



IBM TotalStorage Virtual Tape Server

August 30, 2002

FICON and Advanced Policy Management Performance Overview - Version 1.0



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Introduction

Introduction

On August 30, 2002, IBM delivered significant enhancements to the capabilities of the Total Storage Virtual Tape Server:

- FICON attachment for models B10 and B20

- Advanced Policy Management, including
 - Physical Volume Pooling
 - Selective Dual Copy
 - Tape Volume Cache Management
 - Peer-to-Peer Selective Copy Mode

This document provides an overview of the performance impact of these enhancements as revealed in laboratory measurements.

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VTS FICON Attachment Overview

VTS FICON Support

- VTS Models B10 & B20 only
 - Long and Short Wave attachment features
 - B20 FICON Performance Accelerator Feature (FPAF)
 - Channel Adapter Intermix ESCON / FICON
 - FICON Director Support
 - IBM 2042 Inrange FC/9000 Fibre Channel Director
 - IBM 2032 McData ED-6064 Enterprise Fibre Channel Director
 - Feature upgrades and conversions

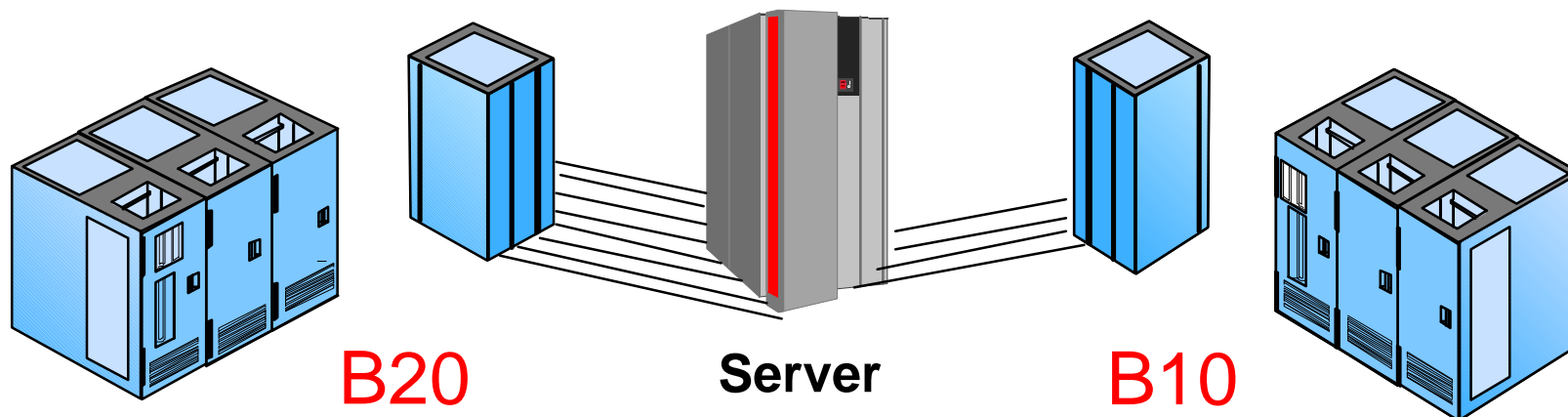
Note: Peer-to-Peer VTS FICON support not announced



New VTS FICON Features

Feature	Description	Prerequisite	Model
0201	9 Micron LC/LC 31 meter cable	3415	B10, B20
0202	9 Micron LC/SC 31 meter cable	3415	B10, B20
0203	50 Micron LC/LC 31 meter cable	3416	B10, B20
0204	50 Micron LC/SC 31 meter cable	3416	B10, B20
0205	62.5 Micron LC/LC 31 meter cable	3416	B10, B20
0206	62.5 Micron LC/SC 31 meter cable	3416	B10, B20
3000	FICON Enablement	None	B10, B20
3415	FICON attach - Long Wavelength	3000	B10, B20
3416	FICON attach - Short Wavelength	3000	B10, B20
5250	FICON Performance Accelerator	3415/3416	B20
9700	No FICON cable from plant	None	B10,B20

VTS Connectivity Options



# ESCON	# FICON	# SCSI
16	0	0
8	4	0
8	0	8
8	0	0
4	4	0
0	4	0
0	8	0

# ESCON	# FICON	# SCSI
4	0	4
4	0	0
2	2	0
2	0	0
0	4	0
0	2	0
0	0	8

New options

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VTS FICON Performance Overview



VTS FICON Performance - General Statements

- FICON attachment can significantly boost VTS performance. This boost will be seen both in single and multi-job performance.
- For single jobs, running a job over a FICON channel will result in faster job time than for over an ESCON channel.
- For multiple jobs, running over FICON channels will provide increased peak write and read hit data rate capabilities, and in some cases increased sustained data rate capabilities.
- Because of the greater bandwidth provided by FICON, one FICON channel can typically replace four existing ESCON channels while maintaining the same level of channel performance.

Definitions

Peak write throughput:

The average host write data rate when unconstrained by any other activity in the VTS other than possible concurrent premigration activity. This is the maximum throughput that can be expected from the VTS, given the configuration and data characteristics assumed.

Sustained write throughput:

The average host write data rate when constrained by the VTS to prevent the overfilling of cache. Sustained write is the maximum throughput that the VTS can achieve when the VTS needs to make room in the cache for new data as it is being written. This rate is determined basically by the rate at which the VTS can copy data from the cache to the physical drives.

VTS FICON Performance - Measurements

The following charts are divided into four categories:

- Single-job / single-channel sensitivity measurements
(Charts 1 - 4)
- Multi-job / multi-channel model/feature comparative measurements
(Charts 5 - 15)
- Multi-job / multi-channel sensitivity measurements
(Charts 16 - 21)
- Single-job / single-channel distance measurements
(Charts 22 - 25)



VTS FICON Performance Charts

- Single-job / single-channel sensitivity measurements
 - Chart 1: FICON Single-job Write Performance
 - Chart 2: FICON Single-job Read Performance
 - Chart 3: FICON Single-job BUFNO Performance
 - Chart 4: ESCON / FICON Single-job Blocksize Performance

- Multi-job / multi-channel model/feature comparative measurements
 - Chart 5: B10 ESCON / FICON Multi-job Write and Read Hit Performance
 - Chart 6: B20 ESCON / Base FICON Multi-job Peak Write Performance
 - Chart 7: B20 ESCON / PAF FICON Multi-job Peak Write Performance
 - Chart 8: B20 Base / PAF FICON Multi-job Peak Write Performance
 - Chart 9: B20 ESCON / FICON Multi-job Read Hit Performance
 - Chart 10: B20 ESCON / Base FICON Multi-job Sustained Write Performance
 - Chart 11: B20 ESCON / PAF FICON Multi-job Sustained Write Performance
 - Chart 12: B20 ESCON / Base FICON Multi-job Peak Performance Vs. # Physical Drives
 - Chart 13: B20 ESCON / Base FICON Multi-job Sustained Performance Vs. # Physical Drives
 - Chart 14: B20 ESCON / Base FICON Multi-job Peak Write Performance - BUFNO=5
 - Chart 15: B20 Base / PAF FICON Multi-job Peak Write Duration

VTS FICON Performance Charts (con't)

- Multi-job / multi-channel sensitivity measurements
 - Chart 16: B10 FICON Multi-job Write Performance Vs. Data Compression
 - Chart 17: B20 Base FICON Multi-job Write Performance Vs. Data Compression
 - Chart 18: B20 Base FICON Multi-job Write Performance Vs. # Virtual Drives
 - Chart 19: B20 Base FICON Multi-job Write Performance Vs. Blocksize
 - Chart 20: B20 Base FICON Multi-job Write Performance Vs. Volume Size
 - Chart 21: B20 Base FICON Multi-job Read Performance Vs. Data Compression

- Single-job / single-channel distance measurements
 - Chart 22: FICON Single-job Write Performance Vs. Distance Vs. Blocksize
 - Chart 23: FICON Single-job Read Performance Vs. Distance Vs. Blocksize
 - Chart 24: ESCON / FICON Single-job Write Performance Vs. Distance
 - Chart 25: ESCON / FICON Single -job Read Performance Vs. Distance



VTS FICON Performance Measurement Metrics

Unless otherwise specified on individual charts, the following "standard workload" job characteristics apply to all measurements:

- 800 MB logical volumes
- QSAM BUFNO = 20
- 32KB data blocksize
- Maximum VTS cache size
- Maximum number of host channels
- Maximum number of physical tape drives
- 2.66:1 data compression factor
- 64 virtual drives active for B10
- 128 virtual drives active for B20
- VTS at local distance to host processors

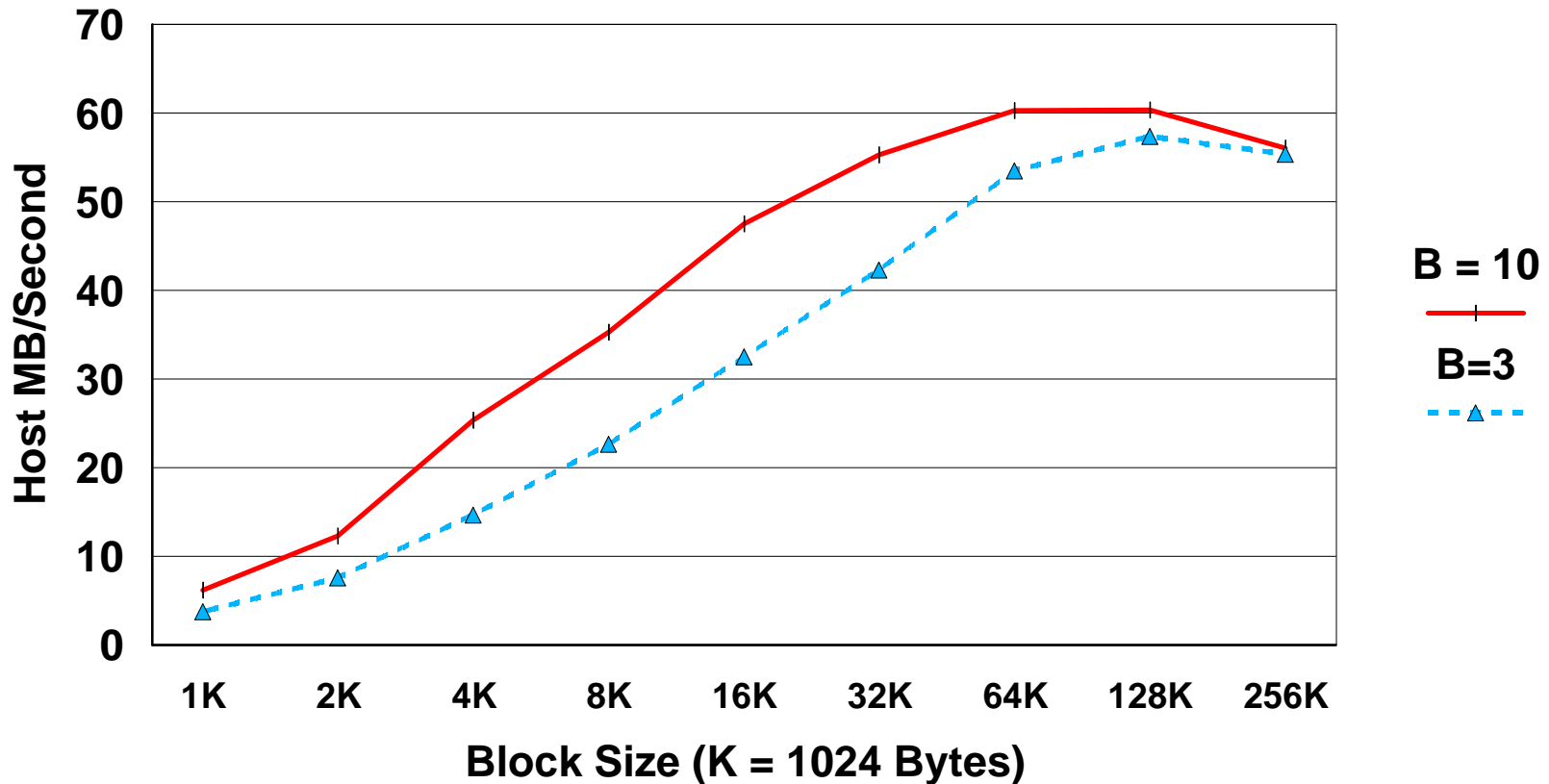
All Tucson laboratory FICON measurements were made on a G6 host processor.

