# Digital Signatures for z/OS Software Packages

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### Agenda

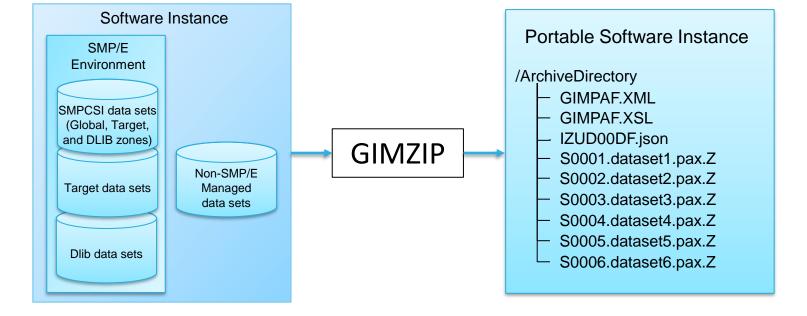
- Digital Signature Background
- GIMZIP Package Signing Overview
- Details for a Provider
- Details for a Consumer

### z/OS Software Package Digital Signatures

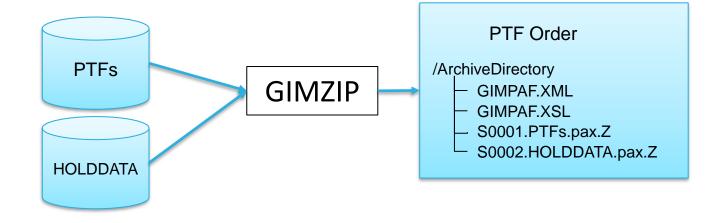
- The SMP/E **GIMZIP** service routine **creates portable packages** of ready to install SMP/E consumables, or already installed software.
- GIMZIP packages are delivered from IBM and other providers to customers over the internet.
- GIMZIP is currently in use by IBM for all z/OS software product and service deliveries:
  - z/OSMF Portable Software Instances (ServerPac)
  - CBPDO
  - Shopz PTF orders
  - SMP/E RECEIVE ORDER PTF and HOLDDATA
- GIMZIP packages are consumed by SMP/E and z/OSMF on a customer's z/OS system where the packaged software is installed.

### z/OS Software Package Digital Signatures

A z/OSMF Portable Software Instance is a GIMZIP package.



An SMP/E RECEIVE ORDER package is a GIMZIP package.



### z/OS Software Package Digital Signatures

- GIMZIP is extended to **digitally sign packages**.
- z/OSMF is extended to exploit GIMZIP digital package signing for Portable Software Instances.
- SMP/E and z/OSMF are extended to verify package signatures.
- Verifying digitally signed software packages increases confidence in authenticity (who produced it?) and integrity (has it changed in transit?) of the software delivered in those packages.

# **Digital Signature Background**

# What is a Hash Algorithm?

- A mathematical function to convert input data of arbitrary length to a unique output bit string of a fixed length.
- Hash values are irreversible.



"The quick brown fox jumped over the lazy dog" Hash Algorithm -7d38b5cd25a2baf85ad3bb5b9311383e671a8a142eb302b324d4a5fba8748c69

Any difference in input data, large or small, produces a different hash value.

Hash Algorithm

"The quick brown fox jumped over the lazy cat"

-----> da65582e1a4aafdd5a6224a9d1065683415cf8d161c2a4b1246498e7c00f3fdc

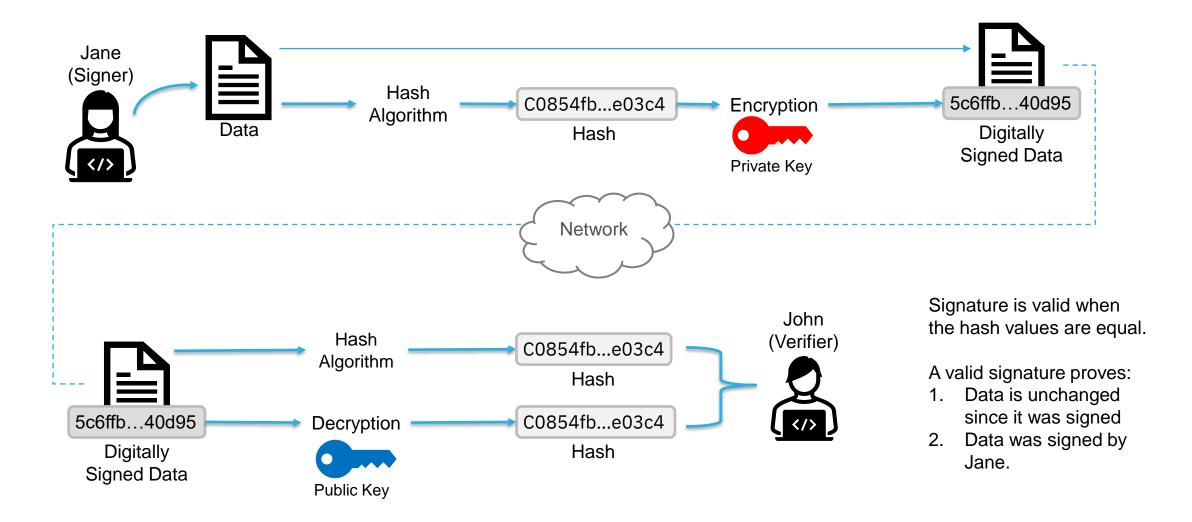
## What is Public/Private Key Encryption?

