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#### **TSB-2894A**

# What's coming from the optimizer in DB2 10 for z/OS?

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#### Agenda

- Access path management
  - Dynamic Statement Cache Enhancements
  - Access Path Stability
  - Instance Based Statement Hints
- Query performance improvements
  - Safe Query Optimization
  - Aggressive View Merge
  - IN List Processing
  - SQL Pagination
  - Parallelism Enhancements

#### **Dynamic Statement Cache**

- Introduced in DB2 V5
- Re-uses SQL and access path
  - If identical SQL string
  - If same user,...
- Avoids full prepare (like a BIND)
- Good programming practice to use parameter marker (?)
  - ? are parameter markers
  - Ensures SQL is always the same
- Not all programs use ?
  - Ruby On Rails generates literals not ?
  - So SQL can not be re-used in Cache

#### **Literal Replacement**

- Dynamic SQL with literals can now be re-used in the cache
  - Literals replaced with & (similar to parameter markers but not the same)
- To enable either you:-
  - Put CONCENTRATE STATEMENTS WITH LITERALS in the ATTRSTRING in the PREPARE
  - Or set LITERALREPLACEMENT in the ODBC initialization file
  - Or set the keyword enableLiteralReplacement='YES' in the JCC Driver
- Lookup Sequence
  - Original SQL with literals is looked up in the cache
  - If not found, literals are replaced and new SQL is looked up in the cache
    - Additional match on literal usability
    - Can only match with SQL stored with same attribute, not parameter marker
  - If not found, new SQL is prepared and stored in the cache

#### Literal Replacement ...

- Example:
  - SELECT BALANCE FROM ACCOUNT WHERE ACCOUNT\_NUMBER = 123456
  - This would be replaced by

SELECT BALANCE FROM ACCOUNT WHERE ACCOUNT\_NUMBER = &

- Performance Expectation
  - Using parameter marker provides still best performance
  - Biggest performance gain for small SQL with literals that have a cache hit now, but did not before
  - Determined access path is not optimized to provided literals,
    - Need to use REOPT for that purpose

#### **Access Path Stability**

- Query optimization depends on many inputs.
  - Some of which may be missing/incomplete.
  - Small changes in the environment can change (degrade) an access path.
- Re-optimization is not always the preferred solution.
  - Static SQL typically insulated from erratic access path changes.
  - Dynamic SQL far more prone to access path degradation.
- When access paths change due to re-optimization (REBIND or PREPARE), often difficult to revert to a prior access path.
- In DB2 V9 (APAR PK52522)
  - Solution provided for fallback to prior path static SQL across REBIND.
- DB2 10 for z/OS
  - extends the V9 solution unifying the treatment of static and dynamic.

# Management of static and dynamic access paths

- Ability to
  - Capture access paths for static and dynamic SQL queries in the access path repository
  - Save multiple copies of access paths
  - Switch between different copies of access paths of the same query
  - Manually control when captured dynamic SQL queries would be re-optimized
  - Regenerate runtime structures without changing access paths
    - Important for mass REBIND at DB2 migration
  - Perform before/after access path comparisons

#### **Access Path Repository**



#### Capturing Access Paths ...

- Governed by two settings, PLANMGMT and PLANMGMTSCOPE, set via:
  - DSNZPARMs
  - BIND and REBIND options,
  - Profiling attributes
- PLANMGMT
  - OFF No access path information is captured.
  - ON Access path information is captured in the repository. However, no historical access paths are retained.
  - BASIC Access path information is captured in the repository, plus one old access path is retained. Referred to as the PREVIOUS copy.
  - EXTENDED Access path information captured in the repository, plus two old access paths are retained - PREVIOUS and ORIGINAL copies.
- PLANMGMTSCOPE
  - ALL Includes static and dynamic SQL queries.
  - STATIC Only include static SQL queries. This is ZPARM the default.
    - DYNAMIC Only include dynamic SQL queries

### **Stabilizing Static & Dynamic SQL**

- Capture access paths of dynamic SQL queries tagged as 'critical'
  - I.e. Queries with PLANMGMT <> OFF
  - These queries are considered "stabilized"
- At PREPARE, check if an access path was previously captured
  - YES -- it is used
  - NO -- the query is compiled afresh. The resulting access path could get captured if query is a candidate for stabilization.
  - Once prepared, the statement could be cached
- Notes
  - No application changes required.
  - Stabilized access paths immune to changes in configuration, statistics, etc
  - Dynamic SQL queries behave like Static SQL
    - Fast lookup/matching algorithms minimize overhead. Think on this as a "persistent dynamic SQL cache"

