



# DB2 for z/OS Best Practices

## DB2 10 for z/OS Migration Planning and Very Early Experiences

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## Performance and Scalability ...

- Accounting Trace Class 3 enhancement – separate counters
  - IRLM Lock/Latch waits
  - DB2 Latch waits
- Data sharing
  - Faster DB2 shut down by avoiding local buffer pool scan per GBP-dependent object
  - Avoiding scan of XXXL local Buffer pool when
    - Pageset/partition transitions into GBP-dependency
    - Pageset/partition transitions out of GBP-dependency
- Inline LOBs work very well
  - Potential for significant CPU and elapsed time improvement with the right inline value
  - Trade off in setting the right inline value
    - Avoiding access to auxiliary tablespace
    - Increasing base row size with fewer rows per page
    - May have to increased page size
  - Inline portion can be compressed
  - Significant space with small LOBs (<1000 bytes)



## Performance and Scalability ...

- Compress on INSERT
  - Compression ratios almost as good compared with running REORG later
- Active log writes
  - Prior to V10, log writes are done serially when re-writing partial CIs
  - Determined that destructive writes due to IO errors no longer occur
  - Now all log write IOs are done in parallel
  - Elapsed time improvements
- Limited value of Currently Committed locking semantics
  - SQL UPDATE not supported



## Performance and Scalability ...

- Hash access vs. Index only access
  - Competes against index only access
    - Advantage that index only access still provides for clustered data access
    - Can now have unique index with INCLUDE columns
      - Reduce number of indexes required for performance reasons
      - Improve insert, update and delete performance
  - Need to find the sweet spot
    - High NLEVELS in index ( $\geq 3$ )
    - Purely direct row access by primary key
    - Truly random access
    - Read intensive, not volatile
    - No range queries
    - Many rows per page etc
  - Space allocation of fixed hash space is key to control overflow
    - Too small will lead to rows in overflow
    - Too large will lead to random IO
    - REORG AUTOESTSPACE(YES) but still some rows in overflow
  - Degraded LOAD and REORG utility performance



# Availability

- Online Schema Evolution ('Deferred Alter')
  - Migrate from classic table space types (simple, segmented, partitioned) to UTS PBG/PBR
    - One way ticket only
  - UTS is pre-requisite for Cloned Table, Hash, Inline LOB, Currently Committed
  - Once migrated to UTS PBG/PBR can change attributes in both directions
    - DSSIZE, index page size, MEMBER CLUSTER, Hash Access, ...
  - Benefits
    - Streamed line way to move to UTS
    - Reduce administrative time and cost
    - Cuts down on errors
    - Reduce outages
  - Issue that PIT recovery to point before successful materializing REORG not possible
    - Incorrect results from REORG
    - Application change rollback



## Availability

### ➤ Online REORG with FORCE

- Customers perceive limited value
- Only running threads which are blocking are cancelled
- Threads which are suspended / inactive will cause REORG to still fail

### ➤ Online REORG LOB with DISCARD

- Customers perceive limited value
- Cannot handle LOB columns greater than 32KB



## Other

- Ability to create classic partitioned table space (PTS)
  - Classic PTS deprecated in V10
  - By default will be created as UTS PBR
  - UTS will only support table based controlled partition syntax
  - Options to be able to officially create classic PTS
    - Specify SEGSIZE 0 on CREATE TABLESPACE
    - Set new zparm DPSEGSZ=0 (default 32)
- Old COBOL and PL/1
  - V7 lookalike pre-compiler (DSNHPC7) for older COBOL and PL/I is still provided
- DDL Concurrency after Catalog restructure
  - Some help provided but concurrency issues not absolutely solved
  - Still deadlocks with parallel heavy DDL against different databases





## Other ...

### ➤ Single thread BIND/REBIND

- Degraded CPU and elapsed time performance on entry CM
  - PLANMGMT=EXTENDED is now default
  - New indexes defined for post ENFM when hash links are eliminated
  - Change in access path (index access) on entry to CM
  - No concurrency improvement until after Catalog restructure in ENFM