- Encryption encodes data making it inaccessible to unauthorized users.
- Public/Private key pairs are very large mathematically related prime numbers.
- Data encrypted by one key can only be decrypted by the other.



# What is a Digital Signature?

A mathematical technique to verify the authenticity and integrity of digital data.



## What is a Digital Certificate?

- File containing **identity** information and the **public key** for the certificate holder.
  - X.509 is the widely accepted standard for the file format.
- A certificate authority (CA) is a **trusted** entity that validates identity information and binds it to a public key in the form of a digital certificate.
- A digital certificate is "issued by" (or "signed by") a certificate authority (CA).

### Certificate



- Subject Name
- Issuer Name
- Not Before Date
- Not After Date
- Public Key

### What is Certificate Path Validation?

- Procedure to ensure a certificate is trusted and valid for use.
- A certificate is trusted if it is issued by a trusted certificate authority (CA).



Subject Name: Acme CA Intermediate Subject Name: Acme CA Intermediate Issuer Name: Acme CA Root Subject Name: Acme CA Root Subject Name: Acme CA Root Subject Name: Acme CA Root

# GIMZIP Package Signing Overview

### **GIMZIP Package Signing Overview**

- GIMZIP package signing is implemented using **public/private key** technology
  - A private key is used to calculate digital signatures.
  - The corresponding **public key** is used to **verify** the signatures.
  - The public key is associated with an X.509 certificate, the "signing certificate".
- The signing certificate is issued by a well known and trusted certificate authority
  - The certificate authority establishes the **authenticity** of the package signer (is the signer who they say they are?).
  - If the certificate authority is trusted, so then a signing certificate issued by that certificate authority can also be trusted.
- The signing certificate for the GIMZIP packages produced for IBM's z/OS product and service offerings is issued by an IBM z/OS certificate authority
  - STG Code Signing Certificate Authority G2.
  - This CA certificate is built-in to RACF and other security managers.

### **GIMZIP Package Content**

Unsigned GIMZIP package content:

#### /PackageDirectory

#### — GIMPAF.XML

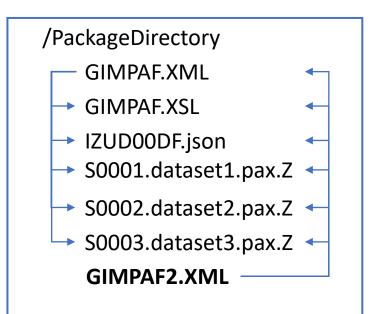
- → GIMPAF.XSL
- → IZUD00DF.json
- → SOOO1.dataset1.pax.Z
- → SOOO2.dataset2.pax.Z
- → S0003.dataset3.pax.Z

### GIMPAF.XML file:

- Identifies all files in the package.
- Contains SHA-1 hash for each file.
- Contains SHA-1 hash for the package.

### **GIMZIP Package Content...**

Signed GIMZIP package content:



GIMPAF.XML file (Unchanged):

- Identifies all files in the package. \*
- Contains SHA-1 hash for each file.
- Contains SHA-1 hash for the package.

