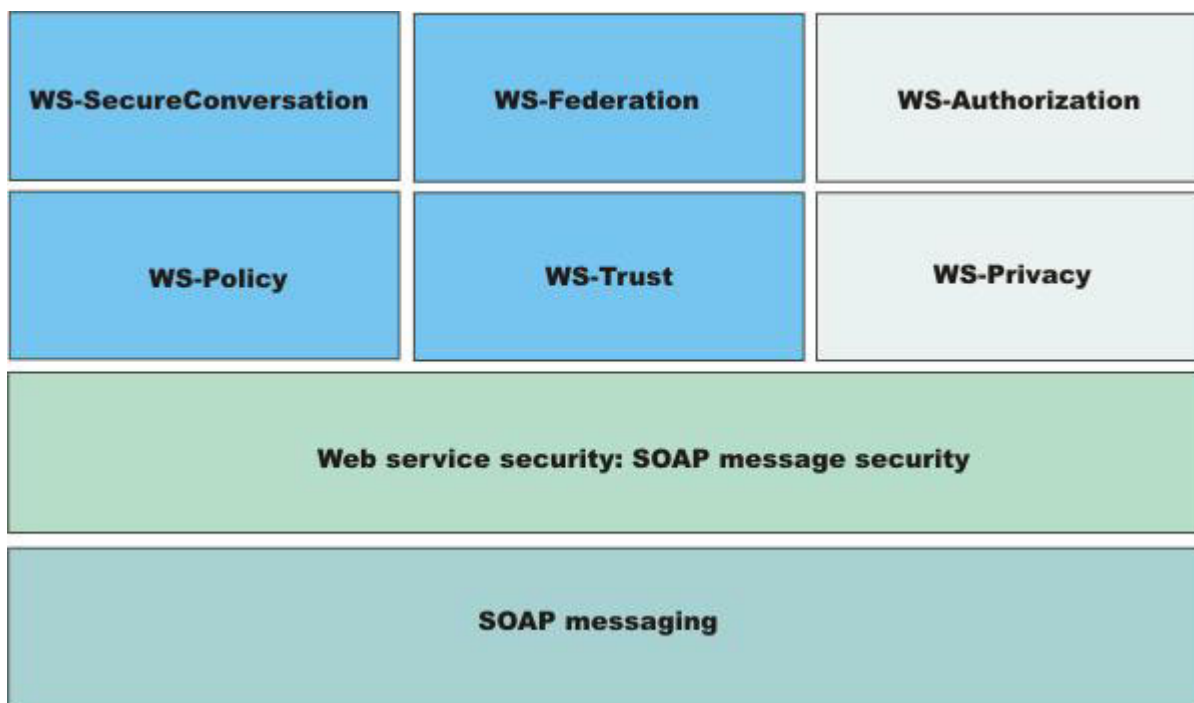
This specification defines how to exchange and establish a secured context, which derives session keys between web services.

### WS-Authorization

This specification defines the authorization policy for a Web service. However, the WS-Authorization specification has not been published. The existing implementation of Web Services Security is based upon the Web Services for Java Platform, Enterprise Edition (Java EE) or Java Specification Requirements (JSR) 109 specification. The implementation of Web Services Security leverages the Java EE role-based authorization checks. For conceptual information, read about role-based authorization. If you develop a web service that requires method-level authorization checks, then you must use stateless session beans to implement your web service. For more information, read about securing enterprise bean applications.

If you develop a web service that is implemented as a servlet, you can use coarse-grained or URL-based authorization in the web container. However, in this situation, you cannot use the identity from Web Services Security for authorization checks. Instead, you can use the identity from the transport. If you use SOAP over HTTP, then the identity is in the HTTP transport.

This following figure shows the relationship between these specifications:



In April 2002, IBM, Microsoft, and VeriSign proposed the Web Services Security (WS-Security) specification on their websites as depicted by the green box in the previous figure. This specification included the basic ideas of a security token, XML digital signature, and XML encryption. The specification also defined the format for user name tokens and encoded binary security tokens. After some discussion and an interoperability test based on the specification, the following issues were noted:

- The specification requires that the Web Services Security processors understand the schema correctly so that the processor distinguishes between the ID attribute for XML digital signature and XML encryption.
- The freshness of the message, which indicates whether the message complies with predefined time constraints, cannot be determined.
- Digested password strings do not strengthen security.

In August 2002, IBM, Microsoft, and VeriSign published the *Web Services Security Addendum*, which attempted to address the previously listed issues. The following solutions were addressed in the addendum:

- Require a global ID attribute for XML signature and XML encryption.
- Use time stamp header elements that indicate the time of the creation, receipt, or expiration of the message.
- Use password strings that are digested with a time stamp and nonce, which is a randomly generated token.

The specifications for the blue boxes in the previous figure have been proposed by various industry vendors and various interoperability events have been organized by the vendors to verify and refine the proposed specifications.

## OASIS activities

In June 2002, OASIS received a proposed Web Services Security specification from IBM, Microsoft, and VeriSign. The Web Services Security Technical Committee (WSS TC) was organized at OASIS soon after the submission. The technical committee included many companies including IBM, Microsoft, VeriSign, Sun Microsystems, and BEA Systems.

In September 2002, WSS TC published its first specification, Web Services Security Core Specification, Working Draft 01. This specification included the contents of both the original Web Services Security specification and its addendum.

The coverage of the technical committee became larger as the discussion proceeded. Because the Web Services Security Core Specification allows arbitrary types of security tokens, proposals were published as profiles. The profiles described the method for embedding tokens, including Security Assertion Markup Language (SAML) tokens and Kerberos tokens embedded into the Web Services Security messages. Subsequently, the definitions of the usage for user name tokens and X.509 binary security tokens, which were defined in the original Web Services Security Specification, were divided into the profiles.

WebSphere Application Server Versions 5.0.2, 5.1, and 5.1.1 support the following specifications:

- Web Services Security: SOAP Message Security Draft 13 (formerly Web Services Security Core Specification)
- Web Services Security: Username Token Profile Draft 2

In April 2004, the Web Service Security specification (officially called Web Services Security: SOAP Message Security Version 1.0) became the Version 1.0 OASIS standard. Also, the Username token and X.509 token profiles are Version 1.0 specifications. WebSphere Application Server 6 and later support the following Web Services Security specifications from OASIS:

- Web Services Security: SOAP Message Security 1.0 specification
- Web Services Security: Username Token 1.0 Profile
- Web Services Security: X.509 Token 1.0 Profile

In February 2006, the core Web Service Security specification was updated and became the Version 1.1 OASIS standard. Also, the Username token, X.509 token profile, and Kerberos token profile were updated to the Version 1.1 specifications. Portions of the following Web Services Security specifications from OASIS are supported in WebSphere Application Server, specifically signature confirmation, encrypted header, and thumbprint references:

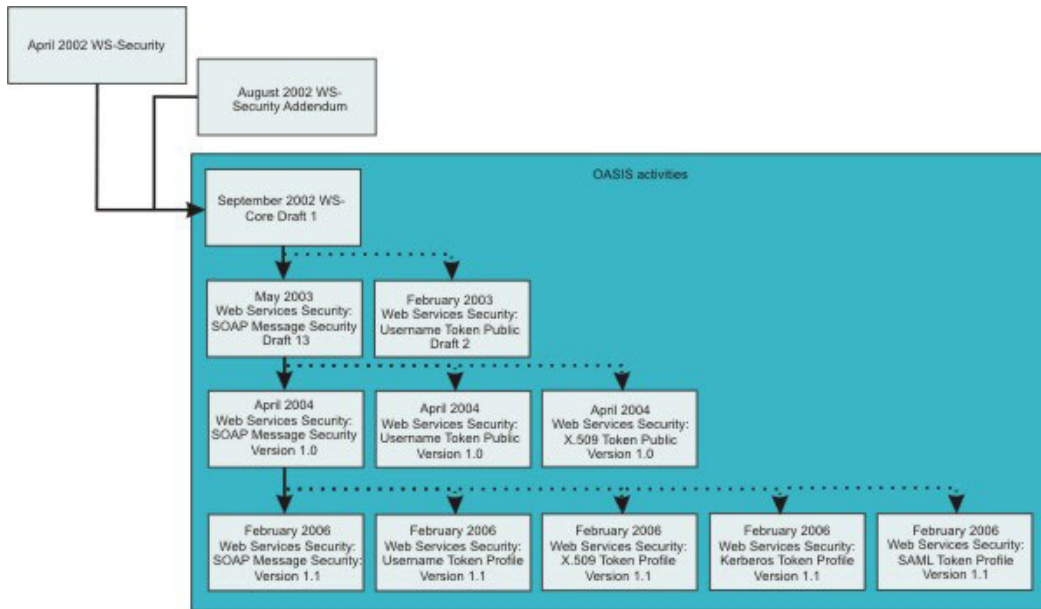
- OASIS: Web Services Security: SOAP Message Security 1.1 (WS-Security 2004) OASIS Standard Specification, 1 February 2006
- OASIS: Web Services Security UsernameToken Profile 1.1 OASIS Standard Specification, 1 February 2006
- OASIS: Web Services Security X.509 Certificate Token Profile 1.1 OASIS Standard Specification, 1 February 2006

The following specification describes the use of Kerberos tokens with respect to the Web Services Security message security specifications. The specification defines how to use a Kerberos token to support authentication and message protection: OASIS: Web Services Security Kerberos Token Profile 1.1 OASIS Standard Specification, 1 February 2006.

In 2007, the OASIS Web Services Secure Exchange Technical Committee (WS-SX) produced and approved the following specifications. Portions of these specifications are supported by WebSphere Application Server Version 7 and later.

- WS-SecureConversation
- WS-Trust
- WS-SecurityPolicy

The following figure shows the various Web Services Security-related specifications.



WebSphere Application Server also provides plug-in capability to enable security providers to extend the runtime capability and implement some of the higher level specifications in the Web Service Security stack. The plug-in points are exposed as Service Provider Programming Interfaces (SPI). For more information on these SPIs, see “Default implementations of the Web Services Security service provider programming interfaces” on page 911.

## Web Services Security specification 1.0 development

The OASIS Web Services Security specification is based upon the following World Wide Web Consortium (W3C) specifications. Most of the W3C specifications are in the standard body recommended status.

- XML-Signature Syntax and Processing  
W3C recommendation, February 2002 (Also, IETF RFC 3275, March 2002)
- Canonical XML Version 1.0  
W3C recommendation, March 2001
- Exclusive XML Canonicalization Version 1.0  
W3C recommendation, July 2002
- XML-Signature XPath Filter Version 2.0  
W3C Recommendation, November 2002
- XML Encryption Syntax and Processing  
W3C Recommendation, December 2002
- Decryption Transform for XML Signature  
W3C Recommendation, December 2002

These specifications are supported in WebSphere Application Server in the context of Web Services Security. For example, you can sign a SOAP message by specifying the integrity option in the deployment descriptors. There is a client side application programming interface (API) that an application can use to enable Web Services Security for securing a SOAP message.

The OASIS Web Services Security Version 1.0 specification defines the enhancements that are used to provide message integrity and confidentiality. It also provides a general framework for associating the security tokens with a SOAP message. The specification is designed to be extensible to support multiple security token formats. The particular security token usage is addressed with the security token profile.

## Specification and profile support in WebSphere Application Server

OASIS is working on various profiles. For more information, see Organization for the Advancement of Structured Information Standards Committees.

The following list includes of the published draft profiles and OASIS Web Services Security technical committee work in progress.

WebSphere Application Server does not support these profiles:

- Web Services Security: SAML token profile 1.0
- Web Services Security: Rights Expression Language (REL) token profile 1.0
- Web Services Security: SOAP Messages with Attachments (SwA) profile 1.0

**Note:** Support for Web Services Security draft 13 and Username token profile draft 2 is deprecated in WebSphere Application Server 5.0.2, 5.1.0 and 5.1.1. For migration information, see Migrating JAX-RPC Web Services Security applications to Version 8.0 applications.

The wire format of the SOAP message with Web Services Security in Web Services Security Version 1.0 has changed and is not compatible with previous drafts of the OASIS Web Services Security specification. Interoperability between OASIS Web Services Security Version 1.0 and previous Web Services Security drafts is not supported. However, it is possible to run an application that is based on Web Services Security draft 13 on WebSphere Application Server Version 6 and later. The application can interoperate with an application that is based on Web Services Security draft 13 on WebSphere Application Server Version 5.0.2, 5.1 or 5.1.1.

WebSphere Application Server supports both the OASIS Web Services Security draft 13 and the OASIS Web Services Security 1.0 specification. But in WebSphere Application Server Version 6 and later, the support of OASIS Web Services Security draft 13 is deprecated. However, applications that were developed using OASIS Web Services Security draft 13 on WebSphere Application Server 5.0.2, 5.1.0 and 5.1.1 can run on WebSphere Application Server Version 6 and later. OASIS Web Services Security Version 1.0 support is available only for Java Platform, Enterprise Edition (Java EE) Version 1.4 and later applications. The configuration format for the deployment descriptor and the binding is different from previous versions of WebSphere Application Server. You must migrate the existing applications to Java EE 1.4 and migrate the Web Services Security configuration to the WebSphere Application Server Version 6 format.

## Other Web Services Security specifications development

The most recently updated versions of the following OASIS Web Services Security specifications are supported in WebSphere Application Server in the context of Web Services Security:

- WS-Trust Version 1.3

The Web Services Trust Language (WS-Trust) uses the secure messaging mechanisms of Web Services Security to define additional primitives and extensions for the issuance, exchange and validation of security tokens. WS-Trust enables the issuance and dissemination of credentials within different trust domains. This specification defines ways to establish, assess the presence of, and broker trust relationships.

- WS-SecureConversation Version 1.3

The Web Services Secure Conversation Language (WS-SecureConversation) is built on top of the WS-Security and WS-Policy models to provide secure communication between services. WS-Security focuses on the message authentication model but not a security context, and thus is subject several forms of security attacks. This specification defines mechanisms for establishing and sharing security contexts, and deriving keys from security contexts, to enable a secure conversation. By using the SOAP extensibility model, modular SOAP-based specifications are designed to be composed with each other to provide a rich messaging environment.

- **WS-SecurityPolicy Version 1.2**

Web Services Security Policy (WS-Policy) provides a general purpose model and syntax to describe and communicate the policies of a web service. WS-Policy assertions express the capabilities and constraints of a particular web service. WS-PolicyAttachments defines several methods for associating the WS-Policy expressions with web services (such as WSDL). The Web Services Security specifications have been updated following the re-publication of WS-Security Policy in July 2005, to reflect the constraints and capabilities of web services that are using WS-Security, WSTrust and WS-SecureConversation. WS-ReliableMessaging Policy has also been re-published in 2005 to express the capabilities and constraints of web services implementing WS-ReliableMessaging.

## **Web Services Interoperability Organization (WS-I) activities**

Web Services Interoperability Organization (WS-I) is an open industry effort to promote web services interoperability across vendors, platforms, programming languages and applications. The organization is a consortium of companies across many industries including IBM, Microsoft, Oracle, Sun, Novell, VeriSign, and Daimler Chrysler. WS-I began working on the basic security profile (BSP) in the spring of 2003. BSP consists of a set of non-proprietary web services specifications that clarifies and amplifies those specifications to promote Web Services Security interoperability across different vendor implementations. As of June 2004, BSP is a public draft. For more information, see the Web Services Interoperability Organization web page.

Specifically, see Basic Security Profile Version 1.0 for details about the BSP. WebSphere Application Server supports compliance with the BSP draft, but Web Services Security does not support the BSP Version 1.1 draft. See “Basic Security Profile compliance tips” on page 940 for the details to configure your application in compliance with the BSP draft.

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## **Security planning overview**

When you access information on the Internet, you connect through web servers and product servers to the enterprise data at the back end. This section examines some typical configurations and common security practices.

This section also examines the security protection that is offered by each security layer and common security practice for good quality of protection in end-to-end security. The following figure illustrates the building blocks that comprise the operating environment for security within WebSphere Application Server:

The following information describes each of the components of WebSphere Application Server security, Java security, and Platform security that are illustrated in the previous figure.

### **WebSphere Application Server security**

#### **WebSphere security**

WebSphere Application Server security enforces security policies and services in a unified manner on access to Web resources, enterprise beans, and JMX administrative resources. It consists of WebSphere Application Server security technologies and features to support the needs of a secure enterprise environment.

### **Java security**

#### **Java Platform, Enterprise Edition (Java EE) security application programming interface (API)**

The security collaborator enforces Java Platform, Enterprise Edition (Java EE)-based security policies and supports Java EE security APIs.

#### **EJB security using Common Secure Interoperability Protocol Version 2 (CSlv2)**

Common Secure Interoperability Version 2 (CSlv2) is an IIOP-based, three-tiered, security protocol that is developed by the Object Management Group (OMG). This protocol

provides message protection, interoperable authentication, and delegation. The three layers include a base transport security layer, a supplemental client authentication layer, and a security attribute layer. WebSphere Application Server for z/OS supports CSiv2, conformance level 0.

### **Java 2 security**

The Java 2 Security model offers fine-grained access control to system resources including file system, system property, socket connection, threading, class loading, and so on. Application code must explicitly grant the required permission to access a protected resource.

### **Java Virtual Machine (JVM) 5.0**

The JVM security model provides a layer of security above the operating system layer. For example, JVM security protects the memory from unrestricted access, creates exceptions when errors occur within a thread, and defines array types.

## **Platform security**

### **Operating system security**

The security infrastructure of the underlying operating system provides certain security services for WebSphere Application Server. These services include the file system security support that secures sensitive files in the product installation for WebSphere Application Server. The system administrator can configure the product to obtain authentication information directly from the operating system user registry.

The security infrastructure of the underlying operating system provides certain security services for WebSphere Application Server. The operating system identity of the servant, controller, and daemon Started Task, as established by the STARTED profile, is the identity that is used to control access to system resources such as files or sockets. Optionally, the operating system security can provide authentication services using the User Registry of local operating system, and/or authorization services using SAF Authorization for the WebSphere Administration console and for applications running under the application server.

In addition to knowledge of Secure Sockets Layer (SSL) and Transport Layer Security (TLS), the administrator must be familiar with System Authorization Facility (SAF) and Resource Access Control Facility (RACF), or an equivalent SAF based product.

The identity and verification of users can be managed by using a Local Operating System as the User Registry, RACF or equivalent SAF base product. Alternatively, an LDAP, Custom, or Federated User Registry can be used.

WebSphere can be configured to use SAF Authorization, which will use RACF or an equivalent SAF based product to manage and protect users and group resources. Alternatively, WebSphere can be configured to use WebSphere Authorization or a JACC External Authorization Provider.

When using either Local Operating System as the User Registry and/or using SAF Authorization, security auditing is an inherit feature of RACF or the equivalent SAF based products.

### **Network security**

The Network Security layers provide transport level authentication and message integrity and confidentiality. You can configure the communication between separate application servers to use Secure Sockets Layer (SSL). Additionally, you can use IP Security and Virtual Private Network (VPN) for added message protection.

## **WebSphere Application Server, Network Deployment installation**

**Important:** A node agent instance exists on every computer node.

Each product application server consists of a web container, an Enterprise Java Beans (EJB) container, and the administrative subsystem.

The WebSphere Application Server deployment manager contains only WebSphere Application Server administrative code and the administrative console.

The administrative console is a special Java EE web application that provides the interface for performing administrative functions. WebSphere Application Server configuration data is stored in XML descriptor files, which must be protected by operating system security. Passwords and other sensitive configuration data can be modified using the administrative console. However, you must protect these passwords and sensitive data. For more information, see *Encoding passwords in files*.

The administrative console web application has a setup data constraint that requires access to the administrative console servlets and JavaServer Pages (JSP) files only through an SSL connection when administrative security is enabled.

In WebSphere Application Server Version 6.0.x and earlier, the administrator console HTTPS port was configured to use `DummyServerKeyFile.jks` and `DummyServerTrustFile.jks` with the default self-signed certificate. The dummy certificates and keys must be replaced immediately after WebSphere Application Server installation; the keys are common in all of the installation and are therefore insecure. WebSphere Application Server Version 6.1 provides integrated certificate and key management, which generate distinct private key and self-signed certificate with embedded server host name to enable host name verification. WebSphere Application Server Version 6.1 also enables integration with external certificate (CA) authority to use CA-issued certificates. The WebSphere Application Servers Version 6.1 installation process provides an option to enable administrative security during installation. As a result, a WebSphere Application Server process is secured immediately after installation. WebSphere Application Server Version 7.0 extends the embedded certificate management capabilities by creating a chained certificate (personal certificate signed by a root certificate) to enable refresh of the personal certificate without affecting the trust established. It also enables tailoring of the certificate during profile creation (you can import your own or change the distinguished name (DN) of the one created by default) as well as the ability to change the default keystore password.

The following figure shows a typical multiple-tier business computing environment.

## Administrative security

WebSphere Application Servers interact with each other through CSiv2 and Secure Authentication Services (SAS) security protocols as well as the HTTP and HTTPS protocols.

**Important:** SAS is supported only between Version 6.0.x and previous version servers that have been federated in a Version 6.1 cell.

You can configure these protocols to use Secure Sockets Layer (SSL) when you enable WebSphere Application Server administrative security. The WebSphere Application Server administrative subsystem in every server uses SOAP, Java Management Extensions (JMX) connectors and Remote Method Invocation over the Internet Inter-ORB Protocol (RMI/IIOP) JMX connectors to pass administrative commands and configuration data. When administrative security is disabled, the SOAP JMX connector uses the HTTP protocol and the RMI/IIOP connector uses the TCP/IP protocol. When administrative security is enabled, the SOAP JMX connector always uses the HTTPS protocol. When administrative security is enabled, you can configure the RMI/IIOP JMX connector to either use SSL or to use TCP/IP. It is recommended that you enable administrative security and enable SSL to protect the sensitive configuration data.

When administrative security is enabled, you can disable application security at each individual application server by clearing the **Enable administrative security** option at the server level. For more information,



see Securing specific application servers. Disabling application server security does not affect the administrative subsystem in that application server, which is controlled by the security configuration only. Both administrative subsystem and application code in an application server share the optional per server security protocol configuration.

## Security for Java EE resources

Security for Java EE resources is provided by the web container and the EJB container. Each container provides two kinds of security: declarative security and programmatic security.

In declarative security, an application security structure includes network message integrity and confidentiality, authentication requirements, security roles, and access control. Access control is expressed in a form that is external to the application. In particular, the deployment descriptor is the primary vehicle for declarative security in the Java EE platform. WebSphere Application Server maintains Java EE security policy, including information that is derived from the deployment descriptor and specified by deployers and administrators in a set of XML descriptor files. At runtime, the container uses the security policy that is defined in the XML descriptor files to enforce data constraints and access control.

When declarative security alone is not sufficient to express the security model of an application, you might use programmatic security to make access decisions. When administrative security is enabled and application server security is not disabled at the server level, Java EE applications security is enforced. When the security policy is specified for a web resource, the web container performs access control when the resource is requested by a web client. The web container challenges the web client for authentication data if none is present according to the specified authentication method, ensures that the data constraints are met, and determines whether the authenticated user has the required security role. The web security collaborator enforces role-based access control by using an access manager implementation. An access manager makes authorization decisions that are based on security policy derived from the deployment descriptor. An authenticated user principal can access the requested servlet or JSP file if the user principal has one of the required security roles. Servlets and JSP files can use the `HttpServletRequest` methods, `isUserInRole` and `getUserPrincipal`.

When administrative security and application security are enabled, and the application server level application security is not disabled, the EJB container enforces access control on EJB method invocation.

The authentication occurs regardless of whether method permission is defined for the specific EJB method. The EJB security collaborator enforces role-based access control by using an access manager implementation. An access manager makes authorization decisions that are based on security policy derived from the deployment descriptor. An authenticated user principal can access the requested EJB method if it has one of the required security roles. EJB code can use the `EJBContext` methods, `isCallerInRole` and `getCallerPrincipal`. Use the Java EE role-based access control to protect valuable business data from access by unauthorized users through the Internet and the intranet. Refer to Securing web applications using an assembly tool, and Securing enterprise bean applications.

## Role-based security

WebSphere Application Server extends the security, role-based access control to administrative resources including the JMX system management subsystem, user registries, and Java Naming and Directory Interface (JNDI) name space. WebSphere administrative subsystem defines four administrative security roles:

### Monitor role

A monitor can view the configuration information and status but cannot make any changes.

### Operator role

An operator can trigger run-time state changes, such as start an application server or stop an application but cannot make configuration changes.

## Configurator role

A configurator can modify the configuration information but cannot change the state of the runtime.

## Administrator role

An operator as well as a configurator, which additionally can modify sensitive security configuration and security policy such as setting server IDs and passwords, enable or disable administrative security and Java 2 security, and map users and groups to the administrator role.

## iscadmins

The iscadmins role has administrator privileges for managing users and groups from within the administrative console only.

WebSphere Application Server defines two additional roles that are available when you use wsadmin scripting only.

## Deployer

A deployer can perform both configuration actions and run-time operations on applications.

## Adminsecuritymanager

An administrative security manager can map users to administrative roles. Also, when fine grained admin security is used, users granted this role can manage authorization groups.

## Auditor

An auditor can view and modify the configuration settings for the security auditing subsystem.

A user with the configurator role can perform most administrative work including installing new applications and application servers. Certain configuration tasks exist that a configurator does not have sufficient authority to do when administrative security is enabled, including modifying a WebSphere Application Server identity and password, Lightweight Third-Party Authentication (LTPA) password and keys, and assigning users to administrative security roles. Those sensitive configuration tasks require the administrative role because the server ID is mapped to the administrator role.

Enable WebSphere Application Server administrative security to protect administrative subsystem integrity. Application server security can be selectively disabled if no sensitive information is available to protect. For securing administrative security, refer to Authorizing access to administrative roles and Assigning users and groups to roles.

## Java 2 security permissions

WebSphere Application Server uses the Java 2 security model to create a secure environment to run application code. Java 2 security provides a fine-grained and policy-based access control to protect system resources such as files, system properties, opening socket connections, loading libraries, and so on. The Java EE Version 1.4 specification defines a typical set of Java 2 security permissions that web and EJB components expect to have.

*Table 112. Java EE security permissions set for web components. The Java EE security permissions set for web components are shown in the following table.*

Security Permission	Target	Action
java.lang.RuntimePermission	loadLibrary	
java.lang.RuntimePermission	queuePrintJob	
java.net.SocketPermission	*	connect
java.io.FilePermission	*	read, write
java.util.PropertyPermission	*	read

*Table 113. Java EE security permissions set for EJB components. The Java EE security permissions set for EJB components are shown in the following table.*

Security Permission	Target	Action
java.lang.RuntimePermission	queuePrintJob	

Table 113. Java EE security permissions set for EJB components (continued). The Java EE security permissions set for EJB components are shown in the following table.

Security Permission	Target	Action
java.net.SocketPermission	*	connect
java.util.PropertyPermission	*	read

The WebSphere Application Server Java 2 security default policies are based on the Java EE Version 1.4 specification. The specification grants web components read and write file access permission to any file in the file system, which might be too broad. The WebSphere Application Server default policy gives web components read and write permission to the subdirectory and the subtree where the web module is installed. The default Java 2 security policies for all Java virtual machines and WebSphere Application Server processes are contained in the following policy files:

**`${java.home}/jre/lib/security/java.policy`**

This file is used as the default policy for the Java virtual machine (JVM).

**`${USER_INSTALL_ROOT}/properties/server.policy`**

This file is used as the default policy for all product server processes.

To simplify policy management, WebSphere Application Server policy is based on resource type rather than code base (location). The following files are the default policy files for a WebSphere Application Server subsystem. These policy files, which are an extension of the WebSphere Application Server runtime, are referred to as *Service Provider Programming Interfaces (SPI)*, and shared by multiple Java EE applications:

- `profile_root/config/cells/cell_name/nodes/node_name/spi.policy`  
This file is used for embedded resources defined in the `resources.xml` file, such as the Java Message Service (JMS), JavaMail, and JDBC drivers.
- `profile_root/config/cells/cell_name/nodes/node_name/library.policy`  
This file is used by the shared library that is defined by the WebSphere Application Server administrative console.
- `profile_root/config/cells/cell_name/nodes/node_name/app.policy`  
This file is used as the default policy for Java EE applications.

In general, applications do not require more permissions to run than those recommended by the Java EE specification to be portable among various application servers. However, some applications might require more permissions. WebSphere Application Server supports the packaging of a `was.policy` file with each application to grant extra permissions to that application.

**Attention:** Grant extra permissions to an application only after careful consideration because of the potential of compromising the system integrity.

Loading libraries into WebSphere Application Server does allow applications to leave the Java sandbox. WebSphere Application Server uses a permission filtering policy file to alert you when an application installation fails because of additional permission requirements. For example, it is recommended that you not give the `java.lang.RuntimePermission exitVM` permission to an application so that application code cannot terminate WebSphere Application Server.

The filtering policy is defined by the filtermask in the `profile_root/config/cells/cell_name/filter.policy` file. Moreover, WebSphere Application Server also performs run-time permission filtering that is based on the run-time filtering policy to ensure that application code is not granted a permission that is considered harmful to system integrity.

Therefore, many applications developed for prior releases of WebSphere Application Server might not be Java 2 security ready. To quickly migrate those applications to the latest version of WebSphere Application

Server, you might temporarily give those applications the `java.security.AllPermission` permission in the `was.policy` file. Test those applications to ensure that they run in an environment where Java 2 security is active. For example, identify which extra permissions, if any, are required, and grant only those permissions to a particular application. Not granting the `AllPermission` permission to applications can reduce the risk of compromising system integrity. For more information on migrating applications, refer to *Migrating Java 2 security policy*.

The WebSphere Application Server runtime uses Java 2 security to protect sensitive run-time functions. Applications that are granted the `AllPermission` permission not only have access to sensitive system resources, but also WebSphere Application Server run-time resources and can potentially cause damage to both. In cases where an application can be trusted as safe, WebSphere Application Server does support having Java 2 security disabled on a per application server basis. You can enforce Java 2 security by default in the administrative console and clear the Java 2 security flag to disable it at the particular application server.

When you specify the **Enable administrative security** and **Use Java 2 security to restrict application access to local resources** options on the Global security panel of the administrative console, the information and other sensitive configuration data, are stored in a set of XML configuration files. Both role-based access control and Java 2 security permission-based access control are employed to protect the integrity of the configuration data. The example uses configuration data protection to illustrate how system integrity is maintained.

**Attention:** The **Enable global security** option in previous releases of WebSphere Application Server is the same as the **Enable administrative security** option in Version 8.0. Also, the **Enable Java 2 security** option in previous releases is the same as the **Use Java 2 security to restrict application access to local resources** option in Version 8.0.

- When Java 2 security is enforced, the application code cannot access the WebSphere Application Server run-time classes that manage the configuration data unless the code is granted the required WebSphere Application Server run-time permissions.
- When Java 2 security is enforced, application code cannot access the WebSphere Application Server configuration XML files unless the code is granted the required file read and write permission.
- The JMX administrative subsystem provides SOAP over HTTP or HTTPS and a RMI/IIOP remote interface to enable application programs to extract and to modify configuration files and data. When administrative security is enabled, an application program can modify the WebSphere Application Server configuration if the application program has presented valid authentication data and the security identity has the required security roles.
- If a user can disable Java 2 security, the user can also modify the WebSphere Application Server configuration, including the WebSphere Application Server security identity and authentication data with other sensitive data. Only users with the administrator security role can disable Java 2 security.
- Because WebSphere Application Server security identity is given to the administrator role, only users with the administrator role can disable administrative security, change server IDs and passwords, and map users and groups to administrative roles, and so on.

## Other Runtime resources

Other WebSphere Application Server run-time resources are protected by a similar mechanism, as described previously. It is very important to enable WebSphere Application Server administrative security and to use Java 2 security to restrict application access to local resources. Java EE Specification defines several authentication methods for web components: HTTP Basic Authentication, Form-Based Authentication, and HTTPS Client Certificate Authentication. When you use client certificate login, it is more convenient for the browser client if the web resources have integral or confidential data constraint. If a browser uses HTTP to access the web resource, the web container automatically redirects the browser to the HTTPS port. The CSIV2 security protocol also supports client certificate authentication. You can also use SSL client authentication to set up secure communication among a selected set of servers based on a trust relationship.

If you start from the WebSphere Application Server plug-in at the web server, you can configure SSL mutual authentication between it and the WebSphere Application Server HTTPS server. When using a certificate, you can restrict the WebSphere Application Server plug-in to communicate with only the selected two WebSphere Application Servers as shown in the following figure. Note that you can use self-signed certificates to reduce administration and cost.

For example, you want to restrict the HTTPS server in WebSphere Application Server **A** and in WebSphere Application Server **B** to accept secure socket connections only from the WebSphere Application Server plug-in **W**.

- To complete this task, you can generate three certificates using the IKEYMAN and the certificate management utilities. Also, you can use certificate **W** and trust certificate **A** and **B**. Configure the HTTPS server of WebSphere Application Server **A** to use certificate **A** and to trust certificate **W**.

Configure the HTTPS server of WebSphere Application Server **B** to use certificate **B** and to trust certificate **W**.

*Table 114. Trust relationships from example. The trust relationship that is depicted in the previous figure is shown in the following table.*

Server	Key	Trust
WebSphere Application Server plug-in	W	A, B
WebSphere Application Server A	A	W
WebSphere Application Server B	B	W

The WebSphere Application Server Deployment Manager is a central point of administration. System management commands are sent from the deployment manager to each individual application server. When administrative security is enabled, you can configure WebSphere Application Servers to require SSL and mutual authentication.

You might want to restrict WebSphere Application Server **A** so that it can communicate with WebSphere Application Server **C** only and WebSphere Application Server **B** can communicate with WebSphere Application Server **D** only. All WebSphere Application Servers must be able to communicate with WebSphere Application Server deployment manager **E**; therefore, when using self-signed certificates, you might configure the CSiv2 and SOAP/HTTPS Key and trust relationship, as shown in the following table.

*Table 115. CSiv2 and SOAP/HTTPS Key and trust relationships from example. The CSiv2 and SOAP/HTTPS Key and trust relationships are shown in the following table.*

Server	Key	Trust
WebSphere Application Server A	A	C, E
WebSphere Application Server B	B	D, E
WebSphere Application Server C	C	A, E
WebSphere Application Server D	D	B, E
WebSphere Application Server Deployment Manager E	E	A, B, C, D

When WebSphere Application Server is configured to use Lightweight Directory Access Protocol (LDAP) user registry, you also can configure SSL with mutual authentication between every application server and the LDAP server with self-signed certificates so that a password is not visible when it is passed from WebSphere Application Server to the LDAP server.

In this example, the node agent processes are not discussed. Each node agent must communicate with application servers on the same node and with the deployment manager. Node agents also must communicate with LDAP servers when configured to use an LDAP user registry. It is reasonable to let the

deployment manager and the node agents use the same certificate. Suppose application server **A** and **C** are on the same computer node. The node agent on that node needs to have certificates **A** and **C** in its trust store.

WebSphere Application Server does not provide a registry configuration or management utility. In addition, it does not dictate the registry password policy. It is recommended that you use the password policy recommended by your registry, including the password length and expiration period.

Before securing your WebSphere Application Server environment, determine which versions of WebSphere Application Server you are using, review the WebSphere Application Server security architecture, and review each of the following topics:

- Server and administrative security
- Authentication protocol support
- Common Secure Interoperability Version 2 features
- Identity assertion to the downstream server
- `../ae/tsec_aumech.dita`
  - Lightweight Third Party Authentication
  - Trust associations
  - Single sign-on for authentication using LTPA cookies
- `../ae/tsec_userregistry.dita`
  - Local operating system registries
  - Standalone Lightweight Directory Access Protocol registries
- Java 2 security
  - Java 2 security policy files
- `../ae/csec_jaas.dita`
  - Programmatic login for JAAS
- Java EE connector security
- Access control exception for Java 2 security
  - `../ae/csec_rolebased.dita`
  - Administrative roles and naming service authorization
- Implementing a custom authentication provider using JASPI

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## Security considerations when registering a base Application Server node with the administrative agent

You might decide to centralize the control of your stand-alone base application servers by registering them with the administrative agent. If your base application server is currently configured with security, some issues require consideration. These security considerations apply to the use of the `registerNode` command and the `deregisterNode` command.