### ➤ Concurrent BIND/REBIND in data sharing mode

- Problems addressed
  - Performance problems related to DELETE/INSERT process
  - Space growth in SPT01 for both LOB space and base table
- Now working well
  - Inefficient space search for out line LOB in data sharing (APAR PM24721)
  - Inline LOB with compression for SPT01 to address SPT01 growth (APAR PM27073)
  - More efficient space reuse for base table and UTS (APAR PM27973)
- Recommendations
  - Customers need to change existing procedures to go parallel
  - But cannot do this until post ENFM
  - Benefit from reducing application down time to implement new application releases



## Other ...

- Value of REBIND under V10
  - Performance from new run time (avoid puffing, enable SPROC)
  - Maximize DBM1 31-bit VSCR
  - Reduce exposure to problems with migrated packages from earlier releases
- How to mitigate exposure to bad access path change introduced with REBIND which leads to degraded run time performance (regression)
  - Use access plan stability (PLANMGMT=EXTENDED|BASIC) and fallback if needed
  - Use APREUSE and APCOMPARE (see next few slides)



## Other ...

### ➤ Access Path Reuse - APREUSE and APCOMPARE

- Introduced in V10 through APARs PM33767 (function) and PM25679 (enabled)
- Works independently of access plan stability (PLANMGMT=EXTENDED|BASIC)
- Provides ability to generate new SQL run time but will attempt to reuse the existing access path by internally using OPTHINTS
  - Applies to both BIND REPLACE and REBIND
- Available in CM when migrating from V9 -> V10 and then applies to subsequent BIND/REBIND in V10
- Only works when package bound on V9 or higher
  - Starting with V9, EXPLAIN information is saved with the package in SPT01
  - Referred to as “Explain Data Block (EDB)” which is a compact representation of PLAN\_TABLE information
- Not guaranteed to succeed in all cases as OPTHINTS are not enforceable 100% of the time e.g.,
  - Incompatibilities between old and new release



## Other ...

- Access Path Reuse - APREUSE and APCOMPARE ...
  - Conservative approach where minimal access path changes are required
    - Step 0 (Optional)
      - Use REBIND ... **EXPLAIN(ONLY) + APREUSE(ERROR)**
      - Perform an impact analysis before actual REBINDs
    - Step 1: REBIND PACKAGE (\*)
      - Use PLANMGMT(EXTENDED) ... backup of V9 access paths, just in case
        - + EXPLAIN(YES)
        - + **APREUSE(ERROR)**
    - Step 2: For packages that failed Step 1 (i.e., leftovers)
      - 2a: Leave them as is ... they will be at the old level
      - OR
      - 2b. REBIND with PLANMGMT(EXTENDED) + APREUSE(NO)
        - This step exposes yourself to access path changes
        - But you have a backup



## Other ...

- RUNSTATS/REBIND recommendations based upon on scenario
  - V8 preparation
    - If RUNSTATS will be difficult on large number of objects immediately after migration to V9/10, then REORG and/or RUNSTATS (V8) immediately prior to migration can reduce RUNSTATS need on V9/10 - as RUNSTATS INDEX can be sufficient to capture new CR/DRF
  - V9 migration
    - RUNSTATS objects as soon as possible after migration
      - Target dynamic applications first as these are exposed to new access paths immediately
    - Delay static REBINDs until associated objects have RUNSTATS run
  - V8->V10 migration
    - RUNSTATS objects as soon as possible after migration
      - Target dynamic applications first as these are exposed to new access paths immediately
      - Equal priority - target static parallelism packages to REBIND to avoid incremental bind at each execution
    - Delay non-parallelism REBINDs until associated objects have RUNSTATS run
  - V9->V10 migration
    - REBIND static parallelism packages as soon as possible to avoid incremental bind at each execution
    - Delay non-parallelism REBINDs until associated objects have RUNSTATS run
    - BIND/REBIND options APREUSE/APCOMPARE are available on V10 for packages bound on V9