### GIMPAF2.XML file:

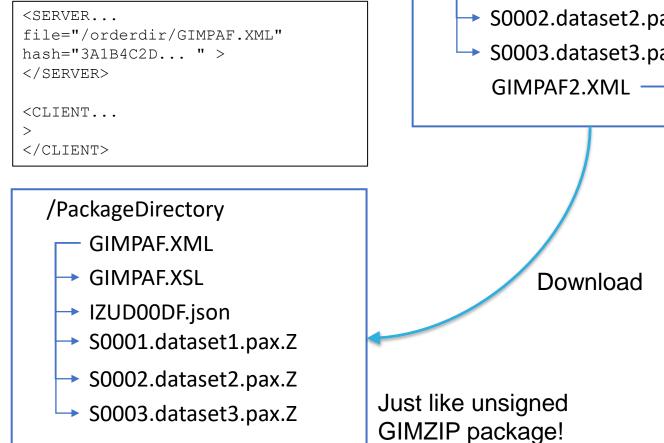
- Identifies all files in the package.
- Contains SHA-256 hash for each file.
- Contains SHA256withRSA signature for the package.
- Contains certification path for the signing certificate, used for signature validation.

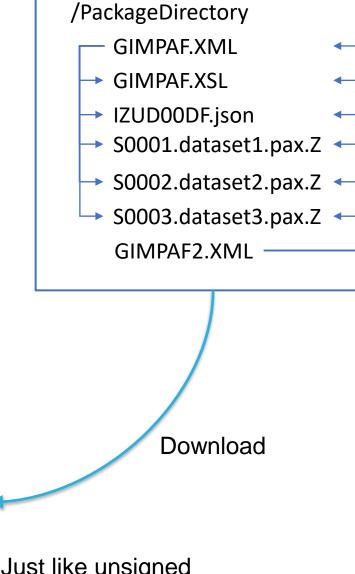
### **GIMZIP Package Content...**

- SMP/E does **NOT** require signature verification for a signed GIMZIP package
- Therefore, as a provider, you may sign GIMZIP packages whether or not consumers can or will verify the signatures.

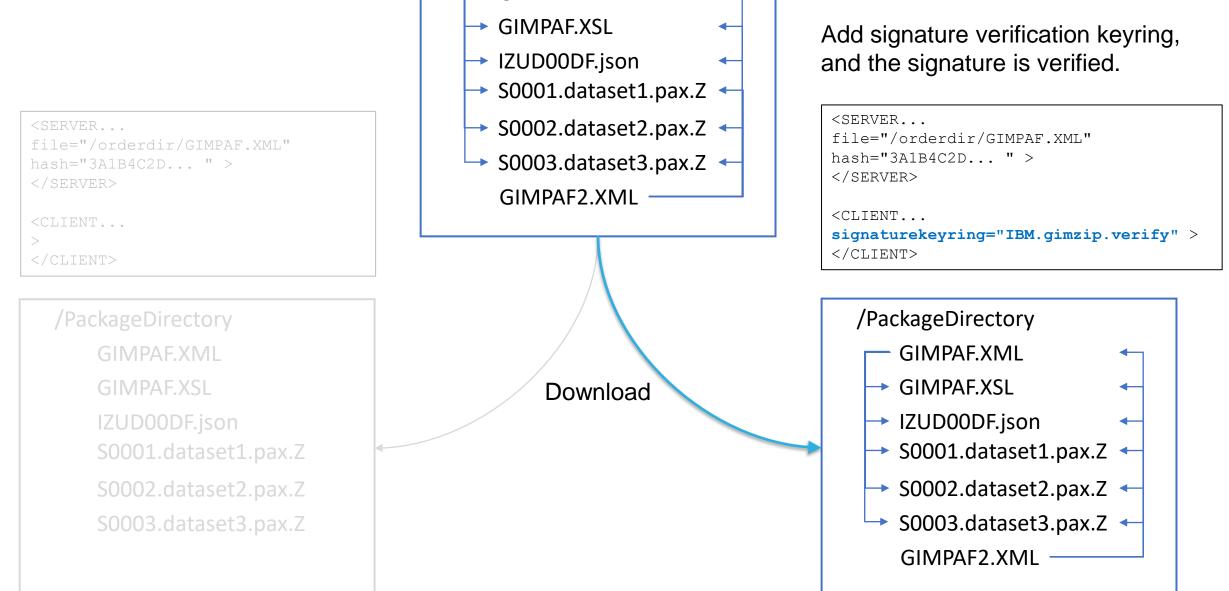
# **Package Acquisition**

No changes to RECEIVE input, no signature verification.