Chart 1: FICON Single-job Write Performance



Single Job B20 FICON Write Performance

B = EXCP BUFNO*



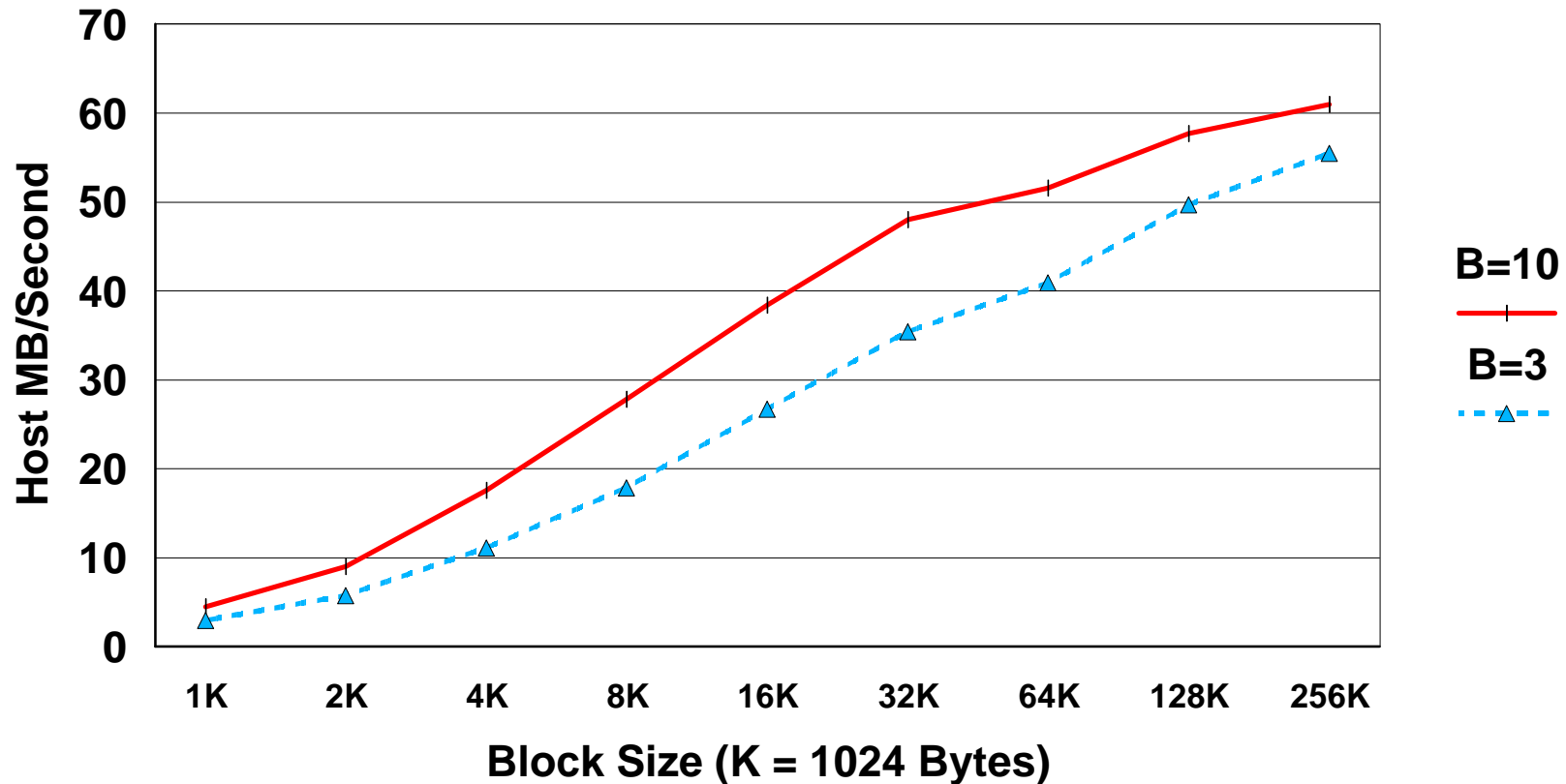
* QSAM BUFNO = 2X EXCP BUFNO

Chart 2: FICON Single-job Read Performance



Single Job B20 FICON Read Performance

B = EXCP BUFNO



* QSAM BUFNO = 2X EXCP BUFNO

Chart 3: FICON

Single-job BUFNO Performance

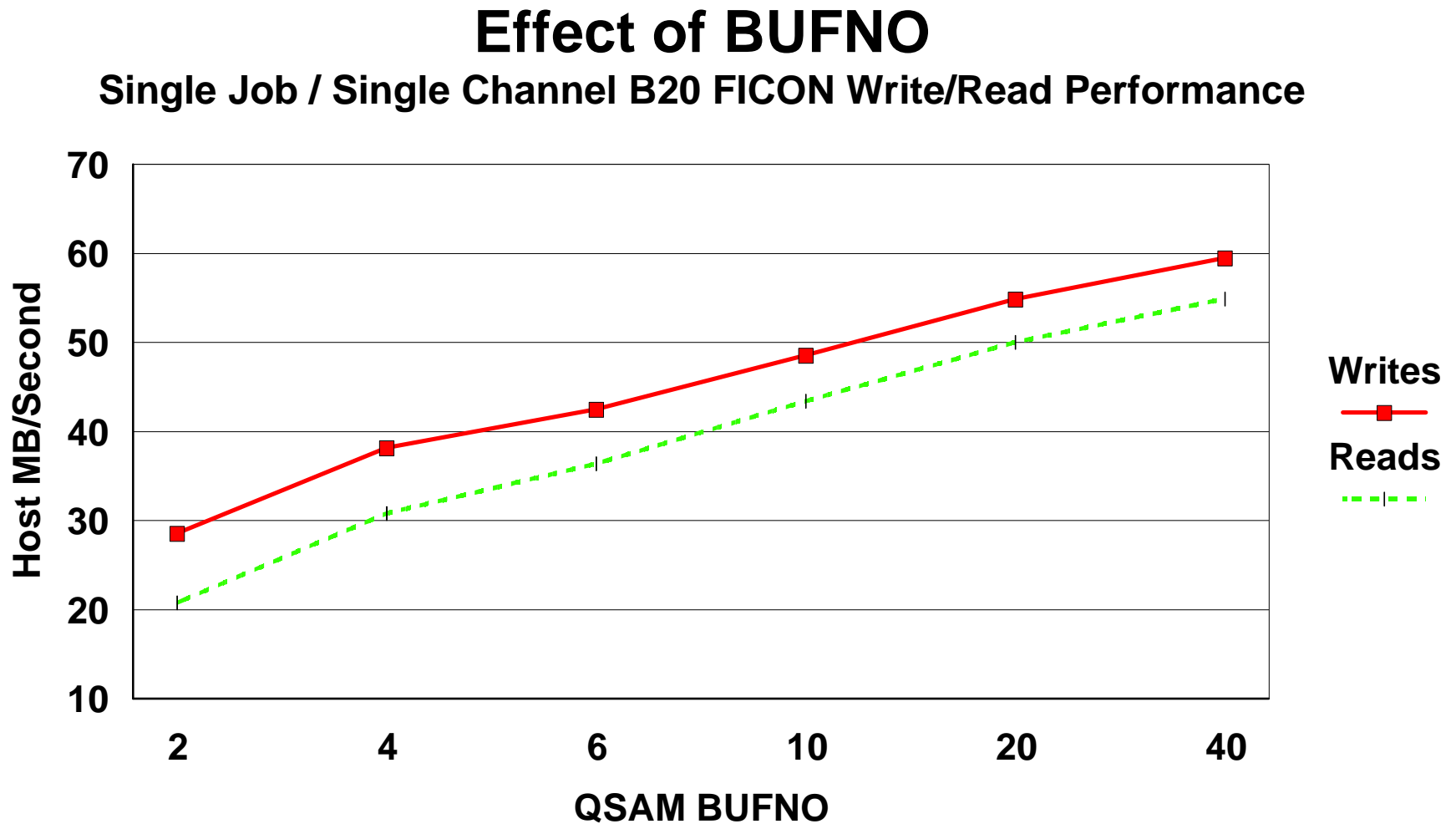


Chart 4: ESCON / FICON Single-job Blocksize Performance



Effect of Blocksize

FICON - Single Job / Single Channel Writes
EXCP BUFNO = 3*

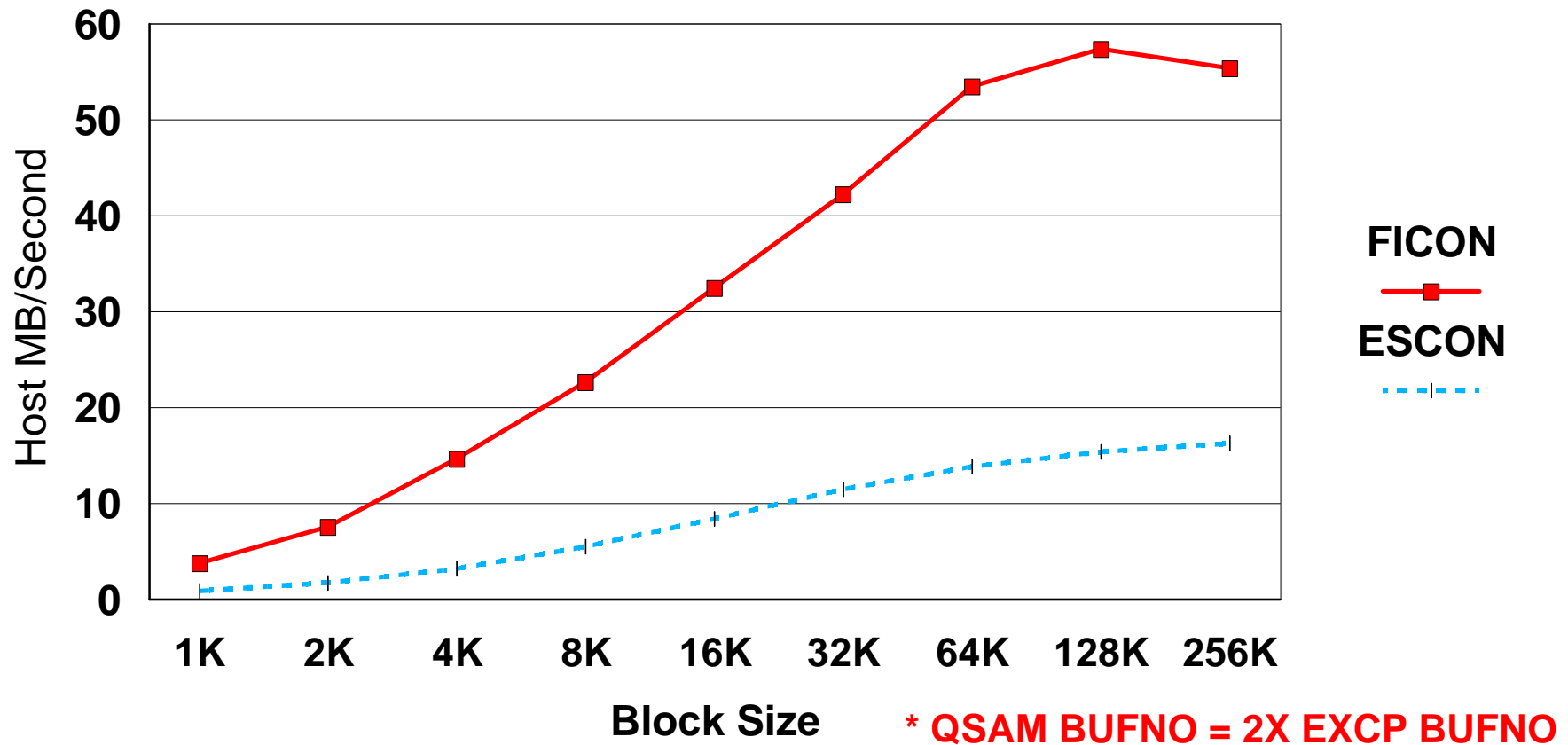


Chart 5: B10 ESCON / FICON Multi-job Write and Read-Hit Performance



Model B10 ESCON vs. FICON Throughput 100% Host Write (Peak/Sustained) and 100% Read Hits

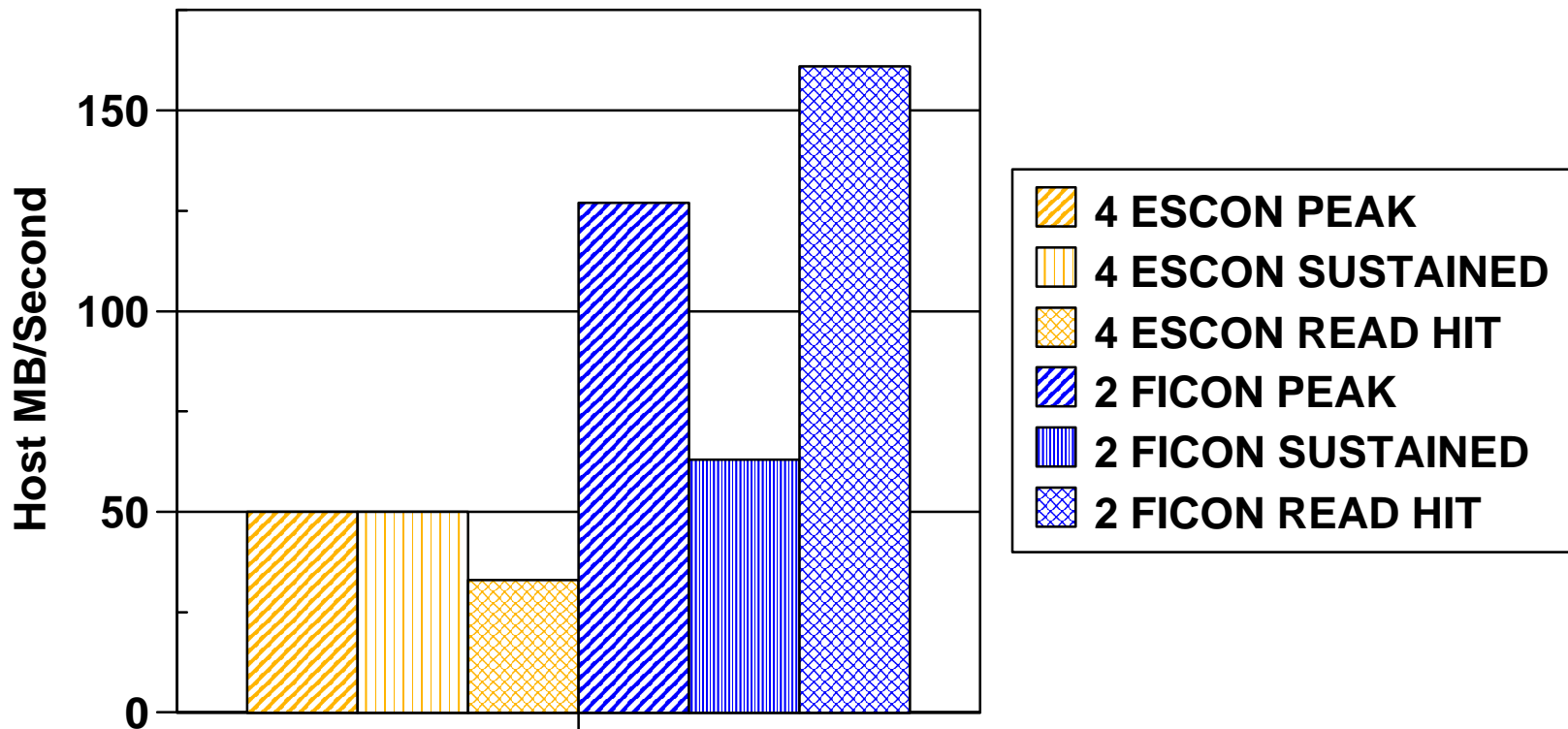
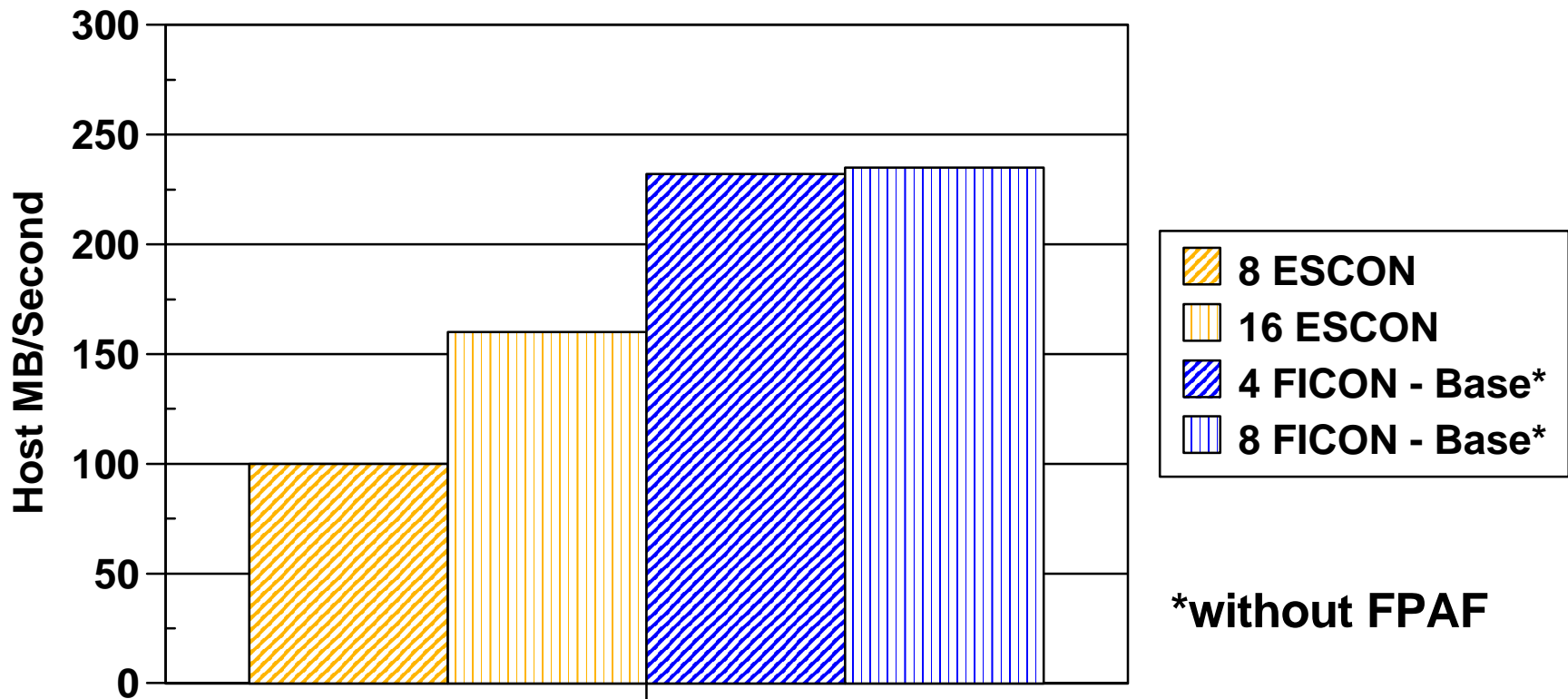