The goal of the `registerNode` command is to take a stand-alone base node and convert it into one that is managed by the administrative agent. The main parameter of the `registerNode` command is `profilePath`, which specifies where on the local machine the administrative agent can find the node. The `portsFile` parameter contains keys to determine which ports the administrative agent listens to, on behalf of the base node. The format is the same as that for `manageProfiles` command line.

The `registerNode` command is run from the administrative agent itself. It is used to register a node with an administrative agent. It is required that the administrative agent be on the same system as the node being registered. The `registerNode` command is only valid on a base node. If a node has been federated to a deployment manager the `registerNode` command fails with an error.

First, the exchange signers process for profile registration processes the default secure sockets layer (SSL) configuration, in which it obtains the root certificate signers from the `NodeDefaultRootStore` of the administrative agent and stores them in the `NodeDefaultTrustStore` of the target profile. Next, the process obtains the root certificate signers from the target profile's `NodeDefaultRootStore` and stores them in the

NodeDefaultTrustStore of the administrative agent. The signers are stored in the target profiles trust store using the alias prefix "agent\_signer", and are stored in the administrative agents trust store using the alias prefix "<profileName>\_signer".

Next, the exchange signers process for profile registration processes the RSAToken authentication configuration, in which it obtains the root certificate signers from the NodeRSATokenRootStore of the administrative agent and stores them in the NodeRSATokenTrustStore of the target profile. Next, the process obtains the root certificate signers from the target profile's NodeRSATokenRootStore and stores them in the NodeRSATokenTrustStore of the administrative agent. The signers are stored in the target profile's trust store using the alias prefix "agent\_signer", and are stored in the administrative agents trust store using the alias prefix "<profileName>\_signer".

In addition, the registration process stores all root certificate signers (SSL and RSAToken) from the administrative agent into the target profile's client trust store (ClientDefaultTrustStore by default).

The **deregisterNode** command activates the de-registration process which removes all signers exchanged during the registration process from both the administrative agent and base profile. The base node's configuration is retained, except that it is marked as not registered with an administrative agent. This command is only valid for a previously registered base node.

The following issues require consideration when running the **registerNode** command with security:

- When attempting to run system management commands such as the **registerNode** command, you need to explicitly specify administrative credentials to perform the operation. The **registerNode** command accepts **-username** and **-password** parameters to specify the user ID and password, respectively. The user ID and password that are specified must be for an administrative user; for example, a user that is a member of the console users with Administrator privileges or the administrative user ID configured in the user registry. An example of the **registerNode** command follows:

```
registerNode -profilePath /WebSphere/AppServer/profiles/default -host localhost -connType SOAP -port 8877 -username WSADMIN -password ADMINPWD
```

- Before registering to an administrative agent, a node must have administrative security enabled or disabled.
- Once a node is registered, you cannot enable or disable the administrative security for that node (or any other registered node) until the node has been de-registered.

Proper understanding of the security interactions between distributed servers greatly reduces problems that are encountered with secure communications. Security adds complexity because additional function needs management. The administrative agent provides a way of managing additional function while preserving security.

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## Security considerations when adding a base Application Server node to WebSphere Application Server, Network Deployment

You might decide to centralize the configuration of your stand-alone base application servers by adding them into a WebSphere Application Server, Network Deployment cell. If your base application server is currently configured with security, some issues require consideration. The major issue when adding a node to the cell is whether the user registries between the base application server and the deployment manager are the same.

When adding a node to the cell, you automatically inherit both the user registry and the authentication mechanism of the cell.

For distributed security, all servers in the cell must use the same user registry and authentication mechanism. To recover from a user registry change, you must modify your applications so that the user and group-to-role mappings are correct for the new user registry. See the article on Assigning users and groups to roles.

Another important consideration is the Secure Sockets Layer (SSL) public-key infrastructure. Prior to performing the **addNode** command with the deployment manager, verify that the **addNode** command can communicate as an SSL client with the deployment manager. This communication requires that the addNode truststore that is configured in the `sas.client.props` file contains the signer certificate of the deployment manager personal certificate, as found in the keystore and specified in the administrative console.

The following issues require consideration when running the **addNode** command with security:

- When attempting to run system management commands such as the **addNode** command, you need to explicitly specify administrative credentials to perform the operation. The **addNode** command accepts `-username` and `-password` parameters to specify the user ID and password, respectively. The user ID and password that are specified must be for an administrative user; for example, a user that is a member of the console users with Administrator privileges or the administrative user ID configured in the user registry. An example of the **addNode** command follows:

```
addNode CELL_HOST 8879 -includeapps -username user -password pass.
```

The `-includeapps` parameter is optional, but this option attempts to include the server applications into the Deployment Manager. The **addNode** command might fail if the user registries used by WebSphere Application Server and the deployment manager are not the same. To correct this problem, either make the user registries the same or turn off security. If you change the user registries, remember to verify that the users-to-roles and groups-to-roles mappings are correct. See `addNode` command for more information on the `addNode` syntax.

- Adding a secured remote node through the administrative console is not supported. You can either disable security on the remote node before performing the operation or perform the operation from the command line using the `addNode` script.
- Before running the **addNode** command, you must verify that the truststore files on the nodes can communicate with the keystore files from the deployment manager and vice versa. When using the default `DummyServerKeyFile` and `DummyServerTrustFile`, you should not see this problem as these are already able to communicate. However, never use these dummy files in a production environment.
- When a client from a previous release tries to use the **add node** command to federate to a 7.0 deployment manager, the client must first obtain signers for a successful handshake. For more information, see "Obtaining signers from a previous release" in the article on Secure installation for client retrieval.
- After running the **addNode** command, the application server is in a new SSL domain. It might contain SSL configurations that point to keystore and truststore files that are not prepared to interoperate with other servers in the same domain. Consider which servers are intercommunicating and ensure that the servers are trusted within your truststore files.

Proper understanding of the security interactions between distributed servers greatly reduces problems that are encountered with secure communications. Security adds complexity because additional function needs management. Security needs thorough consideration during the planning of your infrastructure. This document helps to reduce the problems that can occur because of inherent security interactions.

When you have security problems that are related to the WebSphere Application Server, Network Deployment environment, see `Troubleshooting security configurations` to find additional information about the problem. When trace is needed to solve a problem because servers are distributed, it is often required to gather trace on all servers simultaneously while recreating the problem. This trace can be enabled dynamically or statically, depending on the type of problem that is occurring.



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## Security: Resources for learning

Use the following links to find relevant supplemental information about Securing applications and their environment. The information resides on IBM and non-IBM Internet sites, whose sponsors control the technical accuracy of the information.

These links are provided for convenience. Often, the information is not specific to the IBM WebSphere Application Server, but is useful in all or part for understanding the product. When possible, links are provided to technical papers and IBM Redbooks that supplement the broad coverage of the release documentation with in-depth examinations of particular product areas.

View links to additional information about:

- “Planning, business scenarios and IT architecture”
- “Programming model and decisions”
- “Programming specifications”
- “Administration” on page 1072
- “Tutorials” on page 1072

### Planning, business scenarios and IT architecture

- WebSphere Application Server Library
- WebSphere Application Server Support
- WebSphere Application Server Version 6 Security
- “Accessing the samples” on page 11

The technology sample in the WebSphere Application Server Samples Gallery contains several security-related samples including the form login sample and the Java Authentication and Authorization Service (JAAS) login sample.

- WebSphere Application Server security: Presentation series

### Programming model and decisions

- IBM Software Development Kit resource packages and documentation

This website contains documentation, example code, and ancillary files relating to the IBM Software Development Kits (SDK). You can obtain information about the IBM implementation of Java Secure Sockets Extension (JSSE), Java Cryptography Extension (JCE), Java Generic Security Services (JGSS), iKeyman, and so on.

- Federated Identity Management and Web Services Security with IBM Tivoli Security Solutions

### Programming specifications

- J2EE Specifications
- EJB Specifications
- Servlet Specifications
- Common Secure Interoperability Version 2 (CSIv2) Specification
- JAAS Specification.

For programming and usage in JAAS, refer to the specification located at <http://www.ibm.com/developerworks/java/jdk/security/> and scroll down to find the JAAS documentation for your platform. This document contains the following when unpacked:

- login.html - LoginModule Developer's Guide
- api.html - Developer's Guide (JAAS JavaDoc)
- HelloWorld.tar - Sample JAAS Application
- Java 2 Platform, Standard Edition, v5.0 API Specification
- Java Authorization Contract for Containers (JSR 115) Specification
- Java Authentication Service Provider Interface for Containers (JSR 196) Specification
- The Kerberos Network Authentication Service Version 5
- The Simple and Protected GSS-API Negotiation Mechanism
- Kerberos: The Network Authentication Protocol

## Administration

- WebSphere Application Server Version 6: Security Handbook

This is a redpiece or a draft version of WebSphere Application Server Version 6 Security handbook. It is designed to help programmers, administrators, and architects understand the features available in WebSphere Application Server Version 6. WebSphere Application Server V6 Migration Guide

- IBM WebSphere Version 5.0 Security

This book provides an overview of WebSphere Application Server Version 5 Security, including J2EE security and programmatic security techniques. It also provides information about end-to-end security solutions that include WebSphere Application Server Version 5 as part of an e-business solution.

- IBM HTTP Server Support and Documentation
- IBM Directory Server Support and Documentation
- IBM developer kits

This website provides access to the IBM developer kits that are provided by the IBM Centre for Java Technology Development. Using this website, you can find various security and diagnostic information including information on the Federal Information Processing Standard, Java Version 1.4.1, Java Version 1.4.2, the iKeyman tool, and the Public Key Cryptography Standards (PKCS).

- IBM cryptographic hardware devices
- Supported hardware, software and APIs prerequisite website
- IBM Education Assistant
- Understanding LDAP - Design and Implementation
- WebSphere security fundamentals
- Advanced authentication in WebSphere Application Server

## Tutorials

- IBM Education Assistant: Enabling security best practices tutorials

See these tutorials for overview information about WebSphere Application Server security.

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## Common Criteria (EAL4) support

The National Institute of Standards and Technology (NIST) has developed Common Criteria to ensure you have a safe option for downloading software to use on your systems. Information held by IT products or systems is a critical resource that enables organizations to succeed in their mission. Additionally, individuals have a reasonable expectation that their personal information contained in IT products or systems remain private, be available to them as needed, and not be subject to unauthorized modification. IT products or systems should perform their functions while exercising proper control of the information to ensure it is protected against hazards such as unwanted or unwarranted dissemination, alteration, or loss. The term IT security is used to cover prevention and mitigation of these and similar hazards.

WebSphere Application Server Version 6.1 was certified at the Common Criteria EAL4 level, the highest level of any commercially available application server. WebSphere Application Server Version 7 was designed to meet or exceed the security capabilities of WebSphere Application Server Version 6.1, including the EAL4 requirements. The US CCEVS is no longer certifying software products as Common Criteria EAL compliant because they are moving to a new security standard referred to as Protection Profiles. The Protection Profiles requirements for middleware software have not yet been closed. When the Protection Profiles do close, it is our intent to see WebSphere Application Server Version 8 certified at the appropriate Protection Profiles level.

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## Federal Information Processing Standard support

Federal Information Processing Standards (FIPS) are standards and guidelines issued by the United States National Institute of Standards and Technology (NIST) for federal government computer systems. FIPS can be enabled for WebSphere Application Server.

FIPS are developed when there are compelling federal government requirements for standards, such as for security and interoperability, but acceptable industry standards or solutions do not exist. Government agencies and financial institutions use these standards to ensure that the products conform to specified security requirements. For more information on these standards, see the National Institute of Standards and Technology.

WebSphere Application Server integrates cryptographic modules including Java Secure Socket Extension (JSSE) and Java Cryptography Extension (JCE), which have undergone FIPS 140-2 certification. In the WebSphere Application Server documentation, the IBM JSSE and JCE modules that have undergone FIPS certification are referred to as IBMJSSEFIPS and IBMJCEFIPS.

To enable FIPS for WebSphere Application Server, see Configuring Federal Information Processing Standard Java Secure Socket Extension files. When you enable FIPS, several components of the Application Server are affected including the cipher suites, the cryptographic providers, the load balancer, the caching proxy, the high availability manager, and the data replication service.

See Secure transports with JSSE and JCE programming interfaces for more information on the impact the Federal Information Processing Standard has on WebSphere Application Server.

You can use the following IBM products with WebSphere Application Server and maintain a FIPS level of security compliance:

The DB2 Universal Database uses FIPS 140-2 approved cryptographic providers.

#### **IBM Tivoli Directory Server**

The IBM Tivoli Directory Server provides the **Use FIPS certified implementation** option, which enables the directory server to use the FIPS-certified encryption algorithms. For more information, see "Setting the level of encryption" within the IBM Tivoli Directory Server Administration Guide.

#### **WebSphere Application Server - Edge Component**

The caching proxy contains a directive for enabling FIPS. For more information, see the Caching Proxy Administration Guide.

You can find more information about the Federal Information processing Standards (FIPS) on the Support website including recommended updates for WebSphere Application Server.



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## Chapter 36. Overview and new features for developing and deploying applications

View the topics in the following list to learn more about developing applications for deployment on this product.

### What is new for developers

This topic provides an overview of new and changed features of the programming model and application serving environment as it pertains to development and test efforts.

### Learn about WebSphere applications: Overview and new features

This topic provides an overview of the programming model.

### Accessing the samples

The samples are a good way to become familiar with the programming model.

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## What is new for developers

This version contains many new and changed features for application developers.

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## Learn about WebSphere applications: Overview and new features

Use the **Learn about WebSphere applications** section as a starting point to study the programming model, encompassing the many parts used in and by various application types supported by the application server.

The programming model for applications deployed on this product has the following aspects.

- Java specifications and other open standards for developing applications
- WebSphere programming model extensions to enhance application functionality
- Containers and services in the application server, used by deployed applications, and which sometimes can be extended

The diagram shows a single application server installation. The parts pertaining to the programming model are discussed here. Other parts comprise the product architecture, independent of the various application types outlined by the programming model. See “WebSphere Application Server architecture” on page 14.

## Java EE application components

The product supports application components that conform to Java Platform, Enterprise Edition (Java EE) specifications.

### Web applications run in the web container

The web container is the part of the application server in which web application components run. Web applications are comprised of one or more related servlets, JavaServer Pages technology (JSP files), and Hyper Text Markup Language (HTML) files that you can manage as a unit. Combined, they perform a business logic function.

The web container processes servlets, JSP files, and other types of server-side includes. Each application server runtime has one logical web container, which can be modified, but not created or removed. Each web container provides the following.

### Web container transport chains

Requests are directed to the web container using the web container inbound transport

chain. The chain consists of a TCP inbound channel that provides the connection to the network, an HTTP inbound channel that serves HTTP requests, and a web container channel over which requests for servlets and JSP files are sent to the web container for processing.

### **Servlet processing**

When handling servlets, the web container creates a request object and a response object, then invokes the servlet service method. The web container invokes the servlet's destroy method when appropriate and unloads the servlet, after which the JVM performs garbage collection.

*Servlets* can perform such tasks as supporting dynamic web page content, providing database access, serving multiple clients at one time, and filtering data.

*JSP files* enable the separation of the HTML code from the business logic in web pages. IBM extensions to the JSP specification make it easy for HTML authors to add the power of Java technology to web pages, without being experts in Java programming.

### **HTML and other static content processing**

Requests for HTML and other static content that are directed to the web container are served by the web container inbound chain. However, in most cases, using an external web server and web server plug-in as a front end to the web container is more appropriate for a production environment.

### **Session management**

Support is provided for the `javax.servlet.http.HttpSession` interface as described in the Servlet application programming interface (API) specification.

An *HTTP session* is a series of requests to a servlet, originating from the same user at the same browser. Sessions allow applications running in a web container to keep track of individual users. For example, many web applications allow users to dynamically collect data as they move through the site, based on a series of selections on pages they visit. Where the user goes next, or what the site displays next, might depend on what the user has chosen previously from the site. To maintain this data, the application stores it in a "session."

### **SIP applications and their container**

SIP applications are Java programs that use at least one Session Initiation Protocol (SIP) servlet. SIP is used to establish, modify, and terminate multimedia IP sessions including IP telephony, presence, and instant messaging.

### **Portlet applications and their container**

Portlet applications are special reusable Java servlets that appear as defined regions on portal pages. Portlets provide access to many different applications, services, and web content.

## **EJB applications run in the EJB container**

The EJB container provides all of the runtime services needed to deploy and manage enterprise beans. It is a server process that handles requests for both session and entity beans.

*Enterprise beans* are Java components that typically implement the business logic of Java EE applications, as well as accessing data. The enterprise beans, packaged in EJB modules, installed in an application server do not communicate directly with the server. Instead, the EJB container is an interface between EJB components and the application server. Together, the container and the server provide the enterprise bean runtime environment.

The container provides many low-level services, including threading and transaction support. From an administrative perspective, the container handles data access for the contained beans. A single container can host more than one EJB Java archive (JAR) file.

## Client applications and other types of clients

In a client-server environment, clients communicate with applications running on the server. *Client applications* or *application clients* generally refers to clients implemented according to a particular set of Java specifications, and which run in the client container of a Java EE-compliant application server. Other clients in the WebSphere Application Server environment include clients implemented as web applications (*web clients*), clients of web services programs (*web services clients*), and clients of the product systems administration (*administrative clients*).

### Client applications and their container

The client container is installed separately from the application server, on the client machine. It enables the client to run applications in an EJB-compatible Java EE environment. The diagram shows a Java client running in the client container.

This product provides a convenient launchClient tool for starting the application client, along with its client container runtime.

Depending on the source of technical information, client applications sometimes are called application clients. In this documentation, the two terms are synonymous.

### Web clients, known also as web browser clients

The diagram shows a web browser client, which can be known simply as a web client, making a request to the web container of the application server. A web client or web browser client runs in a web browser, and typically is a web application.

### Web services clients

Web services clients are yet another kind of client that might exist in your application serving environment. The diagram does not depict a web services client. The web services information includes information about this type of client.

### Administrative clients

The diagram shows two kinds of administrative clients: a scripting client and the administrative console that is the graphical user interface (GUI) for administering this product. Both are accessing parts of the systems administration infrastructure. In the sense that they are basically the same for whatever kind of applications you are deploying on the server, administrative clients are part of the product architecture. However, because many of these clients are programs you create, they are discussed as part of the programming model for completeness.

## Web services

### Web services

The diagram shows the web services engine, part of the web services support in the application server runtime. Web services are self-contained, modular applications that can be described, published, located, and invoked over a network. They implement a service-oriented architecture (SOA), which supports the connecting or sharing of resources and data in a flexible and standardized manner. Services are described and organized to support their dynamic, automated discovery and reuse.

The product acts as both a web services provider and as a requestor. As a provider, it hosts web services that are published for use by clients. As a requestor, it hosts applications that invoke web services from other locations. The diagram shows the web services engine in this capacity, contacting a web services provider or gateway.

## SCA composites

### Service Component Architecture (SCA)

SCA composites consist of components that implement business functions in the form of services.

## Data access, messaging, and Java EE resources

### Data access resources

Connection management for access to enterprise information systems (EIS) in the application server is based on the Java EE Connector Architecture (JCA) specification. The diagram shows JCA services helping an application to access a database in which the application retrieves and persists data.

The connection between the enterprise application and the EIS is done through the use of EIS-provided resource adapters, which are plugged into the application server. The architecture specifies the connection management, transaction management, and security contracts between the application server and EIS.

The Connection Manager (not shown) in the application server pools and manages connections. It is capable of managing connections obtained through both resource adapters defined by the JCA specification and data sources defined by the JDBC 2.0 Extensions specification.

*JDBC resources* (JDBC providers and data sources) are a type of *Java EE resource* used by applications to access data. Although data access is a broader subject than that of JDBC resources, this information often groups data access under the heading of Java EE resources for simplicity.

*JCA resource adapters* are another type of Java EE resource used by applications. The JCA defines the standard architecture for connecting the Java EE platform to heterogeneous EIS. Imagine an ERP, mainframe transaction processing, database systems, and legacy applications not written in the Java programming language.

The JCA resource adapter is a system-level software driver supplied by EIS vendors or other third-party vendors. It provides the connectivity between Java EE application servers or clients and an EIS. To use a resource adapter, install the resource adapter code and create configurations that use that adapter. The product provides a predefined relational resource adapter for your use.

### Messaging resources and messaging engines

JMS support enables applications to exchange messages asynchronously with other JMS clients by using JMS destinations (queues or topics). Applications can use message-driven beans to automatically retrieve messages from JMS destinations and JCA endpoints without explicitly polling for messages.

For inbound non-JMS requests, message-driven beans use a Java EE Connector Architecture (JCA) 1.5 resource adapter written for that purpose. For JMS messaging, message-driven beans can use a JCA-based messaging provider such as the default messaging provider that is part of the product.

The messaging engine supports the following types of message providers.

#### Default messaging provider (service integration bus)

The default messaging provider uses the service integration bus for transport. The default message provider provides point-to-point functions, as well as publish and subscribe functions. Within this provider, you define JMS connection factories and destinations that correspond to service integration bus destinations.

#### WebSphere MQ provider

You can use WebSphere MQ as the external JMS provider. The application server provides the JMS client classes and administration interface, while WebSphere MQ provides the queue-based messaging system.

#### Generic JMS provider

You can use another messaging provider as long as it implements the ASF component of the JMS 1.0.2 specification. JMS resources for this provider cannot be configured using the administrative console.



**transition:** Version 6 replaces the Version 5 concept of a JMS server with a messaging engine built into the application server, offering the various kinds of providers mentioned previously. The Version 5 messaging provider is offered for configuring resources for use with Version 5 embedded messaging. You also can use the Version 5 default messaging provider with a service integration bus.

EJB 2.1 introduces an `ActivationSpec` for connecting message-driven beans to destinations. For compatibility with Version 5, you still can configure JMS message-driven beans (EJB 2.0) against a listener port. For those message-driven beans, the message listener service provides a listener manager that controls and monitors one or more JMS listeners, each of which monitors a JMS destination on behalf of a deployed message-driven bean.

## Service integration bus

The service integration bus provides a unified communication infrastructure for messaging and service-oriented applications. The service integration bus is a JMS provider that provides reliable message transport and uses intermediary logic to adapt message flow intelligently into the network. It supports the attachment of web services requestors and providers. Its capabilities are fully integrated into product architecture, including the security, system administration, monitoring, and problem determination subsystems.

The service integration bus is often referred to as just a bus. When used to host JMS applications, it is often referred to as a messaging bus. It consists of the following parts (not shown at this level of detail in the diagram).

### Bus members

Application servers added to the bus.

### Messaging engine

The component that manages bus resources. It provides a connection point for clients to produce or from where to consume messages.

### Destinations

The place within the bus to which applications attach to exchange messages. Destinations can represent web services endpoints, messaging point-to-point queues, or messaging publish and subscribe topics. Destinations are created on a bus and hosted on a messaging engine.

### Message store

Each messaging engine uses a set of tables in a supported data store (such as a JDBC database) to hold information such as messages, subscription information, and transaction states.

Through the service integration bus web services enablement, you can:

- Make an internal service that is already available at a service destination available as a web service.
- Make an external web service available at a service destination.
- Use the web services gateway to map an existing service, either an internal service or an external web service, to a new web service that appears to be provided by the gateway.

## Mail, URLs, and other Java EE resources

The following kinds of Java EE resources are used by applications deployed on a J2EE-compliant application server.

- JDBC resources and other technology for data access (previously discussed)
- JCA resource adapters (previously discussed)
- JMS resources and other messaging support (previously discussed)
- JavaMail support, for applications to send Internet mail

The **JavaMail APIs** provide a platform and protocol-independent framework for building Java-based mail client applications. The APIs require service providers, known as protocol providers, to interact with mail servers that run on the appropriate protocols.

A mail provider encapsulates a collection of protocol providers, including Simple Mail Transfer Protocol (SMTP) for sending mail; Post Office Protocol (POP) for receiving mail; and Internet Message Access Protocol (IMAP) as another option for receiving mail. To use another protocol, you must install the appropriate service provider for the protocol.

JavaMail requires not only service providers, but also the JavaBeans Activation Framework (JAF), as the underlying framework to handle complex data types that are not plain text, such as Multipurpose Internet Mail Extensions (MIME), URL pages, and file attachments.

- URLs, for describing logical locations

URL providers implement the functionality for a particular URL protocol, such as HTTP, enabling communication between the application and a URL resource that is served by a particular protocol. A default URL provider is included for use by any URL resource with protocols based on the supported Java Platform, Standard Edition (Java SE) specification, such as HTTP, FTP, or File. You also can plug in your own URL providers that implement additional protocols.

- Resource environment entries, for mapping logical names to physical names

The `java:comp/env` environment provides a single mechanism by which both the JNDI name space objects and local application environment objects can be looked up. The product provides numerous local environment entries by default.

The Java EE specification also provides a mechanism for defining customer environment entries by defining entries in the standard deployment descriptor of an application. The Java EE specification uses the following methods to separate the definition of the resource environment entry from the application.

- Requiring the application server to provide a mechanism for defining separate administrative objects that encapsulate a resource environment entry. The administrative objects are accessible using JNDI in the application server local name space (`java:comp/env`).
- Specifying the administrative object's JNDI lookup name and expected returned object type. This specification is performed in the aforementioned resource environment entry in the deployment descriptor.

The product supports the use of resource environment entries with the following administrative concepts.

- A *resource environment entry* defines the binding target (JNDI name), factory class, and return object type (via the link to a referenceable) of the resource environment entry.
- A *referenceable* defines the class name of the factory that returns object instances implementing a Java interface.
- A *resource environment provider* groups together the referenceable, resource environment entries and any required custom properties.

## Security

### Security programming model and infrastructure

The product provides security infrastructure and mechanisms to protect sensitive Java EE resources and administrative resources and to address enterprise end-to-end security requirements on authentication, resource access control, data integrity, confidentiality, privacy, and secure interoperability.

Security infrastructure and mechanisms protect Java Platform, Enterprise Edition (Java EE) resources and administrative resources, addressing your enterprise security requirements. In turn, the security infrastructure of this product works with the existing security infrastructure of your multiple-tier enterprise computing framework. Based on open architecture, the product provides many plug-in points to integrate with enterprise software components to provide end-to-end security.

The security infrastructure involves both a programming model and elements of the product architecture that are independent of the application type.

## **Additional services for use by applications**

### **Naming and directory**

Each application server provides a naming service that in turn provides a Java Naming and Directory Interface (JNDI) name space. The service is used to register resources hosted on the application server. The JNDI implementation is built on top of a Common Object Request Broker Architecture (CORBA) naming service (CosNaming).

JNDI provides the client-side access to naming and presents the programming model used by application developers. CosNaming provides the server-side implementation and is where its name space is actually stored. JNDI essentially provides a client-side wrapper of the name space stored in CosNaming, and interacts with the CosNaming server on behalf of the client.

Clients of the application server use the naming architecture to obtain references to objects related to those applications. The objects are bound into a mostly hierarchical structure called the name space. It consists of a set of name bindings, each one of which is a name relative to a specific context and the object bound with that name. The name space can be accessed and manipulated through a name server.

This product provides the following naming and directory features.

- Distributed name space, for additional scalability
- Transient and persistent partitions, for binding at various scopes
- Federated name space structure across multiple servers
- Configured bindings for defining bindings bound by the system at server startup
- Support for CORBA Interoperable Naming Service (INS) object URLs

Note that with the addition of virtual member manager to provide federated repository support for product security, the product now offers more extensive and sophisticated identity management capabilities than ever before, especially in combination with other WebSphere and Tivoli products.

### **Object Request Broker (ORB)**

The product uses an ORB to manage interaction between client applications and server applications, as well as among product components. An ORB uses IIOP to enable clients to make requests and receive requests from servers in a network distributed environment.

The ORB provides a framework for clients to locate objects in the network and call operations on those objects as though the remote objects were located in the same running process as the client, providing location transparency.

Although not shown in the diagram, one place in which the ORB comes into play is where the client container is contacting the EJB container on behalf of a Java client.

### **Transactions**

Part of the application server is the transaction service. The product provides advanced transactional capabilities to help application developers avoid custom coding. It provides support for the many challenges related to integrating existing software assets with a Java EE environment. These measures include ActivitySessions (described below).

Applications running on the server can use transactions to coordinate multiple updates to resources as one unit of work such that all or none of the updates are made permanent. Transactions are started and ended by applications or the container in which the applications are deployed.

The application server is a transaction manager that supports coordination of resource managers and participates in distributed global transactions with other compliant transaction managers.

The server can be configured to interact with databases, JMS queues, and JCA connectors through their local transaction support when distributed transaction support is not required.

How applications use transactions depends on the type of application, for example:

- A session bean either can manage its transactions itself, or delegate the management of transactions to the container.
- Entity beans use container-managed transactions.
- Web components, such as servlets, use bean-managed transactions.

The product handles transactions with the following components.

- A transaction manager supports the enlistment of recoverable XAResources and ensures each resource is driven to a consistent outcome, either at the end of a transaction, or after a failure and restart of the application server.
- A container manages the enlistment of XAResources on behalf of deployed applications when it performs updates to transactional resource managers such as databases. Optionally, the container can control the demarcation of transactions for EJB applications that have enterprise beans configured for container-managed transactions.
- An API handles bean-managed enterprise beans and servlets, allowing such application components to control the demarcation of their own transactions.

## WebSphere extensions

WebSphere programming model extensions are the programming model benefits you gain by purchasing this product. They represent leading edge technology to enhance application capability and performance, and make programming and deployment faster and more productive.

In addition, your applications can use the Eclipse extension framework. Your applications are extensible as soon as you define an extension point and provide the extension processing code for the extensible area of the application. You can also plug an application into another extensible application by defining an extension that adheres to the target extension point requirements. The extension point can find the newly added extension dynamically and the new function is seamlessly integrated in the existing application. It works on a cross Java Platform, Enterprise Edition (Java EE) module basis. The application extension registry uses the Eclipse plug-in descriptor format and application programming interfaces (APIs) as the standard extensibility mechanism for WebSphere applications. Developers who build WebSphere application modules can use WebSphere Application Server extensions to implement Eclipse tools and to provide plug-in modules to contribute functionality such as actions, tasks, menu items, and links at predefined extension points in the WebSphere application. For more information about this feature, see Application extension registry.

The various WebSphere programming model extensions, and the corresponding application services that support them in the application server runtime, can be considered in three groups: Business Object Model extensions, Business Process Model extensions, and extensions for producing Next Generation Applications.

### Extensions pertaining to the Business Object Model

Business object model extensions operate with business objects, such as enterprise bean (EJB) applications.

#### Application profiling

Application profiling is a WebSphere extension for defining strategies to dynamically control concurrency, prefetch, and read-ahead.

Application profiling and access intent provide a flexible method to fine-tune application performance for enterprise beans without impacting source code. Different enterprise beans, and even different methods in one enterprise bean, can have their own intent to access resources. Profiling the components based on their access intent increases performance in the application server runtime.

### **Dynamic query**

Dynamic query is a WebSphere programming extension for unprecedented application flexibility. It lets you dynamically build and submit queries that select, sort, join, and perform calculations on application data at runtime. Dynamic Query service provides the ability to pass in and process EJB query language queries at runtime, eliminating the need to hard-code required queries into deployment descriptors during application development.

Dynamic query improves enterprise beans by enabling the client to run custom queries on EJB components during runtime. Until now, EJB lookups and field mappings were implemented at development time and required further development or reassembly in order to be changed.

### **Dynamic cache**

The dynamic cache service improves performance by caching the output of servlets, commands, and JSP files. This service within the application server intercepts calls to cacheable objects and either stores the output of the object or serves the content of the object from the dynamic cache.

Because Java EE applications have high read-write ratios and can tolerate small degrees of latency in the currency of their data, the dynamic cache can create opportunity for significant gains in server response time, throughput, and scalability.

Features include cache replication among clusters, cache disk offload, Edge side include caching, and external caching - the ability to control caches outside of the application server, such as that of your Web server.

### **Extensions pertaining to the Business Process Model**

Business process model extensions provide process, workflow functionality, and services for the application server. Use them in conjunction with business integration capabilities.

#### **ActivitySessions**

ActivitySessions are a WebSphere extension for reducing the complexity of dealing with commitment rules and limitations associated with one-phase commit resources.

ActivitySessions provide the ability to extend the scope of multiple local transactions, and to group them. This enables them to be committed based on deployment criteria or through explicit program logic.

#### **Web services**

Web services are self-contained, modular applications that can be described, published, located, and invoked over a network. They implement a services oriented architecture (SOA), which supports the connecting or sharing of resources and data in a very flexible and standardized manner. Services are described and organized to support their dynamic, automated discovery and reuse.

### **Extensions for creating next generation applications**

*Next generation extentions* can be used in applications that need the specific extensions. These enable next generation development by leveraging the latest innovations that build on today's Java EE standards. This provides greater control over application development, execution, and performance than was ever possible before.

#### **Asynchronous beans**

Asynchronous beans offer performance enhancements for resource-intensive tasks by enabling single tasks to run as multiple tasks. Asynchronous scheduling facilities can also be used to process parallel processing requests in "batch mode" at a designated time. The product provides full support for asynchronous execution and invocation of threads and components within the application server. The application server provides execution and security context for the components, making them an integral part of the application.

### **Startup beans**

Startup beans allow the automatic execution of business logic when the application server starts or stops. For example, they might be used to pre-fill application-specific caches, initialize application-level connection pools, or perform other application-specific initialization and termination procedures.

### **Object pools**

Object pools provide an effective means of improving application performance at runtime, by allowing multiple instances of objects to be reused. This reuse reduces the overhead associated with instantiating, initializing, and garbage-collecting the objects. Creating an object pool allows an application to obtain an instance of a Java object and return the instance to the pool when it has finished using it.

### **Internationalization**

The internationalization service is a WebSphere extension for improving developer productivity. It allows you to automatically recognize the time zone and location information of the calling client, so that your application can act appropriately. The technology enables you to deliver each user, around the world, the right date and time information, the appropriate currencies and languages, and the correct date and decimal formats.

### **Scheduler**

The scheduler service is a WebSphere programming extension responsible for starting actions at specific times or intervals. It helps minimize IT costs and increase application speed and responsiveness by maximizing utilization of existing computing resources. The scheduler service provides the ability to process workloads using parallel processing, set specific transactions as high priority, and schedule less time-sensitive tasks to process during low traffic off-hours.

### **Work areas**

Work areas are a WebSphere extension for improving developer productivity. Work areas provide a capability much like that of "global variables." They provide a solution for passing and propagating contextual information between application components.

Work areas enable efficient sharing of information across a distributed application. For example, you might want to add profile information as each customer enters your application. By placing this information in a work area, it will be available throughout your application, eliminating the need to hand-code a solution or to read and write information to a database.

## **Specifications and API documentation**

The WebSphere Application Server product supports various industry standards. This topic lists the specifications and application programming interface (API) documentation supported in current and previous product releases.

### **Components**

- Any application type
- Web applications
- Portlet applications
- SIP applications
- EJB applications
- OSGi applications
- Client applications
- Web services
- Service Component Architecture
- Service integration
- Data access resources
- Messaging resources
- Mail, URLs, and other Java EE resources
- Security
- Web Services Security

- Naming and directory
- Object Request Broker
- Transactions
- WebSphere extensions
- Administration

The **Version 8.0** column in the tables lists the latest specification level that the product supports. However, support for specifications is compatible with earlier versions of the product; the Version 8.0 product supports all specifications that are listed for Version 6.0 through Version 8.0. For example, for any application type, the Version 8.0 product supports Java EE 5 and 6 and J2EE 1.2, 1.3, and 1.4. The word “New” beside a specification indicates that the product first supported the specification in that product version.

## Any application type

Table 116. Supported specifications for any application type. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Platform, Enterprise Edition (Java EE) specification  Prior to Java EE 5, the specification name was Java 2 Platform, Enterprise Edition (J2EE).	Java EE 6 (JSR 316) New	Java EE 5 New	J2EE 1.4	J2EE 1.4 New  J2EE 1.3  J2EE 1.2
Java Platform, Standard Edition (Java SE) specification  Prior to Java SE 6, the specification name was Java 2 Platform, Standard Edition (J2SE).	Java SE 6	Java SE 6 New	J2SE 5	J2SE 1.4.2
ISO 8859 specifications	ISO 8859 applies to these versions.			