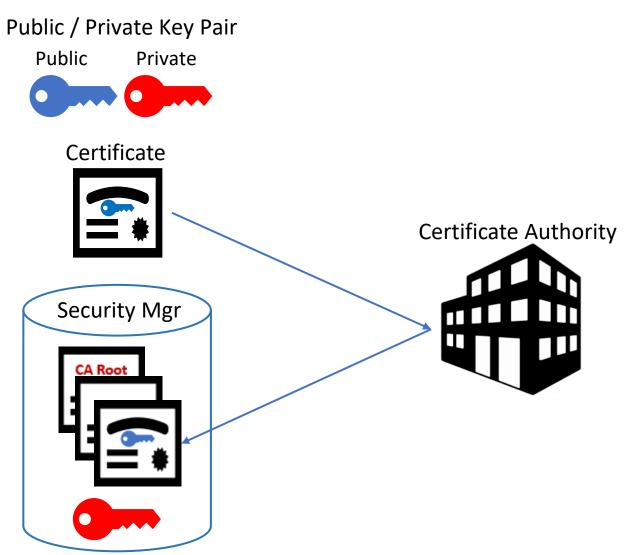
/PackageDirectory

**GIMPAF.XML** 

## **Provider One-Time Setup**

- **1. Generate** a public/private key pair and certificate.
- 2. Request the certificate be signed by a Certificate Authority (Certificate Signing Request).
- **3. Store** the signed certificate, its certification path, and private key in a SAF security manager\* on z/OS.

\* RACF or other SAF security manager

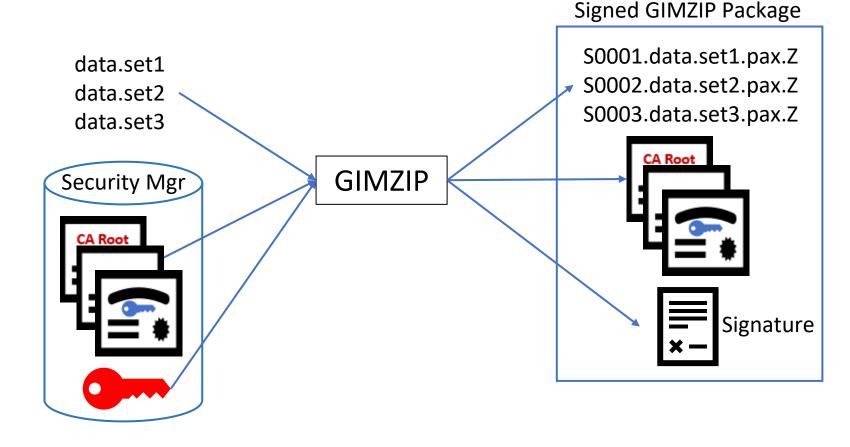


# **GIMZIP Signing Process**

# **1. Discover** and **Validate**

the certification path. **2. Create** archive files

- for each data set.
- **3. Write** the certification path to the package.
- **4. Sign** the package using the private key.



