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Neat New V5R2 Journal and Commit enhancements

BP09

ITSO iSeries Technical Forum

Larry Youngren



Redbooks

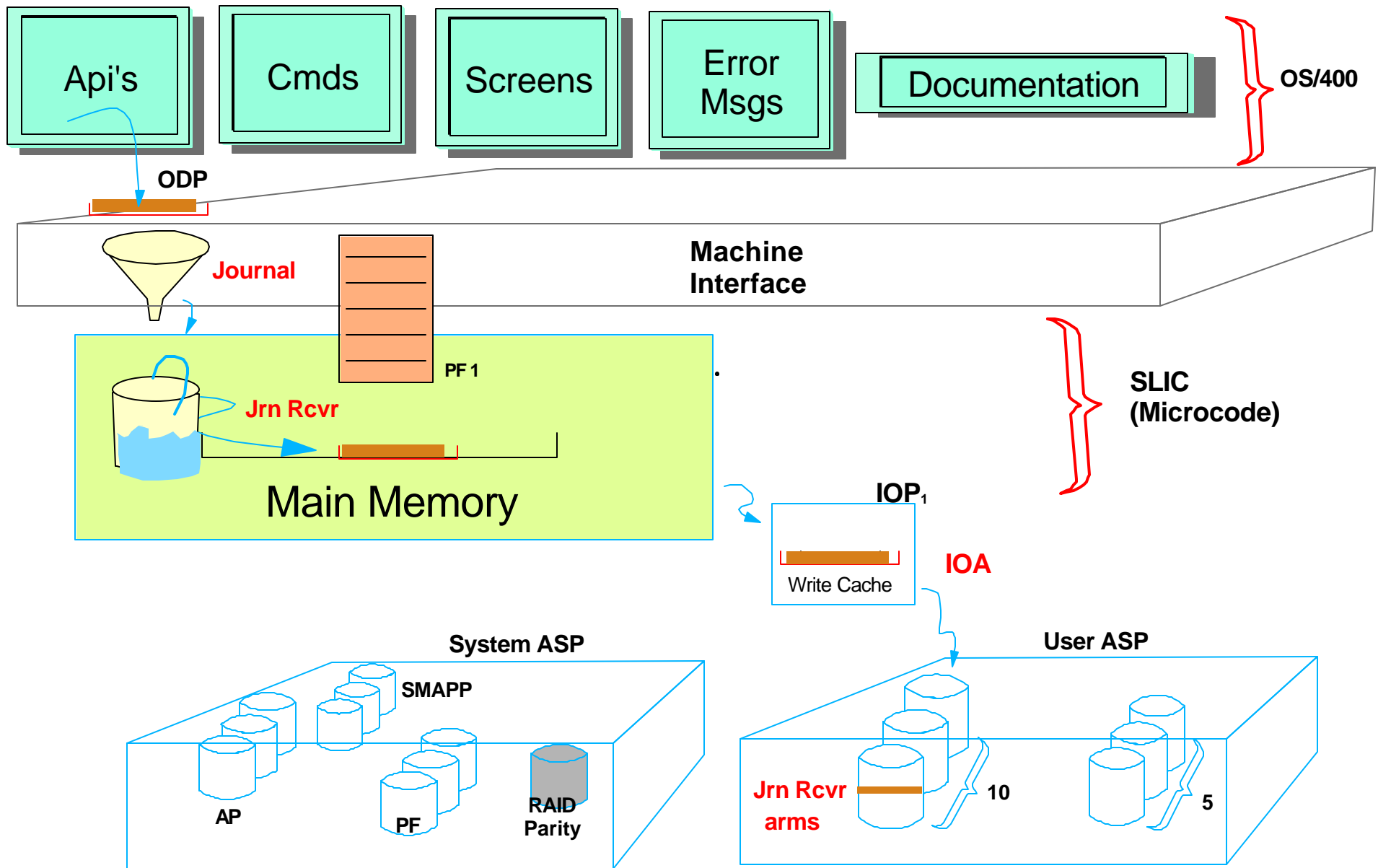
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F03BP09V5R2.PRZ

The Journal environment



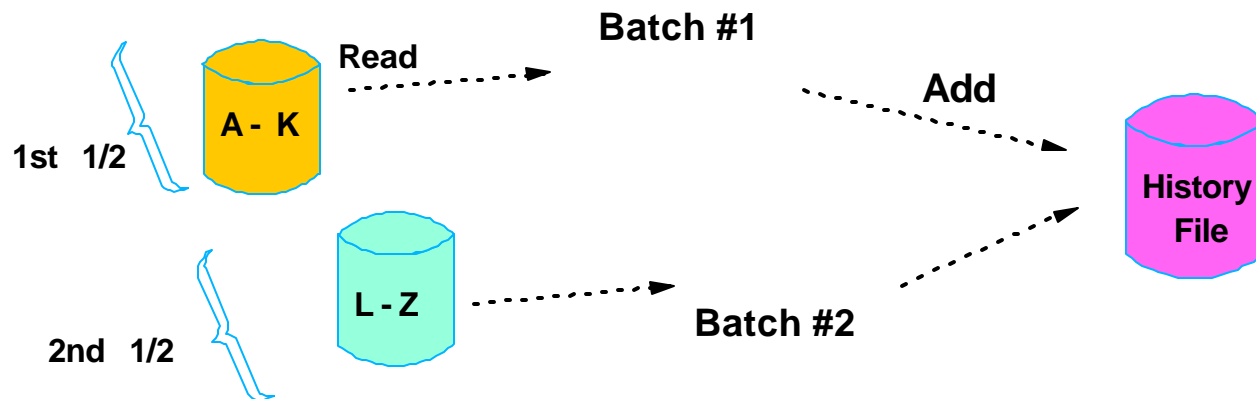


Parallel jobs and Holey Inserts

The Problem:

Many shops elect to reduce their batch windows by breaking up the original batch job into multiple threads

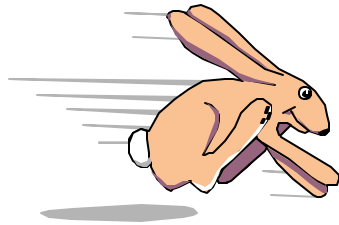
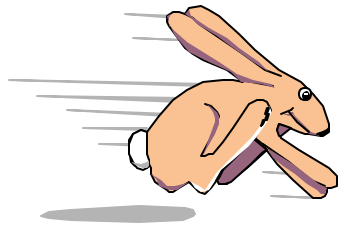
- This is often a wise and effective move
- But... if the resulting threads are all still competing for the same Database file and if they're adding new records, they still must proceed **single-file**



The bottleneck:



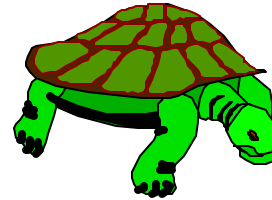
Job₁



Job₂



Dispense RRN



The Solution:

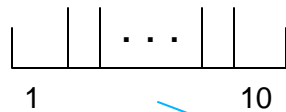
- **Concurrent holey inserts**

Concurrent Holey Adds

CALL QDBENCWT '1'

**This enables the support
(takes effect after the next IPL)**

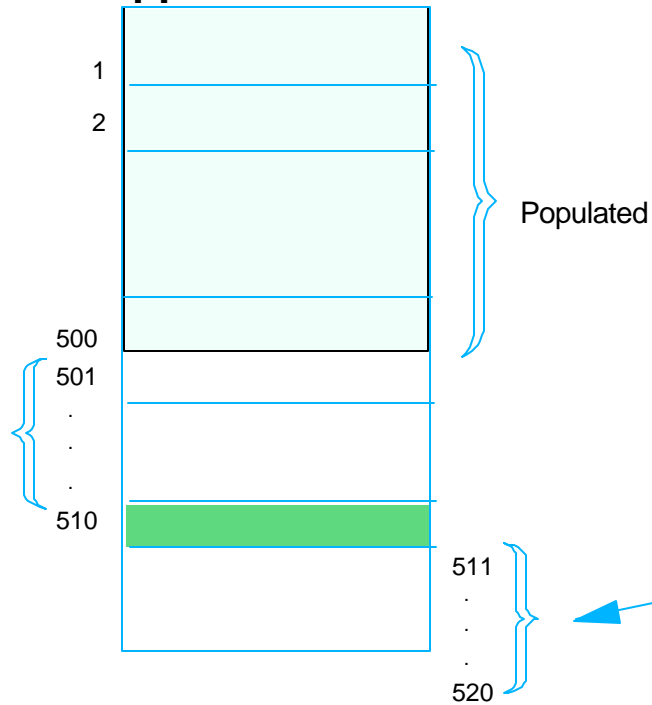
Job₁



ODP

SEQONLY(*YES)

