

#### Communications Server z/OS V1R5 and V1R6 Technical Update

# FTP Client API on z/OS®







# **FTP Client Application Programming Interface**



#### z/OS FTP Client programming interface for improved automation and integration of z/OS file ( transfers in z/OS V1R6



Provides an interface that allows an application to programatically invoke the FTP client on z/OS from common environments (unix shell, TSO, or MVS batch job)

- Characteristics of the interface:
  - -z/OS V1R6 provides a callable interface to be used from Assembler, Cobol, PL/I (or any z/OS supported programming language that supports a call interface) plans to add C and REXX APIs in z/OS V1R7
  - Interface is reentrant and does support multiple parallel FTP client sessions by tasks within an address space
  - For communication between the program and the interface, a simple set of commands and data areas are used. (Mappings for common programming languages are provided)
  - Both blocking (wait for a response), and non-blocking (polling-mode) calls are supported
  - In non-blocking mode, progress replies can be returned to the calling application as the transfer progresses
  - The simple commands tell the interface what to do, for example: initialize, terminate, execute an FTP client command, process output from the FTP client command that was executed, poll for command completion.
  - Results are returned as structured fields in communication area control blocks (return codes from interface and server replies or possibly local command) along with free-format replies from the FTP client code

Debugging options are provided stdin Between each command 1 Initialize 2 open hostnamex **FTP** stdout "normal" to the FTP client interface. 3 user userxyz client z/OS the application program 4 pass ???? stderr API FTP can analyze results from 5 cd /etc stub client the previous command 6 get inetd.conf and act based on those 7 quit results. **Application program** 

# Why do we need an FTP client programming interface?



- Customers utilize the z/OS FTP Client and Server in highly critical applications to transform and transfer data between hosts and platforms. The z/OS FTP Client handles requests and drives the Server in performing these tasks.
- The z/OS FTP Client can be invoked in several environments and accepts subcommands either entered by an interactive user or contained in a pre-built script
- An interactive user can evaluate request results immediately and decide whether and how to proceed - however, as an "application", an interactive user is slow and costly
   Large-scale applications generally use a pre-built script, which limits options for conditional execution
- Currently, an application using a script must choose whether to exit at the first error in an eligible subcommand or to ignore all errors and continue processing subcommands
- This limitation requires minute differentiation of tasks within separate steps to enable granular conditional execution
- z/OS V1R6 introduces a new interface to the z/OS FTP Client that allows customers to automate and manage not only routine tasks, but also mutable and exceptional events in the Client and Server, in an informed and directed fashion

## FTP client programming interface - introduction



- The FTP Callable Application Programming Interface addresses these requirements and includes the following features
  - -Uses a standard call interface
  - ► Is reentrant and reusable
  - Does not establish a new enclave within the application run unit
  - Permits the use of multiple instances of the interface by one program
  - Polls the Client until a subcommand completes (wait mode) or returns to the application to enable multitasking (no-wait mode)
  - Allows the application to poll the client for status of a subcommand
  - -Returns results of the request and collects the output that was generated by the z/OS FTP Client
- Information returned by the FTP Callable API
  - Results from the interface
    - -Overall result code (also returned in the return code register)
    - -Status code
    - -Interface error code
    - -Interface service error return and reason codes
  - Results from the z/OS FTP Client and Server
    - -Client error code
    - -Last Server reply code received
    - -Subcommand code
  - Statistics about any output generated by the request
  - Not all results are applicable for any given request

## FTP client programming interface - introduction



- Elements of the FTP Callable API
  - The application program (or driver) that sends requests to the interface and interrogates the results
  - An FTP Callable API control block (FCAI) to define each instance of the interface
  - The FTP Callable API stub program (EZAFTPKS) link edited with the application or loaded dynamically and reused
  - The FTP Callable API request handler (EZAFTPKI) loaded by the stub program and reused
  - An FTP Client child process for each instance of the interface, which executes in the same or new address space
  - An interface buffer for each instance that contains the results from the z/OS FTP Client for the preceding initialization or subcommand request