## **REBINDing Dynamic SQL**

- Captured access paths may not stay optimal forever
  - Periodic tuning/maintenance may open up more optimal access paths
- New mechanism to REBIND captured dynamic SQL statements
  - Generate new access paths, either immediately or at next full PREPARE
  - Previous access paths can be retained as a backup
  - Similar to REBIND PACKAGE
- New mechanism to switch to a prior access path
  - Used when new access paths cause performance regressions
  - Similar to REBIND PACKAGE ... SWITCH(PREVIOUS/ORIGINAL)
- Support varied scope
  - All queries
  - Queries that originated from a package
  - Queries that any user-definable criteria

#### Access Path "Fallback"

- For each stabilized package/query, DB2 retains a few copies of old query plans
  - CURRENT The active copy
  - PREVIOUS The copy in use before the last REBIND. Transient.
  - ORIGINAL The oldest/first query plan. Never overwritten.
- When query plans cause performance regressions ...
  - A DBA can revert to a prior query plan
- Static SQL
  - Reverts back to an older copy of the one or more package
  - REBIND PACKAGE (HRCOLL.\*) ... SWITCH(PREVIOUS)
- Dynamic SQL
  - Reverts back to an older copy of a dynamic SQL query
  - REBIND QUERY ... SWITCH(PREVIOUS | ORIGINAL)
  - REBIND QUERY FILTER('REGRESSED') ... SWITCH(ORIGINAL)
  - REBIND QUERY PACKAGE(HRCOLL.\*) ... SWITCH(PREVIOUS)

## **Access Path Reuse / Compare For**

- Packages Ability to BIND/REBIND a package for reasons other than access path improvements
  - Due to service fixes that require the regeneration of runtime structures (REBIND) ... ++HOLDs
  - Due to application changes (BIND)
- How do we retain the same underlying access paths, and hence, minimize impact?
  - BIND/REBIND PACKAGE ... APREUSE(YES)
    - For REBIND, DB2 attempts to reuse prior access paths
    - For BIND, DB2 attempts the reuse prior access paths for any queries that haven't changed

#### BIND/REBIND PACKAGE... APCOMPARE(WARN | ERROR)

DB2 issues a warning/error for each statement that has an access path change

### **AP Reuse/Compare – Anticipated Scenario**

- Mass rebind recommended after DB2 migration
  - 1st reason to regenerate runtime structures under new release
    - Regain loss of runtime optimizations such as SPROCs (approx 7% CPU)
  - Concern is access path regression
- Suggested scenario
  - REBIND APREUSE(YES) APCOMPARE(ERROR)
    - Allow DB2 to attempt to reuse the prior path (not guaranteed)
    - Fail the REBIND if access path changes
  - Anticipated that majority of REBINDs will succeed
    - Regaining lost CPU due to invalidated runtime structures
    - Mitigating risk of access path regression
  - After migration stabilizes
    - Explore new access path possibilities

#### **Getting information on packages**

- SYSIBM.SYSPACKCOPY
  - New catalog table
  - Hold SYSPACKAGE-style metadata for any previous or original package copies
  - No longer need to SWITCH to see information on inactive copies
- EXPLAIN PACKAGE
  - Extract PLAN\_TABLE information for one or more packages and their copies
  - The package/copy must be created on DB2 9 or later
  - Useful if you didn't BIND with EXPLAIN(YES)

#### **Freeing SQL Access Paths**

- Static SQL
  - Previous existing FREE PACKAGE command
  - PLANMGMTSCOPE supported in DB2 10
    - If PLANMGMTSCOPE not specified or PLANMGMTSCOPE(ALL), package will be freed including data in access path repository
    - If PLANMGMTSCOPE(INACTIVE) specified, only older copies freed from catalog, directory, and access path repository
- Dynamic SQL
  - FREE QUERY new command to purge one/more queries from the access path repository
    - FREE QUERY QUERYID(6557)
    - FREE QUERY QUERYID(ALL)
    - FREE QUERY FILTER('UNUSED')

#### **Robust hints system**

- Current limitations in hint matching
  - QUERYNO is used to link queries to their hints a bit fragile
  - For dynamic SQL, require a change to apps can be impractical
- New mechanisms being considered:
  - Associate query text with its corresponding hint ... more robust
  - Hints enforced for the entire DB2 subsystem, irrespective of static vs. dynamic, etc.
  - Hints integrated into the same access path repository
- PLAN\_TABLE isn't going away
- Only the "hint lookup" mechanism is being improved.

