



# DFSORT/VSE - The Complete Sorting and Reporting Solution



## DFSORT/VSE 3.4

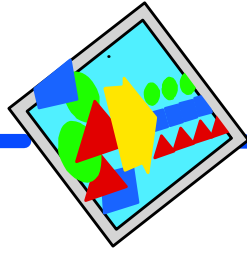
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San Jose, California 95193**

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email: [burtjm@us.ibm.com](mailto:burtjm@us.ibm.com)**

**[www.ibm.com/storage/dfsortvse](http://www.ibm.com/storage/dfsortvse)**

**RETURN TO INDEX**



# Reminder

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## DFSORT/VSE 3.4

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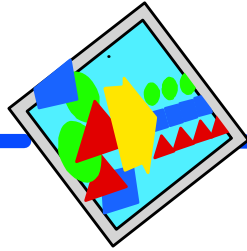
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For more information on the test environment and results, have your IBM field representative contact the DFSORT Hotline electronically at [sort@vnet.ibm.com](mailto:sort@vnet.ibm.com).



# Test Environments

## DFSORT/VSE 3.4

Performance measurement test runs for DFSORT/VSE Version 3 Release 4, DFSORT/VSE Version 3 Release 3, and Sort/Merge V2R5 were completed using the same test environments. The test environments included:

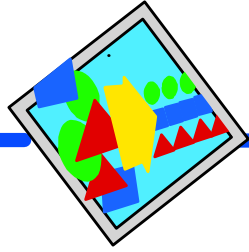
- Size of data space area: from 5 MB to 26 MB
- Size of GETVIS area: from 5 MB to 26 MB
- Size of partition program area: from 64 KB to 5376 KB
- FLR and VLR files: from 3.1 MB to 67 MB
- Number of records: from 1000 to 220000
- Block size: from 6400 to 56664 bytes
- Record length for FLR: 320 bytes
- Average record length for VLR: 320 bytes
- Keys were in random order and were 8 bytes in length
- For both DFSORT/VSE releases and Sort/Merge V2R5, the SVA eligible modules were loaded in the SVA
- VSE/ESA 2.2.1 operating system was used

All measurements were run on an IBM 9672 Model R73 with 512 MB of central storage, in a stand-alone VSE/ESA environment. All of the input, output, and work files resided on IBM 3390-2 disk storage connected to an IBM 3990-3 storage control unit. Both volatile cache of 256 MB and non-volatile disk storage Fast Write cache of 4 MB of the 3990 Model 3 disk storage controller were activated.

The actual performance characteristics that may be experienced by any specific user or for any specific file depends on many factors, including record length, file size, and DFSORT/VSE and Sort/Merge V2R5 storage options; noticeably, in a multitasking environment, elapsed time results are application-profile and workload dependent. So, the results may differ from user to user.

IBM does not represent nor warrant that users will experience the same changes in performance characteristics observed in these examples.

# Trademarks



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## DFSORT/VSE 3.4

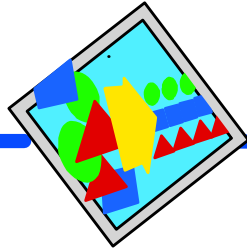
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- VSE/ESA
- IBM
- Language Environment

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# Abstract

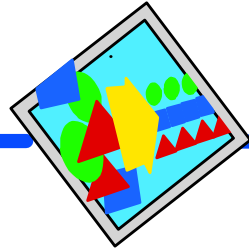


**DFSORT/VSE 3.4**

**Find out what we've done with our latest release of DFSORT/VSE!  
With every release, we get faster and faster and add productivity  
enhancements to make your job easier! DFSORT/VSE has:**

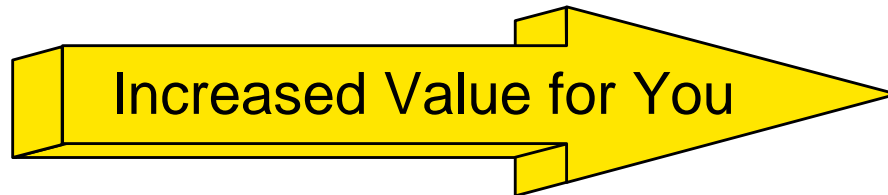
- FASTER Sorting, Copying and Merging**
- EASY ICETOOL reporting**
- FLEXIBLE data handling and output formatting**
- NEW Year 2000 features**

**DFSORT/VSE makes good sense for you and your business! Come  
and find out why!**



# DFSORT/VSE Evolution

## DFSORT/VSE 3.4



## DFSORT/VSE 3.4 - 5/98

- Improved performance
  - Getvis sorting
  - Dataspace sorting
  - Partition sorting
  - COPY
  - MERGE
- Additional Year 2000 formats
- Online message explanations (OME)
- OUTREC enhancements
- INCLUDE/OMIT enhancements
- ZDPRINT feature
- STXIT Improvements
- Full Year 2000 date formats
- OPTION PRINT=CRITICAL
- ENDEOD=YES|NO

## DFSORT/VSE 3.3 - 2/97

- Improved sorting algorithms
  - Getvis sorting
    - Incore, VLR
  - Dataspace sorting
    - VLR (new!)
    - Incore, FLR
  - COPY
  - MERGE
  - Tape
- File Management Support
- Year 2000 features
- National language support

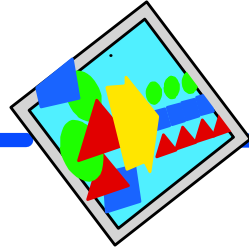
## DFSORT/VSE 3.2 - 10/95

- Improved workfile use (multivolume SAM ESDS)
- Improved sorting algorithms
  - GETVIS sorting
    - Incore and Non-incore
    - FLR and VLR
  - Dataspace sorting
    - Non-incore
    - FLR
- ICETOOL

## DFSORT/VSE 3.1 - 9/94

- 31-bit addressing
- Getvis sorting (FLR & VLR)
- Dataspace sorting (FLR)
- Secondary allocation for VSAM Managed Workfiles
- STXIT support

# Performance Enhancements with DFSORT/VSE 3.4

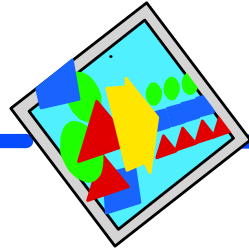


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DFSORT/VSE 3.4

- **Dataspace Sorting**
- **Getvis Sorting**
- **Partition Sorting**
- **Copy and Merge Enhancements**

# Summary of DFSORT/VSE R4 Performance Enhancements

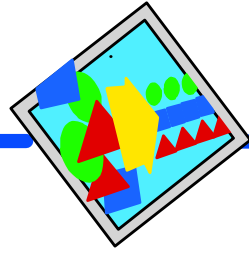


DFSORT/VSE 3.4

- **Enhanced data processing methods for:**
  - ▶ Dataspace sorting (incore and non-incore)
  - ▶ Getvis sorting (incore and non-incore)
  - ▶ Partition Program Area sorting (non-incore)
  - ▶ Copy and Merge applications
- **Enhanced input/output processing for:**
  - ▶ Non-VSAM input and output files
  - ▶ Variable-length record SAM output files
- **ECKD support for input, output and work files**