## Web applications

Table 117. Supported specifications for web applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Servlet specification (JSR 154, JSR 53 and JSR 315)	Java Servlet 3.0 New	Java Servlet 2.5 New	Java Servlet 2.4	Java Servlet 2.4 New  Java Servlet 2.3
JavaServer Faces (JSF) specification (JSR 252 and 127)	Apache MyFaces - JSF 2.0 New	Sun Reference Implementation - JSF 1.2  Apache MyFaces 1.2 - JSF 1.2	JSF 1.1	JSF 1.0
JavaServer Pages (JSP) specification (JSR 245, JSR 152, and JSR 53)	JSP 2.2 New	JSP 2.1 New	JSP 2.0	JSP 2.0 New  JSP 1.2

## Portlet applications

Table 118. Supported specifications for portlet applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Portlet specification	Portlet 2.0 (JSR 286)	Portlet 2.0 (JSR 286) New	Portlet 1.0 (JSR 168)	Not applicable. The product first supports portlets in Version 6.1.

## Session Initialization Protocol applications

Table 119. Supported specifications and APIs for SIP applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Session Initiation Protocol (SIP) Servlet API  For a complete list of SIP and SIP proxy standards, see “SIP industry standards compliance” on page 602.	SIP 1.1 (JSR 289) New	SIP 1.1 (JSR 289) New for Feature Pack for CEA 1.0	SIP 1.0 (JSR 116)	Not applicable. The product first supports SIP in Version 6.1.

## Enterprise bean (EJB) applications

Table 120. Supported specifications and APIs for EJB applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Enterprise JavaBeans (EJB) specification	EJB 3.1 New	EJB 3.0	EJB 3.0 New for Feature Pack for EJB 3.0	EJB 2.1 New  EJB 2.0  EJB 1.1
Java DataBase Connectivity (JDBC) API	JDBC 4.0	JDBC 4.0 New	JDBC 3.0	JDBC 3.0 New  JDBC 2.1 and Optional Package API (2.0)
Java Message Service (JMS) specification	JMS 1.1	JMS 1.1	JMS 1.1	JMS 1.1 New
Java Persistence API (JPA) specification	JPA 2.0	JPA 2.0  New for Feature Pack for OSGi and JPA 2.0	JPA 1.0  New for Feature Pack for EJB 3.0	Not applicable



## OSGi applications

Table 121. Supported specifications and APIs for OSGi applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
OSGi Service Platform specification	OSGi Service Platform Release 4 Version 4.2	OSGi Service Platform Release 4 Version 4.2  New for Feature Pack for OSGi and JPA 2.0	Not applicable	Not applicable
OSGi Alliance RFC-0112 Bundle Repository specification	OSGi Alliance RFC-0112 (Draft)	OSGi Alliance RFC-0112 (Draft)  New for Feature Pack for OSGi and JPA 2.0	Not applicable	Not applicable

## Client applications

Table 122. Supported specifications and APIs for client applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Web Start architecture	Java Web Start 1.4.2	Java Web Start 1.4.2	Java Web Start 1.4.2	Java Web Start 1.4.2 New

## Web services

Table 123. Supported specifications and APIs for web services. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Architecture for XML Binding (JAXB) specification	JAXB 2.2 New	JAXB 2.1 New	JAXB 2.0 New for Feature Pack for Web Services	Not applicable
Java Architecture for XML Binding (JAXB) Reference Implementation Vendor Extensions Runtime Properties specification	JAXB 2.2 RI Vendor Extensions New	JAXB 2.1 RI Vendor Extensions New	JAXB 2.0 RI Vendor Extensions New for Feature Pack for Web Services	Not applicable
Java API for XML Processing (JAXP) specification	1.4  Included in Java SE 6.	1.4  Included in Java SE 6.	1.3  Included in J2SE 5.	1.2  Maintenance release of JSR 63
Java API for XML Registries (JAXR) specification	JAXR 1.0	JAXR 1.0	JAXR 1.0	JAXR 1.0 New
Java API for XML-based RPC (JAX-RPC) specification	JAX-RPC 1.1	JAX-RPC 1.1	JAX-RPC 1.1	JAX-RPC 1.1 New
Java API for RESTful Web Services (JAX-RS) specification	JAX-RS 1.1 New			

Table 123. Supported specifications and APIs for web services (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java API for XML Web Services (JAX-WS) specification	JAX-WS 2.2 New	JAX-WS 2.1 New	JAX-WS 2.0 New for Feature Pack for Web Services	Not applicable
SOAP	SOAP 1.2	SOAP 1.2	SOAP 1.2 New for Feature Pack for Web Services	SOAP 1.1
SOAP with Attachments API for Java (SAAJ) Specification	SAAJ 1.3	SAAJ 1.3	SAAJ 1.3 New for Feature Pack for Web Services	SAAJ 1.2 New
SOAP over Java Message Service (SOAP over JMS)	W3C SOAP over JMS 1.0	W3C SOAP over JMS 1.0 (submission draft)		
SOAP Message Transmission Optimization Mechanism (MTOM)	MTOM 1.0	MTOM 1.0	MTOM 1.0 New for Feature Pack for Web Services	Not applicable
Streaming API for XML (StAX)	StAX 1.0	StAX 1.0	StAX 1.0 New for Feature Pack for Web Services	Not applicable
Universal Description, Discovery and Integration (UDDI)	UDDI 3.0	UDDI 3.0	UDDI 3.0	UDDI 3.0 New
W3C XML Schema	<ul style="list-style-type: none"> <li>XML Schema 1.0</li> <li>XML Schema Part 1</li> <li>XML Schema Part 2</li> </ul>	<ul style="list-style-type: none"> <li>XML Schema 1.0</li> <li>XML Schema Part 1</li> <li>XML Schema Part 2</li> </ul>	<ul style="list-style-type: none"> <li>XML Schema 1.0</li> <li>XML Schema Part 1</li> <li>XML Schema Part 2</li> </ul>	<ul style="list-style-type: none"> <li>XML Schema 1.0</li> <li>XML Schema Part 1</li> <li>XML Schema Part 2</li> </ul>
<p>Web Services Addressing (WS-Addressing)</p> <p>For more information, see “Web Services Addressing version interoperability” on page 761.</p>	<p>WS-Addressing 1.0 family of specifications:</p> <ul style="list-style-type: none"> <li>1.0 Core</li> <li>1.0 SOAP Binding</li> <li>1.0 Metadata</li> <li>WS-Addressing WSDL Binding, W3C Candidate Recommendation</li> <li>WS-Addressing, W3C Submission</li> </ul>	<p>WS-Addressing 1.0 family of specifications:</p> <ul style="list-style-type: none"> <li>1.0 Core</li> <li>1.0 SOAP Binding</li> <li>1.0 Metadata</li> <li>WS-Addressing WSDL Binding, W3C Candidate Recommendation</li> <li>WS-Addressing, W3C Submission</li> </ul>	<p>WS-Addressing 1.0 family of specifications:</p> <ul style="list-style-type: none"> <li>Core</li> <li>SOAP Binding</li> <li>WSDL Binding</li> <li>WS-Addressing WSDL Binding, W3C Last Call</li> <li>WS-Addressing, W3C Submission</li> </ul>	Not applicable
Web Services Atomic Transaction (WS-AT)	WS-AT 1.2	WS-AT 1.1 New WS-AT 1.2 New	WS-AT 1.0	WS-AT 1.0 New
Web Services Business Activity (WS-BA)	WS-BA 1.2	WS-BA 1.1 New WS-BA 1.2 New	WS-BA 1.0	Not applicable
Web Services Coordination (WS-COOR)	WS-COOR 1.2	WS-COOR 1.1 New WS-COOR 1.2 New	WS-COOR 1.0	WS-COOR 1.0 New

Table 123. Supported specifications and APIs for web services (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Web Services Description Language (WSDL)	WSDL 1.1	WSDL 1.1	WSDL 1.1	WSDL 1.1
Web Services for Java Platform, Enterprise Edition (Java EE) (JSR 109)  Prior to Web Services for Java EE, the specification name was Web Services for Java 2 Platform, Enterprise Edition (J2EE).	JSR 109 1.3 New	JSR 109 1.2 New	JSR 109 1.1	JSR 109 1.1 New
Web Services Interoperability Organization (WS-I) Basic Profile	WS-I Basic Profile 1.2  WS-I Basic Profile 2.0	WS-I Basic Profile 1.2 (draft)  WS-I Basic Profile 2.0 (draft)	WS-I Basic Profile 1.2 (draft) New for Feature Pack for Web Services  WS-I Basic Profile 2.0 (draft) New for Feature Pack for Web Services	WS-I Basic Profile 1.1 New
Web Services-Interoperability (WS-I) Attachments Profile	WS-I Attachments 1.0	WS-I Attachments 1.0	WS-I Attachments 1.0	WS-I Attachments 1.0 New
Web Services Interoperability (WS-I) Reliable Secure Profile (RSP)  Prior to WS-I RSP, the specification was named Reliable Asynchronous Messaging Profile (RAMP)	WS-I RSP 1.0	RAMP 1.0	RAMP 1.0 New for Feature Pack for Web Services	Not applicable
Web Services Invocation Framework (WSIF)	WSIF	WSIF	WSIF	WSIF
Web Services Metadata for the Java Platform (JSR 181)	Web Services Metadata for the Java Platform	Web Services Metadata for the Java Platform	Web Services Metadata for the Java Platform New for Feature Pack for Web Services	Not applicable
Web Services Notification (WS-Notification)	WS-Notification 1.3 family of specifications: <ul style="list-style-type: none"> <li>• WS-BaseNotification 1.3</li> <li>• WS-BrokeredNotification 1.3</li> <li>• WS-Topics 1.3</li> </ul>	WS-Notification 1.3 family of specifications: <ul style="list-style-type: none"> <li>• WS-BaseNotification 1.3</li> <li>• WS-BrokeredNotification 1.3</li> <li>• WS-Topics 1.3</li> </ul>	WS-Notification 1.3 family of specifications: <ul style="list-style-type: none"> <li>• WS-BaseNotification 1.3</li> <li>• WS-BrokeredNotification 1.3</li> <li>• WS-Topics 1.3</li> </ul>	Not applicable

Table 123. Supported specifications and APIs for web services (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Web Services Policy (WS-Policy) specification	Web Services Policy 1.5  Web Services Addressing 1.0 - Metadata  Web Services Atomic Transaction Version 1.0 and Web Services Atomic Transaction Version 1.1  Web Services Reliable Messaging Policy Assertion Version 1.0 and Web Services Reliable Messaging Policy Assertion Version 1.1  WS-SecurityPolicy 1.2	Web Services Policy 1.5 New  Web Services Addressing 1.0 - Metadata New  Web Services Atomic Transaction Version 1.0 and Web Services Atomic Transaction Version 1.1 New  Web Services Reliable Messaging Policy Assertion Version 1.0 and Web Services Reliable Messaging Policy Assertion Version 1.1 New  WS-SecurityPolicy 1.2 New	Not applicable	
Web Services Reliable Messaging	WS-MakeConnection Version 1.0	WS-MakeConnection Version 1.0 New	WS-ReliableMessaging 1.0 and WS-ReliableMessaging 1.1. New for Feature Pack for Web Services	Not applicable
Web Services Resource Framework (WSRF)	WSRF 1.2	WSRF 1.2	WSRF 1.2 New	Not applicable
XML-binary Optimized Packaging (XOP)	XOP 1.0	XOP 1.0	XOP 1.0 New for Feature Pack for Web Services	Not applicable

## Service Component Architecture

The product supports the following Service Component Architecture (SCA) specifications. The product supports most sections of the specifications, although some sections are not supported. See “Unsupported SCA specification sections” on page 422.

Table 124. Supported specifications and APIs for SCA applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
SCA Assembly Model specification	SCA Assembly Model 1.00	SCA Assembly Model 1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable

Table 124. Supported specifications and APIs for SCA applications (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
SCA Policy Framework specification	SCA Policy Framework 1.00	SCA Policy Framework 1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable
SCA Transaction Policy specification	SCA Transaction Policy 1.00	SCA Transaction Policy 1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable
SCA Java Common Annotations and APIs specification	SCA Java Common Annotations and APIs 1.00	SCA Java Common Annotations and APIs 1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable
SCA Java Component Implementation specification	SCA Java Component Implementation 1.00	SCA Java Component Implementation 1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable
SCA Web Services Binding specification	SCA Web Services Binding V1.00	SCA Web Services Binding V1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable
SCA EJB Session Bean Binding specification	SCA EJB Session Bean Binding 1.00  Supports EJB 2.1 and 3.0 modules.	SCA EJB Session Bean Binding 1.00 New for Feature Pack for SCA Version 1.0.0  Supports EJB 2.1 and 3.0 modules.	Not applicable	Not applicable
SCA JMS Binding specification	SCA JMS Binding 1.00	SCA JMS Binding 1.00 New for Feature Pack for SCA Version 1.0.1	Not applicable	Not applicable
SCA Java EE Integration specification	SCA Java EE Integration 1.00	SCA Java EE Integration 1.00 New for Feature Pack for SCA Version 1.0.1	Not applicable	Not applicable

Table 124. Supported specifications and APIs for SCA applications (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
SCA Spring Component Implementation specification	SCA Spring Component Implementation 1.00	SCA Spring Component Implementation 1.00 New for Feature Pack for SCA Version 1.0.1	Not applicable	Not applicable
Service Data Objects (SDO) specification	SDO 2.1.1 (JSR 235)	SDO 2.1.1 (JSR 235) New for Feature Pack for SCA Version 1.0.1	Not applicable	Not applicable

## Service integration

Table 125. Supported specifications and APIs for service integration. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java DataBase Connectivity (JDBC) API	JDBC 4.0	JDBC 4.0 New	JDBC 3.0	JDBC 3.0 New

## Data access resources

Table 126. Supported specifications and APIs for data access resources. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java DataBase Connectivity (JDBC) API	JDBC 4.0	JDBC 4.0 New	JDBC 3.0	JDBC 3.0 New
Java EE Connector Architecture (JCA) resource adapter	JCA 1.6 (JSR 322) New	JCA 1.5	JCA 1.5	JCA 1.5 New JCA 1.0
Service Data Objects (SDO) specification	SDO 2.1.1 (JSR 235)	SDO 2.1.1 (JSR 235) New for Feature Pack for SCA Version 1.0.1	SDO 1.0	SDO 1.0 New

## Messaging resources

Table 127. Supported specifications and APIs for messaging resources. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Message Service (JMS)	JMS 1.1	JMS 1.1	JMS 1.1	JMS 1.1 New
Java EE Connector Architecture (JCA) resource adapter	JCA 1.6 (JSR 322) New	JCA 1.5	JCA 1.5	JCA 1.5 New JCA 1.0

## Mail, URLs, and other Java EE resources

Table 128. Supported specifications and APIs for mail, URLs, and other Java EE resources. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
JavaMail API documentation (JSR 919)	JavaMail 1.4	JavaMail 1.4 New	JavaMail 1.3	JavaMail 1.3 New
URL API documentation	URL 1.4.2	URL 1.4.2	URL 1.4.2	URL 1.4.2 New
JavaBeans Activation Framework (JAF) Specification	JAF 1.1	JAF 1.1 New	JAF 1.0.2	JAF 1.0.2 New
W3C Architecture - Naming and Addressing: URIs, URLs	W3C Naming and Addressing applies to these versions.			

## Security

Table 129. Supported specifications and APIs for security. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java 2 Security Manager	Java 2 Security Manager 1.5	Java 2 Security Manager 1.5	Java 2 Security Manager 1.5	Java 2 Security Manager 1.4 New
Java Authentication and Authorization Service (JAAS)	JAAS 2.0 applies to these versions.			
Java Authorization Contract for Containers (JACC)	JACC 1.1	JACC 1.1 New	JACC 1.0	JACC 1.0 New
Java Authentication Service Provider Interface for Containers (JASPI)	JASPI 1.0	Not applicable	Not applicable	Not applicable
Common Secure Interoperability Version 2 (CSIv2) specification  This is an Object Management Group (OMG) CORBA/IIOP specification.	CSI 2.0 applies to these versions.			
Secure Sockets Layer (SSL) configuration  The product uses Java Secure Sockets Extension (JSSE) as the SSL implementation for secure connections. JSSE is part of the Java 2 Standard Edition (J2SE) specification and is included in the IBM implementation of the Java Runtime Extension (JRE) specification.	JSSE 5.0	JSSE 5.0	JSSE 5.0 New	JSSE 1.0.3
Java Generic Security Service (JGSS)  Use JGSS with the Kerberos Network Authentication Service, Version 5	JGSS 1.0.1 applies to these versions.			
The Simple and Protected GSS-API Negotiation Mechanism (SPNEGO)	SPNEGO 1.0 applies to these versions.			
Java Cryptographic Extension (JCE) specification	JCE 1.0 applies to these versions.			
Java Certification Path (CertPath) API	CertPath 1.1	CertPath 1.1	CertPath 1.1 New	CertPath 1.0

## Web Services Security

Table 130. Supported specifications and APIs for Web Services Security. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Canonical XML	Canonical XML 1.0 applies to these versions.			
Decryption Transform for XML Signature	Decryption Transformation for XML Signature applies to these versions. .			
Exclusive XML Canonicalization	Exclusive XML Canonicalization 1.0 applies to these versions.			
OASIS Web Services Security: SOAP Message Security (WS-Security)	WS-Security 1.1	WS-Security 1.1	WS-Security 1.1 New for Feature Pack for Web Services	WS-Security 1.0
OASIS Web Services Security: Kerberos Token Profile	Kerberos Token Profile 1.1	Kerberos Token Profile 1.1 New	Not applicable	
OASIS Web Services Security: SAML Token Profile 1.1 <b>Note:</b> WebSphere Application Server supports this specification in reference to the SAML Version 1.1 and 2.0 assertions within SOAP messages only.	SAML Version 1.1 and 2.0 assertions	SAML Version 1.1 and 2.0 assertions		
OASIS Web Services Security: Username Token Profile	Username Token Profile 1.1	Username Token Profile 1.1	Username Token Profile 1.1 New for Feature Pack for Web Services	Username Token Profile 1.0 New
OASIS Web Services Security: X.509 Token Profile	X.509 Token Profile 1.1	X.509 Token Profile 1.1	X.509 Token Profile 1.1 New for Feature Pack for Web Services	X.509 Token Profile 1.0 New
Web Services Interoperability Organization (WS-I) Basic Security Profile	WS-I Basic Security Profile 1.1	WS-I Basic Security Profile 1.1 New	WS-I Basic Security Profile 1.0	Not applicable
Web Services Interoperability Organization (WS-I) Reliable Secure Profile	WS-I Reliable Secure Profile 1.0 (draft)	WS-I Reliable Secure Profile 1.0 (draft)	WS-I Reliable Secure Profile 1.0 (draft) New for Feature Pack for Web Services	Not applicable
Web Services Secure Conversation (WS-SecureConversation)	OASIS WS-SecureConversation 1.3	OASIS WS-SecureConversation 1.3 New	OASIS WS-SecureConversation 1.0 (draft submission) New for Feature Pack for Web Services	Not applicable



Table 130. Supported specifications and APIs for Web Services Security (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Web Services Trust	OASIS WS-Trust 1.3	OASIS WS-Trust 1.3 New	OASIS WS-Trust 1.1 (draft) New for Feature Pack for Web Services	Not applicable
XML Signature Syntax and Processing	XML Signature Syntax and Processing applies to these versions.			
XML Encryption Syntax and Processing	XML Encryption Syntax and Processing applies to these versions.			

## Naming and directory

Table 131. Supported specifications and APIs for naming and directory. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Naming and Directory Interface (JNDI) Specification  See also "JNDI support in WebSphere Application Server" on page 350.	JNDI on Java SE 6	JNDI on Java SE 6 New	JNDI on J2SE applies to these versions.	
Common Object Request Broker: Architecture and Specification (CORBA) specification  This is an Object Management Group (OMG) Interoperable Naming (CosNaming) specification.	CORBA 2.4 applies to these versions.			
Interoperable Naming Service specification  This is an OMG CosNaming specification.	Interoperable Naming Service			
Naming Service specification  This is an OMG CosNaming specification.	Naming Service applies to these versions.			

## Object Request Broker

The Object Request Broker (ORB) component follows the Common Object Request Broker Architecture (CORBA) specifications supported by Java 2 Platform, Standard Edition (J2SE). The Object Management Group (OMG) produces the specifications.

Versions 6.1 and later use the J2SE 5.0 specifications that are listed in *Official Specifications for CORBA support in J2SE 5.0* at <http://download.oracle.com/javase/1.5.0/docs/guide/idl/compliance.html>.

Version 6.0.x uses the J2SE 1.4 specifications that are listed in *Official Specifications for CORBA support in J2SE 1.4* at <http://download.oracle.com/javase/1.4.2/docs/api/org/omg/CORBA/doc-files/compliance.html>.

Table 132. Supported specifications and APIs for ORB. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Common Object Request Broker Architecture (CORBA) specifications	CORBA 2.3.1 applies to these versions.			

Table 132. Supported specifications and APIs for ORB (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Revised IDL to Java language mapping	Revised IDL to Java language mapping applies to these versions.			
New IDL to Java Mapping Chapter	New IDL to Java Mapping Chapter applies to these versions.			
Updated Java to IDL Mapping specification	Updated Java to IDL Mapping applies to these versions.			
Interoperable Naming Service revised chapters	Interoperable Naming Service revised chapters applies to these versions.			
Object Reference Template Final Adopted specification	Object Reference Template Final Adopted specification	Object Reference Template Final Adopted specification	Object Reference Template Final Adopted specification New	Not applicable
Portable Interceptors specification	Not applicable	Not applicable	Not applicable	Portable Interceptors specification

## Transactions

Table 133. Supported specifications and APIs for transactions. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
CORBA Object Transaction Service (OTS) specification	OTS 1.4	OTS 1.4	OTS 1.4	OTS 1.4 New
Java EE Connector Architecture (JCA) resource adapter	JCA 1.6 (JSR 322) New	JCA 1.5	JCA 1.5	JCA 1.5 New JCA 1.0
Java Transaction API (JTA) specification	JTA 1.1	JTA 1.1 New	JTA 1.0.1B	JTA 1.0.1B New
Java Transaction Service (JTS) specification	JTS 1.0 applies to these versions.			
Web Services Atomic Transaction (WS-AT)	WS-AT 1.2	WS-AT 1.1 New WS-AT 1.2 New	WS-AT 1.0	WS-AT 1.0 New
Web Services Business Activity (WS-BA)	WS-BA 1.2	WS-BA 1.1 New WS-BA 1.2 New	WS-BA 1.0	Not applicable
Web Services Coordination (WS-COOR)	WS-COOR 1.2	WS-COOR 1.1 New WS-COOR 1.2 New	WS-COOR 1.0	WS-COOR 1.0 New

## WebSphere extensions

Table 134. Supported specifications and APIs for WebSphere extensions. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
ActivitySession service and Last Participant Support				
J2EE Activity Service for Extended Transactions (JSR 95)	JSR 95 applies to these versions.			
Java Transaction API (JTA) specification	JTA 1.1	JTA 1.1 New	JTA 1.0.1B New	JTA 1.0.1
Internationalization (i18n)				
J2SE internationalization documentation	J2SE Internationalization 5.0	J2SE Internationalization 5.0	J2SE Internationalization 5.0 New	J2SE Internationalization 1.4.2

## Administration

Table 135. Supported specifications and APIs for administration. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java EE Application Deployment specification	Java EE Deployment 1.2	Java EE Deployment 1.2 New	J2EE Deployment 1.1	J2EE Deployment 1.1 New
J2EE Extension Mechanism Architecture	J2EE Extension Mechanism Architecture 1.4.2	J2EE Extension Mechanism Architecture 1.4.2	J2EE Extension Mechanism Architecture 1.4.2	J2EE Extension Mechanism Architecture 1.4.2 New
Java Management Extensions (JMX) JSR-000003	JMX 1.2	JMX 1.2	JMX 1.2	JMX 1.2 New
Java Management Extensions (JMX) Remote API	JMX Remote API 1.0	JMX Remote API 1.0	JMX Remote API 1.0 New	Not applicable
Java Virtual Machine (JVM) specification See WebSphere Application Server detailed system requirements.	JVM 6	JVM 6 New	JVM 5.0 New	JVM 1.4.2
Logging API specification (JSR 47)	Logging API specification (JSR 47) 1.0	Logging API specification (JSR 47) 1.0	Logging API specification (JSR 47) 1.0	Logging API specification (JSR 47) 1.0 New

## Introduction: Web services

Explore the key concepts pertaining to web services applications. Web services are self-contained, modular applications that can be described, published, located, and invoked over a network. They implement a service-oriented architecture (SOA), which supports the connecting or sharing of resources and data in a flexible and standardized manner. Services are described and organized to support their dynamic, automated discovery and reuse.

The WebSphere® Application Server supports a set of web services standards that support the creation and administration of interoperable, securable, transactionable, and reliable web services applications. Using the strategic Java™ API for XML-Based Web Services (JAX-WS) programming model, web service clients can now additionally invoke web services asynchronously, which means your client can continue processing without waiting on the response. Your JAX-WS web services can also take advantage of the

Web Services Reliable Messaging protocol quality of service where you can be confident that your communication is reliable and reaches its destination while interoperating with other vendors.

The WebSphere® Application Server supports both the JAX-WS programming model and the Java API for XML-based RPC (JAX-RPC) programming model. JAX-WS is the next generation web services programming model extending the foundation provided by the JAX-RPC programming model.

JAX-WS simplifies development through a standard, annotation-based model to develop web services and clients. A common set of binding rules for XML and Java objects make it easy to incorporate XML data and processing functions in Java. A further set of enhancements help you optimally send binary attachments, such as images or files with the web services request.

Simplified management of these web services profiles makes it easy to configure and reuse configurations, so you can seamlessly incorporate new web services profiles.

For a complete list of the supported standards and specifications, see the web services specifications and API documentation.

## Introduction: Messaging resources

WebSphere Application Server supports asynchronous messaging based on the Java Message Service (JMS) and Java EE Connector Architecture (JCA) specifications, which provide a common way for Java programs (clients and Java EE applications) to create, send, receive, and read asynchronous requests, as messages.

JMS support enables applications to exchange messages asynchronously with other JMS clients by using JMS destinations (queues or topics). Some messaging providers also allow WebSphere Application Server applications to use JMS support to exchange messages asynchronously with non-JMS applications; for example, WebSphere Application Server applications often need to exchange messages with WebSphere MQ applications. Applications can explicitly poll for messages from JMS destinations, or they can use message-driven beans to automatically retrieve messages from JMS destinations without explicitly polling for messages.

WebSphere Application Server supports the following messaging providers:

- The WebSphere Application Server default messaging provider (which uses service integration as the provider)
- The WebSphere MQ messaging provider (which uses your WebSphere MQ system as the provider)
- Third-party messaging providers that implement either a JCA Version 1.5 resource adapter or the ASF component of the JMS Version 1.0.2 specification

Your applications can use messaging resources from any of these JMS providers. The choice of provider is most often dictated by requirements to use or integrate with an existing messaging system. For example, you might already have a messaging infrastructure based on WebSphere MQ. In this case, you can either connect directly by using the WebSphere MQ messaging provider, or configure a service integration bus with links to a WebSphere MQ network and then access the bus through the default messaging provider.

If you mainly want to use messaging between applications in WebSphere Application Server, perhaps with some interaction with a WebSphere MQ system, the default messaging provider is a logical choice. If your business also uses WebSphere MQ, and you want to integrate WebSphere Application Server messaging applications into a predominately WebSphere MQ network, choose the WebSphere MQ messaging provider. To administer a third-party messaging provider, you use either the resource adaptor (for a Java EE Connector Architecture (JCA) 1.5-compliant messaging provider) or the client (for a non-JCA messaging provider) that is supplied by the third party.

## Introduction: Dynamic cache

Explore the key concepts pertaining to the dynamic cache service, which improves performance by caching the output of servlets, commands, web services, and JavaServer Pages (JSP) files.

The dynamic cache engine is the default cache provider for the dynamic cache APIs and framework. However, starting with Version 6.1.0.27, dynamic cache allows WebSphere eXtreme Scale, which is the strategic direction for caching for the WebSphere products, to act as its core caching engine.

Configuring the dynamic cache to use WebSphere eXtreme Scale lets you leverage transactional support, improved scalability, high availability, and other WebSphere eXtreme Scale features without changing your existing dynamic cache caching code. If you are currently using the default cache provider, you can use the administrative console or wsadmin commands to replace the default dynamic cache provider with the WebSphere eXtreme Scale dynamic cache provider. You do not have to make any changes to your dynamic cache programming model. See the topic WebSphere eXtreme Scale dynamic cache provider in the WebSphere eXtreme Scale Version 7.0 Information Center for an overview of the WebSphere eXtreme Scale dynamic cache provider.

WebSphere eXtreme Scale can operate as an in-memory database processing space. You can use this processing space to provide in-line caching for a database back-end, or as a side cache. In-line caching uses WebSphere eXtreme Scale as the primary means for interacting with the data. When WebSphere eXtreme Scale is used as a side cache, the back-end is used in conjunction with WebSphere eXtreme Scale.

### Functional advantages of using the WebSphere eXtreme Scale dynamic cache provider

The WebSphere eXtreme Scale dynamic cache provider:

- Supports memory-to-memory replication for sessions.
- Can handle all of the generic data and session caching needs of your applications.
- Enables your applications to leverage system memory without using SAN or storage solutions to host a dynamic cache disk cache.
- Provides a scalable replicated cache with a configurable number of replicas, thereby eliminating the need to use the data replication service (DRS), which the default cache provider uses. Use of DRS sometimes causes performance problems.
- Can be configured with additional WebSphere eXtreme Scale containers at runtime, thereby increasing your cache capacity, and preventing performance issues that sometimes occur when you use DRS. WebSphere eXtreme Scale automatically redistributes the partitions as new containers are added to the grid.
- Provides better caching qualities of service and control, than the default cache provider.
- Uses the same runtime monitoring and administration tools as the classic dynamic cache. These tools, such as the cache monitor and the dynamic cache runtime MBean, work the same way when dynamic cache runs on top of WebSphere eXtreme Scale, as when they are used with classic dynamic cache.

### Functional differences between the default cache provider and the WebSphere eXtreme Scale dynamic cache provider

Following is a list of functional differences between the default cache provider and the WebSphere eXtreme Scale dynamic cache provider:

- WebSphere eXtreme Scale dynamic cache provider does not include disk cache support because all cache data is kept in memory. Therefore, the disk caching custom properties are not supported.
- WebSphere eXtreme Scale dynamic cache provider does not support the following features:
  - DistributedNioMap - skipMemoryAndWriteToDisk
  - DistributedMap and DistributedNioMap alias

- Disabling dependency IDs or templates
- 
- WebSphere eXtreme Scale dynamic cache provider does not support DRS style replication. Therefore, the DRS custom properties are not supported.
 

When you use the WebSphere eXtreme Scale dynamic cache provider, replication configuration is controlled by the WebSphere eXtreme Scale deployment and definition files. See the topic *Configuring the dynamic cache provider for WebSphere eXtreme Scale* in the *WebSphere eXtreme Scale Version 7 Information Center* for more information about replication.
- `DistributedNioMapObject.release()` is not called to release the `byteBuffers` for NIO buffer Management.
- WebSphere eXtreme Scale dynamic cache provider has limited PMI support. Certain PMI and MBean counters are no longer valid. See the topic *Configuring the dynamic cache provider for WebSphere eXtreme Scale* in the *WebSphere eXtreme Scale Version 7 Information Center* for more information.
- When firing any event, `ObjectGrid` always sets the `sourceOfInvalidation` to `REMOTE`
- You can use the `DynaCache` API to register event listeners regardless of which cache provider you are using. However, if you use WebSphere eXtreme Scale dynamic cache provider, the event listeners work as expected for local in-memory caches. When co-located containers are being used, events are thrown on the machine where the request that caused the event is serviced instead of on the machine where the request originated. For example if an invalidate request is issued on Server A and the cache entry that gets invalidated is actually stored on Server B, then the event will be fired on Server B. With the default dynamic cache provider, the event is fired on Server A. When stand-alone containers are being used, no events are fired through the `DynaCache` event listener API.

## Key concepts pertaining to the dynamic cache service

Explore the key concepts pertaining to the dynamic cache service, which improves performance by caching the output of servlets, commands, web services, and JavaServer Pages (JSP) files.

### Cache instances

An application uses a cache instance to store, retrieve, and share data objects within the dynamic cache.

### Using the dynamic cache service to improve performance

Caching the output of servlets, commands, and JavaServer Pages (JSP) improves application performance. WebSphere Application Server consolidates several caching activities including servlets, web services, and WebSphere commands into one service called the dynamic cache. These caching activities work together to improve application performance, and share many configuration parameters that are set in the dynamic cache service of an application server.

### Configuring dynamic cache to use the WebSphere eXtreme Scale dynamic cache provider

Configuring the dynamic cache service to use WebSphere eXtreme Scale lets you leverage transactional support, improved scalability, high availability, and other WebSphere eXtreme Scale features without changing your existing dynamic cache caching code.

### Configuring servlet caching

After a servlet is invoked and completes generating the output to cache, a cache entry is created containing the output and the side effects of the servlet. These side effects can include calls to other servlets or JavaServer Pages (JSP) files or metadata about the entry, including timeout and entry priority information.

### Configuring portlet fragment caching

After a portlet is invoked and completes generating the output to cache, a cache entry is created containing the output and the side effects of the portlet. These side effects can include calls to other portlets or metadata about the entry, including timeout and entry priority information.

### Eviction policies using the disk cache garbage collector

The disk cache garbage collector is responsible for evicting objects out of the disk cache, based on a specified eviction policy.

## Configuring the JAX-RPC web services client cache

The web services client cache is a part of the dynamic cache service that is used to increase the performance of web services clients by caching responses from remote web services.

## Cache monitor

Cache monitor is an installable web application that provides a real-time view of the current state of dynamic cache. You use it to help verify that dynamic cache is operating as expected. The only way to manipulate the data in the cache is by using the cache monitor. It provides a GUI interface to manually change data.

## Invalidation listeners

Invalidation listener mechanism uses Java events for alerting applications when contents are removed from the cache.

## Learn about SIP applications

Find links to web resources for learning, including conceptual overviews, tutorials, samples, and "How do I?..." topics, pending their availability.

## How do I?...

### Configure SIP applications

Configuring the SIP container

SIP timer summary

SIP container settings

Session Initiation Protocol (SIP) container inbound channel settings

Session Initiation Protocol (SIP) inbound channel settings

Performing controlled failover of SIP applications

"SIP converged proxy" on page 615

"SIP high availability" on page 616

Replicating SIP sessions

"SIP session affinity and failover" on page 617

"SIP cluster routing" on page 621

Upgrading SIP applications

"SIP IP sprayer" on page 622

### Develop SIP applications

Developing SIP applications

"SIP industry standards compliance" on page 602

"Runtime considerations for SIP application developers" on page 605

Developing a custom trust association interceptor

Developing SIP applications that support PRACK

"SIP IBM Rational Application Developer for WebSphere framework" on page 606

Setting up SIP application composition

"SIP servlets" on page 606

"SIP SipServletRequest and SipServletResponse classes" on page 607

"SIP SipSession and SipApplicationSession classes" on page 608

"Example: SIP servlet simple proxy" on page 608

"Example: SIP servlet SendOnServlet class" on page 610

"Example: SIP servlet Proxy servlet class" on page 611

### Deploy SIP applications

Deploying SIP applications

Deploying SIP applications through the console

- Deploying SIP applications through scripting
- Secure SIP applications**
- Securing SIP applications
- Configuring security for the SIP container
- Trace SIP applications**
- Tracing a SIP container
- Monitor SIP applications**
- SIP PMI counters
- Troubleshoot SIP applications**
- Troubleshooting SIP applications
- Tuning SIP servlets for Linux
- Utilize SIP proxy server**
- Installing a Session Initiation Protocol proxy server
- Trusting SIP messages from external domains
- Load balancing with the Session Initiation Protocol proxy server
- Tracing a Session Initiation Protocol proxy server
- SIP proxy settings
- High availability and workload management with Session Initiation Protocol proxy server
- SIP external domains collection
- SIP external domains
- SIP routing rules collection
- SIP routing rules set order
- SIP routing rules detail
- SIP rule condition collection
- SIP rule condition detail
- SIP proxy inbound channel detail

## Conceptual overviews

- Using Session Initiation Protocol to provide multimedia and interactive services
- “SIP in WebSphere Application Server” on page 601
- “SIP applications” on page 602
- “SIP container” on page 615

## developerWorks articles

- Introducing SIP
- Developing converged applications

## Tutorials

Tutorials are not available at this time.