#### Robust hints system (cont.)

Steps to use new hints:

- Populate a user table called DSN\_USERQUERY\_TABLE with query text of one or more queries.
- Populate PLAN\_TABLE with the corresponding hints,
- Run new command, BIND QUERY, to integrate the hint into the repository.
- FREE QUERY can be used to remove the hint.

#### **Statement-level BIND options**

- DB2 supports a few ways to influence query processing behavior
  - ZPARMs to influence all queries on the subsystem
  - BIND options for queries in a entire package
- However, a statement-level granularity is sometimes needed
  - E.g., a package bound with REOPT(NONE) may have one statement that needs REOPT(ALWAYS)
- New mechanisms for statement-level bind options:
  - Similar to mechanism used for hints
  - DSN\_USERQUERY\_TABLE can also hold per-statement options

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## Safe Query Optimization

#### **Optimal Plan Generation Challenges**

- Potential causes of sub-optimal plans
  - Insufficient statistics
  - Unknown literal values used for host variables or parameter markers
  - Unpredictable runtime resource availability
    - Specifically RID pool usage
- A plan determined by purely cost-based optimization may lack the robustness if estimates do not reflect reality.
- The Safe Query Optimization goal is to:
  - Generate safe and robust access paths
  - Fallback to workfile usage (for RID processing) at RID limit failure

## **Safe Query Optimization**

#### Bind/Prepare:

- Optimizer will evaluate the risk associated with each predicate
  - For example: WHERE BIRTHDATE < ?
    - Could qualify 0-100% of data depending on literal value used
- As part of access path selection
  - Compare access paths with close cost and choose lowest risk plan
- Runtime:
  - If a RID limit is reached
    - Overflow RIDs to workfile and continue processing
    - Avoid fallback to tablespace scan as in V9.
  - Work-file usage may increase
    - Mitigate by increasing RID pool size (default increased in DB2 10).

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#### Index Exploitation with IN-list Predicates

#### **Index Exploitation with IN-list Predicates**

- For IN-list predicates, DB2 V10 adds
  - Transitive closure support for IN-list predicates
  - Matching on multiple IN-list predicates via single index access
  - List prefetch support

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- IN-list predicates used extensively in some workloads
  - For example: SAP R/3

#### **IN-list Predicate Transitive Closure (PTC)**

SELECT \*
FROM T1, T2
WHERE T1.C1 = T2.C1
AND T1.C1 IN (?, ?, ?)

AND T2.C1 IN (?, ?, ?) Optimizer can generate this predicate via PTC

- Without IN-list PTC (V9)
  - Optimizer in V9 will be unlikely to consider T2 is the first table accessed
- With IN-list PTC
  - Optimizer can choose to access T2 or T1 first.

#### IN-list Table - Table Type 'I' and Access Type 'IN'

- The IN-list predicate will be represented as an in-memory table if:
  - List prefetch is also chosen, OR
  - More than one IN-list is chosen as matching.
  - The EXPLAIN output associated with the in-memory table will have:
    - New Table Type: TBTYPE 'l'
    - New Access Type: ACTYPE 'IN'



#### 

- The first row in the PLAN\_TABLE is the access to the IN-list table.
  - DSNIN001(01) is the in-memory table name chosen by DB2 optimizer. "DSNIN" indicates that it relates to IN-list, "001" indicates the IN-list predicate number, and "(01)" indicates the query block number.
  - 'IN' new access type (ACTYPE)
  - 'I' new table type (TBTYPE)

## Outer Join View/Table Expression Merge

#### **View/Table Expression Merge**

- More Merge scenarios for View/Table Expressions
  - Especially for View and Table Expressions involved in an outer join.
- Physical materialization (the alternative to Merge) is an overhead.
  - Can limit the join sequence considered.
  - Can limit the ability to apply predicates early in the processing sequence
  - The join predicate on a materialization work file can not be indexed.
- Generally a more aggressive Merge strategy for View/Table Expressions is preferable.

#### Merge – CASE, VALUE, COALESCE expression on preserved side of an Outer Join.

When there is a CASE, VALUE, or COALESCE expression on the preserved side of an outer join, DB2 will merge the view/table expression if the CASE, VALUE, COALESCE expression is not referenced. This avoids materialization.