## Other ...

### ➤ RUNSTATS/REBIND recommendations based upon on scenario ...

- V8/9 co-existence
  - Set STATCLUS=STANDARD while in co-existence with V8
  - Set ABIND=COEXIST while in co-existence with V8
  - Avoid REBIND while in co-existence with V8
  - Follow V9 migration steps after all members are V9, including resetting the following zparms
    - Set STATCLUS=ENHANCED
    - Set ABIND=YES
- V8/10 co-existence
  - Set STATCLUS=STANDARD while in co-existence with V8
  - Set ABIND=COEXIST while in co-existence with V8
  - What to do with static parallel queries?
    - Accept incremental bind whenever executed on V10 member
    - OR, REBIND with DEGREE('1') to disable parallelism while in co-existence.
  - Follow V8-V10 migration steps after all members are V10, including resetting the following zparms
    - Set STATCLUS=ENHANCED
    - Set ABIND=YES



## Other ...

- RUNSTATS/REBIND recommendations based upon on scenario ...
  - V9/10 co-existence
    - Set ABIND=COEXIST while in co-existence with V8
    - What to do with static parallel queries?
      - Accept incremental bind whenever executed on V10 member
      - OR, REBIND with DEGREE('1') to disable parallelism while in co-existence.
    - Follow V8-V10 migration steps after all members are V10, including resetting the following zparms
      - Set ABIND=YES



## Other ...

### ➤ Incompatible changes

#### ■ CHAR() scalar function

- Solution via APAR PM29124 to restore compatible behavior for CHAR

```
SELECT COL1
       ,CHAR(COL1)
       ,HEX(CHAR(COL1))
       ,SUBSTR(CHAR(COL1), 2, 4) APPL_SUBSTR
FROM DB2_TABLE;
```

COL1	CHAR_V9	HEX_CHAR_V9	APPL_SUBSTR
9	0009,	40F0F0F0F96B	0009
99	0099,	40F0F0F9F96B	0099
999	0999,	40F0F9F9F96B	0999
9999	9999,	40F9F9F9F96B	9999

COL1	CHAR_V10	HEX_CHAR_V10	APPL_SUBSTR
9	9	F94040404040	
99	99	F9F940404040	9
999	999	F9F9F9404040	99
9999	9999	F9F9F9F94040	999





## Other ...

### ➤ Incompatible changes ...

- Create Trigger

- Impact: Trigger created in V10 not executable in V9
- Workaround: drop and create trigger in V9
- PMR open

```
DSNT408I  SQLCODE = -723, ERROR: AN ERROR OCCURRED IN A TRIGGERED SQL  
STATEMENT IN TRIGGER AWAT.OCA_TEST_TRIGGER. INFORMATION RETURNED: SQLCODE -  
904, SQLSTATE 57011, MESSAGE TOKENS 00E30305,00000801,AWAT.OCA_TEST_TRIGGER-  
.18E5950B04A23EEC, SECTION NUMBER 1
```