PF

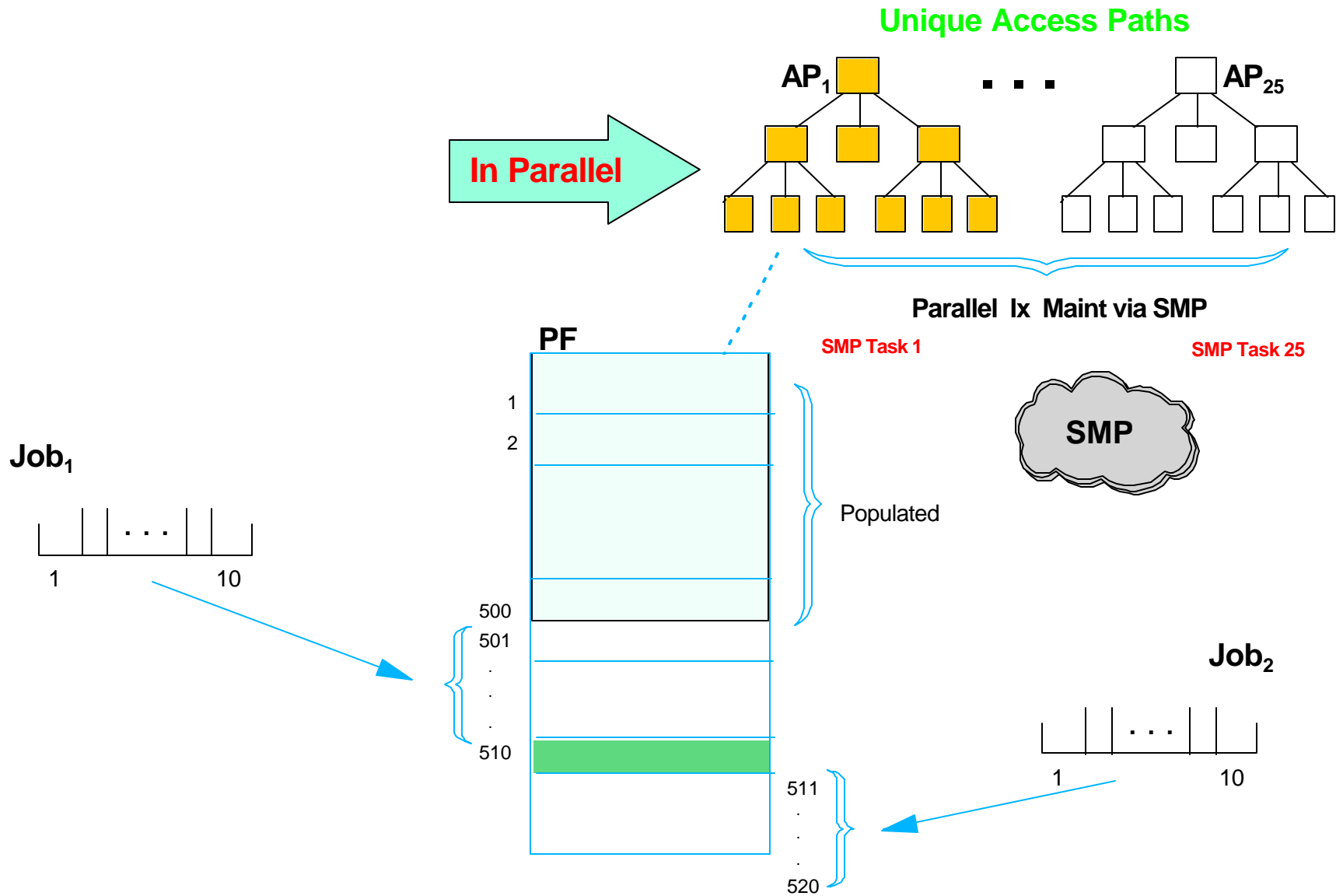


Job₂



In Parallel

Secondary SMP Parallelism for Index maintenance

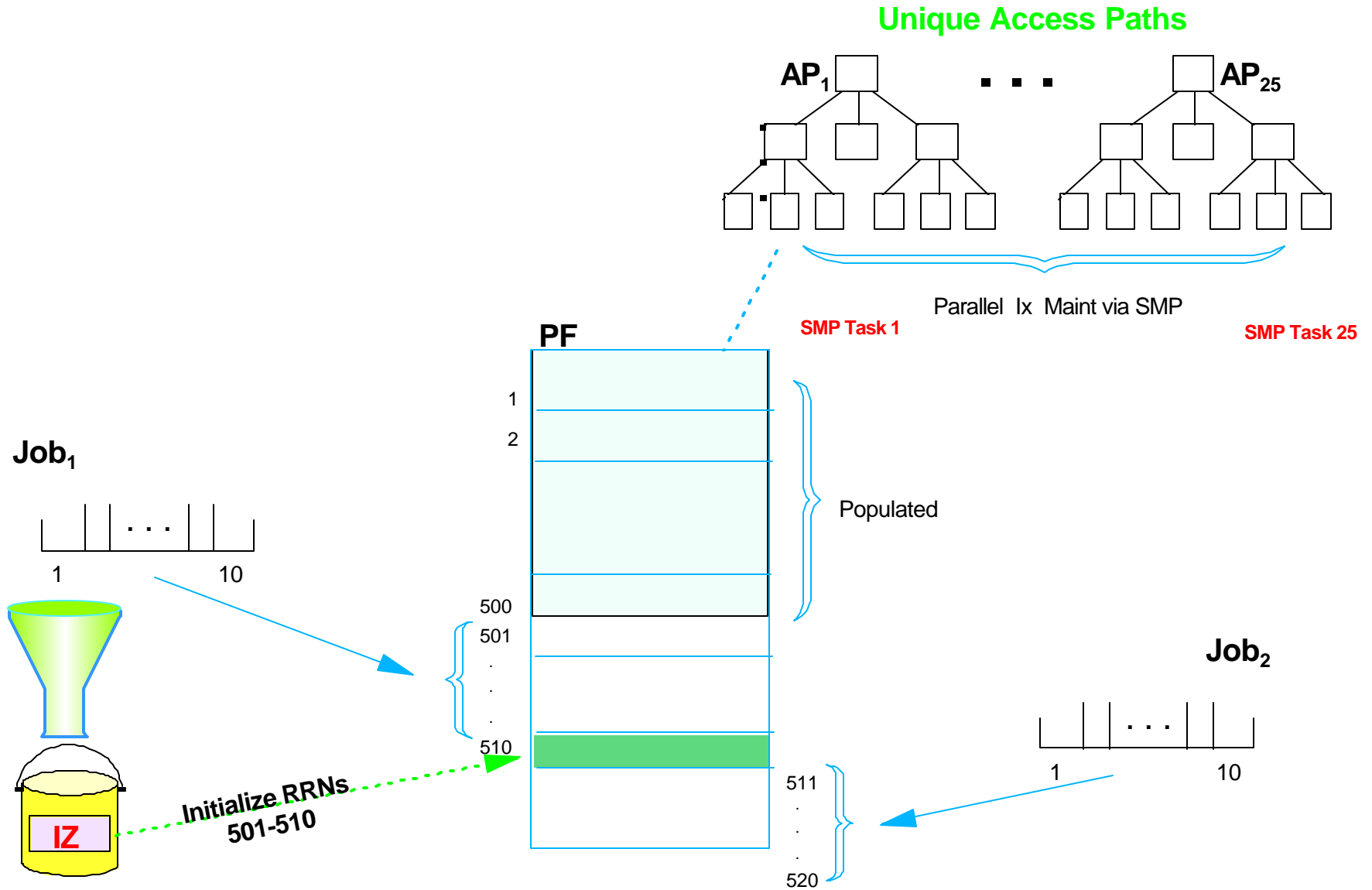


A Timing concern:

- What happens if the concurrent jobs don't finish in strict starting order ?
- How is our HA Vendor replay job going to cope with RRNs that seem to be out-of-order ?

Please: Not the dreaded "Out_of_Sync" condition !

Using the Journal to keep timings straight



New V5R2 Concurrent Holey Add support

- **Concurrent adds** can make a substantial performance difference
- **But...**
 - It has been difficult to replay them reliably without risking "Out_of_Sync"
- **For V5R2 we'll begin emitting IZ journal entries for these cases**
 - The **IZ** will reveal number of deleted entries to be inserted and RRNs
 - The IZ will be followed by proper number of **PX** entries



Net:

- **HA vendor software should cease seeing RRNs "out of order"**
- **Replay of IZ and PX should eliminate the former concerns**

Summary



Speed up batch jobs by:

- ★ Break into parallel threads
- ★ Specify SEQONLY(*YES)
- ★ Install and Enable SMP
- ★ Use the API to enable Holey Inserts
- ★ Request a version of H Vendor software that's prepared for IZ and PX entries



Customizing a **System_Managed** Journal

Customizing your System-Managed wait/Retry values

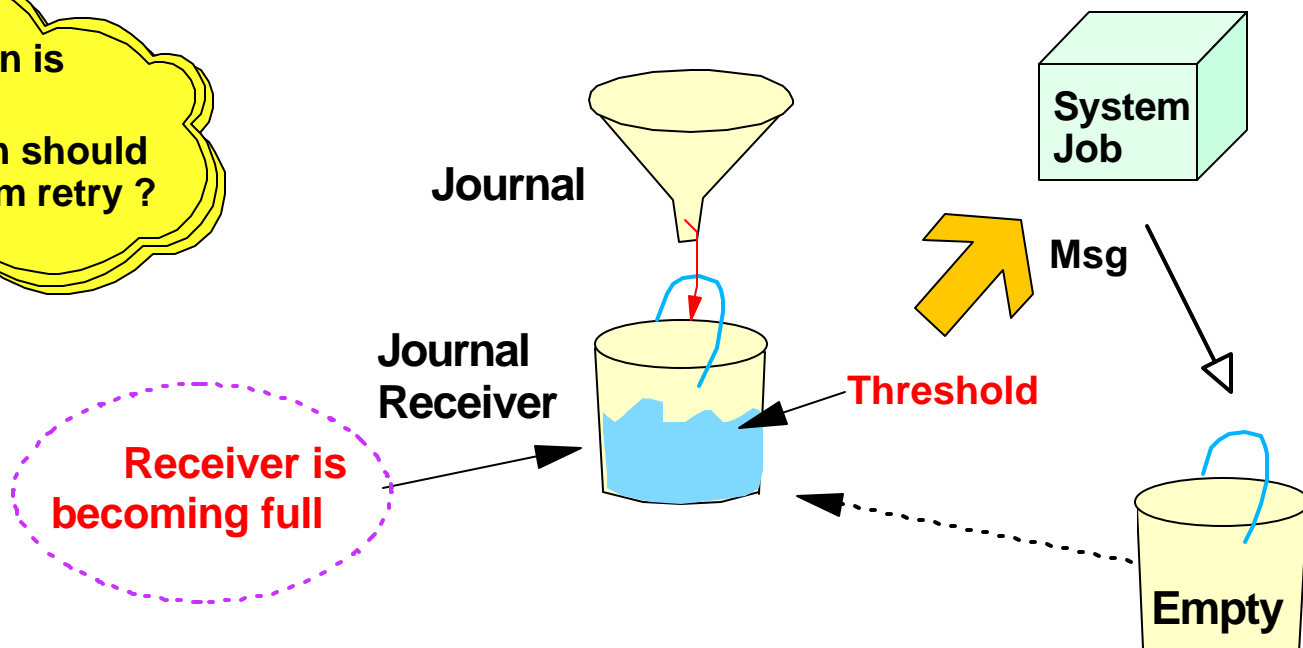
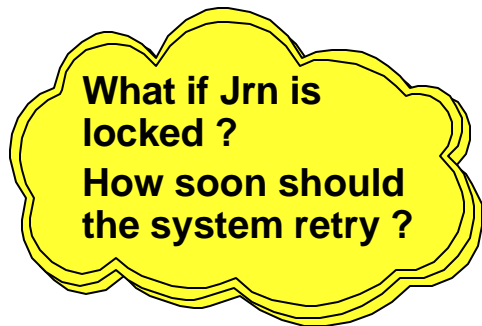
In V5R2 we are letting you tailor the **retry times** for CHGJRN/DLTJRNRCV for **system managed** journals.

V5R2!

Two new parameters on CRTJRN/CHGJRN:

MNGRCVDLY and **DLTRCVDLY**.

Values can be 1 to 1440 minutes (a full day). We had seen instances where 10 minutes was too long for some shops to wait to do the CHGJRN and the journal receiver hit **full**, also saw instances where re-checking every 10 minutes to do the delete when an exit program kept voting No was chewing up too much system resource.