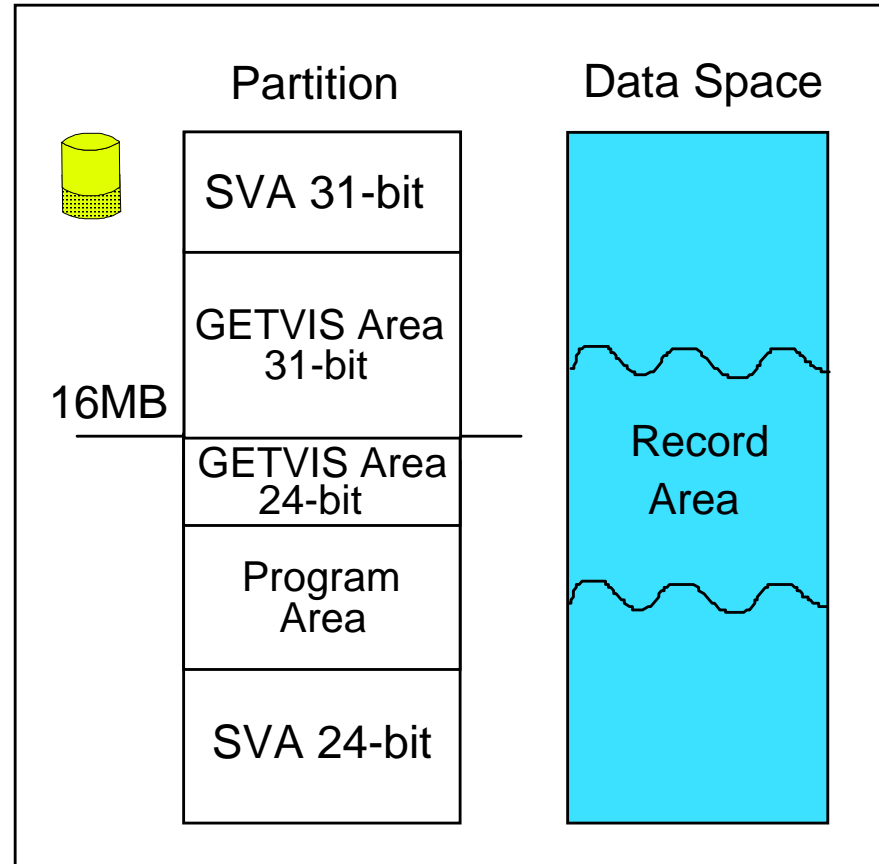
# Dataspace Sorting



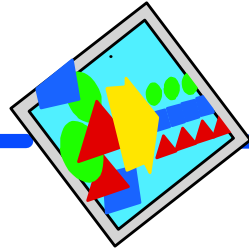
DFSORT/VSE 3.4

Dataspace sorting  
is used if DSPSIZE=n

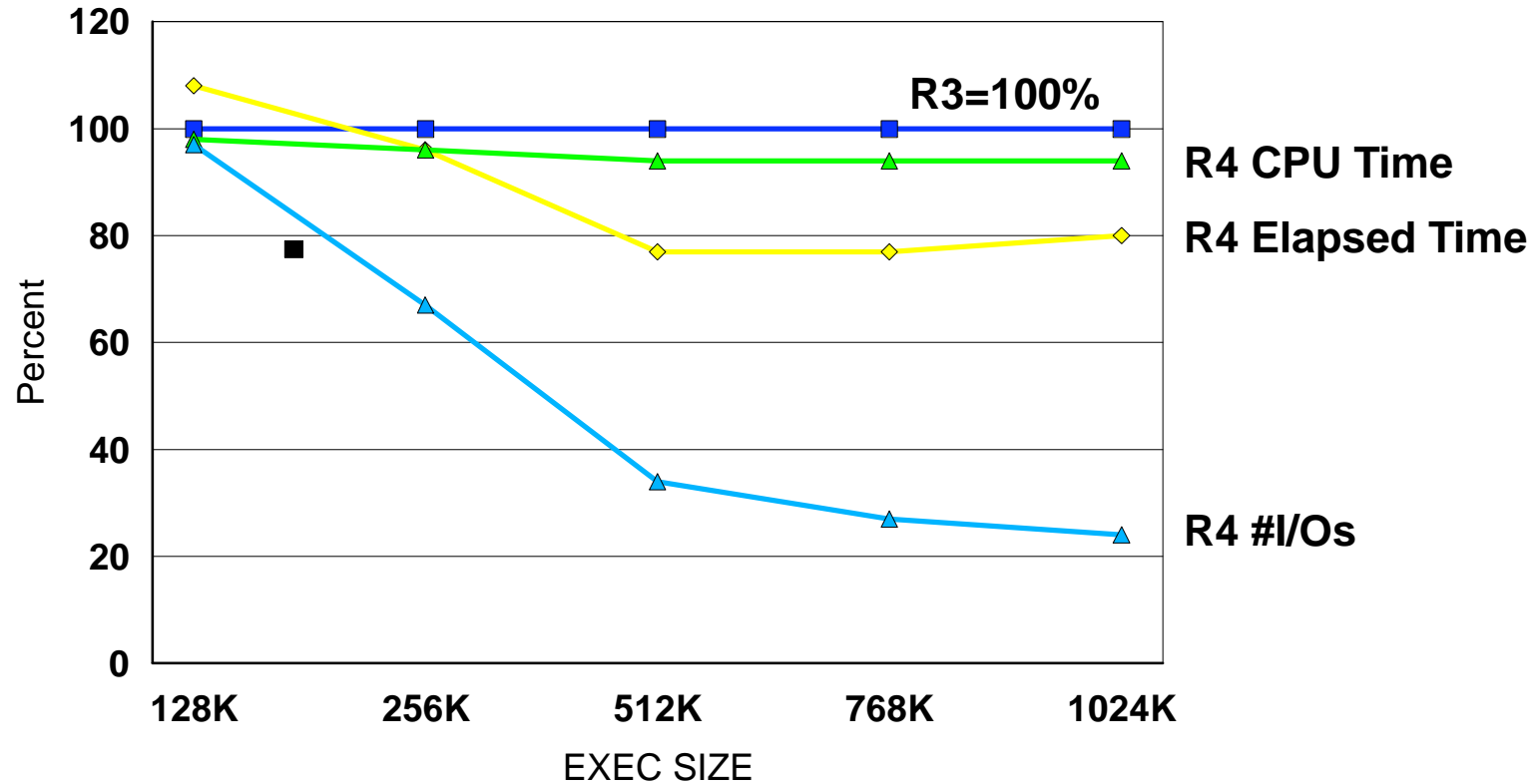
Dataspace sorting  
is a very efficient  
DFSORT/VSE sorting  
technique