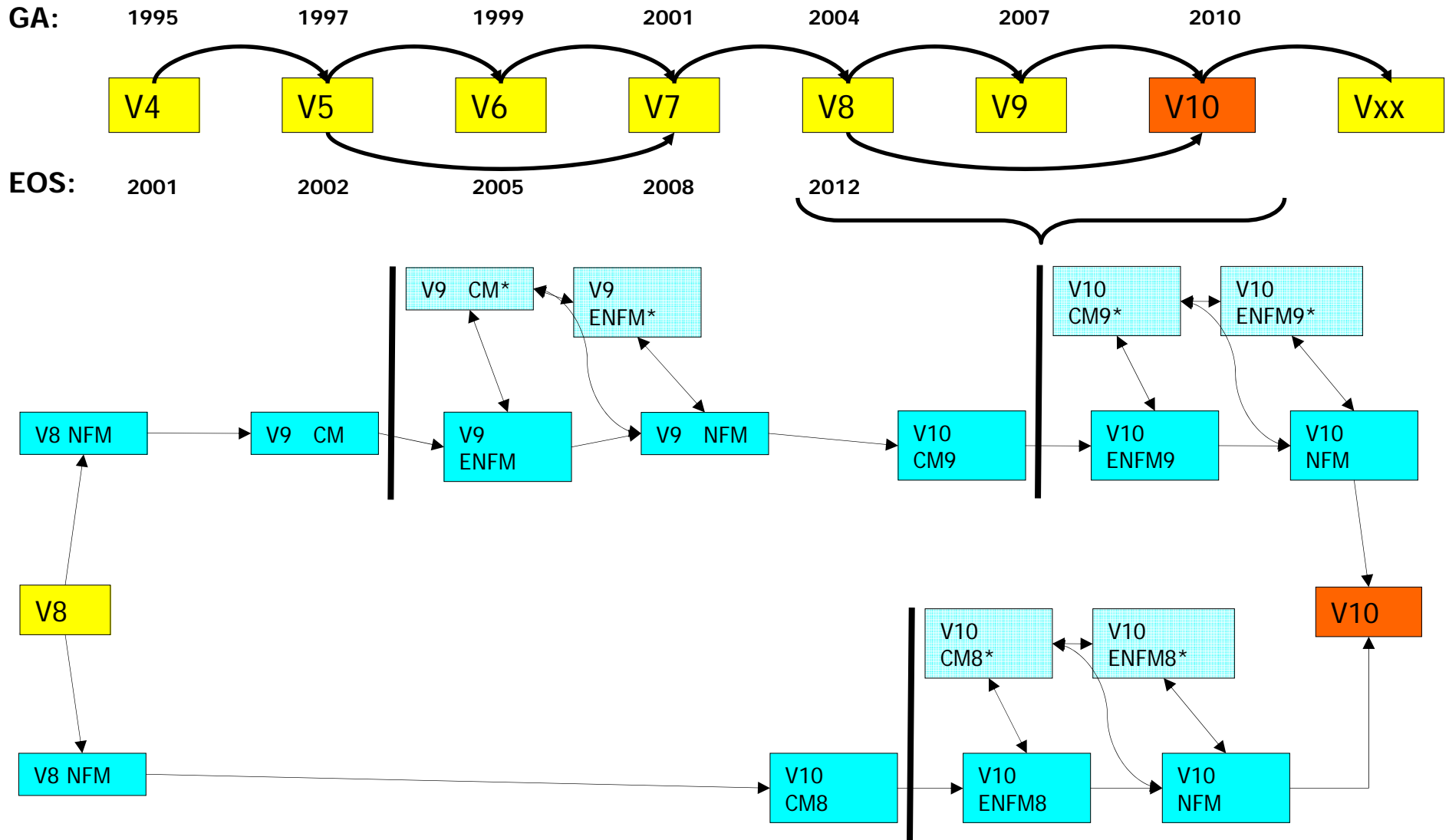
## Other ...

### ➤ Incompatible changes ...

- SQL stored procedures

```
SQLCODE = -904, ERROR: UNSUCCESSFUL EXECUTION CAUSED BY AN  
UNAVAILABLE RESOURCE. REASON 00E7009E, TYPE OF RESOURCE 00000801, AND  
RESOURCE NAME BE2TFKT.LESE_VARIANTEN.18DEB14D119115C4
```

- Impact: Deployment has to be executed on V9 member in V10
- Workaround: run ALTER PROCEDURE REGENERATE on V9 member
- APAR PM13525





## Migration and Planning

- Migration process very similar to V8 and V9
  - Works well with few problems with migration fallback
- Migration from either DB2 for z/OS V8 NFM or DB2 9 for z/OS NFM
- Cannot migrate
  - V8 NFM > V10 CM8 > V8 NFM > **V9 CM**
  - V8 NFM > V9 CM > V8 NFM > **V10 CM8**
- Fallback Toleration SPE
  - APAR PK56922
- Early Code
  - For V8/V9 APAR PK87280 (superseeds APAR PK61766)
- Information APARs
  - II14474: V8 to V10
  - II14477: V9 to V10