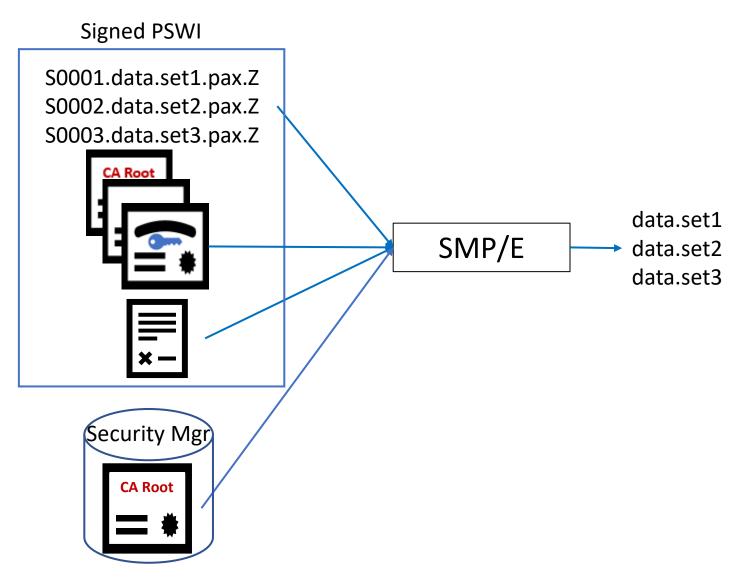
### **Consumer One-Time Setup**

**1. Connect** the CA root certificate to a keyring in your SAF security manager.



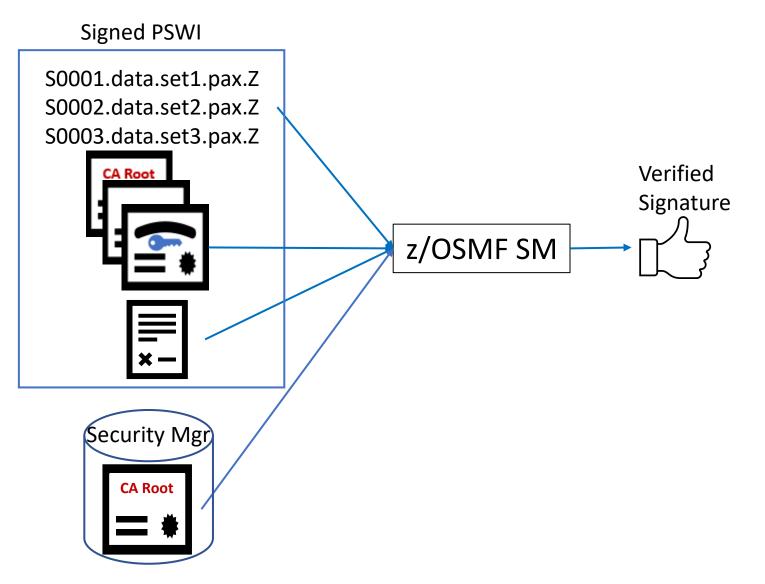
# **SMP/E Signature Verify Process**

- Validate certification path using the CA root certificate in the keyring.
- **2. Verify** package signature using the public key.
- **3. Create** data sets from archive files.



## z/OSMF Software Management Signature Verify Process

- Validate certification path using the CA root certificate in the keyring.
- **2. Verify** package signature using the public key.
- **3. Persist** verified signer information.



# **Details for a Provider**

## **Calling GIMZIP**

- Signing is optional
- New attributes in the input <GIMZIP> tag to specify:
  - 1. Signing certificate label
  - 2. SAF **keyring name** where the signing certificate and all certificates in its certification path are found.

```
<GIMZIP

signingcertificate="Kurts Signing Cert"

keyring="gimzip.signing.keyring"

>

<FILEDEF name="/tmp/T1344212/IZUD00DF.json"

archid="IZUD00DF.json" type="README"/>

<FILEDEF name="IBMUSR6.CICS.CBK.ACBKDWLD"

archid="VSMPS3.IBMUSR6.CICS.CBK.ACBKDWLD"/>

...

</GIMZIP>
```

### **GIMPAF2.XML File**

If signing is indicated, the GIMPAF2.XML file is created:

- One <**ARCHDEF**> for each file in the package.
- One <X509Data> for each cert in the certification path, from signing cert up to root CA.
- One package signature, for all <PKGDEF> data.

```
<pkgdef ...>
```

```
<ARCHDEF name="filename" ...>
   <hash algorithm="SHA256">hash-value</hash>
</ARCHDEF>
```

#### <SignatureInfo>

<SignatureAlgorithm>SHA256withRSA</SignatureAlgorithm> <SignerSubjectName>subject-name</SignerSubjectName> <CertPath>

#### <X509Data>

<X509SubjectName>subject-name</X509SubjectName>
<X509Certificate>certificate-data</X509Certificate>
</X509Data>

#### </CertPath>

</SignatureInfo>

#### </PKGDEF>

<?PKGSIG signature="package-signature"?>

### **GIMPAF2.XML Example**

xml version="1.0" ?	
<pkgdef files="3"></pkgdef>	
<archdef< th=""><th></th></archdef<>	
name="S0001.SMPPTFIN.DATA.pax.Z"	
originalsize="1703520"	
size="96768">	
<hash algorithm="SHA256"></hash>	
E25E1F235D137EBFE4BD6B33B08C722AF973D2C8EB91D8D382737B4E7	7687480
<signatureinfo></signatureinfo>	
<signaturealgorithm>SHA256withRSA</signaturealgorithm>	
<signersubjectname< th=""><th></th></signersubjectname<>	
CN=Kurts Package Signing Cert, O=IBM Systems Z, C=US	
	sjR9GJvZWm0x6zMRVeZhb5h4sT8aRPkwxncjjw==
<certpath></certpath>	END CERTIFICATE
<x509data></x509data>	
<x509subjectname></x509subjectname>	
CN=Kurts Signing Cert, O=IBM Systems Z, C=US	
<x509certificate></x509certificate>	
BEGIN CERTIFICATE	
MIIDxTCCAq2gAwIBAgIBATANBgkqhkiG9w0BAQsFADBEMQswCQYDVQQGE	<pre>V <?PKGSIG signature="BFA69472F2C2BDAA950C6FB624DEF8F007C5082041B49A2742BF3172573</pre></pre>
••••	E609C24AEBB7A241A02FAEB18E96EAD0E4FECDB0238586D123682C0B315EC53FAAD9805224308B3
	2775ACEBC1F4F784DF3FF7C528528FEB2588E8A0E649729CC7C9534626AF063D25218CD4F8FF9EE
	208FA85796BBED516333904A641DD84187747FF76548B022BA9B9C23E086A68484A9949D4AD9716
	613EC2F20CC9E81AECC24149B13D981D83C296D68D82F75E78B52777F30ACE043A0A4BDD17812D3
	13A3AE162CFABE8602B2E20F390C3ADFCAC1889488D67F18CB5E4A6DA16ED0F8EC65674D2849B3A
	F6A1FF8BDBA2880FF3EBA4B22332B257B040F07FFDD1198C7B56DE7E60"?>