# FLR Incore Dataspace Sorting R4 vs R3 Perf. Comparisons



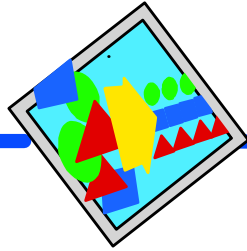
DFSORT/VSE 3.4



— BAM sortin/sortout files used

Improve your R4 performance by using optimal EXEC SIZE values

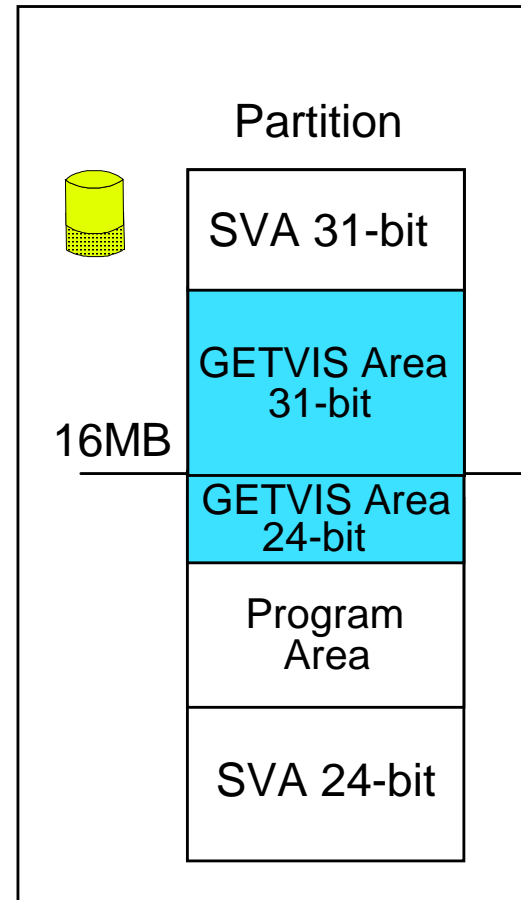
# Getvis Sorting



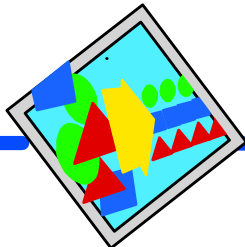
DFSORT/VSE 3.4

**Getvis sorting  
is used if  
GVSIZE=n and  
DSPSIZE=0**

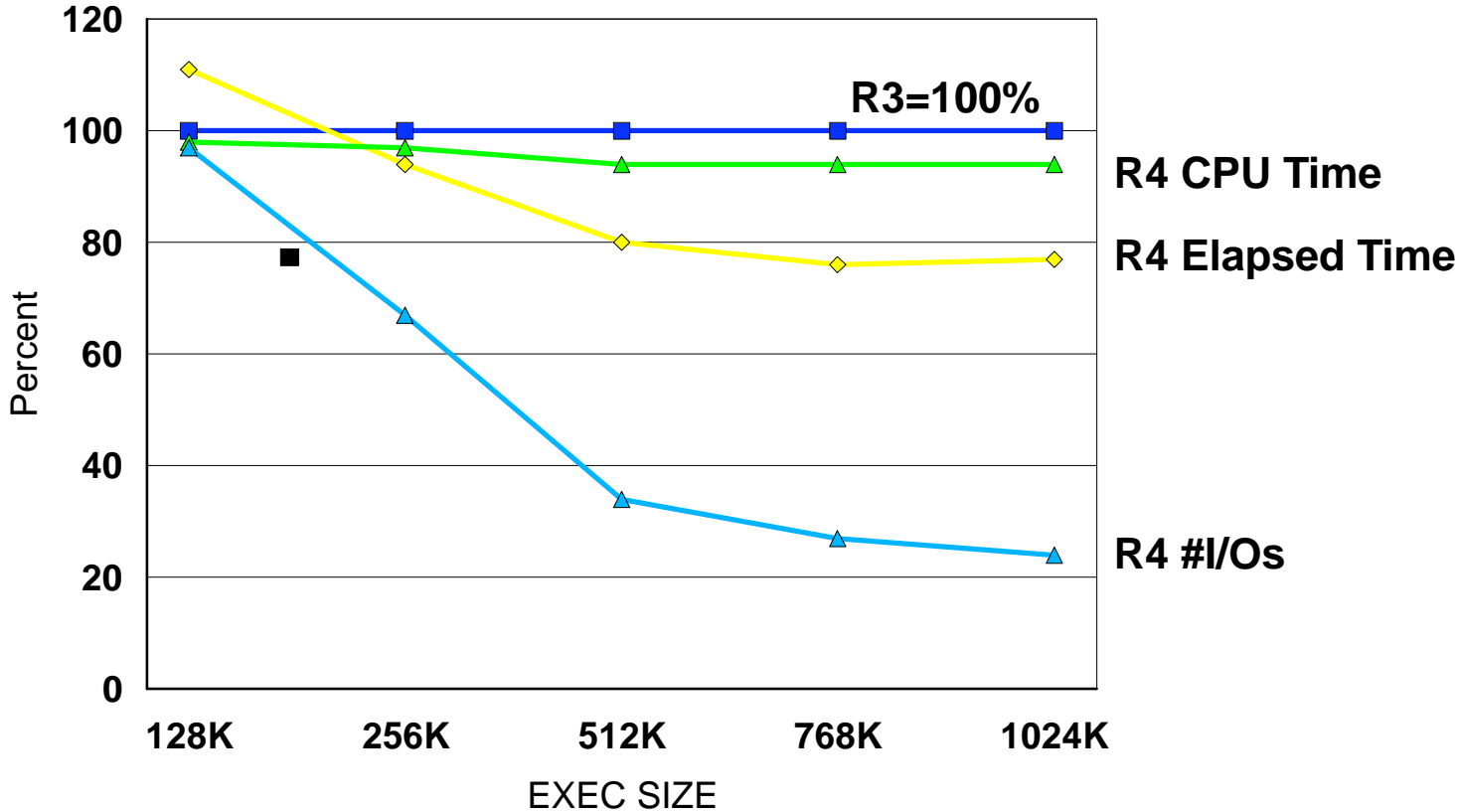
**Getvis sorting is  
another very efficient  
DFSORT/VSE  
sorting technique**