SELECT A.C1, B.C1, A.O2, B.C2 FROM T1 ,(SELECT **COALESCE(C1, 0) as C1** ,C2 FROM T2 ) A <--table expression 'A' will be Merged LEFT OUTER JOIN (SELECT **COALESCE(C1, 0) as C1** ,C2 FROM T3 ) B <-- B will be Materialized I ON A.C2 = B.C2 WHERE T1.C2 = A.C2;

#### Merge single table view / table expression on null-padded side which contains a subquery

- Subquery
   For left/right outer joins, after query transformation,
   DB2 will allow subquery predicates in the on-clause.
  - DB2 can Merge certain single table views / table expressions on null-padded side which contains a subquery.
  - Performance may be improved due to materialization avoidance.

## Merge single table view / table expression on null-padded side which contains a subquery

```
SELECT *
FROM T1
LEFT OUTER JOIN
(SELECT * <-- table expression contains subquery
FROM T2
WHERE T1.C1 = (SELECT MAX(T3.C1) FROM T3 ) <--subquery
) TE
ON T1.C1 = TE.C1;
```



#### Other Merge table expressions opportunity



SELECT T1.\* , T2.C2 FROM T1, T3 AS T2 WHERE T1.C1 = T2.C2; Not materialized in V10 Query rewritten 37

## **SQL** Pagination

# SQL Pagination targets 2 classes of OR queries:

- Cursor scrolling SQL
  - Retrieve next n rows
  - Common in COBOL/CICS and any screen scrolling application
- Complex OR predicates against the same index
  - Common in SAP
- In both cases:
  - The OR (disjunct) predicate refers to a single table only.
  - Each OR predicate can be mapped to the same index.
  - Each disjunct has at least one matching predicate.

# Simple scrolling – Index matching and ORDER BY

- Scroll forward through the PHONEBOOK to obtain the next 20 rows from the current position – JONES, WENDY
  - Assumes index is available on (LASTNAME, FIRSTNAME)
- WHERE clause may appear as:

```
WHERE (LASTNAME='JONES' AND FIRSTNAME>'WENDY')
OR (LASTNAME>'JONES')
ORDER BY LASTNAME, FIRSTNAME;
```

WHERE ((LASTNAME='JONES' AND FIRSTNAME>'WENDY')

**OR** (LASTNAME>'JONES')

AND (LASTNAME >= 'JONES')) ORDER BY LASTNAME, FIRSTNAME; FOR DER BY LASTNAME, FIRSTNAME;

#### Multiple OR ranges against same index

Assume an index on (LASTNAME, FIRSTNAME).

Given the following complex WHERE clause:

WHERE (LASTNAME='JONES' AND FIRSTNAME='WENDY') **OR** (LASTNAME='SMITH' AND FIRSTNAME='JOHN');

SQL pagination will allow single matching index access for this type of OR condition – without list prefetch.

V9 requires multi-index access with list prefetch for matching index access.

#### **Parallelism Enhancements**

#### **Removal Of Parallelism Restrictions #1**

#### Support parallelism for multi-row fetch

- In previous releases
  - parallelism is disabled for the last parallel group in the top level query block
    - if there is no more table to join after the parallel group
    - and there is no GROUP BY clause or ORDER BY clause
- Example:- SELECT \* FROM CUSTOMER
  - There is no parallel group in the query and there are no table joins
  - There is no GROUP BY clause
  - There is no ORDER BY clause
  - So NO PARALLELISM will be used
- This restriction is only removed if the CURSOR is DECLARED as READ ONLY
  - Ambiguous Cursors will not have the restriction removed

#### **Removal Of Parallelism Restrictions #2**

- Allow parallelism if a parallel group contains a work file
  - DB2 generates temporary a work file when view or table expression is materialized
  - This type of work file can not be shared among child task in previous releases of DB2, hence parallelism is disabled
  - DB2 10 will make the work file shareable
    - only applies to CP mode parallelism and no full outer join case

#### Parallelism Enhancements -Effectiveness

- Previous Releases of DB2 use Key Range Partitioning
  - Key Ranges Decided at Bind Time
  - Based on Statistics (low2key, high2key, column cardinality)
  - Assumes uniform data distribution

 If the Statistics are out of date or data is not uniformly distributed what happens to performance



#### **Key range partition - Today**



#### Parallelism Enhancements -Effectiveness

#### DB2 10 will use Dynamic record range partitioning

- Materialize the intermediate result in a sequence of join processes
- Results divided into ranges with equal number of records
- Division doesn't have to be on the key boundary
  - Unless required for group by or distinct function
- Record range partitioning is dynamic
  - no longer based on the key ranges decided at bind time
- Now based on number of composite records and number of workload elements
  - Data skew, out of date statistics etc. will not have any effect on performance
  - DB2 will try to use in-memory work file for the materialization output if possible