Chart 6: B20 ESCON / Base FICON Multi-job Peak Write Performance



Model B20 ESCON vs. FICON Throughput 100% Host Write - Peak Rate



*without FPAF

Chart 7: B20 ESCON / FICON PAF Multi-job Peak Write Performance



Model B20 ESCON vs. FPAF FICON Throughput 100% Host Write - Peak Rate

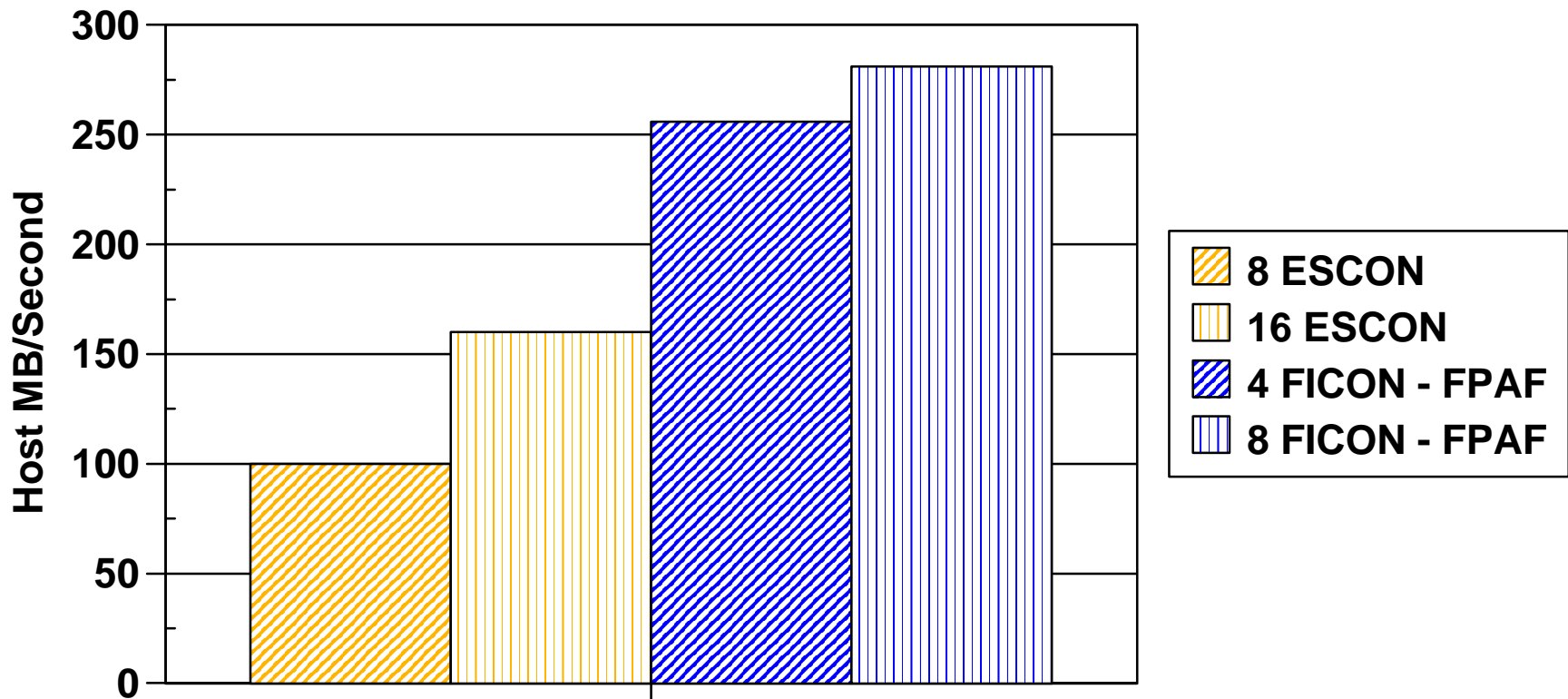
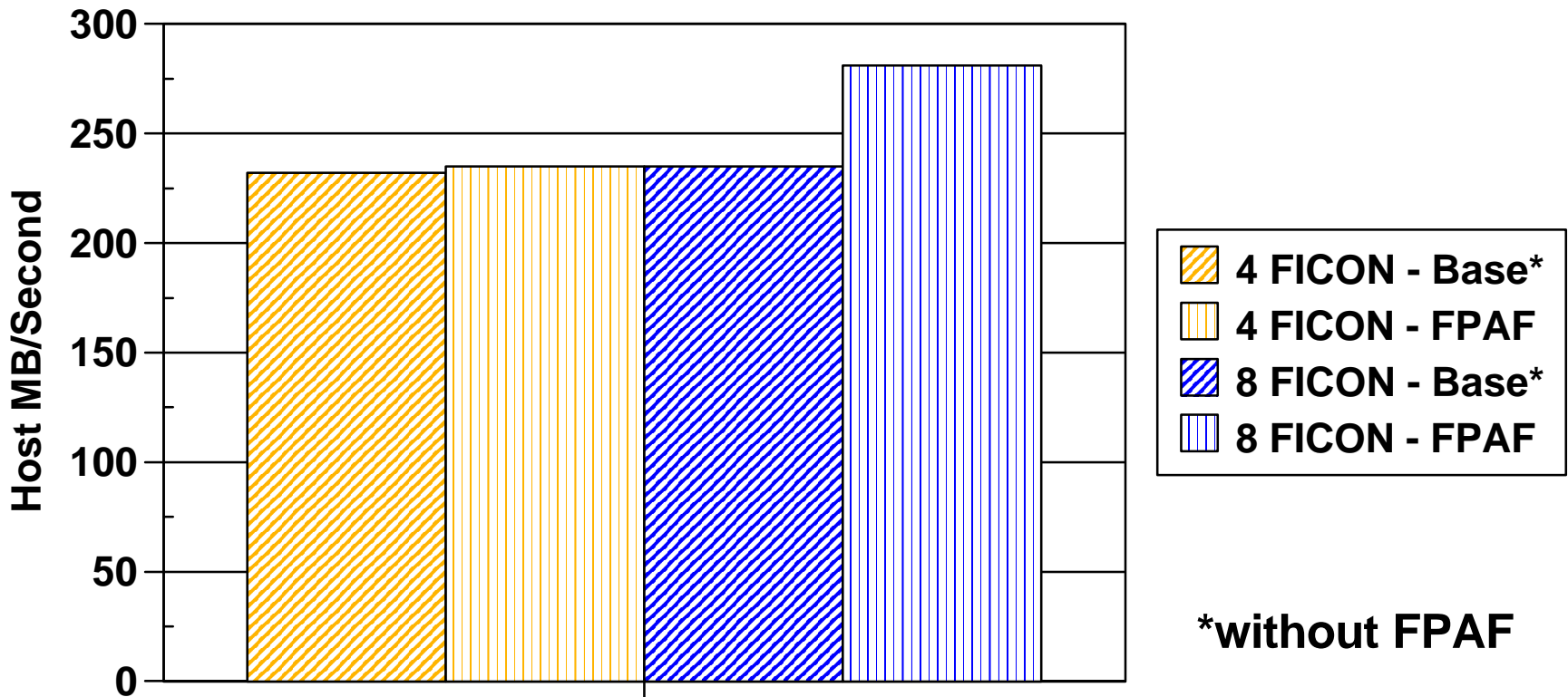