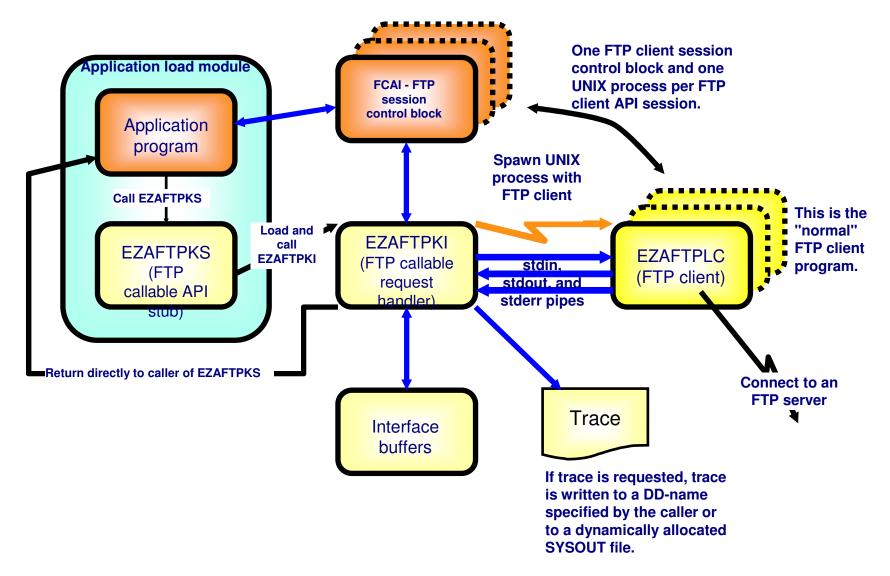
## FTP client programming interface - introduction



- Requirements for using the FTP Callable API
  - Interface programs must be able to access storage acquired by the application, which includes FCAI control block(s) and optional buffer(s)
  - -All requests with the same FCAI must execute under the same TCB
  - Standard CALL interface with samples for COBOL, PL/I and Assembler
  - The only addressing mode currently supported is 31-bit (AMODE 31). The application program can reside below the line (RMODE 24).
  - -OMVS segment defined or defaulted for the application
  - The API has no signal handlers and raises no explicit signals
  - The application can catch an implicit SIGCHILD when the Client ends
  - So that the interface can trap certain ABENDs, specify TRAP(ON,NOSPIE) to disable invocation of the ESPIE macro when the application program executes within an LE enclave. For example, specify the following execution parameter for a COBOL application program: PARM='/ TRAP(ON,NOSPIE)'
    - -For instructions on specifying run-time options and parameters for LE languages, refer to "Using Run-Time Options" in z/OS Language Environment Programming Guide.
  - EZAFTPKS (the interface stub program) must be linked edited with the user program or loaded dynamically at execution
    - -Shipped in CSSLIB
    - -Minimal function to ensure upward compatibility
  - EZAFTPKI (the interface request handler module) must be available from the linklist or in the STEPLIB/JOBLIB for the application program

Structure of the FTP client API implementation







➢In library hlq.SEZACMAC

EZAFTPKA Assembler version of FCAI\_Map

► In library hlq.SEZANMAC

•EZAFTPKC Enterprise COBOL copy member for FCAI-Map

•EZAFTPKP PL/I include member for FCAI\_Map

#### ➢In library hlq.SEZAINST

- •EZAFTPAW Sample assembler application
- EZAFTPAX Sample Enterprise COBOL application
- •EZAFTPAY Sample PL/I application

The application program communicates with the interface by passing parameters on each call and by using the FCAI control block, which it must acquire in primary space prior to initialization

The definitions for the FCAI include equates, constants, or level-88 names for setting and interpreting FCAI fields