## Samples

Samples are not available at this time.

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## Learn about WebSphere programming extensions

Use this section as a starting point to investigate the WebSphere programming model extensions for enhancing your application development and deployment.



See the *Developing and deploying applications* PDF book for a brief description of each WebSphere extension.

Your applications can use the Eclipse extension framework. Your applications are extensible as soon as you define an extension point and provide the extension processing code for the extensible area of the application. You can also plug an application into another extensible application by defining an extension that adheres to the target extension point requirements. The extension point can find the newly added extension dynamically and the new function is seamlessly integrated in the existing application. It works on a cross Java Platform, Enterprise Edition (Java EE) module basis.

The application extension registry uses the Eclipse plug-in descriptor format and application programming interfaces (APIs) as the standard extensibility mechanism for WebSphere applications. Developers that build WebSphere application modules can use WebSphere Application Server extensions to implement Eclipse tools and to provide plug-in modules to contribute functionality such as actions, tasks, menu items, and links at predefined extension points in the WebSphere application.

## Introduction: Dynamic cache

Explore the key concepts pertaining to the dynamic cache service, which improves performance by caching the output of servlets, commands, web services, and JavaServer Pages (JSP) files.

The dynamic cache engine is the default cache provider for the dynamic cache APIs and framework. However, starting with Version 6.1.0.27, dynamic cache allows WebSphere eXtreme Scale, which is the strategic direction for caching for the WebSphere products, to act as its core caching engine.

Configuring the dynamic cache to use WebSphere eXtreme Scale lets you leverage transactional support, improved scalability, high availability, and other WebSphere eXtreme Scale features without changing your existing dynamic cache caching code. If you are currently using the default cache provider, you can use the administrative console or wsadmin commands to replace the default dynamic cache provider with the WebSphere eXtreme Scale dynamic cache provider. You do not have to make any changes to your dynamic cache programming model. See the topic WebSphere eXtreme Scale dynamic cache provider in the WebSphere eXtreme Scale Version 7.0 Information Center for an overview of the WebSphere eXtreme Scale dynamic cache provider.

WebSphere eXtreme Scale can operate as an in-memory database processing space. You can use this processing space to provide in-line caching for a database back-end, or as a side cache. in-line caching uses WebSphere eXtreme Scale as the primary means for interacting with the data. When WebSphere eXtreme Scale is used as a side cache, the back-end is used in conjunction with WebSphere eXtreme Scale.

## Functional advantages of using the WebSphere eXtreme Scale dynamic cache provider

The WebSphere eXtreme Scale dynamic cache provider:

- Supports memory-to-memory replication for sessions.
- Can handle all of the generic data and session caching needs of your applications.
- Enables your applications to leverage system memory without using SAN or storage solutions to host a dynamic cache disk cache.
- Provides a scalable replicated cache with a configurable number of replicas, thereby eliminating the need to use the data replication service (DRS), which the default cache provider uses. Use of DRS sometimes causes performance problems.
- Can be configured with additional WebSphere eXtreme Scale containers at runtime, thereby increasing your cache capacity, and preventing performance issues that sometimes occur when you use DRS. WebSphere eXtreme Scale automatically redistributes the partitions as new containers are added to the grid.

- Provides better caching qualities of service and control, than the default cache provider.
- Uses the same runtime monitoring and administration tools as the classic dynamic cache. These tools, such as the cache monitor and the dynamic cache runtime MBean, work the same way when dynamic cache runs on top of WebSphere eXtreme Scale, as when they are used with classic dynamic cache.

## Functional differences between the default cache provider and the WebSphere eXtreme Scale dynamic cache provider

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- WebSphere eXtreme Scale dynamic cache provider does not include disk cache support because all cache data is kept in memory. Therefore, the disk caching custom properties are not supported.
- WebSphere eXtreme Scale dynamic cache provider does not support the following features:
  - DistributedNioMap - skipMemoryAndWriteToDisk
  - DistributedMap and DistributedNioMap alias
  - Disabling dependency IDs or templates
  -
- WebSphere eXtreme Scale dynamic cache provider does not support DRS style replication. Therefore, the DRS custom properties are not supported.

When you use the WebSphere eXtreme Scale dynamic cache provider, replication configuration is controlled by the WebSphere eXtreme Scale deployment and definition files. See the topic *Configuring the dynamic cache provider for WebSphere eXtreme Scale* in the *WebSphere eXtreme Scale Version 7 Information Center* for more information about replication.

- DistributedNioMapObject.release() is not called to release the byteBuffers for NIO buffer Management.
- WebSphere eXtreme Scale dynamic cache provider has limited PMI support. Certain PMI and MBean counters are no longer valid. See the topic *Configuring the dynamic cache provider for WebSphere eXtreme Scale* in the *WebSphere eXtreme Scale Version 7 Information Center* for more information.
- When firing any event, ObjectGrid always sets the sourceOfInvalidation to REMOTE
- You can use the DynaCache API to register event listeners regardless of which cache provider you are using. However, if you use WebSphere eXtreme Scale dynamic cache provider, the event listeners work as expected for local in-memory caches. When co-located containers are being used, events are thrown on the machine where the request that caused the event is serviced instead of on the machine where the request originated. For example if an invalidate request is issued on Server A and the cache entry that gets invalidated is actually stored on Server B, then the event will be fired on Server B. With the default dynamic cache provider, the event is fired on Server A. When stand-alone containers are being used, no events are fired through the DynaCache event listener API.

## Key concepts pertaining to the dynamic cache service

Explore the key concepts pertaining to the dynamic cache service, which improves performance by caching the output of servlets, commands, web services, and JavaServer Pages (JSP) files.

### Cache instances

An application uses a cache instance to store, retrieve, and share data objects within the dynamic cache.

### Using the dynamic cache service to improve performance

Caching the output of servlets, commands, and JavaServer Pages (JSP) improves application performance. WebSphere Application Server consolidates several caching activities including servlets, web services, and WebSphere commands into one service called the dynamic cache. These caching activities work together to improve application performance, and share many configuration parameters that are set in the dynamic cache service of an application server.

### **Configuring dynamic cache to use the WebSphere eXtreme Scale dynamic cache provider**

Configuring the dynamic cache service to use WebSphere eXtreme Scale lets you leverage transactional support, improved scalability, high availability, and other WebSphere eXtreme Scale features without changing your existing dynamic cache caching code.

### **Configuring servlet caching**

After a servlet is invoked and completes generating the output to cache, a cache entry is created containing the output and the side effects of the servlet. These side effects can include calls to other servlets or JavaServer Pages (JSP) files or metadata about the entry, including timeout and entry priority information.

### **Configuring portlet fragment caching**

After a portlet is invoked and completes generating the output to cache, a cache entry is created containing the output and the side effects of the portlet. These side effects can include calls to other portlets or metadata about the entry, including timeout and entry priority information.

### **Eviction policies using the disk cache garbage collector**

The disk cache garbage collector is responsible for evicting objects out of the disk cache, based on a specified eviction policy.

### **Configuring the JAX-RPC web services client cache**

The web services client cache is a part of the dynamic cache service that is used to increase the performance of web services clients by caching responses from remote web services.

### **Cache monitor**

Cache monitor is an installable web application that provides a real-time view of the current state of dynamic cache. You use it to help verify that dynamic cache is operating as expected. The only way to manipulate the data in the cache is by using the cache monitor. It provides a GUI interface to manually change data.

### **Invalidation listeners**

Invalidation listener mechanism uses Java events for alerting applications when contents are removed from the cache.

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## **Accessing the samples**

The product offers samples that demonstrate common enterprise application tasks. Many samples also provide instructions for deployment and coding examples.

The product provides samples in two ways:

### **Plants By WebSphere sample installed with the product**

If you select to install samples when installing the product and when creating an application server profile, the Plants By WebSphere application is included with the product. The application demonstrates several Java Platform, Enterprise Edition (Java EE) functions, using an online store that specializes in plant and garden tool sales.

See Installing the Plants By WebSphere sample.

### **Samples downloadable from the Samples, Version 8.0 information center**

The product provides component-specific samples that you can download at any time from a download site.

- Available samples
- Downloading samples

## **Installing the Plants By WebSphere sample**

To install the Plants By WebSphere sample, perform the following steps.

1. Install the product.

When specifying installation or profile options, select to install the sample applications.

By default, only the Plants By WebSphere sample is installed in the *app\_server\_root/samples* directory. A Plants By WebSphere pre-built enterprise archive named *pbw-ear.ear* is in the */samples/PlantsByWebSphere/pbw-ear/target* directory.

Installation instructions are in the */samples/PlantsByWebSphere/docs* directory.

You can build or modify the sample source code to support your project. The source code is in a *src* directory.

2. To run the sample in a distributed WebSphere Application Server, Network Deployment environment, install and configure the samples in a stand-alone application server profile installation, and then add the stand-alone application server profile as a managed node of the deployment manager cell.

You can use a deployment manager administrative console or `wsadmin addNode` command to make an application server a managed node of a deployment manager. For the `wsadmin addNode` command, use the `dmgr_host` argument with the `-includeapps` and `-includebuses` options.

For example:

```
addNode.sh/bat dmgr_hostname -includeapps -includebuses
```

where *dmgr\_hostname* is name of the computer that hosts your deployment manager profile.

3. Start the application server.

## Available samples

Samples that you can download include, for example, the following materials:

### Service Component Architecture (SCA) samples

The SCA samples support SCA specifications. SCA services are packaged in Java archive (JAR) files that you import as assets to the product repository and then add as composition units to business-level applications.

Download **SCA.zip**, or individual sample files, to a directory on your workstation. You might create the */samples/sca* directory path on your workstation and download SCA sample files to that directory path.

You must deploy SCA sample files as assets of a business-level application to a Version 8.0 server or cluster or to a Version 7.0 target that is enabled for the Feature Pack for SCA. The *SCA/installableApps* directory of **SCA.zip** contains prebuilt archives that you can deploy as assets. The other directories contain sample-specific source files, scripts, and instructions for building deployable archives.

### Communications Enabled Applications (CEA) samples

The CEA sample applications provide two main services, telephony access and multi-modal web interaction. Use this collection of sample applications to explore the services and to use as a starting point when developing your own communication enabled applications.

### OSGi samples

The OSGi samples help you develop and deploy modular applications that use both Java EE and OSGi technologies.

### XML samples

The XML samples demonstrate use of the XML API and supported specifications.

### Internationalization service sample

The Internationalization service sample demonstrates how to use the internationalization service in Java EE applications, specifically within servlets and enterprise beans.

### Web services samples

These samples demonstrate both Java API for XML-based RPC (JAX-RPC) and Java API for XML Web Services (JAX-WS) web services that use Java Platform, Enterprise Edition (Java EE) beans and JavaBeans components.

The JAX-WS web service samples demonstrate the implementation of one-way and two-way web services that highlight the use of web services standards such as WS-Addressing (WS-A) , WS-Reliable Messaging (WS-RM), and WS-Secure Conversation (WS-SC) and the SOAP Message Transmission Optimization Mechanism (MTOM) technology.

### Service Data Objects (SDO) sample

This sample demonstrates data access to a relational database through Service Data Objects (SDO) and Java DataBase Connectivity (JDBC) Mediator technologies.

## Downloading samples

You can download samples from the **Samples, Version 8.0** information center.

1. Go to the **Samples, Version 8.0** information center.
2. Determine which samples you want to download.
3. On the **Downloads** tab for the samples that you want, click a **Download Sample** link.
4. In the authentication window, click **OK**.
5. Download the compressed file, or individual sample files, to a directory on your workstation.

You might create the `/samples/sample_type` directory path on your workstation and download the sample files to that directory path.

Many sample compressed files have an `/installableApps` directory that contains deployable prebuilt archives. Other directories contain files such as sample-specific source archives, scripts, and instructions for building deployable archives.

To deploy them to the application server, you can use the administrative console or use the `install` script in the `app_server_root/samples/bin` directory.

## Limitations of the samples

- The samples are for demonstration purposes only.  
The code that is provided is not intended to run in a secured production environment. The samples support Java 2 Security, therefore the samples implement policy-based access control that checks for permissions on protected system resources, such as file I/O.  
The samples also support administrative security.
- Many of the samples connect to an Apache Derby database using the embedded framework of Apache Derby. The embedded framework of Apache Derby has a limitation that only one Java virtual machine (JVM) can access a given database instance. As a result, in a clustered application server environment, the second server in the node fails to start the sample applications, because the first server (JVM) already holds a connection to that database instance.  
For applications that require multiple Java virtual machines to access the same Apache Derby instance, use the Apache Derby networkServer framework.

## Additional samples and examples

### Samples on developerWorks

Additional product samples are available on WebSphere developerWorks

### Samples in tutorials

Many product tutorials rely on sample code. To find tutorials that demonstrate specific technologies, browse the links in “Tutorials” on page 10.

### Examples in the product documentation

The product documentation contains many code snippets and examples. To locate these examples easily, see the developer examples in the **Reference** section of the information center navigation for the product edition that you are using.

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## Mail, URLs, and other J2EE resources

The product supports all of the resources defined by the Java Platform, Enterprise Edition (Java EE). It adds the following resources in support of service extensions:

- Schedulers
- Work managers
- Object pools

### Data access (JDBC and J2C)

The J2EE Connector architecture defines a standard architecture that enables the integration of various enterprise information systems (EIS) with application servers and enterprise applications. It defines a standard resource adapter used by a Java application to connect to an EIS. This resource adapter can plug into the application server and, through the Common Client Interface (CCI), provide connectivity between the EIS, the application server, and the enterprise application.

For more information, refer to “Data access resources” on page 1021.

### Messaging

The product supports asynchronous messaging as a method of communication based on the Java Message Service (JMS) programming interface. The base JMS support enables the product applications to exchange messages asynchronously with other JMS clients by using JMS destinations (queues or topics).

For more information, refer to “Messaging resources” on page 1022.

### Mail

Using JavaMail API, a code segment can be embedded in any Java EE application component, such as an EJB or a servlet, allowing the application to send a message and save a copy of the mail to the Sent folder.

For more information, refer to JavaMail API.

### URLs

Java EE applications can use URLs as resources in the same way other Java EE resources, such as JDBC and JavaMail, are used.

For more information, refer to “URLs” on page 210.

### Resource environment entries

A resource environment reference maps a logical name used by the client application to the physical name of an object.

For more information, see Configuring new resource environment entries to map logical environment resource names to physical names.

---

## Data access resources

These topics provide information about accessing data resources.

The connection between an enterprise application and an enterprise information system (EIS) is accomplished through the use of EIS-provided resource adapters, which are plugged into the application server. The resource adapter plays a central role in the integration and connectivity between an EIS and

an application server. It serves as the point of contact between application components, application servers, and enterprise information systems. A resource adapter must communicate with other components based on well-defined contracts that are specified by the Java Platform, Enterprise Edition (Java EE) Connector Architecture (JCA).

**Note:** Generic inflow context enables a resource adapter to control the execution context of the Work instances it submits to the application server. By submitting a Work instance that implements the WorkContextProvider interface, a resource adapter can provide various types of context to the WebSphere Application Server. If the application server supports the provided context types, the generic work context mechanism sets the work contexts as the execution context of the Work instance. The context remains effective during the execution the Work instance.

**Note:** Security inflow context uses generic work context by enabling a resource adapter to establish security information in the execution context of the Work instances that it submits to the application server. By submitting a Work instance that provides context types by implementing the new standardized SecurityContext interface, the application can establish and set an execution context containing the security identities and credentials for a Work instance. The identities and credentials remain effective during the execution of the Work instance, ensuring secure message delivery to Java EE message endpoints.

WebSphere Application Server supports work context types that implement the new standardized SecurityContext, TransactionContext and HintsContext interfaces. The generic inflow context mechanism accepts implementations of the HintsContext interface, but the application server does not act upon these implementations of the HintsContext interface. The security inflow context mechanism does not map user identities from the EIS domain to identities in an application server domain. Identities provided by implementations of SecurityContext must be in a security domain of application server.

Consult the following concept, reference, and task files for more overview information.

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## Messaging resources

WebSphere Application Server supports asynchronous messaging based on the Java Message Service (JMS) and Java EE Connector Architecture (JCA) specifications, which provide a common way for Java programs (clients and Java EE applications) to create, send, receive, and read asynchronous requests, as messages. Applications can use point-to-point and publish/subscribe messaging. These styles of messaging can be used in the following ways: one-way; request and response; one-way and forward.

JMS support enables applications to exchange messages asynchronously with other JMS clients by using JMS destinations (queues or topics). Some messaging providers also allow WebSphere Application Server applications to use JMS support to exchange messages asynchronously with non-JMS applications; for example, WebSphere Application Server applications often need to exchange messages with WebSphere MQ applications. Applications can explicitly poll for messages from JMS destinations, or they can use message-driven beans to automatically retrieve messages from JMS destinations without explicitly polling for messages. Message-driven beans can be configured as listeners on a Java EE Connector Architecture (JCA) 1.5 resource adapter or against a listener port (as for WebSphere Application Server Version 5).

WebSphere Application Server supports the following messaging providers:

- The WebSphere Application Server default messaging provider (which uses service integration as the provider)
- The WebSphere MQ messaging provider (which uses your WebSphere MQ system as the provider)
- Third-party messaging providers that implement either a JCA Version 1.5 resource adapter or the ASF component of the JMS Version 1.0.2 specification

Your applications can use messaging resources from any of these JMS providers. The choice of provider is most often dictated by requirements to use or integrate with an existing messaging system. For example, you might already have a messaging infrastructure based on WebSphere MQ. In this case, you can either connect directly by using the WebSphere MQ messaging provider, or configure a service integration bus with links to a WebSphere MQ network and then access the bus through the default messaging provider.

If you mainly want to use messaging between applications in WebSphere Application Server, perhaps with some interaction with a WebSphere MQ system, the default messaging provider is a logical choice. If your business also uses WebSphere MQ, and you want to integrate WebSphere Application Server messaging applications into a predominately WebSphere MQ network, choose the WebSphere MQ messaging provider. To administer a third-party messaging provider, you use either the resource adaptor (for a Java EE Connector Architecture (JCA) 1.5-compliant messaging provider) or the client (for a non-JCA messaging provider) that is supplied by the third party.

For more information, see “Introduction: Messaging resources” on page 1098.



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## Chapter 37. Overview and new features for monitoring

Use the links provided in this topic to learn about monitoring capabilities.

### **New for administrators: Improved monitoring and performance tuning**

A section of this topic describes what is new in the area of performance tuning.

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## **Performance: Resources for learning**

Use the following links to find relevant supplemental information about performance. The information resides on IBM and non-IBM Internet sites, whose sponsors control the technical accuracy of the information.

These links are provided for convenience. Often, the information is not specific to the IBM WebSphere Application Server product, but is useful for understanding the product. When possible, links are provided to technical papers and Redbooks that supplement the broad coverage of the release documentation with in-depth examinations of particular product areas. The following sections are covered in this reference:

View the following links for additional information:

- “Request metrics ”
- “Monitoring performance with third-party tools”
- “Tuning performance”
- “Java™ performance resource”


### **Request metrics**

- Systems Management: Application Response Measurement (ARM)  
The Open Group ARM specifications.

### **Monitoring performance with third-party tools**

- IBM Search Solutions.  
Use IBM's Global Solution Directory to find a list of IBM's business partners that offer performance monitoring tools compliant with WebSphere Application Server.

### **Tuning performance**

- Hints on Running a high-performance web server  
Read hints about running Apache on a heavily loaded web server. The suggestions include how to tune your kernel for the heavier TCP/IP load, and hardware and software conflicts.
- Performance Analysis for Java websites  
Offers clear explanations and expert practical guidance on performance analysis for Java-based websites. It offers extensive appendices, including worksheets for capacity planning, checklists to help you prepare for different stages of performance testing, and a list of performance-test tool vendors.
-  AIX® documentation  
View the entire AIX software documentation library for releases 4.3, 5.1, and 5.2.
- WebSphere Application Server Development Best Practices for Performance and Scalability  
Describes development best practices for web applications with servlets, JavaServer Pages files, JDBC connections, and enterprise applications with Enterprise JavaBeans components.
- WebSphere Application Server V6 Scalability and Performance Handbook
- WebSphere tuning for the impatient: How to get 80% of the performance improvement with 20% of the effort

### **Java™ performance resource**

- IBM developerWorks

Search the IBM developerWorks website for a list of garbage collection documentation, including "Understanding the IBM Java Garbage Collector", a three-part series. To locate the documentation, search on "sensible garbage collection" in the developerWorks search application.

Review "Understanding the IBM Java Garbage Collector" for a description of the IBM verbose:gc output and more information about the IBM garbage collector.

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## Chapter 38. Overview and new features for tuning performance

Use the links provided in this topic to learn about tuning applications and their environment.

### **New for administrators: Improved monitoring and performance tuning**

A section of this topic describes what is new in the area of performance tuning.



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## Chapter 39. Overview and new features for troubleshooting

Use the links provided in this topic to learn about troubleshooting and problem determination capabilities.

### What is new for troubleshooters

This topic provides an overview of new and changed features in troubleshooting tools and support.

### Diagnosing problems (using diagnosis tools)

This topic provides a place to start your search for troubleshooting information.

### Troubleshooting overview

Troubleshooting is the process of finding and eliminating the cause of a problem. Whenever you have a problem with your IBM software, the troubleshooting process begins as soon as you ask yourself what happened? A basic troubleshooting strategy at a high level involves:

1. Recording the symptoms.
2. Recreating the problem.
3. Eliminating possible causes.
4. Using diagnostic tools.

### Recording the symptoms of the problem

Depending on the type of problem you have, whether it be with your application, your server, or your tools, you might receive a message that indicates something is wrong. Always record the error message that you see. As simple as this sounds, error messages sometimes contain codes that might make more sense as you investigate your problem further. You might also receive multiple error messages that look similar but have subtle differences. By recording the details of each one, you can learn more about where your problem exists.

### Recreating the problem

Think back to what steps you were doing that led you to this problem. Try those steps again to see if you can easily recreate this problem. If you have a consistently repeatable test case, you will have an easier time determining what solutions are necessary.

- How did you first notice the problem?
- Did you do anything different that made you notice the problem?
- Is the process that is causing the problem a new procedure, or has it worked successfully before?
- If this worked before what has changed? The change can refer to any type of change made to the system, ranging from adding new hardware or software, to configuration changes to existing software.
- What was the first symptom of the problem you witnessed? Were there other symptoms occurring around that point of time?
- Does the same problem occur elsewhere? Is only one machine experiencing the problem or are multiple machines experiencing the same problem?
- What messages are being generated that could indicate what the problem is?

### Eliminating possible causes

Narrow the scope of your problem by eliminating components that are not causing the problem. By using a process of elimination, you can simplify your problem and avoid wasting time in areas that are not culprits. Consult the information in this product and other available resources to help you with your elimination process.

See the information on troubleshooting and support to learn more about problem determination tools that are provided by the product.

## **Using diagnostic tools**

As a more advanced task, there are various tools that you can use to analyze and diagnose problems with your system. To learn how to use these tools see the information about using diagnosis tools to diagnose problems. .

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## **What is new for troubleshooters**

This version provides many new features for troubleshooting and servicing the product, with a focus on the ability to automatically detect and recover from problems.

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## Chapter 40. What has changed in this release

These are changes to the default behavior for the application server. Take note of these changes and modify your applications appropriately.

Consult the following topics.

- What has changed for administrators (includes performance)
- What has changed for developers
- What has changed for installers
- What has changed for security specialists

Note also that you can perform a search for the keyword **trns** to find all topics in which a changed behavior or setting is noted.





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## Chapter 41. WebSphere Application Server roles and goals

There are several different computing roles that members of your organization might undertake when working with WebSphere Application Server.

### Enterprise architect

*The enterprise architect provides overall leadership for all architectural and technological matters with respect to the company's IT environment.*

### Solution architect

*The solution architect designs and coordinates a new solution, application or component with end-to-end responsibility including both hardware and software elements.*

The main goal of the solution architect is to design a solution that supports the specification set by the enterprise architect.

### System administrator

*The system administrator is responsible for managing systems and software, and for installing operating system upgrades and middleware products in many accounts.*

The system administrator installs and configures appropriate hardware and software (including middleware) to implement the design provided by the solution architect. Additionally the system administrator monitors and maintains the configured system, modifying and removing previously configured objects as and when required.

### Application developer

*The application developer creates business applications.*

The goal of the application developer is to develop applications that provide the business services described by the solution architect.



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## Chapter 42. Fast paths for WebSphere Application Server

Use the paths in this topic to deploy applications quickly and easily. This topic provides links that pinpoint the relevant information for reaching your goals quickly. It also describes the audience roles and tasks assumed by this documentation. The fast paths in this topic are intended to help you gain a little experience. The fast paths do not showcase the advanced product features that some users need or want to use in their production environments.

### About this task

Deploying any type of application involves the following tasks.

#### Procedure

1. Install the product.

The simplest scenario is to perform a typical installation of a single application server.

You can use the WebSphere Application Server, Express on Linux or Windows operating systems to try out the product. It has a single application server and its Trial program code is available at no cost.

2. Obtain or develop your application.

In the simplest scenario, you already have a packaged web application that is compliant with Java Platform, Enterprise Edition (Java EE), perhaps from a vendor with whom you work. Other than that, a web application is the most simple type of application to develop. See topics on developing web applications, or refer to product samples.

3. Deploy and test your application.

In the simplest scenario, you will use the application installation wizard available in the administrative console. See topics on installing applications for a detailed walkthrough of this task. The task describes many contingencies, but many of these steps can be disregarded if:

- You are deploying a web application.
- You accept the default settings whenever possible.
- Your application does not require data access.
- Your application does not require security.

Also, in many situations, you do not need to modify the default application server configuration.

4. Administer your deployed application.

In the simplest scenario, you monitor your application with the Tivoli Performance Viewer functionality built into the administrative console. For an overview of this task, see topics on Monitoring performance.

### Results

Now you should have some insight into the task flow for successfully deploying your applications.

To solidify and expand your understanding, view the following table. It shows the user role and task assumptions by which this documentation is organized, for predictability. If you know what role or task you are performing, you can disregard (at least temporarily) documentation that is labeled for other roles or tasks. By their nature, role and task models are simplified compared to reality. Also, one person might perform many roles and tasks in the course of a day.

Table 136. Mapping of user roles to user tasks. Each user role performs at least one user task.

User role	User tasks
Installer	Install application serving environment Administer applications and environment Migrate deployed applications and their environment
Administrator	Administer applications and environment Deploy applications into production Monitor and tune applications and their environment
Developer	Develop or migrate application code Assemble applications for deployment Deploy applications for testing
Security expert	Secure applications and their environment
Troubleshooter	Use tools to troubleshoot problems

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## Chapter 43. Release notes

Links are provided to a description of the new functionality, the product support web site, the product documentation, and to last-minute updates, limitations, and known problems for IBM WebSphere Application Server Version 8.0 products.

- “Accessing last-minute updates, limitations, and known problems”
- “Accessing hardware and software requirements”
- “Accessing product documentation”
- “Accessing the product support website”
- “Contacting IBM Software Support”

### Accessing last-minute updates, limitations, and known problems

Release notes are available at the following URL:

- WebSphere Application Server Version 8.0

### Accessing hardware and software requirements

- The hardware and software requirements for the WebSphere Application Server products are provided on the Detailed system requirements web page.
- The hardware and software requirements for the WebSphere Extended Deployment Compute Grid products are provided on the Detailed system requirements web page.

### Accessing product documentation

The following documentation is installed with this product.

- **Help files**

The help files provide detailed instructions for completing tasks and for specifying settings in the graphical systems management tools. Use the help menus, links, or buttons provided in the tool interfaces to access the help files.

- **Documentation on the web**

For the entire documentation set for all WebSphere Application Server products, including the information center and Adobe Acrobat PDF versions of the information, go to the WebSphere Application Server library web page. All product documentation is in the information center, including versions of the installed help files.

### Accessing the product support website

- To search for the latest troubleshooting tips, downloads, fixes, and other support-related information, go to the WebSphere Application Server support.

### Contacting IBM Software Support

If you encounter a problem with this product, first try the following actions:

- Following the steps described in the product documentation
- Looking for related documentation in the online help
- Looking up error messages in the message reference

If you cannot resolve your problem by any of the preceding methods, contact IBM Technical Support.

Purchase of IBM WebSphere Application Server entitles you to one year of telephone support under the Passport Advantage® program. For details about Passport Advantage, visit the Passport Advantage web page.

The number for Passport Advantage members to call for WebSphere Application Server support is 1-800-426-7378. Have the following information available when you call:

- Your contract or Passport Advantage number
- Your WebSphere Application Server version and revision level, plus any installed fixes
- Your operating system name and version
- Your database type and version
- Basic topology data: how many machines are running, how many application servers, and so on
- Any error or warning messages that are related to your problem

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## Chapter 44. WebSphere platform and related software

This topic provides information on other WebSphere and IBM products.

### What is WebSphere?

IBM WebSphere® is the leading software platform for e-business on demand™. Successful industry leaders choose this adaptable, open platform to succeed in quickly and reliably delivering business results today knowing it gives them the freedom to grow their e-business in the future. Providing a full range of middleware software and support offerings, the WebSphere platform is infrastructure software that enables companies to develop, deploy, and integrate next-generation e-business applications from simple web publishing through enterprise-scale transaction processing.

WebSphere software transforms the way businesses manage customer, partner, and employee relationships. For example, you can use it to create compelling Web experiences, extend applications to incorporate mobile devices, and build electronic e-marketplaces. WebSphere software is all about the three fundamental aspects of e-business on demand:

- **Foundation & Tools.** Rely upon a high quality foundation to rapidly build and deploy applications for high-performance e-business on demand.
- **Business Portals.** Enhance customer, partner, employee, and supplier user experiences for optimal satisfaction.
- **Business Integration.** Integrate applications and automate business processes for operational efficiency and business flexibility.

### WebSphere software platform

This page is the main web page for finding information about products in the WebSphere brand.

### WebSphere Extended Deployment

WebSphere Extended Deployment offers a dynamic, goals-directed, high-performance environment for running mixed application types and workload patterns in WebSphere. With these capabilities, you can optimize the resource utilization and management of your IT infrastructure, while enhancing the quality of service for your business-critical applications.





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## Chapter 45. Guided activities for the administrative console

The topic describes the *guided activities* that are available in the administrative console. Guided activities lead you through common administrative tasks that require you to visit multiple administrative console pages.

*Table 137. Quick reference: Accessing the guided activities. The following table gives you the web address for the guided activities in the administrative console.*

The guided activities are available from the main page of the administrative console. The page is displayed after you log into the administrative console. To open the console, enter this web address in your web browser:

```
http://your_fully_qualified_server_name:9060/ibm/console
```

Depending on your configuration, your web address might differ. Other factors can affect your ability to access the console. See *Starting and logging off the administrative console* for details, as needed.

Guided activities display each administrative console page that you need to perform a task, surrounded by the following information to help you perform the task successfully.

- An introduction to the task, introducing essential concepts and describing when and why to perform the task
- Other tasks to do before and after performing the task
- The main steps to complete during this task
- Hints and tips to help you avoid and recover from problems
- Links to field descriptions and extended task information in the online documentation



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## Chapter 46. Tutorials

This topic describes how to find tutorials and their accompanying samples, for learning how to accomplish your goals with the product.

### **IBM Education Assistant tutorials**

The IBM Education Assistant site provides education resources that you can use at your convenience.

### **developerWorks tutorials and training**

The Tutorials and Training page of developerWorks provides tutorials and other training resources that you can use at your convenience.



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## Chapter 47. Accessing the samples

The product offers samples that demonstrate common enterprise application tasks. Many samples also provide instructions for deployment and coding examples.

The product provides samples in two ways:

### Plants By WebSphere sample installed with the product

If you select to install samples when installing the product and when creating an application server profile, the Plants By WebSphere application is included with the product. The application demonstrates several Java Platform, Enterprise Edition (Java EE) functions, using an online store that specializes in plant and garden tool sales.

See Installing the Plants By WebSphere sample.

### Samples downloadable from the Samples, Version 8.0 information center

The product provides component-specific samples that you can download at any time from a download site.

- Available samples
- Downloading samples

## Installing the Plants By WebSphere sample

To install the Plants By WebSphere sample, perform the following steps.

1. Install the product.

When specifying installation or profile options, select to install the sample applications.

By default, only the Plants By WebSphere sample is installed in the *app\_server\_root/samples* directory. A Plants By WebSphere pre-built enterprise archive named *pbw-ear.ear* is in the */samples/PlantsByWebSphere/pbw-ear/target* directory.

Installation instructions are in the */samples/PlantsByWebSphere/docs* directory.

You can build or modify the sample source code to support your project. The source code is in a *src* directory.

2. To run the sample in a distributed WebSphere Application Server, Network Deployment environment, install and configure the samples in a stand-alone application server profile installation, and then add the stand-alone application server profile as a managed node of the deployment manager cell.

You can use a deployment manager administrative console or `wsadmin addNode` command to make an application server a managed node of a deployment manager. For the `wsadmin addNode` command, use the `dmgr_host` argument with the `-includeapps` and `-includebuses` options.

For example:

```
addNode.sh/bat dmgr_hostname -includeapps -includebuses
```

where *dmgr\_hostname* is name of the computer that hosts your deployment manager profile.

3. Start the application server.

## Available samples

Samples that you can download include, for example, the following materials:

### Service Component Architecture (SCA) samples

The SCA samples support SCA specifications. SCA services are packaged in Java archive (JAR) files that you import as assets to the product repository and then add as composition units to business-level applications.

Download **SCA.zip**, or individual sample files, to a directory on your workstation. You might create the `/samples/sca` directory path on your workstation and download SCA sample files to that directory path.

You must deploy SCA sample files as assets of a business-level application to a Version 8.0 server or cluster or to a Version 7.0 target that is enabled for the Feature Pack for SCA. The `SCA/installableApps` directory of **SCA.zip** contains prebuilt archives that you can deploy as assets. The other directories contain sample-specific source files, scripts, and instructions for building deployable archives.

### **Communications Enabled Applications (CEA) samples**

The CEA sample applications provide two main services, telephony access and multi-modal web interaction. Use this collection of sample applications to explore the services and to use as a starting point when developing your own communication enabled applications.

### **OSGi samples**

The OSGi samples help you develop and deploy modular applications that use both Java EE and OSGi technologies.

### **XML samples**

The XML samples demonstrate use of the XML API and supported specifications.

### **Internationalization service sample**

The Internationalization service sample demonstrates how to use the internationalization service in Java EE applications, specifically within servlets and enterprise beans.

### **Web services samples**

These samples demonstrate both Java API for XML-based RPC (JAX-RPC) and Java API for XML Web Services (JAX-WS) web services that use Java Platform, Enterprise Edition (Java EE) beans and JavaBeans components.

The JAX-WS web service samples demonstrate the implementation of one-way and two-way web services that highlight the use of web services standards such as WS-Addressing (WS-A) , WS-Reliable Messaging (WS-RM), and WS-Secure Conversation (WS-SC) and the SOAP Message Transmission Optimization Mechanism (MTOM) technology.

### **Service Data Objects (SDO) sample**

This sample demonstrates data access to a relational database through Service Data Objects (SDO) and Java DataBase Connectivity (JDBC) Mediator technologies.

## **Downloading samples**

You can download samples from the **Samples, Version 8.0** information center.

1. Go to the **Samples, Version 8.0** information center.
2. Determine which samples you want to download.
3. On the **Downloads** tab for the samples that you want, click a **Download Sample** link.
4. In the authentication window, click **OK**.
5. Download the compressed file, or individual sample files, to a directory on your workstation.

You might create the `/samples/sample_type` directory path on your workstation and download the sample files to that directory path.

Many sample compressed files have an `/installableApps` directory that contains deployable prebuilt archives. Other directories contain files such as sample-specific source archives, scripts, and instructions for building deployable archives.

To deploy them to the application server, you can use the administrative console or use the `install` script in the `app_server_root/samples/bin` directory.