# Migration and Planning

## V8 premigration checklist

[http://publib.boulder.ibm.com/infocenter/dzichelp/v2r2/topic/com.ibm.db2z10.doc.inst/src/tpc/db2z\\_premigr8c hecklist.htm](http://publib.boulder.ibm.com/infocenter/dzichelp/v2r2/topic/com.ibm.db2z10.doc.inst/src/tpc/db2z_premigr8c hecklist.htm)

## V8 migration checklist:

[http://publib.boulder.ibm.com/infocenter/dzichelp/v2r2/topic/com.ibm.db2z10.doc.inst/src/tpc/db2z\\_migr8cm8c hecklist.htm](http://publib.boulder.ibm.com/infocenter/dzichelp/v2r2/topic/com.ibm.db2z10.doc.inst/src/tpc/db2z_migr8cm8c hecklist.htm)

## V9 premigration checklist:

[http://publib.boulder.ibm.com/infocenter/dzichelp/v2r2/topic/com.ibm.db2z10.doc.inst/src/tpc/db2z\\_premigr9c hecklist.htm](http://publib.boulder.ibm.com/infocenter/dzichelp/v2r2/topic/com.ibm.db2z10.doc.inst/src/tpc/db2z_premigr9c hecklist.htm)

## V9 migration checklist:

[http://publib.boulder.ibm.com/infocenter/dzichelp/v2r2/topic/com.ibm.db2z10.doc.inst/src/tpc/db2z\\_migr9cm9c hecklist.htm](http://publib.boulder.ibm.com/infocenter/dzichelp/v2r2/topic/com.ibm.db2z10.doc.inst/src/tpc/db2z_migr9cm9c hecklist.htm)



## Migration and Planning ...

- If coming from V8
  - BSDS must be reformatted for larger active / archive tracking
- DB2 Connect
  - Minimum level
    - V9.1 FP1 (recommended is FP7)
    - V9.5 (recommended is FP4)
  - Level for new functions
    - V9.7 FP3A
- IPL amounts for need to be adjusted based on number of DB2 members
  - 64-bit Private (1TB)
  - 64-bit Shared (128GB)
  - 64-bit Common (6GB)



## Migration and Planning ...

- DBRMs bound directly into plans no longer supported
  - If found in V10, will trigger auto bind into packages
  - For V8 and V9
    - APARs PK62876/PK79925 adds new syntax to convert from DBRMs to packages
      - REBIND PLAN option COLLID
      - Could result in access path change
    - APARs PM01821 (Version) and PM30382 (Location from \* to blank) should be on
- Old plans and packages bound prior to V6 will require REBIND
- Catalog and Directory must be SMS managed (EF, EA) ahead of ENFM
- PDSEs required for SDSNLOAD, SDSNLOD2, ADSNLOAD
- DSNHDECP NEWFUN=V10|V9|V8



## Migration and Planning ...

- Must absolutely eliminate all use of DDF Private Protocol before migrating
  - No longer supported In V10
  - Local packages miss tagged with DDF Private Protocol will be tolerated
  - Otherwise package must exist in both local and remote sites
  - A lot of packages and plans are bound with DBPROTOCOL(PRIVATE) because this was the default (zparm DBPROTCL) when introduced in DB2 V6
    - DSNT226I is issued if DBPROTOCOL(PRIVATE) is used during REBIND
  - Since V8, APAR PK92339 introduces new zparm PRIVATE\_PROTOCOL=YES|NO
    - Must be in sync with zparm setting of DBPROTCL
    - DSNT225I message to indicate private protocol failure for REBIND
    - IFCID 157 can be used to identify packages which are using private protocol
    - APAR PM17665 removes authorization behaviour for private protocol i.e., .
      - Execute privilege on package is required for the user running the program under DRDA
    - APAR PM37300 will provide relief (see later slides)
  - Since V8, APAR PK64045 (PE, PM14816) adds new zparm DRDA\_RESOLVE\_ALIAS
    - Private protocol always performs alias resolution
      - 3-part name is sent to the server
    - If DRDA\_RESOLVE\_ALIAS is set to YES then also DRDA connections get this resolution e.g.,
      - For remote BIND with COPY option