# FLR Incore Getvis Sorting R4 vs R3 Perf. Comparisons



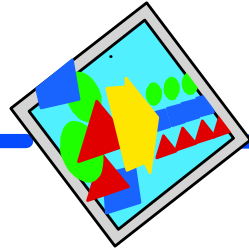
DFSORT/VSE 3.4



- BAM sortin/sortout files used

Improve your R4 performance by using optimal EXEC SIZE values

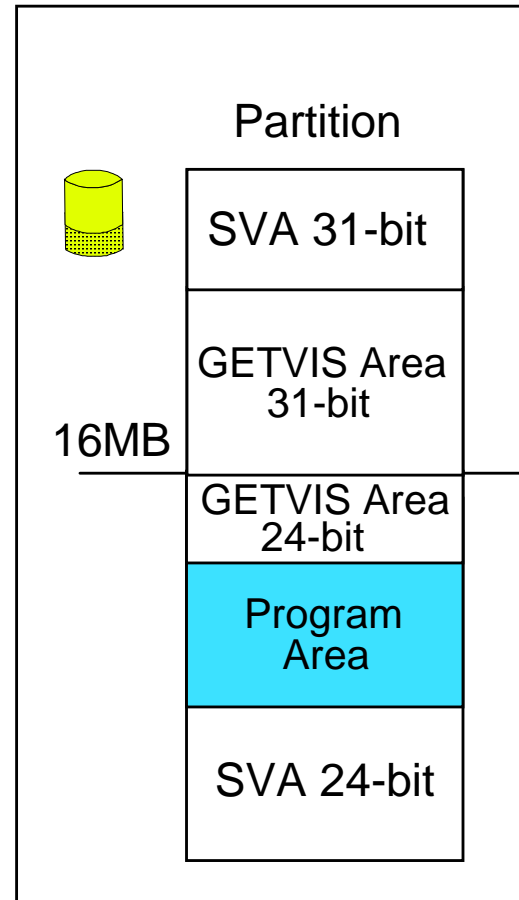
# Partition Sorting



DFSORT/VSE 3.4

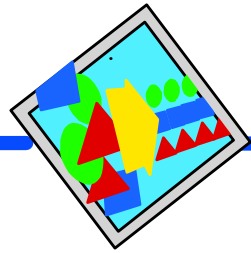
**Program area sorting  
is the default if  
GVSIZE=0 and  
DSPSIZE=0**