>The FCAI must persist for the life of the instance of use of the interface

The IP Programmer's Reference contains detailed information on the FCAI fields, how to set and interpret them, and tips on diagnosing error conditions

FCAI - Assembler layout - part 1 of 4



*			7
*	FTP Call	able Application Interface (FCAI) control block	4
*			7
*	Each fie	ld in the mapping is marked with one of the	4
*	followin	g:	7
*	: I	Input field that is set by the user program	4
*	:I*	Input field that is set by the user program and	4
*		is further defined by equated values (see	4
*		the values at the end of the mapping)	4
*	:0	Output field that is set by the interface	4
*	:0*	Output field that is set by the interface and	4
*		is further defined by equated values (see	7
*		the values at the end of the mapping)	4
*	: R	Reserved for use by the interface	4
*	: U	Application work area	7
*			4
*	Fields m	arked must be set by the user program. *	
*			7
*****	******	***************************************	* * *
FCAI_Ma	p	DSECT , map the FCAI	
FCAI_Ey	vecatcher	DS CL4 eyecatcher = 'FCAI' :I	
FCAI_Si		DS H size of FCAI area :I	
*		set to FCAI_NumInterfaceBytes	
	rsion	DS XL1 version of FCAI :I*	

FCAI - Assembler layout - part 2 of 4



* See "Program	ning not	es for the FTP Callable API" in	*
* IP Programme:	r's Refe	rence for a description of	*
FCAI_PollWait	and FC	AI_ReqTimer.	*
FCAI_PollWait	DS		ad :I
*		0 = always wait 1 second (defa	ult)
*		>0 = max progressive wait value	:
FCAI_ReqTimer	DS	XL1 Request completion timer	:1
		PT tracing function	**
		PI tracing function	
FCAI_TraceIt	DS	XL1 trace indicator	:I*
FCAI_TraceID	DS	CL3 ID used in a trace record	:1
FCAI_TraceCAPI	DS	XL1 TRACECAPI FTP.DATA statement	:0*
FCAI_TraceStatus	DS	XL1 status of the trace	:0*
FCAI_TraceSClass	DS	CL1 SYSOUT class for trace	:I
		CL8 ddname of the trace file	:0
* Interface tol			*
*			*
FCAI_Token	DS	F interface token	:0
	20	CL4 last request (for example, SCM	

FCAI - Assembler layout - part 3 of 4



Request Comple	tion Va	lues		*
See "Interpret	ing res	ults	from an interface request " in the	e *
* IP Programmer'	s Refer	ence	for more information.	*
*				*
	DS DS		start of request completion value result of last request	es ∶0*
FCAI_Result				
FCAI_Status	DS		status code for a request	:0*
FCAI_IE	DS		interface error (IE)	:0*
FCAI_CEC	DS		Client Error Code (CEC)	:0*
FCAI_ReplyCode	DS		server reply code (or 0 if none)	:0
FCAI_SCMD	DS	х	client subcommand code	:0*
	DS	XL1	reserved	:R
FCAI_ReturnCode	DS	F	return code (see FCAI_IE values	:0
*			for conditions that set this)	
FCAI_ReasonCode	DS	F	reason code (see FCAI_IE values	:0
*			for conditions that set this)	
<mark>*</mark>				*
Statistics abo	ut outp	ut i	n the interface buffer	*
<mark>*</mark>				*
CAI_NumberLines	DS	F	number of lines of output	:0
CAI_LongestLine	DS	F	size of the longest output line	:0
CAI_SizeAll	DS	F	size of all lines of output	:0
CAI_SizeMessages	DS	F	size of all message output lines	:0
FCAI_SizeReplies	DS	F	size of all reply output lines	:0
FCAI_SizeList	DS		size of all LIST or NLST output	:0
FCAI_SizeTrace	DS	F		:0



CAI_ReservedForInterface CAI_NumReservedBytes		45F reserved *-FCAI_ReservedForInterface (180)	:R
		*-FCAI_Map Total interf bytes (256)	
CAI_UserArea	DS	OC User work area	: U

## Call syntax



- The FTP Callable API is invoked by calling EZAFTPKS -- the interface stub program -- from the application program
- The calling program must obtain storage for an FCAI and initialize selected fields in the FCAI before the first call to EZAFTPKS

Storage for the FCAI can be static storage in the calling CSECT or it can be acquired dynamically via STORAGE Obtain or by other means.