Chart 8: B20 Base / FICON PAF Multi-job Peak Write Performance

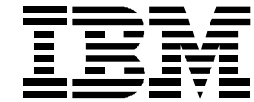


Model B20 Base vs. FPAF FICON Throughput 100% Host Write - Peak Rate

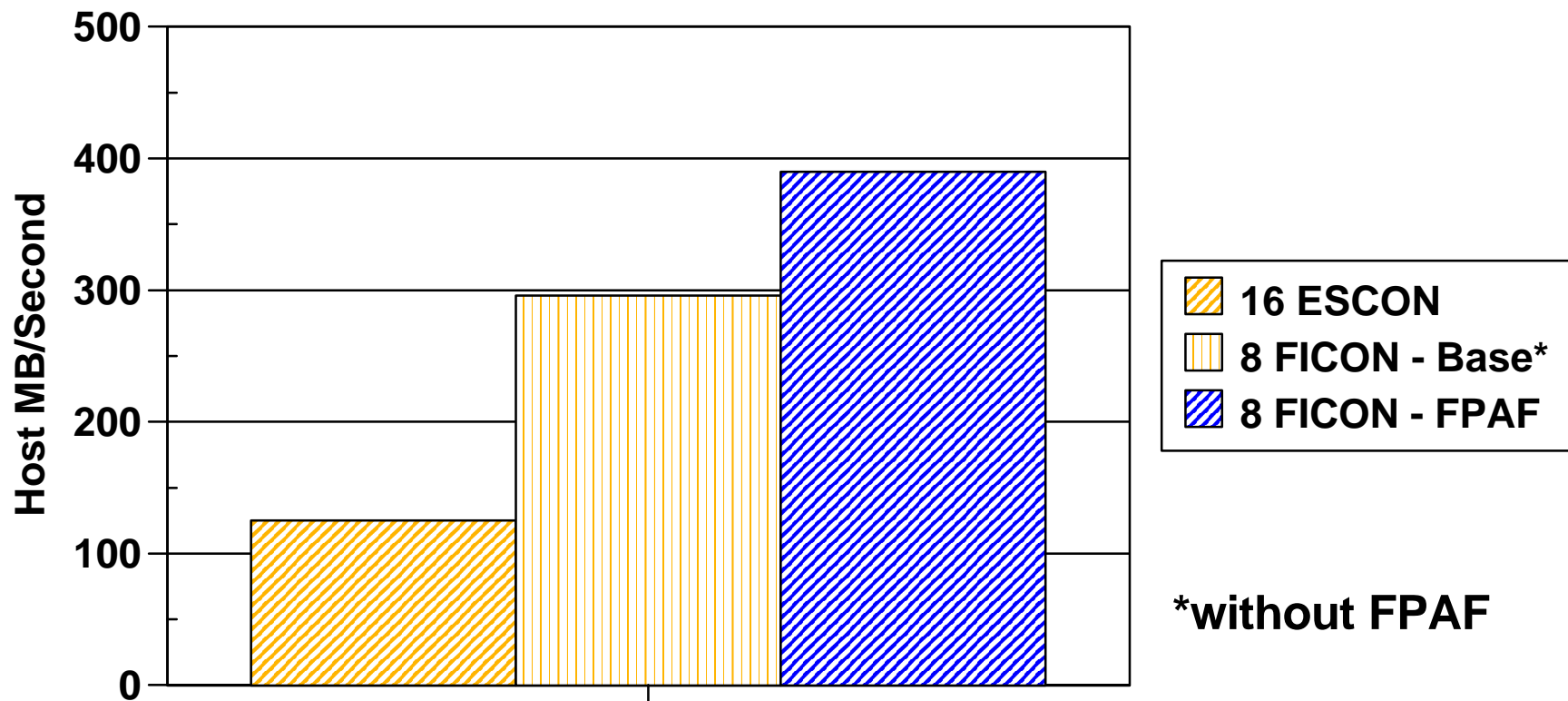


*without FPAF

Chart 9: B20 ESCON / FICON Multi-job Read-Hit Performance



Model B20 ESCON vs. FICON Read-Hit Throughput 100% Read Hits

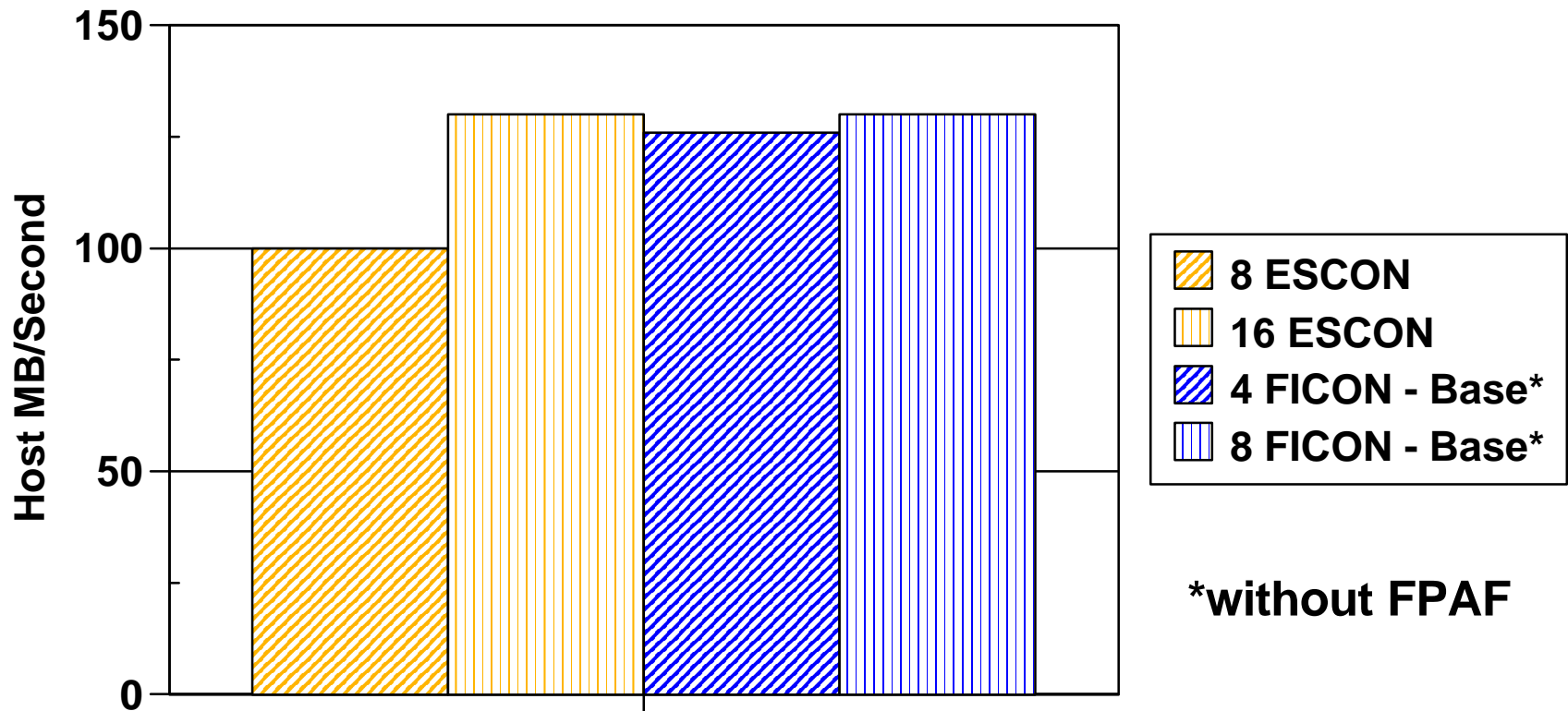


*without FPAF

Chart 10: B20 ESCON / Base FICON Multi-job Sustained Write Performance



Model B20 ESCON vs. Base* FICON Throughput 100% Host Write - Sustained Rate



*without FPAF

Chart 11: B20 ESCON / FPAF FICON Multi-job Sustained Write Performance



Model B20 ESCON vs. FPAF FICON Throughput 100% Host Write - Sustained Rate

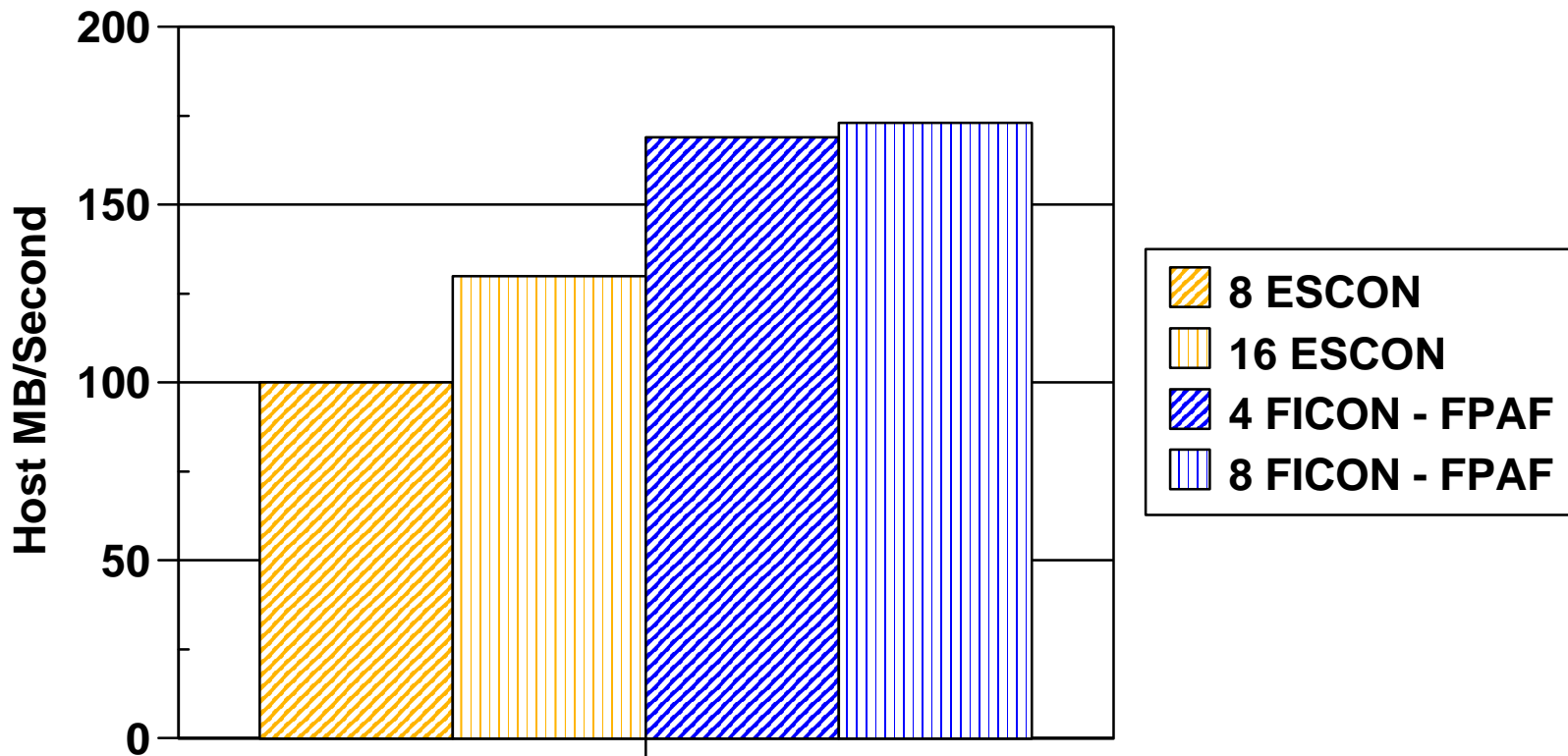
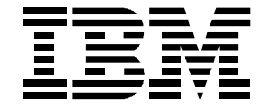


Chart 12: B20 ESCON / Base FICON Multi-job Peak Performance vs. # Physical Drives



Model B20 ESCON vs. Base* FICON Peak Throughput 6 vs. 12 Physical Tape Drives

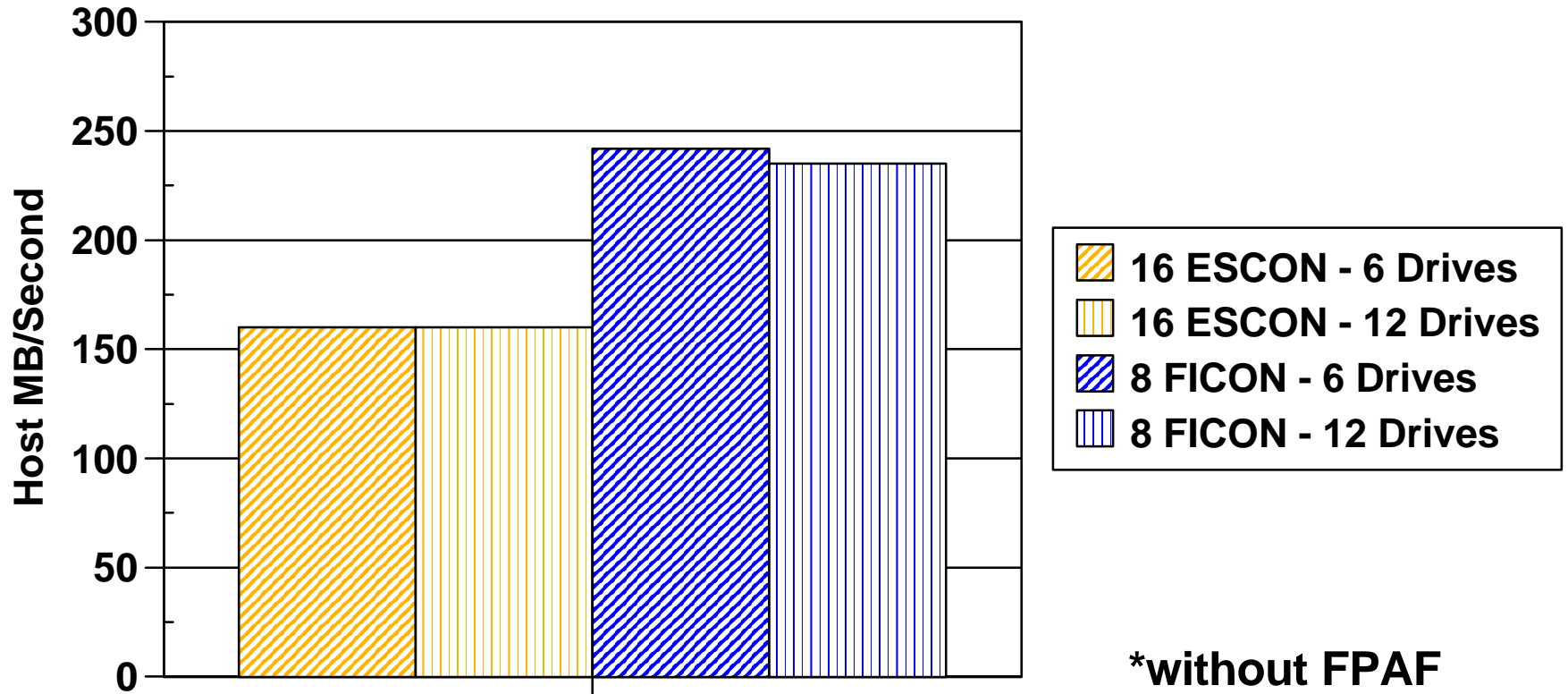
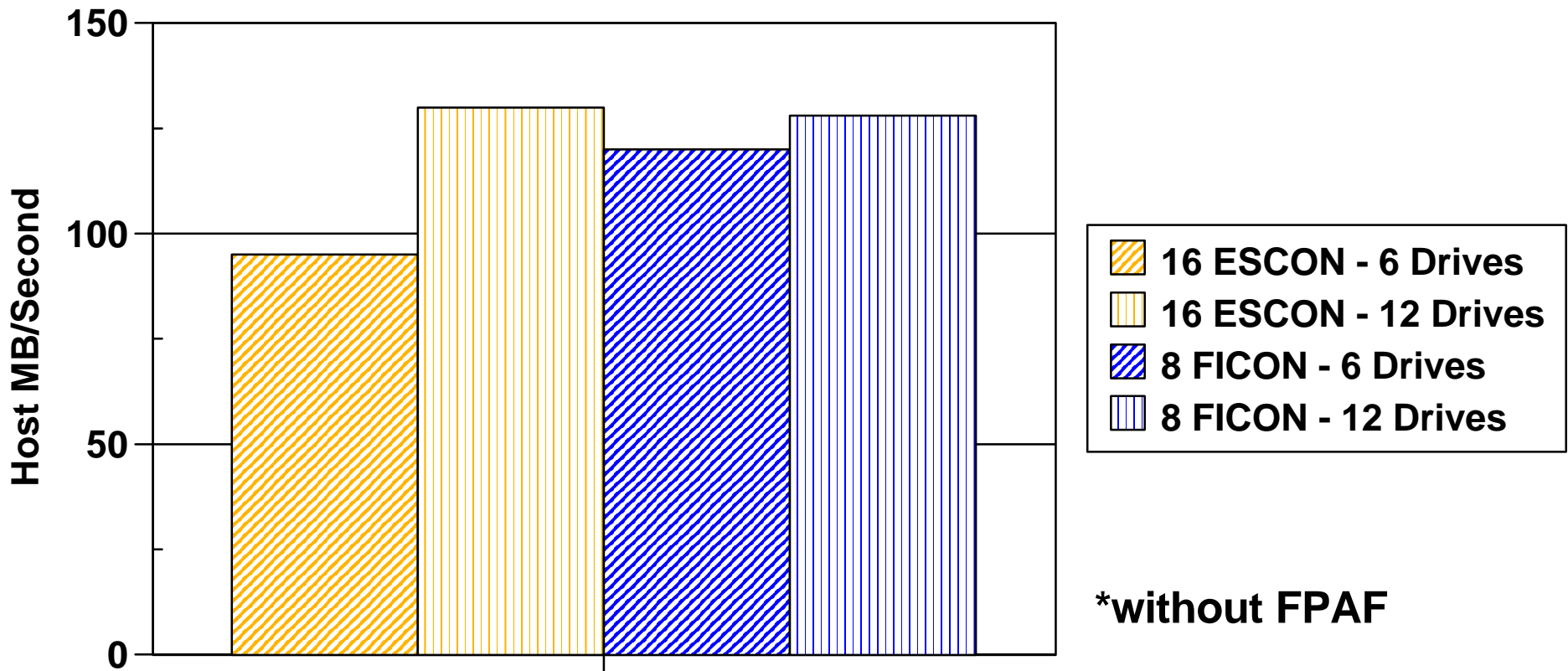