## Migration and Planning ...

### ➤ EXPLAIN tables

- Format and CCSID from previous releases is deprecated in V10
  - Cannot use pre V8 format
    - SQLCODE -20008
  - V8 or V9 format
    - Warning SQLCODE +20520 regardless of CCSID EBCDIC or UNICODE
  - Must not use CCSID EBCDIC with V10 format
    - EXPLAIN fails with RC=8 DSNT408I SQLCODE = -878
    - BIND with EXPLAIN fails with RC=8 DSNX200I
- Recommendations
  - Use CCSID UNICODE in all supported releases (V8, V9, V10) due to problems with character truncation and conversion etc
  - Use the V10 extended column format with CCSID UNICODE when
    - Applications access EXPLAIN tables and can only tolerate SQLCODE 0 or +100
  - V10 column format is supported under V8 and V9 with the SPE fallback APAR PK85956 applied with the exception of
    - DSN\_STATEMENT\_CACHE\_TABLE due to the BIGINT columns
- APAR PK85068 can help migrate V8 or V9 format to the new V10 format with CCSID UNICODE



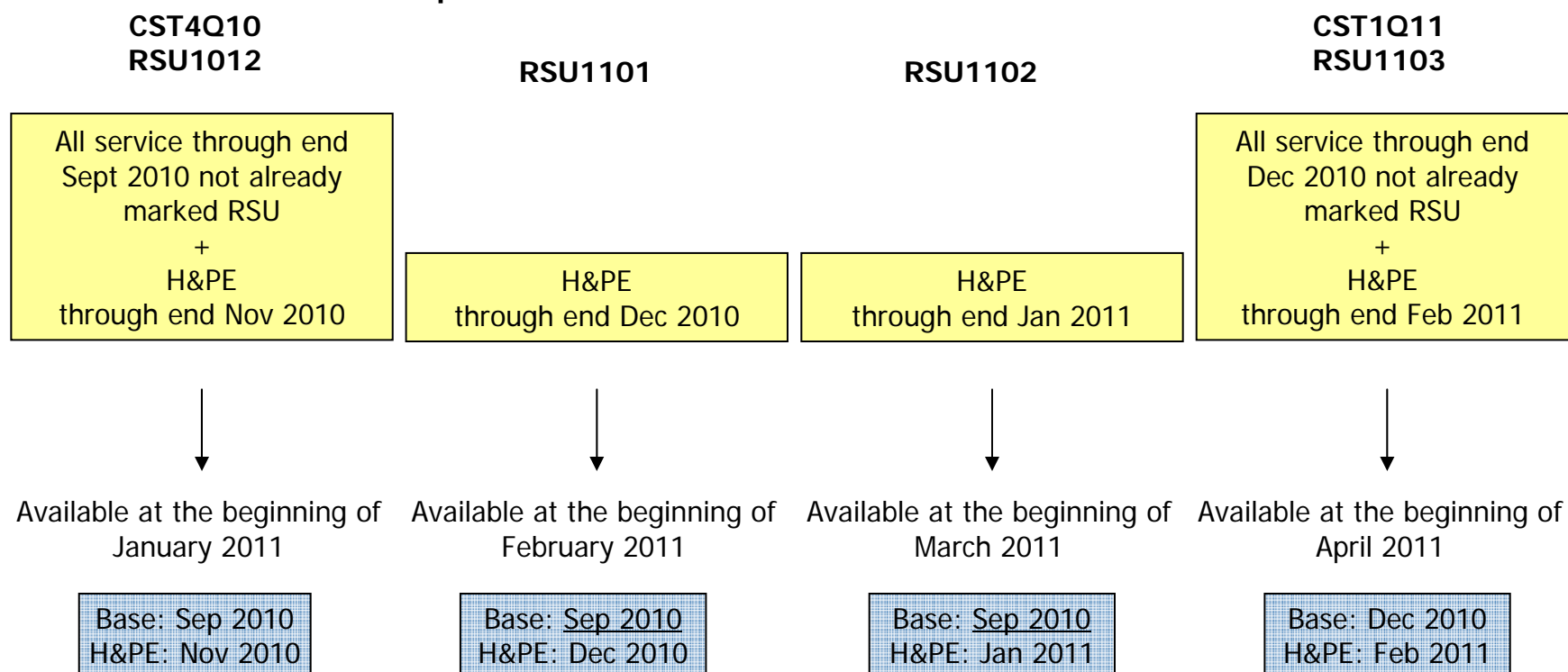
## Migration and Planning ...