## Limitations of the samples

- The samples are for demonstration purposes only.

The code that is provided is not intended to run in a secured production environment. The samples support Java 2 Security, therefore the samples implement policy-based access control that checks for permissions on protected system resources, such as file I/O.

The samples also support administrative security.

- Many of the samples connect to an Apache Derby database using the embedded framework of Apache Derby. The embedded framework of Apache Derby has a limitation that only one Java virtual machine (JVM) can access a given database instance. As a result, in a clustered application server environment, the second server in the node fails to start the sample applications, because the first server (JVM) already holds a connection to that database instance.

For applications that require multiple Java virtual machines to access the same Apache Derby instance, use the Apache Derby networkServer framework.

## Additional samples and examples

### Samples on developerWorks

Additional product samples are available on WebSphere developerWorks

### Samples in tutorials

Many product tutorials rely on sample code. To find tutorials that demonstrate specific technologies, browse the links in “Tutorials” on page 10.

### Examples in the product documentation

The product documentation contains many code snippets and examples. To locate these examples easily, see the developer examples in the **Reference** section of the information center navigation for the product edition that you are using.





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## Chapter 48. Using the administrative clients

The product provides a variety of administrative clients for deploying and administering your applications and application serving environment, including configurations and logical administrative domains.

### Procedure

- Using the administrative console

The administrative console is a graphical, browser-based tool.

- Getting started with wsadmin scripting

Scripting is a non-graphical alternative that you can use to configure and administer your applications and application serving environment. The WebSphere Application Server **wsadmin** tool provides the ability to run scripts. The wsadmin tool supports a full range of product administrative activities.

- Using Ant to automate tasks

To support using Apache Ant with Java Platform, Enterprise Edition (Java EE) applications running on IBM WebSphere Application Server, the product provides a copy of the Ant tool and a set of Ant tasks that extend the capabilities of Ant to include product-specific functions.

- Using administrative programs (JMX)

The product supports access to the administrative functions through a set of Java classes and methods, under the Java Management Extensions (JMX) specification. You can write a Java program that performs any of the administrative features of the other administrative clients. You also can extend the basic product administrative system to include your own managed resources.

- Using command-line tools

Several command-line tools are available that you can use to start, stop, and monitor WebSphere server processes and nodes. These tools work on local servers and nodes only. They cannot operate on a remote server or node.



## Chapter 49. Specifications and API documentation

The WebSphere Application Server product supports various industry standards. This topic lists the specifications and application programming interface (API) documentation supported in current and previous product releases.

### Components

- Any application type
- Web applications
- Portlet applications
- SIP applications
- EJB applications
- OSGi applications
- Client applications
- Web services
- Service Component Architecture
- Service integration
- Data access resources
- Messaging resources
- Mail, URLs, and other Java EE resources
- Security
- Web Services Security
- Naming and directory
- Object Request Broker
- Transactions
- WebSphere extensions
- Administration

The **Version 8.0** column in the tables lists the latest specification level that the product supports. However, support for specifications is compatible with earlier versions of the product; the Version 8.0 product supports all specifications that are listed for Version 6.0 through Version 8.0. For example, for any application type, the Version 8.0 product supports Java EE 5 and 6 and J2EE 1.2, 1.3, and 1.4. The word “New” beside a specification indicates that the product first supported the specification in that product version.

### Any application type

*Table 138. Supported specifications for any application type. The product supports the specifications or APIs in this table.*

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Platform, Enterprise Edition (Java EE) specification  Prior to Java EE 5, the specification name was Java 2 Platform, Enterprise Edition (J2EE).	Java EE 6 (JSR 316) New	Java EE 5 New	J2EE 1.4	J2EE 1.4 New  J2EE 1.3  J2EE 1.2
Java Platform, Standard Edition (Java SE) specification  Prior to Java SE 6, the specification name was Java 2 Platform, Standard Edition (J2SE).	Java SE 6	Java SE 6 New	J2SE 5	J2SE 1.4.2
ISO 8859 specifications	ISO 8859 applies to these versions.			

## Web applications

Table 139. Supported specifications for web applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Servlet specification (JSR 154, JSR 53 and JSR 315)	Java Servlet 3.0 New	Java Servlet 2.5 New	Java Servlet 2.4	Java Servlet 2.4 New Java Servlet 2.3
JavaServer Faces (JSF) specification (JSR 252 and 127)	Apache MyFaces - JSF 2.0 New	Sun Reference Implementation - JSF 1.2  Apache MyFaces 1.2 - JSF 1.2	JSF 1.1	JSF 1.0
JavaServer Pages (JSP) specification (JSR 245, JSR 152, and JSR 53)	JSP 2.2 New	JSP 2.1 New	JSP 2.0	JSP 2.0 New JSP 1.2

## Portlet applications

Table 140. Supported specifications for portlet applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Portlet specification	Portlet 2.0 (JSR 286)	Portlet 2.0 (JSR 286) New	Portlet 1.0 (JSR 168)	Not applicable. The product first supports portlets in Version 6.1.

## Session Initialization Protocol applications

Table 141. Supported specifications and APIs for SIP applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Session Initiation Protocol (SIP) Servlet API  For a complete list of SIP and SIP proxy standards, see "SIP industry standards compliance" on page 602.	SIP 1.1 (JSR 289) New	SIP 1.1 (JSR 289) New for Feature Pack for CEA 1.0	SIP 1.0 (JSR 116)	Not applicable. The product first supports SIP in Version 6.1.

## Enterprise bean (EJB) applications

Table 142. Supported specifications and APIs for EJB applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Enterprise JavaBeans (EJB) specification	EJB 3.1 New	EJB 3.0	EJB 3.0 New for Feature Pack for EJB 3.0	EJB 2.1 New EJB 2.0 EJB 1.1

Table 142. Supported specifications and APIs for EJB applications (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java DataBase Connectivity (JDBC) API	JDBC 4.0	JDBC 4.0 New	JDBC 3.0	JDBC 3.0 New  JDBC 2.1 and Optional Package API (2.0)
Java Message Service (JMS) specification	JMS 1.1	JMS 1.1	JMS 1.1	JMS 1.1 New
Java Persistence API (JPA) specification	JPA 2.0	JPA 2.0  New for Feature Pack for OSGi and JPA 2.0	JPA 1.0  New for Feature Pack for EJB 3.0	Not applicable

## OSGi applications

Table 143. Supported specifications and APIs for OSGi applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
OSGi Service Platform specification	OSGi Service Platform Release 4 Version 4.2	OSGi Service Platform Release 4 Version 4.2  New for Feature Pack for OSGi and JPA 2.0	Not applicable	Not applicable
OSGi Alliance RFC-0112 Bundle Repository specification	OSGi Alliance RFC-0112 (Draft)	OSGi Alliance RFC-0112 (Draft)  New for Feature Pack for OSGi and JPA 2.0	Not applicable	Not applicable

## Client applications

Table 144. Supported specifications and APIs for client applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Web Start architecture	Java Web Start 1.4.2	Java Web Start 1.4.2	Java Web Start 1.4.2	Java Web Start 1.4.2 New

## Web services

Table 145. Supported specifications and APIs for web services. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Architecture for XML Binding (JAXB) specification	JAXB 2.2 New	JAXB 2.1 New	JAXB 2.0 New for Feature Pack for Web Services	Not applicable
Java Architecture for XML Binding (JAXB) Reference Implementation Vendor Extensions Runtime Properties specification	JAXB 2.2 RI Vendor Extensions New	JAXB 2.1 RI Vendor Extensions New	JAXB 2.0 RI Vendor Extensions New for Feature Pack for Web Services	Not applicable
Java API for XML Processing (JAXP) specification	1.4 Included in Java SE 6.	1.4 Included in Java SE 6.	1.3 Included in J2SE 5.	1.2 Maintenance release of JSR 63
Java API for XML Registries (JAXR) specification	JAXR 1.0	JAXR 1.0	JAXR 1.0	JAXR 1.0 New
Java API for XML-based RPC (JAX-RPC) specification	JAX-RPC 1.1	JAX-RPC 1.1	JAX-RPC 1.1	JAX-RPC 1.1 New
Java API for RESTful Web Services (JAX-RS) specification	JAX-RS 1.1 New			
Java API for XML Web Services (JAX-WS) specification	JAX-WS 2.2 New	JAX-WS 2.1 New	JAX-WS 2.0 New for Feature Pack for Web Services	Not applicable
SOAP	SOAP 1.2	SOAP 1.2	SOAP 1.2 New for Feature Pack for Web Services	SOAP 1.1
SOAP with Attachments API for Java (SAAJ) Specification	SAAJ 1.3	SAAJ 1.3	SAAJ 1.3 New for Feature Pack for Web Services	SAAJ 1.2 New
SOAP over Java Message Service (SOAP over JMS)	W3C SOAP over JMS 1.0	W3C SOAP over JMS 1.0 (submission draft)		
SOAP Message Transmission Optimization Mechanism (MTOM)	MTOM 1.0	MTOM 1.0	MTOM 1.0 New for Feature Pack for Web Services	Not applicable
Streaming API for XML (StAX)	StAX 1.0	StAX 1.0	StAX 1.0 New for Feature Pack for Web Services	Not applicable
Universal Description, Discovery and Integration (UDDI)	UDDI 3.0	UDDI 3.0	UDDI 3.0	UDDI 3.0 New
W3C XML Schema	<ul style="list-style-type: none"> <li>• XML Schema 1.0</li> <li>• XML Schema Part 1</li> <li>• XML Schema Part 2</li> </ul>	<ul style="list-style-type: none"> <li>• XML Schema 1.0</li> <li>• XML Schema Part 1</li> <li>• XML Schema Part 2</li> </ul>	<ul style="list-style-type: none"> <li>• XML Schema 1.0</li> <li>• XML Schema Part 1</li> <li>• XML Schema Part 2</li> </ul>	<ul style="list-style-type: none"> <li>• XML Schema 1.0</li> <li>• XML Schema Part 1</li> <li>• XML Schema Part 2</li> </ul>

Table 145. Supported specifications and APIs for web services (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
<p>Web Services Addressing (WS-Addressing)</p> <p>For more information, see “Web Services Addressing version interoperability” on page 761.</p>	<p>WS-Addressing 1.0 family of specifications:</p> <ul style="list-style-type: none"> <li>• 1.0 Core</li> <li>• 1.0 SOAP Binding</li> <li>• 1.0 Metadata</li> <li>• WS-Addressing WSDL Binding, W3C Candidate Recommendation</li> <li>• WS-Addressing, W3C Submission</li> </ul>	<p>WS-Addressing 1.0 family of specifications:</p> <ul style="list-style-type: none"> <li>• 1.0 Core</li> <li>• 1.0 SOAP Binding</li> <li>• 1.0 Metadata</li> <li>• WS-Addressing WSDL Binding, W3C Candidate Recommendation</li> <li>• WS-Addressing, W3C Submission</li> </ul>	<p>WS-Addressing 1.0 family of specifications:</p> <ul style="list-style-type: none"> <li>• Core</li> <li>• SOAP Binding</li> <li>• WSDL Binding</li> <li>• WS-Addressing WSDL Binding, W3C Last Call</li> <li>• WS-Addressing, W3C Submission</li> </ul>	Not applicable
Web Services Atomic Transaction (WS-AT)	WS-AT 1.2	<p>WS-AT 1.1 New</p> <p>WS-AT 1.2 New</p>	WS-AT 1.0	WS-AT 1.0 New
Web Services Business Activity (WS-BA)	WS-BA 1.2	<p>WS-BA 1.1 New</p> <p>WS-BA 1.2 New</p>	WS-BA 1.0	Not applicable
Web Services Coordination (WS-COOR)	WS-COOR 1.2	<p>WS-COOR 1.1 New</p> <p>WS-COOR 1.2 New</p>	WS-COOR 1.0	WS-COOR 1.0 New
Web Services Description Language (WSDL)	WSDL 1.1	WSDL 1.1	WSDL 1.1	WSDL 1.1
<p>Web Services for Java Platform, Enterprise Edition (Java EE) (JSR 109)</p> <p>Prior to Web Services for Java EE, the specification name was Web Services for Java 2 Platform, Enterprise Edition (J2EE).</p>	JSR 109 1.3 New	JSR 109 1.2 New	JSR 109 1.1	JSR 109 1.1 New
Web Services Interoperability Organization (WS-I) Basic Profile	<p>WS-I Basic Profile 1.2</p> <p>WS-I Basic Profile 2.0</p>	<p>WS-I Basic Profile 1.2 (draft)</p> <p>WS-I Basic Profile 2.0 (draft)</p>	<p>WS-I Basic Profile 1.2 (draft) New for Feature Pack for Web Services</p> <p>WS-I Basic Profile 2.0 (draft) New for Feature Pack for Web Services</p>	WS-I Basic Profile 1.1 New
Web Services-Interoperability (WS-I) Attachments Profile	WS-I Attachments 1.0	WS-I Attachments 1.0	WS-I Attachments 1.0	WS-I Attachments 1.0 New
<p>Web Services Interoperability (WS-I) Reliable Secure Profile (RSP)</p> <p>Prior to WS-I RSP, the specification was named Reliable Asynchronous Messaging Profile (RAMP)</p>	WS-I RSP 1.0	RAMP 1.0	RAMP 1.0 New for Feature Pack for Web Services	Not applicable

Table 145. Supported specifications and APIs for web services (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Web Services Invocation Framework (WSIF)	WSIF	WSIF	WSIF	WSIF
Web Services Metadata for the Java Platform (JSR 181)	Web Services Metadata for the Java Platform	Web Services Metadata for the Java Platform	Web Services Metadata for the Java Platform New for Feature Pack for Web Services	Not applicable
Web Services Notification (WS-Notification)	WS-Notification 1.3 family of specifications: <ul style="list-style-type: none"> <li>• WS-BaseNotification 1.3</li> <li>• WS-BrokeredNotification 1.3</li> <li>• WS-Topics 1.3</li> </ul>	WS-Notification 1.3 family of specifications: <ul style="list-style-type: none"> <li>• WS-BaseNotification 1.3</li> <li>• WS-BrokeredNotification 1.3</li> <li>• WS-Topics 1.3</li> </ul>	WS-Notification 1.3 family of specifications: <ul style="list-style-type: none"> <li>• WS-BaseNotification 1.3</li> <li>• WS-BrokeredNotification 1.3</li> <li>• WS-Topics 1.3</li> </ul>	Not applicable
Web Services Policy (WS-Policy) specification	Web Services Policy 1.5  Web Services Addressing 1.0 - Metadata  Web Services Atomic Transaction Version 1.0 and Web Services Atomic Transaction Version 1.1  Web Services Reliable Messaging Policy Assertion Version 1.0 and Web Services Reliable Messaging Policy Assertion Version 1.1  WS-SecurityPolicy 1.2	Web Services Policy 1.5 New  Web Services Addressing 1.0 - Metadata New  Web Services Atomic Transaction Version 1.0 and Web Services Atomic Transaction Version 1.1 New  Web Services Reliable Messaging Policy Assertion Version 1.0 and Web Services Reliable Messaging Policy Assertion Version 1.1 New  WS-SecurityPolicy 1.2 New	Not applicable	
Web Services Reliable Messaging	WS-MakeConnection Version 1.0	WS-MakeConnection Version 1.0 New	WS-ReliableMessaging 1.0 and WS-ReliableMessaging 1.1. New for Feature Pack for Web Services	Not applicable
Web Services Resource Framework (WSRF)	WSRF 1.2	WSRF 1.2	WSRF 1.2 New	Not applicable



Table 145. Supported specifications and APIs for web services (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
XML-binary Optimized Packaging (XOP)	XOP 1.0	XOP 1.0	XOP 1.0 New for Feature Pack for Web Services	Not applicable

## Service Component Architecture

The product supports the following Service Component Architecture (SCA) specifications. The product supports most sections of the specifications, although some sections are not supported. See “Unsupported SCA specification sections” on page 422.

Table 146. Supported specifications and APIs for SCA applications. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
SCA Assembly Model specification	SCA Assembly Model 1.00	SCA Assembly Model 1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable
SCA Policy Framework specification	SCA Policy Framework 1.00	SCA Policy Framework 1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable
SCA Transaction Policy specification	SCA Transaction Policy 1.00	SCA Transaction Policy 1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable
SCA Java Common Annotations and APIs specification	SCA Java Common Annotations and APIs 1.00	SCA Java Common Annotations and APIs 1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable
SCA Java Component Implementation specification	SCA Java Component Implementation 1.00	SCA Java Component Implementation 1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable
SCA Web Services Binding specification	SCA Web Services Binding V1.00	SCA Web Services Binding V1.00 New for Feature Pack for SCA Version 1.0.0	Not applicable	Not applicable

Table 146. Supported specifications and APIs for SCA applications (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
SCA EJB Session Bean Binding specification	SCA EJB Session Bean Binding 1.00  Supports EJB 2.1 and 3.0 modules.	SCA EJB Session Bean Binding 1.00 New for Feature Pack for SCA Version 1.0.0  Supports EJB 2.1 and 3.0 modules.	Not applicable	Not applicable
SCA JMS Binding specification	SCA JMS Binding 1.00	SCA JMS Binding 1.00 New for Feature Pack for SCA Version 1.0.1	Not applicable	Not applicable
SCA Java EE Integration specification	SCA Java EE Integration 1.00	SCA Java EE Integration 1.00 New for Feature Pack for SCA Version 1.0.1	Not applicable	Not applicable
SCA Spring Component Implementation specification	SCA Spring Component Implementation 1.00	SCA Spring Component Implementation 1.00 New for Feature Pack for SCA Version 1.0.1	Not applicable	Not applicable
Service Data Objects (SDO) specification	SDO 2.1.1 (JSR 235)	SDO 2.1.1 (JSR 235) New for Feature Pack for SCA Version 1.0.1	Not applicable	Not applicable

## Service integration

Table 147. Supported specifications and APIs for service integration. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java DataBase Connectivity (JDBC) API	JDBC 4.0	JDBC 4.0 New	JDBC 3.0	JDBC 3.0 New

## Data access resources

Table 148. Supported specifications and APIs for data access resources. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java DataBase Connectivity (JDBC) API	JDBC 4.0	JDBC 4.0 New	JDBC 3.0	JDBC 3.0 New
Java EE Connector Architecture (JCA) resource adapter	JCA 1.6 (JSR 322) New	JCA 1.5	JCA 1.5	JCA 1.5 New  JCA 1.0

Table 148. Supported specifications and APIs for data access resources (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Service Data Objects (SDO) specification	SDO 2.1.1 (JSR 235)	SDO 2.1.1 (JSR 235) New for Feature Pack for SCA Version 1.0.1	SDO 1.0	SDO 1.0 New

## Messaging resources

Table 149. Supported specifications and APIs for messaging resources. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Message Service (JMS)	JMS 1.1	JMS 1.1	JMS 1.1	JMS 1.1 New
Java EE Connector Architecture (JCA) resource adapter	JCA 1.6 (JSR 322) New	JCA 1.5	JCA 1.5	JCA 1.5 New JCA 1.0

## Mail, URLs, and other Java EE resources

Table 150. Supported specifications and APIs for mail, URLs, and other Java EE resources. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
JavaMail API documentation (JSR 919)	JavaMail 1.4	JavaMail 1.4 New	JavaMail 1.3	JavaMail 1.3 New
URL API documentation	URL 1.4.2	URL 1.4.2	URL 1.4.2	URL 1.4.2 New
JavaBeans Activation Framework (JAF) Specification	JAF 1.1	JAF 1.1 New	JAF 1.0.2	JAF 1.0.2 New
W3C Architecture - Naming and Addressing: URIs, URLs	W3C Naming and Addressing applies to these versions.			

## Security

Table 151. Supported specifications and APIs for security. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java 2 Security Manager	Java 2 Security Manager 1.5	Java 2 Security Manager 1.5	Java 2 Security Manager 1.5	Java 2 Security Manager 1.4 New
Java Authentication and Authorization Service (JAAS)	JAAS 2.0 applies to these versions.			
Java Authorization Contract for Containers (JACC)	JACC 1.1	JACC 1.1 New	JACC 1.0	JACC 1.0 New
Java Authentication Service Provider Interface for Containers (JASPI)	JASPI 1.0	Not applicable	Not applicable	Not applicable

Table 151. Supported specifications and APIs for security (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Common Secure Interoperability Version 2 (CSIv2) specification  This is an Object Management Group (OMG) CORBA/IIOP specification.	CSI 2.0 applies to these versions.			
Secure Sockets Layer (SSL) configuration  The product uses Java Secure Sockets Extension (JSSE) as the SSL implementation for secure connections. JSSE is part of the Java 2 Standard Edition (J2SE) specification and is included in the IBM implementation of the Java Runtime Extension (JRE) specification.	JSSE 5.0	JSSE 5.0	JSSE 5.0 New	JSSE 1.0.3
Java Generic Security Service (JGSS)  Use JGSS with the Kerberos Network Authentication Service, Version 5	JGSS 1.0.1 applies to these versions.			
The Simple and Protected GSS-API Negotiation Mechanism (SPNEGO)	SPNEGO 1.0 applies to these versions.			
Java Cryptographic Extension (JCE) specification	JCE 1.0 applies to these versions.			
Java Certification Path (CertPath) API	CertPath 1.1	CertPath 1.1	CertPath 1.1 New	CertPath 1.0

## Web Services Security

Table 152. Supported specifications and APIs for Web Services Security. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Canonical XML	Canonical XML 1.0 applies to these versions.			
Decryption Transform for XML Signature	Decryption Transformation for XML Signature applies to these versions. .			
Exclusive XML Canonicalization	Exclusive XML Canonicalization 1.0 applies to these versions.			
OASIS Web Services Security: SOAP Message Security (WS-Security)	WS-Security 1.1	WS-Security 1.1	WS-Security 1.1 New for Feature Pack for Web Services	WS-Security 1.0
OASIS Web Services Security: Kerberos Token Profile	Kerberos Token Profile 1.1	Kerberos Token Profile 1.1 New	Not applicable	
OASIS Web Services Security: SAML Token Profile 1.1 <b>Note:</b> WebSphere Application Server supports this specification in reference to the SAML Version 1.1 and 2.0 assertions within SOAP messages only.	SAML Version 1.1 and 2.0 assertions	SAML Version 1.1 and 2.0 assertions		

Table 152. Supported specifications and APIs for Web Services Security (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
OASIS Web Services Security: Username Token Profile	Username Token Profile 1.1	Username Token Profile 1.1	Username Token Profile 1.1 New for Feature Pack for Web Services	Username Token Profile 1.0 New
OASIS Web Services Security: X.509 Token Profile	X.509 Token Profile 1.1	X.509 Token Profile 1.1	X.509 Token Profile 1.1 New for Feature Pack for Web Services	X.509 Token Profile 1.0 New
Web Services Interoperability Organization (WS-I) Basic Security Profile	WS-I Basic Security Profile 1.1	WS-I Basic Security Profile 1.1 New	WS-I Basic Security Profile 1.0	Not applicable
Web Services Interoperability Organization (WS-I) Reliable Secure Profile	WS-I Reliable Secure Profile 1.0 (draft)	WS-I Reliable Secure Profile 1.0 (draft)	WS-I Reliable Secure Profile 1.0 (draft) New for Feature Pack for Web Services	Not applicable
Web Services Secure Conversation (WS-SecureConversation)	OASIS WS-SecureConversation 1.3	OASIS WS-SecureConversation 1.3 New	OASIS WS-SecureConversation 1.0 (draft submission) New for Feature Pack for Web Services	Not applicable
Web Services Trust	OASIS WS-Trust 1.3	OASIS WS-Trust 1.3 New	OASIS WS-Trust 1.1 (draft) New for Feature Pack for Web Services	Not applicable
XML Signature Syntax and Processing	XML Signature Syntax and Processing applies to these versions.			
XML Encryption Syntax and Processing	XML Encryption Syntax and Processing applies to these versions.			

## Naming and directory

Table 153. Supported specifications and APIs for naming and directory. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java Naming and Directory Interface (JNDI) Specification  See also “JNDI support in WebSphere Application Server” on page 350.	JNDI on Java SE 6	JNDI on Java SE 6 New	JNDI on J2SE applies to these versions.	
Common Object Request Broker: Architecture and Specification (CORBA) specification  This is an Object Management Group (OMG) Interoperable Naming (CosNaming) specification.	CORBA 2.4 applies to these versions.			
Interoperable Naming Service specification  This is an OMG CosNaming specification.	Interoperable Naming Service			
Naming Service specification  This is an OMG CosNaming specification.	Naming Service applies to these versions.			

## Object Request Broker

The Object Request Broker (ORB) component follows the Common Object Request Broker Architecture (CORBA) specifications supported by Java 2 Platform, Standard Edition (J2SE). The Object Management Group (OMG) produces the specifications.

Versions 6.1 and later use the J2SE 5.0 specifications that are listed in *Official Specifications for CORBA support in J2SE 5.0* at <http://download.oracle.com/javase/1.5.0/docs/guide/idl/compliance.html>.

Version 6.0.x uses the J2SE 1.4 specifications that are listed in *Official Specifications for CORBA support in J2SE 1.4* at <http://download.oracle.com/javase/1.4.2/docs/api/org/omg/CORBA/doc-files/compliance.html>.

Table 154. Supported specifications and APIs for ORB. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Common Object Request Broker Architecture (CORBA) specifications	CORBA 2.3.1 applies to these versions.			
Revised IDL to Java language mapping	Revised IDL to Java language mapping applies to these versions.			
New IDL to Java Mapping Chapter	New IDL to Java Mapping Chapter applies to these versions.			
Updated Java to IDL Mapping specification	Updated Java to IDL Mapping applies to these versions.			
Interoperable Naming Service revised chapters	Interoperable Naming Service revised chapters applies to these versions.			
Object Reference Template Final Adopted specification	Object Reference Template Final Adopted specification	Object Reference Template Final Adopted specification	Object Reference Template Final Adopted specification New	Not applicable

Table 154. Supported specifications and APIs for ORB (continued). The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Portable Interceptors specification	Not applicable	Not applicable	Not applicable	Portable Interceptors specification

## Transactions

Table 155. Supported specifications and APIs for transactions. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
CORBA Object Transaction Service (OTS) specification	OTS 1.4	OTS 1.4	OTS 1.4	OTS 1.4 New
Java EE Connector Architecture (JCA) resource adapter	JCA 1.6 (JSR 322) New	JCA 1.5	JCA 1.5	JCA 1.5 New  JCA 1.0
Java Transaction API (JTA) specification	JTA 1.1	JTA 1.1 New	JTA 1.0.1B	JTA 1.0.1B New
Java Transaction Service (JTS) specification	JTS 1.0 applies to these versions.			
Web Services Atomic Transaction (WS-AT)	WS-AT 1.2	WS-AT 1.1 New  WS-AT 1.2 New	WS-AT 1.0	WS-AT 1.0 New
Web Services Business Activity (WS-BA)	WS-BA 1.2	WS-BA 1.1 New  WS-BA 1.2 New	WS-BA 1.0	Not applicable
Web Services Coordination (WS-COOR)	WS-COOR 1.2	WS-COOR 1.1 New  WS-COOR 1.2 New	WS-COOR 1.0	WS-COOR 1.0 New

## WebSphere extensions

Table 156. Supported specifications and APIs for WebSphere extensions. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
ActivitySession service and Last Participant Support				
J2EE Activity Service for Extended Transactions (JSR 95)	JSR 95 applies to these versions.			
Java Transaction API (JTA) specification	JTA 1.1	JTA 1.1 New	JTA 1.0.1B New	JTA 1.0.1
Internationalization (i18n)				
J2SE internationalization documentation	J2SE Internationalization 5.0	J2SE Internationalization 5.0	J2SE Internationalization 5.0 New	J2SE Internationalization 1.4.2

## Administration

Table 157. Supported specifications and APIs for administration. The product supports the specifications or APIs in this table.

Specification or API	Version 8.0	Version 7.0	Version 6.1	Version 6.0
Java EE Application Deployment specification	Java EE Deployment 1.2	Java EE Deployment 1.2 New	J2EE Deployment 1.1	J2EE Deployment 1.1 New
J2EE Extension Mechanism Architecture	J2EE Extension Mechanism Architecture 1.4.2	J2EE Extension Mechanism Architecture 1.4.2	J2EE Extension Mechanism Architecture 1.4.2	J2EE Extension Mechanism Architecture 1.4.2 New
Java Management Extensions (JMX) JSR-000003	JMX 1.2	JMX 1.2	JMX 1.2	JMX 1.2 New
Java Management Extensions (JMX) Remote API	JMX Remote API 1.0	JMX Remote API 1.0	JMX Remote API 1.0 New	Not applicable
Java Virtual Machine (JVM) specification See WebSphere Application Server detailed system requirements.	JVM 6	JVM 6 New	JVM 5.0 New	JVM 1.4.2
Logging API specification (JSR 47)	Logging API specification (JSR 47) 1.0	Logging API specification (JSR 47) 1.0	Logging API specification (JSR 47) 1.0	Logging API specification (JSR 47) 1.0 New



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## Chapter 50. WebSphere Application Server architecture

This topic introduces the parts of the WebSphere Application Server.

### Servers

**WebSphere Application Server.** An application server is a Java virtual machine (JVM) running user applications. Application servers use Java technology to extend web server capabilities to handle web application requests. An application server makes it possible for a server to generate a dynamic, customized response to a client request. The WebSphere Application Server provides application servers.

For more introduction, refer to “Introduction: Application servers” on page 1017.

**Generic servers.** In distributed platforms, you can use the generic servers feature to create a generic server as an application server instance within the product administration, and associate it with a non-WebSphere server or process. The generic server can be associated with any server or process that is necessary to support the application server environment.

For more information, refer to Server collection.

**Web servers.** In the WebSphere Application Server, an application server works with a web server to handle requests for web applications. The application server and web server communicate using an HTTP plug-in for the web server.

For more information, refer to Implementing a web server plug-in.

### Clusters

**Clusters.** In the WebSphere Application Server, Network Deployment product, clusters and cluster members help you monitor application servers and manage the workloads of servers.

For more information, refer to Balancing workloads.

### Core groups

**Core groups settings.** A core group is a statically defined component of the high availability manager. The high availability manager is a product function that monitors the application server environment and provides peer-to-peer failover of application server components.

**Core group bridge settings.** A core group bridge is a configurable service for communication between core groups.

For more information, refer to Core groups (high availability domains).

### Resources

**JMS providers.** The product supports messaging by providing a range of Java Message Service (JMS) providers that conform to the JMS specifications. There are three main types of JMS provider that can be configured in WebSphere Application Server: The WebSphere Application Server default messaging provider (uses service integration as the provider), the WebSphere MQ messaging provider (uses your WebSphere MQ system as the provider) and 3rd party messaging providers (use another company's product as the provider).

For more information, refer to “Introduction: Messaging resources” on page 1098.

## Environment

Cell-wide settings help handle requests among Web applications, web containers, and application servers in a logical administrative domain called a cell.

**Virtual hosts.** A virtual host is a configuration enabling a single host to resemble multiple logical hosts. Each virtual host has a logical name and a list of one or more DNS aliases by which it is known. A DNS alias is the TCP/IP host name and port number that are used to request the servlet, for example: *hostname:80*. The DNS alias might be the host name and port of a web server that routes to the application server or the actual host name and port on which the application server is listening. Java Platform, Enterprise Edition (Java EE) web modules are mapped to a virtual host at installation time. Web modules that use the same virtual host can dispatch to resources within one another.

For more information, refer to Virtual hosts.

**WebSphere variables.** Variables are used to control settings and properties relating to the server environment. WebSphere variables are used to configure product path names such as `JAVA_HOME`, cell-wide customization values, and the WebSphere Application Server for z/OS location service.

For more information, refer to WebSphere variables.

**Shared libraries.** Shared libraries are files used by multiple applications. You can define a shared library at the cell, node, or server level. You can then associate the library to an application or server in order for the classes represented by the shared library to be loaded in either a server-wide or application-specific class loader.

For more information, refer to Managing shared libraries.

**Replication domains.** Replication is a service that transfers data, objects, or events among application servers. Data replication service (DRS) is the internal WebSphere Application Server component that replicates data. Replication domains transfer data, objects, or events for session manager, dynamic cache, or stateful session beans among application servers in a cluster.

For more information, refer to Data replication.

## System administration

**Administrative console.** The administrative console is a graphical interface that provides many features to guide you through deployment and systems administration tasks. Use it to explore available management options.

For more introduction, refer to “Introduction: Administrative console” on page 1014.

**Scripting client (wsadmin).** The WebSphere administrative (wsadmin) scripting program is a powerful, non-graphical command interpreter environment enabling you to run administrative operations in a scripting language. You can also submit scripting language programs to run. The wsadmin tool is intended for production environments and unattended operations.

For more introduction, refer to “Introduction: Administrative scripting (wsadmin)” on page 1014.

**Administrative programs (Java Management Extensions).** The product supports a Java programming interface for developing administrative programs. All of the administrative tools that are supplied with the product are written according to the API, which is based on the industry standard Java Management Extensions (JMX) specification.

For more introduction, refer to “Introduction: Administrative programs” on page 1015.

**Command line tools.** Command-line tools are simple programs that you run from an operating system command-line prompt to perform specific tasks, as opposed to general purpose administration. Using the tools, you can start and stop application servers, check server status, add or remove nodes, and complete similar tasks.

For more introduction, refer to “Introduction: Administrative commands” on page 1015.

**Configuration files.** Product configuration data resides in XML files that are manipulated by the previously mentioned administrative clients.

For more introduction, refer to “Introduction: Administrative configuration data” on page 1015.

**Domains (cells, nodes).** Servers, nodes and node agents, cells, and the deployment manager are fundamental concepts in the administrative universe of the product. It is also important to understand the various processes in the administrative topology and the operating environment in which they apply.

For more introduction, refer to “Welcome to basic administrative architecture” on page 1012.

## Monitoring and tuning

**Monitoring tools.** Performance monitoring is an activity in which you collect and analyze data about the performance of your applications and their environments. Performance monitoring tools include :

- Performance Monitoring Infrastructure (PMI) for monitoring to understand overall system health. For more information, see Performance Monitoring Infrastructure (PMI).
- Request metrics for monitoring to understand resource usage. For more information, see Why use request metrics?.
- Tivoli Performance Viewer (TPV) for viewing the performance data that you collected. For more information, see Why use Tivoli Performance Viewer?.

**Tuning tools.** Tuning the product helps you obtain the best performance from your website. Tuning the product involves analyzing performance data and determining the optimal server configuration. This determination requires considerable knowledge about the various components in the application server and their performance characteristics. The performance advisors encapsulate this knowledge, analyze the performance data and provide configuration recommendations to improve the application server performance. Therefore, the performance advisors provide a starting point to the application server tuning process and help you without requiring that you become an expert.

For more information, refer to Obtaining advice from the advisors.

## Troubleshooting

**Diagnostic tools.** Diagnostic tools help you isolate the source of problems. Many diagnostic tools are available for this product.

For more information, refer to Working with troubleshooting tools.

**Support and self-help** IBM Support can assist in deciphering the output of diagnostic tools. Refer to the WebSphere Application Server Technical Support website for current information on known problems and their resolution. Documents at this site can save you time gathering information that is needed to resolve a problem.

For more information, refer to the WebSphere Application Server Support page.

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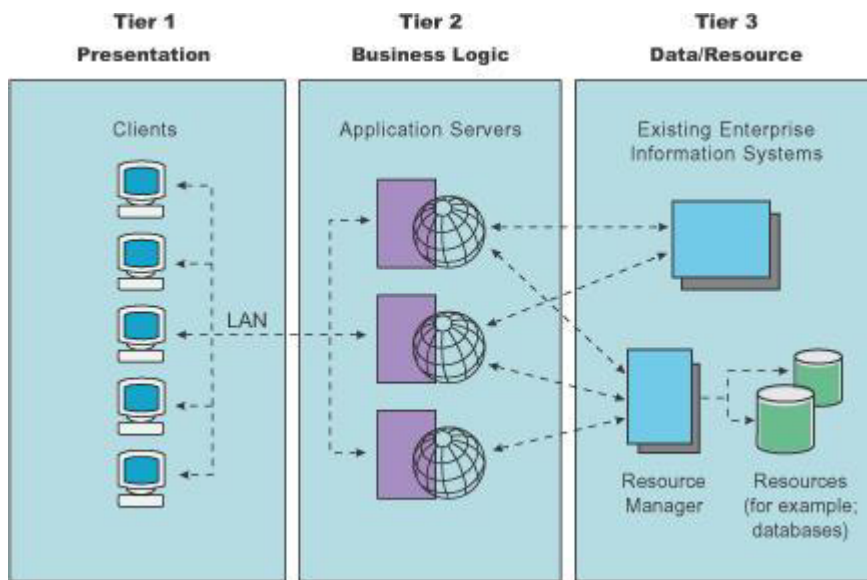
## Three-tier architectures

WebSphere Application Server provides the application logic layer in a three-tier architecture, enabling client components to interact with data resources and legacy applications.