EZAFTPKS call format for COBOL programs
 CALL 'EZAFTPKS' USING FCAI-Map, request\_type, parm1, parm2, ...

EZAFTPKS call format for assembler programs
 CALL EZAFTPKS,(FCAI\_Map, request\_type, parm1, parm2, ... ),VL

EZAFTPKS call format for PL/I programs
 CALL EZAFTPKS (FCAI Map, request type, parm1, parm2, ...);

#### ≻Call types:

- ►INIT Initialize an FTP client session
- TERM Terminate an FTP client session
- SCMD Submit an FTP client command (such as: open, user, get, put, locsite, etc.)
- -GETL Retrieve output lines from previous SCMD command interaction
- -POLL Query status of asynchronous SCMD command

# Format of data returned over the API to calling application



Columns	Description	Contents		
1	Line type	'M' - message 'R' - reply 'L' - LIST/NLST 'T' - Client trace		
2-3	Length of following text	0 to 2400		
4-n (unless length is 0)	Text of line	Any		

	0005		07.0	0 1 4 10	0					
M	0025	>>> PORT 1	27,0,	0,1,4,10	9					
R	0020	200 Port r	eques	st OK.						
М	0009	>>> LIST								
R	0019	125 List s	tarte	ed OK						
L	0010	total 3960								
L	0067	-rw-r	1	IBMUSER	SYS1	855	Jan	28	2002	CACertRaw.b64
L	0066	-rw-r	1	IBMUSER	SYS1	8192	Jun	30	17:42	Document.txt
L	0066	dr-xrr	2	IBMUSER	SYS1	8192	Nov	12	2001	Nov2001_cert
L	0064	-rw-r	1	IBMUSER	SYS1	1230	Jun	30	16:31	Readme.txt
L	0069	-rw-r	1	IBMUSER	SYS1	21	Sep	4	2001	zos_ebcdic_file
L	0069	-rw-r	1	IBMUSER	SYS1	0	Jan	22	2003	touch testfile
R	0032	250 List c	omple	eted succ	essfully					
M	0024	Command(00	-14-1	LIST-250)	:					