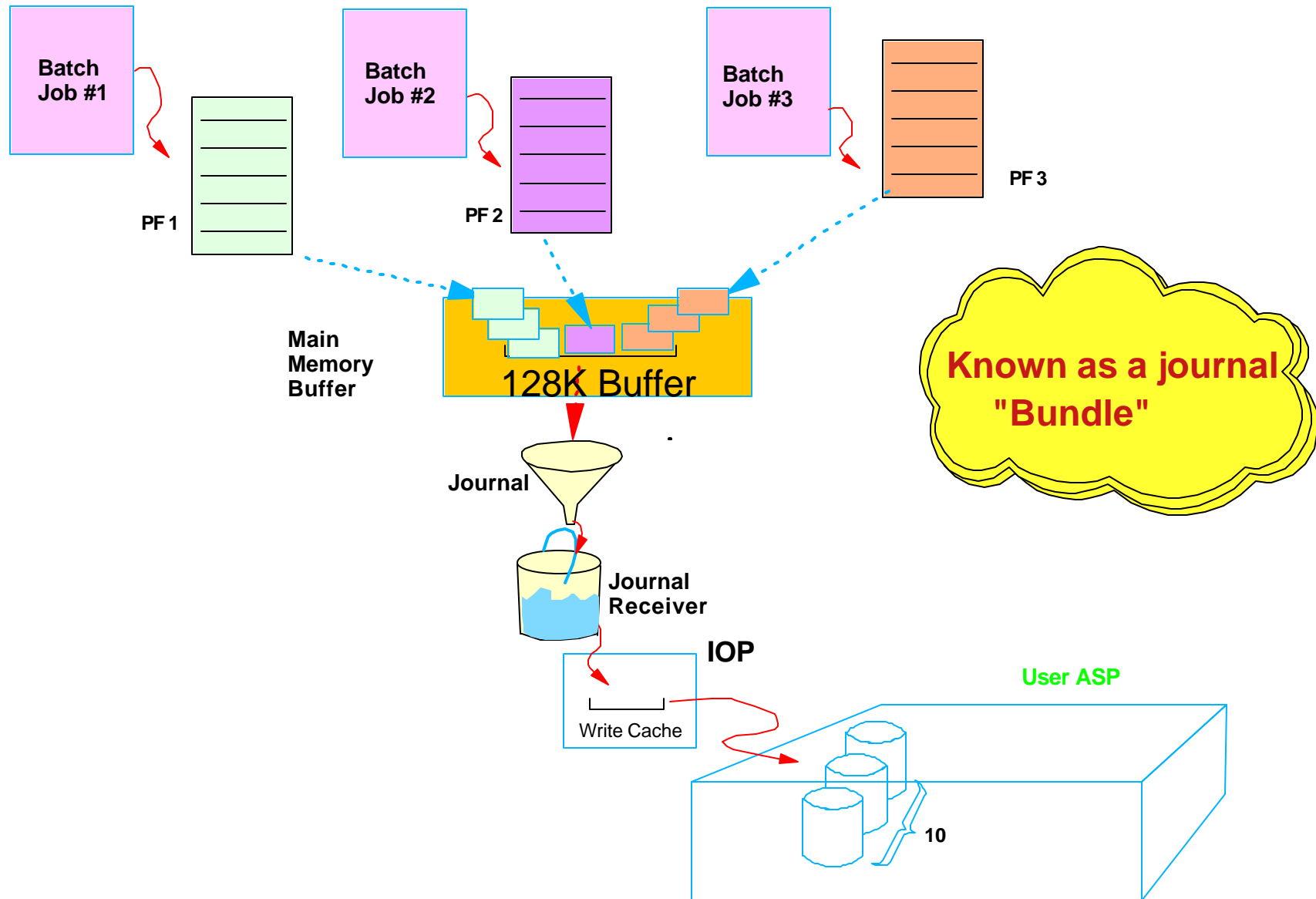


Receiver is becoming full

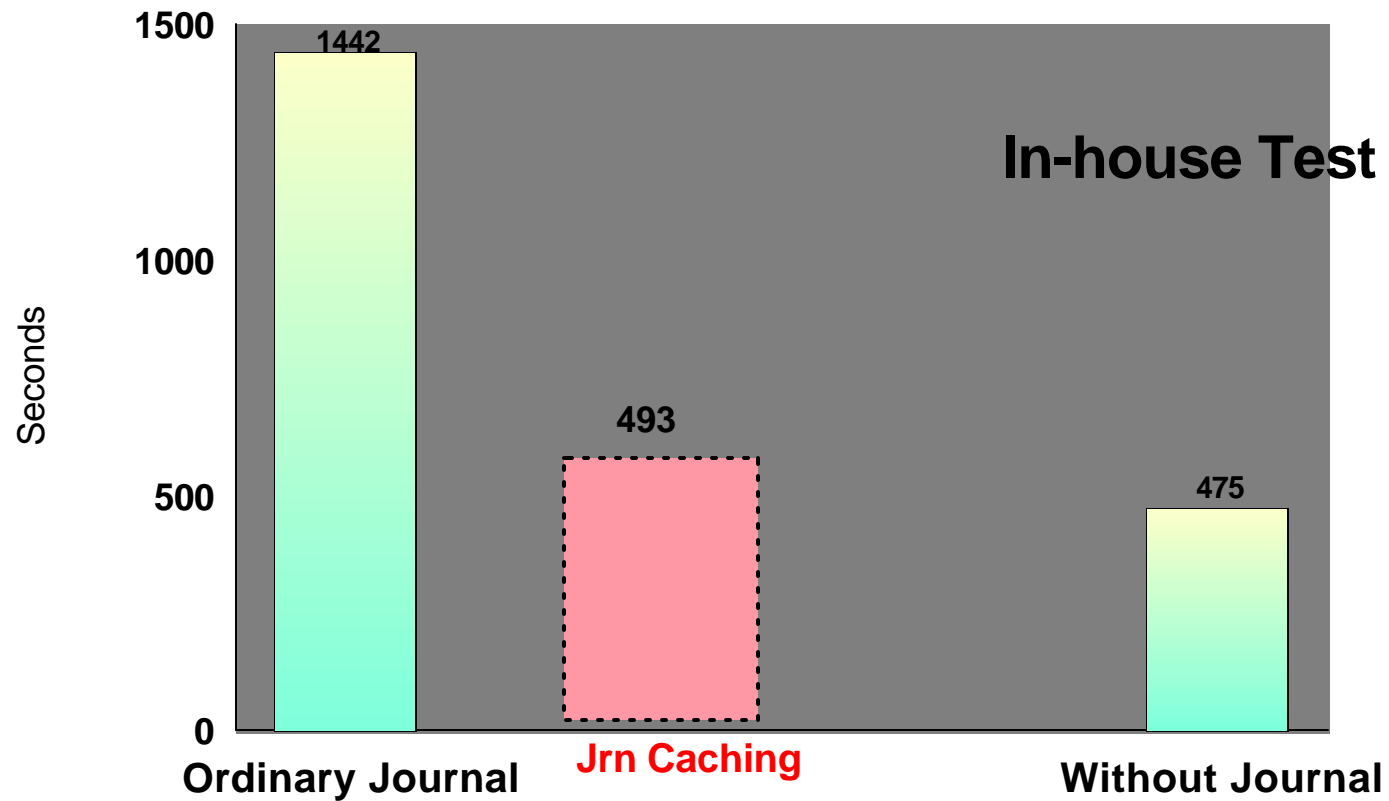


Aggressive Journal Caching

Journal Bundling



Impact of Jrn Caching



DB Updates during Batch

For V5R2: The former PRPQ grows up !



- Becomes a **priced feature** of the Operating System
Option 42 = **HA Journal Performance**



- ▶ Appears on **CHGJRN** command

CHGJRN JrnCache(*yes)

- **Impact becomes broader**
 - ▶ **It's not just for DataBase any more**
Caching also for Data Areas, Data Q's, Stream Files

Don't limit your thinking to only Database objects

Your applications *may* store critical data outside of the database:

Non-Database objects can now be journaled/replicated

- New Objects eligible for Journaling:
 - **Data Areas**
 - **Data Queues**
 - **IFS objects**

And...

- All of these can be "cached" as well !



Potential Performance Benefits

Batch Job

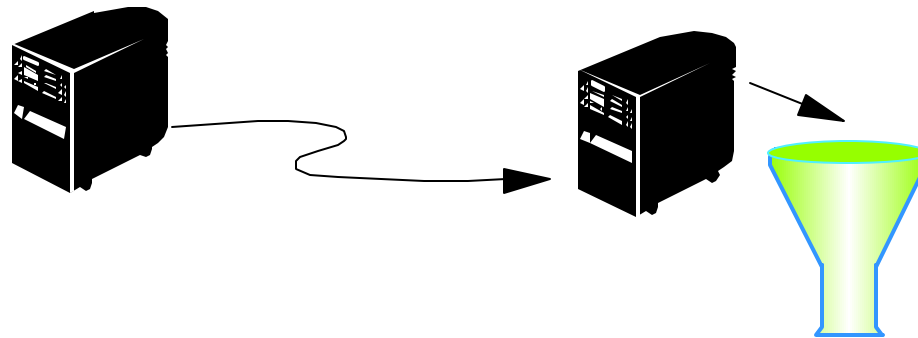
- 5 Million DB operations (10% Adds, 90% Updates)
- 9 Million resulting Journal entries
(captured both before and after images)

	Elapsed Time	
Original Batch run, no Journaling	1118 Sec	Base Run
Ordinary Journaling enabled	9773 Sec	
Using the new Journal cache	1433 Sec	

Potential Performance Benefits on Target side

(For Target Machine's Keep-up Mode)

	Apply rate on Target machine
Before Caching	600,000 transactions/Hr
With Caching on target	2,400,000 transactions/Hr



Summary



Net:

- ★ Order & Install Option 42
- ★ Enable JrnCache(*Yes)
 - ▶ On both Source and Target systems

V5R2!

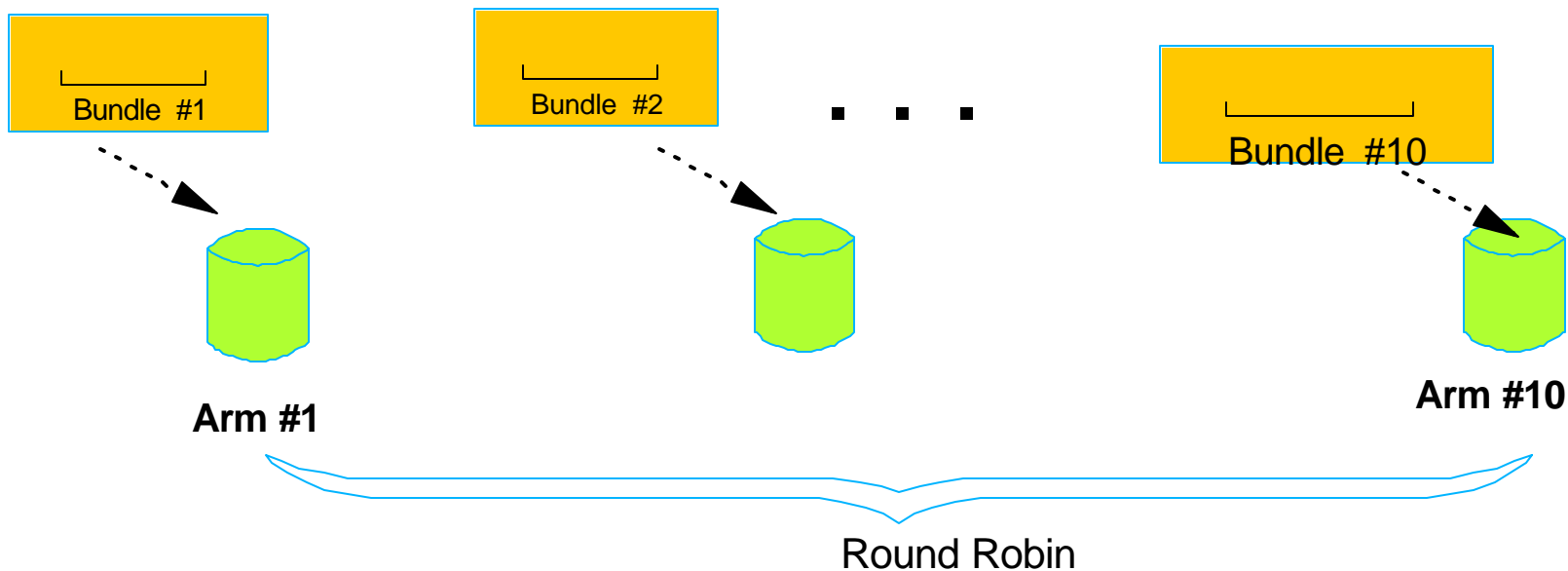


Peeking behind the curtain

Observing Journal "Bundling" characteristics

V5R2!