#### **Dynamic record range partition**



#### Parallelism Enhancements - Effectiveness -Straw Model

- Previous releases of DB2 divide the number of keys or pages by the number representing the parallel degree
  - One task is allocated per degree of parallelism
  - The range is processed and the task ends
  - Tasks may take different times to process
- DB2 10 will use the Straw Model workload distribution method
  - More key or page ranges will be allocated than the number of parallel degrees
  - The same number of tasks as before are allocated (same as degree)
  - Once a task finishes it's smaller range it will process another range
  - Even if data is skewed this new process should make processing faster



## Runtime stats validation and Auto-Stats

## Index Probing (Runtime stats validation)

- Estimate the number of rids within a given start/stop index key range by looking at a limited number of index non-leaf pages
- Index Probing will be done when both of the following conditions are met.
  - Query has matching index-access local predicate
  - Predicate contain literals, or REOPT(ALWAYS|ONCE|AUTO) is done
- In addition to the conditions above, at least one of the following must also be satisfied.
  - Predicate is estimated to qualify no rows
  - Stats indicate the table contains no rows
  - Table is defined with VOLATILE or passes the NPGTHRSH zparm threshold check
- New EXPLAIN table to externalize estimates obtained by index probing
  - DSN\_COLDIST\_TABLE
  - Example testcases

VOLATILE tables with RUNSTATS failing to capture a representative sample Ascending date values with stale statistics

#### **AutoStats Problem Summary**

- Collecting stats is a difficult and time consuming manual process
  - Need to look at the queries to figure out what stats are needed
  - Need to repeatedly look at the RTS tables to figure out when to recollect
- Inadequate stats collection leads to poor or inconsistent query performance
  - Sometimes the query runs well and sometimes it runs poorly
- Solution is to automate the process
  - More efficient
  - More accurate
  - More stable

#### **Solution Overview**

- Autonomic Statistics is implemented though a set of Stored Procedures
  - ADMIN\_UTL\_MONITOR
  - ADMIN\_UTL\_EXECUTE
  - ADMIN\_UTL\_MODIFY
  - STATS ADVISOR (Data Studio)
- SP's run automatically according to a predetermined schedule
- Working together, these SP's
  - Determine what stats to collect
  - Determine when stats need to be collected
  - Schedules and Performs the stats collection
  - Records activity for later review

#### **Solution Overview**

- Configuration / Communication via DB2 catalog tables
  - SYSAUTOTIMEWINDOWS
    - Defines when autonomic procedures can be run
  - SYSAUTORUNS\_HIST
    - Keeps history of what procedures have executed autonomically
  - SYSAUTOALERTS
    - Populated when procedure detects that an action needs to be scheduled for execution (e.g. RUNSTATS needs to be scheduled)
  - SYSTABLES\_PROFILES
    - Contains the RUNSTATS options for a particular table

#### **Solution Overview**

#### RUNSTATS

- New options to SET / UPDATE / USE a statistics profile

- RUNSTATS ... TABLE tbl COLUMN(C1)... SET PROFILE
- RUNSTATS ... TABLE tbl COLUMN(C5)... UPDATE PROFILE
- RUNSTATS ... TABLE tbl USE PROFILE
- New option to do page-level sampling
  - RUNSTATS ... TABLE tbl TABLESAMPLE SYSTEM AUTO

#### **Runstats profile enhancement**

#### **SET PROFILE**

RUNSTATS TABLESPACE DB1.TS1 TABLE(S1.T1) COLUMN(ALL) INDEX(ALL)

SYSIBM.SYSTABLES_PROFILES	
TBNAME	PROFILE_TEXT
T2	COLUMN(C1, C2, C3)
T1	COLUMN(ALL) INDEX(ALL)
T3	COLGROUP(C1, C3, C2) HISTOGRAM
T4	COLGROUP(C1, C3) INDEX(ALL)
T5	COLUMN(C1) INDEX(ALL)

#### **USE PROFILE**

RUNSTATS TABLESPACE DB1.TS1 TABLE (S1.T1) USE PROFILE



#### Summary

- Stats collection is an important process for query performance
- Automating the process should
  - Improve the quality of the statistics, leading to improved query performance and more stable query performance
  - Avoid collecting stats unnecessarily

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