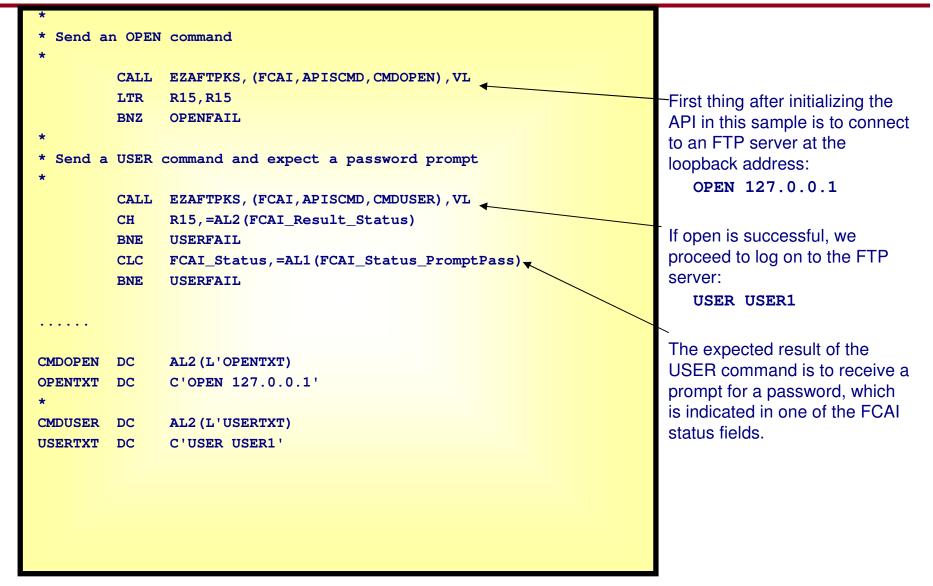
#### Sample assembler program Part 1 of 5



```
PRINT NOGEN
         EZAFTPKA
FTPAPIS1 INIT 'Sample FTP API Client program 1', RMODE=24
                                                                                 Only reason for RMODE=24 is
                                                                                 in-line QSAM DCBs (nothing to
         OPEN (SYSPRINT, (OUTPUT)), MODE=31
                                                                                 do with the FTP API).
         LA
               R10, FCAI
         USING FCAI Map, R10
                                                                                 I have the FCAI defined as part
* Initialize FCAI
                                                                                 of this CSECT.
             FCAI Eyecatcher, =CL4 'FCAI '
         MVC
                                                                                 Eyecatcher, size, and version
         MVC
             FCAI_Size, =AL2 (FCAI_NumInterfaceBytes)
                                                                                 must be initialized before
              FCAI_Version, =AL1 (FCAI_Version_Number)
         MVC
                                                                                 calling INIT.
         MVC
              FCAI_TraceIt, =AL1 (FCAI_TraceIt_Yes) 
         MVC
              FCAI_TraceSClass,=CL1'X'
               R2,2
                                    *Max wait 2 minutes
         LA
                                                                                 I enable tracing to a SYSOUT
              R2,FCAI_ReqTimer
         STC
                                                                                 file with output class=X
* Initialize the API
                                                                                 I will max wait 2 minutes when
         CALL EZAFTPKS, (FCAI, APIINIT), VL
                                                                                 doing synchronous calls to the
         LTR
               R15,R15
                                   *INIT OK ???
                                                                                 API
               INITFAIL
         BNZ
         DS
               0D
FCAI
         DC
               XL(FCAI NumInterfaceBytes) '00'
```

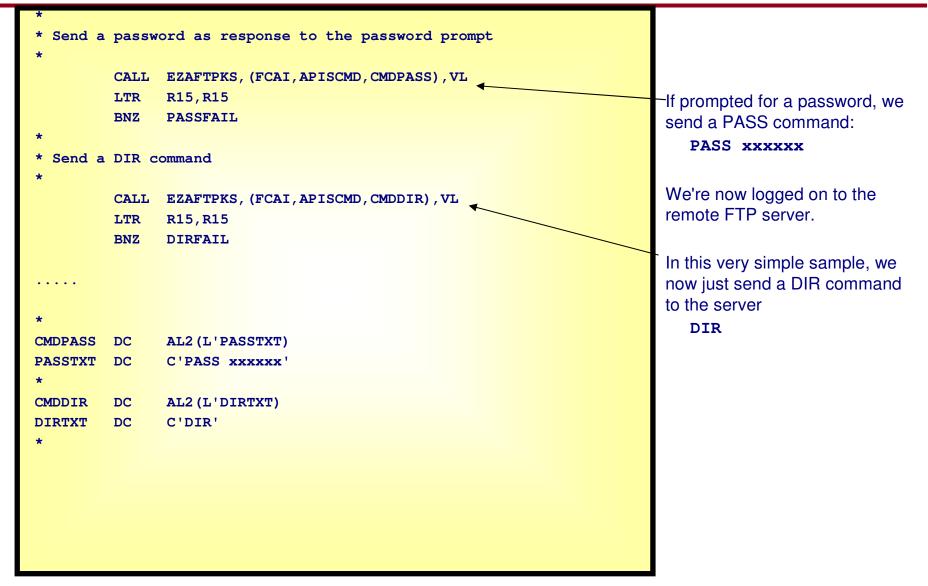
#### Sample assembler program Part 2 of 5