- ★ V5R2 has a new DSPJRN format (*TYPE5)
 - You can "see" the **disk arm #** per Jrn Entry
 - You can determine the average journal **"bundle"** width



The Jan & Feb '03 issues of iSeries NEWS



New V5R2 Journal features:

- ★ Eight "Tips" for Best Performance

Provides a copy of a sample program to calculate average bundle width

Summary



Conduct a Caching investigation and analysis:

- ★ **Run the sample cache-size analysis program**

- ★ If you're not achieving optimal cache sizes...
 - i.e. Seeing nearly average buffers 128k or wider
 - Find out WHY !



**And now,
my personal favorite...**

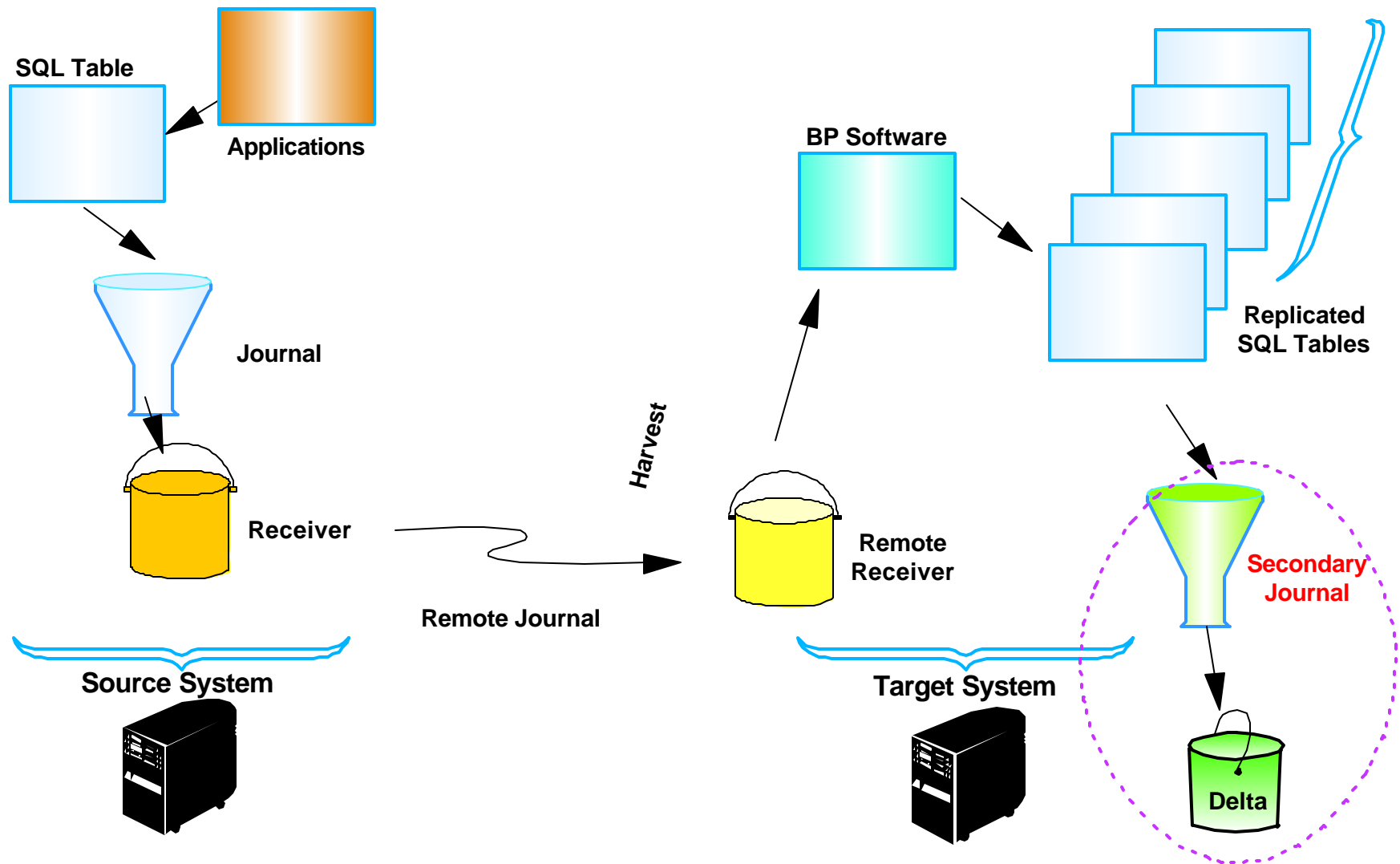


Standby Journal

The Problem:

- Applications need to fail over rapidly from production system to back-up system
 - You may want to protect/replicate **thousands** of objects
 - ✦ One ERP product replicates more than 64,000 database tables !
 - All of these objects are journaled on the source system
 - ✦ They also need to be journaled on the target system after the fail over
- It's expensive to keep them fully journaled on the target before it becomes the production machine
 - ✦ Being fully journaled slows down the HA BP "apply" jobs
 - It's similarly slow to start up journaling after the fail over
- The Solution:
 - **Journal Standby mode**

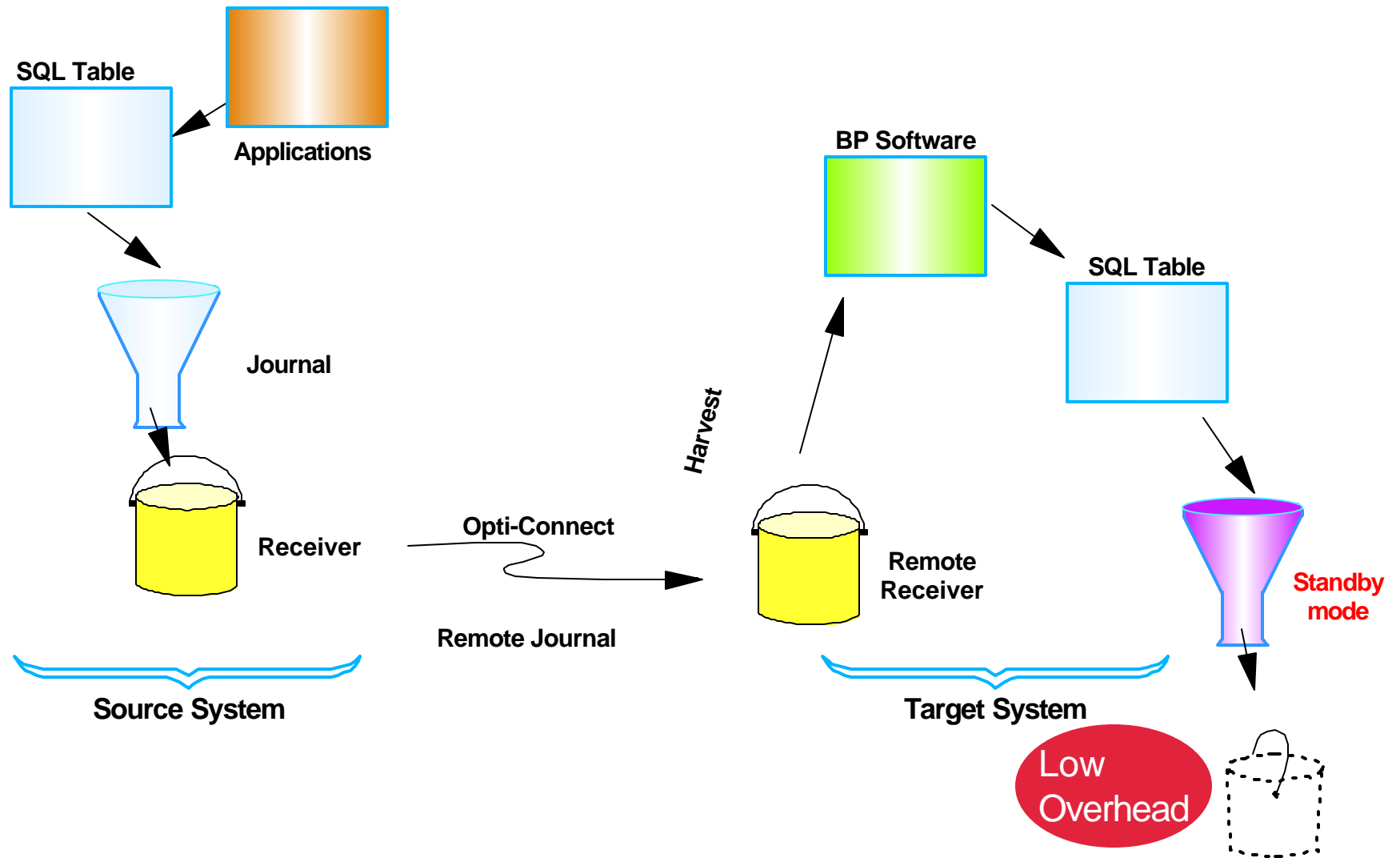
Cost of Enabling *Secondary Jrn* support on Target Sys