- Early customer adopters of V10 migrating from either V8 or V9 should make plans and take extra care to mitigate against the risk of instability
  - Perform application regression and stress testing to keep 'fires' away from production
  - Need to be more aggressive on planned continual application of preventative service
    - Will have to stay a lot more current than 2 full 'major' drops a year
    - Regular full 'major' maintenance drops including HIPERs/PEs essential required for the first year or so
      - May be 4 'major' drops in the first year
    - Can move to 2 'major' and 2 'minor' maintenance drops as the release passes the early adopter curve
    - Exploit CST/RSU recommended maintenance as opposed to the PUT route
      - Recommended maintenance after successful testing for a least one month
      - Testing performed over and above that performed by DB2 Development
      - CST testing still does not replace customer regression/stress testing
  - Must be prepared to tolerate hit some 'bumps in the road'
  - Customer who are not prepared to take mitigating actions and have no tolerance for 'bumps in the road' should not be early adopters
    - V8 customers should migrate to V9 quickly as it is relatively stable
  - Answer depends on your risk profile and then setting yourself up for best chance for success



## Migration and Planning ...

### ➤ CST and RSU example



*H&PE = HIPER/Security/Integrity/Pervasive PTFs + PE resolution (and associated requisites and supersedes)*



## Security considerations when removing DDF Private Protocol

- There are fundamental differences on how authorization is performed based on the distributed protocol used
- Private Protocol (DB2 for z/OS requester)
  - Supports static SQL statements only
  - Plan owner must have authorization to execute all SQL executed on the DB2 server
  - Plan owner authenticated on DB2 requester and not on the DB2 server
- DRDA Protocol
  - Supports both static and dynamic SQL statements
  - Primary auth ID and associated secondary auth IDs must have authorization to execute package and dynamic SQL on the DB2 server
  - Primary auth ID authenticated and secondary auth IDs are associated on DB2 server
- Prior to V10 - Private Protocol and DRDA Protocol can be used by same application
  - Private Protocol security semantics was used due to possible inconsistent behavior which is dependent on how programs are coded and executed



## Security considerations when removing DDF Private Protocol ...

- But there is also currently a difference in the authorizations required by an incoming DRDA connection at the DB2 for z/OS server, depending on where the connection come from:
  - Dynamic SQL DRDA connection from DB2 Connect and/or DB2 client direct connection
    - Connecting userid needs authority to run the appropriate DB2 package and authority to access the DB2 table
  - Dynamic SQL DRDA connection from DB2 for z/OS requester
    - Connecting userid needs authority to access the DB2 table
    - Originating plan owner needs authority to run the appropriate DB2 package
- It is different for DB2 for z/OS requester to DB2 for z/OS server because connections were designed to use Private Protocol (PP) semantics to avoid changing authids when switching between PP to DRDA Protocol
- With the disappearance of PP in V10, DB2 have decided to bring the DRDA connection from DB2 for z/OS requester to DB2 for z/OS server in line with other DRDA requesters and to change the authorizations required
  - This was retrofitted back into V8 and V9 with APAR PM17665
  - It is very important to distinguish clearly between the behavior of DRDA before and after APAR PM17665