Chart 13: B20 ESCON / Base FICON Multi-job Sustained Performance vs. # Physical Drives



Model B20 ESCON vs. Base* FICON Sustained Throughput 6 vs. 12 Physical Tape Drives



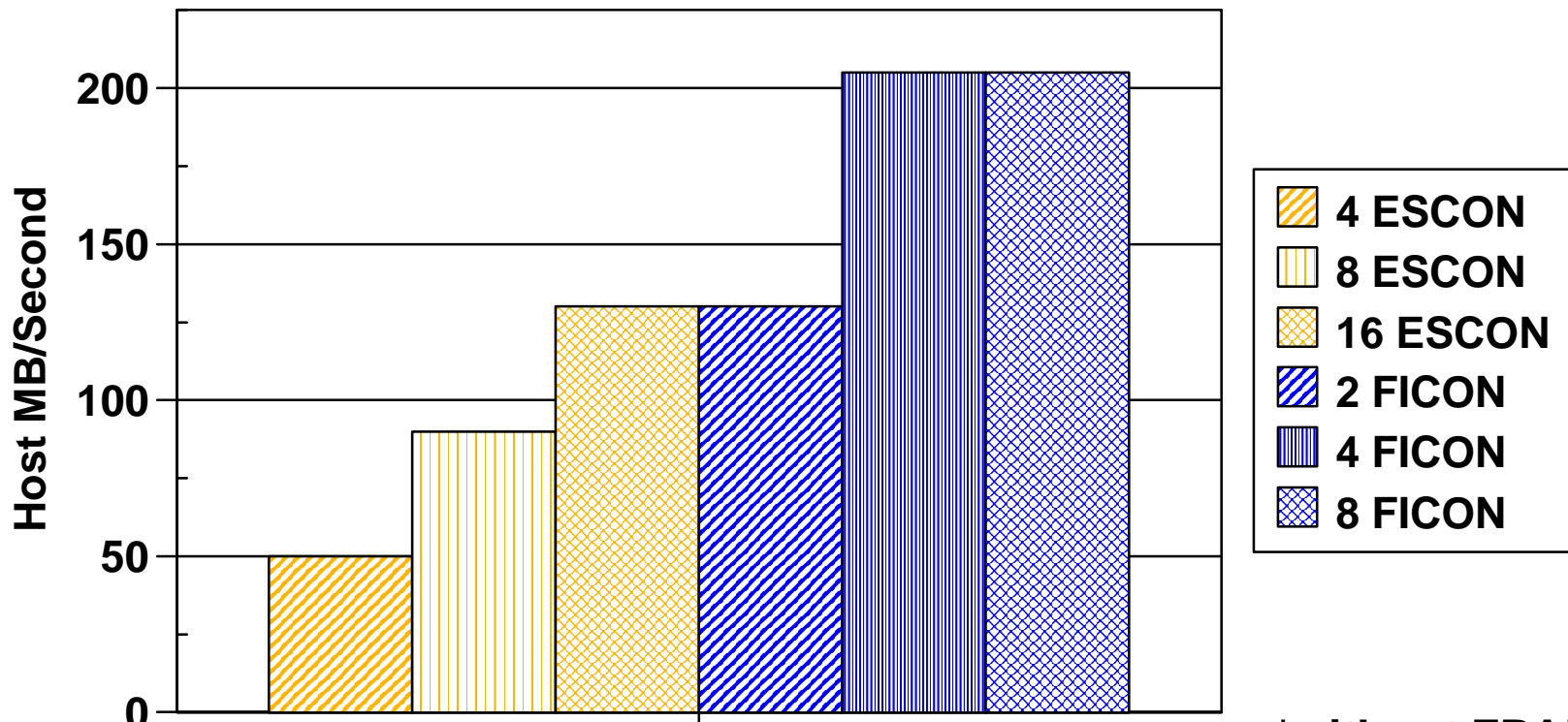
*without FPAF

Chart 14: B10 ESCON / Base FICON

Multi-job Peak Write Performance - BUFNO=5



Model B20 ESCON vs. Base* FICON Throughput 100% Host Writes - BUFNO=5

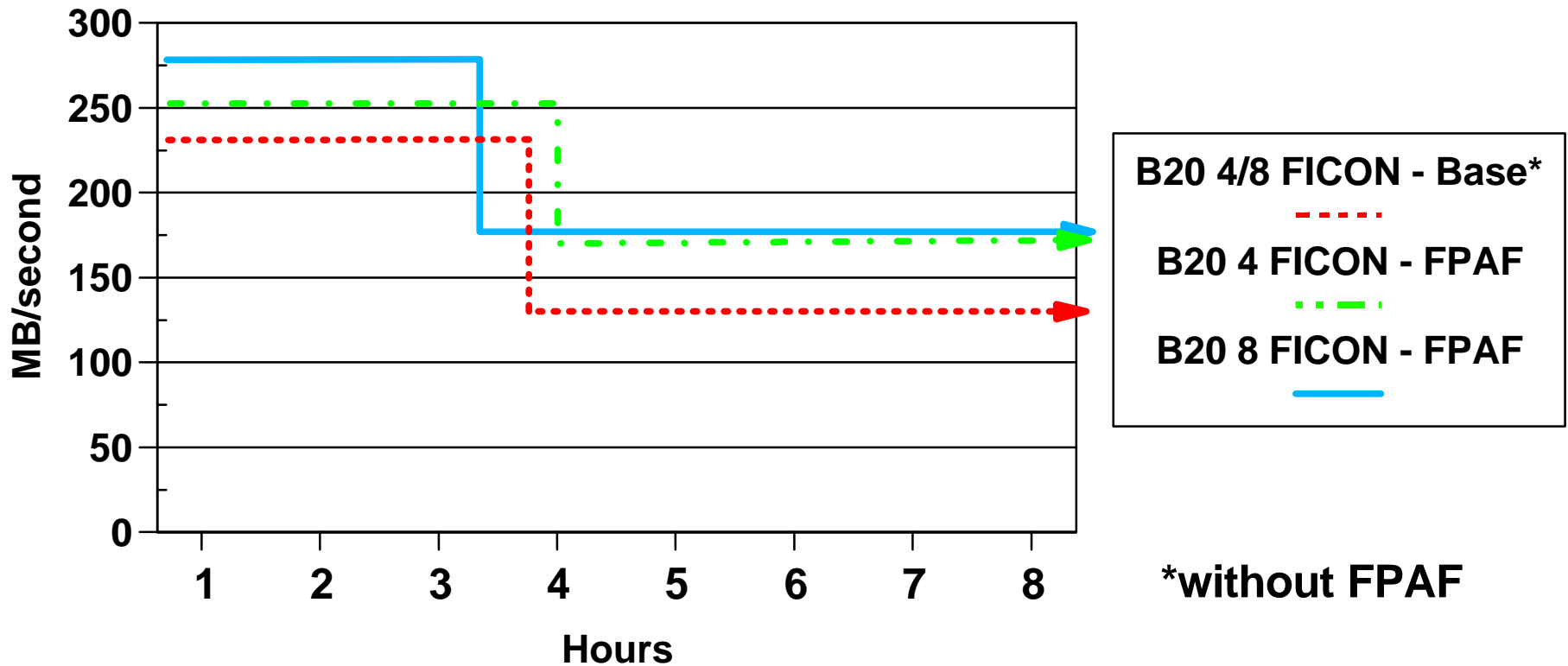


*without FPAF

Chart 15: B20 FICON Multi-job Peak Write Duration



Model B20 FICON Peak Duration 100% Host Write



*without FPAF

Chart 16: B10 FICON

Multi-job Write Performance vs. Data Compression



B10 FICON Write Data Rate vs. Compression 2 FICON Channels

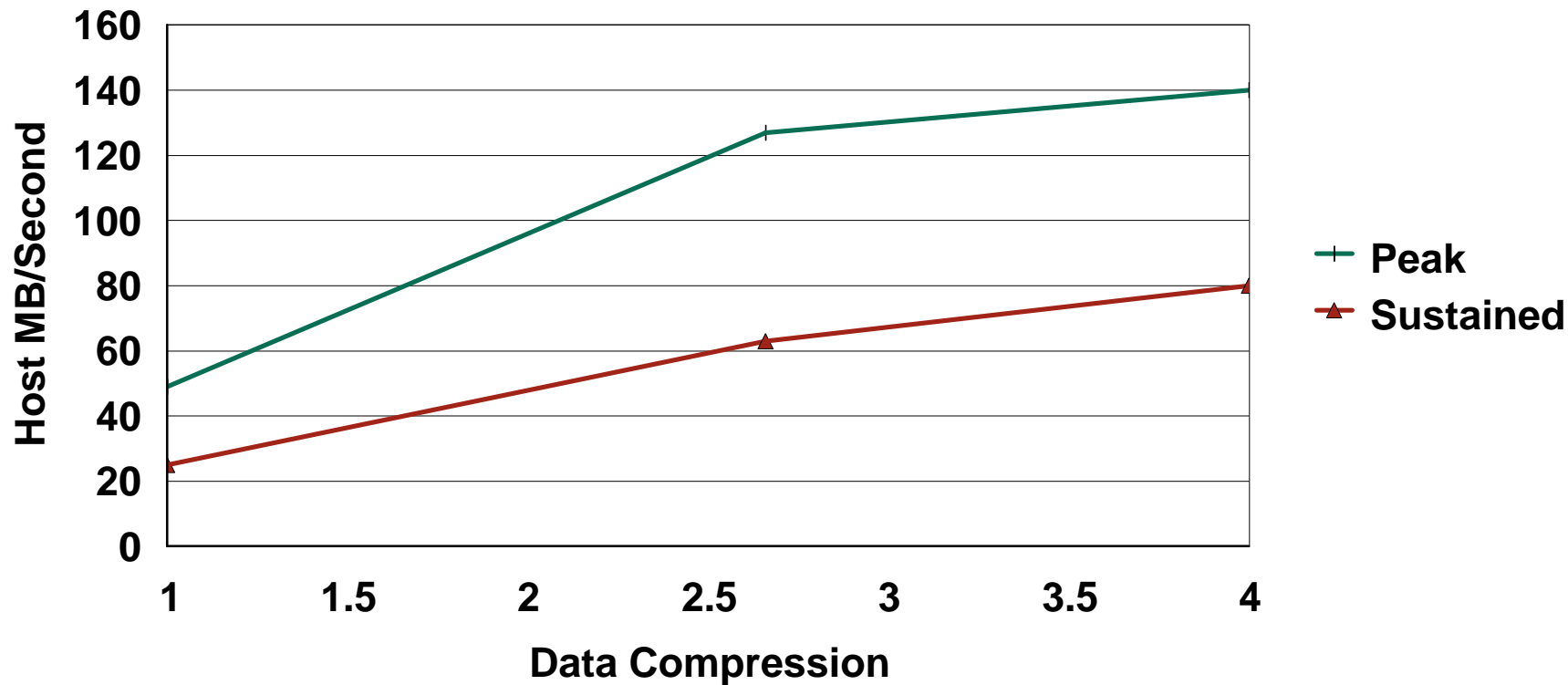
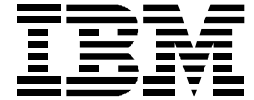
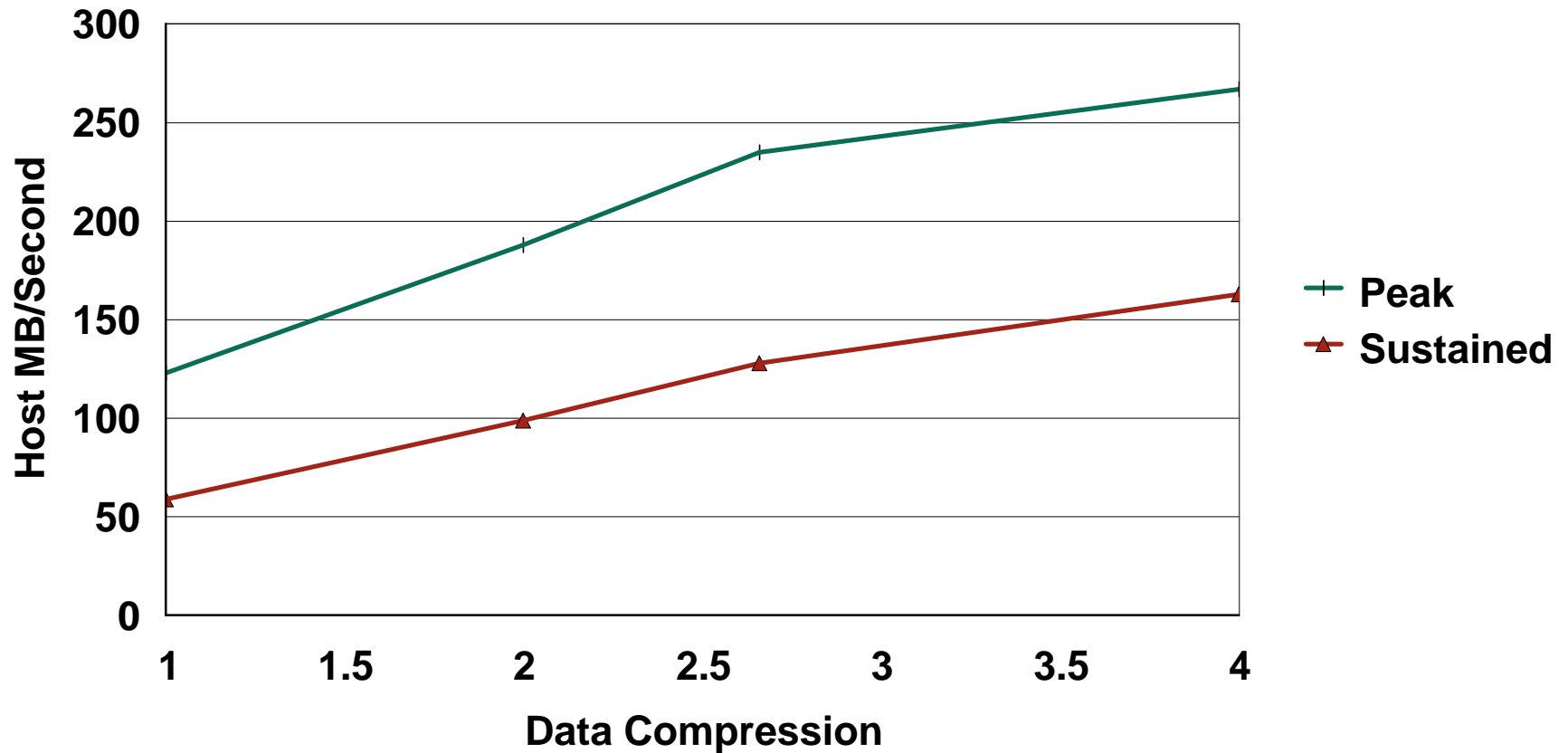