Collectively, three-tier architectures are programming models that enable the distribution of application functionality across three independent systems, typically:

- Client components running on local workstations (tier one)
- Processes running on remote servers (tier two)
- A discrete collection of databases, resource managers, and mainframe applications (tier three)

These tiers are logical tiers. They might or might not be running on the same physical server.



**First tier.** Responsibility for presentation and user interaction resides with the first-tier components. These client components enable the user to interact with the second-tier processes in a secure and intuitive manner. WebSphere Application Server supports several client types. Clients do not access the third-tier services directly. For example, a client component provides a form on which a customer orders products. The client component submits this order to the second-tier processes, which check the product databases and perform tasks that are needed for billing and shipping.

**Second tier.** The second-tier processes are commonly referred to as the *application logic layer*. These processes manage the business logic of the application, and are permitted access to the third-tier services. The application logic layer is where most of the processing work occurs. Multiple client components can access the second-tier processes simultaneously, so this application logic layer must manage its own transactions.

In the previous example, if several customers attempt to place an order for the same item, of which only one remains, the application logic layer must determine who has the right to that item, update the database to reflect the purchase, and inform the other customers that the item is no longer available. Without an application logic layer, client components access the product database directly. The database is required to manage its own connections, typically locking out a record that is being accessed. A lock can occur when an item is placed into a shopping cart, preventing other customers from considering it for purchase. Separating the second and third tiers reduces the load on the third-tier services, supports more effective connection management, and can improve overall network performance.

**Third tier.** The third-tier services are protected from direct access by the client components residing within a secure network. Interaction must occur through the second-tier processes.

**Communication among tiers.** All three tiers must communicate with each other. Open, standard protocols and exposed APIs simplify this communication. You can write client components in any programming language, such as Java or C++. These clients run on any operating system, by speaking with the application logic layer. Databases in the third tier can be of any design, if the application layer can query and manipulate them. The key to this architecture is the application logic layer.



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## Chapter 51. Deprecated, stabilized, and removed features

If you are migrating from an earlier release of WebSphere Application Server, you should be aware of the various features that have been deprecated, stabilized, and removed since Version 6.x.

### Note:

**depfat:** Refer to the tables in “Deprecated features,” “Stabilized features” on page 1185, and “Removed features” on page 1186 to learn what has been deprecated, stabilized, and removed.

### Deprecated features

If a feature is listed as deprecated, IBM might remove this capability in a subsequent release of the product. Future investment will be focused on the strategic function listed under "Recommended Migration Actions" in “Deprecated features.” Typically, a feature is not removed until at least two major releases or three full years (whichever time period is longer) after the release in which that feature is deprecated. For example, features that are deprecated in Version 6.0, Version 6.0.1, or Version 6.0.2 are not removed from the product until after Version 7.0 because both Version 6.0.x and Version 6.1.x are major releases. In rare cases, it might become necessary to remove features sooner; such cases are indicated clearly and explicitly in the descriptions of these deprecated features.

For information on features that have been deprecated in this and earlier releases of WebSphere Application Server, read “Deprecated features.”

### Stabilized features

If a feature is listed as stabilized, IBM does not currently plan to deprecate or remove this capability in a subsequent release of the product; but future investment will be focused on the alternative function listed under "Strategic Alternative" in “Stabilized features” on page 1185. You do not need to change any of your existing applications and scripts that use a stabilized function; but you should consider using the strategic alternative for new applications.

For information on features that have been stabilized in this release of WebSphere Application Server, read “Stabilized features” on page 1185.

### Removed features

For information on features that have been removed in this and earlier releases of WebSphere Application Server, read “Removed features” on page 1186.

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## Deprecated features

If you are migrating from an earlier release of WebSphere Application Server, you should be aware of the various features that have been deprecated in this and earlier releases.

If a feature is listed here as deprecated, IBM might remove this capability in a subsequent release of the product. Future investment will be focussed on the strategic function listed under "Recommended Migration Actions." Typically, a feature is not removed until at least two major releases or three full years (whichever time period is longer) after the release in which that feature is deprecated. For example, features that are deprecated in Version 6.0, Version 6.0.1, or Version 6.0.2 are not removed from the product until after Version 7.0 because both Version 6.0.x and Version 6.1.x are major releases. In rare cases, it might become necessary to remove features sooner; such cases are indicated clearly and explicitly in the descriptions of these deprecated features in this article.

The following tables summarize deprecated features by version and release. The tables indicate what is deprecated—such as application programming interfaces (APIs), scripting interfaces, tools, wizards, publicly exposed configuration data, naming identifiers, and constants. Where possible, the tables also indicate the recommended migration action.

This article contains the following deprecation tables:

- “Features deprecated in Version 8.0”
- “Features deprecated in Version 7.0” on page 1163
- “Features deprecated in Version 6.1” on page 1167
- “Features deprecated in Version 6.0.2” on page 1171
- “Features deprecated in Version 6.0” on page 1171
- “Features deprecated in Version 5.1.1” on page 1175
- “Features deprecated in Version 5.1” on page 1175
- “Features deprecated in Version 5.0.2” on page 1179
- “Features deprecated in Version 5.0.1” on page 1181
- “Features deprecated in Version 5.0” on page 1183

## **Features deprecated in Version 8.0**



**Table 158. Features deprecated in Version 8.0. This table describes the features that are deprecated in Version 8.0.**

Category	Deprecation	Recommended Migration Action
Application services	<p>The following historyInfo utility command-line arguments:</p> <ul style="list-style-type: none"> <li>• -components</li> <li>• -maintenancePackageID</li> </ul>	<p>Be aware of the following when you use the historyInfo utility:</p> <ul style="list-style-type: none"> <li>• -component</li> </ul> <p>Do not use this argument. It now performs no action.</p> <ul style="list-style-type: none"> <li>• -maintenancePackageID</li> </ul> <p>This argument now performs an action that is equivalent to -offeringID. Use -offeringID.</p>
	<p>The following versionInfo utility command-line arguments:</p> <ul style="list-style-type: none"> <li>• -componentDetail</li> <li>• -components</li> <li>• -maintenancePackageDetail</li> <li>• -maintenancePackages</li> </ul>	<p>Be aware of the following when you use the versionInfo utility:</p> <ul style="list-style-type: none"> <li>• -componentDetail</li> </ul> <p>Do not use this argument. It now performs no action.</p> <ul style="list-style-type: none"> <li>• -components</li> </ul> <p>Do not use this argument. It now performs no action.</p> <ul style="list-style-type: none"> <li>• -maintenancePackageDetail</li> </ul> <p>This argument now performs an action that is equivalent to -fixpackDetail plus -fixDetail. Use -fixpackDetail and -fixDetail.</p> <ul style="list-style-type: none"> <li>• -maintenancePackages</li> </ul> <p>This argument now performs an action that is equivalent to -fixpacks plus -fixes. Use -fixpacks and -fixes.</p>
	<p>The following methods in the com.ibm.websphere.product.WASDirectory class:</p> <ul style="list-style-type: none"> <li>• public WASComponent getInstalledComponentByName(String componentName)</li> <li>• public WASComponent[] getInstalledComponentList()</li> <li>• public boolean isComponentInstalled(String componentName)</li> <li>• public WASMaintenancePackage[] getHistoryMaintenancePackageList()</li> <li>• public WASMaintenancePackage getInstalledMaintenancePackageByID(String mpID)</li> <li>• public WASMaintenancePackage[] getInstalledMaintenancePackageList()</li> <li>• public boolean isMaintenancePackageInstalled(String ID)</li> </ul>	<p>Do not use these methods.</p> <ul style="list-style-type: none"> <li>• public WASComponent getInstalledComponentByName(String componentName)</li> </ul> <p>This method now returns a null object.</p> <ul style="list-style-type: none"> <li>• public WASComponent[] getInstalledComponentList()</li> </ul> <p>This method now returns an empty list.</p> <ul style="list-style-type: none"> <li>• public boolean isComponentInstalled(String componentName)</li> </ul> <p>This method now returns as false.</p> <ul style="list-style-type: none"> <li>• public WASMaintenancePackage[] getHistoryMaintenancePackageList()</li> </ul> <p>This method is replaced by public IMEvent[] getHistoryEventList().</p> <ul style="list-style-type: none"> <li>• public WASMaintenancePackage getInstalledMaintenancePackageByID(String mpID)</li> </ul> <p>This method is replaced by public IMOffering getInstalledOfferingByID(String productID).</p> <ul style="list-style-type: none"> <li>• public WASMaintenancePackage[] getInstalledMaintenancePackageList()</li> </ul> <p>This method is replaced by public IMOffering[] getInstalledOfferingList().</p> <ul style="list-style-type: none"> <li>• public boolean isMaintenancePackageInstalled(String ID)</li> </ul> <p>This method is replaced by public boolean isThisProductInstalled(String id).</p>

Table 158. Features deprecated in Version 8.0 (continued). This table describes the features that are deprecated in Version 8.0.

Category	Deprecation	Recommended Migration Action
Application services	<p>The following classes under the <code>com.ibm.websphere.product.*</code> package:</p> <ul style="list-style-type: none"> <li>• <code>com.ibm.websphere.product.metadata.WASComponent</code></li> <li>• <code>com.ibm.websphere.product.metadata.WASComponentUpdate</code></li> <li>• <code>com.ibm.websphere.product.metadata.WASMaintenancePackage</code></li> <li>• <code>com.ibm.websphere.product.WASProductException</code></li> <li>• <code>com.ibm.websphere.product.history.WASHistoryException</code></li> <li>• <code>com.ibm.websphere.product.WASProduct</code></li> <li>• <code>com.ibm.websphere.product.history.WASHistory</code></li> </ul> <p>The following constants under the <code>com.ibm.websphere.product.WASDirectory</code> class:</p> <ul style="list-style-type: none"> <li>• <code>ID_BASE</code></li> <li>• <code>ID_EXPRESS</code></li> <li>• <code>ID_ND</code></li> </ul> <p>The following constants under the <code>com.ibm.websphere.product.WASDirectory</code> and <code>com.ibm.websphere.product.util.WASDirectoryHelper</code> classes:</p> <ul style="list-style-type: none"> <li>• <code>ID_PME</code></li> <li>• <code>ID_WBI</code></li> <li>• <code>ID_JDK</code></li> <li>• <code>ID_EMBEDDED_EXPRESS</code></li> <li>• <code>ID_XD</code></li> <li>• <code>ID_CLIENT</code></li> <li>• <code>ID_PLG</code></li> <li>• <code>ID_IHS</code></li> <li>• <code>ID_WXD</code></li> <li>• <code>ID_NDDMZ</code></li> <li>• <code>ID_UPDI</code></li> </ul>	<p>Use the following guidelines:</p> <ul style="list-style-type: none"> <li>• <code>com.ibm.websphere.product.metadata.WASComponent</code></li> </ul> <p>Do not use this class. All public methods in this class now return either null objects or empty lists.</p> <ul style="list-style-type: none"> <li>• <code>com.ibm.websphere.product.metadata.WASComponentUpdate</code></li> </ul> <p>Do not use this class. All public methods in this class now return either null objects or empty lists.</p> <ul style="list-style-type: none"> <li>• <code>com.ibm.websphere.product.metadata.WASMaintenancePackage</code></li> </ul> <p>Do not use this class.</p> <ul style="list-style-type: none"> <li>• <code>com.ibm.websphere.product.WASProductException</code></li> </ul> <p>Do not use this class. Use the <code>com.ibm.websphere.product.WASDirectoryException</code> class.</p> <ul style="list-style-type: none"> <li>• <code>com.ibm.websphere.product.history.WASHistoryException</code></li> </ul> <p>Do not use this class. Use the <code>com.ibm.websphere.product.WASDirectoryException</code> class.</p> <ul style="list-style-type: none"> <li>• <code>com.ibm.websphere.product.WASProduct</code></li> </ul> <p>Do not use this class. Use the <code>com.ibm.websphere.product.WASHistory</code></p> <ul style="list-style-type: none"> <li>• <code>com.ibm.websphere.product.history.WASHistory</code></li> </ul> <p>Do not use this class. Use the <code>com.ibm.websphere.product.WASDirectory</code> class.</p> <p><code>WASDirectory</code> interfaces are independent of product IDs and treat them as a string. Any product-specific callers should specify product IDs by themselves.</p>
Security	<p>Support for Java API for XML Registries (JAXR)</p> <p><code>com.ibm.ws.security.web.TAMTrustAssociationInterceptorPlus</code> Tivoli Access Manager (TAM) trust association interceptor (TAI) interface</p>	<p>Use UDDI Version 3.</p> <p>Get the latest version of Tivoli code from the Tivoli Access Manager Trust Association Interceptor Plus website.</p>

Table 158. Features deprecated in Version 8.0 (continued). This table describes the features that are deprecated in Version 8.0.

Category	Deprecation	Recommended Migration Action
System administration	<p>createServerType command in the ServerManagement command group for the AdminTask object</p> <ul style="list-style-type: none"> <li>createManagedNodeGroup</li> <li>deleteManagedNodeGroup</li> <li>addMemberToManagedNodeGroup</li> <li>deleteMemberFromManagedNodeGroup</li> <li>queryManagedNodeGroups</li> <li>getManagedNodeGroupMembers</li> <li>getManagedNodeGroupInfo</li> <li>modifyManagedNodeGroupInfo</li> </ul> <p>The following commands in the JobManagerNode command group for the AdminTask object:</p> <ul style="list-style-type: none"> <li>cleanupManagedNode</li> <li>queryManagedNodes</li> <li>getManagedNodeProperties</li> <li>modifyManagedNodeProperties</li> <li>getManagedNodeKeys</li> </ul>	<p>Do not create new server types.</p> <p>Use the following commands in the TargetGroup command group for the AdminTask object:</p> <ul style="list-style-type: none"> <li>createTargetGroup</li> <li>deleteTargetGroup</li> <li>addMemberToTargetGroup</li> <li>deleteMemberFromTargetGroup</li> <li>queryTargetGroups</li> <li>getTargetGroupMembers</li> <li>getTargetGroupInfo</li> <li>modifyTargetGroupInfo</li> </ul> <p>Use the following commands in the JobManagerNode command group for the AdminTask object:</p> <ul style="list-style-type: none"> <li>cleanupTarget</li> <li>queryTargets</li> <li>getTargetProperties</li> <li>modifyTargetProperties</li> <li>getTargetKeys</li> </ul>
	<p>The following commands used to launch the Profile Management Tool:</p> <ul style="list-style-type: none"> <li><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> <code>app_server_root/bin/ProfileManagement\pmt.sh</code></li> <li><b>Windows</b> <code>app_server_root\bin\ProfileManagement\pmt.bat</code></li> </ul> <p>The following commands used to launch the Migration Management Tool:</p> <ul style="list-style-type: none"> <li><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> <code>app_server_root/bin/migration.sh</code></li> <li><b>Windows</b> <code>app_server_root\bin\migration.bat</code></li> </ul>	<p>Use the following commands to launch the WebSphere Customization Toolbox:</p> <ul style="list-style-type: none"> <li><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> <code>profile_root/bin/wct.sh</code></li> <li><b>Windows</b> <code>profile_root\bin\wct.bat</code></li> </ul> <p>Use the following commands to launch the Profile Management Tool contained in the WebSphere Customization Toolbox:</p> <ul style="list-style-type: none"> <li><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> <code>profile_root/bin/wct.sh -perspective com.ibm.ws.pmt.views.standalone.perspectives.standalonePerspective</code></li> <li><b>Windows</b> <code>profile_root\bin\wct.bat -perspective com.ibm.ws.pmt.views.standalone.perspectives.standalonePerspective</code></li> </ul> <p>Use the following commands to launch the Migration Management Tool contained in the WebSphere Customization Toolbox:</p> <ul style="list-style-type: none"> <li><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> <code>profile_root/bin/wct.sh -perspective com.ibm.ws.mmt.perspective</code></li> <li><b>Windows</b> <code>profile_root\bin\wct.bat -perspective com.ibm.ws.mmt.perspective</code></li> </ul>
	<p>Service log, commonly named activity.log</p>	<p>Use one of the following to access log content:</p> <ul style="list-style-type: none"> <li>Systemout.log file when your system is configured to use basic log and trace mode</li> <li>High Performance Extensible Logging (HPEL) LogViewer command when your system is configured to use HPEL log and trace mode</li> </ul> <p>Configure your servers to use HPEL log and trace mode and use the HPEL API if you need to be able to merge log file content from multiple servers. Use the HPEL log and trace mode and use the HPEL LogViewer command if you need to be able to render log content in Common Base Event XML format.</p>
	<p>Support for deploying Java Platform, Enterprise Edition (Java EE) modules or applications on an application server using the Java EE Application Deployment API specification JSR-88</p> <p>DataPower<sup>®</sup> appliance manager</p>	<p>Use any of a number of other options to deploy applications to the server, including wsadmin scripting and JMX MBeans. The closest method to using the Java EE Deployment API would be using WebSphere JMX MBeans. Read Ways to install enterprise applications or modules for more information.</p> <p>You can continue to use the DataPower appliance manager to manage existing supported DataPower appliances until it is removed from the product or until the appliances are out of service.</p> <p>In WebSphere Application Server Version 8.0, the following appliances are supported:</p> <ul style="list-style-type: none"> <li>9001</li> <li>9002</li> <li>9003/7983</li> <li>9004/9235 – XS40, X150, XB60, XM70</li> <li>9004/9235 – XA35, XM70FC</li> </ul> <p>The end-of-service dates for the appliances are documented in IBM WebSphere DataPower SOA Appliances End of Service dates.</p>



## Features deprecated in Version 7.0

Table 159. Features deprecated in Version 7.0. This table describes the features that are deprecated in Version 7.0.

Category	Deprecation	Recommended Migration Action
Application programming model	<p>registerSynchronizationCallbackForCurrentTran method in the com.ibm.websphere.jta.extensions.ExtendedJTATransaction interface</p> <p>com.ibm.ws.extensionhelper.TransactionControl interface</p> <p>HttpServletResponseProxy class in the com.ibm.websphere.servlet.request package</p> <p>HttpServletResponseProxy class in the com.ibm.websphere.servlet.response package</p> <p>The following classes, interfaces, methods, and fields of the WebSphere relational resource adapter:</p> <ul style="list-style-type: none"> <li>• Classes: <ul style="list-style-type: none"> <li>- com.ibm.websphere.rsadapter.jdbc.AccessorImpl</li> <li>- com.ibm.websphere.rsadapter.OracleDataStoreHelper</li> </ul> </li> <li>• Interfaces: <ul style="list-style-type: none"> <li>- com.ibm.websphere.rsadapter.Beginnable</li> <li>- com.ibm.websphere.rsadapter.HandleStates</li> <li>- com.ibm.websphere.rsadapter.Reassociateable</li> <li>- com.ibm.websphere.rsadapter.WSNativeConnectionAccessor</li> </ul> </li> <li>• Methods: <ul style="list-style-type: none"> <li>- com.ibm.websphere.rsadapter.WSAdapter.setConnectionError (Object conn)</li> <li>- com.ibm.websphere.rsadapter.WSAdapter.WSAdapter.call</li> <li>- com.ibm.websphere.rsadapter.WSAdapter.getClientInformation</li> <li>- com.ibm.websphere.rsadapter.WSAdapter.setClientInformation</li> <li>- com.ibm.ws.rsadapter.cci.WSResourceAdapterBase.getNativeConnection (javax.resource.cdi.Connection)</li> <li>- com.ibm.ws.rsadapter.cci.WSResourceAdapterBase.getNativeConnection (com.ibm.ws.rsadapter.jdbc.WSJdbcConnection)</li> <li>- com.ibm.ws.rsadapter.jdbc.WSJdbcUtil.getNativeConnection (com.ibm.ws.rsadapter.jdbc.WSJdbcConnection)</li> </ul> </li> <li>• Fields: <ul style="list-style-type: none"> <li>- com.ibm.websphere.rsadapter.DataStoreHelper.ORACLE_HELPER</li> <li>- com.ibm.websphere.rsadapter.WSConnection.CLIENT_ACCOUNTING_INFO</li> <li>- com.ibm.websphere.rsadapter.WSConnection.CLIENT_APPLICATION_NAME</li> <li>- com.ibm.websphere.rsadapter.WSConnection.CLIENT_ID</li> <li>- com.ibm.websphere.rsadapter.WSConnection.CLIENT_LOCATION</li> <li>- com.ibm.websphere.rsadapter.WSConnection.CLIENT_OTHER_INFO</li> <li>- com.ibm.websphere.rsadapter.WSConnection.CLIENT_TYPE</li> </ul> </li> </ul>	<p>Use the registerInterposedSynchronization method of the TransactionSynchronizationRegistry interface instead.</p> <p>Use the com.ibm.wsspi.uow.UOWManager interface instead.</p> <p>Use the HttpServletResponseWrapper class instead of the HttpServletResponseProxy class. You can use the subclasses of this class to overload or enhance the functionality of a server-provided HttpServletResponse.</p> <p>Use the HttpServletResponseWrapper class instead of the HttpServletResponseProxy class. You can use the subclasses of this class to overload or enhance the functionality of a server-provided HttpServletResponse.</p> <p>If you are using the OracleDataStoreHelper, switch to the Oracle 11g JDBC driver and use the Oracle 11gDataStoreHelper instead.</p> <p>Instead of using getNativeConnection, use the Java Database Connectivity (JDBC) 4.0 wrapper pattern.</p> <p>Instead of WSConnection client information, use JDBC 4.0 client-information APIs.</p> <p>Instead of com.ibm.websphere.rsadapter.WSAdapter.setConnectionError (Object conn), use the com.ibm.websphere.rsadapter.WSAdapter.setConnectionError (Object conn, boolean logEvent) method. The new method provides a boolean parameter that allows you to control whether or not connection error events are logged to system out.</p> <p>Instead of WSAdapter.call, use standard Java reflections APIs.</p> <p>If you are using the ORACLE_HELPER, switch to the Oracle 11g JDBC driver and use the ORACLE_11G_HELPER instead.</p>

Table 159. Features deprecated in Version 7.0 (continued). This table describes the features that are deprecated in Version 7.0.

Category	Deprecation	Recommended Migration Action
Application programming model	<p>The following session-management function:</p> <ul style="list-style-type: none"> <li>Sharing sessions across web modules within an enterprise application (shared session context)</li> <li>Global session sharing through the Servlet21SessionCompatibility property</li> <li>Session tracking using the SSL ID (rather than cookies or URL-rewriting)</li> <li>Support for any session-manager properties as system properties</li> <li>Support for any session-manager properties as web container custom properties</li> </ul> <p>Connection validation by SQL query</p> <p>Classes:</p> <ul style="list-style-type: none"> <li>SERV1\ws\code\admin\thincient\build\classes\com\ibm\ws\management\cmdframework\impl\RemoteCommandMgr*.class</li> <li>SERV1\ws\code\admin\thincient\build\classes\com\ibm\ws\management\cmdframework\impl\RemoteCommandMgrImpl*.class</li> </ul> <p>Interface: SERV1\ws\code\admin\thincient\src\com\ibm\ws\management\cmdframework\impl\RemoteCommandMgr.java</p> <p>Methods: All methods in the RemoteCommandMgr interface and MBean xml. SERV1\ws\code\admin\jmxsrc\com\ibm\ws\management\descriptor\xml\RemoteCommandMgr.xml</p> <p>Constructor: RemoteCommandMgrImpl()</p> <p>The following proprietary classes that are used to represent and manipulate WS-Addressing endpoint references in Java API for XML Web Services (JAX-WS) 2.0:</p> <ul style="list-style-type: none"> <li>com.ibm.websphere.wsaddressing.jaxws.W3CEndpointReference</li> <li>com.ibm.websphere.wsaddressing.jaxws.SubmissionEndpointReference</li> <li>com.ibm.websphere.wsaddressing.jaxws.EndpointReferenceConverter</li> </ul> <p>The following WebSphere Common Configuration Model (WCOM) types:</p> <ul style="list-style-type: none"> <li>SIBIMSPProvider</li> <li>SIBIMSConnectionFactory</li> <li>SIBIMSTopicConnectionFactory</li> <li>SIBIMSTopicQueue</li> <li>SIBIMSTopic</li> </ul>	<p>For session sharing, re-design your applications so that the session is appropriately scoped at the web module as specified in the Java Servlet Specification Version 2.2 and later. If data must be shared across the Web-module boundary, the IBMApplicationSession should be used.</p> <p>For session tracking using the SSL ID, re-configure to use cookies or else modify the application and re-configure it to use URL rewriting.</p> <p>Rather than specifying session manager properties as system or web container custom properties, use session-manager custom properties.</p> <p>Use the timeout-based validation introduced with JDBC 4.0.</p> <p>No migration action is necessary.</p>
Environment	<p>IBM HTTP Server (IHS) mod_file_cache module</p> <p>IHS mod_IBM_ldap module</p> <p>IHS mod_mime_magic module</p> <p>IHS mod_proxy_ftp module</p> <p>IHS mod_alpa_cache module</p> <p>Adaptive Fast Path Architecture (AFPA) is being deprecated for both AIX and Windows operating systems for both static and dynamically generated content caching.</p> <p>Support for using IBM Update Installer for WebSphere Software Version 6.x to apply maintenance on WebSphere Application Server Version 6.0.2.21+ and Version 6.1.0.x</p> <p>Support for using IBM WebSphere Installation Factory Version 6.1 to create installation packages for WebSphere Application Server Version 6.1</p>	<p>Use the following classes instead:</p> <ul style="list-style-type: none"> <li>javax.xml.ws.addressing.W3CEndpointReference</li> <li>com.ibm.websphere.wsaddressing.jaxws21.SubmissionEndpointReference</li> <li>com.ibm.websphere.wsaddressing.jaxws21.EndpointReferenceConverter</li> </ul> <p>If one of your Jython or Jaxl wsadmin scripts uses any of these types, modify the script to use the correct AdminTask command to complete the equivalent function. For example:</p> <pre>AdminTask.1stSIBIMSSQueues()</pre> <p>Migrate your IHS configuration directives from mod_file_cache to the appropriate provided cache mechanism—either mod_mem_cache or mod_cache.</p> <p>Migrate your mod_IBM_ldap configuration directives to the equivalent mod_ldap directives.</p> <p>Remove any IHS configuration directives associated with this module.</p> <p>Remove any IHS configuration directives associated with this module.</p> <p>Remove any IHS configuration directives associated with this module.</p> <p>Use IBM Update Installer for WebSphere Software Version 7.0.</p> <p>Update installer Version 7.0 supports applying maintenance on WebSphere Application Server Version 6.0.2.21+, Version 6.1.0.x, and Version 7.0.</p> <p>Use IBM WebSphere Installation Factory Version 7.0.</p> <p>WebSphere Installation Factory Version 7.0 supports creating installation packages for WebSphere Application Server Version 6.1 and Version 7.0.</p>
Installation and maintenance tools	<p>Support for using IBM Update Installer for WebSphere Software Version 6.x to apply maintenance on WebSphere Application Server Version 6.0.2.21+ and Version 6.1.0.x</p>	<p>Use IBM Update Installer for WebSphere Software Version 7.0.</p> <p>Update installer Version 7.0 supports applying maintenance on WebSphere Application Server Version 6.0.2.21+, Version 6.1.0.x, and Version 7.0.</p> <p>Use IBM WebSphere Installation Factory Version 7.0.</p> <p>WebSphere Installation Factory Version 7.0 supports creating installation packages for WebSphere Application Server Version 6.1 and Version 7.0.</p>

Table 159. Features deprecated in Version 7.0 (continued). This table describes the features that are deprecated in Version 7.0.

Category	Deprecation	Recommended Migration Action
J2EE resources	<p>The following features:</p> <ul style="list-style-type: none"> <li>Support for using JMS providers that are not compliant with the J2EE Connector Architecture 1.5 specification is deprecated.</li> <li>The WebSphere Application Server Version 5 default messaging provider was deprecated in Version 6.1.</li> <li>The WebSphere MQ messaging provider was updated in Version 7.0 to support the J2EE Connector Architecture 1.5. Support for other usages of this provider are deprecated.</li> </ul>	Use JMS providers that are compliant with the J2EE Connector Architecture 1.5 specification.
Data access	disablePK54589 system property	Configure the data source custom property isConnectionSharingBasedOnCurrentState.
Programming	Shipment of JavaServer Faces widget library (JWL) with WebSphere Application Server	If you are using disablePK54589=true, you can replace it with isConnectionSharingBasedOnCurrentState=false.
Profile management	Deployment manager profile template	Manually create a shared library for JWL using the jar from Rational Application Developer.
Servers	Shipment of Apache Struts 1.1, 1.2.4, and 1.2.7 as optional libraries within WebSphere Application Server	Use the management profile template with a deployment manager server.
	For core group transport, the following configuration options:	If you would like to continue using these versions of Apache Struts, they are available from the Apache Struts website.
	<ul style="list-style-type: none"> <li>Unicast</li> <li>Multicast</li> </ul>	Move to channel framework transport.
System administration	Option to install the Pluggable application client feature for the IBM Application Client for WebSphere Application Server	For more information, read Core group transports.
	The following service integration bus (SIBus) security features:	Use the new EJB Thin Application Client feature instead.
	<ul style="list-style-type: none"> <li>-secure flag on the createSIBus and the modifySIBus commands</li> <li>isInheritSenderForTopic, isInheritReceiverForTopic, and isInheritDefaultsForDestination commands</li> <li>Inter-engine authentication alias</li> </ul>	Perform the following actions: <ul style="list-style-type: none"> <li>Use the -busSecurity flag instead of the -secure flag.</li> <li>Replace usages of the isInheritSenderForTopic, isInheritReceiverForTopic, and isInheritDefaultsForDestination commands with the isInheritSenderForTopic, isInheritReceiverForTopic, and isInheritDefaultsForDestination commands respectively.</li> <li>Remove any usage of the -interEngineAuthenticationAlias option on the createSIBus and modifySIBus commands.</li> </ul>
	Collector tool (collector.bat or collector.sh) that gathers information about the WebSphere Application Server installation and packages it in a Java archive (JAR) file that you can send to IBM Software Support	Use AutoPD.
	Protocol-based proxy server templates	Use the administrative console or the wsadmin commands in the ServerManagement command group to select one or multiple protocols for proxy servers.
	WebSphere Touchpoint (WAS.admin.wsp) component—all classes and methods)	For more information, read ServerManagement command group for the AdminTask object
	Commands in the SecureConversation command group for the AdminTask object	Use the other standard management interfaces in WebSphere Application Server.
	The following Lightweight Directory Access Protocol (LDAP) configuration names in the virtual member manager (VMM) federated repository:	Use the commands in the WSSCacheManagement command group to manage Web Services Security (WS-Security) distributed-cache configurations.
	<ul style="list-style-type: none"> <li>SECUREWAY, IDSA, IDS51, and IDS6</li> <li>DOMINO5, DOMINO6, and DOMINO6S</li> <li>AD2000 and AD2003</li> </ul>	Use the following configuration names: <ul style="list-style-type: none"> <li>IDS rather than SECUREWAY, IDSA, IDS51, or IDS6</li> <li>DOMINO rather than DOMINO5, DOMINO6, or DOMINO6S</li> <li>AD rather than AD2000 or AD2003</li> </ul>
Web services	Support for the '2006/02' WS-Addressing Web Services Description Language (WSDL) binding namespace	Replace any uses of the '2006/02' namespace in WSDL files with uses of the '2006/05' namespace
	Web Services Distributed Management (WSDM) interface	Use the other standard management interfaces in WebSphere Application Server.
	IBM proprietary SOAP over Java Message Service (JMS) protocol for Java API for XML Web Services (JAX-WS) or Java API for XML-based RPC (JAX-RPC) applications	Use the standard SOAP over JMS protocol.
		For more information, read SOAP over JMS protocol and SOAP over Java Message Service . <b>Restriction:</b> If your client application invokes enterprise-bean based web services that are supported by a release of WebSphere Application Server that is earlier than Version 7.0, you must continue to use the IBM proprietary SOAP over JMS protocol to access those web services.



## Features deprecated in Version 6.1

**Table 160. Features deprecated in Version 6.1. This table describes the features that are deprecated in Version 6.1.**

Category	Deprecation	Recommended Migration Action
Application programming model	<p><code>setDatabaseDefaultIsolationLevel(int)</code> method in the <code>com.ibm.websphere.rsadapter.DataStoreHelperMetaData</code> class</p> <p>The following class and interface in the Mediation Framework runtime:</p> <ul style="list-style-type: none"> <li><code>com.ibm.websphere.sib.mediation.handler.SIMessageContextException</code> class</li> <li><code>com.ibm.websphere.sib.mediation.messagecontext.SIMediationBean MessageContext</code> interface</li> </ul> <p>The following web container message bean functions:</p> <ul style="list-style-type: none"> <li><code>startTransports</code></li> <li><code>stopTransports</code></li> <li><code>restartWebApplication</code></li> </ul> <p>Support for deploying container-managed entity beans to a generic SQL database</p> <p>IBM WebSphere Studio tools runtime support provided by the following classes (which were used to leverage Visual Age for Java tooling):</p> <ul style="list-style-type: none"> <li><code>com.ibm.webtools.runtime.AbstractStudioServlet</code></li> <li><code>com.ibm.webtools.runtime.BuildNumber</code></li> <li><code>com.ibm.webtools.runtime.NoDataException</code></li> <li><code>com.ibm.webtools.runtime.StudioPervasiveServlet</code></li> <li><code>com.ibm.webtools.runtime.TransactionFailureException</code></li> <li><code>com.ibm.webtools.runtime.WSUtilities</code></li> </ul> <p><code>CUSTOM_HELPER</code> constant field in the <code>com.ibm.websphere.rsadapter.DataStoreHelper</code> class API</p>	<p>Start using the following instead:</p> <pre>public final void setDatabaseDefaultIsolationLevel (int helperDefaultLevel, int cusDefInMediasDefaultIsolLevel)</pre> <p>Replace all uses of the <code>com.ibm.websphere.sib.mediation.handler.SIMessageContextException</code> class with the <code>com.ibm.websphere.sib.mediation.handler.MessageContextException</code> class.</p> <p>Replace all uses of the <code>com.ibm.websphere.sib.mediation.messagecontext.SIMediationBean MessageContext</code> interface with an equivalent interface. WebSphere Application Server does not provide an implementation of this interface.</p> <p>Begin moving to the channel framework.</p> <p>The channel framework provides the <code>TransportChannelService</code> message bean, which is more flexible and has more methods than the current web container transport-related methods.</p> <p>If an application uses SQL92 or SQL99 because the application has to run with different relational databases, use the IBM tooling to generate deployed code for each database vendor or version that the application might use. At installation time, specify the database vendor or version that will used with WebSphere Application Server.</p> <p>Rearchitect your applications to use standard J2EE coding conventions.</p>
J2EE resources	<p>Support for the ability to connect from either an application server or a J2EE application client to the JMS Server component of the embedded messaging feature in WebSphere Application Server Version 5</p> <p>This deprecation includes the following capabilities:</p> <ul style="list-style-type: none"> <li>Defining JMS resource definitions for the Version 5 default messaging provider</li> <li>Establishing connections from client applications that are either running in a Version 5 environment or utilizing Version 5 default messaging provider resource definitions</li> </ul>	<p>If you create your own <code>DataStoreHelper</code> implementation class, do not invoke <code>setHelperType(DataStoreHelper.CUSTOM_HELPER)</code>. Instead, let the <code>HelperType</code> value be set by the implementation class from which it inherits.</p> <p>Perform the following actions:</p> <ol style="list-style-type: none"> <li>Ensure that any JMS Server messaging providers that are hosted on WebSphere Application Server Version 5.1 application servers are moved onto Version 6.0 or later application servers. This task is handled automatically when you migrate a Version 5.x server to Version 6.0 or later.</li> <li>Change all JMS resource definitions to use the new Version 6 default messaging provider instead of the Version 5 default messaging provider.</li> </ol>

Table 160. Features deprecated in Version 6.1 (continued). This table describes the features that are deprecated in Version 6.1.