## Security considerations when removing DDF Private Protocol ...

- APAR PK92339 introduced new zparm PRIVATE\_PROTOCOL=YES|NO
  - To prevent future introduction of PP then set PRIVATE\_PROTOCOL=NO
- The result of migrating to V10 or the introduction of APAR PM17665 under V8 or V9, when running with PRIVATE\_PROTOCOL=NO introduces the authorization changes at the DB2 for z/OS server for DRDA connections coming from DB2 for z/OS requester
  - PP security semantics are no longer used as default for access from a DB2 for z/OS requester
  - Plan owner value is ignored and connecting userid must be granted authority to execute the package at the remote site
  - Otherwise the connection will fail with SQLCODE -551
- As a result of customer complaints, APAR PM37300 introduces PRIVATE\_PROTOCOL=AUTH which allows an installation to
  - Disable PP but keep the plan owner authorization check (the "private protocol semantics")
- Migration to V10 or the application of PTF for APAR PM17665 does affect you even if you have everything already bound as DRDA



## Security considerations when removing DDF Private Protocol ...

### ➤ In summary

- Before disabling private protocol, ensure all appropriate grants are performed by
  - Grant execute privilege to any user who plans to run a package or stored procedure package from a DB2 for z/OS requester, just like other DRDA clients
- DB2 V8 and V9 can disable private protocol but maintain private protocol authorization checks by
  - Setting system parameter DSN6FAC PRIVATE\_PROTOCOL=AUTH
- DB2 10 does not support private protocol but can allow private protocol authorization checks for use of DRDA protocol for DB2 for z/OS requesters by
  - Setting system parameter DSN6FAC PRIVATE\_PROTOCOL=AUTH



## Items Planned for post-GA Delivery via APAR

- **APREUSE, APCOMPARE (PM33767, PM25679)**
- Delete data sharing member (PM31009)
- Enhancements for new DBA authorities (PM28296)
  - Prevent privileged users from stopping audit traces
  - No implicit system privileges for DBADM
- Inline LOBs for SPT01 (PM27811)
  - Compression, BIND performance
- Online REORG concurrency for materializing deferred ALTERs (PM25648)
- Temporal enhancements
  - TIMESTAMP WITH TIMEZONE support (PM31314)
  - Enhancement for data replication (PM31315)
  - ALTER ADD COLUMN, propagate to history table (PM31313)





## Items Planned for post-GA Delivery via APAR ...

- New system profile filters based on “client info” fields (PM28500)
  - Three new columns for userid, appname, and workstation
  - Wildcard support: if column is ‘\*’ then all threads pass that qualification
- Zparm to force deletion of CF structures on group restart (PM28925)
- Relief for incompatible change in CHAR of decimal data (PM29124)
- **Real storage monitoring and contraction enhancements (PM24723)**
- Hash LOAD performance (PM31214)
- DSSIZE > 64GB – APAR coming
- REORG REBALANCE SHRLEVEL CHANGE – APAR coming



## RSM APAR OA35885 vital for DB2 10

- Strong advice not to go into major production environment without the PTF and corresponding DB2 support in the PTF for **APAR PM24723**
- Enables proper monitoring of REAL storage usage by providing DB2 with 64-bit memory object statistics
- Provides some protection against the system paging or running out of AUX storage



## Summary

- Very good release in terms of the opportunities for price/performance and scalability improvements
  - Significant DBM1 31-bit VSCR after rebind
  - Use long term page fixed buffer pools
    - Exploit 1MB real storage page frames on z10 and z196
  - Reduced latch contention, log manager improvements, etc
  - Opportunity for further price performance improvements
    - More use of persistent threads
      - CICS, IMS/TM, High Performance DBATs
    - More use of RELEASE(DEALLOCATE) with persistent threads
    - More use of RELEASE(DEALLOCATE) is a trade off
      - Increased storage consumption
        - Need to plan on additional real memory
      - Reduced concurrency
        - BIND/REBIND and DDL
  - Opportunity for scale up and LPAR/DB2 consolidation



## Summary ...

- Carefully plan, provision and monitor real storage consumption
- Early customer adopters of V10 migrating from either V8 or V9 should make plans and take extra care to mitigate against the risk of instability
  - Regular full 'major' maintenance drops
  - Exploitation of CST/RSU recommended maintenance
  - Perform application regression and stress testing to keep 'fires' away from production
  - Must be prepared to tolerate some 'bumps in the road'
- Answer to should you migrate from V8 to V9 or directly to V10?
  - Depends on your risk profile
  - Setting yourself up for best chance of success