### z/OSMF Software Management, Export Action

### Use the Software Instance Export as Portable Software Instance action to create a portable software instance.

			So	ftware Ma	nagem	ent
Sof	tware Manage	ement IN Software In	stances			
	ftware Ins					
A	ctions 👻					
77	✤ No filter	applied				
	Name		System	Messages	6	Description
	Filter		Filter	Filter		Filter
	swi		pev171			
~	swu4wf	View	nev171	•		
		Modify				
		Сору				
		Open Deployment	S			
		Perform Workflow	S			
		Export as Portable	e Software Instance			
		Remove				
			Feature, and FMID Info	ormation		
		Delete Temporary				
		Maintenance Rep	orts	•		

### z/OSMF Software Management, Export Action...

- New option for the Export action to sign the portable software instance
  - Provide the signing certificate label and the SAF keyring
- If the option is selected the generated Export JCL specifies the certificate and keyring for GIMZIP
- The Export REST API is also updated to accept input signing certificate and SAF keyring

	Software Management
	oftware Instances  Export as Portable Software Instance
Export as Portable S	oftware Instance
Export Properties Review Export Jobs	Export Properties Specify the properties used for the export to a portable software instance of the selected software instance.
	System: pev171 Export the distribution zones and libraries associated with the software instance. Enable merging of target data sets when deploying the portable software instance. Sign the portable software instance. Signing certificate:  Kurts Signing Cert gimzip.signing.keyring
	* UNIX directory: /u/zosmft6/swi4test * JCL data set name: ZOSMFT6.DM.D220928.T204950.CNTL

### **Certificate and Key Requirements**

The signing certificate and public/private key pair must meet the following requirements:

- 1. Public/private key pair must be generated using the **RSA algorithm** and can be from 1024 to 4096 bits long.
- 2. Signing certificate must have the **Digital Signature key usage** certificate extension.
- 3. Signing certificate must not be expired.
- 4. Signing certificate should be issued by a well known and trusted certificate authority (CA), whose root certificate is easily obtained by your consumers.
- 5. Signing certificate, the issuing root CA certificate, and intermediate CA certificates if any, must be stored in the z/OS security manager database and connected to a keyring.

### **Certificate and Key Requirements...**

This RACF command illustrates the key and certificate requirements.

```
RACDCERT GENCERT ID(cert-owner) +
SUBJECTSDN(CN('My Package Signing Cert') +
O('My Company') +
C('US')) +
RSA +
SIZE(2048) +
KEYUSAGE(HANDSHAKE) +
NOTAFTER( DATE(2033-04-01) ) +
SIGNWITH(CERTAUTH LABEL('My Root CA')) +
WITHLABEL('My Package Signing Cert')
```

### **Certificate and Key Requirements...**

Create a keyring and connect the signing certificate, issuing CA root certificate, and intermediate CA certificates if any. RACDCERT ID(keyring-owner) ADDRING(keyringname)

```
RACDCERT ID(keyring-owner) +
CONNECT(ID(cert-owner) +
LABEL('My Package Signing Cert') +
RING(keyringname) )
```

```
RACDCERT ID(keyring-owner) +
CONNECT(CERTAUTH +
LABEL('My Root CA') +
USAGE(CERTAUTH) +
RING(keyringname))
```

### **Certificate and Key Authorization**

Identity for GIMZIP must be authorized to the keyring.

• If GIMZIP userid owns the certificate, then must have READ authority.