Category	Deprecation	Recommended Migration Action
System administration	setup command	Use the install command.
	clientUpgrade command	No migration action is necessary.
	wasprofile command	Use the manageprofiles command.
	The following WASPostUpgrade command parameters:	No migration action is necessary.
	<ul style="list-style-type: none"> <li>-import xml_data_file</li> <li>- substitute "key"=value["key2"=value2[...]]</li> </ul>	
	Cloudscape database helper (com.ibm.websphere.adapter.CloudscapeDataStoreHelper) and Cloudscape Network Server database helper (com.ibm.websphere.adapter.CloudscapeNetworkServerDataStoreHelper) as well as their types in DataStoreHelper	For existing configurations, no migration action is necessary. The migration utility changes the deprecated Cloudscape helpers to Derby helpers.
	DB2 Legacy CLI-based Type 2 JDBC Driver provider	For new configurations, use the Derby database helpers and types instead of the Cloudscape database helpers.
	Logical pool distribution support (com.ibm.websphere.cs.ThreadPoolStrategy.LogicalPoolDistribution)	Start using the DB2 Universal JDBC Driver Provider.
	ORB thread pool configuration as part of the Server object in the server.xml file	No migration action is necessary.
	JVM system property com.ibm.websphere.senddirect.compatibility	When this function is removed, however, all custom Object Request Broker (ORB) properties that you specified for it will be ignored. The custom ORB properties of interest are com.ibm.websphere.threadpool.strategy.LogicalPoolDistribution.*.
Web container PageList Servlet custom extension, including the following classes:	Use the thread pool configuration that is part of the ServerIndex object in the serverIndex.xml file.	
<ul style="list-style-type: none"> <li>com.ibm.servlet.ClientList</li> <li>com.ibm.servlet.ClientListElement</li> <li>com.ibm.servlet.MLNotFoundException</li> <li>com.ibm.servlet.PageListServlet</li> <li>com.ibm.servlet.PageNotFoundException</li> </ul>	Begin modifying your applications to redirect non-relative URLs, those starting with a forward slash ("/"), relative to the servlet container root (web_server_root) instead of the web application context root.	
The following custom properties for a data source:	Read the <i>Java Servlet 2.4 Specification</i> , which is available for download at <a href="http://jcp.org/aboutJava/communityprocess/inf/jsr156/">http://jcp.org/aboutJava/communityprocess/inf/jsr156/</a> , for information on how sendRedirect should behave.	
<ul style="list-style-type: none"> <li>validateNewConnection</li> <li>validateNewConnectionRetryCount</li> <li>validateNewConnectionRetryInterval</li> </ul>	Reachitect your applications to use javax.servlet.filter classes rather than com.ibm.servlet classes.	
<ul style="list-style-type: none"> <li>validateNewConnection</li> <li>validateNewConnectionRetryCount</li> <li>validateNewConnectionRetryInterval</li> </ul>	Starting with the Java Servlet 2.3 specification, javax.servlet.filter classes give you the capability to intercept requests and examine responses. They also allow provide chaining functionality as well as functionality for embellishing or truncating responses.	
Security	<p>The following custom properties are pre-configured options, which are the replacement properties in the following list. To avoid runtime error messages, permanently disable the original custom properties by deleting them from the list of custom properties.</p> <ul style="list-style-type: none"> <li>validateNewConnection is replaced by <b>Pretest new connection</b></li> <li>validateNewConnectionRetryCount is replaced by <b>Number of retries</b></li> <li>validateNewConnectionRetryInterval is replaced by <b>Retry interval</b></li> </ul> <p><b>Note:</b> If the new properties and old properties coexist, the new properties take precedence.</p> <p>Clear the <b>Enable file locking</b> check box on the <b>Transaction Service</b> panel of the administrative console.</p> <p>Use the Lightweight Third-Party Authentication (LTPA) mechanism.</p> <p>Migrate to the Java Authentication and Authorization Service (JAAS) programming model. For information on this migration, read Migrating Common Object Request Broker Architecture Programmatic Login to Java Authentication and Authorization Service (CORBA and JAAS).</p> <p>If you plan to use WebSEAL 5.1 or later, you should migrate to use the com.ibm.ws.security.web.TAMTrustAssociationInterceptorPlus interceptor.</p> <p>Begin moving to the Java Virtual Machine Tool Interface (JVMTI).</p> <p>For more information, read JVM Tool Interface (JVMTI).</p>	

Table 160. Features deprecated in Version 6.1 (continued). This table describes the features that are deprecated in Version 6.1.

Category	Deprecation	Recommended Migration Action
Performance	<p>Support for the Java Virtual Machine Debugger Interface (JVMDI)</p>	<p>Begin moving to the Java Virtual Machine Tool Interface (JVMTI).</p>
Problem determination	<p>Message ID format that is used in WebSphere Application Server Version 6.0.x and earlier</p> <p>The message prefixes for log files were not previously registered with the primary message registry, WebSphere Application Server Version 6.1.x and later use compliant message prefixes in the output logs.</p> <p>The com.ibm.etools.logging.util plugin, the logutil.jar file</p> <ul style="list-style-type: none"> <li>• Logging facility</li> <li>• Logging agent</li> <li>• XML-based messaging agent used in conjunction with the IBM Agent Controller to write log and trace XML records to a logging service remotely attachable through an API or Test and Performance Tools Platform (TPTP), formerly Hyades, Eclipse workbench</li> <li>• Problem determination artifacts and messages</li> <li>• Original implementation of the Manageability (M12) Model Problem Determination Architecture Version 1.5 and Problem Determination Artifacts Common Data Model specification used for capturing and encoding log and trace data</li> <li>• Distributed correlator service (DCS)</li> <li>• Distributed correlator service that is used for instrumenting correlation identifiers for correlating log and trace data across one or more hosts</li> <li>• Java client bindings</li> </ul> <p>Java client bindings used to communicate with the IBM Agent Controller to launch local and remote processes, attach to running processes, and monitor active agents in a secure client environment</p>	<p>For more information, read JVM Tool Interface (JVMTI).</p> <p>Use the <code>convertlog</code> command and the <code>MessageConverter</code> class to assist you in migrating tools that rely on the older message format.</p> <p>Begin moving plug-ins and application code using configuration files, classes, methods, or variables in the <code>com.ibm.etools.logging.util</code> plugin to the following replacements.</p> <ul style="list-style-type: none"> <li>• Logging facility</li> </ul> <p><b>Replacement:</b> Java Logging APIs in Java Version 1.4.0+; Logging Agent support for the Java Logging APIs provided in TPTP and Common Logging (<code>com.ibm.etools.common.logging/logging.jar</code>)</p> <ul style="list-style-type: none"> <li>• Logging agent</li> </ul> <p><b>Replacement:</b> TPTP Logging Agent (<code>org.eclipse.hyades.logging.core/hcore.jar</code>)</p> <ul style="list-style-type: none"> <li>• Problem determination artifacts and messages</li> </ul> <p><b>Replacement:</b> Common Base Event Version 1.0.1 specification and TPTP implementation (<code>org.eclipse.hyades.logging.core/hcbe101.jar</code>)</p> <ul style="list-style-type: none"> <li>• Distributed correlator service (DCS)</li> </ul> <p><b>Replacement:</b> TPTP Correlation Service (<code>org.eclipse.hyades.execution.correlation/hcorrelation.jar</code>)</p> <ul style="list-style-type: none"> <li>• Java client bindings</li> </ul> <p><b>Replacement:</b> TPTP Java Client Bindings (<code>org.eclipse.hyades.execution/hexl.jar</code>)</p> <p>For more information, read the <code>com.ibm.etools.logging.util</code>(<code>com.ibm.Logging_Utilities_Migration_Guide.html</code>) document.</p>

## Features deprecated in Version 6.0.2

Table 161. Features deprecated in Version 6.0.2. This table describes the features that are deprecated in Version 6.0.2

Category	Deprecation	Recommended Migration Action
Application programming model	<p>The following methods from class <code>com.ibm.websphere.runtime.ServerName</code>:</p> <pre>initialize(java.lang.String*!ENTITY!*cell,            java.lang.String node,            java.lang.String server)  was390Initialize(byte[] a_stoken,                  String a_printable_stoken,                  String a_jsabpref,                  int a_pid,                  int an_asid,                  String a_jsabjbnm)  was390Initialize(byte[] a_stoken,                  java.lang.String a_printable_stoken,                  java.lang.String a_jsabpref,                  int a_pid,                  int an_asid,                  java.lang.String a_jsabjbnm,                  java.lang.String a_smcasid)</pre> <p>Support for HTTP transport configuration</p>	<p>These methods are for WebSphere Application Server runtime use only. Applications should not call these methods.</p> <p>Begin moving to channel-based transport.</p>
Performance	<p><code>com.ibm.websphere.cache.DistributedLockingMap</code> interface</p> <p><code>TYPE_DISTRIBUTED_LOCKING_MAP</code> constant that is defined in the <code>com.ibm.websphere.cache.DistributedObjectCache</code> class</p>	<p>Do not use the <code>com.ibm.websphere.cache.DistributedLockingMap</code> interface because this interface is not supported by the WebSphere Application Server runtime.</p> <p>Do not use the <code>TYPE_DISTRIBUTED_LOCKING_MAP</code> constant that is defined in the <code>com.ibm.websphere.cache.DistributedObjectCache</code> class because this constant is not supported by the WebSphere Application Server runtime.</p>
System Administration	<p>The following custom properties for a data source:</p> <ul style="list-style-type: none"> <li><code>dbFailOverEnabled</code></li> <li><code>connRetriesDuringDBFailover</code></li> <li><code>connRetryIntervalDuringDBFailover</code></li> </ul>	<p>Replace the properties with the following:</p> <ul style="list-style-type: none"> <li>Use <code>validateNewConnection</code> instead of <code>dbFailOverEnabled</code>.</li> <li>Use <code>validateNewConnectionRetryCount</code> instead of <code>connRetriesDuringDBFailover</code>.</li> <li>Use <code>validateNewConnectionRetryInterval</code> instead of <code>connRetryIntervalDuringDBFailover</code>.</li> </ul> <p><b>Note:</b> If the new properties and old properties coexist, the new properties take precedence.</p>

## Features deprecated in Version 6.0

**Table 162. Features deprecated in Version 6.0. This table describes the features that are deprecated in Version 6.0.**

Category	Deprecation	Recommended Migration Action
Application programming model and container support	<p>Support for the following txx tags in the JavaServer Pages (JSP) engine:</p> <ul style="list-style-type: none"> <li>• repeat</li> <li>• dbconnect</li> <li>• dbquery</li> <li>• getProperty</li> <li>• userID</li> <li>• passwd</li> <li>• dbmodify</li> </ul>	<p>Instead of using the txx tags, you should use equivalent tags from the JavaServer Pages Standard Tag Library (JSTL). JSTL is supported in WebSphere Application Server Version 6.0, and the tag library is included with the product. Use this table as a guideline for converting txx tags to JSTL tags.</p> <pre> txx tag           JSTL tag  txx:repeat       c:forEach txx:dbconnect    sql:setDataSource txx:dbquery      sql:query txx:getProperty  values {lookTitle}                  use standard EL syntax; crout                  values {lookTitle}                  use the current index in                  the result set txx:userID       use the user attribute of the                  setDataSource tag txx:passwd       use the password attribute of                  the setDataSource tag txx:dbmodify     sql:update                 </pre> <p>Use other backend IDs.</p>
Application services	<p>The following backend IDs:</p> <ul style="list-style-type: none"> <li>• SQL92 (1992 SQL Standard)</li> <li>• SQL99 (1999 SQL Standard)</li> </ul> <p>JRas Extensions API</p> <p>No further enhancements are planned for JRas support.</p> <p>Universal Description, Discovery and Integration (UDDI) Version 2 EJB interface to the UDDI Registry</p>	<p>Use the equivalent function in the java.util.logging package (JSR47).</p> <p>There is no replacement for the EJB interface. This interface is included in WebSphere Application Server Version 6.0 for compatibility with Version 5.x. Users do not need to take any specific actions and can continue to use the Version 2 EJB API; however, they should be aware that it does not include any UDDI functionality that is new to UDDI Version 3 and the interface might be removed in a subsequent release of WebSphere Application Server.</p> <p>Start using the Version 3 UDDI APIs. A client library is provided to simplify constructing and sending UDDI Version 3 requests from Java. This is the IBM UDDI Version 3 Client for Java, provided in <code>uddi3client.jar</code>. The UDDI4J APIs can still be used; however, you should be aware that they do not provide access to any of the new UDDI Version 3 functionality and they might be removed in a subsequent release of WebSphere Application Server.</p> <p>Start using the PromoterAPI interface in the <code>com.ibm.uddi.promoter</code> package in place of these low-level APIs, which will be removed in a subsequent release of WebSphere Application Server. The PromoterAPI provides the same functionality at a higher level of abstraction.</p> <p>The methods are replaced as follows:</p> <ul style="list-style-type: none"> <li>• <code>getPoolContents</code> and <code>getAllPoolContents</code> are replaced with <code>showPoolContents</code> and <code>showAllPoolContents</code>.</li> <li>• <code>ConnectionManager</code> interface is replaced with <code>J2EE Connector Architecture 1.5 LazyAssociatableConnectionFactory</code> interface.</li> <li>• <code>ConnectionEventListener</code> interface is replaced with <code>J2EE Connector Architecture 1.5 LazyEnlistableConnectionFactory</code> interface.</li> </ul> <p>For container-managed authentication aliases, specify the container-managed credentials in the resource binding information for the application.</p> <p>Read the information center for the differences between application profiling in Version 5.x and Version 6.0.x.</p> <p>Define the Container-Managed Authentication Alias and <code>DefaultPrincipleMapping</code> properties on the Resource Reference.</p>
	<p>The following two items from the Data source panel in the administrative console:</p> <ul style="list-style-type: none"> <li>• Container-Managed Authentication Alias</li> <li>• DefaultPrincipleMapping</li> </ul> <p>All classes in the <code>com.ibm.websphere.servlet.filter</code> package, including the following:</p> <ul style="list-style-type: none"> <li>• <code>ChainedRequest</code></li> <li>• <code>ChainedResponse</code></li> <li>• <code>ChainServlet</code></li> <li>• <code>ServletChain</code></li> </ul>	<p>Rearchitect your applications to use <code>javax.servlet.Filter</code> classes rather than <code>com.ibm.websphere.servlet.filter</code> classes. Starting from the Servlet 2.3 specification, <code>javax.servlet.Filter</code> classes give you the capability to intercept requests and examine responses. They also allow you to achieve chaining functionality, as well as embellishing and truncating responses.</p>

Table 162. Features deprecated in Version 6.0 (continued). This table describes the features that are deprecated in Version 6.0.

Category	Deprecation	Recommended Migration Action
Application services	<p>Multipurpose Internet Mail Extensions (MIME) filtering</p> <p>MIME filters were first supported in WebSphere Application Server Version 3.5 as a way for servlets to embellish, truncate, and modify the responses generated by other servlets, based on the MIME types of the output content.</p> <p>Container-managed persistence (CMP) entity beans configured with method level access intent might run into data access problems, like deadlock. Therefore, the method level access intent is deprecated.</p> <p>All of the methods and fields in the <code>com.ibm.websphere.product.product</code> and <code>com.ibm.websphere.product.buildInfo</code> classes</p> <p>Therefore, the following methods from <code>com.ibm.websphere.product.WASProduct</code> class (which involves <code>com.ibm.websphere.product.product</code> and <code>com.ibm.websphere.product.buildInfo</code> objects) are deprecated:</p> <ul style="list-style-type: none"> <li>• <code>public product getProductById(String id)</code></li> <li>• <code>public boolean productPresent(String id)</code></li> <li>• <code>public boolean addProduct(product aProduct)</code></li> <li>• <code>public boolean removeProduct(product aProduct)</code></li> <li>• <code>public Iterator getProductIds()</code></li> <li>• <code>public String loadVersionInfoAsXMLString(String filename)</code></li> <li>• <code>public String getProductDirName()</code></li> <li>• <code>public static String computeProductDirName()</code></li> </ul> <p>Data access beans, which are included with WebSphere Application Server in the <code>data.beans.jar</code> file</p> <p><code>reloadInterval</code> and <code>reloadEnabled</code> attributes of the IBM deployment descriptor extensions, including both the WAR file extension (<code>WEB-INF/ibm-web-ext.xml</code>) and the application extension (<code>META-INF/ibm-application-ext.xml</code>).</p> <p><code>com.ibm.websphere.servlet.session.UserTransactionWrapperAPI</code></p>	<p>The javax.servlet.filters, which were introduced in the Servlet 2.3 specification, allow users to plug in filters that can intercept requests to and responses from servlets. They also have the capability to modify content flowing in either direction.</p> <p>The javax.servlet.filters maintain all of the functionality of MIME filters. javax.servlet.filters are standard APIs, and are supported by all compliant application servers.</p> <p>Refer to the Servlet 2.3 specification for more information.</p> <p>Reconfigure CMP entity beans to use bean level access intent, or reconfigure application profiles with WebSphere Application Server Toolkit.</p> <p>Use the following supported methods from <code>com.ibm.websphere.product.WASDirectory</code>:</p> <ul style="list-style-type: none"> <li>• <code>public WASProductInfo getWASProductInfo(String id)</code></li> <li>• <code>public boolean isThisProductInstalled(String id)</code></li> <li>• <code>public WASProductInfo[] getWASProductInfoInstances()</code></li> <li>• <code>public String getWasLocation()</code></li> </ul> <p>Also, instead of getting product information (name, version, build level, build date) from the old WASProduct API (<code>com.ibm.websphere.product.WASProduct</code>), you should now use the following methods in the WASDirectory class to get that information:</p> <ul style="list-style-type: none"> <li>• <code>com.ibm.websphere.product.WASDirectory.getName(String)</code></li> <li>• <code>com.ibm.websphere.product.WASDirectory.getVersion(String)</code></li> <li>• <code>com.ibm.websphere.product.WASDirectory.getBuildLevel(String)</code></li> <li>• <code>com.ibm.websphere.product.WASDirectory.getBuildDate(String)</code></li> </ul> <p>Instead of using data access beans, you should use Service Data Objects (SDO).</p> <p>Read Service Data Objects for additional details.</p> <p>Instead of using deployment descriptor extensions, you should use the reload enable and interval options provided during application deployment.</p> <p>There is no replacement for this API. The UserTransaction object can be placed directly into the HTTP session without using a wrapper.</p>

Table 162. Features deprecated in Version 6.0 (continued). This table describes the features that are deprecated in Version 6.0.

Category	Deprecation	Recommended Migration Action
Security	SOAP-Security (XML digital signature) based on Apache SOAP implementation	Instead of using SOAP-Security, you should migrate your application to JSR-109 implementation of web service. Also, migrate (reconfigure your application) to use WSS (Web Services Security) 1.0 implementation.
	Web Service Security (WSS) draft 13 specification-level support	Applications should be migrated to the supported WSS 1.0 standard. The draft-level support does not provide interoperability with some third-party vendors, as the message level has been changed between the draft and the WSS 1.0 implementation.
		WSS 1.0 is only supported in J2EE 1.4 applications. Therefore, you need to migrate applications to J2EE 1.4 first. The next step is to use Application Server Toolkit or Rational Application Developer tooling to reconfigure WSS for the migrated application. There is no automatic migration of WSS in this release of Application Server Toolkit or Rational Application Developer tooling for Version 6.0; the migration has to be done manually.
		The following SPI has also been deprecated:  com.ibm.wsspi.wsssecurity.config.KeyLocator
		You need to migrate your implementation to the new SPI for WSS 1.0 support in Version 6.0:  com.ibm.wsspi.wsssecurity.keyinfo.KeyLocator
		Finally, the Java Authentication and Authorization Service (JAAS) LoginModule implementation needs to be migrated to the new programming model for JAAS LoginModule in Version 6.0.
	Secure Authentication Service (SAS) IIOP security protocol	Use the Common Secure Interoperability Version 2 (CSIv2) protocols.
	Secure Authentication Service (SAS) CORBA security programming APIs	Migrate from the SAS programming APIs to the Java Authentication and Authorization Service (JAAS). For information on this migration, read Migrating Common Object Request Broker Architecture programmatic login to Java Authentication and Authorization Service (CORBA and JAAS).
System administration	Configuring resources under cell scope	You should configure resources under cluster scope instead. In previous releases, you configured cell scope resources to allow the cluster members to share the resource configuration definition. In Version 6, cell scope resource configuration is discouraged because cell scope resources are visible to every node in the cell, even though not every node in the cell is able to support the resource.
	depl:extension.reg and installdir options for the install command in the AdminApp scripting object	There is no replacement for the depl:extension.reg option. In Version 5.x, this option was a no-op. For the installdir option, use the installed.eardestination option instead.
Performance	PMI Client API, which was introduced in Version 4.0 to programmatically gather performance data from WebSphere Application Server	The Java Management Extension (JMX) interface, which is part of the J2EE specification, is the recommended way to gather WebSphere Application Server performance data. PMI data can be gathered from the J2EE-managed object message beans, or from the WebSphere PMI Perf message bean. While the J2EE message beans provide performance data about a specific component, the Perf message bean acts as a gateway to the WebSphere Application Server PMI service, and provides access to the performance data for all of the components.



## Features deprecated in Version 5.1.1

Table 163. Features deprecated in Version 5.1.1. This table describes the features that are deprecated in Version 5.1.1.

Category	Deprecation	Recommended Migration Action
Application programming model and container support	Web services gateway customization API	Plan over time to replace your existing filters with a combination of JAX-RPC handlers and service integration bus mediations.
Application services	The following Java Database Connectivity (JDBC) drivers: <ul style="list-style-type: none"><li>• Microsoft SQL Server 2000 Driver for JDBC</li><li>• SequeLink JDBC driver for Microsoft SQL Server</li></ul>	If you are using either of these JDBC drivers and still want to use Microsoft SQL Server as your database, switch to the Connect JDBC driver. You can purchase the Connect JDBC driver from DataDirect Technologies.

## Features deprecated in Version 5.1

**Table 164. Features deprecated in Version 5.1. This table describes the features that are deprecated in Version 5.1.**

Category	Deprecation	Recommended Migration Action
Installation and migration tools	<p>The Application Assembly Tool that is used for developing J2EE applications is replaced with the Assembly Tool component of the Application Server Toolkit.</p> <p>Business processes modeled with WebSphere Studio Application Developer Integration Edition Version 5.0 or earlier</p> <p>Several process choreographer API interfaces and methods used for business processes created with WebSphere Studio Application Developer Integration Edition Version 5.0 or earlier. A list can be found in the API documentation provided with process choreographer.</p> <p>JDOM (a Java representation of an XML document that provides an API for efficient reading, manipulating, and writing documentation)</p> <p>The currently packaged version of JDOM in WebSphere Application Server will not be packaged in subsequent releases.</p> <p>The C++ Object Request Broker (ORB), the C++ library for IDL value types and the WebSphere Application Server C++ security client</p> <p>Support is no longer available for the Common Object Request Broker Architecture (CORBA) C++ Developer Kit. The CORBA technology is a bridge for migration to a Java 2 Platform Enterprise Edition (J2EE) and WebSphere Application Server environment.</p> <p>In addition to the preceding information, the CORBA C++ client feature will be removed from the Application Clients installation image in subsequent releases.</p> <p>IBM Cloudscape Version 5.1.x</p> <p>IBM HTTP Server (IHS) Version 1.3.x</p>	<p>Instead of running the Application Assembly Tool, users will install and run the Assembly Toolkit component of the Application Server Toolkit. The Application Server Toolkit is based on the Eclipse framework. On starting the Application Server Toolkit, the J2EE function is found by opening the J2EE Perspective.</p> <p>Business processes modeled with WebSphere Studio Application Developer Integration Edition Version 5.0 or earlier need to be migrated to a BPML-based process. Use the Migrate option provided with WebSphere Studio Application Developer Integration Edition Version 5.1.</p> <p>Information on the recommended migration action for the deprecated APIs is available in the API documentation for the appropriate API.</p> <p>Go to the JDOM website, get the latest copy of JDOM, and bundle it inside your application.  <b>Note:</b> Customers running WebSphere Studio Application Developer Integration Edition Version 4.1 applications will need to migrate them to WebSphere Studio Application Developer Integration Edition Version 5.0.</p> <p>It is recommended that customers migrate to the Object Request Broker (ORB) service for Java technology that ships with WebSphere Application Server. However, there is no equivalent J2EE functionality for the C++ security client or the C++ value-type library. Customers that require such functionality must provide or develop their own.</p> <p>The deprecation of the CORBA C++ Developer Kit does not affect support for CORBA interoperability with vendor software for CORBA services. View the following links for additional information about interoperability:</p> <ul style="list-style-type: none"> <li>• CORBA Interoperability Samples documentation</li> <li>• IBM WebSphere Application Servers CORBA Interoperability white paper</li> </ul> <p>Use the Cloudscape Network Server JDBC driver.</p> <p>If you are using IHS Version 1.3.x with modules that:</p> <ul style="list-style-type: none"> <li>• are included as part of IHS Version 1.3.x packages, you do not need to take any action to migrate those modules.</li> <li>• are supplied by a third party (including other IBM products), you need to obtain IHS/Apache 2 versions of these modules from the third party.</li> <li>• have been customized or are inhouse, you need to port these modules to the new IHS/Apache 2 API.</li> </ul>
Server		

Table 164. Features deprecated in Version 5.1 (continued). This table describes the features that are deprecated in Version 5.1.

Category	Deprecation	Recommended Migration Action
<p>Application programming model and container support</p>	<p>Bean Scripting Framework (BSF) JavaServer Pages (JSP) execution and debugging functionality</p> <ul style="list-style-type: none"> <li>The following Business Rule Bean classes, methods, and attributes: <ul style="list-style-type: none"> <li>Public classes: <ul style="list-style-type: none"> <li>com.ibm.websphere.br.RuleImporter</li> <li>com.ibm.websphere.br.RuleExporter</li> </ul> </li> <li>Public method: <ul style="list-style-type: none"> <li>getLocalRuleManager() on class com.ibm.websphere.br.TriggerPoint</li> </ul> </li> <li>Protected attribute: <ul style="list-style-type: none"> <li>ruleMgr on class com.ibm.websphere.br.TriggerPoint</li> </ul> </li> </ul> </li> <li>Data access programming interfaces in com.ibm.websphere.rsadapter.</li> <li>Relational resource adapter interface: (com.ibm.websphere.rsadapter).</li> <li>Methods are deprecated in the following types: <pre>com.ibm.websphere.rsadapter.OracleDataAdapter public void doSpecialBlobWork(ResultSet rset, InputStream data, String[] blobColumnNames) public String assembleString(String[] blobColumnNames, StringBuffer whereClause, String[] varValues, String tableName)</pre> </li> <li>Scheduler (com.ibm.websphere.scheduler) programming interfaces -- Version 5.x public types in: <ul style="list-style-type: none"> <li>Interface methods <pre>scheduler.Scheduler public BeanTaskInfo createBeanTaskInfo(); public MessageInfo createMessageTaskInfo();</pre> </li> </ul> </li> </ul> <p>Web container API modifications:  <b>Note:</b> There are no declared deprecations. The only changes are caused because of a Java API that changed between 1.3 and 1.4.</p> <p>The changed class is com.ibm.websphere.servlet.error.ServletErrorReport. The return signature for getStackTrace() is changed because java.lang.Throwable now defines the same method with a different return signature.</p> <ul style="list-style-type: none"> <li>Previous method signature <pre>public String getStackTrace(); // returns a String representation of the exception stack</pre> </li> <li>New method signature (Java Development Kit 1.4, WebSphere Application Server 5.1) <pre>public StackTraceElement[] getStackTrace(); // returns an array of stack trace elements</pre> </li> <li>Replacement method (5.1) (a replacement method that carries on the old functionality has been provided): <pre>public String getStackTraceAsString(); // returns a String representation of the Exception Stack</pre> </li> </ul>	<p>The functionality will need to be rearchitected if you are using the JavaScript, Tcl, and Python languages. If using BSF scripting in your own custom applications, they will be unaffected. Custom scripts written for the WebSphere Application Server administrative console will also be unaffected.</p> <p>This functionality will continue to exist in WebSphere Application Server Version 5.1 and succeeding releases until Version 6.0. If debugging JSP files, you might have to restart the application server during JavaScript debugging sessions.</p> <p>Users do not have to take any action.</p> <p>These relational resource adapter deprecated methods do not impact the application.  <b>Note:</b> You will not need to implement these deprecated methods in their subclasses if you have the subclass of OracleDataAdapter class. Those deprecated methods will not be called by the WebSphere Application Server runtime.</p> <p>Use the following methods instead of the deprecated methods:</p> <pre>public Object createTaskInfo(Class taskInfoInterface) throws TaskInfoInvalid;</pre> <p>To create a BeanTaskInfo object using the supported createTaskInfo methods:</p> <pre>BeanTaskInfo t1 = (BeanTaskInfo) Scheduler.createTaskInfo(BeanTaskInfo.class);</pre> <p>If you are using com.ibm.websphere.servlet.error.ServletErrorReport.getStackTrace() and expecting a return type of String, you need to change your application to use the replacement method.</p>

Table 164. Features deprecated in Version 5.1 (continued). This table describes the features that are deprecated in Version 5.1.

Category	Deprecation	Recommended Migration Action
Application services	<p>Data access binaries – Common Connector Framework, including the following JAR files:</p> <ul style="list-style-type: none"> <li>• ccf.jar</li> <li>• ccfz.jar</li> <li>• recjava.jar</li> <li>• eabl.jar</li> </ul> <p>Setting the XA partner log directory using the TRANLOG_ROOT variable</p>	<p>The zEPC Connector Architecture solution should be used instead of the Common Connector Framework.</p>
Security	<p>API for com.ibm.websphere.security.auth.WSPPrincipal.getCredential().</p>	<p>The setting currently stored in the TRANLOG_ROOT variable (if any) will need to be added to the Transaction Service panel for all servers that need to use the XA partner log. If the default location is to be used, then no action is required. The Transaction Service panel can be found on the administrative console by selecting Application Servers on the left, choosing the application server to be modified, and selecting Transaction Service on the panel that is displayed. The directory currently in TRANLOG_ROOT should be entered in the Logging Directory box on the panel.</p> <p>Instead of getting the WSCredential from the principal, you should now use one of the following methods to get the Subject that contains the WSCredential:</p> <ul style="list-style-type: none"> <li>• The RunAs Subject is the Subject used for outbound requests.</li> <li>• The Caller subject is the Subject that represents the authenticated caller for the current request.</li> <li>• The methods to use to get the runAs and caller subjects are as follows:</li> </ul> <pre>com.ibm.websphere.security.auth.WSSubject.getRunAsSubject()  and  com.ibm.websphere.security.auth.WSSubject.getCallerSubject()  respectively.</pre> <p>Use Java Authentication and Authorization Service (JAAS) for all authentication related functionality.</p>
System administration	<p>The following elements in the security programming interface:</p> <ul style="list-style-type: none"> <li>• The interface is deprecated in com.ibm.websphere.security.auth.WSSecurityContext.</li> <li>• The exception is deprecated in com.ibm.websphere.security.auth.WSSecurityContextException.</li> <li>• The class is deprecated in com.ibm.websphere.security.auth.WSSecurityContextResult.</li> </ul> <p>Integrated Cryptographic Services Facility (ICSF) authentication mechanism</p> <p>The following class:</p> <pre>com.ibm.websphere.rsadapter.DB2390DataStoreHelper</pre>	<p>Use the Lightweight Third-Party Authentication (LTPA) mechanism.</p> <p>If you currently use the DB2390DataStoreHelper class for the DB2 Legacy CU-based provider when you are accessing data, you should now use the DB2DataStoreHelper class.</p> <p>If you currently use the DB2390DataStoreHelper class for the DB2 Universal JDBC provider when you are accessing data, you should now use the DB2UniversalDataStoreHelper class.</p>

## Features deprecated in Version 5.0.2

Table 165. Features deprecated in Version 5.0.2. This table describes the features that are deprecated in Version 5.0.2.

Category	Deprecation	Recommended Migration Action
Application Programming Model and Container Support	<p>Apache SOAP channel in web services gateway.</p> <p>Apache SOAP: WEBSJAVA.SOAP:</p> <ul style="list-style-type: none"> <li>• soap.jar</li> <li>• wsssoap.jar</li> </ul> <p>Scheduler (com.ibm.websphere.scheduler) programming interfaces -- Version 5.x public types in:</p> <ul style="list-style-type: none"> <li>• interface method</li> </ul> <pre> scheduler.MessageTaskInfo public int setJMSPriority();                     </pre>	<p>Gateway services should be deployed to the SOAP HTTP channel instead of the Apache SOAP channel. The Endpoint (URL) of this service will be different for this channel and therefore client programs that are talking to the gateway will need to use the new service Endpoint.</p> <p>See the information center for more information.</p>
Application Services	<p>Data access programming interfaces in com.ibm.websphere.rsadapter.</p> <p>Relational resource adapter interface (com.ibm.websphere.rsadapter)</p> <p>Methods have been deprecated in these types:</p> <pre> com.ibm.websphere.rsadapter.DataStoreHelper public int processSQL(java.lang.String,sqlString, int isolateLevel, boolean addForUpdate, boolean addExtendedForUpdateSyntax); public DataStoreAdapterException mapException(DataStoreAdapterException e); com.ibm.websphere.rsadapter.GenericDataStoreHelper public int processSQL(java.lang.String,sqlString, int isolateLevel, boolean addForUpdate, boolean addExtendedForUpdateSyntax); public DataStoreAdapterException mapException(DataStoreAdapterException e); com.ibm.websphere.rsadapter.JSCallHelper public static DataStoreHelper createDataStoreHelper(String,dsClassName)                     </pre>	<p>These relational resource adapter deprecated methods do not impact the application.</p> <p><b>Note:</b> You will not need to implement these deprecated methods in their subclasses if you have the subclass of GenericDataStoreHelper. Those deprecated methods will not be called by the WebSphere Application Server runtime.</p> <p>For com.ibm.websphere.rsadapter.WSCallHelper, use the getDataStoreHelper(datasource) method to get a DataStoreHelper object.</p>
System Administration	<p>DB2390DataStoreHelper and DB2390LocalDataStoreHelper classes</p> <p><b>testConnection</b> command in the AdminControl scripting object (\$AdminControl TestConnection configId props)</p> <p>Running this command in WebSphere Application Server, Version 5.0.2 or later returns the following message:</p> <pre> WASX7390E: Operation not supported - testConnection command with config id and properties arguments is not supported. Use testConnection command with config id argument only. getPropertiesForDataSource command in the AdminControl scripting object (\$AdminControl getPropertiesForDataSource configId)                     </pre> <p>This command incorrectly assumes the availability of the configuration service when you run it in the connected mode. Running this command in WebSphere Application Server, Version 5.0.2 or later returns the following message:</p> <pre> WASX7389E: Operation not supported - getPropertiesForDataSource command is not supported.                     </pre>	<p>The DB2DataStoreHelper class now gives all the required helper information needed for the providers that currently use the DB2390DataStoreHelper and the DB2390LocalDataStoreHelper classes.</p> <p>As of WebSphere Application Server, Version 5.0.2 or later, the preferred way to test a data source connection is the testConnection command passing in the data source configuration ID as the only parameter.</p> <p>There is no replacement for this command.</p>

## Features deprecated in Version 5.0.1

Table 166. Features deprecated in Version 5.0.1. This table describes the features that are deprecated in Version 5.0.1.

Category	Deprecation	Recommended Migration Action
Application Services	<p>Data access programming interfaces in com.ibm.websphere.rsadapter.</p> <p>Relational resource adapter interface (com.ibm.websphere.rsadapter).</p> <p>Methods have been deprecated in these types:</p> <pre> com.ibm.websphere.rsadapter.DataStoreImpl public int processSQL(java.lang.String sqlString, int isolate); com.ibm.websphere.rsadapter.GenericDataStoreImpl public int processSQL(java.lang.String sqlString, int isolate); com.ibm.websphere.rsadapter.DB2390DataStoreImpl public int processSQL(java.lang.String sqlString, int isolate);                     </pre>	<p>These relational resource adapter deprecated methods do not impact the application.</p> <p>Note: You will not need to implement these deprecated methods in their subclasses if you have the subclasses of com.ibm.websphere.rsadapter.GenericDataStoreHelper. Those deprecated methods will not be called by the WebSphere Application Server runtime.</p>



## Features deprecated in Version 5.0

Table 167. Features deprecated in Version 5.0. This table describes the features that are deprecated in Version 5.0.