Chart 17: B20 Base FICON Multi-job Write Performance vs. Data Compression



B20 Base* FICON Write Data Rate vs. Compression



*without FPAF

Chart 18: B20 Base FICON Multi-job Write Performance vs. # Virtual Drives



B20 Base* FICON Write Data Rate vs. # Virtual Drives

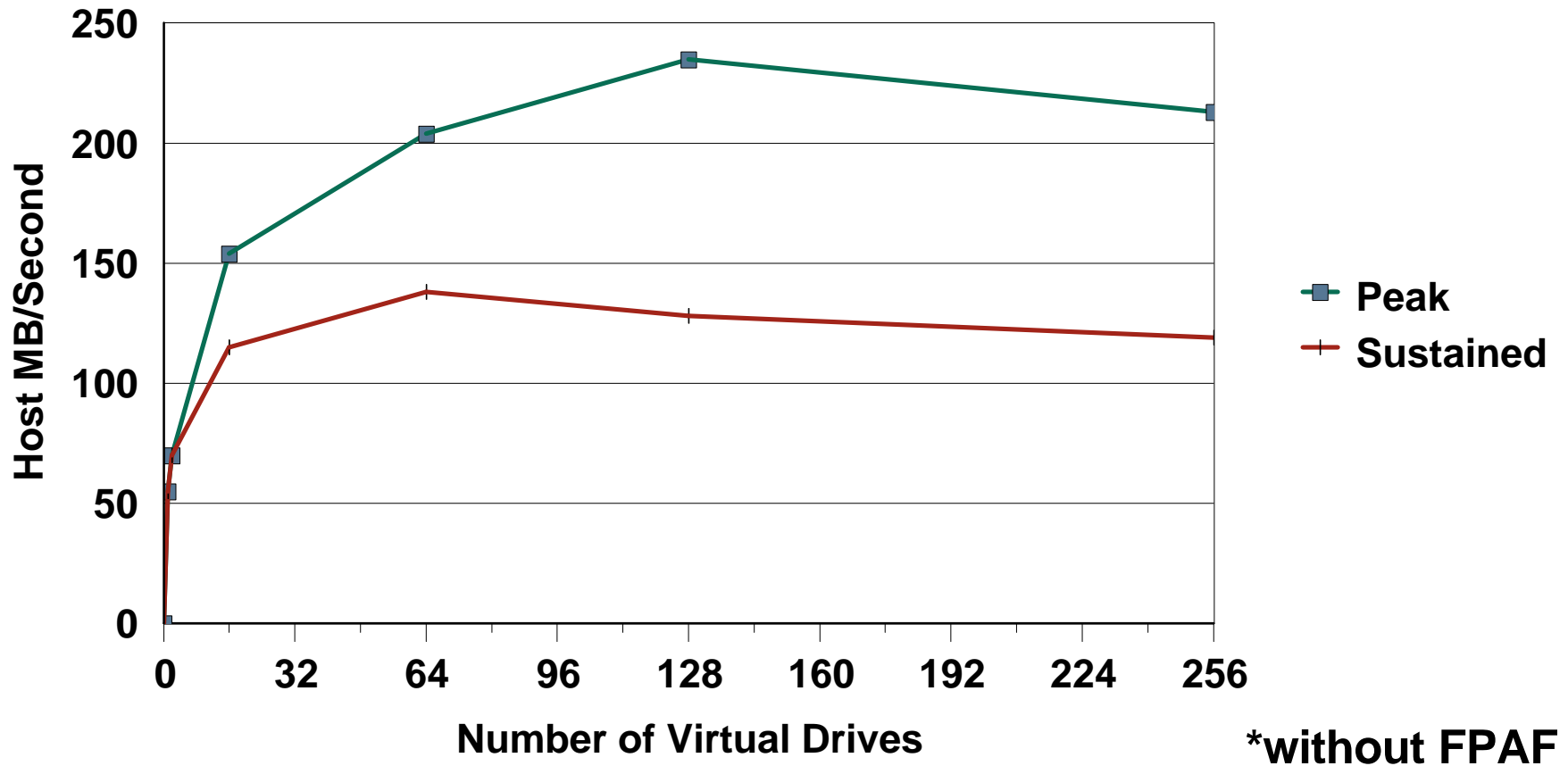


Chart 19: B20 Base FICON Multi-job Write Performance vs. Blocksize



B20 Base* FICON Write Data Rate vs. Blocksize

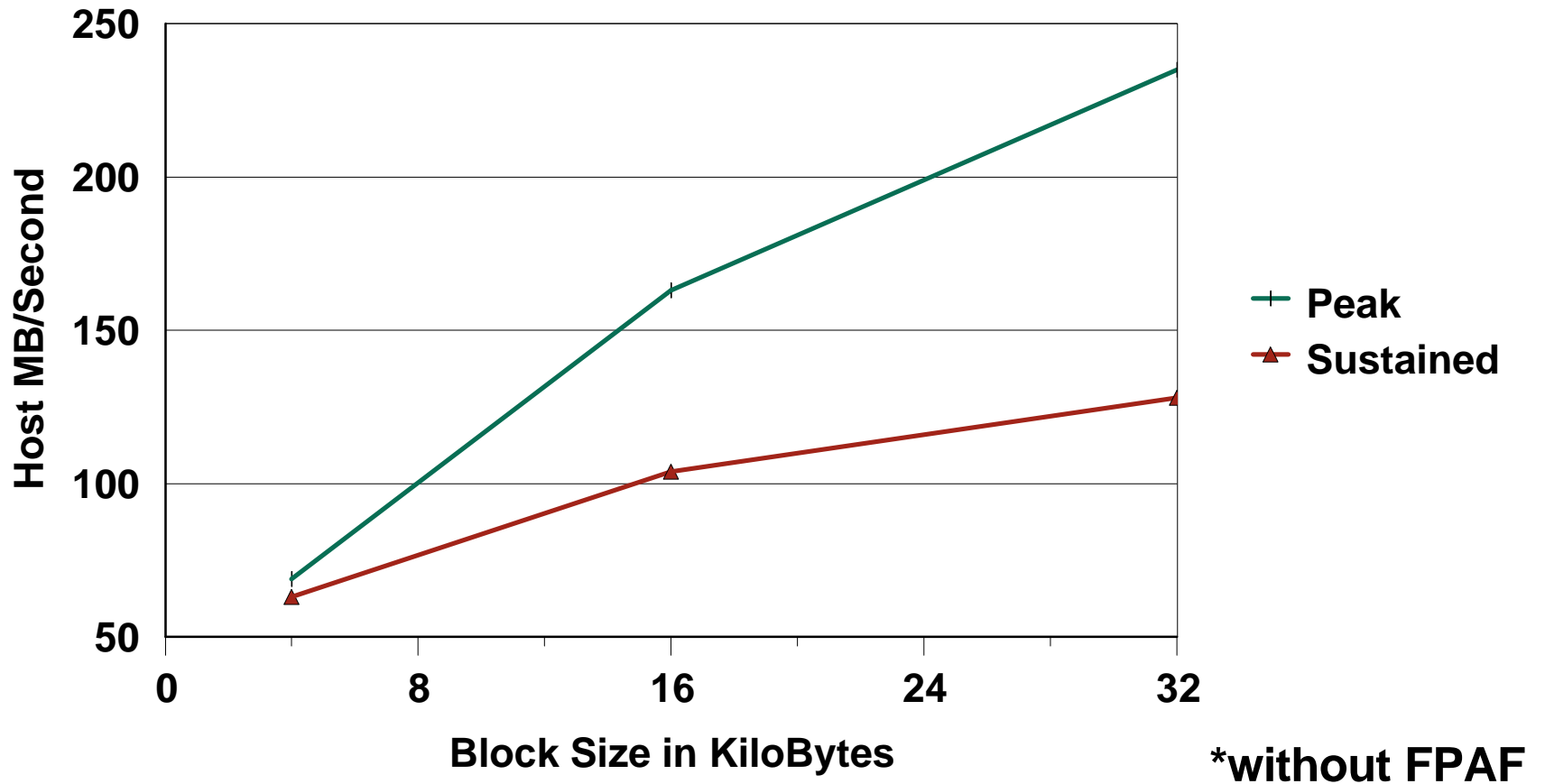


Chart 20: B20 Base FICON Multi-job Write Performance vs. Volume Size



B20 Base* FICON Write Data Rate vs. Volume Size

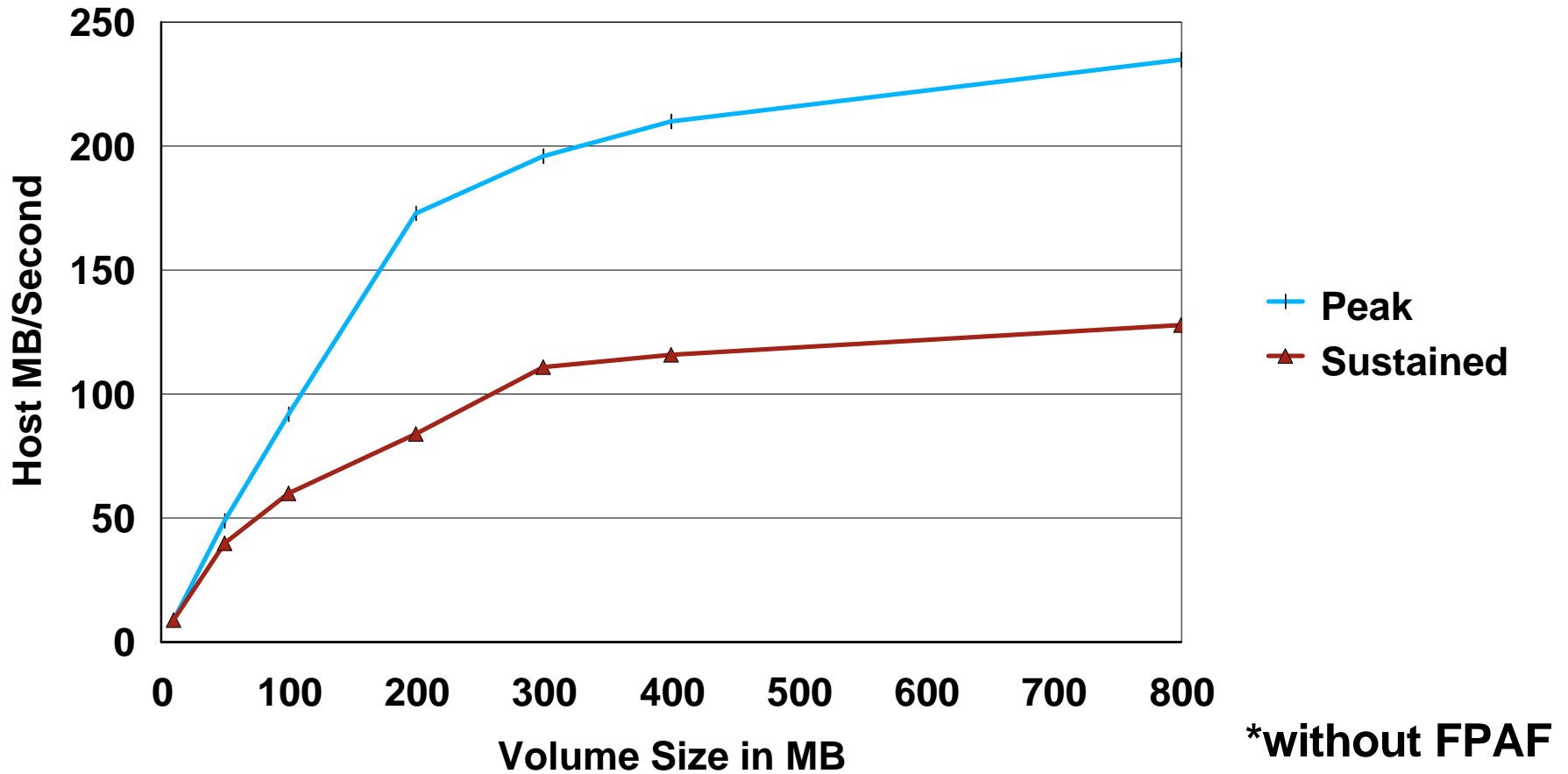
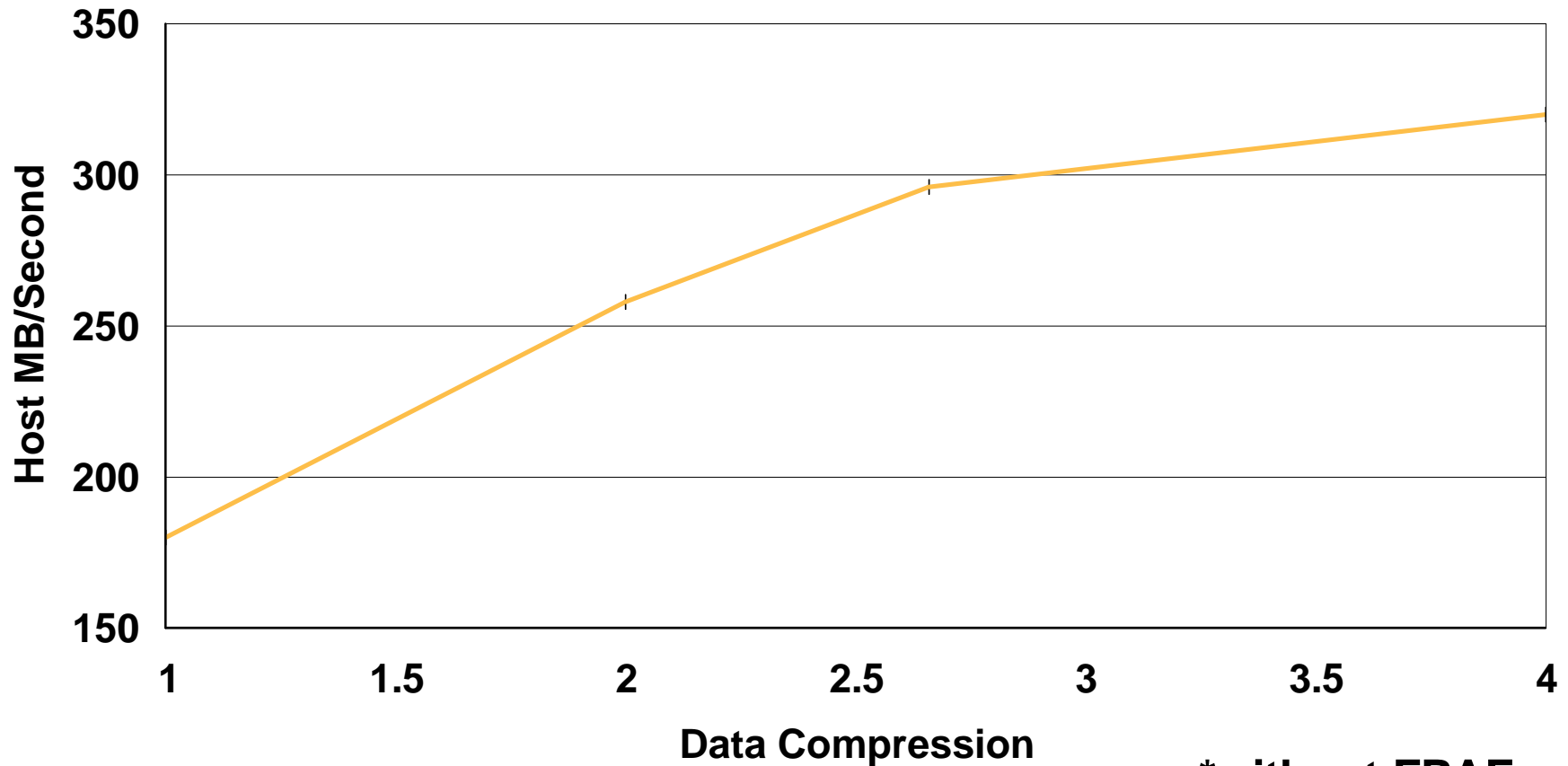