PERMIT IRR.DIGTCERT.LISTRING CLASS(FACILITY) +
 ID(gimzip-userid) ACCESS(READ)

• If GIMZIP userid does NOT own the certificate, then must have UPDATE authority. RDEFINE RDATALIB keyring-owner.keyring-name.LST UACC(NONE) PERMIT keyring-owner.keyring-name.LST + CLASS(RDATALIB) ID(gimzip-userid) ACCESS(UPDATE) SETROPTS RACLIST(RDATALIB) CLASSACT(RDATALIB) SETROPTS RACLIST(RDATALIB) REFRESH

• Detailed instructions for a provider:

https://www.ibm.com/docs/en/zos/2.5.0?topic=routine-preparing-sign-gimzip-packages

# **Details for a Consumer**

### **SMP/E RECEIVE**

### RECEIVE ORDER, RECEIVE FROMNET, GIMGTPKG

Package signature verification is optional.

- A provider can sign packages, but supply unchanged <SERVER> XML to consumers (file = GIMPAF.XML and SHA-1 hash)
- 2. Consumers can continue to download packages with existing levels of SMP/E
  - Signatures will not be verified

```
//SMPSRVR DD *
<SERVER
 host="download.server.com"
 user="S679p074"
 pw="k09944D4604223r">
 <PACKAGE
    file="/2022102123341/PROD/GIMPAF.XML"
    hash="3A14791D9F3DAA8D3DB25499538EEFBCAB5467F8"
    id="210ctober2022">
 </PACKAGE>
</SERVER>
/*
//SMPCLNT DD *
<CLIENT
  javahome="/usr/lpp/java/J8.0 64"
 downloadmethod="https"
  downloadkeyring="*AUTH*/*"
  >
</CLIENT>
/*
```

## **SMP/E RECEIVE...**

### **RECEIVE ORDER, RECEIVE FROMNET, GIMGTPKG**

Package signature verification is optional.

- If signature verification is desired, specify new attribute in <CLIENT> XML to identify SAF keyring name for the root certificate
- If the GIMPAF2.XML file resides on the server, it is downloaded and the signature verified
- If the GIMPAF2.XML file does not reside on the server, processing will continue for the unsigned package

```
//SMPSRVR
           DD *
<SERVER
 host="download.server.com"
 user="S679p074"
 pw="k09944D4604223r">
  <PACKAGE
    file="/2022102123341/PROD/GIMPAF.XML"
    hash="3A14791D9F3DAA8D3DB25499538EEFBCAB5467F8"
    id="210ctober2022">
 </PACKAGE>
</SERVER>
/*
//SMPCLNT DD *
<CL TENT
  javahome="/usr/lpp/java/J8.0 64"
 downloadmethod="https"
  downloadkeyring="*AUTH*/*"
  signaturekeyring="IBM.package.sig.verification"
  >
</CLIENT>
/*
```

## **Calling GIMUNZIP**

### GIMUNZIP

Package signature verification is optional.

- If signature verification is desired, specify new EXEC parameter and attribute in <CLIENT> XML to identify SAF keyring name for the root certificate
- SMP/E and GIMUNZIP write a signature information message

SIGNATURE VALIDATION FOR FILE "/u/ibmusr6/smpnts/test/GIMPAF2.XML"
WAS SUCCESSFUL. THE GIMZIP PACKAGE WAS SIGNED BY A CERTIFICATE WITH
SUBJECT NAME "CN=Kurts Package Signing Cert, O=IBM System Z, C=US",
SERIAL NUMBER "1" AND SHA256 FINGERPRINT
"4aa0fc6708314ca95fc2699bad116158298808c089f43e1ed4600eb4170916f4".
THE SIGNING CERTIFICATE WAS ISSUED BY "CN=Kurts Root CA, O=IBM
System Z, C=US".

### z/OSMF Software Management, Add Action

### **Portable Software Instance Add Action**

Three Portable Software Instance **Add** actions:

- 1. From z/OS System
- 2. From Local Workstation
- 3. From Download Server

				Software I	Management		-	- 🗆 X
	ftware Management ▶ Porta ortable Software Insta		stances				Switch To:	Help
A	ctions 🔻	_						
	Modify View	ear filter						
	Open Deployments		Descripti	on	Activity	System	File Location	Cat Filte
١	Complete Add		Filter		Filter	Filter	Filter	Filte
L	Remove		ServerPac	2/CICS: OT246095,		AQFT	/u/kurtq/serverpac/CICS-	
	Add •	From z/OSI	MF System	d on 2020-09-16			2019-09-16	
Q	Select All	From Local	Workstation	GA on Dec 6, 2019,		AQFT	/u/kurtq/KurtClCSPSlGaDec62019	
L	📄 Deselect All	From Dowr	nload Server	ceived by MARNA. CICS: OS240354				
Ŀ	Configure Columns							-
L	Hide Filter Row							
L	Clear Sorts							
L	Export Table Data							
L	Print Table Data							