**Program area sorting  
is the least efficient  
DFSORT/VSE  
sorting technique**

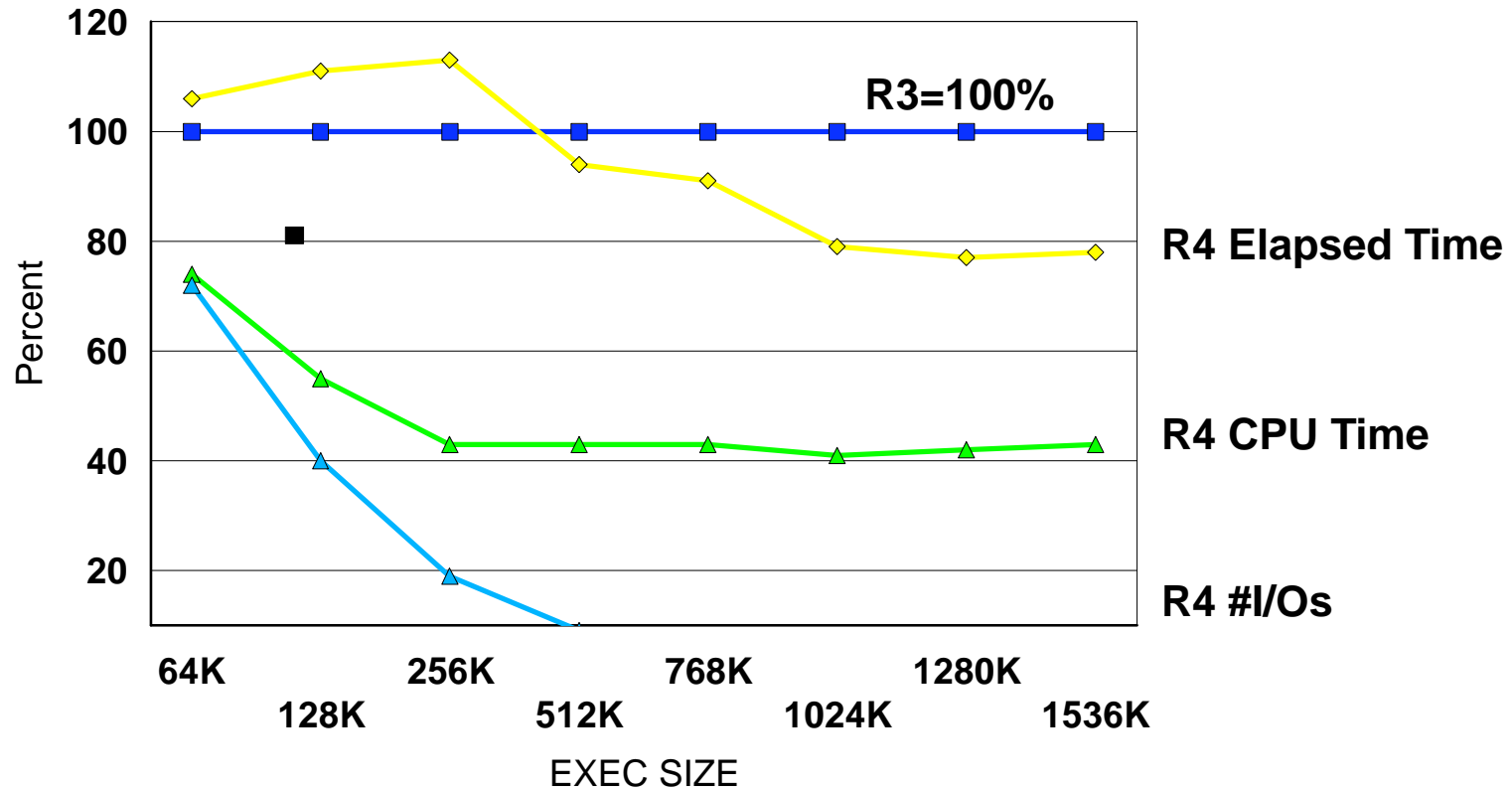


# FLR Copy

## R4 vs R3 Perf. Comparisons



DFSORT/VSE 3.4

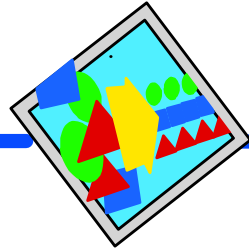


- BAM sortin/sortout files used

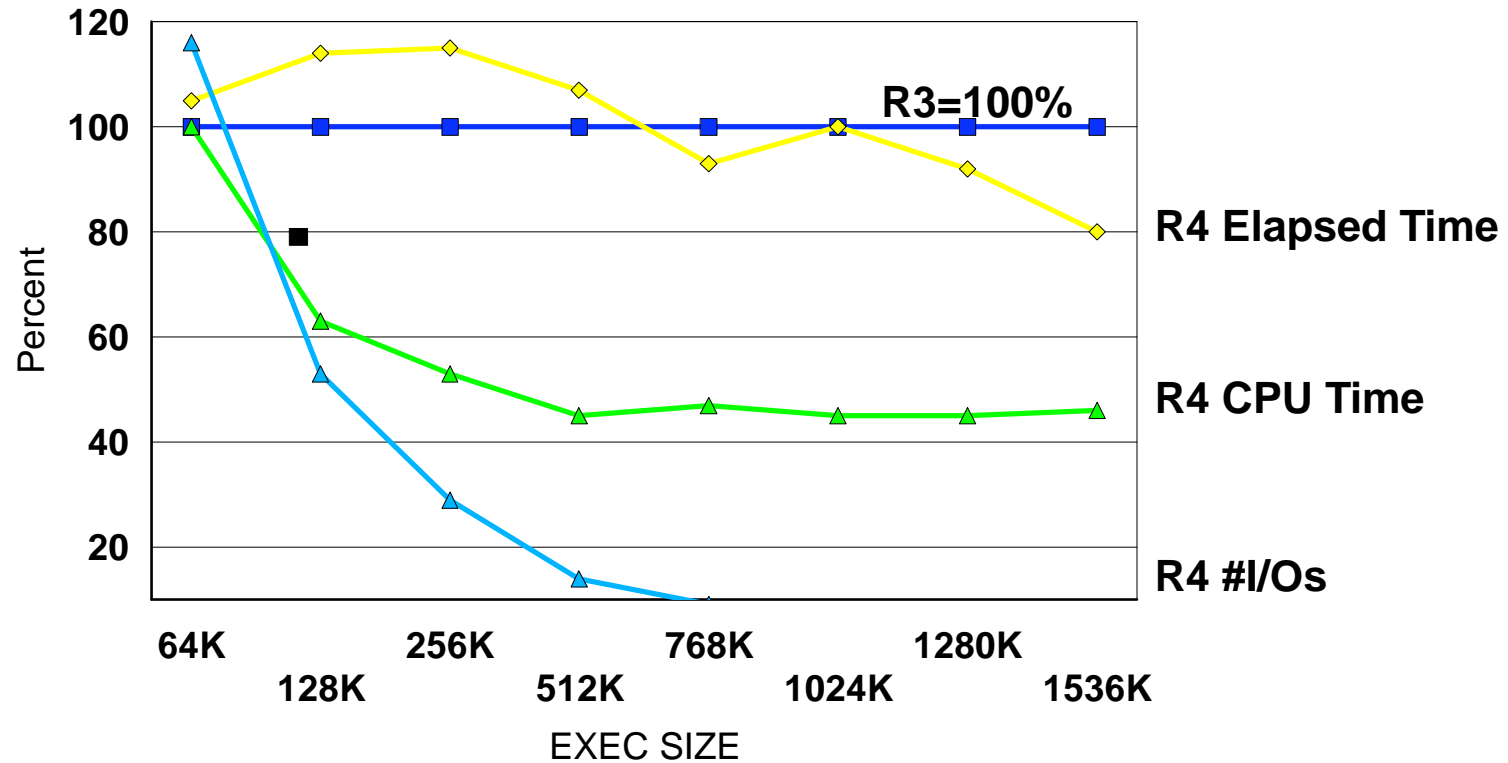
Improve your R4 performance by using optimal EXEC SIZE values

# FLR Merge

## R4 vs R3 Perf. Comparisons



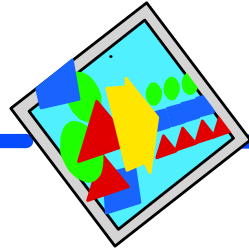
DFSORT/VSE 3.4



- BAM sortin/sortout files used

Improve your R4 performance by using optimal EXEC SIZE values

# Factors Influencing Performance



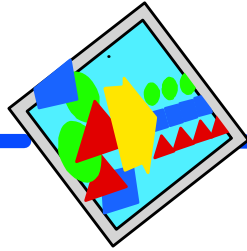
DFSORT/VSE 3.4

- **Job specific**
  - ▶ Amount of data to be sorted
  - ▶ Type of data (SAM/VSAM, VLR/FLR) and its location on I/O devices
  - ▶ Type of sorting (dataspace/getvis/partition sorting, incore/non-incore)
  
- **Environment specific**
  - ▶ VSE/ESA release (very slightly)
  - ▶ DFSORT/VSE release (use the latest release!)
  - ▶ DFSORT/VSE parameters used (install time/run time)
  - ▶ Available VSE sizes for partition, dataspace (essential area for sorting)
  
  - ▶ Speed of processor
  - ▶ Type and configuration of I/O subsystem
  
  - ▶ Native vs. VM/ESA Guest
  - ▶ Concurrent activities in VSE
  - ▶ VSE priority of DFSORT/VSE work



# Storage Considerations

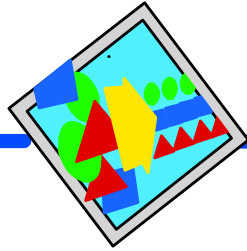
## EXEC SORT,SIZE=xK



DFSORT/VSE 3.4

- DFSORT/VSE can run in 32KB of partition program area (meaning EXEC SORT,SIZE=32K) but this is NOT recommended.
- Start with **EXEC SORT,SIZE=768K**
- Increase the EXEC SIZE parameter value if DFSORT/VSE phases are not in the SVA or if you have:
  - ▶ Large input files
  - ▶ Spanned records
  - ▶ Very large blocks or logical records
  - ▶ User exit routines
  - ▶ Additional DFSORT/VSE functions (INCLUDE, OMIT, SUM, etc.)

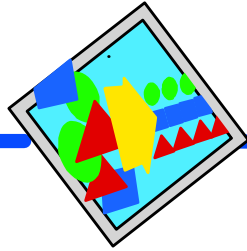
# How to Set Up Efficient Sorts In A Nut Shell



DFSORT/VSE 3.4

1. Use **getvis sorting** or **dataspace sorting**. They both provide about the same performance. Note: only use **DSPSIZE/GVSIZE=MAX** when necessary or when concurrent workload is under control.
2. Try to provide a large enough GETVIS area or data space so that all the records can be sorted incore, meaning without using external work space (rule of thumb, provide a GETVIS area or data space as large as the file size).
3. Give DFSORT/VSE plenty of partition program area so it can have enough room for efficient buffering. In general, **EXEC SORT,SIZE=768K**, is good.
4. Make sure the DFSORT/VSE STORAGE installation and/or run-time option is set to no less than 768K.

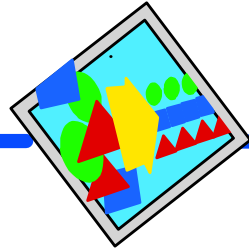
# Additional Capabilities and Enhancements