Chart 21: B20 Base FICON Multi-job Read Performance vs. Data Compression



B20 Base* FICON Read Hit Data Rate vs. Compression



*without FPAF

VTS FICON Miscellany

- Single job B20 performance should be similar to single job B10 performance.
- Benefits of large BUFNO value are greater for FICON than for ESCON.
- For more than two FICON attachments, split CHPIDs over two or more processor IOPs (at least for a G6).
- Initial measurements indicate that B10 4-FICON channel performance should be 15% - 20% higher than 2-FICON channel performance at 2.66:1 compression.
- B20 measurements in this document were made with four cache drawers (1.7 TB). Limited FICON Base measurements with a two-drawer cache show no significant performance difference with data compression of 2.66:1. However, it is expected that the high data rates shown for FICON PAF will only be achieved with four cache drawers.
- All local measurements were made using a G6 host processor. Initial measurements indicate that a Freeway processor can drive a VTS FICON channel at a 20% to 30% higher data rate than can a G6 processor. Such an increase is not expected to affect most multi-job data rates shown in this document.



VTSS Recall Considerations

Recall rates have always been a challenge to characterize, because of the potentially wide variations in performance that can occur due to both the placement of the recalled logical volumes on the stacked volumes and on the order in which the logical volumes are recalled.

To minimize the effects of volume pooling on sustained write performance, the VTSS code which supports APM implements a new algorithm that blocks several volumes together on the same stacked volume for premigration. This new algorithm is used whether or not APM is enabled or volume pooling is implemented. As a result, some customers may see a somewhat different level of recall performance with this VTSS release. An example is given on the next page of the difference this new algorithm might make in logical volume distribution over physical volumes

Basic recall throughput performance should not change for FICON vs. ESCON channels, due to the fact that the physical backend drives remain the primary limiting performance factor.



Logical Volume Distribution over Physical Volumes Before and After VTS APM Release

The following example assumes that five physical backend drives are available for premigration during the writing of 25 logical volumes. The number of logical volumes grouped on the same physical volume will vary depending on factors including volume size and workload.

Representative Logical Volume Distribution Prior to VTS APM Release

PV1	LV1	LV6	LV11	LV16	LV21
PV2	LV2	LV7	LV12	LV17	LV22
PV3	LV3	LV8	LV13	LV18	LV19
PV4	LV4	LV9	LV14	LV19	LV20
PV5	LV5	LV10	LV15	LV20	LV21

Representative Logical Volume Distribution After VTS APM Release

PV1	LV1	LV2	LV3	LV4	LV5
PV2	LV6	LV7	LV8	LV9	LV10
PV3	LV11	LV12	LV13	LV14	LV15
PV4	LV16	LV17	LV18	LV19	LV20
PV5	LV21	LV22	LV23	LV24	LV25



VTS FICON Performance at Distance

- Distance measurements were made on a model B20 using a pair of IBM 2029 Fibre Savers, driven from a Freeway host processor.
- FICON supports distances of up to 100 kilometers, vs. 50 kilometers maximum for ESCON.
- Single-job performance
 - Less relative data rate loss (droop) than for ESCON at the same distance.
 - The larger the block size the less the droop, with 256K blocks showing almost no droop for FICON at 100 KM.
 - Single-job distance droop will be the same for Base and PAF FICON.
- Multi-job performance
 - 32K blocks and greater show negligible droop for up to 100 KM.