### z/OSMF Software Management, Add Action...

### **Portable Software Instance Add Action**

- All 3 Add actions offer a new option to verify the signature for a portable software instance
- Specify the signature verification SAF keyring
- If the option is chosen the signature is verified for the portable software instance

	Software Management
oftware Management ♦ Portable Software Instances ♦	Add Portable Software Instance
Add Portable Software Instance System: pev171 • Select	
File location (UNIX file): /u/zosmft6/swi4demo	
Verify the signature of the portable software instance	* Signature verification keyring: 🕕 e. ibmusr6/gimunzip.verify.keyring 👻
Retrieve	

### z/OSMF Software Management, Add Action...

### **Portable Software Instance Add Action**

If the PSWI is signed, and if signature is verified, then the signer information is displayed.

	Software Management	- 0
oftware Management ▶ Portable Software Instances ▶ Ao	dd Portable Software Instance	Help
Messages 😵 0 🧘 0 🧾 1		Close All
software instance was signed by a certificate wir O=IBM System Z, C=US", serial number "1", and	089f43e1ed4600eb4170916f4". The signing certificate	×
System: pev171  File location (UNIX file):		^
/u/zosmft6/swi4demo		
Verify the signature of the portable software instance.	* Signature verification keyring: 🧊 ibmusr6/gimunzip.verify.keyring 👻	
Retrieve		

### z/OSMF Software Management, Add Action...

### **Portable Software Instance View Action**

If the PSWI is signed, and if the signature is verified, then the signer information is persisted and displayed on a new tab on the Portable Software Instance View page

iew swi4	_	ortable Software	Instances  View Portable Software Instance	
General	Products	Digital Signat	ture	
Yes	nature verified	ing:		
ibmusr6/gin Signing Cer	tificate Details			
	tificate Details		Fingerprint	Issuer

### **CA Root Certificate and Authorization**

### • Detailed instructions:

https://www.ibm.com/docs/en/zos/2.5.0?topic=guide-preparing-verify-signatures-gimzip-packages

- IBM certificate authority root is "STG Code Signing CA G2"
  - Automatically supplied with RACF and other security managers
  - If not currently in your RACF db, RACF initialization will add it during next IPL
- Create a keyring containing the IBM CA root:

```
RACDCERT ID(userid) ADDRING(IBM.package.sig.verification)
RACDCERT ID(userid) CONNECT(CERTAUTH +
LABEL('STG Code Signing CA - G2') +
RING(IBM.package.sig.verification) +
USAGE(CERTAUTH) )
```

### **Certificate and Key Authorization**

User identity under which SMP/E RECEIVE, GIMGTPKG, and GIMUNZIP runs, and the logged-in z/OSMF userid, must be authorized to the specified keyring.

Must have READ authority to **either** of the following:

```
PERMIT IRR.DIGTCERT.LISTRING CLASS(FACILITY) +
    ID(smpe-userid) ACCESS(READ)
```

```
RDEFINE RDATALIB keyring-owner.keyring-name.LST UACC(NONE)
PERMIT keyring-owner.keyring-name.LST +
    CLASS(RDATALIB) ID(smpe-userid) ACCESS(READ)
SETROPTS RACLIST(RDATALIB) CLASSACT(RDATALIB)
SETROPTS RACLIST(RDATALIB) REFRESH
```

*smpe-userid* is the user identity running the SMP/E job or the logged-in z/OSMF userid.

## **SMP/E and z/OSMF Software Management** Availability

- Package signing and Signature verification is integrated into z/OS 3.1.
- PTFs for the following APARs are required for z/OS 2.5 and 2.4:
  - SMP/E IO28360
  - z/OSMF PH49385

### **IBM Exploitation of Package Signing**

- As of May 16, 2023, IBM is exploiting GIMZIP package signing for all z/OS software product deliverables:
  - z/OSMF Portable Software Instances (ServerPac)
  - CBPDO
- IBM plans to exploit GIMZIP package signing for z/OS software **service** deliverables later in 2023:
  - Shopz PTF orders
  - SMP/E RECEIVE ORDER PTF and HOLDDATA orders

### Summary

- Digital Signature Background
- GIMZIP Package Signing Overview
- Details for a Provider
  - How to specify a signing certificate
  - Certificate requirements and authorization
- Details for a Consumer
  - Create a keyring and connect the CA root
  - Keyring authorization