#### Sample assembler program Part 3 of 5





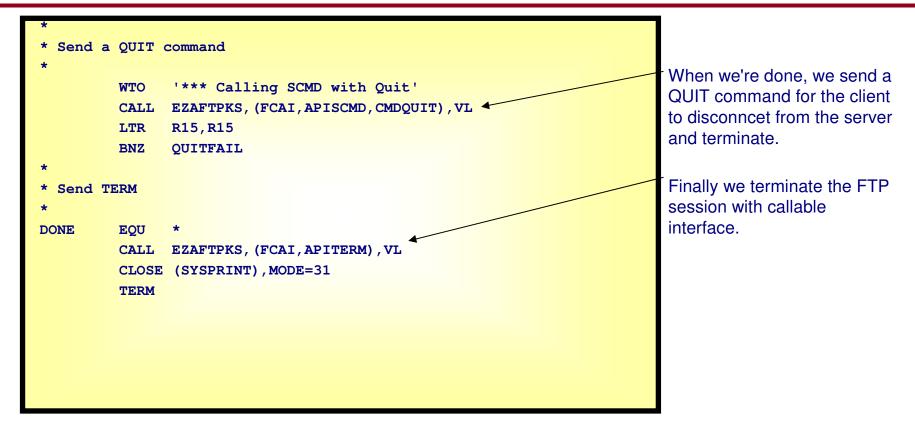
#### Sample assembler program Part 4 of 5



* Retriev * GETLNEXT *	EQU CALL LTR BZ CH BE EQU MVI MVC SR	<pre>output lines and print them to SYSPRI * EZAFTPKS, (FCAI, APIGETL, GETLFIND, GETLTYPE, GETLSEQ, GETLBUF), VL R15, R15 GETLGOOD R15, =AL2(FCAI_Result_NoMatch) GETLDONE GETLFAIL * PRTDATA, C' ' PRTDATA, C' ' PRTDATA+1(L'PRTDATA-1), PRTDATA PRTTYPE, LINEID R2, R2</pre>			In a real application, we would have analyzed the output lines from the DIR command - here we simply format them and print them to a SYSPRINT file. We retrieve the lines one by one using the GETL request type - FIND sequential selected line types (in this example: A for all). -M Message from the client. -R Reply from the server. -L List data from a
	ICM LTR	R2, B'0011', LINELEN R2, R2			DIR or LS
	BZ	LINEFAIL			subcommand.
	CVD	R2, DORD			T Trace output from
	OI	DORD+7,X'0F'			debug or dump.
	UNPK	PRTLEN, DORD			A Any type of output
	BCTR	R2,0			line.
	EX	R2, MVCLINE	GETLFIND	DC	CL4'FIND'
	PUT	SYSPRINT, PRTLINE	GETLTYPE	DC	CL1'A'
	в	GETLNEXT	GETLSEQ	DC	CL1'N'
MVCLINE	MVC	PRTDATA (*-*), LINE	GETLBUF	DC	A (BUFFER, 0, 1024)
GETLDONE	EQU	*	CHIPPL	20	

#### Sample assembler program Part 5 of 5





For more details and instructions on how to program to the callable FTP client programming interface, refer to:

IP Programmer's Reference - Chapter 12 "FTP Callable Application Programming Interface", SC31-8787

### Improved transfer progress feedback



- The z/OS FTP Client has always issued one of two messages at 10-second intervals to indicate the progress of a longrunning inbound or outbound transfer
- In support of the FTP Callable API, the content of the transfer progress messages has been enhanced to include the bytes transferred during the interval and the rates of transfer
- >The interval is now configurable or the messages can be suppressed entirely
  - An interval value of 0 suppresses the messages
  - -Otherwise, the minimum (and default) interval value is 10 seconds
- All users of the z/OS FTP Client can take advantage of these changes, whether or not they invoke the Client from the API
  - EZA1485I number bytes transferred interval second interval rate KB KB/sec Overall transfer rate KB KB/sec
  - EZA2509I number megabytes transferred interval second interval rate KB KB/sec Overall transfer rate KB KB/sec