Standby mode

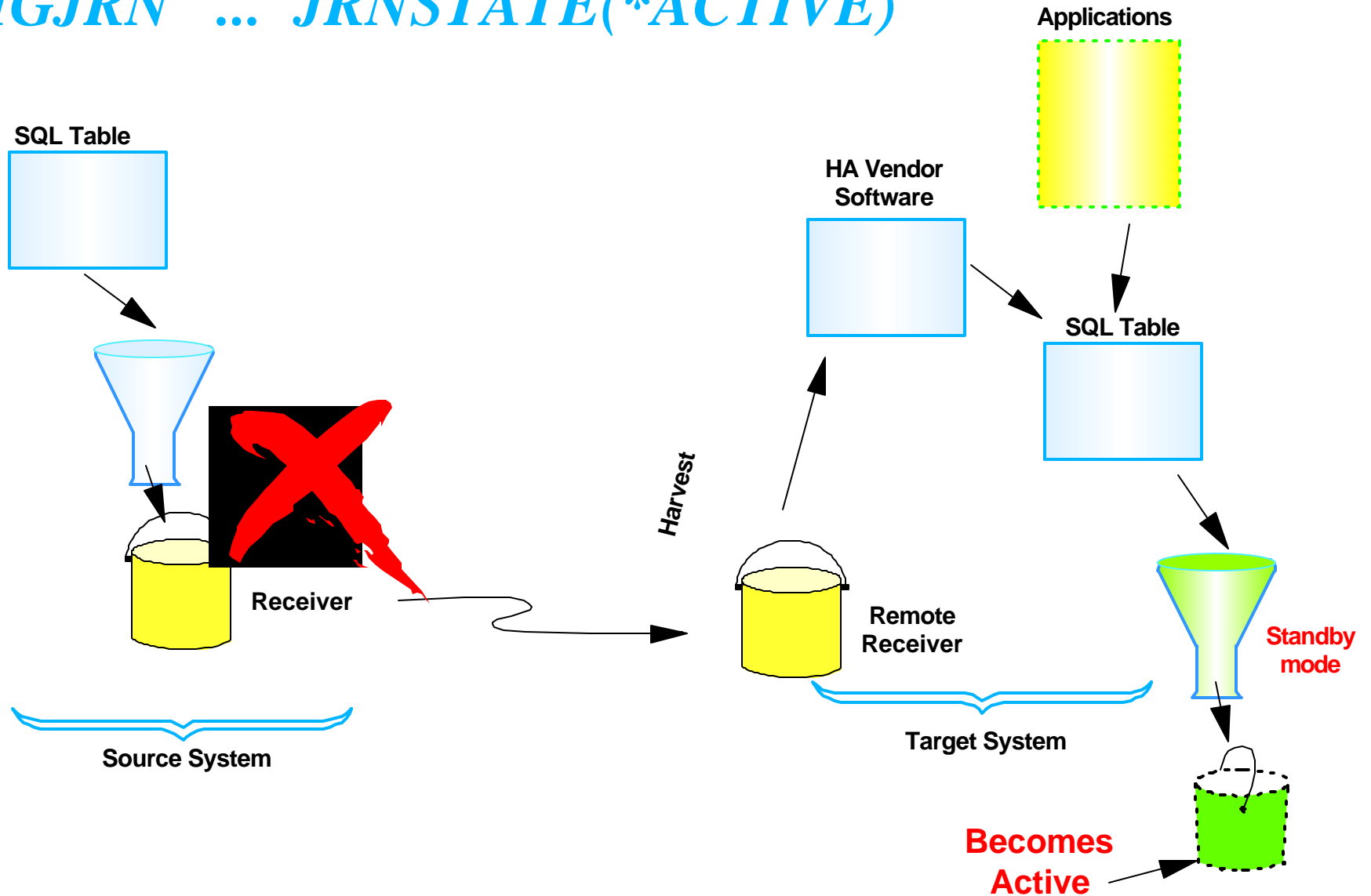
V5R2!

CHGJRN ... JRNSTATE(*STANDBY)



Role Swap: Switching out of Standby mode

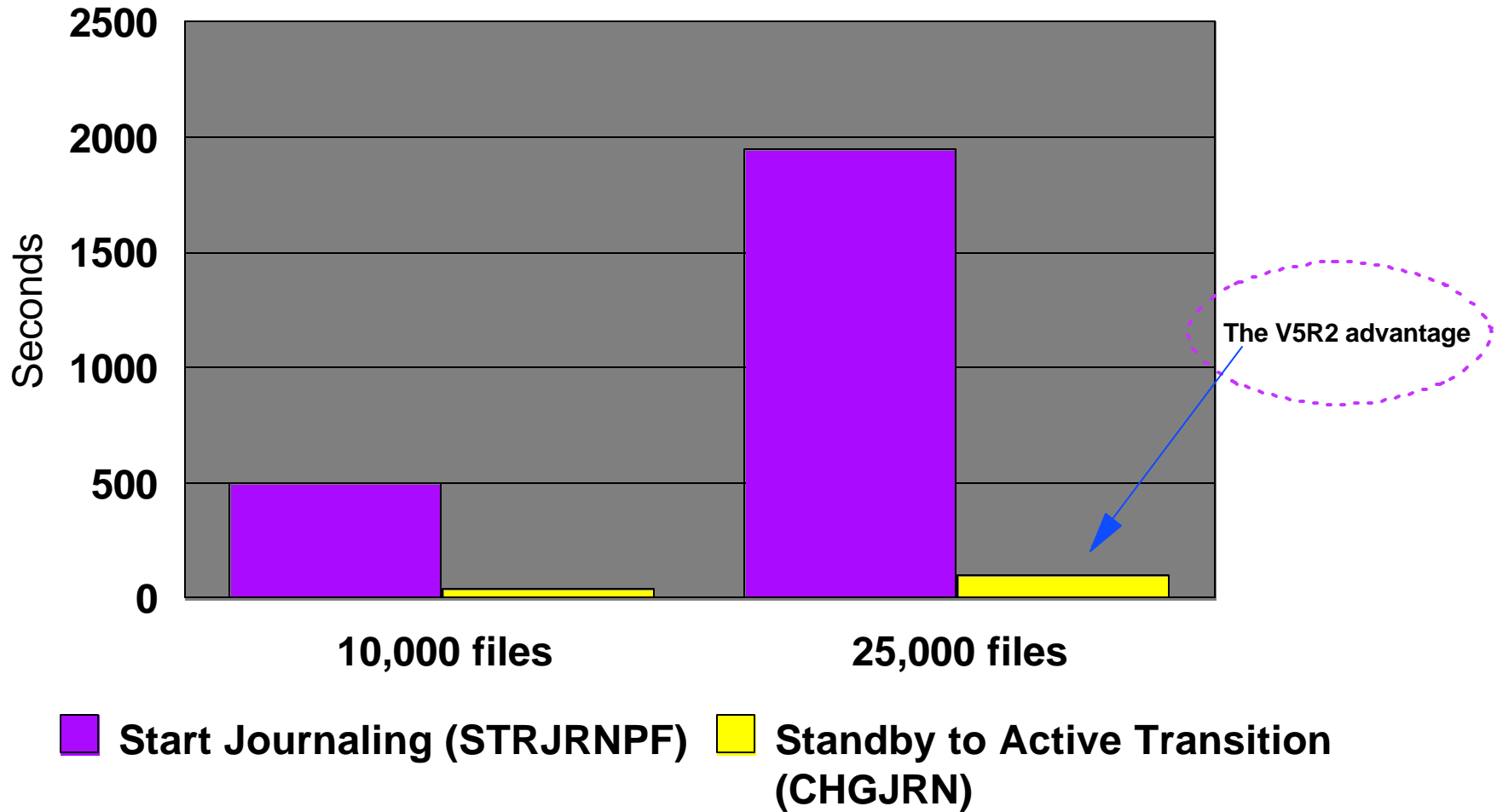
*CHGJRN ... JRNSTATE(*ACTIVE)*



Potential Benefit:

- Very little performance overhead on the target machine while in standby mode
- Much more rapid failover in the event of a failure
 - OS/400 merely flips **one** flag in the Journal rather than writing to disk thousands of Database file headers
- Mode transition rates during Role-swap as fast as...

Start Journal vs. Standby to Active Transition



Summary



Available via OS/400 Option 42:

★ Both:

- Standby Mode
- JrnCache(*YES)



Order, Install,
and enable

V5R2!



For some hands on experience consider the Journal Lab:


- **V5R2 Newest Journal and commit features**
 - 9am Tues: BL09
- **You can also try some "bonus" Remote Journal exercises !**



Lab will give you hands-on experience with:



V5R2 Newest Journal and Commit Features:

- New TYPE5 Journal Data
- Journal **Standby** Mode 
- SMAPP Visibility and Tuning
- Savepoints
- Journal Performance Counters and Tracepoints

Bonus HA Lab exercises:

- Remote Journal
- Simulated hot site recovery



Selecting Optimal Journal attributes



Selecting an optimal CHGJRN... **RCVSILOPT**

How tall and wide do you want your journal Receivers ?

★ ***NONE**

- Default, but slowest and most limiting

★ ***MAXOPT1** (V4R5)

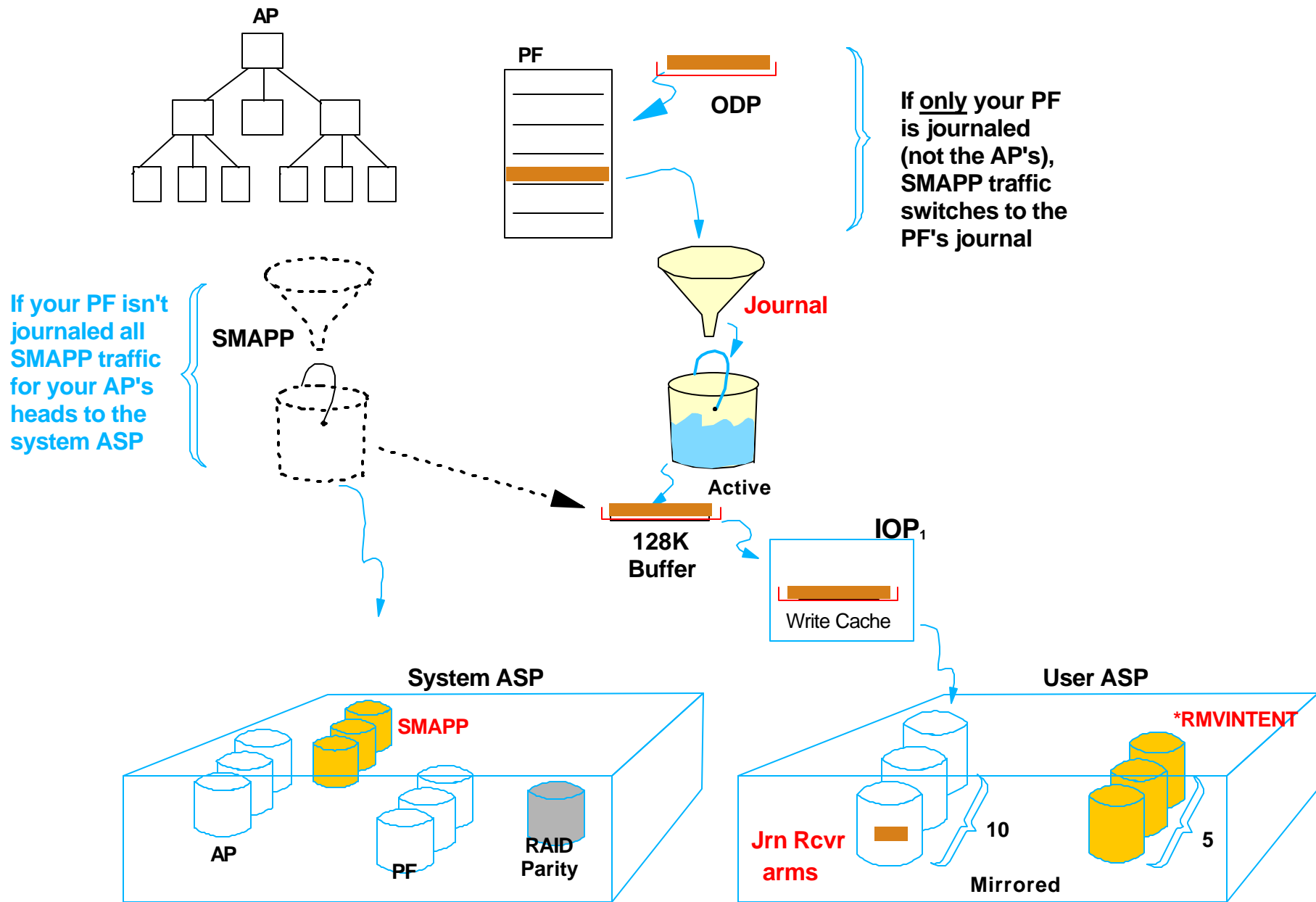
★ ***MAXOPT2** (V5R1)