Chart 22: FICON

Single-job Write Performance vs. Distance vs. Blocksize



IBM 2029 FICON

Single Job Writing - 4/16/32K Blocks - EXCP BUFNO=3*

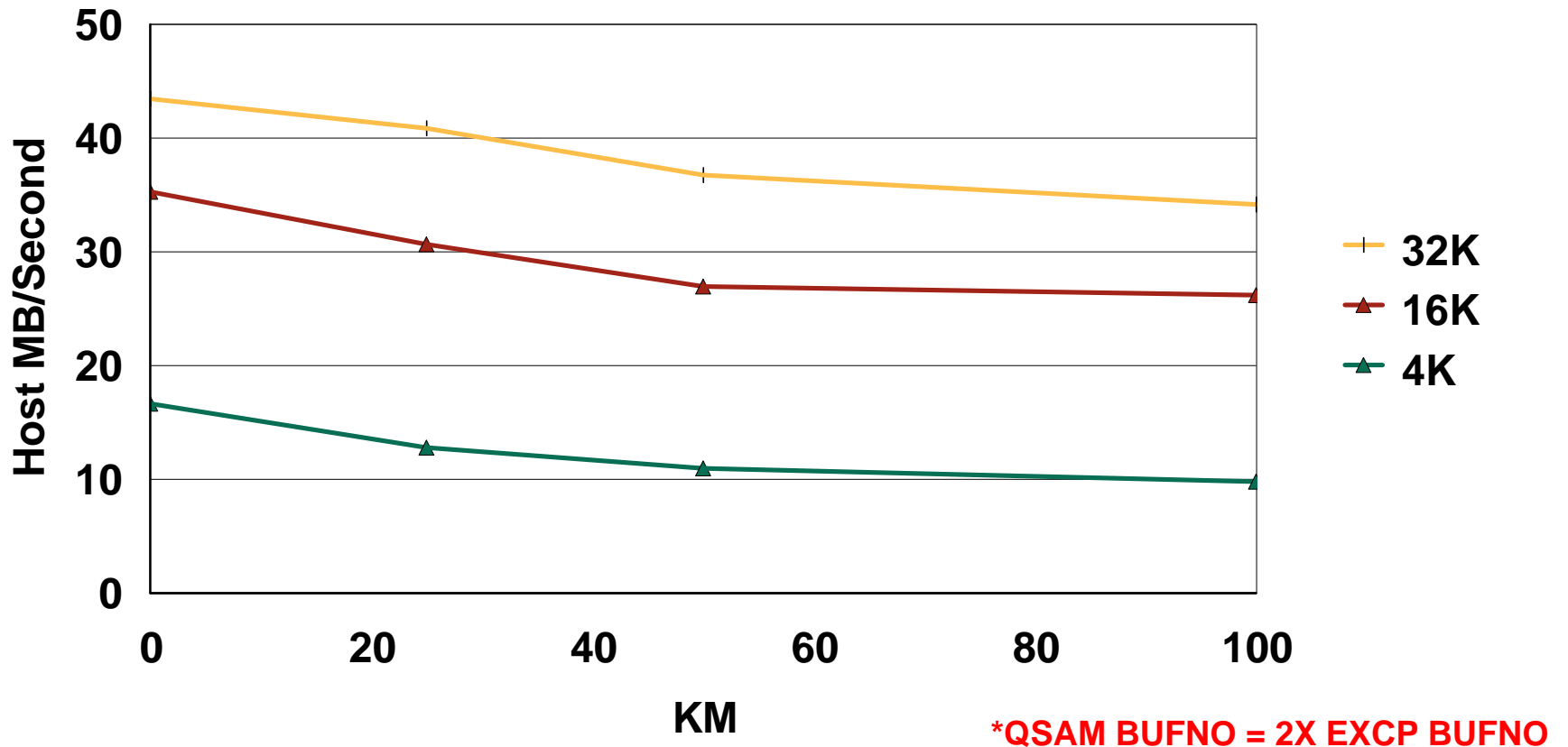


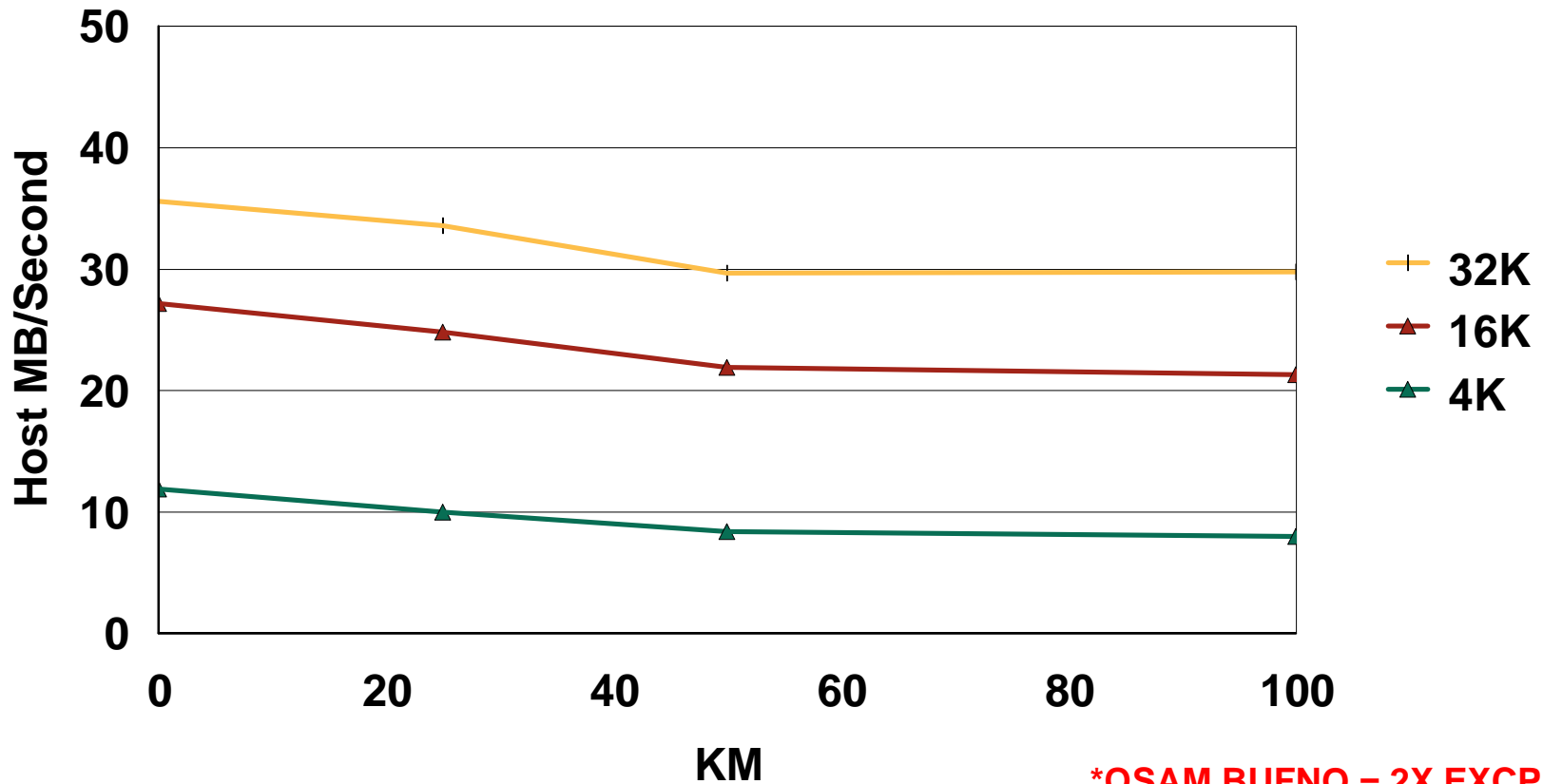
Chart 23: FICON

Single-job Read Performance vs. Distance Vs. Blocksize



IBM 2029 FICON

Single Job Reading - 4/16/32K Blocks - EXCP BUFNO=3*



*QSAM BUFNO = 2X EXCP BUFNO

Chart 24: ESCON / FICON

Single-job Write Performance vs. Distance



IBM 2029 ESCON Vs. FICON

Single Job Writing - 32K Blocks - EXCP BUFNO=3*

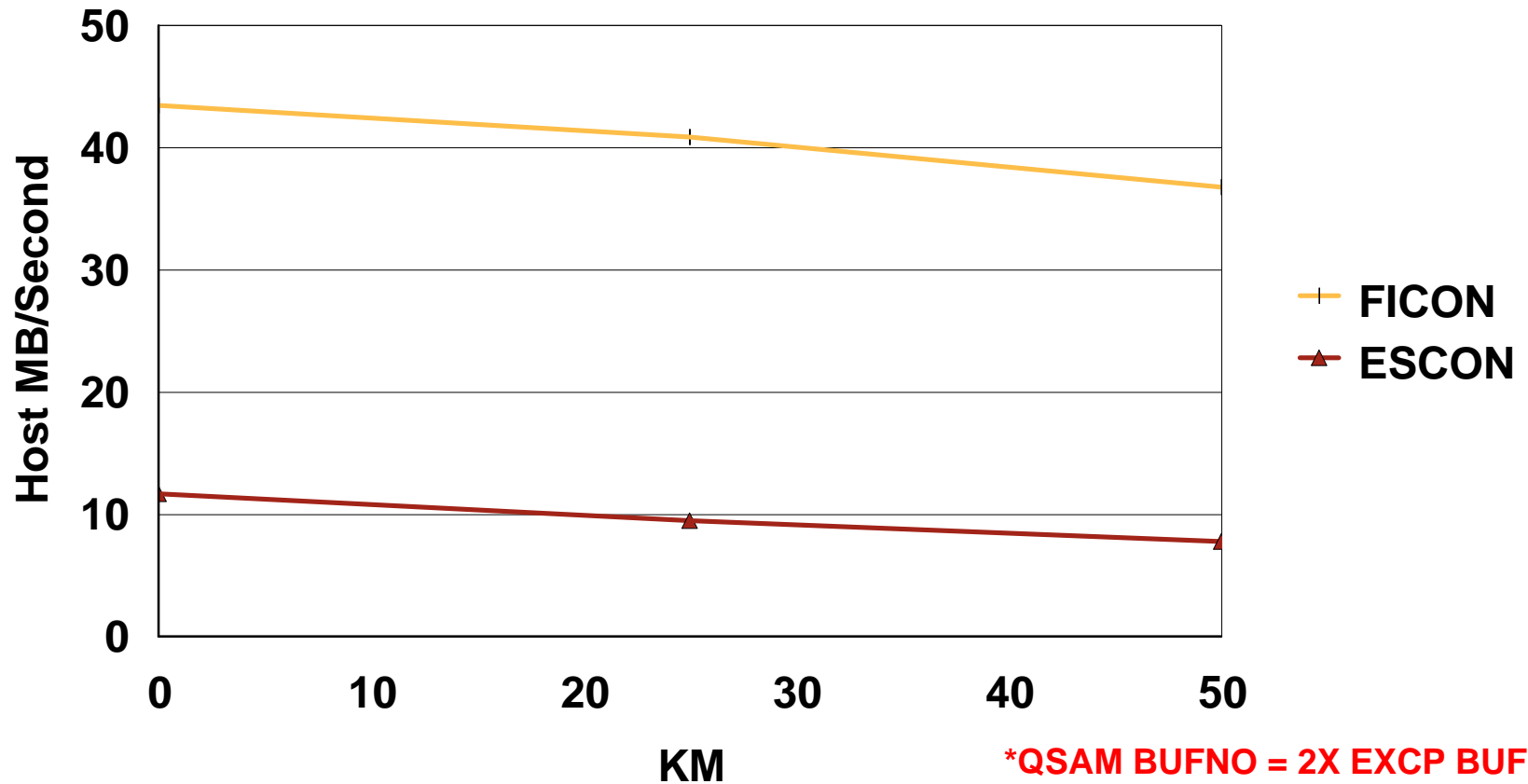


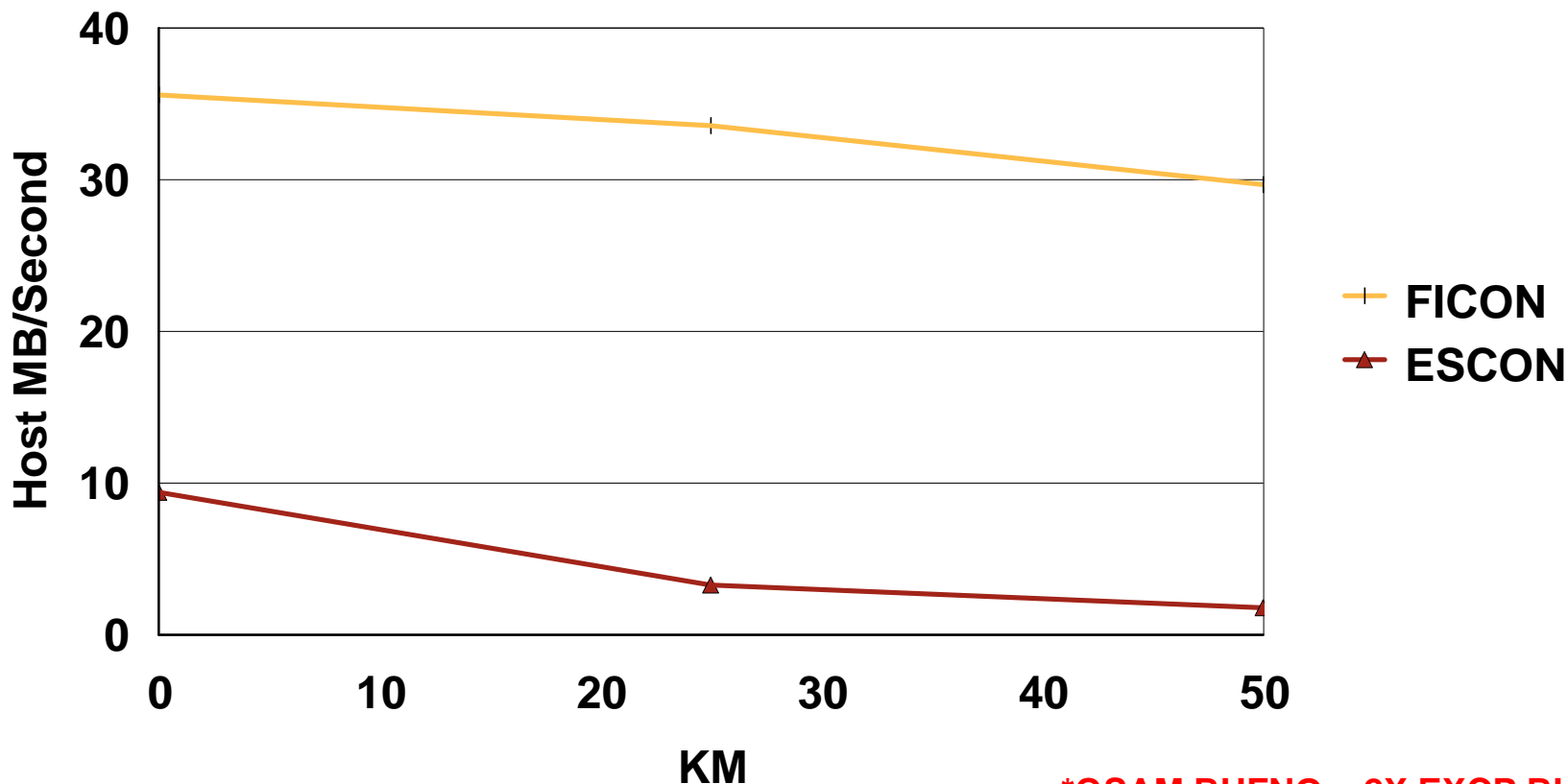
Chart 25: ESCON / FICON

Single-job Read Performance vs. Distance



IBM 2029 ESCON Vs. FICON

Single Job Reading - 32K Blocks - EXCP BUFNO=3*



*QSAM BUFNO = 2X EXCP BUFNO

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Advanced Policy Management
Performance Overview

Advanced Policy Management Overview

- Enables customer control of data management and placement in a VTS
 - Similar to existing DASD data management and placement controls
 - Extension to DFSMS storage constructs and automatic class section routines
- Combination of software and hardware functions
 - SPE to existing DFSMS/MVS system support levels
 - New levels of VTS and Library Manager LIC
- Activated via Feature Code
 - Feature Code 4001, Advanced Policy Management
 - Upgrade from Feature Code 4000, Advanced Functions
 - Enabled by customer through the Library Manager's controls

Advanced Policy Management Functions

- **Physical Volume Pooling**
 - Associates logical volumes with a set of physical volumes
 - Controlled through the Storage Group construct

- **Selective Dual Copy**
 - Creates two copies of a logical volume on different physical volume pools
 - Controlled through the Management Class construct

- **Tape Volume Cache Management**
 - Control of which logical volumes have preference to be kept in cache
 - Controlled through the Storage Class construct

- **PtP Copy Mode Control**
 - Control of how the copy of a logical volume between VTSs is performed
 - Controlled through the Management Class construct

APM Functions Performance Measurements

- Neither volume pooling nor selective dual copy result in a decrease in peak data rate.
- For pooling:
 - With just one pool there is no reduction in sustained data rate.
 - With a number of pools less than the number of physical drives (per Bxx for a PtP), there may be up to a 10% reduction in sustained data rate.
 - With a number of pools equal to or greater than the number of physical drives, there may be up to a 15% reduction in sustained data rate.
- For dual copy:
 - With 100% dual copy there may be up to a 50% reduction in sustained data rate.

Performance Benefits of Volume Pooling for Export Operations



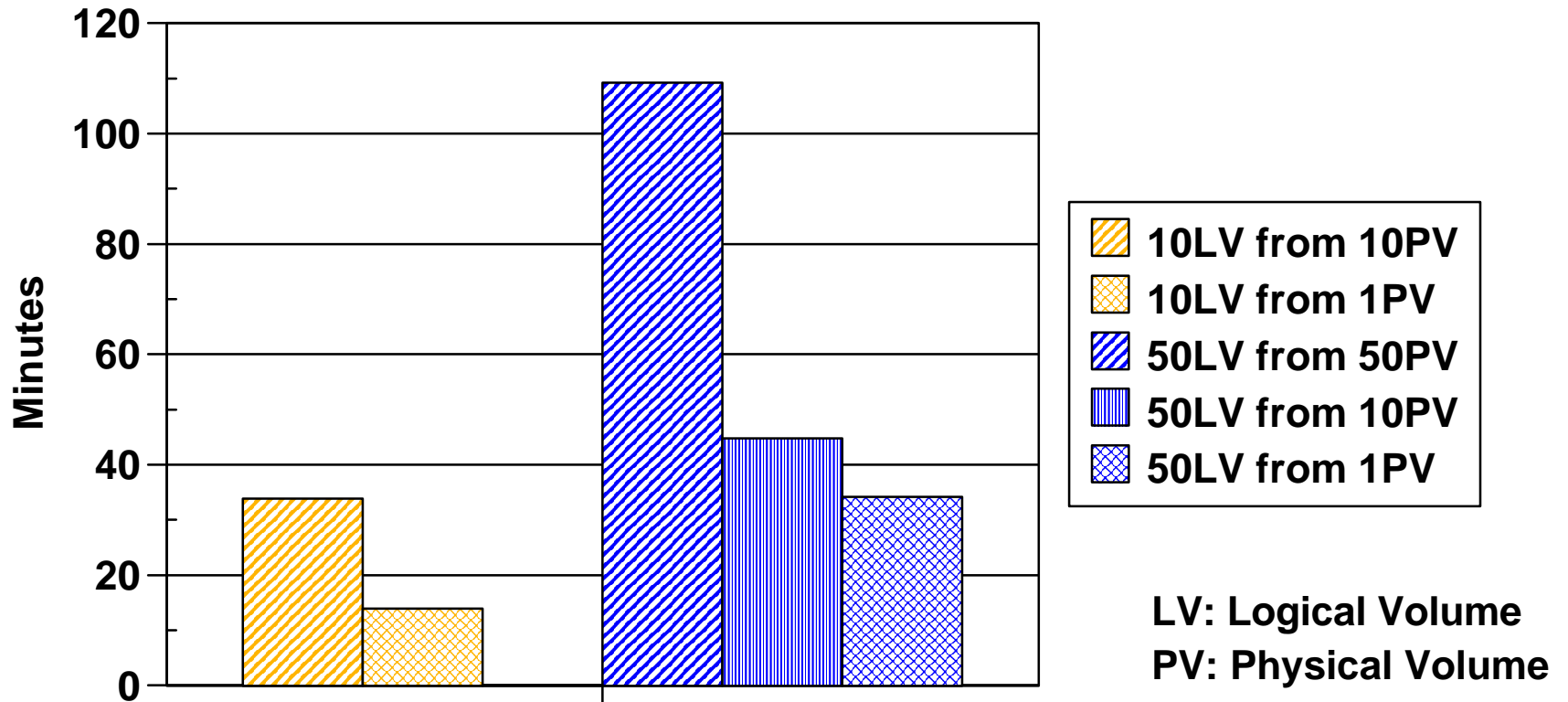
- Physical volume pooling can reduce logical volume export time by directing logical volumes to be exported to the same physical volume(s).
- The following chart shows measured export times for various combinations of logical and physical volumes:
 - Export 10 logical volumes from 10 physical volumes
 - Export 10 logical volumes from one physical volume

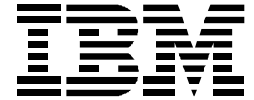
 - Export 50 logical volumes from 50 physical volumes
 - Export 50 logical volumes from 10 physical volumes
 - Export 50 logical volumes from one physical volume
- These measurements were made from a host G6 processor on a model B20 VTS with 12 backend drives. Each volume was 800MB prior to 2.66:1 data compression. Physical cartridges were "J" cartridges.

Chart 26: B20 Export Times



Model B20 Export Times 800 MB Volumes at 2.66:1 Data Compression





Logical Mount Performance Improvement

- This VTS release increases the maximum logical mount rate by 30%, to over 3,000 logical mounts per hour for a 3494 library with the newest Library Manager PC. The current version of this PC has been shipping with new 3494s since mid-December, 2000, and is available as an upgrade for older 3494s.
- Fast ready and read-hit mount times will accordingly also be reduced, with the amount of reduction depending on the rate and pattern of the logical mount requests.

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