► New LOCSITE parameter:

PROGRESS = {10 | number}

New FTP.DATA statement:

**PROGRESS** =  $\{\underline{10} \mid \text{number}\}$ 

•number - specifies the interval in seconds between progress report messages generated in the FTP client during an inbound or outbound file transfer. A value of zero turns progress reporting off in the FTP client. The default value is 10 seconds

### Operation of the FTP Client under the API Prompting



There are several places in the z/OS FTP client where the client prompts the user for a response after a subcommand is processed. For example, after the USER subcommand is processed, the client prompts for a password. The FTP Callable API minimizes the number of situations that require a prompt. This simplifies the reactions required by the user program.

> Prompt for IP address if not supplied as a start parameter on the INIT request

The FTP client prompts immediately if the IP address was not supplied. The FTP Callable API does not pass this prompt to the user program. The user program should use SCMD to send an OPEN subcommand as soon as it wants a session with the FTP server.

Prompt for userid after an OPEN subcommand

-The FTP client prompts for a userid for login after the session is set up with the server. **The FTP Callable API does not pass this prompt to the user program.** The user program should use SCMD to send a USER subcommand as soon as it wants to login with the FTP server. The user program can provide the password as well as the userid as parameters with the USER subcommand.

Prompt for password after a USER subcommand

The FTP client prompts for a password to complete a login if one was not passed with the USER subcommand. The FTP Callable API passes this prompt to the user program using
 FCAI\_Status\_PromptPass. The user program should use SCMD to send a PASS subcommand as the next subcommand. If any subcommand other than PASS is sent, the request fails with FCAI\_IE\_PassPromptErr.

Prompt for subcommand after a PROXY subcommand

- The FTP client prompts for a subcommand if PROXY is entered without a subcommand parameter. **The FTP Callable API does not support PROXY without a subcommand.** If the client receives PROXY without a subcommand the request fails with FCAI\_CEC\_PROXY\_ERR.

### Operation of the FTP Client under the API Prompting



#### Prompt for accounting information after a USER, PASS, or CD (CWD) subcommand

-Some FTP servers prompt the FTP client for accounting information after a USER, PASS, or CWD command. **The FTP Callable API passes this prompt to the user program using FCAI\_Status\_PromptAcct.** The user program should use SCMD to send an ACCT (or ACCOUNT) subcommand as the next subcommand. If any subcommand other than ACCT (or ACCOUNT) is sent, the request returns FCAI-IE-AcctPromptErr.

-Tip: When a PASS or ACCT (or ACCOUNT) subcommand is expected, the interface refuses any other SCMD request until the prompt is satisfied. The user program can issue GETL or TERM without satisfying the prompt. TERM generates a QUIT subcommand that is accepted and stops the client process.

#### Prompt for confirmation for MGET, MPUT, and MDELETE subcommands

- The FTP client prompts for confirmation for these subcommands if the prompting subcommand has toggled prompting on. (Note that this is the state in which the FTP client starts unless the "-i" start parameter is specified.) **The FTP Callable API does not pass this prompt to the user program.** The subcommand is executed as if prompting were turned off.

#### General command prompt: EZA21211 Command (*ee-ss-cccc-rrr*):

- ► ee is the 2-digit decimal client error code for the subcommand (00 if none))
- *ss* is the 2-digit decimal subcommand code (this field is blank when INIT does not cause an implicit OPEN to be performed)
- cccc is the final 4-character FTP command sent to the Server (blank if none)
- *rrr* is the numeric code from the last Server reply (blank if none)