- **Newest and best performing**
- Especially if you have lots of Journalled objects
- Especially if your applications create lots of new tables
- Especially if you do lots of MOVEs or RENAMEs



SMAPP performance and tuning

SMAPP traffic - - lurking the background



The Problem:

- SMAPP (System managed access path protection) is both a blessing and a curse
 - **Under-the-covers it's an implicit variety of journaling for Database files & Access Paths**
 - **The blessing:**
 - ✦ It shortens abnormal IPL time for the great unwashed
 - ✦ They need more of this castor-oil each release
 - **The curse:**
 - ✦ There ain't no free lunch!
 - ✦ All this background activity costs you something
 - ✦ SMAPP is very single-minded, he works diligently to achieve his objective no matter how much pain he causes
 - ✦ The pain stems from the fact that SMAPP can be a bully -- if he finds he can't pick on a big guy, he beats up the little guys (small access paths) to make up for it
 - **Standby mode will cause SMAPP to inflict even more pain unless we let you have more say so in who SMAPP picks on**
- The Solution:
 - **Let you see what SMAPP is doing, and throttle his bullying behavior**

SMAPP default values for protection



- **Originally default SMAPP protection was 150 minutes**
 - Meant IPL recovery time for Access Paths in the DB was capped at 2.5 Hrs
 - This original default cap was selected when disks and CPU's were much slower than those shipped today and the performance overhead of SMAPP was unknown
- **More recent default SMAPP settings have been drifting steadily downward:**
 - 120 Minutes for V4R5
 - 90 Minutes for V5R1
 - **70 Minutes for V5R2** } **New**

DSPRCYAP followed by F14

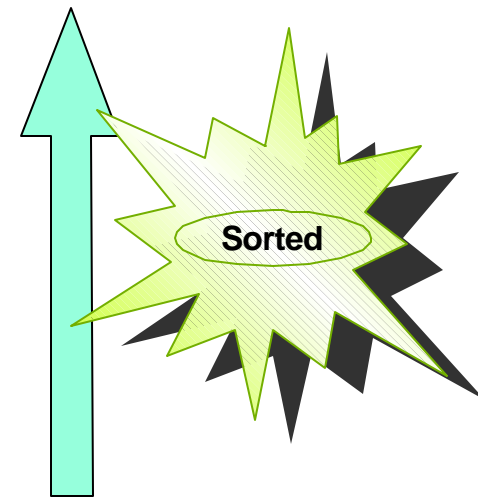
V5R2!

Use STRJRNAP to explicitly journal the top couple on this list

Display Protected Access Paths

03/07/02 13:55:13

File	Library	ASP	Estimated Recovery Time
INDEX2A	DATA1	1	00:52:42
INDEX3A	IASPLIB1	IASP39P	00:32:42
INDEX3B	IASPLIB1	IASP39P	00:10:42
INDEX27A	DATA2	1	00:08:42
INDEX33A	DATA1	1	00:08:42
INDEX2A	DATA2	1	00:00:22
INDEX27A	DATA2	1	00:00:21
INDEX3A	DATA1	1	00:00:21



Bottom

F3=Exit F5=Refresh F12=Cancel

List of Indexes protected by SMAPP
(This screen is new for V5R2)



For best performance...
Bid Farewell to **FRCACCPH**



Identify any uses of this ancient option

Not all Access Paths are eligible for SMAPP protection:

How do you know if you have ineligible indexes?

- Starting in V5R2....
 - The **EDTRCYAP**/DSPRCYAP screens shows the "total not eligible recovery time"
 - **F13** from the EDTRCYAP/DSPRCYAP screens shows which indexes are ineligible
 - It's a snapshot in time
- Only indexes you can do something about are shown
 - Shows reasons for being ineligible
 - Prime offender...

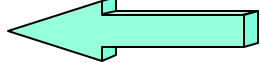
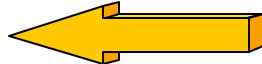
CHGLF **FRCACCPH(*YES)**



DSPRCYAP followed by F13

V5R2!

Display **Not Eligible** Access Paths RCHASBWH
03/07/02 13:55:13

File	Library	ASP	Estimated Recovery Time	Reason Not Eligible	
INDEX14F	DATA1	1	00:22:14	FRCACCPH(*YES)	
INDEX2	IASPLIB1	IASP39P	00:08:11	FRCACCPH(*YES)	
INDEXA	IASPLIB1	IASP39P	00:06:04	Multiple Journals	
INDEX22S	DATA1	1	00:04:02	JRNSTATE(*STANDBY)	

F3=Exit F5=Refresh F12=Cancel

Bottom

List of Not Eligible Indexes protected
(This screen is new for V5R2)

SMAPP adjustments for Standby mode



- **Access paths defined over physical files which are attached to standby journals will not be protected via SMAPP**
 - These Access paths are considered "**ineligible**" for protection
- **A list of the top 500 ineligible Access paths can be displayed per ASP**
 - Their reason for being ineligible is noted
- **A similar list of the largest "at-risk yet protected" Access paths can also be displayed**
- **For the first time you can advise the machine whether to compensate for the ineligible access paths by picking on "other" access paths (or not)**
 - The best performance typically ensues if you override the default and tell the machine NOT to pick on the "others" to compensate (which might make your IPL longer)

Making the wisest SMAPP Choice

- **What's the wisest choice on a Target Machine whose Journals are employing "Standby" mode ?**
 - Ans: Instruct the machine to Include ONLY the *ELIGIBLE Acc Pths
 - That way we don't waste cycles "overprotecting"

EDTRCYAP

Edit Recovery for Access Paths

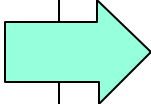
RCHASBWH

03/07/02 13:55:13

Estimated system access path recovery time . . . : 0 Minutes
Total not eligible recovery time : 40 Minutes
Total disk storage used : 21.864 MB
% of disk storage used : .042

Type changes, press Enter.

System access path recovery time . . . *MIN *SYSDFT, *NONE, *MIN,
*OFF, Recovery time



Include access paths ***ELIGIBLE** *ALL, *ELIGIBLE

-----Access Path Recovery Time-----			---Disk Storage Used---	
ASP	Target	Estimated	Megabytes	ASP %
1	*NONE	0	.811	.001
IASP39P	*MIN	0	21.053	.245

Bottom

F3=Exit F4=Prompt F5=Refresh F12=Cancel
F13=Display not eligible access paths F14=Display protected access paths

Telling us to ignore the Ineligible Indexes



More insight into Performance:

Better counters and Traces

Deep dark secrets...

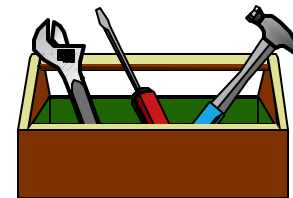
Lurking in the background are Journal tasks

They perform housekeeping chores



■ Starting in V5R2....

- You can examine:
 - ▶ Journal performance counters
 - ▶ Journal Trace points
- Armed with this new information you can better analyze what's really happening
- Want to see this in action ?
- Come to the V5R2 Journal lab...





Customizing the Chaff

Customizing what we collect

Change Journal (CHGJRN)

Type choices, press Enter.

```
Journal . . . . . > JRN1          Name
Library . . . . . > JRNLIB       Name, *LIBL, *CURLIB
Journal receiver:
  Journal receiver . . . . . > *GEN      Name, *SAME, *GEN
  Library . . . . .           Name, *LIBL, *CURLIB
Receiver size options . . . . . *SAME    *SAME, *NONE, *RMVINTENT...
      + for more values
Minimize entry specific data . . *SAME    *SAME, *NONE, *FILE, *DTAARA
Journal caching . . . . . *SAME    *SAME, *NO, *YES
Fixed length data . . . . . > *JOB      *JOBUSRPGM, *JOB, *USR...
      > *USR
      > *PGM
      > *SYSSEQ
      + for more values > *THD
Text 'description' . . . . . *SAME
```



More...

F3=Exit F4=Prompt F5=Refresh F12=Cancel F13=How to use this display
F24=More keys

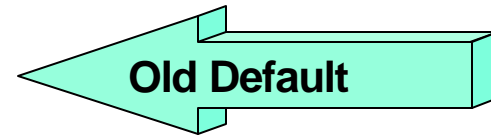
CHGJRN command with new FIXLENDTA parm

CHGJRN . . . FIXLENDTA(*RMTADR)

Specify Value for Parameter FIXLENDTA

Type choice, press Enter.

Fixed length data *JOBUSRPGM



Single Values

*JOBUSRPGM

Other Values

*JOB

*USR

*PGM

*PGMLIB

*SYSSEQ

*RMTADR

*THD

*LUW

*XID



F3=Exit F5=Refresh F12=Cancel F13=How to use this display F24=More keys

All options displayed for FIXLENDTA Parameter

Summary

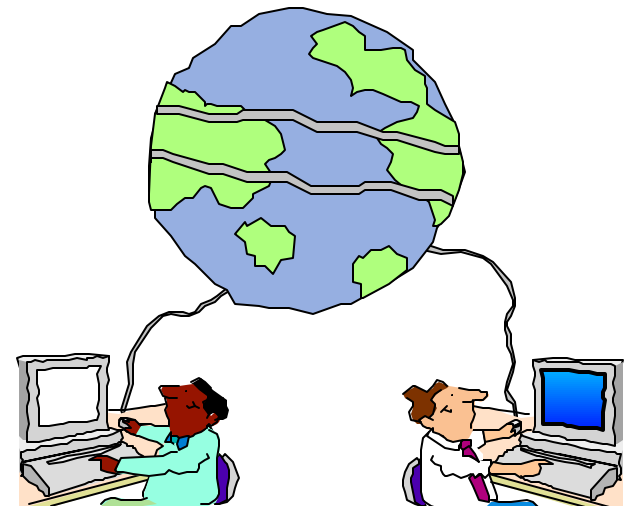


Be Selective:

- ★ Advise us to collect only what you truly need,
no more and no less



Intrusion Detection via Journaling



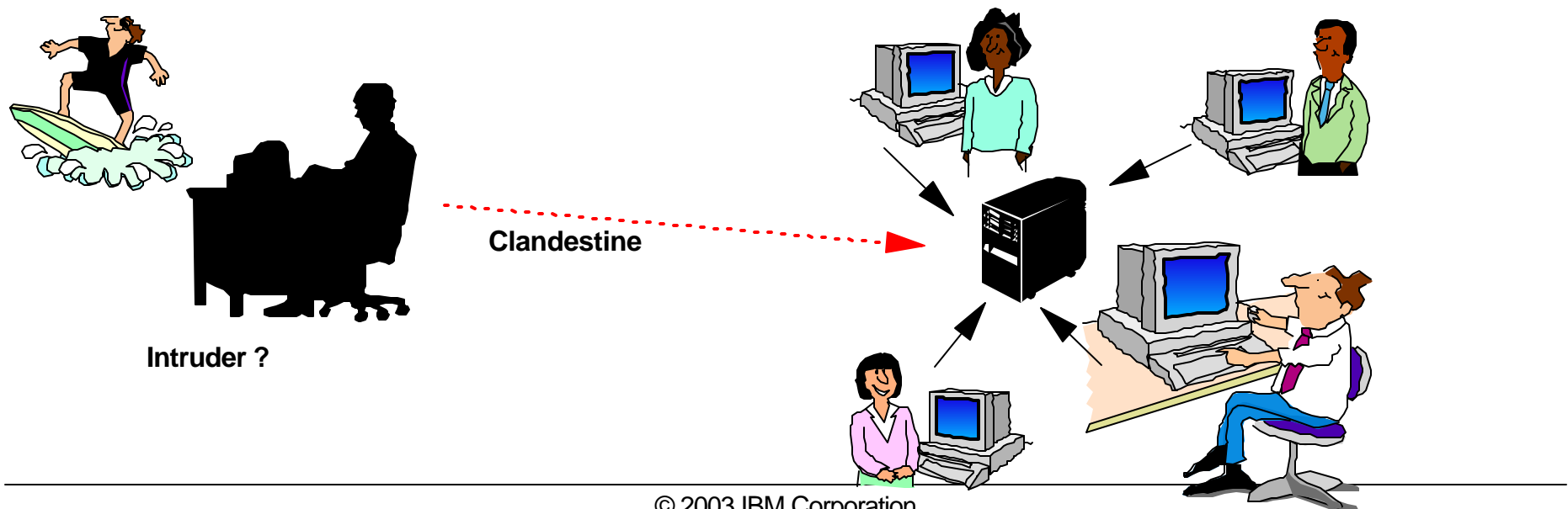
Intrusion Detection via Journaling for V5R2



You can instruct the Journal to record IP addresses

V5R2!

- Each DB modification will be tracked to the initiating address
- This may assist in tracking the origin of changes originating "outside"

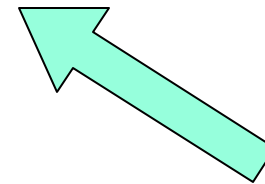


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V5R2 Intrusion Detection Enablement



- New audit points are being added to help with the aspect of knowing when a system is being attacked.
- Storing additional identity information like the **IP and Thread ID** helps to trace the intruder's activity.



This function is important because:

A system attached to a network can be attacked without the need for physical access or direct attached terminals. **System administrators need the ability to learn which IP address are initiating work or activity on the system.**

(Knowing you are being attacked)

V5R2: Collecting Additional Identity Information

New data stored for each Audit record:

(and optionally for other journals)

- Remote Address:**
 - ★ Identifies the IP address
 - ★ Identifies the Port
 - ★ Identifies the Address Family
- Thread ID - Identifies which thread within the process
- Program Library - Identifies the library in which the program resides
- Program Library ASP - Identifies the ASP, (both device name and number) housing the program library
- LUW ID - Identifies the Logical Unit of Work for the transaction
- XID - Identifies the specific transaction

Better Intrusion Detection



- Prior to V5R2 when system auditing is used, the information in the audit record can identify **what** happened and **when**, but when in a **TCP/IP** environment, it can be impossible to determine **who** caused it to happen. This is because audit records contain the job name, the job user profile, and the current user profile, but in cases where rejected signons are occurring, for example, there is no information about **where it may be coming from**.

} New

V5R2!

- Some applications are developed such that all work is done under **one** iSeries **user profile**, and therefore it can be impossible to determine the client in the network the job is actually doing work for.
- To provide additional information in security audit records, the thread id and the **remote address** will be included in the audit records

Summary



If you think you *might* have intruders...

Perhaps you'll want to consider use of the new
Journal features to spot them !

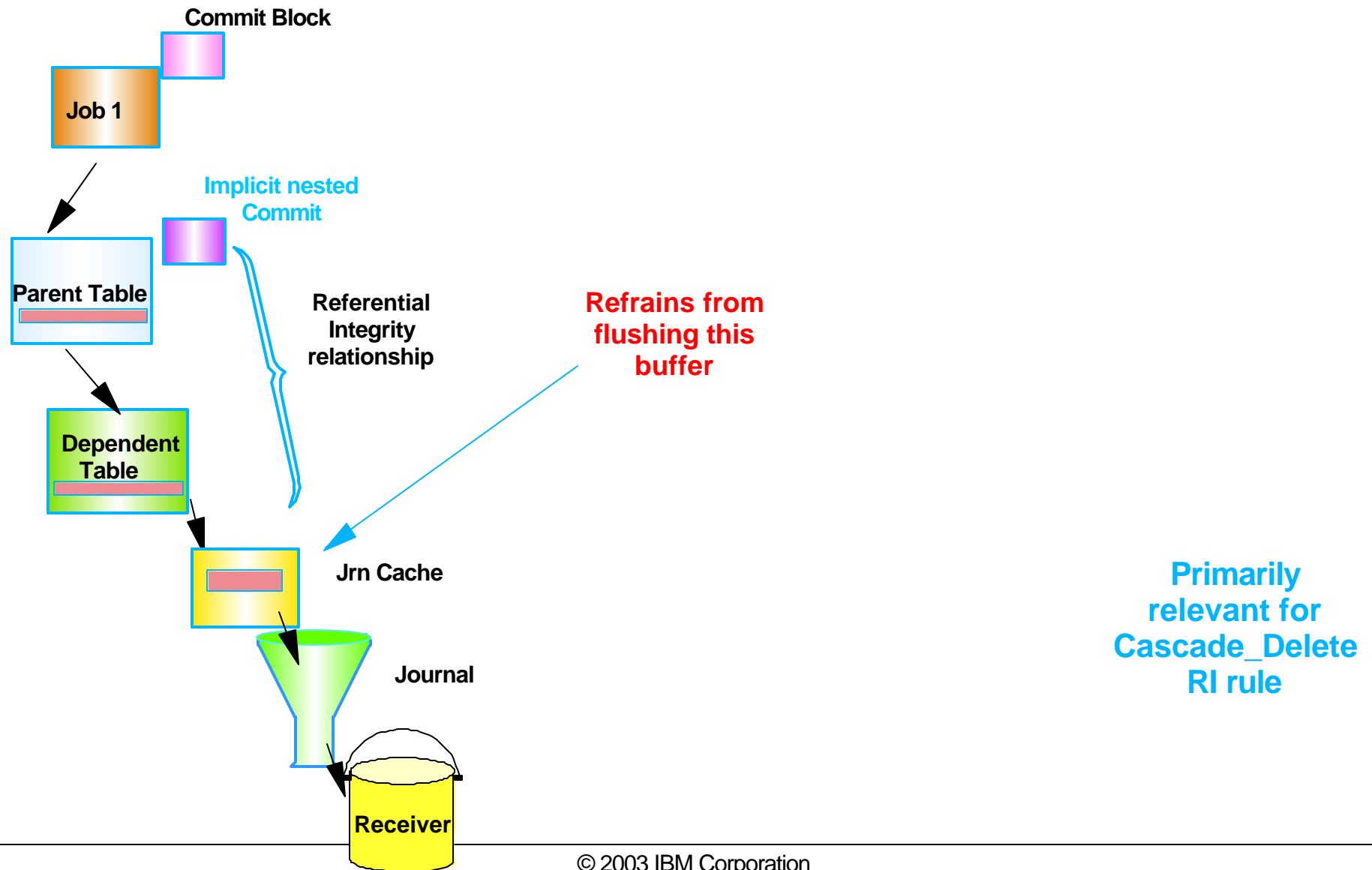


Soft Commit

The Problem:

- The machine inserts subtle/hidden implicit commit cycles as its means of enforcing **Referential Integrity** constraints in the Database.
 - These *implicit* commit cycles hurt performance - Especially if you're caching !
 - **Standby mode** does not allow any commit cycles to be present
 - ✦ (not even implicit commit cycles)
 - ✦ Hence, any customer or application which employs **RI** can't use Standby mode
 - The alternative to Standby mode is **Caching mode**
 - ✦ Unfortunately, the performance benefits of caching is thwarted by any operation which flushes the caching buffer
 - ✦ All commit cycles (even implicit ones) flush the caching buffer
- The Solution:
 - **Soft commit** (So novel, we're seeking a patent)

Soft implicit commit

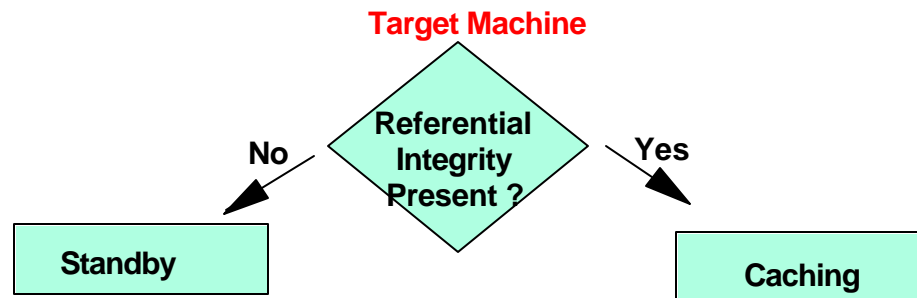


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Soft Commit

- Intended to help HA BP's achieve good performance on **target** machine when DB **referential_integrity** rules insert an *implicit* commit cycle.
- The presence of **soft commit** assures that System initiated commit cycles will cease prematurely flushing the journal main memory buffer.
- This makes use of Caching mode a good performer even when RI is present

Net:



- Use Caching mode (which capitalizes on "Soft" Commit) in place of Standby mode if RI is present

Summary



Faster Referential Integrity processing arrives
in V5R2 by enabling the Journal Caching option

(Soft Commit kicks in !)

V5R2!



Nested transactions

Nested Save points for transactions



Partial nested sub-transactions can be rolled back

■ Example:

- Travel reservation w/ nested Hotel, car, airline

■ Journal Entries:

- SC - Start outer transaction
- PT - New record for tentative Hotel in Rochester
- <----- Save Pt #1 here (keep the Hotel Rm even if flight if full)
- PT - New record for tentative Car rental from Rochester airport
- --- attempt to lock in flight to RST shows plane is full
- --- Application decides to fall back to Save_Pt #1
- DR - Car reservation in RST is canceled (rolled back) but not Hotel
- PT for new tentative Car rental in MSP
- PT Flight to MSP (I'll drive down)
- CM - Commit whole transaction (notice not committing RST car)

Nested

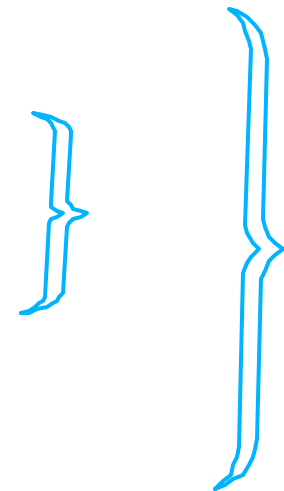
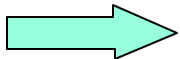
SQL Save Point Syntax

V5R2!