Category	Deprecation	Recommended Migration Action
Application Services	<p>The following three methods from <code>com.ibm.websphere.appofprofile.accessintnt.AccessIntnt</code>:</p> <pre>public boolean getPessimisticUpdateHintLockAtLoad(); public boolean getPessimisticUpdateHintCollision(); public boolean getPessimisticUpdateHintExclusive();</pre> <p>This is a base API.</p>	<p>Neither than using the three deprecated methods on the AccessIntnt interface, developers should use the following method from the same interface:</p> <pre>public int getPessimisticUpdateLockHint();</pre> <p>The possible return values are defined on the AccessIntnt interface:</p> <pre>public final static int PESSIMISTIC_UPDATE_LOCK_HINT_NOCOLLISION = 1; public final static int PESSIMISTIC_UPDATE_LOCK_HINT_WEAKEST_LOCK_AT_LOAD = 2; public final static int PESSIMISTIC_UPDATE_LOCK_HINT_NONE = 3; public final static int PESSIMISTIC_UPDATE_LOCK_HINT_EXCLUSIVE = 4;</pre>
Security	<p>Web application programming interfaces -- Various Version 5.x methods in <code>com.ibm.websphere.ServletErrorReport</code></p> <p><code>com.ibm.websphere.security.CustomRegistry</code> interface</p> <p>Performance Monitoring Infrastructure -- Various Version 5.x public methods in:</p> <ul style="list-style-type: none"> <li><code>com.ibm.websphere.pmi.stat.StatsUtil</code></li> <li><code>com.ibm.websphere.pmi.PmiJmxTest</code></li> <li><code>com.ibm.websphere.pmi.client.PmiClient</code></li> </ul>	<p>Use the <code>com.ibm.websphere.security.UserRegistry</code> interface.</p> <p>These methods are replaced as follows:</p> <ul style="list-style-type: none"> <li><code>com.ibm.websphere.pmi.stat.StatsUtil</code></li> </ul> <p>There is no replacement for <code>StatsUtil</code>.</p> <ul style="list-style-type: none"> <li><code>com.ibm.websphere.pmi.PmiJmxTest</code> Use <code>PmiClient.findConfig()</code> instead.</li> <li><code>com.ibm.websphere.pmi.client.PmiClient</code> The <code>getNLSSValue (String key)</code> is replaced with <code>getNLSSValue (String key, String moduleID)</code>.</li> </ul>
Performance		

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## Stabilized features

If you are migrating from an earlier release of WebSphere Application Server, you should be aware of the various features that have been stabilized in this release.

If a feature is listed here as stabilized, IBM does not currently plan to deprecate or remove this capability in a subsequent release of the product; but future investment will be focused on the alternative function listed under "Strategic Alternative." You do not need to change any of your existing applications and scripts that use a stabilized function; but you should consider using the strategic alternative for new applications.

**Note:** This topic references one or more of the application server log files. Beginning in WebSphere Application Server Version 8.0 you can configure the server to use the High Performance Extensible Logging (HPEL) log and trace infrastructure instead of using `SystemOut.log`, `SystemErr.log`, `trace.log`, and `activity.log` files or native z/OS logging facilities. If you are using HPEL, you can access all of your log and trace information using the LogViewer command-line tool from your server profile bin directory. See the information about using HPEL to troubleshoot applications for more information on using HPEL.

### Features stabilized in Version 8.0

Table 168. Features stabilized in Version 8.0. This table describes the features that are stabilized in Version 8.0.

Category	Stabilized Function	Strategic Alternative
Application programming model and container support	ActiveX to Enterprise JavaBeans (EJB) Bridge	Do not use Active X to access EJB.
	WebSphere Application Servers V4 Data sources and ConnectionManager	Use the WebSphere Application Servers Data sources (non-V4) and ConnectionManager.
	Use of CommonBaseEventLogRecord for logging	Use standard <code>java.util.logging</code> API for logging; and when needed, use High Performance Extensible Logging (HPEL) log and trace facility's LogViewer command to convert log and trace messages into Common Base Event XML.
System administration	WebSphere Application Server Reliability, Availability, and Serviceability (RAS) basic logging formats— <code>System.out</code> , <code>System.err</code> , <code>trace.log</code> , and <code>activity.log</code>	Use the High Performance Extensible Logging (HPEL) log and trace facility to improve logging performance as well as to improve analysis and merging of logs.

### Features stabilized in Version 7.0

Table 169. Features stabilized in Version 7.0. This table describes the features that are stabilized in Version 7.0.

Category	Stabilized Function	Strategic Alternative
Application programming model and container support	Enterprise JavaBeans (EJB) entity beans: Container-Managed Persistence (CMP) 1.x and 2.x, and Bean-Managed Persistence (BMP)	Use the Java Persistence API (JPA) for new database and other persistence-related operations.
	Java API for XML-based RPC (JAX-RPC)  The Java Community Process (JCP) is limiting the focus for enhancements to the JAX-RPC runtime for building web services; therefore, WebSphere Application Server will follow suit and limit enhancements.	Java API for XML Web Services (JAX-WS) will become the strategic runtime on which any new enhancements will be focused. The focus to ensure interoperability for the subset of capabilities that map to the JAX-RPC and JAX-WS intersection will be maintained; but all new enhancements related to updating to support new standards will be only in the JAX-WS runtime.
System administration	Application server administrative (wsadmin) scripting support for the Jacl language	Use Jython syntax for any new wsadmin scripting.

Table 169. Features stabilized in Version 7.0 (continued). This table describes the features that are stabilized in Version 7.0.

Category	Stabilized Function	Strategic Alternative
J2EE resources	Support for configuring and using message-driven beans (MDBs) through JMS listener ports	<p>Perform the following actions to use JMS activation specifications instead of listener ports:</p> <ul style="list-style-type: none"> <li>• Create a JMS activation specification to replace the listener port.</li> <li>• Modify the configuration of the application's Message Driven Bean listener bindings to use the activation specification instead of the listener port.</li> <li>• Because an JMS activation specification can be defined at a wider scope than a listener-port definition (which is restricted to server scope), you might be able to replace multiple listener-port definitions with a single activation specification.</li> <li>• Update any administrative scripts that define or administer listener ports to define or administer JMS activation specifications instead.</li> <li>• Update any administrative scripts that use the stop or start operations of the ListenerPort MBean to use the pause and resume operations on the Message Endpoint MBean instead.</li> </ul>

## Features stabilized in Version 6.1

Table 170. Features stabilized in Version 6.1. This table describes the features that are stabilized in Version 6.1

Category	Stabilized Function	Strategic Alternative
Edge component	Edge component Caching Proxy function	<p>Use the Edge component Load Balancer with Media Access Control (MAC) forwarding in conjunction with one of the following:</p> <ul style="list-style-type: none"> <li>• WebSphere Application Server, Network Deployment proxy server</li> <li>• IBM HTTP Server plug-in in WebSphere Application Server, Network Deployment</li> </ul>
	<p>Edge component Load Balancer function that is associated with the following capabilities:</p> <ul style="list-style-type: none"> <li>• Content-based routing (CBR) component</li> <li>• Site Selector component</li> <li>• Cisco CSS Controller component</li> <li>• Nortel Alteon Controller component</li> <li>• Generic routing encapsulation (GRE)</li> <li>• Network address translation (NAT) forwarding method</li> <li>• CBR forwarding method</li> <li>• Remote administration</li> <li>• Rules-based load balancing</li> <li>• Wide-area load balancing</li> <li>• Mutual high availability</li> <li>• Simple Network Management Protocol (SNMP) subagent support</li> <li>• User Datagram Protocol (UDP) support</li> </ul>	<p>Use the Edge component Load Balancer with Media Access Control (MAC) forwarding in conjunction with one of the following:</p> <ul style="list-style-type: none"> <li>• WebSphere Application Server, Network Deployment proxy server</li> <li>• IBM HTTP Server plug-in in WebSphere Application Server, Network Deployment</li> </ul>

## Removed features

If you are migrating from an earlier release of WebSphere Application Server, you should be aware of the various features that have been removed from this and earlier releases.

If a feature is listed as deprecated in "Deprecated features" on page 1157, IBM might remove this capability in a subsequent release of the product. Future investment will be focussed on the strategic function listed under "Recommended Migration Actions" in "Deprecated features" on page 1157. Typically,

a feature is not removed until at least two major releases or three full years (whichever time period is longer) after the release in which that feature is deprecated. For example, features that are deprecated in Version 6.0, Version 6.0.1, or Version 6.0.2 are not removed from the product until after Version 7.0 because both Version 6.0.x and Version 6.1.x are major releases. In rare cases, it might become necessary to remove features sooner; such cases are indicated clearly and explicitly in the descriptions of these deprecated features in “Deprecated features” on page 1157.

The following tables describe what is removed—such as features, APIs, scripting interfaces, tools, wizards, publicly exposed configuration data, naming identifiers, and constants. Where possible, the recommended replacement is identified.

- “Features removed in Version 8.0”
- “Features removed in Version 7.0” on page 1189
- “Features removed in Version 6.1” on page 1191
- “Features removed in Version 6.0” on page 1192

## **Features removed in Version 8.0**

Table 171. Features removed in Version 8.0. This table describes the features that are removed in Version 8.0.

Feature	Recommended Migration Action
Apache SOAP channel in web services gateway	Gateway services should be deployed to the SOAP HTTP channel instead of the Apache SOAP channel. The endpoint (URL) of the service will be different for this channel; and therefore, client programs that are talking to the gateway will need to use the new service endpoint.
Apache SOAP, WEBSJAVA.SOAP <ul style="list-style-type: none"> <li>• soap.jar</li> <li>• wssoap.jar</li> </ul>	Migrate web services that were developed using Apache SOAP to Java API for XML-based RPC (JAX-RPC) web services that are developed based on the Web Services for Java Platform, Enterprise Edition (Java EE) specification.
The following classes and fields of the WebSphere relational resource adapter: <ul style="list-style-type: none"> <li>• Class com.ibm.websphere.rsadapter.Oracle10gDataStoreHelper</li> <li>• Field com.ibm.websphere.rsadapter.DataStoreHelper.ORACLE_10G_HELPER</li> <li>• Class com.ibm.websphere.rsadapter.OracleDataStoreHelper</li> <li>• Field com.ibm.websphere.rsadapter.DataStoreHelper.ORACLE_HELPER</li> </ul>	See the information center for more information. If you are using the Oracle10gDataStoreHelper, ORACLE_10G_HELPER, OracleDataStoreHelper, or ORACLE_HELPER, switch to the Oracle 11g JDBC driver and use the Oracle11gDataStoreHelper or ORACLE_11G_HELPER instead.

## Features removed in Version 7.0

Table 172. Features removed in Version 7.0. This table describes the features that are removed in Version 7.0.

Feature	Recommended Migration Action
<p>Support for the following interfaces:</p> <ul style="list-style-type: none"> <li>• Java Virtual Machine Profiler Interface (JVMPi)</li> <li>• Java Virtual Machine Debug Interface (JVMDi)</li> </ul> <p>All classes in the <code>com.ibm.websphere.servlet.filter</code> package:</p> <ul style="list-style-type: none"> <li>• <code>ChainedRequest</code></li> <li>• <code>ChainedResponse</code></li> <li>• <code>ChainerServlet</code></li> <li>• <code>ServletChain</code></li> </ul>	<p>Use the Java Virtual Machine Tool Interface (JVMTI). For more information, read <a href="#">JVM Tool Interface (JVMTI)</a>.</p> <p>Rearchitect your applications to use <code>javax.servlet.filter</code> classes rather than <code>com.ibm.websphere.servlet.filter</code> classes. Starting from the Servlet 2.3 specification, <code>javax.servlet.filter</code> classes give you the capability to intercept requests and examine responses. You can also chain functionality as well as embellish and truncate responses.</p>
<p>Integrated Cryptographic Services Facility (ICSF) authentication mechanism</p>	<p>Use the Lightweight Third-Party Authentication (LTPA) mechanism.</p>
<p>The following Java Database Connectivity (JDBC) drivers:</p> <ul style="list-style-type: none"> <li>• WebSphere Connect JDBC driver</li> <li>• Microsoft SQL Server 2000 Driver for JDBC</li> <li>• WebSphere SequeLink JDBC driver for Microsoft SQL Server</li> </ul>	<p>Use the DataDirect Connect JDBC driver or Microsoft SQL Server JDBC driver.</p> <p>Review Data source minimum required settings, by vendor for specific JDBC providers.</p> <p>Read the "Migrating from the WebSphere Connect JDBC driver" article in the information center for information on using the <code>WebSphereConnectJDBCdriverConversion</code> command to convert data sources from the WebSphere Connect JDBC driver to the DataDirect Connect JDBC driver or the Microsoft SQL Server JDBC driver.</p>
<p>Support for the DB2 legacy CLI-based Type 2 JDBC Driver and the DB2 legacy CLI-based Type 2 JDBC Driver (XA)</p> <p>For more information, read <a href="#">Support for DB2 legacy CLI-based Type 2 JDBC Drivers</a> is removed from IBM WebSphere Application Server Version 7.0.</p>	<p>Use the DB2 Universal JDBC Driver.</p>
<p><code>mb2mdb</code> command-line utility</p>	<p>No migration action is necessary.</p>
<p>Web services gateway customization API</p>	<p>Replace your existing filters with a combination of JAX-RPC handlers and service integration bus mediations.</p>
<p><code>com.ibm.websphere.servlet.session.UserTransactionWrapper</code> class</p>	<p>Store a <code>UserTransaction</code> directly into the HTTP session without wrapping it in the removed class.</p>
<p><code>com.ibm.websphere.radapter.DataDirectDataStoreHelper</code> class</p>	<p>Use the <code>com.ibm.websphere.radapter.ConnectJDBCDataStoreHelper</code> class.</p>
<p><code>com.ibm.websphere.radapter.MSSQLServerDataStoreHelper</code> class</p>	<p>Use the <code>com.ibm.websphere.radapter.MicrosoftSQLServerDataStoreHelper</code> class.</p>
<p>Derby Network Server Provider using the Universal JDBC driver</p>	<p>Use the Derby Network Server using Derby Client instead.</p>
<p>Support for the following custom properties:</p> <ul style="list-style-type: none"> <li>• <code>com.ibm.security.SAF.unauthenticatedId</code></li> <li>• <code>com.ibm.security.SAF.useEJBROLEAuthz</code></li> <li>• <code>com.ibm.security.SAF.useEJBROLEDelegation</code></li> </ul>	<p>Use the following custom properties that are specified on the "SAF authorization options" panel:</p> <ul style="list-style-type: none"> <li>• <code>com.ibm.security.SAF.unauthenticated</code></li> <li>• <code>com.ibm.security.SAF.authorization</code></li> <li>• <code>com.ibm.security.SAF.delegation</code></li> </ul>



## Features removed in Version 6.1

Table 173. Features removed in Version 6.1. This table describes the features that are removed in Version 6.1.

Feature	Recommended Migration Action
com.ibm.websphere.security.CustomRegistry interface	Use the com.ibm.websphere.security.UserRegistry interface.
Support for the Secure Authentication Service (SAS) IIOP security protocol	Use the Common Secure Interoperability Version 2 (CSIV2) protocols.
Support for the Secure Authentication Service (SAS) CORBA security programming APIs	Migrate from the SAS programming APIs to the Java Authentication and Authorization Service (JAAS).  For information on this migration, read Migrating Common Object Request Broker Architecture programmatic login to Java Authentication and Authorization Service (CORBA and JAAS).
Support for the Common Connector Framework (CCF)	Use the J2EE Connector Architecture (JCA) solution.
Support for the IBM Cloudscape Version 5.1.x database	Use the IBM Cloudscape Version 10.1 database.  This database provides Derby Version 10.1 binaries, National Language Support (NLS) enablement, quality assurance, and product support.  The term "Derby" rather than "Cloudscape" is used in places such as the administrative console, the ejbdeploy command, and others.
Profile creation wizard	Use the Profile Management tool.
Log Analyzer, the tool that was previously provided for viewing and analyzing the activity or service log file	Use the Log and Trace Analyzer tool for Eclipse in the Application Server Toolkit. This tool is installable from the Application Server Toolkit launchpad console.
Mozilla Rhino JavaScript (js.jar)	Use the Rhino code available from Mozilla.  Go to the Rhino: JavaScript for Java website, and get the latest copy of Rhino.
Java Document Object Model (JDOM)	Use the code available from the JDOM organization.  Go to the JDOM website, get the latest copy of JDOM, and bundle it inside your application.
Class preloading function	No migration action is necessary.
The following samples from the Samples Gallery: <ul style="list-style-type: none"> <li>• Adventure Builder</li> <li>• Greenhouse by WebSphere</li> <li>• WebSphere Bank</li> </ul> The following technology samples from the Samples Gallery: <ul style="list-style-type: none"> <li>• Bean-Managed Persistence (BMP)</li> <li>• Container-Managed Persistence (CMP) 1.1</li> <li>• Container-Managed Persistence (CMP) 2.1</li> <li>• Container-Managed Relationships (CMR)</li> <li>• EJB Time</li> <li>• Filter Servlet</li> <li>• JavaServer Pages (JSP) 2.0</li> <li>• Message-Driven Beans (MDB)</li> <li>• Pagelist Servlet</li> <li>• Simple JavaServer Pages (JSP)</li> <li>• Simple Servlet</li> <li>• Stateful Session</li> <li>• TagLib</li> </ul>	No migration action is necessary.

## Features removed in Version 6.0

Table 174. Features removed in Version 6.0. This table describes the features that are removed in Version 6.0.

Component	Classes and Interfaces
Activity	com.ibm.ws.activity.ActivityConstants com.ibm.ws.activity.ActivityService com.ibm.ws.activity.ActivityServiceInitializer com.ibm.ws.activity.ActivityTrace com.ibm.ws.activity.GlobaldImpl com.ibm.ws.activity.HighlyAvailableServiceManager com.ibm.ws.activity.HLSLiteDataInterface com.ibm.ws.activity.HLSLiteExtended com.ibm.ws.activity.HLSLiteInfo com.ibm.ws.activity.j2ee_activity_specific_data com.ibm.ws.activity.j2ee_activity_specific_dataHelper com.ibm.ws.activity.ServiceMigration com.ibm.ws.activity.VUTrace com.ibm.ws.activity.WebSphereServiceManager com.ibm.ws.activity.WebSphereUserActivity com.ibm.ws.javax.activity.ActionErrorException com.ibm.ws.javax.activity.ActionNotFoundException com.ibm.ws.javax.activity.ActivityCoordinator com.ibm.ws.javax.activity.ActivityInformation com.ibm.ws.javax.activity.ActivityManager com.ibm.ws.javax.activity.ActivityNotProcessedException com.ibm.ws.javax.activity.ActivityPendingException com.ibm.ws.javax.activity.ActivityToken com.ibm.ws.javax.activity.CompletionStatus com.ibm.ws.javax.activity.ContextPendingException com.ibm.ws.javax.activity.CoordinationInformation com.ibm.ws.javax.activity.Globald com.ibm.ws.javax.activity.InvalidParentContextException com.ibm.ws.javax.activity.InvalidStateException com.ibm.ws.javax.activity.NoActivityException com.ibm.ws.javax.activity.NoImplementException com.ibm.ws.javax.activity.NotOriginatorException com.ibm.ws.javax.activity.Outcome com.ibm.ws.javax.activity.PersistentActivityCoordinator com.ibm.ws.javax.activity.PropertyGroupContext com.ibm.ws.javax.activity.PropertyGroupRegisteredException com.ibm.ws.javax.activity.PropertyGroupUnknownException com.ibm.ws.javax.activity.ServiceAlreadyRegisteredException com.ibm.ws.javax.activity.ServiceInformation com.ibm.ws.javax.activity.ServiceNotRegisteredException com.ibm.ws.javax.activity.Signal com.ibm.ws.javax.activity.SignalSetActiveException com.ibm.ws.javax.activity.SignalSetInactiveException com.ibm.ws.javax.activity.SignalSetUnknownException com.ibm.ws.javax.activity.Status com.ibm.ws.javax.activity.SystemException com.ibm.ws.javax.activity.TimeoutRangeException com.ibm.ws.javax.activity.UserActivity com.ibm.ws.javax.activity.coordination.Action com.ibm.ws.javax.activity.coordination.RecoverableAction com.ibm.ws.javax.activity.coordination.ServiceManager com.ibm.ws.javax.activity.coordination.SignalSet com.ibm.ws.javax.activity.coordination.SubordinateSignalSet com.ibm.ws.javax.activity.propertygroup.PropertyGroup com.ibm.ws.javax.activity.propertygroup.PropertyGroupManager com.ibm.ws.javax.ejb.ActivityCompletedLocalException com.ibm.ws.javax.ejb.ActivityRequiredLocalException com.ibm.ws.javax.ejb.InvalidActivityLocalException
ALS	com.ibm.websphere.als.BufferManager
Ant tasks	com.ibm.websphere.ant.tasks.endptEnabler.Property com.ibm.websphere.ant.tasks.Java2WSDL.Mapping com.ibm.websphere.ant.tasks.Messages com.ibm.websphere.ant.tasks.WSDL2Java.Mapping
Asynchronous Beans APIs	com/ibm/websphere/asynchbeans/pmi/AlarmManagerPerf.java com/ibm/websphere/asynchbeans/pmi/AsynchBeanPerf.java com/ibm/websphere/asynchbeans/pmi/SubsystemMonitorManagerPerf.java com/ibm/websphere/asynchbeans/pmi/SubsystemMonitorPerf.java com/ibm/websphere/asynchbeans/pmi/AlarmManagerPmiModule.java com/ibm/websphere/asynchbeans/pmi/AsynchBeanPmiModule.java com/ibm/websphere/asynchbeans/pmi/SubsystemMonitorManagerPmiModule.java com/ibm/websphere/asynchbeans/pmi/SubsystemMonitorPmiModule.java

Table 174. Features removed in Version 6.0 (continued). This table describes the features that are removed in Version 6.0.

Component	Classes and Interfaces
Dynacache	com.ibm.websphere.servlet.cache.CacheConfig
Management	com.ibm.websphere.management.application.EarUtils
ObjectPool APIs	com/ibm/websphere/objectpool/pmi/ObjectPoolPerf.java com/ibm/websphere/objectpool/pmi/ObjectPoolPmiModule.java
RAS	com.ibm.ras.RASConsoleHandler com.ibm.ras.RASEnhancedMessageFormatter com.ibm.ras.RASEnhancedTraceFormatter com.ibm.ras.RASErrorHandler com.ibm.ras.RASFileHandler com.ibm.ras.RASFormatter com.ibm.ras.RASHandler com.ibm.ras.RASMessageFormatter com.ibm.ras.RASMultiFileHandler com.ibm.ras.RASSerialFileHandler com.ibm.ras.RASocketHandler com.ibm.ras.RASTextAreaHandler com.ibm.ras.RASTraceFormatter com.ibm.websphere.ras.WsOrbRasManager
Scheduler API	com.ibm.websphere.scheduler.pmi.SchedulerPmiModule com.ibm.websphere.scheduler.pmi.SchedulerPerf com.ibm.websphere.scheduler.MessageTaskInfo.setJMSPriority()
Security	com.ibm.websphere.security.AuthorizationTable com.ibm.websphere.security.FileRegistrySample com.ibm.websphere.security.SecurityProviderException com.ibm.websphere.security.WASPrincipal com.ibm.websphere.security.auth.AuthDataFileEnc
Userprofile	com.ibm.websphere.userprofile.UserProfile com.ibm.websphere.userprofile.UserProfileCreateException com.ibm.websphere.userprofile.UserProfileExtender com.ibm.websphere.userprofile.UserProfileFinderException com.ibm.websphere.userprofile.UserProfileManager com.ibm.websphere.userprofile.UserProfileProperties com.ibm.websphere.userprofile.UserProfileRemoveException



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## Chapter 52. Assembly tools

WebSphere Application Server supports *assembly tools* that you can use to develop, assemble, and deploy Java Platform, Enterprise Edition (Java EE) modules.

The IBM Rational Application Developer for WebSphere Software product and the IBM Assembly and Deploy Tools for WebSphere Administration product are supported assembly tools. Although this information center refers to the products as the *assembly tools*, you can use the products to do more than assemble modules.

The Rational Application Developer product provides an integrated development environment to design, develop, analyze, test, profile, and deploy web, service-oriented architecture (SOA), Java, and Java EE applications. It contains tools for software developers, including many simple wizards and visual editors, that support the Java EE programming model.

The Rational Application Developer product provides Service Component Architecture (SCA) Development Tools that you can use to assemble SOA components based on open SCA specifications. Use the tools to do the following:

- Develop SCA service components implemented with annotated Java code.
- Wire components together graphically to form new composite services.
- Associate protocol bindings and quality of service intents to SCA components.
- Package SCA assets and deploy them to a WebSphere Application Server server or cluster in a business-level application.

A subset of the capability in the Rational Application Developer product is available in Assembly and Deploy Tools for WebSphere Administration, which is available with WebSphere Application Server. With these tools, you can assemble and deploy applications to a WebSphere Application Server server or cluster .

For documentation on the tools, see "Rational Application Developer documentation." Topics on application assembly in this information center supplement that documentation.

The assembly tools are available in the WebSphere Application Server disc package with two licenses. The license for IBM Assembly and Deploy Tools for WebSphere Administration does not expire. The license for IBM Rational Application Developer for WebSphere Software is available on a Trial basis and is only available for a limited time.

The Trial download for Rational Application Developer is available at <http://www.ibm.com/developerworks/downloads/r/rad/>.

**Important:** The assembly tools run on Windows and Linux Intel platforms. Users of WebSphere Application Server on all platforms must assemble their modules using an assembly tool installed on Windows or Linux Intel platforms. To install an assembly tool, follow instructions available with the tool.



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## Chapter 53. Web resources for learning

This topic familiarizes you with the many websites containing technical information for understanding and using your WebSphere Application Server product. A wealth of online information is available to complement the product documentation.

Choose an area of interest.

- Learning and education
- Developer resources
- Architect, planner, installer, and administrator resources
- Partner resources
- Redbooks, white papers, and documentation
- Troubleshooting and support

Also, throughout the documentation, you will find additional resources for learning pages, each focused on a specific technology, such as web services. The pages provide links to particular documents of interest.

### Learning and education

#### IBM Education Assistant

Find tutorials, multimedia demonstrations, and presentations for WebSphere servers and Rational development tools.

#### Training and certification

Use this page to find educational opportunities to learn about WebSphere software. IBM has several educational options available to you. From classroom courses to on-site assistance and Internet-based training, if you are ready to learn, we are ready to teach.

### Developer resources

#### developerWorks - WebSphere Application Server zone

Use this page to search for information, download software including trial code and fixes, learn about the application server, and find support and migration information.

#### Samples

The Samples section of the information center offers a set of samples that demonstrate common web application tasks.

### Architect, planner, installer, and administrator resources

#### Detailed system requirements page

These pages describe the minimum product levels you should have installed before opening a problem report with the WebSphere Application Server support team.

#### Patterns for e-business

Patterns for e-business are a group of reusable assets that can help speed the process of developing Web-based applications. The Patterns leverage the experience of IBM architects to create solutions quickly, whether for a small local business or a large multinational enterprise.

### Partner resources

#### PartnerWorld®

Find product, business, and technical information. The PartnerWorld program is designed to offer IBM Business Partners benefits, technical support, education, marketing campaigns, sales tools and more to help you grow your business and drive profits.

## **Redbooks, white papers, and documentation**

### **Redbooks - WebSphere**

Find Redbooks pertaining to WebSphere, including the newest, latest, and most popular Redbooks and Redpapers in draft and published form.

### **White papers**

This link performs a query for white papers that are relevant to WebSphere Application Server.

### **Library page**

A new, improved web page for finding product documentation, including the online information center, documentation plug-ins for offline viewing with the WebSphere help system, and PDF books. This page links to a variety of other kinds of product information, such as WebSphere Redbooks.

## **Troubleshooting and support**

### **IBM Support Assistant**

Looking for ways to simplify software support, reduce support costs and improve your ability to resolve software problems inhouse quickly? If so, we invite you to explore IBM Support Assistant.

IBM Support Assistant allows you to search multiple knowledge repositories and gives you access to the latest product information. You can choose to be guided through your problem symptoms or view a complete listing of advanced tooling for analyzing everything from logs to memory dumps. Using the IBM Support Assistant Workbench installed on a local workstation running the Windows or Linux Intel operating system, you can connect to the IBM Support Assistant Agent installed on a remote system running on the AIX, Linux, Windows, or Solaris operating system. You can use IBM Support Assistant to run automated, symptom-specific data collectors. This data can then be attached to an IBM Service Request so that you can get help from IBM Support.

### **WebSphere Application Server - Support**

This page provides a convenient starting point for querying technical documents, solving problems, downloading fixes, planning, learning, and communicating.

IBM Support has documents and tools that can save you time gathering information needed to resolve problems, as described in Troubleshooting help from IBM. Before opening a problem report, see the Support page:

- WebSphere Application Server support

### **Support - Recent updates**

This document lists valuable resources and newly created content.

### **Support - Resource reference list**

This document is an introduction to available documentation and educational resources.

### **Support - Quick links**

This document provides a reference of direct links to available documentation and educational resources.

### **Support - Recommended fixes**

This document provides a comprehensive list of recommended, generally available (GA) fixes for IBM WebSphere Application Server releases.



## **Support - MustGather documents**

MustGather documents aid in problem determination and save time resolving Problem Management Records (PMRs). Collecting MustGather data early, even before opening the PMR, helps IBM Support quickly determine if:

- Symptoms match known problems (rediscovery).
- There is a non-defect problem that can be identified and resolved.
- There is a defect that identifies a workaround to reduce severity.
- Locating root cause can speed development of a code fix.

## **Notes**

- The WebSphere Application Server product documentation found in the information center and PDF books documents supported configurations. Many of the above sites could contain information that describes unsupported configurations.
- Information residing on non-IBM sites is provided for your convenience. Its technical accuracy is controlled by the owner of the site. Use the information at your own risk.



## Appendix. Directory conventions

References in product information to *app\_server\_root*, *profile\_root*, and other directories imply specific default directory locations. This topic describes the conventions in use for WebSphere Application Server.

### Default product locations (distributed)

The following file paths are default locations. You can install the product and other components or create profiles in any directory where you have write access. Multiple installations of WebSphere Application Server Network Deployment products or components require multiple locations. Default values for installation actions by root and nonroot users are given. If no nonroot values are specified, then the default directory values are applicable to both root and nonroot users.

#### *app\_client\_root*

Table 175. Default installation root directories for the Application Client for IBM WebSphere Application Server.

This table shows the default installation root directories for the Application Client for IBM WebSphere Application Server.

User	Directory
Root	<p><b>AIX</b> /usr/IBM/WebSphere/AppClient (Java EE Application client only)</p> <p><b>HP-UX</b> <b>Linux</b> <b>Solaris</b> /opt/IBM/WebSphere/AppClient (Java EE Application client only)</p> <p><b>Windows</b> C:\Program Files\IBM\WebSphere\AppClient</p>
Nonroot	<p><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> <i>user_home</i>/IBM/WebSphere/AppClient (Java EE Application client only)</p> <p><b>Windows</b> C:\IBM\WebSphere\AppClient</p>

#### *app\_server\_root*

Table 176. Default installation directories for WebSphere Application Server.

This table shows the default installation directories for WebSphere Application Server Network Deployment.

User	Directory
Root	<p><b>AIX</b> /usr/IBM/WebSphere/AppServer</p> <p><b>HP-UX</b> <b>Linux</b> <b>Solaris</b> /opt/IBM/WebSphere/AppServer</p> <p><b>Windows</b> C:\Program Files\IBM\WebSphere\AppServer</p>
Nonroot	<p><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> <i>user_home</i>/IBM/WebSphere/AppServer</p> <p><b>Windows</b> <i>user_home</i>\IBM\WebSphere\AppServer</p>

#### *component\_root*

The component installation root directory is any installation root directory described in this topic. Some programs are for use across multiple components—in particular, the Web Server Plug-ins, the Application Client, and the IBM HTTP Server. All of these components are part of the product package.

#### *gskit\_root*

IBM Global Security Kit (GSKit) can now be installed by any user. GSKit is installed locally inside

the installing product's directory structure and is no longer installed in a global location on the target system. The following list shows the default installation root directory for Version 8 of the GSKit, where *product\_root* is the root directory of the product that is installing GSKit, for example IBM HTTP Server or the web server plug-in.

**AIX** **HP-UX** **Linux** **Solaris**

*product\_root*/gsk8

**Windows**

*product\_root*\gsk8

*profile\_root*

Table 177. Default profile directories.

This table shows the default directories for a profile named *profile\_name* on each distributed operating system.

User	Directory
Root	<p><b>AIX</b> /usr/IBM/WebSphere/AppServer/profiles/<i>profile_name</i></p> <p><b>HP-UX</b> <b>Linux</b> <b>Solaris</b> /opt/IBM/WebSphere/AppServer/profiles/<i>profile_name</i></p> <p><b>Windows</b> C:\Program Files\IBM\WebSphere\AppServer\profiles\<i>profile_name</i></p>
Nonroot	<p><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> <i>user_home</i>/IBM/WebSphere/AppServer/profiles</p> <p><b>Windows</b> <i>user_home</i>\IBM\WebSphere\AppServer\profiles</p>

*plugins\_root*

Table 178. Default installation root directories for the Web Server Plug-ins.

This table shows the default installation root directories for the Web Server Plug-ins for WebSphere Application Server.

User	Directory
Root	<p><b>AIX</b> /usr/IBM/WebSphere/Plugins</p> <p><b>HP-UX</b> <b>Linux</b> <b>Solaris</b> /opt/IBM/WebSphere/Plugins</p> <p><b>Windows</b> C:\Program Files\IBM\WebSphere\Plugins</p>
Nonroot	<p><b>AIX</b> <b>HP-UX</b> <b>Linux</b> <b>Solaris</b> <i>user_home</i>/IBM/WebSphere/Plugins</p> <p><b>Windows</b> C:\IBM\WebSphere\Plugins</p>

*wct\_root*

Table 179. Default installation root directories for the WebSphere Customization Toolbox.

This table shows the default installation root directories for the WebSphere Customization Toolbox.

User	Directory
Root	<p><b>AIX</b> /usr/IBM/WebSphere/Toolbox</p> <p><b>HP-UX</b> <b>Linux</b> <b>Solaris</b> /opt/IBM/WebSphere/Toolbox</p> <p><b>Windows</b> C:\Program Files\IBM\WebSphere\Toolbox</p>

Table 179. Default installation root directories for the WebSphere Customization Toolbox (continued).

This table shows the default installation root directories for the WebSphere Customization Toolbox.

User	Directory
Nonroot	<div style="display: flex; justify-content: space-around; margin-bottom: 5px;"> <span>AIX</span> <span>HP-UX</span> <span>Linux</span> <span>Solaris</span> </div> user_home/IBM/WebSphere/Toolbox  <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <span>Windows</span> <span>C:\IBM\WebSphere\Toolbox</span> </div>

web\_server\_root

Table 180. Default installation root directories for the IBM HTTP Server.

This table shows the default installation root directories for the IBM HTTP Server.

User	Directory
Root	<div style="display: flex; justify-content: space-between; margin-bottom: 5px;"> <span>AIX</span> <span>/usr/IBM/HTTPServer</span> </div> <div style="display: flex; justify-content: space-between; margin-bottom: 5px;"> <span>HP-UX</span> <span>Linux</span> <span>Solaris</span> <span>/opt/IBM/HTTPServer</span> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <span>Windows</span> <span>C:\Program Files\IBM\HTTPServer</span> </div>
Nonroot	<div style="display: flex; justify-content: space-around; margin-bottom: 5px;"> <span>AIX</span> <span>HP-UX</span> <span>Linux</span> <span>Solaris</span> </div> user_home/IBM/HTTPServer  <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <span>Windows</span> <span>C:\IBM\HTTPServer</span> </div>



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