DFSORT/VSE 3.4

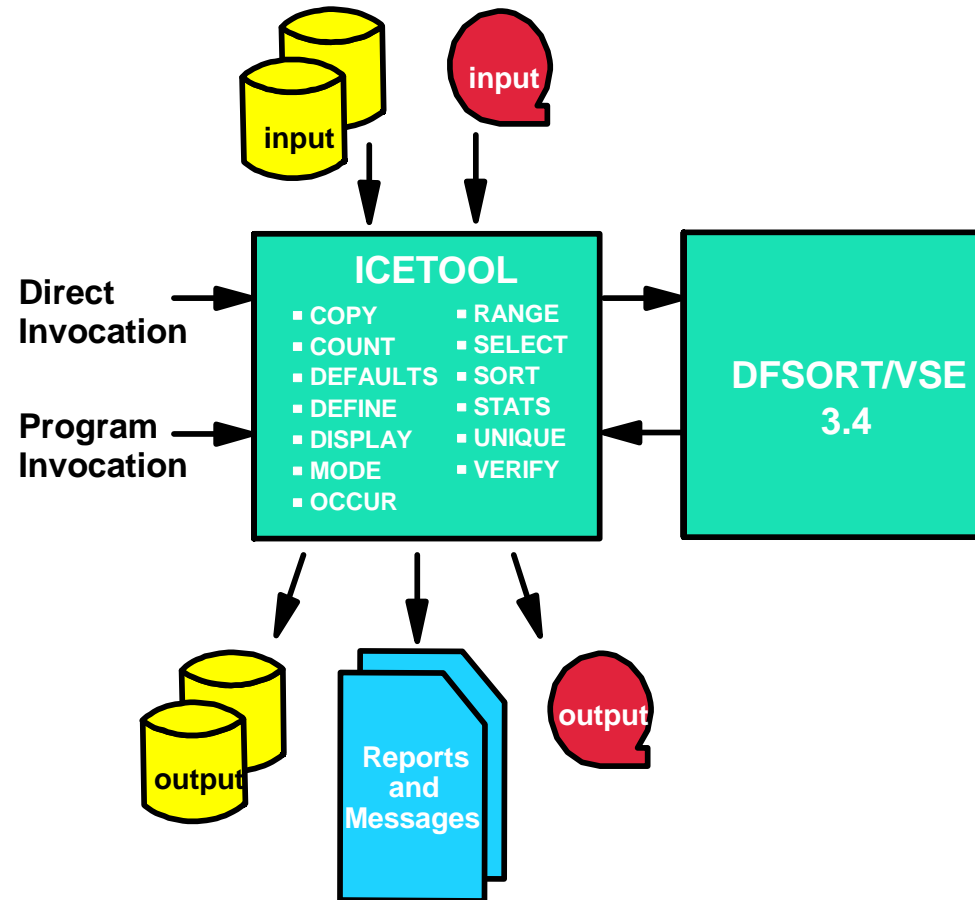
- **ICETOOL Utility**
- **Online Message Explanations**
- **OUTREC Enhancements**
- **INCLUDE/OMIT Enhancements**
- **File Management System Enhancements**
- **STXIT Routine for Abend Recovery**
- **Additional Enhancements**

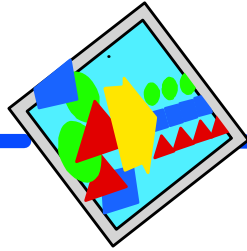
# What is ICETOOL?



DFSORT/VSE 3.4

**ICETOOL is a  
multi-purpose  
DFSORT/VSE  
utility**





# ICETOOL DEFAULTS

## DFSORT/VSE 3.4

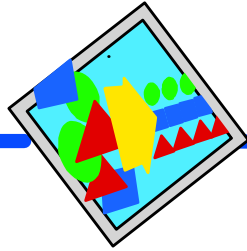
- \* **DEFAULTS** - prints the DFSORT/VSE installation defaults.
- \* **Example: print the defaults to SYSLST.**  
**DEFAULTS LIST(LST)**

### DFSORT/VSE INSTALLATION (ILUINST) DEFAULTS

- 1 -

\* **ONLY SHOWN IF DIFFERENT FROM THE SPECIFIED INSTALLATION DEFAULT**

<b>PARAMETER</b>	<b>INSTALLATION DEFAULT</b>	<b>IBM-SUPPLIED DEFAULT *</b>
-----	-----	-----
<b>CHALT</b>	<b>NOCHALT</b>	
<b>DIAG</b>	<b>DIAG</b>	<b>NODIAG</b>
<b>DUMP</b>	<b>NODUMP</b>	
<b>EQUALS</b>	<b>NOEQUALS</b>	
<b>ERASE</b>	<b>NOERASE</b>	
.		
.		
.		



# ICETOOL

## Reporting Made Easy

DFSORT/VSE 3.4

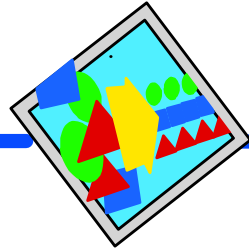


### Population Summary:

```
// JOB EXAMP JOBB,PROGRAMMER
// LIBDEF PHASE,SEARCH=(PRD2.PRSMPROD)
// DLBL VSESPUC,'VSESP.USER.CATALOG',,VSAM
// DLBL IN2,'SORT.COUNTRY',,VSAM,DISP=(OLD,KEEP),
    CAT=VSESPUC
// EXEC ICETOOL,SIZE=768K
    DEFINE NAME(COUNTRY) TYPE(F) LENGTH(70)
    SORT FROM(COUNTRY) TO(TEMP1)
    USTART
        SORT FIELDS=(41,20,CH,A)
    UEND
* Print a report
    DISPLAY FROM(TEMP1) LIST(012) -
        TITLE('Population Summary') DATE(MD4/) -
        HEADER('Country') HEADER('Population') -
        ON(41,20,CH) ON(61,10,ZD,A1) -AVERAGE('Average Population:') -
        TOTAL('Total Population:')
/*
/ &
```

# ICETOOL

## Reporting Made Easy



DFSORT/VSE 3.4



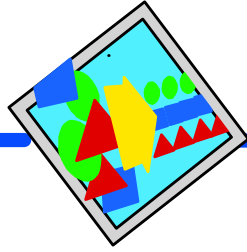
ICETOOL Output in SYS012:

Population Summary

5/22/2000

Country Name	Population
Afghanistan	16,900,000
Austria	10,000,000
Brazil	147,400,000
Egypt	54,800,000
Namibia	12,800,000
Nepal	18,700,000
Nigeria	115,300,000
Panama	2,500,000
Peru	21,400,000
Portugal	10,400,000
San Marino	170,000
Senegal	7,200,000
Average Population:	34,797,500
Total Population:	417,570,000

# Online Message Explanations (OME)



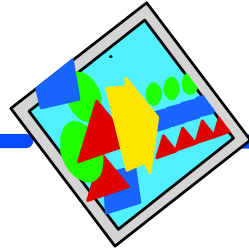
---

DFSORT/VSE 3.4

- Explanations of DFSORT/VSE messages can be displayed on the console
- Can be used similar to VSE/ESA OME

**Diagnose and correct errors  
more quickly**





# OUTREC Enhancements

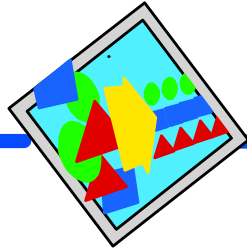
DFSORT/VSE 3.4

## New OUTREC Editing Masks for Numeric Data

**OUTREC FIELDS=(5:21,8,ZD,M12,  
25:46,10,ZD,M19)**

<u>Mask</u>	<u>Pattern</u>	<u>Value</u>	<u>Result</u>
M12	SIII,III,III,III,IIT	-0012345	-12,345
M19	SI.III.III.III.IIT,TT	+0012345	1.234,56

Allows you to format your reports



# OUTREC Enhancements

DFSORT/VSE 3.4

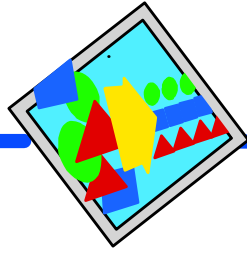
## Lookup and Change

---

```
OUTREC FIELDS=(1,4,10,2,  
CHANGE=(15,  
C'CA',C'CALIFORNIA',  
C'NY',C'NEW YORK',  
C'SD',C'SOUTH DAKOTA',  
C'AZ',C'ARIZONA'),  
NOMATCH=(C'*INVALID ENTRY*'))
```