This example contains **nested savepoints** with the inner cycle being released, but the outer cycle being rollback.

Only "**Marriott**" will survive after this rollback in this case.

```
Insert into My_Lib/Hotel values ('Marriott');
      savepoint sp1 on rollback retain cursors;
Insert into My_Lib/Rental_Car values ('Hertz');
      savepoint sp2 on rollback retain cursors;
Insert into My_Lib/Airline values ('Northwest');
      release savepoint sp2;
Insert into My_Lib/Credit_Card values ('Visa');
      rollback to savepoint sp1;
commit;
```



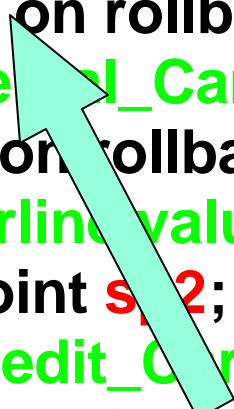
Nested

The nested rollback...

This example contains **nested savepoints** with the inner cycle being released, but the outer cycle being rolledback.

Only "**Marriott**" will survive after this rollback in this case.

```
Insert into My_Lib/Hotel values ('Marriott');
    savepoint sp1 on rollback retain cursors;
Insert into My_Lib/Rental_Car values ('Hertz');
    savepoint sp2 on rollback retain cursors;
Insert into My_Lib/Airline values ('Northwest');
    release savepoint sp2;
Insert into My_Lib/Credit_Card values ('Visa');
    rollback to savepoint sp1;
commit;
```

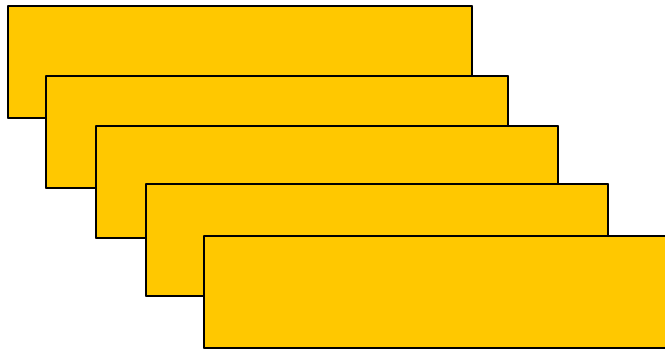




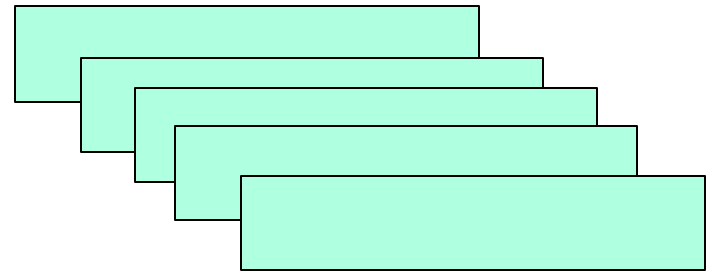
**More progress screens
for long-running
decommit operations**

If you've got run-away batch job with a large commit cycle..

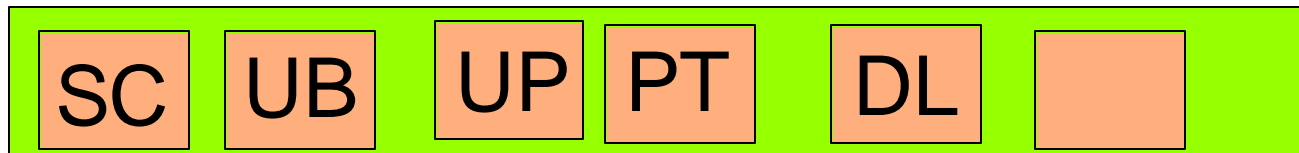
Modified Rows



Locks held



Journal Receiver



The Problem:

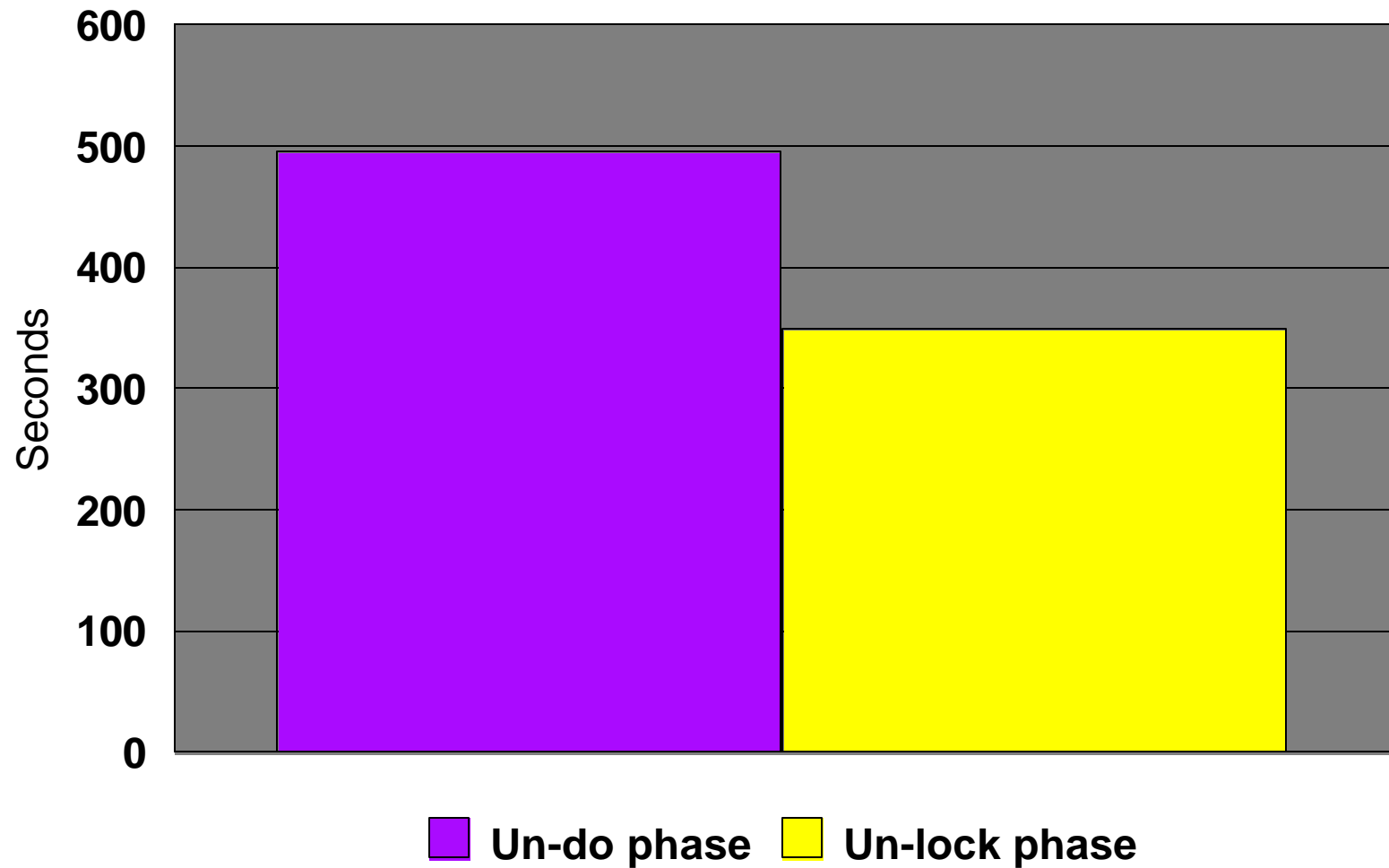
- Runaway jobs and sleepy operators often create enormous long-running jobs which tie up all the database and journal resources
 - If these jobs are running under commitment control as a single huge commit cycle, they can take your machine hostage
 - Customers often try to kill such jobs only to find that the ensuing decommit operation seems to take a month of Sundays
 - They want to know what's going on and how long it will be until they are whole again

■ The Solution:

- **New screen to show progress during a long-running decommit operation**
- We'll now show both steps:
 - ✦ The actual **rollback** of database operations (so-called "un-dos")
 - ✦ The subsequent (and time-consuming) **unlock** of millions of records

A blue oval containing the text "V5R2!" in white.

Rollback involves two phases:



The Commit Status Screens: WRKCMDFN

Display Journal Status

System: RCHASJMB

Job: QPADEV001P User: RANDYJ Number: 071957

Commitment definition : *DFTACTGRP

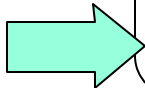
Type options, press Enter.

5=Display commit cycle entries 6=Display all entries
7=Work with journal attributes

Opt	Journal	Library	Commit Cycle Identifier
—	MYJRN1	MYLIB1	123
—	MYJRN2	MYLIB2	456
—	MYJRN3	MYLIB3	789

Bottom

F3=Exit F5=Refresh F6=Display resource status F9=Command line
F11=Display rollback status F12=Cancel F23=More options



The Screens: (The rollback phase)

V5R2!

F11 gives:

```
Display Journal Status

System:   RCHASJMB

Job:     QPADEV001P   User:   RANDYJ   Number:  071957


Commitment definition . . . . . :   *DFTACTGRP

Type options, press Enter.
  5=Display commit cycle entries   6=Display all entries
  7=Work with journal attributes

-----Rollback-----
Opt  Journal      Library      Date      Time      % Complete
--  -
_   MYJRN1        MYLIB1
_   MYJRN2        MYLIB2      08/23/01  12:00:00  50
_   MYJRN3        MYLIB3

F3=Exit   F5=Refresh   F6=Display resource status  F9=Command line
F11=Display unlock status   F12=Cancel   F23=More options
```

Bottom



The Screens: (The unlock phase)

F11 again gives:

```
Display Journal Status

System:   RCHASJMB

Job:     QPADEV001P   User:   RANDYJ   Number:  071957

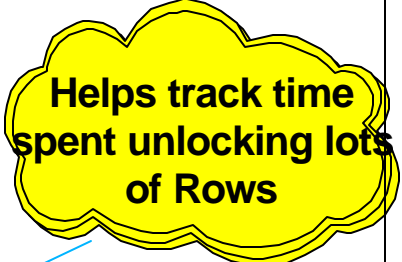
Commitment definition . . . . . :   *DFTACTGRP

Type options, press Enter.
  5=Display commit cycle entries   6=Display all entries
  7=Work with journal attributes

-----Unlock-----
Opt  Journal      Library      Date      Time      % Complete
--  -
_   MYJRN1        MYLIB1
_   MYJRN2        MYLIB2
_   MYJRN3        MYLIB3

Bottom

F3=Exit   F5=Refresh   F6=Display resource status   F9=Command line
```



Come try out these new features for yourself !

- 9am Tues: BL09
- You can also try some "bonus" Remote Journal exercises !



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