#### Operation of the FTP Client under the API Miscellaneous



- The z/OS FTP client that is used by the FTP Callable API is described in IP User's Guide and Commands and IP Configuration Reference. The z/OS FTP client, when started with the FTP Callable API, operates essentially as it does when invoked in an interactive environment under the z/OS UNIX shell.
- When the z/OS FTP client is invoked from a batch job or from TSO, data sets and files can be allocated to DD names for use by the client. When the z/OS FTP client is spawned from the FTP Callable API, DD names associated with the application are not available to the client process. Specifically, the use of the following DD names is not supported by the FTP Callable API:
  - SYSFTPD and SYSTCPD
  - ►NETRC
  - ► INPUT (SYSIN) and OUTPUT
- Transfer of data sets by DD name is not possible in the spawned client process. If the application sends a transfer subcommand (PUT, GET, etc.) that includes //DD:ddname, the client returns FCAI\_CEC\_FILE\_ACCESS.
- When the z/OS FTP Client starts, options (parameters) are processed that affect the operation of the client. The user program uses the START-PARM parameter on the INIT request to pass its options to the FTP Callable API which passes them on to the client. All of the options defined for the z/OS FTP client are accepted when the client is started with the FTP Callable API but note the following:
  - -The "-e" and "EXIT" options are ignored by the FTP Callable API. These are intended to affect the operation of the FTP client by causing it to stop when an eligible subcommand encounters an error. In the FTP Callable API those errors are passed back to the user program as a Client Error Code so the application can process the error and decide whether and how to continue.
  - -The "-i" option to disable prompting for m\* commands has no effect on the API. m\* command prompting is always off.

#### Operation of the FTP Client under the API Miscellaneous



- When the z/OS FTP client is executed within the z/OS UNIX shell, a backslash '\' is required before the '(' that signals the start of the MVS-type parameters. Do not use the backslash when invoking the client with the FTP Callable API.
- The z/OS FTP client describes how you change local site defaults using FTP.DATA. The search order for locating the FTP.DATA configuration file for the client under the FTP Callable API is:
  - ►\$HOME/ftp.data
  - ►userid.FTP.DATA
  - ►/etc/ftp.data
  - ►SYS1.TCPPARMS(FTPDATA)
  - -tcpip\_hlq.FTP.DATA
- The IP Configuration Reference defines the FTP.DATA statements that can be used to change local site defaults for the z/OS FTP Client. One of the statements is CLIENTERRCODES which controls return code settings in the client. When the Client is started by the FTP Callable API, the value on the CLIENTERRCODES statement does not affect the reporting of results by the interface.
- When the z/OS FTP Server prompts for a password or accounting data, whatever is entered next from the Client is used to satisfy the prompt. Under the FTP Callable API, the application cannot issue an SCMD request other than the one expected, but it does have the option to issue GETL or TERM. If the request is TERM, the interface generates a QUIT subcommand which is accepted, terminates the connection with the Server, and stops the Client process.

# Modernized DBCS/MBCS support in FTP



# Enhanced DBCS and MBCS codepage support in FTP



- Enhance Multi-Byte Character Set (MBCS) primarily support for Asian languages
- Current FTP support for Double Byte Character Set (DBCS) is based on an imbedded support in
- TCP/IP for selected conversions and is not ready for the latest z/OS character conversion technology
- z/OS V1R4 provides MBCS encoding support only for Chinese code standard GB18030
- z/OS V1R6 enhances MBCS to include the DBCS

code pages currently supported by the existing old imbedded support

- •Some conversion parameters are not supported with the new method (they aren't standard)
- The new support is based on use of the standard FTP protocol (type ASCII) and use of SITE commands that are compatible with single byte (SBCS) conversion:
  - •ENCODING SBCS/MBCS and
  - •SB/MBDATACONN=(file\_system\_code\_page, network\_code\_page)
- >Original codepage support for DBCS using LOADDBCSTABLES is still supported, but we recommend moving to the new support if at all possible
- >Objective is to make FTP independent of any specific code page as long as the underlying
- z/OS conversion supports a code page conversion so will FTP
- Currently FTP uses iconv() conversion services, but will eventually move to the Unicode Conversion Services



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