---

Improves productivity



# INCLUDE/OMIT Capabilities

DFSORT/VSE 3.4

## INCLUDE and OMIT Substring Search

Before

---

```
INCLUDE COND=(11,2,EQ,C'OK' ,OR,  
              12,2,EQ,C'OK',OR,  
              13,2,EQ,C'OK',OR,  
              21,3,EQ,C'J69',OR,  
              21,3,EQ,C'J82',OR,  
              21,3,EQ,C'L92')
```

---

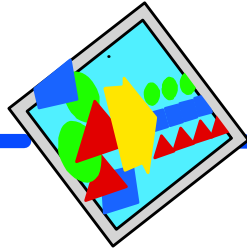
After

---

```
INCLUDE FORMAT=SS,  
          COND=((11,4,EQ,C'OK' ),OR,  
              (21,3,EQ,C'J69,J82,L92'))
```

---

**Improves productivity**



# INCLUDE/OMIT Capabilities

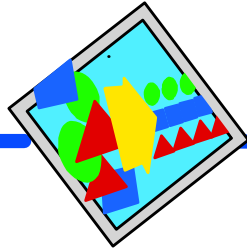
DFSORT/VSE 3.4

## INCLUDE/OMIT Bit Level Logic

- Bit operator with hex or bit mask  
Example: (5,1,BI,SOME,X'C2')
- Bit comparison with bit constant  
Example: (2,1,BI,EQ,B'01..1...')

**Create subsets of records  
based on flag fields**

# INCLUDE/OMIT Enhancements



DFSORT/VSE 3.4

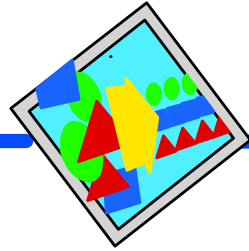
## More INCLUDE/OMIT conditions

*You can now:*

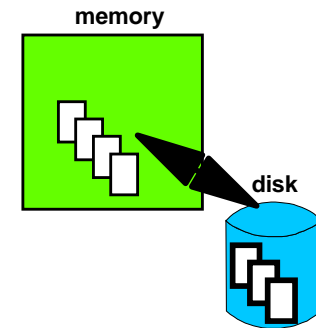
- **Use a significantly larger number of INCLUDE/OMIT conditions**

**Additional INCLUDE/OMIT  
capabilities**

# Interaction with File Management Systems



DFSORT/VSE 3.4



- R3/R4 Initial FMS Support

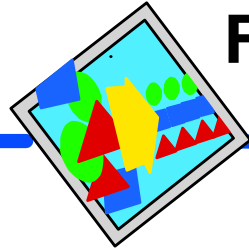
Input, output and work files don't need to be completely defined.

**Enhanced**

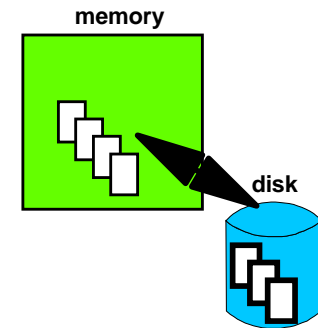
- R4 PQ19271 FMS Enhancement

INPFIL/OUTFIL BLKSIZE doesn't need to be specified. We'll use the BLKSIZE returned from the FMS.

# Interaction with File Management Systems, cont.



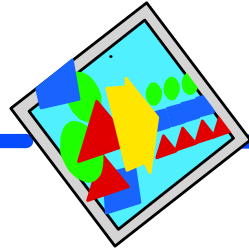
DFSORT/VSE 3.4



With PQ19271, our FMS support Provides:

- Dynamic logical and physical device assignment
- Dynamic primary and secondary extent allocation
- Dynamic block size assignment for input and output files
- Allocation of a secondary extent dynamically when the primary work extent is exhausted
- Truncation of output files
- Closing of work files

# STXIT Routine for Abend Recovery



DFSORT/VSE 3.4

User Program

DFSORT/VSE

ABEND

Gives you a choice

**STXIT=YES**

Use DFSORT/VSE  
abend recovery routine

**STXIT=MIN**

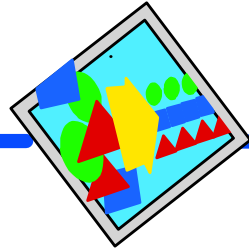
Use for optimal  
performance

**STXIT=NO**

Return to calling  
program



# STXIT



## What setting should you use?

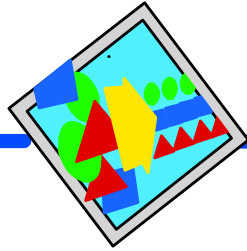
DFSORT/VSE 3.4

If you use LE  
then use the  
following  
chart:

E15/E35 User Exit Routines	R4	<R4
yes	STXIT=MIN	STXIT=NO
no	STXIT=MIN YES	STXIT=YES
IBM Default	STXIT=MIN	STXIT=YES

- **STXIT=YES** allows DFSORT/VSE to do abend recovery and cleanup if an abend occurs.
- **STXIT=MIN** was introduced in DFSORT/VSE R4. If no user exit routines are used, it's the same as STXIT=YES. If a user exit routine is used and an abend occurs in the user exit routine, DFSORT/VSE will not perform abend recovery; DFSORT/VSE will not restore it's STXIT.
- **STXIT=NO** turns off DFSORT/VSE abend recovery. In R2 and R3, it also disables DFSORT/VSE's recovery feature for SAM ESDS multivolume work files.

# Additional Enhancements



DFSORT/VSE 3.4

## ■ OPTION PRINT=CRITPLUS (APAR PQ17888)

Same as PRINT=CRITICAL plus one of the following msgs:

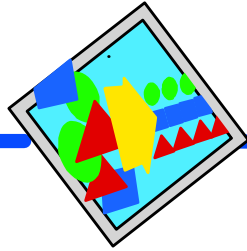
- ▶ ILU321I {SORT|MERGE|COPY} COMPLETE, INSERT v, DELETE x, IN y, OUT z
- ▶ ILU323I {SORT|MERGE|COPY} COMPLETE, IN y, OUT z
- ▶ ILU333I {SORT|MERGE|COPY} ERROR, IN y, OUT z

## ■ ENDEOD=YES | NO (APAR PQ19271)

- ▶ Specifies how DFSORT/VSE processes statements following the END control statement.
- ▶ YES requires /\* (end-of-data) and follows the system requirement
- ▶ NO does not require /\* (is equivalent to the way S/M 2.5 and R1 handled it)

**APARs PQ17888 & PQ19271**

# Future Enhancements

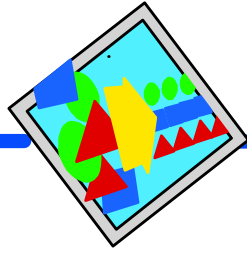


DFSORT/VSE 3.4

- **Enable D/V to sort very large files (240 gig file)**
- **WAVV Requirement**

**Hope to provide this by YE2000**

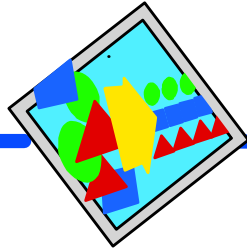
# Year 2000 Features



DFSORT/VSE 3.4

- Year 2000 Features
- COBOL Year 2000 Features

# Year 2000 Features

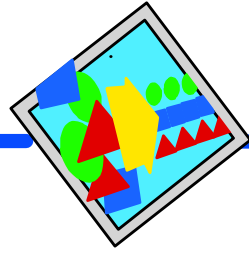


DFSORT/VSE 3.4



## Evolution of DFSORT/VSE's Y2K features

- First generation requires V3R4, V3R3, or V3R2 with PTF UN99635
- Second generation requires V3R4 with UQ33592 and UQ35657



# DFSORT's Century Windows

DFSORT/VSE 3.4



## ■ Fixed Century Window

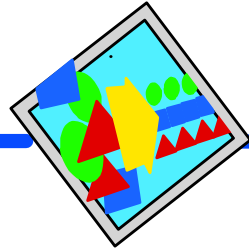
- ▶ "Fixed" because its range doesn't change
- ▶ Y2PAST=1989 gives a CW of 1989-2088
- ▶ Example: Dates for a company started in 1989

## ■ Sliding Century Window

- ▶ "Sliding" because its range changes every year
- ▶ Y2PAST=8 gives a CW of 1989-2088 in 1997, 1990-2089 in 1998, and so on
- ▶ Example: Dates for a sliding 30-year average

## ■ Y2PAST is an installation and run time option

# Year 2000 Features First Generation



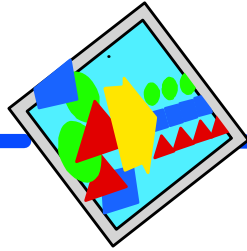
DFSORT/VSE 3.4

**First Generation - Year  
Formats (12/96)**



- Y2C, Y2Z, Y2P, Y2D
- Y2PAST for century window
- Y2S, Y2B added in R4
  
- Support not as easy to use as it could be
- Must split out year from month and day
- No INCLUDE/OMIT capability
- Conversion to CH, but not to PD

# Year 2000 Features Second Generation



DFSORT/VSE 3.4

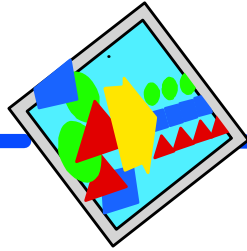
**New Generation - Full  
Date Formats (8/99)**



- Y2T, Y2U, Y2V, Y2W, Y2X, Y2Y
- Y2PAST for century window
  
- Handles yyx...x and x...xyy dates
- Handles special indicators
- Provides full INCLUDE/OMIT capability
- Conversion to CH w/ and w/o separators
- Conversion to PD
- APAR: PQ22126 and PQ30735



# Year 2000 Features COBOL

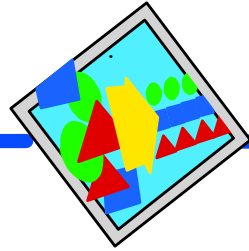


DFSORT/VSE 3.4

## Sort and Merge with COBOL



- **COBOL MLE - automatic**
  - ▶ Use DATE FORMAT clause
  - ▶ Builds statements with DFSORT's Y2x format
  - ▶ APAR PQ22020 - upper case English
  - ▶ APAR PQ22024 - LE runtime
  
- **Without COBOL MLE - explicit**
  - ▶ Use SYSIPT to pass SORT statement with Y2K formats



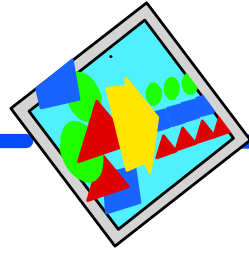
# COBOL and SORTWK Files

DFSORT/VSE 3.4

**Optimizing DFSORT/VSE's performance with COBOL when sort work files are needed.**

- DFSORT/VSE will open all the sort work files that are specified in SORT...WORK=n
- COBOL/VSE and COBOL II dynamically generate the WORK=n parameter on the SORT statement at run-time based on the DLBLs in the JCL. DOS/VS COBOL does not.
- COBOL/VSE uses the DLBL information in the following way (highest priority to lowest priority):
  - ▶ JCL of the job (SORTWK<sub>n</sub> DLBLs)
  - ▶ Partition standard labels
  - ▶ System standard labels

# COBOL and SORTWK Files Recommendations

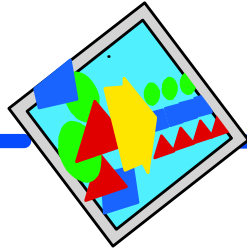


DFSORT/VSE 3.4

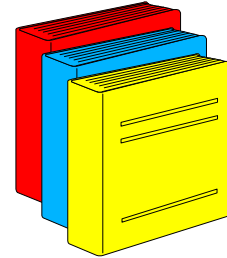
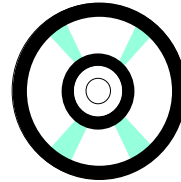
- Use WORK=n values as small as possible. Use dataspace sorting or getvis sorting to reduce the need to use work files.
- Remove any unnecessary SORTWK DLBLs to avoid any unnecessary opens (in the job or standard labels).
- Use SAM ESDS work files when possible. DFSORT/VSE will only allocate/open the first extent. Be sure to specify:
  - ▶ A good size secondary extent
  - ▶ WRKSEC

Note: The allocation of work files does not significantly effect performance since if no work files are needed, they will not be used.

# DFSORT/VSE Library



DFSORT/VSE 3.4



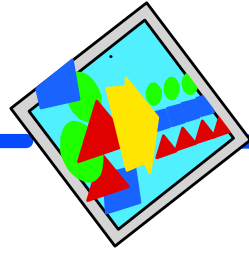
## ■ Publications

- ▶ General Information (GC26-7039)
- ▶ Application Programming Guide (SC26-7040)
- ▶ Messages, Codes and Diagnosis (SC26-7132)
- ▶ Reference Summary (SX26-6008)
- ▶ Installation and Tuning Guide (SC26-7041)
- ▶ Diagnosis Guide (SY27-7600)
- ▶ Getting Started with DFSORT/VSE (SC26-7101)

## ■ DFSORT/VSE Library (SBOF-6130)

## ■ IBM Online Library VSE Collection (SK2T-0060), CDROM

## ■ All DFSORT/VSE publications are available on the web!



# Summary

## DFSORT/VSE 3.4

- **Existing Capabilities Enhancements**
  - ▶ Dataspace sorting
  - ▶ Getvis sorting
  - ▶ Partition sorting
  - ▶ ICETOOL
- **New Features**
  - ▶ Online Message Explanations (OME)
  - ▶ OUTREC enhancements
  - ▶ INCLUDE/OMIT enhancements
  - ▶ STXIT enhancements
- **Year 2000 features**